

1 **Field trials of an acoustic decoy to attract sperm whales away from**
2 **commercial longline fishing vessels in western Gulf of Alaska**

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16 **ABSTRACT**

17 In the Gulf of Alaska, sperm whales (*Physeter macrocephalus*) are known to remove
18 sablefish (*Anoplopoma fimbria*) from commercial longline fishing gear. This removal,
19 called depredation, is economically costly to fishermen, presents risk of injury or
20 mortality to whales, and could lead to unknown removals during the federal sablefish
21 longline survey that contributes to estimation of the annual fishing quota. In 2013 the
22 Southeast Alaska Sperm Whale Avoidance Project (SEASWAP) evaluated the efficacy of
23 an acoustic decoy in reducing encounters between sperm whales and longline fishing
24 gear. The aim of the acoustic decoy was to use fishing vessel sounds to attract whales to
25 an area away from the true fishing haul in order to reduce interactions between
26 commercial fishing vessels and whales. A custom playback device that could be remotely
27 activated via a radio modem was incorporated into an anchored buoy system that could
28 be deployed by the vessel during a two-month trip between June and July 2013. Once
29 activated, the decoy broadcasted vessel-hauling noises known to attract whales, while the
30 vessel performed several true hauls at various ranges from the device. Passive acoustic
31 recorders at both the decoy and true set locations were also deployed to evaluate whale
32 presence. Twenty-six hauls were conducted while a decoy was deployed, yielding
33 fourteen sets with whales present while the decoy was functional. A significant
34 relationship was found between the number of whales present at the true fishing haul and
35 the distance of the haul from the decoy (1 – 14 km range), with the decoy being most
36 effective at ranges greater than 9 km ($t = -2.06$, $df = 12$, $p=0.04$). The results suggest that
37 acoustic decoys may be a cost-effective means for reducing longlining depredation from
38 sperm and possibly killer whales under certain circumstances.

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47 **1. Introduction**

48 Removal of hooked or netted fish from fishing gear by marine mammals is a worldwide
49 phenomenon known as depredation. Rarely are these interactions positive, often resulting
50 in economic costs for fishers, and risk of bycatch or entanglement for animals (Gilman et
51 al., 2006; Read, 2008; Read et al., 2006). Odontocetes (toothed whales) are particularly
52 attracted to longline fisheries as fish are easily accessible on the lines. In the Hawaiian,
53 Australian, and Fijian pelagic longline fisheries, false killer whales (*Pseudorca*
54 *crassidens*) routinely remove fish, and may become hooked themselves (Gilman et al.,
55 2006; Hamer et al., 2015; Mooney et al., 2009). Similar occurrences are reported with
56 false killer whales off the coast of Brazil and the Azores archipelago in the Atlantic
57 Ocean (Hernandez-Milian et al., 2008). Sperm and killer whales routinely depredate
58 demersal longline vessels in the Patagonian toothfish fisheries off the Crozet Islands
59 (Guinet et al., 2015; Roche et al., 2007; Tixier et al., 2010), Chile (Moreno et al., 2008),
60 and South Georgia (Purves et al., 2004). The Norwegian demersal longline fleet targeting
61 Greenland halibut, Patagonian toothfish, Atlantic halibut and cod have been experiencing
62 depredation from sperm whales since the mid 1990's (Dyb, 2006).

63 Techniques to prevent marine mammals from interacting with fishing operations
64 are known as “deterrents”, which are defined as aversive, harmful, fearful, or noxious
65 stimuli that elicit defensive or avoidance responses in animals (Götz and Janik, 2010).
66 These stimuli can be painful, disruptive, threatening, or distracting, and delivered through
67 acoustic, chemosensory, visual, or tactile means (Schakner and Blumstein, 2013). The
68 goal of a deterrent is for the animal's perceived cost of continuing the behavior (e.g.
69 exposure to loud noise) to outweigh the gain from this action (food resource/caloric
70 intake).

71 A variety of gear modifications have been tested to reduce depredation effects in
72 longline fisheries (Gilman et al., 2006; Hamer et al., 2012). Wire nets, chains, streamer
73 devices, and net sleeves have been tested on pelagic longline gear as modifications to
74 protect fish as they are hauled to the surface, with some preliminary success (Hamer et
75 al., 2015, 2012; Moreno et al., 2008; Rabearisoa et al., 2015). A primary concern with
76 many of these gear modifications for fishers is often the impracticality of adapting the

77 additional gear to their fishing operation, cost of doing so, and minimal buy-in when
78 depredation persists.

79 Acoustic deterrents, commonly known as Acoustic Deterrent Devices (ADDs) for
80 marine mammals are designed to emit sounds particularly distracting or annoying to the
81 target animal, such that an aversion to the area is created (Jefferson and Curry, 1996).
82 ADDs designed specifically to disrupt depredation behavior include acoustic playback
83 devices, a specific type of acoustic deterrent that are designed to play pre-recorded
84 sounds from underwater speakers to animals for deterrence purposes. Playback
85 experiments have targeted both cetaceans and pinnipeds, and include a variety of signals
86 such as tonal sounds, frequency modulated sweeps, and windowed pulses (Cummings
87 and Thompson, 1971; Deecke, 2006; Fish and Vania, 1971; Gilman et al., 2006; R. A.
88 Kastelein et al., 2006; R.A. Kastelein et al., 2006; Mooney et al., 2009; Nowacek et al.,
89 2004; Shaughnessy et al., 1981; Tixier et al., 2014b; Tyack, 2009). Most marine mammal
90 species have been observed to exhibit avoidance and anti-predatory responses to transient
91 killer whales, which has prompted some playback experiments to assess behavioral
92 responses (Cummings and Thompson, 1971; Deecke et al., 2002; Fish and Vania, 1971;
93 Shaughnessy et al., 1981). Testing of playback devices have found that while they show
94 some short-term success, their efficacy vanishes after a few days as animals habituate to
95 the sound and ignore it, indicating long-term success is likely low (Arangio, 2012;
96 Mooney et al., 2009; Tixier et al., 2014a). In general ADDs can be difficult to design,
97 face regulatory concerns about noise exposure and animal injury, and are vulnerable to
98 animal habituation (Arangio, 2012; Jefferson and Curry, 1996; Mooney et al., 2009;
99 Schakner and Blumstein, 2013; Tixier et al., 2014b; Tyack, 2009).

100 In Alaska demersal longline fishermen have been experiencing removal of
101 sablefish (*Anoplopoma fimbria*) by sperm whales (*Physeter macrocephalus*) and killer
102 whales (*Orcinus orca*) since the 1970s (Dahlheim, 1988; Hill et al., 1999; Peterson et al.,
103 2013; Sigler et al., 2008; Straley et al., 2015; Yano and Dahlheim, 1995). Reports of
104 depredation have increased in Alaskan waters after implementation of the catch-share
105 program in the mid-1990s (Hanselman et al., 2014; Hill et al., 1999). In addition to
106 increased reports, documentation of depredation on the federal longline sablefish survey

107 has experienced an accelerative pattern of increase over time, and fits predictions of
108 social transmission of this behavior (Schakner et al., 2014).

109 Since 1995 the sablefish fishery in Alaska has been managed under an Individual
110 Fishing Quota (IFQ) program by the National Marine Fisheries Service (NMFS) with a
111 season of roughly 8 months, from mid-March to mid-November. In 2012 there were 838
112 individuals that fished quota shares for sablefish in Alaska, from just over 600 vessels
113 (NOAA Fisheries Service, 2013). Vessels are classed into size categories of A (freezer
114 vessel any length), B (> 60 ft), and C (\leq 60 ft), with median vessel length increasing from
115 49 ft in 1995 to 56 ft in 2012 (NOAA Fisheries Service, 2013). The total fishery value for
116 2016 was estimated to be over \$189 million (NOAA, 2017). While pot gear and demersal
117 longline gear have both been legal in the Bering Sea region since the IFQ program began,
118 the Gulf of Alaska (GOA) has restricted the gear to demersal longline gear from 1989 to
119 2017, when pots were first allowed again in the GOA (NOAA, 2017). The GOA has four
120 management areas (Western Gulf, Central Gulf, West Yakutat, and Southeast), in
121 addition to the Bering Sea (BS) and Aleutian Islands (AI) regions.

122 In 2003, as a response to economic costs of depredation and entanglement risks to
123 whales, the Southeast Alaska Sperm Whale Avoidance Project (SEASWAP,
124 www.seaswap.info) was formed. SEASWAP is a collaborative effort between fishermen,
125 scientists, and fisheries managers, working cooperatively towards the common goal of
126 investigating and documenting the occurrence of sperm whales in association with
127 longline fishing to develop strategies to minimize this interaction. Within the SEASWAP
128 project in the Gulf of Alaska, a variety of deterrence strategies have been tested including
129 changing fishing practices, gear modifications, and acoustic playbacks of frequency
130 modulated upsweeps, white noise, and transient killer whale vocalizations (O'Connell et
131 al., 2015; Thode et al., 2010, 2009). However, none of these strategies has provided a
132 significant reduction in depredation rates (O'Connell et al., 2015; Straley et al., 2015;
133 Thode et al., 2010, 2009).

