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## 1 Title: Climate-induced interannual variability and projected change

# 2 of two harmful algal bloom taxa in Chesapeake Bay, USA

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#### Abstract

31 32 Retrospective analysis of water quality monitoring data reveals strong interannual shifts in the spatial distribution of two harmful algal species (Prorocentrum minimum and Karlodinium 33 34 *veneficum*) in eutrophic Chesapeake Bay. A habitat model, based on the temperature and salinity 35 tolerance of the two species as well as their nutrient preferences, provides a good interpretation for the observed seasonal progression and spatial distribution of these taxa. It also points to 36 climate-induced variability in the hydrological forcing as a mechanism driving the interannual 37 shifts in the algal distributions: both P. minimum and K. veneficum shift downstream during 38 wetter years but upstream during dry years. Climate downscaling simulations using the habitat 39 40 model show upstream shifts of the two species in the estuary and longer blooming seasons by the mid-21<sup>st</sup> century. Salt intrusion due to sea level rise will raise salinity in the estuary and cause 41 these HAB species to migrate upstream, but increasing winter-spring flows may also drive 42 43 favorable salinity habitat downstream. Warming leads to longer growing seasons of P. minimum and *K. veneficum* but may suppress bloom habitat during their respective peak bloom periods. 44 45 Keywords: harmful algal blooms; interannual variability; climate change; habitat model; 46 eutrophication; estuary 47 48 49 50 51 52

55 Harmful algal blooms (HABs) are escalating worldwide, recognized to be significantly associated with human-induced nutrient pollution as well as global climate change (Anderson et 56 57 al., 2002; Glibert et al., 2005a, b; Heisler et al., 2008; Hallegraeff, 2010; Fu et al., 2012; Wells et al., 2015; Glibert and Burford, 2017; Glibert 2020). There is little doubt that there are now more 58 HABs, more often, in new and different places, in both fresh and marine waters, often lasting 59 longer, affecting ecosystem and human health with a range of toxicities (Anderson, 1989; 60 Hallegraeff, 1993; Smayda, 2002; Glibert and Burkholder, 2018; Glibert, 2020). There is also 61 little doubt that climate change is impacting species distributions in complex ways, from 62 63 warming of waters, to altered stratification and changing precipitation patterns (e.g., Paerl and Huisman, 2008; Wells et al., 2015; Glibert, 2020). These changes can act both synergistically 64 65 and antagonistically with increasing eutrophication trends. For example, while in some regions, 66 increased precipitation, including episodic storm events, increases freshwater flow and the magnitude of nutrient delivery from runoff, increases in drought in other regions may reduce 67 land-based nutrient delivery. Estuaries are particularly susceptible to such fluctuations. 68 Chesapeake Bay, the largest estuary in the U.S., provides an excellent system to investigate the 69 potential impacts of climate change and climate variability on HAB abundance and distribution. 70

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Among the HABs with worldwide, and expanding, global distributions are the planktonic *Prorocentrum* and *Karlodinium* species (Heil et al., 2014; Glibert et al. 2008, 2012; and references therein). In Chesapeake Bay, *K. veneficum* and *P. minimum* (= *P. cordatum*, but note original name maintained here for consistency with data base entries) are among the most common HAB dinoflagellates in the mid to upper reaches of the estuary (Tango et al., 2005; J Li

77 et al., 2015). Planktonic Prorocentrum species are among the most commonly recognized harmful algae that are increasing in frequency, duration, and magnitude globally (Heil et al., 78 2005; Glibert et al., 2008; 2012); as of 2003, at least 56 species within the genus Prorocentrum 79 were known to populate estuarine and marine waters (Gomez, 2005) and of these, at least six 80 species have been shown to form high biomass blooms (Glibert et al., 2012 and references 81 82 therein). The global expansion of the best-studied pelagic Prorocentrum species, P. minimum, suggests that this species is spreading in concert with eutrophication (Heil et al., 2005; Glibert et 83 al., 2008; 2012). There are numerous descriptions of this species proliferating in waters 84 85 influenced by freshwater inputs, especially freshwaters laden with organic forms of nutrients (e.g., Silva, 1985; Granéli et al., 1985, 1989; Stonik, 1995; Grzebyk and Berland, 1996; Glibert 86 et al., 2001; Heil et al., 2005). Numerous records suggest that *Prorocentrum* sp. has flourished in 87 the estuaries of the southeastern U.S. as these systems have become increasingly eutrophic 88 (Glibert et al., 2012 and references therein). In Chesapeake Bay, the dynamics of its transport 89 and seasonal occurrence are well described (Tyler and Seliger, 1978, 1981; Glibert et al., 2001; 90 Tango et al., 2005) and the number of blooms of this species has increased from  $\sim 13$  per year in 91 the 1990s to >20 per year in the early 2000s (see J Li et al., 2015 for detailed statistical analysis). 92 93 Tango et al. (2005) found that *P. minimum* blooms were restricted to certain ranges of salinity and temperature and occurred more frequently in April and May. Blooms of P. minimum have 94 been associated with anoxic/hypoxic events, finfish kills, aquaculture shellfish kills and 95 96 submerged aquatic vegetation losses (Heil et al., 2005). One concern of P. minimum blooms in Chesapeake Bay is their potential impact on oyster growth and reproduction. While laboratory 97 98 results have been mixed, with Brownlee et al. (2005) and Stoecker et al. (2008) finding no 99 negative effects of *P. minimum* on oysters for short exposures, Luckenbach et al. (1993) and

100 Wikfors and Smolowitz (1995) found high mortality of Chesapeake Bay juvenile oysters 101 exposed for more than 10 days to high bloom densities ( $10^4$  to  $10^5$  cells mL<sup>-1</sup>) and negative 102 effects on several life stages of eastern oysters.

