

1 **Low-level embryonic crude oil exposure disrupts ventricular ballooning and subsequent**  
2 **trabeculation in Pacific herring**

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21

22 **Abstract**

23

24 There is a growing awareness that transient, sublethal embryonic exposure to crude oils cause  
25 subtle but important forms of delayed toxicity in fish. While the precise mechanisms for this loss  
26 of individual fitness are not well understood, they involve the disruption of early cardiogenesis  
27 and a subsequent pathological remodeling of the heart much later in juveniles. This  
28 developmental cardiotoxicity is attributable, in turn, to the inhibitory actions of crude oil-derived  
29 mixtures of polycyclic aromatic compounds (PACs) on specific ion channels and other proteins  
30 that collectively drive the rhythmic contractions of heart muscle cells via excitation-contraction  
31 coupling. Here we exposed Pacific herring (*Clupea pallasii*) embryos to oiled gravel effluent  
32 yielding ΣPAC concentrations as low as ~ 1 µg/L (64 ng/g in tissues). Upon hatching in clean  
33 seawater, and following the depuration of tissue PACs (as evidenced by basal levels of *cyp1a*  
34 gene expression), the ventricles of larval herring hearts showed a concentration-dependent  
35 reduction in posterior growth (ballooning). This was followed weeks later in feeding larvae by  
36 abnormal trabeculation, or formation of the finger-like projections of interior spongy  
37 myocardium, and months later with hypertrophy (overgrowth) of the spongy myocardium in  
38 early juveniles. Given that heart muscle cell differentiation and migration are driven by Ca<sup>2+</sup>-  
39 dependent intracellular signaling, the observed disruption of ventricular morphogenesis was  
40 likely a secondary (downstream) consequence of reduced calcium cycling and contractility in  
41 embryonic cardiomyocytes. We propose defective trabeculation as a promising phenotypic  
42 anchor for novel morphometric indicators of latent cardiac injury in oil-exposed herring,  
43 including an abnormal persistence of cardiac jelly in the ventricle wall and cardiomyocyte  
44 hyperproliferation. At a corresponding molecular level, quantitative expression assays in the  
45 present study also support biomarker roles for genes known to be involved in muscle

46 contractility (*atp2a2*, *myl7*, *myh7*), cardiomyocyte precursor fate (*nkx2.5*) and ventricular  
47 trabeculation (*nrg2*, and *hbegfa*). Overall, our findings reinforce both proximal and indirect roles  
48 for dysregulated intracellular calcium cycling in the canonical fish early life stage crude oil  
49 toxicity syndrome. More work on Ca<sup>2+</sup>-mediated cellular dynamics and transcription in  
50 developing cardiomyocytes is needed. Nevertheless, the highly specific actions of ΣPAC  
51 mixtures on the heart at low, parts-per-billion tissue concentrations directly contravene classical  
52 assumptions of baseline (i.e., non-specific) crude oil toxicity.

53 **1. Introduction**

54 As forage fish, Pacific herring (*Clupea pallasii*) are a keystone species for food webs in the  
55 northern Pacific Ocean and the Bering Sea (McKechnie et al., 2014). They spawn adhesive,  
56 demersal embryos on nearshore vegetation and other substrates and are therefore susceptible to  
57 developmental toxicity from shoreline pollution. This is particularly true for oil spills, including  
58 the 1989 Exxon Valdez and the 2007 Cosco Busan oil spills. These surface spills from grounded  
59 ships oiled the nursery habitats for herring shortly before the annual spawning seasons in Prince  
60 William Sound and San Francisco Bay, respectively. Following the Exxon Valdez spill, field  
61 collections of herring larvae that hatched along oiled shorelines identified an injury syndrome of  
62 developmental abnormalities typified most prominently by pericardial and yolk sac fluid  
63 accumulation (edema or “ascites”; Marty et al., 1997). Subsequent laboratory studies using an  
64 exposure system designed to emulate an oiled shoreline (effluent from oiled gravel columns)  
65 linked this syndrome to the bioconcentration of polycyclic aromatic hydrocarbons (PAHs), or  
66 more specifically, polycyclic aromatic compounds (PACs), a broader term that encompasses  
67 related heterocyclic compounds (Carls et al., 1999). The 2007 Cosco Busan bunker spill involved  
68 a different type of oil – namely, the bunker fuel used to power large marine vessels worldwide.  
69 Crude and bunker oils represent the beginning and end of the refinement process, respectively,  
70 and therefore have different chemical compositions (Uhler et al., 2007; Wang et al., 2003).  
71 Specifically, fuel oil is a residual and highly viscous fraction enriched in many contaminants  
72 with as-yet uncharacterized chemical and toxicological properties. The Cosco Busan oil proved  
73 highly lethal to herring embryos and larvae by a process involving photosensitization (Hatlen et  
74 al., 2010; Incardona et al., 2012b). This was in addition to the canonical cardiotoxic effects of

75 PACs, which were evident as edema in caged (submerged) embryos and natural spawn up to two  
76 years after the spill (Incardona et al., 2012a).

77 For every fish species tested, embryonic exposure to crude oil leads to a syndrome of  
78 embryo-larval heart failure, marked by the accumulation of edema. This has been observed for  
79 an extensive diversity of freshwater and marine fish, exposure methods and geological sources of  
80 crude oil and its refinery fuel products (e.g., Adeyemo et al., 2015; Jung et al., 2017; Li et al.,  
81 2018; Linden, 1978; Madison et al., 2017; Pollino and Holdway, 2002; Raine et al., 2017).  
82 Studies over the past 15 years on Pacific herring and several other select species have identified  
83 specific aspects of cardiac failure as the central etiology of this crude oil developmental toxicity  
84 syndrome (reviewed by Incardona and Scholz, 2018; Incardona, 2017; Incardona and Scholz,  
85 2016). Along a concentration-response gradient, exposures to petrogenic mixtures containing  
86 PACs at relatively high and yet environmentally-relevant levels (i.e., water concentrations of  $\geq$   
87  $10 \mu\text{g/L}$   $\Sigma\text{PACs}$  producing tissue levels of  $\geq 1000 \text{ ng/g}$  wet weight) cause severe heart  
88 malformation, secondary extracardiac defects, and embryolarval lethality (e.g., Esbaugh et al.,  
89 2016; Incardona et al., 2009; Incardona et al., 2005; Incardona et al., 2004; Incardona et al.,  
90 2014; Jung et al., 2015; Morris et al., 2018; Sørhus et al., 2016b). At lower concentrations (i.e.,  
91  $\lesssim 1 \mu\text{g/L}$   $\Sigma\text{PACs}$  in water producing  $\lesssim 200 \text{ ng/g}$  wet weight in tissues), embryos survive  
92 transient cardiac dysfunction but subsequently grow poorly as juveniles with reduced survival  
93 rates (Heintz, 2007; Heintz et al., 2000; Incardona et al., 2015). Importantly, these survivors had  
94 subtle abnormalities in cardiac structure indicative of pathological remodeling, including altered  
95 ventricular shape, reduced compact myocardium, and hypertrophic spongy myocardium. These  
96 adverse anatomical changes were coupled in turn with reduced cardiorespiratory performance, as  
97 measured by lower critical swimming speeds (Hicken et al., 2011; Incardona et al., 2015).

98 Consistent with these structural and functional abnormalities, transcriptome sequencing of  
99 isolated juvenile pink salmon (*Oncorhynchus gorbuscha*) hearts revealed changes in the  
100 expression of genes involved in cardiomyocyte proliferation and hypertrophy (enlargement or  
101 overgrowth), inflammation, and innate immunity (Gardner et al., 2019).

102 It is well established that cardiac function and form are inextricably interdependent processes  
103 that together shape heart development in fish and other vertebrates (for review see Andrés-  
104 Delgado and Mercader, 2016; Miquerol and Kelly, 2013). In this context, it has been shown that  
105 3-ring classes of PACs that are commonly enriched in crude oil (e.g., the tricyclic  
106 phenanthrenes) directly and specifically interfere with cardiac function. This is evidenced by the  
107 disruption of cyclical action potential generation and intracellular calcium cycling in isolated  
108 heart muscle cells, or cardiomyocytes (Brette et al., 2014; Brette et al., 2017). These twin effects  
109 underlie the whole-heart phenotypes of 1) heart rate and rhythm defects and 2) reduced  
110 contractility observed in developing embryos (Edmunds et al., 2015; Incardona et al., 2009;  
111 Incardona et al., 2004; Incardona et al., 2014; Incardona et al., 2013; Jung et al., 2013; Morris et  
112 al., 2018; Sørhus et al., 2016b). Developmental genetics in zebrafish have clearly demonstrated a  
113 role for cardiac function in multiple aspects of late cardiogenesis, including chamber looping,  
114 initial proliferation of ventricular cardiomyocytes, outgrowth of the ventricle (ballooning) and  
115 formation of the internal spongy myocardium (trabeculation) (e.g., Berdougo et al., 2003;  
116 Dietrich et al., 2014; Jimenez-Amilburu et al., 2016; Rasouli and Stainier, 2017; Rottbauer et al.,  
117 2001); for a recent review, see (Sidhwani and Yelon, 2019). Intracellular Ca<sup>2+</sup> handling is  
118 particularly central to many of these morphological processes, presumably via excitation-  
119 transcription coupling (reviewed by Ljubojevic and Bers, 2015; Wamhoff et al., 2006).  
120 Hypothesis-driven and exploratory transcriptome analyses of several marine fish embryos

121 exposed to crude oil have identified changes in the expression of genes critical for  
122 cardiomyocyte Ca<sup>2+</sup> dynamics, as well as transcription factors and signaling molecules with  
123 known roles in heart development (Edmunds et al., 2015; Sørhus et al., 2017; Sørhus et al.,  
124 2016b; Xu et al., 2016). At the upper end of the concentration-response relationship ( $\geq 10 \mu\text{g/L}$   
125  $\Sigma\text{PACs}$ ), the crude oil cardiotoxicity syndrome mimics genetic loss-of-function in zebrafish  
126 (e.g., Arnaout et al., 2007; Ebert et al., 2005; Rottbauer et al., 2001), leading to complete heart  
127 failure and death soon after hatching (e.g., Esbaugh et al., 2016; Khursigara et al., 2017; Laurel  
128 et al., 2019). For wild fish that spawn in more lightly oiled habitats, uncertainty remains with  
129 respect to the mechanisms and processes that extend from mild functional impairment in  
130 transiently-exposed embryos to delayed toxicity in the form of altered cardiac structure and  
131 performance in surviving larvae, juveniles, or adults. Such latent impacts have been initially  
132 investigated in zebrafish (Hicken et al., 2011) as well as Pacific herring and pink salmon  
133 (Incardona et al., 2015).

134 Here we conducted a detailed anatomical and functional investigation of the hearts of Pacific  
135 herring through the larval and early juvenile stages following embryonic exposure to trace levels  
136 of crude oil. We specifically focused on circulatory-dependent morphogenetic processes that  
137 give rise to the fine structure of the developing ventricle, including the expression of genes  
138 known to be involved in the shape, orientation, and migration of ventricular cardiomyocytes  
139 during the major windows for initiation of ventricular ballooning and trabeculation). Lastly, we  
140 identify potentially novel molecular indicators at the embryonic stage that presage latent,  
141 abnormal cardiac remodeling in response to sublethal oil toxicity. These findings substantively  
142 refine and extend our current understanding of the PAC-driven adverse outcome pathway(s) in

143 developing fish, and suggest new tools for measuring contaminant exposure and injury in the  
144 aftermath of future oil spills.

145

## 146 **2. Materials and methods**

147 *Herring collection and fertilization.* Ripe herring were captured from spawning aggregations by  
148 hook and line March 3, 2016 in Yaquina Bay, Newport, OR under Oregon Department of Fish  
149 and Wildlife permit #20039. Ovaries and testes were dissected and stored on ice in humidified  
150 100 mm plastic Petri dishes until fertilization. The average mass of ovaries (mean  $\pm$  S.D.) was  
151  $19.3 \pm 5.2$  g from 46 females with a fork length of  $18.8 \pm 1.0$  cm and a total body mass of  $81.4 \pm$   
152  $13.6$  g. The corresponding weight of testes averaged  $16.8 \pm 5.3$  g from 26 males with a fork  
153 length of  $19.3 \pm 1.0$  cm and a total body mass of  $81.0 \pm 11.8$  g. Fertilizations were carried out as  
154 detailed elsewhere (Griffin et al., 1998), using polyvinyl alcohol to prevent the clumping of eggs  
155 as they were distributed onto each of 16 sheets of 1 mm nylon mesh. Each 21x24 cm sheet held  
156 10–20 g of embryos from 3–4 pooled females and two pooled males. Fertilizations were  
157 completed within a 3 hr period, and eggs were held overnight in a 4 ft tank supplied with 10°C  
158 seawater. Subsequent microscopic assessment confirmed a successful fertilization rate of  $76.2 \pm$   
159  $8.5\%$ .

160

161 *Exposure to oiled gravel effluents.* Exposures were carried out at the NOAA Northwest Fisheries  
162 Science Center's Newport Research Station at the Hatfield Marine Science Center (Newport,  
163 OR) using filtered seawater pumped from adjacent Yaquina Bay maintained at 10°C. Oiled  
164 gravel was prepared using artificially weathered Alaska North Slope crude oil as previously  
165 described (Incardona et al., 2012b). Gravel was coated with oil in a dosing series of 0.25, 0.5,



166 and 1.0 g mass of oil per kg gravel. Columns were constructed using polyvinyl chloride plastic  
167 pipe (9.6 cm diameter) cut into 58 cm-tall sections and filled with ~ 1100 cm<sup>3</sup> of gravel. Water  
168 flow through each column (3.5 L/h) was vertical from an inflow at the base to an outflow at the  
169 top collected into individual 75-L glass aquaria fitted with outflow bulkheads to maintain a  
170 steady-state volume of ~ 68 L. A total of 16 columns were used, including n = 4 replicates of  
171 clean gravel (control treatment) and each of the three oil mass doses. Temperature in the  
172 exposure effluents was controlled by placing the aquaria in a water table supplied with high-  
173 flow, 10°C seawater. The outflow from each aquarium was collected and decontaminated with  
174 activated charcoal. Embryos were exposed to column effluents beginning at 24 hr post-  
175 fertilization by vertically suspending the mesh sheets. Overall experimental design for exposure,  
176 transfer to clean water, post-exposure growth, all sample types and sampling points is provided  
177 in Fig. S1. Samples collected for lipid analyses were used to obtain dry weight values, but  
178 otherwise are the subject of a separate report.

