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3 **High-Resolution Modeling and Prediction of Urban Floods Using WRF-Hydro and Data**  
4 **Assimilation**  
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## Abstract

47 We assess the impact of increasing the resolution of hydrologic modeling, calibration of selected  
48 model parameters and assimilation of streamflow observation toward event-based urban flood  
49 modeling and prediction using WRF-Hydro in the Dallas-Fort Worth area (DFW). We use  
50 quantitative precipitation estimates at 500-m 1-min resolution from the Collaborative Adaptive  
51 Sensing of the Atmosphere radar network for observed rainfall, Stepwise Line Search for  
52 calibration, and fixed-lag smoothing for data assimilation (DA). The model domain is a 144.6  
53 km<sup>2</sup> area comprising 3 urban catchments in Arlington and Grand Prairie in the middle of DFW.  
54 It is shown that event-specific calibration of 6 WRF-Hydro parameters is largely successful in  
55 simulating hydrographs at the catchment outlets particularly for the most important rising limbs,  
56 but less so for attenuated peaks or fast-receding falling limbs. A spatial resolution of at least 250  
57 m was necessary for the land surface model (LSM) to delineate small catchments and hence to  
58 capture catchment-wide rainfall with acceptable accuracy. Simulations at selected combinations  
59 of resolutions, 250 and 125 m for the LSM and 250, 125, 50 m for the routing models, showed  
60 mixed results. The overall results indicate that, in the absence of resolution-specific prescription  
61 and calibration of channel routing parameters, a resolution of 250 m for both the LSM and  
62 routing models is a good choice in terms of performance and computational requirements, and  
63 that, in the absence of high-quality calibration and continuous simulation of streamflow, DA is  
64 necessary to initialize WRF-Hydro for event-based high-resolution urban flood prediction.  
65 **Key words:** urban flood, high resolution, precipitation, hydrologic modeling, prediction, data  
66 assimilation

## 67 **1 Introduction**

68 With the implementation of the National Water Model (NWM), the National Weather  
69 Service (NWS) has made a step-change advance in operational water forecasting by enabling  
70 high-resolution (1 hr, 1 km for land surface and 250 m for routing) hydrologic modeling across  
71 the US (NWS 2020). As a part of the NWM initiative, the NWS has been mandated to provide  
72 forecasts at even higher spatiotemporal resolutions when and where such information is  
73 demanded such as in large urban areas for flood warning, and areas of high-value infrastructure,  
74 susceptible to landslides, or impacted by forest fires (Graziano et al. 2017). The value of high-  
75 resolution products and services depends not only on the hydrologic and hydraulic models but  
76 also on the quality of the forcings, model parameters, initial conditions (IC) and boundary  
77 conditions at the commensurate resolutions. In the DFW area, the Collaborative Adaptive  
78 Sensing of the Atmosphere (CASA) Program operates a network of X-band radars to provide a  
79 suite of meteorological, hydrometeorological and hydrologic products for severe weather and  
80 flash flood monitoring and prediction (Chandrasekar et al. 2013). The network currently consists  
81 of 7 radars located at Addison, Arlington, Cleburne, Denton, Fort Worth, Mesquite and  
82 Midlothian, TX. A salient feature of the above operation is that the radar rainfall data are  
83 available at a very high resolution of 500 m and 1 min. The CASA quantitative precipitation  
84 estimates (QPE) are currently input to the NWS Hydrology Laboratory-Research Distributed  
85 Hydrologic Model (HL-RDHM, Koren et al. 2004, NWS 2009) to produce a suite of hydrologic  
86 products at the same resolution in real time (Rafieeinassab et al. 2015, Habibi et al. 2016, Habibi  
87 and Seo 2018). The characteristic spatial scale of natural and man-made physiographic features  
88 in the study area suggests that a further increase in hydrologic model resolution may improve the

89 information content of the model output (Habibi et al. 2019). There is also an ever increasing  
90 demand for higher resolution hydrologic products for enhanced spatio-temporal specificity. The  
91 purpose of this work is to assess using WRF-Hydro how increasing the resolution of hydrologic  
92 modeling, calibration of selected model parameters and assimilating locally-available  
93 observations of precipitation and streamflow may improve flood modeling and prediction toward  
94 high-resolution water forecasting in urban areas.

95 Real-time continuous operation of high-resolution models is computationally very expensive  
96 particularly for large areas (Habibi et al. 2019). A more practical approach is likely to be event-  
97 based operation with robust initialization. As such, our assessment is carried out in the context of  
98 event-based modeling and prediction. The event-based paradigm meant that most conventional  
99 calibration methods, which rely on time-continuous observations of precipitation and streamflow,  
100 and sequential DA methods, which employ recursive state updating, may not be applicable or  
101 desirable. To that end, we employ multi-event averaging of event-specific parameter  
102 optimization results for calibration and reduced-rank fixed-lag smoothing for DA. The new  
103 contributions of this paper are: selective calibration of WRF-Hydro for urban flood modeling and  
104 prediction, improving simulation of highly peaked hydrographs with the addition of a conditional  
105 bias (CB) penalty, and assessment of the impacts of different spatio-temporal resolutions of  
106 rainfall-runoff and routing models, of ICs and land cover, and of assimilation of streamflow  
107 observations for initialization of WRF-Hydro toward event-based operation of high-resolution  
108 urban flood prediction. This paper is organized as follows. In Section 2, we describe the study  
109 area, data used and the hydrologic models used. Section 3 describes the methods used in the  
110 experiment design, calibration and DA. Section 4 describes the experiments and presents the  
111 results. Section 5 provides the conclusions and future research recommendations.

## 112 **2 Study area, data and hydrologic models used**

113 Here we describe the study area, data used and hydrologic models used.

### 114 **2.1 Study area**

115 The study area comprises the Johnson (40.2 km<sup>2</sup>), Cottonwood (32.3 km<sup>2</sup>) and Fish (54.6  
116 km<sup>2</sup>) Creek Catchments in the Cities of Arlington and Grand Prairie in the Dallas-Fort Worth  
117 (DFW) area of TX (see Fig 1a,b). These basins have been used in previous studies of high-  
118 resolution hydrologic modeling and sensing (Rafieeinasab et al. 2015, Norouzi 2016, Habibi et al.  
119 2016, 2019). The Johnson, Cottonwood and Fish Creek Catchments, referred to herein as JC, CC  
120 and FC, respectively, are highly urbanized with impervious fractions of 0.48, 0.37 and 0.31,  
121 respectively (Habibi et al. 2019, see Fig 1a). Hydroclimatologically, the study basins are  
122 particularly challenging for hydrologic modeling and prediction due to very short memory in the  
123 surface and soil water storages. Recent assessment of the streamflow prediction skill of the NWS  
124 operational hydrologic models indicates that the study region has the smallest predictability  
125 among the 138 basins assessed in 8 different River Forecast Centers' (RFC) service areas across  
126 large sections of the US (Alizadeh et al. 2019).

### 127 **2.2 Data used**

128 The CASA QPE products have been extensively evaluated (Chandrasekar et al. 2012, Chen  
129 et al. 2016, Cifelli et al. 2018). Comparative evaluation of different radar-based QPE products  
130 (Rafieeinasab et al. 2014, 2015) showed that the CASA QPE is generally more accurate for  
131 larger precipitation amounts in the study area whereas the Multisensor Precipitation Estimator  
132 (MPE, Seo et al. 2010) estimates do better for smaller amounts. The CASA QPE operation

133 recently began fusing the QPE from the X-band radar network with that from the WSR-88D in  
134 Burleson, TX (Chen and Chandrasekar, 2015). The rainfall estimates used in this study are the  
135 resulting fused QPE product. For details, the reader is referred to Chandrasekar (2017).

136 Because the CASA network has been in continuous operation only for several years, a long  
137 period of time-continuous data is not available. In this study, we used the 5 recent events of  
138 varying magnitude listed in Table 1. Fig 2 shows the total rainfall maps for the 4 largest events.  
139 All other forcings for WRF-Hydro are from the near real-time North American Land Data  
140 Assimilation System (NLDAS) Phase 2 forcing and model output produced operationally at the  
141 Environmental Modeling Center of the NOAA/NWS/National Centers for Environmental  
142 Prediction (Cosgrove et al. 2003). Networks of ALERT sensors operated by the Cities of  
143 Arlington and Grand Prairie provide water level observations in the study area including at the  
144 catchment outlets. The observations are based on pressure transducers located at the channel  
145 bottom. To estimate discharge from stage observations, we used rating curves derived by  
146 Norouzi (2016) at the outlets of the 3 catchments (see Fig 1a) based on the numerical modeling  
147 approach of Kean and Smith (2004, 2005, 2010).

### 148 **2.3 Hydrologic model used**

149 The hydrologic model used is WRF-Hydro Version 5.0.2. (Gochis et al. 2018). For urban  
150 flood modelling, the most important components are the rainfall-runoff, terrain, or hillslope,  
151 routing and channel routing models. Below, we describe only the core model dynamics that are  
152 directly relevant to the development of this work.

## 153 2.4 Rainfall-runoff model

154 The rainfall-runoff option used in this work is the Simple Water Balance model (SWB) of  
155 Schaake et al. (1996) which is used by the NWM also. As in Moore (1985) and the SCS curve  
156 number method (USDA 1986), the SWB models the average runoff depth over a grid box or a  
157 catchment,  $Q_s$ , as (Schaake et al. 1996):

$$158 \quad Q_s = \frac{P_x^2}{(P_x + I_c)^2} \quad (1)$$

159 where  $P_x$  and  $I_c$  denote the average precipitation depth and infiltration capacity over the grid box.

160 The infiltration capacity,  $I_c$ , in Eq. (1) is modeled as (Schaake et al. 1996):

$$161 \quad I_c = D_x(1 - e^{-kt}) \quad (2)$$

162 Where  $D_x$  denotes the maximum water holding capacity of the soil column,  $k$  denotes the decay  
163 coefficient and  $t$  denotes the time elapsed. Eq. (2) is analogous to the potential infiltration depth,  
164  $F$ , of the Horton infiltration model (Horton 1940) without the constant infiltration rate due to  
165 gravity:

$$166 \quad F = \frac{f_0}{k}(1 - e^{-kt}) \quad (3)$$

167 where  $f_0$  denotes the initial potential infiltration rate due to suction pressure and  $k$  denotes the  
168 decay rate. One may hence interpret the maximum soil water holding capacity,  $D_x$ , as

169 representing  $f_0/k$  in Eq. (2) where  $1/k$  represents the time scale of decay of potential infiltration

170 rate. The maximum water holding capacity  $D_x$  in Eq. (2) is modeled as (Schaake et al. 1996):

$$171 \quad D_x = \sum_{i=1}^4 \Delta Z_i (\theta_{sat} - \theta_i) \quad (4)$$

172 where  $\Delta Z_i$  denotes the thickness of the  $i$ -th soil layer,  $\theta_{sat}$  denotes the saturation soil water

173 content (i.e., porosity) and  $\theta_i$  denotes the initial soil water content in the  $i$ -th soil layer. Eq. (4) is

174 analogous to the total infiltration depth in the Green-Ampt infiltration equation (Green and Ampt  
175 1911):

$$176 \quad F = Z_f(\theta_{sat} - \theta_{init}) \quad (5)$$

177 where  $Z_f$  denotes the depth to the wetting front and  $\theta_{init}$  denotes the vertically uniform initial  
178 soil water content. As shown above, the surface runoff component of the SWB may be  
179 considered as a combination of the SCS method for runoff ratio and the Horton infiltration  
180 equation without the gravity term for time decay in potential infiltration rate in which the  
181 maximum water holding capacity is prescribed by the depth-integrated soil pore space given the  
182 antecedent soil water content. The study area is highly urbanized. Accurate high-resolution  
183 depiction of land cover is hence very important (Rafieeinassab et al. 2015, Norouzi 2016, Habibi  
184 et al. 2016). WRF-Hydro uses the United States Geological Survey's (USGS) 24-category land  
185 cover product (Loveland et al. 1995, see Fig 1c) to parameterize the Land Surface Model (LSM).  
186 In this work, we use the USGS's National Land Cover Database (Wickham et al. 2019) for  
187 higher resolution depiction (see Fig 1d) and compare with the USGS 24-category land cover.

## 188 **2.5 Terrain routing model**

189 The terrain, or hillslope, routing option used in this work is the diffusive wave model. The  
190 mass balance equation is given by:

$$191 \quad \frac{\partial h}{\partial t} + \frac{\partial q_x}{\partial x} + \frac{\partial q_y}{\partial y} = i_e \quad (6)$$

192 where  $h$  denotes the water depth,  $q_x$  and  $q_y$  denote the specific discharge along the x- and y-  
193 directions, respectively, and  $i_e$  denotes the excess precipitation, or surface runoff depth, given by  
194 the rainfall-runoff model. Though expressed as a 2D model, Eq. (6) is solved only along the



195 steepest-descending direction, referred to as the D8 option in WRF-Hydro (Gochis et al. 2018).

196 The momentum balance equation is given by:

$$197 \quad -\frac{\partial h}{\partial x} + S_{ox} = S_{fx} = \left(\frac{n_{ov}q_x}{h^{5/3}}\right)^2 \quad (7)$$

198 where  $S_{ox}$  denotes the terrain or channel bed slope,  $S_{fx}$  denotes the friction slope and  $n_{ov}$

199 denotes the Manning's friction coefficient for the hillslope. The last equality in Eq. (7) follows

200 from the Manning's equation under the wide channel assumption (Akan and Houghtalen 2013).

