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Zonal assessment of environmental driven settlement abandonment in the Trans-Tisza region (Central Europe) during the early phase of the Little Ice Age

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- 1 1. Introduction
- 2

3 1.1. Medieval settlement abandonment

4

Environment as a 'protagonist' in social and economic transformations became a focal issue 5 of palaeoclimatological and historical discourse (McCormick et al., 2007; Campbell, 2010; 6 Luterbacher and Pfister, 2015; Putnam et al., 2016). Still, it is only a small minority, who 7 have considered the impact of medieval climate and environmental change on medieval 8 settlement patterns (Utterstrom, 1954; Kaniewski et al., 2012; McCormick et al., 2012; 9 Xoplaki, et al., 2015). Mainstream historiography discusses epidemics, migration and 10 economic transformation as the underlying reasons for massive settlement desertion and 11 nucleation processes in medieval Europe (Abel, 1980; Dyer, 2010; Curtis, 2013). Dyer's 12 13 (1982) observation that the most significant concentration of abandoned late medieval villages in England was noticeable in 'low-lying valleys and clay plains' highlighted the necessity of 14 15 taking into account of hydroclimatic and landscape aspects. Yet, the author ignored climate as 16 a causative factor behind long-term settlement changes. In the case of Wharram Percy, one of the most thoroughly investigated villages in the North-East of England, however, Beresford 17 and Hurst (1990) hypothesized that deteriorating environmental conditions led to its first 18 wave of desertion in the early 14th century (c.). Subsequently, Galloway and Potts's (2007) 19 reconstruction referred to raising flood vulnerability and settlement abandonment among 13th-20 15th c. communities living on the Thames bank. Nicholas (1992) observed a similar 21 phenomenon with regard to deserted parishes in late medieval Flanders. Regarding settlement 22 changes in Central Europe the late medieval period was characterized by dynamic processes. 23 It was the age of expansion to the highlands (Gojda, 2005) and the nucleation of settlement 24 patterns. Some densely populated plains, e.g. the Great Hungarian Plain (GHP), saw massive 25

abandonment (F. Romhányi, 2017). To illustrate the magnitude of the regional process, the
 number of settlements in Germany dropped by some 25% between AD 1350–1450 (Abel,
 1980).

4

23

Focusing on the study area, it is the Mongol invasion (1241-42), internal migration and 5 urbanization that are generally blamed for the massive settlement abandonment. The earliest 6 study looked at plague and the policies of feudal lordships as the most influential agents 7 8 behind late medieval settlement desertion in the southern Hortobágy (Zoltai, 1925). Nevertheless, contrary to the overall European picture (Campbell, 2011) no evidence has so 9 far been found to support the idea of a severe plague-induced population reduction in 14th c. 10 Hungary. One of Zoltai's disciples interpreted settlement abandonment in this region as a 11 migration phenomenon within the framework of late medieval land use and economic changes 12 13 (Balogh, 1953). He concluded to the controversial result that the asymmetries of settlement desertion and concentration were determined by different pedological features when the focus 14 of 13th c. agricultural activity shifted from animal husbandry to plant production (Gábris and 15 16 Túri, 2008; Gyulai, 2010). 17 Fig. 1. Study area and the sites of analysed plant macrofossils 18 19 1.2 Objectives 20 21 Our analysis started from the premise that besides social conditions, it was two environmental 22

24 patterns of pre-industrial temperate lowland societies without navigable waterway networks

factors - flood proneness and potential biomass production - that determined the settlement

and water management systems the most (Braudel, 1949). The point that rising water levels

and increasing flood vulnerability in the humid periods of the LIA led to a vertical 1 2 displacement of the settlement pattern in the studied Trans-Tisza Region – similarly to the Atlantic Coast (Grove, 2004), in the valleys of the Thames (Galloway and Potts, 2007), the 3 Danube (Kiss and Laszlovszky, 2013), the Maros (Vadas, 2011) and the Volga (Panin and 4 Nefedov, 2010) – was statistically confirmed in an earlier analysis (Pinke et al., 2016). So, we 5 hypothesized that early LIA hydroclimatic changes increased flood levels of lowland 6 landscapes and significantly narrowed the extension of areas suitable for settling. 7 Consequently, we assumed that deserted settlements – on average – may have been situated 8 lower than permanent ones. We also assumed that the spatial connection between the 9 10 topographic position of settlements and potential biomass production is demonstrable by statistical tools. In other words, areas highly suitable for cropland farming possessed higher 11 population and settlement density than areas unsuitable for farming within the study area. 12 13 Third, we presumed that if hydroclimatic changes increased water levels, they must have changed not only the extension and vertical position of settlement patterns, but also the plant 14 15 composition of the landscape. 16 2. Spatio-temporal framework 17 18 Botanically, the studied 4128 km² plain of East-Central Europe belongs to the forest-steppe 19 20 belt (Magyari et al., 2010). Climatically, the oceanic, the continental and the Mediterranean effects meet over the region (Pécsi and Sárfalvi, 1964). The mosaic structure of the landscape 21

22 was characterized by a late Pleistocene and Holocene fluvial system, deeper floodplain basins

- and relatively elevated relief elements, such as river levees, loess plains and sand ridges,
- suitable for human habitation and cultivated since the Neolithic (Jankovich et al., 1989). Since
- the paper focuses on the spatial connection between social, geomorphological and

hydroclimatic structures, physical geographical microregions were considered to be a suitable
 framework (Dövényi, 2010). The same microregional scale was applied when creating the
 'Vegetation-based landscape-regions of Hungary' (Molnár et al., 2008) and it can also be a
 potential basis for reconstructions of historic landscape character.

