Version of Record: https://www.sciencedirect.com/science/article/pii/S0048969721033039 Manuscript_b0fbf94a4d6a97f0036cedf6ba9e8da9

Integrating Culture and Molecular Quantification of Microbial Contaminants into a Predictive Modeling Framework in a Low-Lying, Tidally-Influenced Coastal Watershed

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December 2020

1 ABSTRACT

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Examinations of stormwater delivery in the context of tidal inundation are lacking. Along 3 4 the coastal plains of the southeast, tidal inundation is increasing in frequency and severity, often with dramatic adverse impacts on stormwater discharge and "sunny day flooding". Therefore, a 5 comprehensive study was conducted to examine tidally-influenced stormwater outfalls 6 discharging to Taylor's Creek, an estuary off the coast of Beaufort, NC used regularly for 7 recreation and tourism. Over a wide range of meteorological conditions, water samples were 8 collected and analyzed for fecal indicator bacteria (FIB, used for regulatory decision-making) 9 10 and published quantitative microbial source tracking (qMST) markers. Nineteen sampling events 11 were conducted from July 2017 - June 2018 with samples classified as inundated, receding or transition depending on collection during tidal stage. A first-of-its-kind multiple linear regression 12 model was developed to predict concentrations of Enterococcus sp. by tidal cycle, salinity and 13 antecedent rainfall. We demonstrated that the majority of variability associated with the 14 15 concentration of *Enterococcus* sp. could be predicted by *E. coli* concentration and tidal phase. 16 FIB concentrations were significantly (<0.05) influenced by tide with higher concentrations observed in samples collected during receding (low) tides (EC: log 3.12 MPN/100 mL; ENT: 17 2.67 MPN/100 mL) compared to those collected during inundated (high) (EC: log 2.62 MPN/100 18 mL; ENT: 2.11 MPN/100 mL) or transition (EC: log 2.74 MPN/100 mL; ENT: 2.53 MPN/100 19 mL) tidal periods. Salinity, was also found to significantly (<0.05) correlate with Enterococcus 20 sp. concentrations during inundated (high) tidal conditions (sal: 17 ppt; ENT: 2.04 MPN/100 21 mL). Tide, not precipitation, was shown to be a significant driver in explaining the variability in 22 *Enterococcus* sp. concentrations. Precipitation has previously been shown to be a driver of 23

24	Enterococcus sp. concentrations, but our project demonstrates the need for tidal parameters to be
25	included in the future development of water quality monitoring programs.
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43 **1. INTRODUCTION**

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Stormwater runoff is one of the most important hydrological factors affecting surface water 45 quality (Ahn et al., 2005; Mallin et al., 2009). Flowing directly overland, stormwater picks up 46 pollutants including potentially pathogenic bacteria and viruses from animal and human waste 47 (Griffin et al., 2003; Haile et al., 1999; Mallin et al., 2000; Prüss, 1998). Often times, this runoff 48 49 enters stormwater conveyance systems that then carry the untreated runoff into downstream waterbodies, adversely impacting water quality and health for primary contact recreators. 50 The United States (US) Environmental Protection Agency (US EPA) has recommended the 51 use of enterococci (ENT) and Escherichia coli (EC) as fecal indicator bacteria (FIB) to monitor 52 53 both marine and fresh surface waters (US EPA, 2012). FIB serve as a proxy for the presence of microbial pathogens associated with feces. Ingesting water with high concentrations of FIB 54 through recreation can lead to gastrointestinal and other illnesses (Colford et al., 2007; Haile et 55 al., 1999; Soller et al., 2017). Additionally, FIB have been selected due to their low pathogenic 56 potential and high concentrations in sewage and feces (Ahmed et al., 2008; Ahmed et al., 2019; 57 Harwood et al., 2014; Sidhu et al., 2012). As such, FIB have been widely used by states as a 58 59 mitigation tool to meet US EPA water quality requirements. States have the discretion, however, 60 to implement either or both FIB in monitoring programs. The State of North Carolina (NC) utilizes enterococci solely to monitor recreational surface waters (NC DEQ, 2020). While studied 61 significantly across coastal waters, one major drawback towards the use of FIB, however, is their 62 lack of source-specificity (Ex. human vs. non-human) regarding fecal contamination. As such, 63 quantitative microbial source tracking tools (qMST) have been proposed. 64 Quantitative microbial source tracking methods aim to discriminate between human and 65

non-human fecal sources in contaminated waterbodies (Lee et al., 2020; Nguyen et al., 2018;

67	Shanks et al., 2015). The performance of human-specific (Ex. HF183) markers are of particular
68	interest to mitigate public health risks, given their utility and strong relationships to observed risk
69	in sewage-impacted waters (Badgley et al., 2019; Haugland et al., 2010; Jothikumar et al., 2005).
70	Additionally, US EPA has published recommendations for concentrations for Enterococcus sp.
71	quantified via a qPCR-based approach in fresh and marine surface waters (Haugland et al., 2005;
72	US EPA Method 1609 &1611, 2012). Previous epidemiological studies have indicated a stronger
73	link between swimming-associated gastrointestinal illnesses and molecular approaches for
74	Entero1-qPCR compared to traditional culture-based methods (Arnold et al., 2016; Colford et al.,
75	2012; Wade et al., 2008). Greater understanding of the concentrations of specific fecal qMST
76	source markers relative to culture-based FIB enumeration used in routine water quality
77	monitoring is necessary, especially within the context of coastal systems.
78	Significant research has been conducted relating EC and ENT concentrations to antecedent
79	rainfall patterns finding greater FIB concentrations during peak hydrologic flows (Ahn et al.,
80	2005; Lipp et al., 2001; Shehane et al., 2005; Stumpf et al., 2010). Additionally, the link between
81	FIB prevalence and environmental parameters, such as salinity and water temperature, has also
82	been established (Converse et al., 2011; Eregno et al., 2018; Gonzalez & Noble, 2014; Paule-
83	Mercado et al., 2016). What has not been extensively studied, however, is the relationship
84	between stormwater delivery and tide. A number of studies have reported on a dilution effect
85	affecting stormwater during high tides, resulting in lower concentrations of fecal indicator
86	bacteria (Coelho et al., 1999; Mallin et al., 1999; Mill et al., 2006; Wilhelm et al., 2002), but
87	none have related this to stormwater delivery mechanisms across the tidal cycle.
88	Coastal NC has over 5900 km ² of land below 1-m elevation (Figure 1), making it the third
89	largest low-lying region in the US (Poulter et al., 2009; Titus & Richman, 2001). Additionally,