201 In WRF-Hydro,  $S_{ox}$  is calculated based on the DEM data and  $n_{ov}$  is prescribed according to land

202 cover. As such, the choice of the land cover data impacts terrain routing.

## 203 **2.6 Channel routing model**

204 The channel routing option used in this work is the gridded diffusive wave model which

205 solves the following mass and momentum balance equations:

$$206 \quad \frac{\partial A}{\partial t} + \frac{\partial Q}{\partial x} = q_l \quad (8)$$

$$207 \quad -\frac{\partial h}{\partial x} + S_o = S_f = \left(\frac{nQ}{AR^{2/3}}\right)^2 \quad (9)$$

208 where  $A$  denotes the wetted channel cross-sectional area,  $Q$  denotes the flow rate,  $q_l$  denotes the

209 lateral inflow from Eqs. (6) and (7),  $h$  denotes the water depth,  $S_o$  denotes the channel bed slope,

210  $n$  denotes the Manning's roughness coefficient for the channel bed and  $R$  denotes the hydraulic

211 radius of the channel cross section. The resulting finite difference equation is solved iteratively

212 using the Newton-Raphson method (Gochis et al. 2018). The channels are delineated based on

213 the National Hydrographic Dataset Plus Version 2 (NHDPlusV2, Moore et al. 2019). The

214 channel routing model assumes trapezoidal cross section for which two additional parameters,

215 the channel bottom width and side slope, are necessary:

$$216 \quad Q = \frac{1}{n} AR^{2/3} S_f^{1/2} = \frac{1}{n} \frac{((B_w + zh)h)^{5/3}}{(B_w + 2h\sqrt{1+z^2})^{2/3}} S_f^{1/2} \quad (10)$$

217 where  $B_w$  denotes the channel bottom width,  $z$  denotes the channel side slope and  $h$  denotes the  
 218 water depth. WRF-Hydro prescribes the above parameters stream order-specifically, i.e.,  
 219 channels of the same Strahler stream order share the same parameter values for channel routing  
 220 (Gochis et al. 2018).

### 221 **3 Methods**

222 To assess how the resolution of hydrologic modeling, calibration, and DA may impact urban  
 223 flood modeling and prediction using WRF-Hydro, we designed and carried out a set of  
 224 simulation experiments. In this section, we describe the experiment design, calibration and DA.

#### 225 **3.1 Design of experiments**

226 Table 2 shows the combinations of resolutions considered in this work. The CASA QPE is  
 227 available at 500 m 1 min resolution. Rafieeinassab et al. (2015) report that a resolution of 500 m  
 228 and 15 min or higher is necessary for streamflow prediction at the outlets of the study basins  
 229 using CASA QPE and HL-RDHM (Koren et al. 2004). To assess how higher spatial resolution of  
 230 hydrologic modeling may improve flood simulation in the study area, we disaggregate the 500 m  
 231 QPE to QPEs at nominal resolutions of 250 m and 125 m by remapping the CASA QPE on a lat-  
 232 lon grid to a Lambert conformal conic grid for ingest by WRF-Hydro. For the remapping, we  
 233 used the conserve method available for ESMF (NCAR 2020). In addition, to assess possible  
 234 gains from higher temporal resolution modeling, we aggregated the native resolution 1 min  
 235 CASA QPE to 10 min accumulations. With the above choices, the LSM was run at 3 different

236 spatial resolutions of 500, 250 and 125 m with a common native temporal QPE resolution for the  
237 spatial resolution experiment, and at two different temporal resolutions of 1 and 10 min with a  
238 common spatial resolution of 250 m for the temporal resolution experiment. In the above  
239 experiments, the resolution of the routing models was fixed at 250 m. The limited number of  
240 combinations of resolutions represent a compromise between the computational requirements  
241 and the range of resolutions that are most likely to be of operational interest in the study area.

242 It was observed in the early stages of the spatial resolution experiment that the mean areal  
243 precipitation (MAP) calculated at 500 m resolution is significantly different from that at 250 m  
244 or 125 m. The differences were traced to the coarseness of 500 m grid boxes in delineating small  
245 catchments in WRF-Hydro. Significant errors in precipitation volume often translate into  
246 significant errors in peak flow and time-to-peak flow. As such, we excluded 500 m resolution  
247 from further consideration. For routing, we initially considered 25 m resolution as well. It was  
248 discovered in the early stages, however, that the number of stream segments at this resolution for  
249 the study domain exceeds the maximum allowed by WRF-Hydro. For this reason, we excluded  
250 25 m from further consideration for routing. Though limited in number, the resulting  
251 combinations allow comparisons of the LSM resolutions of 250 m and 125 m given the common  
252 routing model resolution of 125 m and of the routing model resolutions of 250 m, 125 m and 50  
253 m given the common LSM resolution of 125 m.

## 254 **3.2 Calibration**

255 WRF-Hydro employs a large number of parameters for rainfall-runoff and routing modeling.  
256 Most of them are modeled as spatially-varying and specified by spatial maps or lookup tables of  
257 the relevant physiographic variables. Due to the computational cost, it is impractical to calibrate

258 a large number of parameters. The approach taken in this work is to identify only the most  
 259 influential and adjust them up or down with multiplicative scaling factors over the entire  
 260 catchment, thus maintaining the prescribed spatial variations and physiographic relationships  
 261 (Gupta et al. 2003). Examination of the model physics described in Eqs. (1) through (10)  
 262 indicates the most influential parameters for the rainfall-runoff and routing models are likely to  
 263 be the potential infiltration rate decay coefficient  $k$  in Eq. (2), the Manning's friction coefficient  
 264 for overland flow,  $n_{ov}$ , in Eq. (7) and the 4 channel routing parameters of the Manning's friction  
 265 coefficient  $n$ , the bottom width,  $B_w$ , the side slope  $z$ , and the initial water depth,  $h$ . The above 6  
 266 parameters,  $k$ ,  $n_{ov}$ ,  $n$ ,  $B_w$ ,  $z$  and  $h$ , are denoted in WRF-Hydro as *refdk*, *sfc\_rough*, *rmannn*,  
 267 *bw*, *chsslp* and *hlink*, respectively, which are used below. Extensive sensitivity analysis  
 268 involving all rainfall-runoff and routing parameters confirm the above choices. The decay  
 269 coefficient  $k$  in Eq.(2) is coded in WRF-Hydro as:

$$270 \quad k = \left( \text{REFKDT} \frac{DKSAT}{REFDK} \right) \cdot \left( \frac{DT}{86400} \right) \quad (11)$$

271 where  $DKSAT$  denotes the saturated hydraulic conductivity,  $REFDKDT$  and  $REFDK$  are  
 272 parameters for surface runoff (Gochis et al. 2018), and  $DT$  denotes the time step in seconds. Both  
 273  $REFDKDT$  and  $REFDK$  are calibratable parameters. Because adjusting  $REFDKT$  has the same  
 274 effect as adjusting  $REFDK^{-1}$  for  $k$ , it is not necessary in practice to calibrate both. As such, we  
 275 calibrate only  $REFDK$  in this work. Note in Eq. (11) that, if  $REFDK$  increases or decreases,  $k$   
 276 decreases or increases and hence the infiltration capacity decreases or increases given the  
 277 maximum water holding capacity,  $D_x$ , respectively. Accordingly, one may consider  $REFDK$  as  
 278 controlling the runoff ratio. All other parameters in the LSM are set to the WRF-Hydro default  
 279 (Gochis et al. 2018).

280 For the terrain routing model,  $n_{ov}$  is by far the most important. In WRF-Hydro,  $n_{ov}$  is  
281 prescribed according to the USGS 24-category land cover (Loveland et al. 1995). In this work,  
282 we use the National Land Cover Database (NLCD, Wickham et al. 2019) and the same default  
283 land cover-dependent values of  $n_{ov}$ . In the calibration process, we apply a single multiplicative  
284 adjustment factor to the spatially varying  $n_{ov}$  for the entire catchment. Calibration of channel  
285 routing parameters presents a particular challenge as elaborated below. There are a total of 4  
286 parameters,  $B_w$ ,  $z$  and  $n$ , and the initial condition,  $h$ , to be determined in the calibration process  
287 whereas the only source of information available is observed streamflow at the catchment outlet.  
288 For most natural channels, the cross sections are not trapezoidal. It is hence difficult to prescribe  
289  $B_w$  and  $z$  externally based on physiographic information particularly for small streams. Given the  
290 above picture, we opted to assess first the impact of changes in each channel routing parameter  
291 via a series of idealized sensitivity analysis using the recently developed general analytical  
292 solution for nonlinear reservoir (Nazari and Seo 2020). In this analysis, we prescribe an impulse  
293 as the upstream hydrograph and route it through a nonlinear reservoir which is modeled as a  
294 hydraulically-equivalent trapezoidal channel as in WRF-Hydro. We then visually examine the  
295 shape of the downstream hydrographs and assess the impact of changes in each of the 4  
296 parameters to the downstream hydrograph. The results indicate that changes in each of the 4  
297 routing parameters often produce similar effects, that the shape of the outlet hydrograph is least  
298 sensitive to changes in  $z$  and that, in addition to  $n$ , both  $B_w$  and  $h$  shape the outlet hydrograph to  
299 a significant degree, in particular, the upper and lower parts of the falling limb. The above  
300 findings suggest that one may be able to prescribe  $z$  externally and calibrate only the other three.  
301 In this work, we chose to calibrate all 4 parameters to assess empirically the degree of under-  
302 determinedness in each.

303 For calibration, we initially considered the Shuffled Complex Evolution (SCE, Duan et al.  
 304 1992) and the Stepwise Line Search (SLS, Kuzmin et al. 2008). Due to excessive computational  
 305 requirement of SCE, however, we chose SLS as the main calibration technique (see Kuzmin et  
 306 al. 2008 for comparison). Once the parameter space is defined, we use Latin Hypercube  
 307 sampling (LHS, Tang 1993) to run WRF-Hydro with the randomly-sampled parameter values  
 308 from which a small number of best-performing parameter sets is retained. We then run SLS  
 309 using the parameter sets retained above as starting points, visually examine the resulting  
 310 hydrographs and choose the best. The original SLS minimizes the multi-scale objective function  
 311 consisting of normalized root mean square error of simulated flow at multiple time scales of  
 312 aggregation such as hourly, daily, weekly, monthly, etc. The hydrologic response time of the  
 313 study basins, on the other hand, is sub-daily for which the multiscale objective function is not  
 314 necessary. A second modification to SLS deals with the objective function itself as elaborated  
 315 below. Arguably the two most important variables for urban flood prediction are the peak flow  
 316 and time-to-peak flow, i.e., the time until the peak flow occurs relative to some reference time of  
 317 user's interest. The hydrographs for the study basins are often characterized by high degrees of  
 318 peakedness due to fast surface runoff over urban and semi-dry land surfaces. Commonly used  
 319 objective functions for calibration such as the mean squared error (MSE) of simulated flow or its  
 320 variable transform is not very effective in simulating very sharp peaks due to the typically very  
 321 small number of observations associated with peak flows. To address the above, we combine the  
 322 mean error (ME), MSE and Type II conditional bias (CB) for the objective function as follows  
 323 the last of which is specifically to improve simulation of peaked hydrographs:

$$324 \quad J = \left( \frac{1}{n} \sum_{i=1}^n O_i - \frac{1}{n} \sum_{i=1}^n S_i \right)^2 + \frac{1}{n} \sum_{i=1}^n (O_i - S_i)^2$$

$$325 \quad +\alpha \frac{1}{n} \sum_k^K n_k \left\{ O_k^{mid} - \frac{1}{n_k} \sum_{i=1}^{n_k} (S_i | O_k^{min} \leq O_i \leq O_k^{max}) \right\}^2 \quad (12)$$

326 where  $O_i$  and  $S_i$  denote the observed and simulated flows at timestep  $i$ ,  $n$  denotes the total  
327 number of  $\{O_i, S_i\}$  pairs in the calibration period,  $\alpha$  denotes the weight given to the conditional  
328 bias penalty term,  $K$  denotes the number of subintervals dividing the range of observed flow,  
329  $O_k^{min}$  and  $O_k^{max}$  denote the lower and upper bounds of the  $k$ -th subinterval,  $n_k$  denotes the  
330 number of observed flow within the  $k$ -th subinterval,  $O_k^{mid}$  denotes the mid-point between  $O_k^{min}$   
331 and  $O_k^{max}$ , i.e.,  $O_k^{mid} = O_k^{min} + (O_k^{max} - O_k^{min})/2$ , and  $S_i | O_k^{min} \leq O_i \leq O_k^{max}$  denotes the  $i$ -th  
332 simulated flow for which the verifying observed flow falls in the  $k$ -th subinterval. The three  
333 terms in Eq. (12) represent the ME, the MSE and the mean of the Type-II CB squared,  
334 respectively. The first term may appear redundant in that reducing CB is a sufficient condition  
335 for reducing ME. In practice, however, the CB penalty may not be effective across all ranges of  
336 flow due to small sample size in certain sub-ranges. Our experience indicates that a sub-range of  
337 10 (cms) and  $\alpha = 2$  generally yield satisfactory results for the study basins. We note here that the  
338 last two terms in Eq. (12) represent a sample statistic for the objective function used in CB-  
339 penalized optimal linear estimation for improved estimation of extremes (Brown and Seo, 2013;  
340 Seo, 2012; Seo et al., 2014; Kim et al., 2016, Seo et al., 2018a,b; Shen et al., 2019, Lee et al.  
341 2019, Jozaghi et al. 2019).

