

**Influence of Hook Type on Catch of Commercial and Bycatch Species
in an Atlantic Tuna Fishery**

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Running page head: Circle hooks in deep set longline fisheries

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1 **Abstract**

2 Experimental sets were conducted on a Taiwanese deep set longline fishing vessel
3 operating in the tropical Atlantic Ocean to evaluate the effects of relatively wide circle hooks
4 vs Japanese tuna hooks with respect to catch rates of both target and incidental species. On
5 circle hooks there were significantly higher catch rates of bigeye tuna (*Thunnus obesus*),
6 yellowfin tuna (*T. albacares*), swordfish (*Xiphias gladius*) and blue sharks (*Prionace glauca*)
7 as compared to tuna hooks. Significantly higher rates of albacore (*T. alalunga*) and longbill
8 spearfish (*Tetrapterus pfluegeri*) were caught on Japanese tuna hooks as compared to circle
9 hooks. Overall, 55 sea turtles were incidentally captured, most (n=47) of which were
10 leatherback turtles (*Dermochelys coriacea*), and capture rates were similar between hook type.
11 Immediate survival rates (percentage alive) when landed were statistically similar for all
12 major target fish species and sea turtles independent of hook type. Most (64%) sea turtles
13 were hooked on the first and second branchlines closest to the float, which are the shallowest
14 hooks deployed on a longline. Lengths of six retained species were compared between hook
15 types. Of these, swordfish was the only species to show a significant difference in length by
16 hook type, which were significantly larger on circle hooks compared to tuna hooks.
17 Additional incentives to use circle hooks would be the increased catch rate in targeted bigeye
18 tuna over traditional Japanese tuna hooks. This international collaboration was initiated in
19 direct response to regional fisheries management organization recommendations that
20 encourage member countries to conduct experiments aimed to identify means to reduce
21 bycatch in longline fishing gear. Information presented may be useful for managers in
22 developing international fisheries policies that aim to balance increases in commercial fishery
23 revenue and endangered species protection.

24

25 **Key words: circle hook, tuna, sea turtle bycatch, deep set longline, regional fisheries**
26 **management organization.**

27 **1. Introduction**

28 The incidental capture of non-target species occurs in a broad range of fisheries,
29 including trawl gear, gillnets, purse seines and longlines and is of global concern [1]. Much
30 attention has been directed at the deleterious effects of pelagic longline fishing (PLL), a gear
31 type present in all the world’s oceans that has been associated with high incidental catch and
32 mortality of numerous incidentally-captured species [2, 3]. Pelagic longline gear is generally
33 set “shallow” when targeting swordfish (*Xiphias gladius*) while deeper lines are generally set
34 when targeting tunas (*Thunnus spp.*), though there may be regional variations. The incidental
35 catches of “non target” species can be divided into two types: incidental yet retained for either
36 commercial value or utilization (eg., used as bait), or discarded as bycatch. Bycaught species
37 are those that are generally released to sea given their lack of commercial value or due to their
38 protection under the law, and thus species considered bycatch differs regionally. Marine
39 mammals, sea birds, sea turtles and certain finfish are considered bycatch as they are
40 protected under various national and international laws.

41 Extensive research has been undertaken to identify means to maximize capture of target
42 species while minimizing the impacts to incidental captures, especially those that are
43 protected under various laws. The likelihood of catching specific species is largely dependent
44 on a suite of environmental and operational factors, such as seasonality, temperature, bait type,
45 hook depth, etc. In PLL, important variables to consider can include specifics such as hook
46 shape, hook size, bait type, gear depth, time of longline set and retrieval, and fishing location
47 [3, 12, 13]. Recent research has identified a potential conservation value to the use of circle
48 hooks, which is a fish hook whereby the point of the hook curves inward perpendicular to the
49 shank (Figure 1), leaving the point less exposed compared to other hook types [4-6]. It is
50 presumed that this shape results in failed attempts to digest the baited hook and can also
51 reduce the frequency of “foul-hooking” that results when an animal is incidentally snagged by
52 an exposed hook point. The shape differences between circle hooks and other tuna hooks is
53 likely a contributing factor to species’ catchability given that circle hooks are generally
54 considerably wider in their width (A) dimension (Figure 1).

55
56 **[FIG 1 here]**

57
58
59

60 It is widely believed that circle hooks may result in less serious injury to both fishes and
61 bycatch species due to the increased probability of external hooking on the body as compared

62 to more frequent internal ingestion of narrower J-hooks or tuna hooks [7]. External hookings
63 are generally considered to result in less severe injury and with a higher likelihood of
64 post-release survival as compared to damage caused by internal ingestions. The potential for
65 higher rates of survival is especially valuable for discarded or bycatch species that are
66 released to sea with the expectation of high rates of survival, thereby minimizing
67 population-level effects from the fisheries interactions.

68 Of particular concern regarding incidental captures is that of sea turtle bycatch. All sea
69 turtle species are listed as endangered or threatened and are protected under both Taiwanese
70 and U.S. laws. Numerous studies have shown relatively high rates of sea turtle captures in
71 longline gear in all major ocean basins including the Atlantic Ocean[4, 8, 9], Pacific Ocean
72 [10-13], and Mediterranean Sea [14, 15]. Given the potentially negative impacts on sea turtle
73 populations due to capture in longline fisheries, in particular leatherback (*Dermochelys*
74 *coriacea*) and loggerhead (*Caretta caretta*) turtles, there has been extensive research toward
75 identifying mitigation methods to reduce rates of incidental capture and increase the
76 probability of survival in the event of a fisheries interaction. The use of relatively large (wide)
77 circle hooks in combination with finfish bait has been shown to significantly reduce the
78 frequency of sea turtle hooking compared to J-shaped hooks or tuna hooks with squid bait in a
79 number of longline fisheries [4, 16, 17].