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Blooms of K. veneficum are distributed worldwide in estuaries and coasts from Namibia 104 105 (Braarud, 1957) to Europe (Bjornland and Tangen, 1979), China (Dai et al., 2014), Australia (Ajani et al., 2001; Adolf et al., 2015) and the U.S. (A Li et al., 2000; Adolf et al., 2008; Hall et 106 al., 2008). Karlodinium spp. have been found to be responsible for fish deaths worldwide, and 107 are toxic to oyster embryos, larvae, and juveniles which may be a concern in recovery of the 108 Chesapeake oyster fishery (Glibert et al., 2007; Brownlee et al., 2008; Stoecker et al., 2008). 109 110 Although much has been documented with respect to spatial and temporal distributions of these 111 taxa in Chesapeake Bay, in terms of temperature, salinity and nutrient conditions (Fan et al., 112 2003; Glibert et al., 2005a, b; Adolf et al., 2008; J Li et al., 2015; Lin et al., 2018a), much less is 113 known with regard to how their seasonal and spatial dynamics vary with climate.

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Chesapeake Bay is not only expected to warm considerably over the coming years 115 116 (Najjar et al., 2010; Irby et al., 2018; Ni et al., 2019), but nutrients are expected to change, and 117 seasonality is expected to shift. Springs in Chesapeake Bay are expected to become wetter, and it is projected that this will increase nitrogen (N) loads - even in the absence of increases in land-118 based applications; an increase in N flux down the Susquehanna River (the major tributary of 119 Chesapeake Bay) of 17% by 2030 and 65% by 2095 is expected from flow changes alone 120 (Howarth, 2008; Wagena et al., 2018). Moreover, sea level will rise, leading to stronger salt 121 water intrusion and higher salinity, particularly in the lower reaches of the Bay (Hilton et al., 122

- 2008; Hong and Shen, 2012; Ni et al., 2019). A key question is: how will these changes affect
  potential habitat for HABs such as *P. minimum* and *K. veneficum* in Chesapeake Bay?
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In this paper, habitat models for *P. minimum* and *K. veneficum* in Chesapeake Bay were 126 127 developed and compared to each other and to long-term monitoring data in hindcast mode for 128 years of varying climatic conditions. Then, using climate downscaling approaches, future projections for the mid-21<sup>st</sup> century were developed. The habitat approach was based on known 129 growth conditions in terms of temperature, salinity and nutrient composition embedded in a 130 131 spatially explicit biogeochemical model. As such, it provides a relatively simple but insightful method to explore future changes and to aid in the management of these harmful events. The 132 approach taken herein is similar to that applied by Glibert et al. (2014) in developing habitat 133 models for *Prorocentrum* and *Karenia* and climate change projections for the Northwest 134 European Shelf-Baltic Sea and Northeast and Southeast Asia. However, the work herein focuses 135 on two estuarine HABs species in a eutrophic estuary that is expected to be impacted by rapid 136 137 regional climate change such as faster warming and higher relative sea level rise (Hilton et al., 2008; Najjar et al., 2010; Ding and Elmore, 2015). The habitat models herein for P. minimum 138 139 and K. veneficum also differ from the ecological habitat forecasting models developed by Brown et al. (2013). They developed a general logistic regression model of *P. minimum* and an Artificial 140 Neural Network of K. veneficum. They then coupled these statistical models to an operational 141 142 hydrodynamic-biogeochemical model to make daily nowcasts and three-day forecasts for HAB species, with a focus on weather-related events. In contrast, the habitat models developed in this 143 144 study are directed at understanding long-term changes in HABs and the impacts of climate 145 change and climate variability. The habitat models are used to interpret the observed interannual

146 variability of *P. minimum* and *K. veneficum* distributions in recent years and to project how 147 climate change will affect the two species in the mid- $21^{st}$  century.

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## 149 **2 Methods**

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151 *2.1 Data* 

Phytoplankton abundance, including cell abundance of the targeted HAB species, was 152 acquired from the Chesapeake Bay Program (CBP) (http://www.chesapeakebay.net). The CBP 153 154 has conducted routine sampling at a number of monitoring stations throughout Chesapeake Bay and its tributaries since the early 1990s. While blooms are not restricted to near-surface waters, 155 the most comprehensive data are available from this depth. Phytoplankton data are reported from 156 samples that had been preserved with acid Lugol's, and were subsequently counted by 157 conventional light microscopy techniques. Details of the enumeration protocols are provided by 158 159 the Chesapeake Bay Program (2012).

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Data on cell abundance of *P. minimum* and *K. veneficum* were mainly available from 161 162 biweekly sampling in April, May, July, and August, and monthly sampling in March, June, September, October, and December each year. The data analyzed here encompassed only the 163 period from 2002 - 2011 because prior to 2002 the presence of K. veneficum was variably 164 reported with different names and was difficult to identify with certainty in the database 165 (Bergholtz et al., 2006). Frequency of sampling and enumeration has declined since 2011, thus 166 making the window from 2002-2011 the most complete data set for a comparative study of P. 167 *minimum* and *K. veneficum*. 168

170 The Susquehanna River provides most of the freshwater to the upper and middle parts of Chesapeake Bay. Flows at the Susquehanna River were measured at a station near the 171 Conowingo Dam and downloaded from U.S. Geological Survey website 172 the (http://waterdata.usgs.gov; station 01578310). 173

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## 175 *2.2 Habitat model*

The habitat models for *P. minimum* and *K. veneficum* are built upon a coupled 176 hydrodynamic-biogeochemical modeling system that was recently developed for Chesapeake 177 Bay (Testa et al., 2014; M Li et al., 2016; Testa et al., 2017; Shen et al., 2019). The 178 hydrodynamic model is based on the Regional Ocean Modeling System (ROMS, Shchepetkin 179 and McWilliams, 2005; Haidvogel et al., 2008), and has 82×122 grid points (~1 km resolution) 180 181 in the horizontal direction and 20 layers in the vertical direction (M Li et al., 2005; Xie and Li, 2018; Fig. S1). ROMS is forced by river flows at major tributaries, by wind stress and heat 182 fluxes at the sea surface, and by tidal and subtidal sea levels and climatology of temperature and 183 184 salinity at the open boundary. ROMS was initialized on 1 January 2000 and run continuously until 31 December 2011. Results from the spin-up period of 2000 and 2001 were not analyzed. 185 186 ROMS outputs were saved at hourly intervals and then used to drive the biogeochemical model in an offline mode. The ROMS hydrodynamic model has been validated against an extensive set 187 of observational data, including water levels, temperature, salinity and currents (e.g. M Li et al., 188 189 2005; Zhong and Li, 2006; M Li et al., 2006; Xie and Li, 2018; Xie et al., 2018).

