

## Early life history phenology among Gulf of Alaska fish species: strategies, synchronies, and sensitivities

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### ABSTRACT

1 Synthesis of four decades of Gulf of Alaska ichthyoplankton data indicates that species diversity and  
2 total abundance peaks during spring, a common pattern in temperate and sub-arctic ocean regions due  
3 to synchrony with the spring peak in plankton production. Nevertheless, fish larvae occur in the  
4 plankton at all times of year and peak abundance periods vary significantly by species and habitat. Larval  
5 size at hatching and at transformation to the juvenile stage is also highly variable and associated with a  
6 variety of larval durations and temporal supply of larval cohorts to pelagic habitats. This phenological  
7 diversity represents variability in exposure and adaptation to seasonal cycles in the ocean. Water  
8 temperature, winds and currents, and availability of suitable zooplankton prey vary significantly on a  
9 seasonal scale affecting degrees of synchrony among larval species with optimal environmental  
10 conditions for growth, transport and survival. This synchrony is also affected by interannual shifts in the  
11 oceanographic environment, and different early life phenologies among species generate different  
12 sensitivities to such interannual variability. Early life history strategies and synchronies are evaluated  
13 here and environmental sensitivities are proposed for the numerically dominant species of fish larvae  
14 occurring in Gulf of Alaska plankton, including commercially and ecologically important species. For  
15 winter to early spring spawners, cold temperatures are an advantage in terms of slowing development  
16 so that larvae do not use up all their lipid reserves prior to optimal availability of suitable larval  
17 zooplankton prey. Interannual variability in winter temperature may therefore be a good indicator of  
18 survival outcomes, especially as influenced by the timing of the switch to exogenous feeding. Variability  
19 in temperature-influenced larval growth during late spring and summer months may be less  
20 consequential in maintaining synchrony with larval food availability for spring-summer spawners. Rapid  
21 growth in association with warm summer conditions facilitates access to a wide size range of prey  
22 organisms and minimizes critical periods of vulnerability to trophic mismatch. The Gulf of Alaska is a  
23 highly advective environment; storms and alongshore winds promote onshore advection of surface  
24 waters. This onshore Ekman transport is strongest during winter and spring when deep water spawned  
25 larvae are most abundant over the slope and require access to the shelf. Enhanced shoreward transport  
26 of larvae in the canyons intersecting the slope is also an important mechanism. Interannual variability in  
27 such transport mechanisms may be critical in determining early ontogeny survival for these species.  
28 During all seasons, but especially spring and summer, there are species of larvae for which retention

29 nearshore is vital for survival and mesoscale oceanographic features as well as larval behavioral abilities  
30 may be crucial. Annual patterns in phytoplankton and zooplankton production and abundance indicate  
31 high-amplitude variation in the composition of prey fields available to larvae, including variability in  
32 abundance and the size spectrum of organisms that larvae might encounter and consume. Food  
33 limitation seems less likely for larval species that are most abundant in spring-summer than for species  
34 with peak abundance in winter-spring. It is probable that the more selective a species is in terms of  
35 zooplankton prey, the more susceptible that species is to a trophic mismatch. Species-specific intrinsic  
36 rates and morphological development during early ontogeny also influence the interaction of larvae  
37 with their environment, and larval growth trajectories can be quite different even among species with  
38 identical early life phenology. This insight clearly indicates that although phenology is critical, timing is  
39 not everything and all fish larvae are not equal. For the 23 species and two genera of fish in this study, a  
40 synoptic overview is provided of their early ontogeny environmental synchronies and proposed  
41 sensitivities. This ecological synthesis of phenologies helps us characterize vulnerability and resilience  
42 factors for intervals of the planktonic phase in the pelagic environment. It also identifies environmental  
43 signals that could be tested as species-specific ecosystem indicators of population trends for fish stocks  
44 in the Gulf of Alaska. Further, understanding seasonal dynamics in the ichthyoplankton is considered  
45 important for gauging food availability and energy flow more broadly in this and other pelagic  
46 ecosystems, as well as to understanding environmental forcing on the fish populations themselves.

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49 Keywords: Ichthyoplankton, Larval size, Juveniles, Transport, Zooplankton prey, Food limitations,  
50 Trophic mismatch, Growth, Phenological diversity

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## 1. Introduction

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Contemporary fisheries science has advanced the concept of an ecosystem-based approach to fisheries management, and new efforts are underway to improve our understanding of broad ecological connections that are relevant to fish stocks and populations in large marine ecosystems (Marshak et al., 2016). There is tension between two realities in the application of ecological data to fisheries management: 1) the objective of fisheries scientists to develop metrics capturing the dominant environmental forcing on an individual fish species prior to recruitment that can be factored into assessment models, and 2) the recognition by ecologists of the need to investigate multiple species-specific and life-stage-specific connections between species and their physical and biological environment to fine-tune selection and testing of ecosystem metrics that will be valid indicators of fish population trends. Ecological complexity limits possibilities for finding singular metrics that determine the annual survival of young to the adult reproducing population for marine fish species (i.e. recruitment). Nevertheless, investigating early life history strategies and the interaction between larval fish and the oceanographic environment elucidates sensitivity and potential mechanisms of response to environmental forcing during early ontogeny (Bailey et al., 2005; Houde, 2008; Doyle and Mier, 2016). Early life history studies incorporated into integrated marine ecosystem research programs go a long way towards illuminating species-environment mechanisms of interaction that are relevant to fish population fluctuations. Understanding such mechanisms is essential for identifying species-specific ecosystem indicators of importance that ultimately may be incorporated into not only individual stock assessment models but also marine ecosystem models that advance the implementation of Ecosystem-Based Fisheries Management (Hare et al., 2016; Shotwell et al., 2018; Zador et al., 2017).

Fisheries-related integrated ecosystem research has been carried out in the Gulf of Alaska (GOA) since the 1980s, and has included the U.S. National Oceanic and Atmospheric Administration (NOAA) Alaska Fisheries Science Center's (AFSC) Ecosystem and Fisheries Oceanography Investigations program (EcoFOCI; <https://www.ecofoci.noaa.gov/>), the Global Ocean Ecosystem Dynamics program (U.S. GLOBEC; <http://www.usglobec.org/>), and more recently the North Pacific Research Board-sponsored Gulf of Alaska Integrated Ecosystem Research Program (GOAIERP; <http://www.nprb.org/gulf-of-alaska-project/>). These programs have contributed substantially to our present understanding of oceanographic and ecological processes in this region, and the plankton and oceanographic data continue to be valuable for the investigation of early life history ecology and recruitment processes among fish species in the GOA ecosystem. As part of the synthesis phase of the GOAIERP program, this study was undertaken to provide a comprehensive review of the phenology of early life history patterns and processes among commercially and ecologically important fish species in the GOA, and to develop hypotheses regarding associated sensitivities to environmental forcing.

Climate change and multi-decadal variability in ocean conditions have been implicated in shifting distributions, abundance, and phenology of fish and shellfish production in U.S. marine ecosystems (Asch, 2015; Auth et al., 2018), and vulnerability assessments are being undertaken to evaluate individual species' exposure and sensitivity to ecosystem change (Morrison et al., 2015; Hare et al., 2016). Quantitative approaches that determine climate impacts on the abundance and distribution of individual fish species are difficult to apply to fisheries assessments in most instances due to

97 limitations in understanding relevant ecological mechanisms. In contrast, qualitative ecological  
98 information advances the development of predictive capacity by providing regional, species, and life  
99 stage-specific guidance in identifying ecosystem indicators of importance that may be tested in  
100 assessment modelling efforts (Zador et al., 2017). Reproductive and early life history strategies and traits  
101 are particularly important because they can be used to build “exposure profiles” for species during early  
102 ontogeny that represent species’ interaction with, and sensitivity to, the pelagic environment in a  
103 particular ecosystem (Doyle and Mier, 2016; Hare et al., 2016; Shotwell et al., 2018). The early ontogeny  
104 phase is particularly important in the annual trajectory towards recruitment for marine fish species, and  
105 especially the planktonic phase when interaction with, and sensitivities to, the environment are very  
106 different from both the juvenile and adult phases (Fuiman, 2002; Miller and Kendall, 2009). Timing of  
107 this early ontogeny phase dictates many of the ecological characteristics of a species during early life  
108 and in conjunction with knowledge of spatial patterns in the ichthyoplankton advances the development  
109 of species’ ecological profiles and associated assessment of climate vulnerability.

110 Northern latitude fish populations are hypothesized to be particularly sensitive to climate-  
111 induced phenological shifts in plankton communities as recruitment success is highly dependent on  
112 synchronization of the larval stage with pulsed plankton production (Cushing, 1990; Edwards and  
113 Richardson, 2004). Timing of reproduction and early ontogeny among fishes is also adapted to long-term  
114 physical oceanographic processes such as annual temperature cycles and seasonal variability in  
115 transport. Interannual shifts in the physical and biological environment can therefore disrupt temporal  
116 synchrony with species-specific optimal conditions for embryonic development, larval feeding and  
117 growth, and favorable transport to nursery habitat. Studying early life history phenologies and how they  
118 are connected to prevailing annual patterns in the pelagic environment is an essential first step in  
119 evaluating the potential for such disruptions among marine fish species.

120 The timing and duration of fish spawning and larval occurrence in GOA pelagic habitats is  
121 variable among taxonomic groups, although a peak in larval fish abundance and species diversity occurs  
122 during spring (Matarese et al., 2003; Doyle et al., 2009). A principal component analysis of early life  
123 history traits and ecological characteristics among GOA fish species identified phenology of spawning  
124 and early ontogeny as a primary gradient accounting for a high level of the variability among species  
125 (Doyle and Mier, 2012). Timing of production may range from single-batch spawning over a short period  
126 of time to the production of multiple batches of eggs that may result in an extended temporal supply of  
127 larval cohorts in the plankton. Variability in spawning times and locations as well as the development  
128 rates, durations and drift patterns of larvae also contributes to differences in pelagic habitat utilization  
129 from coastal to deep water (Doyle and Mier, 2016). This diversity of early life history phenologies  
130 represents trade-offs in adaptation to prevailing environmental conditions in the GOA, especially with  
131 respect to synchrony of early ontogeny with optimal conditions for successful growth and survival (e.g.  
132 temperature, transport processes, and prey availability). Vulnerability and resilience factors associated  
133 with these different phenologies may modulate species’ sensitivities and responses to environmental  
134 variability.

135 Using phenology of early life history as a framework, the objectives of this paper are to 1)  
136 describe long-term seasonal patterns in occurrence, abundance, and ontogenetic development of larval  
137 fish species in the Gulf of Alaska; 2) evaluate these early ontogeny phenologies in relation to synchrony  
138 with, and adaptation to seasonal patterns in the physical and biological oceanographic environment;

139 and 3) propose sensitivities of species during early ontogeny to variability in the pelagic environment of  
140 the GOA and recommend stock-specific susceptibilities for use in fishery management applications.

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## 143 **2. Materials and Methods**

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### 145 *2.1. Ichthyoplankton data*

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147 Ichthyoplankton surveys conducted by the EcoFOCI program began in the western GOA in 1972  
148 (no sampling 1973-1976), with annual sampling from 1977-2011 and biennial surveys thereafter. The full  
149 extent of sampling coverage in the western GOA is from east of Prince William Sound to Umnak Island in  
150 the west, and the most intensively sampled area extends along the continental shelf and slope from  
151 Kodiak Island to the Shumagin Islands (Figure 1). Full details of temporal and spatial coverage of  
152 ichthyoplankton sampling as well as sampling protocol and processing of samples for ichthyoplankton  
153 data are given in Matarese et al. (2003) and in the AFSC's online Ichthyoplankton Information System  
154 (IIS; <http://access.afsc.noaa.gov/ichthyo/>), and associated ichthyoplankton cruise catalog  
155 (<http://access.afsc.noaa.gov/icc/index.php>). The primary sampling gear used for these collections was a  
156 60 cm bongo net fitted with 333 or 505  $\mu\text{m}$  mesh nets, and oblique tows were carried out mostly from  
157 100 m depth to the surface or from 10 m off bottom in shallower water throughout the western GOA  
158 (Fig. 1a). Prior to 1990, variability in sampling depth included sampling to 200 m in locations such as  
159 Shelikof Strait (Fig. 1a) to capture Walleye Pollock larvae newly hatched from pelagic eggs spawned  
160 deep in the water column (Matarese et al., 2003). A Sameoto neuston net was used less frequently (Fig.  
161 1b) to sample the upper 15 cm (approximately) of the water column, but with sufficient samples to  
162 allow evaluation of temporal and spatial patterns for species such as Sablefish whose larvae occur  
163 primarily in the surface layer of the ocean. Data for this study incorporate all ichthyoplankton data  
164 through 2011, which was the end of the annual sampling. Sampling east of the 140° meridian was rare  
165 for most of the time series, but in 2011 included sampling in the eastern GOA as part of the GOAIERP  
166 program (Siddon et al., this issue). Distribution of sampling by year and month for each of the sampling  
167 gears is given in Fig. 2.

168 The focus for this study was the larval stage because many species have demersal eggs that are  
169 not represented in the ichthyoplankton samples (Table 1). Species included in the analysis were those  
170 that occurred in greater than 5% overall of historical ichthyoplankton samples during periods of peak  
171 abundance. To describe long-term prevailing seasonal patterns in larval abundance, mean abundance  
172 values were calculated by combining data across years for each month. Mean abundance values by half-  
173 month, stratified by year, have also been given for most of these species previously (Doyle et al., 2009;  
174 Doyle and Mier, 2016). Most sampling was carried out during spring (April-June). Sampling coverage was  
175 much more limited during summer (July-September), autumn (October-November), and winter  
176 (February and March, with very few samples in January), so abundance values from those seasons may  
177 be less reliable. However the data were considered appropriate for providing insight into general  
178 seasonal patterns in occurrence, abundance, and size of larval fish species in the GOA ecosystem. Length  
179 frequency distributions of larvae for each month were calculated by weighting the lengths by the  
180 standardized catches (specimens per volume of water sampled), and combining data across years.

181 These length frequency distributions illustrate a general pattern of seasonal progression in larval sizes  
182 among species, and are considered a coarse representation of larval growth rates across months. Mean  
183 sizes of life stages at ontogenetic intervals (e.g. size at hatching) for each species were obtained from  
184 Matarese et al. (1989), and the IIS. To provide examples of variability among species in morphological  
185 development of larvae, illustrations of larvae by size categories were included in the manuscript.

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## 187 *2.2. Climate and oceanographic data*

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189 Sea surface temperature (SST) is from NOAA's 1/4° daily Optimum Interpolation Sea Surface  
190 Temperature (OISST) constructed by combining observations from different platforms (satellites, ships,  
191 buoys) on a regular global grid (Banzon et al., 2016; Reynolds et al., 2007). Wind data are from the North  
192 American Regional Reanalysis (NARR) data set (Mesinger et al., 2006). The NARR model uses the very  
193 high resolution NCEP Eta Model (32-km/45 layer) together with the Regional Data Assimilation System  
194 which assimilates available surface, upper-air, and satellite-based observations. The high spatial  
195 resolution of the NARR dataset makes it more suitable than coarser reanalyses for coastal regions near  
196 complex topography.

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198 Circulation and transport processes were derived from the Regional Oceanographic Modeling  
199 System (ROMS) ocean circulation model. ROMS is a free-surface, hydrostatic primitive equation ocean  
200 circulation model (Haidvogel et al., 2008; Moore et al., 2004; Shchepetkin and McWilliams, 2004) which  
201 has been adapted to the Gulf of Alaska (Hermann et al., 2009; Dobbins et al., 2009; Coyle et al., 2012).  
202 Years 1997-2011 were simulated using a model grid with ~3-km horizontal resolution and 42 vertical  
203 layers; this continuous multiyear simulation includes coastal runoff and tidal dynamics. Model-  
204 generated flows were interpolated to cross-canyon sections at two locations (Fig. 1b): the entrance to  
205 the Shelikof Sea Valley (55.3°N, 156.5°W to 55.7°N, 155.7°W) and Amatuli Trough (58.5°N, 148.5°W to  
206 59.0°N, 148.2°W). Weekly averages from the curvilinear ROMS output were re-gridded to regular lat-  
207 long-depth coordinates (spacing of 0.03 degrees latitude, 0.06 degrees longitude, and z-levels at 0, 5, 10,  
208 15, 20, 30, 40, 50, 60, 75, 100, 125, 150, 200, 250, 300 m), and subsequently interpolated to a uniform  
209 spatial grid (cross-canyon distance and depth) for each of the sections. Finally, we calculated the  
210 velocities in the direction perpendicular to each section (i.e. directly into/out of the canyon, here  
211 defined as positive/negative flow, respectively). The spatial integral of the flux into each canyon was  
212 calculated as weekly averages of total along-canyon flux; these were subsequently used to calculate a  
213 monthly climatology of flows during 1997-2011 and the monthly anomalies from climatology over that  
214 time period. The weekly spatial patterns were summarized into a long-term multiyear average for each  
215 canyon. The weekly average, re-gridded ROMS output was also used to generate a time series of  
216 temperature averaged over the full water column at a mid-channel location in Shelikof Strait (57.6 N,  
217 155 W) in order to represent interannual variation in water temperature over the years of the model  
218 run, 1997-2011.

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## 220 *2.3. Primary production and microzooplankton data*

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222 Chlorophyll-a (Chla) and microzooplankton samples were collected during CTD casts in the  
223 northern GOA, either along the Seward Line (Fig. 1b) transect extending from the mouth of Resurrection  
224 Bay off the Kenai Peninsula to beyond the shelf break ('Seward region'), or on a station grid  
225 encompassing the continental shelf and slope to the south and east of Kodiak Island ('Kodiak region').  
226 Most sampling was carried out during the two GOA IERP field years of 2011 and 2013, with data  
227 presented here representing three seasons: spring, summer (Kodiak region only) and autumn (Table 2).  
228 Historical primary production and microzooplankton data are more limited than the ichthyoplankton  
229 data in this region, but some additional chlorophyll data were included from sampling along the Seward  
230 Line in 2001, 2003, 2012, and 2014-2016. Station locations are shown in Strom et al. (this issue; their Fig.  
231 1). Water samples for Chla analysis were collected at 10-m intervals from 0 to 50 m at each station, and  
232 filtered and processed as described in Strom et al. (2016). Microzooplankton samples were preserved  
233 and processed as described in Strom et al. (this issue).

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#### 235 2.4. Mesozooplankton data

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237 Mesozooplankton data presented here are from an ongoing time-series of collections from the  
238 Seward Line (<http://research.cfos.uaf.edu/sewardline/>) and include abundance, life stage, and size data  
239 for the dominant copepod species in this region. Small zooplankton (target size <2 mm) were collected  
240 with a 25 cm diameter CalVET array with 150 µm mesh nets, and large zooplankton (target size >2 mm)  
241 were sampled from the upper 100 m of the water column with a 1 m<sup>2</sup> MOCNESS system or a 0.25 m<sup>2</sup>  
242 Hydrobios Multinet system (2005 onwards) using 500 µm mesh nets (Coyle and Pinchuk, 2003, 2005;  
243 Coyle et al., 2013). The MOCNESS and Multinet samplers were fished at night so that species that  
244 undergo diel vertical migrations are represented in the catches. Samples were processed and  
245 numerically dominant taxa enumerated as outlined in Coyle and Pinchuk (2003, 2005), with the addition  
246 that in later years lengths in Calvet samples were also measured for all zooplankters enumerated (Roff  
247 and Hopcroft, 1986). Thus for abundant species/stages more length measurements are available.  
248 Additional data on copepod egg size come from egg production experiments (Napp et al. 2005; Hopcroft  
249 et al. 2005). *Neocalanus flemingeri* naupliar lengths were measured during laboratory rearing (Hopcroft,  
250 unpublished). For other species, naupliar sizes were inferred from data on the size of eggs and  
251 copepodite stage-1 (C1), allowing for 10% expansion upon hatch and 50% increase associated with  
252 metamorphosis from nauplius stage-6 to C1.

253 Abundance calculations used data from 1998-2004 collected five or six times annually, typically  
254 during March, April, May, July, August and October, and from 2005-2015 during each May and  
255 September (Table 3). Mean zooplankton abundance in the upper 100 m by taxon and month was  
256 computed for three different zones along the Seward Line: the inner shelf, transitional zone, and outer  
257 zone (Fig. 1b, inset). These zones were identified previously based on cross-shelf distribution patterns of  
258 zooplankton representing the inner shelf area influenced primarily by the Alaska Coastal Current and  
259 characterized by neritic species, the outer continental slope to ocean basin influenced by the Alaskan  
260 Stream and characterized by an oceanic community of zooplankton, and a transitional mid-shelf to shelf  
261 break area characterized by a mixture of neritic and oceanic zooplankton taxa (Coyle and Pinchuk, 2005;  
262 Sousa et al. 2016).

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## 264 2.5. Nutrient-Phytoplankton-Zooplankton (NPZ) model-generated data

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266 As part of the GOA IERP, the ROMS was refined to address biological dynamics of relevance to  
267 fish early life history survival by adding an ecosystem component. A Nutrient-Phytoplankton-  
268 Zooplankton (NPZ) model was embedded within ROMS to provide output to drive Individual-Based  
269 Models for five focal fish species. This ROMS-NPZ model is of intermediate complexity, simulating the  
270 carbon biomass of phytoplankton, microzooplankton and zooplankton in response to photosynthetically  
271 active radiation (PAR); biological and circulation-driven changes in nitrate, ammonium and iron  
272 concentrations; and zooplankton biomass (Coyle et al., 2012, 2013, this issue). The NPZ model is based  
273 on the equations in the models of Frost (1987, 1993) as modified for the GOA (Hinckley et al., 2009;  
274 Coyle et al., 2012). From the 1998-2011 runs of the ROMS-NPZ model, average monthly patterns were  
275 generated to illustrate spatial variability in biomass in the GOA of different lower trophic level  
276 components in the upper 25 m of the water column. A selection of these maps is included here to  
277 provide insight into spatial patterns of zooplankton production that influence availability of prey  
278 organisms for larval fish species in different pelagic habitats at different times of the year.

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### 281 3. Results

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#### 283 3.1. Early life history phenology – patterns and strategies

284

285 Twenty-three individual fish species and two species complexes from 12 families were included  
286 in this study and represent commercially and ecologically important species in the GOA that are most  
287 frequently caught in ichthyoplankton samples (Table 4). Walleye Pollock and Pacific Cod (Gadidae),  
288 several species of Rockfish (Scorpaenidae), Lingcod and Atka Mackerel (Hexagrammidae), Sablefish  
289 (Anoplopomatidae), and 11 species of flatfish (Pleuronectidae) are abundant groundfish of commercial  
290 importance. Rockfish of the genus *Sebastes* spp. are not identified to species as larvae are impossible to  
291 distinguish morphologically (Matarese et al., 1989, 2003). Pacific Ocean Perch (*Sebastes alutus*) releases  
292 its larvae into the plankton in spring and genetic studies indicate that it is numerically dominant in the  
293 spring cohort of Rockfish larvae, whereas the summer cohort of smaller Rockfish larvae includes  
294 multiple species (Doyle and Mier, 2016; Siddon et al., this issue). Forage species included the coastal  
295 pelagics Pacific Herring (Clupeidae) and Capelin (Osmeridae), Pacific Sand Lance (Ammodytidae), and the  
296 oceanic mesopelagic species Northern Lampfish (Myctophidae) and Northern Smoothtongue  
297 (Bathylagidae). Among the forage species, only Pacific Herring is exploited commercially. The remaining  
298 non-commercial species are demersal and include the coastal-dwelling (as adults) Kelp Greenling  
299 (Hexagrammidae) and Red Irish Lord (Cottidae) and Ronquils of the genus *Bathymaster* that occur from  
300 the intertidal zone to the outer shelf and slope. Three species of *Bathymaster* occur in the GOA but are  
301 also indistinguishable as larvae morphologically (Matarese et al., 2003; Canino et al., 2017). They are  
302 included here as they rank highly in terms of abundance in GOA ichthyoplankton samples. Sablefish,  
303 Kelp Greenling, Lingcod, Atka Mackerel and Red Irish Lord larvae are known to be primarily neustonic,  
304 and are most abundant in the upper 10-20 cm of the water column (Doyle et al., 1995; Matarese et al.,



305 2003). Capelin, *Bathymaster* spp., and Pacific Sand Lance also occur in the neuston but generally as older  
306 larvae that undertake diel migrations to the surface at night (Doyle et al., 1995).