5

A dendroclimatic analysis revealed that the long-term fall of summer temperatures in the 6 eastern Carpathians began in the mid-13th c. (Popa and Kern, 2009). Albeit the beginning of 7 the LIA in Central Europe is clearly dated to the period between the mid-13th and the early 8 14th c. (Holzhauser et al., 2005), the magnitude of change and its interpretation is disputed by 9 10 climatologists (Matthews and Briffa, 2005). As for the hydroclimatic proxies and interpretations, there are only scarce reliable data at hand from the Carpathian region (Kern et 11 al., 2016). A palynological reconstruction suggests that the 11th and the 13th centuries may 12 13 have been the two driest centennial periods in the past two Millennia (Sümegi et al., 2009). The European drought database also indicates an extremely long drought in 11th–12th c. 14 North-Central Europe (Cook et al., 2015). The overall picture of the 13th c., however, 15 16 demonstrates a transforming hydroclimatic regime, which is supported by archaeological observations referring to the inundation of late 13th c. settlements around Lake Balaton 17 (Hosszú, 2011; Pálóczi Horváth, 1993). Natural proxies and historical sources indicate a 18 19 dramatic turn in the first years of the 1300s (Dobrovolný et al., 2015), when an extraordinarily wet episode induced a Malthusian crisis in Europe (Lucas, 1930; Le Roy Ladurie, 1971; 20 Vadas, 2010). The extremity of the early LIA climate regime is indicated by the fact that the 21 second third of the 14th c. saw not only enormous inundations and wet periods with weak crop 22 yields, but also severe droughts (Brázdil and Kotyza, 1995; Campbell, 2010). In the spatial 23 pattern of the initial phase of the LIA, climate deterioration in the Low Countries (today 24 Benelux countries), eastern France, western Germany, Switzerland and northern Italy began in 25

the second third of the 14th c. (Pfister, 1998). In Scandinavia and Greenland, however, a major
cooling was documented as early as around 1200, whereby opportunities for grain production
dwindled (Matthews and Briffa, 2005). Atlantic coast settlements were hit by violent tempests
and coastal flooding from the first decades of the 13th c. (Lamb, 1965).

5

Besides reconstructions based on biological indicators, the above mentioned results of 6 interdisciplinary archaeological surveys also refer to increasing water levels of water bodies 7 in a number of cases in Europe. In North America a 'shift away from a marked dependence on 8 agriculture to a heavier emphasis on hunting' in the Upper Mississippi region from the 13th c. 9 has been interpreted as a climate driven phenomenon (Griffin, 1961, pp. 710–711). The North 10 American Drought Atlas indicates megadroughts in the late 13th c. The first quarter of the 14th 11 c., however, saw positive precipitation anomalies that shrank the size of dry areas in Western 12 13 North America (Cook et al., 2004). Megadroughts and floods were blamed as environmental factors for the massive late 13th c. abandonment of the settlements in the regions of the San 14 15 Juan Basin, the Mesa Verde in Western North America (Gumerman et al. 2003; Glowacki, 16 2015; Monastersky, 2015). Kidder et al. (2008) also concluded that inundations were decisive in the 11th–13th c. transformation of the settlement pattern in the southern region of the 17 Mississippi River Alluvial Plain. 18

19

20 2.1. Hydrological fluxes affecting the settlement pattern of the Great Hungarian Plain

21

Securing a steady surface free from flood hazard was one of the most substantial aspects of
site selection for pre-industrial lowland communities (Braudel, 1949). Most of these
settlements, however, lay on the edge of aquatic and terrestrial habitats to have benefit from
ecosystem services (Turner et al., 2003). The fact that 92.3% of archaeological sites in the

study area representing settlements had waterside positions (Fig. 2) strongly supports this
 statement (Pinke et al., 2016).

3

Fig. 2. Settlement traces situated in waterside position. Legend: 1 – abandoned meander; 2 –
natural levee; 3 – point bar / swale; 4 – late medieval archaeological site; 5 – high medieval
archaeological site; 6 – recent settlement

7

Regarding the hydrological conditions of the catchment, rivers show their highest water levels
between March and June. Before the 19th c. river regulations the runoff peak pushed an
enormous water mass into the floodplains almost every year. In the first phase of the LIA,
when climatic conditions were changing dramatically, settlements were mushrooming by the
thousands in the upper catchment of the Tisza. Along with this process tens of thousands of
square kilometres of virgin forests have been destroyed between the 13th and 15th centuries
(Szabó, 2005).

15

Fig. 3. Estimated magnitude of the 20th century surface subsidence in the Great Hungarian
Plain (Timár, 2003)

18

Timár's thesis (2003) discussed the permanent subsidence of certain surfaces of the GHP.
Especially in the neighbourhood of the study area (Fig. 3) flood vulnerability has definitely
risen over the past century. In summary, climate change, upper catchment deforestation,
surface subsidence and the medieval erosion of stream levees, sedimentation of streambeds
and floodplain basins (Hoffmann, 2013) may have amplified the flood proneness of lowlands
during the first phase of the LIA.

25

Setting up zonal categories for the classification of environmental and social factors is a wide-3 spread approach in researching spatial processes of societies (McHarg, 1992). There are two 4 examples that inspired and supported this study particularly. One is the 'Ecotype-Based Land 5 Use Analysis of Hungary' (Centeri et al., 2006), which established the zonal selection of areas 6 highly suitable for cropland farming and afforestation in the National Spatial Plan of 7 Hungary. The procedure measured the physical, chemical and hydrological conditions of 8 soils, their lime content and climatic conditions over a 100x100 m grid and established three 9 categories of agro-ecological suitability (weak, medium and excellent), which were adopted in 10 our examination. It is to be emphasized, however, that soil conditions may have changed 11 significantly since the Middle Ages (Bockheim et al. 2005). For example, due to the 18th–19th 12 13 c. river regulations water fluctuation became more extreme, water table and moisture content of soils lowered, making the landscape more prone to drought. Desiccation of hydromorphic 14 15 soils - in this area mostly meadow and moor soils - and the effect of climate change led to the 16 alteration of chemical and water condition of soils, as well as their salinity and biomass production (Lechner, 1867; Antal et al., 2000). Considering these points, projecting recent soil 17 and climate conditions and suitability categories back to the early LIA should be done with 18 due care. The other example is historic landscape characterization that evolved from 19 20 landscape archaeology in Britain and spread over Europe (Clark et al., 2002). This method focuses on mapping and interpreting landscape components (soil, vegetation, archaeological 21 22 sites, settlement pattern, etc.) and the spatio-temporal relations among their patterns (Roberts and Wrathmell, 2000). 23

This study classified two environmental aspects of the landscape (geomorphology and agroecological suitability) in relation to settlement stability. As a first step, geomorphological
classification distinguished three zones (riparian zones, deep floodplains and sand plateaus)
based on the dimension and the density of the most typical fluvial and aeolian micro- and
mesoforms (oxbow lake, deep floodplain basins, natural levee, ridge, etc.) (Leopold et al.,
1995), using a geomorphological database (Gábris and Nádor, 2007; Timár and Gábris, 2008;
Gábris et al., 2012) and the SRTM 90x90 m elevation model (nasa.gov; Farr et al., 2007).