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191 The biogeochemical model is based on the Row-Column Aesop (RCA) model (Isleib et 192 al., 2007; Fig. S1). RCA simulates two generic phytoplankton groups (a winter and a summer

community), particulate and dissolved forms of carbon and nutrients, and dissolved oxygen in the water column, and is coupled to a sediment diagenesis component (Di Toro, 2001). RCA is forced by the loads of particulate and dissolved forms of inorganic and organic nutrients as well as organic carbon from the tributaries (https://www.chesapeakebay.net/). RCA was initialized on 1 January every year using the water-quality data collected in the preceding month. The RCA biogeochemical model has been validated against an extensive set of water quality data in Chesapeake Bay (Testa et al., 2014; M Li et al., 2016; Irby et al., 2016; Testa et al., 2017).

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201 Both *P. minimum* and *K. veneficum* have been well characterized physiologically, and based on literature from Chesapeake Bay and elsewhere (e.g., Heil et al., 2005; Tango et al., 202 2005; Glibert et al., 2012; J Li et al., 2015; Lin et al., 2018a), an envelope of physical and 203 chemical parameters which produced favorable habitat conditions for growth was defined for 204 each taxa (Table 1). The chemical niche is based on the observed preference of these species for 205 regenerated N (i.e., chemically reduced forms) over new N (i.e, oxidized forms; A Li et al., 206 207 1999; Fan et al., 2003; Place et al., 2012; Glibert et al., 2014). In that our goal was to define potential habitat rather than to model biomass accumulation, a ratio approach was used rather 208 209 than defining absolute nutrient concentrations and their relationships to growth rate (c.f., Glibert et al., 2014). The outcomes of the model projections are spatial and temporal estimates of the 210 space and time over which specific habitat parameters-that are thought to be suitable for HAB 211 212 growth-occur individually or collectively. Although there is no one-to-one correspondence between the habitat suitability and cell density, habitat models have been widely used to examine 213 214 how changing environmental conditions affect marine species (e.g. Niklitschek and Secor, 2005;

Schlenger et al., 2013; Glibert et al., 2014; Smith et al., 2018), in the same way as done in this
paper.

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## 218 *2.3 Hindcasting and climate downscaling projections*

To understand observed interannual variability in the biomass and spatial distribution of 219 220 P. minimum and K. veneficum, hindcast simulations of habitat suitability were conducted and compared to the 10-year period (2002-2011) for which the monitoring data on the two species 221 were most complete. To project how climate change might affect the species in the future, the 222 223 ROMS-RCA model was forced with downscaled climate projections from the Regional Climate Models (RCMs) in the North American Regional Climate Change Assessment Program 224 (NARCCAP) (Mearns et al., 2009) for the 10-year period 2052-2061, 50 years after the 2002-225 2011 monitoring record period. RCMs were used to prescribe the air-sea momentum and heat 226 fluxes. RCMs include basic land-surface schemes that interact with the atmosphere to generate 227 surface runoff but do not include river routing models that can simulate streamflow. In this study 228 229 the delta method was used to generate future changes in river flows by using the integrated surface runoff over the watershed (Teutschbein and Seibert, 2012). General Circulation Models 230 231 (GCMs) projections for the Northwest Atlantic Ocean were used to prescribe changes in the offshore boundary condition for ROMS-RCA. Of particular interest is how salinity may change 232 due to sea level rise. The relative sea level rise was set to be the sum of the GCMs sea level 233 234 projection for the region and local sea level rise due to land subsidence (Zervas, 2009), following Lee et al. (2017). To correct the biases in the NARCCAP meteorological outputs, the empirical 235 quantile mapping method was applied, using the historical data from the North American 236 237 Regional Reanalysis (NARR) as the observational reference (Mesinger et al., 2006;

Gudmundsson et al., 2012). Ni et al. (2019) used this downscaling approach to project futurechanges in hypoxia in Chesapeake Bay.

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NARCCAP consists of 12 RCM-GCM combinations (Mearns et al., 2009), and 3 of these 241 were selected for the climate downscaling simulations, based on NARCCAP data availability in 242 the study area and the range of predicted changes in streamflow and air temperature. The 243 selected RCM-GCM models included RCM3-gfdl - the Regional Climate Model version 3 244 (RCM3; Pal et al., 2007) driven by the Geophysical Fluid Dynamics Laboratory model (gfdl; 245 246 Delworth et al., 2006); HRM3-hadcm3 - the Hadley Regional Climate Model (HRM3, Jones et al., 2004) driven by the Hadley Centre Coupled Model version 3 (hadcm3, Gordon et al., 2000); 247 and WRFG-cgcm3 - the Weather Research and Forecasting Grell model (WRFG; Skamarock et 248 al., 2005) driven by the Third Generation Coupled Global Climate Model (cgcm3; Flato et al., 249 2005). 250

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## 252 2.4 Analysis of habitat model results

Using the habitat model, the fraction of a year,  $T_f$ , when physical conditions and/or chemical conditions produce favorable habitats for *P. minimum* or *K. veneficum* anywhere in the estuary was calculated. An area with larger  $T_f$  implies that habitat conditions are favorable for the bloom development. The fraction of the surface area,  $A_f$ , in the Bay that produce favorable conditions at any time in the year for the respective blooms was also calculated. If  $A_f$  is high in a particular month, it implies that more areas in the estuary produce favorable habitat condition for the HABs species. Both  $T_f$  and  $A_f$  are non-dimensional.

