

1 **Large copepods as leading indicators of walleye pollock recruitment in the southeastern**  
2 **Bering Sea: sample-based and spatio-temporal model (VAST) results**

3

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10

11 Abstract

12 In the southeastern Bering Sea, years with above-average sea ice extent have been associated  
13 with elevated abundances of large lipid-rich copepods in both net tows and age-0 walleye  
14 pollock (*Gadus chalcogrammus*) diets, followed by high overwinter pollock survival and  
15 stronger recruitment into the fishery three years later. In this study, we directly compare large  
16 copepod abundances of taxa important in age-0 pollock diets (*Calanus glacialis/marshallae*,  
17 *Metridia pacifica* and *Neocalanus* spp.) to age-3 pollock abundance from stock assessments for  
18 year classes 2002-2015 (excluding 2013). Copepod samples from bongo nets were collected on  
19 fishery oceanography surveys in late summer during warm (low ice) and cold (high ice) climate  
20 stanzas. In addition to mean estimates of large copepods among stations (sample-based), we  
21 implemented a spatial delta-generalized linear mixed model using the Vector Autoregressive  
22 Spatio-Temporal (VAST) package to account for variations in survey coverage among years.  
23 Positive significant linear relationships were found for both of these estimates of large copepods  
24 during the age-0 year and the abundance of these pollock at age-3. Correlations were stronger  
25 using VAST model-based indices compared with sample-based indices ( $R^2 = 0.74$  versus 0.43,  
26 respectively, all copepod taxa combined). A significant relationship was also observed between  
27 the bottom cold pool ( $< 2^\circ\text{C}$ ) area (indicative of sea ice coverage in the prior winter) during the  
28 age-0 year and subsequent age-3 pollock abundance ( $R^2 = 0.56$ ) and recruits per spawner,  
29 ( $\ln(\text{age-3 abundance} / \text{spawning stock biomass})$ ,  $R^2 = 0.77$ ). Consequently, the large copepod  
30 index or cold pool index may be used to predict future recruitment success of pollock three years  
31 in advance. Results provide support for the revised oscillating control hypothesis that suggests as  
32 the climate warms, reductions in sea ice and consequent reduced availability of ice-associated

33 algae, an early spring food source, could be detrimental to large copepods and recruitment of the  
34 pollock stock in the region.

35

36 Keywords: walleye pollock, *Calanus*, large copepods, Bering Sea, cold pool, VAST

37

38 Declarations of interest: none

39

40 1. Introduction

41 Zooplankters are essential agents for the transfer of energy from primary production to fish,  
42 seabirds, and marine mammals. In the southeastern Bering Sea, interannual variability in  
43 zooplankton abundance has generated considerable interest in how these changes propagate  
44 through the food web to higher trophic levels (Coyle et al., 2011; Hunt et al., 2011). Walleye  
45 pollock (*Gadus chalcogrammus*, hereafter pollock) is the largest fishery in terms of landed  
46 biomass in the eastern Bering Sea with an ex-vessel value of \$375 million in 2016 (Fissel et al.,  
47 2017). Large lipid-rich crustacean zooplankton, such as large copepods, are important prey  
48 during all life stages, and in particular during the larval and juvenile stages of pollock (Andrews  
49 et al., 2016; Buckley et al., 2016; Coyle et al., 2011; Strasburger et al., 2014) and thus impact  
50 health and growth during critical periods for survival. Notably, the availability of large copepods  
51 in late summer may impact energy stores and overwinter survival of age-0 pollock, and  
52 subsequent recruitment into the pollock fishery (Heintz et al., 2013; Moss et al., 2009).  
53 Commercial fishermen and fisheries managers would benefit from an improved understanding  
54 and ability to predict interannual variation in recruitment to help forecast future pollock  
55 productivity and consequent fisheries management. Thus, elucidating factors that explain  
56 interannual variability in pollock recruitment, such as availability of large copepod prey during  
57 the pollock age-0 year, is of critical importance.

58

59 The southeastern Bering Sea is characterized by a broad continental shelf (> 500 km wide and >  
60 1000 km long) and supports a highly productive ecosystem due to on-shelf flow of nutrient-rich  
61 waters. Persistent oceanographic fronts (Hunt and Stabeno, 2002) separate the shelf into three  
62 domains: the inner shelf (< 50 m bathymetry), the middle shelf (50–100 m), and the outer shelf

63 (100–200 m) (Coachman, 1986; Iverson et al., 1979). During summer, the inner shelf is well  
64 mixed, the middle shelf is highly stratified with a wind-mixed surface layer and a tidal-mixed  
65 bottom layer, and the outer shelf has a multi-layer system with the surface and bottom layers  
66 separated by a gradient (Stabeno et al., 2010). The southeastern Bering Sea shelf is seasonally ice  
67 covered during cold climatic periods, and this sea ice leaves a footprint of cold bottom waters <  
68 2°C (i.e., the cold pool), which extends southward within the middle shelf. The area of the cold  
69 pool is determined in large part by where the ice forms, and its temperature depends on the  
70 amount of ice that forms above it.

71

72 Climate change has led to rapid changes in the southeastern Bering Sea shelf resulting in  
73 variations in seasonal sea ice coverage and water column temperatures, which can impact the  
74 entire ecosystem (e.g., Napp and Hunt, 2001; Stabeno et al., 2012). In recent years (2001–2016)  
75 decadal-scale oscillations (i.e., Aleutian Low Pressure System) have led to climate stanzas with  
76 several years of warm ocean temperatures (low ice) followed by several years of cold ocean  
77 temperatures (high ice) and again by warm temperatures; this is unlike interannual variations  
78 typically observed in prior decades (Stabeno et al., 2012).

79

80 Since 2001, the abundance of large copepods has been higher in years with extensive and  
81 persistent sea ice (Eisner et al., 2014, 2015; Kimmel et al., 2017). This ice cover results in a more  
82 extensive cold pool over the southeastern shelf and in lower bottom temperatures throughout the  
83 summer, particularly in the middle shelf region. The presence of sea ice (and ice associated  
84 algae) provides an early food source for growth and reproduction for *Calanus*  
85 *marshallae/glacialis* (*Calanus* spp.), the large copepod taxa that contributes most to interannual

86 variations in zooplankton biomass during late summer in the eastern Bering Sea (Baier and  
87 Napp, 2003; Eisner et al., 2014). Cooler temperatures during summer and over winter also can  
88 enhance survival of *Calanus* spp. (and juvenile pollock) since metabolic rates are lowered and  
89 less energy (i.e., less prey) is required for survival in cold water (Coyle et al., 2008; Coyle and  
90 Gibson, 2017). In late summer, low bottom temperatures have been associated with increased  
91 numbers of large copepods, resulting in increases in the total biomass of large zooplankton  
92 (Eisner et al., 2014).

93

94 Prior research has demonstrated that years with relatively high abundances of large lipid-rich  
95 copepods in the environment and in juvenile pollock diets (Coyle et al., 2011; Moss et al., 2009)  
96 are also years with high energy density (high amounts of storage lipid, kJ per fish) in age-0  
97 pollock (Heintz et al., 2013). Age-0 fish with high amounts of lipid are better able to survive  
98 their first winter since they are less likely to starve. The high energy density in age-0 pollock has  
99 been shown to be positively associated with recruitment of age-3 pollock into the fishery (Heintz  
100 et al., 2013).

101

102 Here, we evaluate the relationship between the abundance of large lipid-rich copepods during the  
103 summer pollock are age-0 and the abundance of these fish at age-3 to assess the feasibility of  
104 predicting recruitment of pollock to the fishery three years in advance. This advanced notice  
105 could assist the fishing industry and fisheries managers in governing this important Bering Sea  
106 fishery. We also evaluate how climate and associated environmental factors relate to interannual  
107 variation in the abundance of these copepods and subsequent abundance of pollock-3 years later.  
108 A recently developed spatio-temporal modelling tool, Vector-Autoregressive Spatio-Temporal

109 (VAST), is explored here to estimate the distribution and abundance of large copepods. VAST  
110 was previously used for estimating abundance of fish in the eastern Bering Sea, amongst many  
111 other uses (Thorson et al., 2015). We use this method because like other model-based methods,  
112 VAST can account for changes in sampling density and spatial extent among years (i.e., spatially  
113 unbalanced data) (Thorson et al., 2016b), an issue in our data that is common to field data.

114

115 Our four main goals were to 1) develop an estimate from bongo net data of mean late summer  
116 abundance and spatial distribution of large copepods important in age-0 pollock diets in the  
117 eastern Bering Sea, including *Calanus* spp. (Coyle et al., 2011), *Neocalanus* spp. (Moss et al.,  
118 2009; Siddon et al., 2013) and *Metridia pacifica* (Strasburger et al., 2014), 2) evaluate the  
119 correlations between these estimates of large copepod abundance and stock assessment indices of  
120 age-3 pollock abundance and age-3 pollock abundance per female spawning stock biomass. The  
121 latter index, commonly termed recruits per spawner, can be used to avoid confounding maternal  
122 and environmental factors and thus may be useful information for stock assessment (Brodziak  
123 and O'Brien, 2005; Myers, 2001), 3) determine if correlations can be improved using VAST  
124 model-based estimates of copepod abundances over mean estimates of large copepods among  
125 stations (sample-based estimates), and 4) evaluate the strength of association between  
126 environmental covariates and age-3 pollock indices; to do so, we modeled recruits per spawner  
127 using spawning stock biomass, along with copepod and environmental covariates.