307 Life history traits of relevance to population productivity and the abundance and timing of  
308 occurrence of larvae in the plankton are included in Table 1, along with sources of the data. The smaller  
309 forage species tend to have low maximum age (longevity) generally ranging from 5 to 8 years, but Pacific  
310 Herring and Kelp Greenling are exceptions at 15 and 18 respectively. Of the larger commercial  
311 groundfish species, Butter Sole and Atka Mackerel have relatively low maximum age (11 and 14  
312 respectively). Longevity for the remaining species ranges from 21 to 35 except for the extreme outliers  
313 of Dover Sole (53), Pacific Halibut (55), Sablefish (73), and Rockfish as represented by Pacific Ocean  
314 Perch (88). Age at first maturity generally follows the same pattern, with the longest living species  
315 maturing later than the short-lived ones. Spawning season and fecundity influence the timing,  
316 abundance, and temporal spread of larvae in the plankton. Pacific Cod is an example of a short spawning  
317 season (starts in February but mostly March to April) combined with high fecundity, resulting in a  
318 temporally narrow but very high peak in abundance of these larvae in the plankton during April (Table 1;  
319 Doyle and Mier, 2016). In contrast, spawning of the two mesopelagic species (Northern Smoothtongue  
320 and Northern Lampfish) with low fecundity is spread over two to three seasons ensuring an extended  
321 occurrence of their larvae in the plankton during most of the year. Egg type also influences the temporal  
322 and spatial ubiquity of larvae in the plankton and is indicated here along with spawning habitat (Table  
323 1). Spawning of species with demersal, adhesive eggs is associated with nearshore and shelf habitat but  
324 not deep water, and can occur in any season. Epipelagic spawning occurs throughout all habitats and  
325 seasons, whereas species with deep pelagic eggs spawn in continental slope and basin waters primarily  
326 during winter. The 11 species of pleuronectid flatfish included here display a wide variety of strategies  
327 for a single taxonomic group with spawning occurring among all seasons and habitats, and a wide range  
328 in fecundity with each egg type represented although most are pelagic.

329

### 330 3.1.1. Phenology and larval habitat

331 For each of the sampling gears, the frequency of occurrence of species in ichthyoplankton  
332 samples by month is indicated in Table 4. Species are listed in order of timing of occurrence and peak  
333 abundance in the plankton. Seasonal variation in mean abundance (log scale) of species is presented in  
334 Fig. 3, and the primary observed larval habitat is also indicated as coastal (nearshore and inner shelf),  
335 shelf (throughout shelf), or slope (continental slope and adjacent basin). Habitat preferences as  
336 indicated are based on distribution data from previous studies, including neuston as well as water  
337 column assemblages (Doyle et al., 1995; 2002 a, 2002 b; Matarese et al., 2003; Doyle and Mier, 2016).  
338 Larvae spawned in deep water occur in fewer samples overall than species whose larval habitat is  
339 primarily the shelf where most of the samples have been taken (e.g. Arrowtooth Flounder and Pacific  
340 Halibut vs. Pacific Cod and Pollock). Northern Lampfish is a deep-water species with relatively high  
341 ubiquity occurring in a high proportion of samples especially during spring and summer months when it  
342 is abundant on the shelf as well as over the slope. Among coastal species, Pacific Herring, Butter Sole,  
343 Starry Flounder and Yellowfin Sole occur in a limited number of samples whereas Pacific Sand Lance and  
344 Capelin are highly ubiquitous.

345 Habitat appears related to the timing and spatial extent of larval species in the plankton, with  
346 early-phenology species associated with deep water and slope habitats and late-phenology species

347 occupying primarily coastal and shelf habitats (Fig. 3a). Exceptions are the deep water larvae of Rex Sole  
348 and Dover Sole that are most abundant in spring through summer, as well as the release of Rockfish  
349 larvae into deep water habitat during spring and summer. Of the species that are most abundant in  
350 winter, all are spawned in deep water and the primary larval habitat is over the slope. This group  
351 includes Arrowtooth Flounder, Pacific Halibut, Northern Smoothtongue, and Red Irish Lord. Their  
352 occurrence extends through spring and to a lesser extent summer, and in the case of the latter two  
353 species there have been limited records in autumn. Red Irish Lord larvae are also abundant in the  
354 neuston during winter and spring and with low to moderate abundance in summer (Fig. 3b). Spring is  
355 the period of peak occurrence and abundance for fish larvae in the GOA plankton with peak diversity of  
356 species in April through May (Table 4 and Fig. 3). Yellowfin Sole is the only species whose larvae do not  
357 occur during spring and they have been recorded during July and September only; occurrence in August  
358 and possibly October samples would be expected but sampling has been very limited in those months.  
359 All other species occur during April to June except for Atka Mackerel, which has been collected in the  
360 water column in April only and in the neuston samples in April and May. Rockfish and Capelin are most  
361 abundant during summer and also occur during autumn.

362

### 363 *3.1.2. Phenology and larval duration*

364 Larval duration varies significantly among GOA species. Occurrence and abundance data across  
365 months presented here (Table 4 and Figure 3) represent population-level larval duration rather than  
366 individual development time for larval life stages, which is undetermined for many species. Two major  
367 patterns exist for larval duration. Discounting the neustonic species whose larvae tend to remain pelagic  
368 for a relatively long period of time, early-phenology and deep water-spawning species (e.g. Arrowtooth  
369 Flounder, Pacific Halibut, Northern Smoothtongue) tend to have longer larval durations than late-  
370 phenology and coastal-spawning species (e.g. Pacific Herring, Starry Flounder, Yellowfin Sole). There are  
371 also some deep water-spawning species that release pelagic eggs in spring to summer and have  
372 extended larval durations, especially the flatfish Rex Sole and Dover Sole. Shelf spawners such as  
373 Walleye Pollock and Flathead Sole are more intermediate in terms of larval duration and timing of  
374 spawning (late winter to early spring). Pacific Cod larvae have a more limited period of occurrence and  
375 peak abundance in the plankton than Walleye Pollock although spawning phenology is similar (Table 1).  
376 Species with the longest larval duration include the mesopelagic Northern lampfish that has very  
377 extended production of larvae, Pacific Sand Lance whose larvae remain pelagic from late winter through  
378 summer (mostly caught in neuston as older larvae in summer-autumn), and Capelin whose larvae  
379 overwinter in the plankton after summer hatching and remain planktonic into spring with occurrence in  
380 the neuston as well as water column samples throughout the year. Pacific Sand Lance is unique in terms  
381 of an extended period of time between spawning in nearshore environments during autumn and peak  
382 abundance of larvae in the plankton during spring (Table 1, Figure 3). No other species listed here has  
383 such an extended period of egg incubation.

384 Larval duration at the individual and population level is dictated initially by species-specific  
385 intrinsic physiological rates of ontogenetic development. Here we consider the following ontogenetic  
386 intervals that represent different morphological and ecological characteristics: the egg stage including  
387 embryonic development, hatching of larva from the egg, yolk-sac absorption or first-feeding when  
388 extrinsic feeding becomes necessary, flexion at which the notochord tip is fully flexed (considered

389 synonymous with onset of skeletal ossification that significantly enhances swimming ability), and  
390 transformation at which there is a loss of larval characters and attainment of juvenile/adult characters.  
391 Standard length (SL) at these ontogenetic intervals is variable among species which influences their  
392 interactions with the pelagic environment at different times of year. Sizes at the different stages are  
393 mostly well known for the GOA species (Matarese et al., 1989) and are illustrated with the species listed  
394 in phenological order of occurrence, and with the primary larval habitat indicated (Fig. 4). A common  
395 pattern is that larvae with extended planktonic duration (Fig. 3) are relatively large with a large size  
396 range at transformation, and those with more limited seasonal occurrence in the plankton tend to be  
397 smaller at transformation. For example, Pacific Sand Lance and Capelin whose larvae remain in the  
398 plankton through multiple seasons have the largest maximum SL at transformation, 80 and 75 mm  
399 respectively, and the largest size range for the postflexion stage prior to the beginning of  
400 transformation. The extended epipelagic phase of the primarily neustonic larvae including Kelp  
401 Greenling, Atka Mackerel, Sablefish and Lingcod (Fig. 3b), is also reflected in their extensive size ranges  
402 for the preflexion and transformation stages (Fig. 4). Red Irish Lord seems to be somewhat of an outlier  
403 in this regard as its larvae transform at less than 25 mm SL, relative to >50 mm for the other neustonic  
404 species. A common trait for species with the smallest size at transformation and limited stage duration is  
405 peak abundance of larvae during spring and summer in nearshore to shelf larval habitat (Fig. 3a). Starry  
406 Flounder is the smallest at transformation (8-11 mm SL) followed in order by Alaska Plaice (<15 mm SL),  
407 Southern Rock Sole, Yellowfin Sole, Northern Rock Sole, Northern Lampfish, and Butter Sole (all 15-20  
408 mm SL). Although the upper size at transformation is not known for most Rockfish species, they seem to  
409 transition from flexion to transformation rapidly and can begin transformation at 10 mm SL. Most deep-  
410 water spawners with extended stage durations by size have a period of peak larval abundance in winter  
411 (Figs. 3 and 4). Exceptions include Rex Sole and Dover Sole that spawn in slope waters during spring and  
412 summer. Rex Sole is extraordinary among GOA pleuronectids in that it does not begin transformation  
413 until larvae are ~50 mm SL.

414 Species that have identical or comparable early life history phenologies may also diverge with  
415 respect to stage duration and size at stage. Arrowtooth Flounder and Pacific Halibut are identical in  
416 terms of timing and location of spawning and period of peak larval abundance in the plankton during  
417 winter in slope waters (Table 5 and Fig. 3a; Blood et al., 2007). However the post-flexion larval phase of  
418 Pacific Halibut is relatively short and the larvae can be as small as 15 mm SL at the beginning of  
419 transformation, whereas Arrowtooth Flounder do not transform until they have reached a minimum SL  
420 of 30 and can be >45 mm SL when they are fully transformed and leave the plankton (Fig. 4). Other  
421 differences are that Pacific Halibut eggs and larvae at yolk-absorption are significantly larger than for  
422 Arrowtooth Flounder. Pacific Herring and Pacific Sand Lance have similar nearshore spawning habitat  
423 and larval hatching and peak abundance phenologies, but widely divergent larval stage durations and  
424 sizes at transformation (Fig. 4). Egg size and larval size at yolk-absorption are variable across early life  
425 history phenologies but tend to be larger for the winter and deep-water spawners than for the  
426 nearshore spring-summer spawners.

427

### 428 *3.1.3. Phenology and larval development rates*

429 Larval length data for species at different times of year also reflect larval duration but provide  
430 additional insight into development rates. Based on data integrated across years, length-frequency

431 distributions of larvae at 1 mm SL increments by month were created for each species where larval  
432 measurements were available from water column (Fig. 5) and neuston (Fig. 6) collections. These length-  
433 frequency distributions reflect general patterns of growth by month for each species as well as the size  
434 spectrum of larvae that are present in planktonic assemblages across seasons in the GOA. It should be  
435 noted, however, that the upper size range of larvae occurring in plankton net samples varies  
436 considerably by species and can reflect variability in larval development, behavior and net-avoidance  
437 capability at different sizes. For example, Pacific Cod and Walleye Pollock larvae larger than 15 mm SL  
438 are rare in the 60-cm bongo net samples (Fig. 5) even though transformation does not begin until  $\geq 25$   
439 and 30 mm SL, respectively (Fig. 4). In contrast, the much larger postflexion and early transformation  
440 stages of Capelin and Pacific Sand Lance larvae (Fig. 4) are common in GOA water column and neuston  
441 samples (Figs. 5 and 6).

442 During winter most fish larvae caught in plankton nets are at the preflexion stage with sizes  
443 reflecting species-specific size ranges from hatching to flexion (Figs. 4 and 5). Most are  $< 10$  mm SL and  
444 many  $< 5$  mm SL. Notable differences from February to March are the slight increase in larval sizes  
445 overall, the disappearance of Atka Mackerel from the bongo samples with occurrence as mostly flexion  
446 stage larvae in the neuston, and the appearance of newly-hatched Walleye Pollock and Northern Rock  
447 Sole in the water column (Figs. 5a and 6). In contrast, Capelin larvae in the bongo and neuston samples  
448 are all  $> 20$  mm SL and postflexion with some  $> 50$  mm SL indicating longevity in the plankton. The  
449 divergence in size at age and developmental rates between Pacific Halibut and Arrowtooth Flounder is  
450 marked: the former are mostly  $\geq 9$  mm SL, and the latter  $\leq 6$  mm SL (Fig. 5a).

451 By April the winter-spawned larval populations are advancing in growth through the first-  
452 feeding (yolk-absorption complete) and flexion stages, and newly-hatched larvae are absent (Figs. 5a  
453 and 6). The abundant larvae at newly-hatched sizes (most  $\leq 5$  mm SL) in the bongo samples include  
454 Pacific Cod, Walleye Pollock, Northern Rock Sole, Northern Lampfish, Alaska Plaice, Flathead Sole,  
455 Ronquils, Southern Rock Sole, and Rockfish (Fig. 5a). Many Pacific Sand Lance larvae are still small  
456 enough to be recently hatched (5-8 mm SL), and at this time larvae up to 16 mm SL are also common in  
457 neuston samples along with the larger neustonic species and preflexion Sablefish (Fig. 6). The pattern of  
458 larval sizes during the rest of spring, from May through June, are similar to April but with upper sizes  
459 stretching into the 20-30 mm SL range for many of the winter-spring spawners, and even  $> 35$  mm SL  
460 specimens documented for Ronquils, Pacific Sand Lance and Kelp Greenling in the neuston (Figs. 5 and  
461 6). Capelin are still present as very large larvae (30-60 mm SL) in both bongo and neuston samples,  
462 whereas the majority of spring-spawned species are  $< 10$  mm SL and some such as Starry Flounder,  
463 Southern Rock Sole, and Butter Sole are mostly  $\leq 5$  mm SL. Pacific Herring, Rex Sole and Dover Sole  
464 larvae although recently hatched are notably larger than the latter flatfish species.

465 During July a diminished diversity of larvae is characterized by larger spring spawners such as  
466 Northern Smoothtongue, Northern Lampfish, and Flathead Sole as well as rapid growth of Rex Sole and  
467 Dover Sole to 34 and 14 mm SL, respectively (Fig. 5 b). Although the upper size range has increased for  
468 Southern Rock Sole, a high proportion of larvae are still  $< 5$  mm SL throughout summer months implying  
469 recent hatching. A new cohort of Rockfish larvae ( $< 5$  mm SL) is apparent in summer bongo net samples  
470 (Fig. 5b) indicating a different assemblage of species than those present during spring months (Fig. 5a).  
471 Newly hatched Capelin larvae ( $\leq 6$  mm SL) are present during July-September, although the upper size  
472 range extends to approximately 20 mm SL indicating rapid growth. Larvae of Yellowfin Sole in

473 September are 2-10 mm SL. In the neuston, most of the few larvae caught in July are 15-30 mm SL (Fig.  
474 6). Pacific Sand Lance is exceptional with most specimens in the 40-60 mm SL range. Recently-hatched  
475 Kelp Greenling and Atka Mackerel larvae (<10 mm SL) occur during autumn months in both bongo and  
476 neuston samples (Figs. 5b and 6). Capelin larvae during these months are again characterized by a very  
477 broad range of sizes (10-55 mm SL) in both bongo and neuston samples.

478

#### 479 *3.1.4. Phenology and larval morphology*

480 In addition to larval sizes and stage durations, larval fish morphology is important as an indicator  
481 of larval behavioral competence and interaction with the pelagic environment at different times of year.  
482 For GOA species there are commonalities in morphology among taxonomic groups and among species  
483 that have similar ecological characteristics during early ontogeny, including timing of occurrence in the  
484 plankton (see Matarese et al., 1989 and the IIS for a full range of illustrations across ontogenetic  
485 intervals for GOA larval fish species). Pacific Cod and Walleye Pollock (Gadidae) have similar  
486 developmental trajectories (Fig. 4) and morphology at size, and develop distinct sets of dorsal, ventral  
487 and caudal fins and look like juvenile gadid fish by the time they are 25 mm SL. Small mesopelagic  
488 species (e.g. Northern Smoothtongue and Northern Lampfish) have similar body shapes including gut  
489 lengths and fin development. Although they are members of three different families, the coastal pelagic  
490 species Pacific Sand Lance, Pacific Herring, and Capelin have very similar morphology from hatching  
491 through the preflexion larval phase, characterized by elongated bodies and small heads. Larvae that are  
492 primarily neustonic, e.g. hexagrammids and Sablefish, tend to be well developed morphologically by 15  
493 mm SL and are characterized by very heavy pigmentation especially on the dorsal surface. All  
494 pleuronectid flatfish larvae undergo the same morphological transformation that includes eye migration  
495 and 90° rotation in body posture prior to settling out of the plankton. Nevertheless, the highest diversity  
496 of developmental variability at size is observed among these flatfish species and is related to both timing  
497 of early ontogeny and primary larval habitat (Figs. 7 and 8). Coastal and shelf spawning flatfish species  
498 that tend to be spring-summer spawners have shorter larval durations (Fig. 3a) and all metamorphose  
499 by a length of 20 mm SL (Fig. 7). In the case of Starry Flounder and Southern Rock Sole, larvae can be  
500 fully metamorphosed with eye migration and fin development complete by as small as 8 and 11 mm SL,  
501 respectively. Among the deep water spawned flatfish that remain planktonic as larvae for much longer,  
502 size at transformation tends to be much larger (Figs. 4 and 8). However, there is still a considerable  
503 contrast among the four members of this group with divergence also apparent between the species that  
504 share the same phenology of spawning and hatching. Pacific Halibut can be fully transformed by 24 mm  
505 SL whereas Arrowtooth Flounder that are also spawned and hatch in winter take longer to accomplish  
506 metamorphosis and are mostly >40 mm SL when fully transformed. Similarly, the spring-spawned Rex  
507 Sole and Dover Sole have contrasting developmental trajectories from flexion to transformation with  
508 the former transforming at a much larger size range (49-72 mm SL) than the latter (20-45+ mm SL).

509

#### 510 *3.2. Synchronies with the physical environment*

511

512 Seasonal patterns in water temperature and circulation in the GOA are critical aspects of the  
513 physical environment that influence distribution, abundance, growth, and survival of fish larvae in the  
514 plankton at different times of year. The annual pattern of sea surface temperature in the western GOA

515 (Fig. 9a) is typical for temperate to sub-arctic regions with coldest temperatures in late winter to early  
516 spring (February-April) and warmest temperatures in summer months (July-September). The winter to  
517 summer temperature range at the surface is  $\sim 4\text{-}12^{\circ}\text{C}$ , although fish larvae in the upper water column  
518 can experience lower or higher temperatures in any given year. The climatological pattern is similar  
519 throughout the water column although the amplitude of variation diminishes with depth (Seward Line  
520 mooring temperature time series; <http://www.ims.uaf.edu/gak1/>). For the majority of fish species in the  
521 GOA, the late winter to spring peak abundance of larvae in the plankton (Fig. 3) means that early  
522 ontogeny coincides with coldest temperatures (mostly  $2\text{-}6^{\circ}\text{C}$ ) initially followed by an average increase of  
523  $1\text{-}4^{\circ}\text{C}$  across April-June depending on the year and their depth in the water column. Larvae of spring-  
524 summer spawned species encounter rising temperatures during late spring followed by warmest  
525 summer conditions ( $\sim 10\text{-}14^{\circ}\text{C}$  near surface) July-September. Species whose larvae are most abundant  
526 from summer to autumn months experience the warmest conditions for growth and development  
527 followed by slowly decreasing temperatures from October to December. Interannual variability in  
528 temperatures is higher in winter-spring than in late summer-autumn (Fig. 9a), a pattern also observed in  
529 mooring data on the GOA shelf (Janout et al. 2010; see their Fig. 7). Given seasonal patterns in peak  
530 abundance of fish larvae (Fig. 3), variability in winter-spring temperatures would affect a greater number  
531 of species than interannual variability in summer-autumn temperatures, which tends to be less  
532 dramatic.

533 Winds are the primary forcing factor for circulation in the GOA (Stabeno et al., 2004, 2016) and  
534 can have a measureable influence on the transport of larval fish in the upper water column (Doyle et al.,  
535 2009). Fish larvae occurring on the inner shelf during January through June experience the strongest  
536 along-shelf winds and associated southwestward transport along the shelf in the Alaska Coastal Current  
537 (ACC), whereas from July through October the strength of these winds is at a minimum (Fig. 9b). The  
538 cross-shelf component of winds is directed on-shelf during most of the year (maxima in May-June) with  
539 off-shelf direction only in October and November. Planktonic stages of fish in the upper water column  
540 from January through June have the highest chance of encountering wind-driven cross-shelf transport.  
541 This is particularly important for species spawned in deep water whose larvae need to move from slope  
542 to shelf habitat in order to survive, and for some species to connect with inner-shelf or nearshore  
543 nursery grounds (Table 1 and Fig. 3). The southwestward along-shelf winds also contribute to an onshelf  
544 Ekman transport at the surface (downwelling) and offshore at depth, with strongest downwelling during  
545 winter (Ladd et al., 2005).

546 The Alaskan Stream is a strong western boundary current that flows along the continental slope  
547 of the western GOA, driving southwestward transport along the shelf edge (Stabeno et al., 2004).  
548 Despite this strong directional forcing, canyons and troughs that intersect the slope can influence cross-  
549 isobath flow, facilitating transport of organisms such as fish larvae up the canyons and onto the shelf  
550 (Mordy et al., this issue; Ladd et al., 2005; Stabeno et al., 2004). It is instructive, therefore to examine  
551 transport variability in these features with the 3-km ROMS model-generated flow as an indicator of  
552 temporal patterns in on-shelf transport potential for larvae spawned in deep water. Mean flow across  
553 the Outer Shelikof Strait and Amatuli Trough transects in the western GOA indicate positive onshore  
554 flow throughout the water column on the northeast side of each of these features, and offshore flow  
555 along the opposite side (Fig. 10a, 10b). The onshore flow is relatively evenly balanced with offshore  
556 transport in Outer Shelikof Strait but stronger overall onshore in Amatuli Trough. Monthly climatologies

557 of the inflow/outflow patterns (data not shown) are very similar in appearance to the annual averages,  
558 but vary by month in amplitude. In particular, onshore flow occurs throughout the water column  
559 primarily on the northeast side of the canyons throughout the year. The spatially integrated monthly  
560 climatology of flows into each canyon is shown in Fig. 10c. One striking feature of this comparison is  
561 that the inflows into Amatuli vs. Shelikof canyons are approximately 180 degrees out of phase. For the  
562 Outer Shelikof Strait transect, onshore flow is strongest during June-August and weakest from  
563 December through March, with intermediate levels during remaining spring and autumn months. For  
564 the Amatuli transect, onshore transport is at its most extensive and strongest during autumn and winter  
565 months and weakest although still extensive during May-August. Offshore flow appears to be strongest  
566 in the upper 50 m of the water column along the southwest half of the Shelikof transect and varies  
567 seasonally (Fig. 10a , 10c), implying that the location and timing of occurrence of larvae along this  
568 transect is important with respect to on-shelf transport. Along the Amatuli Trough transect, there seems  
569 to be a higher chance of larvae being transported onto the shelf at any time of year with relatively weak  
570 offshore transport restricted mostly to below 50 m on a limited portion of the southern end (Figure  
571 10b). A notable degree of interannual variability in the strength of onshore flow across these transects  
572 (Figure 10d) has implications for year-to-year variation in successful transport of fish larvae onto the  
573 shelf in these locations. This is especially true for the Amatuli transect, where deviations from  
574 climatology can exceed one Sv.

