

1 **Oceanographic characteristics associated with autumn movements of bowhead whales in**  
2 **the Chukchi Sea**

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22 **ABSTRACT**

23 Each fall, bowhead whales in the Bering-Chukchi-Beaufort (BCB) population migrate westward  
24 from summering grounds in the Beaufort Sea through the Chukchi Sea to the northern coast of  
25 Chukotka, Russia. Routes whales use when crossing the Chukchi Sea vary by year; in some  
26 years, whales migrate directly to the northern coast of Chukotka while in other years, whales  
27 may pause migration and linger, presumably to feed, in the central Chukchi Sea. To investigate  
28 how whale movements may be related to oceanographic variables we examined bowhead whale  
29 habitat selection within the Chukchi Sea in autumn (September–November) at two spatial scales.  
30 First, at the landscape scale (i.e. the Chukchi Sea), we compare oceanographic variables (e.g.  
31 temperature, salinity, and current velocity) at locations within used and randomly available  
32 tracks (i.e. paths of travel) to determine how oceanographic features are associated with where  
33 whales cross the Chukchi Sea in autumn. Second, at a local scale, we examine how directed  
34 travel or lingering within a whale’s track is associated with oceanographic variables (e.g.  
35 temperature, salinity, and current velocity). Whale location data for 24 bowhead whales were  
36 paired with oceanographic data from a pan-arctic coupled ice-ocean model for 2006–2009. At  
37 the landscape scale, we found that whales generally followed water of Pacific origin  
38 characterized by temperatures  $< 0\text{ }^{\circ}\text{C}$  and salinities between 31.5–34.25. Bowhead whales  
39 avoided Alaskan Coastal Water and Siberian Shelf Water, the latter of which defines the western  
40 limit of their range, likely due to lower intrinsic densities of zooplankton prey. At the local  
41 scale, within their tracks, whales were more likely to interrupt directed movements and linger in  
42 areas characterized by stronger gradients in bottom salinity.

43 *Key words:* *Balaena mysticetus*, Chukchi Sea, Alaskan Coastal Current, Bering Shelf Water,  
44 Anadyr Water, resource selection, correlated random walk, behavioral state-space model

## 45 1. Introduction

46

47 Bowhead whales (*Balaena mysticetus*) of the Bering-Chukchi-Beaufort (BCB) population  
48 typically winter in the Bering Sea over the continental shelf, north of the southern boundary of  
49 sea ice (Moore and Reeves, 1993; Citta et al., 2012). In April, most bowhead whales migrate  
50 northward into the Chukchi Sea, follow the Alaskan coast past Point Barrow, and then proceed  
51 eastward to summering grounds in the Canadian Beaufort Sea (Moore and Reeves, 1993).

52 Between August and October, whales in the Canadian Beaufort Sea begin to migrate westward,  
53 following the Alaskan coast back to Point Barrow. From Point Barrow, whales cross the  
54 Chukchi Sea to the Chukotka coast and slowly proceed southward as winter approaches (Fig. 1).

55 In the Chukchi Sea, ice typically begins to form in November, and by the end of December most  
56 bowhead whales have returned to the Bering Sea (Quakenbush et al., 2010; Quakenbush et al.,  
57 2012; Citta et al., 2012). This is the migratory pattern followed by most BCB bowhead whales  
58 (~17,000; Givens et al., 2013); a small number of whales (~500; Melnikov and Zeh, 2007) are  
59 known to migrate from the Bering Sea to the Chukchi Sea in spring and then spend the entire  
60 summer in the Chukchi Sea (Melnikov and Zeh, 2007; Citta et al., 2012) before returning to the  
61 Bering Sea in winter.

62 Bowhead whales feed by filtering zooplankton through their baleen; the BCB population  
63 primarily consumes small crustaceans, especially calanoid copepods (mostly *Calanus*  
64 *hyperboreus* and *C. glacialis*), euphausiids (mostly *Thysanoessa raschii*), and, to a lesser extent,  
65 gammarid (order Gammaridea) and hyperid (order Hyperiidea) amphipods, and mysids (Lowry  
66 et al. 2004). Research has shown that bowhead whales target dense aggregations of zooplankton  
67 (Moore et al., 1995; Laidre et al., 2007) which energetic models suggest they need to meet their

68 caloric requirements (see review in Lowry, 1993). As such, oceanographic features that may  
69 aggregate prey, such as fronts or stratified layers, are sometimes targeted by feeding whales (e.g.,  
70 Moore et al., 1995; Ainley et al., 2007; Davies et al., 2014; Citta et al., 2015; see review in Bost  
71 et al., 2009).

72         Here, we focus on the movements of bowhead whales as they cross the Chukchi Sea in  
73 autumn (September–November). Within the Chukchi Sea, there are two areas where  
74 zooplankton aggregate, both of which are also bowhead whale aggregation areas. First,  
75 zooplankton concentrate along a salinity front (i.e. gradient) formed between the relatively fresh  
76 water in the Siberian Coastal Current (SCC) and saltier Bering Sea/Anadyr Water (BSAW; Fig.  
77 1) along the northern coast of Chukotka, Russia. Moore et al. (1995) observed bowhead whales  
78 feeding on aggregations of *T. raschii* along this salinity front. Weingartner et al. (1999) showed  
79 that downwelling-favorable winds from the northwest promote the maintenance of this front.  
80 Second, at the boundary between the Chukchi and Beaufort seas, zooplankton are known  
81 concentrate at Point Barrow (Ashjian et al., 2010; Okkonen et al., 2011), where they are  
82 upwelled onto the shelf northeast of the point during east or southeast winds. When east winds  
83 weaken or when winds are from the south or southwest, a strong front forms between Barrow  
84 Canyon and the shelf, promoting the retention and aggregation of zooplankton on the shelf  
85 (Ashjian et al., 2010; Okkonen, 2011). Ashjian et al. (2010) found that bowhead whales were  
86 more likely to aggregate at Point Barrow and in larger groups, when zooplankton were  
87 aggregated there.

88         However, the movements and feeding behavior of bowhead whales between Point  
89 Barrow and the Russian coast (i.e. in the central and northern Chukchi Sea) are relatively  
90 unstudied. Satellite telemetry show great variation in the routes bowhead whales choose during

91 the fall migration; some whales migrate directly across the northern Chukchi Sea, some linger in  
92 the central Chukchi Sea, and others migrate south along the Alaskan coast (Quakenbush et al.,  
93 2010, 2012; Fig. 2). Currents in the Chukchi Sea are complex (Fig. 1); zooplankton move  
94 northwards with BSAW (e.g. Berline et al., 2008; Eisner et al., 2013), flows encountering Herald  
95 and Hannah shoals (Fig. 1) may create local eddies or stratified layers that aggregate  
96 zooplankton, and copepods are known to be upwelled and advected onto the Chukchi Shelf from  
97 deeper waters in the Arctic Basin (Ashjian et al., 2002). As such, the central and northern  
98 Chukchi Sea may provide feeding opportunities for whales as they migrate from Point Barrow to  
99 the Chukotka coast.

100 In this manuscript we examine bowhead whale habitat selection within the Chukchi Sea  
101 in autumn (September–November) at two spatial scales. First, at what we call the landscape  
102 scale (i.e. within the Chukchi Sea), we compare oceanographic variables (e.g. temperature,  
103 salinity, and current velocity) at locations within travel paths used by bowhead whales with what  
104 is randomly available to whales within Chukchi Sea during the autumn migration. The goal of  
105 this analysis is to determine what, if any, oceanographic features are associated with where  
106 whales choose to cross the Chukchi Sea in autumn. Second, at what we call the local scale, we  
107 compare, within an individual whale track, oceanographic features where the whales travel with  
108 those where the whales linger. The goal of this second analysis is to determine what  
109 oceanographic features are associated with whales pausing migratory movements, presumably to  
110 feed. Because there are no oceanographic data directly coincident with the tagged bowhead  
111 whale locations, the oceanographic data for both analyses come from a pan-arctic coupled ice-  
112 ocean model (RASM; Maslowski et al., 2012).

113           The movements of bowhead whales in the Chukchi Sea are of particular interest in the  
114 autumn. This is when sea-ice extent is at a minimum and when most industrial activities, such as  
115 shipping and petroleum exploration and development, typically occur. Two arctic shipping  
116 routes pass through the Chukchi Sea: The Great Northern Route to Asia follows the Chukotka  
117 Coast, and the route through the Canadian Archipelago (i.e. the Northwest Passage) follows the  
118 Alaskan coast. Oil and gas lease areas exist in both the U.S. and Russian waters within the  
119 Chukchi Sea (Fig. 2), although there are currently no plans to proceed with drilling.

120

## 121 **2. Methods**

122

### 123 *2.1. Tagging*

124

125           Tagging methods are the same as used in Quakenbush et al. (2010, 2012) and Citta et al.  
126 (2012, 2015). Satellite-linked transmitters were attached to bowhead whales using the system  
127 developed by the Greenland Institute of Natural Resources (Heide-Jørgensen et al., 2001, 2003).  
128 Location data were collected via the Advanced Research and Global Observation Satellite  
129 (ARGOS) data collection and location system (Fancy et al. 1988, Rodgers 2001). We deployed  
130 SPOT, SPLASH, and Mk10 tags, manufactured by Wildlife Computers (Redmond, Washington)  
131 and a CTD (i.e. Conductivity-Temperature-Depth) tag, manufactured by the Sea Mammal  
132 Research Unit (St. Andrews, Scotland). Tags were attached to whales by subsistence whalers  
133 using a 2-m or 4-m long fiberglass or wooden pole as a jab-stick (Heide-Jørgensen et al., 2003).  
134 The pole system included a tip designed to collect a skin sample (biopsy) during tag deployment,  
135 which was later used to determine the sex of whales by amplification of either zinc finger (ZFX

136 and ZFY) genes (Morin et al., 2005) or USP9X and USP9Y genes (Bickham et al., 2011), both  
137 of which are sex determining regions within bowhead whale DNA. Whale length was estimated  
138 visually by subsistence whalers at the time of tagging. Calves less than 1 year of age and cows  
139 with calves were avoided, as stipulated by research permits.