In the next step the spatial distribution of settlements and their abandonment was assessed on
the basis of archaeological and written evidence (Ecsedy et al., 1982; Jankovich et al., 1989;
Györffy, 1963, 1987; Engel, 2001). As a major source we used the data and polygons of
cemeteries, churches and settlements of the GIS database of the Hungarian National Office of
Cultural Heritage (henceforth: GIS database). According to estimations 66-90% of the entire
medieval settlement network is represented in the GIS database with relatively high regional
variations.

16

The periodization of archaeological sites is predominantly based on ceramic finds that split 17 them into two major categories: High Middle Ages (henceforth HMA) (971–1301) (n=377) 18 and Late Middle Ages (henceforth LMA) (1301–1541) (n=209). Historico-archaeological 19 periodization of the HMA and the LMA in Hungary is based on historical events, which 20 essentially cover the Medieval Climatic Anomaly (MCA) (9th-mid-13th c.) and the initial 21 phase of the LIA (late 13th-mid-15th c.) in the region (Holzhauser et al., 2005). This provides 22 an opportunity to compare the settlement patterns of the MCA and the early LIA. Where 23 periodization was ambiguous, or there was continuous occupation the GIS shows a third 24 category - the Middle Ages (MA) - merging the HMA and the LMA. This implies that this 25

group is to be interpreted as a composite group of the HMA and LMA sites, which, according 1 2 to our hypothesis, also means that its features (e.g. elevations) will differ from those of the HMA group's. Then, we defined permanent, deserted and uninhabited settlement suitability 3 zones within the geomorphological zones. Permanent zones were those, where settlements 4 occurred in both archaeological periods. Since the concentration of the settlement pattern in 5 the High and Late Middle Ages led to a presumably significant, but hardly quantifiable 6 rarefaction of settlements, areas where the number of the settlements merely decreased -7 however dramatic their decline was - were classified as permanent zones. Areas where HMA 8 sites did exist, but LMA+MA ones were either completely missing or there were only very 9 10 few and usually temporary ones were defined as deserted zones. Areas where there were neither HMA nor LMA+MA sites were defined uninhabited. Desertion may be understood as 11 a response to a challenge that surpassed the tolerance of communities, deserted zones may 12 13 also be interpreted as a category of vulnerability.

14

15 Finally, by projecting settlement suitability zones onto agro-ecological suitability categories, 16 socio-ecological habitat types were set up (Fig. 7). To trace spatio-temporal changes, the size and the elevation means of the archaeological site groups of the HMA and the LMA+MA 17 were compared in every zone and habitat-type. The elevation of an archaeological site was 18 19 defined at its lowest point in metres above Baltic Sea level (m) derived from the contour lines 20 of a 1:10 000 topographic map (fomi.hu). Shapiro-Wilk normality test, F-test and visual inspection of histograms show that elevation data distributions deviate from normality and 21 22 their variances skewed unequally. The differences between stochastic equality of elevation data groups were checked by Brunner-Munzel test using *lawstat* package for R 3.2.4 Revised 23 version (Hui et al., 2008). This method allows the analysis of non-normal distributions and 24 unequal variances. The differences between the ratios in the composition of socio-ecological 25

habitat types were tested for significance using Chi-squared test applying the *Rcmdr* package
(Fox, 2005). The relationship between the extension of agro-ecological suitability categories
and the number of settlements in the stable and deserted settlement suitability zones of the
five microregions was analysed using linear regression model and the normal distribution of
residuals with the Shapiro-Wilk test of the *Rcmdr* package.

6

7 3.1. Archaeobotanical data and method

8

Given that only limited pollen evidence suitable for paleoecological reconstructions has been 9 found and dendrological samples are not available in the low-lying areas of the Pannonian 10 Basin, archaeobotanical data are of particular importance. Despite some important 11 achievements in estimating vegetation composition of past open lands, vegetation 12 13 reconstruction on grasslands or steppe forest biomes based exclusively on pollen is rather problematic (Sugita, 2007; Fyfe et al., 2012; Davis et al., 2014). A substantial size of 14 15 macrofossil sample, however, may represent all important vegetation types of the landscape 16 (Aarnes et al., 2012; Birks, 2013; Gaillard, 2013). Since plant macrofossil remains are available only from three sites within the study area, we extended the scope of paleobotanical 17 analysis to a ca. 40,000 km² area of the GHP (Fig. 1; Tables 7, 8 and 9). This way, we were 18 19 able to analyse a macrofossil assemblage of the Hungarian Archaeobotanical Database (Gyulai, 2010; Pinke et al., 2015) from 55 sites dating from the studied period (late 10th-mid-20 16th c.). The periodization of the macrofossil remains is based on the traditional 21 22 archaeological method (pottery and coin chronologies) just like in the case of the analysed settlements. This nomenclature, however, includes an early HMA (late 10th-mid-13th c.) and a 23 late HMA (second half of the 13th c.) group – making the chronological reconstruction of 24 ecological changes in the landscape more precise. Though remains have no ¹⁴C dating, 25

identical periodization of archaeological sites of settlements and macrofossils provides a good
 opportunity to compare the changes in their spatio-temporal compositions. As to ¹⁴C dating,
 however, it may not provide any major advance in chronology, since the calibration
 uncertainty for samples of the last 7–800 years could hardly give more accurate chronology
 than their archaeological periodization.