128

## 129 2. Methods

130 We describe the study area and years sampled, data, and statistical analyses performed. Data  
131 included abundances of large copepods (sample-based and VAST model-based estimates) and

132 spawning stock biomass during the age-0 year, and subsequent abundances of age-3 pollock or  
133 age-3 pollock/spawning stock biomass (Ianelli et al., 2018). We evaluated environmental  
134 variables that reflected variations in temperature and sea ice, climate-related factors that can  
135 impact abundances of large copepods and pollock (Eisner et al., 2014; Hunt et al., 2011; Sigler et  
136 al., 2016; Stabeno et al., 2012).

137

## 138 **2.1 Study area and years sampled**

139 Zooplankton were collected on fishery oceanography surveys conducted by the Bering Arctic  
140 Subarctic Integrated Survey (BASIS) field program at the NOAA Alaska Fisheries Science  
141 Center (AFSC) during mid-August to late September in the southeastern Bering Sea (Fig. 1).  
142 Sample years include seven warm (2002–2005, 2014–2016), one average (2006), and six cold  
143 (2007–2012) years. No survey was conducted in 2013, an average year. BASIS stations used in  
144 our analysis were spaced 30–60 km apart from 55.0–59.8°N and 160–173°W. The BASIS  
145 stations often extended across the outer shelf to the shelf break (~ 180 m bathymetry) south of  
146 ~57°N and to the middle shelf front (~ 100 m) bathymetry from 57–60°N. The total sampled  
147 survey area considered in our analyses was  $3.57016 \times 10^{11} \text{ m}^2$ . Data were collected at a total of  
148 901 stations, ranging from 32 stations in 2008 to 87 stations in 2012 (Fig. S.1). Sampling density  
149 and spatial extent varied among years. For example, fewer stations covered the same spatial  
150 extent creating a lower sampling density (e.g., 2009), the sampling density was the same but the  
151 spatial extent was reduced (e.g., 2015), or both sampling density and spatial extent were reduced  
152 (e.g., 2008). In contrast to BASIS, the NOAA AFSC summer (June to mid-August) bottom trawl  
153 survey, which collects data used in pollock stock assessment estimates, extended to the shelf



154 break over the entire sampling grid (Fig. 1), and station spacing, 37.04 x 37.04 km (20 x 20  
155 nautical mile) and the area sampled, did not vary among years (Conner and Lauth, 2017).

156

## 157 **2.2 Data**

### 158 *2.2.1 Environmental variables*

159 Environmental variables that were likely to impact age-0 pollock survival and subsequent  
160 recruitment to age-3 (Heintz et al., 2013; Sigler et al., 2016) initially included sea surface  
161 temperature and bottom temperature, the winter ice cover index, and the cold pool index for  
162 years 2002–2015. An annual mean sea surface temperature and bottom temperature for the  
163 southeastern Bering Sea were calculated from data collected at the same locations each year  
164 during the AFSC bottom trawl survey (Fig. 1) (Conner and Lauth, 2017). Station specific  
165 temperature and depth data were collected with a Sea-Bird Electronics model 39 datalogger  
166 attached to the headrope of the bottom trawl. The ice cover index is an annual index of winter ice  
167 coverage in the southeastern Bering Sea; specifically, it is the average ice concentration ( $10^6$   
168  $\text{km}^2$ ) in a  $2^\circ \times 2^\circ$  box ( $56^\circ$ – $58^\circ\text{N}$ ,  $163$ – $165^\circ\text{W}$ , Fig. 1) from January 1–March 31. The ice cover  
169 index was obtained from the Bering Climate website  
170 (<https://www.beringclimate.noaa.gov/data/BCresult.php>), which is maintained by the NOAA  
171 Pacific Marine Environmental Laboratory (PMEL). Data were accessed March 26, 2018 (last  
172 updated August 21, 2016). A summer cold pool index for the eastern Bering Sea was developed  
173 by Kotwicki and Lauth (2013) and updated by Conner and Lauth (2017). The index is the two  
174 dimensional areal extent of cold benthic waters on the eastern Bering Sea shelf observed during  
175 the AFSC summer bottom trawl survey. We used the area ( $\text{km}^2$ ) specified for the isothermal  
176 contour intervals  $< 2^\circ\text{C}$ .

177

178 Since environmental variables often co-vary (e.g., high sea ice concentration is related to an  
179 extensive summer cold pool), we evaluated correlations among the variables prior to inclusion as  
180 covariates in our age-3 pollock models. Strong correlations ( $|r| \geq 0.85$ , Table 1) were found  
181 among all the environmental variables, so only the cold pool index was included in the statistical  
182 analysis of pollock abundance indices. Means of sea surface temperature and cold pool index  
183 were graphed to visualize climatic variations during the study period (Fig. 2).

184

### 185 *2.2.2 Zooplankton collection and processing*

186 Zooplankton samples were collected and analyzed using methods described in Coyle et al.  
187 (2011) and Eisner et al. (2014, 2017). Briefly, for the years 2002–2011, samples were collected  
188 with a 60-cm bongo frame with 505  $\mu\text{m}$  mesh, towed obliquely from near bottom to the surface.  
189 In 2012–2016, depending on taxa, samples were collected with 20 cm/153  $\mu\text{m}$  mesh and 60  
190 cm/505  $\mu\text{m}$  mesh nets (Table 2). Volume filtered was measured with a calibrated General  
191 Oceanics flowmeter located in the net opening. All zooplankton samples were preserved in 5%  
192 formalin buffered with 2% sodium borate and filtered seawater. Samples from 2002–2004 and  
193 2012–2016 were sorted at the Polish Plankton Sorting and Identification Center (Szczecin,  
194 Poland), samples from 2005–2010 were sorted at the University of Alaska (Coyle et al., 2008),  
195 and samples from 2011 were sorted at the NOAA AFSC Auke Bay Laboratories and quality  
196 checked by the University of Alaska. Typically, a subsample of 200 organisms was counted from  
197 each sample. To estimate number per  $\text{m}^3$  at each station for each copepod taxa, counts of each  
198 copepod taxa in the subsample were multiplied by the volume of the sample divided by the  
199 volume of the subsample, then divided by the volume filtered by the net. We derived integrated

200 values of catch ( $b_i$ ) (number  $m^{-2}$ ) at each station by multiplying the mean abundance (number  $m^{-3}$ ) by water column depth minus 5 m, the distance nets were deployed off bottom at each station.  
201  
202 Biomass data were not included in our analysis, since data were unavailable for several of the  
203 years.

204

205 Taxa used for the large copepod index include *Calanus* spp., *M. pacifica*, and *Neocalanus* spp.  
206 (*N. cristatus*, *N. flemingerii*, *N. plumchrus* and unidentified *Neocalanus* species). These taxa are  
207 found primarily over the middle and outer shelf of the eastern Bering Sea during summer (Coyle  
208 et al., 2011; Eisner et al., 2014, 2015; Napp et al., 2002; Vidal and Smith, 1986) with higher  
209 abundances (*Calanus* spp. in particular) and greater interannual changes observed south of 60°N  
210 compared to 60-63°N (Eisner et al. 2014). All 3 taxonomic groups are pelagic and effectively  
211 captured with bongo net tows (standard sampling gear) towed through the water column.

212 Abundances for each species include the sum of copepodite stages III-adult for *Calanus* spp. and  
213 *Neocalanus* spp., and copepodite stages IV-adult for *M. pacifica*. *Calanus* spp. were primarily  
214 copepodite stage V and dominated the large copepod abundances in cold years (Eisner et al.,  
215 2014, 2017). The later stages were selected for analysis, since lipid content is higher for large  
216 later stage copepods, which in turn, enhances lipid content in their predators (e.g., age-0 pollock)  
217 (Heintz et al., 2013). At each station we summed all three taxa to estimate the total large copepod  
218 abundance (denoted C+MN), singly evaluated *Calanus* spp. abundance (denoted C), and  
219 summed *M. pacifica* and *Neocalanus* spp. abundances (denoted MN). Mean abundances per year  
220 for each taxa grouping (C+MN, C, MN) were estimated using all stations sampled. Note that  
221 during late summer *M. pacifica* is found in much higher abundance than *Neocalanus* spp.,  
222 although both are typically located in the outer domain (Eisner et al., 2014, 2017). Although

223 euphausiids are also important diet items for pollock, they were excluded from our analysis since  
224 zooplankton tows were collected primarily during the daytime when euphausiids may be  
225 concentrated within 1–2 m of the sea floor (Coyle and Pinchuk, 2002), below the reach of our  
226 survey nets.

227

228 To evaluate potential bias for changes in mesh size and net diameter over our study period, we  
229 compared abundances of *Calanus* spp., *M. pacifica*, and *Neocalanus* spp. collected using two  
230 different nets/gears deployed in parallel at the same stations on BASIS surveys, 2006–2010.  
231 First, we deployed a vertically towed PairoVet with 25 cm /153  $\mu$ m mesh, then immediately  
232 afterward deployed an obliquely towed bongo net with 60 cm/505  $\mu$ m mesh. For our  
233 comparisons, we evaluated the stages described above, excluding *N. cristatus* CIV-adult since  
234 sampling gear did not vary among years for this taxa and stage (Table 2). Paired t-tests using  
235 natural log transformed data did not show significant differences in abundances of *Calanus* spp.  
236 ( $p = 0.74$ ) or *Neocalanus* spp. ( $p = 0.18$ ), but did show differences for *M. pacifica* ( $p < 0.001$ ).  
237 *M. pacifica* median abundances for 505  $\mu$ m mesh samples were 0.39 times that for 153  $\mu$ m mesh  
238 abundances (95% CI 0.28–0.52), indicating that the 153  $\mu$ m mesh nets collected over twice as  
239 many individuals as the 505 mesh nets. To more accurately examine variations over time, we  
240 adjusted the *M. pacifica* data for 2012, 2014–2016 (153  $\mu$ m mesh samples, Table 2) by  
241 multiplying the abundances at each station by 0.39, before we combined abundances of *M.*  
242 *pacifica* with *Neocalanus* spp. and *Calanus* spp.