342 Though the number of parameters calibrated is small, it is still computationally too  
343 expensive to perform resolution-specific calibration for all combinations of resolutions (see  
344 Table 2). The alternative strategy adopted in this work is to calibrate using SLS-LHS at the  
345 lowest spatial resolution, i.e., 250 m for both the LSM and routing models, and use the resulting  
346 parameter values as the starting point for calibration at the next higher-resolution using SLS only.

347 For the routing model resolution of 50 m, however, the above strategy could not be used due to  
348 excessively large computational requirements (see Table 3). Instead, we borrow the calibration  
349 results at 250 m LSM and 125 m routing models and assess parameter transferability from 125 m  
350 to 50 m for routing. Event-specific calibration is bound to overfit the specific event at hand. To  
351 avoid dependent evaluation based on overfitted parameters, we averaged the middle 3 parameter  
352 values out of the 5 from event-specific calibration. The rationale for dropping the largest and the  
353 smallest values is to avoid large biases arising from possible extremes. The average parameter  
354 values thus obtained are referred to as the non-event-specific calibration results.

### 355 **3.3 Assimilation of streamflow observations**

356 Hydrologic and hydraulic processes are heavily controlled by complex local physiography.  
357 The models may not capture the fixed boundary conditions, the ICs or the physical processes  
358 occurring over certain ranges of scale. In addition, the precipitation input may have significant  
359 systematic or random errors, or the hydrologic model may lack adequate calibration. In such  
360 situations, the model states may become too unrealistic to produce skillful predictions especially  
361 when the hydrometeorological or hydrologic conditions depart from the historically observed.  
362 For this reason, some form of state updating, manual or automatic, is generally necessary for  
363 real-time flood forecasting (WMO 1992). With high-resolution models, however, manual DA is  
364 not viable due to the very large dimensionality (Lee et al. 2011, 2014). In this work, we assess  
365 how assimilating streamflow observations at the catchment outlet may be used to initialize WRF-  
366 Hydro for event-based prediction. For the DA method, we use the fixed-lag formulation (Seo et  
367 al. 2003, 2009) of the ensemble Kalman filter (EnKF, Evensen 1994, 2003). The motivation for  
368 the fixed-lag smoother is to support forecaster-supervised on-demand initialization of WRF-



369 Hydro whether DA was previously run or not. We note here that EnKF is implemented in  
 370 OpenDA (Van Velzen et al. 2016, Rakovec et al. 2015) which is integrated with the NWS's  
 371 Community Hydrologic Prediction System (Roe et al. 2010), the main operational river forecast  
 372 system at the RFCs. As such, there already exists an operational tool for implementation of the  
 373 proposed method.

374 The control variables, i.e., the variables to be updated or adjusted via DA, include the  
 375 multiplicative adjustment factor,  $\beta_P$ , to precipitation,  $P_x$ , applicable uniformly to the precipitation  
 376 over the entire catchment  $P_x$ , and over the entire assimilation window (see Eq. (13)), and the  
 377 multiplicative adjustment factor,  $\beta_\theta$ , to soil moisture,  $\theta_i$ , applicable uniformly to all 4 soil  
 378 moisture layers  $\theta_i, i = 1, \dots, 4$ , and valid at the beginning of the assimilation window (see Eq.  
 379 (14)):

$$380 \quad Q_s = \frac{(\beta_P P_x)^2}{(P_x + I_c)^2}, \quad \beta_P \geq 0 \quad (13)$$

$$381 \quad D_x = \sum_{i=1}^4 \Delta Z_i (\theta_{sat} - \beta_\theta \theta_i), \quad \beta_\theta \geq 0, i = 1, \dots, 4 \quad (14)$$

382 The simulated streamflow observations are then augmented to the state vector to render the  
 383 observation equation linear (Lorentzen and Nævdal 2011, Rafieeiniasab et al. 2014, Lee et al.  
 384 2019). As formulated above, the DA problem amounts to solving for the two adjustment factors  
 385 in each assimilation cycle such that the simulated streamflow at the catchment outlet tracks the  
 386 observed. If sequential estimation is desired, the control variables may be propagated from one  
 387 assimilation cycle to the next based, e.g., on the first-order autoregressive-1 model (Lee et al.  
 388 2019). Different variations of the above DA approach have been used successfully with both  
 389 lumped and distributed hydrologic models in both operational and research settings in the US  
 390 and elsewhere (Lee et al. 2011, Lee et al. 2012, Lee and Seo 2014, Lee et al. 2015, 2016, Kim et

391 al. 2014, Mazzoleni et al. 2019, Noh et al. 2018, Rafieeinassab et al. 2014, Riazi et al. 2016, Seo  
392 et al. 2003, 2009).

393 An important difference between the above formulation and the previous formulations of  
394 fixed lag smoothing is that the former does not include additive errors to runoff, i.e., later inflow  
395 into channels. The reason for this departure is that the addition requires modifications to the  
396 WRF-Hydro source code. Because there is no guarantee a priori that the model dynamics admit  
397 the error-added flows, the above modifications may produce numerical instabilities that are  
398 difficult to diagnose or control. The lack of additive error in the control vector means that the DA  
399 formulation is strongly-constrained rather than weakly-constrained (Lee et al. 2016), and hence  
400 more likely to render the smoother more susceptible to model structural or parametric errors. In  
401 addition to the assimilation window length and ensemble size, it is necessary to prescribe several  
402 uncertainty parameters for the smoother: the observation error variances for precipitation and  
403 streamflow, and mean and variance (or, alternatively, median and coefficient of variation) of  
404 each of  $\beta_\theta$  and  $\beta_p$ . In this work, the above DA parameters were prescribed following Lee et al.  
405 (2019) using the homoscedastic model and lognormal distribution for  $\beta_\theta$  and  $\beta_p$ , and were  
406 estimated based on limited sensitivity analysis (Rafieeinassab et al. 2015, Lee et al. 2019). Due to  
407 the strongly-constrained nature of the DA formulation, however, the performance of DA is likely  
408 to benefit significantly from more rigorous estimation of the DA parameters.

#### 409 **4 Results**

410 Our assessment consisted of the 5 experiments described below. We use peak flow and time-  
411 to-peak flow errors as the primary performance measures, by far the two most important for  
412 urban flood prediction (Liu et al. 2011, Rafieeinassab et al. 2014).

#### 413 **4.1 Experiment 1: Event-specific vs. non-event-specific calibration**

414 Fig 3 shows examples of event-specific (black) vs. non-event-specific (red) calibration  
415 results at 250 m resolution for both the LSM and routing models. Additional results are presented  
416 in Fig 10 in the context of DA. The temporal resolution of QPE is 1 min. The event-specific  
417 results are based on calibrating the 6 parameters specifically for each event. The non-event-  
418 specific results are based on dropping the largest and smallest values from the 5 event-specific  
419 results and averaging only the middle 3. It is important to point out that, in event-specific  
420 calibration, *rekft* reflects the soil moisture ICs. Note in Eqs. (2) and (4) that changing *refdk*  
421 has effects similar to changing the maximum water holding capacity of the soil,  $D_x$ , which is a  
422 function of the initial soil water content. Event-specific calibration of *refdk* is hence subject to  
423 event-to-event variability of antecedent soil moisture conditions. The averaging of the 3 middle  
424 parameter values from the event-specific results is an attempt to dampen or average out this  
425 variability in the ICs. To illustrate, Fig 4a shows the event-specific result for the multiplicative  
426 factor to *rmannn*, or *fac\_rmannn*. Significant event-to-event variations are seen particularly  
427 for less impervious CC and FC (see Fig 1). Fig 4b shows the non-event-specific result from  
428 averaging the middle 3 parameter values in Fig 4a. Note that JC, which has the largest  
429 impervious fraction (see Fig 1), has significantly smaller *rmannn* than CC and FC, and that  
430 little adjustment from the WRF-Hydro default was needed for the least impervious FC.

431 The event-specific results indicate that the calibration strategy is mostly successful in  
432 simulating hydrographs for the most important rising limbs. For a number of cases, however, the  
433 simulated hydrographs do not recede as quickly as the observed. A likely contributing factor is  
434 that WRF-Hydro does not model storm drains. While the impact of storm drains is not very

435 significant for large events (Rafieeinasab et al. 2015), in lower flow conditions, the impact is  
436 likely to be larger (Habibi and Seo 2018). Of the 15 cases (i.e., from 5 events for 3 basins),  
437 significant differences were observed for 10 cases between the event-specific and non-even-  
438 specific results. Comparison of the parameter values between the two indicates that significant  
439 differences exist most often in *refdk* followed by *rmannn* and *sfc\_rough*. For *bw*, *hlink* and  
440 *chsslp*, significant differences were observed only in a few cases. The large event-to-event  
441 variability of *refdk* is not surprising in that in event-specific calibration this parameter can  
442 effectively control dynamically-varying runoff ratio as explained above. Of the 15 non-event-  
443 specific cases, 6 and 3 cases show over- and under-simulation of runoff volume resulting in over-  
444 and under-simulation of peak flows and too early and late rises to peak flows, respectively. Fig  
445 5a shows the simulated peak flows from event-specific (black) and non-event-specific (red)  
446 calibration vs. the observed. Fig 5b shows the associated time-to-peak flow since the beginning  
447 of the rising limb vs. the observed. In Fig 5b, the absolute magnitude of the time-to-peak flow is  
448 of little importance because the beginning of the rising limb can be anywhere, and only the  
449 departure of the time-to-peak flow from the diagonal is of interest. In Fig 5, the JC Feb 2018  
450 event was excluded due to lack of observed peak flow. Shown for reference in Fig 5a and Fig 5b  
451 are the lines of 10, 20 and 30 percent errors in peak flow and of 1, 2 and 3 hr errors in time-to-  
452 peak flow, respectively. Harmel et al. (2006) report streamflow measurement errors of 42%,  
453 19%, 10%, 6% and 3% for small watersheds for the worst, typical maximum, typical average,  
454 typical minimum, and the best case scenarios, respectively. Di Baldassarre and Montanari (2009)  
455 report that the overall error affecting river discharge observations ranges from 6.2% to 42.8%, at  
456 the 95% confidence level, with an average value of 25.6%. The 10 to 30 percent error lines in  
457 Fig 5a hence provide a sense of the magnitude of the errors in simulated peak flow relative to

458 possible observational errors. Empirical unit hydrographs for JC, CC and FC show time-to-peak  
459 values of 0.75, 3 and 2.75 *hrs*, respectively (Rafieeiniasab et al. 2015). An error in time-to-peak  
460 flow on the order of the time-to-peak values hence indicates poor performance. Fig 5 indicates  
461 that most case-specific calibration results have less than 10% error in peak flow and less than an  
462 hour of time-to-peak flow error, but that, for about 5 cases, the non-event-specific results suffer  
463 from significantly larger errors. All 5 cases of excessively large peak flow or time-to-peak flow  
464 errors are associated with significant volume errors except for the FC May 2019 case for which a  
465 less than accurate simulation of the rising limb is responsible for the large time-to-peak flow  
466 error. The above results indicate that high-quality initialization is necessary for event-based  
467 urban flood prediction using WRF-Hydro. In Experiment 5, we assess how DA may help address  
468 the situation.

#### 469 **4.2 Experiment 2: Impact of temporal resolution of precipitation**

470 In this experiment, we assess how the temporal resolution of precipitation input may impact  
471 the quality of streamflow simulation by forcing the LSM with 1-min average of 10-min QPE vs.  
472 the native 1-min QPE. For 10 min QPE, we aggregate the 1-min CASA QPE to 10 min  
473 accumulations and run the LSM at 1 min timestep using the 1-min average over each 10 min  
474 period. For comparison, we also ran the LSM at 10 min timestep using 10-min QPE. In this  
475 experiment, we use the parameter values obtained from the event-specific calibration to reduce  
476 hydrologic uncertainty. The common spatial resolution used is 250 m for both the LSM and  
477 routing models. Examination of the results for all cases indicates that the differences in simulated  
478 hydrographs due to 1 min vs. 10 min QPE are very small except for the May 2019 event which  
479 we elaborate below. Fig 6 shows the simulated vs. observed hydrographs at the outlet of JC for

480 the May 2019 event. The simulation of the second rise for this double-peaked event is cut short  
481 due to missing CASA QPE. To identify possible causes for the disparate response in simulated  
482 streamflow, we examined the MAP time series for all cases. It is observed that the MAP values  
483 for the second peak of the May 2019 event are significantly smaller than those for all other  
484 events. Because runoff generation may be considered as thresholding rainfall such that little  
485 runoff occurs for rain rate below some threshold and almost all excess rainfall runs off for rain  
486 rate above the threshold (see Subsection 2.4, Norouzi et al. 2019), one may look for a threshold  
487 rain rate above and below which the runoff response is very different. Examination of the MAP  
488 hyetographs and the associated hydrographs for the May 2019 event points to a threshold of  
489 about 0.5 mm. For this event, the maximum 1 min MAP associated with the second peak was  
490 well above 0.5 mm for all three basins. The maximum 1 min-average of 10 min MAP, on the  
491 other hand, was well below 0.5 mm for JC and CC, and stayed above 0.5 mm only for a single 10  
492 min period for FC. The above findings indicate that the SWB used for rainfall-runoff modelling  
493 in WRF-Hydro is sensitive to the temporal resolution of precipitation for moderate precipitation  
494 amounts due to the increased nonlinearity in runoff generation (see Eqs. (1), (2) and (11)).