140

## 141 *2.2 Bowhead whale location processing*

142

143 We fit a two-state switching correlated random walk (CRW) model, as described in  
144 Jonsen et al. (2005) and Breed et al. (2009), to bowhead whale location data. Although the CRW  
145 model is complex, the results are relatively easy to understand. We used the model to  
146 statistically estimate whale locations at 6-hr intervals based on locations obtained irregularly via  
147 the Argos satellite network. Unprocessed locations typically have an error ranging from a few  
148 hundred meters to many kilometers. The CRW model allows us to statistically estimate the  
149 location of a whale, providing a better estimate of the whale's true location, and will also classify  
150 each location as being associated with directed movement or lingering behaviors. Embedded  
151 within the model are two sets of movement parameters, one associated with directed movements  
152 and one associated with lingering behavior, and a parameter that allows us to classify the  
153 behavior associated with each estimated location. In practice, the model works well with track  
154 data for bowhead whales because they generally exhibit two distinct modes of travel, one in  
155 which whales move in a relatively direct fashion to a specific area and another in which they  
156 'zig-zag' (i.e. linger) for multiple days or even months. Location estimates from the CRW  
157 model were used for all subsequent analyses.

158           The CRW model will predict the true location of an animal in intervals for which there  
159 are no satellite location data. Although these predictions are usually reasonable if the gap in data  
160 collection is not too long, we only used estimated locations and their behavioral state from  
161 intervals in which satellite data were collected. If no data were collected within a 6-hr interval,  
162 the estimated location and behavioral state were not used for analysis. Prior to fitting CRW  
163 models, we removed extreme outliers that were > 300 km from where whales could be located,  
164 as these lie outside the location error distributions that are typically used with state-space  
165 modeling. After fitting the CRW model, we removed estimated locations that fell on land.  
166 More details on how the model was parameterized and fit to the bowhead whale data are  
167 provided in the Supplementary Material.

168

### 169 *2.3 Oceanographic model*

170

171           We used the same oceanographic model as was used in Citta et al. (2015). However,  
172 instead of summarizing model output over seasonal periods in areas of concentrated whale use,  
173 we link daily model output with whale locations and movement behavior. The model is a subset  
174 of the Regional Arctic System Model (RASM; Maslowski et al., 2012), which in full  
175 configuration includes the Los Alamos Sea Ice Model (CICE) and Parallel Ocean Program  
176 (POP), Weather Research and Forecasting Model (WRF) and Variable Infiltration Capacity  
177 (VIC) land hydrology model coupled using the Community Earth System Model (CESM) flux  
178 coupler (CPL7). Here we replaced the atmospheric and land models with prescribed realistic  
179 atmospheric reanalyzed data from the Common Ocean Reference Experiment version 2  
180 (CORE2) 1948–2009 reanalysis. The model is configured on a rotated spherical 1/12-degree and



181 45-level grid, with eight levels in the upper 50 m. The domain covers the entire Northern  
182 Hemisphere marine cryosphere and extends southward to  $\sim 30^\circ\text{N}$  latitude in the North Pacific and  
183  $\sim 40\text{--}45^\circ\text{N}$  latitude in the North Atlantic. The high spatial resolution and the large domain allow  
184 simulation of most of the important processes in the Arctic Ocean, including those over the  
185 shelves and in the upper ocean of the deep basin, and allows for realistic exchanges between the  
186 Arctic and the lower latitude oceans. Model output was available for four years (2006–2009) of  
187 the seven-year study period (2006–2012).

188

#### 189 *2.4 Habitat variables*

190

191 We chose seven oceanographic variables: (1) bottom salinity, (2) bottom temperature  
192 ( $^\circ\text{C}$ ), the gradients of (3) bottom salinity (psu/km), (4) temperature ( $^\circ\text{C}/\text{km}$ ), and (5) velocity  
193 (cm/s/km) within 20 km, and squared terms for (6) bottom salinity and (7) bottom temperature to  
194 allow for more flexible model fitting. We focused on bottom values because dive histograms  
195 indicated that bowhead whales generally dove to or near the seafloor in the Chukchi Sea and  
196 most dive profiles were “square shaped” indicating extended time near the bottom. In areas  
197 deeper than 200 m, we used oceanographic values at 200 m. To identify whale locations  
198 associated with frontal features, we calculated the gradients in salinity, temperature, and current  
199 velocity across three grid points in the x and y dimensions and used the maximum gradient  
200 within 20 km ( $\sim 3$  grid cells) of a whale location as the gradient associated with that location.

201 Both the Chukotka coast and Wrangel Island have prominent nearshore salinity gradients  
202 (fronts). We know little about the front surrounding Wrangel Island; however, Moore et al.  
203 (1995) observed whales feeding on aggregations of euphausiids in saline waters ( $\sim 32$  psu) on the

204 seaward side of the front between the Siberian Coastal Current and BSAW near Vankarem on  
205 the Chukotka coast (Fig. 2). Given the observations of Moore et al. (1995) and that fresher water  
206 along the Russian coast originates from river systems, we do not expect zooplankton prey or  
207 whales to be preferentially found on the fresh side of this front. Because the ocean model grid  
208 spacing (~9.3 km) is of the same order as the internal Rossby radius of deformation in the Arctic  
209 (Nurser and Bacon, 2014), fronts and boundary currents, such as those in the Russian coastal  
210 areas, exhibit greater widths in the model domain than in actuality. A consequence of this is that  
211 modeled temperatures, salinities, and velocities occurring near coastal fronts will tend to differ  
212 from co-located measured values more so than at locations far from coastal areas. To account  
213 for these greater differences, we treated whale movements occurring within 75 km of the coasts  
214 of Chukotka and Wrangel Island separately from whale movements occurring in the central  
215 Chukchi. Within this 75-km-wide buffer, we examined whale movements only as functions of  
216 temperature, salinity, and velocity gradients and not as functions of temperature, salinity, or  
217 velocity directly. All variables were standardized prior to model fitting; to standardize, we  
218 subtracted the mean value of the covariate and then divided by the standard deviation.

219

## 220 *2.5 Landscape scale habitat selection*

221

222 To examine what oceanographic variables are associated with where bowhead whales  
223 choose to cross the Chukchi Sea, we compared locations along the actual whale track (i.e. used  
224 locations) with a set of locations taken from simulated tracks (i.e. available locations). Such “use  
225 vs. availability” designs are commonly used in biology to assess the relationship between  
226 animals and their environment; specifically, we want to compare what an animal used, in this

227 case where a bowhead whale traveled, with what an animal could have used (e.g. Manley et al  
228 2002; McDonald, 2013). Simulated tracks were constrained to occur within a bounding box  
229 defined by the September–November distribution of tagged bowhead whales. For each bowhead  
230 whale track, we simulated tracks that started at the same location as the real whale. To preserve  
231 a similar pattern of spatial autocorrelation, we kept the same step lengths between locations as  
232 the real whale, but allowed a random component to enter the turn angle. We examined a variety  
233 of methods for allowing randomness to affect turn angles. Most real whales started near Point  
234 Barrow, traveled to the Chukotka coast, and then headed southeast to the Bering Sea. This  
235 created a complex distribution of turn angles that was difficult to reproduce without having the  
236 simulated track look either too much or too little like the real track. We settled on scaling  
237 (multiplying) the real whale’s turn angle by a random number drawn from a half normal  
238 distribution with mean equal to  $1/\theta$  and variance  $(\pi-2)/(2*\theta^2)$ . We truncated the distribution at  
239 zero and set  $\theta$  equal to 2, which made drawing a scale parameter of 0 approximately 30% as  
240 likely as drawing a scale parameter of 1. In effect, this simulates a track that has the same step  
241 lengths as the real whale, but is randomly straightened to allow the simulated track to sample  
242 areas not sampled by the real whale. By using a half normal distribution, we ensured that most  
243 turn angles are similar to those used by the real whale. When a simulated track struck land, we  
244 included a random deflection parameter (random normal with  $\mu=0$  radians and  $SD=1$ ) to allow a  
245 whale to randomly choose a direction that did not fall on land (Fig. 4).

246       Using simulated tracks to generate the set of available locations has three advantages.  
247 First, we correctly account for the relationship between distance and time in determining what  
248 locations are truly available to be selected. When whales start near Point Barrow, locations far  
249 away (e.g. Chukotka or Wrangel Island) are effectively not available to the whale for many days.

250 When quantifying selection, locations that are not available should not be included in the  
251 comparison. Simulated tracks started where the actual whale started and had identical step  
252 lengths, explicitly accounting for how availability differs as a function of time and the distance  
253 traveled. Second, we allow the available sample to be sufficiently different than the used  
254 sample. We want to compare oceanographic characteristics where whales are located with what  
255 is available at a large scale, including places that were not selected. Simulated tracks allow the  
256 sampling of resources at a sufficiently large scale. Third, oceanographic variables are correlated  
257 in space and, ideally, our available sample will exhibit similar patterns of autocorrelation.  
258 Because simulated tracks have the same step lengths as real tracks, patterns of spatial  
259 autocorrelation will be similar.