134 One of the first major findings from SEASWAP gave insight into how sperm
135 whales were able to detect and locate longline fishing activity in the vast offshore habitat
136 of the GOA. SEASWAP found that fishing vessels make a distinct sound as fishermen
137 engage and disengage the engine to stay on top of their gear as they haul their long lines

138 to the surface. This sound, arising from propeller cavitation, creates a distinctive pattern
139 that can be measured at distances of 4-8 km (Thode et al., 2007). Anecdotal evidence has
140 revealed that whales were observed abruptly changing direction and making a beeline for
141 a fishing vessel that began hauling gear 18.5 km from a tagging vessel (Straley pers.
142 comm.). Whales have learned that this ‘acoustic cue’ is a signal that longline hauling is
143 occurring (Thode et al., 2007).

144 During the first few years of acoustic SEASWAP studies (Thode et al., 2009,
145 2006), fishing vessels would often drop extra buoylines that contained passive acoustic
146 instruments, in addition to their actual groundline deployments. Sperm whales would
147 often loiter around the instrumented buoylines as the vessel departed the area, and would
148 be present when the vessel returned to haul both the true and instrumented gear. A
149 review of sperm whale sounds on the acoustic instruments demonstrated that the animals
150 remained in the vicinity of the instrumented gear all night (Thode et al., 2006), revealing
151 that animals were willing to wait near an anchored buoyline that contained no real fishing
152 gear. Anchored buoylines appear to act as a decoy, distracting whales from the true
153 fishing set.

154 The discovery of acoustic cues that alert and attract sperm whales suggested that
155 acoustic playbacks could be combined with the passive decoy strategy to create an
156 “acoustic decoy” (Thode et al., 2015). Here the “passive decoy” represents a buoy
157 deployment, not attached to true fishing gear, that is used to delay and/or distract marine
158 mammals from true fishing activity, but does not generate any sound. The acoustic
159 playback component adds a device emitting vessel hauling sounds, the attractant for
160 sperm whales to detect fishing activity, to this anchored buoyline. The idea of using
161 acoustic playbacks to attract animals *away* from a region is not nearly as common in the
162 scientific literature as the use of playbacks to drive animals out of a region (Gilman et al.,
163 2006; O’Connell-Rodwell et al., 2011; Schakner and Blumstein, 2013).

164 An initial engineering trial of the decoy concept was performed off Sitka in
165 August 2011, during which pre-recorded sounds of a fishing vessel hauling longline gear
166 were played back from an underwater speaker. Both visual and acoustic observations
167 suggested that animals did converge to the decoy, delaying their response to an actual
168 fishing haul (Thode et al., 2015). Based on that trial, this study was designed to test the

169 efficacy of an acoustic decoy device in attracting sperm whales away from fishing
170 activity and reducing the effects of depredation on longline fishermen in Alaska. The
171 basic premise of the acoustic decoy device was to deploy it away from the vicinity of the
172 true fishing gear, where it would play recordings of vessels hauling gear, thereby
173 attracting whales away from the fishing gear. Thus the fishers could haul their fishing
174 gear without whales present, with fewer numbers of whales present, or with increased
175 time delay for whales to leave the decoy and travel to their gear.

176 The goal of this experiment was to determine how the distance between the decoy
177 and the true fishing haul affected depredation and whale interactions with fishing
178 operations. The distance variable was chosen because the efficacy of the system was
179 strongly suspected to be a function of the distance between the decoy and the true haul –
180 once a whale realizes that the decoy is not an actual fishing vessel, it needs to decide
181 whether it is worth the trouble to swim toward another fishing vessel sound. With a
182 complex issue such as depredation, fishers would like to eliminate whale interactions
183 completely, but even reducing the number of whales that arrive at their boat, or delaying
184 the arrival of whales to their boat would be beneficial in reducing the economic cost of
185 depredation. However, the cost of reducing depredation effects must not outweigh the
186 benefits, and setting an acoustic decoy miles away from their fishing gear adds time and
187 fuel costs to the fishing operation. As such, the experiment was designed to address this
188 cost-benefit complexity of setting an acoustic decoy. Given that whales are attracted to
189 fishing vessel hauling sounds and recordings of hauling sounds (Thode et al., 2015,
190 2007), this study seeks to assess how the distance between the decoy and the fishing haul
191 affects depredation predictors such as presence of whales, number of whales, and timing
192 of whales' arrival at fishing gear. Specific objectives of the decoy experiment were to
193 assess how the distance between the fishing haul and the acoustic decoy influenced: 1)
194 the presence/absence of sperm whales at a fishing haul; 2) the number of sperm whales at
195 a fishing haul; and 3) the timing in the arrival of sperm whales at a fishing haul.

196

197 **2. Methods**

198 *2.1. Equipment*

199 The acoustic playback device used for this study was custom built and able to play pre-
200 recorded digitized sounds sampled at 100 kHz, stored on a micro-SDHC flash memory
201 card and played through an underwater speaker (Lubell Labs LL9162T). The device was
202 designed to broadcast sounds between 0.5-30 kHz, at source levels of up to 190 dB re
203 $1\mu\text{Pa}$ @ 1 m pk-pk (rms). It was buoy-mounted at the base of a 4 m aluminum flagpole
204 (Figure 1), with a salt-water switch that prevented the device from activating when not
205 submerged in salt water. The speaker was suspended 3-4 m below the controller, and
206 capable of broadcasting sounds for over 11 hours continuously in the field. A UHF
207 modem antenna was mounted at the top of the flagpole for the decoy controller and
208 contained a spread-spectrum radio modem (Digi XTend RF module) operating in the ISM
209 900 MHz license-free segment of spectrum.

210 A deck box on the fishing vessel was used to turn the decoy device playback
211 sound on and off via radio communication. An N-type coaxial connector on the deck box
212 was connected to a second externally-mounted UHF antenna, which was placed on a high
213 point of the fishing vessel. This box also confirmed that the decoy was playing sound by
214 flashing a green light. The line-of-sight distance between the controller buoy antenna and
215 the boat-mounted antenna determined the range at which the decoy device could be
216 controlled. The higher each antenna could be, the longer the distance. In practice, the
217 maximum activation distance was found to be about 10-15 km.

218 A custom-built autonomous acoustic recorder was attached below the decoy at
219 100m depth to confirm decoy activation, and to monitor the presence of sperm whales
220 over time. In addition, an autonomous acoustic recorder was attached to the end of a true
221 fishing set, to monitor the potential presence of sperm whales near the true sets over time.
222 Recorders are custom-built by SEASWAP to be programmed with an internal duty cycle,
223 sample at 100 kHz, and use a HTI-96 min hydrophone with 172 dB re $1\mu\text{Pa}$ V^{-1}
224 sensitivity. Each recorder has a 128 Gb memory capacity, and can record continuously
225 for 30 days. These devices can detect the presence of sperm whale ‘click’ sounds before,
226 during and after a fishing haul.

227

228 *2.2. Acoustic decoy playback signal*

229 The field experiment used acoustic recordings of SEASWAP-member fishing vessels
230 hauling gear and engaging in engine cycling patterns, as described in detail by Thode et
231 al. (2015, 2007). The bulk of the energy in recordings of fishing vessel engines hauling
232 gear is below 7 kHz (Figure 2), but the true frequency range of the signal is between 500
233 Hz -13 kHz. Cavitation signals from the engines signal can be detected reliably from a
234 minimum of 5 km away in water 600-700 m deep, but on calm days detections can be
235 made out to 10 km (Thode et al., 2015). Several 3-minute recordings were selected from
236 vessel recordings, which were programmed to continuously cycle for hours at a time. A
237 fade-in/fade-out was added to the beginning and end of each 3-minute sample. Figure 2
238 shows a spectrogram of the signal received 100 m away from a broadcast of the decoy
239 signal. Original recordings were edited to remove sperm whale clicks and any other
240 biological sounds, to eliminate any potential influences, as we only wanted to test the
241 effect of vessel engine hauling sounds in attracting sperm whales. Electronic self-noise at
242 9.3, 12.8, and 13.1 kHz was also removed using notch filters, and the signal was then
243 amplified until it spanned the maximum dynamic playback range of the device. Finally, a
244 gentle fade-in/fade-out was added to the start and end of a continuous three-minute data
245 sample. This three-minute segment could be played in a continuous loop for several hours
246 until the battery discharged.