6

To estimate the temporal changes in the composition of plant remains, three sets of categories 7 representing the ecological demands and tolerance of certain taxa were used. The first step of 8 our assessment was habitat categorisation of the species (Jacomet et al., 1989). Their habitat 9 types were classified into arid, general and humid categories for both domesticated and non-10 domesticated species. Plant groups not classifiable from the aspect of ecological conditions 11 were excluded from further investigation. Besides Jacomet's classification, Borhidi's (1995) 12 13 relative moisture categories ('ecological indicator values') were used to describe the differences between the studied periods with regard to the occurrence of non-domesticated 14 15 plant species. Borhidi's system was adapted from Ellenberg (1974) to the Pannonian 16 biogeographical region. Following Borhidi's interpretation concerning moisture figures low, general and high categories were established. We assumed that changes in the proportion of 17 species indicating the extreme (arid and humid) and the general categories of habitat types 18 refer to altered environmental conditions. To avoid biased interpretation of the ratios of the 19 20 number of remains, only the occurrence of taxa was recorded and the number of taxa occurring in particular habitat, moisture categories was compared using Chi-squared test. 21 22

23 4. Results

24

4.1. Connections between geomorphological conditions and the stability of the settlement
 pattern

3

5	
4	The results show that riparian zones had the most permanent settlement pattern. Differences
5	among the elevations of natural levees and thalwegs proved averagely ca. 1.7–2 times higher
6	than the levels of the uninhabited or deserted zones. Uninhabited zones occurred in the deep
7	floodplain and sand plateau zones (Fig. 4; Table 1, row 2), where only some small
8	archaeological sites, such as remains of isolated hamlets and seasonal dwellings were
9	traceable.
10	
11	Fig. 4. Settlement suitability zones in the study area
12	
13	Deserted zones appeared in two geomorphological settings: in low levees enclosed by flood
14	zones and in low-lying areas of high and wide riparian levees bordering deep floodplains. No
15	other zone preserved the picture of dispersed and relatively dense pattern of HMA sites as
16	clearly as the deserted zones (Table 1, rows 1 and 3).
17	
18	Table 1 Archaeological site density, percentage of HMA archaeological sites in settlement

19 suitability zones and the area of settlement suitability zones

	Archaeological site density	Archaeological sites (HMA) Area
	Number of sites/10 km ²	%	%
1. Permanent	2.5	56.0	39.2
2. Uninhabited	0.1	3.7	47.1
3. Deserted	2.9	40.3	13.7

²⁰

22 groups of permanent zones showed significantly higher elevation means than the deserted

²¹ Comparison of the elevation means of archaeological sites, the HMA and LMA+MA site

1	areas (Fig. 5). Additionally, the LMA+MA site group was situated, on average, significantly
2	higher than the HMA group within the permanent zones. On the one hand, it means that
3	settlement suitability zones that were densely populated in the HMA and deserted by the end
4	of the 13 th c., were situated lower on average than permanent population zones. On the other
5	hand, this result shows that the elevation levels of settlements rose significantly from the
6	HMA to the LMA.
7	
8	Fig. 5. Density, means and standard errors of tested archaeological site elevations (MASL) in
9	settlement suitability zones. Table below: differences between the elevation means of
10	archaeological site groups and Brunner–Munzel test results. $\Delta MASL=$ differences between
11	elevation means; df=degrees of freedom; p=test result
12	
13	4.2. Connections between agro-ecological suitability and the stability of settlement patterns
14	
15	Table 2 demonstrates considerable differences among settlement suitability zones with regard
16	to agro-ecological suitability. For instance, the proportion of areas with excellent agro-
17	ecological suitability was 1.5-2 times higher in the permanent zones than in the other two
18	settlement suitability zones (Table 2; Fig. 7). Chi-squared test results showed a significant
19	difference (p<0.01) in the agro-ecological composition of the three agro-ecological suitability
20	zones (Table 2, rows 2 and 4).
21	
22	Table 2 Percentage of the three agro-ecological categories (AEC) and archaeological sites
23	(AS) in settlement suitability zones

		Weak		Me	Medium		Excellent	
		AEC	AS	AEC	AS	AEC	AS	
1.	Total area	28.1	14.5	48.4	54.9	23.5	30.5	

2.	Permanent	23.7	13.3	45.3	50.5	31.0	36.2
3.	Uninhabited	30.7	13.0	49.5	47.8	19.9	39.1
4.	Deserted	31.6	17.6	54.9	66.7	13.5	15.8

2 Table 2 refers to the fact that as opposed to areas with weak agro-ecological suitability

3 excellent areas attracted settlements in greater proportion than their spatial ratio. It is partly

4 this spatial relationship that has been revealed by linear regression results too (Table 3).

5

6 Table 3 Linear relationship between the extension of socio-ecological habitat types and the

	Weak	Medium	Excellent	Weak	Medium	Excellent
		R^2				р
LMA+MA	0.60	0.57	0.50	0.01	0.01	0.02
HMA	0.14	0.67	0.04	0.29	< 0.01	0.60

- 7 number of their settlements by historico-archaeological periods
- 8
- 9

Fig. 6. 3D scatterplot of multiple regression between medium and excellent settlement
suitability zones and the number of LMA+MA sites

12

13 The findings of the regression analysis also suggest that groups of the noticeably more dispersed HMA settlements indicated a significant relationship exclusively with the 14 15 extensions of areas with medium agro-ecological suitability (Table 3). Conversely, the number of lower and more concentrated LMA+MA site groups showed significant 16 relationship with the extensions of two agro-ecological categories (Table 3). Multiple 17 regression test indicates that the spatial dispersion of HMA sites was determined only by 18 areas with medium agro-ecological suitability. By contrast, dispersion of LMA+MA sites 19 showed a strong linear relationship with the extension of areas with medium and excellent 20 suitability (R²=0.78; df=7; p=0.02) (Fig. 6). 21

Fig. 7. Combinations of agro-ecological suitability categories and settlement suitability zones:
habitat types

4

5 4.3. Changes in the medieval composition of archaeobotanical remains

6

7 When categorizing taxa by ecological conditions, we see that the ratios of species from arid

- 8 and humid habitat types are practically equal in the HMA and in the LMA (Table 4).
- 9 However, the proportion of species with high moisture demand increased significantly from
- 10 the early HMA (11^{th} -mid- 13^{th} c.) to late HMA (second part of the 13^{th} c.) (X²=5.6; df=1;
- 11 p=0.02). This transformation takes place simultaneously with the MCA-LIA transition

12 (Holzhauser et al., 2005; Popa and Kern, 2009). Along with this trend, the ratio of species of

13 arid habitat types did not change significantly (Table 4).

