

1 **Bias Characterization of CrIS Radiances at 399 Selected**
2 **Channels with Respect to NWP Model Simulations**

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Abstract

17
18 The Cross-track Infrared Sounder (CrIS) on board the Suomi National
19 Polar-Orbiting Partnership (S-NPP) satellite is a hyperspectral Fourier Transform
20 Spectrometer. In this study, biases of the 399 channels used in numerical weather
21 prediction applications are characterized based on the differences between CrIS
22 observations and model simulations in clear-sky conditions over ocean. The Visible
23 Infrared Imaging Radiometer Suite (VIIRS) cloud mask is used for selecting CrIS
24 clear-sky data. The global mean biases are within ± 1 K for most channels (more
25 than 390). Biases for the channels not affected by trace gases other than water vapor
26 in long-wave infrared (LWIR), middle-wave infrared (MWIR) and short-wave
27 infrared (SWIR) bands vary from about -0.5 to 0.3 K, -0.2 to 0.8 K, and -0.1 to 0.9 K,
28 respectively. The scan-angle variations of biases are less than ± 0.4 K for all
29 channels. The MWIR sounding channels have larger biases in middle and high
30 latitudes than the tropics, which might be associated with latitudinal differences of
31 water vapor variability. The SWIR CO₂ channels are affected by nonlocal
32 thermodynamic equilibrium (NLTE) in the upper stratosphere and the window
33 channels could be affected by the sun glint effect, both of which are considered in
34 model simulations. Biases of the NLTE affected channels during daytime are found to
35 be 0.5-1 K higher than nighttime. The SWIR window channels have colder biases at
36 Field of Regards (FORs) 6-15 than the other scan positions due to the sun glint effect.

37 1. Introduction

38 Hyperspectral infrared sounders measure the earth radiation over thousands of
39 channels, from which accurate atmospheric temperature and humidity profiles can be
40 deduced at high vertical resolutions (Goldberg et al. 2003; Strow et al. 2003;
41 Pougatchev et al. 2009). The Atmospheric Infrared Sounder (AIRS) (Aumann et al.
42 2003) flying on the NASA EOS Aqua satellite and the Infrared Atmospheric Sounding
43 Interferometer (IASI) (Klaes et al. 2007) on board the MetOp-series satellites have
44 provided more than 10 years of such infrared measurements at 2378 and 8461 spectral
45 channels, respectively. Earlier infrared instruments, such as High Infrared Radiance
46 sounders (HIRS), had no more than 20 channels. With the advances in computer
47 technologies, the resolutions of global numerical weather prediction (NWP) models
48 had increased to meso-scales and storm scales. The fine-scale vertical atmospheric
49 structural information contained in hyperspectral infrared sounding data will
50 contribute to improving short-range and medium-range weather forecast skills. Based
51 on model simulations of IASI, Prunet *et al.* (1998) showed that an assimilation of
52 IASI data generated an analyzed field with realistic baroclinic atmospheric structures
53 that is important for constraining forecast errors. McNally et al. (2006) found out that
54 a single AIRS assimilation outperformed a single HIRS assimilation due to a finer
55 vertical scale of the analysis increments of temperature and humidity produced by
56 assimilating AIRS hyperspectral infrared observations.

57 On 28 October 2011, Suomi National Polar-Orbiting Partnership (S-NPP), the
58 first satellite in the series of next-generation U.S. satellites in Joint Polar Satellite

59 System (JPSS), was launched into a sun-synchronous orbit at a nominal altitude of
60 824 km. The equator cross local time of S-NPP is 13:30 at its ascending node. The
61 Cross-track Infrared Sounder (CrIS) on board the S-NPP satellite is a hyperspectral
62 Fourier Transform Spectrometer. It has 1305 spectral channels to cover long-wave
63 infrared (LWIR), middle-wave infrared (MWIR) and short-wave infrared (SWIR)
64 bands. CrIS is a Michelson interferometer like IASI and performs a similar spectral
65 coverage as AIRS, which is a grating spectrometer. Since the launch of S-NPP,
66 continuous well-planned efforts have been made on the radiometric calibration (Tobin
67 et al. 2013), the spectral calibration and validation (Strow et al. 2013) and the
68 geometric assessment (Wang et al. 2013). Therefore, reliable CrIS radiance data are
69 made available for the purposes of weather monitoring, climate application and data
70 assimilation.

71 For CrIS data assimilation, the use of large volume of data is not efficient and
72 inter-channel error correlations must be avoided. A proper selection of hyperspectral
73 infrared channels, also known as channel thinning, is necessary. Inheriting from the
74 previous channel selection methodology of AIRS (Fourrie and Thepaut 2003) and
75 IASI (Collard 2007), a subset of 399 CrIS channels was selected for NWP by the
76 National Oceanic and Atmospheric Administration/National Environmental Satellite
77 and Information Service (NOAA/NESDIS) channel selection methodology. These 399
78 CrIS channels selected for NWP applications consist of 184 LWIR, 128 MWIR and
79 87 SWIR channels. Gambacorta and Barnet (2013) demonstrated that these 399
80 channels fully represent the total atmospheric variability contained in the full

81 1305-channel spectrum.

82 Another important issue for CrIS hyperspectral infrared data assimilation is the
83 bias correction. Since the theory of data assimilation is based on the assumption that
84 background and observation errors are unbiased Gaussian, satellite instrumental
85 biases that often have geographical and scan angle dependences need to be properly
86 quantified and removed before assimilation (Dee 2005, Auligne et al. 2007). A widely
87 adopted approach for estimating the instrumental bias is based on the departures of
88 satellite radiances from model background fields, assuming the background as an
89 unbiased reference. For most operational satellite infrared instruments (e.g., HIRS,
90 AIRS and IASI), as described in Saunders et al. (2013), the radiance biases and their
91 variations with time, geographic location, satellite zenith angle and scene temperature
92 were characterized and monitored daily. The scan-dependent and
93 geographic-dependent biases can be modeled in the variational data assimilation
94 through a bias correction scheme (Eyre 1992; Harris and Kelly 2001; Auligne et al.
95 2007). The quality of CrIS data in clear-sky conditions over ocean was assessed by
96 comparison with the Radiative Transfer for TIROS Operational Vertical Sounder
97 (RTTOV) model simulated observations derived from the NWP model fields at the
98 Met Office (Smith et al., 2015). However, Smith et al. (2015) mainly focused on the
99 global mean biases and their standard deviations for a portion of LWIR CO₂ channels
100 and MWIR H₂O channels that are daily assimilated in the NWP system of Met Office.
101 For further assessments, the CrIS biases with respect to scan positions and latitudes
102 need to be evaluated in all the three LWIR, MWIR and SWIR bands. Yin (2016)

103 evaluated the bias differences of the short-wave channels near $4.3 \mu m$ with and
104 without activating the nonlocal thermal equilibrium correction scheme in the
105 Community Radiative Transfer Model (CRTM). However, this research was limited
106 with very little data within a regional domain and a short time period. This study aims
107 at obtaining more objective and representative bias characteristics for all the 399 CrIS
108 channels selected by NOAA/NESDIS for NWP on a global scale for a much larger
109 data samples.

110 To avoid the uncertainty of cloudy radiance simulation, only clear-sky CrIS
111 pixels are used in the bias estimation. The S-NPP Visible Infrared Imager Radiometer
112 Suite (VIIRS) cloud mask (CM) is employed for finding the clear CrIS field of views
113 (FOVs), which is different from the stand-alone cloud detection algorithm (e.g.
114 McNally and Watts, 2003) within NWP assimilation system that was used in the study
115 by Smith et al. (2015). As evaluated in Kopp et al. (2014), the VIIRS CM can detect
116 clear and cloudy pixels at VIIRS resolution with an agreement to the collocated
117 Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) data as high as 90%.
118 Such an accuracy of cloud detection is comparable to other cloud masks such as the
119 Aqua Moderate Resolution Imaging Spectroradiometer (MODIS) cloud detection.
120 Besides, due to the existence of biases of land surface skin temperatures in global
121 model fields (Trigo et al. 2015) and uncertainties of land surface emissivity assigned
122 in radiative transfer models (RTMs), simulations of surface-sensitive infrared
123 channels are much less accurate over land than over ocean (Zou et al. 2016). For this
124 reason, only the clear-sky data pixels over ocean are used for CrIS bias estimation. It

125 is worth mentioning that the biases estimated based on the observation (O) minus
126 simulation (B) (i.e. O-B) differences include the biases related to
127 inaccurate instrument calibration, RTM errors (e.g. inadequate model assumptions,
128 errors in spectroscopic database), systematic errors in the NWP background field, and
129 some remaining cloud-contaminated data that a clear-sky data selection scheme fails
130 to identify.

131 The rest of this paper is organized as follows: Section 2 briefly describes the
132 observed CrIS radiance observations and numerical simulations. The methodology for
133 calculating clear fraction for each CrIS FOV using VIIRS CM products and the
134 process in determining the clear-sky CrIS pixels is provided in section 3. Section
135 4.1-4.3 presents the numerical results of CrIS global mean biases, including their scan
136 and latitudinal dependences, based on CRTM simulations. Impacts of RTM on CrIS
137 radiance biases are illustrated by comparing the O-B biases between two most
138 popularly used RTMs (i.e. CRTM and RTTOV) in section 4.4. Conclusions and
139 discussions are summarized in section 5.

140 **2. Data Description**

141 **2.1 CrIS Observations**

142 In this study, CrIS normal spectral resolution data containing 1305 channels are
143 used. CrIS measures interferograms to obtain infrared radiance in LWIR, MWIR and
144 SWIR, which cover the spectral ranges of 650-1095 cm^{-1} , 1210-1750 cm^{-1} and
145 2155-2550 cm^{-1} at a spectral interval of 0.625 cm^{-1} , 1.25 cm^{-1} and 2.5 cm^{-1} ,
146 respectively. Figure 1 shows the brightness temperatures and weighting function (WF)

147 peaks of the 399 channels calculated from a clear-sky tropical atmospheric profile
148 with 51 vertical levels. The channel numbers covering the CrIS spectrum for the 399
149 channels are listed in Table 1. We highlight different absorption bands in Fig. 1,
150 according to the work by Gambacorta and Barnett (2013). The 184 LWIR channels
151 mainly cover carbon dioxide, water vapor, window and ozone bands (Fig. 1a). The
152 carbon dioxide and water vapor LWIR channels are sensitive to atmospheric
153 temperature and humidity fields. Most of the 128 selected MWIR channels are
154 influenced by the absorption of water vapor and other trace gases (Fig. 1b).
155 Meanwhile, the SWIR bands are composed of 87 channels (Fig. 1c), including the
156 strong carbon dioxide absorbing bands near $4.3 \mu m$ (2325 cm^{-1}) which are affected
157 by the so-called nonlocal thermodynamic equilibrium (NLTE) (Chen et al. 2013) and
158 the window channels.

159 Figure 2 displays the vertical distributions of normalized weighting functions
160 (WFs) for the 399 channels (Figs. 2a-c) and an example showing the variation of WF
161 with respect to scan position and pressure for LWIR channel 36 (716.25 cm^{-1}) (Fig.
162 2d). Both LWIR (Fig. 2a) and SWIR (Fig. 2c) bands contain stratosphere sounding,
163 troposphere sounding and surface channels, while channels in the MWIR band are
164 mainly located in the troposphere (Fig. 2b). As a cross-track sounder, CrIS finishes
165 one single scan within eight seconds, containing 30 Fields of Regard (FORs) of earth
166 scene views. Each FOR is comprised of a 3×3 array of FOVs, which have a size
167 around 14 km at nadir. In this study, the center FOV in each FOR is employed for the
168 bias estimation. Along the cross-track direction, the satellite zenith angles of the 30

169 FORs vary from -48.3° to $+48.3^\circ$, with an approximate interval of 3.3° . As shown in
170 Fig. 2d, the WF peak of LWIR channel 36 is located at about 565 hPa, with an upward
171 shift away from the nadir position. Since the FORs with larger scan angles experience
172 longer optical paths, their WF peaks are higher than that at the nadir.

173 The CrIS Sensor Data Record (SDR) contains calibrated and geolocated radiance
174 spectra transformed from directly measured interferograms. The hamming apodization
175 function are applied to the unapodize SDR data, with a three-point filter $\{a, 1-2a, a\}$
176 of running mean, where $a = 0.23$ (Han et al .2013). After the apodization, the
177 brightness temperatures for the 399 CrIS channels are calculated from radiances data
178 using Planck's blackbody radiation law. The brightness temperatures are used in this
179 study.

180 **2.2 Model Simulations**

181 This study employs mostly the CRTM (Weng 2007; Han et al. 2007) version
182 2.2.3 as the forward radiative transfer model for generating model simulations of the
183 CrIS brightness temperatures. The transmittance model coefficients for H_2O , O_3 , CO_2 ,
184 CH_4 , CO and N_2O for CRTM are trained on Line-By-Line Radiative Transfer Model
185 (LBLRTM) (Saunders et al. 2007). RTTOV (Saunders et al. 1999) version 11.2 is also
186 employed to illustrate an impact of RTMs on bias estimation. The transmittance
187 coefficients for H_2O , O_3 , CO_2 , CH_4 , CO and N_2O for RTTOV are from the v9
188 predictor files of Hocking et al. (2013).

189 The European Center for Medium range Weather Forecasting (ECMWF)
190 analyses valid at 0300 UTC, 0900 UTC, 1500 UTC and 2100 UTC, respectively, with

191 a horizontal resolution of 0.25×0.25 degrees and 91 vertical model levels are used as
192 the input to CRTM and RTTOV. The model level top of ECMWF analyses is at 0.01
193 hPa. In order to obtain the simulated brightness temperature at CrIS observed pixel
194 locations and times, a bilinear interpolation and a linear interpolation are performed
195 on the ECMWF analyses in the horizontal and temporal dimensions, respectively. The
196 input variables to CRTM and RTTOV include the three-dimensional atmospheric
197 temperature, water vapor mixing ratio, pressure as well as the two-dimensional
198 surface variables of surface skin temperature, wind speed and wind direction. The
199 ozone mixing ratio from the ECMWF analyses and a constant carbon dioxide mixing
200 ratio of 390 ppmv are used for both CRTM and RTTOV simulations. The reference
201 profiles for other trace gases (i.e. CH_4 , CO and N_2O) within CRTM and RTTOV are
202 used. The concentrations of CH_4 and N_2O in RTTOV are slightly higher than those in
203 CRTM, especially in the troposphere, and the CO concentration in RTTOV is nearly
204 the same as CRTM. It should be reminded that a lack of real profiles for trace gases
205 may alias into biases for those channels sensitive to CH_4 , CO and N_2O (see Fig. 1).

206 Two months of data, covering the period from June 30 to July 31 and November
207 30 to December 31, 2015, are employed for calculating the differences of brightness
208 temperatures between CrIS observations and ECMWF/CRTM simulations in section
209 4.1-4.3. In order to minimize the impacts of clouds, uncertainty in land surface
210 emissivity model and background land surface temperature on bias estimation, this
211 study only use data over ocean under clear-sky conditions. The International
212 Geosphere-Biosphere Programme (IGBP) land type data set is used for identifying the

213 oceanic data. Among all the CrIS pixels labeled by “water” in the land type, further
214 efforts are made to exclude the pixels within 50 km from coastlines to remove those
215 mixed pixels with land. Since the oceanic area in polar region is largely covered by
216 sea ice, the O-B data within the latitudes of 55S and 55N are considered for the bias
217 estimation. In addition, bias results estimated by RTTOV are compared with those by
218 CRTM in section 4.4.

219 **3. Determination of Clear-sky CrIS Data by Collocated VIIRS Cloud Mask**

220 This study employs VIIRS CM products for determining whether or not a given
221 CrIS FOV is clear. VIIRS onboard Suomi-NPP satellite consists of 22 bands, covering
222 16 moderate resolution bands (“M” bands), 5 imaging resolution bands (“I” bands)
223 and one day-night band. Inheriting the MODIS CM algorithm (Ackerman et al. 1998),
224 the VIIRS CM algorithm (Hutchison et al., 2005; Kopp et al. 2013) makes full use of
225 VIIRS “M” and “I” bands and includes eleven/seven cloud detection tests (e.g.
226 reflectance test, brightness temperature difference test, etc.) for determining
227 daytime/nighttime clouds. Taking all the cloud detection tests into consideration, the
228 cloud probability at each VIIRS pixel can be estimated. Based on the probability, the
229 following four cloud confidences are classified: confidently cloudy, probably cloudy,
230 probably clear and confidently clear, along with four quality flags: high, medium, low
231 and poor. The final VIIRS CM products are provided with the spatial resolution of
232 750 m and 1.5 km at nadir and at the scan edge, respectively. Besides, the VIIRS CM
233 also serves as an intermediate product for the VIIRS downstream Environmental Data
234 Records (EDR), such as cloud top height and cloud top temperature products.