90 much of the coastal zone in NC has a low topographic slope increasing at less than 0.09 m elevation for every horizontal mile (Corbett et al., 2008). As such, coastal NC remains 91 susceptible to the effects of global climate change, including sea level rise, intensifying extreme 92 storm events and increasing tidal ranges and sunny-day flooding (Hino et al., 2019). Sea level off 93 the NC coast has increased 0.28 m as compared to 1950. The rate of rise accelerating over the 94 last decade to now increasing by over 0.03 m every 2 years (NOAA, 2020; NC Coastal 95 96 Resources Commission, 2015). This coupled with increased nuisance flooding frequency events 97 suggest coastal surface waters along the coast of NC are at risk for continual impairment (King Tides Project, 2020; Sweet et al., 2014). 98





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Figure 1: Digital Elevation Model (DEM) depicting elevation in coastal, eastern NC and sampling area.

102	The study site for this research is located in Beaufort, NC, a coastal community situated in
103	the coastal plain region of southeastern NC with a relatively small permanent population (4,391)
104	that experiences seasonal growth given its proximity to coastal waters and productive tourism
105	industry (US Census Bureau, 2020). The town sits proximal to the Rachel Carson Reserve
106	(RCR), an important draw of tourists and part of the NC National Estuarine Research Reserve
107	System (NERRS). The stormwater outfalls that were studied as part of this project discharge into
108	Taylors Creek, the body of water that sits in between Beaufort and the RCR (Figure 2).
109	To our knowledge, this is the first study that has evaluated the success of multiple linear
110	regression (MLR) models over a wide range of climatic conditions to examine the importance of
111	tidal phase on stormwater contaminant delivery. Several studies have utilized MLR as a
112	predictive tool in determining bacterial concentrations within estuarine systems (Gonzalez et al.,
113	2012; Molina et al., 2014; Zimmer-Faust et al., 2018), however the novelty of this study is that
114	this is the first-time tide has been incorporated into the predictive framework of water quality
115	monitoring and has subsequently been coupled with quantitative metrices of human fecal
116	contamination. The primary objectives of this research were to 1) determine the concentrations
117	and sources of fecal contaminants in discharge conveyed to receiving waters using multi-sample,
118	time-paced sampling during both storm events and dry weather conditions at various times
119	throughout the tidal cycle, 2) relate FIB and qMST marker concentrations to parameters such as
120	tidal height, 24-h rainfall, salinity and total suspended solids (TSS), and 3) use a multiple linear
121	regression tool to predict concentrations of Enterococcus sp. in the context of tidal height, cycle
122	and phase. This research advances the understanding of patterns of delivery of microbial
123	contaminants in low-lying coastal systems.

125 **2. MATERIALS AND METHODS**

126 **2.1 Study Sites and Sample Collection**

Water samples were collected at three sampling locations throughout Beaufort (Figure 2): 127 128 two at stormwater outfall locations (Orange St. and Marsh/Pollock) proximal to downstream 129 receiving waters (Taylor's Creek) and a third site (Ann St.) one block inland. The two downstream locations were selected to underline the performance of the stormwater conveyance 130 system, while the inland site was selected to characterize upstream watershed conditions. 131 Following a land survey campaign, the Orange St. and Marsh/Pollock outfall sites were found to 132 be the only two with an above-ground end-of-pipe access point and, as such, were selected as 133 sampling locations. Nineteen sampling events were conducted seasonally over the course of 11 134 135 months from July 2017 – June 2018, with samples collected during both storm and ambient conditions. Storm sampling was initiated after a sustained period of moderate to heavy rainfall 136 which produced accumulation of at least ~ 0.25 in until ~ 1 h after the storm ended. Dry weather 137 samples were collected following three days without rainfall accumulation. 138

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140 141 142 143 144 144 Milmington Kaleigh Cape Hatteras Wilmington



- Figure 2: Three sampling locations: Orange St. (OS) and Marsh/Pollock (MP) are located adjacent to Taylor's Creek while Ann St. (AS) is one block inland.
- Samples were collected using both an automatic and grab sampling approach. Automatic
 grab sampling was conducted using an ISCO 6712 Portable Sampler where composite samples
 were collected every 3 hours and stored for up to 6 hours before processing. Following
 collection, samples were stored on ice and transported to the laboratory where they were
 analyzed within 2 hours of collection. **2.2 Environmental Parameters**Water temperature, total suspended solids (TSS) and salinity were measured in situ using a
- 156 YSI probe (YSI 6600 multiparameter probe, USA). Additionally, meteorological observations
- 157 (Ex. 24-h antecedent rainfall, tidal height and air temperature) were collected from publicly
- available data provided by NOAA: Station (ID: 8656483). We were able to determine the
- 159 relative meteorological conditions by rounding sample collection time to the nearest NOAA
- sampling point (6-minute increments).
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162 **2.3 Tidal Characterization**