575

### 576 3.3. Synchronies with the biological environment

577

578 Concentration and size of small planktonic organisms are critical determinants of prey  
579 availability for larval fish, and phyto- and microzooplankton each show seasonal and spatial variation in  
580 these properties that is relevant with respect to timing of the larval phase among the different GOA fish  
581 species (Fig. 3). Even though some of the smallest phytoplankton and microzooplankton may not be a  
582 primary food source for larval fish, data are presented here because they are consumed by other larger  
583 protists (e.g. microzooplankton grazers) and mesozooplankton that are important nutritional sources for  
584 fish larvae. The seasonal cycles of the former influence the seasonal cycles of the latter. Data for  
585 phytoplankton, microzooplankton, and mesozooplankton in the GOA are mainly limited to spring,  
586 summer and early autumn months with no available data for November through February (Tables 2 and  
587 3). Historical micro- and mesozooplankton data from the Seward Line collections in March do, however,  
588 provide some indication of food availability during late winter when larvae of winter spawning fish  
589 species can be abundant in the plankton (Fig. 3).

590 For phytoplankton, both measured (Table 2) and remotely sensed Chl $a$  show seasonal cycles on  
591 the shelf (Fig. 11a, 11c), with highest median and maximum values in spring (on average, peak in mid-  
592 May according to satellite data; Waite and Mueter, 2013). The spring bloom is evident as a 2-3x  
593 elevation of median Chl $a$  and a 3-6x elevation of maxima relative to summer and autumn values on the  
594 shelf. The spring bloom appears modified spatially on the Seward Line, where the median on the slope is  
595 relatively low (Fig. 11c). The phytoplankton carbon-biomass enhancement associated with these  
596 elevated Chl $a$  times and regions is likely less than suggested here, as both spring and inshore C:Chl $a$   
597 ratios tend to be lower than those in summer and in offshore waters (Coyle et al., 2012; S. Strom,  
598 unpublished data). In general, the Kodiak region exhibits higher median and maximum Chl $a$

599 concentrations on both shelf and slope, particularly in spring and, to some extent, in autumn (Fig. 11a).  
600 The higher Kodiak Chl $a$  biomass is consistent with mechanisms known to enhance productivity in that  
601 region, including a high frequency of mesoscale eddies on the slope (Henson and Thomas, 2008) and  
602 enhanced nutrient pumping and water mass retention on the Kodiak shelf (Mordy et al., in press; Cheng  
603 et al., 2012).

604 Phytoplankton in coastal and shelf waters of the GOA range in size from  $\sim 1$   $\mu\text{m}$  (e.g.  
605 picocyanobacteria) to 100s of  $\mu\text{m}$  (e.g. chain diatoms such as *Thalassiosira* and *Chaetoceros* spp.; Strom  
606 et al., 2016). As for Chl $a$  concentration, phytoplankton size, as indicated by the fraction of Chl $a$  in  $>20$   
607  $\mu\text{m}$  particles, is also elevated on the shelf in spring, (Fig. 11b and d). Increased phytoplankton size is a  
608 crucial property for direct availability to larval fish and efficient transfer of primary production to higher  
609 trophic levels of larger-bodied potential prey (e.g. zooplankton). Again the Kodiak region appears to be a  
610 somewhat richer potential feeding environment for larval fish, with a spring median of 81% (versus 43%  
611 at the Seward Line) of Chl $a$  in  $>20$   $\mu\text{m}$  particles. During spring, these large Chl $a$ -containing particles are  
612 mainly diatoms and, in lower Chl $a$  locations, chloroplast-retaining ciliates (Strom et al., 2016 and this  
613 issue). The limited data available for the Kodiak region indicate that large phytoplankton cells may  
614 sometimes characterize the slope as well as the shelf collections during spring, and are also abundant on  
615 the shelf during autumn, generally reflecting the higher Chl $a$  biomass of these times and sub-regions  
616 (Fig. 11b). In the Seward region, large cells account for a lower portion ( $<20\%$ ) of the phytoplankton  
617 biomass over the slope during each sampling period (Fig. 11 d). Extreme values suggest patches of  
618 higher chlorophyll in larger particles even during periods of low productivity. For the shelf, the coast-  
619 hugging Alaska Coastal Current constitutes such a patch; for the slope, transient mesoscale eddies  
620 promote higher Chl $a$  and larger cells episodically (Batten and Crawford, 2005; Ladd et al., 2007).

621 Microzooplankton, as defined here, include phagotrophic protists  $>15$   $\mu\text{m}$  (primarily ciliates and  
622 dinoflagellates) as well as larger grazers up to approximately 200  $\mu\text{m}$  in size. Many of these protists are  
623 functional mixotrophs, in that they are both photosynthetic and they prey on other microbes.  
624 Microzooplankton biomass shows a seasonal cycle similar to that of Chl $a$ , with 1.5-2.7x enhancements in  
625 spring relative to summer and autumn (Fig. 12a). Data are insufficient to evaluate shelf and slope  
626 habitats separately, but earlier work from a single year showed a gradient of decreasing biomass with  
627 distance offshore (Strom et al., 2007), which would not be surprising given cross-shelf primary  
628 productivity gradients. In a further parallel with the phytoplankton community, the highest proportion  
629 of large ( $>40$   $\mu\text{m}$ ) individuals is seen in spring ( $\sim 50\%$  of the community in both regions; Fig. 12b). In  
630 general, a higher biomass is associated with a greater proportion of larger cells for both phytoplankton  
631 and microzooplankton in coastal and inner shelf water of the GOA (Strom et al., 2016 and this issue).  
632 Patchiness (maxima that are 4-5x higher than medians in all seasons) is prevalent in microzooplankton  
633 as it is for Chl $a$ . These microzooplankton maxima are often associated with the ACC or with shallow  
634 banks offshore of Kodiak Island, both locations that also support high chlorophyll concentrations in  
635 summer (Stabeno et al., 2004; their Fig. 24). In contrast to the phytoplankton, no substantial difference  
636 between Kodiak and Seward region microzooplankton is evident, at least in these bulk measures of  
637 biomass and size composition.

638 Copepods from a variety of families are the most abundant mesozooplankton organisms (0.2-20  
639 mm) in the GOA, and as in many other marine ecosystems are known to be critical in the diets of fish  
640 larvae, and especially the naupliar stages. Abundance data are presented here for two size groups of



641 copepods: <2 mm and >2 mm prosome lengths that numerically dominate the CalVET (150 µm mesh  
642 net) and MOCNESS/Multinet (500 µm mesh net) catches respectively (Fig. 13). The smallest group (<2  
643 mm) also includes the unidentified naupliar stages of all copepod taxa represented in these samples  
644 (Fig. 13a). The youngest and smallest life stages of copepods, eggs and nauplii, are highly suitable prey  
645 for first-feeding larvae in particular given that they are <1 mm in size, nutritious with high lipid content,  
646 and abundant (relative to fish larvae) in the pelagic environment especially during spring to summer.  
647 Given size ranges of GOA larval fish species at yolk-absorption, mostly 5-10 mm but with some smaller  
648 (Fig. 4), copepod nauplii (<1 mm) are an ideal prey size for first-feeding larvae (Table 5). As copepod  
649 nauplii grow to adulthood, many of the smallest GOA species would still be small enough ( $\leq 1$  mm)  
650 through copepodite stages CI-CV for consumption by first-feeding fish larvae (e.g. *Oithona similis*,  
651 *Microcalanus* spp., *Mesocalanus tenuicornis*, *Acartia* spp., *Paracalanus parva* and *Pseudocalanus* spp.;  
652 Table 5). The maxima of the size ranges for adult stages of the smallest copepod taxa dominant in the  
653 GOA samples is 0.9-1.6 mm, which implies availability as prey for fish larvae  $\geq 10$  mm (presuming a  
654 mouth gape size of 1-2+ mm). Further, adult stages of the species *O. similis*, *Microcalanus* spp. and many  
655 *Pseudocalanus* spp. remain <1 mm (Table 5) which likely makes them available as prey to even first-  
656 feeding stages of many fish larvae (Fig. 4). Among the large and abundant copepod species in the GOA,  
657 the earliest copepodite stages (CI-CII) range in size from 0.4-2 mm but many are >2 mm from CIII stage  
658 onwards. The largest species belong to the genus *Neocalanus*; *N. cristatus* is largest by far with CIV sizes  
659 of 6-7 mm, and *N. plumchrus* and *N. flemingeri* are 3-4 mm (Table 5). Fish larvae would need to be well  
660 developed and likely larger than 20 mm to successfully feed on late copepodite or adult stages of these  
661 species.

662 For winter and spring months in the GOA, most fish larvae caught in Bongo net samples are <10  
663 mm SL in length and a high proportion are <5 mm SL (Fig. 5) indicating their need for small prey (<1 mm)  
664 such as microzooplankton as well as the smallest mesozooplankton organisms (e.g. copepod eggs and  
665 nauplii). A notable exception to this pattern is the occurrence of very large (>30 mm SL) capelin larvae  
666 during these months that likely can consume a broad size range of zooplankton organisms. Copepod  
667 eggs and nauplii are present March through May and most copepodite to adult stages for many of the  
668 small taxa of copepods are present during these months in the upper 100 m of the water column (Table  
669 6). Eggs and nauplii of the large *N. plumchrus* and *N. flemingeri* are produced in deep water below 100 m  
670 during winter (January-March) over the slope and in the GOA basin at the end of the adult diapause  
671 period for these species (Miller and Clemons, 1988; Coyle et al., 2013). Their availability coincides with  
672 the occurrence of newly-hatched and first-feeding Arrowtooth Flounder and Pacific Halibut larvae that  
673 are spawned in the same deep pelagic habitat (Figs. 3 and 5 a). Progressively larger fish larvae that  
674 characterize the summer through autumn ichthyoplankton assemblage in the GOA (Fig. 5b) may be able  
675 to consume more of the late copepodite through adult stages of many of the copepod species that they  
676 encounter during these months (Table 6). The absence of data on zooplankton taxa in the neuston  
677 makes it difficult to connect neustonic fish larvae with seasonable availability of prey organisms in that  
678 habitat. However, fish larvae in the neuston tend to be larger overall (Fig. 6) than those occurring in the  
679 water column implying an advantage in terms of prey fields available to them, and behavioral capacity  
680 for capturing them.

681 The most abundant components by far of the copepod assemblage in the GOA are the calanoid  
682 nauplii, and the small copepods *O. similis* and *Pseudocalanus* spp. (Fig. 13). Even during winter (March)

683 and autumn (October) sampling along the Seward Line, average levels of abundance for these taxa  
684 collectively in the upper 100 m of the water column are many orders of magnitude higher (~700-1000  
685 individuals m<sup>-3</sup>) than average levels of abundance recorded for species of fish larvae at peak periods of  
686 their abundance in the plankton (Fig. 3; Matarese et al., 2003; Doyle et al., 2009). The amplitude of  
687 seasonal variation in abundance of these copepods is highest at the inner stations along the Seward Line  
688 (Figs. 1b and 13a) with peak abundance of calanoid nauplii in May and of *O. similis* and *Pseudocalanus*  
689 spp. in July. Late summer (August-September) abundance of *O. similis* and *Pseudocalanus* spp. is  
690 comparable to those recorded in May, whereas naupliar abundance at this time is similar to levels  
691 recorded in March and April. Seasonal variability in abundance of these taxa is similar for the transitional  
692 (outer shelf to slope) and outer stations over the slope and basin (Fig. 13), although the amplitude of  
693 variation is diminished with seasonal maxima lower and at a minimum for these station groups,  
694 respectively. Seasonal variation in total abundance of the less abundant small copepod taxa (minor small  
695 copepods; Fig 13a) has the least amount of seasonal variation as well as cross-transect variation,  
696 although a minor elevation is apparent during summer months. Data for three of the most abundant  
697 non-copepod taxa in the CalVET catches also indicate the persistent occurrence of non-crustacean  
698 zooplankton across months and from coastal to deep water (Fig- 13a). Larvaceans are most abundant  
699 during late spring and summer months and least abundant in March. A similar pattern is apparent for  
700 the pteropod *Limacina helicina*, and across the three Seward Line zones the annual peak in abundance  
701 seems to extend from May through September. More information on the composition and distribution  
702 and interannual variability of these three taxa is reported elsewhere (Doubleday and Hopcroft, 2015).

703 The most numerous large-bodied copepods (>2 mm) caught in the MOCNESS and Multinet  
704 samplers (500 µm mesh nets) are in aggregate most abundant from April to July with an annual peak in  
705 May, persistence across the shelf, and with diminishing amplitude of seasonal variation in abundance  
706 from coastal to deep water (Fig. 13 b). *Metridia* spp. is present during all months and most abundant  
707 during May and July, especially at the inner shelf stations. The *Neocalanus* species are most abundant  
708 during April and May across zones and absent from late summer on as they go into diapause deep in  
709 offshore waters. *N. plumchrus* and *N. flemingeri* are together more abundant than *N. cristatus*. The  
710 *Calanus* species (primarily *C. marshallae* and *C. pacificus*) are most abundant from late spring through  
711 summer months. Although considerably less abundant than the small copepods, levels of abundance for  
712 the large copepods are still orders of magnitude higher than average levels of larval fish species in the  
713 GOA in shelf and deep water habitats (Fig. 3; IIS <https://access.afsc.noaa.gov/ichthyo/>). Nevertheless,  
714 many of these large-bodied copepods are too big during the late copepodite to adult life stages (Table 5)  
715 to be consumed by first-feeding and preflexion larvae of many GOA fish species (Fig. 4). Again, there are  
716 notable exceptions such as the large (>30 mm SL) overwintering Capelin larvae that occur during winter  
717 and spring, and some of the winter-spawned species (e.g. Pacific Halibut, Northern Smoothtongue, Atka  
718 Mackerel, and Pacific Sand Lance) whose larvae may reach ≥20 mm SL during spring months (Figs. 5 and  
719 6).

720 Spatial climatologies of likely zooplankton prey sources for larval fish were generated by the  
721 GOA-NPZ model for the entire GOA basin and selected months are illustrated in maps to best represent  
722 the full extent of seasonality (Fig. 14). For the large microzooplankton (likely representing ciliates  
723 primarily) and the small copepods (<2 mm, including nauplii), the outer shelf and slope remain areas of  
724 very low biomass during late winter (Fig. 14a and b). Higher levels of production particularly of the large

725 microzooplankton are apparent in March, however, especially off the Kenai peninsula and to the  
726 southwest of Kodiak Island, as also noted by Coyle et al. (this issue). By May, biomass values of 10-20 mg  
727 C m<sup>-3</sup> of microzooplankton are apparent throughout the shelf, especially from Kodiak Island to the  
728 southwest. Peak levels of biomass for small copepods (up to 80 mg C m<sup>-3</sup>) are also indicated in May,  
729 especially along the inner shelf throughout the GOA and with highest intensity apparent in the Shelikof  
730 Strait region and to the southwest. By August, biomass levels for both of these components have  
731 diminished significantly in coastal and inner shelf waters, particularly in the eastern GOA, but levels  
732 remain relatively high on the outer shelf particularly offshore and to the southwest of Kodiak Island. By  
733 November, large microzooplankton biomass is at a minimum throughout much of the GOA basin with  
734 some very low levels (~5 mg C m<sup>-3</sup>) detected in coastal and inner shelf waters. Biomass levels for the  
735 small copepods remain higher (mostly within the range 5-10 mg C m<sup>-3</sup>) in November throughout the  
736 shelf and basin. The highest degree of seasonal variability in biomass is observed for the large copepods  
737 (*Neocalanus* spp.) that enter diapause in deep water in late spring-summer (Fig. 14c). In late winter, low  
738 levels of biomass (5-10 mg C m<sup>-3</sup>) are apparent in association with deep water in the basin and also in  
739 inner shelf and coastal waters of Prince William Sound and the Kenai Peninsula. This pattern reflects the  
740 earliest appearance of copepodite stages of these large copepods in near surface waters, subsequent to  
741 January-February egg production and naupliar development in deep water over the slope and basin, and  
742 in the deepest areas of Prince William Sound (Miller and Clemons, 1988; Coyle and Pinchuk, 2005). Large  
743 copepod biomass is at a peak in May with high levels (>60 mg C m<sup>-3</sup>) apparent throughout the GOA shelf,  
744 and most intense in the inner to mid-shelf zones. By June, biomass levels of large copepods have  
745 diminished significantly throughout GOA surface waters as the adults start to migrate into deep water to  
746 go into diapause.

747

### 748 *3.4. Summary of species-specific synchronies and sensitivities*

749

750 We provide a final synthesis of the ecological characteristics described in the previous  
751 subsections relating to the early life history phenology of fish species that are dominant in GOA  
752 ichthyoplankton samples in Table 7. The Table also includes recommendations on species'  
753 environmental sensitivities based on their vulnerability and resilience to relevant physical and biological  
754 factors that are potential indicators for consideration in current fisheries management applications. A  
755 new framework for including ecosystem considerations within the stock assessment process is currently  
756 being developed for federally-managed groundfish species (Shotwell et al. 2018; in review). This  
757 ecosystem and socioeconomic profile (ESP) uses a four step process to generate a set of standardized  
758 products that culminate in a focused communication of potential drivers on a given stock. In the  
759 framework, a set of metrics are evaluated that identify sensitivities of the stock with respect to  
760 biological or socioeconomic processes that then lead to developing a suite of indicators for monitoring.  
761 Where possible, the standardized metrics should be broken down by life history stage to further refine  
762 the influential processes and highlight life history bottlenecks that lead to more informed indicators. Life  
763 history traits provided in several of the tables and figures presented in previous sections (e.g. Table 1  
764 and Fig. 3) can be incorporated into the ESP metric assessment to more fully evaluate intervals of  
765 ontogeny and identify the ecological diversity amongst groundfish species. The synoptic information  
766 (Table 7) includes potential vulnerabilities and resilience of species that can be utilized to gain

767 mechanistic understanding of ecosystem pressures on the federally managed stocks in the GOA. The  
768 sensitivities listed here are hypothesized based on the strategies of early ontogeny outlined in the  
769 previous sections, and their synchrony with observed seasonal patterns in the physical and biological  
770 environment. The proposed indicators are suggested within the context of these sensitivities to be  
771 developed for potential use in the ESPs.

772

#### 773 **4. Discussion**

774

775 The annual pattern of reproduction of many fishes in high-latitude marine ecosystems such as  
776 the GOA is linked to the timing of the spring phytoplankton bloom that generates the spring to summer  
777 peak in production of copepods and other zooplankton, which are primary food for larval fishes (Miller  
778 and Kendall, 2009). This adaptation to the high-amplitude seasonal variation in abundance of larval food  
779 organisms has been the foundation for the critical-period hypothesis for marine fish species during early  
780 ontogeny (originally Hjort, 1914), and the associated match-mismatch hypothesis (Cushing, 1990). These  
781 hypotheses propose that food limitation during the larval phase increases larval mortality through  
782 starvation and diminished growth, and that variable synchrony between larval fish and zooplankton  
783 production can result in a match or mismatch between larvae and their food with subsequent levels of  
784 survival significantly influencing year-class strength. The so-called “critical period” is associated with the  
785 planktonic larval phase and especially the absorption of the yolk sac when a switch to exogenous  
786 feeding must occur. A large body of research on larval fish ecology has been generated by these  
787 hypotheses. As a result, our understanding of complexity and survival outcomes in the early life ecology  
788 of marine fish species has expanded greatly in recent decades (Houde, 2008). Contemporary research  
789 efforts have evolved to recognize the importance of understanding the influence of climate forcing (e.g.  
790 warming trends) and associated shifts in oceanographic conditions on marine pelagic phenology,  
791 zooplankton community interactions, and trophic mismatch during fish early life across different  
792 ontogenetic stages (Edwards and Richardson, 2004; Kristiansen et al., 2011; Francis et al., 2012). More  
793 recently, evaluating the phenology of marine fish reproduction and early life is considered an essential  
794 component of efforts to assess commercial fish species vulnerabilities to climate change (Gaichas et al.,  
795 2014; Hare et al., 2016).

796 The data presented here reflect the previously documented pattern of a peak in taxonomic  
797 diversity and abundance during late spring in the ichthyoplankton of the GOA (Doyle et al., 2009).  
798 Nevertheless, for ecologically and commercially important fish species in this ecosystem phenological  
799 diversity prevails. Timing and duration of the planktonic phase is variable and widely divergent sizes at  
800 ontogenetic intervals and inferred growth rates are also observed. Many different fish early life history  
801 strategies have evolved in adaptation to the long-term prevailing conditions in the GOA, and phenology  
802 of the early ontogeny phase is a primary gradient of this variability (Doyle and Mier, 2012). A  
803 comprehensive examination of this phenology gradient among GOA species reveals a high level of  
804 species-specific diversity and complexity in terms of the planktonic phase scheduling, and associated  
805 patterns of exposure to physical and biological conditions that likely generate the response to  
806 environmental forcing.

807

808 *4.1. Phenology and Temperature*

809

810 Development rates of fish eggs and yolk-sac larvae are influenced primarily by water  
811 temperature (Miller and Kendall, 2009). For the winter to early spring spawners in the GOA, cold  
812 temperatures are an advantage in terms of slowing development so that larvae do not exhaust all of  
813 their lipid reserves prior to optimal availability of suitable larval prey, especially at first-feeding. This has  
814 been established with laboratory studies for Pacific Cod larvae (Laurel et al., 2008; 2011), and implied  
815 from the historical GOA data by a negative association between larval abundance of the late winter  
816 spawners Pacific Cod, Walleye Pollock, and Northern Rock Sole and winter water temperatures (Doyle et  
817 al., 2009). A positive and significant correlation between larval size and temperature in winter and  
818 spring months is documented for Pacific Cod and Walleye Pollock in the GOA late spring time series  
819 (Doyle and Mier, 2016) implying a positive growth response to warmer temperatures and the potential  
820 for a timing shift in larval food requirements. The deep water early winter spawners, Pacific Halibut and  
821 Arrowtooth Flounder, encounter the coldest temperatures for the longest period of time as larvae with  
822 extended durations over winter to spring months, and peak abundance in January and February. This  
823 type of early phenology in association with cold conditions that maintains slow growth is considered  
824 advantageous for bridging the extensive spatial and temporal gap between peak abundance of larvae of  
825 these species and peak availability of zooplankton prey on the GOA shelf during spring (Doyle and Mier,  
826 2016). Many GOA species would seem susceptible in this way to the negative effect of warmer winter  
827 temperatures as early ontogeny pre-feeding stages are abundant for the highest number of species  
828 during late winter and early spring. Observation of high interannual variability in winter temperature  
829 minima suggests that winter temperature may be a good indicator of annual variability in early larval  
830 development rates and survival, especially as influenced by the timing of the switch to exogenous  
831 feeding. The early ontogeny of Pacific Sand Lance may also be affected by winter temperatures during  
832 the unusually long egg incubation phase for this species, with eggs dormant in nearshore sediments for  
833 weeks to months subsequent to spawning in autumn (Robards et al., 1999). Based on the dramatic peak  
834 in abundance of newly-hatched larvae in the plankton of the GOA during March, it has been previously  
835 hypothesized that peak emergence from the sediments may be triggered by the initial rise in water  
836 temperature immediately following the annual water temperature minimum in March (Doyle et al.,  
837 2009). If this synchronous relationship exists, it is feasible that timing of hatching for Pacific Sand Lance  
838 and associated peak in abundance of larvae in the plankton could shift significantly with variation in the  
839 scale, timing and duration of winter temperature minima in coastal waters.

840 Variability in temperature-influenced larval growth rates during late spring and summer months  
841 may be less consequential with regard to maintaining synchrony with larval food availability than during  
842 winter and early spring. Warmer temperatures (conducive to rapid growth) and a plentiful supply of  
843 larval prey characterizes this period of peak zooplankton production in the GOA (Stabeno et al., 2004;  
844 Coyle and Pinchuk, 2003). Newly-hatched through first-feeding and pre-flexion larval stages of Capelin,  
845 Rockfish, Southern Rock Sole, Butter Sole, Rex Sole, Dover Sole, and Yellowfin Sole coincide with this  
846 period. Larval length data indicate that growth rates for these species tend to be more rapid from spring  
847 to summer months than those for the winter-spawned larvae from winter to spring months. Rapid  
848 growth is an advantage for gaining earlier access to a wider range of prey organisms and minimizing  
849 critical periods of vulnerability to trophic mismatch. Capelin is extraordinary among this group of species

850 with continuous production and rapid growth of larvae during the warmest summer months, and post-  
851 flexion larvae remaining planktonic through autumn, winter and into the following spring. This unusual  
852 strategy of a very extended larval phase (including utilization of the neuston) means that Capelin is  
853 poised to take advantage of favorable conditions at any time of the year in the plankton, likely buffering  
854 negative effects of less than optimal conditions that may also be encountered. It provides an interesting  
855 contrast to the winter-spawning Pacific Cod that produces a huge quantity of eggs per female (~3  
856 million), and releases them in a single batch that results in a very narrow temporal peak in abundance of  
857 larvae in the plankton during early spring, a strategy termed “all eggs in one basket” with respect to  
858 vulnerability to unfavorable conditions (Doyle and Mier, 2016).