### 495 **4.3 Experiment 3: Impact of spatial resolutions of rainfall-runoff modelling and routing**

496 In this experiment, we compare the quality of the outlet simulations for peak flow and time-  
497 to-peak flow among the resolutions of 250 m, 125 m and 50 m for routing with a common LSM  
498 resolution of 125 m, and between the resolutions of 250 m and 125 m for LSM with a common  
499 routing model resolution of 125 m. The 250 m LSM and 250 m routing model simulations,  
500 referred to herein as the 250m-250m results, are based on event-specific calibration using SLS  
501 with LHS. One may hence consider the above calibration as based on quasi-global optimization.

502 The 250 m LSM and 125 m routing simulations, referred to herein as the 250m-125m results, are  
503 based on event-specific calibration using only SLS in which the local search is started with the  
504 250m-250m results. One may hence consider the above calibration as local optimization of a  
505 priori parameter values from a coarser resolution. As mentioned in Section 3, it was not possible  
506 to calibrate at the 250 m LSM and 50 m routing resolution due to excessive computational  
507 requirements (see Table 3). The 250 m LSM and 50 m routing simulations, referred to herein as  
508 the 250m-50m results, are based on the parameter values borrowed from the 250m-125m results.  
509 One may hence consider the above results as based solely on a priori parameter values  
510 transferred from a coarser resolution. Because the level of calibration is different from one  
511 resolution to another, it is not very meaningful to compare the non-event-specific results. For this  
512 reason, we focus below on the event-specific results only.

513 Fig 7a and 7b show the simulated peak flow vs. the observed, and the simulated time-to-  
514 peak flow vs. the observed, respectively. As in Fig 5, we overlay the 10, 20 and 30 percent error  
515 lines in Fig 7a and of 1, 2 and 3 hours of timing error lines in Fig 7b to help assess the magnitude  
516 of the errors. Fig 7 indicates that the 250m-250m and 250m-125m results, both of which are  
517 calibrated scale-specifically, are very similar, and that for a number of events the 250m-50m  
518 results are not as good as the above two. The above observations are perhaps not very surprising  
519 in that one may expect scale-specific calibration to perform better than using parameter values  
520 borrowed from a lower resolution. The magnitude of the errors in the 250m-50m results,  
521 however, is surprisingly large for a number of events. To trace the potential sources of the error,  
522 we examined the spatially-distributed channel routing parameters, including the channel grid,  
523 flow accumulation, flow direction and stream order at all resolutions. It is seen that, whereas the  
524 differences between 250 and 125 m are relatively small, there are large differences between 50 m

525 and the coarser resolutions. To illustrate, Figs 8a and 8b show the histograms of the stream order  
526 in the model domain at resolutions of 125 m and 50 m, respectively. The histogram at 250 m is  
527 similar to that at 125 m. In the figure, the frequency for the stream order of zero represents the  
528 number of grid boxes that do not contain any channel segments. As one may expect, at 50 m  
529 resolution, the channel network is much denser and has more higher-order streams. WRF-Hydro  
530 prescribes the channel routing parameters according to the stream order. As such, changes in the  
531 channel density or stream order are very likely to change the conveyance characteristics of the  
532 channel network. The above findings suggest that a combination of resolution-specific  
533 prescription of the channel routing parameters and their calibration is likely to be necessary to  
534 benefit from very high-resolution modeling using WRF-Hydro. We also compared the 250m-  
535 125m results with the 125m-125m to assess the impact of increasing the LSM resolution. As  
536 with the 250m-125m results, the 125m-125m results are based on scale-specific local  
537 optimization using SLS in which the parameter values from the 250m-125m results are used as  
538 the starting point. The comparison indicates that the 125m-125m results improve the peak flow  
539 prediction over the 250m-125m for the study basins but only marginally.

#### 540 **4.4 Experiment 4: Impact of quality of ICs**

541 In this experiment, we assess how the quality of the ICs of the rainfall-runoff model may  
542 impact the accuracy of streamflow prediction. A potential source of the ICs in real-time event-  
543 based operation of WRF-Hydro is the warm states of the NWM. A direct use in this experiment  
544 of the NWM warm states, however, is not likely to allow clear attribution at least for two reasons.  
545 The first is that the USGS 24-category land cover (see Fig 1c) and the MRMS QPE (Zhang et al.  
546 2011, 2016) used in NWM are of coarser resolution than those used in this work. The second is



547 that the model parameter values used in the NWM (Gochis et al. 2019) are not the same as those  
548 used in WRF-Hydro in this work. As such, the ICs from the NWM analysis are not likely to  
549 transfer cleanly to WRF-Hydro as implemented in this work as evidenced in Experiments 1  
550 through 3 above. As a compromise, we emulate the NWM analysis by running WRF-Hydro  
551 using the USGS 24-category land cover and NLDAS precipitation (Cosgrove et al. 2003) in  
552 place of the NLCD land cover and CASA QPE, respectively. The NLDAS precipitation has a  
553 much lower resolution than the 1 km 1 hr MRMS QPE used by the NWM. It is hence possible  
554 that the results from this experiment may somewhat inflate the positive impact of higher  
555 resolution precipitation. The above experiment design nonetheless completely removes all  
556 model-parametric uncertainties and hence makes possible unambiguous attribution.

557 In this experiment, we start running WRF-Hydro at least several hours before the prediction  
558 time using the NLDAS precipitation and USGS 24-category land cover where the lower  
559 resolution NLDAS precipitation is disaggregated uniformly in space and time to a resolution of  
560 250 m and 1 min. The prediction time is chosen where the observed hydrograph begins to rise.  
561 This is also when streamflow response is most sensitive to the ICs. At the prediction time, we  
562 switch to the CASA QPE and NLCD land cover for simulation over the forecast horizon. For the  
563 above comparison run, we assume average soil moisture conditions for the LSM and pre-storm  
564 conditions for the hillslopes and channel routing models as obtained from event-specific  
565 calibration (see Subsection 4.1). In the baseline run, we run the model at 250 m 1 min resolution  
566 using the CASA QPE and NLCD land cover for the entire simulation period. Any differences in  
567 the two simulated hydrographs over the forecast horizon are hence due solely to the ICs valid at  
568 the prediction time. Fig 9a shows the simulated vs. observed peak flow for the NLCD (black)  
569 and USGS 24-category (red) land cover. All other conditions are the same as in the baseline

570 250m-250m simulation. The positive impact of higher-resolution land cover is readily seen. Note  
571 that the differences are the smallest for JC which is identified mostly as urban by the USGS 24-  
572 category land cover in agreement with the NLCD (see Fig 1c,d). Fig 9b shows the simulated vs.  
573 observed peak flow for the CASA (black) and NLDAS (red) QPE-forced ICs. All other  
574 conditions are the same as in the baseline 250m-250m simulation. Note the very significant  
575 positive impact of higher-resolution QPE, particularly for CC and FC for Feb 2018 and Sep 2018,  
576 the two largest events among the five (see Fig 2 and Table 1). Examination of timing errors  
577 associated with Figs 9a and 9b shows similarly positive impact of higher-resolution QPE and, to  
578 a lesser extent, land cover.

#### 579 **4.5 Experiment 5: Impact of updating ICs via DA**

580 In this Experiment, we assess how DA may potentially be used to initialize WRF-Hydro for  
581 event-based prediction. In the real world, it is generally not possible to schedule pre-storm  
582 warmup runs as described in the 4<sup>th</sup> Experiment. Instead, it is necessary to be able to initialize the  
583 model on demand often without the aid of any a priori information. The fixed-lag smoother,  
584 solved using EnKF in this work, is aimed at supporting such an operation. For high-resolution  
585 runs, EnKF is computationally expensive. In this work, all ensemble runs were made at the  
586 coarsest spatial resolution of 250 m for both the LSM and routing models. Limited sensitivity  
587 analysis suggests that a small ensemble size of 12 is generally acceptable for ensemble mean  
588 prediction owing to the very low dimensionality of the DA formulation. We then use the non-  
589 event-specific calibration results to emulate realistic model-parametric uncertainty and predict  
590 streamflow with and without DA. Due to the small sample size, quantitative verification was not  
591 possible. Instead, we critically examine the DA-aided predictions for those 5 cases for which the

592 non-event-specific calibration results compare least favorably with the event-specific in  
593 Experiment 1 (see Fig 3). By far the largest potential value of DA in urban flood prediction is  
594 improving peak flow and time-to-peak flow predictions when the streams first respond to rainfall.  
595 Accordingly, we focus specifically on DA-aided predictions when the hydrograph begins to rise.  
596 This is also the time when the degrees of freedom for signal for DA (Rodgers 2000) is greatly  
597 reduced due to the generally reduced predictive skill of rainfall-runoff and routing models, and  
598 hence streamflow observations carry larger information content relative to the model prediction  
599 (Zupanski et al. 2007, 2009).

600 Fig 10 shows the streamflow predictions without DA (red), DA-aided ensemble predictions  
601 (cyan), the associated ensemble mean predictions (blue), ensemble streamflow analysis from DA  
602 (green) and the verifying observed hydrographs (empty blue circles) for 4 of the 5 cases for  
603 which non-event-specific calibration produced very poor simulations in Experiment 1. The case  
604 not shown in Fig 10 due to space limitations is JC Apr 2019 which is by far the smallest event of  
605 the 5 and is hence of lesser interest. In the figure, the vertical gray line indicates the prediction  
606 time which also marks the end of the assimilation window. The horizontal extent of the ensemble  
607 analysis (green) shows the size of the assimilation window. All streamflow and precipitation  
608 observations valid within the assimilation window are assimilated in these runs to update the soil  
609 moisture states valid at the prediction time. All DA results are based on single assimilation  
610 cycles to emulate on-demand operation without the potential benefit of any previous DA cycles.  
611 The results indicate that DA improves prediction for all 5 cases over the DA-unaided base  
612 predictions. For the FC Jan 2017 and CC Feb 2018 events, for which non-event-specific  
613 calibration very significantly over- and under-predict, respectively, DA greatly improves  
614 prediction. As noted in Section 3, the primary source of error in peak flow or time-to-peak flow

615 is the error in runoff volume. The results indicate that DA is largely able to reduce runoff volume  
616 errors by providing WRF-Hydro with high quality ICs. Fig 10 shows, however, that the  
617 ensembles are significantly underspread in the recession limb due to lack of accounting of  
618 structural and parametric uncertainties, and that WRF-Hydro is not able to reproduce the bimodal  
619 or attenuated peaks, or the fast-receding falling limbs in FC Jan 2017 (Fig 10a) and FC Feb 2018  
620 (Fig 10c). The above results indicate that, overall, the fixed-lag smoother is very effective in  
621 reducing runoff volume errors and hence errors in peak flow and time-to-peak flow.

## 622 **5 Conclusions and future research recommendations**

623 We assess the impact of increasing the resolution of hydrologic modeling, calibration of  
624 selected model parameters and assimilation of streamflow observations toward event-based high-  
625 resolution urban flood modeling and prediction using WRF-Hydro in the Dallas-Fort Worth area  
626 (DFW). We use quantitative precipitation estimates (QPE) at 500-m 1-min resolution from the  
627 Collaborative Adaptive Sensing of the Atmosphere (CASA) operation for observed rainfall, the  
628 Stepwise Line Search for calibration, and ensemble Kalman filter (EnKF) implementation of  
629 fixed-lag smoothing for data assimilation (DA). The model domain is a 144.6 km<sup>2</sup> area  
630 comprising 3 urban catchments in the Cities of Arlington and Grand Prairie in the middle of  
631 DFW. The main findings, conclusions and recommendations follow below.

632 Event-specific calibration of the 6 WRF-Hydro parameters identified in this work is largely  
633 successful in simulating hydrographs in the study area, in particular, the most important rising  
634 limbs. It is less successful, however, for attenuated peaks or fast-receding falling limbs. A novel  
635 element in the above calibration is the inclusion of a conditional bias penalty in the objective  
636 function to improve simulation specifically of highly peaked hydrograph. A spatial resolution of

637 at least 250 m is necessary for the land surface model (LSM) to delineate small catchments and  
638 hence to capture catchment-wide rainfall with acceptable accuracy. Increasing the resolution of  
639 the LSM from 250 m to 125 m showed marginal improvement. The same resolution increase for  
640 the routing models showed little improvement. Increasing the routing resolution further to 50 m  
641 using parameter values borrowed from 125 m, on the other hand, increased errors for a number  
642 of cases due to large changes in channel grid and stream order. The above findings suggest that,  
643 to benefit from very high-resolution modeling using WRF-Hydro, a combination of resolution-  
644 specific prescription and calibration of the channel routing parameters is likely to be necessary.  
645 The high-resolution CASA QPE and the National Land Cover Database (NLCD) land cover  
646 showed very significant and significant positive impact on streamflow simulation compared to  
647 the lower-resolution North American Land Data Assimilation System (NLDAS) QPE and USGS  
648 24-category land cover, respectively. The above points out the importance of resolution-  
649 consistent high-quality initialization of WRF-Hydro for event-based operation. The EnKF  
650 implementation of fixed-lag smoother significantly reduced peak flow errors under realistic  
651 parametric uncertainty for predictions made when streams first respond to rainfall. The DA-aided  
652 ensemble predictions are, however, significantly underspread in the recession limb due to lack of  
653 accounting of structural and parametric uncertainties. The overall results suggest that, in the  
654 absence of resolution-specific prescription and calibration of channel routing parameters, a  
655 resolution of 250 m for both the LSM and routing models is a good choice in terms of  
656 performance and computational requirements. Recall that the National Water Model currently  
657 runs routing at 250 m over the continental US. The results also suggest that, in the absence of  
658 high-quality calibration and continuous simulation of streamflow, DA is necessary to initialize  
659 WRF-Hydro for event-based operation for high-resolution urban flood prediction.