179

180 *Larviculture.* Upon completion of the exposure window prior to hatching (10 dpf), each strip of  
181 remaining mesh with adhering embryos was cut into two equal pieces and transferred to the  
182 bottom of duplicate 400 L cylindrical flow-through fiberglass tank fitted with black plastic  
183 lining. Herring larvae hatched between 12 and 15 dpf. Hatching was quantified at 15 dpf by  
184 collecting 12 random images of each mesh and counting empty chorions and total embryos in  
185 each image. Total hatch per sheet was calculated as the mean percent empty chorions (N = 12),  
186 and the overall hatch per treatment calculated as the mean of the 4 sheets per dose. Clean  
187 seawater was supplied (1 - 5 L per min) through a submerged spray bar positioned at an angle  
188 near the bottom of the tank to minimize disturbance to the larvae. Additional water column

189 circulation was provided by an air stone, and tanks were maintained at 10° C. Larvae were  
190 exposed to a light regimen consistent with ambient local conditions via skylight, supplemented  
191 by fluorescent lighting for 8:16 h light:dark. Larvae were fed live rotifers and brine shrimp.  
192 Rotifers (*Brachionus plicatilis*) were cultured in filtered and aerated seawater at 25-28 °C, pH =  
193 6.7-8.5, and a salinity 18-22 ppt adjusted using dechlorinated municipal freshwater. Brine shrimp  
194 (*Artemia*) were cultured in seawater with 0.75 g/L sodium bicarbonate at 25-30 °C, pH = 8.0-8.5,  
195 salinity = 25-35 ppt, and a 2000 lux light intensity. Rotifers were enriched to 0.3 g/million for 8-  
196 16 hrs with Algamac (BioMarine) and *Artemia* were enriched to 0.5 g/L for 24 hrs with S.presso  
197 (Selco). Triplicate counts for either prey were determined daily via compound microscope, with  
198 feed volumes adjusted as needed to meet targeted densities.

199 Herring larvae were fed enriched rotifers from days 2-30 post-hatching at a density of 5  
200 prey/ml (500,000 rotifers per 100 L seawater) twice daily. From days 5-124, larvae were fed  
201 enriched *Artemia* twice daily at a density of 2-4 prey/mL. To improve the visual contrast for  
202 larval capture of rotifer and shrimp prey, green water (Roti-grow Green, Reed Mariculture) was  
203 added to each grow-out tank prior to feeding. Beginning at 73 days post-hatch, herring larvae  
204 were transitioned to a custom trout feed (< 600 µm pellet size; Rangen) at a rate of twice daily.  
205 Individual tanks were cleaned weekly by siphoning.

206

207 *Analysis of PACs in water and embryo tissues.* Water samples (200 mL) were collected by glass  
208 pipet at exposure days 1 and 10, stabilized by the addition of 20 mL dichloromethane, and stored  
209 in glass amber bottles at 4°C. At exposure day 10, ~ 1–2 g embryos were removed from each  
210 mesh, placed in pre-cleaned 125 mL glass I-CHEM jars (300 series; VWR Scientific), and stored  
211 at –20 °C until analysis. Forty two PAC analytes were quantified (Data S1) as detailed previously

212 (Sloan et al., 2014). In brief, samples were extracted with methylene chloride using separatory  
213 funnels (water) or an accelerated solvent extractor (tissue). PAC extracts were subsequently  
214 cleaned up by silica/aluminum columns (to remove highly polar compounds) and size-exclusion  
215 high-performance liquid chromatography (to remove lipids) before final quantification. The  
216 PACs were then separated on a 60 m DB-5 gas chromatography (GC) capillary column for  
217 detection on an electron impact mass spectrometer (MS) in selected-ion monitoring mode. In  
218 cases where a PAC compound was not detected in a sample, the value was reported as “less than  
219 the limit of quantification”, or “<LOQ”. A LOQ concentration was calculated for each sample  
220 based on sample mass and instrument performance for each batch of samples. Summed PAC  
221 concentrations ( $\Sigma$ PACs; see below) were calculated from detected values only. Complete PAC  
222 data are provided in supplemental file Data S1.

223

224 *Sampling and live imaging of embryos and larvae.* For gene expression analyses, embryos were  
225 sampled every other day during exposures (2, 4, 6, 8, and 10 dpf). A section was trimmed from  
226 each mesh and 20 embryos per exposure replicate (4 replicates per treatment) were removed after  
227 confirmation of viability via stereomicroscope inspection. After hatch (14 dpf), free-swimming  
228 yolk-sac larvae (20 per replicate) were randomly captured from the water column by wet bailing  
229 and concentration in cell strainers (Falcon 100  $\mu$ m mesh, VWR #21008-950), anesthetized with  
230 MS-222, and transferred to sample collection tubes. Embryos and larvae were flash frozen on  
231 liquid nitrogen and stored at -80 °C until extraction.

232 For phenotypic quantification after hatch, yolk sac larvae were randomly captured from each  
233 replicate tank, concentrated in cell strainers, and anesthetized with MS-222 titrated to inhibit a  
234 touch response. For each replicate, 30 larvae were mounted laterally (anterior to left) in 100-mm

235 petri dishes filled with agarose containing slots molded into it by glass capillary tubes. Petri  
236 dishes were filled with seawater and maintained at 12 °C using Peltier-cooled microscope stages  
237 (Brook Industries, Lake Villa, IL). Ten second digital video clips were collected for each larva  
238 focused on the heart region using the highest magnification on Nikon SMZ-800  
239 stereomicroscopes fitted with Unibrain Fire-i780c cameras (Unibrain.com), connected via  
240 firewire to a laptop computer with the BTV Pro application (Bensoftware.com). For each  
241 replicate, several larvae were selected at random for representative composite images of the  
242 entire animal. Using two imaging stations, 480 larvae were imaged over an 8-hour period, with  
243 all dose levels randomized throughout. After imaging, larvae were collected into sample tubes  
244 (30 per tube) fixed for several hours in 4% Millinog's phosphate-buffered paraformaldehyde,  
245 rinsed in phosphate-buffered saline (PBS), and then transferred into methanol and stored at -20  
246 °C. Similar methods were used to capture digital images and video from and subsequently fix  
247 representative larvae for each treatment at 20 and 67 dpf. At 125 dpf, fish were sacrificed by  
248 MS-222 overdose and the hearts dissected in herring Ringer's solution, immediately digitally  
249 photographed in the lateral and dorsal views using Nikon SMZ-800s, and then fixed in Dietrich's  
250 solution overnight and stored in 70% ethanol at - 20°C. Fork length (FL) and weight (W) were  
251 collected for each fish at 125 dpf, and these measures used to calculate the condition factor K,  
252 using the formula  $K = FL \text{ (cm)} / W \text{ (g)}^3 \times 100$ .

253

254 *Antibody labeling and imaging.* For 67 dpf samples, whole herring larvae were rehydrated from  
255 storage in fixative via a graded methanol-PBS series. The trunk was then transected just posterior  
256 to the heart to facilitate whole mount immunolabeling. Head structures were subsequently  
257 permeabilized with distilled water (10 min) followed by several hours incubation in PBS + 0.1%

258 Triton X-100 (PBT) with 3% normal goat serum. The tissues were then incubated overnight at  
259 room temperature in an anti-myosin heavy chain monoclonal antibody MF20 (Developmental  
260 Studies Hybridoma Bank, University of Iowa) at 1  $\mu$ g/mL. After three PBT washes (several  
261 hours each), the samples were incubated overnight in AlexaFluor-568-conjugated goat-anti-  
262 mouse IgG<sub>2b</sub> secondary antibody (ThermoFisher Scientific), cleared in 50% glycerol/PBS, and  
263 then labeled with 300 nM DAPI (20 min). Finally, whole hearts were carefully dissected from  
264 the head structures and mounted under a coverslip either laterally or ventral side up in 3%  
265 methylcellulose. Fluorescence was imaged using a Zeiss LSM5 Pascal confocal system with 405  
266 and 543 nm laser excitation lines.

267 Hearts dissected from 125 dpf fish were embedded in paraffin and sectioned at 5  $\mu$ m  
268 thickness. Slides were de-paraffinized in xylene substitute, hydrated through an alcohol series,  
269 and rinsed with dH<sub>2</sub>O. Antigen unmasking was performed by heating tissue sections at 94 – 99°C  
270 in buffer containing 10 mM sodium citrate and 0.05% Tween 20, pH 6.0, for ten minutes,  
271 followed by cooling at room temperature for thirty minutes. In a room temperature humidity  
272 chamber, slides were treated for 5 min with PBS, then 30 min with block solution (PBS, 0.1%  
273 Triton X-100, 5% heat-inactivated normal goat serum, 1% DMSO, and 0.02% sodium azide),  
274 then overnight with primary antibody (anti-myosin heavy chain, MF20) at 1  $\mu$ g/ml in block  
275 solution. This was followed by three washes for five minutes each with PBST (PBS and 0.1%  
276 Tween X-100), then overnight incubation with secondary antibody (goat anti-mouse IgG Alexa  
277 Fluor 488, ThermoFisher Scientific #A-11001) diluted 1:2,000 in block solution. Slides were  
278 then washed three times for five minutes each with PBST, and five minutes with PBS. Nuclei  
279 were stained with 600 nM DAPI (Invitrogen #D3571) in PBS for five minutes, followed by three  
280 rinses with PBS. Slides were mounted in 50% glycerol in PBS and 2-3 sections per heart (6

281 control, 7 exposed) imaged using an AxioCam HRm digital camera and a Zeiss LSM 5 Pascal  
282 confocal system with an Ar laser. Fiji software was used to quantify the number of DAPI+  
283 nuclei and the percent trabeculation within the ventricle. Region Of Interest selection was used  
284 to measure total ventricle area and Color Threshold analysis was used to quantify percent MF20+  
285 ROI within the entire ventricle (percent trabeculation). Sections for imaging were selected based  
286 on similar profiles of central structures (e.g., bulbus arteriosus and atrioventricular valve).

287

288 *RNA extraction and quantitative real-time PCR.* Total RNA was extracted by homogenizing  
289 samples in TRIzol (5% v/v, ThermoFisher Scientific) and then purified with a Direct-zol™ RNA  
290 MiniPrep column (Zymo Research Cat# R2051). RNA concentrations and purities were  
291 measured using a Nanodrop ND-1000 Spectrophotometer. Superscript IV (ThermoFisher Cat  
292 #18090050) with oligo dt(20) primers was used to synthesize cDNA. For characterization of  
293 cardiac target genes, hearts and swimming muscle samples were collected from each of three 1-  
294 yr old cultured juvenile fish obtained from the US Geological Survey's Western Fisheries  
295 Research Center Marrowstone Field Station.

296 Reverse transcriptase quantitative polymerase chain reaction (qPCR) was performed on a  
297 Viiia™ 7 Real-Time PCR system with Fast SYBR Green (ThermoFisher Scientific). Gene-  
298 specific RT-qPCR primers were designed using Primer3 (<http://bioinfo.ut.ee/primer3/>) and  
299 synthesized by Integrated DNA Technologies, Inc. (Coralville, Iowa). Herring-specific target  
300 sequences for primer design were identified by BLAST searches based on sequences from either  
301 an Atlantic haddock (*Melanogrammus aeglefinus*) embryonic transcriptome (Sørhus et al.,  
302 2016a; Sørhus et al., 2017) or the coding region of zebrafish genes (National Center for  
303 Biotechnology Information online database), using either an Atlantic herring (*C. harengus*)

304 genome assembly (NCBI accession number ASM96633v1; (Martinez Barrio et al., 2016) or two  
305 published Pacific herring transcript libraries (Roberts et al., 2012). The herring genes identified  
306 by this approach were annotated using BLAST-based sequence alignments. Primer sequences are  
307 provided in Table S1.

308 Candidate reference genes were selected based on a lack of oil exposure treatment effect  
309 from the previously published Atlantic haddock embryonic and larval transcriptomes (Sørhus et  
310 al., 2017). These included *ef1a* (elongation factor 1 alpha), *mtm1* (myotubularin 1), *rxrba*  
311 (retinoid receptor X beta a), *spop1* (speckle type BTB/POZ protein 1), and *wdtc1* (WD and  
312 tetratricopeptide repeats 1). Absence of an oil exposure effect for herring orthologs of these  
313 genes was confirmed by analyzing qPCR data for the current herring oil exposure using a  
314 consensus of BestKeeper, GeNorm, and NormFinder algorithms with the RefFinder program  
315 (Xie et al., 2012). Based on this analysis, *mtm1* was eliminated and the other four genes retained  
316 (*ef1a*, *rxrba*, *spop1* and *wdtc1*). The reference gene selection approach was validated by running  
317 target gene qPCR raw data through the same algorithms, which failed in each case. Due to the  
318 low RNA yield of samples, primer efficiency was measured using standard curves generated  
319 from cDNA synthesized from the same cohort. Primer efficiencies and  $r^2$  values were within  
320 acceptable range for each reference gene (Nolan et al., 2006). Normalized quantification  
321 threshold (Ct) values (dCt) and fold-change values relative to controls were calculated with the  
322 Comparative Ct method, using the geometric mean of the four reference genes (Schmittgen and  
323 Livak, 2008).

324

325 *Data analysis and statistics.* Cardiac morphology and function were quantified from digital  
326 videos collected from yolk sac larvae at hatch (14 dpf). All video files were blinded and analyzed

327 with ImageJ (<https://imagej.nih.gov/ij/>), with a consistent individual observer measuring all of  
328 the quantitative aspects of a given phenotype across replicates and treatments. Each video was  
329 carefully screened for quality criteria (e.g., alignment of specimen), and those not passing all  
330 criteria for a particular phenotype were excluded for that measure. Each endpoint was measured  
331 from a minimum of 23 larvae per replicate. Heart rates were measured by manually counting the  
332 number of complete contractions in each 10 sec video clip. The presence of edema was scored by  
333 deformation of the anterior yolk margin and increased pericardial area measured as detailed  
334 elsewhere (Incardona and Scholz, 2016). Heart chamber areas were measured with the freehand  
335 trace tool in ImageJ, at peak diastole and systole as identified by manually advancing through  
336 frames. Total areas for both chambers were measured at both phases of the cardiac cycle, while  
337 the posterior balloon portion of the ventricle was measured only at diastole. Cardiac jelly  
338 thickness was measured with the ImageJ straight line tool in the first 10 videos collected for each  
339 replicate, at the same location in the posterior ventricle and ventral wall of the atrium. Fractional  
340 shortening and AV angle were calculated from the chamber diameters as described elsewhere  
341 (Edmunds et al., 2015).

342 Data were analyzed by regression models using Prism 7 (GraphPad Software). Nonlinear  
343 models were used for cardiac endpoints, with specific(Edmunds et al., 2015) model parameters  
344 selected by statistical comparison. Lowest effective doses were determined by one-way ANOVA  
345 using JMP 13 (SAS Institute), with replicates nested within treatment to resolve tank effects. If a  
346 tank effect was present ( $p \leq 0.05$ ), a one-way ANOVA was performed on replicate means.  
347 Means were compared using Dunnett's post-hoc test. Trabecular spacing data were analyzed by  
348 ANOVA with individual fish nested within treatment, followed by Dunnett's post-hoc test.  
349



### 350 **3. Results**

351 *3.1. Measured PAC concentrations in exposure water and herring tissue.* As anticipated from  
352 previous oiled gravel column studies (e.g., Incardona et al., 2012b)), PAC levels were in the  
353 target range for the nominal levels of gravel coating and declined over time (Table 2 and Data  
354 S1). The initial total ( $\Sigma$ )PAC concentrations for the 0.25, 0.5 and 1.0 g/kg oiled gravel treatments  
355 (averaged across the four replicate columns) were  $1.3 \pm 0.1$ ,  $1.9 \pm 0.2$  and  $4.2 \pm 0.6$   $\mu\text{g/L}$ , with  
356 clean gravel controls at  $0.070 \pm 0.002$   $\mu\text{g/L}$ . The PAC compositions (Fig. S2) showed a fairly  
357 weathered pattern, dominated by naphthalene and phenanthrene homologs with higher degrees of  
358 alkylation (i.e., C3- and C4-naphthalenes and C2- and C3-phenanthrenes). By the end of  
359 exposure (day 10), embryos accumulated  $64 \pm 10$ ,  $140 \pm 36$ , and  $238 \pm 27$  ng/g  $\Sigma$ PACs for the  
360 0.25, 0.5 and 1.0 g/kg oiled gravel columns, respectively, with control tissue concentrations at  
361 background levels of  $10 \pm 1$  ng/g. When corrected for embryo lipid content (Table 2), this dosing  
362 range ( $\Sigma$ PAC  $\sim 4000 - 13,000$  ng/g lipid) overlaps closely with previous pink salmon exposures  
363 ( $\sim 2000 - 23,000$  ng/g lipid) yielding oil-induced abnormalities in juvenile heart function,  
364 histological structure, and gene expression (Gardner et al., 2019; Incardona et al., 2015). For the  
365 low and medium column loading treatments (0.25 and 0.5 g/kg oil/gravel), the PAC patterns  
366 were shifted slightly toward a higher ratio of phenanthrenes to naphthalenes in both water and  
367 tissue (Fig. S2B and C). Overall, tissues showed proportionally higher levels of phenanthrenes  
368 compared to naphthalenes, in relation to the respective levels in water (e.g., Fig. S2D  
369 top/bottom).