243

244 *2.2.3 Pollock recruitment indices*

245 Recruitment and survival estimates for the 2002–2015 year classes were acquired from the 2018  
246 pollock stock assessment model for the Bering Sea (Ianelli et al., 2018). Recruitment of pollock  
247 to age-3 was represented by stock assessment estimates of the abundance of age-3 pollock  
248 (millions of fish) (Ianelli et al., 2018), hereafter referred to as AGE3. Survival of pollock from  
249 egg to age-3 was represented by the number of age-3 pollock (millions of fish) per female  
250 spawning biomass (SSB, thousands of tonnes) by year class (Ianelli et al., 2018), hereafter  
251 referred to as AGE3/SSB. We used the natural log of AGE3/SSB in all analyses.

252

## 253 **2.3 Analysis**

### 254 *2.3.1 Estimates of large copepod abundances*

255 We used 1) a sample-based approach and 2) a spatio-temporal model-based approach to estimate  
256 the abundance of large copepods (C+MN, C, MN) for our analysis of time series and spatial  
257 distribution. The southeastern Bering Sea shelf was chosen for our analysis of copepod  
258 abundance because age-0 pollock were located in this region during late summer in both warm  
259 and cold years (Eisner et al., 2015).

260

#### 261 2.3.1.1 Sample-based indices

262 For the first approach, copepod data from bongo nets were used to estimate mean abundance  
263 over the water column at each station, and then calculate mean abundances by year among  
264 stations across our study area, hereafter termed, sample-based indices or estimates. This  
265 approach is similar to the one reported in the Alaska Marine Ecosystem Status Report  
266 (<https://access.afsc.noaa.gov/reem/ecoweb/Index.php>) by Eisner and Yasumishii (2017).

267

268 2.3.1.2 VAST model-based indices

269 The second approach was to use a spatio-temporal model to generate model-based copepod  
270 indices (spatial distributions and annual mean abundances) from the bongo net samples of large  
271 copepods at each station. The model integrates spatial variation in average density across years  
272 (“spatial variation”) as well as spatial variation for each individual year (“spatio-temporal  
273 variation”) when estimating large copepod abundance over the BASIS survey area. We used the  
274 VAST version 8.2.0 package in R software (Thorson et al., 2016b, c; Thorson and Barnett, 2017)  
275 with Microsoft R Open software version 3.5.3 (R Core Team, 2016). Spatial and spatio-temporal  
276 variation in the estimates of abundance were included to improve density predictions in areas  
277 with few or missing data (Shelton et al., 2014).

278

279 Below is a condensed outline of the structure of the VAST model developed by and presented in  
280 Thorson et al. (2016a). The VAST model used here is a delta lognormal spatio-temporal model  
281 that includes two linear predictors, one for the probability of encounter and the other for positive  
282 catch rate probability (Thorson et al., 2015).

283

284 An index of population abundance is estimated while integrating density estimates across space.

285 Catch data  $b_i$  for each sample  $i$  is assumed to follow a probability distribution that includes (i)

286 the probability of encounter  $p(s_i, t_i)$  for location  $s_i$ , and year  $t_i$  and (ii) the expected catch rate

287  $r(s_i, t_i)$ , such that expected density  $d(s, t)$  is the product of these two terms  $d(s, t) =$

288  $p(s, t)r(s, t)$ :

289

290 
$$\Pr(b_i = B) = \begin{cases} 1 - p(s_i, t_i) & \text{if } B = 0 \\ p(s_i, t_i) \times \text{Lognormal}\{B|\log[w_i \times r(s_i, t_i)], \sigma^2\} & \text{if } B > 0 \end{cases} \quad (1)$$

291

292 where  $\text{Lognormal}(x|\mu, \sigma^2)$  is a lognormal probability distribution function for  $x$ , given a log-  
293 mean of  $\mu$  and a variance of  $\sigma^2$ , and  $w_i$  is area swept (set at a constant 1 m<sup>2</sup>).

294

295 Spatio-temporal variation in encounter probability,  $p(s_i, t_i)$  was estimated using a logit-linked  
296 linear predictor given as:

297

$$298 \text{logit}[p(s_i, t_i)] = \beta_p(t_i) + \omega_p(s_i) + \varepsilon_p(s_i, t_i) \quad (2)$$

299

300 where  $\beta_p(t_i)$  is an intercept for encounter probability,  $\omega_p(s_i)$  is the spatial variation in encounter  
301 probability,  $\varepsilon_p(s_i, t_i)$  is the spatio-temporal variation in encounter probability.

302

303 Expected catch rates given an encounter  $r(s_i, t_i)$  were estimated using a log-linked linear  
304 predictor given as:

305

$$306 \text{log}[r(s_i, t_i)] = \beta_r(t_i) + \omega_r(s_i) + \varepsilon_r(s_i, t_i) \quad (3)$$

307

308 where  $\beta_r(t_i)$  are the intercepts for expected catch rates,  $\omega_r(s_i)$  is the estimated spatial variation  
309 in catch rates, and  $\varepsilon_r(s_i, t_i)$  is the estimated spatio-temporal variation in catch rates. The  
310 probability of encounter intercept and catch rate intercept were estimated as fixed effects.

311 To approximate the spatial random effects, the stochastic partial differential equation (SPDE)

312 approach was used in a triangulated mesh to approximate the Matérn correlation function, where

313 nearby sites are more similar to each other. Extrapolated densities within the mesh were at a 42  
314 km resolution.

315

316 Total abundance  $I(t)$  was predicted by summing density over the entire survey area in each year,  
317  $t$ , where this abundance  $I(t)$  is then treated as an index of abundance:

318

$$319 \quad I(t) = \sum_{s=1}^{n_s} (a(s) \times p(s, t) \times r(s, t)) \quad (4)$$

320

321 where  $a(s)$  is the area associated with location  $s$  (Shelton et al., 2014; Thorson et al., 2015). The  
322 prediction grid and thus  $a(s)$  was the same for all years. The estimated abundance  $I(t)$  of each  
323 copepod group was then divided by the extrapolated total survey area ( $3.57016 \times 10^{11} \text{ m}^2$ ) to  
324 estimate average number of individuals per meter square footprint.

325

326 We used the epsilon bias-correction estimator for index  $I(s)$  to reduce bias from calculating  
327 derived quantities of abundance as a nonlinear function of random effects or high variance in  
328 random effects (Thorson and Kristensen, 2016). We used Akaike information criterion (AIC) to  
329 determine whether to use a lognormal or gamma distribution for observation error in positive  
330 catch rates. Output from the C and MN models were summed to provide a C+MN index.

331

332 Model performance was examined by comparing the expected probability and observed  
333 frequency of encounter for encounter probability, actual versus predicted plots of the encounter  
334 probability, and quantile-quantile (Q-Q) plots for the residuals of the positive catch rates, and  
335 spatial trends in the Pearson residuals for encounter probability and positive catch rate



336 components by knot (Figs. S.2 - S.9). A 10-fold cross validation was conducted to test model  
337 performance using simple random sampling with replacement. For each of 10 cross validation  
338 experiments, we excluded 10% of the data, fitted the model to the remaining 90%, and then  
339 predicted observations for the 10% that were excluded. We then computed the probability of  
340 those excluded data given the model fits. To evaluate predictive performance, we compared the  
341 predicted values from the full sample with those generated from the 10-fold cross validations.  
342 Plots were made of the observed values versus predicted values for the full sample (in-sample)  
343 and for the cross validation (out-of-sample) analyses (Fig. S.10). Code to implement this cross  
344 validation experiment is based upon sample code that is publicly available online  
345 (<https://github.com/James-Thorson-NOAA/VAST/wiki/Crossvalidation>).

346

#### 347 2.3.1.3 Sample-based vs VAST model-based areal coverage and magnitude

348 To estimate annual means, sample-based data were averaged (including zeros) over the area  
349 sampled in a given year, whereas VAST model-based indices was averaged over the same area  
350 every year. Since this area encompasses observations across all years, it is larger than or equal to  
351 the sample-based area for a given year since the size of the survey area varied among years as  
352 described earlier, but is expected to result in a more comparable copepod abundance index across  
353 time and spatial extent of survey effort. The VAST model-based outputs provided a relative  
354 index of abundance. While absolute values cannot be directly compared with the sample-based  
355 means, the relative (proportionate) interannual variations and patterns over time are useful to  
356 examine.

357

358 To evaluate and compare spatial patterns, we produced heat maps of the standardized sample-  
359 based and the VAST model-based copepod densities  $\ln([\text{number km}^{-2}]+1)$  to show the  
360 distributions over the survey area for each year. Prior to plotting, the separate data sets were  
361 standardized by subtracting the mean and dividing by the standard deviation. For both the  
362 sample-based and VAST model-based data, heat maps were generated using the gridded  
363 bivariate linear interpolation for irregular data using the `interp` function in the `akima` R package  
364 (version 0.6-2).

365

### 366 *2.3.2 Relationships of pollock indices to copepod abundance and cold pool index*

367 Least squares linear regressions were used to evaluate and visualize the relationships between  
368 response variables (pollock indices) and predictors (copepod indices or the environmental  
369 variable, cold pool index, from when the pollock were age-0). Pollock indices include: AGE3 or  
370  $\ln(\text{AGE3}/\text{SSB})$ , and copepod indices include C+MN, C, and MN from sample-based and VAST  
371 model-based estimates. Separate models were run for each predictor. The relationship between  
372  $\ln(\text{AGE3}/\text{SSB})$  and predictors, can be described as linearized Ricker spawner-recruit models  
373 (Ianelli et al., 2018; Ricker, 1975), therefore, we included SSB as a predictor (to account for  
374 density dependence) in these models (Table 3). The models for AGE3 were similar but did not  
375 include log transformations or SSB. For all regressions, residuals were tested for 1<sup>st</sup> order  
376 autocorrelation (`forecast` package version 5.8 in R). If present, then we added a term for 1<sup>st</sup> order  
377 autocorrelation as a predictor in the model. The Shapiro-Wilks test was used to test for normality  
378 of the residuals. There were no significant autocorrelation in the residuals so there was no need  
379 to add an autocorrelation term to the regression models. AGE3 estimated from the linear  
380 regression models using VAST model-based copepod indices (C+MN) also were compared

381 directly with AGE3 stock assessment estimates for 2002–2015. For the 2016 year class,  
382 predictions of AGE3 were estimated using the relationship between C+MN and AGE3 for the  
383 prior years.