To provide a quantitative comparison between the observed cell distribution and predicted favorable habitat area, the center of cell mass was calculated using the cell concentration measurements at the monitoring stations:

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$$\overline{Y}_{obs} = \frac{ \oint yCdydx}{\oint Cdydx}$$
(1)

where *C* is the annual average of cell concentration of either *P. minimum* or *K. veneficum* in the surface water, x is the coordinate in the longitudinal direction, y is the coordinate in the latitudinal direction, and  $\overline{Y}_{obs}$  is the observed center of mass for *P. minimum* or *K. veneficum*. Similarly,  $T_f$  was used to calculate the center of mass  $\overline{Y}_{mod}$  expected from the habitat model:

269 
$$\overline{Y}_{mod} = \frac{\oint yT_f dy dx}{\oint T_f dy dx}$$
(2)

where the integrals in Eq. (2) cover all model grid points in the estuary.

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## 272 **3 Results**

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## 274 *3.1 Climatological mean HABs distribution*

When averaged over the 10-year period representing current conditions, the cell density of *P. minimum* and *K. veneficum* derived from the Chesapeake Bay monitoring program shows distinctive spatial patterns (Figs. 1a, 1b). Although *P. minimum* has low cell density ( $<10^6$  cells L<sup>-1</sup>) in the lower part of the estuary (south of 38 °N latitude), high cell concentrations ( $>10^7$  cells L<sup>-1</sup>) are found in the middle part of the estuary (between 38 and 39.3 °N), with the highest cell density of *P. minimum* near 38.5 °N (Fig. 1a). In comparison, *K. veneficum* cells are generally absent south of 38 °N latitude. High concentrations of *K. veneficum* ( $>5 \times 10^6$  cells L<sup>-1</sup>) are found between 38.5 and 39.3 °N, further north than *P. minimum* (Fig. 1b). The highest cell density of *K. veneficum* is found in the northern limit at 39.3 °N.

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The seasonal progression of *P. minimum* and *K. veneficum* blooms are also strikingly 285 different (Figs. 2a, b). P. minimum reaches its peak cell density during the spring months of April 286 287 and May, but a small second peak appears in the averaged data in December (Fig. 2a). Cell concentrations of P. minimum are low during the summer. In contrast, the K. veneficum growing 288 season spans from April to September, with blooms peaking in June and July (Fig. 2b). Monthly 289 290 averaged concentrations of both HAB species show a wide range over the 10-year period, particularly during their respective blooming seasons (May for P. minimum and June and July for 291 292 K. veneficum).

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The  $T_f$  values calculated using the habitat model based on the physical niche compared well with the observed spatial distribution of the cell concentrations (Fig. 1). For *P. minimum*, large values of  $T_f$  were found in the region between 38.2 and 39.1 °N, which was broadly consistent with the region of observed high *P. minimum* cell density (compare Figs. 1a, 1c). High values of  $T_f$  for *K. veneficum* were found in the region between 38.6 and 39.3 °N, which was colocated with the region of observed high *K. veneficum* cell density (compare Figs 1b, 1d).

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While the  $T_f$  estimate is not the same as bloom duration because actual bloom development also depends on other factors such as light and grazing, the  $A_f$  values calculated from the physical habitat model provide a reasonable interpretation for the observed timing of the *P. minimum* and *K. veneficum* blooms (Figs. 2c, 2d). About 25% of the area in Chesapeake 305 Bay were favorable for the P. minimum blooms in April and May (Fig. 2c), which corresponds to 306 the two months that had the highest cell density observed at the monitoring stations (compare Fig. 2c, Fig. 2a). The habitat model suggested a second peak in  $A_f$  in the fall. This potential 307 growth niche was generally not realized in the cell density, although the observed P. minimum 308 cell concentration indicated a small peak in December (Fig. 2a) and previous observations have 309 shown a fall P. minimum bloom in some years (e.g. Tango et al., 2005; J Li et al., 2015). The 310 fraction of the Bay's surface area that produced favorable physical habitats for K. veneficum 311 blooms had a closer match with the observed cell density, with the highest values of  $A_f$  in June 312 313 and July (compare Figs. 2b, 2d). The model-predicted bloom area was consistently large when high K. veneficum concentration was observed, spanning from May to September. 314

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Compared with the physical habitat constraints (temperature and salinity ranges) of P. 316 minimum and K. veneficum growth, defining potential habitat based on the chemical niche 317 (nutrient proportions) revealed a suitable habitat south of  $\sim 38^{\circ}$ N, but less suitable habitat north 318 319 of it and no distinction spatially between the two species (Figs. 3a,c). The Susquehanna River, in the northern limit of Chesapeake Bay, delivers most of the riverine N in the form of  $NO_3^-$  to the 320 upper and middle parts of the Bay. Concentrations of NO<sub>3</sub><sup>-</sup> remain much higher than the 321 chemically-reduced N form,  $NH_4^+$ , in those regions until it is exhausted during the summer. On 322 the other hand, most of the N in the lower Bay is NH<sub>4</sub><sup>+</sup>. The chemical niche restricted the habitat 323 324 in the upper Bay, but was not as restrictive as the physical criteria elsewhere. When considering the physical and chemical criteria together, the average fraction of the year in the complete 325 326 habitat model showed a pattern similar to the model based on the physical criteria only (Figs. 3b, 327 d).

329 *3.2 Interannual shifts in the spatial distribution of P. minimum and K. veneficum* 

Between 2002 and 2011, there were large interannual variations in the hydrological forcing of Chesapeake Bay. The Susquehanna River flow was high during 2003-2005 and 2010-2011 (wet years) but low during 2006-2009 (dry years) (Fig. 4). Total N (TN) loading and total P (TP) loading co-varied but did not display any long-term trends over this 10-year period.