247

248 *2.3. Sablefish Fishing*

249 Sablefish fishing predominantly occurs in water depths between 400-1000 m. A true
250 fishing set, as is standard for demersal longline fishing gear in Alaska, consists of two
251 anchored buoylines connected by baited hooks on a groundline. The groundline consists
252 of 200 m sections called “skates” tied together, the total length of which is highly
253 variable depending upon vessel size, fishermen preference, and unpredictable factors
254 such as current and sea state. However fishery-wide, longline sets average 7 km length
255 with hook spacing averaging 1.2 m, which is equal to 7,500 hooks per set (NOAA, 2017).
256 Fishers typically fish multiple sets per trip, depending on weather and how many pounds
257 they aim to catch, and after deploying the set, allow it to “soak” for 6-24 hours to allow
258 fish to strike the hooks. Setting, soaking, and hauling gear occurs at all hours of the day,

259 though many fishermen prefer to set and haul their gear during daylight hours, and allow
260 it to soak overnight.

261

262 *2.4. Experimental design*

263 Between June and July of 2013 skipper Stephen Rhoads of the F/V *Magia* transported the
264 decoy, along with three autonomous recording devices, to the western Gulf of Alaska in
265 order to fish for sablefish (Figure 4). The F/V *Magia* is a 58 ft. steel longline fishing
266 vessel, which targets sablefish and halibut. Hooks are spaced 46” apart and longline sets
267 average 3 miles in length. For each trial the skipper was to deploy both the acoustic
268 decoy configuration and a true fishing set (Figure 3). Autonomous recorders were
269 attached to both the decoy and the true fishing haul to calculate explanatory variables of
270 sperm whale presence/absence, number of whales, and timing of arrival of whales. First
271 the fisherman would deploy his fishing sets for the day. On the true fishing sets, the
272 recorder was deployed at 100 m depth on the buoyline end of the set that was to be
273 hauled last, so as to allow the recorder to remain in the water during the entire duration of
274 the fishing haul to monitor whale activity in the area. After deploying the fishing sets, the
275 vessel was instructed to move 1-14 km away to deploy the decoy buoy. An element of
276 randomization must be present in experiments such as this. Here, there were distinct
277 distances for the device to be set (1-14 km), but the randomization came in that we did
278 not control which set was assigned which distance level. This range of distances was
279 chosen for a variety of reasons. First, input and consultation with fishermen revealed they
280 would not want to travel more than 14 km away from their fishing set to deploy the
281 device. This suited the study as detection of vessel hauling sounds by sperm whales falls
282 off after 8-10 km (Thode et al., 2007), and we wanted the maximum distance of the
283 device to be at the edge of the audible range for whales to detect another fishing haul.
284 Finally, differing distances create different levels of distracting noise intensity, as well as
285 longer distances for whales to swim between the decoy and the fishing haul. An
286 additional autonomous recorder was attached at 100 m depth below the decoy.

287 The skipper was instructed to record the location and depth of each anchored
288 buoyline from the ends of the true fishing sets, as well as of the decoy buoy configuration

289 itself. In addition he/she was instructed to record the date and time of each gear
290 deployment, time of decoy activation and deactivation, and date/time of retrieval of gear.

291 After the decoy and fishing sets were deployed, fishing vessels were instructed to
292 travel to shallow water, away from sperm whale habitat and where acoustic detection and
293 tracking of vessels is more difficult (Møhl et al., 2000; Thode et al., 2015; Watwood et
294 al., 2006; Whitehead, 2003). This reduced the ‘saturation’ of vessel noise on the fishing
295 grounds for whale detection. While in shallow water, the skipper allowed the gear to soak
296 and fish to bite hooks, as is standard in commercial longline fishing operations. Once the
297 vessel was ready to haul the fishing gear, the fisherman would remotely activate the
298 decoy device, wait an hour to give animals that might be present in the area time to move
299 to the decoy, and then approach the actual fishing gear to begin a true haul. During the
300 fishing haul the skipper recorded the time of all sperm whale interactions, and estimated
301 the number of whales during each encounter. Sperm whale presence at the fishing haul
302 was defined as visual sighting, reduced catch, bent/straightened hooks, and/or visual
303 evidence of depredation as reported by fishermen in all instances. The acoustic recorder
304 placed on the true fishing set confirmed sperm whale presence in inclement weather
305 where visual observations may not have been easy to make. Sperm whale
306 presence/absence at the haul was represented numerically with a 1 for presence and 0 for
307 absence. After recovering the true gear, the vessel could leave the decoy buoy in the
308 water, but remotely deactivate it. The vessel could then perform another complete
309 deployment and recovery of additional sets. This approach would minimize the
310 inconvenience of deploying and recovering the decoy buoy. Alternatively, the fishermen
311 could opt to bring the decoy back aboard the vessel and move to another area before re-
312 deploying the configuration.

313

314 *2.5. Post-processing*

315 Once the vessel returned to shore, the acoustic data were preprocessed to determine
316 whether a particular haul would be included as a sample in the statistical analysis. The
317 two requirements for a particular haul to be included in the analysis were as follows:

318 (1) The acoustic decoy had to be broadcasting during a particular haul.

319 (2) Sperm whales had to be acoustically detected on the decoy buoy acoustic
320 recorder.

321 A sighting or acoustic detection of sperm whales at the true haul was not required, in
322 order to account for a situation where whales stayed in the vicinity of the decoy but did
323 not travel to the location of a true haul. Requirement 2 ensured that a particular haul
324 would not be rejected if no sperm whales were present at the true haul.

325 To address Requirement 1 the power spectral densities of the decoy buoy recorder
326 data were computed by taking a series of 512-point Fast Fourier Transforms of the entire
327 data stream, with 75% overlap. These densities were integrated between 500 and 9000
328 Hz to yield a broadband measure of the acoustic intensity in the environment, and every
329 10 seconds the percentile distributions of this intensity were computed. These percentiles
330 were plotted vs. time; whenever the decoy was actually activated, a sudden jump in the
331 acoustic intensity across all percentiles would occur.

332 Requirement 2 was checked by taking the same set of power spectral densities,
333 averaging them for 1-2 seconds, and then creating a series of images that displayed this
334 average power spectral density over time. Sperm whale clicks produce distinctive
335 signatures (Goold and Jones, 1995) in these images that can be quickly identified by
336 manually reviewing these images. The sperm whale signatures were detectable even
337 when the decoy was active, because at distances within about 5 km of the decoy, sperm
338 whale clicks have energy above 11 kHz, the maximum spectral component of the decoy
339 signal. In addition, sperm whale clicks could typically be recognized at lower
340 frequencies, even when masked by the decoy signal.

341

342 *2.6. Statistical analysis*

343 The objective of the study was to assess how the decoy-haul separation distance related to
344 depredation. While some analyses use the calculation of catch-per-unit-effort (CPUE) as
345 a proxy for depredation, this has been shown to be difficult acquire, and can be a poor
346 predictor of depredation rates (Roche et al., 2007; Straley et al., 2015). Thus three
347 variables were chosen as separate proxies for depredation, as follows: 1) A simple
348 presence/absence predictor of whales as an indicator of depredation, which assessed how
349 the distance between the decoy and fishing set related to the probability of encountering a

350 sperm whale; 2) The number of whales, which allowed for multiple whales to arrive at
351 the decoy, but not all of them to make the decision to leave and swim to the true fishing
352 haul; 3) The final response chosen was the time delay between when the fishing haul
353 started and when whales arrived at the fishing haul. This response tested if the decoy
354 could distract whales long enough to delay them from arriving at the fishing set so the
355 fisherman could retrieve most of their gear before whales arrived.

356 Any fishing hauls that passed the two criteria in the previous section were then
357 included in the final statistical analysis, which consisted of three generalized linear
358 models (GLMs). All three models used the same predictor variable, which was the
359 distance between the decoy buoy and the nearest end of the set (“decoy-haul separation
360 distance”). The input to the link function in all cases was of the form:

$$361 \quad y = b_1 + b_2r \quad (1)$$

362 where r is the decoy-haul separation in km, y is the input to the link function, and b_2
363 represents the coefficient that expresses a connection between decoy-haul separation and
364 the dependent variable. The three GLMs were as follows:

365 (1) The first model used a binary variable for whale *presence* at the true haul as
366 the response variable. This allowed testing of whether or not increased distances reduced
367 the likelihood a whale would be present at the fishing haul. A binominal distribution was
368 fitted to the data, with the logit function as the link function.

369 (2) The second model used the *count* of the animals sighted at a true haul as the
370 response variable (including zero, if sperm whale activity had been detected at the decoy
371 buoy, even if no animals were present during the haul). We used a Poisson model since
372 the domain of the dependent variable is a set of nonnegative integers, and can be
373 interpreted as a rate (whales sighted/haul).

374 (3) The response variable for the final model used the *time delay* between the time
375 the decoy was activated and the time a whale’s presence was noted at the true haul. A
376 standard linear regression model with normally distributed errors was used for this
377 approach. Whale arrival times at the true fishing haul was determined by acoustic
378 detection; however, if no recorder was available at the haul, then the visual logs of the
379 fishermen were used.

380 After fitting the appropriate model, a *t*-test was conducted to check whether the
381 value of b_2 differed significantly from zero. A *t*-statistic that yielded a *p*-value of 0.05 or
382 less was deemed a significant result. Assumptions of independence, correct specification
383 of the variance structure, correct distribution of the residuals, and linear relationship
384 between the response and linear predictor were tested.