235 In order to obtain a clear fraction for the center FOV of each CrIS FOR, VIIRS
236 pixels are collocated with CrIS FOVs. Based on the geolocation information, the
237 footprints of CrIS FOVs can be accurately computed (Wang et al. 2013). For each
238 CrIS FOV, VIIRS CM data with the quality flags of high or medium that locate within
239 the FOV footprint are used to calculate the clear fraction as the ratio of confidently
240 and probably clear VIIRS pixels to the total number of all the VIIRS pixels within the
241 CrIS FOV. Figure 3 displays the global contribution of clear fractions at CrIS pixels,
242 together with the VIIRS observed reflectance at the “M3” visible band with the
243 wavelength of 0.486 μm on 17 December 2015. The global distribution of clear
244 fractions shows reasonable features (Fig. 3a), with the high clear fractions
245 corresponding to low reflectance (Fig. 3b). With the purpose of obtaining a reliable
246 bias estimation, the CrIS pixels with 100% clear fraction are selected to determine the
247 clear-sky CrIS pixels in this study.

248 Figure 4 provides a global distribution of total clear-sky CrIS data counts over
249 ocean within $5^\circ \times 5^\circ$ grid boxes for the sampling period of two months (Fig. 4a), as well
250 as the data counts with respect to latitudes and scan positions (Fig. 4b). Most regions
251 have more than 100 clear-sky CrIS pixels, except for some small areas near the
252 equator or high latitudes (Fig. 4a). As expected, more clear pixels are observed in the
253 extratropical regions (Fig. 4b). The sufficiency of clear CrIS data count ensures a
254 reliable estimation of CrIS biases and their latitudinal and scan variations.

255 **4. CrIS Bias Characteristics**

256 In satellite data assimilation, both the observations (O) and model simulations

257 (B) are assumed unbiased. Therefore, biases in observations (μ^o) and model
 258 simulations (μ^b) must be subtracted for the expression of the differences between
 259 observations and model simulations that appear in data assimilation formula:

$$260 \quad (O - \mu^o) - (B - \mu^b) \quad (1)$$

261 with the biases being defined as

$$262 \quad \mu^o = \overline{O - T}, \quad \mu^b = \overline{B - T} \quad (2)$$

263 where “ T ” represents the true atmosphere. An estimate of μ^o and μ^b , based on (2)
 264 requires the truth, which is never known.

265 A simple re-organization of the terms in the expression (1) gives (Weng et al.,
 266 2012):

$$267 \quad (O - \mu^o) - (B - \mu^b) = O - B - (\mu^o + \mu^b) \quad (3)$$

268 Therefore, for data assimilation, it is fortunate that we only need to estimate the sum
 269 $\mu^o + \mu^b$ instead of μ^o and μ^b , respectively. Taking the mean of the term on the
 270 right-hand-side over many samples, we obtain

$$271 \quad \mu^o + \mu^b = \overline{O - B} \quad (4)$$

272 The statistical evaluation of observation minus background (O-B) differences could
 273 include not only the instrument characteristics, but also systematic errors in NWP
 274 model background or forward radiative model. Impacts of the possible
 275 cloud-contaminated data are minimized by selecting those CrIS data with collocated
 276 clear VIIRS cloud mask.

277 4.1 LWIR Channels

278 Figure 5 presents the global mean biases of brightness temperatures at FORs 1-2,

279 FORs 15-16 and FORs 29-30 within 55S-55N for LWIR bands. The global mean
280 biases of the LWIR sounding channels 1-73 located in the CO₂ band and CO₂/H₂O
281 (666.25-755.625 cm⁻¹) are within [-0.5 K, 0.3 K] and [-1.0 K, -0.4 K] below and
282 above 50-hPa altitude (see Fig. 1a), respectively. The large negative biases are found
283 for LWIR channels 2-5 that peak above 50 hPa, which could be associated with model
284 errors of temperature analysis (Saunders et al., 2013). For the surface channels 91-128
285 (823.75-980 cm⁻¹), the global mean biases vary from -0.3 K to 0.1 K. Besides, the
286 channels 141-179 (1010-1066.25 cm⁻¹) are affected by both the mixing ratio of
287 stratospheric ozone and surface conditions. They are characterized by consistently
288 positive biases and the largest bias is around 1 K. The differences of the global mean
289 biases at different scan positions are within ± 0.3 K for all the LWIR channels.

290 As a cross-track sounder, the optical paths and the size of FOVs vary with the
291 scan angles. These features lead to scan-dependent differences in the observed
292 radiances as well as their biases. Figure 6a shows the biases of brightness
293 temperatures for LWIR sounding channels 1-73 (666.25-755.625 cm⁻¹) (indicated by
294 purple and green shaded regions in Fig. 1a) as functions of scan positions. In order to
295 better illustrate the scan-dependent differences, the biases at each scan position are
296 subtracted by the nadir bias, which is calculated by the averaged brightness
297 temperatures at FORs 15 and 16. For the stratospheric CO₂ channels 6-20
298 (672.5-698.75 cm⁻¹) which peak below 50-hPa altitude, the biases increase with scan
299 angle to about 0.2~0.3 K at the outmost scan positions. In comparison, for the
300 CO₂/H₂O channels 28-73 (707.5-755.625 cm⁻¹) peak in the troposphere, the biases

301 decrease with the scan angle to reach a value between -0.2 and -0.3 K. Such a pattern
302 difference of scan biases between troposphere and stratosphere is probably related to a
303 reverse scan variation of brightness temperatures (Fig. 6a). Channel 13 (689.375 cm^{-1})
304 (Fig. 6b) and channel 70 (752.5 cm^{-1}) (Fig. 6c) are selected to show such a difference
305 of scan bias patterns between stratosphere and troposphere. Since the atmospheric
306 temperature increase and decrease with altitudes in the stratosphere and troposphere,
307 respectively, the observed brightness temperatures of stratospheric (Fig. 6b) and
308 tropospheric (Fig. 6c) channels generally show upward curve and downward curve
309 pattern, respectively. Although having similar scan patterns, the simulated brightness
310 temperatures have smaller curvatures than those of observations. As a result, the O-B
311 differences increase with scan angle in the stratosphere (Fig. 6b) and decrease with
312 scan angle in the troposphere (Fig. 6c).

313 The latitudinal dependence of the biases and standard deviations of O-B
314 brightness temperature differences for LWIR sounding channels 1-73 at all FORs are
315 displayed in Fig. 7a. The latitudinal distributions of biases are distinctively different
316 for the low stratospheric CO_2 channels 10-24 ($686.25\text{-}702.5\text{ cm}^{-1}$), high and middle
317 tropospheric channels 28-36 ($707.5\text{-}716.25\text{ cm}^{-1}$) and low tropospheric channels
318 40-73 ($724.375\text{-}755.625\text{ cm}^{-1}$) (see Fig. 1a). Channels 10-24 primarily have negative
319 biases with the largest negative biases in the tropics. Channels 28-36 have positive
320 biases with the smallest biases (less than 0.15 K in magnitude) in the low latitudes and
321 larger biases ($\sim 0.3\text{ K}$) in the middle and high latitudes. For the low tropospheric
322 channels 40-73, the biases are generally negative in the tropics and decreases in

323 magnitude with latitude. The tropospheric channels 28-73 that are affected by the
324 absorption of both CO₂ and H₂O have larger standard deviations than the stratospheric
325 channels, especially in the tropical regions.

326 A possible cause for the bias differences between tropics and extratropics of
327 LWIR CO₂ channels is the use of a fixed value of CO₂ concentration in model
328 simulations. It is done so due to a lack of realistic CO₂ profiles from the ECMWF
329 analysis fields. As discussed in Engelen and Bauer (2014), a real CO₂ concentration
330 usually has higher values in the tropics than high latitudes (see Fig. 1 in Engelen and
331 Bauer 2014). Therefore, a lack of a realistic latitudinal dependent CO₂ concentration
332 in model simulation may result in a latitude-dependent bias for CO₂ channels. We
333 conducted a sensitivity experiment in which the CO₂ concentration is 390 ppmv at 0°,
334 380 ppmv at 90°, and varies linearly with latitude in between. When comparing the
335 bias results from this CO₂ sensitivity experiment with that from the fixed CO₂
336 experiment (Fig. 7b), it is found that reducing the CO₂ concentration increases the
337 simulated brightness temperature due to a reduced CO₂ absorption. The CO₂
338 concentration at 55N (or 55S) in the sensitivity experiment is approximately -6 ppmv
339 lower than the fixed value of 390 ppmv. The simulated brightness temperature for
340 LWIR channels 36 (716.25 cm⁻¹) at 55N (or 55S) would be about 0.15 K warmer if
341 the CO₂ concentration was reduced by about -6 ppmv than the control experiment.
342 This would contribute to an additional bias of about -0.15 K. Such a latitudinal
343 dependence of the biases due to a fixed CO₂ concentration specified in NWP data
344 assimilation systems must be removed for the assimilation of CrIS LWIR CO₂

345 channels.

346 **4.2 MWIR Channels**

347 The global mean biases of brightness temperatures at FORs 1-2, FORs 15-16
348 and FORs 29-30 within 55S-55 N for the MWIR band is presented in Fig. 8. Water
349 vapor is the primary absorbing constituent for all the MWIR bands. However, several
350 other trace gases, such as CH₄ and SO₂, also affect the spectral band (1212.5-1387.5
351 cm⁻¹). It is seen that negative biases varying from about -0.2 K to -1.8 K are found for
352 channels 185-227. For the channels 235-275, the global mean biases are within ± 0.4
353 K. For the water vapor channels 280-312 (1400-1745 cm⁻¹), the global mean biases
354 are generally positive except for channels 309-312 which are also slightly affected by
355 the HNO₃ absorption. Several low-level channels (e.g. channel 296 and 304) have
356 relatively large positive biases of more than 0.8 K. Furthermore, bias differences
357 between the nadir and large scan positions are smaller than ± 0.2 K except for the
358 CH₄ channels.

359 The scan biases for MWIR channels 185-312 are presented in Fig. 9a. The scan
360 biases for the MWIR H₂O channels 280-312 (1400-1745 cm⁻¹) (indicated by cyan
361 shaded region in Fig. 1b) are within the range of ± 0.2 K from 55S to 55N, which are
362 smaller than those in the LWIR channels (Fig. 6). An asymmetric feature is found for
363 the biases of the upper- and middle-tropospheric channels 298-312. The biases in the
364 rightmost scan (FOR 30) are about 0.2 K less than those in the leftmost scan (FOR 1)
365 for channels 298-303. Such an asymmetric scan bias feature can be found in the
366 monthly means of both July and December (figures omitted). The exact mean

367 differences of biases between FORs 1 and 30 for the MWIR channels 185-312 are
368 provided in Fig. 9b, in which the mean values of the brightness temperature
369 observations of different channels are indicated in colored dots. A notable asymmetric
370 scan bias (more than 0.15 K) is found to be more significant for high-level channels
371 with lower brightness temperatures (i.e. less than 240 K). For other MWIR channels
372 (e.g. channels 195-285) which peak at low levels (Fig. 1b) and have higher observed
373 brightness temperatures, the scan asymmetry of biases varies between 0.025 and
374 0.125 except for surface-sensitive channels 185-194. Since when the scene
375 temperature is less than 240 K, CrIS observed brightness temperatures are more likely
376 to be interfered by radiances from near field (e.g. spacecraft). In addition, the mean
377 brightness temperatures from both observations and model simulations decrease with
378 scan angle (Fig. 9c). In other words, brightness temperature observations at larger
379 scan angle are lower and would be affected more by the near field side lobes. Given
380 the fact that CrIS is located more to the right of the S-NPP spacecraft, the left side
381 (FOR 1) of the scan seems to be affected more by the near field radiation than the
382 right side of the scan (FOR 30), resulting a higher brightness temperature near FOR 1
383 than FOR 30 (see Fig. 9c).

384 Figure 10a displays the latitudinal dependence of biases for the MWIR channels
385 185-312. The biases for the MWIR H₂O channels 280-312 are found to be larger in
386 higher latitudes than near the equator. The standard deviations of the O-B brightness
387 temperature differences for these H₂O channels also increase from around 1 K near
388 the tropics to more than 1.8 K in high latitudes. The latitudinal dependence of the

389 biases is also found for other MWIR channels. This is found to be associated with a
390 larger variability of water vapor in high latitudes. Different from the tropics where
391 water vapor is abundant, regions of abundant water vapor in the middle and high
392 latitudes are associated with cyclones and other weather systems, which propagate
393 zonally and meridionally as these weather systems develop and decay (Fig. 11).
394 Although having narrower and smaller regions (Fig. 11a-b), large 6-h differences of
395 water vapor in high latitudes are more significant than the tropics. If we reduced the
396 temporal collocation criteria between CrIS observations and background fields from
397 ± 3 h to ± 1 h, both the biases and the standard deviations are decreased in middle
398 and high latitudes and remain almost same in the tropics (Fig. 10b).

399 The scatter plots of O-B for MWIR channel 296 (1442.5 cm^{-1}) (see Fig. 2b)
400 within the latitudinal bands of 35N~55N and 35S~55S shown in Fig. 12a further
401 confirm that larger O-B differences are those when the observing times deviate further
402 from the times of background fields at 0300, 0900, 1500 and 2100 UTC. Differences
403 of brightness temperature between CrIS observations and model simulations in the
404 tropics (Fig. 12b) are smaller and less affected by temporal interpolation. Impacts of
405 temporal resolution on other CrIS channels that are not sensitive to water vapor are
406 negligible (figure omitted).

407 **4.3 SWIR Channels**

408 Figure 13 presents the global mean biases of brightness temperatures at FORs
409 1-2, FORs 15-16 and FORs 29-30 within 55S-55N for the SWIR band. For the SWIR
410 channels located in the CO/N₂O bands ($2155\text{-}2195 \text{ cm}^{-1}$) and the Earth's surface

411 (2400-2540 cm^{-1}), the global mean biases are positive. The SO_2/CO_2 bands
412 (2202.5-2262.5 cm^{-1}) are characterized by negative biases. Besides, the SWIR
413 channels 358-378 (2292.5-2372.5 cm^{-1}) are affected by the NLTE emission. Although
414 a fast NLTE radiance correction scheme was included in CRTM (Chen et al. 2013),
415 the residual global mean biases for these NLTE channels can still be as high as 0.9 K.
416 The SWIR biases have a scan symmetric feature. The larger the scan angles, the larger
417 the biases.

418 SWIR channels are affected by solar radiation during the daytime. Because of
419 the difficulties in accurately modeling reflected solar radiation, separating statistics
420 between day and night is required for assimilation of SWIR channels. The
421 scan-dependent biases for SWIR channels 358-399 (2292.5-2540 cm^{-1}) (indicated by
422 orange and red shaded regions in Fig. 1c) are calculated separately during nighttime
423 (Fig. 14a) and daytime (Fig. 14b). The nighttime scan variations of biases are
424 generally smaller than daytime. The scan-dependent biases of the NLTE affected
425 channels 358-378 increase positively with scan angle to a value around 0.3 K during
426 daytime (Fig. 14b). A significant difference of the scan biases between daytime and
427 nighttime are found for the window channels 388-399 (2400-2540 cm^{-1}). The daytime
428 scan biases have a notable asymmetric distribution: positive for FORs 1-6 and 16-30
429 but negative for FORs 6-15.

430 It is well known that solar radiation could be reflected by sea surface into
431 satellite sensor. The reflected solar radiation, which is also called sun glint, can affect
432 the CrIS measurements of surface-sensitive channels located around 4 μm (2400-2540

433 cm^{-1}). In order to investigate whether the scan biases during daytime are related to the
 434 sun glint effect, we show in Fig. 15 the scan positions that could be affected by the
 435 sun glint (Fig. 15a) and the biases as a function of sun glint angle (Fig. 15b) for the
 436 SWIR surface channel 394 (2500 cm^{-1}) (see Fig. 2c). The sun glint angle θ_g is
 437 defined as the angle between the direction along which a satellite sensor views the
 438 surface and the direction of the reflected solar radiance (Chen et al., 2013):

$$439 \quad \theta_g = \cos^{-1}[\cos(\theta_s)\cos(\theta_o) - \sin(\theta_s)\sin(\theta_o)\cos(\varphi_s - \varphi_o)] \quad (5)$$

440 where θ_s , θ_o , φ_s and φ_o are the sun zenith angle, satellite zenith angle, sun
 441 azimuth angle and satellite azimuth angle, respectively.