Similar to methods conducted in Boehm & Weisberg (2005), samples were classified into 163 three tidal categories (Ex. receding, inundated and transition) classified by collection time as it 164 related to the nearest recorded high tide. Given the semi-diurnal nature of tides within our 165 system, samples were separated into three tidal categories: inundated (high tide), receding (low 166 tide) or transition. Inundated samples were classified so if they had been collected within 2 hours 167 of the previous high tide, while receding samples were collected >4 hours from the previous high 168 tide. Transition samples were those collected in between the two groups (2-4 hours from nearest 169 high tide). In addition, GPS locations and elevations were collected (Table 1) using a Trimble R8 170 171 RTK GPS relative to NAVD88 where average vertical error was ± 1.2 in. Outfall elevations were then used to verify coverage given NOAA verified tidal recordings. 172

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Site Latitude Longitude Elevation (m. **Pipe Radius** NAVD88) (m) 34.71751 -76.66740 0.105 0.3 **Orange Street** Marsh/Pollock 34.71454 -76.66190 0.43 -0.515 **Ann Street** 34.71613 -76.66070 0.446 0.46

174 Table 1: Latitude, longitude, elevation and pipe size for OS, MP and AS sampling locations

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176 **2.4 Sample Preparation**

FIB *E. coli* and enterococci were enumerated using Colilert-18[®] and EnterolertTM at a 1:10
dilution (sample: DI water) per manufacturer instructions (IDEXX Laboratories, Westbrook,
ME). For downstream molecular analysis, triplicate 100-150 mL samples were vacuum filtered
through 0.45 μm pore size, 47 mm polycarbonate (PC) filters (HTTP, Millipore, Bedford, MA)

using a six-place filtration manifold and vacuum pump assembly. The filters were placed into 181 sterile, DNase/RNase-free microcentrifuge tubes and stored at -80 °C. DNA extractions were 182 performed using the NUCLISENS® MINIMAG® extraction kit per manufacturer instructions, 183 with extracts then stored at -20 °C. Assays were performed in a CFX96 TouchTM Real-Time PCR 184 Detection System (Bio-Rad Laboratories., Hercules, CA) with the following cycling conditions: 185 10 min at 95 °C, followed by 40 cycles of 15 s at 95 °C and 1 min at 60 °C. Extracted samples 186 were processed using TaqMan[®] Environmental Master Mix 2.0 (Applied Biosystems, Waltham, 187 Massachusetts). Primers (100 μ M) and probes (10 μ M) were synthesized by LGC Biosearch 188 Technologies (Petaluma, CA). Each reaction had a total volume of 25 µL, 20 µL including 189 nuclease-free water, TaqMan® Environmental Master Mix 2.0, as well as appropriate primers 190 and probes, and 5 µL of unknown sample, standard, or control. No template controls (NTCs) 191 192 were processed with every plate.

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194 **2.5** Assessment of qPCR Specimen Processing Control and Inhibition Control

Performance of the qPCR assays through evaluation of recovery efficiency and qPCR 195 inhibition was measured using β actin (ACTB) cDNA as a specimen processing control (SPC) as 196 previously conducted by Conn et al. (2012). 5 µL of ACTB solution (4000 copies/µL) was 197 198 pipetted into each of the samples, calibrators, and negative controls prior to processing. 199 Following this, samples were extracted. Inhibition was determined by calculating the difference between the cycle threshold (Ct) of the SPC in samples with (experimental) and without (control, 200 201 only SPC) target DNA. Extracts were analyzed without dilution with samples having more than 202 0.5 log units (2.32 Ct) difference from control samples deemed inhibited (Lambertini et al., 2008). Since the total number of inhibited samples (11 out of 167 samples) constituted only 6.6% 203

of total samples inhibited, no adjustment for inhibition was made. For all qPCR runs, appropriate 204 controls were employed and showed no contamination: no template control (omission of DNA 205 template from the qPCR reaction), and negative extractions control (inclusion of filter blank 206 during DNA extraction). Plasmid standards were used for HF183 and Entero1-qPCR assays. 207 Standards were synthesized by GenScript (Piscataway, NJ). Gene sequences were synthesized 208 and inserted into a linearized pUC57 vector which was cloned into DH5a competent cells. 209 210 Plasmids were extracted using Wizard® Plus SV 10 Minipreps DNA Purification System (Promega Corp., Madison, WI) and linearized using Eco R1 digestion. They were then 211 confirmed via a 1% agarose gel in Tris-Acetate-EDTA buffer. The weight of purified plasmids 212 213 was then calculated spectrophotometrically (Nanodrop 2000c, Thermo Scientific, Waltham, MA). Nanograms of plasmids were transformed to copy number by using a copy number 214 215 calculator (SciencePrimer.com). Linearized plasmids were diluted and stored at a concentration of 1×10^8 copies per µL at -20°C. 216

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218 2.6 Standard Curves

Standard curves for HF183 and Entero1-qPCR consisted of the calibration standard and five 10-fold serial dilutions that were run in triplicate. For each of the molecular markers, standard dilution curves were aggregated to form a singular curve. The theoretical limit of detection (LOD) was the lowest concentration where the standard could be detected reliably in at least 50% of qPCR replicates. The limit of quantification (LOQ) for qPCR assays was defined as the lowest concentration above the lowest point on the standard curve where amplification was observed in at least 50% of qPCR replicates.

