1	Constraints on isomers of dissolved organic matter in aquatic environments:
2	Insights from ion mobility mass spectrometry
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13 Abstract

14 Elucidating the chemical structure of dissolved organic matter (DOM) is key to 15 understanding this large yet enigmatic carbon pool. Over the last two decades much progress has 16 been made in assigning exceptionally accurate molecular formulas owing to the application of 17 ultrahigh resolution mass spectrometry, but little is known about the number of isomers in each 18 molecular formula, a question essentially related to the total number of organic molecules in 19 DOM. Such information is critical for a further understanding of the formation and long-term 20 stability of refractory DOM in the ocean. In this work, we used ion mobility quadrupole time of 21 flight liquid chromatography tandem mass spectrometry (IM Q-TOF LC/MS/MS) to analyze 22 DOM samples collected in different aquatic environments including south Texas rivers, Gulf of 23 Mexico, and South China Sea. Our data showed that generally less than 23% of all detected 24 DOM formulas, which shared a small fraction of common molecules (ca. 12%) detected by 25 direct-infusion Fourier transform ion cyclotron resonance MS, contained structurally distinct 26 isomers (represented by "isomer clusters"). In addition, isomer diversity, in terms of how similar 27 the structures are, decreased with degradation in both natural and incubation samples. 28 Specifically, the number of structurally distinct isomers was lower at river mouth and open ocean 29 than coastal waters, where organic matter tends to be fresher due to high primary production; and 30 with depth in water column, isomer diversity of DOM also decreased. Results from a set of 31 incubation experiments also showed that the percentages of riverine DOM molecules that have 32 multiple isomer clusters decreased with time, suggesting that biodegradation decreases the 33 diversity of molecules from an isomer perspective. Overall, these results suggested that isomers, 34 at least in a certain fraction of DOM, are highly constrained, and that degradation decreases its 35 isomeric diversity.

37 **1. Introduction**

38 As one of the most important reduced carbon pools on the Earth, dissolved organic 39 matter (DOM) in the ocean contains 660×10^{15} g of carbon, similar in magnitude to 40 atmospheric carbon and over 1000 times more than all living organisms in the oceans 41 combined (Hansell et al., 2009). The active carbon of this pool is constantly exchanging with 42 atmospheric carbon through various processes such as photosynthesis and respiration, thus 43 even minor disturbances of the DOM pool could impact global climate substantially (Hedges, 44 1992). Given its potential climatic significance, there is a need to characterize DOM 45 chemically, yet less than 30% of DOM in surface ocean and only about 5% in deep water, 46 based on the carbon content, has been identified at molecular level (Benner, 2002). Also 47 unclear is why a major fraction of this reduced, low molecular weight (≤ 1000 Da), organic 48 carbon is stable for millennial time scales in an environment enriched with electron acceptors 49 and microbes (Dittmar and Kattner, 2003; Dittmar and Paeng, 2009; Dittmar, 2015).

50 Two major hypotheses have been proposed to explain the paradox of DOM 51 persistence in the ocean. The intrinsic stability hypothesis links the reactivity of DOM to its 52 molecular structure, i.e., the persisting molecules have intrinsic recalcitrant structures that 53 prevent degradation. These structures may be biosynthesized by organisms, or originated 54 from secondary abiotic/biotic modifications (Shen and Benner, 2019). Alternatively, the 55 dilution hypothesis, or molecular diversity hypothesis, states that the seemingly recalcitrant 56 nature of DOM is a result of extremely diluted concentrations of each individual DOM 57 molecule (e.g., Arrieta et al., 2015), because decomposition rate of an organic substrate is 58 hypothesized to be a function of concentration (e.g., Barber, 1968; Kattner et al., 2011; 59 Dittmar, 2015). Although results to support either hypothesis can be found, the evidence is 60 far from being conclusive and both hypotheses have their own caveats (Dittmar, 2015). For 61 the intrinsic stability hypothesis, though several recalcitrant molecular structures have been 62 proposed, it still remains unclear the manner in which these known structures are connected 63 to the total refractory DOM pool, and how much the inherent recalcitrance contribute to the 64 preservation of DOM. For example, the exact mechanism of the persistence of carboxyl-rich 65 alicyclic molecules (CRAM; Hertkorn et al., 2006), as well as material derived from linear terpenoids (MDLT; Lam et al., 2007; Arakawa et al., 2017), remains elusive, as it might 66 67 come from its alicyclic rings and branching structure (Hertkorn et al., 2006), or from the

formation of gel due to metal-ligand binding (Chin et al., 1998). A rather similar scenario
applies for the dilution hypothesis. With limited information on the total number of DOM
compounds, as well as a lack of knowledge in the diversity of DOM molecules (Mentges et
al., 2017), the concentration of each individual molecule, which is arguably the most crucial
parameter in the hypothesis, remains unclear. Therefore, revealing molecular diversity of
DOM is an important step in explaining the long-term stability of this large pool of reduced
carbon.

Molecular formula data generated by ultrahigh-resolution mass spectrometry such as 75 76 Fourier Transform Ion Cyclotron Resonance Mass Spectrometry (FT-ICR MS) and Orbitrap 77 MS have been widely applied to calculate molecular diversities of DOM (Hawkes et al., 78 2016), including the molecular formula richness (i.e., the total number of different molecular 79 formulas; e.g., Schmidt et al., 2009; Roth et al., 2015), abundance-based formula diversity 80 (e.g., Kellerman et al., 2014; Seidel et al., 2015), or formula-based functional diversity 81 (Mentges et al., 2017). Previous studies showed that molecular formula richness (e.g., 82 number of formulas assigned) tends to increase with biotic/abiotic degradation (e.g., 83 Lechtenfeld et al., 2015; Osterholz et al., 2015; Mentges et al., 2017), but molecular diversity 84 (e.g., number of compound types) tends to decrease with degradation, reflected by an 85 increase in homogeneity in molecular formula for more degraded DOM (Mentges et al., 86 2017; O'Connor et al., 2020).

87 Even though ultrahigh-resolution mass spectrometers can resolve up to 20,000 88 molecular formulas from the intricate mixture of DOM sample (Riedel and Dittmar, 2014), 89 the isomeric information of DOM molecules remains unclear. A few studies have 90 investigated DOM isomers, mainly through mathematical calculation (Hertkorn et al., 2007; 91 Hertkorn et al., 2008) and/or modelling (Zark et al., 2017; Hawkes et al., 2018; Zark and 92 Dittmar, 2018) based on MS or tandem MS results. The estimated number of isomers ranged 93 from over a hundred per formula (Zark et al., 2017; Hawkes et al., 2018) to several million, 94 assuming that the arrangement of atoms (i.e., C, H, N, O) is random as long as the structure is 95 chemically reasonable (Hertkorn et al., 2007; Dittmar and Stubbins, 2014). However, the 96 disagreement between the unimodal molecular weight distribution of DOM (maximum 97 intensity at an m/z of ~400) and the exponentially increasing number of isomers with 98 molecular weight, as pointed out by a previous review (Dittmar and Stubbins, 2014), implies

that the arrangement of atoms is not totally random, and that isomers in natural DOM are
under certain constraints. Therefore, there is a critical need to obtain an actual measurement
of isomers in natural DOM.