370

371 *3.2. Induction of *cyp1a* mRNA.* To further characterize PAC uptake we examined the expression  
372 of mRNA encoding cytochrome P4501A (CYP1A), the primary PAC-metabolizing enzyme that

373 is induced following PAC binding to the intracellular aryl hydrocarbon receptor. Levels of *cyp1a*  
374 mRNA induction were quantified in embryos throughout exposure at 6, 8 and 10 dpf, and at 14  
375 dpf after four days of subsequent development and hatching in clean water. While the time  
376 course of *cyp1a* expression is detailed further below in the context of cardiac gene expression  
377 (Fig. 7A), maximal expression was observed at 10 dpf, with  $10 \pm 2$ ,  $33 \pm 5$  and  $55 \pm 8$  fold-  
378 change induction relative to controls, respectively, corresponding to the 64, 140, and 238 ng/g  
379 doses. Notably, by 2 dph (4 days post-exposure), *cyp1a* levels declined to control levels across  
380 all doses (Fig. 7A).

381

382 *3.3. Gross body morphology and incidence of edema.* Oil exposure had no effect on hatch rates.

383 After a hatching period of three days (15 dpf), mean hatch rates for control, 64, 140, and 238  
384 ng/g doses were  $83.5 \pm 2.8$ ,  $88.1 \pm 3.1$ ,  $90.0 \pm 4.0$ , and  $82.0 \pm 7.6\%$ , respectively (ANOVA  $p =$   
385 0.5). As observed previously across many species, trace oil exposures at the lower end of the  
386 concentration-response relationship yielded hatched larvae that appeared overtly normal, aside  
387 from mild-moderate pericardial edema (Fig. 1). A few larvae from the 238 ng/g dose showed  
388 mild dorsal curvature (Fig. 1D), but this was not frequent enough to quantify. As expected,  
389 newly hatched larvae displayed dose-dependent pericardial edema, with an  $EC_{50}$  of 244 ng/g  
390  $\Sigma$ PAC (Fig. 1E). Despite the apparent shorter length of randomly selected oil-exposed larvae  
391 (e.g., Fig. 1B, C), there was no significant effect of exposure on the mass of embryos at exposure  
392 day 10 or newly hatched yolk-sac larvae (14 dpf), as determined by dry weight (Fig. 1F). At the  
393 first-feeding stage, after the absorption of yolk, there was a trend ( $p = 0.09$ ) of reduced size in  
394 larvae from oil exposed groups (Fig. 1F, white bars), although high mortality in the 238 ng/g  
395 dose groups precluded sampling for dry weights.

396

397 *3.4. Cardiac function and shape in yolk sac and first-feeding larvae.* Similar to other marine  
398 species, the herring heart at late embryonic stages (e.g., 10 dpf) has a predominantly lateral  
399 orientation, with the opening of the atrium (sinus venosus) on the left side of the embryo and the  
400 ventricle to the right and slight anterior of the atrium (Fig. 2A). By the early yolk sac larval  
401 stage, the heart has migrated such that both chambers are aligned along the midline, with the  
402 atrium superior to the ventricle. The ventricle then begins to extend beneath the atrium  
403 posteriorly as an outgrowth or “ballooning” of the outer curvature (Fig. 2B). Oil exposure led to  
404 a dose-dependent decrease in ventricular ballooning measured at 14 dpf, or hatching day 2, four  
405 days post-exposure in clean seawater (Fig. 2C – E; Fig. 4A). Ventricular ballooning was highly  
406 sensitive to oil exposure, with significant reduction occurring at the 64 ng/g dose treatment and  
407 an IC<sub>50</sub> of  $\Sigma$ PAC 87 ng/g (Fig. 4A). Ventricular ballooning continued in feeding stage larvae (20  
408 dpf or 8 days post-hatch; dph), with posterior growth in controls extending past the cleithrum  
409 (Fig. S3A, C). In larva exposed to the 238 ng/g dose, ventricular ballooning had initiated by this  
410 stage, but in contrast to controls, had not yet extended to reach the cleithrum (Fig. S3B, D).

411 Delayed ventricular ballooning also correlated with an increase in the thickness of cardiac  
412 jelly, the clear extracellular matrix that lies between the endocardium and myocardium in the  
413 embryonic heart. At 14 dpf/1 dph, this was particularly evident in the posterior ventricle (Fig. 3).  
414 In control yolk sac larvae, the atrial wall is much thicker than the ventricular wall (e.g.,  $21.4 \pm$   
415  $0.6 \mu\text{m}$  vs.  $8.8 \pm 0.6 \mu\text{m}$ , respectively), largely due to more abundant cardiac jelly in the former  
416 (Fig. 3A, A'). Oil exposure led to a dose-dependent increase in the thickness of both chamber  
417 walls, visible in larvae from the 238 ng/g dose as a marked increase in ventricular cardiac jelly  
418 (Fig. 3B, B'). The increase in cardiac jelly was significant at the 64 ng/g dose ( $p = 0.03$ ) for the

419 ventricle and at the 140 ng/g dose ( $p = 0.006$ ) for the atrium, with ventricular and atrial  $EC_{50}$ s at  
420 103 ng/g and 222 ng/g tissue  $\Sigma$ PACs, respectively (Fig. 3C). In addition, oil exposure was also  
421 associated with the appearance of a “cap” or cellular thickening of the posterior ventricular wall  
422 (Fig. S4A, B), which was dose-dependent and occurred in up to  $14.2 \pm 4.3\%$  of newly hatched  
423 larvae from the 238 ng/g dose (Fig. S4C). Whole-mount labeling of hearts with anti-myosin  
424 heavy chain antibodies followed by confocal microscopy demonstrated that these cellular caps  
425 represented solid, disorganized clusters of cardiomyocytes (Fig. S4D – F).

426 Other morphological changes included an impact on looping of the cardiac chambers. The  
427 atrioventricular (AV) angle was decreased in oil-exposed larvae (Fig. 4B), indicating abnormal  
428 looping. This was significant at the 140 ng/g dose, with an  $IC_{50}$  across treatments of 41 ng/g. In  
429 addition, an increase in the area of the atrium (Fig. 4C; blue shades) paralleled the decreased  
430 ventricular area (Fig. 4C; orange shades). The effect on atrial size was as sensitive as the reduced  
431 ventricular ballooning – i.e., significant in response to the lowest treatment. Importantly, the  
432 relationship between oil exposure and heart chamber area appeared the same for both diastolic  
433 (relaxed) and systolic (contracted) states. When the total area of the ventricle was measured, the  
434 effect was not as profound as on only the posterior ballooning portion (Fig. 4C; orange shades;  
435 compare to Fig. 4A), with only the highest dose significantly different than control. Finally,  
436 measurement of fractional shortening (Fig. 4D) showed a dose-dependent decrease in atrial  
437 contractility, but no effect on ventricular contractility. In contrast, there was no significant dose-  
438 dependent effect on heart rate at this stage, with rates for control, 64, 140, and 238 ng/g doses at  
439  $103 \pm 5$ ,  $102 \pm 6$ ,  $106 \pm 4$  and  $100 \pm 6$  beats/min, respectively (ANOVA  $p = 0.9$ ).

440

441 *3.5. Defective ventricular trabeculation in late larvae.* At 67 dpf there were ~200 surviving  
442 larvae in the control group, ~300 each for the 64 ng/g and 140 ng/g doses, and 62 for the 238  
443 ng/g dose. Fish were approaching metamorphosis and appeared grossly indistinguishable by  
444 treatment (Fig. 5A, B). Cardiac structure was examined in subsamples of the 64 ng/g and 140  
445 ng/g dose groups, but not in the 238 ng/g dose group due to low numbers. To assess internal  
446 anatomy, hearts were whole-mount labeled with anti-myosin heavy chain antibody and imaged  
447 by confocal microscopy. At this stage, the ventricles still consisted of an outer, single layer of  
448 cortical cardiomyocytes with distinct, regularly spaced trabeculae (early bands of spongy  
449 myocardium) circumferentially arranged around the anterior-posterior axis and extending  
450 between the dorsal and ventral walls. Spacing between trabecular bases that extended from the  
451 cortical layer averaged  $4.7 \pm 0.2 \mu\text{m}$  in controls (Fig. 5C, top). While the trabecular spacing was  
452 unchanged for herring larvae in the 64 ng/g dose group (Fig. 5C, middle, also  $4.7 \pm 0.2 \mu\text{m}$ ),  
453 there was a significant increase in the spacing between trabeculae at the 140 ng/g dose, at  $5.6 \pm$   
454  $0.3 \mu\text{m}$  ( $p = 0.003$ ; Fig. 5C, bottom). Unaltered trabecular spacing in the lowest dose group  
455 notwithstanding, all oil-exposed fish (5/5 fish examined for each dose) showed a distinctive  
456 change in the distribution of myosin heavy chain labeling, with the 64 ng/g and 140 ng/g  
457 treatments both showing highly irregular spherical or ovoid aggregations, as opposed to the more  
458 linear, fibrillar arrangements in controls (Fig. 5C). Labeling of nuclei with DAPI suggested that  
459 these spheroid/ovoid aggregates may represent individual cardiomyocytes with a rounder rather  
460 than elongated form (Fig. 5D, E). These abnormal forms were found not just near the cortical  
461 layer, but were present throughout the entire extent of the trabeculae (Fig. 5D, E).

462

463 *3.6. Trabecular hypertrophy in early juveniles.* At 125 dpf, the only surviving herring belonged  
464 to the control and 64 ng/g dose groups. Although there were no significant differences in length  
465 or mass (wet weight) between these two groups, the condition factor (K, a measure of length-  
466 weight proportionality) of the oil-exposed juveniles was 18% higher (0.32 vs. 0.39; Table 3).  
467 Dimensions of the ventricle were measured in ventral and lateral images collected after fixation,  
468 across the control and low oil groups, and gross heart anatomy was superficially very similar  
469 (Fig. 6A, B). Moreover, there were no significant differences in either the lateral or ventral areas  
470 of the ventricle (Table 2). However, while there was no significant difference in the ventral  
471 aspect ratio, the lateral aspect ratio was reduced from  $2.17 \pm 0.05$  in controls to  $1.91 \pm 0.04$  in the  
472 low dose group ( $p = 0.0002$ ; Table 2). A relationship between ventricular shape, K, and  
473 swimming performance has been described for juvenile salmonids (Claireaux et al., 2005), with  
474 fish with higher K having rounder hearts and slower critical swimming speed. Similarly, in  
475 control herring at 125 dpf, there was a strong relationship between increasing K and decreased  
476 aspect ratio (rounder hearts) (Fig. 6C, circles;  $r^2 = 0.6$ ,  $p = 0.01$ ). However, in fish from the 64  
477 ng/g dose group, there was no relationship between K and ventricular aspect ratio (Fig. 6C,  
478 squares.)

479 Trabecular structure was assessed in histological sections of the same hearts. Sections of  
480 control hearts at 125 dpf labeled with anti-myosin heavy chain generally showed an internal  
481 spongy myocardium consisting of a finely ordered meshwork of trabeculae, interspaced with  
482 prominent lumina of roughly equal area (Fig. 6D). In contrast, hearts from the 64 ng/g dose  
483 group showed denser, more irregular trabeculae with less pronounced luminal spaces between  
484 them (Fig. 6E). To determine if this represented a hypertrophic response to crude oil, we  
485 quantified the area occupied by trabecular cardiomyocytes by measuring pixels from anti-myosin

486 heavy chain immunofluorescence, and assessed cellularity by counting nuclei stained with DAPI  
487 (Fig. 6F). Importantly, aggregate nuclei enumeration is a potentially indeterminate indicator of  
488 myocyte-specific toxicity as it is not possible to distinguish among nuclei in cardiomyocytes,  
489 endothelial cells, fibroblasts, erythrocytes or other cell types present in the ventricle.  
490 Nevertheless, normalizing the total count of nuclei to the area occupied by anti-myosin heavy  
491 chain labeling showed a trend of lower density for the exposed fish. While this was not  
492 statistically significant, this is suggestive of larger cardiomyocyte size in the oil exposed group.  
493 On the other hand, the total area occupied by trabecular myosin heavy chain labeling in the 64  
494 ng/g dose group was increased significantly by 7% ( $55.4 \pm 1.9\%$  vs.  $48.5 \pm 2.0\%$ ;  $p = 0.04$ ). This  
495 indicates, conversely, that the luminal space available for ventricular filling was reduced from  
496 approximately 51.5% to 44.6% in oil-exposed fish.

497

498 *3.7. Changes in the expression of genes involved in cardiac  $Ca^{2+}$  handling, contractility,*  
499 *ventricular specification and trabeculation.* We examined the transcription of four types of genes  
500 premised on the known effects of oil exposure on cardiac gene expression in mahi mahi  
501 (*Coryphaena hippurus*) (Edmunds et al., 2015; Xu et al., 2016) and Atlantic haddock (Sørhus et  
502 al., 2017; Sørhus et al., 2016b)), as well as previous studies of normal trabeculation in zebrafish  
503 (Rasouli and Stainier, 2017; Samsa et al., 2016). This included intracellular  $Ca^{2+}$  handling  
504 (*atp2a2*, encoding SERCA2; *nac2*, encoding NCX2; and *ryr2*, encoding the ryanodine receptor 2  
505 isoform), cardiac contractility (*myl7/cmlc2*, encoding the cardiac myosin light chain regulatory  
506 subunit, and *myh7*, encoding ventricular myosin heavy chain), the primary ventricular  
507 cardiomyocyte determination factor *nkx2.5*, and genes identified in zebrafish that function in  
508 trabeculation (*notch1*, *nrg2*, encoding neuregulin2, and *hbegfa*, encoding heparin-binding EGF-

509 like growth factor). The absence of a sequenced Pacific herring genome hindered the verification  
510 of gene identity. To characterize these genes further, we compared expression levels in cardiac  
511 ventricle relative to skeletal muscle isolated from cultured 1-yr old juvenile fish. Most target  
512 gene mRNAs showed a relative enrichment in ventricle (Table 3; based mean of 3 individuals).  
513 The putative *myh7* gene was expressed at roughly equal levels in juvenile ventricle and skeletal  
514 muscle. While the mammalian *myh7* ortholog is expressed in both cardiac ventricle and slow-  
515 twitch skeletal muscle fibers (Quiat et al., 2011), myosin heavy chain gene phylogeny and  
516 expression patterns in teleosts are extremely complicated relative to mammals (McGuigan et al.,  
517 2004). The expression of this herring *myh7* ortholog at relatively high levels in juvenile  
518 swimming muscle thus does not preclude it as the primary ventricular myosin heavy chain gene.  
519 As HB-EGF has a broad tissue distribution (Raab and Klagsbrun, 1997), the *hbegfa* gene would  
520 not necessarily be expected to show cardiac-specific expression.