385

386 **3. Results**

387 All deployments took place off the continental shelf break between 400 and 1000 m
388 depth from June 20 to July 16, with the exception of June 22-25, June 29-July 4 and July
389 10-11 when the vessel was in port selling fish. This resulted in a total of 14 days of
390 deployments. Each day, two sets were deployed and hauled around a single decoy
391 deployment, and the decoy was turned on and off twice during the day, in order to reduce
392 the logistical inconvenience of re-deploying the decoy. On one day, July 16, 2013, three
393 fishing sets were hauled rather than two, and on July 12 only one set was hauled. A total
394 of 28 hauls were conducted while the decoy buoy was also deployed, and preliminary
395 acoustic analysis was conducted to confirm whether decoy activation occurred (Fig. 5)
396 and whether sperm whales were present at the decoy or haul. From this preliminary
397 analysis 12 hauls had no whales at the decoy or the fishing haul; one haul was missing an
398 acoustic recorder, had no information about the location of the fishing set, and had no
399 haul time listed; and one haul had the decoy fail to activate. These sets were discarded
400 with insufficient data for the experiment. The remaining 14 hauls were selected for
401 detailed statistical analysis (Table 1). Figure 5 shows an example of how the autonomous
402 recorder mounted on the decoy confirms the decoy activated twice during July 13, 2013.

403 The acoustic data collected on the fishing hauls was used to verify arrival times of
404 whales at the true fishing haul noted by the fisherman. On two occasions the fisherman
405 had not written down a time of arrival for whales, just that they had arrived and begun
406 depredating. For those two occasions, we omitted the two data points for the model
407 assessing the time delay of the whales' arrival at the true fishing haul, model 3. However,
408 we were able to keep those two data points for the other analysis of presence/absence and
409 number of whales, as acoustic detections of the whales from the acoustic recorder on the
410 fishing set confirmed presence and number of whales.

411 One outlier was found, where the decoy did not correctly de-activate when the
412 skipper thought he had turned it off. For this record, on July 14, 2013 the decoy was
413 activated at 10:24am, as the skipper went to haul his first set, and de-activation failed
414 after the first set. Instead, the device stayed on, and had been running for 9 hours and 31
415 minutes by the time the second haul began (Set 13, Table 1). As such, the second haul is
416 considered an outlier data point where the longer 9.5 hour activation of the decoy could
417 be influencing whale activity differently than intended with a 1 hour activation target
418 prior to hauling the fishing set. Due to a small sample size, we left this data point in for
419 each model, and then re-ran the model omitting the outlier to assess its potential effect on
420 our results.

421

422 *3.1. Binominal Model*

423 Distance between the decoy and the true fishing haul was a not a significant predictor of
424 whale presence at the haul ($t = -1.85$, $df = 12$, $p = 0.06$) (Fig 6). When the outlier was
425 omitted (Set 13, Table 1), the decoy effect was also non-significant ($t = -1.8$, $df = 11$,
426 $p = 0.07$).

427

428 *3.2. Poisson Model*

429 The Poisson GLM showed significance at the 5% level between the decoy-haul
430 separation distance and the number of whales that arrived at the fishing haul ($t = -2.06$,
431 $df = 12$, $p = 0.04$) (Fig 6). The coefficient for the response of -0.1648 ± 0.08 whales per km
432 separation indicated that every 6 km increase in separation distance would result in 1
433 fewer whales arriving at the fishing haul. Discarding the outlier data point in the analysis
434 only slightly changed the significance ($t = -2.19$, $df = 10$, $p = 0.03$) and the coefficient ($-$
435 0.172 ± 0.087). The variance of the residuals is consistent with those of an actual Poisson
436 distribution, with the dispersion parameter (the ratio of measured variance to expected
437 Poisson variance) being 0.88 when all samples are used, and 0.68 when the outlier was
438 rejected.

439

440 *3.3. Linear Model to Delay Time*

441 For this model, only eight data points were available, as four sets had no whales
442 present at the haul and could not be included, and two sets did not have the time of whale
443 arrival logged by the fisherman. A linear regression between decoy-haul separation
444 distance and the time delay between decoy activation and sighting of first whale at the
445 true haul, or “decoy-haul delay” showed significance at the 5% level ($t=2.5$, $df=7$,
446 $p=0.046$; Fig. 7).

447 This reduced data set included the influential outlier, which caused the time
448 difference between the decoy activation and the second fishing haul of the day on the 14th
449 of July (Set 13, Table 1) to be accidentally long. If this influential data point is
450 eliminated, the seven remaining data points reveal no significant correlation between the
451 distance from the decoy to the fishing haul and the delay time from decoy activation to
452 the time the first whale was sighted at the fishing haul ($t=0.848$, $df=6$, $p=0.435$; Fig 7).

453

454 **4. Discussion**

455 The prospect of delaying, reducing, or even preventing whale presence at a fishing haul is
456 highly attractive for longline fishermen. Using an acoustic decoy as an attractant to lure
457 whales to an area away from the fishing haul has shown promise in this analysis, which
458 we hope can represent a preliminary study upon which to build future experiments. We
459 believe these positive results are the first analysis of an acoustic decoy test on marine
460 mammals, and one of the first effective countermeasures ever tested by SEASWAP.

461 The time delay of arrival of whales showed a significant relationship only if the
462 outlier was included. Here the farther the decoy-haul separation distance, the longer it
463 took whales to arrive at the fishing gear. In the reduced model without the outlier, the b_2
464 coefficient for the delay was a 30.3 ± 12.12 min per km separation between the decoy and
465 haul, which has an inverse coefficient of 0.033 km/min swim speed for a sperm whale, or
466 1.9 km/hr. This is much slower than the typical swimming speed of 9.26 km/hr (5 knots)
467 for a sperm whale (Wahlberg, 2002). This suggests whales were not always arriving at
468 the true haul from the decoy, and could have been coming from other directions.
469 Analysis of the simple binomial fit of whale presence/absence yielded a close but not-
470 significant p -value of 0.07 . As the decoy-haul separation distance increased, likelihood of
471 whale presence at the fishing haul decreased, but not significantly so. However, the

472 distance between the decoy and the haul was shown here to be a key factor in
473 significantly reducing the number of whales that arrive at the true fishing haul. As the
474 distance between the decoy and the true haul increased, fewer whales arrived at the true
475 haul.

476 Together these results suggest that the decoy can be effective in reducing
477 interactions of whales with longline fishing vessels, but only if the distance between the
478 decoy and true hauls is sufficiently great. The transition point of the binominal fit (y-
479 value: 0.5) suggests that the hauls should be at least 10 km from the decoy in order for
480 the technique to be effective. Given sperm whale average swim speeds of 9.26 km/hr
481 (Wahlberg, 2002), this corresponds to an estimated swimming time of one hour for a
482 whale traveling from decoy to the true haul. Therefore, even if whales attracted to the
483 decoy departed as soon as they heard the true fishing haul begin, the fisherman could
484 theoretically retrieve an hour's worth of gear before whales arrived. While the range of
485 fishing haul times varies drastically amongst longline fishermen in Alaska, anecdotal
486 information from many small-boat fishermen that work out of the SEASWAP study area
487 suggest an average of 3-hour fishing hauls. At this rate, deploying a decoy could allow a
488 fisherman to haul a minimum of 1/3 of his catch before depredation affected the catch.
489 While it is difficult to know the detection range of the acoustic decoy for sperm whales,
490 previous work by SEASWAP has documented a minimum of 4-8 km detection of fishing
491 vessel activity (Thode et al., 2007), with anecdotal evidence from researchers suggesting
492 whales can detect fishing activity in calm weather conditions at upwards of 18 km
493 (Straley pers. comm.). Sperm whale echolocation signals themselves can occupy an
494 acoustic space of over 60 km² so their ability to detect a fishing vessel from a 10 km
495 distance at the surface is likely not that far-fetched.

496 The capability of detecting sperm whales at the decoy using passive acoustics,
497 even when no whales were sighted at the true haul, was a crucial factor in the analysis, as
498 four data points confirmed whales were present at the decoy, while no whales were
499 sighted that day at the true fishing haul. This implies that at least some of the time,
500 whales would approach the decoy and loiter in the area, but choose not to swim to the
501 true fishing haul that they could undoubtedly hear in the distance. Whales either decided
502 the distance was not worth the effort to swim, perhaps if the decoy was already in an

503 optimal foraging area, or vessel hauling sounds playing from the device masked the
504 ability to detect very distant fishing hauls. It is also possible that the whales heard
505 another vessel in the area and swam to that sound instead, though reports from the
506 skipper revealed that he detected very few other vessels during this time in the area he
507 was fishing. Further acoustic analysis of sperm whale echolocation activity in the vicinity
508 of the decoy throughout the duration of the fishing haul would be necessary to suggest
509 likely scenarios for these data points.