260 As noted above, whale locations and oceanographic characteristics are expected to be  
261 autocorrelated in space. Autocorrelation in the data does not bias the point estimates (i.e. the  
262 regression coefficients) but is expected to negatively bias the variances, which will lead to  
263 confidence limits and  $p$ -values that are too small. To account for autocorrelation in animal  
264 movements we used the tracks, rather than the locations themselves, as the sample units when  
265 estimating population-level means and variances. We simulated 25 random tracks for every real  
266 bowhead whale track. We then paired each track with a random track and used logistic  
267 regression to estimate 25 sets of regression coefficients for each whale. We then used the mean  
268 and standard deviation of the 25 independent fits of the logistic regression coefficients for our  
269 estimates and error terms. The 25 independent logistic regression coefficients were fit using a  
270 hierarchical model, with each whale treated as a random effect, so we could estimate the  
271 population level estimates and error terms. This is a “two-stage” approach (e.g. Fieberg et al.,  
272 2010) and assumes that the mean regression coefficients are normally distributed (an assumption

273 we examined); regression models were fit using R version 3.1 (R Core Team 2014). Prior to  
274 model fitting, all variables were standardized by subtracting the mean from the value and then  
275 dividing by the standard deviation. This method for estimation is essentially a Monte Carlo  
276 approach, therefore we cannot use a likelihood-based method of statistical model selection, such  
277 as AIC. Instead, we used a backward stepwise procedure where we subtracted terms one at a  
278 time and only retained those that were significant at  $P=0.05$ . Because we are using output from  
279 an oceanographic model, we took a highly conservative approach to constructing our statistical  
280 models and only considered additive effects (i.e. no interactions). Because the scale of our  
281 intercept will be influenced by the size of our available dataset, we did not use the intercept when  
282 interpreting our coefficients (see Manley et al., 2002; McDonald, 2013) and scaled the resulting  
283 probabilities between 0 and 1; i.e. we examined relative rather than absolute selection. As noted  
284 above, within the Russian coastal areas, we examined whale activities only in relationship to  
285 gradients of oceanographic variables (i.e. salinity, temperature, and velocity) at whale locations  
286 and not in relationship to the variables themselves.

287         For statistically modelling whale movements in the central Chukchi Sea, our set of used  
288 and available locations was limited to the central Chukchi. For statistically modelling whale  
289 movements in the Russian coastal areas, we limited the used set to those located within the  
290 coastal buffer, but allowed paired available locations to be included if they were located outside  
291 the coastal buffer. Simulated paths (i.e. available locations) often veered outside of the coastal  
292 buffer when real whales (i.e. used locations) remained within the buffer.

293

## 294 *2.6 Local scale habitat selection*

295

296 To assess habitat selection at a local scale, we compared oceanographic conditions  
297 between locations associated with “directed travel” and “lingering” behaviors within the tracks  
298 of bowhead whales. In effect, we are asking what oceanographic features are associated with a  
299 whale stopping to feed along its path of travel. In this analysis, we are only comparing  
300 oceanographic variables along a whale’s track and are not making any comparisons with  
301 randomly available locations. We used a generalized linear mixed model framework to  
302 determine the probability of switching from traveling to lingering as a function of our  
303 oceanographic variables. Locations associated with lingering were coded as ‘1’ and locations  
304 associated with directed travel were coded as ‘0’, allowing us to statistically model whale  
305 movements and oceanographic conditions using a logistic link and a binomial error distribution.  
306 To account for repeated observations, each observation was indexed by time of collection and  
307 then modeled with a spatial power covariance structure (Schabenberger and Pierce, 2001; Littell  
308 et al., 2006; Kaps and Lamberson, 2009). This covariance structure is a generalization of the  
309 more commonly used first-order auto-regressive (i.e. AR(1)) model. The AR(1) model assumes  
310 that all sampling intervals are equally spaced in time. The spatial power model accounts for the  
311 time elapsed between each pair of observations and therefore relaxes the requirement that data be  
312 sampled at equal time intervals. If all time intervals are equal in duration, this model reduces to  
313 the AR(1) model. To account for a limited number of whales, individual whales were specified  
314 as random intercepts. Models were fit using Proc GLIMMIX in SAS/STAT software version 9.3  
315 (SAS Institute Inc., 2011).

316 We examined the same set of covariates when estimating the probability of lingering as  
317 for our resource selection analysis (see above). As with the prior analysis, we used backward  
318 stepwise selection and only retained variables that were significant at  $P=0.05$ . Again, within the

319 Russian coastal areas we only considered the gradients of salinity, temperature, and current  
320 velocity, not their point values.

321

### 322 **3. Results**

323

324 From 2006 to 2010 and in 2012, satellite tags provided enough location data to estimate  
325 locations and behaviors for 39 whales, 1 in 2006, 1 in 2007, 11 in 2008, 11 in 2009, 11 in 2010,  
326 and 4 in 2012 (Table 1). One transmitter, B08-07, provided locations in both 2008 and 2009. Of  
327 the 39 whales, 26 (67%) were tagged in Alaskan waters, mostly near Barrow, and 13 (33%) were  
328 tagged in Canadian waters, mostly near Tuktoyaktuk and Atkinson Point. Sex was determined  
329 for 23 whales; 9 (39%) were female and 14 (61%) were male. Twelve of the 39 whales (31%)  
330 were  $\geq 13$  m and considered mature. No females with dependent calves were tagged.

331 A total of 6,359 locations were estimated by the CRW model, of which 38% (2,461) were  
332 classified as lingering, 39% (2,477) as traveling, and 22% (1,421) as “unknown” (i.e., not  
333 classified as either lingering or traveling); most unknown locations occurred between bouts of  
334 traveling and lingering, and thus represent transitional behavior. Because lingering locations  
335 overlie each other in space, we plotted the kernel density of lingering locations by year (Duong  
336 and Hazelton 2005, Duong 2007). Kernel densities of lingering locations revealed two main  
337 patterns of movement across the Chukchi Sea. Specifically, bowhead whales spent relatively  
338 little time lingering within the central Chukchi Sea in 2008 and 2010 (Fig. 3a and 3c) compared  
339 to 2009 and 2012 (Fig. 3b and 3d). Neither the whale tagged in 2006 nor the one tagged in 2007  
340 lingered in the central Chukchi, before reaching the Russian coast.

341

### 342 3.1 Landscape scale habitat selection

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344 Data from 24 whales were used to examine resource selection during 2006–2009 in the  
345 central Chukchi Sea. An example temperature-salinity map with bowhead whale locations is  
346 presented for one two-week period (16–31 October 2009) in Fig. 5. Temperature-salinity maps  
347 with whale locations for 2008–2009 are presented in the Appendix (see Supplementary  
348 Material).

349 The data supported two different regression models. The first model included  
350 standardized bottom temperature ( $\beta_{logit}=-1.72$ ;  $SE=0.66$ ,  $P=0.02$ ), standardized bottom  
351 temperature squared ( $\beta_{logit}=-1.11$ ;  $SE=0.54$ ,  $P=0.05$ ), and the gradient of bottom velocity  
352 ( $\beta_{logit}=1.64$ ;  $SE=0.43$ ,  $P<0.01$ ). The second model included standardized bottom salinity ( $\beta_{logit}=-$   
353  $0.34$ ;  $SE=0.25$ ,  $P=0.19$ ), standardized bottom salinity squared ( $\beta_{logit}=-0.48$ ;  $SE=0.22$ ,  $P<0.04$ ),  
354 and the gradient of bottom velocity ( $\beta_{logit}=1.30$ ;  $SE=0.50$ ,  $P=0.02$ ). Neither salinity nor  
355 temperature were statistically significant when included in a model together, because these two  
356 variables were largely correlated and indicative of the same water masses (see Discussion). The  
357 intercepts are not presented because we cannot address the true probability of finding a whale  
358 within any habitat type (Manley et al. 1993, McDonald 2013). However, the selection  
359 coefficients indicate preference and can be interpreted on a relative scale. Tagged whales  
360 generally followed bottom water characterized by temperatures less than 0 °C and salinities  
361 31.5–34.25 psu, and were most likely to be found in water -1.2°C and +32.75 psu (Fig. 6).  
362 Although both models indicate that whales prefer to travel in the vicinity of high bottom velocity  
363 gradients, selection was for the highest velocity gradients observed (Fig. 7). The average  
364 velocity gradient at used locations was only 0.7 cm/s/km and only 5% of used locations occurred



365 where the velocity gradient was  $> 2$  cm/s/km. As such, the strong velocity gradients that whales  
366 selected were rarely available.

367 Data from 21 whales were used to examine resource selection during 2006–2009 in the  
368 Russian coastal areas (i.e. the Chukota coast and Wrangel Island). The final regression model  
369 only included the gradient of bottom salinity ( $\beta_{logit}=12.86$ ;  $SE=1.33$ ,  $P<0.001$ ). However, the  
370 distribution of coefficients was not normally distributed and this coefficient is biased high.  
371 Recall that we paired each real whale track with 25 simulated tracks and then used logistic  
372 regression to estimate 25 sets of regression coefficients. We used the mean and standard  
373 deviation of the 25 independent fits of the logistic regression coefficients for our estimates and  
374 error terms. This approach assumes that the mean regression coefficients are normally  
375 distributed and this assumption was severely violated in the Russian coastal area. The  
376 distribution of coefficients for the salinity gradient had a mean of 84.5 and a median of only 5.0  
377 (i.e., the distribution has a long positive tail). This can easily be observed in the distribution of  
378 salinity gradients in the set of used and available locations (Fig. 8). Hence, while the selection  
379 coefficient is biased high, whales are clearly selecting the strong salinity gradient along the  
380 Russian coast.