384

385 To evaluate if copepod and cold pool indices combined provided a better estimation of AGE3  
386 and  $\ln(\text{AGE3}/\text{SSB})$ , we used a stepwise multiple linear regression analysis using backward  
387 elimination with an F-to-remove statistic of 4 (corresponding to a T value of 2 and tail  
388 probability value of  $\sim 0.05$ ). This F-statistic was used for testing the significance of a regression  
389 coefficient and subsequently removed any coefficients where the F-statistic was below 4. Linear  
390 regressions were run using SYSTAT version 13.2. The best fit model was the one resulting from  
391 backward elimination until no further variables could be removed without a statistically  
392 significant loss of model fit.

393

### 394 3. Results

395 We describe changes in the environment over the study period, then describe variations in  
396 copepod abundance interannually and spatially using sample-based and VAST model-based  
397 indices and compare results from these two methods. Finally, we estimate the pollock indices  
398 from copepod indices, from the cold pool index, and from the combination of both.

399

#### 400 **3.1 Interannual variations in environmental indices**

401 An examination of the interannual variations in key environmental drivers important to growth  
402 and survival of large copepods and pollock helps to characterize mechanisms driving the  
403 observed changes in abundances. Time series (2002–2016) of sea surface temperature and the

404 cold pool index (highly correlated with sea ice extent, Table 1) indicate that in warm years, sea  
405 surface temperature was ~2–3°C higher, while the cold pool area was a third of the size  
406 compared to cold (high ice) years (Fig. 2).

407

## 408 **3.2 Large copepod abundance variations**

### 409 *3.2.1 Time Series*

#### 410 3.2.1.1 Sample-based indices

411 Sample-based mean abundances of large copepods indicate that abundances of all three taxa  
412 combined (C+MN), *Calanus* spp. (C) alone, and *M. pacifica* +*Neocalanus* spp. (MN) were high  
413 in cold years and low in warm years (Figs. 2, 3). Note that *Calanus* spp. largely drives the  
414 abundance variations for C+MN as evidenced by the high correlation between these indices  
415 (Table 1). The highest values for C+MN and C were seen in 2012, the year with the greatest cold  
416 pool extent for the study period.

417

#### 418 3.2.1.2 VAST model-based indices

419 VAST model parameters and diagnostics are reported in the Supplementary Material. The C  
420 model had significant year, spatial, and spatio-temporal effects in both the encounter probability  
421 and positive catch rates given an encounter (Table S.1). The MN model had significant spatial  
422 variation in the probability of encounter, and significant year, spatial, and spatio-temporal effects  
423 in positive catch rates given an encounter. The C and MN models were specified as having a  
424 lognormal distribution rather than a gamma distribution (based on lowest AIC) on positive catch  
425 rates and a conventional delta-model, but fixing encounter probability = 1 for any year where all  
426 samples encounter the species (Tables S.1, S.2). VAST model diagnostics (Figs. S.2-S.9)

427 indicate a relatively good model fit for both C and MN. Expected probability and observed  
428 frequency of encounter for encounter probability component were within the 95% predictive  
429 interval, although patterns in the residuals for the encounter probability for C indicate some  
430 evidence of underdispersion. Q-Q plot of residuals for positive catch rate component for the  
431 models were along the diagonal line indicating normal distribution of the residuals.

432

433 Cross validation plots for C and MN estimates from VAST models are shown in Figure S.10.  
434 Observed vs. predicted positive catch rate relationships were similar for the in-sample and out-  
435 of-sample data from the 10-fold cross validation indicating good model performance for each  
436 model. There is some evidence for overprediction of small catches and underprediction of large  
437 catches, however all of the age-3 pollock predictions were within one standard deviation (see  
438 Figs. S2, S6). In all plots, predicted values were higher than observed values at lower observed  
439 zooplankton densities. There appears to be less variability around the relationship between  
440 observed versus predicted values for the C than the MN models.

441

442 VAST model-based indices followed the same general pattern as the sample-based means for  
443 C+MN and C, although 2008 means were higher relative to other years than seen for sample-  
444 based data (Fig. 3). VAST model-based indices for the MN group were higher than sample-based  
445 values with large and opposite differences in 2008; removal of 2008 from our analysis produced  
446 more similar patterns with an  $r$  of 0.60 ( $p = 0.039$ ), compared to  $r = -0.26$  ( $p > 0.05$ ) for the  
447 entire study period (Table 1).

448

449 *3.2.2 Spatial distribution*

450 3.2.2.1 Sample-based indices

451 Higher standardized abundances of C were found on the outer shelf than on the middle shelf in  
452 warm years (with the exception of 2014, the first warm year after the cold stanza ended), while  
453 distributions were extended over the middle and outer shelf or were concentrated on the middle  
454 shelf (e.g., 2012) in cold years (Fig. 4a). MN typically had the highest standardized abundance  
455 on the outer shelf, with patches of moderate abundance seen further inshore in many years (Fig.  
456 5a).

457

458 3.2.2.2 VAST model-based indices

459 Broad scale spatial patterns among years was generally similar for VAST model-based indices  
460 and sample-based indices for standardized C data (Fig. 4), although in the VAST plots, the cross-  
461 shelf and interannual variations appear larger. For standardized MN data, the highest abundances  
462 from VAST were consistently seen in outer shelf waters, but extensions inshore did not always  
463 match up with the sample-based data; similar to C, cross-shelf variations appear larger for VAST  
464 output (Fig. 5).

465

466 **3.3 Relationships of pollock indices to copepod abundance and cold pool index**

467 Sample-based mean copepod abundances were found to have significant ( $p < 0.05$ ) linear  
468 relationships with AGE3 for C+MN and C ( $R^2 = 0.43$  and  $0.44$ , respectively), but not for MN ( $p$   
469  $= 0.29$ ) (Fig.6). Strong relationships was found for  $\ln(\text{AGE3}/\text{SSB})$  with SSB and sample-based  
470 C+MN or C covariates included ( $R^2 = 0.68$ , for both), however inclusion of MN with SSB did  
471 not improve the fit over SSB alone (Table 3).

472

473 VAST model-based copepod indices (C+MN, C, and MN) were significantly linearly related to  
474 AGE3 ( $R^2 = 0.74, 0.62, \text{ and } 0.49$ , respectively, Fig. 6) and to  $\ln(\text{AGE3}/\text{SSB})$  with SSB included  
475 as a covariate ( $R^2 = 0.75, 0.71, \text{ and } 0.67$ , respectively, Table 3). All linear relationships were  
476 stronger for VAST modeled copepod data compared to means derived from sample-based data.  
477 In particular, the increase in copepod mean abundances in 2008 in the VAST model-based  
478 indices contributed to the stronger linear fits with AGE3 (Fig. 6). Predictions for AGE3 for the  
479 2016 year class (estimates for age-3's recruited into fishery in 2019) based on VAST model-  
480 based estimates of copepods (C+MN), suggested pollock recruitment would be low (Fig. 7).

481  
482 Pollock indices were significantly linearly related to the cold pool index (during the age-0  
483 pollock stage), as shown in Figure 8 for AGE3 ( $R^2 = 0.56$ ) and Table 3 for  $\ln(\text{AGE3}/\text{SSB})$  ( $R^2 =$   
484  $0.77$ ). Multiple stepwise linear regressions with sample-based copepod indices and the cold pool  
485 index as covariates, identified the cold pool index alone as the best estimator of AGE3 (Table 4).  
486 In contrast, regressions with VAST indices indicated the copepod indices were the best  
487 estimators of AGE3, with the exception of the addition of the cold pool to MN. The  
488  $\ln(\text{AGE3}/\text{SSB})$  was best described as a function of the cold pool index alone, except for the case  
489 when the VAST model-based MN and cold pool indices were combined (Table 4).

490

#### 491 4. Discussion

492 A key finding was the strong relationship between the mean abundance of large copepods  
493 (largely driven by changes in *Calanus* spp.) and recruitment of pollock into the fishery three  
494 years later; this relationship was improved using VAST spatio-temporal modeling estimates of  
495 copepod abundance, relative to simply calculating means among stations from spatially

496 unbalanced survey data. These findings provide an excellent basis for further research into  
497 recruitment modeling. In general, during late summer on the southeastern Bering Sea shelf, large  
498 copepods were in higher abundances in bongo nets and in age-0 pollock diets (Andrews et al.,  
499 2016; Coyle et al. 2011), and more broadly distributed in cold, high-ice years than in warm, low-  
500 ice years. Decreases in the availability of these lipid-rich prey during warm years may prove  
501 detrimental to the pollock recruitment into the fishery at age-3 as well as impacting other fish  
502 and higher trophic level consumers (e.g., seabirds) (Hunt et al., 2011; Sigler et al., 2016).  
503 Therefore, this information is important for fisheries and ecosystem management.

