A hemispheric asymmetry in poleward ocean heat transport across climates: implications for overturning and polar warming $\stackrel{k}{\Rightarrow}$

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Abstract

The modern Indo-Pacific oceans absorb more heat from the atmosphere than they release. The resulting energy surplus is exported from the Indo-Pacific by the ocean circulation and lost to the atmosphere from other ocean basins. This heat transport ultimately sustains much of the buoyancy lost to deep water formation at high latitudes, a key component of the global overturning circulation. Despite the fundamental link between inter-basin ocean heat transport and global overturning in today's climate, there is no general understanding of how these phenomena vary with climate state. Here, we use an unprecedented suite of fully-coupled climate model simulations, equilibrated for thousands of years to a wide range of CO_2 levels, to demonstrate that major differences in overturning between climates are related to systematic shifts in ocean heat transport between basins. Uniformly, equilibration to

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higher CO_2 levels strengthens inter-basin ocean heat transport and global deep water formation. These changes are sustained by increased surface heat uptake within the Indo-Pacific oceans, and increased high-latitude heat loss outside of the Indo-Pacific oceans as the climate warms. However, poleward heat transport and high-latitude heat loss do not increase symmetrically between hemispheres. Between glacial and modern-like states, North Atlantic heat loss intensifies and overturning in the Atlantic strengthens. In contrast, between modern-like and hot climates, heat loss and overturning strengthens in the Southern Ocean. We propose that these differences are linked to a shift in the relative efficiency of northward and southward ocean heat transport — dominated by advection in the North Atlantic and eddy diffusion in the Southern Ocean — with climate state. Our results suggest that, under high CO_2 , future ocean heat transport towards Antarctica would increase disproportionately compared to its changes since the last ice age. *Keywords:* Paleoclimate, Climate Evolution, Ocean Overturning Circulation, Ocean Heat Transport, Climate Modeling, Last Glacial Maximum

1 1. Introduction

In the modern climate, the combined heat transport by the ocean and atmosphere alleviates the energy imbalance between the planet's low and high latitudes. While the atmosphere extends over the entire Earth surface, the global ocean is instead partitioned by continents into basins. Each basin differs dramatically in shape and meridional extent, such that the Indian and Pacific Oceans make up most of the tropical global ocean, while the
Atlantic, Arctic, and Southern Oceans comprise its high latitudes. Given
this configuration, the surface heat budgets of each basin need not close.
Instead, imbalances in surface heat fluxes over each basin are compensated
by zonal heat transport between basins, accomplished through an inter-basin
circulation (e.g., 1).

In the modern ocean, vast quantities of heat are carried between basins 13 by the ocean circulation. Specifically, an excess of nearly a petawatt of heat 14 is gained over the surface of the Indo-Pacific Oceans, which is relieved by a 15 net heat transport into both the Southern and Atlantic Oceans (e.g., 2). This 16 imported heat balances the net surface heat loss from these basins and plays 17 a pivotal role in maintaining the modern deep Atlantic Meridional Over-18 turning Circulation (AMOC) (1, 3, 4, 5, 6, 7). However, the strength and 19 configuration of the ocean overturning has varied from its present-day state 20 over past glacial cycles, as documented in deep ocean tracers and fluctua-21 tions in both atmospheric CO_2 and global surface temperatures (8, 9, 10). 22 For instance, paleo proxies suggest that the AMOC was shallower and in-23 volved less inter-basin flow during the Last Glacial Maximum (LGM) than 24 its present-day counterpart (e.g., 11, 12, 13). A comprehensive explanation 25 for these changes remains elusive. 26

Despite the link between inter-basin ocean heat transport and the overturning circulation in the present-day climate, as well as the consensus that overturning has varied significantly in the past, no previous study has ex³⁰ plored how changes in overturning are more generally connected to modifi-³¹ cations in basin-scale surface heating and inter-basin ocean heat transport. ³² Moreover, many prevailing dynamical theories for overturning transitions rely ³³ heavily on idealized ocean-only models (14, 15, 16, 17, 18, 19), frameworks ³⁴ that, by construction, do not account for the complex atmosphere-ocean dy-³⁵ namics that govern the geographical distribution of surface heat fluxes in a ³⁶ given climate.

In this study, we use an unprecedented ensemble of fully-coupled climate 37 model simulations to show that the global distribution of surface heat fluxes, 38 and compensating pathways of inter-basin ocean heat transport, vary sys-39 tematically across a range of equilibrated climate states. Specifically, we 40 find that, while the Indo-Pacific basins are always sites of net heat uptake, 41 with a magnitude that increases with climate warming, the delivery of heat 42 to sites of high latitude heat loss varies asymmetrically between the North 43 Atlantic and Southern Ocean. We argue that this shifting distribution of 44 global ocean heat loss explains global overturning reconfigurations exhibited 45 across climates, which are qualitatively consistent with accepted differences 46 between the overturning during the LGM and today. In addition, our results 47 inform how past overturning transitions may differ from those possible in 48 climates much warmer than today. 49

50 Climate Simulations and Methods

The relationship between the equilibrated ocean overturning state and 51 global climate has remained unclear, in part, because of the computational 52 challenges of addressing this relationship in climate models. Doing so inher-53 ently requires: (1) coupling of a dynamic ocean, atmosphere and crysophere; 54 (2) a large number of simulations that probe different forcing and climate 55 states; and (3) integrations that span many thousands of years to achieve 56 a statistically-steady system (e.g., 20). Here, we make use of an unprece-57 dented ensemble of simulations that satisfy these requirements. This series 58 of 24 fully-coupled climate simulations, each equilibrated to a wide range of 59 different atmospheric carbon dioxide (CO_2) levels under various orbital forc-60 ing scenarios, and individually integrated for at least 3000 years, comprehen-61 sively span quasi-equilibrium climate states from cold, glacial-like conditions, 62 through modern-day parallels, and into states much warmer than today (21). 63 The climate model used is the coupled ocean – atmosphere-ice-biogeo-64 chemistry model CM2Mc.v2 (22) with a nominal 3° horizontal resolution in 65 the ocean and in the atmosphere, each comprised of 28 and 24 vertical layers, 66 respectively, as detailed in (21). The model was forced with one of six levels 67 of atmospheric CO_2 : 180, 220, 270, 405, 607 and 911 ppm. For each CO_2 68 level, the simulation was integrated using one of four different permutations 69 of orbital forcing, involving two precession angles $(270^{\circ} \text{ or } 90^{\circ})$ and two obliq-70 uities $(22.0^{\circ} \text{ or } 24.5^{\circ})$, over timescales ranging from 3200 to 5000 years. The 71 model set-up and the influence of orbital variations are discussed in depth 72