381

### 382 *3.2 Local scale habitat selection*

383

384 Data from 24 whales were used to model the probability whales lingered in the central  
385 Chukchi during 2006–2009. Of the 24 whales, 15 (63%) lingered in the central Chukchi for at  
386 least one 6-hr interval. The final regression model included an intercept ( $\beta_{logit}=-0.8583$ ,  
387  $SE=0.23$ ,  $P<0.001$ ), and the gradient of bottom salinity ( $\beta_{logit}=0.0917$ ;  $SE=0.03$ ,  $P<0.01$ ).

388 Salinity gradients varied from approximately 0–0.4 psu/km (average=0.05, sd=0.04). The  
389 probability of lingering was near 0.3 for salinity gradients < 0.04 psu/km and approached 0.5 for  
390 gradients near 0.4 psu/km (Fig. 9). Within the Russian coastal areas, the probability of lingering  
391 was not related to any of the variables we modeled.

392

#### 393 **4. Discussion**

394

395 We examined habitat selection of bowhead whales at two spatial scales. At the landscape  
396 scale, we found that bowhead whales generally followed water of Pacific origin characterized by  
397 temperatures <0 °C and salinities between 31.5–34.25 psu. Bowhead whales avoided Alaskan  
398 Coastal Water and Siberian Shelf Water (the latter of which defines the western limit of their  
399 range) likely due to lower intrinsic densities of zooplankton prey. At the local scale, within the  
400 track of a whale, individuals were more likely to stop traveling and linger in areas characterized  
401 by stronger gradients in bottom salinity.

402

##### 403 *4.1 Habitat selection in the central Chukchi Sea*

404

405 Bowhead whales migrating through the Chukchi Sea showed an affinity for relatively  
406 cold, salty water (Fig. 6). This finding is substantial, as the affinity for these oceanographic  
407 variables helps explain some aspects of fall migratory behavior across the central Chukchi Sea.  
408 This water is mostly of Bering Sea origin, including mainly Pacific Winter Water (PWW) and, to  
409 a lesser extent, Bering Shelf/Anadyr Water (BSAW), a composite water mass that, through  
410 winter cooling, transforms into PWW (Fig. 6). Euphausiids are not believed to reproduce in the  
411 Chukchi Sea (Neibauer and Schell, 1993; Siegel, 2000; Berline et al., 2008). Rather, the whales'

412 association with these cold, saline waters is likely because euphausiids are advected northward  
413 from the Bering Sea by currents (Berline et al., 2008). Although some euphausiids may  
414 overwinter in PWW, most likely travel north with BSAW and then aggregate near the seafloor  
415 during their diurnal migration or when entering diapause in the late fall. To a much lesser extent,  
416 whales also used Atlantic Water (AW), which upwells along the Chukchi shelf break (Fig. 6).  
417 We suspect that whales may use AW because large copepod prey are known to be present north  
418 of the shelf break in AW (e.g. *C. glacialis* and *C. hyperboreus*; Ashjian et al., 2003) or because  
419 euphausiids advected north with PWW and BSAW may aggregate at the pycnocline between  
420 AW and PWW/BSAW.

421 Bowhead whales in the central Chukchi Sea also clearly avoided water that was either  
422 relatively fresh or relatively warm, including Alaskan Coastal Water (ACW) and Siberian Shelf  
423 Water (SSW) (Fig. 6). Much of the warm water reported in this study is characteristic of ACW  
424 carried northward by the Alaskan Coastal Current (ACC) and is freshened by discharges from  
425 the Yukon and Kuskokwim rivers. Temperature, salinity, and zooplankton sampling in the  
426 northern Bering and southern Chukchi seas in September of 2007 showed that BSAW has a  
427 higher abundance of large calanoid copepods and euphausiids than ACW, especially where  
428 BSAW occurs near the seafloor (Eisner et al., 2013). Although the mean flow of the ACC is  
429 northward through Bering Strait and through Barrow Canyon, this current is often disrupted by  
430 strong and/or prolonged winds from the north and northeast, displacing ACW from the Alaskan  
431 coast to intrude into the central Chukchi where these warm, fresh waters appear to be avoided by  
432 bowhead whales (e.g. Fig. 5). Of particular interest is how the affinity for cold water reasonably  
433 explains why some bowhead whales will migrate down the Alaskan coast instead of traversing

434 the Chukchi Sea to Chukotka (see Supplemental Material). Bowhead whales migrating down the  
435 Alaskan coast only did so when the ACC was disrupted and colder, saltier water was present.

436 The other water mass avoided by tagged bowhead whales was SSW. Waters west of  
437 Wrangel Island are largely dominated by relatively fresh ( $<31.5$  psu), cold SSW ( $<0.5$  °C) (e.g.  
438 Fig. 5; see also Supplemental Material) that originates as river discharge along the northern  
439 Russian coast and, therefore, is not expected to have high concentrations of zooplankton prey.  
440 Tagged whales rarely entered SSW, corroborating the likely absence of zooplankton prey and  
441 delineating the western boundary of the range of BCB bowhead whales.

442 Interestingly, there was little evidence that bowhead whales followed frontal features  
443 when choosing where to cross the Chukchi Sea. We detected some selection for large velocity  
444 gradients (Fig. 7), yet these velocity gradients were rare. The rarity of such gradients suggests  
445 that they do not determine the path whales choose to follow during migration. We suspect that  
446 bowhead whales know within what water masses they are likely to find zooplankton and they  
447 simply choose to remain within those water masses.

448 Whales were more likely to linger in areas characterized by higher salinity gradients,  
449 which are indicative of frontal features where zooplankton tend to aggregate. However, the  
450 probability that a whale lingers in the vicinity of a salinity front only increases from ~30% to  
451 ~50% (Fig. 9). Although the relatively weak response may be due to issues associated with  
452 ocean model resolution, we suggest that the weak response is more likely a reflection of  
453 uncertainties in where and when zooplankton are available for aggregation. Oceanographic  
454 features capable of aggregating zooplankton can exist without zooplankton present, thus  
455 obscuring the link between oceanographic model output and use by whales.

456

457 *4.2 Feeding behavior in the central Chukchi Sea*

458

459           Although the importance of the northern Chukotka coast as a feeding area for bowhead  
460 whales is well-known (e.g. Moore et al., 1995; Quakenbush et al., 2010; Citta et al., 2015), the  
461 central Chukchi Sea has not generally been considered to be an important foraging area (e.g.  
462 Quakenbush et al., 2010; Citta et al., 2015; but see Kuletz et al., 2015). Here, however, we show  
463 that the central Chukchi can be an important foraging area in some years. Bowhead whales  
464 lingered in the central Chukchi in both 2009 and 2012 (Fig. 3), but generally not in 2006, 2007,  
465 2008, or 2010. In 2012, all four whales stopped in the central Chukchi, within Lease Sale Area  
466 193, something we have not observed in any other year. One tag went off the air in October, but  
467 the other three whales remained in this area until sea ice began to form in December. By the  
468 time these three whales headed south, ice had already formed along the Chukotka coast and these  
469 three whales headed directly toward Bering Strait. This behavior would have been notable in a  
470 single whale, let alone all four. Unfortunately, we do not have oceanographic model output for  
471 2012.

472           Close examination of the temperature and salinity maps (Supplemental Material) suggest  
473 that feeding in the central Chukchi in 2009 was more likely when northeast winds disrupted the  
474 ACC. This can be seen in the plots for 16–31 October and 1–15 November in 2009; note how  
475 currents which typically flow northward through Barrow Canyon and eastward across the shelf  
476 are reversed. Zooplankton are known to be advected onto shelf waters during periods of east  
477 winds. When these winds relax, the ACC traps zooplankton at Barrow (Ashjian et al., 2010;  
478 Okkonen et al., 2011). Perhaps whales are finding foraging opportunities on the Chukchi Shelf  
479 when east winds persist. East winds that are precursors to zooplankton aggregations at Barrow

480 may also promote aggregations in the north central Chukchi. We have no model output for 2012,  
481 the other year where there was substantial lingering in the central Chukchi; however, winds in  
482 October and November of 2012 did not appear to be strong enough to disrupt the ACC. Hence,  
483 the mechanisms that lead to foraging in the central Chukchi are still unknown.

484

#### 485 *4.3 Habitat selection in the Russian coastal areas*

486

487         The fact that habitat use along the Russian coastal areas was related to the strong salinity  
488 front was not surprising. As mentioned previously, Moore et al. (1995) documented bowhead  
489 whales feeding on large numbers of *T. raschii* along a sharp salinity front associated with the  
490 Siberian Coastal Current. Using an earlier version of the oceanographic model used in this  
491 study, Berline et al. (2008) modeled particle transport in the Bering and Chukchi seas to  
492 determine the most likely source of euphausiids observed near Point Barrow in fall. Although  
493 Berline et al. (2008) did not explicitly examine particle transport to the northern coast of  
494 Chukotka, many particles, representing euphausiids and copepods, turn west toward Chukotka  
495 after passing north of Bering Strait. The locations of landed particles along the northern coast of  
496 Chukotka extend from the Wrangel Island to Bering Strait (see Fig. 2 in Berline et al., 2008).  
497 Hence, BSAW is expected to deliver zooplankton to much of the Chukotka coast, where  
498 aggregation should occur along the front between the Siberian Coastal Current and BSAW (see  
499 Fig. 7e, Weingartner et al., 1999).