504

505 The findings for our time series of zooplankton abundance in the eastern Bering Sea have  
506 important implications. Similar to prior analysis of 2003–2009 data (Eisner et al., 2014), large  
507 copepod abundances for our extended time series, 2002–2016, indicate that *Calanus* spp. were  
508 located in the outer shelf in low numbers in warm years (with the exception of 2014) and more  
509 broadly distributed over the middle and outer shelf in high numbers in cold years. Recall that  
510 2002–2005 and 2014–2016 were warm, low-ice years, while 2007–2012 were cold, high-ice  
511 years, and 2006 and 2013 were considered “average” years. Abundances of *Calanus* spp. may  
512 not decrease until one year after the start of a warm stanza, similar to delays in increased  
513 abundance observed for the start of a cold year stanza, e.g., the first cold year was 2007, while  
514 the largest increase was noted in 2008 (Fig. 3; Eisner et al., 2014). Higher abundances of large  
515 copepods also were collected in July and September in cold (2007–2011) compared to warm  
516 (2001–2005) stanzas along the 70-m isobath in the southeastern Bering Sea (Kimmel et al.,  
517 2017). These authors similarly concluded that variations in sea-ice extent drive changes in  
518 copepod abundances, and the greatest impacts to upper trophic levels were driven by



519 July/September copepod production rates, with significantly higher estimated rates for *Calanus*  
520 spp. in cold than warm years.

521

522 Our results demonstrate, that *Calanus* spp., the taxa driving abundance changes of C+MN,  
523 expand distributions to the outer shelf and cover a larger area in cold years (~50-180 m  
524 bathymetry) compared to warm years (~50-100 m bathymetry). This change in distribution in  
525 addition to increases in mean abundance in cold years can add to increased overlap between age-  
526 0 pollock and lipid-rich zooplankton prey, as demonstrated for 2010 (cold year) compared to  
527 2005 (warm year) in the southeastern Bering Sea (Siddon et al., 2013). In mid-summer,  
528 concentrations have been observed to drop off at the shelf-break (Eisner et al., 2017). This is not  
529 surprising since *Calanus* spp. is thought to diapause on the shelf (Coyle and Gibson, 2017), and  
530 therefore, would remain on the shelf over its entire life cycle.

531

532 The abundance of age-0 pollock and subsequent abundances of age-1 and age-3 pollock for a  
533 given year class do not appear to be positively correlated in the eastern Bering Sea (McKelvey  
534 and Williams, 2018; Moss et al., 2009; Parker-Stetter et al., 2013). Abundances of age-0 pollock  
535 in surface waters (top 20 m) from BASIS were high in warm years 2004 and 2005, and low in  
536 cooler years 2006 and 2007 (Moss et al., 2009). This is unlike the stock assessment trends for  
537 age-3 pollock with high abundances in 2006 and lower abundances the other 3 years (particularly  
538 2004, Fig. 7). Pollock year classes with high abundances of age-0s were observed to have low  
539 abundances of age-1 pollock (low over winter survival) and vice versa (Moss et al., 2009).

540 Acoustic data collected on BASIS surveys for estimation of age-0 pollock over the water column  
541 indicated that abundances in 2014 were 9 times higher than observed in 2011 and 3.5 times

542 higher than in 2012 (McKelvey and Williams, 2018). In contrast, age-3 pollock abundances were  
543 low and similar for 2011 and 2014 year classes with very high abundances for 2012. Similar  
544 discrepancies were found between age-0 and age-3 pollock abundances for acoustic data  
545 evaluated for 2009 and 2010 (Parker-Stetter et al., 2013).

546

547 The health of the age-0 fish at the end of summer appears to be a better predictor than abundance  
548 for over winter survival. The percent of large copepods (dominated by *Calanus* spp.) in age-0  
549 pollock diets is higher in cold years (Coyle et al., 2011; Andrews et al., 2016), and aligns with  
550 years when age-0 pollock had higher energy reserves (kJ/g) in late summer, higher overwinter  
551 survival and higher recruitment of age-1 and age-3 fish (Heintz et al., 2013; Sigler et al., 2016).  
552 Accordingly, the spatial and temporal overlap of age-0 pollock with lipid-rich zooplankton is  
553 also critical for survival (Siddon et al., 2013).

554

555 Other pollock studies in the eastern Bering Sea also have related physical and biological  
556 variables during the age-0 and age-1 life stages to recruitment to older ages. Cannibalism, as  
557 indexed by separation of the adults and juveniles based on an ocean current model, was found  
558 important in determining pollock survival (Wespestad et al., 2000). Yasumiishi et al. (2015)  
559 found a positive relationship between the event of a cooler summer during the age-0 stage  
560 (optimal conditions for lipid-rich prey) followed by a warmer spring (earlier spring bloom for  
561 first feeding) and recruitment of pollock to age-3. Growth in body weight of chum salmon  
562 (*Oncorhynchus keta*) during the age-0 life stage of pollock was used as a proxy for ocean  
563 conditions and linked to higher recruitment of pollock to age-3 (Yasumiishi et al., 2015).

564

565 The relationship between large copepods and age-3 pollock abundances was improved using the  
566 copepod indices from the VAST spatio-temporal model particularly for years when sampling  
567 was limited. For example, the VAST model-based indices compared to sample-based indices  
568 predicted a higher abundance of large copepods in 2008, a year when the sample coverage did  
569 not extend into the outer shelf. The outer shelf is where *M. pacifica* and *Neocalanus* spp. are  
570 found in the highest concentrations and where *Calanus* spp. are often observed in high numbers  
571 (Figs. 4, 5). Consistently using the VAST model to estimate distributions over the outer shelf,  
572 where sampling is often more limited, may be important for understanding interannual variations  
573 in *M. pacifica* and *Neocalanus* spp. This may partially explain why linear relationships with age-  
574 3 pollock abundances are only significant for VAST model-based indices of *M. pacifica* +  
575 *Neocalanus* spp. However, we note that when VAST extrapolates into unsampled regions or  
576 years, the variances around those density estimates are inflated and this increased variance in  
577 abundance indices will likely lead to reduced correlation in those extrapolations, and  
578 subsequently increased variability around the expected correlation coefficient.

579  
580 The positive correlations between the *M. pacifica* + *Neocalanus* spp. VAST model-based index  
581 and age-3 pollock abundances or age-3 abundance/spawning stock biomass may reflect  
582 ecological processes that occurred prior to the survey (spring or early summer) as well as during  
583 the survey period. *Neocalanus* spp. abundances are highest in spring when they are advected  
584 onto the shelf as early copepodite stages followed by stage progression and growth to copepodite  
585 stage V, with downward migration starting in late spring/early summer (Smith and Vidal, 1986;  
586 Vidal and Smith, 1986). For example, maximum biomass of *N. plumchrus* was obtained by late  
587 May/early June followed by descent of a significant fraction into deep water (> 80 m) (Vidal and

588 Smith, 1986). Eisner et al. (2017) observed that *N. cristatus* mean abundances were relatively  
589 high in April/May, moderate in June/July and low in September. However, some portion must  
590 remain accessible to forage fish and seabirds during the summer since *Neocalanus* spp. have  
591 been commonly observed in age-0 pollock diets in August-September on the southeastern shelf  
592 (Moss et al., 2009; Siddon et al., 2013) and in least auklet (*Aethia pusilla*) diets July-August on  
593 the Pribilof Islands (Dorresteijn et al., 2012). In addition to higher abundances of *Neocalanus*  
594 spp. in cold years, retention times on the shelf may be longer in cold compared to warm years  
595 (Dorresteijn et al., 2012). For *M. pacifica*, abundances in 2008-2010, were shown to increase in  
596 June, peak in July and taper off substantially by August and September (Eisner et al., 2017). Diet  
597 studies for 2008 indicated that *M. pacifica* (early and late stage) were important prey for pollock  
598 larvae in spring (May) (Strasburger et al., 2014).

599

600 The age-3 abundance/spawning stock biomass index,  $\ln(\text{AGE3}/\text{SSB})$ , was included in our  
601 analyses to evaluate the importance of maternal effects and density-dependent effects of  
602 spawning biomass on survival. Density dependence has been observed for Bering Sea pollock  
603 stocks in prior research (Mueter et al., 2011). Strong density-dependent effects of spawning  
604 biomass on survival indicate competition among juveniles, cannibalism among juveniles and  
605 cannibalism on juveniles by adults (Dwyer et al., 1987). Our regression models predicting  
606  $\ln(\text{AGE3}/\text{SSB})$  as a function of spawning stock biomass and cold pool area or large copepod  
607 abundance suggests that survival can be explained by the direct effects of density dependence,  
608 indirect effects of environment on density-dependence, or by environment and prey indices, such  
609 as large copepods. Environmental factors may have a larger influence on recruitment than  
610 spawning biomass for many fisheries stocks (Maunder and Thorson, this issue).

611

612 The strong linear relationships found between the environmental covariate and large copepod  
613 and pollock indices demonstrate the importance of regional regime changes, related to  
614 atmospheric forcing (e.g., Aleutian Low), on the Bering Sea ecosystem. The high correlations for  
615 large copepod indices with sea ice cover, cold pool areal extent, bottom temperature and to a  
616 lesser extent sea surface temperature (Table 2) are not surprising, and have been found to be  
617 important in prior analysis of large zooplankton in this region (Eisner et al., 2014; Sigler et al.,  
618 2016). For age-3 pollock abundance and abundance per spawning stock biomass, the high  
619 correlations with the cold pool area three years prior ( $R^2 = 0.56$  and  $0.77$ , respectively) is less  
620 well established. Although correlations of age-3 pollock abundance were higher with VAST  
621 model-based large copepod indices ( $R^2 = 0.74$  for the three groups combined), these  
622 environmental indicators (in particular, cold pool area) from the age-0 year, could aid in  
623 estimations of age-3 pollock abundance if large copepod data are unavailable. Future work could  
624 develop a multivariate model that jointly models spatial variation in copepod density and  
625 environmental variables (e.g., sea ice extent and water temperature); this model could then be  
626 used to inform estimates of copepod density in unsampled areas based on their correlation with  
627 environmental variables that are typically measured across a larger spatial extent.