by (21). To isolate the robust influence of CO_2 level on equilibrium climate, 73 in this study we present averages at each atmospheric CO_2 level across the 74 4 orbital configurations. The general evolution of climate and ocean over-75 turning with CO_2 level, averaged over the various orbital forcing scenarios, 76 (summarized in Fig. 1a-d) are robust across each individual orbital forcing 77 case. Fig. S1 expands on the characteristics of the overturning and climate 78 state for different CO_2 forcing and orbital configurations, the spread of which 79 is illustrated in the vertical bars in Fig. 1. Interesting differences do exist 80 between different orbital configurations and will be explored in a subsequent 81 study. 82

Our particular focus here is the influence of CO_2 level on global overturning and heat transport. We define the global overturning streamfunction from the residual circulation along and across density surfaces, given by

$$\Psi(y,\sigma) \equiv -\int_{-H}^{\zeta} \int_{x_E}^{x_W} \mathbf{v}(x,y,z) \mathcal{H}(\sigma'(\boldsymbol{x}) - \sigma) \,\mathrm{d}x \,\mathrm{d}z.$$
(1)

Eq. 1 quantifies the meridional transport of waters denser than isopycnal σ , where $\mathbf{v}(x, y, z)$ is the local residual meridional velocity (including bolus contributions), H is the depth of the ocean bottom, ζ the sea-surface height, \mathcal{H} is the Heaviside Function, where $\mathcal{H}(n) = 1$ for $n \ge 0$ and $\mathcal{H}(n) = 0$ for $n < 0, X_E$ and X_W are zonal boundaries of the domain, which can span a closed basin or a full longitude circle, and y is latitude. To exclude the surface gyres, the Atantic Meridional Overturning Circulation (AMOC) strength is

defined as the maximum in Ψ within the Atlantic basin for all $\sigma > 34 \text{ kg/m}^3$. 90 Global abyssal overturning strength is defined as the minimum in Ψ for all 91 $\sigma > 34 \text{ kg/m}^3$ and north of 30°S, which captures the global overturning of the 92 bottom waters destroyed through buoyancy gains north of 30°S. Total global 93 overturning (Fig. 1c) is defined as the sum of the absolute magnitude of each 94 overturning branch, quantifying the net global cycling of waters from high to 95 low densities (and, generally, from lower to higher temperatures). Note that 96 the maximum in the abyssal branch south of 30° S, or the "Southern Ocean 97 recirculation" (e.g. 23), follows a trajectory distinct from the "global" value. 98 This relationship, closely linked to Antarctic sea ice, and orbital forcing, will 99 be explored in a subsequent study. 100

An key point is that the overturning rates we report are equilibrated to 101 each CO₂ level and, in general, will differ from the ocean's transient response 102 to changes in CO_2 forcing between states. While we have not performed a 103 20th-21st century simulation in this version of the model, we note the AMOC 104 weakens in its transient response to historical and RCP8.5 forcing in two very 105 similar model configurations (CM2M.v1 by (24) and GFDL ESM2M (25)), 106 consistent with most coupled climate models. In contrast, several studies 107 have shown that the AMOC ultimately recovers or exceeds its preindustrial 108 strength as the climate equilibrates (over millennial timescales) to higher 109 than present day CO_2 levels (20, 26, 27). This AMOC strengthening is in 110 broad agreement with our simulations. 111

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Several limitations of the simulations relevant to global overturning should

be noted. Due to its coarse resolution, the ocean model does not resolve 113 geostrophic turbulence and therefore parameterizes the effect of mesoscale 114 eddies. Lateral diffusion and skew diffusion of tracers along isopycnals is 115 represented using the parameterization of (28) with a spatially-varying dif-116 fusion coefficient. The coefficient depends on the horizontal shear between 117 100 and 2000 m, and is bounded by minimum and maximum values of 200 118 $\rm m^2~s^{-1}$ and 1400 $\rm m^2~s^{-1},$ respectively. Overall, CM2Mc generates a relatively 119 strong response to changes in baroclinicity, as suggested by observations in 120 the Southern Ocean (29). The parameterization is an imperfect surrogate 121 for eddy effects, and will therefore bias the results to some degree. Note, 122 however, most of the large-scale aspects of interest here are captured rela-123 tively well by similar parameterizations (30). Additionally, like most global 124 climate models, CM2Mc cannot capture the many processes involved in the 125 coastal formation and overflow of deep waters, resulting in the dominance of 126 bottom water formation through open-ocean convection. This likely biases 127 the sensitivity of deep water formation to CO_2 change to some degree. More 128 details of these limitations are discussed by (21). Of particular importance 129 for our results is a cold bias in the North Pacific in its preindustrial control 130 simulation, associated with more expansive sea ice and more vigorous inter-131 mediate water formation than observed in the region in the modern climate. 132 In contrast, the Southern Ocean is warmer in preindustrial simulations than 133 observed, though its preindustrial sea ice extent agrees relatively well with 134 observations and quite well with CMIP5 and CMIP6 models, on average (31). 135

Finally, there is a small imbalance in the net surface heat flux, which when summed globally ranges from 0.01 - 0.06 PW across climate states (Fig. S2), remaining 1 - 2 orders of magnitude smaller than both net inter-basin heat transports and changes in inter-basin heat transport across climates. Regardless, deep ocean temperatures remain quite steady, changing less than 0.001° C on average over the final century of integration. We consider the potential impact of these model biases on our results in our Discussion.