80 Based on the numerous conservation values attributed to circle hooks, particularly in
81 shallow-set swordfish-targeted fisheries, the United States (U.S) has mandated use of circle
82 hooks and finfish as bait in shallow set longline fisheries in the Pacific Ocean. U.S. fisheries
83 targeting highly migratory species in the Atlantic and Gulf of Mexico are required to use
84 circle hooks but not necessarily fish bait. More information on U.S. fishing regulations aimed
85 to protect sea turtles can be found at www.nmfs.noaa.gov/pr/species/turtles/regulations.htm.
86 Internationally, some regional fisheries management organizations (RFMOs) encourage circle
87 hook use in shallow set longline fisheries (e.g., Western and Central Pacific Fisheries
88 Commission Conservation and Management Measure 2008-03). The majority of tuna RFMOs
89 have adopted measures requesting members to conduct experimental research on circle hooks
90 for their longline fleets (e.g., Inter-American Tropical Tuna Commission Resolution 07-03).

91 Adoption of relatively wide circle hook use may be hindered by concerns that use of
92 circle hooks may result in reduced capture rates of target species, in particular swordfish,
93 which has been previously reported [4, 7, 16]. There have also been reports of similar catch
94 rates of swordfish between circle hooks and traditional hooks in experimental fisheries [18,
95 31]. Despite efforts to standardize even at the level of terminal gear, the variability in findings

96 suggest the importance of factors such as bait type as well as hook dimensions in species'
97 catchabilities. Unlike the numerous findings of reduced capture of swordfish on circle hooks,
98 however, there are consistent findings that capture rates for tuna species are often higher on
99 circle hooks compared to J and tuna hooks [4, 8, 18].

100 Despite extensive research aimed to determine the conservation benefit of circle hook
101 use in shallow set longline fisheries, there is limited information on how hook shape
102 influences capture rates of bycatch species in deep-set tuna longline fleets. In the case of sea
103 turtles, it is well established that capture rates of sea turtles caught on deep set longline gear
104 are substantially lower than on shallower set hooks [19, 20], which is consistent with the
105 relatively shallow distribution of sea turtles throughout their ranges [21-23]. However, the
106 depth of deep set gear often results in a high probability of mortality due to drowning, as seen
107 in relatively deep dwelling olive ridley turtles captured in a North Pacific Ocean longline
108 fishery [24]. It remains unclear how circle hook use in a deep set fishery affects the capture
109 rates of bycatch species.

110 This collaborative international research was conducted in direct response to RFMO
111 recommendations that encourage member countries to conduct experiments aimed to identify
112 means to reduce bycatch in longline fishing gear. Of the three Taiwanese longline fleets
113 operating in the Atlantic Ocean, the bigeye tuna fleet in the tropical areas has the highest rate
114 of sea turtle captures compared to the albacore (*Thunnus alalunga*) fleets in the north and
115 south Atlantic [25]. The primary goals of this study were to better understand the potential
116 conservation value of using circle hooks in a deep set tuna fishery. Specifically we looked at
117 relationships between hook type on catch composition of target and non- target species, the
118 rates of immediate survival (percentage of animals alive at gear retrieval–haul back), as well
119 as catch sizes as a function of hook type. This work represents a unique collaboration between
120 the U.S. and Taiwanese governments. Working in conjunction with industry, this study
121 compared the catch rates of target species, such as bigeye tuna (*T. obesus*), yellowfin tuna (*T.*
122 *albacares*), swordfish, and bycatch (discarded) species (e.g., sea turtles) using 18/0 circle
123 hooks and a traditional Japanese style tuna hook (4.2 sun) in a deep set longline fishery in the
124 tropical Atlantic Ocean.

125

126 **2. Materials and Methods**

127 ***2.1 Study region and fishing gear***

128 This study was conducted on a Taiwanese commercial bigeye tuna longline fishing
129 vessel (51.65 m, GRT 496 tons). The vessel operated in the tropics between 2° and 12° S

130 latitude and 17.0° and 26.0° W longitude during September 2012 to May 2013. Fishing gear
131 consisted of a standard monofilament mainline 4 mm in diameter with 16-17 branchlines
132 deployed between floats. Each branchline was ~46 m in length. The components of the
133 branchline, listed in order from the snap to the hook, were ~1.5 m of white three strand nylon,
134 21 m of 2.1 mm monofilament, 13 m of 1.8 mm monofilament, 4 m of bloodline and 6 m of
135 1.8 mm monofilament. Each segment was separated by a barrel swivel. Branchlines were
136 marked at the longline snap to assist with identifying the terminal hook type. The length of
137 the floatline was 45 m.

138 A size 18/0 stainless steel Korean-made circle hook with a 10° offset was used as the
139 experimental hook and a Japanese tuna hook with a minimal offset and measured as 4.2 sun
140 was used as a control (Figure 2). Circle hooks measured larger in gape (2.8 vs. 2.7 cm),
141 minimum width (5.6 cm vs. 3.5 cm), and maximum length (8.7 cm vs. 7.0 cm) than Japanese
142 tuna hooks. Both hook types had rings and were sequentially alternated in a 1:1 ratio along
143 the length of the experimental portion of the mainline.

144
145

146 Three species of whole finfish were used as bait throughout the experiment: milkfish
147 (*Chanos chanos*), mackerel (family Scombridae), and sardine (family Clupeidae), which were
148 comparable in size (182-220 g). The average weight of the milkfish, mackerel, and sardine
149 was ~200 g. Baiting techniques remained consistent throughout the experiment and are
150 described as single-threaded.

