

1 **Estimation of anthropogenic heat flux and its coupling analysis with**
2 **urban building characteristics -- A case study of typical cities in the**
3 **Yangtze River Delta, China**

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11

12 **Abstract**

13 The anthropogenic heat emitted into the atmosphere has increased significantly in urban
14 areas with accumulated building and increased energy consumption. Carrying out
15 anthropogenic heat flux (AHF) estimation and exploring the correlation between the AHF and
16 building characteristics (density and height) helps reveal the urban climate's genetic
17 mechanism. This study took Shanghai, Nanjing, and Hangzhou, the typical cities in the
18 Yangtze River Delta region of China as case studies. The annual AHF of 2000, 2008, and
19 2016 based on the energy consumption inventory and multi-source remote sensing data was
20 estimated. Besides, the monthly AHF by time dimension downscaling processing was
21 obtained. The correlation between AHF and building characteristics was then analyzed by
22 combining urban classification and building block data. The results showed that the AHF of
23 typical cities increased significantly from 2000 to 2016, and the difference between the AHF
24 of building area and the whole city was 12.69-20.36 W·m⁻². Monthly AHF had a stratification
25 phenomenon in different building characteristics. Moreover, building characteristics' impact

26 on monthly AHF in the winter was more evident than that in the summer. The AHF of the
27 building growth region was higher than that of the non-growth region, and the differences
28 were more significant in the winter months ($1.94\text{-}2.23\text{ W}\cdot\text{m}^{-2}$) than in summer months
29 ($0.59\text{-}0.94\text{ W}\cdot\text{m}^{-2}$). Building density on AHF was more significant than building height, and
30 its contribution was higher than 80% on average in typical cities.

31 **Keywords:** Anthropogenic heat flux; Building characteristic; Energy consumption
32 inventory method; Time dimension downscaling; Yangtze River Delta

33

34 **1 Introduction**

35 Human beings consume various forms of energy in life and production, including
36 industry, commerce, transportation, and human metabolism (Sailor et al., 2015). The heat
37 generated by this energy consumption and emitted into the atmosphere is called
38 anthropogenic heat (AH). When AH is emitted into the atmosphere, the near-surface air
39 temperature may rise by 1-3 °C (Gutiérrez et al., 2015). AH is an essential component of the
40 urban thermal environment that cannot be ignored. Many studies have shown that its
41 emissions will not only affect the urban ecological environment (Doan et al., 2019; Yuan et al.,
42 2020) but also have an adverse effect on human health and economic development (Chen et
43 al., 2016a).

44 Anthropogenic heat flux (AHF) is the amount of AH emitted per unit time and area.
45 Previous studies attempted to estimate global AHF (Allen et al., 2011; Dong et al., 2017;
46 Flanner, 2009). These studies generally use the energy consumption inventory method,
47 obtaining various types of energy data to get the estimated results. Meanwhile, in recent years,

48 AHF research on the urban scale has become more common, and relevant work has been
49 carried out in Singapore (Quah and Roth, 2012), London (Iamarino et al., 2012), Sydney (Ma
50 et al., 2017), Los Angeles (Zheng and Weng, 2018), and Beijing (Yang et al., 2018).
51 Introducing more accurate models and parameters can effectively improve the reliability of AHF
52 estimation (Park et al., 2016; Sun et al., 2018; Wang et al., 2019b).

53 Energy consumption in urban areas has further increased with natural landscapes
54 transform into artificial landscapes. AHF accumulates in economically developed and densely
55 populated urban areas and tends to affect local energy balance and environmental climate
56 variability. The distribution and morphological characteristics of urban industrial, commercial,
57 and residential building areas are the primary heat emission sources and are crucial for
58 estimating AHF (Adelia et al., 2019). Wong et al. (2015) pointed out that AHF was positively
59 correlated with building density and height through their study in Hong Kong. Ziaul and Pal
60 (2018) found that high-rise, densely built areas emitted relatively high AHF, and there was a
61 significant numerical gradient of AHF reduction from the urban center to the periphery. AH
62 has prominent aggregation characteristics, and the building area's AHF contribution is
63 substantial (Boehme et al., 2015). The appearance of buildings has changed the regional
64 structure, significantly promoted the change in AHF, leading to the emergence of urban
65 warming and other phenomena (Cao et al., 2019; Koralegedara et al., 2016). The energy use
66 in buildings accounts for a large part of heat emissions, and the link between AHF and urban
67 energy consumption is related to building scale (Zhou et al., 2012). In highly integrated
68 regional urban groups, AH accumulation will profoundly impact (He et al., 2020). In the past
69 two decades, China's rapid urbanization process is spawning more and more energy

70 consumption. Yangtze River Delta region has a high degree of urban agglomeration in China,
71 and AH forms a continuous distribution in space, resulting in a climate forcing effect that
72 cannot be ignored. Studying the impact of building characteristics on AHF is conducive to
73 reveal the impact of human activities on the energy balance at the surface-atmosphere
74 interface, which is of great significance for understanding climate change in urban areas
75 (Chen et al., 2016b; Xie et al., 2016).

76 Appropriate models and methods are essential for AHF estimation. The classic energy
77 consumption inventory method is mainly based on urban energy and statistical data. It applies
78 to large-scale regional AHF estimation and has apparent advantages in the multi-city analysis
79 (Chen et al., 2020). The development of remote sensing technology can provide enough
80 details to describe urban AHF. Energy consumption data is allocated to smaller spatial units
81 using remote sensing data as indicators and processed to obtain more refined AHF results.
82 When investigating the correlation between AHF and building characteristics, the following
83 problems need to be resolved. On the one hand, whether the correlation between AHF and
84 complex building characteristics applies to multi-city or urban agglomeration, and what is the
85 change trend between them each month? On the other hand, whether there are AHF
86 differences in different building characteristics, and how the internal differences of building
87 characteristics affect AHF?