660

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668

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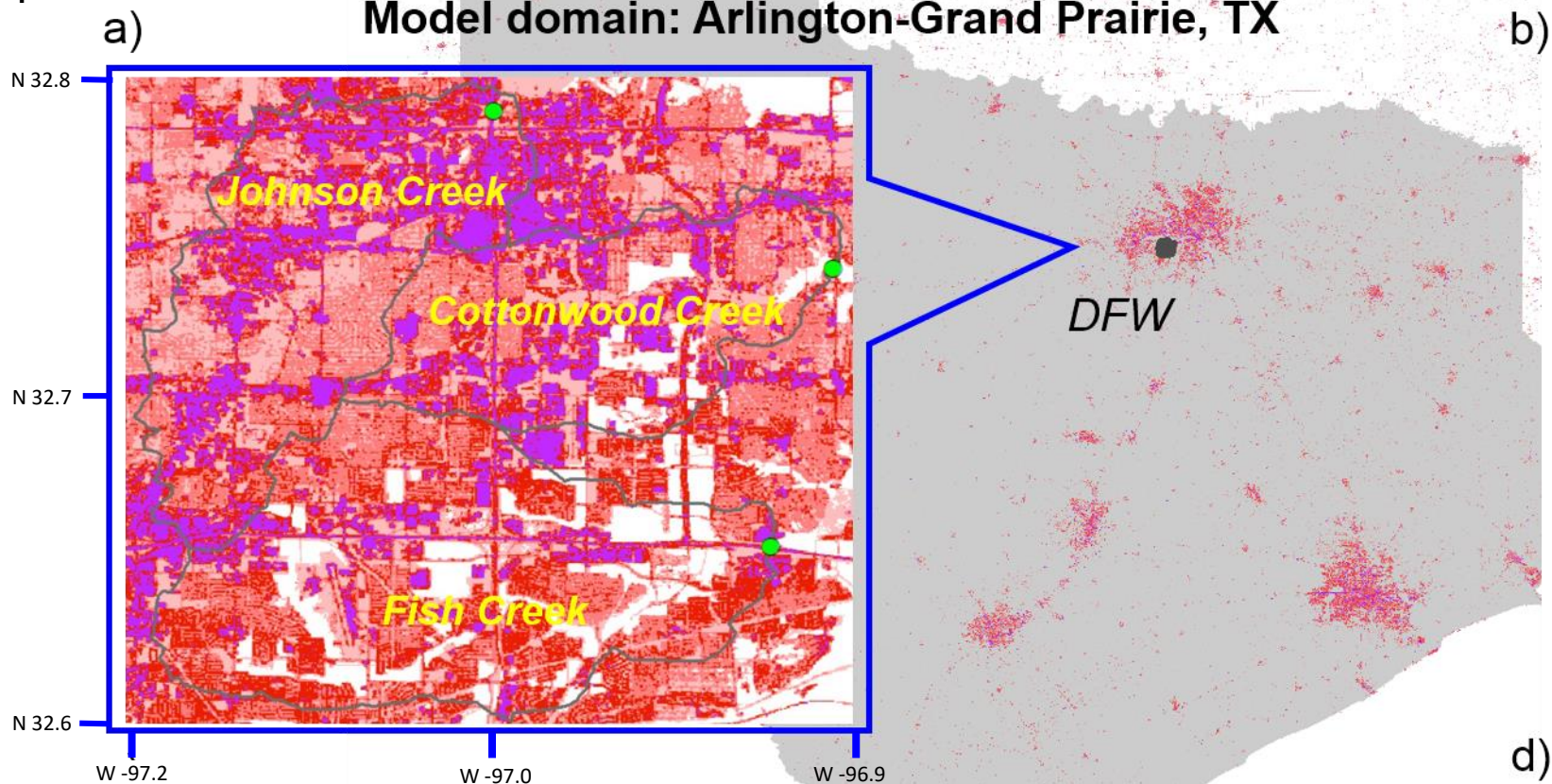
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## List of figure captions

- Fig 1: a) The 3-basin study area with commercial impervious (purple) and high-density developed (red) areas in the background. b) State-wide view of the study area. c) USGS 24-category and d) NLCD land cover in the study area.
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- Fig 3: Simulation results from event-specific (black) and non-event-specific (red) calibration vs. the observed (blue empty circles) for the a) JC Jan 2017, b) CC Jan 2017, c) FC Feb 2018 and d) JC Sep 2018 cases.
- Fig 4: a) Multiplicative factors to Manning's  $n$  for channel routing obtained from event-specific calibration. b) Non-event-specific estimates of Manning's  $n$  for channel routing obtained from averaging for each catchment the middle 3 of the 5 values in a).
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Fig 1

Model domain: Arlington-Grand Prairie, TX



- c)
- 1: Urban and built-up land
  - 2: Dryland, crop, and pasture
  - 3: Irrigated crop and pasture
  - 7: Grassland
  - 8: Shrubland
  - 11: Deciduous forest
  - 13: Evergreen forest
  - 15: Mixed forest
  - 16: Water
  - 17: Herbaceous wetland
  - 18: Wooded wetland
  - 19: Barren/sparsely vegetated

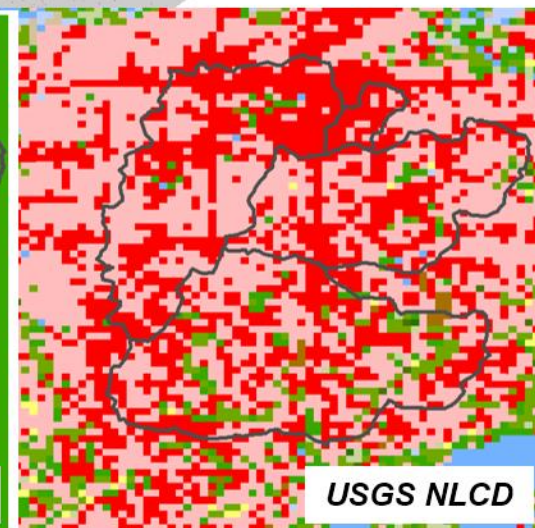
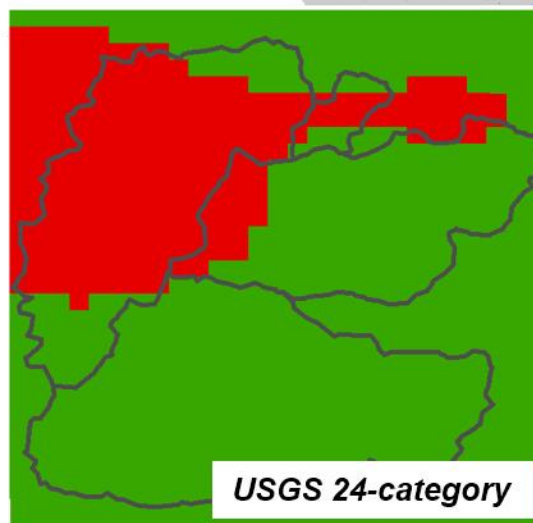


Fig 2

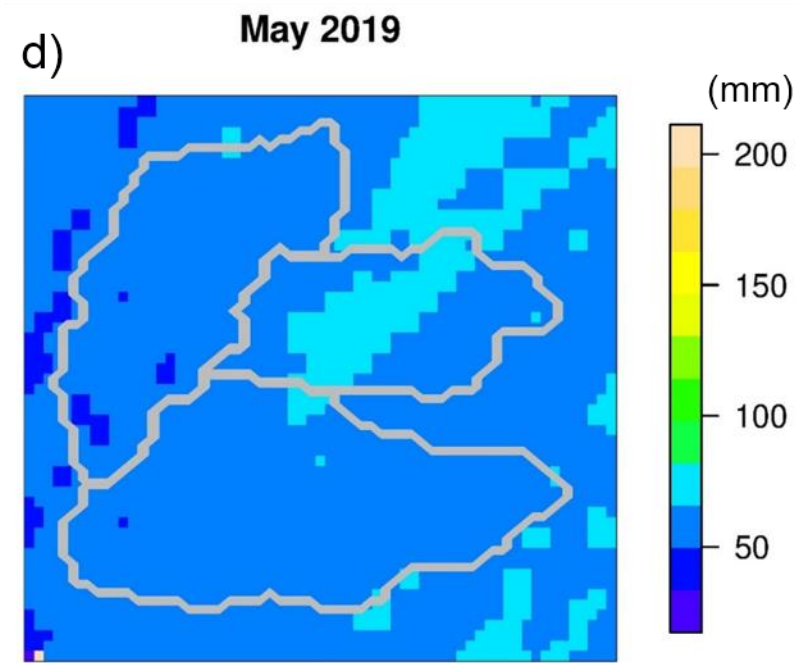
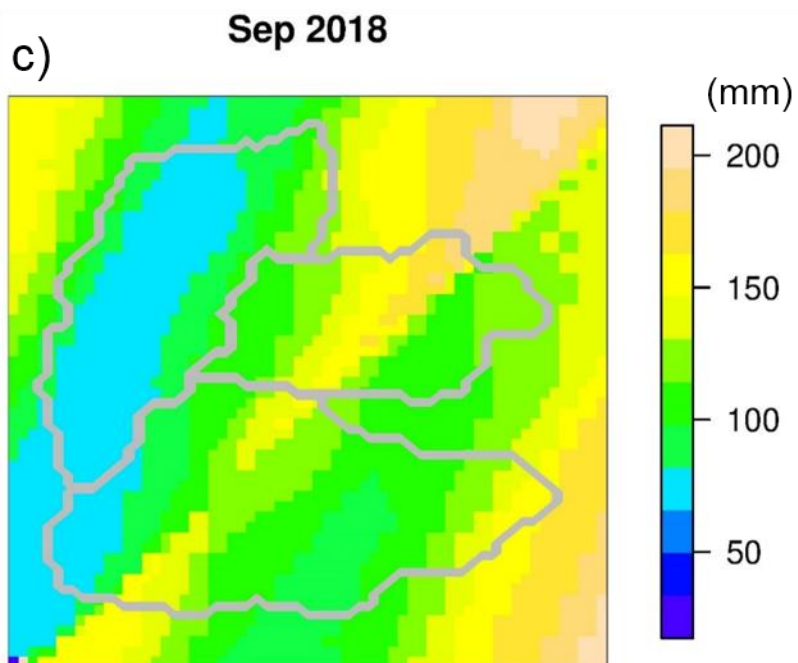
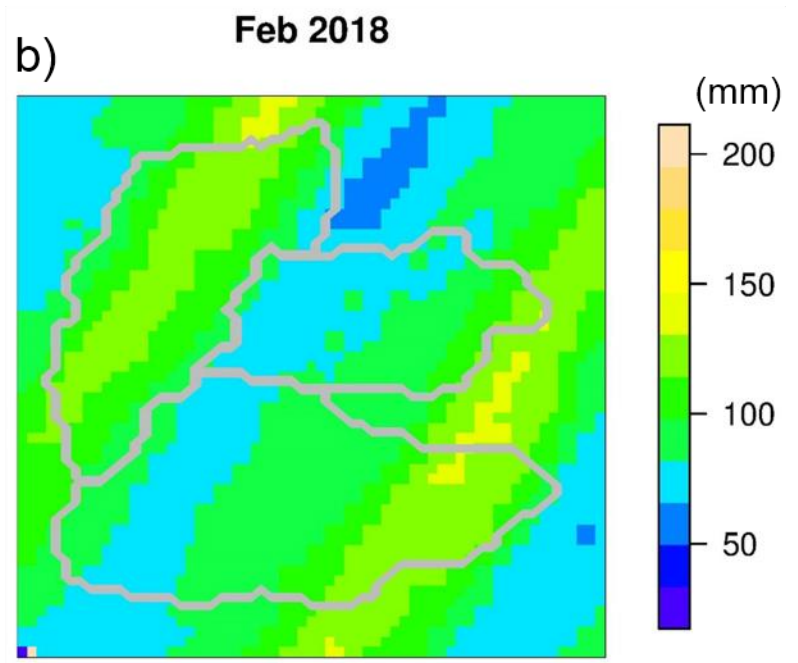
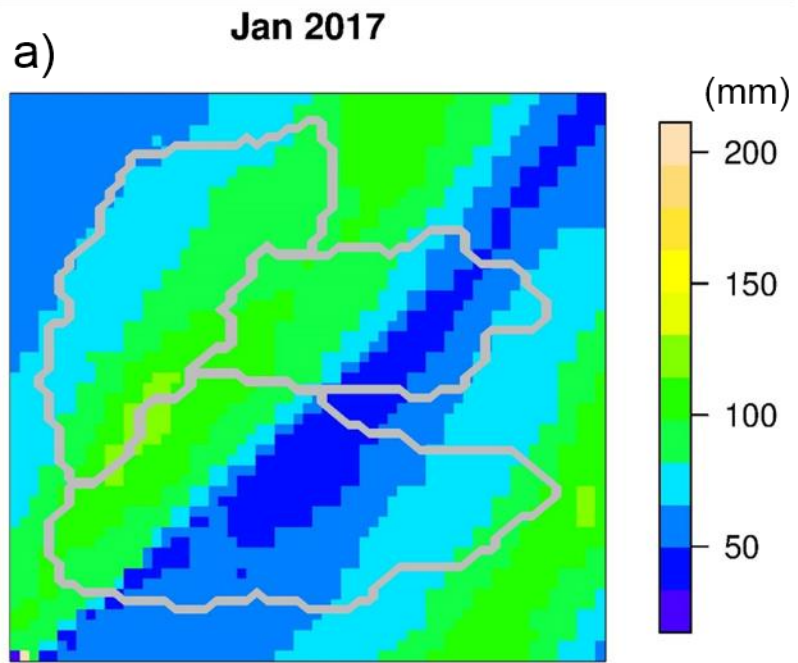