14

15 Table 4 Taxa of archaeobotanical remains from the examined 55 archaeological sites

16 classified in Jacomet's et al.'s (1989) habitat types

Habitat type	Humidity	Early	Late	Non-	Merged	LMA
		HMA	HMA	divided	HMA	
				HMA		
1.1 submerse aquaceous plants	humid	0	2	0	2	0
2.1 reed habitat	humid	2	6	2	9	5
2.2 high sedge habitat	humid	2	6	2	6	7
2.3 watershore pioneers	humid	1	5	3	5	8
3.1 marshland plants	humid	3	15	0	16	14
3.2 wet perennials	humid	0	3	2	3	4
2./3 diverse waterside plants	humid	2	3	0	4	5
4 wet forest	humid	2	2	0	3	6
4.1 wet fragmented forest	humid	0	1	0	2	2
4.2 grove forests	general	2	3	2	4	9
5 fresh and light mixed forest	humid	0	2	0	2	2
6 shady forest	general	0	2	0	2	4
7.1 cleared forest, shrubby	general	0	3	1	3	7

habitat						
7.2 general forest side	general	2	3	2	5	11
association (general)						
7.3 general forest side	arid	1	1	0	1	4
association (arid)						
8.1 wet meadow	humid	3	18	6	18	19
8.2 general meadow habitat	general	17	36	13	39	40
8.3 arid meadow and rocky	arid	3	13	10	17	15
grass						
9.1 cultivated plants	general	16	44	0	47	55
9.2 root or spring weed	general	7	13	2	13	11
9.3 cereal or autumn crop weed	general	18	33	23	41	51
10.1 humid ruderal habitat	humid	3	12	4	12	16
10.2 general ruderal habitat	general	16	25	15	28	30
10.3 arid ruderal habitat	arid	6	10	6	13	7
Number of taxa		106	261	93	295	332

- 1
- 2

As for the evaluation based on Borhidi's (1995) ecological indicator system showed that the 3 proportion of plants with extreme values did not change significantly. Considering moisture 4 demand, a trend-like increase in the ratio of species with high demand (Table 5, rows 7–9) 5 and of aquatic plants (Table 5, rows 10–12) can be observed in the second half of the 13^{th} c. 6 Numerous aquatic species could be documented for the late HMA such as: Bolboschoenus 7 maritimus, Carex lasiocarpa, C. riparia, C. rostrata, Ceratophyllum submersum, Eriophorum 8 9 vaginatum, Potentilla. palustris, Rhynchospora alba, Rumex hydrolapathum, Schoenoplectus mucronatus, Trapa natans and Typha angustifolia. 10

11

12 Table 5 Distribution of the medieval archaeobotanical taxa within Borhidi's (1995) relative

13 moisture categories

		Early HMA	Late HMA	Non- divided HMA	Merged HMA	LMA
1. Plants of extremely dry habitats or	arid					
bare rocks		0	0	1	1	0
2. Xero-indicators on habitats with long	arid	5	9	5	10	9
						16

dry period						
3. Xero-tolerants, but eventually	arid					
occurring on fresh soils		11	26	17	34	42
4. Plants of semidry habitats	general	26	61	30	65	67
5. Plants of semi-humid habitats, under	general					
intermediate conditions	0	20	37	17	42	55
6. Plants of fresh soils	general	8	18	9	20	27
7. Plants of moist soils not drying out	humid					
and well aerated		6	23	4	23	25
8. Plants of moist soils tolerating short	humid					
floods		5	12	4	13	15
9. Plants of wet, not well aerated soils	humid	3	15	2	16	19
10. Plants of frequently flooded soils	humid	4	9	3	13	8
11. Water plants with floating or partly	humid					
emergent leaves		0	1	0	1	1
12. Water plants, most wholly	humid					
submersed in water		0	1	0	1	0
Number of taxa		88	212	92	239	268

2 4.4. Case studies

3

4 4.4.1. Southern Hortobágy

5

6 No microregions illuminate the severity of late medieval settlement abandonment of the GHP 7 and the differences of geomorphological and soil conditions in permanent and deserted zones 8 so unequivocally as the Hortobágy microregion. Relatively high elevation differences (1.5-3.5 m) and the number of oxbow lakes in its northern part define this area as a riparian zone. 9 10 There are only slight differences between thalwegs and levees (1-2 m) and a few banked up 11 oxbow lakes that make contrast between the southern and northern parts of the landscape. As opposed to the north where settlement density grew spectacularly, the relatively low natural 12 levees of the Hortobágy River and the Árkus Brook in the south saw a severe drop in the 13 14 number of archaeological sites (Fig. 4). This rapid depopulation is also reflected in written documents (Módy, 1972). There, only a narrow strip of levees bordering the landscape from 15 the south-east was selected as a permanent settlement suitability zone where two settlements 16

1	(Újváros and Nádudvar) remained inhabited and developed into market towns by the early
2	Modern Age (Fig. 4). Apart from some scattered and small hamlets, most of southern
3	Hortobágy (ca. 1000 km ²) eventually became deserted land (Fig. 7).
4	
5	The difference between the agro-ecological suitability in the permanent and the deserted or in
6	the permanent and the uninhabited zones of the two parts of the microregion is also
7	conspicuous and statistically significant (X ² =84.6; df=2; p<0.01) (Table 6). The ratio of
8	excellent agro-ecological areas in the zone of permanent settlement pattern in the Hortobágy
9	was 2.5 times higher than in the deserted and uninhabited zones. Conversely, the deserted and
10	uninhabited zones of the microregion were dominated by areas with low agro-ecological
11	suitability.