88 This study aims to analyze the correlation between different building characteristics and
89 AHF and its change trend in typical cities of the Yangtze River Delta region. The main work
90 carried out is: (1) The AHF results of typical cities in 2000, 2008, and 2016 were obtained
91 based on the energy consumption inventory method and multi-source remote sensing data.

92 Combined with the processing of time dimension downscaling, the annual AHF was localized
93 into monthly AHF value. (2) The spatial analysis was used to extract building density and
94 height, and different types of building characteristics were classified. (3) The correlation
95 between different building characteristics and AHF was analyzed, and the change
96 characteristics of different seasons and growth regions were compared to explore the impact
97 of building internal characteristics on AHF.

98 **2 Study area and data**

99 *2.1 Overview of the study area*

100 The Yangtze River Delta region's planning scope covers 27 cities in three provinces
101 (Jiangsu, Zhejiang, and Anhui) and one municipality (Shanghai) with an area of 225,000 km²
102 (Fig. 1 (a) and (b)). This region is the subtropical monsoon climate with a mean annual
103 temperature of 16°C. The terrain is mainly plain, but in the southeast area more mountainous.

104 With the rapid development of the Yangtze River Delta region's economy, the regional
105 urbanization degree is high, and the urban heat island effect has grown significantly (Du et al.,
106 2016). Among them, the urbanization rates of Shanghai (SH), Nanjing (NJ), and Hangzhou
107 (HZ) in 2016 were 87%, 82%, and 75%, higher than the mean level of 63% in the Yangtze
108 River Delta region over the same period. SH, NJ, and HZ are important platforms for regional
109 economic construction, accounting for 33.26% of the overall GDP in Yangtze River Delta
110 (2016). Meanwhile, SH is a municipality directly under the central government and belongs to
111 megacity according to the scale of Chinese cities; NJ, the capital of Jiangsu Province, is a
112 megalopolis; HZ, the capital of Zhejiang Province, is a type I metropolis. It can be seen that
113 SH, NJ, and HZ are at the core position of regional development. Selecting these cities for

114 AHF estimation is representative in the Yangtze River Delta region (Fig. 1 (c)).

115

116 -----[Insert Figure 1]-----

117 Fig. 1. The study area: (a) the location of the Yangtze River Delta region in China, (b) the
118 composition of provinces (municipality) in the Yangtze River Delta region, (c) the location of
119 typical cities in the Yangtze River Delta region--Shanghai, Nanjing, and Hangzhou

120 2.2 Data

121 (1) Urban building data

122 Using the urban classification data (<http://data.ess.tsinghua.edu.cn/>) in 2000, 2008, and
123 2016 provided by Gong et al. (2019) and the building block data in 2016 provided by
124 Shanghai metro data technology company to extract building characteristics. The spatial
125 resolution of the urban classification data is 30 m, representing the artificial underlying
126 surface's thematic information. The building block data is the buildings' boundary range
127 vector data, which records the floor numbers.

128 (2) Remote sensing data

129 In this study, the remote sensing data include nighttime light data (NTL) and normalized
130 vegetation index (NDVI).

131 NTL data (<https://www.ngdc.noaa.gov/eog/viirs/>), from the National Oceanic and
132 Atmospheric Administration (NOAA), including DMSP/OLS (Defense Meteorological
133 Satellite Program's Operational Linescan System) NTL in 2000 and 2008, and
134 Suomi-NPP/VIIRS (National Polar-orbiting Partnership Visible Infrared Imaging Radiometer
135 Suite) NTL in 2016. DMSP/OLS NTL's spatial resolution is 30 arc seconds (about 1 km),

136 while that of Suomi-NPP/VIIRS NTL is improved to 15 arc seconds (about 500 m). Before
137 using the data, the projection conversion and resampling are needed to get the standardized
138 data with a resolution of 500 m.

139 NDVI data is MOD13A1 product (<https://ladsweb.modaps.eosdis.nasa.gov/search/>),
140 from the National Aeronautics and Space Administration (NASA). This product is a 16-day
141 synthetic product with a spatial resolution of 500 m. Products from April to October in 2000,
142 2008, and 2016 were collected, and quality control was conducted based on the quality
143 control subset to eliminate cloud impact and unreliable quality data.

144 (3) Statistical data

145 *China Statistical Yearbook*, *China Energy Statistical Yearbook*, and urban statistical data
146 were used to get the Yangtze River Delta region's energy consumption and socio-economic
147 data. Energy consumption data are collected from industry, transportation, and construction.
148 Socio-economic data are derived from indicators such as total population, the proportion of
149 secondary and tertiary industries. Individual missing data are allocated according to the ration
150 of the same type indicators or estimated by a linear regression method to ensure their
151 completeness.

152 (4) Meteorological data

153 The monthly mean air temperatures of all 27 cities in the Yangtze River Delta region in
154 2000, 2008, and 2016 were collected from the National Meteorological Science Data Center
155 of China (<http://data.cma.cn/>). These data are the collation results of temperature observation
156 data from multiple automatic weather stations and effectively represent the monthly
157 temperature condition in urban areas. These data were used to reflect the Yangtze River Delta

158 region's temperature changes and for AHF time dimension downscaling processing.

159 **3 Methods**

160 *3.1 AHF estimation*

161 *3.1.1 Annual AHF estimation*

162 The statistical data of energy consumption and social economy in 2000, 2008, and 2016
163 were collected to quantify the AHF of the urban unit in the Yangtze River Delta region. AHF
164 can be divided into four parts according to heat flux sources: industry, construction,
165 transportation, and human metabolism.