521 Gene expression was first measured in 6 dpf embryos, at the onset of eye pigmentation and a  
522 point at which the heart had a regular beat, and sequentially thereafter at 8 dpf, 10 dpf, and after  
523 hatch (14 dpf; Fig. 7). The time course of PAC exposure relative to cardiotoxic response was  
524 evident from the dynamic expression pattern for *cyp1a* (Fig. 7A). Induction of *cyp1a* mRNA in  
525 response to oil was dose-dependent at 6 dpf, with expression peaking at 10 dpf (when internal  
526 tissue PACs were measured), and falling to baseline after transfer to clean water and hatch.  
527 Importantly, *cyp1a* induction was already at levels of 10- to nearly 30-fold within six days of  
528 oiled gravel column effluent exposure, indicating considerable PAC uptake. Of the three genes  
529 encoding Ca<sup>2+</sup>-handling proteins, only *atp2a2* was significantly affected, transiently down-  
530 regulated ~2-fold at 6 dpf (Fig. 7B), with no significant effect on either *nac2* (Fig. 7C) or *ryr2*  
531 (Fig. 7D). Genes regulating contractility were significantly affected, with *myl7* showing a



532 consistent trend of down-regulation, with a significant ~3-fold reduction at 8 dpf and at hatch  
533 (Fig. 7E). Similarly, *myh7* showed an overall trend of down-regulation with a significant dose-  
534 dependent effect at 8 dpf, with almost 5-fold reduction at the 238 ng/g dose (Fig. 7F). Notably,  
535 *nkx2.5* was significantly downregulated in both the 140 ng/g and 238 ng/g doses at hatch (Fig.  
536 7G), coinciding with the small ventricle phenotype. For genes potentially involved in  
537 trabeculation, there was no significant effect on *notch1* mRNA abundance (Fig. 7H). However,  
538 there was a significant ~2-fold up-regulation of *nrg2* at 10 dpf and hatch in the 238 ng/g dose  
539 group (Fig. 7I), and a significant dose-dependent down-regulation of *hbegfa* at 6 and 8 dpf (Fig.  
540 7J), with ~3-fold reduction in the 238 ng/g dose group. Detailed expression data are provided in  
541 Table S1.

542

#### 543 **4. Discussion**

544 The edematous syndrome that follows crude oil exposure in fish embryos results from heart  
545 failure. Studies that examined cardiac function closely in exposed embryos or larvae show a  
546 variety of defects relating to either contractility or rate/rhythm or both (Incardona, 2017;  
547 Incardona and Scholz, 2016). These whole-heart functional defects were recently linked to direct  
548 effects of PAC-rich mixtures or individual PACs on single-cell cardiomyocyte action potential  
549 generation, excitation-contraction coupling, and contractility (Brette et al., 2014; Brette et al.,  
550 2017; Vehniäinen et al., 2019). In our previous studies with Pacific herring embryos, we showed  
551 that exposure to the same Alaskan crude oil used here resulted in a pronounced slowing of the  
552 heart rate (bradycardia) and reduced contractility well before hatch at 7 dpf with tissue  $\Sigma$ PAC  
553 concentrations of 620 ng/g (Incardona et al., 2009), about 2.5-times higher than the high dose  
554 achieved here. The data presented here now clearly link those earlier functional defects to

555 adverse effects on later morphogenetic maturation of the cardiac ventricle, at even lower doses,  
556 and in the absence of other visible extra-cardiac morphological phenotypes. In newly hatched  
557 larvae (14 dpf), ventricular ballooning was delayed in a manner highly dose-dependent on tissue  
558 PACs, as was the concomitant reduction in ventricular wall cardiac jelly. Despite a recovery in  
559 ballooning (by 20 dpf), subsequent trabeculation was dose-dependently disrupted in late larvae,  
560 along with a possible abnormal increase in cardiomyocyte proliferation (67 dpf). At the lowest  
561 dose tested,  $\Sigma$ PAC 64 ng/g wet weight, this led to hypertrophic changes within spongy  
562 myocardium in early juveniles (125 dpf). These findings directly link early impairment of  
563 embryonic cardiac function (contractility and fluid flow) from crude oil exposure to subsequent  
564 disruption of the co-dependent, downstream processes of ventricular ballooning and  
565 trabeculation in larvae and early juveniles. In turn, these defects in late steps of cardiac  
566 morphogenesis set the stage for much later pathological remodeling (hypertrophy) and reduced  
567 cardiorespiratory performance, as previously documented for older juvenile herring and pink  
568 salmon (Gardner et al., 2019; Incardona et al., 2015) or adult zebrafish (Hicken et al., 2011).

569 In virtually every species examined, a hallmark of acute oil cardiotoxicity to embryos is  
570 reduced contractility of one or both cardiac chambers (e.g., (Edmunds et al., 2015; Incardona et  
571 al., 2013; Morris et al., 2018; Sørhus et al., 2016b). This reduction in contractility is consistent  
572 with the rapid, direct effects of either select individual tricyclic compounds (e.g., phenanthrene)  
573 or a dissolved chemical mixture from whole oil on intracellular  $Ca^{2+}$  cycling in cardiomyocytes  
574 (Brette et al., 2014; Brette et al., 2017). In the present study *cyp1a* levels returned to baseline  
575 between the end of exposure (10 dpf) and hatching (14 dpf). This indicates that PACs were  
576 depurated by the time the ventricular morphological phenotype was initially observed. Thus, a

577 transient oil-induced disruption of intracellular  $\text{Ca}^{2+}$  handling subsequently disrupted ballooning  
578 and trabecular morphogenesis.

579       Importantly, the observed dose-response relationships for morphological effects on the heart  
580 were very robust down to low  $\Sigma$ PAC concentrations, specifically  $\sim 1 \mu\text{g/L}$  in water and  $64 \text{ ng/g}$   
581 in tissues. Based on an average molecular weight of  $\sim 200 \text{ g/mol}$  for most petrogenic PACs, the  
582 latter represents a tissue concentration of  $\sim 320 \text{ nmol/kg}$ . This is 1,000 to 10,000-fold lower than  
583 the tissue residue concentrations that have been ascribed to so-called “narcotic” or “baseline”  
584 toxicity in response to acute or chronic exposures (e.g.,  $2 - 8 \text{ mmol/kg}$  and  $0.2 - 0.8 \text{ mmol/kg}$ ,  
585 respectively; McCarty and Mackay, 1993). Instead, these tissue concentrations are consistent  
586 with specific receptor interactions, as supported by the cellular studies (Brette et al., 2014; Brette  
587 et al., 2017). For comparison, the  $\text{Na}^+/\text{K}^+$ -ATPase inhibitor digoxin affects cardiac contractility  
588 (positively) at concentrations of  $200 \text{ nmol/kg}$  in myocardial tissue (Steiness and Valentin, 1976).  
589 Therefore, in contrast to an adverse outcome framework premised on non-specific (or  
590 unspecified) toxicological processes, the consideration of tissue PACs in the context of cardiac  
591 function and heart morphogenesis is considerably more robust in terms of environmentally  
592 realistic exposures, defined mechanisms of action, the extrapolation of injury across biological  
593 scales, and the role of conserved evolutionary processes (e.g., calcium cycling in vertebrate  
594 cardiomyocytes) as determinants of individual-based toxic response. Despite remaining  
595 complexities surrounding the potency of dissolved petrogenic mixtures relative to single PAC  
596 compounds, our findings support the use of tissue PAC chemistry as an environmental indicator  
597 of likely toxicity to fish embryos and later life stages.

598       In the context of elucidating specific mechanisms underlying the injury phenotypes observed  
599 here, relevant aspects of cardiac morphogenesis have been detailed at the organ, cellular, and

600 molecular levels in zebrafish. In particular, recent advances include a series of studies  
601 elucidating the mechanisms of ventricular ballooning and trabeculation (Sidhwani and Yelon,  
602 2019). All fish hearts begin as a simple linear tube, which subsequently loops (folds and twists)  
603 at the AV junction to bring the atrial and ventricular chambers into an adjacent arrangement. At  
604 this point, the dimensions of the two chambers are similar, and the chamber walls consist of a  
605 single layer of cardiomyocytes. Subsequently, the ventricle initiates a rapid posterior expansion,  
606 ballooning, that involves both rearrangement and reorientation of existing cardiomyocytes and  
607 addition of new cardiomyocytes within the wall through proliferation. As ballooning progresses,  
608 trabeculation begins, as cardiomyocytes from the wall project inward, delaminate and proliferate,  
609 thus forming the underlying architecture of the spongy myocardium (Gupta and Poss, 2012).

610 In zebrafish, which develop more rapidly than herring (2 days to hatch vs. 12), ballooning  
611 commences from the outer curvature of the ventricle nearly concomitantly with looping. These  
612 initial steps of ventricular shaping and ballooning are driven by corresponding changes in the  
613 shape of individual ventricular cardiomyocytes (Auman et al., 2007), and changes in the  
614 orientation of neighboring cardiomyocytes within the outer curvature wall (Merks et al., 2018).  
615 Changes in cardiomyocyte shape are dependent on fluid flow, and both cell shape and fluid flow  
616 are dependent on actomyosin function, and by inference, intracellular  $Ca^{2+}$ . When either the  
617 coordinated contractions of the entire ventricle or blood flow therein are reduced by disruption of  
618 sarcomeric actomyosin function, ventricular cardiomyocytes fail to elongate properly (Auman et  
619 al., 2007). At the same time, the function of non-muscle cytoskeletal actomyosin controls  
620 cardiomyocyte shape changes through the planar cell polarity (PCP) signaling pathway, driving  
621 rearrangements of neighboring cardiomyocytes during ballooning (Merks et al., 2018).

622 PCP signaling is mediated by non-canonical Wnt ligands acting to control the orientation of  
623 cells with respect to one another and within larger fields of cells (Yingzi and Marek, 2015). This  
624 control of cellular orientation and cell-cell associations occurs by a process involving both cell  
625 adhesion and non-muscle myosin activity, as regulated by intracellular  $\text{Ca}^{2+}$  via calcium-  
626 dependent adhesion molecules (cadherins; Hale and Strutt, 2015) and the  $\text{Ca}^{2+}$ -dependent  
627 activation of non-muscle myosin (Somlyo and Somlyo, 2003). In zebrafish, PCP-mediated  
628 ventricular ballooning involves Wnt11 (Merks et al., 2018), a signaling protein that also  
629 regulates the conductance of  $\text{Ca}^{2+}$  through L-type calcium channels during the parallel  
630 developmental coordination of electrical coupling among ventricular cardiomyocytes (Panakova  
631 et al., 2010).

632 The ballooning process is thus directly dependent on intracellular  $\text{Ca}^{2+}$  in at least two distinct  
633 ways, whole chamber contractility driven by sarcomeric actomyosin and PCP-mediated cellular  
634 rearrangements driven by non-muscle actomyosin. The disruptive action of crude oil exposure on  
635 intracellular  $\text{Ca}^{2+}$  fluxes from both internal stores (the sarcoplasmic reticulum, SR) and the  
636 extracellular electrochemical gradient (via L-type calcium channels) would thus be expected to  
637 impinge directly on the aforementioned morphogenetic processes that drive ventricular  
638 ballooning. Moreover, these morphogenetic events would be further impaired, albeit indirectly,  
639 through reductions in both fluid forces and whole chamber contractility in response to crude oil  
640 exposure. The observed recovery of ballooning over time is consistent with the depuration of  
641 PACs and consequent restoration of intracellular  $\text{Ca}^{2+}$  cycling and ventricular contractility.  
642 However, our current findings show that this delay in the normal timing of ventricular outgrowth  
643 has a cascading effect on subsequent trabeculation.

644 In zebrafish, looping and the initiation of ballooning occur between ~ 36 through 48 hours  
645 post-fertilization (hpf) (Glickman and Yelon, 2002; Staudt and Stainier, 2012), the  
646 developmental stage also roughly equivalent to hatching in Pacific herring. Trabeculation begins  
647 in larval zebrafish the next day (~ 60 hpf), and as described above for looping and ballooning, is  
648 also dependent on both ventricular contractility and fluid forces derived from blood flow  
649 (Peshkovsky et al., 2011; Staudt et al., 2014). Cardiomyocytes residing in the original, singular  
650 outer layer of the ventricle wall extend protrusions toward the interior, then leave the outer layer,  
651 migrating and proliferating as the trabeculae mature and project across the chamber. This process  
652 requires Notch1, which signals to the endocardium to produce Neuregulin2a and activate the  
653 epidermal growth factor (EGF) receptor family member *ErbB2/4* in cardiomyocytes (Jimenez-  
654 Amilburu et al., 2016; Peshkovsky et al., 2011; Rasouli and Stainier, 2017; Samsa et al., 2015).  
655 In addition, several other EGF-like ligands are expressed during trabeculation, including *hbeqfa*  
656 (Samsa et al., 2016). The spacing of trabeculae is determined in part by the migration and  
657 insertion of neural crest-derived cardiomyocytes into the initial ventricular wall, which  
658 subsequently express the Notch ligand *Jag2b* and signal to adjacent cells (Abdul-Wajid et al.,  
659 2018). Cardiac contraction and blood flow induce *notch1* expression in endocardial cells (Samsa  
660 et al., 2015), and ventricular cardiomyocytes respond to Notch signaling only after the normal  
661 degradation of the cardiac jelly that lies between the endocardial cells and cardiomyocytes (Del  
662 Monte-Nieto et al., 2018; Rasouli and Stainier, 2017). Furthermore, Notch signaling in  
663 ventricular cardiomyocytes is activated by chamber contraction not long after establishment of  
664 the regular heartbeat (~ 28 hpf in zebrafish) (Samsa et al., 2015). Thus, a transient oil-induced  
665 impact on cardiac contractility at the midpoint of cardiogenesis, as in the exposures here, could  
666 also disrupt trabeculation in the post-hatch ventricle by reducing or delaying Notch signaling.

667 Consistent with this, the ventricular cardiac jelly layer did not degrade in oil-exposed herring  
668 larvae, and there was a corresponding accumulation of disorganized ventricular cardiomyocyte  
669 aggregations (“caps”) at hatch. Therefore, the abnormal persistence of visible cardiac jelly is  
670 likely a novel and predictive endpoint for subsequent defects in trabeculation.