13 Table 6 Percentage of agro-suitability categories in the southern and northern parts of the

14 Hortobágy microregion

	Southern Hortobágy, %	Northern Hortobágy, %
Excellent	20.0	30.8
Medium	36.3	48.9
Weak	43.7	20.2

15

16 4.4.2. Abandoned low levees and the edge of riparian zones

17

Low and narrow levees were typical geomorphological formations within deserted areas. One
of them is the Ösvény levee, western and eastern parts of which are separated by a relatively
sharp ca. 1 meter high scarp. In the western part, all but one settlements were deserted before
AD 1300, and the only remaining village – Ösvény 85.4m – also became a ghost settlement
by the end of the 14th c. (Fig. 7). The late 18th c. map of the First Military Survey of the
Habsburg Empire (1763-1787) depicted a water covered floodplain in the place of the once

1	dense HMA settlement structure in the western levee. Darásülése, a small hamlet lying in the
2	border zone between the western and eastern parts, was abandoned in the HMA (Ecsedy et al.,
3	1982) (Fig. 4). The highest elevation of its polygon (86.3 m) protrudes only 1.3 m above the
4	thalweg of the stream bordering the village. This relatively small difference is likely to have
5	made the settlement highly vulnerable to floods. Császárülése, identified 1.7 km to the east,
6	situated at 87.0 m (Ecsedy et al., 1982), was deserted probably before AD 1480. Káta, an
7	abandoned village with an elevation of 86.5 m was found on the flood prone edge of the
8	riparian zone, may have been deserted before the beginning of the 15 th century (Ecsedy et al.,
9	1982). The neighbouring Pázmány, situated only 1 m higher, survived until the end of the late
10	17 th c. when the Ottomans destroyed the village (Fig. 4). The reason why Császárülése,
11	Darásülése and Káta were abandoned remains unknown, but they might have been exposed to
12	inundations to a different degree, due to the small differences (0.7 and 1 m) in their
13	elevations. Thus, the relative stability of Császárülése and Pázmány may not be accidental.
14	Another point is that these settlements lay closer to the permanently inhabited eastern part of
15	the levee, which possibly provided more favourable road access.
16	
17	4.4.3. Permanent settlement pattern on the edge of sand plateaus
18	
19	An example of the functional relation of residents to ecological conditions was unearthed on
20	the edge of one of the sand plateaus and the Tisza River (Horváth, 1970). The excavated finds
21	of village Örvény and the structure of the settlement revealed two communities within the
22	village with different lifestyles, sharply reflected by their different habitat types. The tools
23	found in the houses of the riparian part (nets, fish hooks, arrows and a few agricultural tools)
24	refer to a uniform fishing and hunting lifestyle. Agricultural tools, grain pits and stone ovens,
25	however, point to arable farming as the livelihood of the other part of the community whose

houses crept up the sand plateau (Laszlovszky, 1991). The map of the First Military Survey
clearly demonstrates that the settlement pattern around the frontiers of the sand plateaus was
similar to its medieval precedent (Fig. 4 and 7), and also that the internal parts of the plateaus
were cultivated.

5

6 4.4.4. The monastic network

The medieval monastic network of the investigated area was loose and underdeveloped. It 7 8 comprised five abbeys in the HMA: Bűd (first mentioned: 1239), Zám (1220), Ohat (1220), Tomaj (1322) and Szerep (1283) (Fig. 4). As for the overall evolution of the monastic 9 10 network in the GHP, archaeological investigations revealed that most monastic sites were 11 established much earlier than their earliest references in the documents (Mesterházy, 1969, 1970; Németh, 1997; F. Romhányi, 2000). Similarly to the dynamics of the reconstructed 12 settlement desertion, the above mentioned monasteries were all abandoned before AD 1350. 13 Although several monastic orders had lands within the study area, in the late medieval period 14 there were no monastic institutions settled here. There was only one failed attempt of Pauline 15 Hermits, who tried to found a monastery in Kenderes (1453), which lasted for two decades. 16 17

18 5. Discussion

19

Relying on the zonal and statistical analyses of archaeological sites as well as on the
evaluation of plant remains, our study provided evidence for systematic changes on a broader
landscape scale. Besides this contribution, by combining different datasets on a GIS platform,
we were able to trace the impact of various environmental factors on medieval settlement
structure. Firstly, a significant shrinkage of the area of habitation was observed in the initial

phase of the LIA (late-13th-mid-16th c.) (Fig. 4; Table 1). Settlements affected by
depopulation lay mostly in the area of low levees, marsh islands and in the low margins of
fluvial ridges. Comparing the elevation means of archaeological sites, settlement locations in
the permanent zone proved significantly higher than those of deserted areas (Fig.4 and 5). The
zonal analysis also showed that within the permanent settlement zone HMA sites were
situated lower than LMA+MA sites (Fig. 5). The significantly lower elevation means of the
HMA settlements undoubtedly imply higher flood vulnerability.

8

The shrinkage and vertical displacement of inhabited areas and the significantly growing 9 proportion of plants with humid environment tolerance (especially species of aquatic habitats) 10 during the second half of the 13th c. indicate parallel processes in human and natural structures 11 (Table 2, 4 and 5; Fig. 5). Although late 13th c. deforestation in the upper catchments surely 12 13 increased the extension and flood vulnerability of water covered areas in the lowlands, it is chiefly the long-term transformation of the hydroclimatic regime that drove the permanent 14 15 expansion of water bodies. Since 'hydroclimatic conditions of the region remain even more 16 poorly quantified' (Cook et al., 2015; Kern et al., 2016), it is a substantial contribution of this paper that we could illuminate a short but crucial transition phase in the overture of the LIA. 17 This late 13th c. period spanning only a few decades is important not only from a 18 19 climatological aspect, but also as a period of great social transformations. The newly explored, hydro-climate driven settlement abandonment was accompanied by the enormous 20 internal migration process that took place in the Hungarian Kingdom (Makkai, 1990). This 21 22 dynamism justified the hypothesis concerning the pulsation of pre-industrial waterside settlement patterns lacking water management systems. Our observations also confirmed the 23 supposition that human settlement pattern, as those of other communities living on the edge 24 (Murray-Wallace, 2013), can be interpreted as indicators of hydroclimatic water level 25