166 The heat flux of each part of AHF was calculated as follows (Chen et al., 2019; Wang et
167 al., 2019a). (1) Industry heat flux. The total energy consumption was allocated according to
168 the proportion of the secondary industry. Then combined with the standard coal heat, the
169 municipal industry heat flux was obtained. (2) Construction heat flux. We obtained energy
170 consumption indicators of wholesale, retail industry, catering, and accommodation in the
171 energy balance sheet. The total energy consumption was allocated to obtain municipal
172 construction heat flux based on the proportion of tertiary industry and population. (3)
173 Transportation heat flux. The transportation heat flux was calculated by using indicators such
174 as vehicle driving distance and fuel consumption based on civilian vehicle ownership. (4)
175 Human metabolic heat flux. The day was divided into the active state (from 7:00 to 23:00,
176 with the metabolic heat flux intensity of 171 W/person) and the sleep state (from 23:00 to
177 7:00, with the metabolic heat flux intensity of 70 W/person) (Pal et al., 2012). The municipal
178 human metabolic heat flux was obtained combined with each city's metabolic heat flux
179 intensity and population.

180
$$Q_S = Q_I + Q_C + Q_T + Q_M \quad (1)$$

181 Where, Q_S is the overall AHF ($W \cdot m^{-2}$), Q_I is industrial heat flux ($W \cdot m^{-2}$), Q_C is construction
182 heat flux ($W \cdot m^{-2}$), Q_T is transportation heat flux ($W \cdot m^{-2}$), Q_M is human metabolic heat flux
183 ($W \cdot m^{-2}$).

184 The municipal administrative division units' AHF results can be obtained by the above
185 energy consumption inventory method, and the grid unit AHF will be estimated on this basis.
186 Here, using VANUI (Vegetation adjusted NTL urban index), the index representing the
187 intensity of human activity (Zhang et al., 2013) to establish the connection between remote
188 sensing data and urban scale AHF.

189
$$VANUI = (1 - NDVI_{max}) \times NTL_{nor} \quad (2)$$

190 Where, $NDVI_{max}$ is the maximum of the multi-temporal NDVI. NTL_{nor} is the normalized NTL
191 data.

192 The linear correlation between the mean value of AHF and VANUI in each city was
193 fitted as the grid unit AHF estimation model. It should be noted that the deviation in the
194 timing result needs to be corrected after the preliminary estimation. The deviation, which
195 reflected in the underestimated aggregation of AHF, was optimized by establishing a fitting
196 correction model for adjacent time phases (Wang et al., 2020). Finally, the AHF results of grid
197 units with a spatial resolution of 500 m in the Yangtze River Delta region in 2000, 2008, and
198 2016 were obtained.

199 3.1.2 Time dimension downscaling of AHF

200 Dong et al. (2017) proposed a weighted function method based on air temperature to
201 calculate monthly AHF. This method establishes the correlation between AH sensitivity and

202 urban air temperature in the warm and cold seasons. It is worth noting that this correlation
 203 was based on city samples from the United States and Japan, which is not representative of
 204 the cities in this study. Therefore, we replaced all 27 cities in the Yangtze River Delta region
 205 as samples to realize the localization correction of the AHF time dimension downscaling.

206 The mean annual temperature of 27 cities in the Yangtze River Delta region range from
 207 is concentrated (15-19 °C). Therefore, the original sensitivity factor function of the warm and
 208 cold seasons can be simplified, and the piecewise effect of temperature will not be considered.

209 The monthly AHF weight function is related to air temperature as:

$$210 \quad AHF_m = AHF_y \times \frac{\alpha_m}{(\sum_{m=1}^{12} \alpha_m) / 12} \quad (3)$$

$$211 \quad \alpha_m = |T_m - T_b| \times f_s + 1 \quad (4)$$

212 Where, AHF_y is the city's mean annual AHF ($W \cdot m^{-2}$), α_m is the monthly weight factor, T_m is
 213 the city's mean monthly air temperature ($^{\circ}C$), and f_s is the sensitivity function. T_b is the air
 214 temperature ($^{\circ}C$), corresponding to the lowest energy consumption in the year. The air
 215 temperatures of each city during the alternations of the warm and cold seasons were adopted,
 216 and T_b was set as 20.7 $^{\circ}C$ (SH), 20.2 $^{\circ}C$ (NJ), and 21.2 $^{\circ}C$ (HZ).

217 Fig. 2 showed the sensitivity analysis results of cities in the Yangtze River Delta.
 218 Therefore, the sensitivity function can be constructed based on the cities' air temperatures in
 219 the warm and cold seasons.

$$220 \quad \begin{cases} f_{sw} = 0.66T_{yw}^2 - 32.99T_{yw} + 411.94 \\ f_{sc} = -0.17T_{yc}^2 + 3.00T_{yc} - 8.75 \end{cases} \quad (5)$$

221 Where, T_y is the city's mean annual air temperature ($^{\circ}C$), w and c represent the warm season
 222 and cold season, respectively.

-----[Insert Figure 2]-----

Fig. 2. (a) Warm and (b) cold season sensitivity in the Yangtze River Delta region

3.2 Coupling analysis of AHF and building characteristics

3.2.1 Building Characteristics information extraction

The grid unit's building density and height were obtained by constructing the fishing net (Guo et al., 2016). Here, the fishing net size was set according to the grid unit of AHF results (500×500 m). The range of artificial surface in 2000, 2008, and 2016 was determined based on urban classification data, and the building characteristics of each study period were obtained by integrating building block data. All AHF grids within the city scope are called whole zone AHF (WA), and the building AHF grids selected by spatial analysis are called building zone AHF (BA). For each BA, the total building area can be counted to get the building density, and the mean floor is used as the building height. To compare the characteristics of different buildings, we classified them according to building attributes. The classification limits of building density and height were 30% and 6 floors. The building characteristics were divided into four categories: high density-high height (H-H), high density-low height (H-L), low density-high height (L-H), and low density-low height (L-L).

3.2.2 Correlation computation and analysis

First, using the annual growth rate index, the growth rates of urban AHF and building characteristics were calculated. The annual growth rate eliminates the scale effect of cities and applies to the growth comparison of different cities in the same period (Fei and Zhao, 2019; Meng et al., 2020). The spatial change performance of grid AHF is similar to that of urban

245 expansion. Using this index can better reflect the AHF annual growth in typical cities.

$$246 \quad \begin{cases} AHF_g = [(AHF_{end}/AHF_{start})^{1/d} - 1] \times 100\% \\ BC_g = [(BC_{end}/BC_{start})^{1/d} - 1] \times 100\% \end{cases} \quad (6)$$

247 Where, AHF_{start} and AHF_{end} are the urban AHF at the beginning and end periods, BC_{start} and
 248 BC_{end} are the building characteristics at the beginning and the end periods, and d is the time
 249 span.