628

629 The purpose of the models presented here is to produce model-based indices of copepod  
630 abundance to then use this information, as well as oceanographic conditions, to establish a link  
631 between relative abundances of plankton and pollock. In our proof of concept here, we've  
632 demonstrated that this can be effectively done and that copepod abundance can be used to  
633 represent the abundances of age-3 pollock in the near future. In this study, the encounter

634 probability model for C was underdispersed. In terms of the application of this model to  
635 management, this is potentially an issue when there are extremely low levels of copepods and the  
636 model could potentially overpredict C abundance. This then potentially biases future age-3  
637 pollock numbers upward. We therefore encourage future work to develop an improved  
638 observation model for the C encounter probability. We also encourage the implementation of this  
639 proof of concept study by considering (1) the use of multiple status indicators at once (e.g., an  
640 ensemble of indicators) as is done with many climatological studies that average over models to  
641 deal with model misspecification, (2) the development and incorporation of more complex  
642 likelihood functions to better fit the observation data, and (3) the uncertainty around estimates of  
643 copepods and age-3 pollock abundances to provide a distribution of  $R^2$  values for regressions  
644 (potentially using an error-in-variables modelling approach or hierarchical model). These  
645 additional analyses would provide support for the use of copepod abundance as an early indicator  
646 for pollock recruitment success for management purposes.

647  
648 Our large copepod index for prediction of age-3 pollock recruitment exemplifies the importance  
649 of the conditions during the first year at sea to growth and survival of pollock in the eastern  
650 Bering Sea (Sigler et al., 2016). An understanding of the mechanisms driving the survival of fish  
651 during this critical stage is the basis for our predictions. In this case, pollock overwinter survival  
652 appears to be at least partially tied to the availability of lipid-rich zooplankton prey during the  
653 first summer at sea, which in turn are enhanced by the presence of sea ice during the prior  
654 winter/spring. Sea ice provides an early food source for these zooplankton in the form of ice  
655 algae or ice-associated phytoplankton (Baier and Napp, 2003; Wang et al., 2015). Additionally,  
656 the lower water temperature in high ice years reduces metabolic requirements for these

657 zooplankton, so they require less food to survive (Coyle and Gibson, 2017). These mechanistic  
658 processes might be useful for management of other eastern Bering Sea fisheries besides pollock  
659 (e.g., juvenile Pacific cod (*Gadus macrocephalus*) had lower growth potential, calculated from  
660 prey energy density and temperature, in a warm year compared to a cold year, Hurst et al., 2018),  
661 or may be applicable to other subarctic or arctic ecosystems with large variations in seasonal sea  
662 ice. The relationships we have developed between large copepods, environmental variables and  
663 age-3 pollock indices could be incorporated into ecosystem models to predict abundance and  
664 production of higher trophic level organisms as climate change progresses and baselines shift.  
665 Finally, the copepod and cold pool indices could also be incorporated as an index of cohort  
666 strength in the pollock stock assessment model, and its importance relative to other drivers of  
667 pollock demographics could be assessed using a growing toolbox of stock assessment  
668 techniques.

669

#### 670 Acknowledgements

671 We thank the staff and crew of the fisheries oceanography research vessels: *Oscar Dyson*, *Sea*  
672 *Storm*, *Northwest Explorer*, *Epic Explorer* and *Bristol Explorer* for assistance with zooplankton  
673 collection over many years. We are grateful for the assistance in field sampling, data processing  
674 and analysis from NOAA scientific staff and volunteers. Numerous scientists from outside  
675 NOAA (e.g., TINRO, UAF, DFO) also assisted with this large data collection effort. We thank  
676 K. Coyle and his lab for assistance and guidance on BASIS zooplankton sampling and analysis,  
677 as well as the Polish Plankton Sorting and Identification Center for their contributions for the  
678 more recent (2012-2016) zooplankton data. Funds were provided by the North Pacific Research  
679 Board and NOAA/NMFS/Alaska Fisheries Science Center. We deeply appreciate reviews by J.T.

680 Thorson and G.L. Hunt on earlier drafts of this manuscript and reviews from three anonymous  
681 reviewers.



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852

853



854 Table headings

855 Table 1. Pearson product moment correlation coefficient ( $r$ ) for pairs of variables: copepod taxa  
856 groups: *Calanus* (C), *M. pacifica* + *Neocalanus* (MN), and C+MN from the sample-based (sb)  
857 and VAST model-based estimates; and environmental variables: sea surface temperature (SST),  
858 bottom temperature (BT), ice cover index (ICI), cold pool index (CPI) for 2002–2015 (excluding  
859 2013). Bold indicates significant at  $p < 0.05$ .

860

861 Table 2. Taxa counted and identified for large copepod indices. Net mesh size is shown for stage  
862 and survey periods 2003–2011 and 2012–2016.

863

864 Table 3. Least squares linear regressions for estimates of the natural log of age-3 pollock  
865 abundance /spawning stock biomass ( $\ln(\text{AGE3}/\text{SSB})$ ) as a function of SSB, sample-based (sb)  
866 and VAST modeled copepod abundance indices (C+MN, C and MN), and the cold pool index  
867 (CPI). The regression equation is:  $\ln(\text{Age3}/\text{SSB}) = \alpha - \beta_1 * \text{SSB} + \beta_2 * \text{copepod index}$ , where  $\alpha$  is  
868 the intercept, and  $\beta_1$  and  $\beta_2$  are coefficients of the SSB and copepod index, respectively. To  
869 more easily compare regression coefficients, the data were normalized (subtracted the mean and  
870 divided by the standard deviation of the time series), so the intercept went to zero. Statistics  
871 include  $t$ -values,  $R^2$ , corrected Akaike Information Criteria ( $AIC_c$ ), and  $p$ -values.

872

873 Table 4. Stepwise backwards multiple linear regressions relating estimates of age-3 pollock  
874 abundance (AGE3) and the natural log of age-3 pollock abundance /spawning stock biomass  
875 ( $\ln(\text{AGE3}/\text{SSB})$ ) to copepod indices (C+MN, C, and MN) and the cold pool index (CPI) from the  
876 pollock age-0 year. SSB was also included in regressions for estimates of  $\ln(\text{AGE3}/\text{SSB})$ .

877 Statistics include  $R^2$ ,  $AIC_c$ , and  $p$ -values for each variable (in order) as backward elimination  
878 progressed. Bold indicates the best fit model.

879

880 Figure captions

881 Figure 1. Study area in the southeastern Bering Sea. Shelf areas are designated as Inner (< 50 m),  
882 Middle (50–100 m) and Outer (100–200 m). Black dots show “knots” used in the VAST model  
883 for estimates of copepod abundance. The light grey shaded region is the NOAA AFSC  
884 groundfish bottom trawl survey area. The rectangle is the area used in the ice cover index.

885

886 Figure 2. Time series (2002–2016) of summer SST (°C) and summer cold pool areal coverage  
887 (km<sup>2</sup>, divided by 10,000) in the southeastern Bering Sea in warm (red bars) and cold (blue bar)  
888 years.

889

890 Figure 3. Time series of mean abundances (number m<sup>-2</sup>) with standard errors for the sample-  
891 based (grey line) and VAST model-based (black line) estimates of large copepods, *Calanus* spp.  
892 (C), *M. pacifica* + *Neocalanus* spp. (MN), and combined estimates of C and MN (C+MN) during  
893 the late summer in the southeastern Bering Sea, 2002-2016 (excluding 2013). Warm and cold  
894 years designated as in Fig. 2.

895

896 Figure 4. Plots of standardized a) sample-based and b) VAST model-based estimates of  
897 densities,  $\ln([\text{number km}^{-2}] + 1)$  of *Calanus* spp. (C) copepods in the southeastern Bering Sea,  
898 2002–2016 (excluding 2013). Black dots indicate BASIS station locations.

899

900

901 Figure 5. Plots of standardized a) sample-based and b) VAST model-based estimates of  
902 densities,  $\ln([\text{number km}^{-2}] + 1)$  of *M. pacifica* + *Neocalanus* spp. (MN) copepods in the  
903 southeastern Bering Sea, 2002–2016 (excluding 2013). Black dots indicate BASIS station  
904 locations.

905

906 Figure 6. Linear least squares regressions relating age-3 pollock abundance to sample-based and  
907 VAST model-based estimates of large copepod (C+MN, C, MN) mean abundance in the  
908 southeastern Bering Sea, 2002–2015 (excluding 2013).