102 Ion mobility mass spectrometry (IM-MS) can directly quantify structural and 103 isomeric aspects of DOM molecules. In drift-time IM-MS (DTIM-MS), ions are separated in 104 the IM chamber through low-energy interactions with inert buffer gas (N₂ or He) during the 105 transit (May et al., 2014; May and McLean, 2015), as ions with larger collision cross section 106 (CCS) areas are delayed with longer drift times than smaller ones due to more frequent 107 collisions with the buffer gas molecules (McDaniel, 1964; McDaniel and Mason, 1973; Fenn 108 et al., 2009). Therefore, molecular-level structural information in the form of CCS values can 109 be obtained to facilitate the characterization of targeted molecules and to differentiate 110 isomers (May et al., 2014). IM-MS has been applied in metabolomics studies to resolve 111 structure and isomers of targeted biomolecules (e.g., McLean et al., 2005; Baker et al., 2007; 112 Tao et al., 2007; Fenn et al., 2009; Stow et al., 2015, 2017; Hines et al., 2016). A pioneering 113 study in the field of natural DOM has demonstrated the power of IM-MS to unravel the 114 existence of multiple charged compounds in standard Suwannee River Fulvic Acids (SRFA; 115 Gaspar et al., 2009). With recent commercialization of trapped IM-MS (TIM-MS; Levva et 116 al., 2019) and DTIM-MS (Lanucara et al., 2014; May and McLean, 2015), and the 117 application of IM calibration standards (e.g., Agilent Tuning Mix), current IM-MS offers a 118 standardized measurement with high resolving power and precision (Hines et al., 2016), 119 making cross-lab comparisons possible (Stow et al., 2017a). Following this advancement, 120 IM-MS was first successfully applied to elucidate the isomer diversity of water 121 accommodated fractions of crude oil (Benigni et al., 2017), and quickly recognized in the 122 DOM field (Tose et al., 2018; Lu et al., 2018; Lu and Liu, 2019; Leyva et al., 2019; Gao et 123 al., 2019; Leyva et al., 2020). For instance, previous work demonstrated that in riverine and 124 wetland systems only a small fraction of the detected DOM formulas (ca. 15%) possesses 125 isomers, and the number of isomers for each formula is generally less than 10 (Lu et al., 126 2018; Lu and Liu, 2019; Morrison et al., 2020), even though the detected formulas may 127 represent only a small fraction of the total DOM pool. Each peak detected in IM-MS can be 128 further resolved into several sub-peaks mathematically by applying a Software Assisted 129 Molecular Elucidation package, which utilizes noise removal, mean gap filling, "asymmetric

130 least squares smoothing" baseline correction, peak detection by continuous wavelet 131 transform, as well as Gaussian fitting with nonlinear least-squares functions (Benigni et al., 132 2017). Based on this calculation, the number of isomers for each detected riverine DOM 133 formula (e.g., SRFA Standard or Paraguay River DOM) was estimated to range from 6 to 10 134 (Tose et al., 2018; Leyva et al., 2019). However, current studies are isolated, thus there is a 135 need for a systematic evaluation of the isomers in DOM, especially how isomers in DOM 136 change in response to environmental parameters (e.g., degradation status of DOM) and in 137 marine DOM including that in the deep ocean.

138 The goals of this study are to: (1) elucidate isomeric information of natural DOM in 139 broader aquatic environments, and (2) relate the isomeric information to environmental 140 degradation. We report the results of isomers in DOM using an online combination of DTIM-141 MS, high-performance liquid chromatogram (HPLC), and Quadrupole Time-Of-Flight mass 142 spectrometry (Q-TOF MS), and have compared the results with ultrahigh resolution mass 143 spectrometer FT-ICR MS. Natural DOM samples were collected from several different 144 environments, including rivers, coastal ocean, surface and deep waters of open ocean, as well 145 as from bioassays. Specifically, we aim to determine how isomers in DOM change in 146 different environments and with environmental degradation.

147 **2.** Materials and Methods

148 2.1. Sample Collection

149 Field samples were collected mainly from northern Gulf of Mexico (GOM) in July 150 2017 (Figure 1). Specifically, river samples were collected from the ship channel of 151 Atchafalaya River at three sites (ARSC1, ARSC2, and ARSC3) following a salinity gradient 152 from ARSC2 (0.3 %) to ARSC1 (3.0 %), and to ARSC3 (15.8 %). Surface water was 153 collected at stations F1 (N 29.15°, W 91.62°), F2 (N 29.00°, W 91.62°), and F3(N 28.88°, W 154 91.62°) along the F-transect (Rabalais et al., 2001), respectively. Open ocean samples were 155 collected from three depths (0.5 m, 500 m, and 1240 m) at station D11 (N 27.54°, W 90.83°; 156 D11 0.5, D11 500, and D11 1240). In addition to the GOM field samples, a depth profile of 157 samples at station DC6 of South China Sea (N 15.24°, E 114.85°; 5 m, 100 m, 500 m, 1000 158 m, 2000 m, 3000 m, and 4000 m) was also collected.

159Coastal and open ocean water samples from GOM were collected using Niskin bottles160mounted on a conductivity-temperature-depth (CTD) rosette (Seabird 911) and transferred to

161 acid-washed 10 L polyethylene carboys. River water was directly pumped with an underway 162 system (e.g., Zhai et al., 2005) on *R/V Pelican*. Upon collection, 10 L samples were immediately filtered on board through in-series filter cartridges (WhatmanTM Polycap HD 75 163 Capsule Filter, 5.0 µm, 2712M; WhatmanTM Polycap AS 75 Capsule filter, 0.2 µm, 2706T) to 164 remove suspended particles. An aliquot of the filtered water (30 mL) was preserved in 30-mL 165 166 polycarbonate bottles at -20 °C until further DOC concentration analysis. Following the 167 established protocol (Dittmar et al., 2008), DOM in the filtered water was acidified with HCl 168 to a final pH of 2, and was extracted by solid-phase extraction (SPE) using PPL cartridges 169 (Agilent Bond Elut PPL cartridge, 500 mg, P/N 12105006; five cartridges were used for one 170 10 L-sample). DOM extracts were eluted with methanol (four cartridge volumes for each 171 cartridge), re-concentrated to a final volume of 10 mL, and stored at -20 °C after returning to 172 lab until further analysis. The extracts were diluted 10-fold with methanol before LC/MS 173 analysis. The carbon-based recovery of DOM extraction, calculated as DOC of extract/DOC 174 of filtered water × 100%, ranged from 45% to 48%. DOC concentrations were measured with 175 a Shimadzu total organic carbon analyzer (TOC-L), with organic carbon stock solution 176 (RICCA) as standards, and the calibration curves were independently checked with low 177 carbon water, surface water, and deep water references from Hansell Lab, University of 178 Miami. All solvents and chemicals were LC/MS grade (Fisher or Sigma Aldrich).