510 All other data points suggest whales swam between the decoy and the fishing
511 haul, though it must be noted that while detections could be made both at the decoy and
512 at the fishing haul, the single hydrophone deployments restricted the ability to track
513 animals between the two sites. Thus it was not possible to confirm that whales heard at
514 the decoy were the same individuals that arrived at the fishing haul. It is entirely possible
515 that whales arriving at the true haul were coming from a different direction and had not
516 yet encountered the decoy. To tease out these nuances, the data should be examined more
517 closely, and “loiter” times of acoustic detections at the decoy calculated. Depending upon
518 the decoy-haul separation distance, it would be possible to estimate whether or not timing
519 of arrivals at the true haul were plausible, given the average swim speed of a whale and
520 the timing of departure from the decoy.

521 This experiment sought to collaborate with working commercial fishing
522 operations, which requires SEASWAP to minimize the changes in fishing practices that
523 were required for experimental design. Acknowledging the limitations of this
524 collaboration, we allowed fishers to incorporate the acoustic decoy into their normal
525 fishing operations, with limited modifications. As a result, our study had a small sample
526 size, with a single vessel, region, and time period. It must be noted that time of year,
527 fishing management area, and vessel variables may be important factors in the success of
528 the decoy (or any depredation countermeasure), depending on whale presence and fishing
529 pressure across management areas. Sperm whale presence does not show many seasonal
530 trends within the fishing season (Straley et al., 2014), though fewer animals are thought
531 to be present in the spring (Mar-Apr) and fall (Oct-Nov) months than the peak summer
532 months (May-Sep) (Mellinger et al., 2004). Given our experiment was in June-July mid-
533 summer, an interesting contrast would be to test the device early or late in the season

534 when perhaps fewer whales were in the area. There is no evidence that specific vessels
535 experience different levels of depredation, and the vessel of 58 ft. used in this study was
536 consistent with the median length for the fishery of 56 ft. (NOAA Fisheries Service,
537 2013). Finally, depredation activity is spread across all management areas and regions in
538 the Gulf of Alaska, though in every region hotspots do occur.

539 As a final note on the design and concept for this experiment, an additional
540 manner in which to test the efficacy of an acoustic decoy would be to assess whale
541 presence, numbers, timing, and/or catch rates as a function of whether or not the decoy
542 was activated. We chose not to conduct the experiment in this fashion for a number of
543 reasons. Depredation is a function of a multitude of factors, and to accurately assess how
544 the presence of a decoy affected depredation rates, the sample size would need to be quite
545 large. The experimental unit of a longline set is extremely high (labor, fuel, bait, etc.) and
546 to test additional longline sets with and without an acoustic decoy activated would be cost
547 prohibitive. Additionally, such an experiment would be time consuming, at a minimum
548 doubling the sample size needed to include non-decoy sets in the analysis. Finally, whale
549 presence cannot be controlled, and even further additional sets would be needed to
550 achieve a large enough sample size where whales were present at either the decoy or
551 fishing haul, for cases in which the decoy was and was not activated. As a result of these
552 factors, we chose the decoy-haul separation distance as our response variable, and instead
553 randomized the order of which distances were associated with which hauls.

554 While the concept of the acoustic decoy works, discussion with the fishermen
555 involved with the project revealed concerns about the concept's practicality using current
556 designs. Fishermen stressed the need for several major changes in the gear design. The
557 radio communication link was flawed; due to line-of-sight restrictions and weather
558 complications, the maximum activation range of the buoy was limited at many times to
559 11 km, and the feedback from the buoy to the vessel was inconsistent. At present
560 deploying and recovering the decoy buoy is time-consuming, and perhaps provides more
561 time for sperm whales to detect a fishing vessel in the area. The current device is heavy
562 and awkward, and could require fishermen to drive their vessel over 10 miles (16 km) to
563 set the decoy away from their gear. A future device would either need to be made lighter
564 and more manageable, or would require a longer-term installation with larger battery

565 storage. These changes are feasible from an engineering perspective, but would require
566 additional funding to improve and adjust the technology.

567 The idea of “residency” time of fishing vessels on a particular fishing ground
568 could potentially influence how many whales are in the area and how long they stay in a
569 region before moving on, if at all. To lower fuel costs and maximize efficiency, vessels
570 often spend concentrated time in a specific region to catch as many fish toward their
571 quota in that region as possible. When whales are present, skippers tend travel into
572 shallow waters during the soak of the gear, which is not typical habitat of sperm whales
573 and where acoustic detections and propagation of sound makes vessels harder to track
574 (Møhl et al., 2000; Thode et al., 2015; Watwood et al., 2006; Whitehead, 2003).

575 During this experiment the skipper noted that there were rarely other vessels in
576 the area fishing. The presence of other vessels could cause a confounding effect with the
577 acoustic decoy, as the decoy, in essence, is a pseudo-vessel. In fact, it has been shown
578 that increased vessel activity and catches by fishers is positively correlated with the
579 likelihood of experiencing depredation (Peterson and Hanselman, 2017). Other vessels in
580 the area will have an effect on whale behavior and thus likely alter the outcome of
581 success rates for the decoy device. For example, a vessel that deploys the decoy 5 km
582 north of his fishing gear, while another vessel is fishing 5 km south of him, will have a
583 higher chance of encountering whales simply by having two vessels plus the acoustic
584 decoy making hauling noises rather than two. Further, if whales are initially depredating
585 the vessel to the south of him, they will encounter his vessel as they hear vessels hauling
586 gear and move north, before reaching the decoy, thus rendering the decoy
587 counterproductive. Other vessels, if present, are essentially decoys themselves, removing
588 the need to deploy an artificial one. An old fishermen trick, when fishing among multiple
589 vessels when multiple sperm whales are present, is to wait to haul gear until another
590 vessel begins hauling, or to drive by other vessels hauling gear and “drop whales off” at
591 other vessels. It must also be noted that having a high number of vessels in an area with
592 just a few whales may dilute the effect of depredation on specific vessels, but does not
593 change the effect of depredation fleet-wide.

594 Similarly, the concept of “residency” in whale behavior could influence the
595 likelihood of depredation and the investment of whales to stay in a particular area. Very

596 little is known about social structure and residency in male sperm whales in high latitude
597 foraging grounds such as this one. Whale movement is also likely tied to food
598 availability, both natural and in the form of anthropogenic subsidies. Hotspots in
599 depredation reporting usually align with areas where sablefish are abundant, with both
600 whales and fishermen knowing where the good fishing areas are (Peterson and
601 Hanselman, 2017; Straley et al., 2015). This begs the question of whether or not
602 depredation is purely opportunistic, or if whales actively seek fishing vessels, and only
603 focus on finding food naturally when vessels cannot be found. Of the 115 individual
604 sperm whales in the SEASWAP catalog sighted some 420 times total, 10 individuals
605 make up 1/3 of all sightings, indicating some animals may be more adept, reliant, or
606 active in seeking out depredation opportunity than others (SEASWAP unpublished data).
607 If the fish being depredated (i.e. sablefish) are indeed an important prey item for the
608 whale, depredation behavior could be very different than for fish species not naturally
609 part of their diet. In a review of data from Japanese whaling ships in the 1960s, sablefish
610 and other deep sea fishes made up 68% of sperm whale stomach contents in the Gulf of
611 Alaska versus up to 20% in the Bering Sea (Kawakami, 1980). However, current diet for
612 sperm whales in this region remains poorly understood. We must acknowledge that our
613 knowledge remains limited when it comes to the complexity and nuances of the drivers
614 behind depredation.

615 A more fundamental concern expressed by fishermen is whether activating a
616 decoy may serve to *attract* animals into the region, even if the animals are not attracted
617 directly to the fishing vessel itself. Opposite from concerns about other vessels in the area
618 saturating the area with sounds and rendering the device ineffective, this concern
619 revolves around situations when there are not other vessels in the area. It was this concern
620 about potentially attracting animals that led fishermen to use the decoy only when whales
621 were actually sighted in the area during the vessel's initial arrival. This scenario would
622 perhaps be more likely during spring and fall seasons, and if fishermen were fishing in
623 areas that were not hotspots as mentioned above. While whale movements in this area
624 can be unpredictable and depredation can be unpredictable, recent studies have shown
625 that sperm whale depredation rates are correlated to areas where high catches occur in the

626 fishery, and that sperm whales may target areas naturally where more fishing occurs
627 (Peterson and Hanselman, 2017).

628 Use of decoys to attract animals to another area is limited in the literature.
629 Perhaps most similar to the present study is a trial experiment where female elephant
630 estrus calls were played to attract male elephants away from areas where human conflict
631 might arise (O’Connell-Rodwell et al., 2011). Here, results found success of playbacks in
632 attracting males was dependent on age and hormonal status (O’Connell-Rodwell et al.,
633 2011). Other studies of playback experiments, while not used in mitigation or to
634 minimize conflict, do show reactions of animals to sounds of conspecifics or predators
635 played to them. Playbacks of song and social sounds to humpback whales caused
636 reactions in line to what would be expected if sounds were real rather than recordings
637 (Tyack, 1983). Male warbler songs used to attract females were more likely to attract
638 female warblers than other male warbler song, when recordings were played back
639 (Catchpole and Leisler, 1996). This experiment is similar, in that the playback consisted
640 of sounds known to be a strong attractant the target species.