671 Embryonic oil exposure led to two separate and concentration-dependent effects on  
672 trabeculation in herring larvae. Specifically, the medium (140 ng/g) exposure increased the initial  
673 spacing of trabeculae and produced abnormal rounded aggregations of myosin heavy chain  
674 immunofluorescence. While the low treatment (64 ng/g) only affected myosin distribution, these  
675 fish survived and yet showed an increased trabecular density (hypertrophy) later on. The co-  
676 localization of the myosin heavy chain aggregates with nuclei suggests that these may represent  
677 proliferative cardiomyocytes. As cardiomyocytes divide during trabeculation in zebrafish, they  
678 become rounder, disassemble sarcomeres, and lose striated myofibrils before mitosis. A minimal  
679 pool of sarcomeres remains intact only at the rounded cell periphery, then myofibrils reassemble  
680 fully after division when subsequent daughter cells elongate (Uribe et al., 2018). The spherical  
681 forms observed here with myosin heavy chain antibody were likely recently divided (or dividing)  
682 cardiomyocytes, suggesting that transient embryonic crude oil toxicity induces an early (and  
683 basal) hyperproliferative response during larval trabeculation. Later determinants of  
684 trabeculation in zebrafish or other species are not yet known. However, our findings here for 67  
685 dpf fish suggest that cardiomyocyte proliferation continues throughout the extent of the  
686 trabeculae in the maturing heart. Although we were unable to quantify individual cardiomyocyte  
687 density, the hypertrophy of the ventricle at 125 dpf is likely attributable to a relative increase in  
688 cell number and size. This would be consistent with previous observations of ventricular  
689 hypercellularity in oil-exposed pink salmon (Incardona et al., 2015), as well as an important

690 recent discovery in zebrafish showing that loss of normal Notch1-mediated trabecular spacing in  
691 embryos causes pathological hypertrophy and reduced swimming performance in surviving  
692 adults (Abdul-Wajid et al., 2018). We therefore recommend that future studies of delayed oil  
693 toxicity in fish focus on pharmacological and biophysical-based disruptions of the genetic  
694 pathways that underpin trabeculation in the larval and juvenile heart.

695 The modification of the internal structure of the spongy myocardium provides an additional,  
696 novel clue into the longstanding puzzle of why zebrafish (Hicken et al., 2011) and herring and  
697 pink salmon (Incardona et al., 2015) exposed to trace concentrations of crude oil relatively  
698 briefly during embryogenesis nevertheless show a reduction in cardiorespiratory performance  
699 that persists into much later stages of life – i.e., after many months of normal feeding and growth  
700 in clean water. In adult fish, organ-level gross morphometry initially implicated a rounder  
701 ventricle shape in reduced cardiac output and swimming performance found naturally (Claireaux  
702 et al., 2005), or as a consequence of embryonic oil exposure (Hicken et al., 2011). While the  
703 structure of the compact myocardium was not assessed in oil-exposed fully mature, adult  
704 zebrafish (11 months post-exposure) (Hicken et al., 2011), embryonic oil exposure in pink  
705 salmon led to a thinning of the juvenile compact myocardium (8- to 10-months post-exposure),  
706 and both pink salmon and herring juveniles showed elongated rather than rounded ventricles  
707 (i.e., increased aspect ratio) (Incardona et al., 2015). The determinants of ventricular shape in  
708 juvenile herring or salmon are unclear, nor is the relationship between ventricular shape and  
709 cardiac output. However, luminal volume and the density of trabeculation are likely to more  
710 consistently influence cardiac output at earlier juvenile stages when the compact myocardium  
711 consists of only a single layer of cardiomyocytes and has not begun to proliferate. Notably, at the  
712 stages studied here (125 dpf, ~ 4 months post-exposure), the compact myocardium had not yet



713 begun to proliferate and was still only a single layer of cardiomyocytes, but we still observed a  
714 similar elongation of the ventricle as observed in 10-month old oil-exposed fish with a  
715 multicellular compact myocardium (Incardona et al., 2015). Intriguingly, controls showed an  
716 inverse relationship between condition factor and ventricular aspect ratio, while oil-exposed fish  
717 showed no relationship. Therefore, embryonic oil exposure overrides the normal factors  
718 controlling ventricular shape in juveniles. It is possible that the hypertrophic response we  
719 observed here in the early juvenile spongy myocardium leads to elongation of the ventricle,  
720 which left unabated, could continue on to produce a terminally rounded ventricle in fully adult,  
721 near-senescent fish. Additional studies should focus on how this crude oil injury phenotype  
722 ultimately impacts individual survival.

723 From the perspective of assessing losses of culturally, ecologically, and commercially  
724 important fishes, it would be advantageous to identify molecular markers for normal and  
725 pathophysiological heart development in species that spawn in clean and contaminated  
726 environments, respectively. Such biological indicators (or molecular initiating events) could  
727 meet a wide array of resource management needs, including field-based determinations of injury  
728 in habitats degraded by PACs originating from oil spills, urban stormwater runoff, and legacy  
729 sediment pollution. To this end, we focused here on quantification of gene expression using real-  
730 time PCR. QPCR is suitable for the sample sizes typical of fish early life history stages, rapidly  
731 adaptable across multiple species, and offers a platform that is potentially adaptable to remote  
732 sensing in future field studies. Nevertheless, there remain a few key challenges to this approach.  
733 The first is the need to accurately measure tissue-specific changes in gene expression against a  
734 backdrop of mRNA extracted from whole animals. This signal-to-noise problem is particularly  
735 important for oil-responsive genes that are expressed at a relatively low level but have an

736 outsized influence on embryolarval development (Sørhus et al., 2016a; Sørhus et al., 2017).  
737 Some of these genes are known to be cardiac-specific or expressed only in the heart at the  
738 embryonic stages studied here, while others have broader expression patterns in multiple  
739 embryonic structures. The second is the challenge of gene annotation and isoform identification  
740 in non-model species, with or without a sequenced genome. Target genes can be selected using a  
741 hypothesis-driven approach based on anchoring to a well-defined injury phenotype (Edmunds et  
742 al., 2015; Sørhus et al., 2016b) or, as we have done here, chosen based on the results of  
743 transcriptome sequencing in other species (Sørhus et al., 2017; Xu et al., 2016). A final  
744 challenge relating to this approach is that many of these target genes, especially those expressed  
745 only in the developing heart, are very low abundance transcripts. The variability inherent in  
746 measuring low abundance genes creates a demand for higher statistical power, which itself often  
747 conflicts with what is logistically feasible for sampling strategies with fish embryos and larvae.

748 Recognizing the above limitations, we assessed the expression of genes encoding the major  
749 Ca<sup>2+</sup>-handling proteins in cardiomyocytes. These included the SR Ca<sup>2+</sup> release channel  
750 (ryanodine receptor isoform 2; *ryr2*), the SR Ca<sup>2+</sup> uptake pump (SERCA2; *atp2a2*), and the  
751 plasma membrane Na<sup>+</sup>/Ca<sup>2+</sup> exchanger (NCX1; *nac1/2/4*). In embryos and early larvae of other  
752 species, one or more of these genes were down-regulated in response to controlled crude oil  
753 exposures (Sørhus et al., 2017; Sørhus et al., 2016b; Xu et al., 2016). This includes an Atlantic  
754 haddock contig originally identified as *nac1* but most closely related to a herring *nac2* isoform  
755 (as determined via a BLAST search) that was expressed in both juvenile heart and skeletal  
756 muscle. While neither *nac2* nor *ryr* expression was altered significantly in the experiments  
757 described, *atp2a2* was transiently down-regulated very early during exposure and at roughly the  
758 same developmental stage as previously reported for Atlantic haddock (Sørhus et al., 2017).

759 By convention, distinct morphogenetic phenotypes serve to anchor the interpretation of  
760 changing gene expression patterns. For example, severe heart malformations in haddock at  
761 hatching (prior to ventricular ballooning) were preceded by the strong up-regulation of the Ca<sup>2+</sup>-  
762 regulated cardiac morphogen bone morphogenetic protein 10 (*bmp10*) (Sørhus et al., 2017;  
763 Sørhus et al., 2016b) and subsequent upregulation of *nkx2.5*, a downstream, positive target of  
764 *bmp10*. However, in mahi mahi (Edmunds et al., 2015) and Pacific herring (present study)  
765 hatching with milder malformations, *nkx2.5* as well as *myl7* and *myh7* were down-regulated. The  
766 down-regulation of these three genes is therefore consistent with (or indicative of) the more  
767 nuanced crude oil cardiotoxicity phenotypes of reduced contractility (during exposure) and  
768 reduced size of the ventricle (after exposure) at hatch. Among the known roles for *nkx2.5* in  
769 vertebrate heart development, the gene in zebrafish specifies the binary fate of cardiomyocyte  
770 precursors into atrium- and ventricle-specific subtypes, with reduced *nkx2.5* function leading to a  
771 smaller ventricle (Targoff et al., 2013). While we did not quantify the number of atrial  
772 cardiomyocytes as a potential mechanism, the enlarged atria and the smaller ventricles observed  
773 here may be a consequence of an oil-induced down-regulation of *nkx2.5*.

774 Finally, we examined the expression of *notch1*, *nrg2*, and *hbegfa*, candidate genes with  
775 known or potential roles in zebrafish ventricular trabeculation. In zebrafish, all three are  
776 expressed in multiple embryonic tissues external to the heart, creating a challenge for  
777 interpreting gene expression levels from whole-embryo total mRNA. Of these, for example,  
778 *notch1* has the broadest expression, with high levels in the developing central nervous system  
779 (Thisse and Thisse, 2005). Read-count data in Atlantic haddock embryos provides the ability to  
780 link whole-embryo mRNA levels to expression patterns characterized by in situ hybridization  
781 (Sørhus et al., 2016a; Sørhus et al., 2017). In this case, genes that show cardiac-specific

782 expression by in situ hybridization had read counts that averaged about 90, while those with  
783 broader expression, for example throughout the somites or neural tube, had read counts of ~  
784 2000 – 9000. In Atlantic haddock embryos, which are similar in size to Pacific herring, read  
785 counts for mRNAs of *notch1*, *nrg2* and *hbegfa* were in the range of 2000 – 12,000, ~ 100, and 20  
786 – 50, respectively. Thus, the oil-induced effects on expression of *nrg2* and *hbegfa* are likely to  
787 reflect changes linked to the ventricular phenotype, while changes in cardiac *notch1* expression  
788 might not be resolved against such a large pool of extracardiac mRNA. Because of this broad  
789 expression, a negative result with *notch1* is equivocal, and the role of cardiac *notch1* expression  
790 in abnormal heart form and function in response to oil exposure will require further study. The  
791 effects of oil on the other putative trabeculation genes in herring embryos were stage-specific,  
792 including both early down-regulation (*hbegfa*) and late up-regulation (*nrg2*), the latter possibly  
793 as a consequence of over-compensation as tissue PAC concentrations declined and cardiac  
794 function was restored. Future confirmation of gene expression at a cellular level via in situ  
795 hybridization will further elucidate mechanisms of dysregulated ventricular trabeculation.  
796 Nevertheless, these findings represent an important step in the development of new biomarkers  
797 for delayed and persistent cardiotoxicity in response to low-level oil exposure.

798 In summary, these results expand our understanding of how acute, transient, and sublethal  
799 crude oil toxicity in fish embryos produces a latent pathological remodeling of the post-hatching  
800 heart, thereby reducing cardiorespiratory performance in surviving juveniles. The tissue doses  
801 measured here, when normalized to lipids, overlap with previous studies showing impacts on  
802 ventricular structure and function delayed even further in time in both Pacific herring and pink  
803 salmon (Incardona et al., 2015). This is consistent with an adverse outcome pathway for crude oil  
804 toxicity in fish that extends from the disruption of excitation-contraction coupling within

805 embryonic cardiomyocytes to defective trabeculation to pathological hypertrophy at the scale of  
806 the maturing organ (Fig. 8). We have identified potential molecular indicators for key events in  
807 this pathway, in the form of genes functioning in cardiac contractility (*atp2a2*, *myl7*, *myh7*),  
808 ventricular cardiomyocyte specification (*nkx2.5*) and trabeculation (*nrg2*, *hbegfa*). Promisingly,  
809 for example, the down-regulation of *myl7* and *myh7* genes is consistently associated with  
810 contractility defects across several fish species. Finally, the delayed heart malformations  
811 observed here would likely be sufficient to explain the reduced marine survival of pink salmon in  
812 previous mark and recapture studies (Heintz, 2007; Heintz et al., 2000) . It is notable, however,  
813 that these cardiac defects were evident in herring under controlled aquaculture conditions. In  
814 natural habitats, the confluence of crude oil cardiotoxicity and other environmental co-stressors  
815 such as thermal variation, predation, and pathogen exposures would likely further reduce  
816 individual survival and, by extension, recruitment to populations that support humans and  
817 aquatic ecosystems.

818  
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**Table 1: QPCR primers**

<b>Gene</b>	<b>Forward primer</b>	<b>Reverse primer</b>	<b>NCBI Reference Sequence</b>	<b>Efficiency (%)</b>
<b>Reference genes</b>				
<i>ef1a</i>	CTGGTATGGTTGTGACCTTCG	ACGGATATCCTTGACTGACACG	XM_012818387.1	105
<i>mtm1</i>	CTCTGAAGCAGGAGGGTCAC	CTGACTGAGGAACGCAAACA	XM_012817813.1	113
<i>rxrba</i>	ACCGATCTTCAGGCAAACAC	GGTACCTGAGCCATCGGTAA	XM_012833519.1	84
<i>spop1</i>	TTTCAGTGCGATGTTTGAGC	GCTTTCCTCCGTGTAGATGAA	XM_012825971.1	101
<i>wdtd1</i>	GCTCTTCGCCAAGACAGATT	AGTTGTGGAAACGGATGGAG	XM_012838768.1	109
<b>Target genes</b>				
<i>atp2</i>	AGATCATCGAGTTCCTGCAGTC	CATGTTGTTGTAGATTGCCCGG	XM_012814918.1	112
<i>cyp1a</i>	AGGAGCACATCAGCAAGGAG	ACCACCTGTCCGAATCATC	XM_012831254.1	110
<i>hbegfa</i>	TGGCAAACATCCGTAAACCTTC	TTTTCAACATCATAGGCACCCC	XM_012842112.1	100
<i>nac2</i>	TGTCATTGGCTTCCTCACTGC	CCCTGCACATTCCAGTAGATAGC	XM_012828862.1	120
<i>nkx2.5</i>	TGGATATTGTCAAGGAGGGGAAG	CTCGGGTGCAGACAAGTATTTCTG	XM_012831385.1	115
<i>notch1</i>	TGGAGCCAACAAAGACATGC	GCTAGGAACAGGGGAGTCTC	XM_012830546.1	117

<i>myh7</i>	AGGGCTCCTCTTTCCAAACT	AAGCCCTTTCTGCAGATCCT	XM_012827835.1	108
<i>myl7</i>	GAGGCTTTTGGTTGCATTGATC	TCATCCTTGTTGACGAATCCTG	XM_012831367.1	108
<i>nrg2</i>	TCGTCCACTCATGCCCATTC	CGGACACATACCTTTCACTGTG	XM_012821330.1	116
<i>ryr2</i>	GCTTGCTTGCAGTAGTGGTTTATC	GATGGCCAGCAGAATGACAATC	XM_012814530.1	137

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1 **Table 2: PAC concentrations in water and Pacific herring embryo tissues**

Oil load	Water Day 1 ( $\mu\text{g/L}$ )	Water Day 10 ( $\mu\text{g/L}$ )	Tissue Day 10 (ng/g wet weight)	Tissue Day 10 (ng/g lipid)
control	$0.070 \pm 0.002$	$0.014 \pm 0.003$	$10.2 \pm 0.9$	$619 \pm 99$
0.25 g/kg	$1.33 \pm 0.13$	$0.26 \pm 0.04$	$63.8 \pm 10.3$	$3960 \pm 723$
0.5 g/kg	$1.85 \pm 0.17$	$0.72 \pm 0.16$	$140.3 \pm 35.9$	$8025 \pm 2104$
1.0 g/kg	$4.15 \pm 0.57$	$0.81 \pm 0.02$	$237.5 \pm 27.2$	$12879 \pm 1486$