500         The probability of lingering along the Russian coast was not related to any of our  
501 covariates. Perhaps the microclimates associated with bowhead whale foraging are occurring at  
502 smaller scales than are resolved by the ocean model. Alternatively, the entire coast may be

503 conducive for aggregating zooplankton and whales may simply be responding to variations in  
504 where and when zooplankton are available.

505

#### 506 *4.4 Utility of the oceanographic model*

507

508           Sampling the marine environment at sufficient temporal and spatial resolutions to  
509 accurately characterize the entirety of the biophysical environment through which the BCB  
510 population of bowhead whales migrates is logistically and economically impossible. In this  
511 study, we used an ocean circulation model as a tool to address the logistical limitations of *in situ*  
512 sampling. By comparing simulated ocean conditions at and near observed whale locations, we  
513 have shown that there are identifiable relationships between ocean conditions and whale  
514 behaviors that define aspects of a whale's migration. Moreover, these identifiable relationships  
515 indicate that the ocean model itself is effective in simulating the physical environment of the  
516 Chukchi region.

517           Although we advocate collection of data provided by CTD (i.e. Conductivity,  
518 Temperature, and Depth) tags attached to animals (e.g. Lydersen et al., 2002), such technology  
519 may not provide the kind of data required to examine habitat selection over large scales. CTD  
520 data from tags attached to whales will be useful for identifying features that influence the  
521 probability a whale stops within its track, yet such data may not be useful for larger scale  
522 analyses of habitat selection. For example, we found that bowhead whales preferentially  
523 migrated through colder BSAW and PWW, and rarely entered relatively warm ACW or  
524 relatively fresh SSW. As such, CTD data collected by whales would show relatively little  
525 variation in temperature or salinity. Although this information is important, we also need to

526 know what habitat types or water masses whales are actively avoiding in order to quantify  
527 resource selection. In effect, we need to have knowledge of the marine environment where  
528 whales are not located. This is also an important consideration for studies that use animals with  
529 CTD tags to study oceanography; i.e. studies concerned with oceanography, not animal resource  
530 selection. Animals are not random samplers of their environment, so collecting data from the  
531 animal alone will be insufficient for understanding the environment or how the animal moves  
532 through it. Fortunately, the RASM ocean model provided the temporal and spatial context that  
533 helped us understand what marine conditions whales were selecting and, in so doing, more  
534 broadly demonstrated the utility of ocean models as analytical tools for studies of the influence  
535 of the marine environment on its inhabitants. To be clear, we do not believe that output from  
536 oceanographic models are a replacement for empirical data. Rather, we are stating that  
537 oceanographic models can be useful and have a role in habitat selection analyses, especially  
538 where in situ measurements are lacking. Future resource selection models will clearly benefit by  
539 combining model output with empirical data, collected by the oceanographic tows, moorings,  
540 gliders, and/or the animals themselves (i.e. with CTD tags).



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573

574 **Appendix. Supporting information.**

575

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- 704



705 Table 1. Characteristics of bowhead whales used in this analysis. Lengths are estimated visually and are approximate; based upon the  
 706 work of Koski et al. (1993), we define “mature” whales as those at least 13 m in length and “immature” whales as those less than 13 m  
 707 in length. Additional information for these whales is presented in Table 1 of Citta et al. (2015). Estimated locations and their  
 708 associated behavioral state were estimated from the CRW model (see Methods) at 6-hr intervals. The percentage of the September–  
 709 November study period during which tracking data were available is given for each whale in the last column.

710

ID	Length (m)	Age	Sex	Tagging location	Deployment date	Behavioral state (# locations) September–November			total 6-hr intervals	% of possible intervals tracked
						Linger	Directed	Unknown		
B06-01	13.7	Mature	M	Barrow, AK	12-May-06	20	68	18	106	29%
B07-10	11	Imm	Unk	Barrow, AK	30-Aug-07	-	42	7	49	14%
B08-01	10.7	Imm	F	Atkinson Point, CAN	12-Aug-08	89	37	57	183	51%
B08-02	12.2	Imm	M	Barrow, AK	10-Sep-08	-	116	4	120	33%
B08-03	14.5	Mature	Unk	Barrow, AK	10-Sep-08	80	94	58	232	64%
B08-06	10	Imm	Unk	Barrow, AK	20-Sep-08	70	70	75	215	60%
B08-07	10	Imm	M	Barrow, AK	21-Sep-08	195	68	60	323	90%
B08-08	10	Imm	Unk	Barrow, AK	23-Sep-08	86	77	34	197	55%
B08-09	9.1	Imm	M	Barrow, AK	23-Sep-08	58	36	35	129	36%
B08-10	10	Imm	M	Barrow, AK	23-Sep-08	103	114	42	259	72%
B08-11	10	Imm	M	Barrow, AK	24-Sep-08	85	91	44	220	61%
B08-13	10	Imm	Unk	Barrow, AK	23-Sep-08	78	44	20	142	39%
B08-14	13.7+	Mature	M	Barrow, AK	23-Sep-08	7	30	16	53	15%

711

712

713 Table 1 continued.

ID	Length (m)	Age	Sex	Tagging location	Deployment date	Behavioral state (# locations) September–November			total 6-hr intervals	% of possible
						Linger	Directed	Unknown		
B09-01	15.2	Mature	F	Barrow, AK	22-Aug-09	30	122	137	289	80%
B09-02	13.7	Mature	Unk	Barrow, AK	22-Aug-09	87	35	26	148	41%
B09-03	12.2	Imm	Unk	Barrow, AK	22-Aug-09	211	83	22	316	88%
B09-04	10	Imm	M	Atkinson Point, CAN	23-Aug-09	42	90	50	182	51%
B09-05	10	Imm	M	Atkinson Point, CAN	23-Aug-09	27	125	31	183	51%
B09-06	12.8	Imm	M	Barrow, AK	24-Aug-09	17	18	3	38	11%
B09-09	13.4	Mature	Unk	Barrow, AK	29-Aug-09	90	78	29	197	55%
B09-12	12.2	Imm	Unk	Atkinson Point, CAN	2-Sep-09	16	16	34	66	18%
B09-13	8.2	Imm	F	Barrow, AK	14-Oct-09	20	-	65	85	24%
B09-15	11.3	Imm	F	Barrow, AK	14-Oct-09	41	57	21	119	33%
B09-16	13.1	Mature	M	Barrow, AK	14-Oct-09	23	65	4	92	26%
B10-01	15.2	Mature	M	Barrow, AK	24-May-10	61	38	27	126	35%
B10-03	13.7	Mature	F	Barrow, AK	24-May-10	18	18	3	39	11%
B10-05	9.1	Imm	Unk	Tuktoyaktuk, CAN	24-Aug-10	2	4	22	28	8%
B10-06	9.1	Imm	Unk	Tuktoyaktuk, CAN	25-Aug-10	16	24	43	83	23%
B10-08	10.7	Imm	Unk	Tuktoyaktuk, CAN	26-Aug-10	34	116	61	211	59%

714

715

716 Table 1 continued.

ID	Length (m)	Age	Sex	Tagging location	Deployment date	Behavioral state (# locations) September–November			total 6-hr intervals	% of possible
						Linger	Directed	Unknown		
B10-09	9.1	Imm	F	Herschel Island, CAN	25-Aug-10	17	8	38	63	18%
B10-11	12.2+	Imm	M	Tuktoyaktuk, CAN	27-Aug-10	74	99	106	279	78%
B10-12	11.4	Imm	F	Tuktoyaktuk, CAN	27-Aug-10	2	88	11	101	28%
B10-13	10.7	Imm	F	Tuktoyaktuk, CAN	28-Aug-10	150	51	20	221	61%
B10-14	12.2	Imm	M	Tuktoyaktuk, CAN	30-Aug-10	57	95	25	177	49%
B10-15	12.2	Imm	F	Tuktoyaktuk, CAN	30-Aug-10	76	86	34	196	54%
B12-01	12.2+	Imm	Unk	Pugughileq, AK	24-Apr-12	201	82	41	324	90%
B12-03	13.7	Mature	Tbd <sup>2</sup>	Barrow, AK	10-Sep-12	122	122	55	299	83%
B12-04	15.2	Mature	Tbd	Barrow, AK	10-Sep-12	94	7	39	140	39%
B12-05	13.7	Mature	Tbd	Barrow, AK	21-Sep-12	62	63	4	129	36%

717

718

719 **Figure captions:**

720 Figure 1. Cartoon of the major currents within the Chukchi, northern Bering, and western  
721 Beaufort seas. The Alaskan Coastal Current, currents across the Chukchi Shelf, and currents  
722 through Bering Strait may reverse under northeast winds. Northeast winds also encourage  
723 upwelling along the shelf break in both the Chukchi and Beaufort seas. This map is modified  
724 from Citta et al. (2015).

725

726 Figure 2. Bowhead whale tracks during the autumn migration across the Chukchi Sea,  
727 September–November, 2006–2010 and 2012.