250 Next, compared the monthly AHF change characteristics of the building area.

$$251 \quad \Delta AHF = \frac{\sum_{i=1}^N AHF_{Bi}}{N} - \frac{\sum_{i=1}^M AHF_{Wi}}{M} \quad (7)$$

252 Where, AHF_{Bi} is the building area AHF, N is the grid number of the building area, AHF_{Wi} is
 253 the whole city AHF, and M is the grid number of the whole city.

254 Then, the impact of building characteristics on AHF was evaluated. Zong et al. (2019)
 255 selected parameters ISC (impervious surface coverage) and EVI (enhanced vegetation index)
 256 to quantify the impact of urbanization on vegetation growth. Here, we replace the parameters
 257 in this evaluation method to focus on the influence relationship between AHF and building
 258 characteristics. The direct impact caused by different building characteristics leads to the
 259 urban AHF gradient difference, and the indirect impact caused by natural and human factors
 260 can also promote or aggravate the AHF changes in the process of urban development.

$$261 \quad AHF_c = (1 - BC_i) \cdot AHF_0 + BC_i \cdot AHF_B \quad (8)$$

262 Where, BC_i is the building characteristics value of the grid, AHF_0 is the AHF of the grid
 263 without building impact (the grid without building characteristics). AHF_B is the AHF of the
 264 grid with maximum building impact (according to the BC_i maximum value in each city).

265 *3.3 Study technical procedure*

266 The technical flow of this study is as follows (Fig. 3). First, heat fluxes (industry,
267 construction, transportation, metabolism) in the Yangtze River Delta region were calculated
268 based on economic and energy consumption data. The VANUI index was constructed based
269 on remote sensing data (NTL and NDVI). Building characteristics (density and height) were
270 extracted from the urban building data. Then, the AHF estimation model was constructed to
271 obtain the grid AHF data in 2000, 2008, and 2016. Next, combined with the meteorological
272 data to achieve the annual AHF time dimension downscale, the monthly grid AHF results
273 were obtained. On this basis, the coupling relationship between AHF and building
274 characteristics was analyzed. The AHF change influence in building growth regions and the
275 building characteristics internal difference on AHF were discussed.

276 -----[Insert Figure 3]-----

277 Fig. 3. Technical flow chart

278 **4 Results**

279 *4.1 AHF and building characteristics of typical cities*

280 The AHF of SH, NJ, and HZ in different periods was compared, as shown in Fig. 4. AHF
281 estimation results showed spatial heterogeneity and have increased significantly from 2000 to
282 2016. The spatial distribution of AHF in SH and NJ was almost in the whole city, while HZ
283 was accumulated in the northern region. AHF's growth in typical cities all presented the
284 single-core expansion feature and the high AHF value aggregation located at the urban center.
285 Correspondingly, it was also the scope where the buildings gather.

286 The AHF of the whole city and building area from 2000 to 2016 was analyzed. As time

287 changes, WA and BA continued to increase, and the difference was also expanding. Although
288 there were some differences in the AHF range of typical cities, BA values were at the same
289 level, and the order was HZ > SH > NJ.

290 -----[Insert Figure 4]-----

291 Fig. 4. AHF spatial distribution of typical cities ((a)-(c) Shanghai, (d)-(f) Nanjing, and (g)-(i)
292 Hangzhou) in 2000 (first column), 2008 (second column) and 2016 (third column)

293 The building characteristics' spatial distributions of typical cities in 2016 were drawn, as
294 shown in Fig. 5. High density buildings were in the central urban area, which had a sizeable
295 artificial surface; low density buildings were at the edge of the urban center, and the
296 urbanization level was low. In SH, high height buildings were accumulated in the urban center,
297 while in NJ and HZ, they were scattered around the urban center. According to our definition
298 of building characteristics, in 2016, the grid cells of H-H, H-L, L-H, and L-L in SH (6403 in
299 total) were 296, 689, 1463, and 3955; those in NJ (2259 in total) were 21, 203, 515, and 1520;
300 those in HZ (2207 in total) were 45, 123, 784, and 1255.

301 Section lines were drawn along the development direction of typical cities to show the
302 change process of building characteristics from 2000 to 2016 (Fig. 5). The results show that
303 all typical cities have the same characteristics. Building density and height developed gently
304 in the urban center but changed significantly in the surrounding area. Building density
305 increased in all directions of the city. The overall trend of building height was growing, and
306 the fluctuation was noticeable.

307 -----[Insert Figure 5]-----

308 Fig. 5. The spatial distribution of building density and height of typical cities ((a), (d)

309 Shanghai, (b), (e) Nanjing, and (c), (f) Hangzhou) in 2016, and changes in the direction of
310 section lines from 2000 to 2016

311 We compared the annual growth rates of SH, NJ, and HZ, including AHF and building
312 characteristics (Table 1). The mean annual growth rate of AHF in typical cities was close to
313 6%, and it was relatively balanced in the two growth phases. The growth rate of building
314 characteristics in 2000-2008 was significantly higher than in 2008-2016. Besides, the growth
315 rate of building density was always higher than that of building height. HZ had the most
316 significant change in building characteristics, followed by SH, and the growth rate of building
317 characteristics in NJ was relatively slow.

318 -----[Insert Table 1]-----

319 Table 1 The annual growth rate of AHF and building characteristics (%)

320 4.2 Monthly AHF and its change characteristic

321 The monthly AHF results of SH, NJ, and HZ were shown in Fig. 6. The high AHF value
322 was in winter and reached a peak in January. In May and October, the AHF was in the lowest
323 value for the whole year and reached another peak in July and August. The order of monthly
324 AHF for typical cities was SH > NJ > HZ. With the year changes, the AHF of each month
325 showed an upward trend.