2

3

4 **Table 3: Anatomical measurements in 125 dpf Pacific herring juveniles**

Measure	Control (N = 9)	$\Sigma$ PAC 64 ng/g (N = 18)	P value
length (mm)	27.4 ± 1.6	27.9 ± 0.8	0.76
wet weight (g)	0.079 ± 0.021	0.089 ± 0.011	0.63
K	0.32 ± 0.02	0.39 ± 0.02	0.03
ventricle lateral area (mm <sup>2</sup> )	0.81 ± 0.08	0.91 ± 0.08	0.4
ventricle ventral area (mm <sup>2</sup> )	0.99 ± 0.10	1.04 ± 0.05	0.6
ventricle lateral aspect ratio	2.17 ± 0.05	1.91 ± 0.04	0.0002
ventricle ventral aspect ratio	1.86 ± 0.07	1.76 ± 0.03	0.15

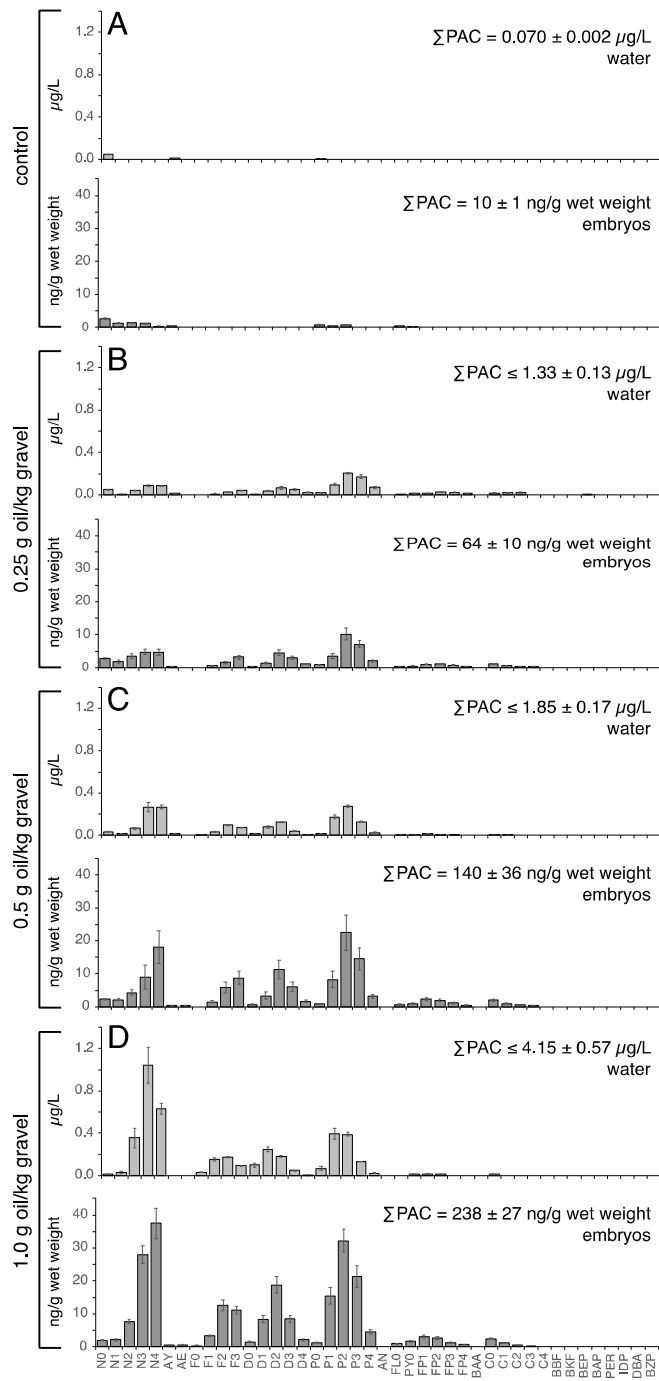
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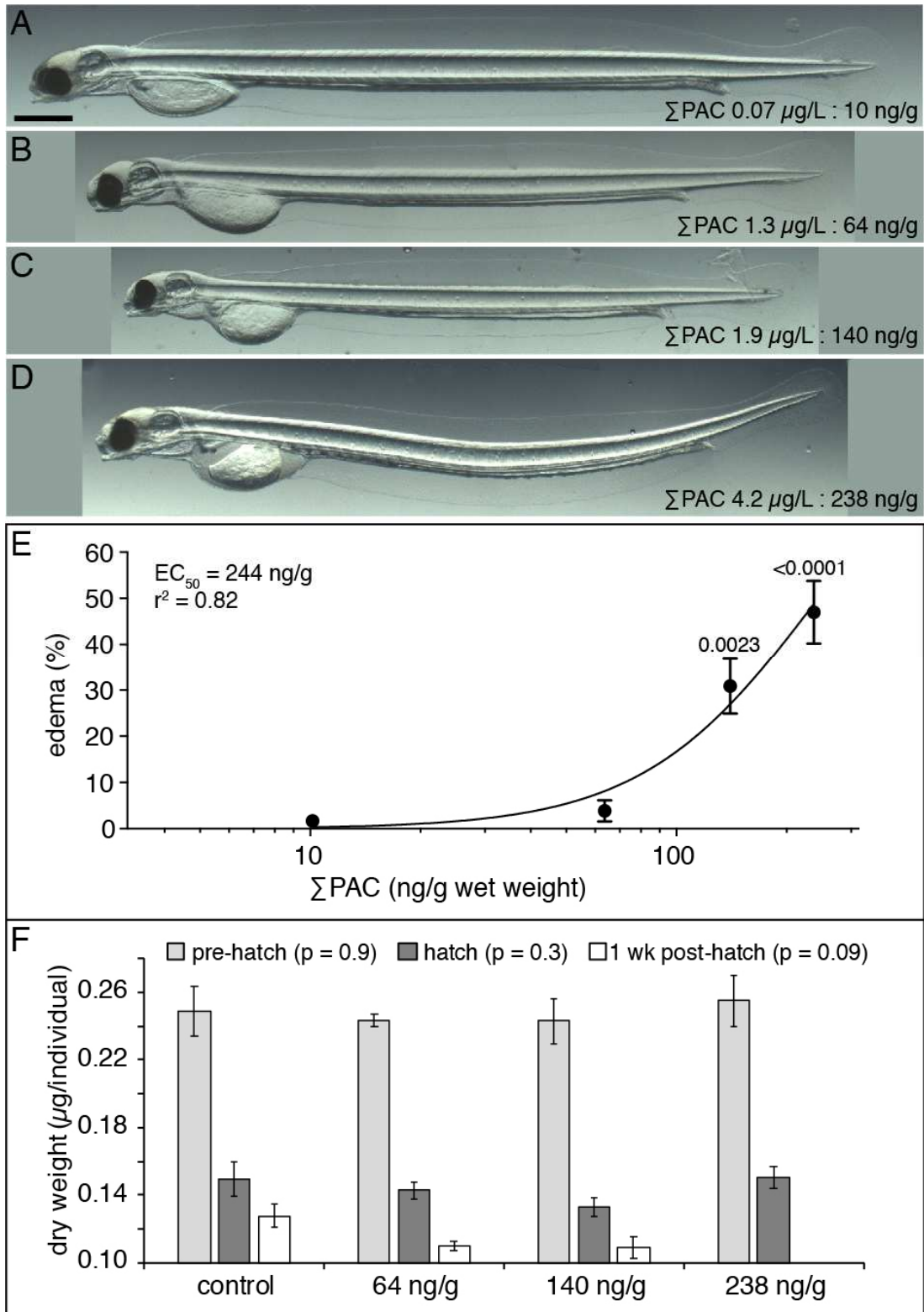
8 **Table 4: Target gene expression levels in heart vs. skeletal muscle of juvenile Pacific**  
9 **herring**  
10

	Heart:skeletal muscle mean relative expression (N = 3)
<i>myl7</i>	469,744 ± 198,257
<i>nkx2.5</i>	70,468 ± 30,091
<i>nrg2</i>	61 ± 25
<i>ryr2</i>	24 ± 11
<i>atp2a2</i>	7 ± 1
<i>notch1</i>	6 ± 2
<i>nac2</i>	1.3 ± 0.1
<i>myh7</i>	0.2 ± 0.1
<i>hbegfa</i>	0.2 ± 0.1

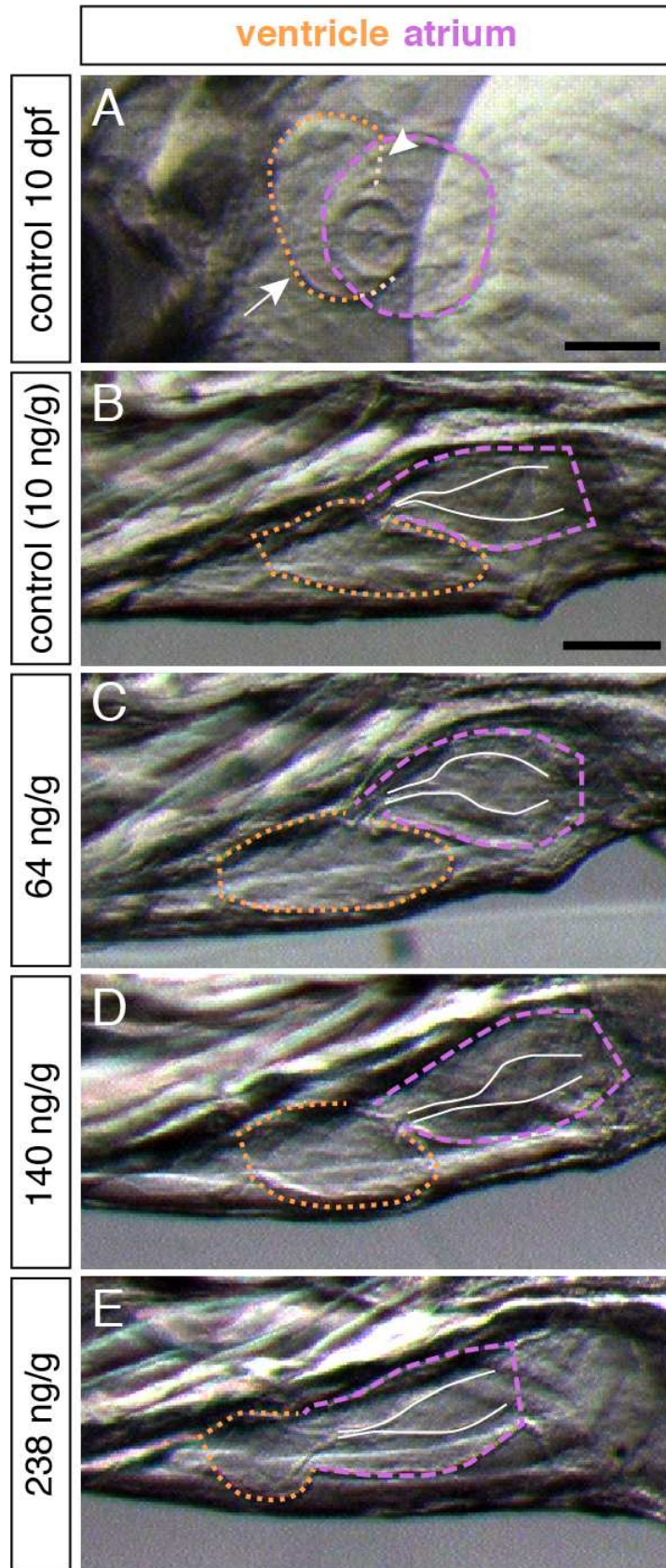
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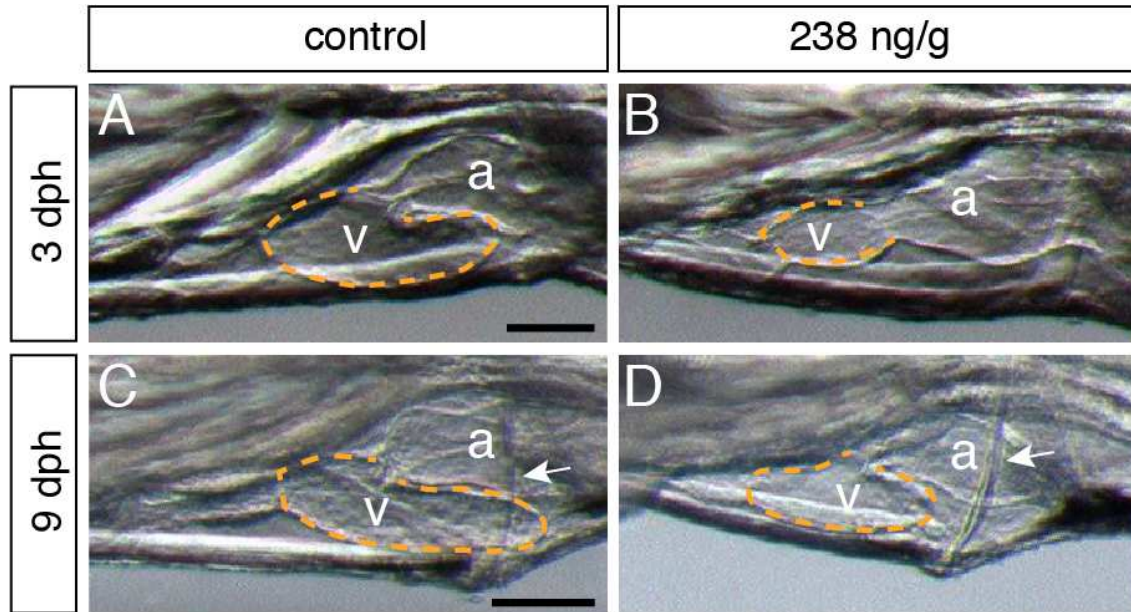
13 Figure 1. Effects of embryonic exposure on gross morphology of yolk sac larvae. (A – D)  
14 Representative images of yolk sac larvae at peak hatch. (A) control; (B) 64 ng/g (1.3 µg/L) dose;  
15 (C) 140 ng/g (1.9 µg/L) dose; (D) 238 ng/g (4.2 µg/L) dose. Scale bar (A) is 1 mm. (E)  
16 Relationship between tissue dose and incidence of pericardial edema scored as deformation of  
17 the anterior yolk mass. Data are mean ± s.e.m. (N = 4) for 30 larvae from each of 4 replicate  
18 exposures per dose. (F) Dry weights of embryos at end exposure (light gray bars) and larvae at  
19 hatch (dark gray bars) and 1-week post-hatch (white bars). Data are mean ± s.e.m. (N = 4) for  
20 each of 4 replicate pools of 50 embryos or larvae, normalized per individual.



22 Figure 2. Dose-dependent reduction in the degree of ventricular ballooning at hatch. High  
23 magnification lateral views of the heart are shown with anterior to the left, representing video  
24 frames taken from the end of ventricular diastole/atrial systole. The atrium is outlined by the  
25 dashed light magenta line, ventricle outlined by the orange dotted line. The solid white line  
26 traces the atrial endocardium. (A) 10 dpf control embryo, with white arrow indicting the  
27 ventricle outer curvature and white arrowhead indicating the inner curvature (behind atrium).  
28 Hatched yolk sac larvae shown for control (B), 64 ng/g dose (C), 140 ng/g dose (D) and 238 ng/g  
29 dose (E). Scale bar is 50  $\mu\text{m}$ .