143 **Results**

As expected, climate simulations equilibrated to progressively higher CO_2 144 levels warm monotonically, as measured by the atmospheric global mean 145 surface temperature (GMST) (see 21). Warming of the climate state, in these 146 simulations, also leads to major reconfigurations in inter-basin ocean heat 147 transport, as we discuss in detail in the following sections. Changes in inter-148 basin transport can first be inferred by comparing the net heat flux over each 149 basin (Fig. 1a-b). Across all climate states, the Indo-Pacific serves as the 150 global ocean's primary heat source. This basin, defined as the region between 151 30°S and the Bering Strait in the Pacific and Indian Oceans, receives more 152 heat from the atmosphere than it loses, meaning the Indo-Pacific surface heat 153 flux is in surplus (is positive in the net, see Fig. 1a), irrespective of the climate 154 state. Furthermore, this Indo-Pacific heat surplus grows monotonically with 155 GMST, which, as a consequence, requires more heat to be exported from the 156 basin in progressively warmer climates. However, the partitioning of heat 157

loss to the atmosphere between the Atlantic-Arctic region (north of 30°S
and including the marginal seas, henceforth "Atlantic") and the Southern
Ocean (south of 30°S) follows a complex trajectory with increasing GMST
(Fig. 1b).

The global overturning rate is tightly linked to basin-scale heating. Indi-162 vidually, the Atlantic-sourced (AMOC) and Southern Ocean-sourced (abyssal 163 cell) branches of the global circulation tigtly co-vary with the total heat fluxes 164 in their respective basin (Fig. 1d). Yet the combined magnitudes of each 165 branch, which we term the global overturning rate, increases monotonically 166 with the increasing Indo-Pacific heat uptake (Fig. 1c). We are not aware of 167 prior discussion regarding this general relationship between the global over-168 turning rate and global mean temperature — it would, in fact, be impossible 169 to recover in a model that imposes surface fluxes or temperatures in the 170 lower latitudes (15, e.g.,). In what follows, we refer to three distinct over-171 turning states spanned by these simulations, termed "Cold" (low CO_2 at 180 172 ppm), "Warm" (near modern-day, at 405 ppm) and "Hot" (high CO_2 , at 173 905 ppm), which differ in both the relative importance of the Atlantic and 174 Southern Oceans in closing the global ocean heat budget and the relative 175 contribution of the AMOC and abyssal cells to global overturning. Due to 176 the equilibrated nature of the simulations, we cannot assess the transient ad-177 justment that produces these changes in overturning, but we can determine 178 the processes that sustain distinct configurations between climates. We first 179 describe these key dynamical differences and then propose an explanation for 180

¹⁸¹ why the circulation transitions between regimes.

¹⁸² Indo-Pacific Heat Uptake

We begin with the mechanisms sustaining the Indo-Pacific net heat sur-183 plus and its remarkably monotonic relationship with GMST. Across all cli-184 mate states, most of the heat uptake in the basin (and globally) occurs in 185 the tropical Pacific (here defined from 10° S to 10° N in the Pacific, (the red 186 box in Fig. 2a). Tropical heat uptake exceeds total heat losses elsewhere in 187 the basin in all climates (leading to the surplus in Fig. 1a). Moreover, heat 188 uptake in the tropical Pacific, where wind-driven upwelling exposes cooler 189 underlying waters to intense shortwave radiation, is relatively consistent be-190 tween climates, decreasing by roughly 10% from 1.8 PW in the Cold state 191 to 1.6 PW in the Hot state (Fig. 2b). In contrast, surface fluxes over the 192 basin's dominant heat loss site — the North Pacific, defined as $12 - 55^{\circ}N$ 193 (the blue box in Fig. 2b) — varies more significantly with climate. In the 194 Cold state, 1.38 PW of heat is lost over this region (a net flux of -1.38 PW), 195 whereas in the Hot state, regional heat loss falls 34% to -0.91 PW (Fig. 196 2b). This reduction is due to a weaker sensible heat loss. In fact, North 197 Pacific sensible heat loss weakens more dramatically than the total heat loss, 198 decreasing nearly two-fold from -1.53 PW to -0.85 PW between the Cold 199 and Hot states (Fig. 2b). While sensible heat fluxes dominate total regional 200 reductions, they are slightly offset by other flux components. 201

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We attribute the change in sensible heat loss to a reduction in the air-sea

temperature contrast (a primary control on sensible heat loss) over the North 203 Pacific. In the glacial-like Cold state, regional surface air temperatures are 204 3.3°C colder, on average, than the sea surface below (i.e., an air-sea contrast 205 of -3.3° C in Fig. 2c). As GMST increases, however, regional surface air 206 temperatures warm more than sea-surface temperatures. This likely occurs 207 because surface waters carried northward in western boundary currents ac-208 quire their characteristic temperatures from lower latitudes, where surface 209 warming varies less with climate state. In contrast, North Pacific surface 210 air temperatures are more sensitive to continental effects (e.g., 32)) and are 211 influenced by the disproportionate warming of the land surface, relative to 212 the ocean, between climates (e.g., 33, 34). This reasoning suggests that re-213 ductions in mid-latitude sensible heat loss may be a general expectation of 214 a warming climate, an inference supported by the robust, wide-spread re-215 duction in North Pacific and mid-latitude sensible heat loss in 20th and 21st 216 century warming scenarios in the CMIP5 ensemble (35). While the compari-217 son of these transient simulations to our results is indirect, we are not aware 218 of any study examining the equilibrated regional heat flux response to CO_2 219 changes in other models. In our simulations, changes in regional surface cli-220 mate significantly reduce the air-sea temperature contrast (to -1.6° C) in the 221 North Pacific in the Hot state, consistent with the strong reduction in sen-222 sible heat flux between the climate states (Fig. 2c). While the magnitude of 223 this heat loss may be influenced by the regional cold bias noted under prein-224 dustrial forcing (21), we argue that the qualitative change between climate 225

states is not. That is, because North Pacific heat loss is more sensitive to
climate state than tropical Pacific heat uptake, the basin-scale Indo-Pacific
surface heat budget falls increasingly out of balance with increasing GMST.