151 Approximately 3500 hooks were deployed on each set, and the initial ~2040 hooks were
152 observed in this experiment. Gear was deployed at approximately 0400~0600 hours and
153 soaked 5-7 hours prior to initiating retrieval. Gear haul back started at approximately
154 1200-1400 hours and lasted for 15-17 hours.

155

156 ***2.2 Sampling design and data collection***

157 A power analysis was used to estimate the minimum number of sets (200) in order to
158 detect a difference in bigeye tuna capture rates between hook type with $\alpha=0.1$ and
159 $\beta=0.2$ or power=80%, assuming a two-sided hypothesis, with the null hypothesis being no
160 difference in catch rates.

161 For each set, the observer recorded operational factors, such as each set's initial
162 deployment time and location (latitude and longitude), number of hooks deployed, bait types
163 for each hook position, and environmental variables, including sea surface temperature (SST).
164 Catch composition by hook type was recorded for all target and non-target species. Whenever
165 possible, catch composition information included the number of individuals by species
166 retained, discarded dead, and released alive by hook type and hook position between floats.
167 Additionally, the weight of retained catch (kg) and evidence of depredation by sharks,
168 cetaceans, and unknown animals were also recorded.

169 Additional data were collected on incidentally captured sea turtles, including hook and
170 bait type (whenever possible), condition when landed and released (dead/alive), type of
171 capture (hooked or entangled), hooking location (e.g., flippers, mouth, beak [sea turtles only]),
172 turtle size (e.g., carapace curve length [CCL]), and, if possible, sex. Turtles were considered
173 "externally" hooked when the hook was observed in the front or rear flippers,
174 shoulder/armpit, beak and neck and "internally" hooked when the hook was lodged in the
175 beak (upper and lower jaw), mouth, tongue, roof of mouth, and mouth-jaw joint.

176 Hard-shelled turtles were landed on board and, when appropriate, hooks were removed
177 by the observer using NOAA-approved methods [26]. Due to the large size of leatherback
178 turtles (up to ~ 700 kg), most were immediately released by cutting the branch line. As such,
179 it was not always possible to determine if turtles had also been hooked in addition to

180 entanglement. In a few cases, leatherback turtles were landed on board using a fabricated
181 harness to allow for hook removal and line disentanglement as well as body measurements
182 prior to release.

183

184 **2.3 Data analysis**

185 Due to the non-normal distribution of catch data, a randomization test was used to
186 assess catch differences between hook types, as described in a review on experimental design
187 and statistical methods for longline fisheries [27]. The null hypothesis was that there would be
188 no difference in catch between paired hook types. The test statistic (S) was the mean
189 difference in catch between paired circle hooks and tuna hooks by set. Data were randomized,
190 re-sampled 10,000 times, and scored for whether or not the re-sampled S value was equal to
191 or greater than the observed S value (R Development Core Team 2008), version 2.7.2 for
192 Linux). Randomization tests provide a measure of the strength of evidence against a null
193 hypothesis [28]. T-tests were used to compare potential differences in mean lengths of fish
194 captured, and odds ratio analyses were used to assess potential differences in the proportion of
195 animals released dead or alive.

196

197 **3. Results**

198 A total of 200 sets were conducted with a mean number of 2,672 (\pm SD=457) hooks per
199 set, representing a total of 407,677 observed hooks. Throughout the experiment, predominant
200 sea surface temperatures (SST) ranged between 26°C and 28°C.

201

202 **3.1 Catch Composition**

203 **3.1.1 Fish, Elasmobranchs**

204 In total, 38 fish species were caught, of which six had greater than 100 individuals
205 caught per species. These included commercially valuable tuna species, including bigeye,
206 yellowfin, and albacore, as well as swordfish, as well as longbill spearfish (*Tetrapterus*
207 *pfluegeri*) and blue shark (*Prionace glauca*), both of which are generally discarded as bycatch.
208 Catch rates of bigeye tuna ($p=0.0002$), blue shark ($p=0.0209$), swordfish ($p=0.0001$), and
209 yellowfin tuna ($p=0.0449$) were statistically higher on circle hooks compared to Japanese tuna
210 hooks. Catch rates of albacore ($p=0.0100$) and spearfish ($p=0.0097$) were significantly higher
211 on Japanese tuna hooks compared to circle hooks (Table 1).

212

213 [TABLE 1]

214 **3.1.2 Sea Turtles**

215 In total, 55 turtles were captured, including 18 caught on circle hooks, 18 on Japanese
216 tuna hooks, and 19 entangled either in the mainline (n=12), branch line (n=2) or floatline
217 (n=5). Of the 18 hooked sea turtles, half (n=9) were caught on each hook type, resulting in a
218 shared CPUE of 0.09 sea turtles captured per 1,000 hooks for both circle and tuna hooks. By
219 species, leatherback turtles represented the highest proportion of turtle bycatch by species
220 (86%, n=47), followed by olive ridley (13%, n=7) and one loggerhead turtle (2%, n=1). The
221 single loggerhead turtle was caught on a Japanese tuna hook, and the number of leatherback
222 and olive ridley turtle captures were evenly distributed by hook type and entanglement (Table
223 2).

224 Given that entangled turtles were omitted from comparative hook analysis, data
225 presented includes 29 hooked leatherbacks, 6 olive ridleys and 1 loggerhead turtle. Catch
226 rates of combined sea turtle species (n=36) were similar between hook types (p=1.000; Table
227 2). Of the 19 turtles that were entangled, 18 were leatherbacks.