326 -----[Insert Figure 6]-----

327 Fig. 6. Monthly AHF results of typical cities ((a) Shanghai, (b) Nanjing, and (c) Hangzhou) in
328 2000, 2008 and 2016

329 We compared the difference in monthly AHF between the whole city and building area
330 (Table 2). The difference in winter months was very significant, with the mean difference in

331 SH, NJ, and HZ was 12.69, 16.63, and 20.36 $W \cdot m^{-2}$, respectively. A city with lower WA had a
332 more considerable difference in winter months, which may be related to the city's coverage of
333 building grid units. The AHF difference variation in summer months was relatively stable.
334 From May to October, the mean difference among SH, NJ, and HZ was 7.72, 11.09, and 13.20
335 $W \cdot m^{-2}$, respectively. The aggregation effect of AHF in the building area was not significant in
336 this period.

337 AHF itself is at a high value level in winter due to the intensification of various energy
338 consumption. This significant difference characteristic shows the differentiation of AHF in the
339 building area. Urban heat emission tends to gather in the vicinity of building area, and this
340 difference is further intensified with the year's growth. By analyzing typical cities, it can be
341 inferred that AHF is more significantly accumulated in the building area in winter and thus
342 has a more profound impact on the energy balance process in the urban area of the Yangtze
343 River Delta.

344 -----[Insert Table 2]-----

345 Table 2 Monthly AHF difference between the whole city and building area

346 (In the table, the color of the data is red for large difference, and green for small difference)

347 *4.3 Correlation analysis of AHF and building characteristics*

348 By analyzing the monthly AHF distribution of the building characteristics, an obvious
349 stratification phenomenon could be found in Fig. 7. The AHF of building characteristic L-L
350 was relatively lowest in each period. AHF increased with an increase in building density and
351 height. The stratification phenomenon existed but was not significant in the summer months.
352 The AHF of various building characteristics was accumulated in a small range in summer, and

353 the difference increased slowly with the growth of the year. AHF difference of the building
354 characteristics in winter months was noticeable, and different cities showed different change
355 rules.

356 There was little difference in AHF of two high density building characteristics in SH in
357 2000. The impact of building height on the heat emissions in this period was not significant.
358 With the urban expansion, the difference in AHF between different building heights appeared.
359 In 2000, 2008, and 2016, the AHF difference between H-H and H-L increased significantly
360 from $1.80 \text{ W}\cdot\text{m}^{-2}$ to $3.47 \text{ W}\cdot\text{m}^{-2}$ and then to $5.20 \text{ W}\cdot\text{m}^{-2}$. The AHF difference between L-H
361 and L-L was maintained at $0.74\text{-}1.38 \text{ W}\cdot\text{m}^{-2}$. The two low density buildings in NJ had similar
362 AHF distribution, and the AHF value of L-L was greater than that of L-H. Taking January as
363 an example, the difference between them increased slowly and was $1.50 \text{ W}\cdot\text{m}^{-2}$, $1.74 \text{ W}\cdot\text{m}^{-2}$,
364 and $2.11 \text{ W}\cdot\text{m}^{-2}$ in three periods, respectively. H-H and H-L have a significant stratification
365 phenomenon for AHF. Also, taking January as an example, the difference between these
366 building characteristics increased from $3.68 \text{ W}\cdot\text{m}^{-2}$ to $9.22 \text{ W}\cdot\text{m}^{-2}$ in 2000-2016. The four
367 building characteristics in HZ showed a significant stratification phenomenon each year. With
368 the year changes, the AHF differences between the four building characteristics were also
369 increasing.

370 -----[Insert Figure 7]-----

371 Fig. 7. Mean AHF of different building characteristics ((a)-(c) Shanghai, (d)-(f) Nanjing, and
372 (g)-(i) Hangzhou) in each month

373 The AHF impact proportion of various building characteristics in typical cities was
374 sorted out (Fig. 8). In 2000, the proportion focused on H-H and H-L (57.92% in SH, 56.69%

375 in NJ, and 60.98% in HZ), the high density building characteristics were more strongly
376 correlated with AHF. In 2008 and 2016, the proportion of H-H and H-L decreased, while the
377 L-H and L-L proportion increased. The AHF results of typical cities in the same period were
378 similar, reflecting the stable correlation between building characteristics and AHF in the
379 Yangtze River Delta region. With the year changes, the proportion of the four building
380 characteristics was balanced. In urban construction and development, buildings'
381 morphological characteristics tend to be more and more complicated, which leads to the
382 diversity and complexity of heat emission sources. In this way, it is more difficult to
383 distinguish and define the difference in heat emission between different building
384 characteristics.

385 -----[Insert Figure 8]-----

386 Fig. 8. AHF impact the proportion of different building characteristics of typical cities ((a)
387 Shanghai, (b) Nanjing, and (c) Hangzhou)

388 **5 Discussions**

389 *5.1 AHF change in building growth region*

390 With the development of urbanization in the Yangtze River Delta region, both AHF and
391 building characteristics showed an increasing trend in typical cities, but growth aggregation
392 distributions were different in space (Fig. 9). AHF's growth aggregation feature was a
393 significant hot spot in the central urban area, and the surrounding transition through not
394 significant aggregation area, forming a cold spot at the edge. The growth aggregation
395 distributions of the building showed the opposite feature. The central urban area was a cold
396 spot, and multiple hot spot areas were formed around it. This may be related to the accelerated

397 development of suburban towns.

398 Although the building characteristics have not changed significantly in the central urban
399 area over the years, the AHF has continued to grow within this range. We believe that there
400 are two reasons for this phenomenon. First, the city case samples selected in this study are
401 highly developed, and the central urban area's construction tends to be saturated. The increase
402 in human production activities in this region has led to the continuous rise of heat emissions.
403 Second, although buildings are growing around the central urban area, high-density and
404 large-scale building communities are still in the central area. This has a more significant
405 impact on urban climate (Hamilton et al., 2009; Kato and Yamaguchi, 2005), reflecting higher
406 AHF values.