229 Atlantic and Southern Ocean Heat Loss

To understand the (non-monotonic) evolution of basin-scale heat loss in 230 the Atlantic and Southern Oceans (Fig. 1b,d), we first consider the dynamics 231 that govern lateral heat transport. In the glacial-like Cold climate state, 232 polar regions are extensively ice covered and global high-latitude heat loss 233 is at its minimum (Fig. 2a and S3). In the Atlantic, the AMOC, which 234 even in this climate is sustained by heat loss (Fig. 3), is relatively weak 235 (at 15 Sv) and shallow (Fig. S6). Note that Fig. 3 depicts the surface 236 water-mass transformation (e.g., Walin (36), and defined in Appendix A), 237 which quantifies the relative roles of heat and freshwater fluxes in dense 238 water formation. 239

The glacial AMOC is shallow and largely confined to the Atlantic basin, 240 weakening to 8 Sv at 30° S, and there is a negligible heat transport into 241 the basin (Fig. 1, S4). This implies that the AMOC is maintained by 242 heat gained over lower latitudes within the Atlantic basin, consistent with 243 the inference of reduced intermediate water inflow during past weak AMOC 244 states (37). These features also generally agree with evidence of a shallower 245 AMOC during the LGM, relative to present day (e.g., 8, 9, 10, 11). Previous 246 analysis of CM2Mc has shown that the presence of a large Laurentide ice 247

sheet intensifies local dense water formation and overturning rates within the 248 shallow AMOC (21), but this effect is not included here. Deep and bottom 249 water formation in the Southern Ocean, in contrast to the North Atlantic, is 250 primarily sustained by vigorous brine rejection from Antarctic sea ice (Fig. 251 3 and (21)). This behavior again conforms to proxy-based reconstructions 252 of a salinity-driven glacial abyssal overturning (38, 39). Thus in both the 253 Atlantic and Southern Ocean in these simulations, Cold state overturning is 254 qualitatively consistent with paleoclimate records and does not rely on net 255 heat transport into the basin. 256

In warmer climates, global surface heat flux patterns shift, with important 257 implications for deep overturning. As noted above, increasing Indo-Pacific 258 heat uptake must be compensated by intensified heat loss elsewhere. Between 259 the Cold and Warm states, the intensified cooling rates occur almost exclu-260 sively within the North Atlantic. North of 50°N, heat loss from a now ice-free 261 surface more than doubles, from -0.22 PW to -0.47 PW, and accounts en-262 tirely for the nearly two-fold increase in deep water formation (Fig. 3) and 263 AMOC strength (Fig. 1d). The enhanced AMOC is deeper and is no longer 264 maintained by heat sourced within the Atlantic basin, but instead relies on a 265 significant zonal heat transport (Fig. S4) from the Indo-Pacific and into the 266 Atlantic along the canonical "warm route" (40). An increase in inter-basin 267 circulation and heat transport with climate warming is also inferred from 268 reconstructions (16) and is consistent with the strengthening and southward 269 extension of Southern Hemisphere westerly wind stress (Fig. S8 and e.g., 270

(41, 42)), also expected in warmer climates (e.g., 43). Stronger and deeper 271 heat and buoyancy transport out of the Indo-Pacific and into the Atlantic 272 (Fig. S6) is also a signature of inter-basin overturning (e.g., 6, 7), and is more 273 consistent with the modern state (e.g., 5). In contrast to the North Atlantic, 274 high-latitude heat loss in the Southern Ocean (> $60^{\circ}S$) remains largely un-275 changed between Cold and Warm states. Deep water formation and abyssal 276 overturning rates weaken moderately, though this is primarily due to reduced 277 Antarctic sea ice formation (Fig. 3), consistent with the $\approx 26\%$ decline in 278 sea ice area. Further, Antarctic sea ice changes between the Cold and Warm 279 state are small, relative to the precipitous reduction (by $\approx 84\%$) in Northern 280 Atlantic ice area (Fig. 4a). Heat loss outside the Antarctic ice pack weakens, 281 part of a robust global reduction in mid-latitude sensible heat loss (Fig. S3 282 and consistent with (35)). 283

While the transition between the Warm and Hot states is again char-284 acterized by increased inter-basin heat transport, in contrast to the Cold-285 to-Warm transition, North Atlantic heat transport and AMOC strength are 286 nearly unchanged (Figs. 1, 3). Westerly winds remain sufficiently southward 287 to enable exchange between the Indo-Pacific and Atlantic, yet heat trans-288 port along this pathway, as well as North Atlantic cooling rates, saturate 289 at their Warm state levels (Figs. 4a, S4). Instead, increased Indo-Pacific 290 heat uptake is compensated in the Southern Ocean. Specifically, southern 291 high-latitude (> 60° S) cooling increases from -0.33 PW in the Warm state 292 to its peak across all climates, -0.42 PW, in the Hot state. Additionally, 293

Antarctic sea ice, relatively resilient to moderate changes in GMST, declines dramatically (Fig. 4a), even while bottom water formation and abyssal overturning reach their highest rates across all climate states. Notably, similar increases in AABW formation and abyssal overturning, concurrent with severe reductions in Antarctic sea-ice, were noted in climates equilibrated to above-present day CO₂ levels in other models (44, 45).

Crucially, this increased overturning is now maintained by intensified sur-300 face heat loss (Fig. 3), representing a systematic shift from an abyssal circula-301 tion driven by brine rejection in the Cold state to an exclusively heat-driven 302 overturning in the Hot state. Enhanced meridional heat transport across 303 the ACC balances surface flux changes (Fig. 4a). These changes are likely 304 enabled by a southward shift of the ACC, resulting in its intensified inter-305 action with topography and, thus, the formation of standing meanders (Fig. 306 S4). Standing meanders are known sites of increased eddy activity and eddy 307 fluxes (46, 47); indeed southward eddy heat fluxes increase nearly two-fold 308 between the Warm and Hot states (Fig. S5). Deeper penetration of heat 300 (and buoyancy) into the abyssal Indo-Pacific further implies enhanced cou-310 pling between low-latitude surface fluxes and global abyssal overturning (Fig. 311 S6). Despite key differences in the Cold-to-Warm overturning reconfigura-312 tion versus the Warm-to-Hot, both are characterized by increased deep water 313 formation driven primarily by increasing surface heat loss in the presence of 314 declining sea ice (Fig. 3). 315