changes. The reconstructed late 13th c. transformations in the composition of plant remains 1 and settlement structure signal not a mere transitional change, but a 'longue durée' structural 2 transformation of the landscape. It is the prologue of the new cultural landscape phase that 3 lasted until the 19th c. modernization, or – in a climatic context – until the end of the LIA. Its 4 most characteristic features were the expansion of humid habitats and – from the point of 5 view of land use systems – the growing significance of extensive animal husbandry as well as 6 the growing diversity of domesticated plants. The increasing share of rye (a cereal with the 7 greatest resistance to humidity and cold) also seems to be a substantial element in the cultural 8 landscape from the late 13th c. (Gyulai, 2010). Table 1 suggests that uninhabited and deserted 9 10 zones account for ca. 60% of the study area – and we estimate a similar ratio for the entire 110.000 km^2 of the GHP. The extension of this area where seasonal inundations supplied 11 relatively high grass yields helps understand how the Kingdom of Hungary became a top 12 player in European cattle trade by the 15th c (Blanchard, 1986). 13

14

15 The transformation of the settlement pattern could be observed not solely in deserted zones, 16 which occupied 13.7% of the study area (Table 1), but also in the permanent zone. We have only insufficient evidence at our disposal to trace the causes behind the nucleation process 17 that crystallized a fairly steady and sparse settlement structure. Having examined the 18 geomorphological conditions in permanent settlement suitability zones we may conclude that 19 hydrological changes might also be in part responsible for the nucleation process in this 20 settlement pattern. Interestingly, a considerable similarity appears between the patterns of 21 depopulation of the British Midlands and the Hungarian Great Plain during the LMA. Dyer 22 (1982) says that the highest concentration of abandoned settlements in Britain was detected in 23 low lying plains and valleys with clay soils. Soils with high clay content and with low or 24

extremely low water absorption capacity are characteristic in floodplains just like in the
 deserted zones of our study area.

3

The presented agro-ecological zone approach illuminated another explanatory factor behind 4 the stability and instability of settlement patterns. The results showed that the ratios of agro-5 ecological categories within permanent and deserted zones differed significantly (Table 3). 6 They also revealed that the excellent zones attracted people to settle down in higher 7 proportions than the weak zones (Table 2). A relevant point is that surface elevation and 8 exposure to hydrological effects influenced the physical and chemical conditions of soils, 9 10 consequently, there is a potential correspondence between the geomorphological and agroecological features. The disclosure of such interaction systems needs more complex 11 interdisciplinary investigations (Deák et al., 2011). Archaeological and written sources 12 13 recorded a great number of fishing and pastoral communities (e.g. farmstead settlements) in deserted zones (Jankovich et al., 1989; Györffy, 1963). Farming activities of these high 14 15 medieval communities were less dependent on agro-ecological suitability for arable farming. 16 Testing the interrelations of the extension of socio-ecological habitats and the number of settlement traces by the linear model sharp differences appeared in coefficients of the two 17 studied periods. This result also suggests that the issue of agro-ecological suitability in high 18 19 medieval farming did not bear such importance as in the LMA. The synchronism between 20 historico-archaeological evidence and the statistical test result confirms the potential effectiveness of classifying the area into agro-ecological habitat types when researching the 21 22 evolution of settlement patterns.

23

The frequent occurrence of dispersed settlements in the low-lying areas suggests that theirpopulation is likely to have swarmed out to flood risk areas in arid periods. Most of this

1	process took place between the 10 th and the early 12 th centuries, as shown by archaeological
2	evidence recovered just north of the study area (Méri, 1952). Their abandonment may be
3	related to various events and processes. Some argue that it was the Mongol Invasion (1241-
4	1242) (Györffy, 1987), however, the majority of settlements in the central and eastern parts of
5	the study area lost their population only by the end of the 13 th c. (Módy, 1972). There is no
6	doubt that the almost complete disappearance of farmstead settlements ended by the 14 th
7	century and this supports the argument that desertion was a response to political turmoil,
8	internal migration and the reconfiguration of the feudal agrarian system. In contrast to these
9	points, we concluded that low-lying areas saw massive abandonment due to hydroclimatic
10	changes as early as in the 13 th c.
11	
12	As illustrated by the case study on sand plateaus (Section 4.4.3), environmental conditions
13	appear as strong determinants regarding rural lifestyles, which could be configured in
14	complex ways within the spatial units of settlements. Not only did the elevation of these
15	plateaus provide constant flood protection for people, but their medium and excellent soils
16	also provided favourable conditions for settling (Fig. 7). Yet, it is only their narrow riparian
17	edge that proved suitable for occupation and their internal zones remained almost totally
18	uninhabited during the MA. (It is presumably the relatively deep water table of these plateaus
19	– as a limitation to access freshwater – that made these areas less favourable for settling). The
20	ecological and geomorphological border of the plateaus, however, functioned as a sharp
21	socio-ecological dividing line in terms of economic strategies and land-use of riparian

22 settlements like Örvény.

23

As a consequence of the massive settlement abandonment all the early monastic sites were
deserted on the GHP before 1350 AD (Section 4.4.4). The Mongol invasion (1241–1242 AD)

supposedly affected these institutions. Most of them attempted to re-establish themselves,
however, none of them succeeded. The vanishing monastic network reflects the regionally
decreasing population density in the LMA, which stands in sharp contrast with the
contemporary expansion of mendicant monasticism in Hungary and in Central Europe in
general (F. Romhányi, 2016). While local monastic sites were abandoned, this loosely settled
area remained attractive for some other monastic communities as an agricultural resource
(animal husbandry), which continued to be interested in possessing lands in the area.