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227 **2.7 Multiple Linear Regression Models**

Predictive modeling was also incorporated in the form of MLR models, which serve as a 228 statistical technique that uses several explanatory variables to predict the outcome of a response 229 variable. For the purposes of our study, enterococci consistently served as our response variable, 230 given its regulatory importance in surface water quality monitoring in NC. Additionally, FIB E. 231 coli and 24-h antecedent rainfall were incorporated with three tidal variables: tidal height (TH), 232 tidal phase (TP) and tidal cycle (TC). Tidal height was incorporated using verified tidal height 233 data recorded by NOAA, while the tidal phase variable incorporated distance the sample was 234 taken from the nearest high tide. An additional variable accounting for tidal cycle was also 235 236 included in regression analysis. This was done using the sine and cosine functions to characterize the cyclical nature of tides: 237 $Sin(2 \ge \pi \ge (\frac{\text{Minutes from high tide}}{\text{Total minutes between high tides}}))$ 238 239 $Cos(2 \text{ x } \pi \text{ x } (\frac{\text{Minutes from high tide}}{\text{Total minutes between high tides}}))$ 240 241 $Tidal Cycle = Sin(2 \ge \pi \ge (\frac{Minutes from high tide}{Total minutes between high tides})) + Cos(2 \ge \pi \ge (\frac{Minutes from high tide}{Total minutes between high tides}))$ 242 243 244 Using the regression model formula: 245 $Y_i = \beta_0 + \beta_1 + \beta_2 x_1 + \beta_2 x_2$ 246 247 where Y_i is the log-transformed outcome ENT concentrations, β_k is the estimated coefficient (EC 248 concentration, 24-h antecedent rainfall and tidal height) for variables X₁ (tidal phase) and X₂ 249

250 (tidal cycle). Including the aforementioned terms, the final regression model was as follows:

 $Y_{ENT} = \beta_{EC} + \beta_{Rain} + (\beta_{Tidal Height} \times \beta_{Tidal Phase}) + (\beta_{Tidal Height} \times \beta_{Tidal Cycle})$ 251 252 **2.8 Statistical Analysis** 253 Log₁₀ concentrations between FIB and qMST markers and environmental parameters were 267 compared using matched paired t-tests for lognormally distributed samples or the nonparametric 268 Wilcoxon Ranks-Sum Test for samples that did not fit a lognormal distribution. Non-detect 269 270 samples were assigned a value of 5 copies/100 mL (log 0.7) with significance level set at 0.05 271 for all analyses. Analyses were conducted in OriginPro 8.5 (OriginLab, Northampton, MA). 272 3. RESULTS **3.1 Summary Statistics** 273 In total, 137 samples were collected and analyzed using culture-based FIB enumeration, 274 qPCR-based Enterococcus sp. enumeration and qMST marker enumeration using vetted, 275 published qPCR-based approaches. Concentrations of EC ($\log 0.7 - 4.94$ MPN/100 mL) and 276 ENT (log 0.7 – 4.78 MPN/100 mL) were comparable to those of the molecular markers, HF183 277 $(\log 0.7 - 4.07 \text{ copies/100 mL})$ and *Enterococcus* sp. quantification via qPCR ($\log 0.7 - 5.03$ 278 279 copies/100 mL). Significant correlations were observed across combinations of FIB and qMST markers with significant positive correlations found between ENT and EC (r: 0.65; p < 0.01), 280 Entero1-qPCR (r: 0.71; p < 0.01) and HF183 (r: 0.45; p < 0.01). 281 In an attempt to understand stormwater conveyance as it relates to tidal cycle, samples were 282 283 collected over a wide range of precipitation and tidal conditions (Figure 3). On average, log EC and ENT concentrations in samples collected during storm events were 2.90 and 2.39 MPN/100 284 285 mL respectively, compared to average concentrations of 2.41 and 2.14 MPN/100 mL respectively during dry conditions. This was also true for qMST markers as HF183 and 286 Enterococcus sp. quantified via qPCR were also found at mean higher concentrations in samples 287

collected during storm conditions (HF183: log 2.08 copies/100 mL; Entero1-qPCR: log 3.36
copies/100 mL) compared to those collected under ambient conditions (HF183: log 2.03
copies/100 mL; Entero1-qPCR: log 2.70 copies/100 mL). When tested for significance, none of
the differences in concentration between wet vs. dry conditions were found to be significantly
different (p<0.05).



296	Figure 3: Number of samples collected at sampling sites: AS (n = 29), MP (n = 47) and OS
297	(n = 61), during tidal phases: inundated (n = 43), transition (n = 50) and receding (n = 44)
298	and wet $(n = 11)$ vs. dry $(n = 15)$ conditions.

300	Samples were collected across a diverse range of environmental conditions (Table 2), with
301	salinity measurements indicating an array of samples were collected across both storm and tidal
302	variations, as these values ranged from 0-35 parts per thousand (ppt). This suggests periods of
303	both fresh, stormwater inundation and marine, creek water inundation were included in overall
304	analysis. Additionally, a wide range of water temperatures that ranged from 9.0°C during the
305	winter months, to 28°C during the summer months, indicate seasonality was also considered in
306	sample collection.

Table 2: Summarized data for environmental parameters: salinity (ppt), TSS (mg/L), water
 temp. (°C), 24h antecedent rainfall (in), tidal height (m) and air temp. (°C) across the three
 sampling sites (OS, MP and AS).