316 Climate-state Dependence of Poleward Heat Transport

³¹⁷ Climate warming in these simulations is characterized by intensified heat ³¹⁸ transport from the tropics and towards the high latitudes. Yet, the parti-³¹⁹ tioning of heat transport to the North Atlantic and Southern Ocean differs ³²⁰ systematically across climates (Fig 4a). These differences are highlighted by ³²¹ the ratio

$$R_h \equiv \left(\frac{\Delta F_h^{\rm NA}}{\Delta F_h^{\rm SO}}\right),\tag{2}$$

where R_h captures the relative change in heat transport into the high lat-322 itudes of the North Atlantic $(\Delta F_h^{\rm NA})$ versus the Southern Ocean $(\Delta F_h^{\rm SO})$ 323 between each climate. For $R_h > 1$ — a "Northern Receiving" regime — 324 increases in North Atlantic heat transport exceed increases in heat transport 325 across the Southern Ocean. For $R_h < 1$ — a "Southern Receiving" regime – 326 the Southern Ocean is favored. R_h , diagnosed from the model output (Fig. 327 4b), indicates that the Warm state marks a transition from Northern Receiv-328 ing between the coldest climates simulated $(R_h \approx 11)$ to Southern Receiving 329 $(R_h \approx 0.001)$ between the warmest. 330

We propose that this evolution in R_h may be linked to how efficiently an adjustment in ocean dynamics can enable poleward heat transport in the North Atlantic and Southern Ocean. Qualitatively, this argument is based on the idea that heat transport by the AMOC depends sensitively on the meridional temperature gradients it acts across, gradients that may differ

significantly between climates. In general, meridional heat transport F_h can 336 have both mean and eddy contributions: $F_h \propto \overline{vT} = (\overline{vT} + \overline{v'T'})$, where 337 $\overline{()}$ and ()' represent a zonal and temporal mean, and deviations from this 338 mean, respectively. North Atlantic heat transport is largely advective, such 339 that $\overline{vT} \approx \overline{vT}$ (e.g., 48), and the heat transport scales as $F_h^{\rm NA} \sim \Psi \Delta T^{\rm NA}$. 340 Here, Ψ , a volume transport, represents the AMOC strength, and $\Delta T^{\rm NA}$ is 341 the temperature difference between the subtropical and sub-polar Atlantic 342 (as detailed in Appendix B). Heat transport across the zonally-unbounded 343 Southern Ocean, on the other hand, depends on the efficiency of mixing and 344 transport by mesoscale eddies (49), such that $\overline{vT} \approx \overline{v'T'}$, and the Southern 345 Ocean heat transport scales as $F_h^{\rm SO} \sim WHK\Delta T^{\rm SO}/\ell$. Here W and H are the 346 zonal and vertical extent of the ACC, K is a turbulent eddy diffusivity, and 347 $\Delta T^{\rm SO}$ and ℓ are the characteristic temperature difference and length scale 348 across the ACC frontal zone, respectively (Appendix B). 349

³⁵⁰ Critically, the magnitudes of both F_h^{SO} and F_h^{NA} depend on aspects of the ³⁵¹ background climate state. This dependence also means that an equivalent ³⁵² perturbation to ocean dynamics in either region (i.e., $\delta \Psi$ or $\delta \ell$) will modulate ³⁵³ meridional heat transport differently in different climates.

To isolate this effect, we calculate linear perturbations to F_h^{NA} and F_h^{SO} , i.e., $\delta F_h^{\text{NA}} \ \delta F_h^{\text{SO}}$, about each climate state and keep only terms containing dynamical perturbations (see Appendix B). Doing so assumes temperature differences ΔT^{NA} and ΔT^{SO} are representative features of the mean climate state. Here we assume K is constant across climate states, while acknowledging that previous studies have shown that K may vary with surface wind stress in the Southern Ocean (50). Uncertainty in K is incorporated in our estimate of $\delta \ell$. Combining these scalings,

$$R_h \approx \left(\frac{\delta F_h^{\rm NA}}{\delta F_h^{\rm SO}}\right) \sim R_e \left(\frac{\delta \Psi}{K\delta\ell}\right),\tag{3}$$

$$R_e \equiv \left(\frac{\Delta T^{\rm NA}}{\Delta T^{\rm SO}} \frac{\ell^2}{WH}\right). \tag{4}$$

Here, R_e describes how efficiently a perturbation in AMOC strength ($\delta \Psi$), 362 relative to an equivalent contraction of the frontal zone in the ACC ($\delta \ell$, and 363 scaled by K), would sustain increased heat transport to the high latitudes 364 in a given climate. By this argument, the magnitude of R_e predicts whether 365 climate warming will dynamically favor increased heat transport into the 366 North Atlantic ("Northern Receiving", $R_e > 1$) or into the Southern Ocean 367 ("Southern Receiving", $R_e < 1$), assuming that ocean dynamics (i.e., the 368 scaling relationships for $F_h^{\rm NA}$ and $F_h^{\rm SO}$) modulate this evolution. Note that 369 R_e does not predict the total magnitude of the increased heat transport, 370 which will also depends on changes to Ψ and ℓ (the last term in Eq. 2). 371 Instead, R_e depends only on properties of the mean climate state, which we 372 propose should precondition the efficiency of dynamic perturbations. 373