859 The GOA late-spring time series of larval abundance (Doyle et al., 2009) provides some evidence  
860 for the ability of species with spring-summer larval production to withstand warmer conditions better  
861 than species with winter-spring larval production. A synthesis of data from this time series collected  
862 from 2013 on indicates that the lowest-ever levels of mean larval abundance for Pacific Cod, Walleye  
863 Pollock, Pacific Sand Lance, Northern Rock Sole, and Pacific Halibut were recorded in 2015 (Rogers and  
864 Mier, 2016) in association with record positive temperature anomalies in the GOA following the North  
865 Pacific marine heatwave of 2014-2015 (Bond et al., 2015; Di Lorenzo and Mantua, 2016). In contrast, for  
866 the spring-summer spawning Rockfish and Southern Rock Sole (species well represented in the GOA late  
867 spring time series) larval abundance was at an all-time high and average in 2015, respectively (Rogers  
868 and Mier, 2016). A direct effect of warmer temperatures on the winter-spawned larvae could be faster  
869 growth that facilitates a mismatch with optimal prey availability. An indirect effect is also possible in that  
870 the anomalous warm conditions of 2014-15 seem to have affected the spring planktonic food web by  
871 promoting a community of small-celled (<20 µm) phytoplankton and microzooplankton and associated  
872 diminished biomass levels (Strom, unpublished data). If large protists are important in the diets of first-  
873 feeding larvae during these months, winter-spawned larvae could experience higher levels of starvation  
874 under such conditions.

875

#### 876 *4.2. Phenology and Transport*

877

878 The GOA is a highly advective environment and larval fish in the different habitats are subject to  
879 a variety of transport mechanisms from the prevailing currents and wind-forcing of circulation near the  
880 surface. The Alaska Coastal Current associated with the inner shelf and Alaskan Stream over the  
881 continental slope and adjacent basin drive the persistent south-westerly direction of transport  
882 influencing distribution of zooplankton, including larval fish, throughout the central to western GOA  
883 (Stabeno et al., 2004; Doyle et al., 2009). Further, the GOA is predominantly a downwelling system and  
884 storms promote onshore advection of surface waters, which transport nutrients and plankton  
885 shoreward near the surface (Coyle et al., 2013). Transport of deep water spawned flatfish larvae on to  
886 the shelf has been hypothesized previously to be associated with enhanced onshore transport  
887 associated with El-Niño Southern Oscillation events, wind-forcing, and on-shelf flow in canyons  
888 intersecting the slope (Bailey and Picquelle, 2002, 2008; Doyle et al., 2009). Atmospheric forcing of such  
889 onshore transport has also been linked positively to recruitment trends of deep water spawned flatfish  
890 in the GOA (Stachura et al., 2014). These onshore transport mechanisms are also likely to apply for  
891 Sablefish and Rockfish larvae that originate over the slope. Evidence for such larval drift pathways have

892 been provided by the synthesis of historical ichthyoplankton data from the western GOA, and seasonal  
893 patterns in larval distribution for these species illustrate how larvae that hatch in deep water during  
894 winter become progressively more abundant on the shelf as they develop over a period of weeks to  
895 months (Doyle and Mier, 2016). In addition, hotspots in larval abundance are associated with the  
896 mouths of canyons and troughs intersecting the slope, where intensified onshore flow is observed  
897 generally on the northern side of the canyon walls providing a mechanism for larvae to be delivered on  
898 to the shelf (Mordy et al., this issue).

899         Seasonal variability in the cross-shelf component of winds indicates that from December  
900 through September, wind-driven transport of larval fish near the surface is on average in a shoreward  
901 direction. Ekman circulation (onshore at surface, offshore at depth) generated by the alongshore winds,  
902 and strongest during winter and spring, is also an important mechanism enabling transport onto the  
903 shelf of fish larvae and other zooplankton organisms spawned in deep water (Stabeno et al., 2004; Coyle  
904 et al., 2013). Ekman downwelling is likely more important for cross-shelf transport than the much  
905 weaker cross-shelf component of the winds for larval fish in the surface Ekman layer. Wind-driven  
906 transport can of course vary significantly on a temporal, regional and local scale, and meso-scale  
907 features like eddies and meanders can contribute to both onshore and offshore transport of larvae  
908 along the shelf edge (Stabeno et al., 2004; Ladd et al., 2007; Atwood et al., 2010). Further, Ladd et al.  
909 (2016) found that episodic (timescale of days) regional-scale “gap winds” (blow in offshore direction  
910 through gaps in the coastal mountains) can have important implications for transport on the GOA shelf,  
911 particularly in the vicinity of Kodiak Island. These wind events are most frequent during October to  
912 April, and their strength and offshore direction can potentially disrupt favorable onshore transport  
913 processes for larval fish as well as retention of larvae on the shelf.

914         For the two major canyons intersecting the continental slope in the western GOA the ROMS-  
915 generated monthly mean flow across the water column indicates that for deep-spawning fish species it  
916 is likely that their larvae are transported successfully on to the shelf from the slope in association with  
917 these topographic features, especially on the northeastern side. In particular, evidence of onshore  
918 transport throughout the water column across most of Amatuli Trough implies that larvae of species  
919 such as Pacific Halibut, Arrowtooth Flounder, and Sablefish that occur below 200 m when newly  
920 hatched, can experience larval drift in a shoreward direction. If such mechanisms are critical to  
921 successful delivery of deep water-spawned larvae onto the shelf, it seems that interannual variability in  
922 flow associated with these features would provide a better indication of variable larval survival than  
923 measures of flow integrated across the entire GOA slope which tends to be dominated by the strong  
924 signal of the Alaska Stream flowing parallel to the shelf edge. Spatial scale is particularly important in  
925 this respect, and fine scale horizontal resolution (e.g. the 3-km scale ROMS model) provides needed  
926 resolution of this canyon associated cross-shelf transport (Mordy et al., this issue). Finally, the differing  
927 phenologies of the flow into different canyons may itself have implications for the timing and location of  
928 spawning, and merits further study.

929

#### 930 *4.3. Phenology and Food*

931

932 Larval feeding across different sub-ontogenetic intervals is not well studied among GOA fish  
933 species, and information is primarily available for Walleye Pollock, which has been the focus of historical  
934 investigations in the GOA (Grover, 1990; Canino et al., 1991; Theilacker et al., 1996). Copepod nauplii  
935 are likely the most critical component of larval diets in the GOA although large phytoplankton, various  
936 heterotrophic protists, small microzooplankton, and copepod eggs may also be important for first  
937 feeding larvae and varieties of mesozooplankton for later stage larvae (Kendall et al., 1987; Munk, 1997;  
938 Miller and Kendall, 2009). The spring bloom in the GOA is usually composed of large phytoplankton  
939 (diatoms), which could be directly available as prey to some larval fish (they are observed in larval guts).  
940 Diatoms also support other large protists (microzooplankton grazers) and mesozooplankton that are  
941 likely important prey for larval fish (Strom et al., 2006; Strom et al., 2017). In contrast, the autumn  
942 bloom is dominated by small flagellates that may be less suitable as a bulk source of larval prey. Annual  
943 patterns in phytoplankton and zooplankton production and abundance in the GOA indicate high  
944 amplitude variation in the composition of prey fields available to larvae, including variability in  
945 abundance, biomass and size spectra of organisms that larvae might encounter and consume. The 2014-  
946 15 North Pacific marine heat wave and resulting anomalies in plankton assemblages (noted above) is a  
947 case in point regarding interannual variability in the physical environment that can cause significant  
948 disruption to the “normal” seasonal patterns in plankton assemblages. Spatial variability is also  
949 significant in the different larval habitats from coastal to deep water, as indicated by the Seward Line  
950 data, the GOA-NPZ model output and previous GOA studies (Incze et al., 1990; Napp et al., 1996; Coyle  
951 and Pinchuk, 2005), and should be considered when evaluating larval food availability seasonally.

952 Food limitation may be less common for species that are abundant in spring-summer than for  
953 species with peak abundance in winter-spring. The late-phenology species have been identified  
954 previously as having a “synchronous” early life history strategy because of the good temporal overlap of  
955 their larval phase (and particularly first-feeding) with the annual peak in plankton production in the GOA  
956 (Doyle and Mier, 2012). Spring-summer spawners at the first-feeding stage (e.g. Rockfish, Southern Rock  
957 Sole, Capelin) and later larval stages of autumn and/or winter spawners (e.g. Pacific Halibut, Arrowtooth  
958 Flounder, Atka Mackerel, Pacific Sand Lance, Pacific Cod, Walleye Pollock, Northern Rock Sole, and  
959 Sablefish among others) can take advantage of a plentiful supply of zooplankton prey, particularly  
960 copepod nauplii, during these months. Average biomass levels, levels of abundance many orders of  
961 magnitude greater than the most abundant fish larvae (i.e. Walleye Pollock), and broad size spectra of  
962 planktonic organisms encountered during the peak production spring-summer months suggest adequate  
963 feeding opportunity for larval fish in the upper 50-100 m of the water column across shelf and slope  
964 habitats. Even with diminishing levels of abundance beyond the shelf, abundance of the smallest  
965 copepods (copepodite and adult stages <2 mm) and copepod nauplii are plentiful relative to larval fish  
966 species. However, ratios of mean abundance of larval prey organisms relative to fish larvae themselves  
967 are inadequate for gauging food availability and larval feeding success.

968 Small-scale patchiness of zooplankton prey and fish larvae is important, and spatial overlap of  
969 prey and larvae is critical for successful feeding and development of Walleye Pollock larvae in the  
970 western GOA (Incze et al., 1989; Grover, 1990; Theilacker et al., 1996). Fine-scale processes, including  
971 prey concentrations and behavioral interactions, also complicate the accurate assessment of larval fish  
972 and other zooplankton trophic ecology, as indicated by recent studies using in situ plankton imaging  
973 systems (Cowan and Guigand, 2008; Greer and Woodson, 2016; Greer et al., 2016). Such patchiness



974 along with associated feeding patterns of larvae need to be investigated to have a realistic  
975 understanding of feeding conditions for larval species during these high productivity months and across  
976 pelagic habitats. Gut-content analysis of larval fish species across ontogenetic intervals, and assessment  
977 of the zooplankton assemblage from the same samples in different habitats, would provide some clear  
978 indication of feeding selectivity or omnivory among different species. Prey selectivity has been  
979 documented for larval fish species that occur in the same habitat (Llopiz et al., 2010), including Pacific  
980 Cod and Walleye Pollock in the Bering Sea (Strasburger et al., 2014). It is likely that the more selective a  
981 species is in terms of zooplankton prey, the more susceptible that larval species is to a trophic mismatch  
982 under certain conditions. This type of selectivity and associated vulnerability could also be heightened  
983 during intervals of early development, such as first-feeding when starvation is more likely (Miller and  
984 Kendall, 2009) or during metamorphosis when metabolic demand and physiological stress are  
985 heightened (Osse and Van den Boogart, 1997).

986 In contrast to the “synchronous” strategy of the spring-summer spawners, it seems that the  
987 first-feeding larvae of the winter spawners are likely most vulnerable to food limitation in winter to early  
988 spring months. These larvae also tend to be at the small end of the spectrum in terms of size at hatching  
989 and at yolk-absorption which is relevant to feeding behavior and food availability (Doyle and Mier,  
990 2012). First-feeding larvae of many of the late winter spawners occur from March onwards, and are  
991 most abundant during April which is prior to the later peak in near-surface production of copepod  
992 nauplii in the western GOA. On average, abundance levels for copepod nauplii and the copepodite to  
993 adult stages of the smallest (<2 mm) copepod species are several orders of magnitude higher than larval  
994 fish at this time of year, implying but not confirming availability as suitable prey. However, prey  
995 availability and associated larval feeding and growth for Walleye Pollock larvae in Shelikof Strait are  
996 more limited in April relative to the period of full development of the spring zooplankton bloom of  
997 copepod nauplii in May–June (Canino et al., 1991). In addition, the two-week period after first-feeding  
998 has been identified as a critical period when Walleye Pollock larvae are most vulnerable to starvation  
999 (Theilacker et al., 1996). High mortality rates during the 1990s in Shelikof Strait have also been  
1000 correlated with poor nutritional condition of larvae, and low levels of copepod nauplii prey availability  
1001 (Bailey et al., 1995). Larval abundance trends during late spring across three decades in the western  
1002 GOA tend to be correlated among species with similar early life history strategies, including timing of  
1003 spawning and peak abundance of larvae (Doyle et al., 2009). Environmental forcing from copepod  
1004 nauplii prey availability could have similar effects on larval abundance, and perhaps survival, among  
1005 species in the GOA with similar early life phenology to Walleye Pollock, e.g. Pacific Sand Lance, Pacific  
1006 Cod, Northern Rock Sole, Flathead Sole, and Starry Flounder. Despite similarities in phenology, detailed  
1007 information is needed on the diets of these larval species during early ontogeny and whether or not  
1008 species-specific prey selectivity or larval distribution patterns modify the sensitivity to variable  
1009 zooplankton prey concentrations. In terms of distribution patterns, newly-hatched and first-feeding  
1010 larvae tend to be more concentrated in patches than later stages which have experienced longer periods  
1011 of larval drift. In addition, meso-scale circulation features that can concentrate larval Walleye Pollock  
1012 and their prey in patches are proposed as favorable to successful feeding by larvae during early spring  
1013 when average prey concentrations tend to be sub-optimal (Canino et al., 1991). Net samples of  
1014 ichthyoplankton and zooplankton integrated across depths, while indicative of average prey availability,  
1015 do not provide sufficient resolution to determine optimal conditions for successful larval feeding, such

1016 as fine-scale overlap of larval fish patches with optimal prey concentrations. First-feeding larvae are also  
1017 not as behaviorally competent as post-flexion larvae further compromising the ability to capture mobile  
1018 prey.

1019 If levels of abundance of zooplankton prey during early spring can be suboptimal (Canino et al.,  
1020 1991; Theilacker et al., 1996), it would seem logical that biological conditions during January through  
1021 March could be deleterious to fish larvae searching for food. For the earliest phenology species in the  
1022 GOA (Pacific Halibut, Arrowtooth Flounder, Northern Smoothtongue, Red Irish Lord, Kelp Greenling and  
1023 Atka Mackerel), the smallest first-feeding larvae are most abundant over deep water during January to  
1024 February when production in the plankton is at a minimum. There is a tendency for these species to  
1025 have larger eggs, larger size at hatching, and larger size at yolk-absorption than later phenology species  
1026 (Doyle and Mier, 2012). An advantage is that larger lipid reserves in the eggs and yolk-sac stage last  
1027 longer, especially at cold winter temperatures, delaying the need for exogenous feeding. Larger larvae at  
1028 first-feeding may have a better chance of feeding success than smaller larvae, especially in food-poor  
1029 environments, due to greater behavioral ability and access to a larger size range of prey organisms.  
1030 Doyle and Mier (2016) and Doyle et al. (2018) hypothesized a potential trophic link between the larval  
1031 phase of these deep-water, winter spawners and the reproduction of the large *Neocalanus* copepods  
1032 (especially *N. plumchrus* and *N. flemingerii*) that reproduce in deep water of the GOA basin and slope in  
1033 January to February after the adults have been in diapause from summer through autumn (Miller and  
1034 Clemons, 1988). There is notable temporal and spatial synchrony between the occurrence of eggs,  
1035 nauplii and early copepodite stages of these *Neocalanus* species, and newly hatched and first-feeding  
1036 larvae of the above mentioned fish species, as they migrate upwards in the water column at the same  
1037 time and are subject to the same transport processes on to the shelf (Coyle and Pinchuk, 2003, 2005;  
1038 Doyle and Mier, 2016). It would be interesting to investigate this potential trophic connection by  
1039 examining larval fish diets and zooplankton food availability in plankton collections over deep water  
1040 during winter months, and especially in association with the troughs and canyons that facilitate cross-  
1041 shelf transport for these organisms.

1042 Theoretically, levels of abundance of copepod nauplii and copepodites of the smallest copepod  
1043 species observed during March along the Seward Line, even at the outer stations, would seem sufficient  
1044 for feeding success of winter-spawned larvae over the slope and outer shelf, especially as many of those  
1045 larvae are now >8 mm SL. *Oithona similis* and *Microcalanus* spp. copepods are relatively abundant, and  
1046 the larvaceans *Oikopleura* spp. and *Fritillaria* spp. are also plentiful it seems and potentially available to  
1047 larvae as food over deep water. Again, establishing such links needs further investigation both in terms  
1048 of prey selectivity and predator-prey patchiness and spatial overlap. The potential availability and  
1049 utilization of protozoan plankton in the diets of first-feeding larvae that are abundant during winter  
1050 months over the slope and outer shelf also remains unknown, and in general protozoan-ichthyoplankton  
1051 trophic links are not well studied (Montagnes et al., 2010). However, De Figueiredo et al. (2007) found  
1052 that protist prey occurred in the diets of 11 taxonomic groups of larval fish in the Irish Sea, and  
1053 proposed that ciliates and other protozoans prevent food limitation when metazoan prey are rare or  
1054 inaccessible. An investigation of biological standing stocks in the central GOA basin during two winter  
1055 surveys (March 1993 and February 1994) indicated that the abundance and composition of the  
1056 autotrophic and heterotrophic assemblages at these times were similar to those observed during late  
1057 spring and summer by others in this region (Boyd et al., 1995). The implication is that these winter

1058 subsistence communities may be available to first-feeding larval fish over deep water during winter, and  
1059 may be important in bridging the temporal and spatial gap with peak copepod nauplii availability on the  
1060 shelf in spring.

1061

#### 1062 *4.4. Species-specific development trajectories*

1063

1064 Is timing everything? Certainly, the phenology of reproduction and the planktonic phase (timing  
1065 and duration) among marine fish species provides a detailed understanding of the temporal patterns of  
1066 exposure to physical and biological forcing in pelagic ecosystems. These exposure details are essential  
1067 for gauging sensitivities and potential responses of fish species during early ontogeny to environmental  
1068 forcing (Doyle and Mier, 2012; 2016), and are critical to the development of climate vulnerability  
1069 assessments that incorporate early life history aspects of the recruitment process (Hare et al., 2016).  
1070 There is another level of ecological complexity that also needs to be considered, based on species-  
1071 specific intrinsic rates and morphological development during early ontogeny. Unique physiological  
1072 rates and development patterns (Matarese et al., 1989) can modify sensitivities and response to the  
1073 environment among species with similar patterns of temporal and spatial exposure as larvae, and even  
1074 among closely related species within taxonomic groups. Pacific Cod and Walleye Pollock have identical  
1075 periods of peak larval abundance on the GOA shelf during early spring and are morphologically similar  
1076 during the planktonic phase. However the former larvae develop more rapidly than the latter and  
1077 transform and migrate to inshore nursery grounds earlier in summer, whereas Walleye Pollock remain  
1078 pelagic as age-0 juveniles and are ubiquitous on the shelf during summer months (Wilson, 2009; Doyle  
1079 and Mier, 2016). Larvae of Pacific Herring and Pacific Sand Lance begin their life in nearshore sediments  
1080 of the GOA during spring. Higher development rates and size at hatching for Pacific Herring results in  
1081 larval retention in coastal nursery areas, whereas the thin and behaviorally incompetent Sand Lance  
1082 larvae are flushed out of nearshore sediments and distributed broadly across the entire shelf (Doyle and  
1083 Mier, 2012). Pleuronectid flatfish in the GOA also provide a good example of such developmental  
1084 diversity as indicated by the variable sizes at ontogenetic intervals and range of larval durations. Starry  
1085 Flounder and Flathead Sole larvae are most abundant in shelf waters during spring but diverge  
1086 significantly in terms of larval durations with the former transforming and settling out of the plankton at  
1087 8-11 mm SL, whereas the latter remains planktonic into summer months transforming at around 20 mm  
1088 SL. Arrowtooth Flounder and Pacific Halibut display very different larval growth trajectories from winter  
1089 through spring months. Pacific Halibut hatch at a larger size, and develop relatively quickly so that by 24  
1090 mm SL they can be fully transformed and settled out of the plankton in nearshore nursery grounds  
1091 during summer. In contrast, suspended progress in both growth and transport of larvae during winter to  
1092 early spring months characterizes the “holding pattern” early life history strategy of Arrowtooth  
1093 Flounder, with transformation and settlement delayed until larvae are mostly > 40 mm (Doyle and Mier,  
1094 2016), and juvenile settlement habitat is associated with broad areas of the GOA shelf (Doyle et al.,  
1095 accepted). Similarly, the deep water spring-spawning Dover Sole and Rex Sole diverge significantly  
1096 during the late larval phase (Pearcy et al., 1977; Abookire and Bailey, 2007). Both display a wide range of  
1097 sizes at transformation but the former can be completely metamorphosed by 20-30 mm SL whereas Rex  
1098 Sole has one of the longest larval durations of any flatfish species and can remain planktonic until a size  
1099 of 50-70+ mm with later metamorphosis and settlement. These different larval growth trajectories call

1100 for a more nuanced interpretation of the early life history phenology framework with respect to  
1101 vulnerability or resilience to shifting physical and biological conditions in the pelagic ecosystem of the  
1102 GOA. Clearly, timing is not everything and all fish larvae are not equal.

1103

#### 1104 *4.5. Implications*

1105

1106 Scale is a critical factor when considering environmental forcing on various fish species during  
1107 early life, especially during the planktonic phase (Mullin, 1993; Doyle and Mier, 2016). It is also  
1108 important more broadly when incorporating predator-prey interactions of marine fish species into  
1109 ecosystem models (Hunsicker et al., 2011). Multiple environmental drivers, operating at different spatial  
1110 and temporal scales likely influence larval survival, a conclusion also reached with individual-based  
1111 model experiments in the GOA (Gibson et al., this issue; Hinckley et al., this issue; Stockhausen et al.,  
1112 this issue a and b).

1113 Local-scale environmental conditions with species- and ontogenetic stage-specific temporal and  
1114 spatial resolution are critical for the identification of relevant environmental indicators of species early  
1115 life survival. Basin-scale climate and oceanographic conditions and signals (especially model-generated)  
1116 tend to be blunt instruments for the prediction of early life history survival and fish stock recruitment  
1117 success. Such indices are certainly useful as broad indicators of system conditions and shifts, but  
1118 investigating local conditions at the scale of the planktonic habitat for larval fish assemblages is essential  
1119 for discerning relevant environmental forcing during the early phase of the recruitment process.

1120 Dickey-Collas et al. (2014) propose that technique-driven approaches that neglect the  
1121 incorporation of biological knowledge into stock assessments in favor of statistically-based correlations  
1122 between recruitment trends and environmental variables are hazardous in terms of modelling outcome,  
1123 and the resulting advice given to fisheries managers. Higher resolution of species-environment linkages  
1124 and response mechanisms can only be gained through ecological monitoring and empirical biological  
1125 data, so this aspect of model-development should not be neglected. In this paper, we are highlighting  
1126 the importance of species-specific biological and ecological details in assessing environmental influence  
1127 on fish population trends. It is also possible to match the “generation time” of species’ planktonic  
1128 phases (i.e. larval exposure at different ontogenetic intervals) with seasonal patterns in the physical and  
1129 biological environment to identify environmental signals of relevance that could be tested for influence  
1130 on population trends. For example, variability in winter temperature affecting growth rates and prey  
1131 match-mismatch is likely critical for survival of winter-spawned larvae, on-shelf transport in canyons  
1132 during the early larval phase is crucial for deep water spawned species, and zooplankton prey resources  
1133 during relevant time periods at the sea surface is likely most important for species with neustonic larvae  
1134 (Table 7). Such proposed environmental factors and sensitivities can be used to develop indicators for  
1135 the ESPs of the different stocks listed (Shotwell et al. 2018, in review). These indicators can be  
1136 monitored and provide early warning of impending ecosystem change which can be translated through  
1137 the stock assessment process to consider adjustments to harvest recommendations. In some cases, the  
1138 proposed critical indicators (Table 7) have not yet been developed for the GOA (e.g. abundance or  
1139 timing of availability of certain species of copepod nauplii) and this would constitute a potential data  
1140 gap for the stock indicators. Recommendations for future research priorities are a fundamental part of  
1141 the ESP framework and the synthesis provides the context for critical data needs of several commercially

1142 and ecologically important species in the GOA. Early life history strategies and sensitivities as evaluated  
1143 here for species in the GOA could also be applied to the same species in the adjacent Bering Sea  
1144 ecosystem, but with some further evaluation regarding vulnerability and resilience factors in relation to  
1145 the differences in pelagic phenology and seasonal physics within that more northerly, ice-influenced  
1146 ecosystem.