179 A bioassay experiment was conducted in July 2016 (details can be found in parallel 180 experiments of Wu et al., 2019). Briefly, 1 L river water was collected from lower-river region of San Antonio River (SR; N 28.48°, W 96.86°) and Lavaca River (LR; N 28.83°, W 181 182 96.58°) using a Van Dorn sampler (Figure S1), and the sample was transferred to high-183 density polyethylene bottles (4 L) that were first rinsed several times with the sample water. 184 Samples were stored on ice and transported to lab the same day, where they were filtered 185 through 0.2 µm Capsule filter (WhatmanTM Polycap AS 75) to remove particles and 186 microbes, and inoculated with 50 mL of natural coastal bacterial assemblages (30 %); pre-187 filtered through 0.8 µm pore size filter to remove algae and large eukaryote predators) from 188 Port Aransas ship channel. The inoculation ratio (1/20) in this work was similar to other 189 incubation experiments (Rochelle-Newall et al., 2004; Petrone et al., 2009), and was 190 sufficient to support the development of bacteria community (Wu et al., 2019). The 191 incubation lasted for 3 weeks at close to in situ temperature (31 °C) in the dark. Pre- and

post-incubation samples (500 mL) were collected and filtered through 0.2 μm pore size filters
(WhatmanTM 47 mm 0.2 μm membrane filter, 7402-004) prior to SPE. The carbon-based SPE
recovery ranged from 44% to 52%.

195 2.2. Molecular level characterization of DOM

196 DOM was analyzed using an Ion Mobility Quadrupole Time of Flight Liquid 197 Chromatography Mass Spectrometer (IM Q-TOF LC/MS, Agilent 6560) with an orthogonal 198 electrospray ionization (ESI) source. Electrospray ionization negative mode (ESI-) has been 199 commonly applied in the molecular characterization of complex DOM mixtures (e.g., Kim et 200 al., 2003; Kujawinski, 2002; Wagner et al., 2019), which contain abundant molecules with 201 carboxyl groups that can be ionized efficiently in negative mode. However, the presence of 202 high carboxyl-content aromatic compounds, especially in terrestrial DOM (Kramer et al., 203 2012), can suppress ionization of aliphatic and carbohydrate-like DOM (Ohno et al., 2016). 204 Therefore, both ESI- and ESI+ modes were applied for Q-TOF in this work, aiming at 205 providing a more comprehensive picture of natural DOM.

206 The instrument and data acquisition methods followed our previous studies (Lu et al., 207 2018; Lu and Liu, 2019), with HPLC settings kept constant for MS, MS/MS, and IM-MS 208 acquisitions. Briefly, mobile phase A was H_2O with 0.1% (v/v) formic acid, and B was 209 acetonitrile for ESI+ mode. One- μ L of sample or 10- μ L of standards (DOM standards and 210 peptide standard) was eluted through a StableBond C₁₈ column (Poroshell 120 SB-C18; 2.7 211 μ m, 2.1 × 100 mm; Agilent P/N 685775-902) at a flow rate of 0.5 mL·min⁻¹. During the 21-212 min run, mobile phase B was increased from 3% to 90% in the first 15 min, held at 90% from 213 15 min to 20 min, and then dropped to 3% at 21 min. A post-run time of 4 min allowed the 214 column to reach equilibrium. For ESI- mode, mobile phase A was H₂O with 10 mmol· L^{-1} 215 ammonium acetate, and B was acetonitrile. One-uL of samples or 10-uL of DOM standards 216 was eluted through a HILIC column (2.7 µm, 15 cm × 4.6 mm SUPELCO, P/N 53981-U) at 217 a flow rate of 0.5 mL·min⁻¹. During the 10-min run, mobile phase B was held at 98% during 218 the first 1 min, and dropped to 95% from 1 min to 10 min. A post-run period of 15 min 219 allowed the column to reach equilibrium before the injection of next sample. For each sample (field samples and incubation samples) a total amount of ca. $0.1 - 0.2 \mu g C$ was injected 220 221 during the analysis. For the DOM standards ca. 5 µg C was injected, and for the peptide 222 isomer mixture ca. 0.02 µg C was injected for either peptide. An injection volume of 10 µL

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was used for DOM standards in order to achieve a better ionization, as DOM standards had a much lower ionization efficiency in our test runs when compared with SPE-extraction samples, even though a much higher amount of materials was injected (Figure S2).

226 Mass spectrum (MS), tandem MS (MS/MS) and ion mobility-mass spectrum (IM-227 MS) data were acquired with software MassHunter LC/MS Data Acquisition (Version 228 B.07.00 and B.09.00) in both ESI- and ESI+ modes. The resolving power of drift time ion 229 mobility is calculated as $t/\Delta t$, in which t is the drift time and Δt is the peak width measured in 230 milliseconds at half peak height. The estimated resolving power of ion mobility alone 231 exceeds 60 at drift time of 30 ms. Combined with ion mobility, resolving power of the whole 232 instrument (including LC, IM, and MS) can be over 2,000,000 (Lu et al., 2018). Each sample 233 was analyzed in duplication in this work.

234 The acquisition for MS, MS/MS and IM-MS followed the published protocol (Lu et 235 al., 2018; Lu and Liu, 2019). For ESI+ MS data acquisition, the orthogonal electrospray 236 ionization source (Dual Agilent Jet Stream ESI) was operated with N₂ sheath gas temperature 237 of 350 °C at a flow rate of 12 L·min⁻¹. N₂ drying gas applied at the source entrance was at the temperature of 225 °C, and maintained at a flow rate of 13 L·min⁻¹ with a nebulizer pressure 238 of 45 psig. The source operated in positive mode was set to a VCap voltage of 3500 V, and a 239 240 nozzle voltage of 0 V. Q-TOF was in positive ion polarity in MS mode, with an MS mass 241 range of 70-1200 m/z, and an acquisition rate of 1 spectrum/s. Reference masses of 242 121.050873 and 922.009798 (Agilent Tuning Mix) were used for mass calibration. For ESI-243 MS data acquisition, the ion source was also the Dual AJS ESI. Sheath gas parameters were 244 the same as in ESI+ mode. Drying gas temperature was also 225 °C, but the flow rate was 245 adjusted to 5 L·min⁻¹ and nebulizer pressure was maintained at 20 psig. The source operated 246 in negative mode was maintained at 3500 V VCap voltage, but the nozzle voltage was raised 247 to 2000 V. Q-TOF settings of ESI- MS resembled those of ESI+ mode, except that Q-TOF 248 was operated under negative ion polarity, with reference masses of 112.985587 and 249 1033.988109 (Agilent Tuning Mix). The acquisition settings for MS/MS, including ion 250 source settings and reference ions, generally followed those of MS, except for the instrument 251 operated under MS/MS mode, with an MS/MS acquisition time of 500 ms/spectrum. A 252 preferred ID list containing the information of compounds m/z and retention time was applied 253 to guide each sample run in MS/MS. The threshold to trigger MS/MS was at least 2000