Fig. 4e illustrates that R_e is indeed prognostic of heat transport adjustments between each climate (although R_e does not scale with R_h alone). Like R_h , R_e falls sharply across simulations, primarily because ΔT^{NA} weak-

ens with GMST (and the loss of North Atlantic sea ice, Fig. 4c, 5a, and S8), 377 while ΔT^{SO} strengthens (Fig. 4c) as subtropical waters warm more than 378 those around Antarctica (Fig. S7). As a result, $\Delta T^{\rm NA} / \Delta T^{\rm SO}$ falls roughly 379 five-fold across the simulations (Fig. 4d). Additionally, ℓ contracts in warmer 380 climates (Fig. 4d and S8) with the formation of more vigorous standing me-381 anders in, and thus sharper fronts across, the ACC as discussed above (Fig. 382 S5). In summary, characteristics of a cold climate result in $R_e \gg 1$ (Fig. 383 4e), suggesting that heat transport increases dynamically favor an adjust-384 ment of the AMOC (increasing $\delta \Psi$). Characteristics of warmer climates, 385 however, hamper the efficiency of the northern mode of heat transport (i.e., 386 R_e plummets to $R_e \approx 0.9 < 1$ in the Hot state); heat transport towards 387 the Southern Ocean becomes a more viable pathway. The evolution of R_e 388 is consistent with the systematic differences in the transitions between the 389 Cold and Warm versus the Warm and Hot states (Fig. 1b, 4a-b). A key 390 implication of this evolution, in the context of these simulations, is that the 391 adjustment of ocean heat transport and overturning to forcing perturbations 392 is climate-state dependent. 393

³⁹⁴ Discussion and Conclusions

While these simulations display complex changes in the global overturning between different climates, we draw attention here to several robust emergent features that suggest a new, relatively simple understanding. Across all states, climate warming involves a progressive poleward shift in the primary

sites of global surface heat loss, met with reduced mid-latitude (primarily 390 sensible) heat loss (Fig. S3). This poleward migration of heat loss impacts 400 the total surface heat flux, summed over each basin, and is accompanied by 401 enhanced heat redistribution between basins. This increased inter-basin cou-402 pling is linked to stronger cooling-driven deep-water formation (Fig. 3) and 403 the incorporation of increasingly deep components of the ocean's overturn-404 ing circulation in global heat transport (Fig. S7). The magnitude of these 405 adjustments are phased differently in each hemisphere in a way that is con-406 sistently linked to key features of the background climate state (i.e., Eq. 4). 407 Examined in isolation, these changes have a complicated relationship with 408 GMST. Yet consideration of both hemispheres together shows that global 409 overturning changes across all climates balance the magnitude of excess en-410 ergy gained over the disproportionately tropical Indo-Pacific oceans. 411

The dynamics governing these changes in oceanic heat uptake and trans-412 port depend on fundamental properties of the climate and are thus likely to 413 be robust across models. Yet, some limitations of our model may influence 414 details of our results. For instance, a cold bias in the model's preindustrial 415 North Pacific (21) could potentially impact the sensitivity of regional heat 416 loss to CO_2 changes. Additionally, ours (and most) climate models cannot 417 resolve the localized processes involved in deep-water formation. Yet sev-418 eral lines of evidence suggest that these biases don't underpin the qualitative 419 evolution we describe. First, paleo-proxies suggest stronger North Pacific 420 Intermediate Water formation during the (colder) LGM, while North Pacific 421

sensible heat loss robustly weakens under 21st century (warming) scenarios 422 in CMIP5 models (35). The consistency of these studies with ours may stem 423 from the driving role of continentally-sourced westerlies in mid-latitude sen-424 sible heat loss (e.g., 32, 51), coupled with amplification of warming over land, 425 relative to ocean, under CO_2 forcing (e.g., 33, 34). They imply that a reduc-426 tion in the (disproportionately tropical) Pacific basin's ability to close its heat 427 budget locally may be a basic feature of climate warming, which we leave 428 for interrogation in other models. Secondly, the global overturning behav-429 iors discussed here are qualitatively consistent with multiple inferred changes 430 since the LGM, including the deepening of the AMOC (e.g., 8, 9, 10, 11), 431 the reduced role of sea-ice in the AABW formation (e.g., 38, 39, 21, 12), and 432 increasingly inter-basin global overturning (e.g., 16, 17, 13, 37). Overturning 433 in the warmer states we describe is also consistent with the millennial-scale 434 response to above present-day CO_2 forcing in other climate models, specif-435 ically the recovery or strengthening of the AMOC (20, 26, 27, 52) and the 436 intensification of AABW production despite the near or total disappearance 437 of Antarctic sea ice (27, 45). In sum, while our simulations are inevitably 438 imperfect representations of the climate system, their behavior is relatively 439 consistent with available comparisons. Most importantly, a key point of our 440 study — illustrated by our simulations but not dependent upon them — is 441 that overturning changes involving large changes in oceanic heat loss must 442 also involve large changes in heat uptake and transport. 443

444

Finally, our results have important implications for ongoing surface cli-

mate evolution, with particular relevance to polar amplification patterns ob-445 served today: intense Arctic warming compared to more moderate Antarctic 446 changes. Across simulated climates, the partitioning of heat, taken up in the 447 tropics and exported towards the northern and southern polar regions, bears 448 a close relationship with the expression of polar amplified warming in each 440 hemisphere. Between the Cold and Warm climate simulations, the "Northern 450 Receiving" regime, in which heat transport into the high northern latitudes 451 intensifies, surface warming north of 60°N is three times larger than the global 452 warming of 5.6° C; temperatures south of 60° S increase by only a factor of 1.2453 (Fig. 5). In contrast, between Warm and Hot states, the "Southern Receiv-454 ing" regime in which the heat transport towards Antarctica increases, high 455 latitude warming in each hemisphere is roughly equivalent, at almost twice 456 (1.8 times) the global mean of 4.4° C, in agreement with the hemispherically-457 symmetrical, polar-amplified long-term warming response to high CO₂ levels 458 discussed by Rugenstein et al. (44). Our results imply that asynchronous 459 polar changes are set, at least in part, by ocean dynamics through their in-460 fluence on sea ice extent (53, 54), and thus high latitude radiative feedback 461 strength (e.g. 55, 56). This evolution emphasizes that the ocean's impact 462 on global climate evolution is likely to be state dependent. This result is 463 important in the context of other state-dependent aspects of climate evolu-464 tion, arising from "slow" earth-system dynamics (57) and "faster" climate 465 feedbacks (58), including radiative processees (59, 60). Such components of 466 the climate system highlight how past climate changes are imperfect proxies 467

for those in the future. While appreciating model limitations, our results suggest that sustained future increases in radiative forcing may result in an equilibrated Southern Hemisphere warming that exceeds, relative to global mean temperature changes, what would be expected from past differences between glacial and interglacial states.