728

729 Figure 3. Kernel densities of bowhead whale locations classified as being associated with  
730 lingering in the Chukchi Sea, September–November, 2008, 2009, 2010, and 2012. Tagged  
731 bowhead whales did not linger in the central Chukchi in 2006 or 2007.

732

733 Figure 4. Example of an actual bowhead whale track (red dots) and 25 simulated tracks.  
734 Simulated whales share the same step lengths as the actual whale but include a random  
735 component in the turn angle (see text). The area boundary is the envelope for all whale locations  
736 from September–November and the areas within 75 km of Wrangel Island and along the  
737 Chukotka coast are shaded blue.

738

739 Figure 5. Example plot of temperature (top) and salinity (bottom), averaged 16–31 October  
740 2009. White arrows denote current vectors. Estimated bowhead whale locations and their  
741 behavior classifications overlie temperature and salinity layers. Crosses denote locations

742 classified as “traveling”, open diamonds are classified as “lingering”, and “x” denotes locations  
743 of unknown behavioral state. Plots for all time periods are provided in the Supplementary  
744 Material.

745

746 Figure 6. The distribution of all bowhead whale locations in temperature-salinity space (a) and  
747 the fit models of bowhead whale habitat selection based upon temperature and salinity (b).

748 Tagged whales were most likely to occur in water -1.2 C and 32.75 psu; selection for other  
749 temperatures and salinities are scaled relative to this maximum. Blue boxes denote the

750 approximate temperature-salinity signatures of different water masses (see DISCUSSION),

751 including melt water (MW), Alaska Coastal Water (ACW), Bering Summer Water (BSW),

752 Siberian Shelf Water (SSW), Bering Shelf/Anadyr Water (BSAW), Atlantic Water (AW), and

753 Pacific Winter Water (PWW). Water mass boundaries are taken from Esiner et al. (2015), Gong

754 et al. (In press), and Itoh et al. (2015).

755

756 Figure 7. Relative selection within the central Chukchi as a function of current gradient while  
757 controlling for the effects of salinity (solid line) or temperature (dashed line).

758

759 Figure 8. Box plots of the salinity gradient at used and available locations. Center lines are

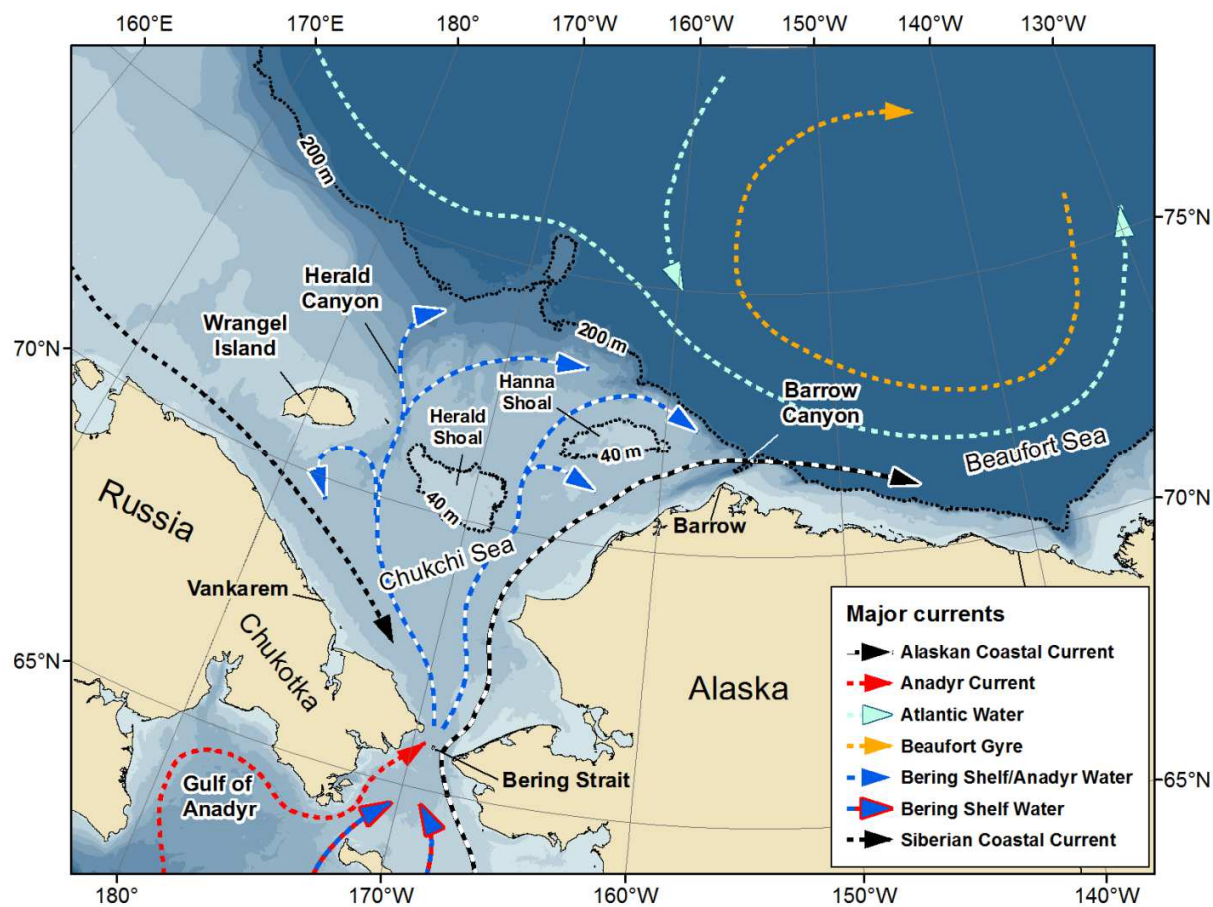
760 median values, box boundaries are the 25<sup>th</sup> and 75<sup>th</sup> percentiles, error bars are the 10<sup>th</sup> and 90<sup>th</sup>

761 percentiles, and dots are the 5<sup>th</sup> and 95<sup>th</sup> percentiles.

762

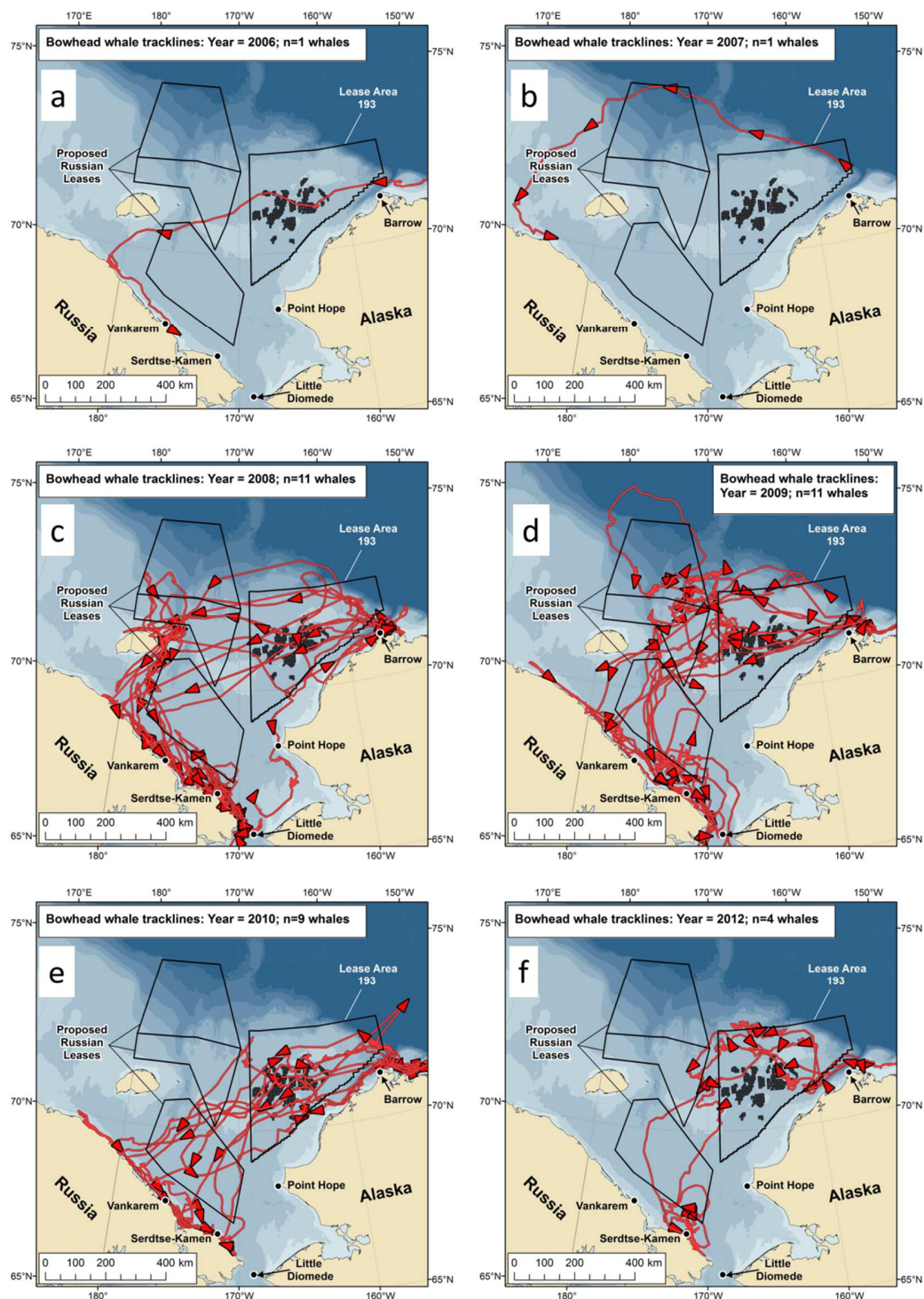
763 Figure 9. The probability of lingering as a function of the maximum salinity gradient within 20

764 km. Dotted lines are 95% confidence limits.