407 -----[Insert Figure 9]-----

408 Fig. 9. Aggregation spatial distribution of (a)-(c) AHF, (d)-(f) building density and (g)-(i)
409 building height (Shanghai (first column), Nanjing (second column), Hangzhou (third
410 column))

411 The difference in AHF performance of building characteristics between growth and
412 non-growth region from 2000 to 2016 was analyzed (Fig. 10). From 2000 to 2008, the
413 difference in typical cities was different. SH's AHF in the growth region was slightly higher
414 than that of the non-growth region, and NJ showed the opposite performance. HZ had no
415 significant difference in growth and non-growth region. It reflects that the AHF change range in
416 the growth and non-growth region is similar during this period. From 2008 to 2016, the
417 differences in typical cities were similar, and the curve characteristic was consistent with the
418 monthly AHF results. AHF of the growth region was higher than the non-growth region in

419 most months. It shows that the AHF in the building growth region changed rapidly and increased
420 significantly in this period. The differences in typical cities were more significant in winter
421 months ($1.94\text{-}2.23\text{ W}\cdot\text{m}^{-2}$) than in the summer months ($0.59\text{-}0.94\text{ W}\cdot\text{m}^{-2}$).

422 -----[Insert Figure 10]-----

423 Fig. 10. Comparison of AHF difference between building growth and non-growth region ((a)
424 Shanghai, (b) Nanjing, (c) Hangzhou)

425 *5.2 Analysis of internal difference of building characteristics*

426 In the above analysis, we integrated the density and height of buildings to compare the
427 impact of building characteristics on AHF comprehensively. It should be noted that these two
428 building characteristics are not equivalent to each other, which can be seen from the
429 differentiated stratification phenomenon of typical cities in Fig. 7. To this end, we separately
430 analyzed the contribution of building density and height to AHF (Table 3).

431 Building density had a significant impact on AHF, which was the main impact of
432 building characteristics on AHF. Building height was the secondary impact source, and in NJ,
433 it had a negative impact on AHF's performance. We believe that this result is closely related to
434 the spatial distribution of building characteristics. The building density changed regularly
435 from the urban center to the outside, and the distribution of building height was scattered.
436 Analyzing the difference in each building characteristic's contribution can better understand
437 its actual impact on heat emissions.

438 -----[Insert Table 3]-----

439 Table 3 Contribution of building density and height to AHF (%)

440 The variation of AHF with building density in typical months of winter and summer was

441 analyzed and listed the top three months of typical cities in AHF ranking each season to avoid
442 redundancy in data presentation (Fig. 11). The correlation between AHF and building density
443 was monotonously increasing, and the greater the density, the stronger the impact on AHF.
444 With the increase of building density, the line segments became steeper in winter, reflecting
445 the more significant impact of building density on heat emissions. SH witnessed the fastest
446 growth rate of AHF with building density in 2008. NJ also experienced the most rapid growth
447 rate in 2008 and showed a significant slowdown in 2016. HZ's growth rate had been
448 accelerating over time.

449 -----[Insert Figure 11]-----

450 Fig. 11. The correlation between AHF and building density in typical months in winter and
451 summer, (a)-(c) Shanghai, (d)-(f) Nanjing, (g)-(i) Hangzhou

452 **6 Conclusion**

453 In this paper, a high spatial resolution (500m) AHF data set was established combining
454 with energy consumption data and remote sensing products, which effectively improved the
455 representation accuracy of AHF in the Yangtze River Delta region. Furthermore, monthly
456 AHF was obtained by considering the time dimension downscaling processing. On this basis,
457 combined with the building data of typical cities in the Yangtze River Delta region, the
458 correlation and change trend of AHF and building characteristics in 2000, 2008, and 2016
459 were analyzed. It should be pointed out that the conclusions of this study are based on stable
460 atmospheric conditions. Whether this study scheme is applicable to cities in other climatic zones
461 needs more comparative verification of differential atmospheric conditions. The conclusions are
462 as follows.

463 (1) From 2000 to 2016, AHF in the typical cities has increased significantly with the
464 single core expansion feature, and high AHF value gathers at the core region. The difference
465 in AHF between the building area and the whole city is also increasing. The building
466 characteristics maintain the growth trend, but the growth area is accumulated around the
467 central urban area. From 2000 to 2008, the difference between the growth and non-growth
468 region of building in typical cities is different. From 2008 to 2016, the difference is consistent;
469 the AHF of building growth region is higher than building non-growth region.

470 (2) The city's heat emissions are accumulated near the building area, and the AHF
471 difference of building in winter is more obvious than in summer. The monthly AHF
472 distribution of different building characteristics has a stratification phenomenon. The
473 proportion of AHF increases with the increase of building density and height. With the
474 development of the city, buildings' morphological characteristics tend to be complicated,
475 leading to the diversity and complexity of heat emission sources, making it challenging to
476 analyze the difference of AHF in different building characteristics.

477 (3) The influence of building density on AHF is more significant relative to building
478 height, which is a suitable index for AHF correlation analysis. The correlation between AHF
479 and building density is monotonically increasing, and the greater the density, the stronger the
480 impact on AHF. The sensitivity of AHF to building density is higher in winter than in summer,
481 which means that the impact of building density on heat emission is more significant in
482 winter.