Fig 3

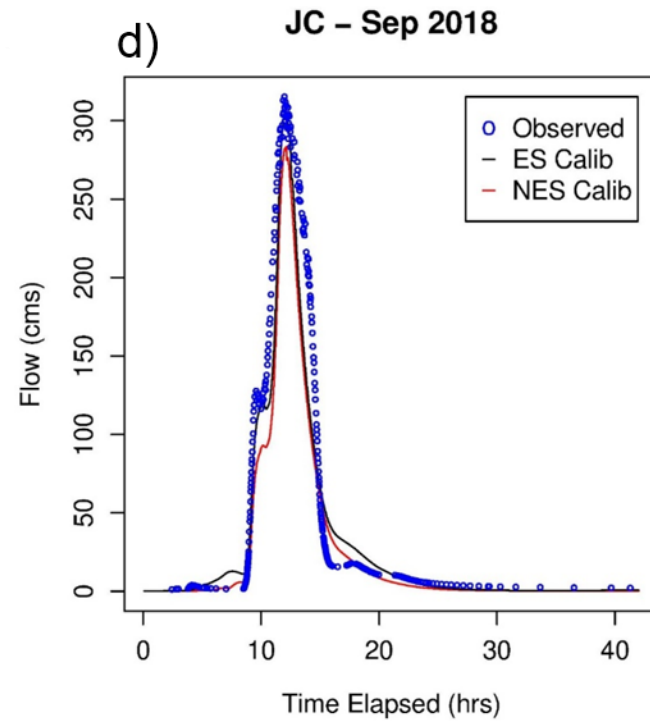
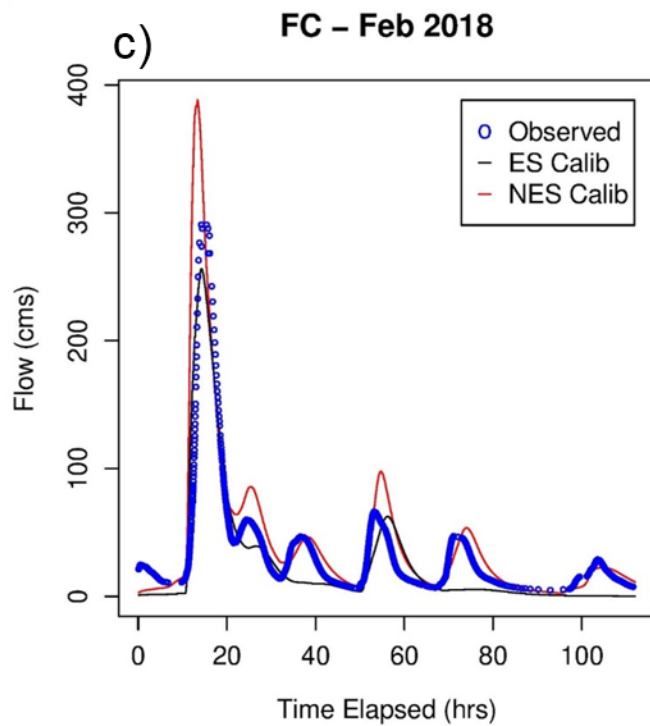
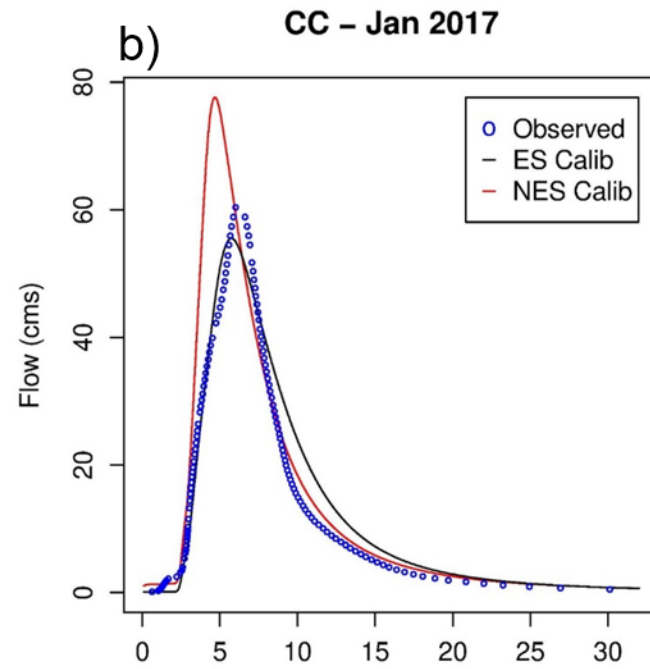
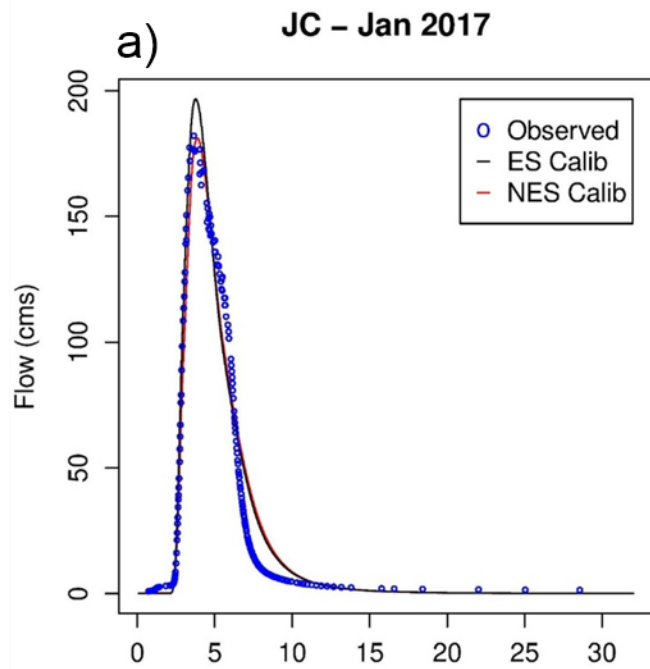


Fig 4

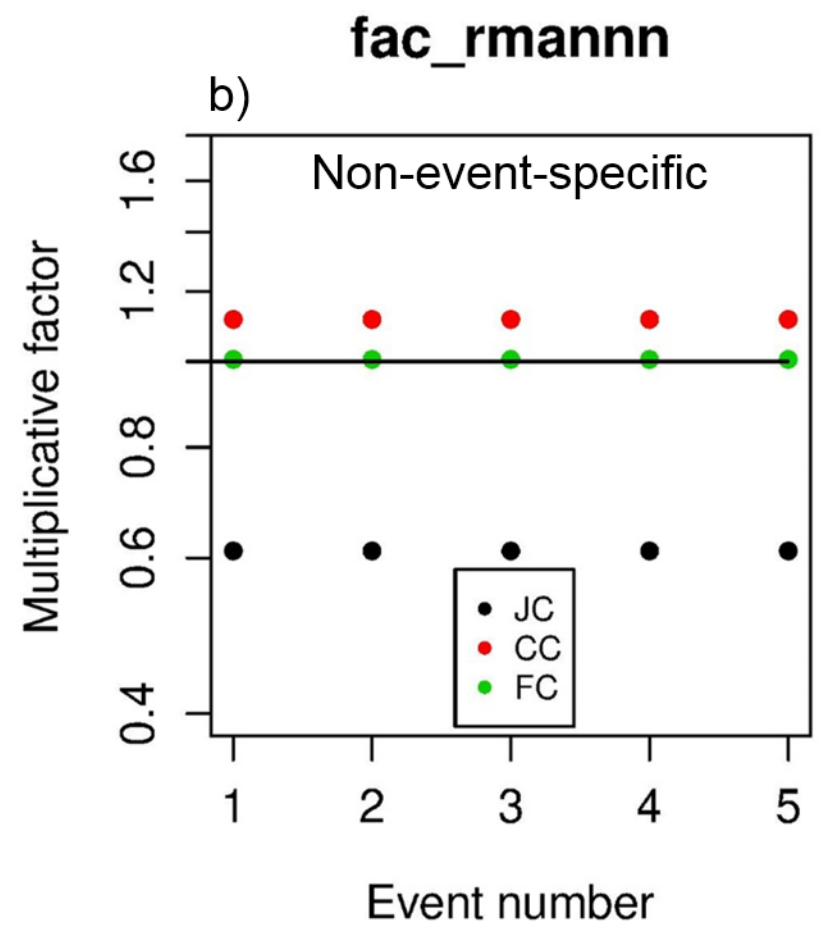
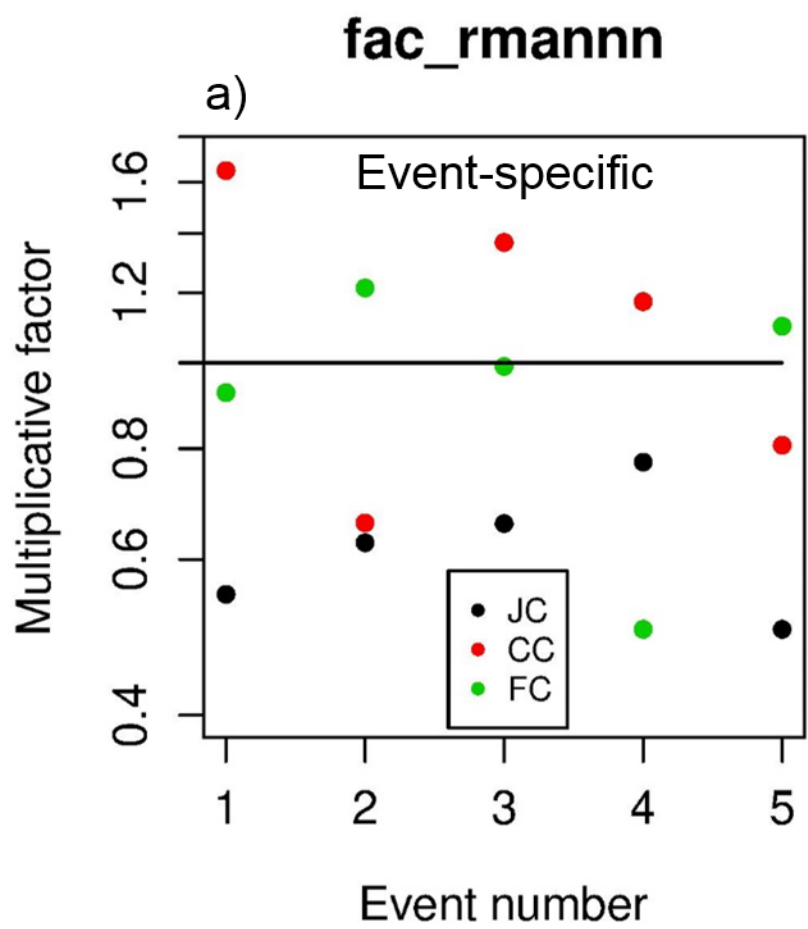