442 Since S-NPP crosses equator at 13:30 local time at its ascending node, the Sun is
 443 located to the west of the satellite nadir during daytime. Therefore, the scan positions
 444 located to the west of the nadir and east of the Sun could be affected by the sun glint
 445 as the sun glint angle is small. It is seen that the regions with sun glint angles being
 446 less than 30° and 10° take place at the scan positions 4-18 and 7-14, respectively (Fig.
 447 15a). Meanwhile, when the sun glint angle is below 30° , the bias decreases
 448 dramatically as the sun glint angle decreases (Fig. 15b). Since the bidirectional
 449 reflectance distribution function (BRDF) proposed by Breon (1993) was implemented
 450 in CRTM to fully consider the sun glint effect (Chen et al., 2013), the negative biases
 451 when the sun glint angles are small are probably caused by an overcorrection of the
 452 sun glint effect in CRTM.

453 The latitudinal biases for SWIR channels are presented separately for daytime
 454 and nighttime as well as for July and December (Fig. 16). To avoid the sun glint effect

455 on SWIR window channels, all CrIS pixels with sun glint angles less than 30° during
456 daytime are excluded. Notable differences are found between daytime biases (Figs.
457 16a and 16c) and nighttime biases (Figs. 16b and 16d), as well as the biases in July
458 (Figs. 16a and 16b) and December (Figs. 16c and 16d). For the NLTE channels
459 358-378 ($2292.5\text{-}2372.5\text{ cm}^{-1}$), warmer biases are higher during the daytime than the
460 nighttime of both months. The daytime biases exceed 1.0 (1.0 K-1.5 K) and the
461 nighttime biases are below 1 K in the tropics in either July or December. Similar
462 results were found for the biases of IASI and AIRS NLTE channels during daytime by
463 Chen et al. (2013) and DeSouza-Machado et al. (2007), respectively. Besides, during
464 the daytime, the NLTE channels in high latitudes have larger biases over the Southern
465 Hemisphere (SH) than the Northern Hemisphere (NH) in July (Figs. 16a). As
466 expected, the NH have larger biases than in the SH in high latitudes in December
467 (Figs. 16c). As described in Chen et al. (2013), a simple NLTE correction in addition
468 to the local thermal equilibrium (LTE) is applied in CRTM to consider the NLTE
469 effect. The impacts of satellite zenith angle and solar zenith angle on the NLTE
470 correction are accounted for by a linear weighted average of three predictors, for
471 which the weighting coefficients are the regression coefficients that are obtained by
472 using 48 diversified training profiles (Strow et al. 2003). Since the NLTE correction
473 term is a function of solar zenith angle, the simulations of NLTE channels may have
474 different behaviors at different solar zenith angles. As pointed out by Chen et al.
475 (2013), large dependences of biases on solar zenith angles were presented when solar
476 zenith angles are greater than 70° . Since the high latitudes of NH and SH have solar

477 zenith angles larger than 70° in December and July (figure omitted), respectively, the
478 larger positive biases in high latitudes may be caused by the large solar zenith angles.
479 Besides, for the window channels 388-399 ($2400\text{-}2540\text{ cm}^{-1}$), smaller differences are
480 found in the biases between July and December. Again, the daytime biases are
481 generally higher than the nighttime biases.

482 **4.4 Comparison of CrIS Biases Estimated by CRTM and RTTOV Simulations**

483 Figure 17 compares the biases of CrIS brightness temperatures estimated by
484 CRTM (black, same as Figs. 5, 8 and 13) and RTTOV (red), and differences of bias
485 between CRTM and RTTOV (blue) for the 399 channels at nadir (FORs 15-16). The
486 differences of simulated brightness temperatures between the two RTMs are less than
487 $\pm 0.2\text{ K}$ for LWIR CO_2 channels. The estimated biases for the surface channels in
488 LWIR and SWIR bands show even more consistent results from these two RTMs. For
489 MWIR channels that are mainly affected by H_2O , the RTTOV simulations are
490 generally $0\text{-}0.2\text{ K}$ lower than CRTM simulations. However, simulation differences
491 are significant in some MWIR channels that are sensitive to CH_4 and some SWIR
492 channels that are sensitive to N_2O . As mentioned in section 2.2, the concentrations of
493 CH_4 and N_2O in RTTOV are slightly higher than those in CRTM, especially in the
494 troposphere (Fig. 18). For CH_4 and N_2O sensitive channels, it would lead to larger
495 absorptions of radiation from the surface and low troposphere, resulting in lower
496 simulated brightness temperatures in RTTOV (B_{RTTOV}) than those in CRTM (B_{CRTM})
497 (see blue curve in Fig. 17). In addition to CH_4 and N_2O concentration differences, the
498 transmittance model coefficients are different between these two fast radiative transfer

499 models (Saunders et al. 2007). Therefore, the differences of simulated brightness
500 temperatures between CRTM and RTTOV for CH₄ and N₂O channels can be ascribed
501 to both the differences in reference profiles and the differences in transmittance
502 coefficients.

503 Figure 19 shows the mean differences of biases between the outmost scan
504 positions (FORs 1-2) and the nadir (FORs 15-16) estimated by CRTM and RTTOV,
505 respectively. The simulated largest scan differences are nearly the same for all 399
506 CrIS channels in terms of the signs. There are some small differences in magnitudes
507 between the two models for LWIR window channels, CH₄ and N₂O sensitive channels,
508 CO₂ SWIR channels and the highest wavenumber SWIR window channels.

509

510 **5. Summary and Conclusions**

511 This study estimates the bias characteristics of the 399 CrIS channels selected for
512 NWP applications. Differences of brightness temperatures between CrIS observations
513 and model simulations are used for the bias estimate. To exclude the uncertainty of
514 model simulations of cloudy radiances and land surface emissivity, only clear-sky
515 CrIS pixels over ocean are employed. For selection of CrIS clear-sky pixels, the
516 VIIRS cloud mask products collocated with CrIS FOV are used for calculating the
517 clear fractions on CrIS pixels. Only the data pixels with a 100% clear fraction are
518 finally used in order to obtain a more reliable estimation. To obtain a sufficiently large
519 data sample, clear-sky CrIS pixels are identified from a two-month period (i.e., 30
520 June to 31 July, November 30 to December 31) in 2015.

521 Overall, the estimated global mean biases for LWIR, MWIR and SWIR
522 channels are within a reasonable range of ± 1 K except for the channels that are
523 affected by trace gases. The mean biases of LWIR channels are generally smaller than
524 those of MWIR and SWIR channels. Biases of all channels have scan and latitudinal
525 dependences. The scan variation of LWIR biases are mostly within ± 0.3 K. Most
526 LWIR channels have the smallest biases in the tropics and increase toward high
527 latitudes. The latitudinal variation of LWIR biases are within the range of ± 0.4 K.
528 Besides, the tropospheric CO₂/H₂O LWIR channels have larger standard deviations
529 than the stratospheric CO₂ LWIR channels, especially in the tropical regions.

530 For the MWIR H₂O channels 280-312 (1400-1745 cm⁻¹), the scan biases vary
531 from -0.2 to 0.2 K. A small asymmetry is found in scan biases for the upper-level H₂O
532 channels 298-312 at all latitudes. Furthermore, both the biases and standard deviations
533 increase with the latitude. The 6 hours interval of the ECMWF analyses used in this
534 study seemed too long for capturing the temporal variability of water vapor.

535 The biases of SWIR channels during daytime and nighttime are analyzed
536 separately due to a possible impact of the sun glint effect on some of these channels.
537 It is found that the scan positions FORs 6-15 are most affected by the sun glint. The
538 SWIR biases of the surface-sensitive channels located around 4 μm (2400-2540 cm⁻¹)
539 are colder at scan positions FORs 6-15 than other scan positions. The opposite sign of
540 scan biases at FORs 6-15 to the remaining FORs come from those data pixels affected
541 by the sun glint when the sun glint angles is smaller than 30°. A latitudinal
542 dependence is found for the biases of those SWIR channels affected by the NLTE

543 when the solar zenith angles are greater than 70°. For all the SWIR channels that are
544 affected by the solar radiation, the biases are higher during daytime than nighttime.

545 The bias results calculated based on RTTOV simulations are compared with
546 those from CRTM simulations. It is concluded that the biases for CO₂ as well as
547 surface channels are less affected by the RTM errors. However, channels that are
548 sensitive to water vapor or other trace gases, are more affected by RTM errors.

549 The 399 CrIS channels in LWIR, MWIR and SWIR bands selected for bias
550 estimation in this study are input to the National Centers for Environmental Prediction
551 (NCEP) unified Gridpoint Statistical Interpolation (GSI) analysis system (Wu *et al.*,
552 2002). A follow-on work on CrIS data assimilation is CrIS inter-channel error
553 correlation. Impacts of CrIS data assimilation on global and regional forecasts can
554 finally be assessed.

555 This study only estimated CrIS biases based on two months data set under
556 clear-sky and oceanic conditions. It is worth reminding that a different CrIS data set
557 (e.g. CrIS channels different from the 399 channels selected for this study, data over
558 land) could be used in various NWP modeling systems. For examples, CrIS channels
559 which peak at levels higher than the detected cloud top are remained (McNally and
560 Watts 2003) in NWP assimilation systems. Also, surface-sensitive channels are used
561 over land after proper skin temperature sensitivity checks. In some operational NWP
562 systems, only a small portion (LWIR channels and several MWIR H₂O channels) of
563 CrIS channels is currently assimilated. Other channels are used for monitoring
564 purposes. NOAA is now disseminating a full spectral resolution (FSR) product with

565 0.625 cm⁻¹ sampling over the whole spectrum. The FSR will be the nominal choice
566 for CrIS to be onboard JPSS-1 in the future. A similar study will be carried out for
567 these new datasets.

568

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578

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697 **Table caption**

698 Table.1: List of the subset of 399 CrIS channels selected by NOAA/NESDIS. The

699 channel indices corresponding to the full spectrum are shown in brackets.

700

701 **Figure caption**

702 Fig. 1: Brightness temperatures (black) and weighting function peaks (blue) for (a)
703 LWIR, (b) MWIR, (c) SWIR of the 399 CrIS channels used in NWP calculated
704 by CRTM for a typical tropical atmosphere profile. The CO₂ channels of LWIR,
705 CO₂/H₂O channels of LWIR, H₂O channels of MWIR, CO₂ channels of SWIR
706 and window channels of SWIR are represented by purple, green, cyan, orange
707 and red shaded regions, respectively. Other sensitive bands are indicated by grey
708 regions.

709 Fig. 2: Normalized weighting functions for bands of: (a) LWIR, (b) MWIR and (c)
710 SWIR. (d) Weighting functions with respect to scan positions and pressure for
711 channel 36. The green, red, purple, blue, cyan, and orange lines in (a), (b) and (c)
712 indicate the LWIR channels 13, 36 and 70, MWIR channels 296 and 303, and
713 SWIR channel 394, respectively.

714 Fig. 3: (a) Spatial distributions of clear fractions within CrIS center FOV, and VIIRS
715 reflectance observations at the M3 visible band centered at wavelength 0.486 μm
716 on 17 December 2015.

717 Fig. 4: (a) Global distributions of clear-sky oceanic data counts within 5°x5° grid
718 boxes and (b) the data counts with respect to latitude and scan positions. The
719 data with surface skin temperature below 273.15 K are excluded.

720 Fig. 5: The biases of brightness temperatures of LWIR channels for FORs 1-2 (blue
721 line), FORs 15-16 (black line) and FORs 29-30 (red line).

722 Fig. 6: (a) Scan biases of brightness temperatures for LWIR channels of CO₂ and H₂O

723 absorption band averaged within 55S-55N. The nadir (FORs 15-16) bias is
724 subtracted. The scan variations of mean observed brightness temperatures are
725 shown (contours), with the mean brightness temperatures of FORs 15-16
726 subtracted. Scan variations of the mean brightness temperatures from
727 observations (black curve) and model simulations (blue curve) and the O-B
728 differences (red curve) for (b) LWIR channel 13 (689.375 cm^{-1}) and (c) channel
729 70 (752.5 cm^{-1}).

730 Fig. 7: Latitudinal dependences of biases and standard deviations of the O-B
731 brightness temperature differences for LWIR channels of CO_2 and H_2O
732 absorption bands with respect to latitude and channel number. (b) Latitudinal
733 variations of the O-B differences (unit: K) for LWIR channel 36 (716.25 cm^{-1})
734 between the fixed CO_2 experiment (black curve) and a latitudinal varied CO_2
735 experiment (blue curve), and the $B_{\text{varied-co2}} - B_{\text{fixed-co2}}$ differences (red curve) with
736 ($B_{\text{varied-co2}}$) and without ($B_{\text{fixed-co2}}$) varying CO_2 concentration with respect to
737 latitudes.

738 Fig. 8: The biases of brightness temperatures of MWIR channels for FORs 1-2 (blue
739 line), FORs 15-16 (black line) and FORs 29-30 (red line).

740 Fig. 9: (a) Scan variations of biases of brightness temperatures for MWIR channels
741 within 55S-55N and (b) the mean differences of biases between FORs 1 and 30
742 (FOR 1 minus FOR 30) for MWIR channels 185-312 (dots). The nadir (FORs
743 15-16) bias is subtracted in (a). The mean values of the brightness temperature
744 observations are indicated by colored the dots in (b). (c) Scan variations of the

745 mean brightness temperatures from observations (black curve) and model
746 simulations (blue curve) and the differences (red curve) for MWIR channel 303
747 (1576.25 cm^{-1}).

748 Fig. 10: Latitudinal dependences of biases and standard deviations of the O-B
749 brightness temperature differences for MWIR channels 185-312 with a
750 collocation criteria of (a) $\pm 3 \text{ h}$ and (b) $\pm 1 \text{ h}$.

751 Fig. 11: Specific humidity (unit: g kg^{-1}) around 500 hPa from ECMWF analysis at (a)
752 0300 and (b) 0900 UTC, 14 December 2015, and (c) their differences.

753 Fig. 12: Scatter plots of O-B of MWIR channel 296 (1442.5 cm^{-1}) for all the data in
754 December within the latitudinal bands of (a) 35N~55N and 35S~55S and (b)
755 15S-15N. The variations of the bias and standard deviation are presented by red
756 curve and vertical lines, respectively.

757 Fig. 13: The biases of brightness temperatures of SWIR channels for FORs 1-2 (blue
758 line), FORs 15-16 (black line) and FORs 29-30 (red line).

759 Fig. 14: Biases of brightness temperature for SWIR channels with respect to scan and
760 channel number at (a) nighttime and (b) daytime within the latitudes of 55S-55N.
761 The nadir (FORs 15-16) bias is subtracted.

762 Fig. 15: Data counts (shaded in color) as (a) functions of sun glint angle (intv: 2°) and
763 scan positions and (b) functions of O-B (intv: 0.2 K) and sun glint angle (intv: 2°)
764 of SWIR channel 394 (2500 cm^{-1}) within the latitudes of 55S-55N at daytime.
765 Variations of the global mean biases and the standard deviations with respect to
766 sun glint angle are shown by black curve and vertical lines, respectively. The sun

767 glint angle of 30° is indicated by a grey line in both (a) and (b).

768 Fig. 16: Latitudinal dependences of biases and standard deviations of the O-B
769 brightness temperature differences for SWIR channels in July during (a) daytime
770 and (b) nighttime, and in December during (c) daytime and (d) nighttime.