8

9 6. Conclusions

10

In certain regions of Western Europe massive settlement abandonment seems to occur in 11 conjunction with conversion between dominant land use forms – from croplands to pastures 12 (Curtis, 2013). As a consequence of rapidly rising wages (driven by the 14th c. demographic 13 crisis) and urbanization pressure, as well as of deteriorating environmental conditions, arable 14 15 farming lost its profitability, while the rising demand for wool created more demand for pastures (Campbell, 2010). This did not always happen at the same time. At Wharram Percy, 16 for example, manorial lands were turned into pastures around the early 16th c. Le Roy Ladurie 17 18 and Pesez (1965) argued that in France, contrary to England, it was not the process of enclosures that forced people out of their lands and led to the desertion of villages, but 19 20 settlement abandonment happened before these lands were converted to pastures. As for Hungary, the first document that undoubtedly refers to enclosures, dates back to 1494. 21 22 Therein, John of Corvin as the landlord of Sziget – a village situated only a dozen kilometres to the east of Hortobágy -, regulated the rights of ownership and the forms of land use in 23 order to secure grazing rights and prohibit arable farming (MNL OL DF 278835): '...easdem 24 terras nunquam arare, sed semper usui pecudum boum vestrorum, quam praefatorum civium 25

nostrorum supplicantium permisimus... [we allow that these fields should never be ploughed,
but they should remain for the use of your stock, as well as of our aforesaid burghers who
asked for it].' The name of the village appears later on in late 15th c. tax records, but not in
16th c. Turkish tax collections (defterler) (Engel, 2001), this suggests that it became
depopulated by the mid-16th c. Apart from this single example, no other substantive evidence
has yet been found to support a trend similar to the English one, where 'sheep devoured men'
(More, 1516).

8

In general, written sources do not provide sufficient information about the potential factors of 9 desertion. Despite the fact that there is a relatively large a host of court cases recorded in 10 medieval charters, they do not report systematically on natural disasters or anything else that 11 would explain changes in the settlement pattern on such a large scale. Although these sources 12 fail to explain possible causes, they paint a detailed picture about the outcome of this late 13 medieval landscape transformation. We see that market towns tended to gain control over 14 15 both the uninhabited and the deserted zones, developing their economic activities by focusing 16 on extensive animal husbandry, while becoming the targets of migration from deserted zones. Evidence on urban monasteries also reveal that their economic activities in the 15th century 17 were connected to animal husbandry in the region. An illustrative example is the unusual alms 18 19 received by the Franciscans in Debrecen (the only town in the northern part of the GHP, 20 where there was a monastic community): the order received half of the tongues of the oxen butchered in the town's shambles (Archive of the Franciscan Friary in Bratislava, Lad. 21 22 XXXI).

23

The above cited studies on different regions of Europe and North America suggest that the
early phase of the LIA brought positive precipitation anomalies and increasing water cycle

extremities causing settlement desertion in certain vulnerable areas – including the border 1 zones of aquatic habitats. The present zonal method can be considered as a step in developing 2 explanatory models for identifying socio-natural causative factors of the transformation of 3 social structures situated in low-lying areas. Thematic comparisons of land-use and settlement 4 systems of great plains together with their cultural and environmental context may provide a 5 broad perspective for archaeological and historical narratives. This makes exploring wider 6 correlations of human-nature interactions possible. Future investigations have yet to clarify 7 this issue, but not solely out of historical curiosity. As researchers have realized the necessity 8 of better understanding and mapping anthropogenic effects, e.g. settlement and population 9 10 density or land use, the reconstruction of historic land cover recently gained importance in climate modelling (Kaplan et al., 2010; Pongratz et al., 2010; Goldewijk et al., 2011). This 11 direction of research is particularly relevant in the case of open lands (Gaillard et al., 2010). 12 13 Our analysis revealed that the size of temporarily or constantly water covered areas as well as grazing lands increased considerably (by ca. 50%), while arable lands became more 14 15 concentrated, typically in highly suitable zones in the first phase of the LIA. The increase of 16 water covered areas raised the albedo and transformed the pattern of evaporation, which may have induced a perceptible transformation in the microclimate and also in the regional climate 17 system. Taking into account that aquatic habitats are distinguished places with a huge 18 potential to store carbon, nitrogen and phosphorus influencing biochemical fluxes at a wider 19 scale, the assessment of anthropogenic impacts -i.e. changes in the land use system and the 20 settlement pattern – are particularly important in the context of getting more accurate readings 21 22 and interpretations of climate and environmental changes in the studied landscape type. 23

24 6.1. Final remarks

25

Medieval evolution of the UNESCO World Heritage Hortobágy landscape, a home to one of 1 2 the most extensive protected natural grasslands in Europe, culminated in almost total settlement abandonment. Agents shaping the process have been widely discussed in 3 Hungarian landscape historiography, though relying only on scanty direct written or 4 archaeological sources. Given that this transformation of the settlement pattern has 5 determined the actual character of the landscape to date and the ecological values of the area 6 7 have been recognized and their restoration has been initiated (Török et al., 2012), the present investigation has a particular relevance not only from climatic or historical, but also from a 8 landscape ecological point of view (Swetnam et al., 1999). 9

10

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12

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Legend

- Early HMA (late10th-mid-13th c.)
- X Late HMA (late13th c.)
 - Non-divided HMA (late10th-13th c.)
 - LMA (14th-mid-16th c.)
 - Study area
 - Waterways













Legend

Permanent excellent sutability Deserted excellent suitability Uninhabited excellent suitability Permanent medium sutability Deserted medium suitability Uninhabited medium suitability Permanent weak sutability Deserted weak suitability Uninhabited weak suitability Hortobagy Waterways

River Tisz

5

River Hortobágy

5

0

10

20 Km

Highlights

- transformation of lowland settlement patterns as a response to hydrological challenge
- hydroclimatic driven settlement abandonment in the 13th century Great Hungarian Plain
- wetlands significantly rose and croplands narrowed in the overture of Little Ice Age
- causal link between agro-ecological conditions and stability of settlement pattern
- broad synchronism between changing vegetation and settlement structure in early LIA