counts for the precursor ion under ESI+ mode, and 6000 counts under ESI- mode. The collision energy was 30 V for ESI+, and 40 V for ESI-.

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For ESI+ IM-MS data acquisition, Q-TOF was operated under IM Q-TOF 257 Acquisition mode. General parameters of IM followed those described in ESI+ MS. Funnel 258 parameters were maintained at default voltages. For ESI- IM-MS, general parameters of IM 259 also followed those described for ESI- MS. Most IM funnel parameters were default voltages 260 except for a few optimizations: high pressure funnel RF of -150 V, trap funnel RF of -125 V, trap entrance grid delta of -4 V, trap exit grid 1 delta of -6 V, trap exit grid 2 delta of -12 V, 261 262 drift tube entrance voltage of -1500 V, and rear funnel RF of -100 V.

263 Data analysis of IM-MS also followed the published protocol (Lu et al., 2018; Lu and 264 Liu, 2019). Specifically, IM-MS data was analyzed with MassHunter IM-MS Browser 265 (Version B.07.01 and B.09.00). The m/z of features detected were calibrated with IM-MS 266 Data File Reprocessing Utility (Version B.08.00). Drift times, representing the total transit 267 time of the ions (May et al., 2014), were acquired using the function "Find Features (IMFE)" 268 with the following settings: isotope model common organic (no halogens); charge state ≤ 1 . 269 Compound drift times were calibrated with reference ions (Tune Mix, G2421A, Agilent 270 Technologies, Santa Clara, CA) to determine the gas-phase momentum transfer collision 271 cross section (CCS; Mason and Schamp, 1958; May et al., 2014), which can be used as a 272 proxy of the three-dimensional (3D) structure of the molecule. IM-MS provides insights into 273 structural isomers of DOM molecules and thus a direct measurement of structural diversity 274 (Benigni et al., 2017; Lu et al., 2018; Lu and Liu, 2019; Leyva et al., 2019). Features with same m/z but different LC retention time (RT) and/or different CCS are characterized as 275 276 different isomers clusters (Lu et al., 2018; Lu and Liu, 2019). This DTIM-MS can 277 differentiate isomers with difference in CCS as low as 2% at the resolving power of 60 278 (Nichols et al., 2018). A major loss in ions, ~90%, was observed during IM-MS data 279 acquisition when compared to the acquisition of MS data. This loss was attributed to the 280 interactions of molecules with nitrogen gas during the transit in drift tube (Lu et al., 2018; Lu and Liu, 2019). 281

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To achieve a better understanding of the separation processes of LC and drift-time IM-MS (LC-DTIM-MS), physical properties of the modeled DOM molecules were calculated with software Marvin Sketch (Version 19.20.0). Specifically, the octanol-water 285 partition coefficient (log P), which is often used as a measurement of molecular

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hydrophobicity, was calculated based on Viswanadhan et al. (1989). The maximal and 287 minimal projection areas of a molecule, as a proxy representing CCS, were calculated based 288 on the van der Waals radius of the modeled DOM structure. In addition, the van der Waals 289 surface area of the modeled molecule was calculated based on Ferrara (2002).

290 2.3. Validation of the molecular level characterization method

291 Concerns have been raised that analytical instruments differing in performances, such 292 as resolving powers and source conditions, and operating conditions, would result in 293 inconsistent results regarding the molecular level composition of DOM (Hawkes et al., 294 2020). Therefore, there is a need to investigate the difference in DOM assessments between 295 the IM Q-TOF LC/MS and other instruments on the basis of a same set of standards or 296 samples.

297 To compare with the published results from other high resolution mass spectrometers 298 (e.g., Patriarca et al., 2018; Kim et al., 2019; Hawkes et al., 2020), DOM standards, 299 Suwannee River Humic Acid (SRHA, 3S101H) and Suwannee River Natural Organic Matter 300 (SRNOM, 2R101N) purchased from International Humic Substances Society (IHSS), were 301 analyzed by IM Q-TOF LC/MS. Briefly, approximately 10 mg of DOM standards were 302 dissolved in LC/MS grade H_2O -methanol solvent (50/50, v/v), resulting in a final 303 concentration of ca. 500 ppm C, given the known elemental composition of the standards 304 (Green et al., 2014). Note that the concentrations of DOM standards were much higher than 305 typical direct-infusion setups (e.g., ca. 20 ppm in Hawkes et al., 2020), but were on a par 306 with those used in LC/MS studies (e.g., ca. 200 ppm in Kim et al., 2019; and 500 ppm in 307 Patriarca et al., 2018).

308 In addition to DOM standards, the DC6 sample set (collected from 7 depths at station DC6 in South China Sea) was run by both IM Q-TOF LC/MS and FT-ICR MS for a direct 309 310 comparison. Specifically, the FT-ICR data was acquired from a 12 Tesla Apex Qe FT-ICR 311 MS at Old Dominion University, and the instrument settings generally followed the 312 published protocol (Liu et al., 2011; Waggoner et al., 2015), with samples continuously infused into the FT-ICR MS at a flow rate of 120 μ L·h⁻¹ by a syringe pump. Ions 313 314 accumulated in a hexapole for 0.5 s before being transferred to the ICR cell. Three hundred 315 transients, collected with a 4 MWord time domain, were added, giving about a 30 min total

run time. The summed free induction decay (FID) signal was zero-filled once and Sine-Bell
apodized prior to fast Fourier transformation and magnitude calculation using the Bruker
Daltonics Data Analysis software. Only negative ion mode data was used in this work. The
formula assignment also followed the rules described previously (Liu et al., 2011; Waggoner
et al., 2015). The MS results from FT-ICR MS were compared with the MS, MS/MS, and
IM-MS results from IM Q-TOF LC/MS to find the common features.