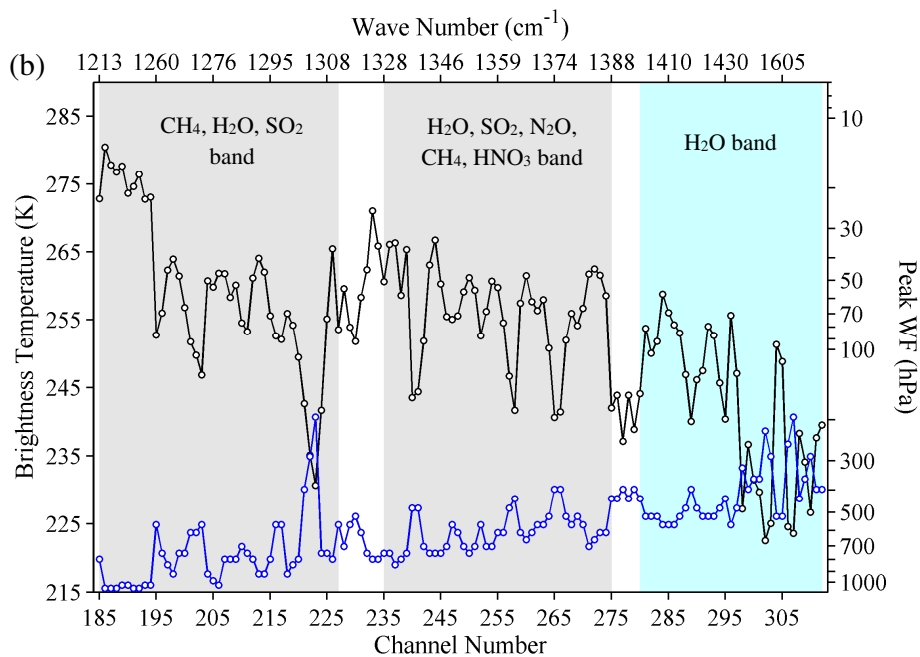
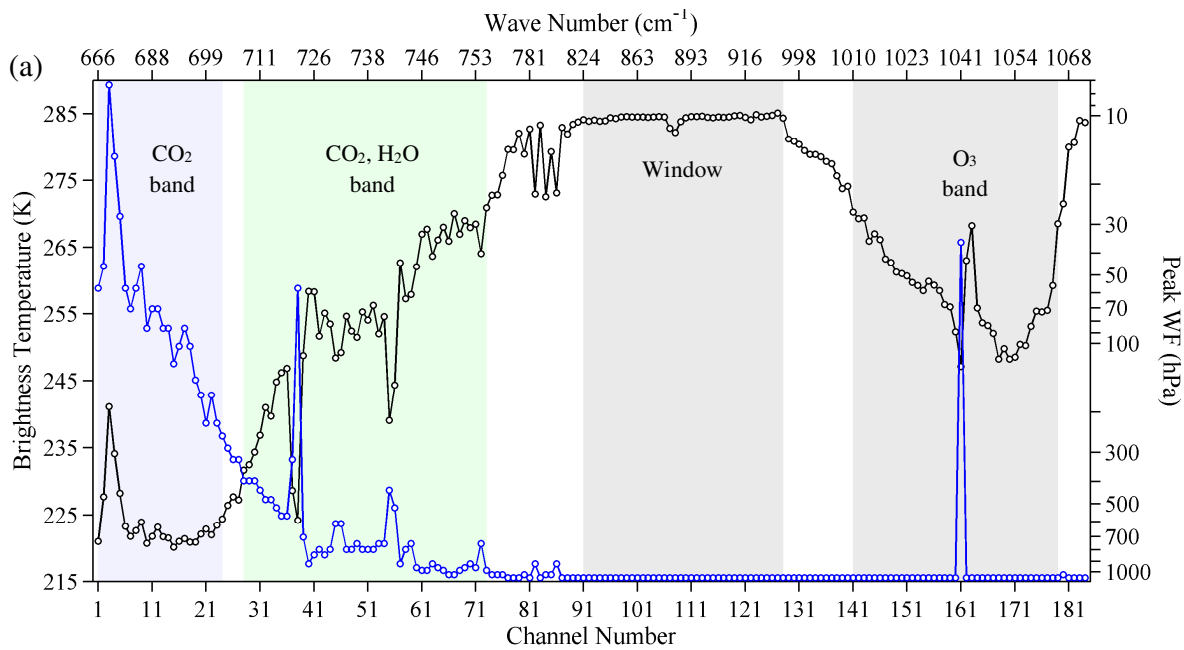
771 Fig. 17: Biases of CrIS brightness temperatures estimated by CRTM (black, same as
772 Figs. 5, 8 and 13) and RTTOV (red), and differences of bias between CRTM and
773 RTTOV (blue) for the 399 channels at nadir (FORs 15-16). The CO₂ channels of
774 LWIR, CO₂/H₂O channels of LWIR, H₂O channels of MWIR, CO₂ channels of
775 SWIR and window channels of SWIR are indicated by purple, green, cyan,
776 orange and red shadings, respectively. The channels sensitive to other trace gases
777 are highlighted in grey shading.

778 Fig. 18: Reference profiles of CH₄ (left panel) and N₂O (right panel) concentrations
779 within CRTM (red curve) and RTTOV (blue curve).

780 Fig. 19: Mean differences of biases between FORs 1-2 and FORs 15-16 (FORs 1-2
781 minus FORs 15-16) estimated by CRTM (black) and RTTOV (red).

Table.1: List of the subset of 399 CrIS channels selected by NOAA/NESDIS. The channel indices corresponding to the full spectrum are shown in brackets.

1(27)	2(28)	3(31)	4(32)	5(33)	6(37)	7(49)	8(51)	9(53)	10(59)
11(61)	12(63)	13(64)	14(65)	15(67)	16(69)	17(71)	18(73)	19(75)	20(79)
21(80)	22(81)	23(83)	24(85)	25(87)	26(88)	27(89)	28(93)	29(95)	30(96)
31(99)	32(101)	33(102)	34(104)	35(106)	36(107)	37(111)	38(113)	39(116)	40(120)
41(123)	42(124)	43(125)	44(126)	45(130)	46(132)	47(133)	48(136)	49(137)	50(138)
51(142)	52(143)	53(144)	54(145)	55(147)	56(148)	57(150)	58(151)	59(153)	60(154)
61(155)	62(157)	63(158)	64(159)	65(160)	66(161)	67(162)	68(163)	69(164)	70(165)
71(166)	72(168)	73(170)	74(171)	75(173)	76(175)	77(181)	78(183)	79(198)	80(208)
81(211)	82(216)	83(224)	84(228)	85(236)	86(238)	87(242)	88(248)	89(266)	90(268)
91(279)	92(283)	93(311)	94(317)	95(330)	96(333)	97(334)	98(338)	99(340)	100(341)
101(342)	102(349)	103(352)	104(358)	105(361)	106(364)	107(366)	108(367)	109(368)	110(378)
111(390)	112(391)	113(392)	114(394)	115(395)	116(396)	117(397)	118(398)	119(399)	120(404)
121(427)	122(447)	123(464)	124(473)	125(482)	126(484)	127(501)	128(529)	129(556)	130(557)
131(558)	132(560)	133(561)	134(562)	135(564)	136(565)	137(566)	138(569)	139(573)	140(574)
141(577)	142(580)	143(581)	144(584)	145(585)	146(587)	147(590)	148(591)	149(594)	150(597)
151(598)	152(601)	153(604)	154(607)	155(611)	156(614)	157(616)	158(617)	159(619)	160(622)
161(626)	162(628)	163(634)	164(637)	165(638)	166(640)	167(641)	168(642)	169(644)	170(646)
171(647)	172(650)	173(651)	174(652)	175(654)	176(655)	177(657)	178(659)	179(663)	180(667)
181(670)	182(707)	183(710)	184(713)	185(716)	186(730)	187(735)	188(736)	189(739)	190(743)
191(744)	192(746)	193(748)	194(751)	195(754)	196(755)	197(756)	198(757)	199(758)	200(760)
201(761)	202(762)	203(763)	204(766)	205(767)	206(768)	207(771)	208(772)	209(773)	210(776)
211(777)	212(778)	213(779)	214(780)	215(782)	216(783)	217(784)	218(785)	219(786)	220(787)
221(788)	222(789)	223(790)	224(791)	225(792)	226(794)	227(796)	228(798)	229(800)	230(802)
231(803)	232(804)	233(806)	234(807)	235(808)	236(809)	237(811)	238(812)	239(814)	240(816)
241(819)	242(820)	243(821)	244(822)	245(823)	246(824)	247(825)	248(826)	249(827)	250(828)
251(829)	252(830)	253(831)	254(832)	255(833)	256(834)	257(835)	258(836)	259(838)	260(839)
261(840)	262(842)	263(843)	264(844)	265(845)	266(846)	267(847)	268(848)	269(849)	270(850)
271(851)	272(852)	273(853)	274(854)	275(856)	276(861)	277(862)	278(864)	279(865)	280(866)
281(867)	282(869)	283(871)	284(872)	285(874)	286(876)	287(878)	288(879)	289(880)	290(884)
291(886)	292(887)	293(888)	294(889)	295(890)	296(900)	297(921)	298(924)	299(927)	300(945)
301(991)	302(994)	303(1007)	304(1015)	305(1030)	306(1094)	307(1106)	308(1130)	309(1132)	310(1133)
311(1135)	312(1142)	313(1147)	314(1148)	315(1149)	316(1150)	317(1151)	318(1152)	319(1153)	320(1154)
321(1155)	322(1156)	323(1157)	324(1158)	325(1159)	326(1160)	327(1161)	328(1162)	329(1163)	330(1164)
331(1165)	332(1166)	333(1167)	334(1168)	335(1169)	336(1170)	337(1171)	338(1172)	339(1173)	340(1174)
341(1175)	342(1177)	343(1178)	344(1179)	345(1180)	346(1181)	347(1187)	348(1189)	349(1190)	350(1192)
351(1193)	352(1194)	353(1196)	354(1197)	355(1198)	356(1199)	357(1200)	358(1202)	359(1203)	360(1204)
361(1206)	362(1207)	363(1208)	364(1210)	365(1212)	366(1214)	367(1215)	368(1217)	369(1218)	370(1220)
371(1222)	372(1224)	373(1226)	374(1228)	375(1229)	376(1231)	377(1232)	378(1234)	379(1235)	380(1236)
381(1237)	382(1238)	383(1239)	384(1241)	385(1242)	386(1243)	387(1244)	388(1245)	389(1247)	390(1250)
391(1270)	392(1271)	393(1282)	394(1285)	395(1288)	396(1290)	397(1293)	398(1298)	399(1301)	



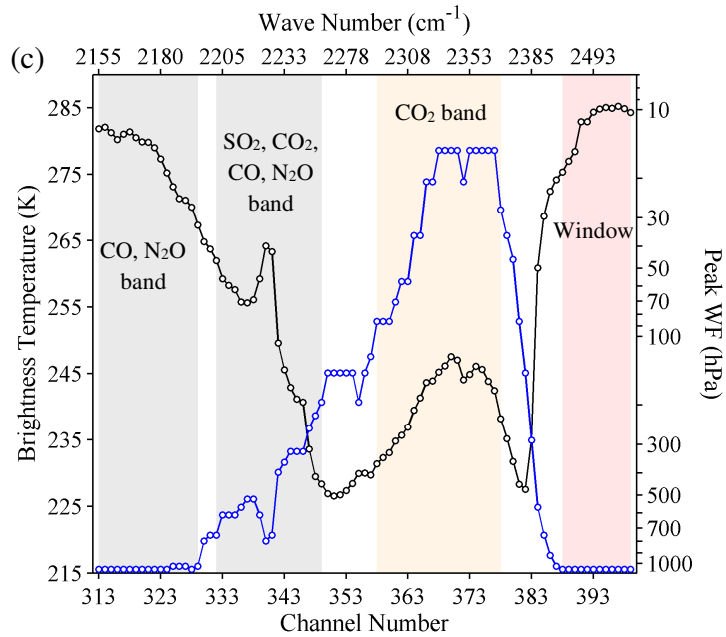


Fig. 1: Brightness temperatures (black) and weighting function peaks (blue) for (a) LWIR, (b) MWIR, (c) SWIR of the 399 CrIS channels used in NWP calculated by CRTM for a typical tropical atmosphere profile. The CO₂ channels of LWIR, CO₂/H₂O channels of LWIR, H₂O channels of MWIR, CO₂ channels of SWIR and window channels of SWIR are represented by purple, green, cyan, orange and red shaded regions, respectively. Other sensitive bands are indicated by grey regions.

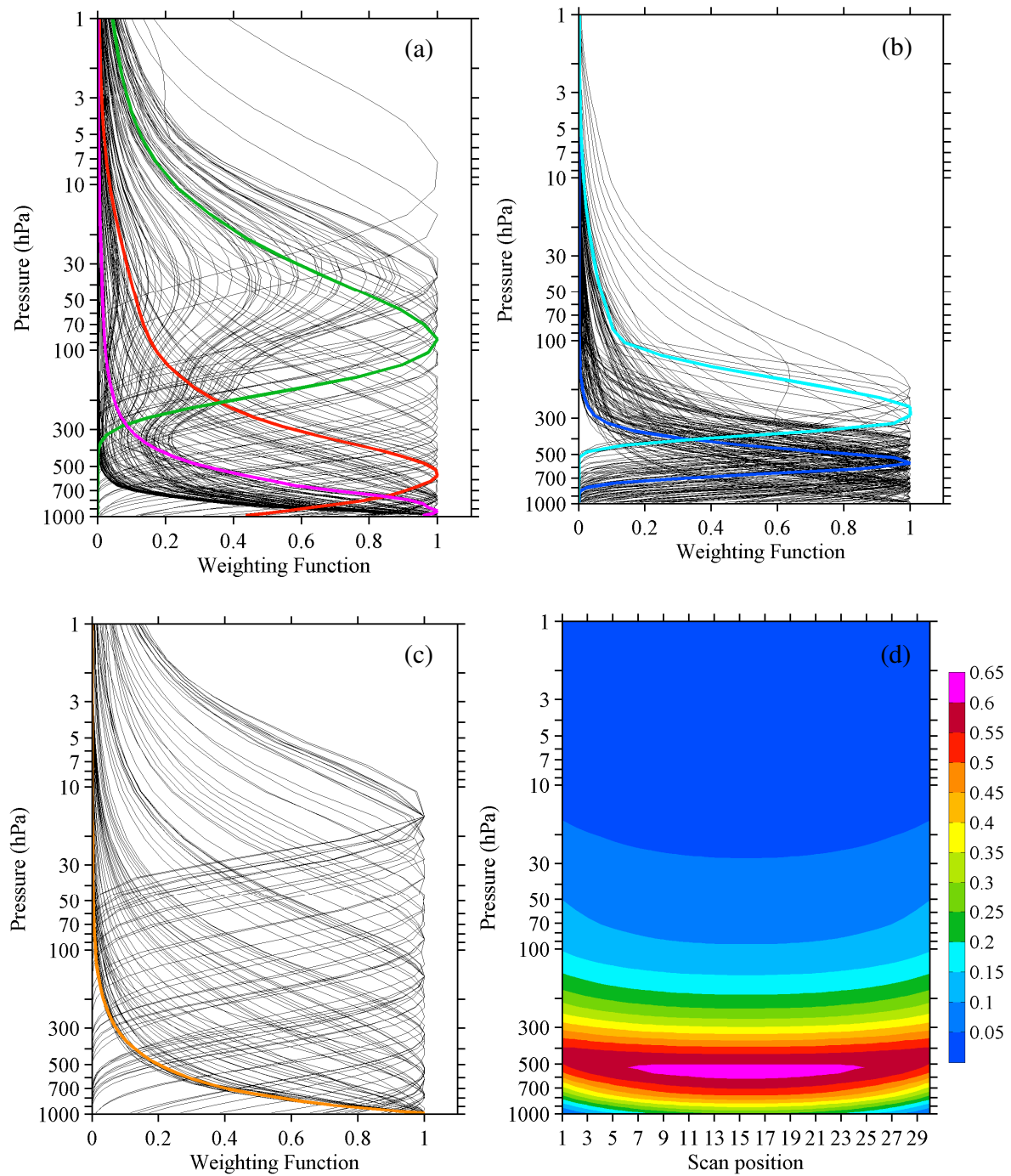


Fig. 2: Normalized weighting functions for bands of: (a) LWIR, (b) MWIR and (c) SWIR. (d) Weighting functions with respect to scan positions and pressure for channel 36. The green, red, purple, blue, cyan, and orange lines in (a), (b) and (c) indicate the LWIR channels 13, 36 and 70, MWIR channels 296 and 303, and SWIR channel 394, respectively.