31 Figure S3. Delayed initiation of ventricular ballooning in feeding stage larvae. For comparison,  
32 initial ventricular phenotype is shown at 1 dph for control (A) and the high 238 ng/g dose group  
33 (B). Identical view at 8 dph for control (C) and 238 ng/g dose (D). Ventricle (*v*) is outlined with  
34 the dashed yellow line. Atrium, *a*. White arrows indicate the cleithrum. Scale bars are 100  $\mu$ m.

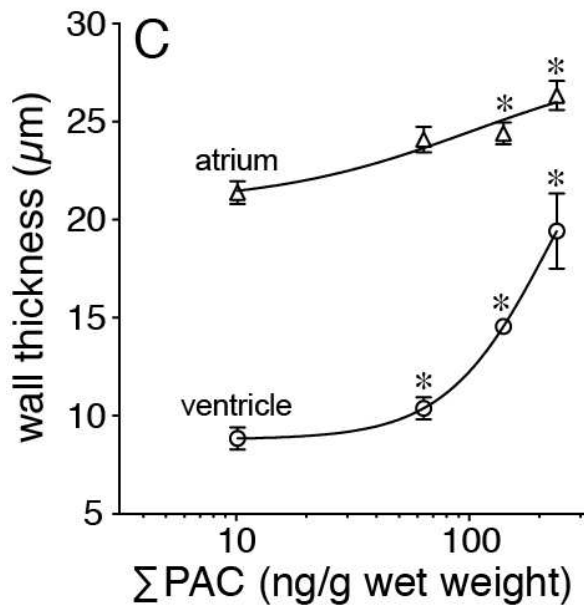
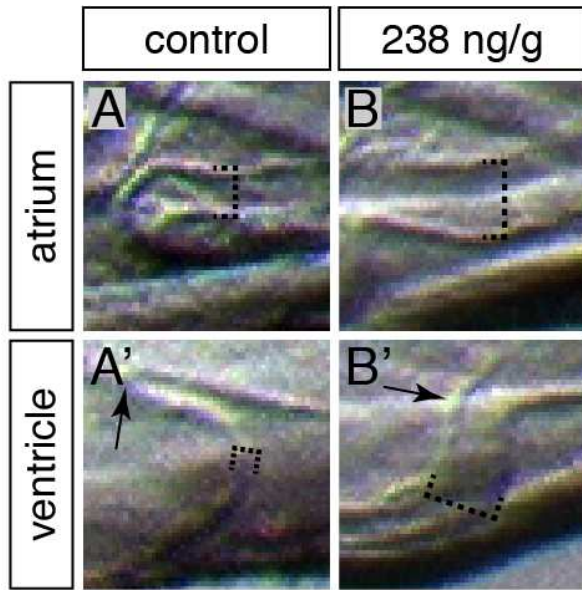


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37 Figure 3. Dose-dependent increase in atrial and ventricular wall thickness at hatch.  
38 Representative high magnification views of the ventral atrial wall (A, B) and posterior  
39 ventricular wall (A', B') were taken from video frames at end diastole for both chambers. (A,  
40 A') control, (B, B') 238 ng/g dose. Dotted black brackets indicate region of thickness  
41 measurements. Arrows (A', B') indicates position of the atrioventricular junction for the  
42 ventricle. (C) Thickness of atrial and ventricular walls ( $\mu\text{m}$ ) quantified for 10 larvae from each of  
43 4 replicate exposures (mean  $\pm$  s.e.m., N = 4). Asterisks indicate groups that are statistically  
44 different from control ( $\alpha = 0.05$ ) by ANOVA and Dunnett post-hoc test. Scale bar (B') is 50  $\mu\text{m}$ .



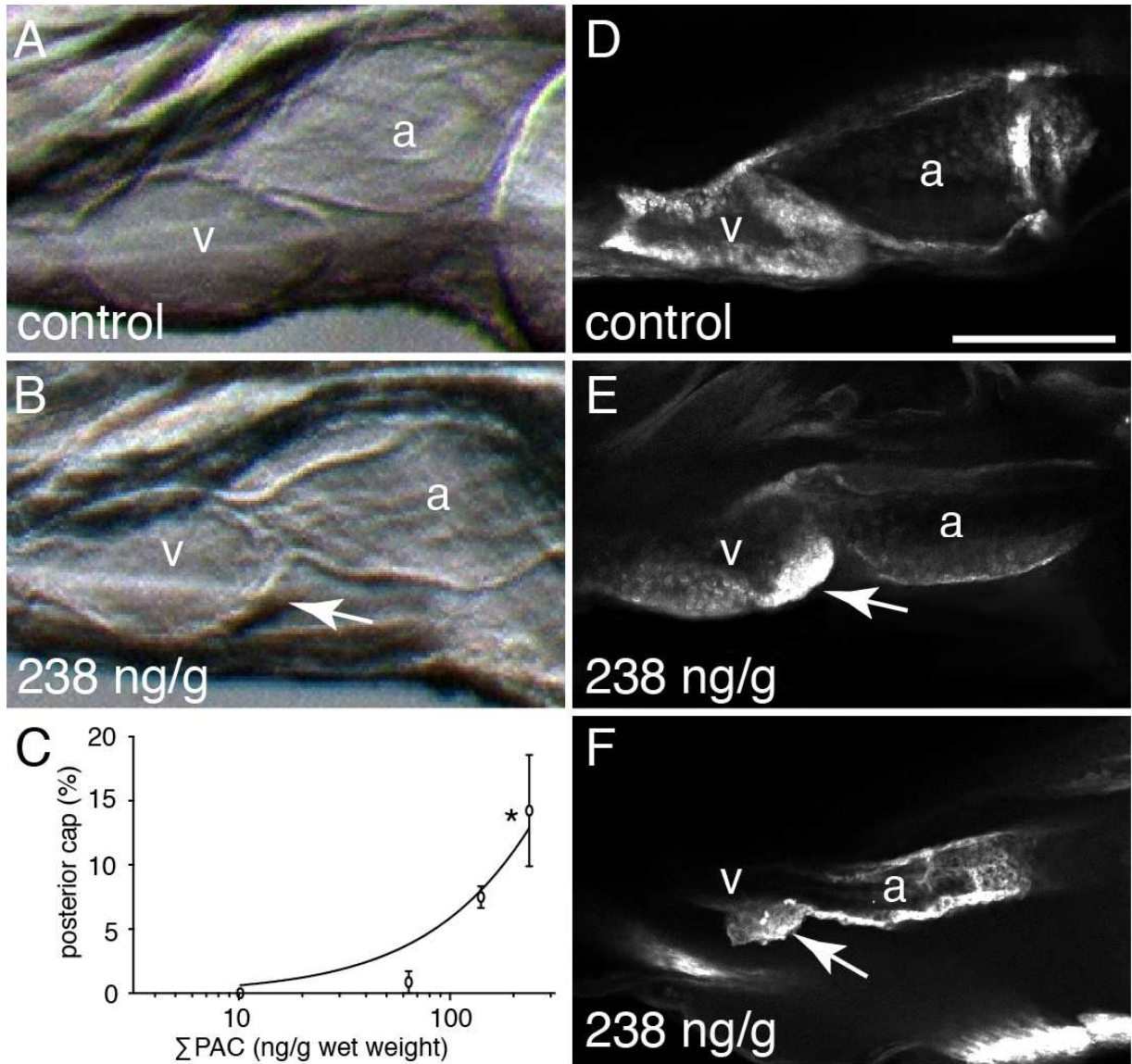


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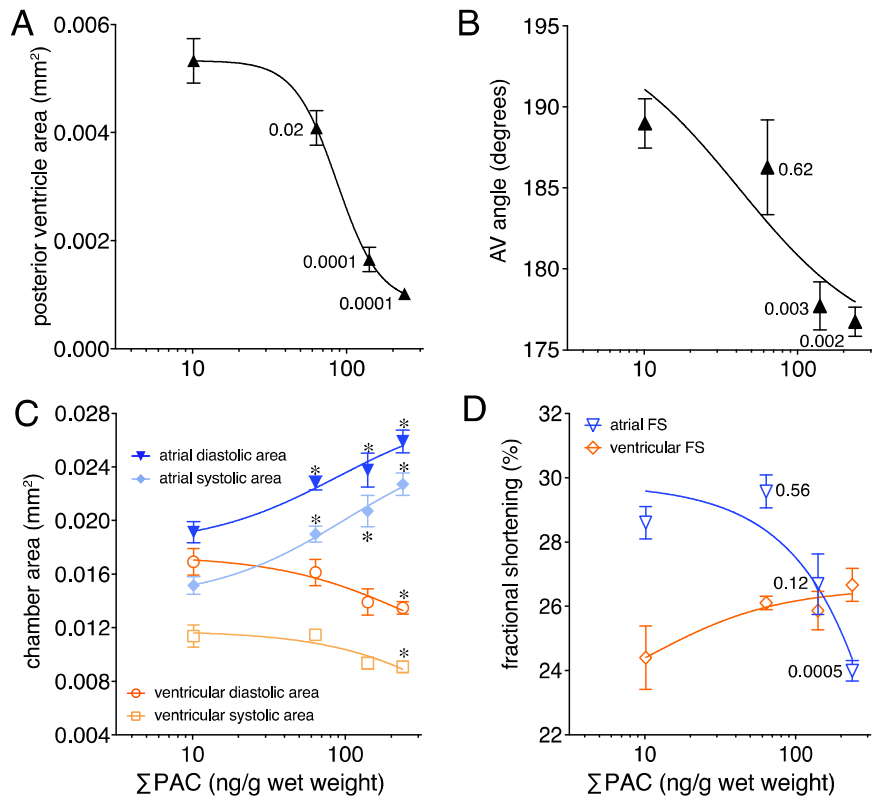
48 Figure S4. Dose-dependent accumulation of cardiomyocyte aggregates on the posterior ventricle  
49 at hatch. (A, B) Light micrographs of hearts in live larvae, from video frames taken at ventricular  
50 end diastole in control (A) and 238 ng/g dose (B). White arrow indicates thickened “cap” on the  
51 posterior end of the ventricle (*v*). Atrium, *a*. (C) Incidence of ventricular cap across dose series.  
52 Data are mean  $\pm$  s.e.m. (N = 4) of incidence among 30 larvae per 4 replicates at each dose.  
53 ANOVA for effect of oil exposure  $p = 0.0025$ ; asterisk indicates dose level significantly different  
54 from control by Dunnett’s post-hoc test. (D – F) Labeling of atrial (*a*) and ventricular (*v*)  
55 cardiomyocytes with anti-myosin heavy chain antibody identifies ventricular caps as  
56 cardiomyocyte aggregates. Images show anti-myosin antibody immunofluorescence (white  
57 signal) in lateral views similar to light micrographs in (A, B) for control (D) and two levels of  
58 severity for the  $\Sigma$ PAC 238 ng/g dose (E, F). Arrows indicate disorganized cardiomyocyte  
59 aggregates. Scale bar (D) is 50  $\mu\text{m}$ .



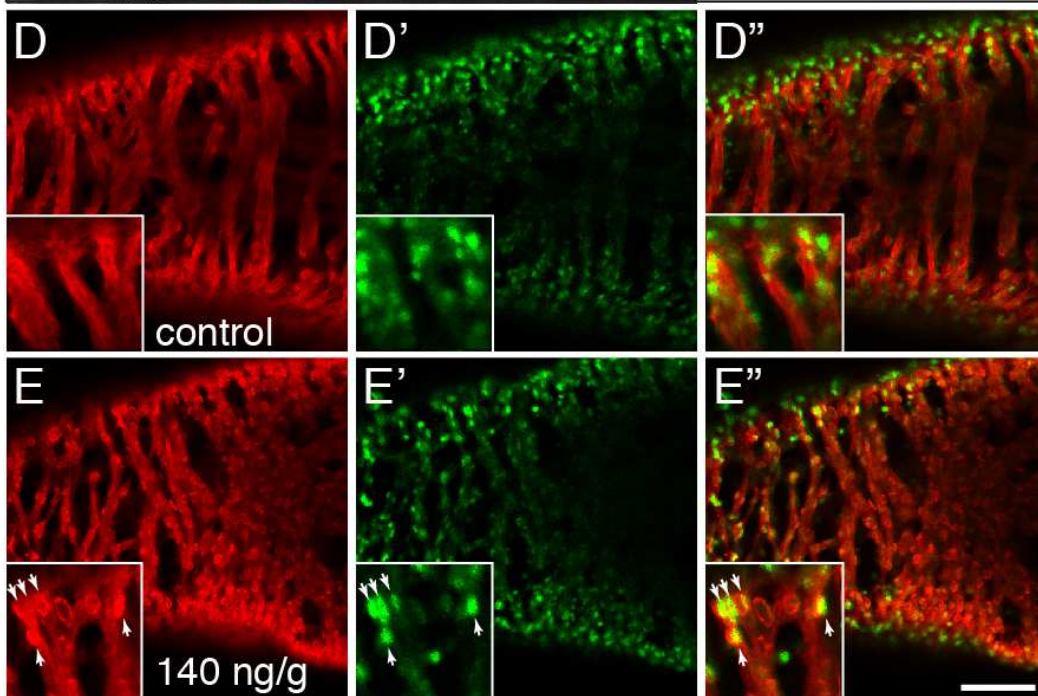
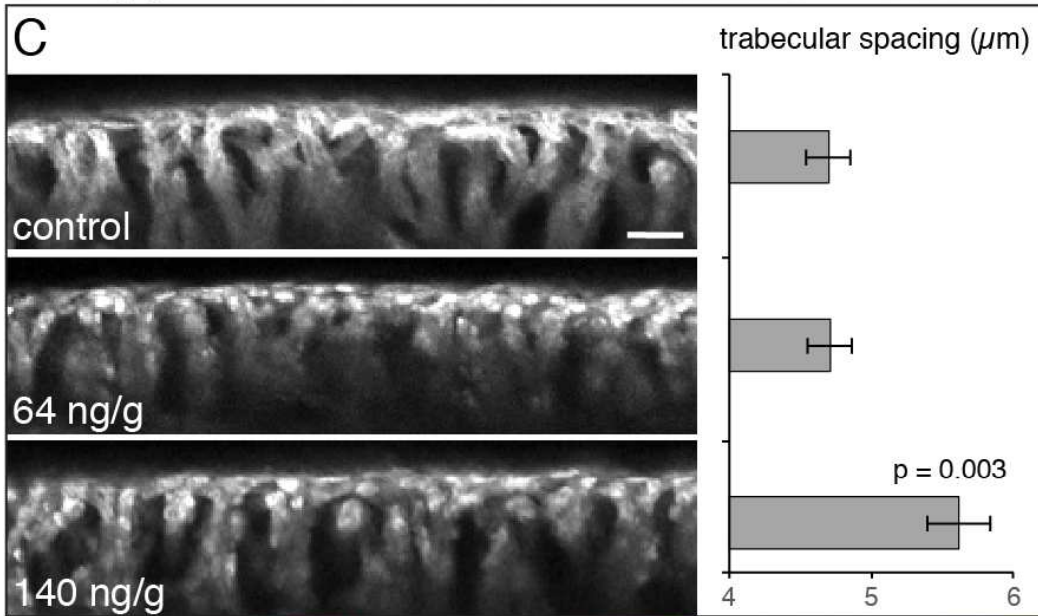
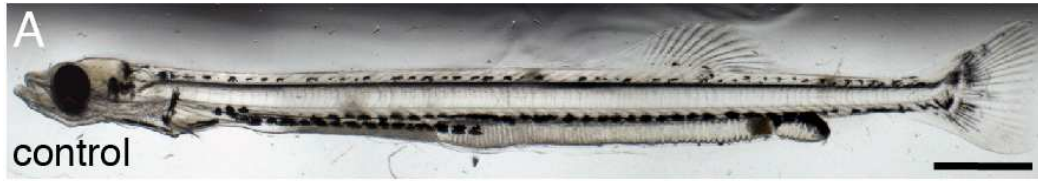
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62 Figure 4. Quantification of dose-dependent effects of oil exposure on cardiac morphology and  
63 function at hatch. All data are mean  $\pm$  s.e.m. (N = 4) from at least 23 larvae from each of 4  
64 replicate exposures for each dose at 1 dph, plotted against internal  $\Sigma$ PAC dose with non-linear  
65 regression models as described in the Materials and Methods section. Significance compared to  
66 control after ANOVA and Dunnett's post-hoc test is indicated by p values (A, B, D) or asterisks  
67 (C). (A) Area of the posterior ventricle (mm<sup>2</sup>). (B) Atrioventricular (AV) angle (degrees). (C)  
68 Chamber areas (mm<sup>2</sup>) for atrium at end diastole (blue triangles) and end systole (light blue  
69 diamonds), and ventricle at end diastole (orange circles) and end systole (light orange squares).  
70 (D) Contractility measured as fractional shortening for the atrium (blue triangles) and ventricle  
71 (orange diamonds).