Parameter	Ν	Average	Min	Max
Salinity (ppt)	58	15	0	35
TSS (mg/L)	70	18.5	0.71	64.4
Water Temp (°C)	84	19	9	28
24h Ant. Rainfall (in)	137	0.50	0	3.06
Tidal Height (m)	137	0.062	-0.634	0.692
Air Temp (°C)	118	20	4	28

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311 **3.2 Inter-Site Variability**

On average, mean FIB and qMST marker concentrations where consistently higher at AS 312 compared to those at the OS and MP locations (Table 3). Concentrations of EC, ENT and 313 314 Entero1-qPCR concentrations at the upstream, inland AS location averaged 3.62 MPN/100 mL, 3.10 MPN/100 mL and 3.96 copies/100 mL respectively, compared to average values of 2.15 315 MPN/100 mL, 1.76 MPN/100 mL and 2.19 copies/100 mL at OS and 2.69 MPN/100 mL, 2.39 316 MPN/100 mL and 3.08 copies/100 mL at MP. The distributions of qMST marker and FIB 317 318 marker concentrations measured across the sample sites were skewed, with relatively low average EC and ENT concentrations observed for the two downstream locations (OS and MP), 319

320	and high concentrations at the inland location. As such, we wanted to assess FIB and qMST
321	marker concentrations in samples that would exceed US EPA recommended criteria based on
322	either molecular (Entero1-qPCR: 1280 copies/100 mL (log 3.11)) or culture (EC: 320 MPN/100
323	mL (log 2.51); ENT: 104 MPN/100 mL) (log 2.04)) criteria defined in 2012 by US EPA and the
324	NC Department of Environmental Quality (NC DEQ, 2020; US EPA, 2012). Previous reports in
325	the literature have cross-linked the risk associated with Enterococcus sp. in sewage to measured
326	concentrations of the qMST marker-HF183 (equivalent to 4200 copies/100 mL (log 3.62)
327	(Boehm et al., 2015). Table 5 below summarizes the samples as they relate to recommended
328	exceedance thresholds for each individual group of FIB and qMST markers.

Table 3: Summarized data for EC, ENT, HF183 and Entero1-qPCR concentrations at sampling sites (Orange St., Marsh/Pollock and Ann St.) including the distribution and prevalence of samples that exceeded recreational contact standards.

	EC		ENT		HF183		Entero1-qPCR	
	Mean (min- max) N	Above standard	Mean (min- max) N	Above standard	Mean (min- max)	Above standard N	Mean (min- max)	Above standard N
Site	Log MPN/100 mL	EC % ^a	Log MPN/100 mL	ENT % ^b	Log CCE/100 mL	HF183 %°	Log CCE/100 mL	Entero1- qPCR% ^d
OS	2.15 (0.7 – 4.05) 59	32.2	1.76 (0.7 – 4.27) 59	27.1	1.66 (0.7 – 3.55) 27	14.8	2.19 (0.7 – 4.5) 14	14.3
MP	2.69 (0.7 – 4.78) 44	54.5	2.39 (0.7 – 4.78) 44	61.4	2.22 (0.7 – 4.07) 25	32.0	3.08 (0.7 – 5.03) 18	38.9
AS	3.62 (1.72 – 5.64) 29	75.9	3.10 (0.7 – 4.65) 29	79.3	2.59 (0.7 – 3.49) 11	27.3	3.96 (2.61 – 4.86) 12	83.3

Samples collected at the AS location consistently exceeded recommended concentrations for 332 both culture- and qPCR-based quantification of FIB concentration. For ENT, 79% of samples 333 collected during all environmental conditions exceeded the NC Department of Environmental 334 Quality (DEQ) state threshold of 104 MPN/100 mL. This was also true when samples were 335 analyzed for concentration of Entero1-qPCR, which exceeded US EPA recommended criteria in 336 approximately 83% of samples. When we compare these exceedances to the two downstream 337 locations, which are influenced more greatly by tidal inundation, exceedance of FIB 338 concentrations decreases. FIB exceedances were lowest at the OS outfall with approximately 339 32% and 27% of samples exceeding recommended EC and ENT concentrations respectively. 340 341 This compares to an exceedance rate of 14% for samples analyzed for ENT concentrations via qPCR. HF183 concentrations, which are specifically associated with human fecal sources, only 342 343 exceeded suggested thresholds (4200 copies per 100 mL, (Boehm et al., 2015)) in approximately 344 one-third of samples at AS and MP with fewer samples (15%) exceeding suggested thresholds at OS. 345

346 **3.3 Tidal Characterization**

Descriptive statistics were calculated across sample sites as characterized by collection time 347 within the tidal cycle (Table 4). Across the three tidal categories (inundated, transition and 348 receding), FIB and qMST marker concentrations were consistently higher at the AS location 349 when compared to the two downstream sites: OS and MP. FIB and qMST marker concentrations 350 351 were compared across tidal classifications using one-way ANOVA calculations with only EC concentrations significantly (p < 0.05) differing between inundation and receding tidal periods. 352 The same analyses were performed between FIB characterized by sites across the different tidal 353 phases. At OS, significant (p < 0.05) differences were found between ENT and HF183 354

355	concentrations between inundated (high) and receding (low) tides, while EC and Enterococcus
356	sp. determined via qPCR concentrations were found to be significantly different at MP. No
357	significant differences in FIB concentrations were found at the AS location across the tidal
358	classifications, which corroborates the inland location of this site.