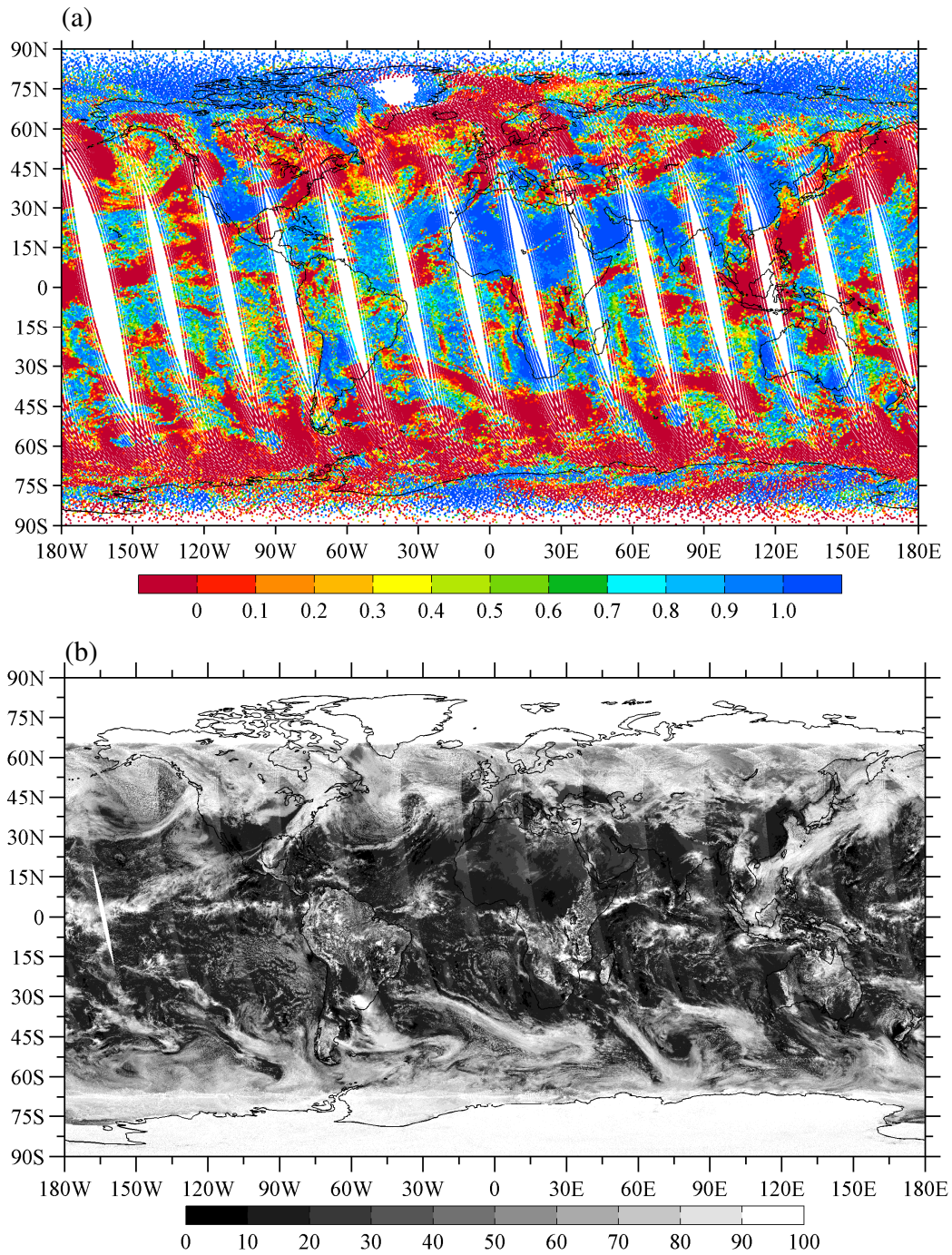


Fig. 3: (a) Spatial distributions of clear fractions within CrIS center FOV, and (b) VIIRS reflectance observations at the M3 visible band centered at wavelength 0.486 μm on 17 December 2015.

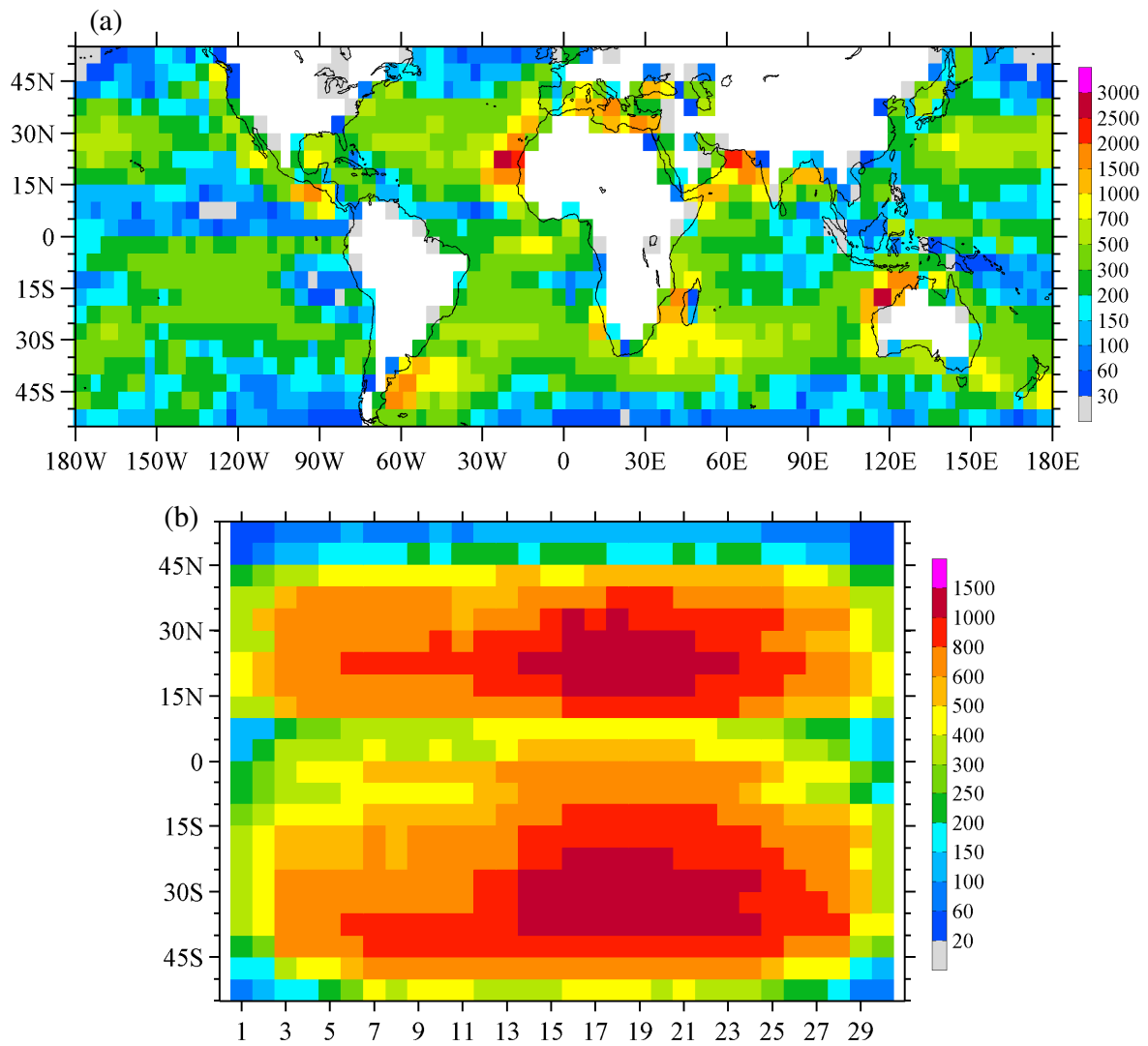


Fig. 4: (a) Global distributions of clear-sky oceanic data counts within $5^\circ \times 5^\circ$ grid boxes and (b) the data counts with respect to latitude and scan positions. The data with surface skin temperature below 273.15 K are excluded.

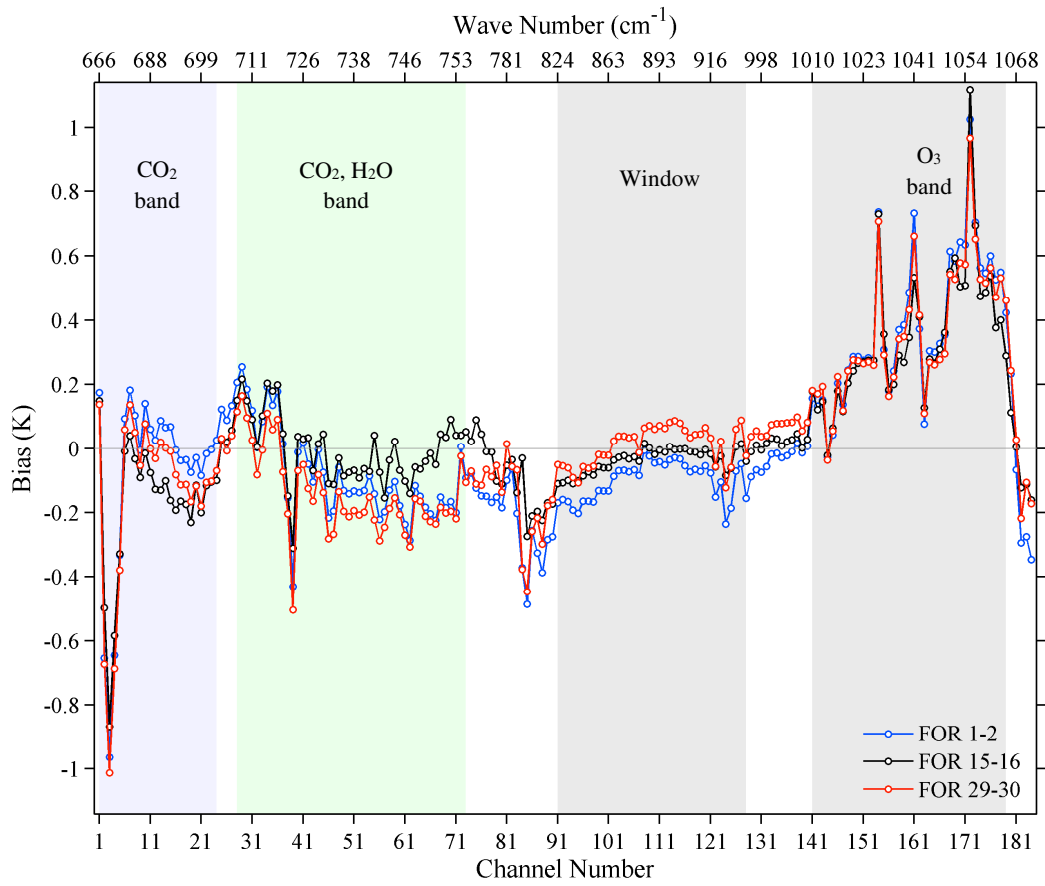


Fig. 5: The biases of brightness temperatures of LWIR channels for FORs 1-2 (blue line), FORs 15-16 (black line) and FORs 29-30 (red line).

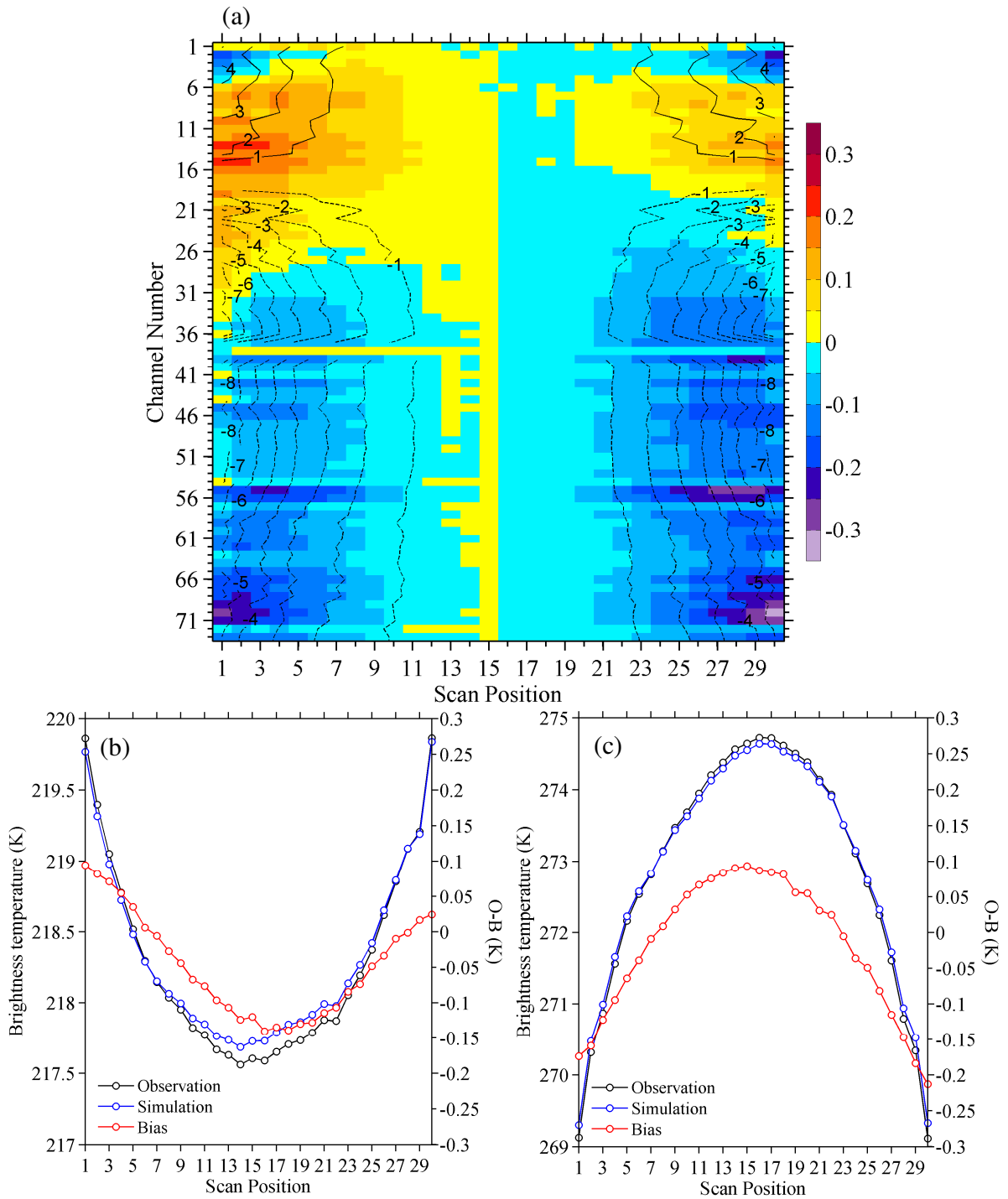


Fig. 6: (a) Scan biases of brightness temperatures for LWIR channels of CO_2 and H_2O absorption band averaged within 55S-55N. The nadir (FORs 15-16) bias is subtracted. The scan variations of mean observed brightness temperatures are shown (contours), with the mean brightness temperatures of FORs 15-16 subtracted. Scan variations of the mean brightness temperatures from observations (black curve) and model simulations (blue

curve) and the O-B differences (red curve) for (b) LWIR channel 13 (689.375 cm^{-1}) and (c) channel 70 (752.5 cm^{-1}).

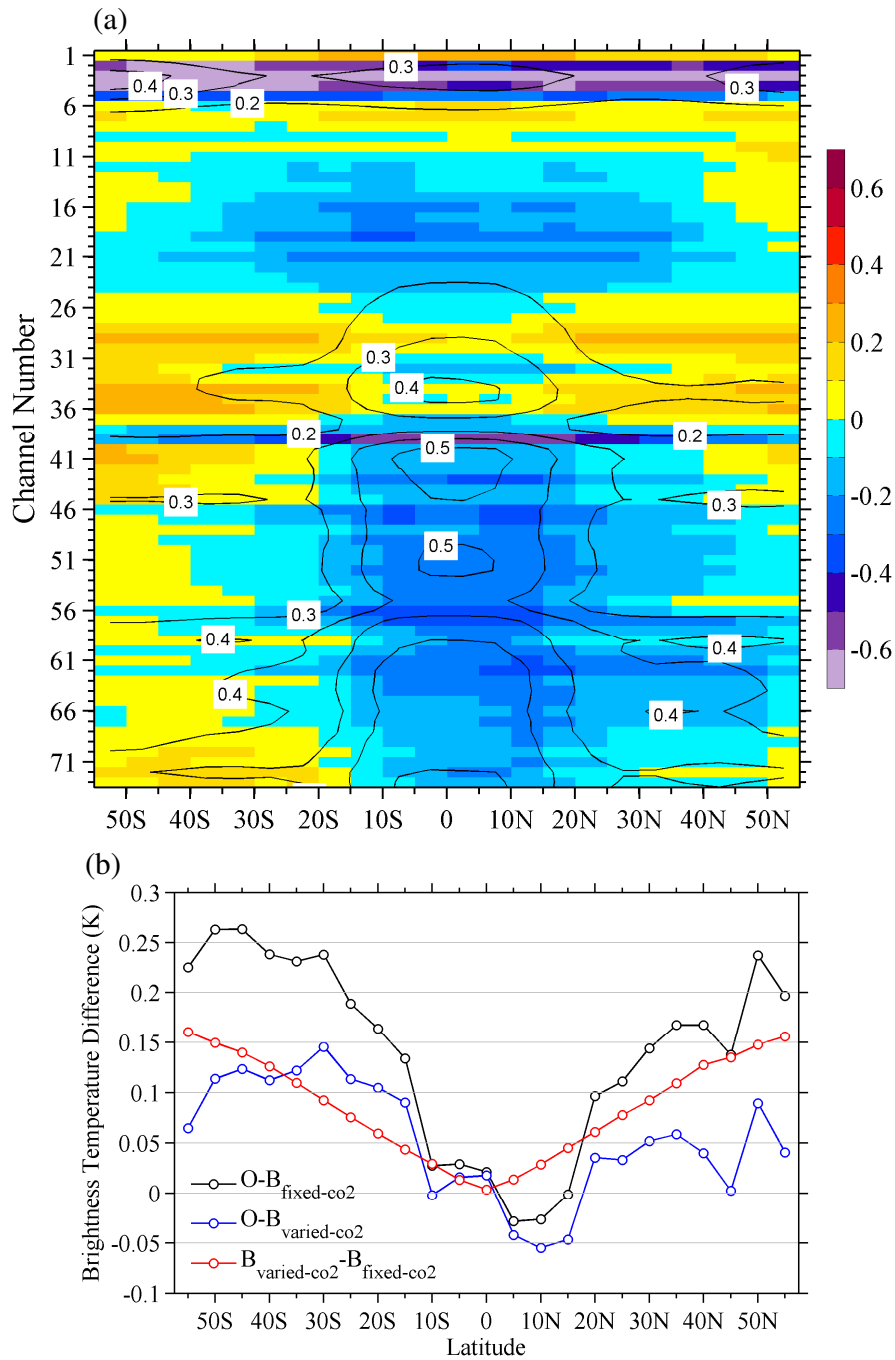


Fig. 7: Latitudinal dependences of biases and standard deviations of the O-B brightness temperature differences for LWIR channels of CO₂ and H₂O absorption bands with respect to latitude and channel number. (b) Latitudinal variations of the O-B differences (unit: K) for LWIR channel 36 (716.25 cm⁻¹) between the fixed CO₂ experiment (black curve) and a latitudinal varied CO₂ experiment (blue curve), and the $B_{\text{varied-co2}} - B_{\text{fixed-co2}}$ differences (red curve) with ($B_{\text{varied-co2}}$) and without ($B_{\text{fixed-co2}}$) varying CO₂ concentration with respect to latitudes.