909

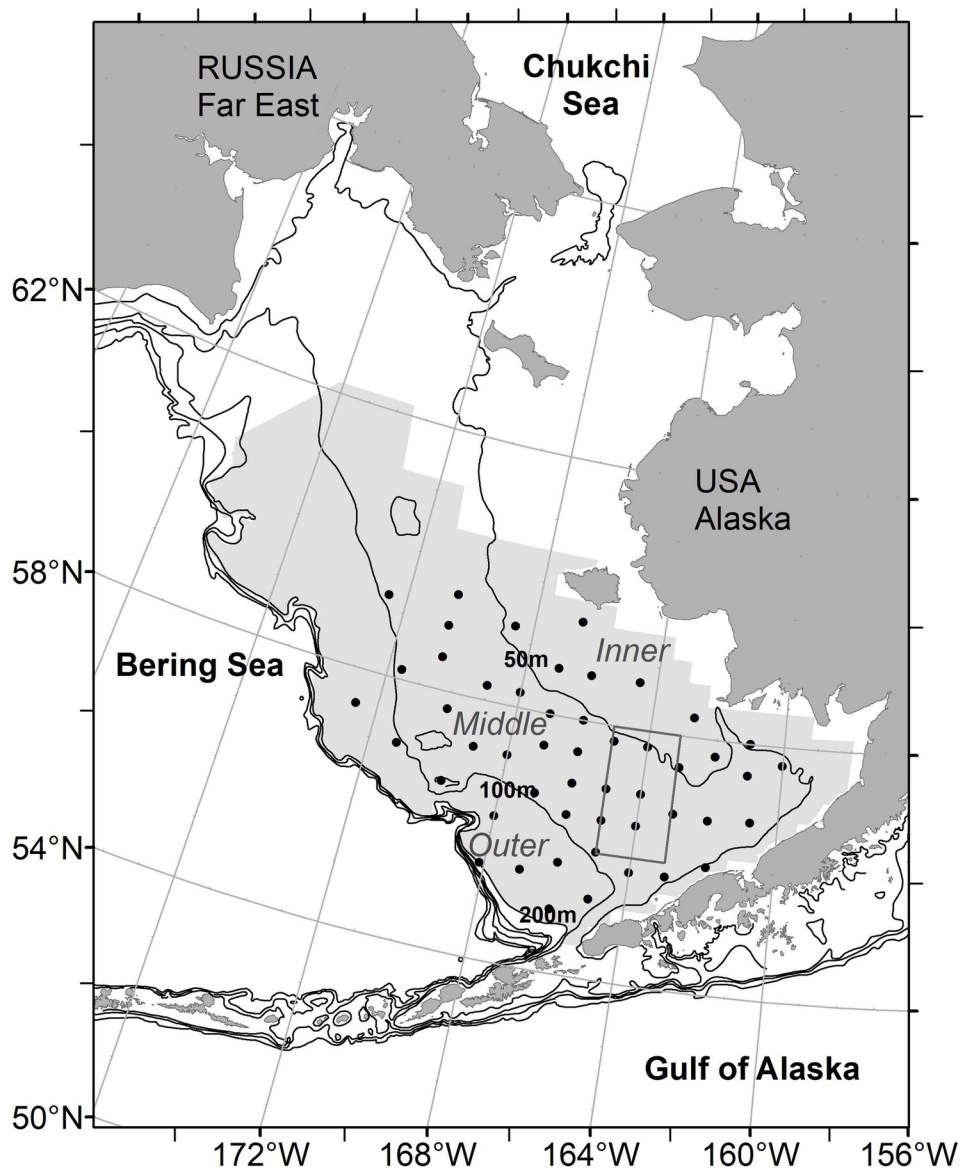
910 Figure 7. Fitted means and standard errors (red) of the age-3 pollock abundance estimated from  
911 the linear regression model using VAST model-based estimates of large copepods (C+MN)  
912 compared to pollock stock assessment estimates (black) from Ianelli et al. (2018). The predicted  
913 estimate of age-3 pollock abundance for the 2016 year class (number recruited into fishery as  
914 age-3's in 2019) is shown in blue. Pollock abundance could not be estimated from the VAST  
915 model for 2013, since copepod data were not collected that year.

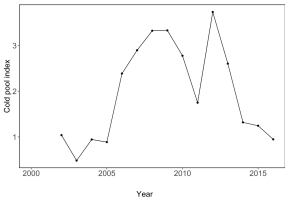
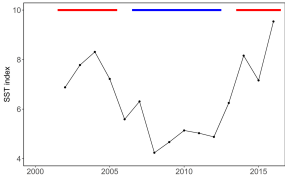
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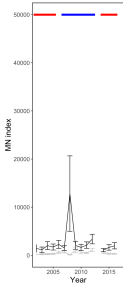
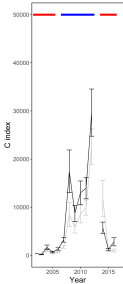
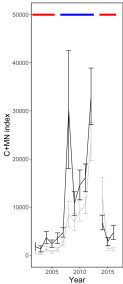
917 Figure 8. Linear regression relating age-3 pollock abundance to the cold pool index ( $\text{km}^2$ ,  
918 divided by 10,000) in the southeastern Bering Sea, 2002–2015 (excluding 2013).

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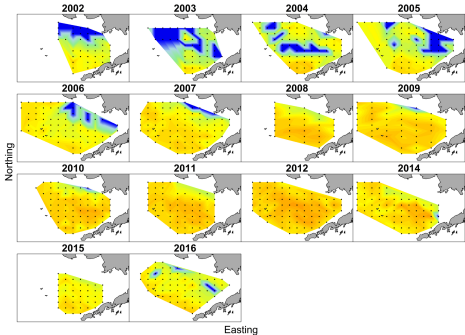
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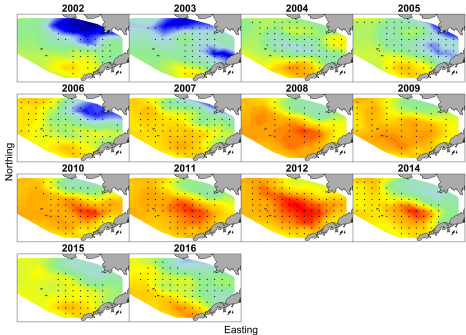


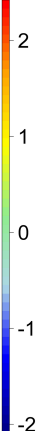




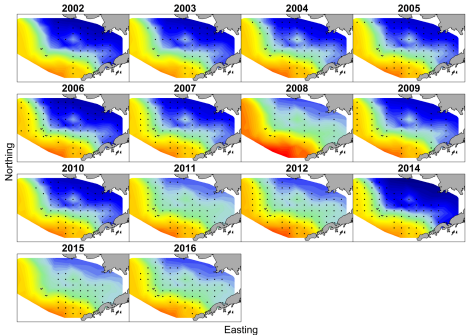






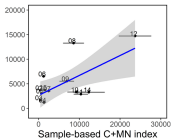




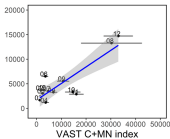


Age-3 pollock abundance (millions of fish)