228

229 [TABLE 2]

230

231 Of the 200 sets, 30 sets (15%) caught at least one sea turtle, and no turtles were caught
232 on 170 sets. The highest bycatch incident occurred when four turtles were caught on a single
233 set (two hooked and two entangled). Overall, multiple captures occurred on 12 sets,
234 representing 6% of total sets. All hooked turtles were captured on the 4 shallowest hooks
235 nearest to the floats, and 64% (23 of 36) were captured on the first two hooks closest to the
236 floats. The locations of turtle captures in relation to effort (number of hooks set) are in figure
237 3.

238

239 [FIG 3 here]

240

241 The type of hooking, either external (eg. flipper) or internal (eg., hook swallowed), was
242 also recorded for sea turtles for each hook type and is reported in Table 3.

244

245

246

247

248 [TABLE 3 here]

249

250

251 *3.2 Rates of Survival and Hook Type:*

252 Immediate survival rates (percentage alive) when landed were statistically similar for all
253 major target fish species independent of hook type ($p>0.35$ all species; Table 4). Table 4
254 reports the percentage of immediate survival for all sea turtles brought on board, which is
255 similar among species caught on each hook type. The percentage of leatherback turtles alive
256 when landed was slightly higher on circle hooks compared to tuna hooks (87% vs 71%), but
257 this was not statistically significant ($p=0.38$). The majority (66%) of leatherback turtles were
258 released alive. Of the 16 dead leatherback turtles, 10 (63%) had been entangled in the line. All
259 (n=8) hard-shelled turtles (loggerhead and olive ridley) were dead when landed.

260

261 [TABLE 4 here]

262

263 *3.3 Catch Sizes*

264 Lengths of six retained species were compared between hook types. Of these, swordfish
265 was the only species to show a significant difference in length by hook type ($p=0.004$), which
266 were significantly larger on circle hooks compared to tuna hooks (Table 5). Leatherback
267 turtles captured on hooks ranged in size from 92 to 151 cm CCL (average = 118.9 cm for tuna
268 hooks, 124.0 cm for circle hooks). Olive ridley turtles ranged in size from 56 cm to 65 cm
269 (average = 58.3 cm for Japanese tuna hooks, 62.3 cm for circle hooks). The loggerhead turtle
270 was 78 cm (Table 5).

271

272 [TABLE 5 here]

273

274

275 **4. Discussion**

276 Capture rates of commercially valuable bigeye tuna, swordfish and yellowfin tuna were
277 higher on circle hooks compared to Japanese style tuna hooks, while higher catch rates of
278 albacore were observed on Japanese tuna hooks compared to circle hooks. With regard to
279 bycaught and discarded species, blue sharks were caught with greater frequency on circle
280 hooks and longbill spearfish were caught with greater frequency on Japanese tuna hooks.
281 Despite expectations to the contrary, there were no differences in sea turtle catch rates nor in
282 the immediate survival of any species between hook types. There were no detectable
283 differences in the size distribution of any species between hook types except for swordfish,
284 which were significantly larger on circle hooks.

285 Hook type has shown inconsistent results with regards to catch composition, likely due
286 to difficulties isolating explanatory variables. For example, aspects of the gear and fishing
287 operation play large roles in influencing catch composition and abundance, but the relative
288 roles of each parameter remain largely uncertain. Important covariates to consider include
289 hook shape, hook size, bait type (e.g., squid vs. fish), ring presence, degree of hook offset,
290 baiting technique, gear depth, time of longline set and retrieval, fishing location, etc. The term
291 hook shape is used lightly as it often only implies the relative position of the point with
292 respect to the hook shank. However, by definition, the rounding of the hook also results in a
293 wider hook, which must also be considered. This study adds to the growing body of literature
294 on how gear can affect catch composition, which is essential to improve the accuracy of stock
295 assessment models as well as measures aimed to protect threatened and endangered species.

296

297 ***4.1 Effects of circle hooks on commercial species catch***

298 The observed higher catch rate of targeted bigeye tuna on circle hooks compared to tuna
299 hooks is consistent with similar experimental and commercial deep set fisheries data [4, 8, 18,
300 29]. The increased capture rate of yellowfin and albacore on circle hooks in a similar pelagic
301 longline fishery in the Atlantic ocean was also observed in earlier studies ([2, 30, 31]). While
302 higher rates of immediate survival have been associated with tunas caught on circle hooks
303 [31], this study found only a slightly higher rate of bigeye tuna immediate survival on circle
304 hooks, which suggests the potential for increased fish quality and market value [32]. Based
305 upon findings from numerous studies, and since the time of this experiment, the authors are

306 aware that many tuna fishers from both Taiwan and the United States have voluntarily
307 replaced traditional tuna or J-hooks with circle hooks.

308 This study found a significantly higher catch rate of bigeye tuna and swordfish on circle
309 hooks compared to tuna hooks, which was unexpected due to previously reported similar [31]
310 or lower catch rates of swordfish by circle hooks [4, 8, 15, 18]. We speculate that this higher
311 rate of retention in tunas may be the result of a relatively wider circle hook that reduces
312 premature dehooking. Watson and colleagues [4], however, reported that that use of fish bait
313 (vs. squid) could offset the loss (19% by weight) of swordfish caught on circle hooks
314 compared to J hooks, hence U.S. federal regulations allow for modifications regarding hook
315 type and bait to balance fisheries and conservation needs [17]. Considering that bigeye tuna is
316 the target species for this fleet, fishermen may be more likely to adopt circle hook use to
317 replace traditional Japanese hooks.

318 This may be the first report of a Japanese tuna hook associated with statistically higher
319 captures of albacore as compared to circle hooks. For most commercial species in this study,
320 catch rates were similar between hook types.