1147         Within marine pelagic communities, different responses to climate forcing are observed among  
1148 different functional groups and trophic levels and although phenological shifts are noted among all  
1149 organisms, the intensity and direction of the shift is variable (Edwards and Richardson, 2004; Greve et  
1150 al., 2005). Although some plasticity is observed, timing of spawning and larval development among fish  
1151 species in the GOA is less variable from year-to-year (Bailey et al., 2005; Ciannelli et al., 2007; Doyle et  
1152 al., 2009) than interannual variability in phytoplankton and zooplankton production which is much more  
1153 dynamic given shorter generation times and high levels of spatial heterogeneity (Brickley and Thomas,  
1154 2004; Stabeno et al., 2016; Strom et al., 2016 and 2017). Effects of warming on different groups of  
1155 zooplankton organisms in the northeast Pacific can be variable and complex, and different sensitivities  
1156 and responses are observed (Mackas et al., 2012). Doyle et al. (2018) propose that synchronicity in  
1157 response to environmental disruption is therefore unlikely between larval fish and their zooplankton  
1158 prey. The mechanism of occurrence and the temporal direction and amplitude of such phenological  
1159 shifts among different taxonomic groups in the plankton is uncertain, and especially with respect to  
1160 influencing favorable to unfavorable trophic conditions for larval fish growth and survival (Batten and  
1161 Mackas, 2009). This review of early life history phenologies among fish species in the GOA helps us  
1162 identify intervals of early ontogeny that may be more or less resilient to certain shifts in the pelagic  
1163 environment. Incorporating this information within the new ESPs for each species will highlight data  
1164 gaps and assist with developing research priorities attuned to the needs of each stock (Shotwell et al.,  
1165 2018). Going forward, investigations of larval trophic ecology among species to address prey selectivity  
1166 at different ontogenetic stages, and in different seasons, will be especially important as this represents a  
1167 significant knowledge gap at present for modelling trophic linkages in fisheries ecosystems.  
1168 Identification of key prey organisms at different larval stages among species is crucial for evaluation of  
1169 vulnerabilities during early ontogeny, and for the identification of key species of zooplankton that may  
1170 be critical to multiple or individual fish species during their planktonic phase.

1171         There are broad pelagic food -web implications for understanding phenological patterns,  
1172 synchronies and sensitivities in the reproduction and early ontogeny of fish species in this ecosystem.  
1173 We did not address predation on fish larvae with this early life phenology framework because  
1174 knowledge is limited. Demersal fish eggs are subject to predation by a host of invertebrate and fish  
1175 predators, and planktonic eggs and larvae are preyed upon by a wide variety of planktonic predators  
1176 including ctenophores, scyphomedusae, chaetognaths, crustaceans (adult euphausiids are particularly  
1177 important), juvenile and adult fish, and planktivorous birds (Bailey and Houde, 1989; Cowan and Houde,  
1178 1992; Miller and Kendall, 2009). There is seasonality to this predation pressure and peak periods of  
1179 zooplankton prey availability in the plankton for fish larvae (spring to summer) also tend to be periods of  
1180 highest abundance of plankton predators. Cannibalism on fish eggs and larvae is also common (Brodeur  
1181 et al., 1991; Fortier and Villeneuve, 1996) and can enhance the nourishment and survival of the  
1182 population as a whole, especially if fecundity is very high (Nellen, 1986). Just as climate forcing can shift  
1183 synchronicity between the occurrence of larval fish and their prey, temporal and spatial overlap

1184 between fish eggs or larvae and their predators can also shift to be more or less favorable to the survival  
1185 of either. Enormous quantities of fish eggs and larvae enter the pelagic ecosystem of the GOA annually,  
1186 peaking in abundance and diversity during spring but also available throughout all seasons. This is  
1187 particularly true for many commercially important groundfish species that are characterized by very high  
1188 fecundity. The vast majority (>>99%) of larvae do not survive to adulthood but presumably are a  
1189 significant source of nourishment for other species. Fish larvae can provide important trophic links  
1190 between phytoplankton and microzooplankton on which they feed, and larger mesozooplankton and  
1191 nekton that eat them. For instance, they have been identified as particularly important in the diets of  
1192 juvenile salmon species feeding in the ocean environments of the northern California Current (Brodeur  
1193 et al., 2007) and the Gulf of Alaska (Sturdevant et al., 2012). Further, winter ichthyoplankton abundance  
1194 and biomass continues to be an important indicator of salmon survival and returns from the ocean off  
1195 the US west coast (Daly et al., 2013; 2017). Reproductive success of planktivorous sea birds has also  
1196 been associated with temporal and spatial availability of larval and age-0 juvenile small pelagic fish  
1197 species (Kitaysky and Golubova, 2000; Cury et al., 2011). Seasonal pulses in larval fish species and  
1198 phenological synchronies and sensitivities to the ocean environment as described here are broadly  
1199 relevant therefore to gauging food availability and energy flow in marine pelagic ecosystems, as well as  
1200 to understanding environmental forcing on the fish populations themselves.

1201

1202

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1204

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1560

1561 **Figure Captions**

1562

1563 Fig 1. Geographic and bathymetric features of the western Gulf of Alaska, and distribution of historical  
1564 ichthyoplankton sampling (1972-2011) by sampling gear: a) 60 cm bongo net, b) Sameoto neuston net.  
1565 Variability in sampling among years and months is illustrated in Fig. 2. Figure 1b also shows the location  
1566 of the Seward Line and the locations of cross-canyon transects. Inset map in 1b shows detail of the  
1567 Seward Line and relevant distribution of stations among the different depth zones: I = inner, T =  
1568 transitional, O = outer.

1569

1570 Fig. 2. Distribution of ichthyoplankton sampling in the Gulf of Alaska among years and months with  
1571 number of samples collected by gear shown on the y-axis: a) 60 cm bongo net samples, b) Sameoto  
1572 neuston net samples.

1573

1574 Fig. 3. Larval occurrence and mean abundance by month in the Gulf of Alaska (GOA) in (a) 60 cm Bongo  
1575 net and (b) Sameoto neuston net. Species included are numerically dominant, and commercially or  
1576 ecologically important in the GOA. They are arranged in order of appearance and peak abundance in the  
1577 plankton of the western GOA. Data include all samples collected, as described in Fig. 2. Primary larval  
1578 habitat (H) is indicated as observed in this region (Doyle et al., 1995, 2002a).

1579

1580 Fig. 4. Size range of Gulf of Alaska fish species at different ontogenetic intervals from the egg stage  
1581 through the larval planktonic stage ending in transformation to juvenile morphology. \*Size at which  
1582 yolk- absorption is complete is not documented for all species in which case it is estimated from  
1583 illustrations of larval development at different sizes (Matarese et al., 1989; IIS). Species are listed in  
1584 phenological order of appearance in the plankton and primary larval habitat is indicated, as in Fig. 3.

1585

1586 Fig. 5. Length frequency distributions for larval fish species that are abundant or common in GOA  
1587 ichthyoplankton samples (60-cm bongo sampler) during a) February through May, and b) June through  
1588 November. N = total number of specimens measured and for which length data are available in the  
1589 historical ichthyoplankton data set. Species are listed in phenological order of appearance in the  
1590 plankton as in Fig. 3. Upper length range is indicated for capelin larvae that remain planktonic longer  
1591 than most species.

1592

1593 Fig. 6. Length frequency distributions for larval fish species that are abundant or common in GOA  
1594 neuston samples during February through November. N = total number of specimens measured and for  
1595 which length data are available in the historical ichthyoplankton data set. Species are listed in  
1596 phenological order of appearance in the plankton as in Fig. 3. Upper length range is indicated for certain  
1597 species that have extended larval durations in the neuston.

1598

1599 Fig. 7. Morphological development by size category for coastal and shelf flatfish larvae.

1600

1601 Fig. 8. Morphological development by size category for deep water flatfish larvae.

1602



1603 Fig. 9. Seasonal variation in surface water temperature and coastal winds in the western GOA: a) NOAA  
1604 OI SST V2 daily sea surface temperature ( $^{\circ}\text{C}$ ) at  $56.9^{\circ}\text{N}$ ,  $155.6^{\circ}\text{W}$  in Shelikof Sea Valley for each year  
1605 (1997–2011). Monthly climatology (bold line)  $\pm$  1 standard deviation (gray shading) is overlaid; b)  
1606 NARR monthly climatology (1979–2000) of along-shelf (solid) and cross-shelf (dash) components of 10-m  
1607 wind ( $\text{m s}^{-1}$ ) at  $59^{\circ}\text{N}$ ,  $150^{\circ}\text{W}$ , on the shelf near the head of Amatuli Trough (see Fig. 1). The zonal and  
1608 meridional components were rotated into along-shelf and cross-shelf components assuming an angle of  
1609  $45^{\circ}$ .

1610

1611 Fig. 10. Model-generated (ROMS) flow into two major troughs intersecting the continental slope in the  
1612 western GOA (see Fig. 1 for locations): a-b) mean cross-transect flow for 1997-2011 ( $\text{m/s}$ ) as a function  
1613 of cross-transect distance and depth for Outer Shelikof Sea Valley and Amatuli Trough, with positive  
1614 values representing onshore flow into the troughs from the slope, and negative values representing  
1615 outflow; c) monthly climatology of flow in Sverdrups (Sv), spatially integrated across transects (black =  
1616 Amatuli, red = Shelikof); d) anomalies from monthly climatology of the spatially integrated flows during  
1617 1997-2011.

1618 Fig. 11. Seasonal cycles in phytoplankton biomass and size composition in the GOA shelf and slope, as  
1619 indicated by Chlorophyll- $a$  in two sub-regions; Kodiak (a and b) and Seward Line (c and d). Seasonal line  
1620 trends are near surface Chl $a$  concentrations (mean  $\pm$  1 SE  $\text{mg m}^{-3}$ ) as estimated from satellite ocean  
1621 color observations 1998-2011 (Waite and Mueter, 2013; their Fig. 8; data for ‘western shelf’ and  
1622 ‘western offshelf’ shown in all panels). Bars in panels a and c show median integrated (0-50 m) water  
1623 column Chl $a$  from field measurements, with error bars showing range of observations, for Spring,  
1624 Summer, and Autumn months. Bars in panels c and d show median size composition (i.e. fraction of  
1625 total Chl $a$  in particles  $>20\ \mu\text{m}$ ). In general, Chl $a$  field data are from 2011 and 2013, with more extensive  
1626 coverage during some seasons on the Seward Line (see Table 2).

1627

1628 Fig. 12. Seasonal cycles in a) biomass and b) size composition of microzooplankton in the Kodiak and  
1629 Seward Line sub-regions of the Gulf of Alaska, based on sampling at 10 m depth in the water column.  
1630 Values are medians with error bars showing range of observations for Spring, Summer, and Autumn with  
1631 shelf and slope samples combined. Data are from 2011 and 2013, and sampling frequencies are given in  
1632 Table 2.

1633

1634 Fig. 13. Seasonal variation in mean abundance of a) the most abundant small zooplankton, mainly  
1635 copepods ( $<2\ \text{mm}$  prosome length) collected by the CalVET plankton sampler ( $150\ \mu\text{m}$  mesh net); and b)  
1636 the most abundant large copepods ( $>2\ \text{mm}$  prosome length), collected by the MOCNESS or Multinet  
1637 plankton sampler ( $500\ \mu\text{m}$  mesh net), in the upper 100 m of the water column along the Seward Line,  
1638 and representing the Inner (I), Transitional (T), and Outer (O) zones (Fig. 1) during 1998-2015. See Table  
1639 3 for distribution of sampling by months.

1640

1641 Fig. 14. Selected panels from the Gulf of Alaska ROMS/NPZ model output (1996-2011 model runs)  
1642 indicating climatological spatial patterns in simulated production for four trophic groups, and four

1643 months that best represent seasonal variability for each group. Color scale indicates biomass in  $\text{g C m}^{-2}$   
1644 and the scale varies among months.

Table 1. Gulf of Alaska fish species included in the study, listed in taxonomic order and with life history traits relevant to early life ecology. Species in bold are commercially exploited. Seasons are winter (Win; January-March), spring (Spr; April-June), summer (Sum; July-September), and autumn (October-December). Sources for life history and ecological information are from the NOAA AFSC'S Life History Data Base (<https://access.afsc.noaa.gov/reem/lhweb/Index.php>), Ichthyoplankton Information System (<https://access.afsc.noaa.gov/ichthyo/>), and EcoFOCI publications (<https://www.pmel.noaa.gov/foci/focipubn.shtml>), except where otherwise indicated. \*Multiple species but values given for Pacific Ocean Perch, a GOAIERP focal species that releases larvae during spring in deep water. \*\*From King and McFarlane (2003).

(Table on following page)

Table 1 (see caption on previous page)

Family	Species	Common Name	Longevity (years)	Age at First Maturity (years)	Fecundity (1000 eggs/female)	Spawning Season	Spawning Habitat	Egg Type
Clupeidae	<i>Clupea pallasii</i>	Pacific herring	15	2-3	12-80	Spr	Nearshore	Demersal, adhesive
Bathylagidae	<i>Leuroglossus schmidti</i>	Northern Smoothtongue	6	2	5-8	Win-Spr	Deepwater	Epipelagic
Osmeridae	<i>Mallotus villosus</i>	Capelin	6	2-3	9-25	Sum-Aut	Nearshore	Demersal
Myctophidae	<i>Stenobrachius leucopsarus</i>	Northern Lampfish	8	3-4	12.6**	Spr-Sum	Deepwater	Deep Pelagic
Gadidae	<i>Gadus macrocephalus</i>	Pacific Cod	25	2-3	1000-5000	Late Win	Shelf	Demersal
	<i>Gadus chalcogrammus</i>	Walleye Pollock	31	3	95-1080	Late Win	Shelf	Deep Pelagic
Scorpaenidae	<i>Sebastes spp.*</i>	Rockfish (unidentified)	80	7	10-210	Spr-Sum	Deepwater	Viviparous
Anoplopomatidae	<i>Anoplopoma fimbria</i>	Sablefish	73	5-6	100-1280	Late Win	Deepwater	Deep Pelagic
Hexagrammidae	<i>Hexagrammos decagrammus</i>	Kelp Greenling	18	3	5-900	Aut-Win	Nearshore-Shelf	Demersal
	<i>Ophiodon elongatus</i>	Lingcod	33	3	60-500	Win-Spr	Nearshore-Shelf	Demersal, adhesive
	<i>Pleurogrammus monopterygius</i>	Atka Mackerel	14	2-3	5-43	Sum-Win	Shelf	Demersal, adhesive
Cottidae	<i>Hemilepidotus</i>	Red Irish Lord	6	4	59-126	Aut-Win	Nearshore	Demersal, adhesive
Bathymasteridae	<i>Bathymaster spp.</i>	Genus of Ronquils	unknown	unknown	unknown	Spr-Sum?	Nearshore-Shelf?	Demersal
Ammodytidae	<i>Ammodytes hexapterus</i>	Pacific Sand Lance	7	1	1-16	Aut-Win	Nearshore	Demersal, adhesive
Pleuronectidae	<i>Atheresthes stomias</i>	Arrowtooth Flounder	23	6	130-2240	Winter	Deepwater	Deep Pelagic
	<i>Glyptocephalus zachirus</i>	Rex Sole	29	3	4-238	Spr-Sum	Deepwater	Epipelagic
	<i>Hippoglossoides elassodon</i>	Flathead Sole	27	2	50-160	Spr	Shelf	Epipelagic
	<i>Hippoglossus stenolepis</i>	Pacific Halibut	55	4	500-4000	Win	Deepwater	Deep Pelagic
	<i>Isopsetta isolepis</i>	Butter Sole	11	3	350-650	Spr-Sum	Nearshore	Epipelagic
	<i>Lepidopsetta bilineata</i>	Southern Rock Sole	28	3	130-400	Spr-Sum	Nearshore-Shelf	Demersal, adhesive
	<i>Lepidopsetta polyxystra</i>	Northern Rock Sole	24	3	152-404	Win-Spr	Nearshore-Shelf	Demersal, adhesive
	<i>Microstomus pacificus</i>	Dover Sole	53	5	40-167	Spr-Sum	Deepwater	Epipelagic
	<i>Platichthys stellatus</i>	Starry Flounder	21	5	900-3671	Win-Sum	Nearshore	Epipelagic
	<i>Limanda aspera</i>	Yellowfin Sole	34	6	100-3635	Sum	Nearshore	Epipelagic
	<i>Pleuronectes quadrituberculatus</i>	Alaska Plaice	35	4	56-520	Spr	Nearshore-Shelf	Epipelagic

Table 2. Distribution of sampling among years and total number of field observations for phytoplankton (chlorophyll-a) and microzooplankton from Kodiak and Seward regions. N = number of vertical profiles (e.g. estimates of integrated 0-50 m water column content) for chlorophyll-a; and number of biomass estimates at 10 m for microzooplankton.

Region	Season	2001	2003	2011	2012	2013	2014	2015	2016	N
<b>Chlorophyll-a</b>										
Kodiak	Spring			x		x				88
	Summer			x	x	x				144
	Autumn			x		x				47
Seward	Spring	x	x	x		x	x	x	x	108
	Summer	x	x							35
	Autumn			x		x	x	x	x	69
<b>Microzooplankton</b>										
Kodiak	Spring			x		x				13
	Summer			x		x				19
	Autumn			x		x				11
Seward	Spring			x		x				14
	Summer									0
	Autumn			x		x				11

Table 3. Distribution of sampling among years and months for zooplankton along the Seward Line, using the CalVET (C), MOCNESS (MO), and Multinet (MU) plankton samplers. Beginning in 2005, the Multinet replaced the MOCNESS. N = total number of samples collected across years for each month, listed respectively by gear type.

	Mar	Apr	May	Jul	Aug	Sep	Oct
1998	C,MO	C,MO	C,MO	C,MO			C,MO
1999	C,MO	C,MO	C,MO		C,MO		C,MO
2000	C,MO	C,MO	C,MO		C,MO		C,MO
2001	C,MO	C,MO	C,MO	C,MO	C,MO		C,MO
2002	C,MO	C,MO	C,MO	C,MO	C,MO		C,MO
2003	C,MO	C,MO	C,MO	C,MO	C,MO		C,MO
2004	C,MO		C,MO	C,MO			C,MO
2005			C, MU			C, MU	
2006			C, MU			C, MU	
2007			C, MU			C, MU	
2008			C, MU			C, MU	
2009			C, MU			C, MU	
2010			C, MU			C, MU	
2011			C			C, MU	
2012			C, MU			C, MU	
2013			C, MU			C, MU	
2014			C, MU			C, MU	
2015			C, MU			C, MU	
<b>N</b>	91, 91	78, 78	234, 221	65, 65	65, 65	143, 143	91, 91

Table 4a. Percent occurrence of larval fish species in all 60 cm Bongo net samples by month, all years combined (1972, 1977-2011). \* = species that are primarily neustonic. No sampling was carried out in December. N = total number of samples for each month.

Common Name	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov
Pacific Halibut	22.22	7.78	3.02	8.86	10.51	5.71		1.64			
Arrowtooth Flounder	22.22	28.14	11.60	18.73	19.88	16.55	1.45	1.64	0.43		
Northern Smoothtongue		19.76	12.30	8.30	7.22	8.84	8.70		6.38	1.20	7.79
Red Irish Lord*		39.52	6.26	6.23	2.19	0.29				2.41	
Kelp Greenling*		27.54	11.37	19.29	12.22	4.85	0.48			1.20	6.49
Atka Mackerel*		4.20	0.93	0.10							9.74
Pacific Sand Lance		7.78	58.00	77.42	77.05	54.92					
Pacific Cod			0.23	9.92	46.41	30.39					
Walleye Pollock		0.60	3.94	60.30	83.60	66.19	0.48			1.20	0.65
Northern Rock Sole			4.87	20.51	34.82	28.39	0.48		0.85	1.20	
Northern Lampfish		20.36	11.37	25.97	37.14	39.23	54.59	18.03	14.47	9.64	1.95
Alaska Plaice				0.66	4.52	3.28			1.28		
Sablefish*				2.78	4.01	4.99					
Lingcod*				0.56	2.06	3.57					
Pacific Herring					1.28	12.27					
Flathead Sole				3.29	58.30	68.90	7.73		0.85	2.41	
Starry Flounder			0.23	0.71	12.46	21.68					
Rex Sole				0.15	4.60	16.12	14.01	1.64	0.43	1.20	
Dover Sole				0.05	2.86	16.69	7.73		0.43		
Ronquils			0.23	1.32	43.38	71.47	19.32	24.59	11.49	6.02	0.65
Southern Rock Sole				0.56	15.52	27.82	8.70	18.03	10.64	1.20	
Butter Sole				0.05	3.45	11.41	2.42	1.64	0.43		
Rockfish				5.22	27.62	51.64	85.51	75.41	34.89	18.07	1.95
Capelin		30.54	10.21	5.22	3.45	2.00	18.36	34.43	51.49	56.63	33.77
Yellowfin Sole							1.45		5.53		
N	9	208	512	2335	4991	808	252	79	297	87	166

Table 4b. Percent occurrence of larval fish species in all neuston net samples by month, all years combined (1977-79, 1981-86, 2001-2005, and 2011). No neuston sampling was carried out in January or December. \* = species that are primarily neustonic, \*\* = species that can be abundant in the neuston as well-developed larvae, especially at night.

Common Name	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov
Red Irish lord*	27.43	11.55	18.81	14.51	23.23	9.41		0.31		
Kelp Greenling*	93.81	70.92	68.97	66.59	61.94	28.24		0.31	37.93	48.24
Atka Mackerel*	27.43	23.51	5.47	0.44				0.62	37.93	40.59
Pacific Sand Lance**		3.59	7.23	21.98	26.45	7.06		0.31		
Sablefish *			1.61	22.20	17.42	15.29				
Lingcod*		0.40	0.48	8.79	5.16	2.35				
Ronquils**	5.31	1.99	1.61	10.55	30.97	21.18	7.27	41.93	36.21	12.35
Capelin**	27.43	5.98	9.81	4.18	3.87		3.64	18.63	29.31	14.71
N	113	251	622	455	155	85	55	320	58	170



Table 5. Sizes of numerically dominant GOA copepod taxa by life stage. Eggs were measured as diameter, nauplii as total length and copepodites as prosome length, all in  $\mu\text{m}$ . For nauplii the range encompassing first to sixth stage are presented, whereas for copepodites, sizes of each stage are presented as means with their standard deviation. Italicized values denote low sample size (<10). Unknown size ranges are represented by a dash.

Prosome Length ( $\mu\text{m}$ )	Egg	Nauplius	C1		C2		C3		C4		C5		Adult Female	
	Mean	Range	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
<b>Large Copepods</b>														
<i>Calanus pacificus</i>	145	160-420	629	44	826	61	1130	91	1459	78	1859	142	2108	131
<i>C. marshallae</i>	170	190-520	779	43	1093	90	1502	121	2047	157	2657	210	2879	232
<i>Eucalanus bungii</i>	170	190-840	1264	86	1893	237	2608	266	3482	246	4365	306	5825	322
<i>Metridia pacifica</i>	145	160-290	428	38	582	50	763	61	1021	162	1307	141	2051	150
<i>Neocalanus cristatus</i>	375	410-670	985	61	1623	81	2631	193	4294	270	6354	269	–	–
<i>N. plumchrus</i>	155	190-420	782	75	1168	75	1864	133	2796	197	3818	236	–	–
<i>N. flemingeri</i>	155	190-420	782	75	1168	75	1864		2566	305	3396	271	–	–
<b>Small Copepods</b>														
<i>Acartia</i> spp.	80	90-240	352	31	437	44	516	48	634	55	701	45	904	118
<i>Centropages abdominalis</i>	75	80-250	365	24	477	36	588	34	792	121	1066	156	1332	119
<i>Mesocalanus tenuicornis</i>	115	125-350	513	17	679	21	870	66	1098	82	1369	102	1567	121
<i>Microcalanus</i> spp.	–	–	–	–	292	292	347	347	401	401	456	456	475	475
<i>Oithona similis</i>	60	65-160	235	23	283	23	328	28	377	28	409	28	446	68
<i>Oithona setigera</i>	65	70-160	–	–	298	66	394	36	516	156	493	80	708	60
<i>Paracalanus parva</i>	65	70-190	283	34	339	26	409	34	501	51	626	85	678	54
<i>Pseudocalanus</i> spp.	110	120-260	386	75	483	89	579	122	689	141	855	191	988	184

Table 6. Seasonal occurrence of numerically dominant large bodied (i.e. >2 mm adult) copepod taxa by copepodite-adult life stages (see Table 5 for life stage codes) in upper 100 m of the water column along the Seward Line from 1998-2015. *Neocalanus* species descend as C5 to enter diapause in deep water, becoming absent from surface waters during summer. All small copepods follow similar cycles, with only late stages observed during winter, earlier stages beginning to appear during spring and then all stage present into autumn.