Fig 5

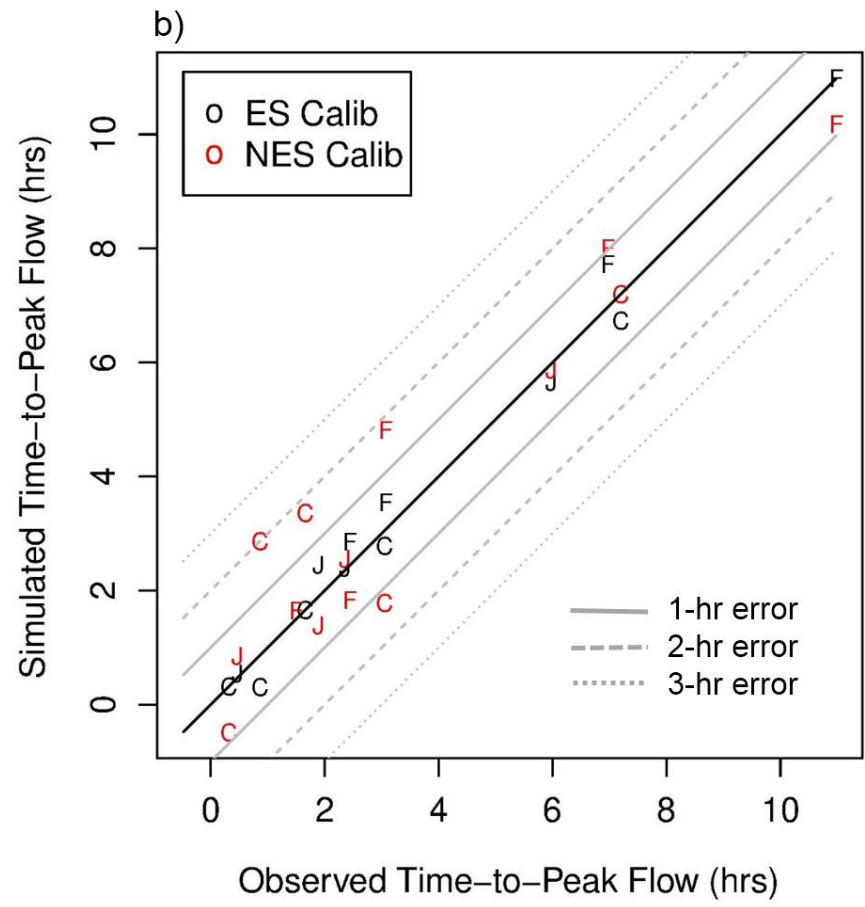
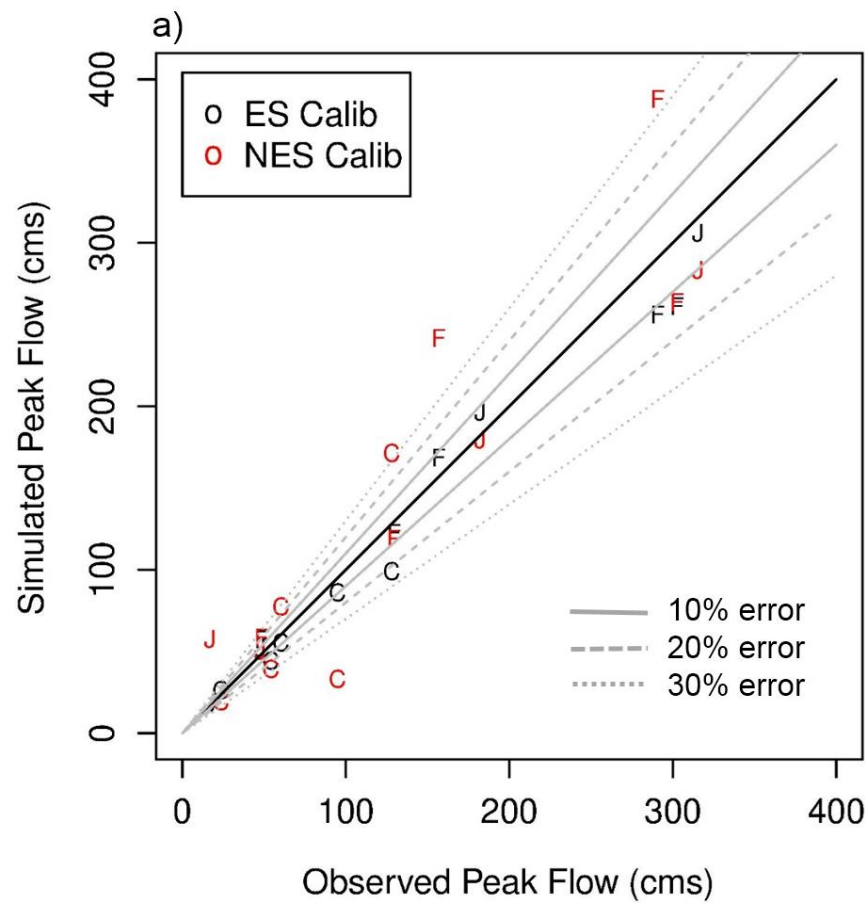


Fig 6

### JC – May 2019

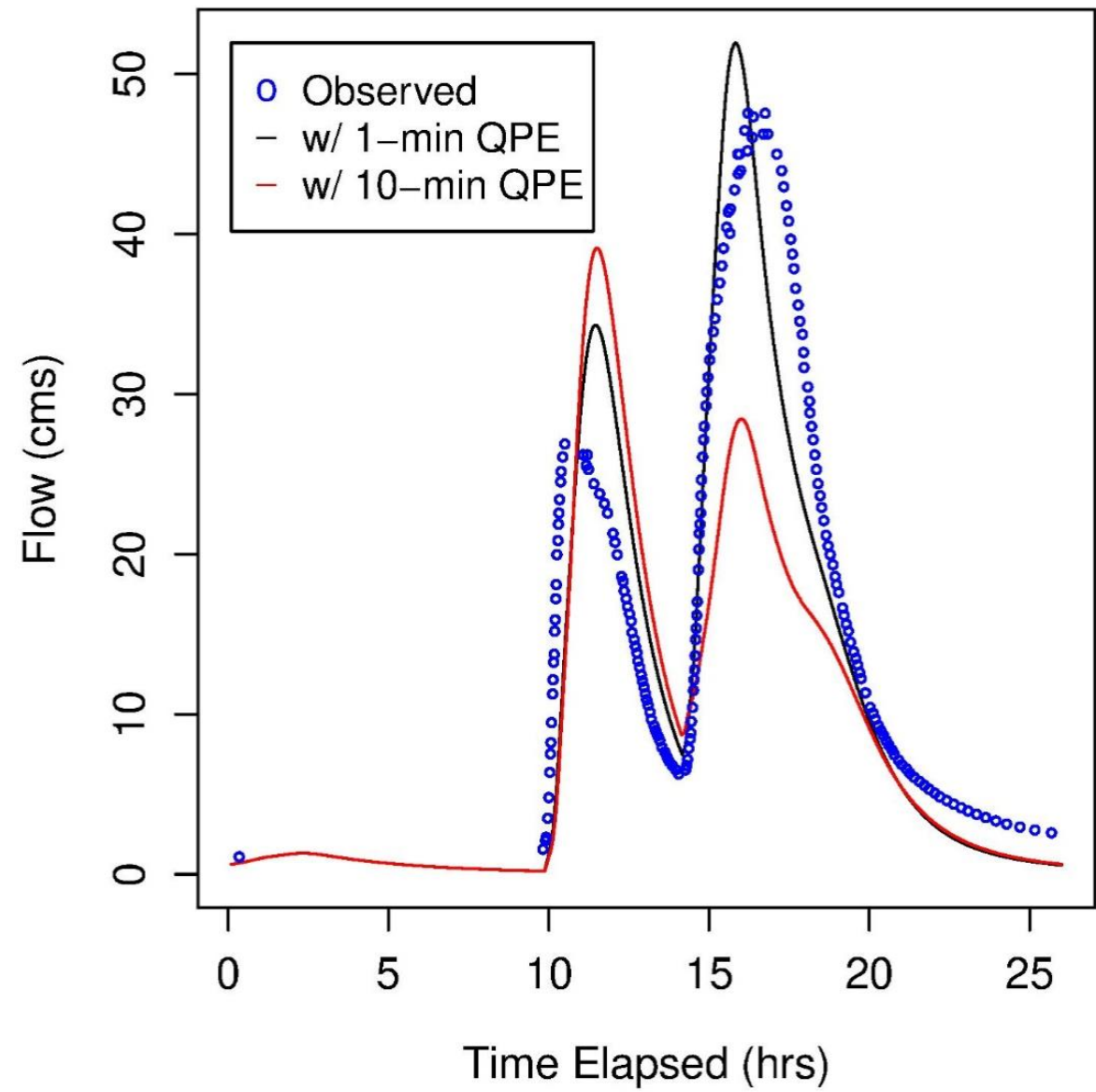




Fig 7

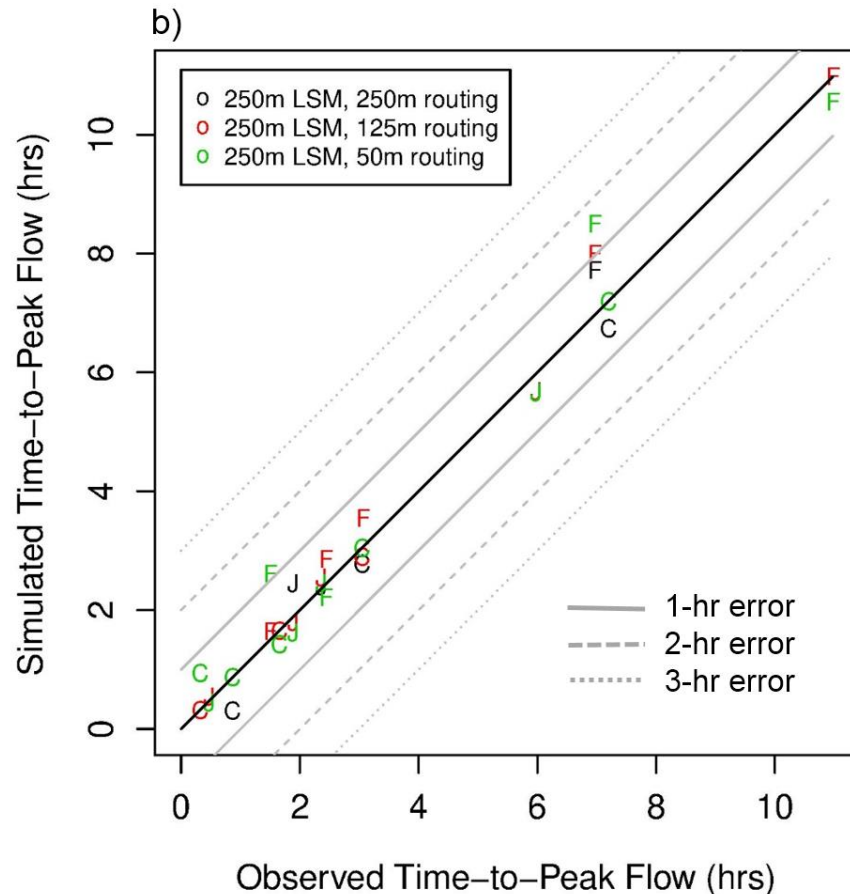
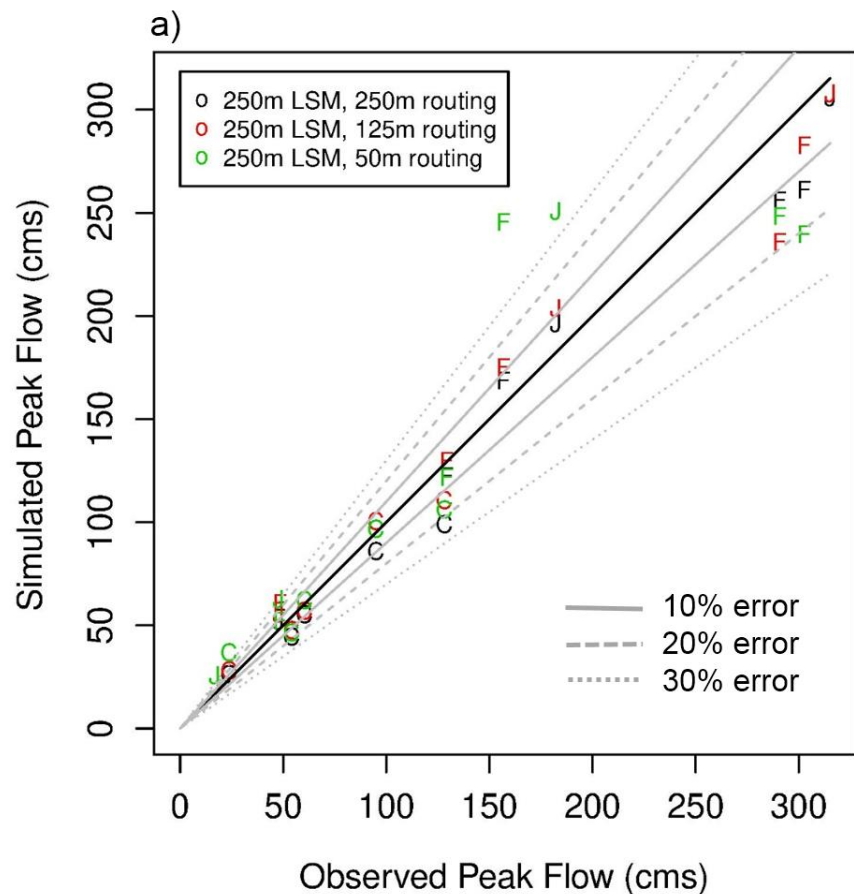


Fig 8

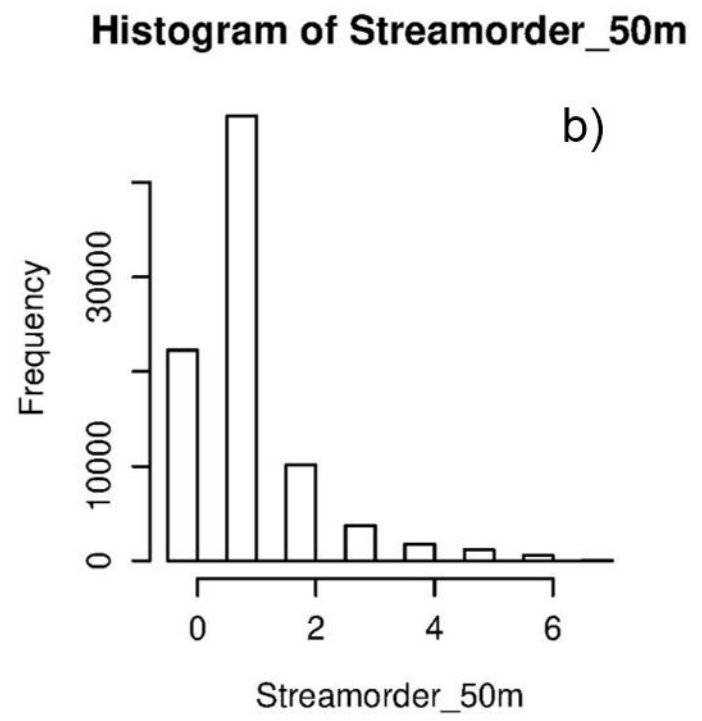
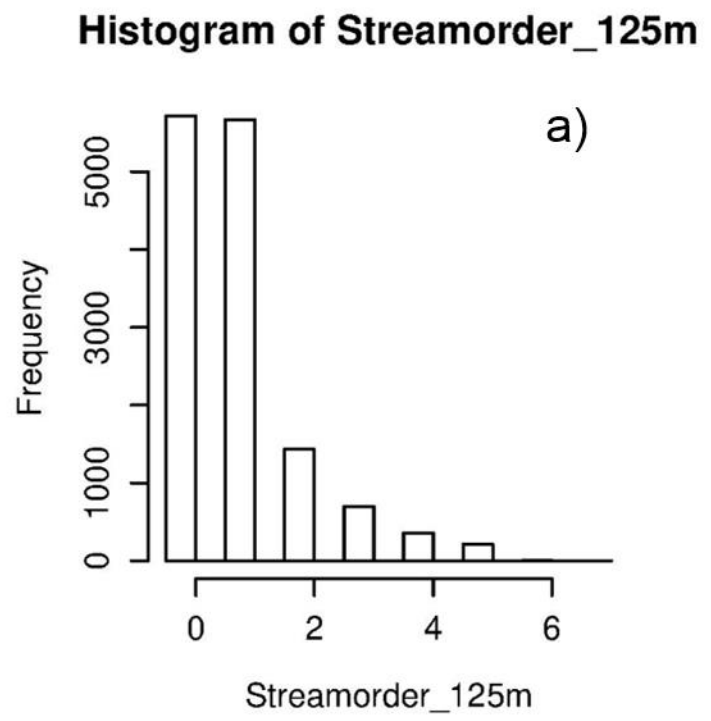