321

322 ***4.2 Effects of hook type on bycatch species***

323 Recent metadata analyses [33, 34] were conducted of published records in order to elucidate
324 the potential value of circle hook use as a tool for shark conservation in pelagic longline
325 fisheries. Godin and colleagues [33] found that circle hooks did not have a major effect on
326 shark catch rates across species examined, while Gilman and colleagues [34] found higher
327 catch rates associated with wider circle hooks in nearly all elasmobranch species, with the
328 exception of more variable responses within two species, blue and shortfin mako sharks
329 (*Isurus oxyrinchus*). In our study, circle hooks were associated with a higher capture rate of
330 blue sharks, a finding that differs from some studies (e.g., [33, 35, 36], yet is similar to
331 findings in several other studies [4, 8, 34]. In both metaanalyses [33,34], sharks captured on
332 wider circle hooks were associated with a higher rate of at-vessel survival as compared to
333 those caught on narrow J-hooks [33, 34]. This was not found in our study, where the
334 percentage of sharks landed on board alive was similar between hook types, a finding
335 observed previously [31]. In addition to hook shape, factors such as bait type, leader material,
336 shark species and size are all contributing explanatory variables that can influence both
337 species' capture risk as well as probability of immediate survival [34].

338 This study found the longbill spearfish, a relatively small somewhat rare istiophorid
339 billfish found in the Atlantic Ocean and adjacent seas, was associated with higher catch rates

340 on Japanese tuna hooks. Little is apparently known about this species, yet two animals tagged
341 in the Atlantic Ocean in 2004 [37] were found to spend the majority of their time in
342 temperatures between 22 °C –26 °C within the top 150m, and with the majority of the time at
343 depths < 25m. Based upon the fishes' depth utilization data, the authors postulate that bycatch
344 in deep-set longline gear, as in this study, occurs primarily at set and retrieval of the gear [37].

345

346 *4.3 Effects of hook type on sea turtles*

347 Deep set longline fishing generally has rates of sea turtle capture an order of magnitude
348 lower than shallow set longline fishing [3, 19, 21]. In addition to hook depth, there are also
349 operational differences, such as daytime vs. night time setting, soak time, bait type, etc., all of
350 which can influence overall catch composition. In this study on a Taiwanese vessel, baited
351 hooks were set deep to target deep-foraging species. By setting the longline deep, sea turtle
352 capture rates were relatively low, likely because the majority of the gear remained beyond the
353 depth range typically occupied by turtles. The nature of entanglement interactions,
354 particularly with leatherback turtles, precluded the ability to determine the depth of the initial
355 entanglement, but it is highly plausible that these interactions occurred during haulback or
356 setting when gear remains at the surface.

357 Use of relatively wider circle hooks in this study was not associated with fewer sea
358 turtles captured. This finding was surprising given previous reports that use of circle hooks
359 significantly reduced capture rates of leatherback turtles in a deep set fishery in the South
360 Atlantic Ocean [31]. Circle hooks were also associated with reduced capture rates of both
361 leatherback and hard-shell turtles compared to traditional hooks in shallow-set pelagic
362 longline fisheries [4, 8, 15]. In addition to a relatively low capture rate of sea turtles in this
363 study, the majority of the turtles, leatherbacks, were entangled in the line rather than caught
364 on the hook. It has been proposed that leatherback turtles may be drawn into the vicinity of
365 longline gear by lightsticks attached to branchlines [38], however this theory has never been
366 empirically confirmed, largely due to limited observations of fishing in the absence of
367 lightsticks for comparative purposes.

368 Fossette and colleagues [39] recently identified regions of susceptibility for leatherbacks
369 in longline fisheries the Atlantic Ocean by integrating spatiotemporal distribution and habitat
370 use by tracking animals with satellite transmitters between reproductive seasons and overlaid
371 with fisheries efforts. It is likely that the leatherbacks encountered in this study were in a
372 migratory South Atlantic corridor between their nesting sites in Gabon to South Atlantic
373 breeding grounds, which would occur during January–March. Leatherback turtle interactions

374 in this study corroborate the identified high-use areas, such as those occurring from 20°S to
375 45°S latitude, and the prediction for high susceptibility of leatherback turtles to longline
376 fishing gear in the equatorial central Atlantic [39].

377 This study corroborated previous studies that have shown that leatherback sea turtles are
378 most often foul hooked or entangled in line and that hard-shelled turtles are more likely to bite
379 baited hooks [4, 8, 40]. The immediate survival rate was similar for all sea turtle species
380 independent of hook type, which is similar to previous reports [31]. Post-release rates of
381 mortality were not investigated in this study.

382 Relatively few hard shelled turtles were captured, with the majority being olive ridley
383 turtles, which was predictable given that olive ridley turtle populations are believed to be the
384 most abundant of any species of sea turtles. Also the depth of the baited hooks and the
385 temperature of the associated water temperatures are similar to previously defined habitat for
386 olive ridley turtles in the North Pacific Ocean. Relatively little is known about the movements
387 of olive ridley turtles in this general oceanic area [41], although nesting is known to occur
388 throughout the west coast of Africa between Guinea Bissau and Angola[42]. The region is
389 particularly productive given the convergence of the northern Angolan current with the
390 relatively cool Benguela current from the south, perhaps creating ideal forage habitat [43].
391 Pikesley and colleagues [43] observed that post-nesting females from Gabon and Angola
392 foraged within oceanic waters where water depths were < 2000 m, with highest densities of
393 olive ridley associated with oceanic fronts within the Angolan Exclusive Economic Zone [43].
394 Previously described as generalist feeders on fish, molluscs, and crustaceans [44], [45] found
395 that oceanic olive ridley prey items included predominantly subsurface pyrosomes (*Pyrosoma*
396 *atlantica*) and salps (Salpidea) as well as surface-associated organisms, such as *Janthina sp.*
397 and cowfish (*Lactoria diaphana*), rendering them vulnerable to capture in fisheries that center
398 on highly productive areas, as in this study.