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Annually-averaged cell concentrations of *P. minimum* observed at the monitoring stations 335 336 varied considerably between 2002 and 2011 (Fig. 5). During 2003, 2005, and 2011, years with high river flows, high P. minimum concentrations were found around 38.5 °N. In contrast, high 337 cell densities were found around 39-39.2 °N, much further north, during the dry years of 2007, 338 339 2008, and 2009. However, there were exceptions. No blooms were observed during 2004, which was a wet year, 2002, which was a year with average flows, and 2010, which was a moderately 340 wet year. Since *P. minimum* blooms mostly occurred in April and May, the timing of high river 341 flows differed in these years. In 2004, high flows were observed during summer; in 2002, the 342 spring flows were delayed relative to average and the summer months had below average flows; 343 344 and in 2010, although spring flows were high, the flows were earlier than average (Fig. S2). Also, in 2006, another dry year, no large blooms were observed but the cell density was 345 relatively uniform between 38 and 39.2 °N. Nevertheless, there were striking interannual 346 347 differences in the spatial distribution of *P. minimum*: it shifted southward (downstream) during the wet years and northward (upstream) during the dry years. Thus, this simple model based on 348 physical habitat could explain a significant part of the observed interannual variability in the P. 349 350 minimum distribution. No significant differences were found in the timing of P. minimum blooms

during the 10 years: the peak bloom always occurred during May (Fig. S3). Unlike the river flows and salinity in the estuary, temperature had a well-defined seasonal cycle and did not display significant interannual variability (Fig. S4).

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Similar interannual shifts in the spatial distribution of K. veneficum were found as those 355 of *P. minimum* (Fig. 6). High cell densities were found in the southern region (~38.6 °N) during 356 the wet years of 2003, 2005, and 2010, but shifted to the northern region (39-39.2 °N) during the 357 dry years of 2006, 2007, 2008, and 2009. No significant blooms were observed during 2002 358 359 (average runoff), 2004 (wet), and 2011 (wet). As was the case for *Prorocentrum* blooms, the timing of the wet season varied, even for those years that had above average flows. While 2002 360 was an above average flow year, the summer had flows that were below average (Fig. S2). In the 361 cases of 2004 and 2011, the summer flows were well above average. The monthly averaged cell 362 concentration of K. veneficum did not show systematic differences between the wet and dry 363 periods (Fig. S5). There were large scatters, mostly due to small sample sizes. It may be inferred 364 that K. veneficum blooms lasted longer during the dry years (2007, 2008, and 2009) while they 365 were of a shorter duration during the wet years (2003, 2004, 2005, 2011). 366

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The habitat model based on the physical criteria provided a reasonable interpretation for the observed interannual shifts of *P. minimum* and *K. veneficum* blooms between 2002 and 2011 (Figs. 5, 6). Areas with a high probability of *P. minimum* blooms, as represented by a high fraction of year with favorable physical habitat, shifted towards the mid and lower Bay during the wet years of 2003-2004 and 2010-2011 but shifted towards the upper Bay during the dry years of 2007-2009 (Fig. 5). The counter example is the dry year of 2006 when the habitat model 374 still predicted P. minimum bloom occurrences in the mid Bay. There was, in general, good correspondence between the region of high cell abundance and the area with the favorable 375 physical habitat. The shifting of the suitable habitat area with river flows was consistent with the 376 shifts of the *P. minimum* blooms between the years. The habitat model also provided a good 377 interpretation of the interannual shifts of K. veneficum distribution over the 10-year period (Fig. 378 379 6). The suitable habitat area moved downstream during the wet years of 2003, 2004, and 2011. In contrast, it was confined to the upper Bay during the four dry years of 2006-2009. Two 380 exceptions were the wet years of 2005 and 2010 when the habitat model predicted favorable 381 382 habitats in the upper Bay.

383

To provide a quantitative comparison between the observed cell distribution and the 384 predicted favorable habitat area, the centers of mass  $\overline{Y}_{obs}$  and  $\overline{Y}_{mod}$  were calculated and plotted 385 against the annual mean flow  $\overline{Q}$  from the Susquehanna River. The relationship between  $\overline{Y}_{obs}$  and 386  $\overline{Q}$  for *P. minimum* showed wide scatters (Fig. 7a), but the center of mass of *P. minimum* 387 generally shifted southward with increasing river flow. The least square fit of a linear regression 388 showed a downward trend, although the coefficient of determination  $r^2 = 0.11$  is low and the p-389 value of 0.35 is high. Removing the two outlier years (2010 and 2011) improves the regression, 390 with  $r^2 = 0.44$  and p = 0.07. A stronger correlation between  $\overline{Y}_{obs}$  and the river flow appeared 391 in the relationship with K. veneficum, with  $r^2 = 0.45$  and p = 0.03 as well as a steeper slope 392 (i.e. stronger dependence on the river flow) (Fig. 7c). Moreover, the center of mass for K. 393 veneficum (between 38.4 and 39.1 °N) lay further north than P. minimum (between 37.9 and 38.4 394 <sup>o</sup>N). The calculated  $\overline{Y}_{mod}$  for both *P. minimum* and *K. veneficum* decreases with the river flow, 395 with  $r^2 = 0.69$  and 0.83 and p-values of 0.003 and 0.0002, respectively (Figs. 7b, 7d). The 396

397 predicted center of mass was in good agreement with the observed one for K. veneficum, but  $\overline{Y}_{mod}$  lay further north than  $\overline{Y}_{obs}$  for *P. minimum*, indicating a possible bias in the habitat model. 398 399 For comparison, a linear fit to the observed cell distribution using the same slope as in the habitat model was applied (dashed lines in Figs. 7a, 7c). It should be noted that  $\overline{Y}_{obs}$  was calculated 400 from cell concentration measurements at a few monitoring stations whereas  $\overline{Y}_{mod}$  was calculated 401 from at all model grid points in the estuary. This mismatch in the spatial resolution between the 402 observations and model was likely a source for the model-data discrepancy, such that it was 403 difficult to make a precise comparison between  $\overline{Y}_{obs}$  and  $\overline{Y}_{mod}$ . 404

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## 406 *3.3 Climate downscaling projections for the mid-21<sup>st</sup> century*

To project how climate change might affect P. minimum and K. veneficum blooms in the 407 mid-21st century, ROMS-RCA was forced by the downscaled projections from the three RCM 408 models included in the NARCCAP ensemble: WRFG\_cgcm3, RCM3\_gfdl and HRM3\_hadcm3. 409 All three models predict substantial increases in the annual mean air temperature between 2002-410 2011 and 2052-2061 (Fig. 8). These models also predict increases in the Susquehanna River flow 411 in winter but slight reductions in summer. Nevertheless, there are inter-model differences, 412 reflecting the uncertainty in the RCMs projections for the future climate. The WRFG\_cgcm3 413 model projects higher temperature increases (about 1.7 °C) with weaker seasonal variations. It 414 also predicts increases in the river flow in winter and spring, small reduction in summer and 415 large increases in the fall. The RCM3 gfdl model predicts moderate warming (about 1.3 °C) with 416 strong seasonal variations. It projects increases in winter discharge but decreases in river flow in 417 the other three seasons. The HRM3\_hadcm3 model predicts relatively moderate increases in 418 temperature (about 1.4 °C) but with strong seasonal variations, and large increases in winter river 419

flows with small changes of either sign during other seasons. The projected relative sea level rise for the mid-21<sup>st</sup> century is 0.45 m in WRFG\_cgcm3, 0.43 m in RCM3\_gfdl, and 0.31 m in HRM3\_hadcm3.