483

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491

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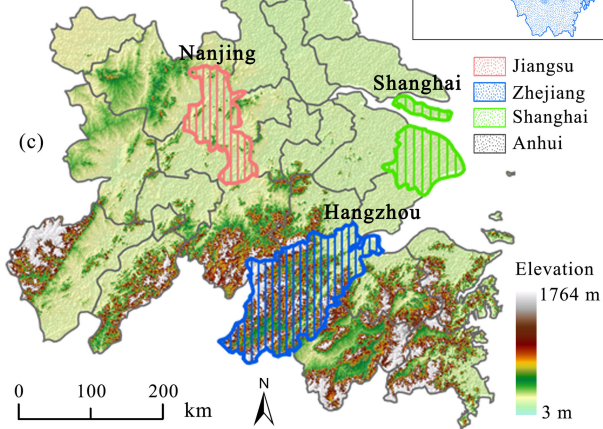
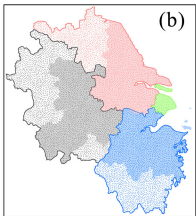
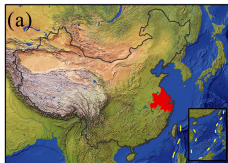
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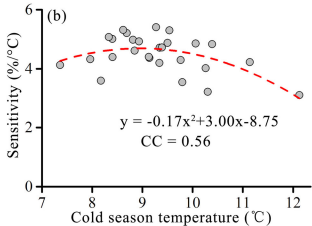
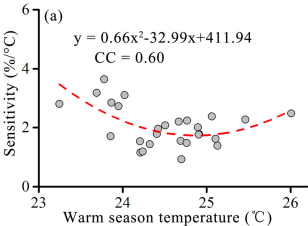
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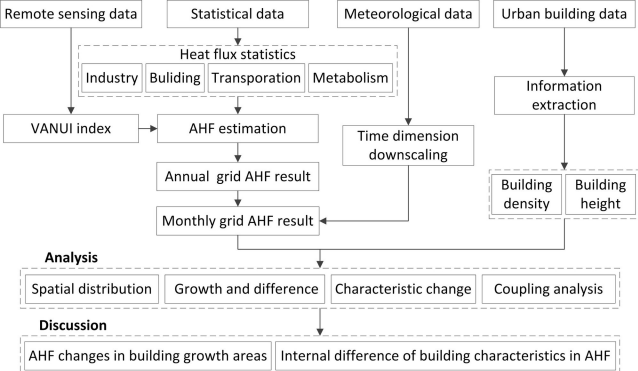
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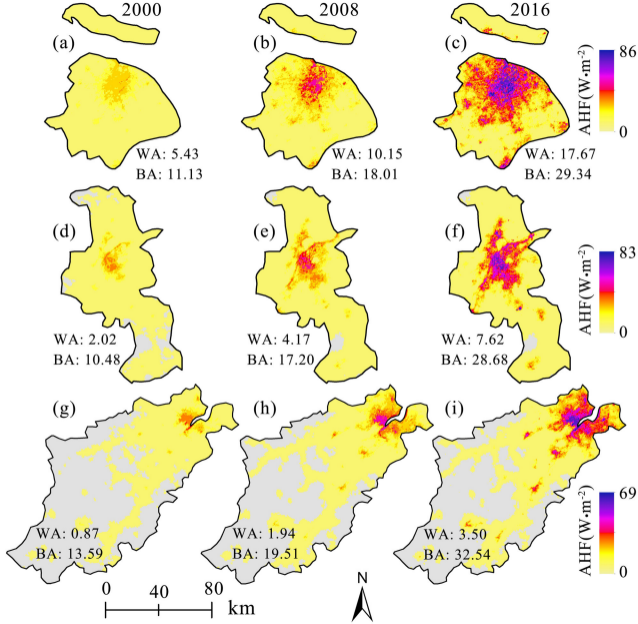
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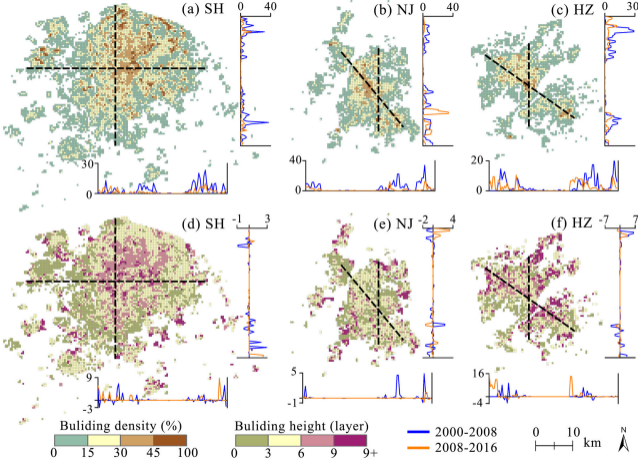
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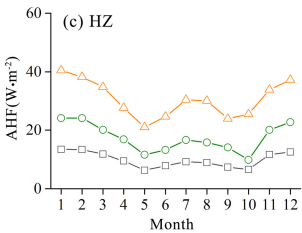
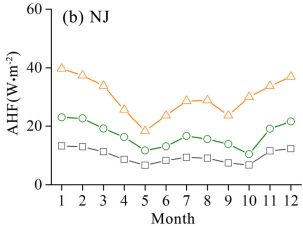
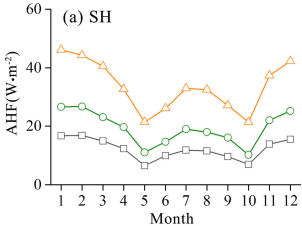