399

400 **4.4 Influence of hook depth on sea turtle interaction rates**

401 Most (64%) sea turtles were hooked on the first and second branchlines closest to the
402 float, which are the shallowest hooks deployed on a longline. These observations suggest that
403 in deep set longline gear, the type of hook and bait may have limited impact on reducing the
404 number of sea turtles captured. Rather, hook depth may be the most important explanatory
405 variable. All sea turtle species, including leatherback turtles, spend the majority of their time
406 in relatively shallow water, with loggerhead and olive ridley turtles observed to spend 90%

407 and 60% within the top 40 m, respectively [23, 45]. Despite the physiological capability of
408 leatherback turtles to dive >1000 m, most dives are less than 150 m [46, 47].

409 As a conservation approach, these shallow hooks could be eliminated from the gear,
410 which has been proposed [21] and tested [3]. In this scenario, the shallowest hook in a deep
411 set fishery would likely remain below ~ 100 m, thereby eliminating capture of epi-pelagic
412 species remaining near the surface at night, coinciding with night time fishing effort. In this
413 study, elimination of the two hooks closest to the float would have resulted in only a ~3% and
414 ~5% loss of commercially valuable bigeye tuna and swordfish capture, respectively. However,
415 albacore capture would have decreased by ~15% and yellowfin tuna by ~52%, thereby
416 suggesting significant economic loss to the fishery with this modification. Beverly and
417 colleagues [3] found that experimental sets with hooks deeper than 100 m in a Hawaii-based
418 tuna fishery had similar catch rates of bigeye tuna compared to control sets, but lower catch
419 rates of species with high market value, such as marlins, dolphinfish (*Coryphaena hippurus*),
420 and wahoo (*Acanthocybium solandri*). The conservation value of eliminating shallow hooks
421 could be very high, and could be evaluated in terms of revenue loss, as analyzed in [48].

422

423 **4.5 Influence of bait on sea turtle interactions**

424 Although three species of fish were used as bait throughout the experiment, the results of
425 a number of studies suggest that the use of whole finfish as opposed to squid bait may have
426 resulted in fewer sea turtles captured [4, 40, 49]. For statistical purposes, one species of
427 finfish would have been preferred over the three. However, the long duration of the trips and
428 the nature of the re-supply of the vessel made it unfeasible for the experiment to be conducted
429 using one bait type.

430 The use of fish bait in this study was likely a contributing factor in the absence of a
431 significant hook effect regarding leatherback sea turtles, which were primarily foul-hooked.
432 Mitigation methods that minimize the exposure of the hook point appear to be effective in
433 reducing captures by foul hooking. Circle hooks have been shown to reduce foul hooking due
434 to the fact that the point of the hook curves inward perpendicular to the shank, leaving the
435 point less exposed compared to J style hooks [4, 5]. Additionally, [4] found that use of large
436 fish bait has also been shown to be effective in reducing the incidence of foul hooking of
437 leatherbacks with J hooks, likely due to a shielding effect of the hook point by the fish bait.
438 However, Foster and colleagues [50] report that the sum effect of the two mitigation
439 techniques (circle hooks and fish bait) when combined is not cumulative. In that study, both
440 18/0 circle hooks with squid bait and 9/0 J hooks with mackerel bait significantly reduced the

441 catch rate of leatherback sea turtles by 66% and 76% respectively, compared to 9/0 J hooks
442 with squid bait. When the two experimental treatments were combined (i.e., 18/0 circle hook
443 with mackerel bait) the 63% observed reduction was comparable to the performance of each
444 treatment when tested independently. It is therefore likely that the leatherback sea turtle
445 results in the current study were due to shielding of the Japanese tuna hook point by fish bait,
446 which likely offset the mitigation benefit of the curved point of the circle hook in reducing
447 foul hooking.

448

449 ***4.6. Perception of Circle Hooks***

450 Regarding acceptability of circle hooks, comments by the Taiwanese captain and crew
451 suggest that the hardness of the stainless steel circle hooks make them more difficult to
452 re-shape once bent, resulting in a higher replacement rate compared to the tuna hooks.
453 Additionally, the replaced hooks cannot be reused and repaired by regular methods. On the
454 other hand, the crew believed that an advantage of circle hooks over traditional Japanese tuna
455 hooks was their improved ability to retain caught fish since they are not easily de-hooked.
456 From a conservation perspective, this may also result in increased injuries associated with
457 efforts to de-hook and release incidentally caught fish, thereby possibly reducing their
458 post-release survival.

459

460

461 **5. Conclusions**

462 This collaborative international research was conducted in direct response to RFMO
463 recommendations that encourage member countries to conduct experiments aimed to identify
464 new and confirm known means to reduce sea turtle bycatch in longline fishing gear.
465 Specifically, FAO guidelines have identified the following methods to effectively reduce sea
466 turtle mortality associated with longline fishing gear: 1) Use of large circle hooks with no
467 greater than a 10 degree offset, combined with whole fish bait; 2) Arrangement of gear
468 configuration and setting so that hooks remain active only at depths beyond the vertical range
469 of sea turtle interaction; and 3) Retrieval of longline gear earlier in the day thereby reducing
470 soak time of hooks [1]. Yet additional work remains to predict and avoid abundance of sea
471 turtles in fisheries hot spots, primarily with improved communication.

472 The results of this study suggest the need for additional biological and economic
473 analyses to explore the potential to eliminate shallow hooks in a deep set fishery in an effort

474 to balance conservation with commercial fishing. This may involve further understanding of
475 market value by fish species as well as the economic costs of capturing bycatch species.