641 One of the main concerns for playback experiments is the question of habituation.
642 A number of playback devices that have been tested on odontocete depredation are
643 designed to be deployed directly from the vessel, to deter the animals as they approach
644 the fishing gear (Mooney et al., 2009; Tixier et al., 2014b). These experiments have
645 found that while whales will exhibit reduced echolocation abilities, or avoid the area,
646 over time animals appear to habituate and ignore the device (Gilman et al., 2006; Mooney
647 et al., 2009; Tixier et al., 2014b). For the acoustic decoy experiment, habituation may not
648 even arise as an issue, in that if sperm whales were to learn to disassociate vessel-hauling
649 sounds from fishing hauls or depredation opportunities, the result would also be
650 beneficial to fishermen. If whales habituated to this sound, or found that it did not always
651 result in a free meal, they may no longer be attracted to engine hauling sounds
652 themselves, reducing the conflict of whale-vessel interactions.

653 While the data for this study was collected over one month, the current data set
654 cannot address the legitimate question of whether whales could recognize decoy
655 playbacks as decoys over longer time intervals. While it is possible the pattern of
656 engaging and disengaging of the engine on a particular playback might become

657 recognizable, this could easily be overcome by developing multiple recordings of
658 multiple vessels hauling gear. By using multiple clips of over 3 minutes, from multiple
659 vessels, this design permits the randomized playback of non-repetitive sound sequences
660 that last several minutes at a time, greatly expanding the amount of time required for an
661 animal to recognize a particular sound sequence being associated with a decoy rather than
662 a true fishing haul. A final conceptual advantage of an acoustic decoy, as opposed to
663 playbacks designed to deter animals, is that fidelity of reproduction is not as big an issue
664 of concern, as these signals are intended to be detected at large ranges and thus exhibit
665 low signal-to-noise ratios (SNR) anyway.

666 We have shown that a decoy can attract whales, but it is up to fishermen to decide
667 if it is worth it for them to bring on a particular trip and deploy it, given predictable
668 conditions (region, season) and unpredictable conditions (other vessels on the grounds,
669 whales sighted upon arrival to the grounds). While the results of this study reinforce
670 initial studies of the efficacy of an acoustic decoy (Thode et al., 2015), its practical
671 application would require more technological investment, and its utility is best suited for
672 situations where vessels are fishing alone in areas where whales are already known to be
673 present. It has become widely accepted that there will not be one solution to the problem
674 of depredation and marine mammal interactions with fisheries, even within a specific
675 fishery and a specific species (Arangio, 2012; Peterson and Carothers, 2013; Schakner
676 and Blumstein, 2013). Changes in fishing practices have been explored worldwide,
677 including changing the timing of fishing operations, avoiding fishing in areas known to
678 have high numbers of depredating animals, and changing the vessel or fishing method to
679 mask or minimize the effect of the attracting sound (usually the vessel engine) (Gilman et
680 al., 2006; Rabearisoa et al., 2015; Thode et al., 2009; Tixier et al., 2014a). These
681 techniques, combined with devices and gear modifications that have shown some
682 success, may be used together to minimize effects of depredation. Adding a variety of
683 tools to minimize these interactions to the toolbox of available techniques for fishers may
684 be the best way to minimize detrimental effects of whale-fisheries interactions.

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687

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701

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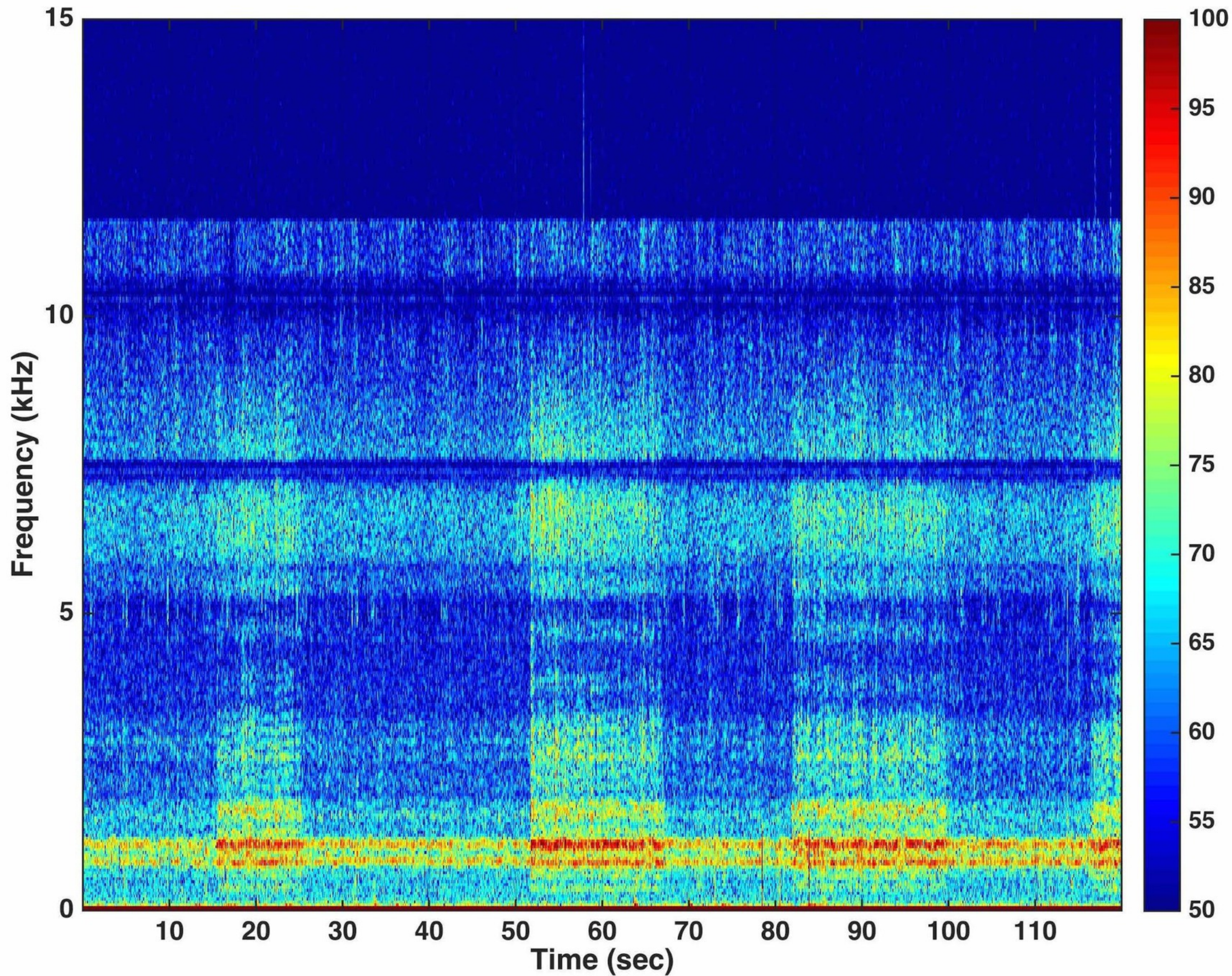
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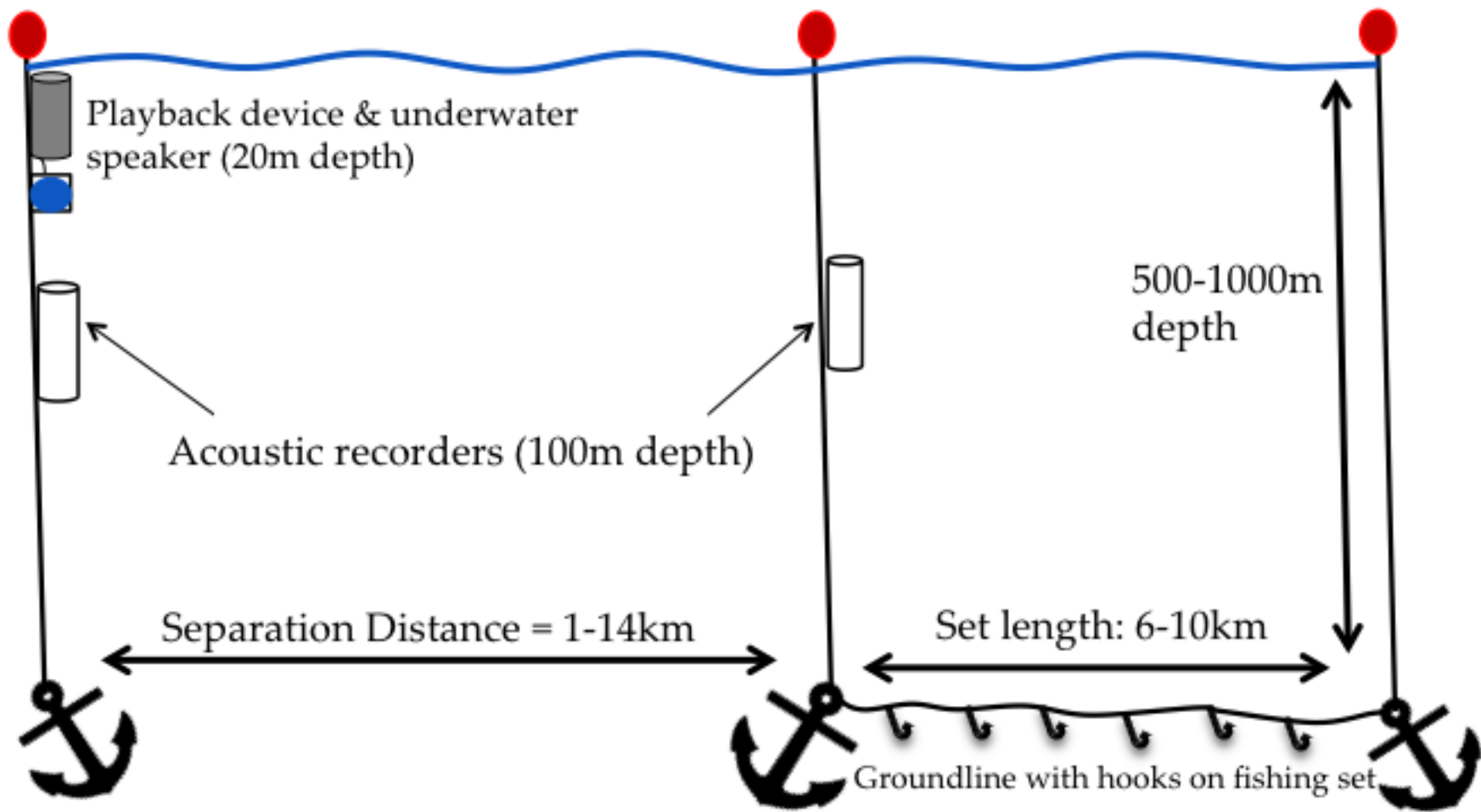
DECOY 2