473 Appendix

474 Appendix A. Surface Transformation and overturning

Our study concerns mechanisms of deep water formation in the North Atlantic and Southern Ocean. The total formation rate of surface waters, and the relative contribution of heat and freshwater forcing components, can be calculated through the water mass transformation framework (36). Specifically, the circulation across a given density class (Ψ , Eq. 1), sustained by surface buoyancy fluxes can be quantified exactly and is referred to as the surface (water mass) transformation:

$$F(y,\sigma) = \frac{\partial}{\partial\sigma} \int_{A[\sigma'>\sigma]} f_{surf} \mathcal{H}(\sigma'(\boldsymbol{x}) - \sigma_{min}(y)) \,\mathrm{d}A \tag{5}$$

where

$$f_{surf}(x, y, t) = -\frac{\alpha}{c_p} f_H(x, y, t) - \frac{\rho_0}{\rho_{FW}} S_0 f_{FW}(x, y, t)$$
(6)

is the local surface buoyancy flux, α and are the coefficients of thermal and haline expansion, respectively, f_H and f_{FW} are the surface heat and freshwater fluxes, and ρ_0 , ρ_{FW} , and S_0 , are the reference density, freshwater

density, and salinity, respectively. Also in Eq. 3, $\sigma_{min}(y)$ is the minimum 478 density at latitude y, and A is the surface outcrop area for all densities greater 479 that a given density, σ . Eq. 3 can be decomposed into contributions to the 480 buoyancy flux from heat and freshwater, as shown in Fig. 3. Further, each 481 component can be decomposed into contributions from specific processes. In 482 Fig. 3, the contribution from sea ice formation, melt, and redistribution is 483 presented. This calculation reveals that across all states, NADW is largely 484 heat-driven and that elevated formation rates in both hemispheres between 485 state are dominantly heat-driven. 486

487 Appendix B. Scaling relations

We use scaling relationships to relate the meridional heat flux in each hemisphere to climate state properties: $F_h^{\text{NA}} \sim \Psi \Delta T^{\text{NA}}$ and $F_h^{\text{SO}} \sim WHK\Delta T^{\text{SO}}/\ell$. Perturbations to the meridional heat transport, δF_h^{NA} and δF_h^{SO} about a given mean state will depend on the properties of the climate, as

$$\delta F_h^{\rm NA} = \delta \Psi(\Delta T^{\rm NA}) + \Psi(\delta \Delta T^{\rm NA}), \tag{7}$$

$$\delta F_h^{\rm SO} = \left(\delta K \frac{\Delta T^{\rm SO}}{\ell} + K \frac{\delta \Delta T^{\rm SO}}{\ell} - K \Delta T^{\rm SO} \frac{\delta \ell}{\ell^2}\right) \times WH. \tag{8}$$

Here, we keep only $\delta \Psi$ and $\delta \ell$ terms to isolate how the background state influences the relative efficiency of an adjustment in North Atlantic versus Southern Ocean dynamics, respectively, such that

$$\delta F_h^{\rm NA} \approx \Delta T^{\rm NA} \delta \Psi,\tag{9}$$

$$\delta F_h^{\rm SO} \approx -\frac{WHK\Delta T^{\rm SO}}{\ell^2} \delta \ell. \tag{10}$$

We therefore ignore perturbations in the mean temperature gradient, which assumes that they are relatively constant with climate state. Uncertainty in changes to K in the Southern Ocean are included in estimates of the effective ACC frontal length scale, ℓ (see below). We note that as $\delta\ell$ contracts, eddies may become more vigorous (50), increasing K, though this behavior also predicts a reduction in R_h in a warmer climate (Eqs. 1 and 3). The ratio

$$\frac{\delta F_h^{\rm NA}}{\delta F_h^{\rm SO}} \sim \underbrace{\left(\frac{\Delta T^{\rm NA}}{\Delta T^{\rm SO}}\right) \left(\frac{\ell^2}{WH}\right)}_{1} \underbrace{\left(\frac{\delta \Psi}{K\delta\ell}\right)}_{2},\tag{11}$$

then captures the relative efficiency of dynamic adjustments in either hemisphere in sustaining increased heat transport. Term 2 represents the two ocean dynamical perturbations that could adjust to accompany increased equatorial heat uptake, while term 1, R_e in Eq. 3, incorporates all aspects of the climate state that influence this efficiency (Fig. 3e).

⁵⁰⁶ Climate parameters ΔT^{SO} and ΔT^{NA} and ℓ are defined as follows. ΔT^{NA} ⁵⁰⁷ diagnoses the characteristic temperature difference between the northward ⁵⁰⁸ flowing sub-tropical surface waters and sub-polar waters in NADW forma-

tion regions. The northern boundary of the subtropical gyre is defined as 509 the minimum in the meridional temperature gradient (in this hemisphere, 510 temperatures generally decrease with latitude). This dynamically-defined lo-511 cation migrates across climate states (Fig. S7), and $\Delta T^{\rm NA}$ differences the 512 temperature of subtropical waters which cross this boundary, defined as the 513 average temperatures of waters within 1° latitude to the south of this max-514 imum in each climate. In contrast, because the region where dense NADW 515 overflows form is largely bathymetrically constrained, we define the average 516 temperatures of subpolar waters as those between $54 - 56^{\circ}$ N. NADW forma-517 tion increases significantly in climates where heat transport into this region 518 increases. 519

The diagnostic ΔT^{SO} characterizes the temperature difference across the 520 ACC's Polar Front. As in the North Atlantic, this front shifts poleward as the 521 climate state warms (Fig. S7). ΔT^{SO} is defined as the difference between 522 the mean temperature of waters $\pm 1^{\circ}$ latitude from the maximum in the 523 temperature gradient, south of 50°S, corresponding to the southern boundary 524 of the ACC. These diagnostics are representative of the robust weakening or 525 strengthening of temperature gradients in the high latitude North Atlantic 526 and Southern Ocean, as evident in Fig. S7. As such, qualitatively similar 527 trends in behavior were found for various definitions and latitudes tested. 528

The interaction of the ACC with topographic features leads to the formation of downstream meanders, associated with a significant tightening of horizontal temperature gradients and enhanced lateral eddy fluxes (46, 47). These meanders, as well as co-located lateral eddy heat fluxes, become more prevalent in warmer simulations (Fig. S5). To capture this intensified lateral gradient, we define the frontal length-scale as

$$\ell \equiv \frac{\Delta T^{\rm SO}}{\langle |\nabla T| \rangle},\tag{12}$$

where ΔT^{SO} is defined above, $\langle \rangle$ indicates a spatial mean south of 50°S, and $|\nabla T| = \left[(\partial T/\partial x)^2 + (\partial T/\partial y)^2 \right]^{1/2}$. This region is chosen to capture the increasingly efficient pathways of heat transport into the Antarctic margins; the distribution of $\Delta T^{SO}/|\nabla T|$ for this region (averaged for each ℓ) is presented in Fig. S7.