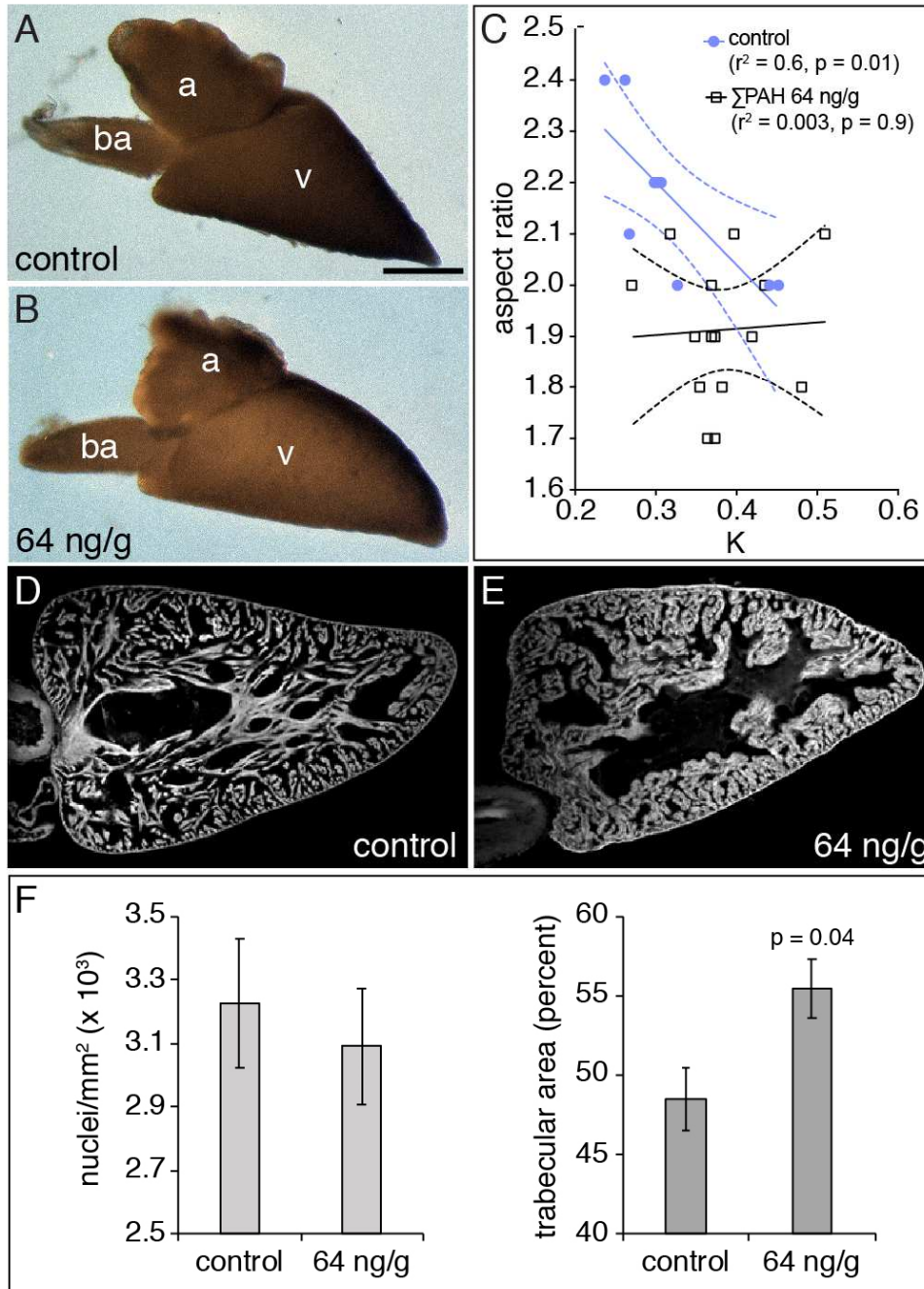


73 Figure 5. Abnormal trabeculation in larvae surviving to 67 dpf. (A, B) Representative live larvae  
74 at 67 dpf from control (A) and  $\Sigma$ PAC 140 ng/g dose groups (B). (C) Confocal images of cortical  
75 and trabecular cardiomyocytes labeled with anti-myosin heavy chain antibody, with control at  
76 top,  $\Sigma$ PAC 64 ng/g dose in the middle and 140 ng/g dose at bottom. Images were collected from  
77 the center of the ventral wall of the ventricle, with the ventral cortical layer at top and trabeculae  
78 extending downward to the interior of the ventricle. Quantification of trabecular spacing  
79 immediately near the cortical layer is shown plotted to the right of the representative images.  
80 Data are mean  $\pm$  s.e.m. of 13-14 trabecular spaces in each of 5 or 4 individual larvae for control  
81 and each exposed dose, respectively. ANOVA was significant for effect of exposure ( $p = 0.0025$ )  
82 with the 140 ng/g dose different from control by Dunnett's post-hoc test ( $p = 0.003$ ). (D, E)  
83 Cardiomyocyte myosin heavy chain distribution (D, E; red) relative to nuclei (D', E'; green) in  
84 confocal images taken from the ventral aspect of the ventricle for control (D-D'') and  $\Sigma$ PAC 140  
85 ng/g dose (E-E''). Merged images shown in (D'', E''). Inset in each shows higher magnification  
86 view of individual cells from the same image; arrows indicate co-localization of nuclei with  
87 spheroid myosin heavy chain immunofluorescence. Scale bars are 2 mm (A), 10  $\mu$ m (C) and 50  
88  $\mu$ m (E'').

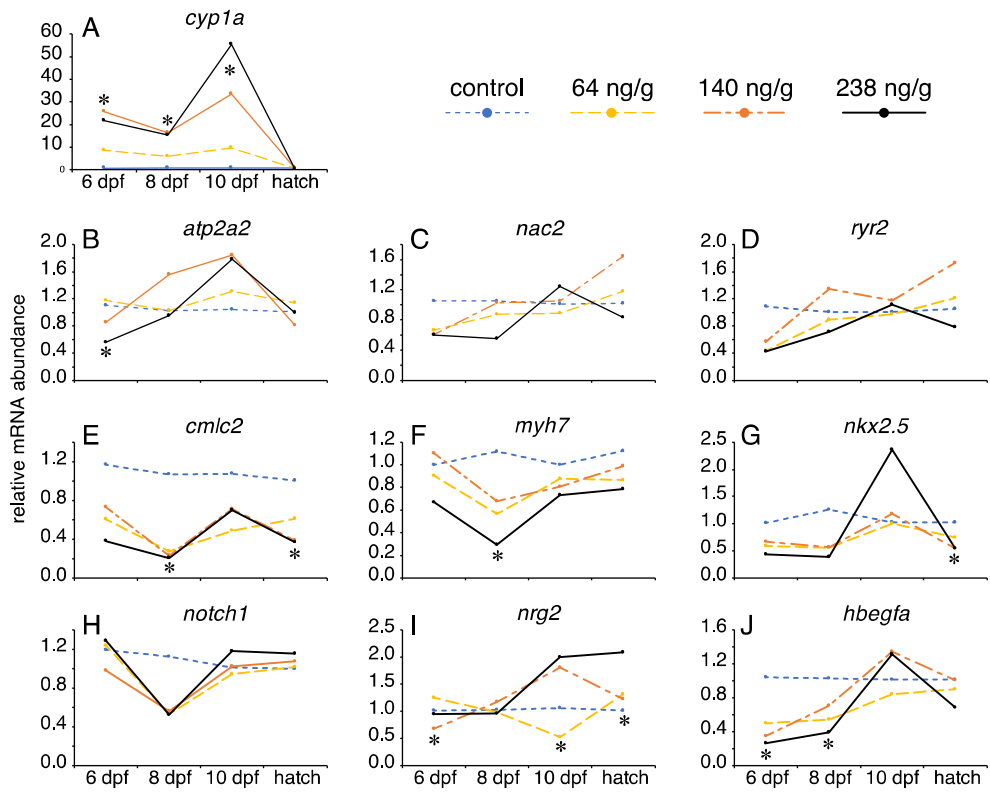


90 Figure 6. Altered ventricular shape and hypertrophy of trabeculae in early juveniles at 125 dpf.  
91 Lateral views of freshly-dissected hearts from representative control (A) and 64 ng/g dose group  
92 (B). Anterior is to the left, dorsal at top. Ventricle, *v*; atrium, *a*; bulbus arteriosus, *ba*. Scale bar is  
93 0.5 mm (A). (C) Linear regression model relating aspect ratio to condition factor K at 125 dpf in  
94 controls (N = 9; blue circles) and the 64 ng/g dose group (N = 15; black squares). Representative  
95 images of myosin heavy chain immunofluorescence in histological sections from a subset of 125  
96 dpf hearts from control (D) and 64 ng/g dose group (E). Total cellularity (F, left plot) was  
97 quantified by counting DAPI-stained nuclei and normalizing to total pixel area occupied by  
98 myosin heavy chain labeling, while trabecular hypertrophy (F, right plot) was quantified as the  
99 percentage of pixels from myosin heavy chain labeling relative to total pixel area contained by  
100 the ventricle perimeter. Data represent mean  $\pm$  s.e.m. of measurements from 2 to 3 replicate  
101 sections from each of 6 control hearts and 7 hearts from the 64 ng/g dose group. One-way nested  
102 ANOVA was significant for effect of treatment ( $p = 0.04$ ) but not replicate ( $p = 0.14$ ); post-hoc t-  
103 test showed a significant difference between control and exposed ( $p = 0.04$ ).



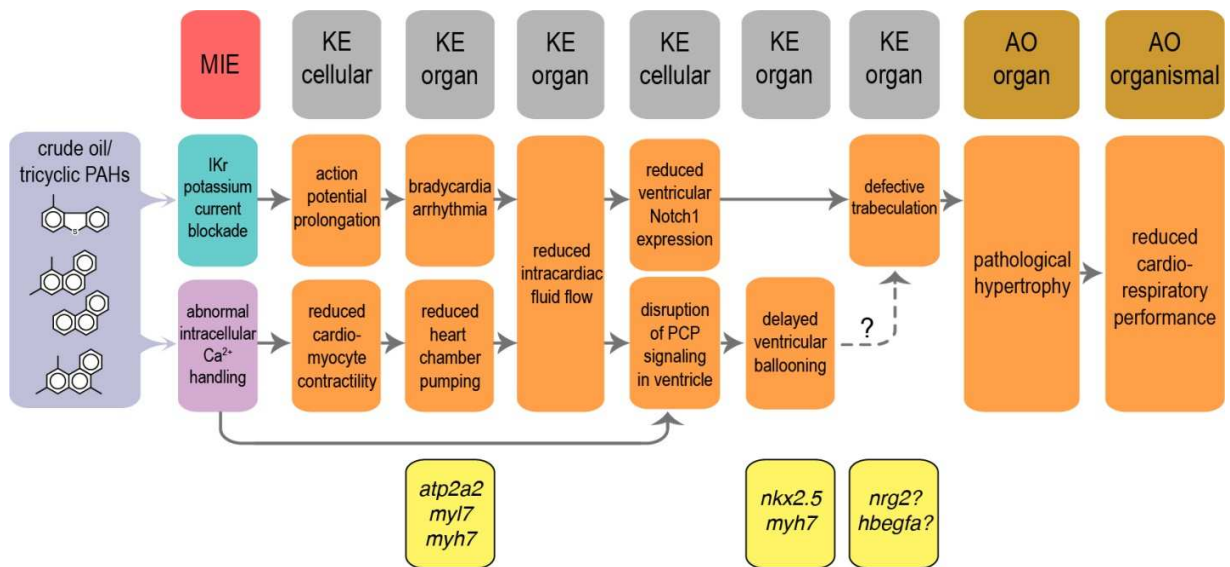


105 Figure 7. Quantification of expression levels of genes functioning in cardiac Ca<sup>2+</sup> handling,  
106 contractility, ventricular specification and trabeculation. As a reference for the exposure time  
107 course and PAC toxicokinetics, expression of *cyp1a* is shown in (A). Expression is shown for  
108 four time points; three during embryonic exposure (6 dpf, 8 dpf, 10 dpf) and one 3 days after  
109 exposure in yolk sac larvae (hatch). Dosing levels are color-coded, control (blue), 64 ng/g  
110 (yellow), 140 ng/g (orange) and 238 ng/g (black) tissue  $\Sigma$ PAC. For each gene, lines are plotted  
111 for each dose between each time point to highlight general trends. Data represent mean (N = 4)  
112 fold-change relative to controls normalized to the geometric mean of three reference genes (see  
113 Methods and Materials). For simplicity, error bars are omitted, but complete set of raw data and  
114 variability are provided in Table S1. One-way ANOVAs were performed separately for each  
115 gene and each time point. If the ANOVA showed a  $p \leq 0.05$  for effect of treatment, Dunnett's  
116 post-hoc test was performed to identify statistically different dose groups. Asterisks indicate  
117 groups that were statistically different from control ( $p \leq 0.05$ ). (B) *atp2a2*; (C) *nac2*; (D) *ryr2*;  
118 (E) *myl7*; (F) *myh7*; (G) *nkx2.5*; (H) *notch1*; (I) *nrg2*; (J) *hbegfa*.



120 Figure 8: Model summarizing the adverse outcome pathway for low-level crude oil toxicity  
 121 during fish embryonic development. *MIE*, molecular initiating event; *KE*, key event; *AO*, adverse  
 122 outcome. Gene expression markers identified in this study are indicated in the boxes along the  
 123 far right.

124



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