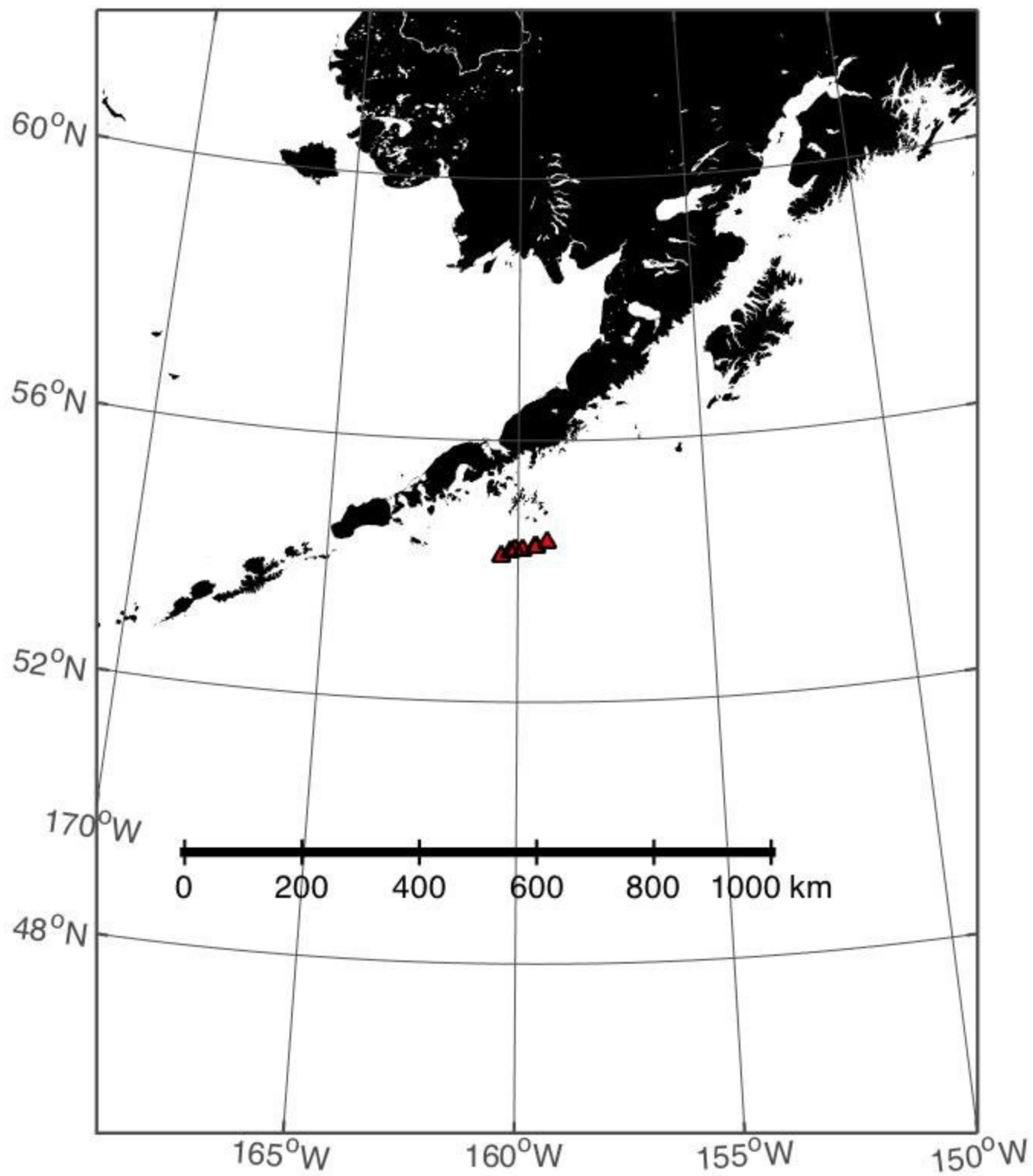


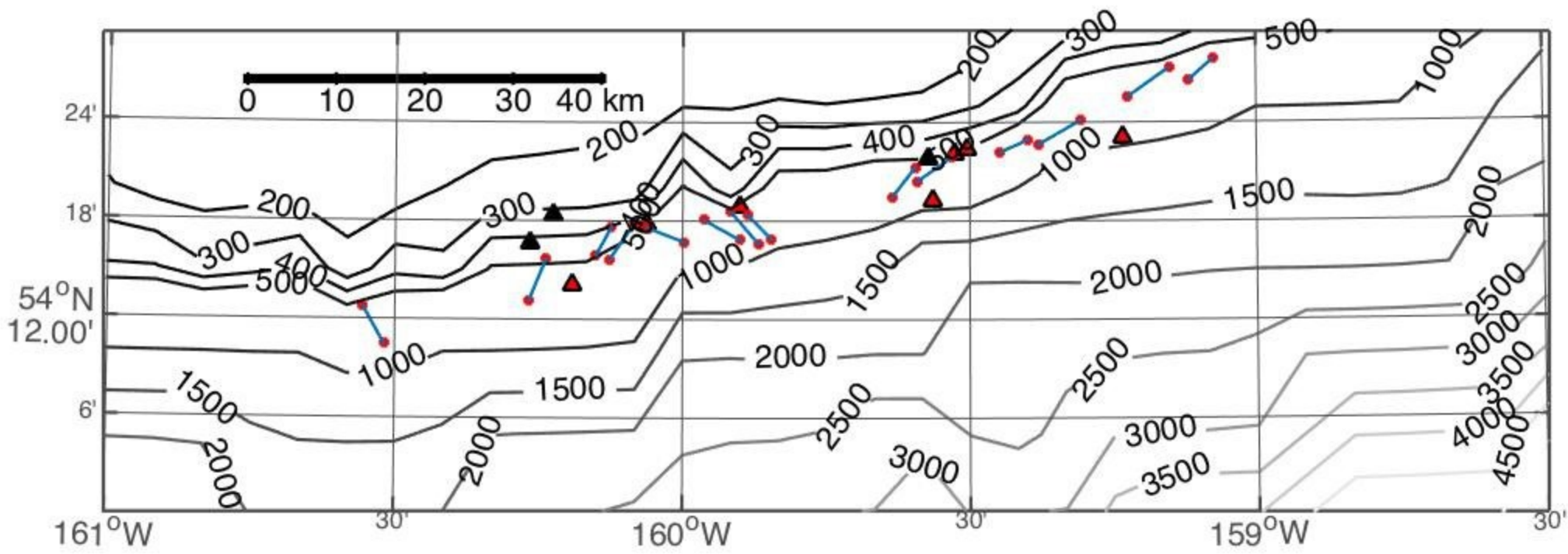


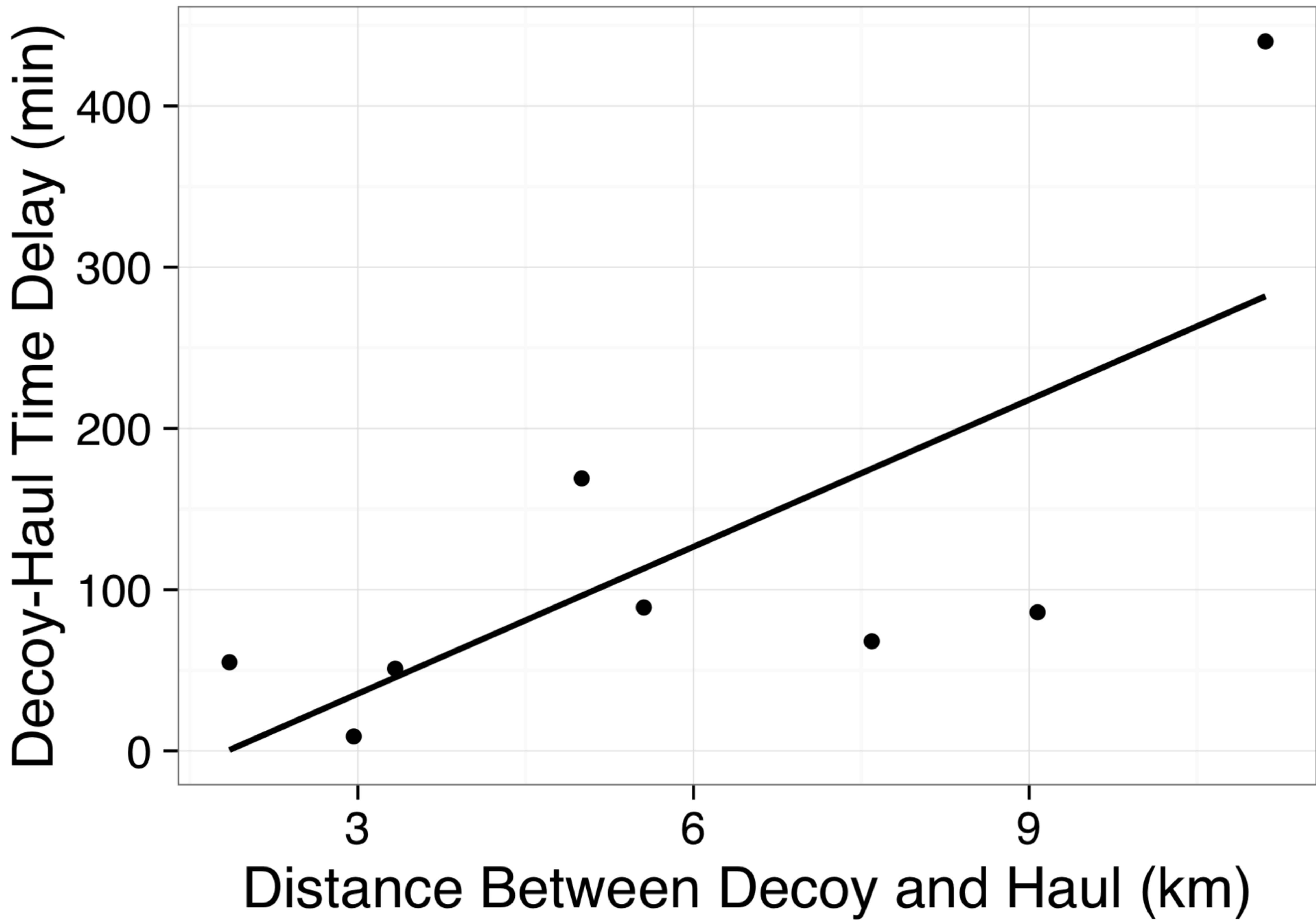
Acoustic
Decoy

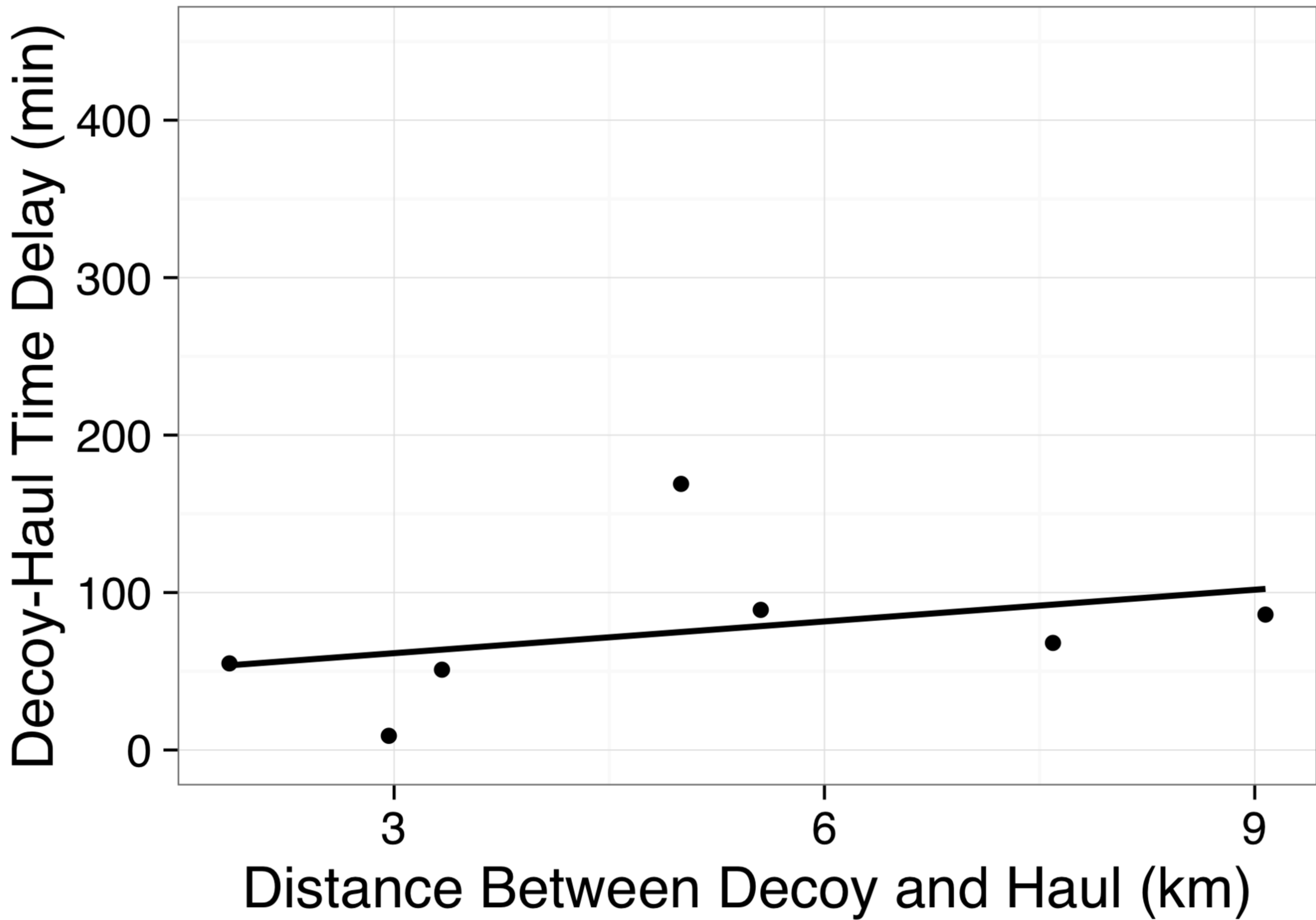
True fishing set











Set #	Date	Distance from Decoy (km)	Time Decoy On	Time Haul Start	Time Decoy Off	Number Whales at Haul
1	20-Jun-13	7.4	15:01	16:03	21:00	0
2	21-Jun-13	1.77	4:41	6:00	9:39	1
3	27-Jun-13	4.35	7:17	7:32	11:54	1
4	27-Jun-13	4.99	14:18	14:45	17:40	1
5	05-Jul-13	6.92	8:03	9:00	12:17	0
6	08-Jul-13	12.07	6:35	7:40	12:09	0
7	09-Jul-13	2.9	7:39	8:30	10:07	3
8	09-Jul-13	6.6	15:02	16:00	18:58	1
9	12-Jul-13	9.66	14:07	19:48	21:40	2
10	13-Jul-13	7.89	10:03	11:01	11:49	1
11	13-Jul-13	4.83	20:40	20:45	22:40	1
12	14-Jul-13	12.39	10:24	15:40	21:56	0
13*	14-Jul-13	1.61	10:24	19:55	21:56	2
14	16-Jul-13	2.57	21:21	21:30	0:32	3