534 Data Archival

All simulations and source code used are publicly available at:

536 https://doi.org/10.5281/zenodo.3976952.

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Figure 1: Basin-scale heat uptake and overturning across climate states. All panels show variations as a function of Global Mean Surface Temperature (GMST); each value is the average of four orbital configurations with the same atmospheric CO₂ levels (colored circles in panel a). Area-integrated surface heat flux (PW) over (a) Indo-Pacific (north of 30°S) and (b) Atlantic (north of 30°S and including the Arctic and marginal seas ; purple curve) and Southern Ocean (south of 30°S; orange curve); c) total global overturning (sum of the magnitude of the AMOC and abyssal branch, $Sv = 10^6 \text{ m}^3 \text{ s}^{-1}$); d) individual magnitudes of the AMOC and abyssal overturning (see Methods). Bars represent 1 standard deviation of spread across orbital configurations (Fig. S1).



Figure 2: Summary of Indo-Pacific surface heat uptake and loss mechanisms. (a) Global distribution of surface heat flux (positive into the ocean) in the Cold state. Overlaid are the location of the 10% sea ice cover (black dashed line), the "North Pacific," which is the Indo-Pacific's primary site of heat loss (blue box), and the "Tropical Pacific," its primary site of heat uptake (red box). (b) Anomaly in total heat flux relative to the Cold state with GMST. Shown are both total Tropical Pacific heat uptake (surface heat flux summed between 10° S and 10° N, red box in panel a), shown here in red circles, and total North Pacific heat uptake (summed between 12°N and 51°N, blue box in panel a), in blue circles. Note that in all states, total North Pacific heat flux is negative; the positive anomaly shown here (blue) represents a reduction in total regional heat loss. (c) Anomaly in the North Pacific sensible heat flux (i.e., the sensible component of the total anomaly in panel b, shown here in blue circles, left axis) and the anomaly in North Pacific air-sea temperature contrast (difference between surface air temperature (SAT) and SST, here colored circles and right axis), both relative to their Cold state values, with increasing GMST. Note that sensible heat flux changes comprise the majority of the anomaly in total North Pacific heat flux in b). 30



Figure 3: Surface water mass transformation (Eq. 5) in the Southern Ocean (south of 30° S, left) and North Atlantic (north of 30° N, right) in the cold (top row), warm (middle row), and hot (bottom row) climate states. Surface transformation is calculated as a function of potential density referenced to 2000 m (σ_2). The total transformation from all diabatic processes is provided in black: the sum of contributions from heat (red) and freshwater (blue). The contribution to the freshwater component specifically from sea ice formation, melt, and snow redistribution is shown in cyan. For visualization, approximate geographical boundaries are labeled. Here, positive transformation represents a volume flux towards denser classes and quantifies the role of flux components in deep water formation (see Appendix A). Note that the global ocean is less dense, on average, in warmer states, which explains the general translation of surface transformation towards lighter density classes.



Figure 4: Characterization of meridional heat transport processes in each hemisphere across climates. a) Total meridional heat transport, MHT (PW), including parameterized cross- (GM) and along- (Redi) isopycnal eddy contributions, as diagnosed from the simulations across 50°N (purple circles) and 60°S (orange circles). b) Diagnosed ratio R_h (Eq. 1) representing how increases to MHT are partitioned between hemispheres between states, *i.e.*, Δ MHT_{50°N}/ Δ MHT_{60°S}. c) Characteristic temperature differences across North Atlantic and Antarctic slope front (see Methods). d) Purple stars: ratio of characteristic meridional temperature contrasts in the North Atlantic and Southern Ocean with respect to GMST. Yellow squares: geometric characteristics of the ACC with GMST. Terms in (d) are multiplied to arrive (e), the ratio R_e (Eq. 3), here plotted with increasing GMST. See Appendix B and Fig. S7 for further discussions of terms.



Figure 5: High-latitude characteristics across climate states. (a) Fractional Atlantic (purple) and Southern Ocean (orange) sea ice extent relative to the Cold state extent. (Right) Surface air temperature change $\Delta SAT(^{\circ}C, \text{ color})$ normalized by the global mean change ($\Delta GMST$): $\Delta SAT(x, y)/\Delta GMST$ for the: b) Warm - Cold states and c) Hot - Warm states. Blue [red] colors indicate where local warming is below [exceeds] global mean warming. These patterns show differences in polar amplification between states: dramatic sea ice loss and polar amplification are confined to the northern hemisphere between Cold and Warm states. Significant Antarctic declines emerge only between the Warm and Hot states.

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Declaration of interests

 \boxtimes The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Declaration of author contributions

Emily Newsom led in designing the study, analyzing the results, and writing the manuscript.

Andrew Thompson contributed to the study design, analysis, and manuscript writing.

Jess Adkins contributed to the study design, analysis and edited the manuscript

Eric Galbraith performed the numerical simulations, interpretation of results, and edited the manuscript.

Highlights

- Total ocean heat uptake over the Indo-Pacific increases monotonically in warmer climate states.
- Cooling from Atlantic and Southern polar regions increases asymmetrically with climate warming.
- Reconfigurations in heat-driven global ocean overturning evolve with polar cooling changes.
- Polar-amplified warming accompanies asymmetric overturning changes in each hemisphere.