476

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489

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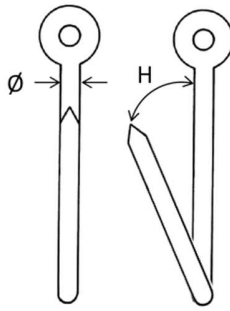
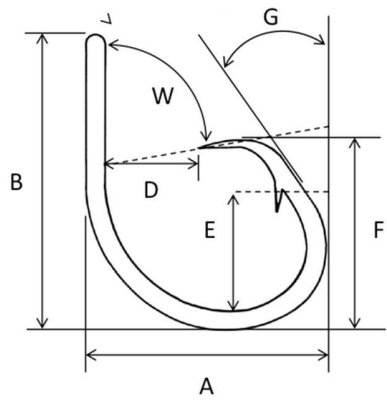
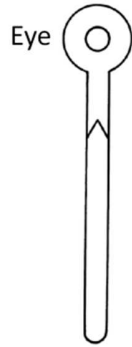
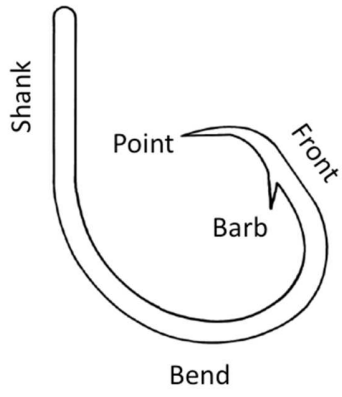
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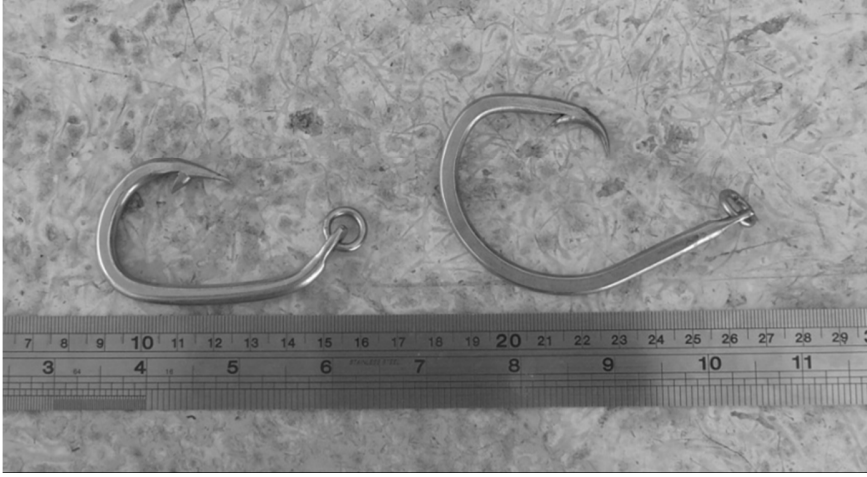
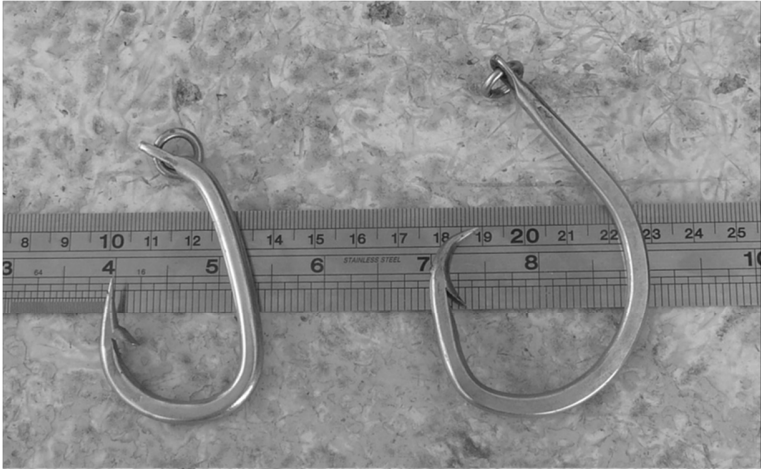
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627

Figure 1. Anatomy of a circle hook. Basic components (upper panel) and measurements (lower panel): minimum width (A); straight total length (B); gape (D); throat (E); front length (F); point angle (W); front angle (G); offset angle (H).

Figure 2. Dimensions of tuna hook (left) and circle hook (right) used in the experiment.

Figure 3. Fishing locations ($5^\circ \times 5^\circ$) and sea turtle bycatch distribution by species and fishing effort.