Fig 9

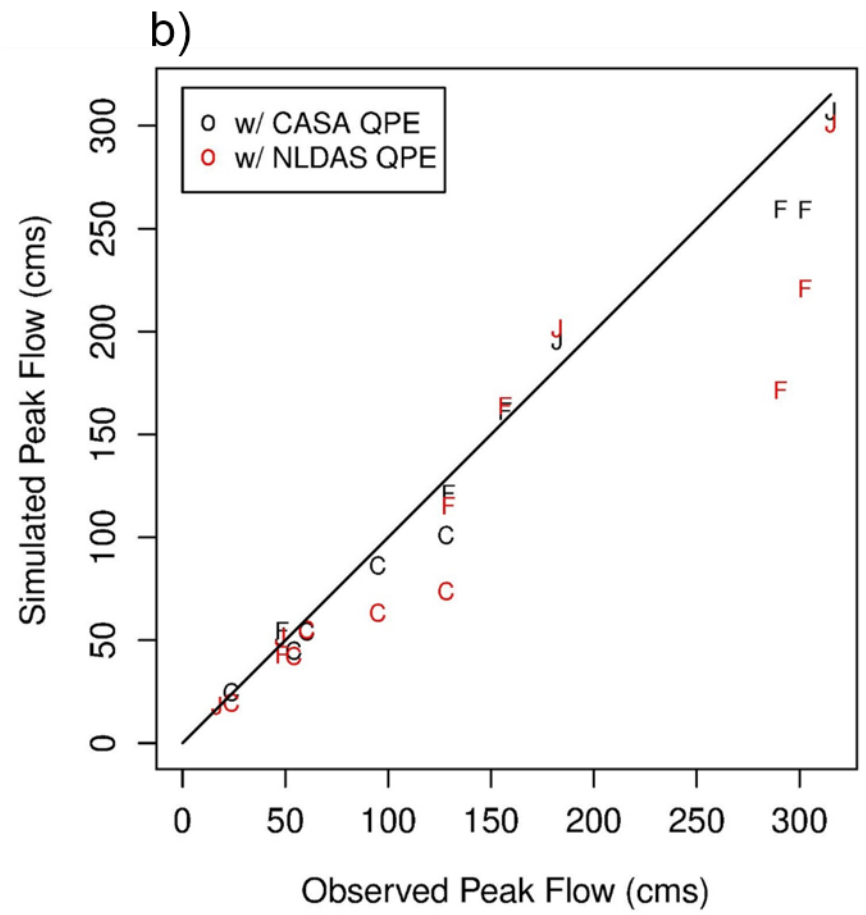
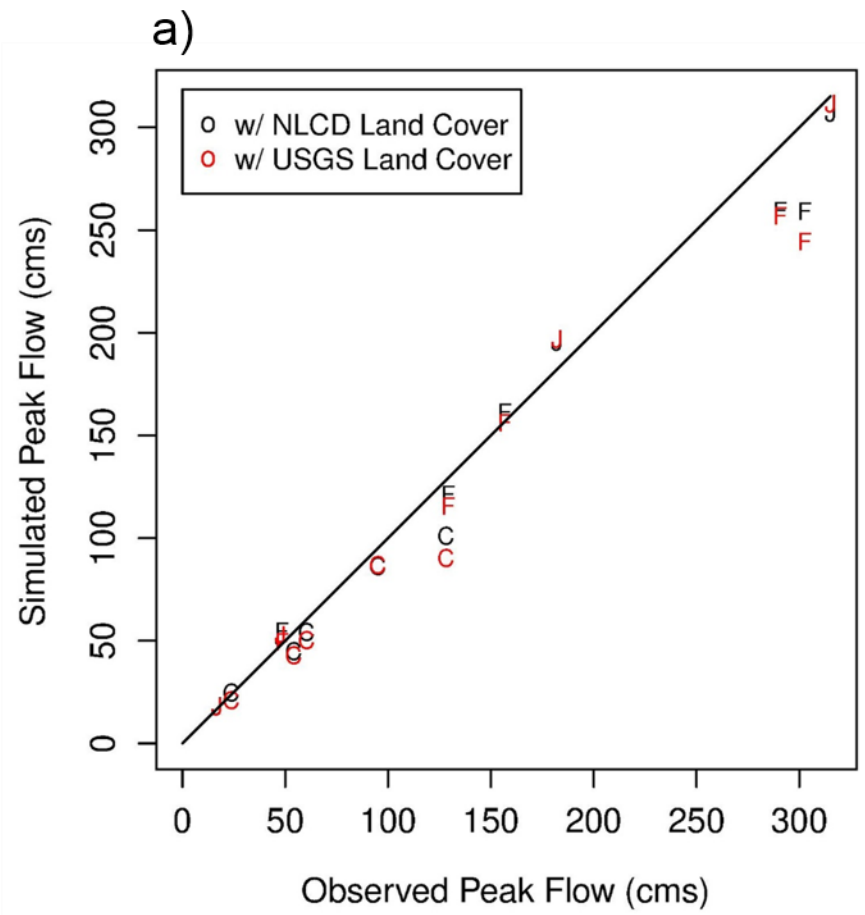


Fig 10

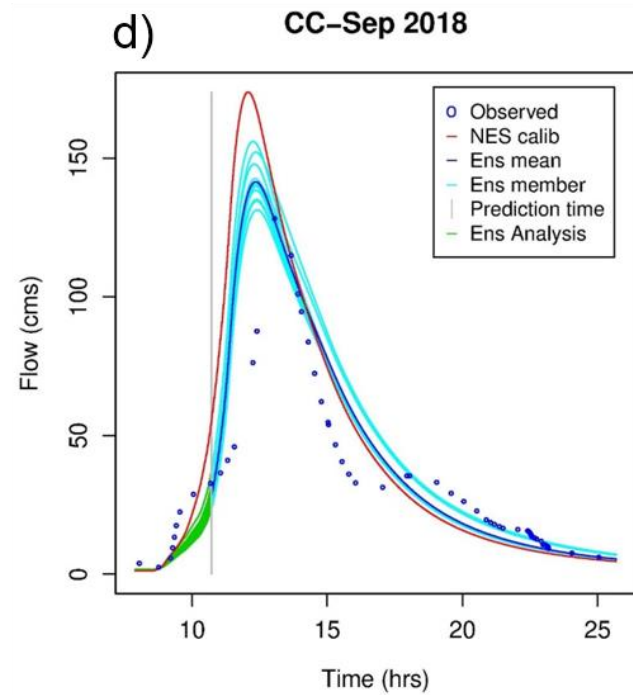
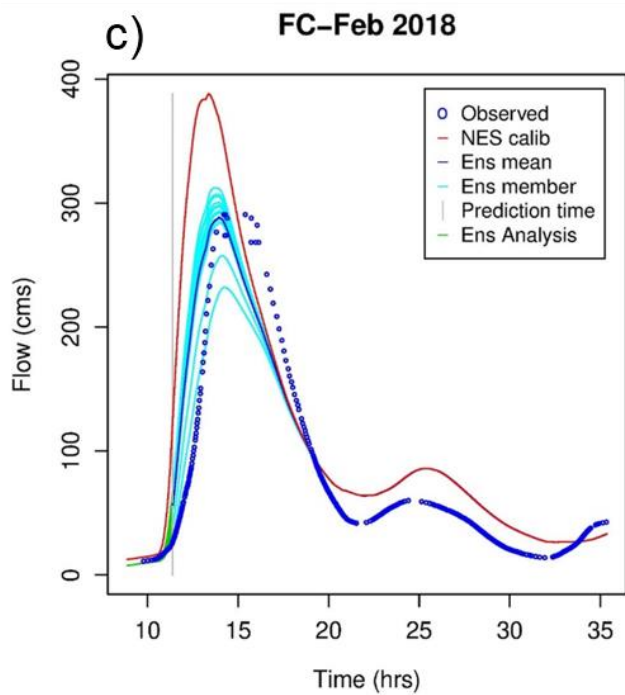
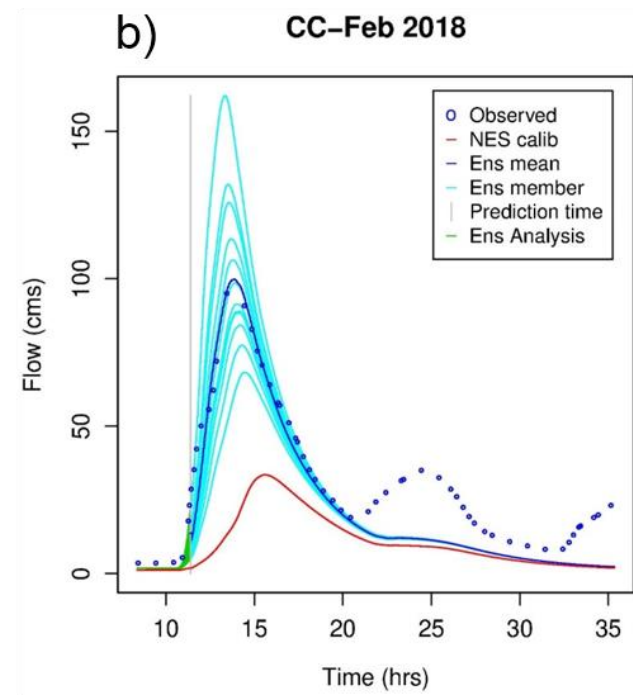
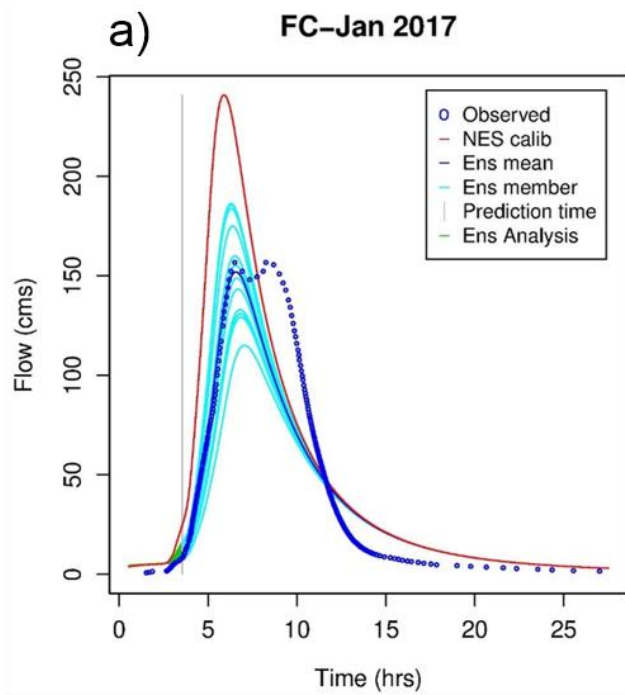


Table 1. List of rainfall events used.

Event	Event total mean areal rainfall (mm)			Period of record	Duration
	JC <sup>1</sup>	CC <sup>2</sup>	FC <sup>3</sup>		
Jan 2017	75.8	90.8	71.6	00:00Z 01/16/2017 - 23:59Z 01/17/2017	48 hrs
Feb 2018	95.2	93.7	100.5	00:00Z 02/20/2018 - 07:59Z 02/21/2018	32 hrs
Sep 2018	97.6	103.1	131.9	12:00Z 09/21/2018 - 19:59Z 09/22/2018	32 hrs
Apr 2019	31.5	33.5	27.1	00:00Z 04/17/2019 – 11:28Z 04/18/2018	35 hrs
May 2019	56.5	60.1	62.5	00:00Z 05/08/2019 – 03:43Z 05/09/2019	28 hrs

<sup>1</sup>Johnson Creek Catchment

<sup>2</sup>Cottonwood Creek Catchment

<sup>3</sup>Fish Creek Catchment

Table 2. Combinations of spatio-temporal resolutions used.

	QPE	Rainfall-runoff	Terrain and channel routing
Spatial	125, 250, 500 m (all at 1 min resolution)	125, 250 m	50, 125, 250 m
Temporal	1, 10 min (both at 250 m resolution)	1 min timestep	15 sec timestep

Table 3. Wall clock times (in sec) for a 32-hr WRF-Hydro simulation<sup>1</sup>

Resolution (m)		Number of threads			
LSM	Routing models	4	8	16	32
250	250	32	18	13	11
250	125	63	37	26	22
250	50	1043	637	386	264
125	125	150	79	48	43

<sup>1</sup> On Intel(R) Xeon(R) Gold 6152 CPU @ 2.10GHz 44 CPU core (2 threads/core) Linux computer