	Inur	ndated (N	= 43)	Rec	eding (N =	= 44)	Trai	nsition (N	= 50)
Mean Value	OS	MP	AS	OS	MP	AS	OS	MP	AS
Tidal Height (m)	0.42	0.40	0.46	-0.33	-0.32	-0.33	0.06	0.12	0.14
EC (MPN/100 mL)	1.98	2.31	3.58	2.50	3.19	3.67	1.99	2.68	3.56
ENT (MPN/100 mL)	1.37	1.93	3.04	2.06	2.85	3.09	1.77	2.52	3.30
Entero1- qPCR (copies/100 mL)	2.05	2.48	3.48	2.59	3.94	4.34	1.84	2.93	4.26
HF183 (copies/100 mL)	0.7	2.24	1.96	1.72	2.55	2.94	1.99	2.03	2.99

 Table 4: Descriptive statistics of FIB characterized by tidal cycle (inundated, receding or transition) sampling location.

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A representative number of samples were collected across the tidal cycle in order to better represent FIB and qMST marker concentrations in the context of storm events and ambient (dry) conditions. Across the three tidal classifications, correlation coefficients were determined between ENT concentrations and EC, *Enterococcus* sp. concentrations determined via qPCR and HF183. A similar analysis was conducted with environmental parameters such as water temperature, salinity and TSS. Regardless of tidal cycle, ENT concentrations were found to significantly (p<0.05) correlate with other FIB concentration and qMST marker concentration, regardless of enumeration approach (culture vs. molecular). Only salinity measurements (r = 0.448, p-value = 0.042) revealed a significant relationship, with regards to the environmental
parameters measured, indicating negative correlation with ENT concentrations only during
periods of tidal inundation.

372 3.4 Multiple Linear Regression Models

Three models in total were created to predict concentrations of ENT in a tidally-influenced 373 374 estuarine system. The models were created using data from all sampling locations, however only 375 the two downstream location (OS and MP) were significant (p < 0.05) in their prediction of variation in ENT concentrations; therefore, the models are appropriate for locations regularly 376 influenced by tidal inundation. For all three models, a combination of biological (EC 377 concentrations) and environmental parameters (24-h antecedent rainfall, tidal height, tidal cycle 378 and tidal phase) were found to maximize the ability to predict the observed variation in ENT 379 380 concentrations explained. FIB and qMST markers, such as HF183 and *Enterococcus* sp. 381 determined via qPCR, as well as environmental parameters, such as water temperature, salinity, TSS, 24h antecedent rainfall and water temperature, were considered when making a data 382 training set. However, the five variables used in our models that consistently performed the best 383 across the three sites, when compared to other data training sets. Models were evaluated by 384 comparing the p-value and adjusted R² values. Table 5 summarizes the model performances for 385 the pooled data from the three sites. The OS model demonstrated that 55% of its variation could 386 be explained by five variables, with EC concentration and tidal phase and cycle exhibiting 387 significant influences on ENT concentrations. Similar results were observed for the MP model 388 with 63% of the variation in *Enterococcus* sp. concentration explained by the same variables. In 389 this model, however, only EC concentration and tidal cycle were found to significantly 390

391 contribute to ENT concentrations. Interestingly enough, 24-h antecedent rainfall was not a

- 392 significant contributor to the variation observed in *Enterococcus* sp. concentrations for any of the
- 393 models.

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Table 5: Multiple regression model for the association of log₁₀ Enterococci with biological and environmental characteristics by sampling location (Orange St., Marsh/Pollock and Ann St.). The regression model looks to better characterize the effect of tidal cycle on bacterial concentrations delivered with the system.

Factor	Coefficient	Std. Error	t-value	Prob> t
Orange St.				
$R^2 = 0.55, p = 3.12 e-05$				
Intercept	0.743	0.920	0.807	0.424
EC	0.680	0.123	5.513	1.46e-06***
24h Rainfall	0.102	0.108	0.946	0.349
Tidal Height	2.900	2.432	1.192	0.239
^a Inundated	0.000	0.000	0.000	0.000
Receding	1.227	1.212	1.012	0.317
Transition	2.199	0.758	2.900	0.006**
Sin(TidalCycle)	-2.478	0.931	-2.662	0.011*
Cos(TidalCycle)	1.083	0.534	2.029	0.048*
Marsh/Pollock				
$R^2 = 0.63, p = 2.01 e-04$				
Intercept	1.408	1.072	1.314	0.198
EC	0.772	0.181	4.273	1.61e-04***
24h Rainfall	-0.035	0.168	-0.211	0.835
Tidal Height	5.167	2.590	1.995	0.055
^b Inundated	0.000	0.000	0.000	0.000
Receding	0.675	1.333	0.506	0.616
Transition	1.731	0.962	1.800	0.081
Sin(TidalCycle)	-2.426	0.995	-2.439	0.020*
Cos(TidalCycle)	0.178	0.799	0.222	0.826
Ann St.				
$R^2 = 0.62, p = 0.058$				
Intercept	2.843	2.127	1.337	0.200
EC	0.325	0.235	1.385	0.185
24h Rainfall	-0.872	0.562	-1.553	0.140
Tidal Height	9.816	7.466	1.315	0.207
^c Inundated	0.000	0.000	0.000	0.000

Receding	1.582	3.687	0.429	0.674
Transition	1.484	3.080	0.482	0.636
Sin(TidalCycle)	-2.702	2.702	-1.000	0.332
Cos(TidalCycle)	-1.121	2.393	-0.469	0.646