423

The habitat model was used to calculate changes in the suitable habitat for *P. minimum* 424 and K. veneficum blooms between 2002-2011 and 2052-2061 ( $\Delta T_f = T_{f2052:2061} - T_{f2002:2011}$ ), 425 based on the physical criteria only. With RCM\_gfdl as an example, substantial changes in the 426 427 spatial bloom habitat were found (Fig. 9). For P. minimum, the bloom probability is projected to increase in the region around 39.1-39.4 °N in the upper Bay but to decrease in the region south of 428 429 ~39 °N. A similar upstream shift is projected for the K. veneficum blooms for 2052-2061. The 430 upper Bay region of 39.1-39.4 °N is thus likely to see large increases in K. veneficum blooms, 431 whereas suitable bloom habitat elsewhere will be reduced. However, it must be pointed out that the northernmost region of Chesapeake Bay north of 39.4 °N will only see a modest increase in 432 the suitable habitat for both *P. minimum* and *K. veneficum* blooms (Fig. 9). 433

434

The effect of temporal changes in future habitat appears to be largely a function of 435 temperature, a direct consequence of warming, as shown by all 3 model scenarios (Fig. 10). The 436 WRFG\_cgcm3 climate model projects the largest temperature increase and relatively uniform 437 438 increases over a year. Both the spring and fall P. minimum blooms shift away from the hot 439 summer: the spring bloom shifts earlier while the fall bloom shifts later in the year (Fig. 10a). Higher summer temperature moves some days in July and August out of the preferred 440 441 temperature range for K. veneficum blooms, but extends the bloom season earlier to May and later to October (Fig. 10d). While the summer occurrences of K. veneficum blooms will likely be 442

reduced under this scenario, more *K. veneficum* blooms will occur in spring and fall. Similar seasonal shifts in *P. minimum* and *K. veneficum* blooms are projected in the two other RCMs: RCM3\_gfdl and HRM3\_hadcm3 (Figs. 10b, c, e, f). The spring *P. minimum* bloom may shift early from May to April, while the fall bloom may shift later. *K. veneficum* will likely have a long blooming season from May to October, but its peak density may be reduced during the hot summer months of July and August.

449

Spatial changes in future habitat of the blooms studied here,  $\Delta T_f$ , appear to be driven in 450 451 large part due to changes in salinity (Figs 11, 12). In the model run driven by RCM3\_gfdl, the 452 favorable habitat area for P. minimum retreats from its southern limit, as sea level rise causes 453 stronger salt intrusion and higher salinity water in the lower Bay (Fig. 11). The northern limit of 454 the favorable habitat area also shifts northward but fails to reach the northern-most region of the upper Bay. There are large uncertainties in the projection of precipitation and river runoff among 455 the climate models (Fig. 8), thereby resulting in different projections for the favorable salinity 456 habitat (Fig. 11). HRM3\_hadcm3 projects large increases in winter runoff but modest sea level 457 rise. This significantly lowers surface salinity during spring and shifts the favorable salinity 458 459 habitat for P. minimum downstream. In the model run driven by WRFG\_cgcm3, the effects of sea level rise and stronger river flows oppose each other, leading to small changes in the salinity 460 461 habitat for P. minimum. For K. veneficum, with its lower salinity tolerance range, an upstream shift of the favorable habitat area is predicted in two models: WRFG\_cgcm3 and RCM3\_gfdl 462 (Fig. 12). During its peak blooming season in the summer, the effects of river flows on salinity 463 are weaker and salt intrusion due to sea level rise likely drive the upstream shift of its more 464 favorable salinity habitat. Nevertheless, the habitat changes are quite different in the model run 465

driven by HRM3\_hadcm3 because of its projected modest sea level rise and large river flowincreases.

468

#### 469 **4 Discussion and Conclusion**

470 Herein, a relatively simple habitat model was built for two common Chesapeake Bay taxa using physical criteria and nutrient ratios. This model demonstrates that physical and chemical 471 472 factors regulate the temporal and spatial habitat of these target taxa and explain the observed interannual shifts of the HABs locations between wet and dry years. In this study, climate-473 induced changes in riverine nutrient loading come from projected changes in precipitation and 474 associated flow-derived runoff. Potential impacts of climate-induced changes in watershed 475 476 denitrification and riverine nutrient concentration (Howarth et al., 2006; Schaefer et al., 2007) 477 were not considered. Our habitat model does not describe growth of the species per se. These 478 taxa are both recognized to display mixotrophic feeding (e.g., Stoecker et al. 1997, A Li et al. 479 2000, Adolf et al. 2008, Johnson 2014, Lin et al. 2018b) and therefore food availability could be 480 considered to be important in defining habitat. A separate mechanistic modeling effort is 481 currently underway to incorporate the mixotrophic model of Flynn and Mitra (2009) into ROMS-482 RCA for these HAB taxa (Lin et al., 2018b). In this paper, the simplest model possible was 483 purposely chosen to determine how much of the observed variability in the two HABs species could be explained by simple factors. Regardless of its simplicity, the habitat niche as identified 484 485 here explained the climatological mean locations of the blooms, the seasonal timing of the blooms, and the interannual variability in the bloom locations (Figs. 1, 2, 5, 6, 7). Without any 486 consideration of top-down factors or grazing relationships, the habitat model provides a 487 488 reasonable explanation for the measured abundances. Moreover, insight can be gained from mismatches as well as matches; for example, the unrealized fall habitat of *P. minimum*. Lack of actualized blooms during this time of year may be due to higher grazing pressure at that time, and further field data and modeling may reveal the factors that increase in importance at that time. It is of note that large fall *P. minimum* blooms have been observed in several recent years.