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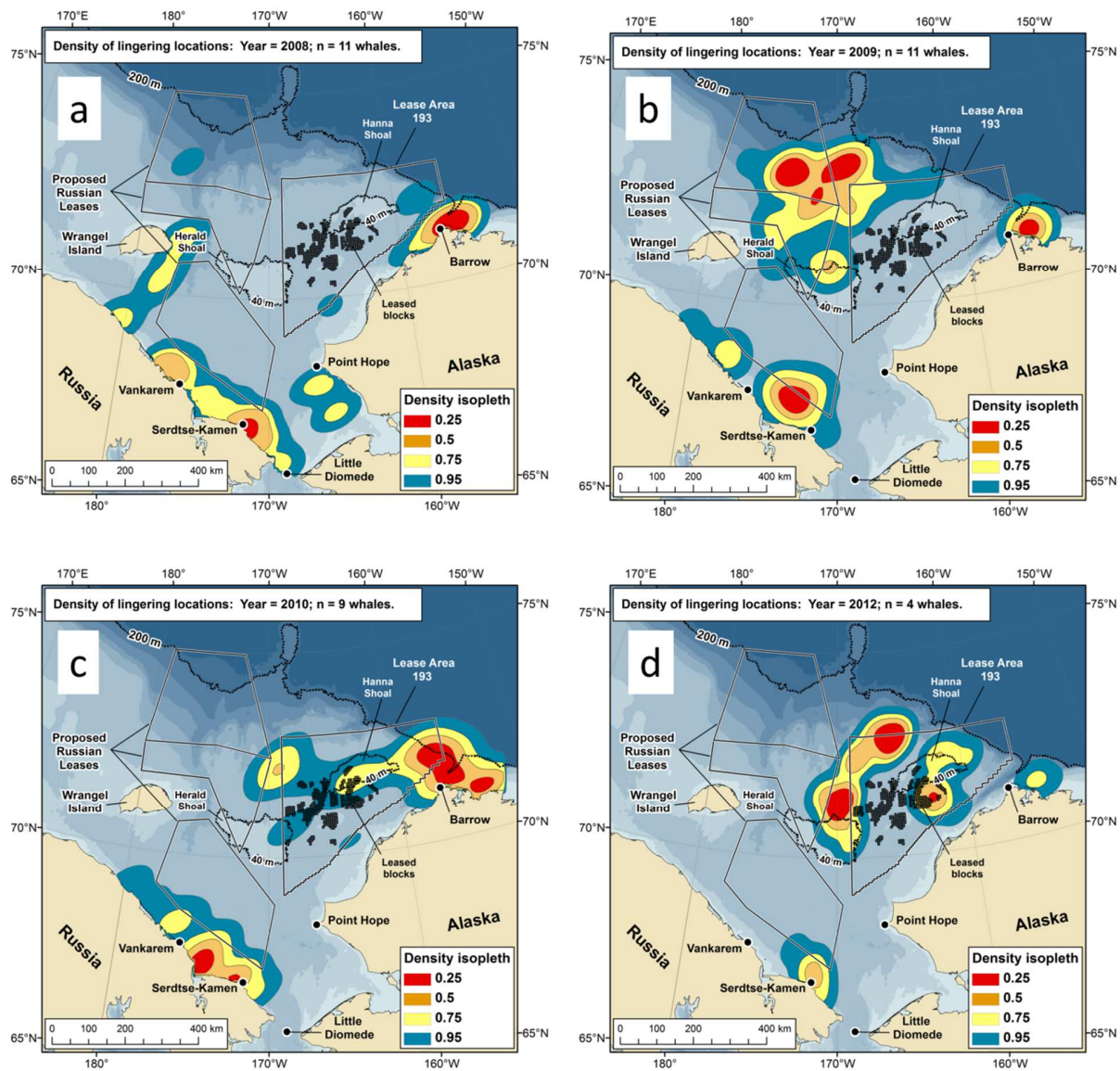
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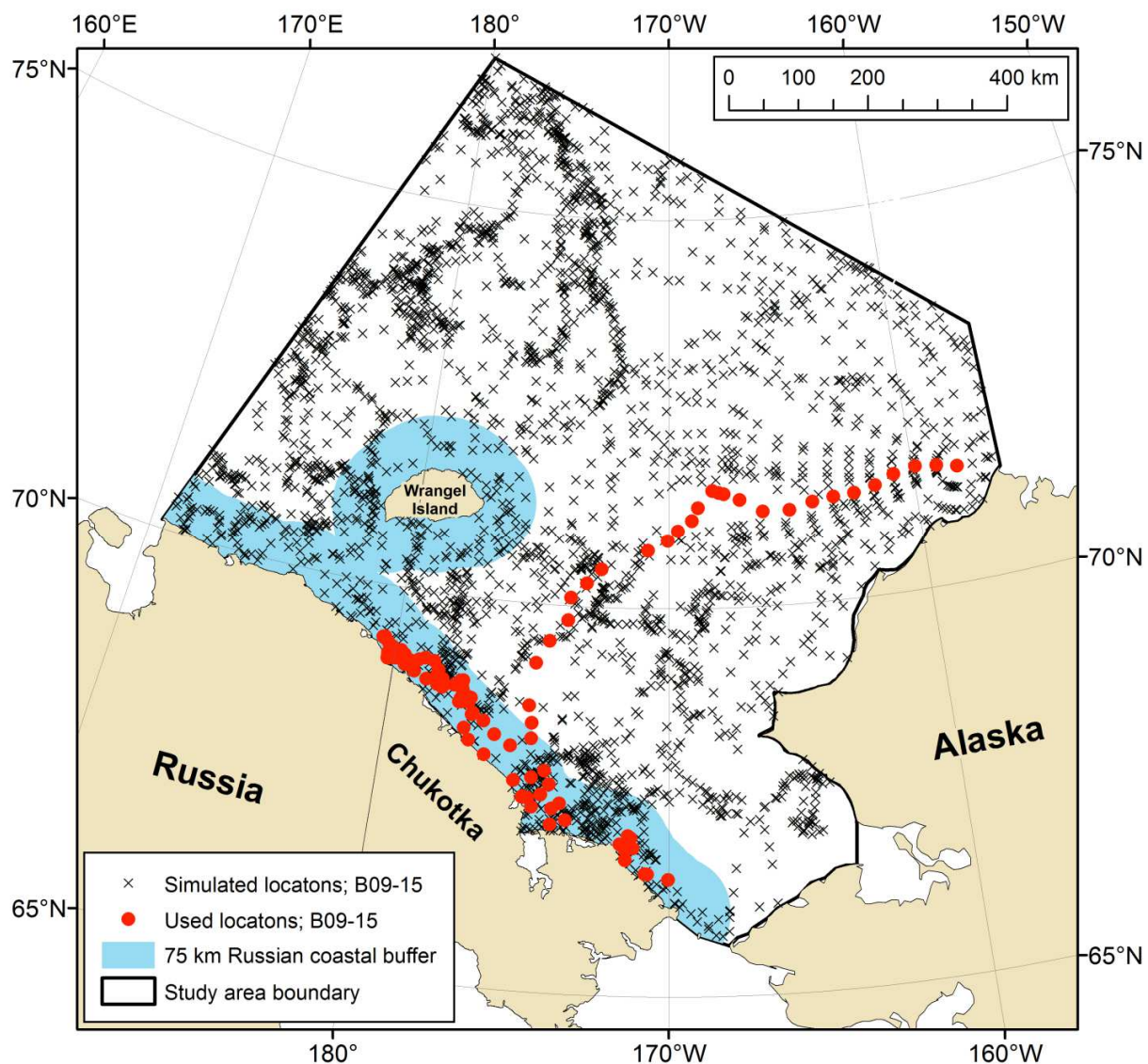