^a Referent condition for the categorical variable, Orange St. model, effect is null; ^b Referent condition for the categorical variable, Marsh/Pollock model, effect is null; ^c Referent condition for the categorical variable, Ann St.
 model, effect is null.
 * 0.05 significance level; ** 0.01 significance level; *** 0.001 significance level

400 401

402 **4. DISCUSSION**

403 Historically, rainfall has long been associated with elevated FIB concentrations in receiving 404 405 waters (Coulliette & Noble, 2008; Hart et al., 2020; Silva et al., 2014). However, the influence of tide on contaminant delivery during storms is poorly understood, particularly in low-lying 406 407 coastal plain systems. This study evaluated the relationships of both culture- and qPCR-based 408 FIB and qMST markers in the context of tidal cycle in an estuarine system exposed to stormwater delivery across a wide range of weather conditions. To further evaluate relationships 409 observed for ENT, EC and qMST marker concentrations according to tide, we developed a MLR 410 tool to better understand stormwater contamination dynamics in a complex, tidally-influenced 411 412 estuarine system. MLR has been recommended as part of the US EPA 2012 "Update to the 413 Recreational Water Quality Criteria," but to our knowledge there are no published models that incorporate tide. Predictive modeling tools have previously shown their utility in NC estuaries 414 such as the one we studied (Gonzalez et al., 2012; Gonzalez & Noble, 2014) and therefore may 415 be used to better serve coastal water quality managers by better explaining microbial 416 contaminants in the context of tide and other environmental parameters. We hope to provide a 417 418 framework for stormwater researchers needing to incorporate a tidal parameter in their 419 monitoring regimes for the future, while also highlighting some of the major limitations 420 associated with using such an approach.

421

422 4.1 Summary Statistics

423 Samples were collected over a broad range of rainfall and dry weather conditions and across tidal cycles. While concentrations of FIB and qMST markers increased slightly during wet 424 weather conditions, the concentrations were not significantly greater as compared to dry weather. 425 Previous studies did find significant increases in FIB concentrations following rain events 426 (Converse et al., 2011; Gonzalez et al., 2012; Parker et al., 2010; Stumpf et al., 2010), indicating 427 the potential for a different driver of FIB and qMST marker concentrations. To analyze this 428 429 further, inter-site variability was studied with regards to FIB and qMST marker concentrations. 430 On average, the upstream, non-tidally impacted sampling location (AS) consistently had higher FIB and qMST marker concentrations compared to the downstream locations. We speculated 431 that tidal inundation was impacting the downstream locations, but not the upstream location and 432 was the factor dictating the observed differences in concentrations. Lewis et al., (2013) observed 433 a decrease in FIB concentrations with increases in tide stage dependent on the extent of the tidal 434 435 height. They concluded that tidal shifts exceeding 1.5 m within the tidal range resulted in decreased FIB concentrations as the system is inundated and diluted with seawater. Conversely, 436 437 decreased tidal inundation was characterized by maximum inflows of freshwater which promote bacterial replication in systems with high concentrations of fecal contamination. This could 438 explain why higher concentrations of FIB were observed at the AS location as compared to OS 439 and MP. Findings from this study support the idea of a dilution effect on FIB and qMST marker 440 concentrations related to tidal mixing causing both dilution and bacterial cell rupture during high 441 tide events that ultimately reduces measured FIB concentrations (Chen et al., 2019; De Brauwere 442 et al., 2011; Kirchman et al., 1984; Pednekar et al., 2005). 443

Environmental parameters validated the observed, shifting dynamics across the various tidal 444 classifications. Salinity measurements were found to be the highest during periods of tidal 445 inundation (17 ppt) compared to transition (10 ppt) and receding (16 ppt) tidal periods. While not 446 significantly different than average values during low tide events, significant correlations to ENT 447 concentrations during high tide suggest the potential utility of such a parameter as has been 448 reported in previous research (Byappanahalli et al., 2012; Dorsey et al., 2010; Sinton et al., 449 450 2002). Neither TSS nor water temperature exhibited strong relationships with either FIB or qMST indicators. This could be attributed to fewer measurements collected over the course of 451 the study, which was the result of evolving research goals that emerged as the complexity of the 452 system became apparent. 453

454 4.2 Multiple Linear Regression Models

To our knowledge, this was the first application of a MLR that incorporated both qualitative 455 456 and quantitative tidal variables to examine drivers of microbial contaminant concentrations. This 457 approach, when compared to other statistical methods, may serve as more appropriate tool to routinely evaluate stormwater-impacted water quality. As evidenced by the recent USEPA buy-458 in, predictive modeling tools (Cyterski et al., 2013) can offer an opportunity to identify drivers of 459 contamination, especially as related to stormwater inputs, and environmental parameters. In the 460 end, these tools conserve valuable resources by allowing predictions rather than routine sample 461 collection and monitoring to manage recreational exposure and risk. Previous modeling done by 462 Gonzalez et al., (2014) was conducted in a neighboring system and demonstrated successful 463 application of MLR. In this study, however, no tidal variable was incorporated to explain 464 variation in either EC or ENT concentrations. Furthermore, rainfall was found to be a significant 465 driver of FIB concentrations. The utility of our study is the incorporation of both well-466

467 established biological parameters (Hamilton et al., 2017; Jin et al., 2004; Parker et al., 2010) with
468 less-understood environmental influences, such as tidal condition.