493

494 The models also did not take into account growth rate changes that may be associated with complex interactions of atmospheric increases in CO<sub>2</sub> and temperature (e.g., Finkel et al., 495 2010; Fu et al., 2012; O'Neil et al., 2012; Boyd and Hutchins, 2012; Wells et al., 2015; Flynn et 496 497 al., 2015; Sommer et al. 2015; Glibert and Burford, 2017; Glibert and Burkholder, 2018; Glibert 2020 among others). Increasing levels of  $CO_2$  may favor algae that depend on diffusive  $CO_2$ 498 rather than HCO<sub>3</sub><sup>-</sup> as their C source or those that that may downregulate their C concentrating 499 500 mechanisms and therefore reallocate energy to different pathways (Raven et al., 2005; Rost et al., 2006; Beardall et al., 2009). This latter description includes many harmful algal species (Dason 501 et al., 2004), but this is not the case for all HABs. Future iterations of this model will include 502 503 growth rate changes due to anticipated CO<sub>2</sub> changes, but there is work yet to be done in characterizing these physiological changes. Recent laboratory results suggest that changes in 504 505 seawater carbonate chemistry due to ocean acidification may adversely affect the growth of K. veneficum (Müller et al., 2019). 506

507

The ROMS-RCA models are configured over a structured grid (Fig. S1). Although the model domain covers the major tributaries to Chesapeake Bay, it does not have fine resolutions to resolve small-scale processes in small tributaries such as the Patapsco River (see Fig. 1d for its location), a common location for *K. veneficum*. However, the main objective of this paper was to 512 investigate estuary-wide shifts of the two HABs species due to climate variability/change and to gain an understanding of the driving mechanisms. It is not the goal to provide a precise 513 prediction of specific bloom sites in the future climate. Since the temperature and salinity fields 514 used in our habitat model are mostly determined by air-sea heat fluxes and flows from the major 515 516 tributaries, they are continuous in space and time in the estuary such that a similar habitat 517 condition is expected in a small tributary adjacent to a main stem area. In other words, if the habitat model predicts high HABs probability in an area in the main stem, the small adjacent 518 tributary is also expected to be prone to the HABs even though this tributary is not well resolved 519 520 in ROMS. However, the habitat model predictions in some tributaries clearly need to be improved. For example, the habitat model predicts small areas in the Patuxent River and James 521 River to produce favorable habitat condition for K. veneficum, but they were not reflected in the 522 cell density measurements (compare Figs. 1b,1d). 523

524

It should also be pointed out that the relationship between  $\overline{Y}_{obs}$  and the Susquehanna River flow is weaker for *P. minimum* than for *K. veneficum*. Some of these blooms occurred in tributaries which may receive large amounts of urban wastewater discharge, creating eutrophic conditions favorable for the HABs growth (J Li et al., 2015). These factors likely complicate the relationship between the cell density and physical habitat, and may explain the scatters seen in Fig. 7a. A mechanistic HABs model which takes nutrient kinetics into account would be needed to improve these HAB predictions, and is under development.

532

533 Despite these model limitations, it was encouraging that a simple habitat model based on 534 the temperature and salinity tolerance ranges alone explained about a significant part of the 535 observed interannual shifts in the spatial distributions of *P. minimum* and *K. veneficum* blooms. 536 Even with this comparatively simple approach, much insight was obtained into not only how habitat may change, but also the mechanisms driving such changes. Climate forcing is well 537 recognized to be a key driver of interannual variability in phytoplankton biomass in Chesapeake 538 Bay. Miller and Harding (2007) showed that the spring bloom (as measured by Chlorophyll *a*) is 539 540 larger and occurs farther seaward during wet and warm years than during dry and cool years. Analysis of phytoplankton composition further showed large increases of diatoms, but modest 541 decreases of summer dinoflagellates, in wet years compared to dry years (Adolf et al., 2006; 542 543 Harding et al., 2015). This work extends such analysis to individual phytoplankton species, P. minimum and K. veneficum. 544

545

The habitat modeling approach taken here is similar to that taken by Glibert et al. (2014) 546 who modeled habitat suitability of comparable taxa, Prorocentrum spp. and Karenia sp. for the 547 NE European/Batic Sea region, NE Asia and SE Asia. This approach differed from Glibert et al. 548 549 (2014) in that different physical and biogeochemical underlying models were applied; sea level 550 rise was accounted for; and multiple global climate models were applied in future projections. As 551 in the Glibert et al. (2014) application, future projections are based on climate projections alone and do not account for anthropogenic changes in nutrients that may come from escalating human 552 activities or from nutrient management actions. Glibert et al. (2014) found an expansion in area 553 554 and/or duration annually conducive to development of *Prorocentrum* and *Karenia* blooms in cold regions such as the Northwest European Shelf-Baltic Sea and Northeast Asia but no 555 556 expansion (*Prorocentrum* spp.) or contraction (*Karenia* spp.) in the area and duration conducive for blooms in warm regions such as Southeast Asia. Changing temperature was found to be the 557

dominant driver in Northwest European Shelf-Baltic Sea, a major driver in Northeast Asia, and 558 559 an important factor in Southeast Asia. In none of these regions was salinity found to be a factor in driving the changes of these two HABs genera. The results herein on favorable habitat (Figs. 560 561 11 and 12) differ from the Glibert et al. (2014) finding that warming was the main driver of the 562 HAB changes in coastal oceans. In an estuary like Chesapeake Bay, salinity change drives the 563 shifts in the spatial distribution of the two HABs genera. As shown by Hilton et al. (2008) and Hong and Shen (2012), sea level rise caused stronger salt intrusion into the estuary. Climate 564 change may also lead to large changes in hydrological cycles and river flows, affecting salinity 565 566 distribution in the estuary (Najjar et al., 2010; Ni et al., 2019). Therefore, it is important to consider climate-driven salinity changes due to sea level rise and changing river flows when 567 568 projecting future habitat for the HABs in estuarine systems.