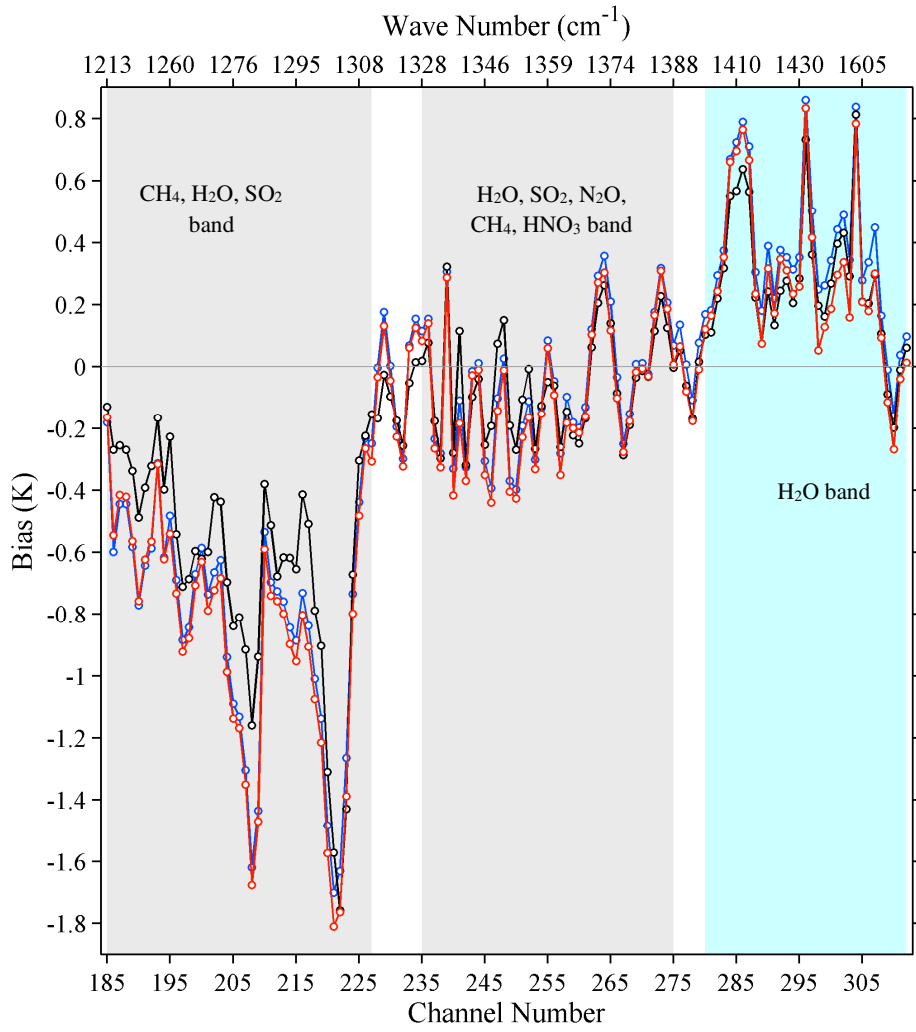
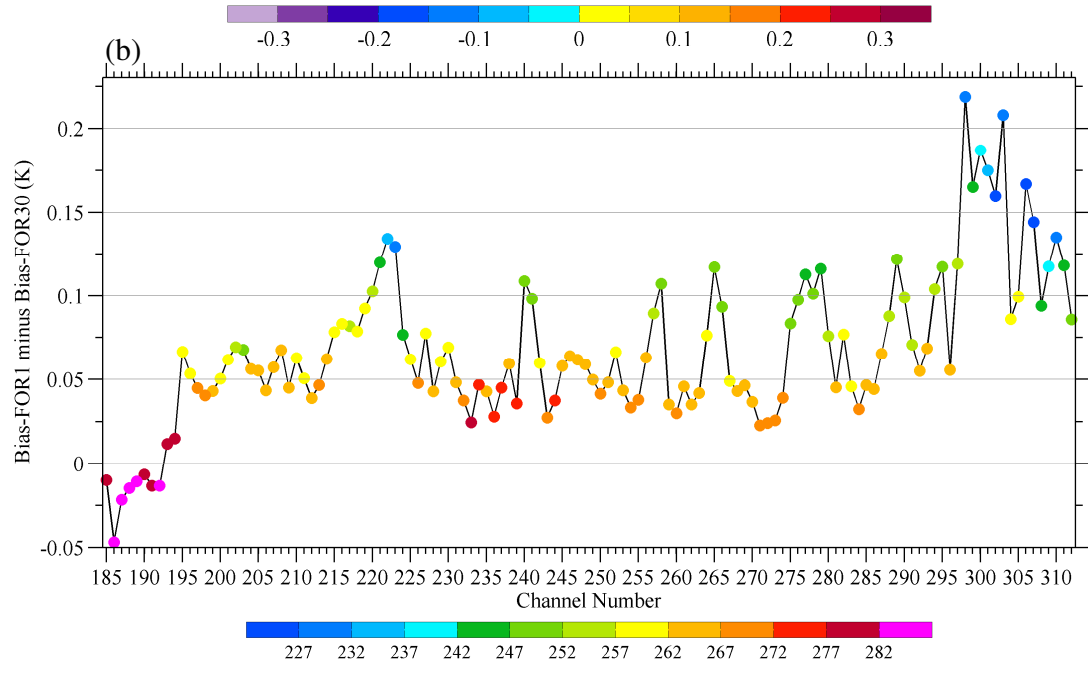
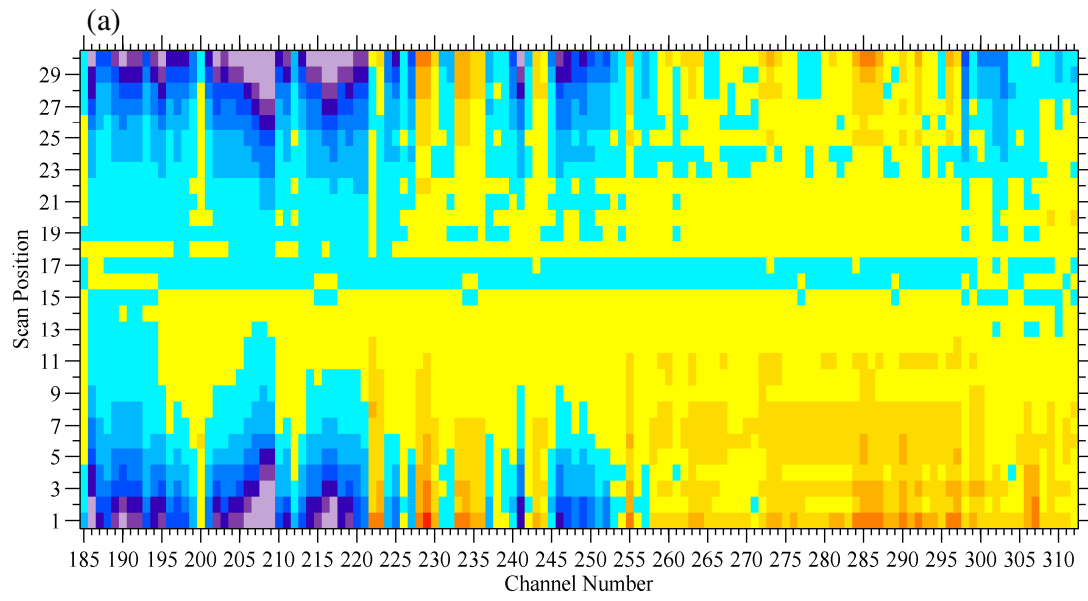


Fig. 8: The biases of brightness temperatures of MWIR channels for FORs 1-2 (blue line), FORs 15-16 (black line) and FORs 29-30 (red line).



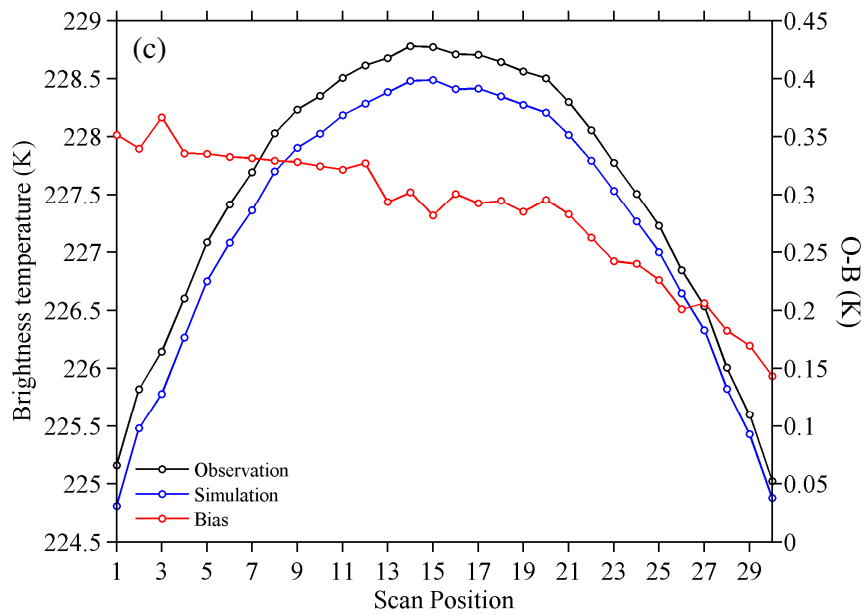


Fig. 9: (a) Scan variations of biases of brightness temperatures for MWIR channels within 55S-55N and (b) the mean differences of biases between FORs 1 and 30 (FOR 1 minus FOR 30) for MWIR channels 185-312 (dots). The nadir (FORs 15-16) bias is subtracted in (a). The mean values of the brightness temperature observations are indicated by colored the dots in (b). (c) Scan variations of the mean brightness temperatures from observations (black curve) and model simulations (blue curve) and the differences (red curve) for MWIR channel 303 (1576.25 cm^{-1}).

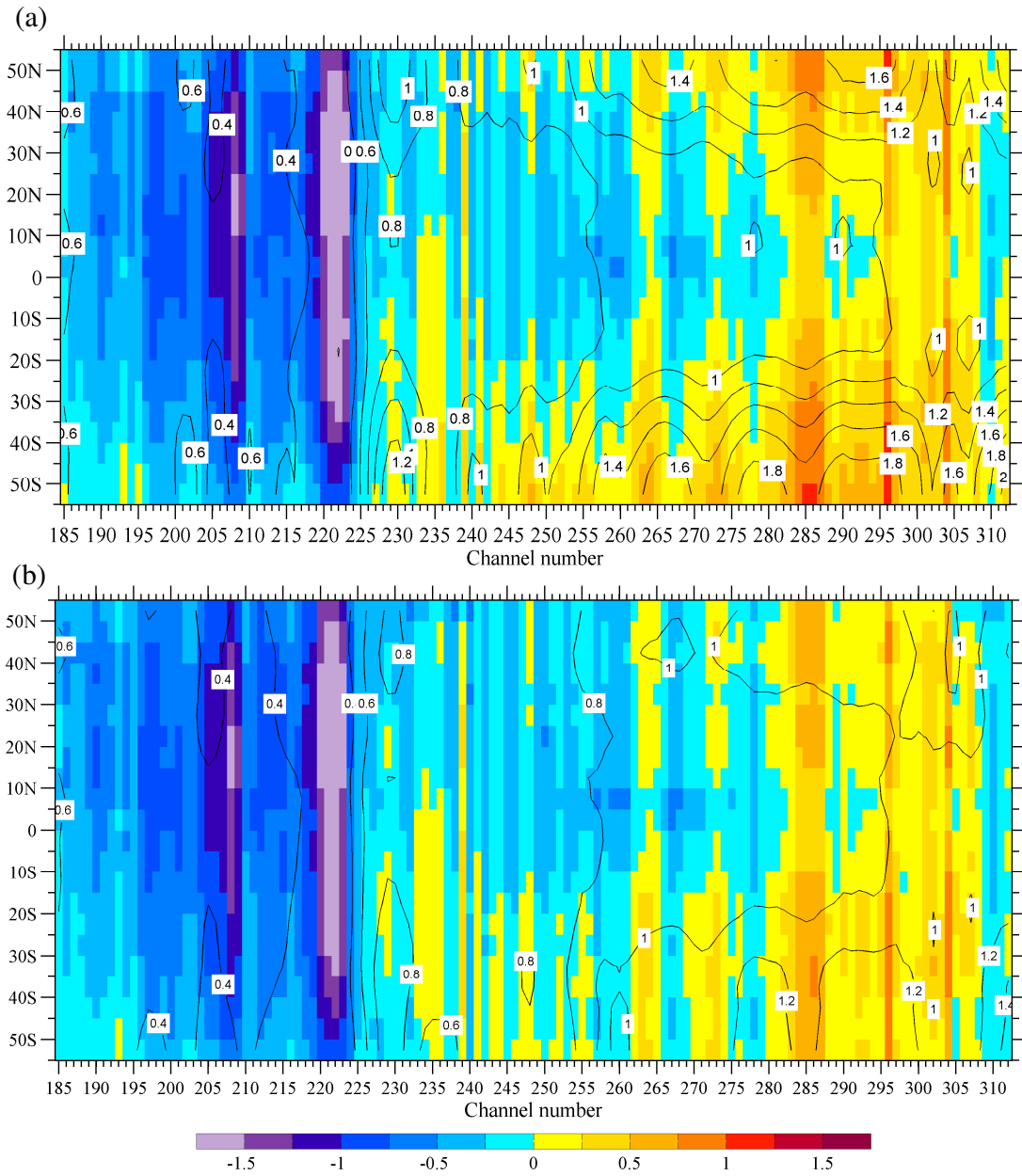


Fig. 10: Latitudinal dependences of biases and standard deviations of the O-B brightness temperature differences for MWIR channels 185-312 with a collocation criteria of (a) ± 3 h and (b) ± 1 h.