469 ENT and EC have long shown co-occurrence within fecal waste natural environments, with some proposing that EC is a superior metric of fecal contamination given its specificity and 470 relationship to human health (Cabelli et al., 1982; Soller et al., 2010). Therefore, the relevance of 471 EC concentration within the model makes sense due to its known, previously published, positive 472 correlation with ENT (Boehm & Sassoubre, 2014; Steele et al., 2018; Stumpf et al., 2010). Tidal 473 cycle, however, which has been studied much less frequently, also appeared to exhibit great 474 influence on ENT concentration variation. We believe this implies that contaminant transport is 475 more dependent on the timing of storm events as they relate to the state of the tide, compared to 476 simply the extent, intensity of the storm event itself. If this is true, downstream waters could be 477 478 susceptible to impairment long after a storm event ceases and related to the release of the system 479 as the tide retreats. Thus, contaminated waterways remain open during contamination events increasing the likelihood of deleterious public health effects (Leecaster & Weisberg, 2001; 480 481 Noble, Blackwood, Griffith, McGee, & Weisberg, 2010). Furthermore, in this framework, antecedent rainfall patterns would carry increased weight and value to future predictive model 482 483 development. This is because long periods of increased rainfall will begin to favor higher 484 surficial groundwater levels, as well as decreased infiltration capacity, potentially driving a compounded issue of stormwater delivery hampered by localized increased tidal elevation due to 485 increased localized runoff (Yau et al., 2014). 486

487 **4.3 Application**

In low-lying, rural systems, such as Beaufort, NC, it is not uncommon to find some degree of
spatial autocorrelation in water quality studies (Partyka et al., 2017; Tu & Xia, 2008) suggesting

that the qualities under investigation are determined somewhat by unmeasured, and possibly 490 external factors. If these influences are not taken into consideration, bias can be introduced into 491 microbial water quality monitoring programs and the subsequent management decisions. In this 492 particular study, we considered tidal variation, which is surprisingly understudied. Coastal 493 communities across the entire NC coast sit at elevations around or below those found in Beaufort 494 (e.g. Currituck (7 ft), Hatteras (3 ft), and Ocracoke (3 ft)) and, as such, experience similar 495 496 degrees of tidal inundation. By addressing this issue in more depth, stormwater researchers may 497 have greater success in developing a more inclusive framework for stormwater management that may be applied in susceptible coastal communities throughout the US (Poulter et al., 2009; 498 499 Pricope, Halls, & Rosul, 2019). We recognize the limitations of this study and the possible influence this may have on the reliability of model predictions. For instance, laboratory-based 500 501 measures (e.g. salinity and TSS) not comprehensively conducted across all sample types 502 throughout the study. Furthermore, it would have been of great interest to understand the elevation and pipe dimension and flow and discharge across the entire system, but these 503 parameters were difficult to measure in practice and resulted in intermittent data collection. 504 Additionally, sampling regimes varied between automatic and grab sampling, introducing bias 505 related to sample collection frequency and type. Previous studies applying a tidal description in 506 507 their sampling methods have primarily occurred during one tidal phase (Ex. low or high) which 508 limits one's understanding of shifting FIB and qMST concentrations that change with the tide. 509 Much of the previous literature shows geographic or socio-economic biases as many were conducted in the western US or in highly developed watersheds with lower tidal intrusion and 510 greater financial resources to combat coastal flooding. With the greatest risks falling on low-511

512 lying, rural populations, accurate classifications of tidal inundation and its impact on microbial513 contaminant delivery in stormwater is necessary for future consideration.

We understand there is no "one-size-fits-all" model for the prediction of *Enterococcus* sp. concentration in discharge to coastal, surface waters. However, once baseline interactions between environmental parameters and microbial dynamics have been established through routine monitoring, data can then be interpreted in the context of tide. Without reliable spatial and temporal knowledge of tidal cycle, we cannot fully rely on the results of published models to answer today's questions of acceptable water quality.

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5. CONCLUSIONS

Concentrations of culture FIB (*E. coli* and enterococci), Entero1-qPCR and qMST (HF183)
 markers were significantly influenced by tide with higher concentrations found during
 receding (low) tides compared to those from inundated (high) or transition tidal periods.

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Environmental parameters, such as salinity, were found to significantly (p<0.05) correlate
 with ENT concentrations during periods of tidal inundation. Salinity is likely a valuable
 conservative marker for future dispersion studies.

• Study successfully showed the application of MLR using qualitative and quantitative tidal

531 variables as driver of variation in both EC and ENT concentrations. However, 24-h

antecedent rainfall was not determined to have a major influence on FIB concentration as has

533 been previously reported.

534

535	Monitoring programs in low-lying coastal communities with tidal inundation issues must
536	incorporate a tidal parameter in order to evaluate the impact of tidal inundation on
537	stormwater conveyance.
538	
539	6. ACKNOWLEDGMENTS
540	This work was funded by the National Estuarine Research Reserve System (NERRS) Science
541	Collaborative, which is sponsored by the National Oceanic and Atmospheric Administration
542	(NOAA). Many thanks go to the Town of Beaufort, NC for access and insight to the sampling
543	locations and for better understanding of the stormwater conveyance system. Thank you also to
544	IDEXX Laboratories (Gil Dichter) for supplying Colilert-18® and Enterolert TM used for fecal
545	indicator bacteria quantification. This research would not have been possible without the
546	guidance and insight of Mike Piehler and his lab members, Suzanne Thompson and Adam Gold,
547	who provided invaluable knowledge related to flow monitoring, field-based sampling knowledge
548	and invaluable assistance in sample collection. Finally, thank you to fellow Noble lab members,
549	Denene Blackwood and Thomas Clerkin, for countless hours spent helping collect and process
550	samples.
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