322 Finally, a pair of peptides, Serine-Aspartic Acid-Glycine-Arginine-Glycine (SDGRG) 323 and Glycine-Arginine-Glycine-Aspartic Acid-Serine (GRGDS) purchased from Sigma 324 Aldrich, was analyzed as isomer standards to validate the resolving ability for isomers of the 325 IM Q-TOF LC/MS. One-mg of the peptide standard was reconstituted in 10 mmol·L⁻¹ 326 ammonium acetate solution (in LC/MS grade H_2O) to a concentration of 1000 $\mu g \cdot L^{-1}$. The 327 working solution of each peptide was further diluted and mixed to create an isomer standard 328 with a final concentration of 50 μ g·L⁻¹ for each peptide. The isomer standard was analyzed 329 under ESI+ mode, consistent with published protocol (May et al., 2014).

330 **3. Results**

331 3.1. Evaluation of IM Q-TOF LC/MS.

332 The ability of IM Q-TOF LC/MS to process DOM samples was first assessed through 333 a direct comparison with the classic FT-ICR MS on the same set of samples, as well as 334 through analyses of DOM and isomer standards. The direct comparison with FT-ICR MS 335 was made under the ESI- mode on the same set of samples from South China Sea. On 336 average, over 3100 unique formulas were assigned with FT-ICR MS, as compared to ca. 337 1500 with IM Q-TOF LC/MS (Table S1). The number of shared formulas ranged from 318 to 436 across different samples, representing ca. 12% and ca. 25% of FT-ICR MS and IM Q-338 339 TOF LC/MS formulas, respectively. These shared molecules were mainly located in a region known as "island of stability" (Lechtenfeld et al., 2014; Koch et al., 2014) in the van 340 341 Krevelen diagrams (Figure 2). These shared molecules, though only representing a small 342 fraction of total detected features with respective techniques, verify the capability of 343 molecular level measurement by IM Q-TOF LC/MS, and further suggest that the analytical 344 window of IM Q-TOF LC/MS was different from commonly used ultrahigh resolution MS, 345 such as FT-ICR MS.

346 As for DOM standards, the LC chromatogram showed evident difference between 347 SRHA and SRNOM (Figure S3). On average, 539 and 583 unique formulas were assigned 348 for SRHA and SRNOM under ESI+ mode, respectively, and 1219 and 1154 unique formulas 349 were assigned for SRHA and SRNOM under ESI- mode, respectively (Figure S4). By 350 comparing with results from direct-infusion ultrahigh resolution mass spectrometry including 351 FT-ICR MS and Orbitrap MS (e.g., Hertkorn et al., 2013; Hawkes et al., 2016, 2020; Spencer 352 et al., 2016), a similar pattern was revealed: even though the assigned formulas covered some 353 common regions on the van Krevelen diagrams, the overall pattern from IM Q-TOF LC/MS was still different from direct-infusion MS. Combined with the aforementioned direct 354 355 comparison results, the most striking differences between IM Q-TOF LC/MS and direct-356 infusion MS from the perspective of the van Krevelen diagram, included: (1) a less dense 357 cluster of molecules in the center of the diagram (H/C ratio of ca. 1.0 - 1.3 and an O/C ratio 358 of ca. 0.4 – 0.6; the "island of stability" region) from IM Q-TOF LC/MS, and (2) molecules 359 occupying the upper and lower left region of the diagram (H/C ratio > 1.5 and/or O/C ratio < 360 0.5) were present from IM Q-TOF LC/MS results, but mostly absent from direct-infusion MS 361 results.

362 These differences in the assignment of DOM molecules can be partially attributed to the addition of an online LC system. LC provides a preliminary chromatographic separation 363 364 prior to MS analysis, which greatly alleviates the ionization suppression issue during direct-365 infusion analyses (Kim et al., 2019), and thus could reveal compounds that may be otherwise 366 occluded in conventional studies. The chromatographic dilution may also explain the lower 367 density of the island of stability. Interestingly, though the observed pattern of IM Q-TOF 368 LC/MS resembled the result of an LC-TOF MS study (Rathgeb et al., 2017), it was still 369 somewhat different from the most recent LC-ultrahigh resolution MS work (Patriarca et al., 370 2018; Kim et al., 2019), suggesting that other factors such as the difference in ionization 371 techniques (e.g., the orthogonal electrospray used in Q-TOF) and the ways of measuring m/z372 (i.e., FT-ICR vs. Orbitrap vs. TOF) may have also played important roles. Nevertheless, 373 compounds in the apolar region of the van Krevelen space (i.e., low O/C region) were also 374 observed in the work of both Patriarca et al. (2018) and Kim et al. (2019), consistent with our 375 results. Overall, the comparison with commonly applied direct-infusion MS further confirms 376 that IM Q-TOF LC/MS provides a reliable, though quite different, window to look into the

intricate pool of DOM molecules. However, given the different analytical windows, cautions must be taken before extrapolating the presented result to the whole DOM pool.

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The peptide mixture, consisting of two peptide isomers, was made to validate the resolving capability of IM Q-TOF LC/MS. Two features with an *m/z* of 491.2195 were detected under ESI+ mode, consistent with published protocol (May et al., 2014). One feature had a LC retention time (LC RT) of 0.533 min and a drift time (DT) of 25.50 ms, while the other feature had a LC RT of 0.537 min and a DT of 27.36 ms (Figure 3). The separation, by a DT difference of 7%, of two peptide isomers SDGRG (25.50 ms) and GRGDS (27.36 ms) is consistent with May et al. (2014), demonstrating the resolving power

386 of IM-MS for isomer analysis.

387 3.2. Environmental parameters and MS results

Along the GOM salinity transect, dissolved organic carbon (DOC) concentrations 388 ranged from 134.2 µmol C L⁻¹ at the offshore site (F3) to 364.2 µmol C L⁻¹ in the 389 390 Atchafalaya river ship channel (ARSC1; Table S2). Dissolved oxygen (DO) concentrations 391 remained relatively constant (207.5 – 221.3 μ mol O₂ L⁻¹) for samples collected along the F-392 transect (Figure 1). In contrast, DO concentrations varied more with depth, dropping from 196.9 μ mol O₂ L⁻¹ at the surface to 111.9 μ mol O₂ L⁻¹ at 500 m, and back to 198.8 μ mol O₂ 393 394 L^{-1} in bottom water (1240 m; Table S2). Though no DO data was collected for the 395 Atchafalaya river ship channel due to the setup of the underway sampling system, it is 396 reasonable to assume that the surface water samples were well oxygenated due to constant 397 mixing and air sea exchange. For bioassay incubations, DOC concentrations decreased from 463.9 µmol C L⁻¹ to 448.7 µmol C L⁻¹ in Lavaca River incubation, and from 208.5 µmol C L⁻ 398 399 ¹ to 197.2 umol C L⁻¹ in San Antonio River incubation, as reported in previous work (Table S3; Wu et al., 2019). Vertical distribution of DOC at the station DC6 in the South China Sea 400 401 showed a typical distribution pattern of open ocean with relative higher concentration in the 402 surface (71.5 μ mol C L⁻¹) and lower level at 4000 m (40.0 μ mol C L⁻¹), where the water is 403 originated from Philippine Sea in Northern Pacific (Li and Qu, 2006).