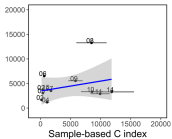
$R^2=0.43$ ,  $P=0.014$



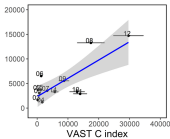
$R^2=0.74$ ,  $P=0.0002$



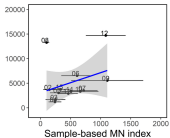
$R^2=0.44$ ,  $P=0.014$



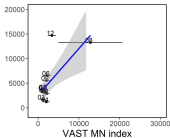
$R^2=0.62$ ,  $P=0.001$



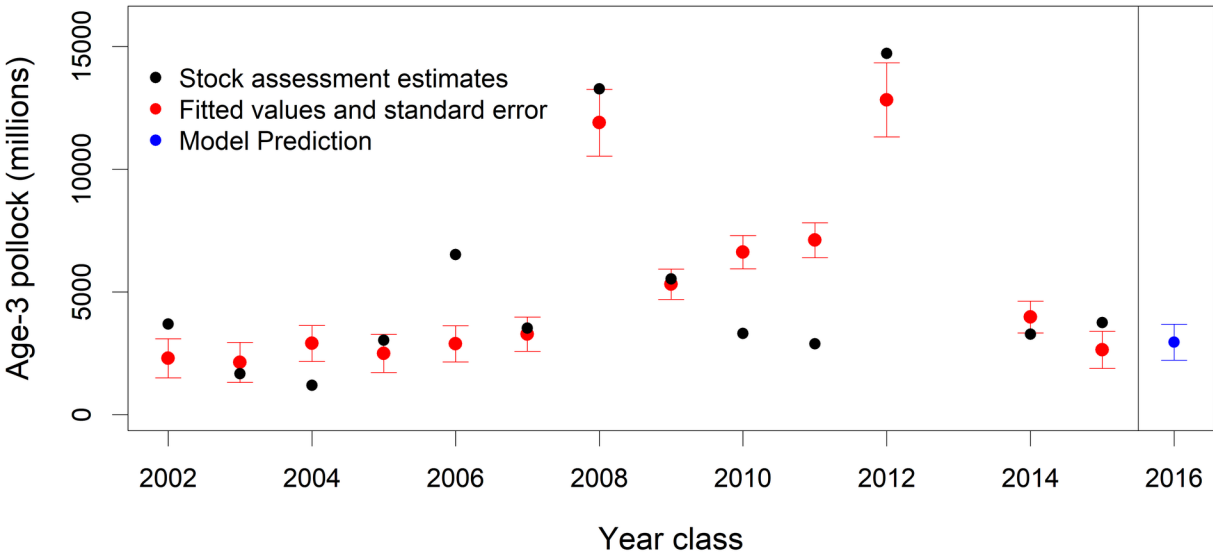
$R^2=0.10$ ,  $P=0.29$



$R^2=0.49$ ,  $P=0.007$

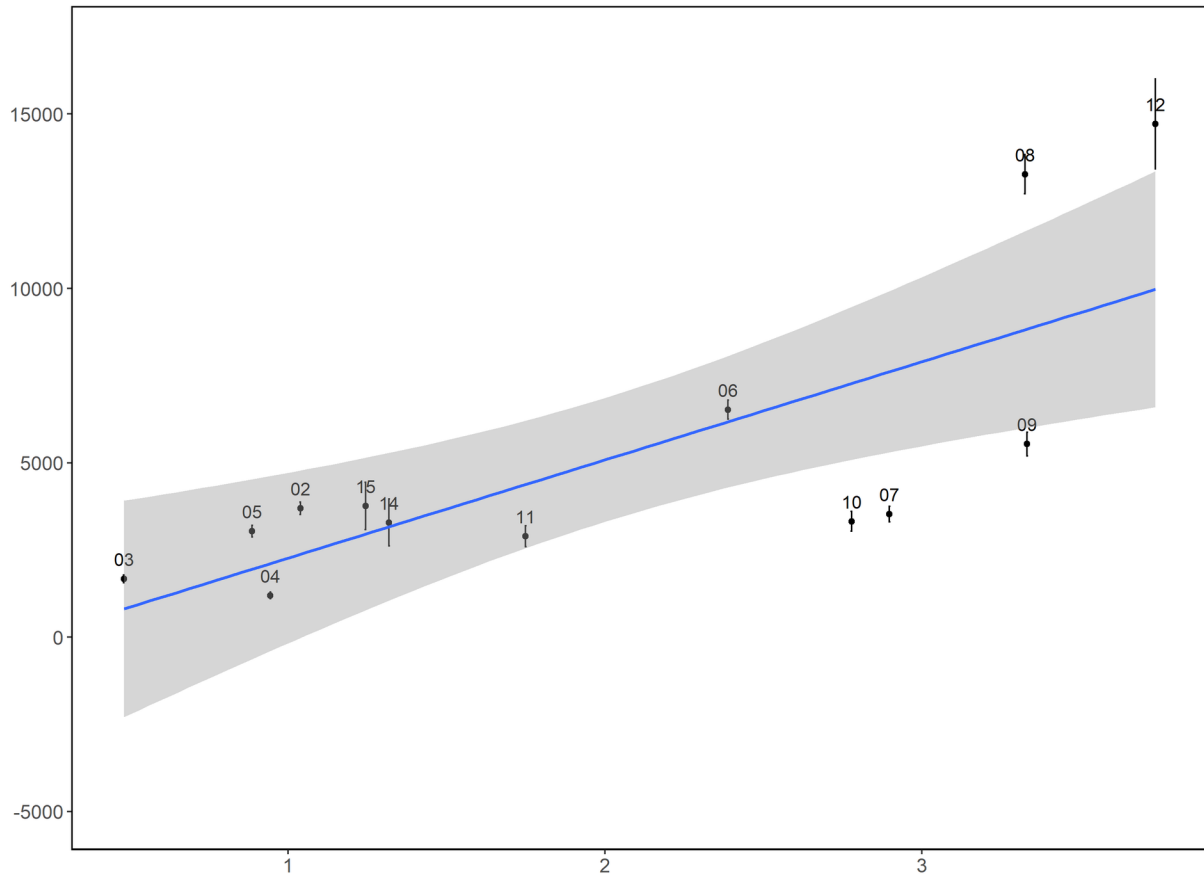


Zooplankton indices



$R^2=0.56$   $P=0.003$

Age-3 pollock abundance (millions of fish)



Cold Pool Index

|          | CMN          | C            | MN           | CMN          | C            | MN    | SST          | BT           | ICI         | CPI |
|----------|--------------|--------------|--------------|--------------|--------------|-------|--------------|--------------|-------------|-----|
|          | sb           | sb           | sb           | VAST         | VAST         | VAST  |              |              |             |     |
| CMN-sb   | 1            |              |              |              |              |       |              |              |             |     |
| C-sb     | <b>1.00</b>  | 1            |              |              |              |       |              |              |             |     |
| MN-sb    | <b>0.57</b>  | 0.54         | 1            |              |              |       |              |              |             |     |
| CMN-VAST | <b>0.83</b>  | <b>0.84</b>  | 0.33         | 1            |              |       |              |              |             |     |
| C-VAST   | <b>0.92</b>  | <b>0.92</b>  | 0.49         | <b>0.97</b>  | 1            |       |              |              |             |     |
| MN-VAST  | 0.22         | 0.24         | -0.26        | <b>0.68</b>  | 0.46         | 1     |              |              |             |     |
| SST      | -0.48        | -0.47        | -0.47        | <b>-0.74</b> | <b>-0.70</b> | -0.53 | 1            |              |             |     |
| BT       | <b>-0.59</b> | <b>-0.57</b> | <b>-0.66</b> | <b>-0.74</b> | <b>-0.74</b> | -0.46 | <b>0.89</b>  | 1            |             |     |
| ICI      | <b>0.69</b>  | <b>0.67</b>  | <b>0.63</b>  | <b>0.83</b>  | <b>0.84</b>  | 0.44  | <b>-0.88</b> | <b>-0.93</b> | 1           |     |
| CPI      | <b>0.61</b>  | <b>0.59</b>  | <b>0.69</b>  | <b>0.75</b>  | <b>0.74</b>  | 0.46  | <b>-0.85</b> | <b>-0.99</b> | <b>0.92</b> | 1   |



| Taxa                                | Stage      | Mesh size ( $\mu\text{m}$ ) |           |
|-------------------------------------|------------|-----------------------------|-----------|
|                                     |            | 2002-2011                   | 2012-2016 |
| <i>Calanus marshallae/glacialis</i> | CIII-adult | 505                         | 153       |
| <i>Metridia pacifica</i>            | CIV-adult  | 505                         | 153       |
| <i>Neocalanus cristatus</i>         | CIV-adult  | 505                         | 505       |
| <i>Neocalanus cristatus</i>         | CIII       | 505                         | 153       |
| <i>Neocalanus flemingeri</i>        | CIII-adult | 505                         | 153       |
| <i>Neocalanus plumchrus</i>         | CIII-adult | 505                         | 153       |
| <i>Neocalanus</i> spp.              | CIII-adult | 505                         | NA        |

| Coeff.    | Estimate | Std. Error | t-value | $R^2$ | $AIC_c$ | p-value |
|-----------|----------|------------|---------|-------|---------|---------|
| SSB       | -0.75    | 0.20       | -3.81   | 0.57  | 33.58   | 0.003   |
| SSB       | -0.64    | 0.19       | -3.37   | 0.68  | 34.08   | 0.003   |
| C+MN_sb   | 0.35     | 0.19       | 1.85    |       |         |         |
| SSB       | -0.64    | 0.19       | -3.41   | 0.68  | 34.03   | 0.003   |
| C_sb      | 0.35     | 0.19       | 1.87    |       |         |         |
| SSB       | -0.70    | 0.23       | -3.08   | 0.59  | 37.43   | 0.012   |
| MN_sb     | 0.14     | 0.23       | 0.62    |       |         |         |
| SSB       | -0.47    | 0.19       | -2.47   | 0.75  | 30.92   | 0.001   |
| C+MN_VAST | 0.51     | 0.19       | 2.67    |       |         |         |
| SSB       | -0.54    | 0.19       | -2.80   | 0.71  | 32.68   | 0.002   |
| C_VAST    | 0.43     | 0.19       | 2.23    |       |         |         |
| SSB       | -0.57    | 0.21       | -2.77   | 0.67  | 34.56   | 0.004   |
| MN_VAST   | 0.37     | 0.21       | 1.71    |       |         |         |
| SSB       | -0.10    | 0.27       | -0.38   | 0.77  | 29.72   | 0.001   |
| CPI       | 0.79     | 0.27       | 2.96    |       |         |         |

|                     | AGE3           |             |               |                     | ln(AGE3/SSB)   |             |              |                     |
|---------------------|----------------|-------------|---------------|---------------------|----------------|-------------|--------------|---------------------|
|                     | Variables      | $R^2$       | $AIC_C$       | $p$ -value          | Variables      | $R^2$       | $AIC_C$      | $p$ -value          |
| <b>Sample-based</b> |                |             |               |                     |                |             |              |                     |
| C+MN                | C+MN, CPI      |             |               | 0.216, 0.049        | C+MN, CPI, SSB |             |              | 0.710, 0.83, 0.640  |
|                     | <b>CPI</b>     | <b>0.56</b> | <b>250.75</b> | <b>0.003</b>        | <b>CPI</b>     | <b>0.77</b> | <b>22.07</b> | <b>0</b>            |
| C                   | C, CPI         |             |               | 0.189, 0.045        | C, CPI, SSB    |             |              | 0.663, 0.082, 0.623 |
|                     | <b>CPI</b>     | <b>0.56</b> | <b>250.75</b> | <b>0.003</b>        | <b>CPI</b>     | <b>0.77</b> | <b>22.07</b> | <b>0</b>            |
| MN                  | MN, CPI        |             |               | 0.185, 0.004        | MN, CPI, SSB   |             |              | 0.143, 0.007, 0.874 |
|                     | <b>CPI</b>     | <b>0.56</b> | <b>250.75</b> | <b>0.003</b>        | <b>CPI</b>     | <b>0.77</b> | <b>19.40</b> | <b>0</b>            |
| <b>VAST model</b>   |                |             |               |                     |                |             |              |                     |
| C+MN                | C+MN, CPI      |             |               | 0.014, 0.336        | C+MN, CPI, SSB |             |              | 0.237, 0.139, 0.568 |
|                     | <b>C+MN</b>    | <b>0.74</b> | <b>243.82</b> | <b>0</b>            | <b>CPI</b>     | <b>0.77</b> | <b>22.07</b> | <b>0</b>            |
| C                   | C, CPI         |             |               | 0.076, 0.203        | C, CPI, SSB    |             |              | 0.543, 0.128, 0.596 |
|                     | <b>C</b>       | <b>0.62</b> | <b>248.66</b> | <b>0.001</b>        | <b>CPI</b>     | <b>0.77</b> | <b>22.07</b> | <b>0</b>            |
| MN                  | MN, CPI        |             |               | 0.037, 0.017        | MN, CPI, SSB   |             |              | 0.059, 0.009, 0.882 |
|                     | <b>MN, CPI</b> | <b>0.72</b> | <b>249.14</b> | <b>0.037, 0.017</b> | <b>MN, CPI</b> | <b>0.85</b> | <b>20.83</b> | <b>0.043, 0.000</b> |