569

In summary, the habitat modeling approach used here has shown that physical and chemical factors are good explanatory variables with respect to the temporal and spatial habitat of *P. minimum* and *K. veneficum* in Chesapeake Bay. The model also explains the climatological mean locations and observed seasonal timing of blooms, and the interannual shifts of the HAB bloom locations between wet and dry years. These models, together with the forthcoming mechanistic models of these target species, provide management tools that may aid in assessment of regional vulnerability to these HABs and how they may change under future conditions.

577

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584

## 585 Data availability:

The water quality monitoring data analyzed in this paper can be downloaded from https://doi.org/10.5281/zenodo.3351676. The model outputs can be downloaded from http://doi.org/10.5281/zenodo.3352218 and http://doi.org/10.5281/zenodo.3352248.

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## **Figure Captions:**

Figure 1. Average cell concentration of Prorocentrum minimum (a) and Karlodinium veneficum 898 (b) (cell  $L^{-1}$ ) observed at the monitoring stations in Chesapeake Bay between 2002 and 2011. The 899 size of the symbol represents the range of cell abundance: small black dot  $< 10^6$  cells L<sup>-1</sup>, open 900 black symbol 1-2 x 10<sup>6</sup> cells L<sup>-1</sup>, open green symbol 2-10 x 10<sup>6</sup> cells L<sup>-1</sup>, and open red symbol 901 10-30 x  $10^6$  cells L<sup>-1</sup>. Average fraction of a year when physical conditions produce favorable 902 habitats for *P. minimum* (c) and *K. veneficum* (d). The rivers are marked in (d). 903 904 Figure 2. Monthly averaged cell concentration of *Prorocentrum minimum* (a) and *Karlodinium* 905 veneficum (b) at monitoring stations in Chesapeake Bay between 2002 and 2011. The red lines 906 907 represent the median values, the blue boxes span the interquartile range, and the whiskers are the

highest and lowest observations. Monthly averaged fraction of the surface area of Chesapeake

Bay where physical conditions are favorable for *P. minimum* (c) and *K. veneficum* (d).

910

Figure 3. Average fraction of a year when chemical niche (panels a, c) and all criteria (panels b,
d) produce favorable habitats for *P. minimum* (panels a,b) and *K. veneficum* (panels c, d).

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Figure 4. Monthly averages of (a) river flow, (b) total nitrogen (TN) loading, and (c) totalphosphorus (TP) loading from the Susquehanna River.

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Figure 5. Average cell concentration of *Prorocentrum minimum* (top row) observed at the
monitoring stations in Chesapeake Bay between 2002 and 2011. Symbols for cell ranges as in

Figure 1. Average fraction of a year when physical conditions produce favorable habitats for *P*. *minimum* (bottom row) for 2002-2011.

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Figure 6. Average cell concentration of *Karlodinium veneficum* (top row) observed at the monitoring stations in Chesapeake Bay between 2002 and 2011. Symbols for cell ranges as in Figure 1. Average fraction of a year when physical conditions produce favorable habitats for *K. veneficum* (bottom row) for 2002-2011.

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Figure 7. Center of mass of *Prorocentrum minimum* (a)/(b) and *Karlodinium veneficum* (c)/(d) obtained from cell concentration measurements at the monitoring stations (panels a,c) and estimated from the habitat model based on physical criteria (panels b,d). The solid lines in (b) and (d) are the least-square linear regression fit to the habitat model results. In (a) and (c) the dashed lines are the least-square linear regression fits to the cell observations and the solid lines are the linear fits using the same slopes as those in (b) and (d).

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Figure 8. Averaged historical Susquehanna River discharge from USGS (a); and projected monthly changes of future Susquehanna River flow by the models WRFG\_cgcm3 (b), RCM3\_gfdl (c) and HRM3\_hadcm3 (d) between past and future. Projected averaged historical surface air temperature (grey line), mean (low-passed, black thick line) and future changes of air temperature (red bar) in the Chesapeake Bay region projected by the same 3 models, WRFG\_cgcm3 (e), RCM3\_gfdl (f) and HRM3\_hadcm3 (g).

940

941	Figure 9. Changes in the favorable habitat areas for <i>P. minimum</i> (a) and <i>K. veneficum</i> (b) due to
942	climate change. Results are based on the regional climate model RCM3_gfdl.
943	
944	Figure 10. Changes in the favorable habitat seasons for <i>P. minimum</i> (panels a-c) and <i>K</i> .
945	veneficum (panels d-f) due to climate-induced temperature change: RCM3_gfdl (panels a,d);
946	WRFG_cgcm3 (panels b,e); HRM3_hadcm3 (panels c,f).
947	
948	Figure 11. Changes in the favorable habitat areas for <i>P. minimum</i> due to climate-induced salinity
949	change during peak growing months (April-May): WRFG_cgcm3 (top row); RCM3_gfdl
950	(middle row); HRM3_hadcm3 (bottom row).
951	
952	Figure 12. Changes in the favorable habitat areas for K. veneficum due to climate-induced
953	salinity change during peak growing months (June-August): WRFG_cgcm3 (top row);
954	RCM3_gfdl (middle row); HRM3_hadcm3 (bottom row).
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957	
958	Table Caption:
959	Table 1. Rules used in the habitat models for Prorocentrum minimum and Karlodinium
960	veneficum, based on empirical observations of their physical niche and chemical niche.
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Air temperature(deg C)









		Prorocentrum minimum	Karlodinium veneficum
A. Physical niche	Temperature	13.7 <sst<19.1°c< td=""><td>21&lt;\$\$T&lt;30 °C</td></sst<19.1°c<>	21<\$\$T<30 °C
	Salinity	3 <sss<15< td=""><td>5<sss<12< td=""></sss<12<></td></sss<15<>	5 <sss<12< td=""></sss<12<>
B. Chemical niche		$NH_4 > NO_3$	$NH_4 > NO_3$