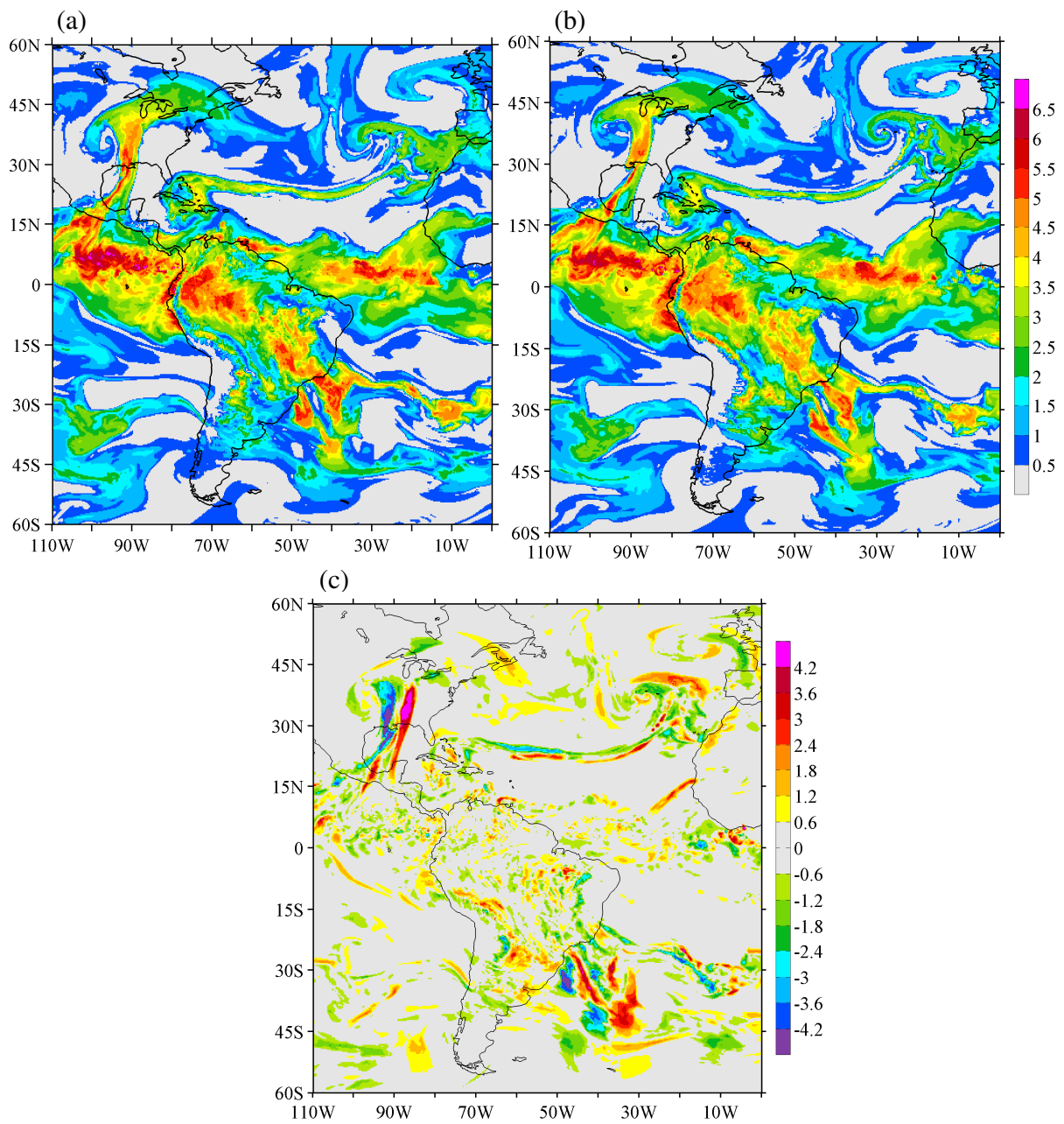


Fig. 11: Specific humidity (unit: g kg^{-1}) around 500 hPa from ECMWF analysis at (a) 0300 and (b) 0900 UTC, 14 December 2015, and (c) their differences.

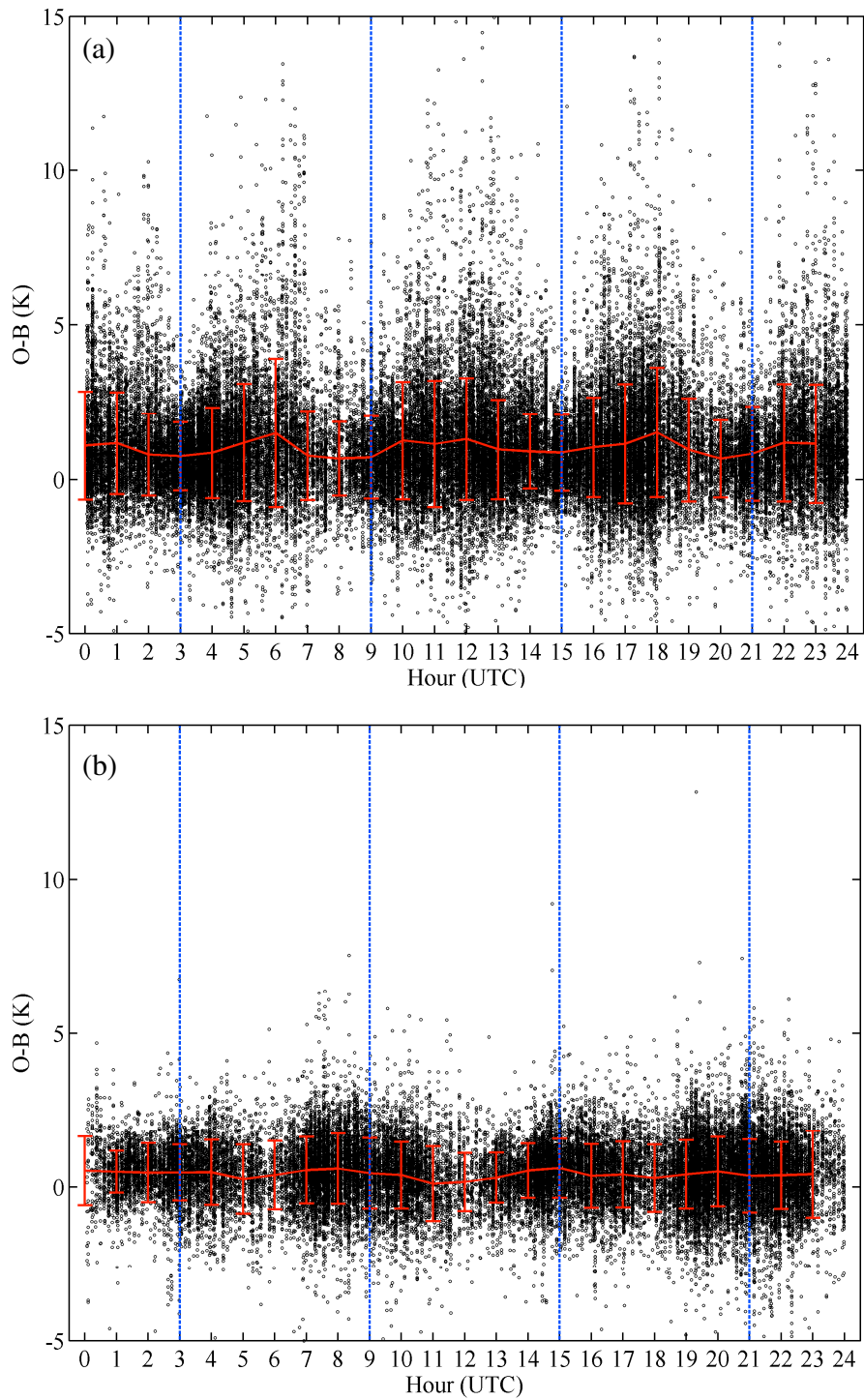


Fig. 12: Scatter plots of O-B of MWIR channel 296 (1442.5 cm^{-1}) for all the data in December within the latitudinal bands of (a) 35N~55N and 35S~55S and (b) 15S-15N. The variations of the bias and standard deviation are presented by red curve and vertical lines, respectively.

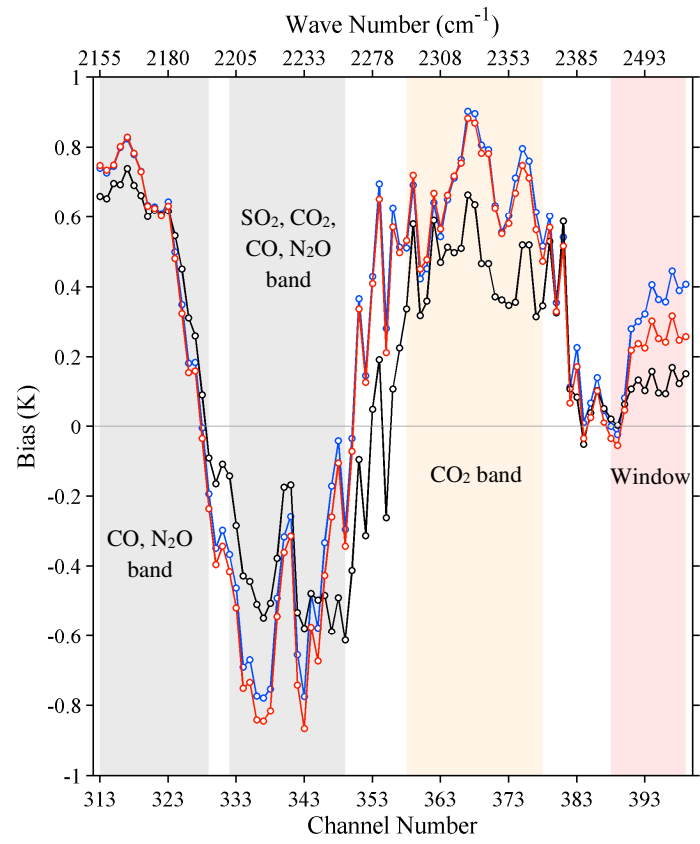


Fig. 13: The biases of brightness temperatures of SWIR channels for FORs 1-2 (blue line), FORs 15-16 (black line) and FORs 29-30 (red line).

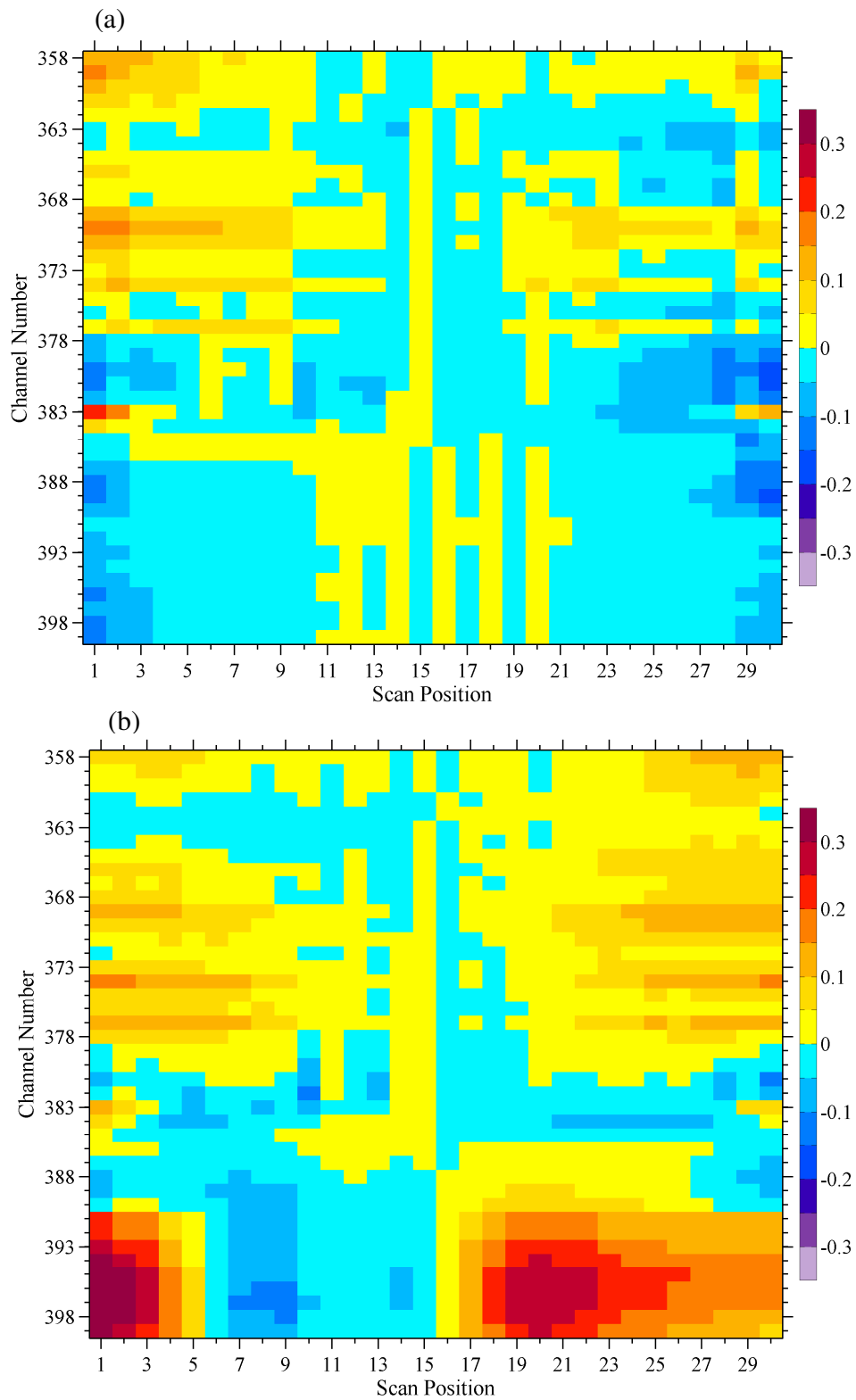


Fig. 14: Biases of brightness temperature for SWIR channels with respect to scan and channel number at (a) nighttime and (b) daytime within the latitudes of 55S-55N. The nadir (FORs 15-16) bias is subtracted.

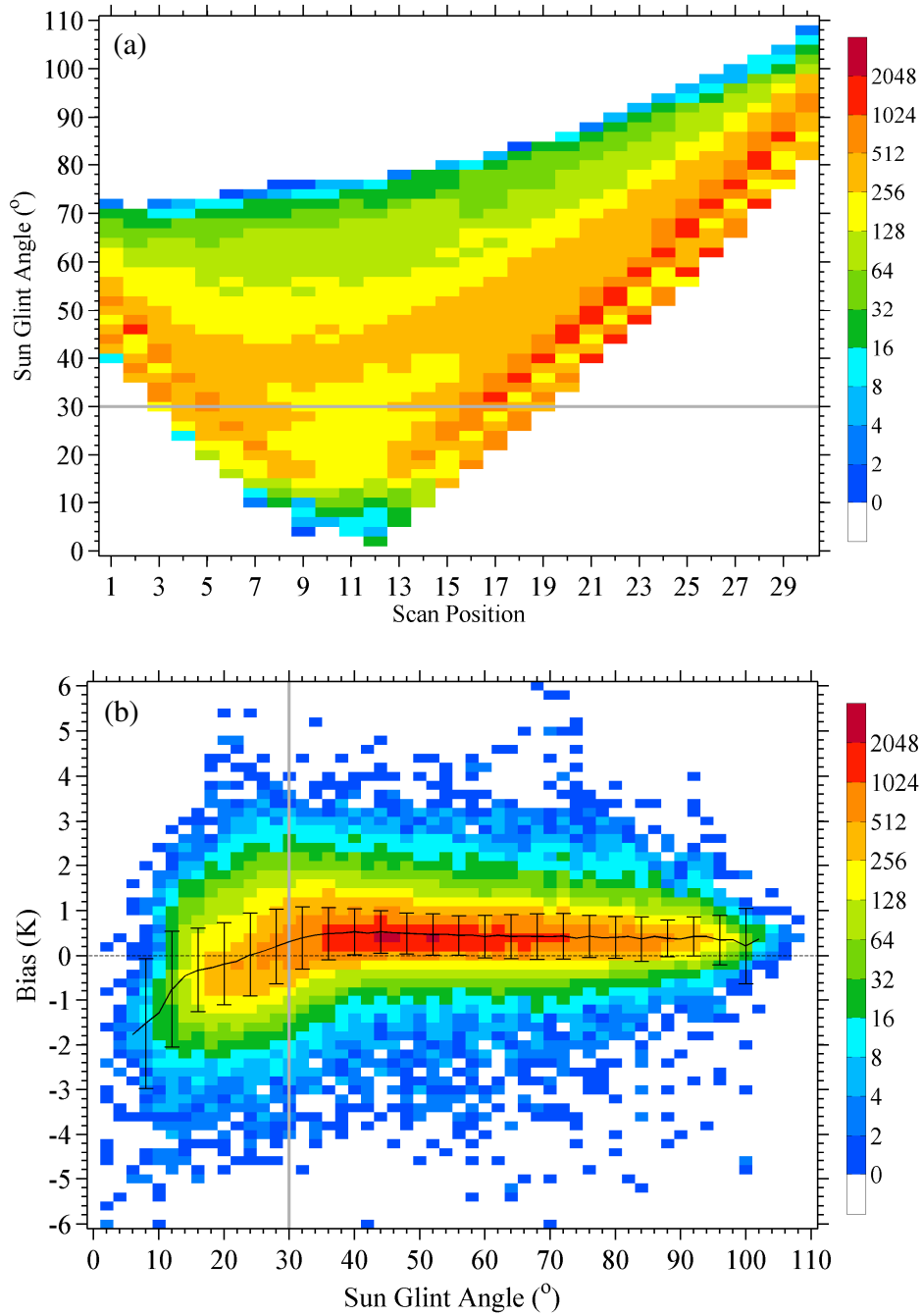
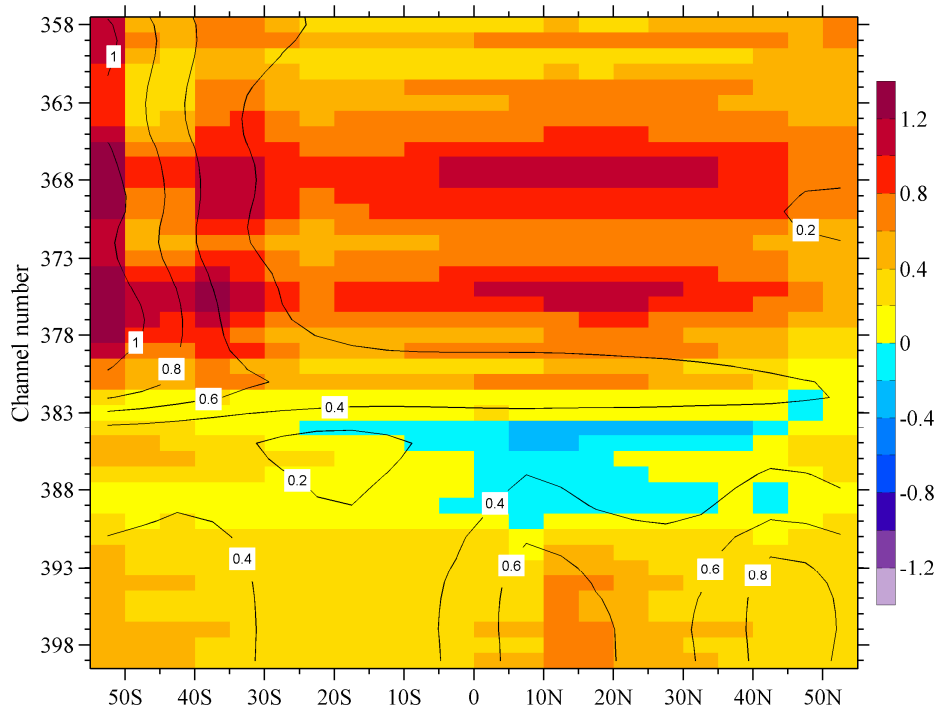
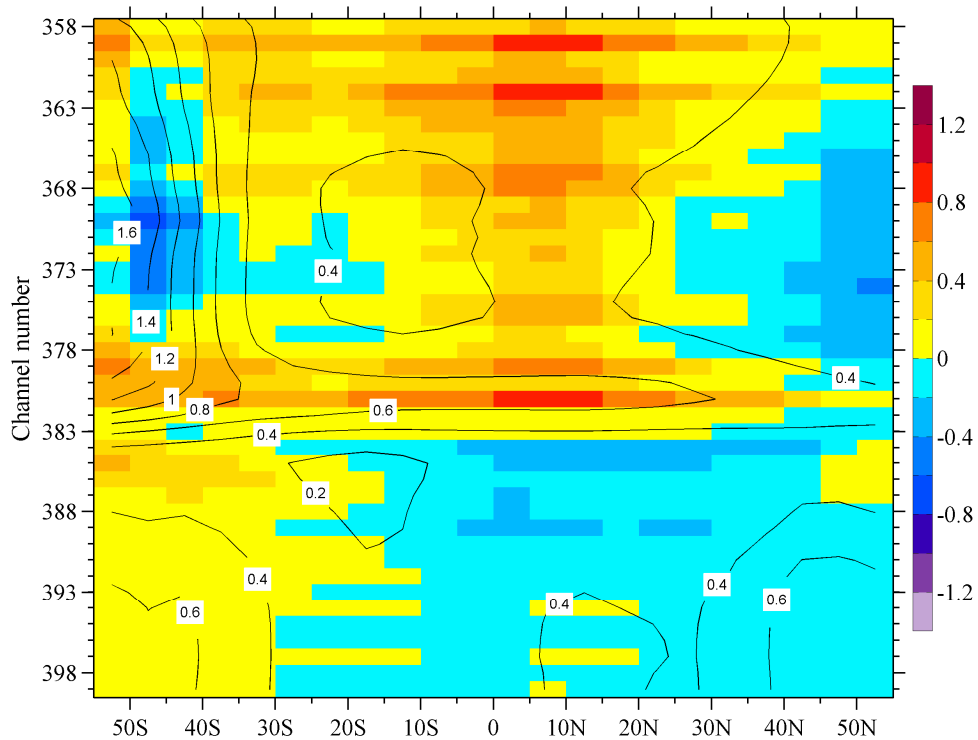