404 Number of assigned formulas ranged from 1238 to 3337 under ESI+ mode, and from
405 199 to 748 under ESI- mode (Table 1) for the GOM samples. For the incubation samples, the
406 number of assigned formulas ranged from 477 to 577 under ESI+ mode, and from 236 to 462
407 under ESI- mode (Table 2). Generally, coastal and open ocean samples have higher H/C

408 ratios than river samples under both ESI modes (ESI+: 1.47 - 1.53 vs. 1.41 - 1.46, p = 0.01409 single factor ANOVA test; ESI-: 1.11 - 1.19 vs. 1.07 - 1.12, p = 0.001 single factor ANOVA 410 test; positive correlations between H/C and salinity were also verified with linear mixed 411 model, with a p value of 0.03 under ESI+ and 0.1 under ESI-; Table 1). In addition, H/C 412 ratios were lower for samples from depth than those from surface (e.g., ca. 1.50 vs. 1.53 413 under ESI+, p = 0.05, t-test; Table 1). In the bioassay incubation, H/C ratios decreased after 414 incubation (ESI+: 1.58 to 1.56 for LR, 1.59 to 1.55 for SR; Table 2), along with the decrease 415 of DOC concentrations (Table S4). These results are consistent with previous findings that 416 more refractory DOM molecules generally exhibit lower H/C ratios (e.g., D'Andrilli et al., 417 2015; Seifert et al., 2016).

418 Similar to DOM standards, the van Krevelen diagrams of the field and incubation 419 samples from this work using Q-TOF LC/MS (Figures S5, S6, and S7) differed from those 420 obtained by direct-infusion ultrahigh resolution MS. However, they agreed well with the 421 DOM standards run by the same instrument (Figure S4), suggesting the consistency of the 422 method used in this work. This further indicates that the molecules detected with Q-TOF 423 LC/MS employed in the current work may represent a different pool of natural DOM than 424 what are detected by other direct-infusion mass spectrometry techniques. Since the same 425 technique was applied to all samples, the trend of elemental stoichiometry changes in DOM 426 observed among different samples in this work are expected to be robust.

427 3.3. Changes in CCS of DOM across different sites.

In DTIM-MS, molecules of similar m/z but with higher charges and more compacted 428 429 3D structures will traverse faster through the drift tube, and vice versa. As the majority of 430 extractable DOM molecules are singly charged (Leenheer et al., 2003; Rostad and Leenheer, 431 2004), the geometric configuration of the molecules, which determines collision section area 432 (Collision Cross Section, CCS) values, is the sole factor affecting the drift time. CCS values 433 of the DOM molecules generally increased with increasing m/z under both ESI modes 434 (Figure 4). Overall, ca. 100 and 200 features per sample were detected in DTIM-MS under 435 ESI- and ESI+ modes, respectively.

436 The molecular mass and m/z are reasonable approximations of molecular volume 437 (Potts and Guy, 1992), and CCS can be considered as a descriptor of the surface area of a 438 molecule. Thus, the relationship between CCS values and m/z is expected to be depicted in a 439 nonlinear power fit (May et al., 2014), as in the case of Van der Waals molecular volume and 440 surface area (Moldoveanu and David, 2017). Under ESI+ mode, the relationship between 441 CCS and m/z follows a power function of CCS = $21.794(m/z)^{0.354}$ (R² = 0.774) for the 442 composite of all samples (Figure 4A). When the samples were grouped based on sampling sites, the power function fit still holds (Figure S8). No obvious difference among sites was 443 444 detected, with the exponents ranging from 0.332 to 0.381 and R² ranging from 0.736 to 0.805 445 (Figure S8; Table S5). Under ESI- mode, a similar power function relationship between CCS 446 and m/z was found, but this relationship was better illustrated by two distinct groups of 447 molecules, with one group possessing much lower CCS (Figure 4B). The upper group has a power-fit of CCS = $15.982(m/z)^{0.383}$ (R² = 0.830), while the lower group has a function of 448 $CCS = 37.255 (m/z)^{0.156}$ (R² = 0.760). Similar to ESI+, no clear difference in the distribution 449 450 was observed among different sites under ESI- mode (Figure S9; Table S6). It remains 451 unclear why DOM molecules under ESI- mode were in two groups, but the MS analysis 452 showed that the lower group of compounds in the CCS-m/z diagram had lower H/C ratios but 453 elevated O/C ratios, suggesting that the unexpected lower CCS values under ESI- mode may 454 be correlated with the degradation status of DOM (Lu et al., 2018; Lu and Liu, 2019). 455 Compared with biomolecule standards of lipids, peptides and carbohydrates, which have an 456 exponent of 0.47 – 0.60 (May et al., 2014), natural DOM molecules had a much lower 457 exponent (ca. 0.35 under ESI+), suggesting their more compacted geometric configuration, 458 consistent with our previous findings (Lu et al., 2018; Lu and Liu, 2019).

459 3.4. Changes in isomers of DOM across different sites.

460 Isomers, by definition, have the same m/z (same molecular formula) but different 461 structures, which can be reflected in DTIM-MS. Isomers mainly include constitutional 462 isomers (structural isomers) and stereoisomers (spatial isomers), and the stereoisomers can be 463 further categorized into diastereomers and enantiomers. If the differences in structure are 464 large enough (e.g., different functional groups), isomers can be separated by their different 465 DTs, and/or LC RTs, and can be further visualized as different peaks in the CCS-m/z diagram 466 along with the drift time chromatogram (Figures 4 and 5). However, stereoisomers, in 467 particular enantiomers, could possess exactly the same DT and CCS values, thus cannot be 468 differentiated by LC IM-MS. Also, isomers with subtle structural differences may not be able 469 to be separated in IM due to the limited resolution. With these in consideration, here we

470 define each peak from DTMS as an isomer cluster, with each cluster containing structurally

471

similar isomers. As an illustration, two different isomer clusters were detected for the m/z of

311.1650 (C₂₀H₂₄O₃; Figure 5). Isomer cluster 1 has a lower CCS value of ca. 159.6 $Å^2$, 472

473 while the other cluster has a CCS value of ca. 168.6 $Å^2$.