Large copepods:	Winter	Spring	Summer			Autumn	
	Mar	Apr	May	Jul	Aug	Sep	Oct
<i>Calanus pacificus</i>	–	–	–	C4-C5,A	C1-C5,A	C1-C5,A	C1-C5,A
<i>Calanus marshallae</i>	C5,A	C3-C5,A	C1-C5,A	C1-C5,A	C1-C5,A	C1-C5,A	C5,A
<i>Eucalanus bungii</i>	C5,A	C5, A	C1-C5,A	C1-C5,A	C1-C5,A	C1-C5,A	C5,A
<i>Metridia spp.</i>	C1-2,C5,A	C1-C5A	C1-C5,A	C1-C5,A	C1-C5,A	C1-C5,A	C1-C5,A
<i>Neocalanus cristatus</i>	C1	C1-C3	C3-C5	C4-C5	C5	–	–
<i>N. plumchrus &amp; N. flemingeri</i>	C1-C2	C1-C4	C4-C5	C5	–	–	–

Table 7. Synoptic review of observed environmental synchronies (temperature, transport, food availability, and larval development), proposed sensitivities (vulnerability and resilience), and proposed environmental indicators for early life stages of Gulf of Alaska species/taxa (phenological order as in Figure 3).

	Observed Early Ontogeny Environmental Synchronies				Proposed Sensitivities		Proposed Critical Indicators
	Temperature	Transport	Food availability	Larval Development	Vulnerability	Resilience	
<b>Pacific Halibut</b>	Deep water egg incubation winter, peak larval abundance prior to and during winter temperature minimum.	On-shelf during winter, cross-shelf/along-shelf to nearshore settlement winter-spring. Enhanced on-shelf transport in canyons/troughs.	Limited in winter; <i>Neocalanus</i> nauplii may be critical, late stage larvae encounter increasing spring zooplankton abundance on shelf.	Larval feeding phase relatively short. Metamorphosis and settlement in late winter to spring, larvae <25 mm.	Diminished on-shelf and cross-shelf transport to nearshore nursery habitat winter-spring. Limited prey resources especially at 1st feeding.	Large eggs and size at hatching and 1st feeding; relatively fast development to metamorphosis and settlement. Synchrony with <i>Neocalanus</i> early life stages.	On-shelf and cross-shelf transport, winter-spring especially in canyons/troughs. Timing and level of peak abundance of <i>Neocalanus</i> nauplii.
<b>Arrowtooth Flounder</b>	Deep water egg incubation winter, peak larval abundance prior to and during winter temperature minimum. Extended larval pelagic phase through summer.	On-shelf during winter, cross-shelf/along-shelf to extensive shelf nursery habitat spring to summer. Enhanced on-shelf transport in canyons/troughs.	Limited in winter; <i>Neocalanus</i> nauplii may be critical, late stage larvae encounter increasing spring and peak summer zooplankton abundance on shelf.	Growth very slow until late spring. Metamorphosis and settlement primarily spring to summer, mostly >40 mm.	Warmer winters/faster growth; lipid reserves used up too quickly, prey mismatch. Diminished onshelf transport.	Slow metabolism extends lipid reserves through winter; "endurance" strategy. Synchrony with <i>Neocalanus</i> early life stages. Habitat generalist at settlement.	On-shelf transport, winter-spring especially in canyons/troughs. Winter water temperatures. Timing and level of peak abundance of <i>Neocalanus</i> nauplii.
<b>Northern Smoothtongue</b>	Egg incubation/peak larval abundance winter, larvae in full range of temperatures late winter-autumn.	Directed transport unnecessary; extensive epipelagic larval habitat throughout GOA basin.	Limited in winter; basin assemblages of protists may be important. Likely utilize basin and shelf assemblages of zooplankton spring-autumn.	Gradual winter through summer.	Low fecundity. Winter 1st feeding prey limited.	Spatial ubiquity; extended batch-spawning GOA basin, larval and pelagic juvenile habitat extensive.	Winter zooplankton prey availability basin and shelf.
<b>Red Irish Lord</b>	Egg deposition and incubation autumn-winter nearshore. Peak larval abundance, winter-early spring temperature minimum.	From nearshore hatching to off-shore shelf and slope pelagic habitat winter-summer, surface.	Neustonic prey assemblages unknown. Likely limited winter-early spring.	Rapid growth apparent hatching-flexion (winter-spring). Early migration to neuston, early transformation ≤23 mm.	Low fecundity. Transport off-shelf and away from nearshore settlement areas. Food limitation winter-early spring in neuston.	Large eggs, larval size at hatching and yolk absorption. Robust neustonic larvae winter to summer; good feeding ability.	Off-shelf transport. Winter-spring prey availability in neuston.
<b>Kelp Greenling</b>	Egg deposition and incubation autumn-winter nearshore and shelf. Peak larval abundance, temperature minimum through spring increase.	From nearshore/shelf hatching to off-shore shelf and slope pelagic habitat all seasons, surface.	Neustonic prey assemblages unknown. Likely limited winter-early spring.	Rapid growth apparent hatching-flexion (winter-spring). Early migration to neuston, extended larval phase until >50 mm.	Low fecundity. Food limitation winter-early spring in neuston.	Large eggs, larval size at hatching and yolk absorption. Robust neustonic larvae winter to summer; good feeding ability.	Winter-spring prey availability in neuston.

Table 7 continued.

	Early Ontogeny Environmental Synchronies				Proposed Sensitivities		Proposed Critical
	Temperature	Transport	Food availability	Larval Development	Vulnerability	Resilience	Indicators
<b>Atka Mackerel</b>	Egg deposition and incubation autumn-winter nearshore and shelf. Peak larval abundance during autumn-winter decreasing temperature.	From nearshore/shelf hatching to off-shore shelf and slope pelagic habitat autumn through spring, surface.	Neustonic prey assemblages unknown. Likely limited winter-early spring.	Rapid growth apparent winter-spring. Early migration to neuston, extended larval phase until >50 mm.	Low fecundity. Transport off-shelf and away from settlement areas. Food limitation winter-early spring in neuston.	Large size at hatching and yolk-absorption. Large neustonic larvae winter-spring/early summer; good feeding ability.	Off-shelf transport. Winter-spring prey availability in neuston.
<b>Pacific Sand Lance</b>	Overwinter egg incubation in coastal sediments, peak hatch at temperature minimum. Larval development with rising spring-summer temperatures.	Flushed from sub-tidal sediments late winter, off-shore transport throughout shelf and slope winter-summer.	Limited late winter-early spring, plentiful late spring-summer. Diel migration to neuston observed, likely for feeding at night.	Newly hatched larvae weak, ribbon-like (late winter-early spring), likely poor swimming ability. Rapid growth spring-summer.	Low fecundity, overwintering of small eggs in coastal sediments, high predation risk on hatching, limited lipid reserves prior to first feeding.	Spatial ubiquity, extended planktonic phase including utilization of neuston. Relatively rapid growth spring-summer.	Timing and value of winter temperature minimum nearshore (hatching trigger?) and subsequent increase. Abundance of suitable prey, inner-shelf March-April.
<b>Pacific Cod</b>	Egg deposition and hatching near bottom on shelf late winter-early spring during temperature minimum. Larval development with rising spring temperature.	Along- and cross-shelf during spring; retention in meso-scale features may be important.	Limited at 1st feeding, early spring. More plentiful for late stage larvae. Some preference for copepod nauplii observed.	Small size at hatching and at yolk-absorption (<5 mm) early spring, most larvae transformed and settled out of plankton nearshore by summer.	"All eggs in one basket" spawning strategy. Warm early spring, faster growth; prey mismatch. Small size at 1st feeding. Transport away from settlement habitat in spring.	Super high fecundity can offset high mortality from narrow temporal range in production. Early settlement to nearshore nurseries in summer.	Winter-early spring temperatures. Timing of availability of copepod nauplii on shelf, early spring. Occurrence of meso-scale transport features.
<b>Walleye pollock</b>	Late winter deposition/hatching of deep pelagic eggs during temperature minimum. Larval development with rising spring-early summer temperatures.	Along- and cross-shelf, spring-early summer; retention in meso-scale features may be important.	Limited at 1st feeding, late winter-early spring. More plentiful for late stage larvae. Preference for copepod nauplii observed.	Small size at hatching early spring. Slightly larger than P. Cod at yolk absorption, more extended larval planktonic phase through early summer, pelagic early juveniles.	Warm early spring, faster growth; prey mismatch. Small size at 1st feeding. Diminished retention on shelf and within optimal prey concentrations.	Batch spawning, peripheral spawning areas, extends temporal and spatial utilization of pelagic habitat. Spreads risk.	Winter-early spring temperatures. Abundance of copepod nauplii on shelf, late winter-spring. Occurrence of meso-scale transport features.
<b>Northern Rock Sole</b>	Egg deposition (nearshore, shelf), larval hatching and growth during winter-spring, temperature minimum and spring increase.	Along- and cross-shelf, spring-early summer; retention in meso-scale features may be important.	Limited at 1st feeding, late winter-early spring. More plentiful for late stage larvae.	Small size at hatching/yolk-absorption (<5 mm) winter-spring, metamorphosis at small size, settlement spring-summer.	Small demersal eggs, larval size at hatching and 1st feeding, food limitation winter-early spring. Faster early growth may result in prey mismatch.	Batch spawning, multiple larval cohorts late winter-spring, early transformation and settlement at small size (spring-summer).	Winter-early spring temperatures. Timing of availability of copepod nauplii on shelf, early spring. Occurrence of meso-scale transport features.

Table 7 continued.

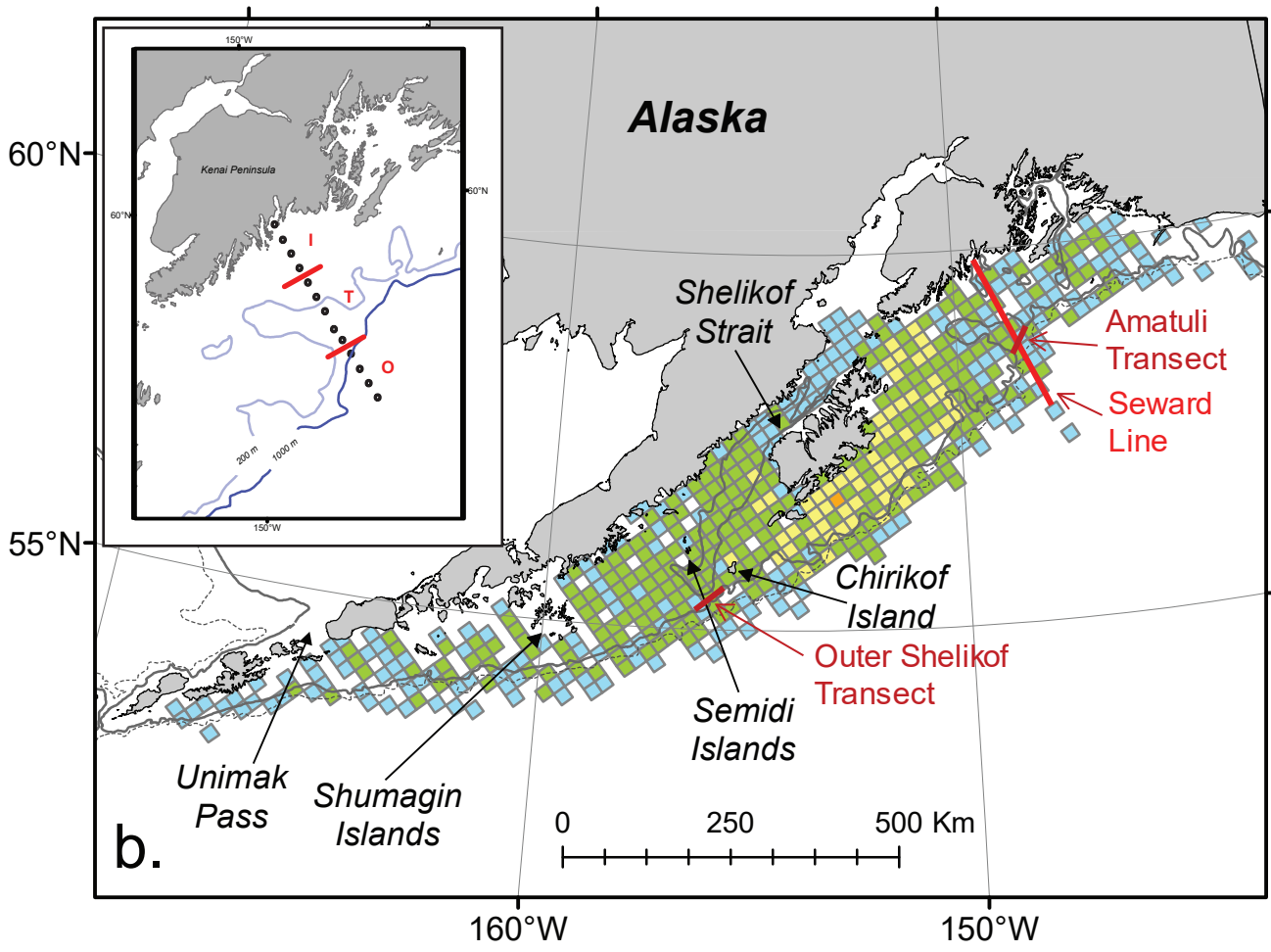
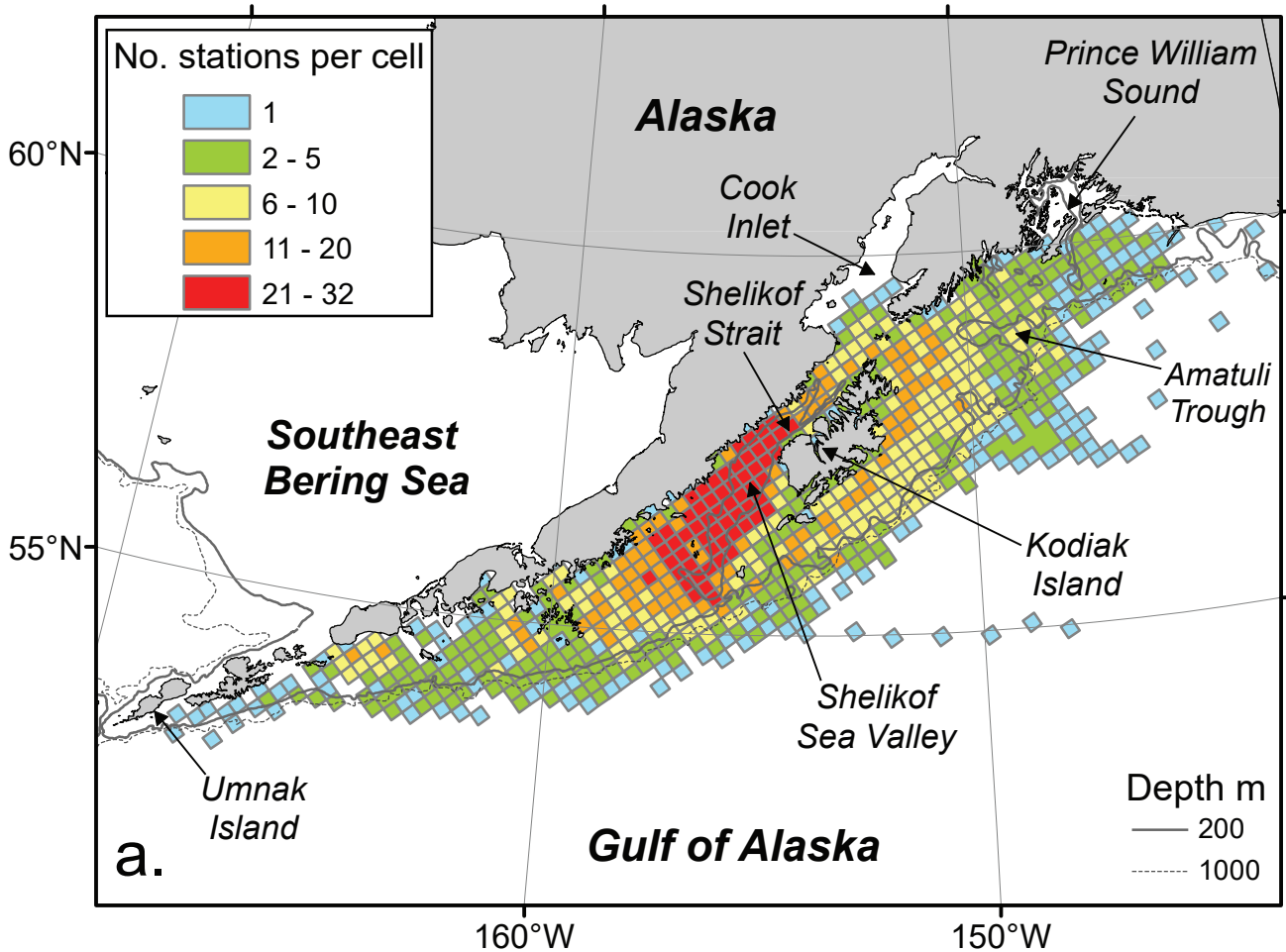
	Early Ontogeny Environmental Synchronies				Proposed Sensitivities		Proposed Critical Indicators
	Temperature	Transport	Food availability	Larval Development	Vulnerability	Resilience	
<b>Northern Lampfish</b>	Mesopelagic eggs, cold deep water winter-summer. Peak abundance epipelagic larvae during spring temperature rise, late larvae during summer temperature maximum-autumn early decline.	Directed transport unnecessary; extensive epipelagic larval habitat throughout GOA basin.	Limited late winter-early spring, presumably plentiful late spring-summer and early autumn. Basin zooplankton assemblages largely unknown.	Small size at hatching and 1st feeding, winter-spring. Juvenile characteristics and transformation observed during summer.	Very small size at hatching and 1st feeding, presumed food limitation winter-early spring.	Spatial ubiquity, very abundant population; extended batch-spawning GOA basin, larval and pelagic juvenile habitat extensive.	Winter-spring zooplankton prey availability basin and shelf.
<b>Alaska Plaice</b>	Pelagic egg incubation and larval development during spring temperature rise.	Along- and cross-shelf during spring; retention in meso-scale features may be important to achieve settlement on shelf and nearshore.	Somewhat limited early spring, likely plentiful late spring.	Short larval phase during spring with transformation beginning in larvae as small as 10 mm.	Transport away from suitable settlement areas.	Relatively large pelagic eggs, limited larval duration in spring, early metamorphosis and settlement at small size (<15 mm).	Timing and level of peak spring abundance of zooplankton prey. Occurrence of meso-scale transport features.
<b>Sablefish</b>	Egg incubation/hatching in cold deep water, slow migration to surface during winter temperature minimum. Late larvae experience spring-summer surface temperatures.	On-shelf, cross-shelf and along-shore spring-summer at surface. Enhanced on-shelf transport in canyons/troughs.	Neustonic prey assemblages unknown. May be limited in early spring.	Large size at 1st feeding, rapid growth of larvae late spring-summer. Early development of large pectoral fins, extended transition phase.	Extensive spatial and temporal exposure to variable surface conditions in neuston, 1st feeding to epipelagic juvenile stage.	Larvae relatively large at 1st feeding, robust neustonic larvae, good swimmers for feeding at surface and directing transport.	Surface temperature and circulation spring-summer, including on-shelf canyon transport. Spring-summer abundance of neustonic zooplankton prey.
<b>Lingcod</b>	Egg incubation/hatching late winter-early spring, nearshore/shelf during temperature minimum. Larval phase coincides with rising spring temperature at surface.	Cross-shelf and along-shore at/near surface, primarily during spring.	Neustonic prey assemblages unknown. May be limited in early spring. Sub-surface foraging also likely.	Large size at hatching/1st feeding, flexion size reached relatively quickly. Larvae transformed and mostly out of plankton in summer.	Exposure to variable surface conditions on shelf in spring.	Large demersal eggs, larval size at 1st feeding. Robust neustonic larvae most abundant late spring, also occur sub-surface.	Spring abundance of suitable neustonic zooplankton prey.
<b>Pacific Herring</b>	Demersal eggs nearshore during spring rising temperatures. Larvae most abundant late spring nearshore, inner shelf.	Retention close to nearshore nursery habitat during spring. Offshore transport seems minimal.	Nearshore spring zooplankton assemblages. Peak abundance of larvae synchronized with late spring peak in copepod production.	Large size at hatching/1st feeding, although mouth small. Growth to transformation during spring seems relatively rapid.	Transport away from nearshore nursery habitat.	Larval swimming ability/behavior effects successful retention in coastal habitat. Larval phase synchronized well with spring production.	Nearshore meso-scale circulation during spring. Availability of nearshore zooplankton prey.
<b>Flathead Sole</b>	Pelagic eggs on shelf during spring rising temperature. Larvae super abundant in late spring.	Along- and cross-shelf in spring; retention in meso-scale features may be important to maintain larvae on shelf.	Shelf spring zooplankton assemblages. Peak abundance synchronized with late spring peak in copepod production.	Period from hatching to 1st feeding and flexion seems rapid, most larvae pre-flexion in spring, post-flexion -to transformation early summer.	Food limitation for larvae in early spring. Transport off shelf.	Batch spawning, large eggs, larvae ubiquitous throughout shelf. Larval phase synchronized well with spring production on shelf.	Early spring zooplankton prey availability. Meso-scale circulation on shelf.

Table 7 continued.