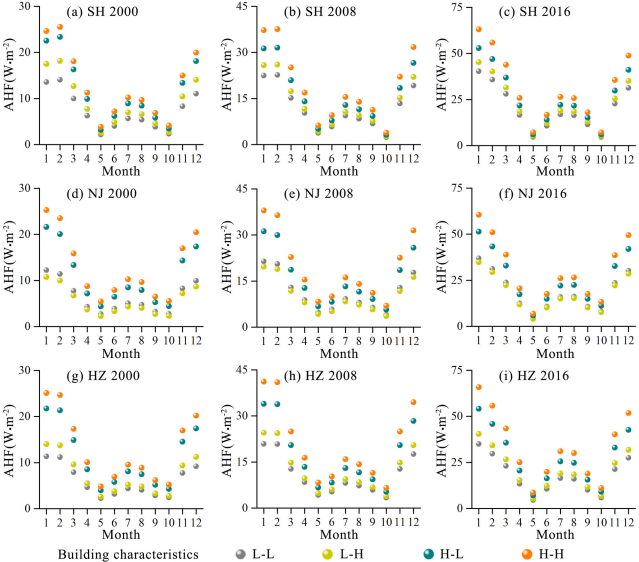


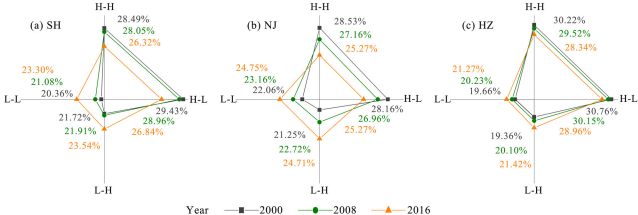


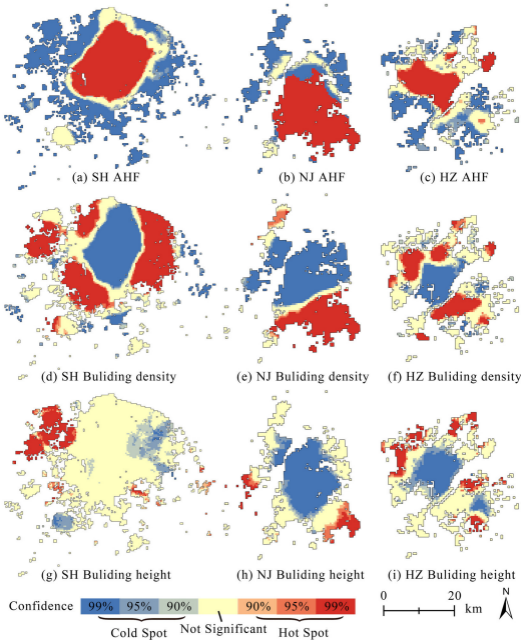


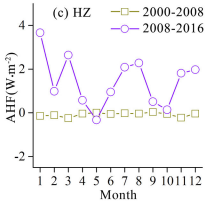
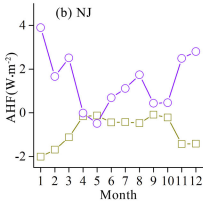
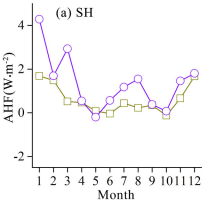












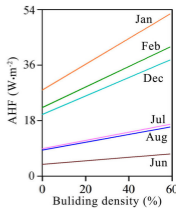
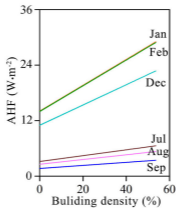
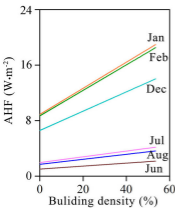
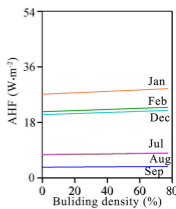
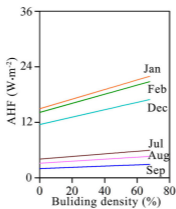
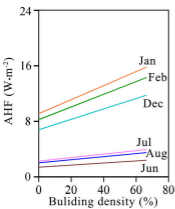
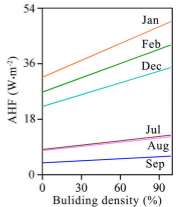
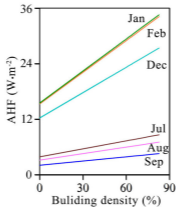
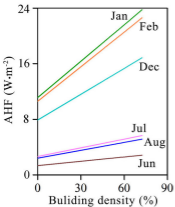


Table 1 The annual growth rate of AHF and building characteristics of typical cities in the

Yangtze River Delta (%)

City	AHF		Building density		Building height	
	2000-2008	2008-2016	2000-2008	2008-2016	2000-2008	2008-2016
SH	5.12	6.16	5.18	2.23	2.00	1.03
NJ	6.39	6.60	4.35	2.09	1.08	0.84
HZ	6.21	6.29	5.02	3.49	2.13	1.86

Table 2 Monthly AHF difference between the whole city and building area

City	Year	Month											
		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
SH	2000	9.24	9.35	8.27	6.83	3.62	5.49	6.54	6.38	5.33	3.83	7.69	8.59
	2008	12.52	12.56	10.84	9.23	5.18	6.89	8.88	8.43	7.56	3.50	10.32	11.82
	2016	21.08	20.25	18.52	14.93	6.51	11.95	15.05	14.87	12.42	6.51	17.08	19.29
NJ	2000	10.50	10.27	8.91	6.87	5.28	6.56	7.43	7.19	5.89	5.34	9.14	9.78
	2008	17.33	17.09	14.44	12.28	8.81	9.87	12.52	11.75	10.45	7.85	14.40	16.27
	2016	29.13	27.43	24.85	18.80	8.96	17.35	21.06	21.22	17.35	14.69	24.77	27.11
HZ	2000	12.28	12.21	10.78	8.62	5.71	7.15	8.42	8.11	6.71	6.02	10.67	11.39
	2008	21.41	21.41	17.87	14.97	10.29	11.77	14.79	14.02	12.54	8.76	17.87	20.17
	2016	35.62	33.62	30.67	24.34	12.33	21.71	26.77	26.45	21.08	14.96	29.82	32.77

(In the table, the color of the data is red for large difference, and green for small difference)

Table 3 Contribution of building density and height to AHF (%)

City	Building density			Building height		
	2000	2008	2016	2000	2008	2016
SH	88.42	81.47	77.13	11.58	18.53	22.87
NJ	109.73	103.51	98.34	-9.73	-3.51	1.66
HZ	86.46	79.22	78.67	13.54	20.78	21.33