474 Overall, the majority of the detected m/zs in all GOM samples had only one isomer 475 cluster (Figures 6, S10, S11, and S12). For those formulas with more than one isomer cluster, 476 the highest number of isomer clusters per formula was 8 (only one formula) under ESI+ and 477 9 (only one formula) under ESI-, respectively (Figure 6), which agrees with previous 478 findings in river water systems (Lu et al., 2018; Lu and Liu, 2019), and wetland systems 479 (Morrison et al., 2020), and is within the isomer range reported by TIM-MS (6 - 10; Tose et 480 al., 2018; Leyva et al., 2019). Even though a simple correlation between the number of 481 isomer clusters and the m/z cannot be drawn, it is still evident that the m/zs with the highest number of isomer clusters (i.e., different structure isomers) were in the molecular weight 482 483 range of 150 - 400, and the number of isomer clusters did not increase with m/z, consistent 484 with the molecular size distribution of DOM.

- 485 "Isomer cluster percentage", slightly modified from previous studies (Lu et al., 2018; Lu and Liu, 2019), was applied to quantify the isomeric information on DOM. It is defined as 486 487 the percentage of detected formulas that have more than one isomer cluster:
 - $Isomer Cluster \% = \frac{Number of different formulas possessing more than one isomer cluster}{Total number of different formulas} \times 100\%$ (1)

488 The isomer cluster percentage depicts the number of isomers with different structures, and 489 likely only represents a lower estimate of the number of isomers in DOM. The isomer cluster 490 percentages of GOM samples ranged from ca. 7% to ca. 13% under ESI+ mode (Table 3), 491 and from ca. 11% to ca. 22% under ESI- mode (Table S7). With less than a quarter of the 492 formulas detected in DTIM-MS possessing more than one cluster of isomers, the majority of 493 DOM formulas detected only had a single peak in DTIM-MS. Similarly, in the South China 494 Sea samples, isomer cluster percentages were in the range of ca. 5% to ca. 10% under ESI+ 495 mode, suggesting that only a small fraction of DOM possessed structurally distinct isomers, 496 while the majority had structurally similar isomers, if any. Moreover, the isomer cluster 497 percentage of field samples agreed with that of SRHA (16.6% under ESI+; 5.7% under ESI-) 498 and SRNOM (8.6% under ESI+; 10.6% under ESI-). This isomer cluster percentage of DOM 499 is consistent with a recent study using a similar instrument, in which ca. 4 - 7% of the IM-

detected features had isomers under ESI+ mode, while less than 1% of the observed unique mass had isomers under ESI- mode (Morrison et al., 2020).

502 Under ESI+ mode, samples with the lowest isomer cluster percentage were from the 503 river mouth (ARSC2), while those with the highest were from surface water (F1 and D11 0.5 504 m). The averaged isomer cluster percentages from river mouth samples (9.0% under ESI+, 505 12.2% under ESI-) were lower than those of coastal ocean (9.9% under ESI+, 19.8% under 506 ESI-) and open ocean samples (11.2% under ESI+, and 17.3% under ESI-) under both ESI 507 modes (Tables 3 and S7). The trend in isomer cluster percentage with environmental gradient 508 was much clearer under ESI+. For instance, a decrease in isomer cluster percentage was 509 detected from near shore to offshore (13.1% at F1 to 8.0% at F3; Table 3). Isomer cluster 510 percentage also decreased with water depth, from 12.9% at surface to 9.0% in deep water. 511 This trend was also observed in the South China Sea samples, in which the isomer cluster 512 percentages decreased from 7.1% at 5 m to 5.7% at 1000 m, and to 6.6% at 4000 m.

513 Since the analytical window of IM Q-TOF LC/MS is different from the direct-514 infusion ultrahigh-resolution FT-ICR MS, there may be concerns that the features detected 515 with IM are not representative of DOM. To address this, IM-MS data of DC6 samples were 516 compared with their corresponding FT-ICR MS data, and shared features (i.e., shared mass 517 detected in both FT-ICR MS and IM-MS) were further extracted. The isomer cluster 518 percentages of these shared features ranged from 1.9% to 18.4% (Table S8). Even though no 519 clear trend with depth was observed (probably due to the high variation in the number of 520 common features), the isomer cluster percentages of shared features was within the same 521 order compared with the results obtained with IM Q-TOF LC/MS alone, suggesting that the 522 estimated overall isomer percentage is reliable.

523 Formulas with multiple isomer clusters were further analyzed based on the HPLC RT 524 of each cluster. Physical and chemical properties, such as hydrophobic/hydrophilic 525 interactions and hydrogen-bonding of molecules, can be inferred from the separation of HPLC depending on the type of column and mobile phases used (Alpert, 1990; Yoshida, 526 527 2004; Guo et al., 2007; Hao et al., 2008). Close LC RTs do not necessarily reflect similar 528 chemical structure, but different LC RTs are surely indicative of different structures. The 529 percentage of isomer clusters with similar RTs (i.e., RT-similar isomers with RT difference < 530 0.1 min) increased as water depth increased under both ESI modes (ESI+: 12.5% to 39.0%,

- 531 Table 3; ESI-: 11.7% to 17.9%, Table S7). On the other hand, the percentage of isomer
- 532 clusters with different RT (i.e., RT-different isomers with RT difference > 0.5 min)
- 533 decreased as water depth increased, from 70.0% in surface to 47.2% in deep water under
- 534 ESI+ (Table 3), and from 83.8% to 60.7% under ESI- (Table S7). Taken together, these data
- showed that as DOM becomes less labile or more degraded (i.e., water depth increases),
- 536 isomers in DOM become less diverse.
- 537 3.5. Changes in CCS and isomers of DOM in bioassays
- 538 Although DOM in the bioassay experiment was collected from south Texas rivers, its 539 CCS-m/z relationship is similar to those of field samples from GOM, as CCS and m/z follow 540 a power-fit equation of CCS = $22.257(m/z)^{0.343}$ (R² = 0.678) under ESI+ mode (Figure 7A), and an equation of CCS = $7.333(m/z)^{0.457}$ (R² = 0.594) under ESI- mode (Figure 7B). Some 541 542 features disappeared during the incubation while others were produced as shown in the CCS-543 m/z diagrams (Figure S13), but the CCS-m/z distribution was not affected by the 544 biodegradation. No significant difference between the distribution pattern of before- and 545 after-incubation samples was detected under either ESI mode (Figure S13).
- 546 The distribution of number of isomer clusters across different m/z_s followed the same 547 pattern as described in field samples (Figure 8), with most detected m/zs possessing only one 548 isomer cluster (Figure S14), while the m/z with the highest number of isomer clusters in the 549 mid m/z range (ca. 200 – 400). The isomer cluster percentages of the riverine samples at 550 initial time point (T_i) were less than 10%. Changes in the isomeric parameters throughout the 551 24-day incubation were more evident under ESI+ mode. Isomer cluster percentage decreased 552 from 7.9% (LR) and 7.1% (SR) at T_i to 4.9% (LR) and 4.3% (SR) at final time point (T_f) 553 under ESI+ mode (p = 0.009 for LR; p = 0.05 for SR). The bioassay incubation results are 554 consistent with natural DOM results – as DOM becomes more degraded, DOM become 555 homogenous in its isomeric aspect, indicated by a decrease in isomer cluster percentage.
- 556 **4. Discussion**
- 557 4.1. A critical evaluation of the isomer data
- 558 The data presented here provide a unique angle to evaluate structural characteristics 559 of natural DOM. However, given the limitation of this technique, such as the resolution and 560 the loss of ions during the IM process, it is important to have a critical evaluation of whether 561 the features detected in this work are representative before drawing any conclusion,