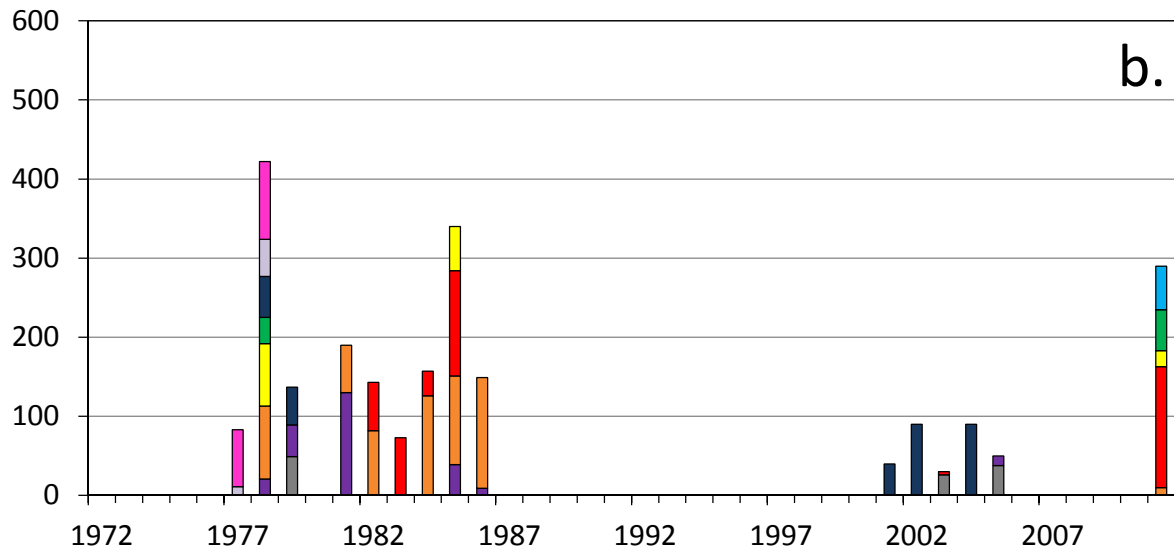
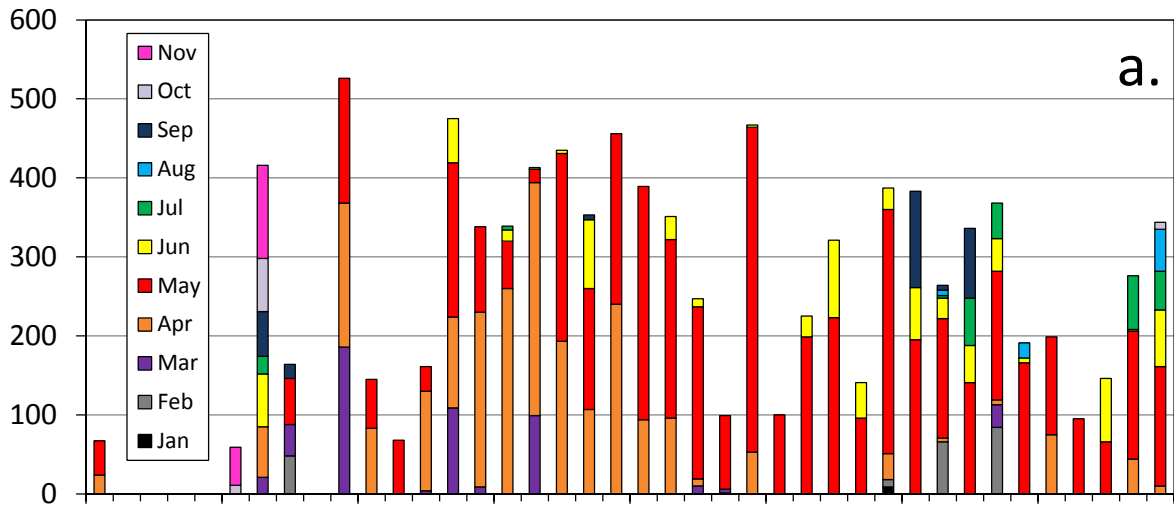
	Early Ontogeny Environmental Synchronies				Proposed Sensitivities		Proposed Critical
	Temperature	Transport	Food availability	Larval Development	Vulnerability	Resilience	Indicators
<b>Starry Founder</b>	Pelagic eggs and larvae abundant during spring temperature rise.	Retention on inner shelf and nearshore seems important.	Nearshore/inner shelf spring zooplankton assemblages. Peak abundance synchronized with late spring peak in copepod production.	Very small size range from hatching to early transformation, mostly late spring.	Small size at hatching. Transport away from coastal and inner shelf settlement areas.	Very limited larval pelagic exposure, metamorphosis and settlement mostly at <10 mm.	Nearshore meso-scale circulation during spring.
<b>Rex Sole</b>	Pelagic eggs over deep water during spring-summer temperature rise. Extended larval phase may span late spring-autumn (temperature maximum), and overwinter (declining temperature).	On-shelf, cross-shelf and along-shore spring-summer. Enhanced on-shelf transport in canyons/troughs.	Larval phase synchronized well with peak spring-summer production of zooplankton. Larvae occurring in early spring, or over winter, may be food limited.	Large size at 1st feeding (late spring), rapid growth evident June-July. Extraordinarily extended larval phase for flatfish, and large size at metamorphosis >50 mm.	High predation pressure in plankton during summer-autumn. Extremely extended larval phase; greater transport loss prior to settlement, and overwintering of late larvae may occur.	Larval phase synchronized well with high temperatures, and peak period of spring-summer zooplankton production from deep water to nearshore.	On-shelf transport, summer-autumn especially in canyons/troughs. Winter availability of suitable zooplankton prey.
<b>Dover Sole</b>	Pelagic eggs over deep water during spring-summer temperature rise. Extended larval phase may span late spring-autumn (temperature maximum).	On-shelf, cross-shelf and along-shore spring-summer. Enhanced on-shelf transport in canyons/troughs.	Larval phase synchronized well with peak spring-summer production of zooplankton. Larvae occurring in early spring may be food limited.	Slightly larger eggs and hatch size than Rex Sole, but smaller at 1st feeding (late spring). Summer-autumn settlement, large size range at metamorphosis.	High predation pressure in plankton during summer-autumn. Extended larval phase; greater transport loss prior to settlement.	Larval phase synchronized well with high temperatures, and peak period of spring-summer zooplankton production from deep water to nearshore.	On-shelf transport, summer-autumn especially in canyons/troughs.
<b>Ronquils (<i>Bathymaster</i> spp.)</b>	Demersal eggs nearshore/shelf, spring-summer; larvae most abundant May-June during rising temperatures, some neustonic occurrence.	Cross-shelf and along-shore spring-summer.	Larval phase synchronized well with peak spring-summer production of zooplankton. Larvae occurring in early spring may be food limited.	Multiple species (three in GOA), earliest ontogeny mostly unknown.	Widespread distribution of small larvae during spring, perhaps some transport loss.	Larval phase synchronized well with peak period of spring-summer zooplankton production nearshore and shelf.	Temperature and suitable zooplankton prey availability late spring, including in neuston.
<b>Southern Rock Sole</b>	Pelagic eggs and smallest larvae most abundant during late spring rising temperatures. Larval phase extends through summer-early autumn peak temperatures.	Cross-shelf and along-shore spring-summer.	Larval phase synchronized well with peak spring-summer production of zooplankton. Larvae occurring in early spring may be food limited.	Small eggs, larvae metamorphose and settle at small size mostly <15 mm.	Small size at 1st feeding.	Larval phase synchronized well with peak period of spring-summer zooplankton production nearshore and shelf.	Temperature and suitable zooplankton prey availability late spring.

Table 7 continued. \* *Sebastes* spp. items are based on Pacific Ocean Perch.

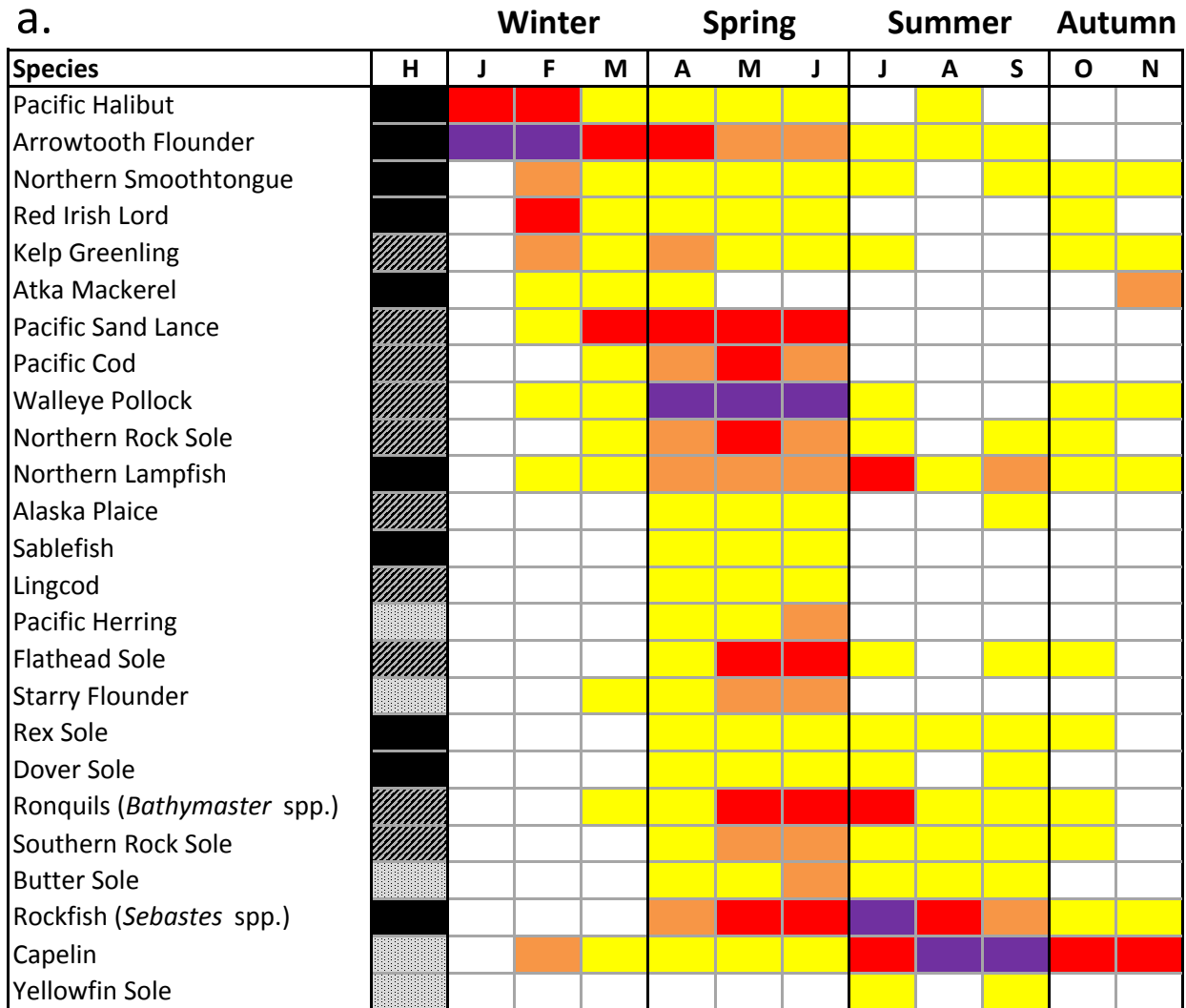
	Early Ontogeny Environmental Synchronies				Proposed Sensitivities		Proposed Critical Indicators
	Temperature	Transport	Food availability	Larval Development	Vulnerability	Resilience	
<b>Butter Sole</b>	Pelagic eggs and smallest larvae most abundant during late spring temperature rise. Larval phase extends through summer peak temperature.	Retention nearshore/inner shelf seems important.	Larval phase synchronized well with peak spring-summer production of zooplankton. Larvae occurring in early spring may be food limited.	Small eggs, larval size at hatching and 1st feeding during late spring. Mostly transformed and settled by 20 mm, and rare by late summer.	Small size at hatching and 1st feeding. Transport away from shallow water.	Larval phase synchronized well with peak period of spring-summer zooplankton production nearshore and shelf.	Meso-scale circulation features nearshore.
<b>Rockfish (<i>Sebastes</i> spp.)*</b>	Late spring peak in larval abundance over deep water coincides with rising temperature and increasing metabolic demand.	Cross-shelf and along-shore during summer. Enhanced on-shelf transport in canyons/troughs.	Larval phase synchronized well with peak spring-summer production of zooplankton. Larvae occurring in early spring may be food limited.	Viviparity with release of live larvae late spring (Pacific Ocean Perch). Size at transformation thought to be 15-20 mm; early settlement?	Newly released small larvae have little or no lipid reserves, first feeding needs to occur rapidly.	Internal embryonic development. Rapid larval growth during spring-summer in association with high levels of zooplankton prey.	On-shelf transport during summer-autumn especially in canyons/troughs. Zooplankton prey availability over slope late spring-summer.
<b>Capelin</b>	Demersal eggs in sub-tidal sediments summer-autumn; peak abundance newly hatched and smallest larvae during summer temperature peak; largest larvae autumn-winter (and some in spring) experience declining and minimum temperatures.	On-shelf, cross-shelf and along-shore summer autumn. Larger more behaviorally competent larvae during winter-spring likely mitigates passive drift.	Larval phase synchronized well with peak summer production of zooplankton prey, and likely plentiful supply through early autumn. Winter-spring more food limited but larvae large; greater swimming and feeding ability.	Small eggs, larval size at hatching and 1st feeding during summer. Extended larval phase with diel utilization of neuston autumn-winter. Gradual transformation to pelagic juveniles; very large size (>60 mm) at transformation.	Very small newly hatched larvae flushed from coastal sediments during summer; probably very high predation risk.	Early larval phase synchronized well with peak period of summer zooplankton production nearshore and shelf. Larvae utilize neuston as well as sub-surface as they grow. Fast growth and very extensive range of larval sizes autumn-spring.	Nearshore temperature and meso-scale circulation summer. Nearshore zooplankton prey availability for first feeding larvae. Abundance of neustonic zooplankton prey summer-winter.
<b>Yellowfin Sole</b>	Pelagic eggs and small larvae nearshore during summer peak in temperature.	Retention close to nearshore nursery habitat during summer. Offshore transport seems minimal.	Larval phase synchronized well with peak abundance of zooplankton in summer.	Very small eggs and larval hatch size, summer. Rapid development and transformation to settlement apparent.	Very small size at hatching; presumed early feeding necessary due to high temperature-induced metabolic rates.	Rapid growth during summer in nearshore environment, likely favors retention close to suitable settlement habitat.	Nearshore temperature and meso-scale circulation during summer. Nearshore zooplankton prey availability for first feeding larvae.



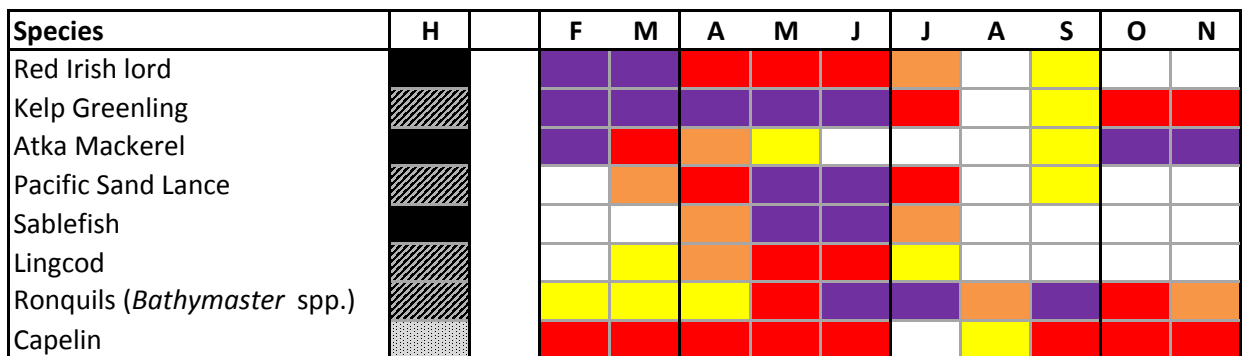









a.

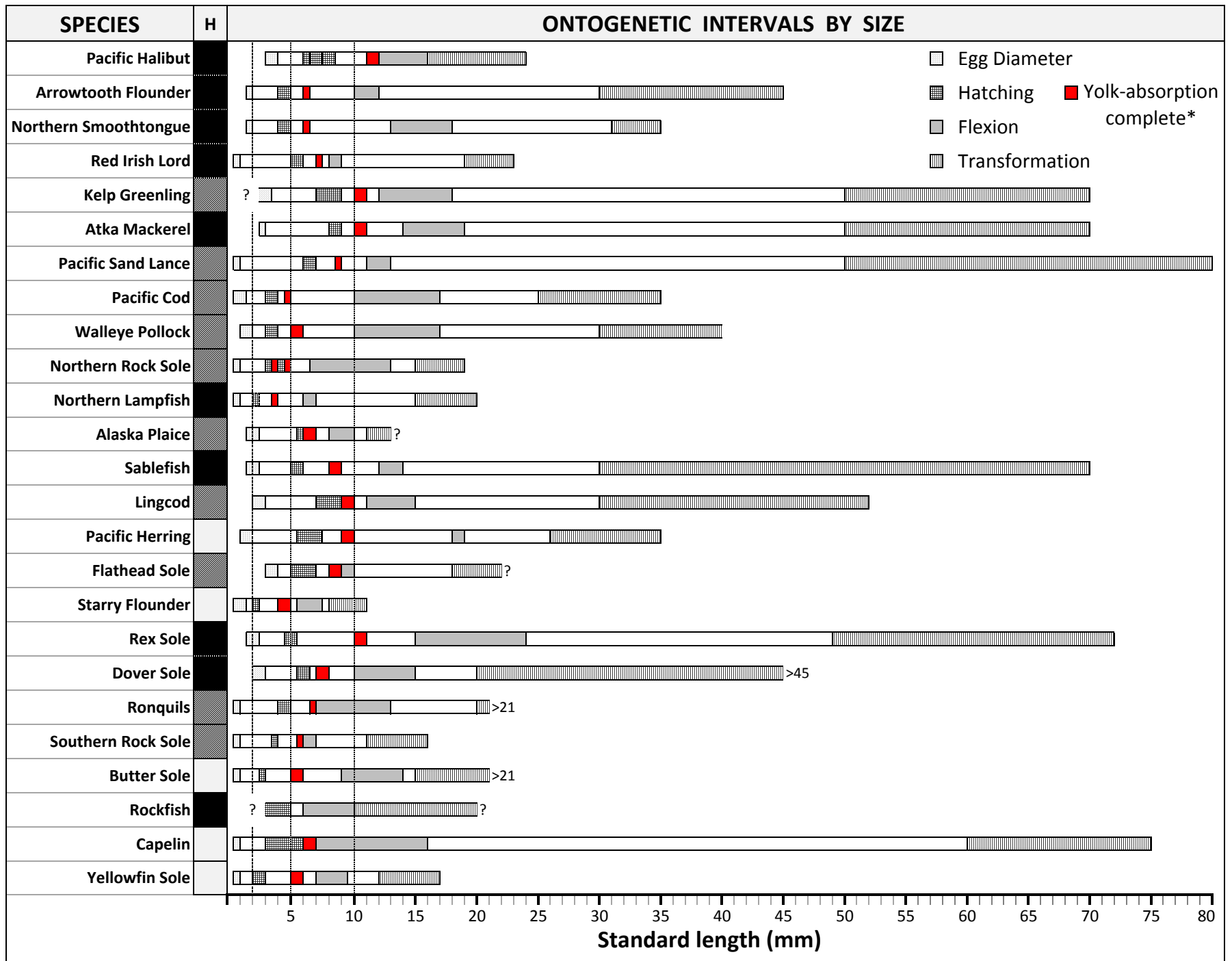


b.



Primary larval habitat (H): Coastal  Shelf  Slope and deeper 


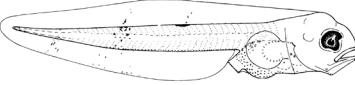
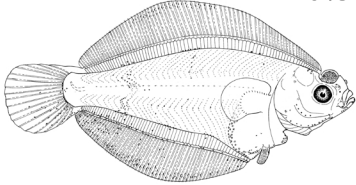
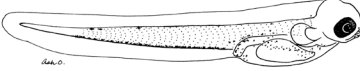

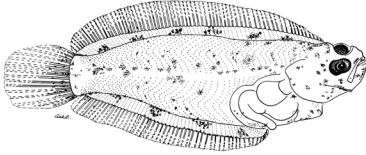


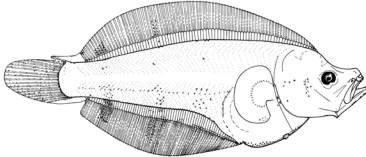
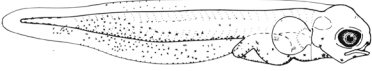
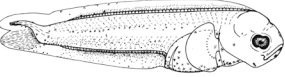

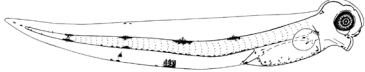

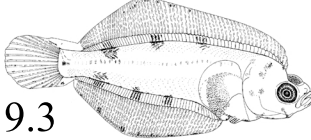
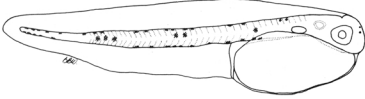

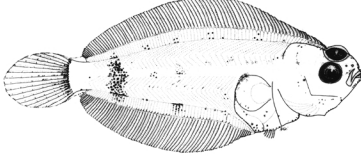
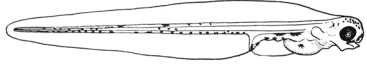
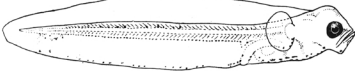
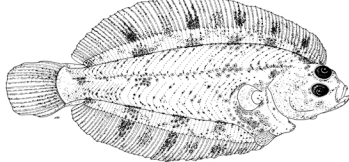
Log10 (n+1) mean abundance:  
 no. 10 m<sup>-2</sup> (Bongo), no. 1000 m<sup>-3</sup> (Neuston) 0  >0  ≥0.5  ≥1  2<3 

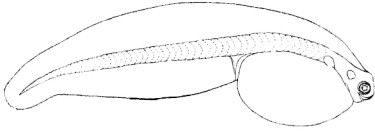
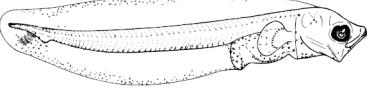
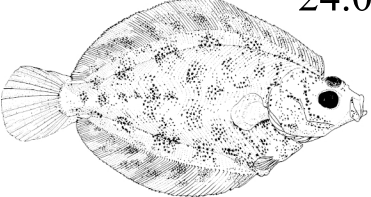

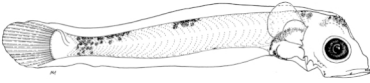
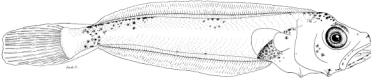

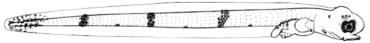
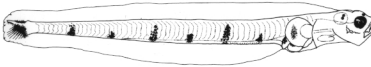
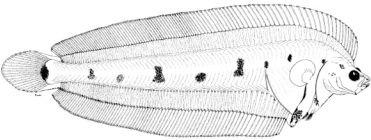
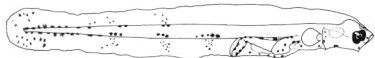
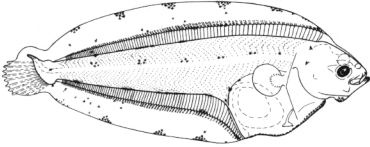
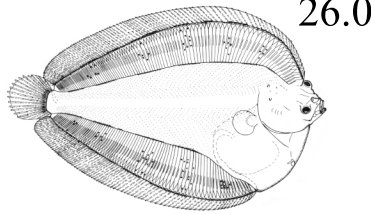