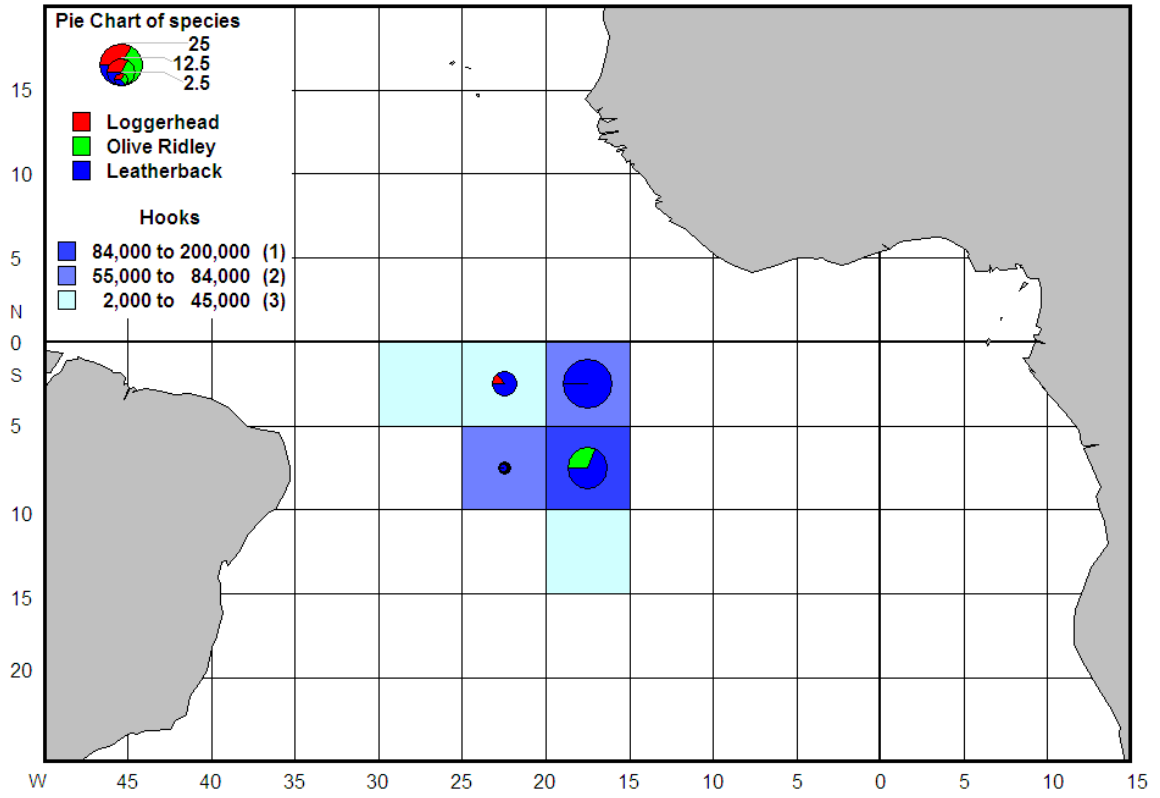


Table 1. Catch composition by hook type. CPUE = catch per unit effort, where catch = number of individuals captured.

Hook type Species	Circle hooks		Japanese Tuna hooks		Randomiz ation test P-value
	Total number	Average CPUE (#/1000 hooks)	Total number	Average CPUE (#/1000 hooks)	
Bigeye tuna	1155	5.66	945	4.63	0.0002
Yellowfin tuna	65	0.32	41	0.20	0.0449
Albacore	67	0.33	103	0.50	0.0009
Swordfish	341	1.67	220	1.08	0.0001
Longbill spearfish	115	0.56	146	0.72	0.0097
Blue shark	611	3.00	564	2.76	0.0209
Sea turtles	18	0.09	18	0.09	1.0000

Table 2. Sea turtle captures by hook type. Number of turtles dead when landed are noted in parentheses.

Hook Type	Loggerhead	Olive ridley	Leatherback	Total
Japanese Tuna	1 (1)	3 (3)	14 (4)	18 (8)
Circle	0	3 (3)	15 (2)	18 (5)
Entangled	0	1 (1)	18 (10)	19 (11)
Sum	1 (1)	7 (7)	47 (16)	55 (24)

Table 3. Sea turtle anatomical hooking location by hook type. Note: olive ridley=LO. All others were leatherback with the exception of one loggerhead.

	Tuna hook	Circle hook	Entangled	Total
Not hooked			19 (1 LO)	19
External	11	11		22
Internal	5	6		11
Unknown hooking location	2	1		3
Total	18	18	19	55

Table 4. Effect of hook type on immediate survival of animal upon being boated.

Species	Percent survival		Odds ratio (P-value)
	Tuna hook	Circle hook	

Leatherback turtle	71.4	86.7	0.38 (0.38)
Blue shark	69.0	69.1	0.99 (1.00)
Bigeye tuna	42.0	46.0	0.85 (0.70)
Albacore	22.3	31.3	0.63 (0.20)
Yellowfin tuna	26.8	29.2	0.88 (0.82)
Swordfish	15.0	15.0	1.00 (1.00)
Longbill spearfish	12.3	13.9	0.87 (0.72)
Loggerhead turtle	0.0	N/A	N/A
Olive ridley turtle	0.0	0.0	1.00

Table 5. Catch size composition (cm) by species and hook type. CCL=curved carapace length (cm); FL=fork length; LL=lower fork length. *=Statistically different.

Species	Tuna hooks		Circle hooks			P value
	Average (\pm SE)	Range (cm)	Average (\pm SE)	Range (cm)		
Sea Turtles (CCL)						
Loggerhead	78.00	78- 78				
Olive ridley	58.33 \pm 3.21	56- 62	62.33 \pm 3.06	59 ~65		
Leatherback	118.92 \pm 19.79	93- 151	124.00 \pm 15.68	92 ~147		
Tuna (FL)						
Albacore	104.14 \pm 4.32	92- 126	103.95 \pm 3.88	96 ~111		0.777
Bigeye tuna	134.51 \pm 23.54	76- 193	135.64 \pm 23.23	76 ~192		0.285
Yellowfin tuna	139.73 \pm 13.97	103- 164	139.68 \pm 13.20	117 ~170		0.983
Billfish (LL)						
Swordfish	164.37 \pm 23.59	113-248	170.90 \pm 25.09	76 ~265		0.004*
Longbill Spearfish	161.67 \pm 10.07	131-164	161.21 \pm 17.87	117 ~170		0.815
Sharks (FL)						
Blue shark	183.26 \pm 17.54	70- 232	183.85 \pm 16.91	70 ~255		0.566