Fig. 15: Data counts (shaded in color) as (a) functions of sun glint angle (intv: 2°) and scan positions and (b) functions of O-B (intv: 0.2 K) and sun glint angle (intv: 2°) of SWIR channel 394 (2500 cm^{-1}) within the latitudes of 55S-55N at daytime. Variations of the global mean biases and the standard deviations with respect to sun glint angle are shown by black curve and vertical lines, respectively. The sun glint angle of 30° is indicated by a grey line in both (a) and (b).

(a) July daytime



(b) July nighttime



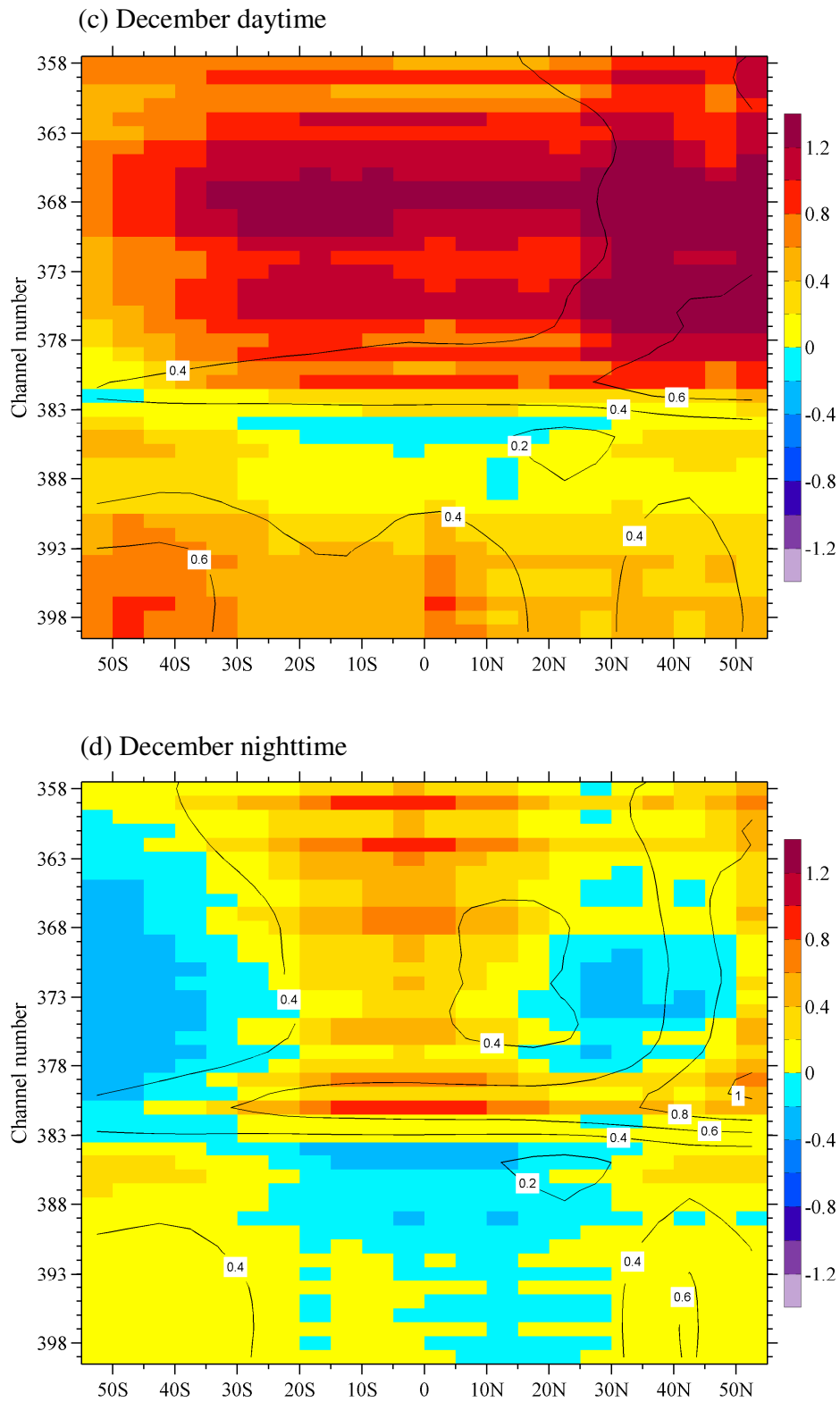


Fig. 16: Latitudinal dependences of biases and standard deviations of the O-B brightness temperature differences for SWIR channels in July during (a) daytime and (b) nighttime, and in December during (c) daytime and (d) nighttime.

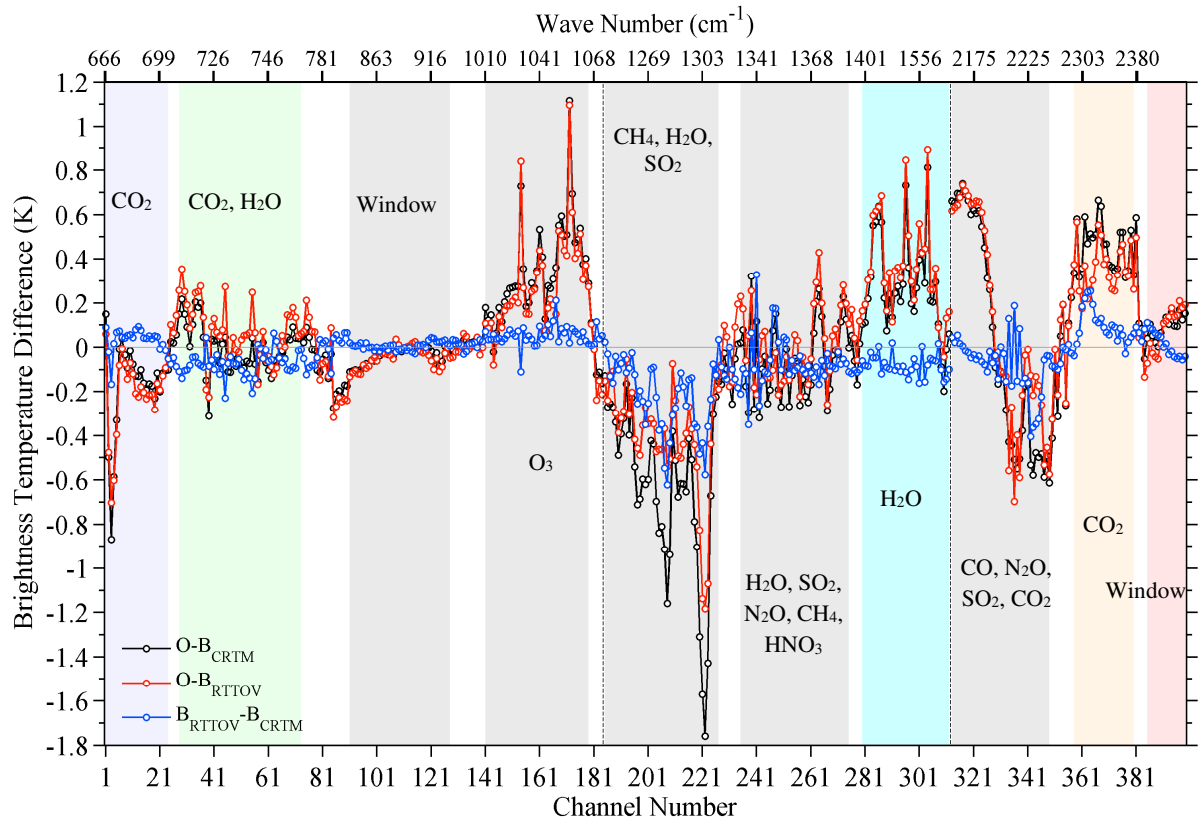


Fig. 17: Biases of CrIS brightness temperatures estimated by CRTM (black, same as Figs. 5, 8 and 13) and RTTOV (red), and differences of bias between CRTM and RTTOV (blue) for the 399 channels at nadir (FORs 15-16). The CO₂ channels of LWIR, CO₂/H₂O channels of LWIR, H₂O channels of MWIR, CO₂ channels of SWIR and window channels of SWIR are indicated by purple, green, cyan, orange and red shadings, respectively. The channels sensitive to other trace gases are highlighted in grey shading.

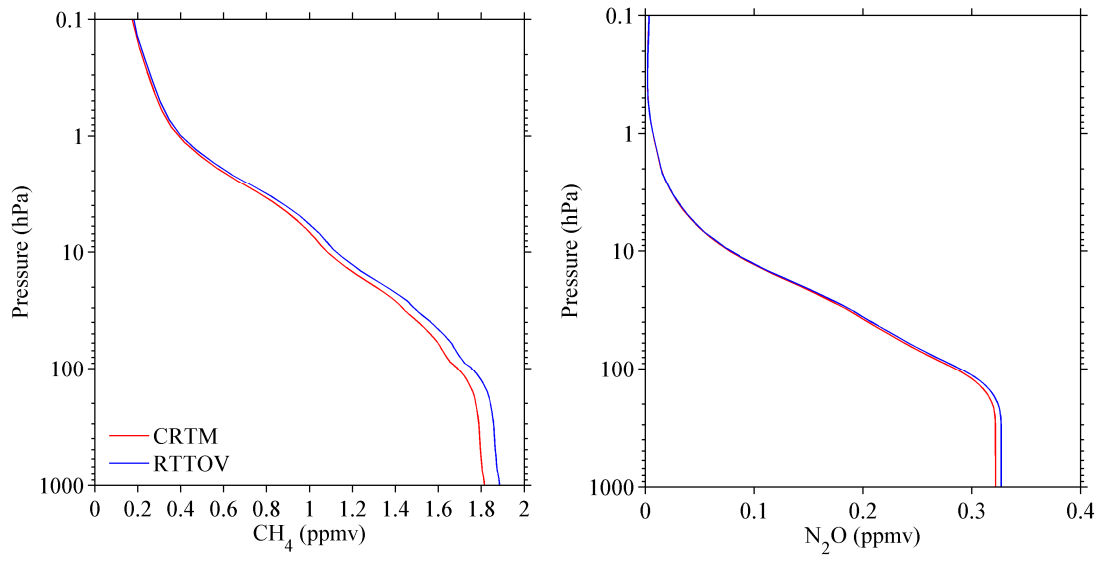


Fig. 18: Reference profiles of CH₄ (left panel) and N₂O (right panel) concentrations within CRTM (red curve) and RTTOV (blue curve).

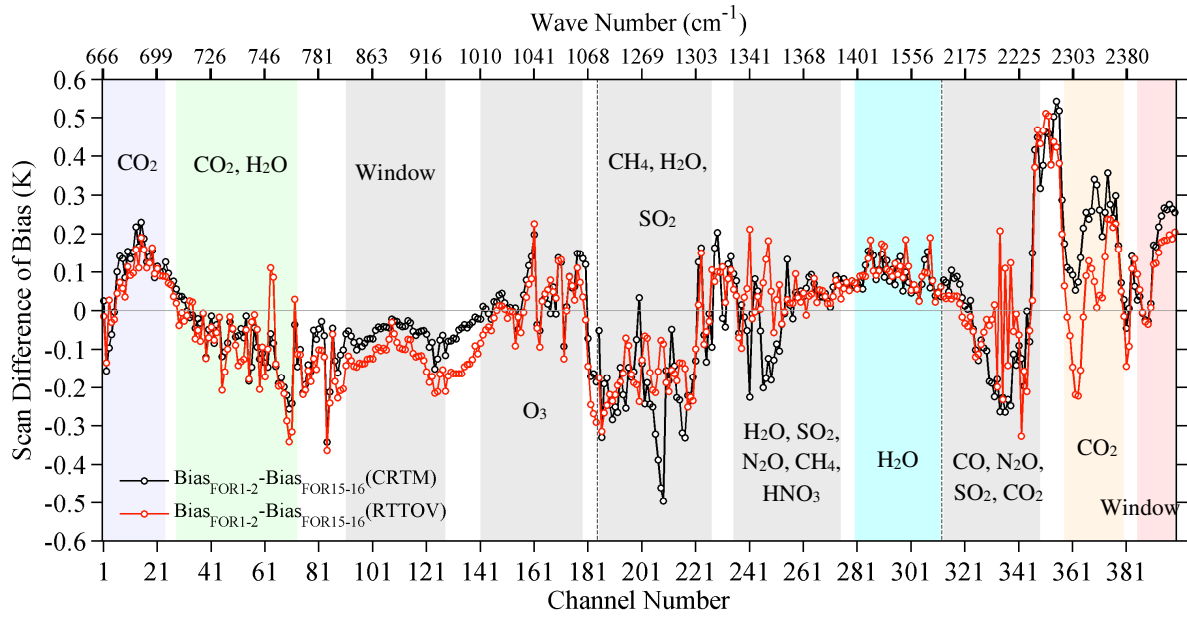


Fig. 19: Mean differences of biases between FORs 1-2 and FORs 15-16 (FORs 1-2 minus FORs 15-16) estimated by CRTM (black) and RTTOV (red).