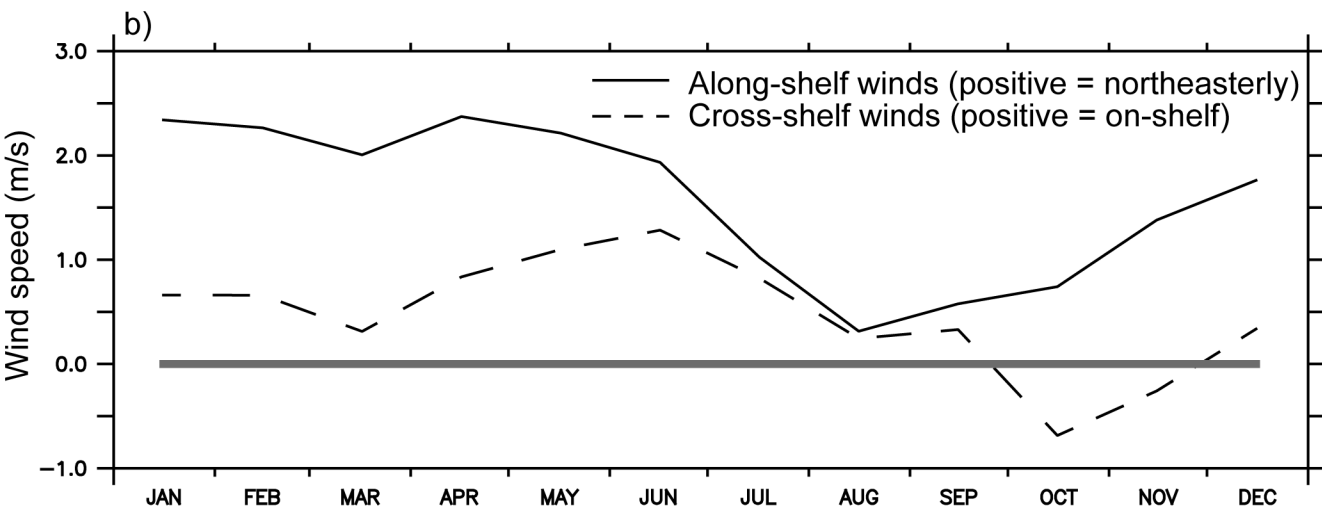
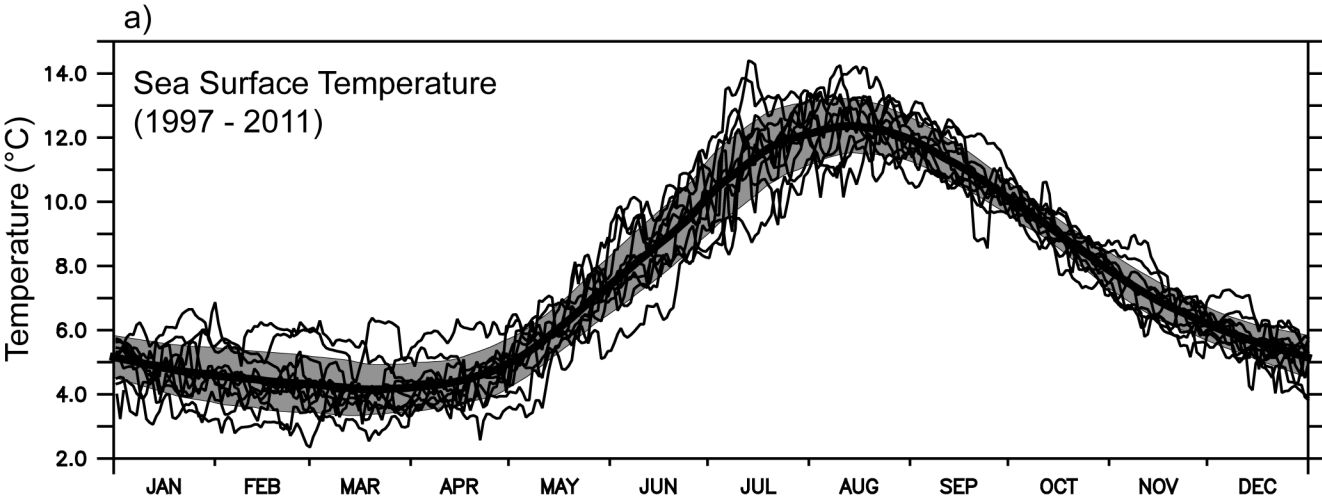




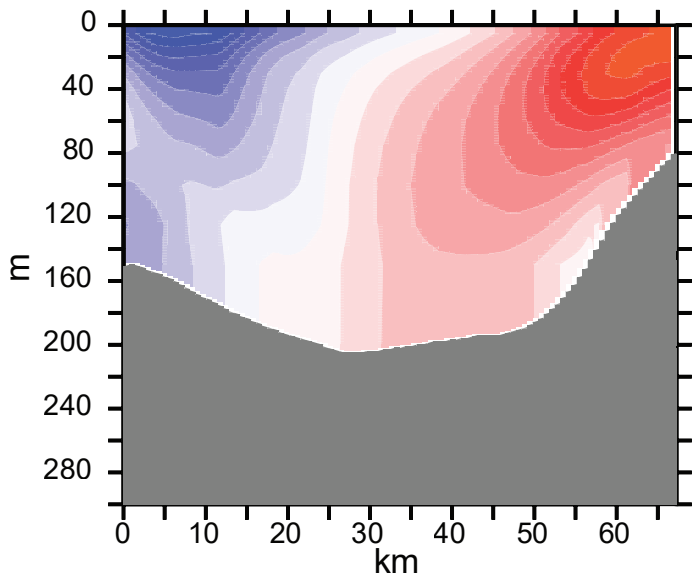
Coastal/Shelf Flatfish	<5 mm	5-10 mm	10-20 mm
<b>Northern Rock Sole</b>	3.7 	7.4 	16.3 
<b>Alaska Plaice</b>	4.6 	6.9 	10.1 
<b>Flathead Sole</b>	5.0 	7.9 	18.0 
<b>Starry Flounder</b>	4.8 	6.6  9.0 	
<b>Southern Rock Sole</b>	3.2 	6.3  9.3 	
<b>Butter Sole</b>	2.9 	6.2 	17.1 
<b>Yellowfin Sole</b>	3.2 	5.8 	16.2 

Deepwater Flatfish	<10 mm	10-20 mm	20-30 mm	30-50 mm
<b>Pacific Halibut</b>	9.5 	14.4 	24.0 	
<b>Arrow-tooth Flounder</b>	8.6 	16.6 	21.1 	44.5 
<b>Rex Sole</b>		11.5 	22.8 	48.7 
<b>Dover Sole</b>	7.0 	15.0 	26.0 	

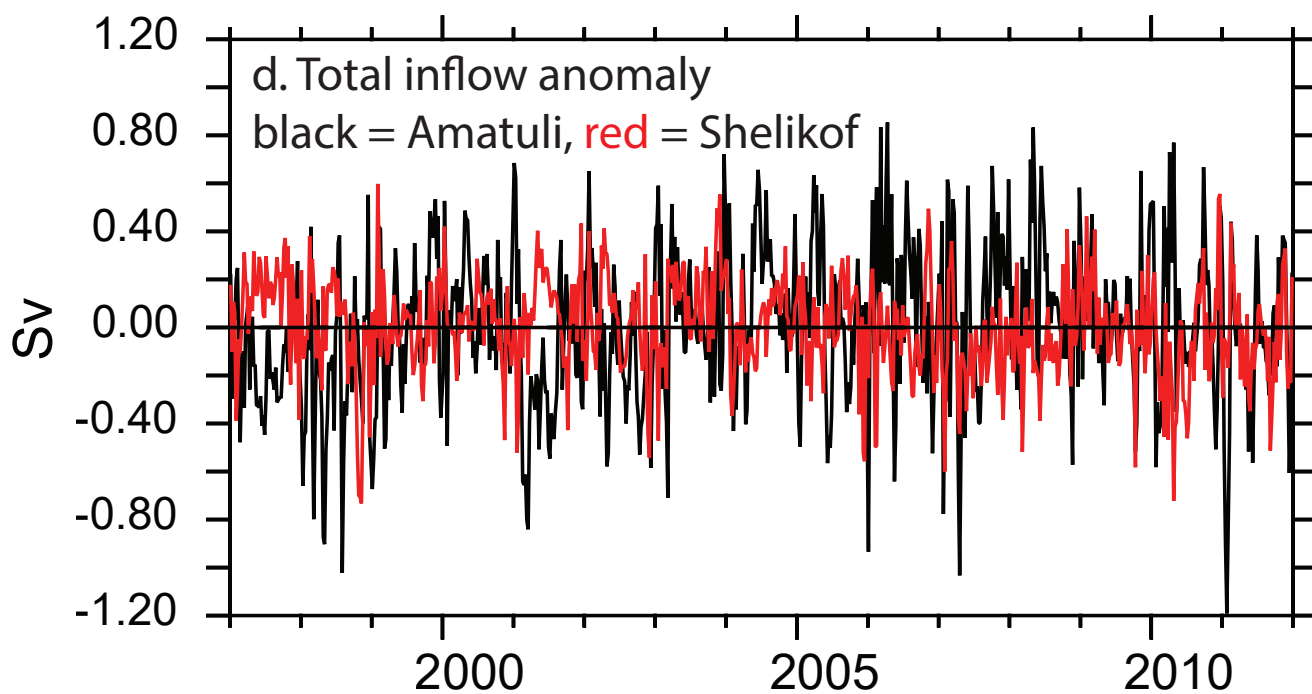
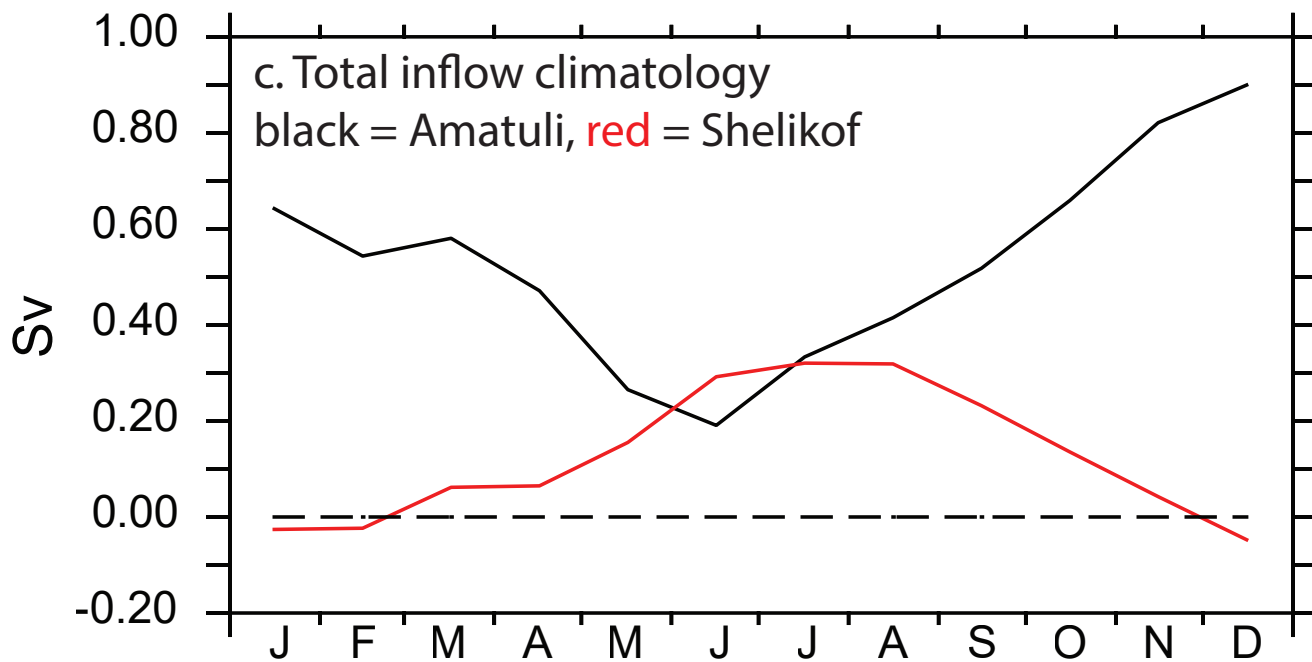
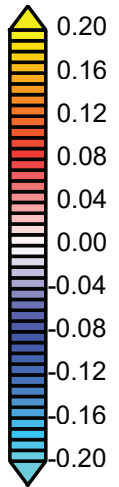
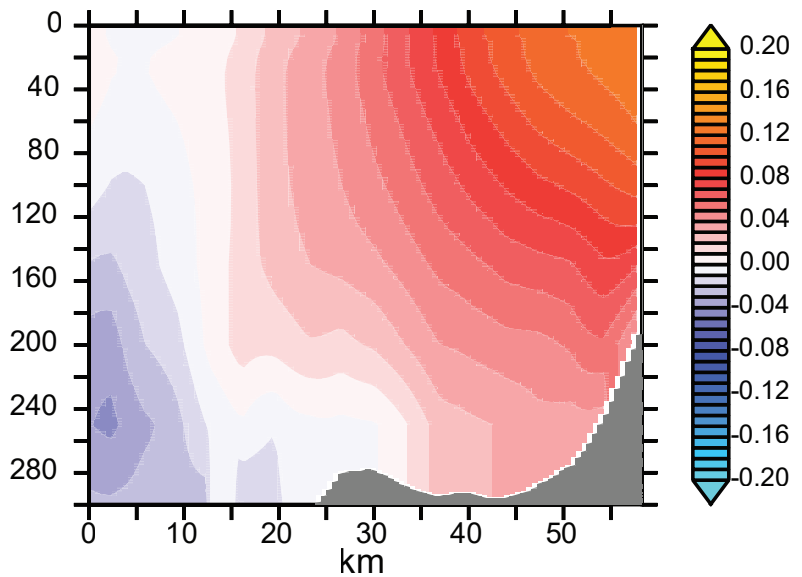


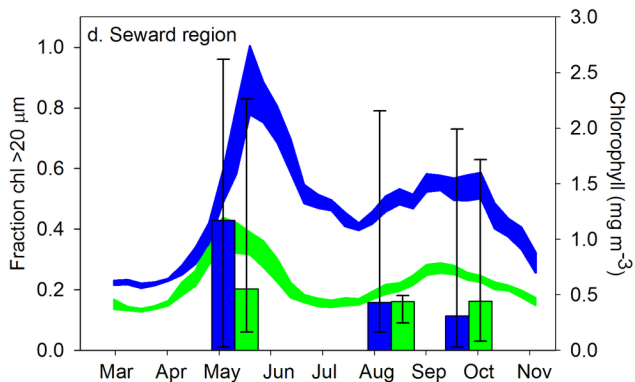
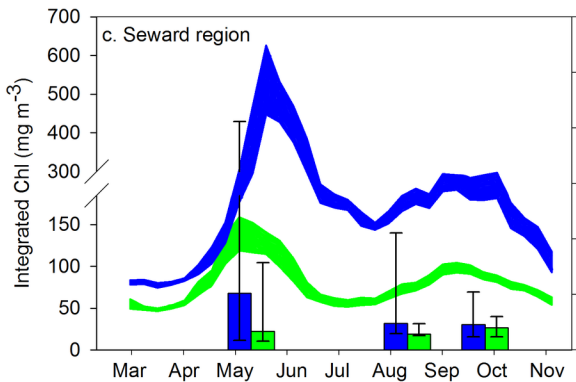
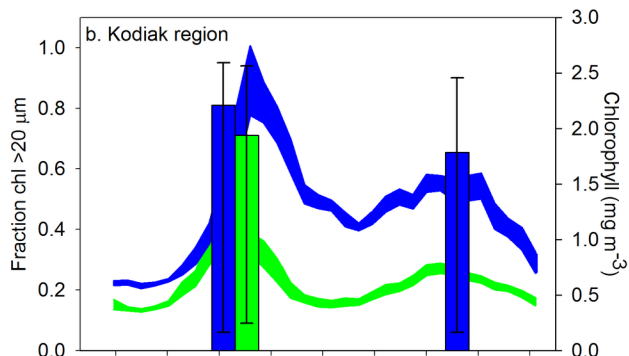
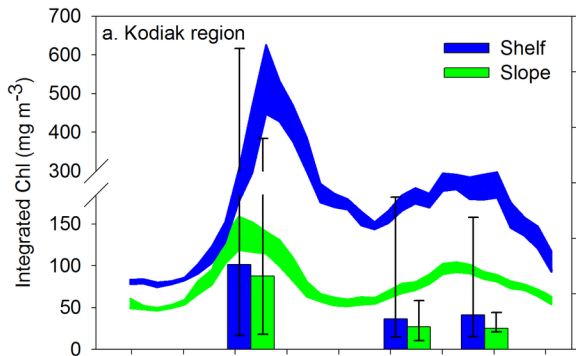


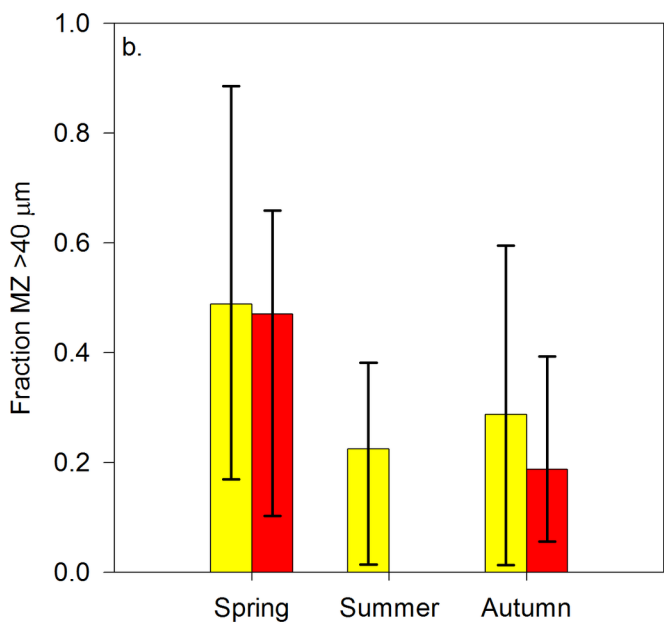
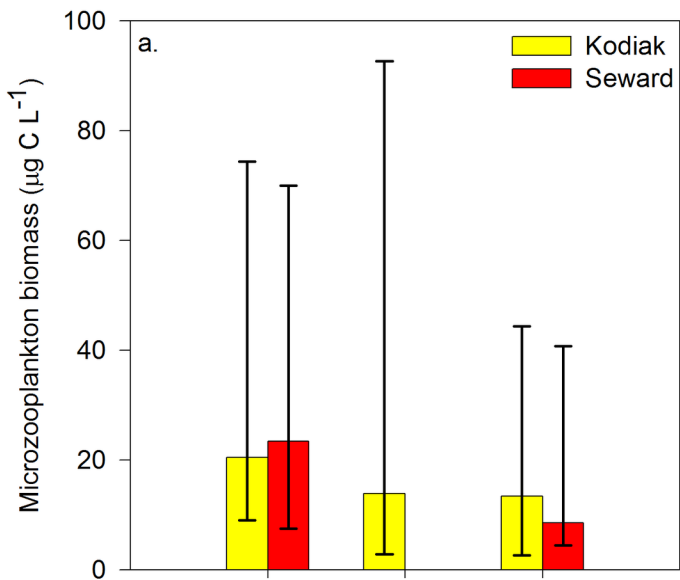
a. Outer Shelikof Strait

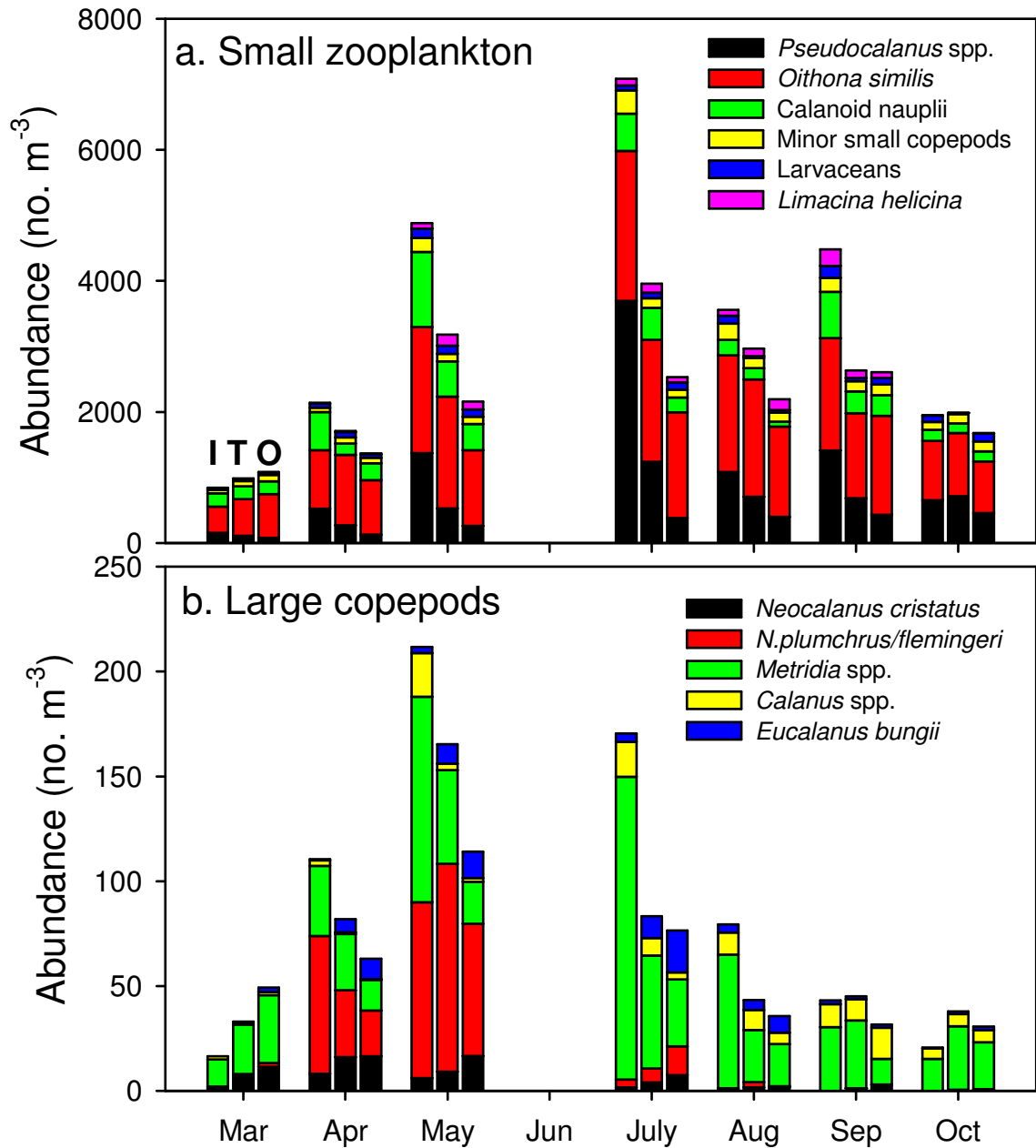


b. Amatuli Trough

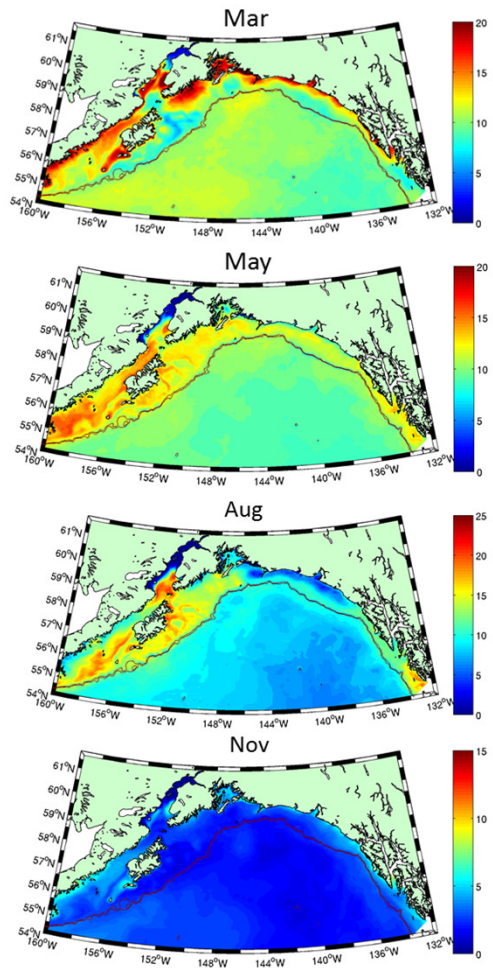




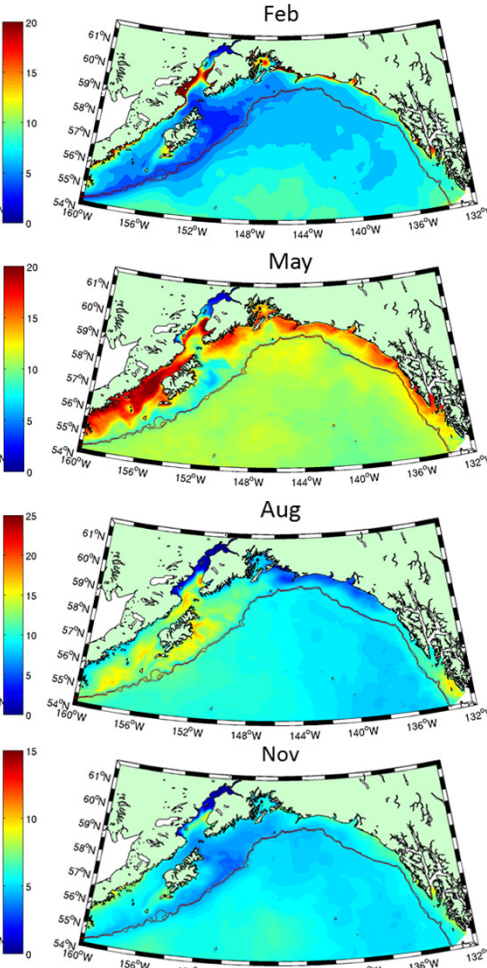




### a. Large Microzooplankton



### b. Small Copepods



### c. Large Copepods