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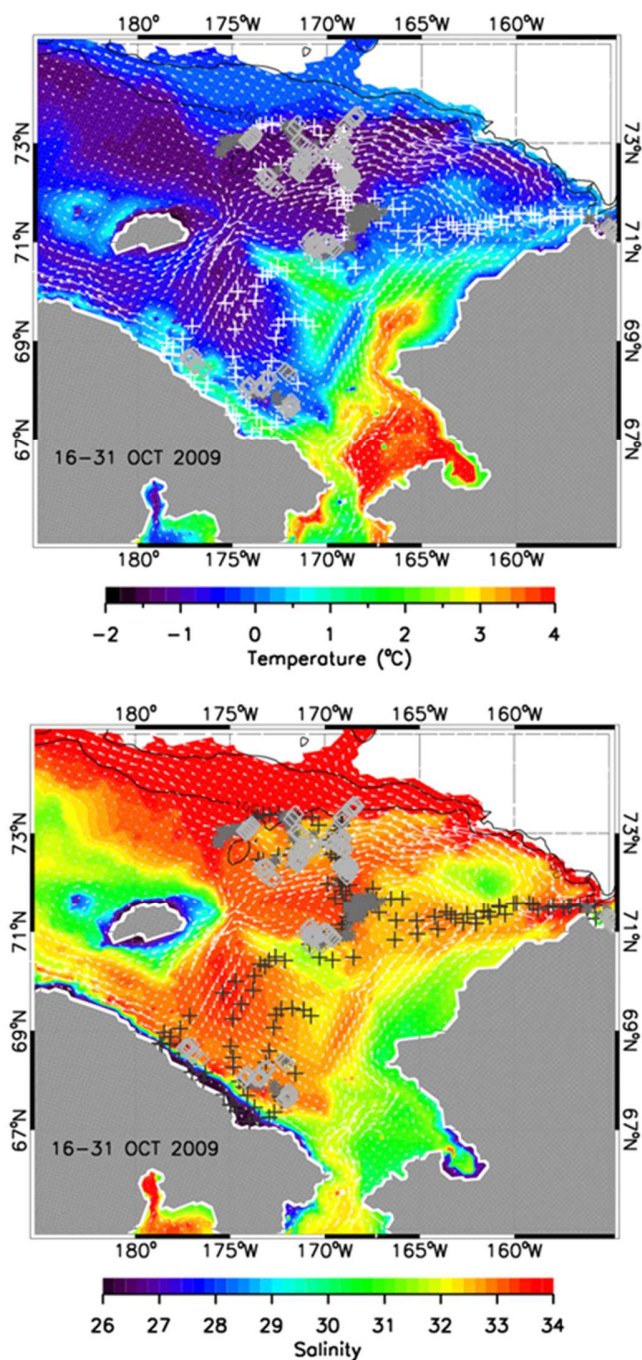


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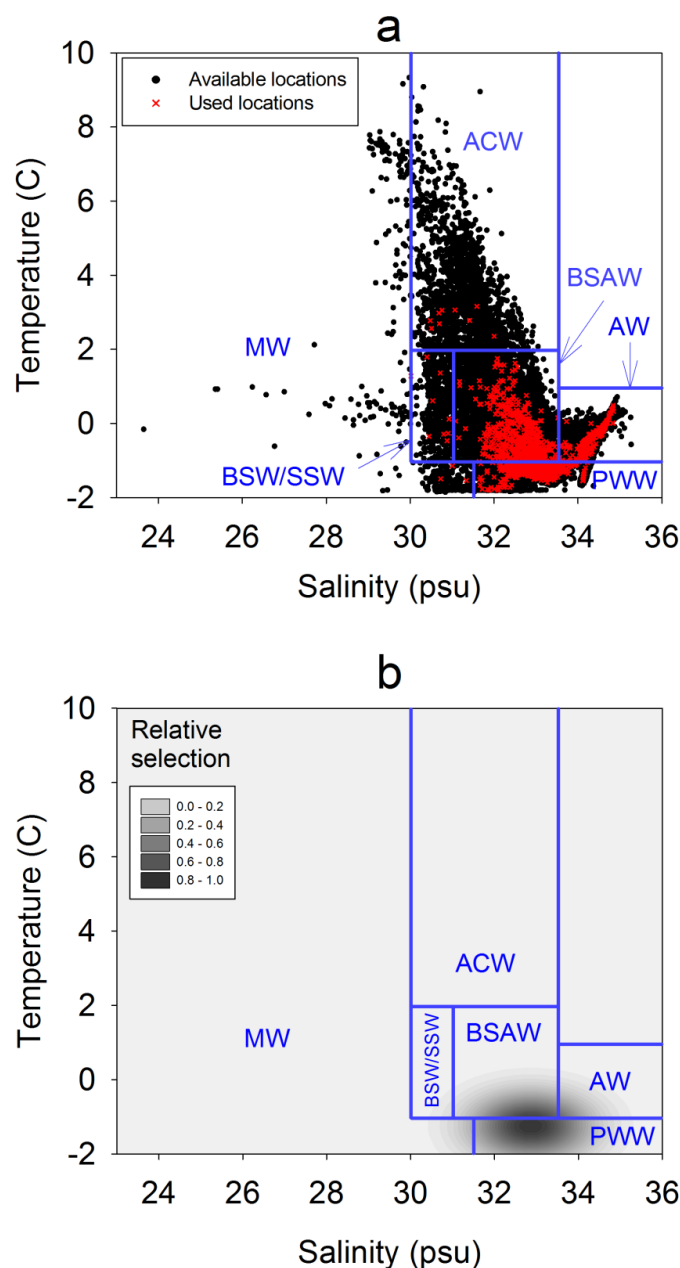
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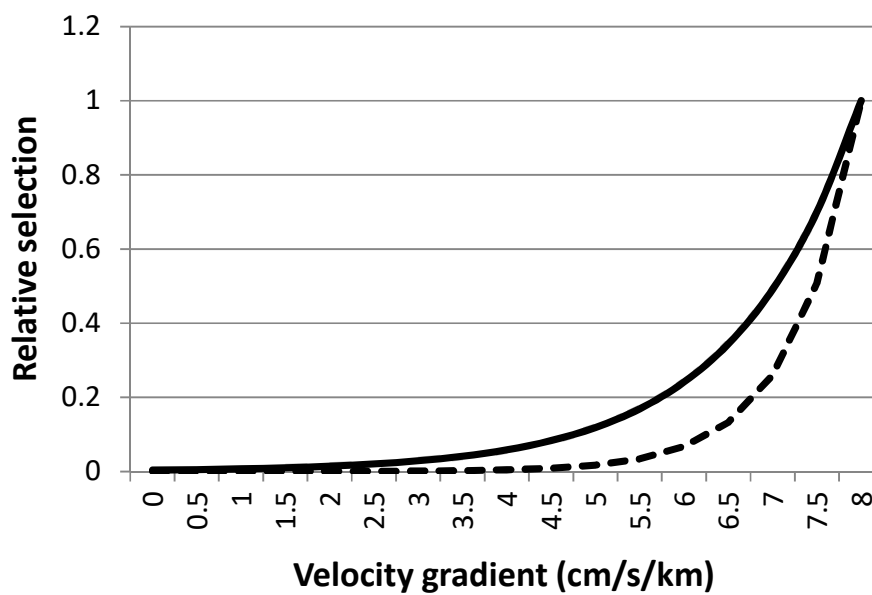
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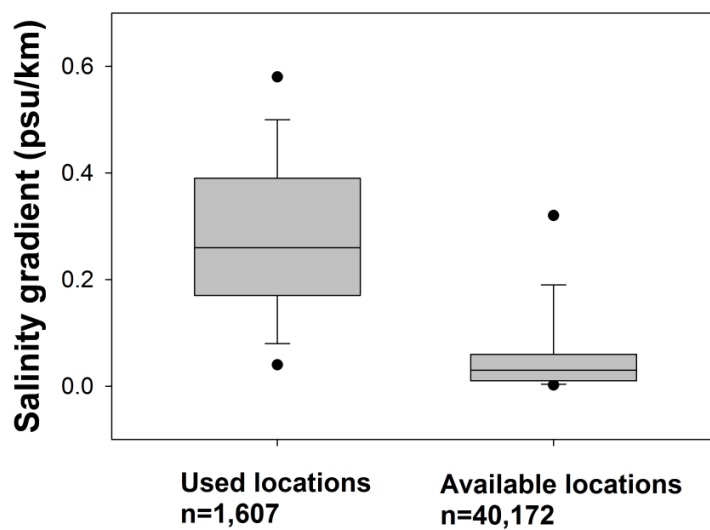
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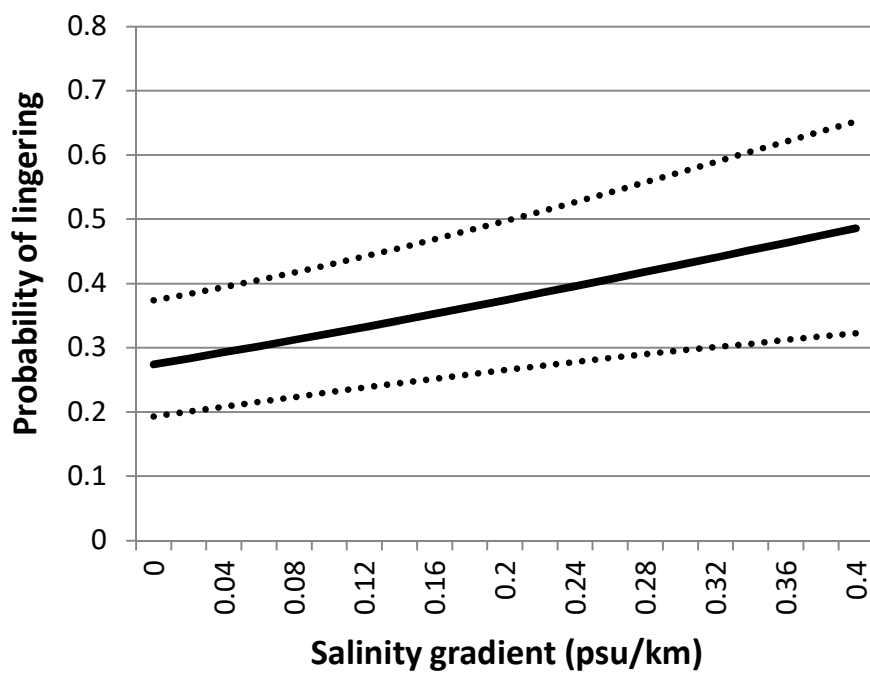
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811

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