particularly when considering that the analytical window for this work differs from those of
FT-ICR MS. However, because roughly 25% of the features detected with IM Q-TOF
LC/MS (Table S1) were also in the "island of stability" region in the van Krevelen diagram
from FT-ICR MS (Lechtenfeld et al., 2014; Koch et al., 2014), together with the consistency
between different samples (Figures S5, S6, and S7) and DOM standards (Figure S4),
suggests that the DOM molecules identified by MS alone in this work represent at least a
shared fraction (ca. 12%) of the previously analyzed DOM pool.

569 Since a large fraction of ions (ca. 90%) was lost during DTIM-MS, there may be 570 concern that the features detected in DTIM-MS were biased compared with MS. However, 571 the chromatograms of MS and DTIM-MS suggested that features detected in DTIM-MS were 572 not biased to certain groups of compounds compared with the MS without DTIM (Figure 573 S15). Several peaks detected under the MS mode (e.g., peak at ca. 0.3 min, peaks from 6 to 7 574 min, etc.), were also captured under the DTIM-MS mode (Figure S15). In addition, the m/z575 distribution of the DTIM-MS results generally follows a typical normal distribution, with a 576 range of 100 - 1000 and the highest signal intensity observed at ca. 400 - 600 (Figures 2&5; 577 Figures S8&S9), consistent with MS-alone results, and with other LC-MS work, in which the 578 molecular weight range of DOM has a unimodal distribution with the maximum intensity at 579 around 400 Da (Dittmar and Stubbins, 2014; Kim et al., 2019). This similar distribution 580 suggests that IM did not heavily skew the analytical window compared with MS alone. 581 Furthermore, the fact that isomer cluster percentages measured in field samples and 582 incubation samples agreed well with the DOM standards SRNOM and SRHA, indicated the 583 consistency of our results and the observed trends. Finally, a comparison between FT-ICR 584 MS and DTIM-MS based on the DC6 samples from South China Sea showed that, even if the 585 isomer cluster percentages were calculated only within those molecular features that were 586 detected by both MS techniques, they did not differ significantly from the isomer cluster 587 percentages calculated with all molecular features detected by DTIM-MS alone (Table S7 588 and S8), suggesting that the results obtained by DTIM-MS are reliable. Taken together, 589 DOM molecules detected through DTIM-MS are consistent with the results of MS, and are 590 also representative of the SPE-extracted DOM.

591The resolving power of available IM instruments generally ranges from 50 to ca. 100592(Dodds et al., 2017a; Tose et al., 2018; Leyva et al., 2019). With a typical resolving power of

593 60 (i.e., separation of isomers with a 0.5 ms difference in drift time when the target 594 compounds have a drift time of 30 ms), the current DTIM-MS cannot resolve all isomers in a 595 complex mixture (May and McLean, 2015), but isomers with large structural difference can 596 be separated. This has been verified with various standards by previous pioneering work in 597 IM. DTIM-MS can differentiate most constitutional isomers even with subtle structural 598 difference (Dwivedi et al., 2007; Zhu et al., 2009), with a resolution of 2% difference in CCS 599 (Nichols et al., 2018). For example, tertrasaccharide alditols ($Glc\beta1-4$ $Glc\beta1-4$ $Glc\beta1-4$ Glc600 ol) can be well separated from maltotetraitol (Glc α 1-4 Glc α 1-4 Glc α 1-4 Glc α 1-4 Glc α 0) by a 601 difference of 1.20 ms in drift time in a similar instrument (Zhu et al., 2009) due to the 602 structural difference in α - and β -glycosidic bonds. A similar system (LC-ESI-IM-TOF-MS) 603 resolved over 70% of the constitutional isomers from a 4000-peptide mixture (Srebalus 604 Barnes et al., 2002). A more recent study reported that with a resolving power of 60, IM-MS 605 alone (without LC) resolved over 30% of the isomers of leucine ($C_6H_{13}NO_2$), with most 606 constitutional isomers (i.e., ethyl ester vs. tertleucine vs. norleucine) resolved, but some 607 diastereomers (i.e., L-allo-isoleucine vs. L-isoleucine) and most enantiomers (L-leucine vs. 608 D-leucine and L-isoleucine vs. D-isoleucine) unresolvable (Dodds et al., 2017b). Our results of the peptide isomer standards also confirmed the resolving power of this instrument to be 609 610 capable of resolving structurally similar isomers (Figure 3), in which two peptide isomers 611 (SDGRG and GRGDS) were separated by a DT difference of 7%. Indeed, more technical 612 aspects are still needed to enhance the resolving power of DTIM-MS, but the results here 613 represent real measurement and offer at least a lower estimation of isomer diversity of DOM 614 (i.e., identification of structurally different isomers in DOM), and thus a unique angle to view 615 the tip of the iceberg of DOM.

616 4.2. The number of structurally distinct isomers in DOM is constrained

As mentioned previously, the resolving power of current IM-MS is incapable of
separating all possible isomers of DOM. For instance, peaks detected in IM-MS generally
follow a normal distribution (e.g., Figure 5; Edelson et al., 1967; Revercomb and Mason,
1975; Spangler and Collins, 1975; Dodds et al., 2017a), with a width of ca. 1 ms (e.g., Figure
5B&C), consistent with the typical peak widths of single standards (e.g., May et al., 2014;
Stow et al., 2015, 2017a; and Figure 3). With each peak consisting of about 10 sampling
points, the sampling frequency is in the level of 0.1 ms. Under the circumstances where the

624 structural differences among isomers were so small, reflected by minute differences in both 625 the IM drift time and LC retention time, each detected peak could be a composite of multiple 626 smaller ones (e.g., Figure S16). Therefore, each peak detected in LC-DTIM-MS should be 627 viewed as an "isomer cluster" or "isomer clade", representing a cluster of isomers with 628 similar structure. Meanwhile, multiple peaks sharing the same molecular mass should be 629 viewed as different clusters of isomers with distinct structures, and within each cluster there 630 could be multiple inseparable isomers (Hertkorn et al., 2007; Hertkorn et al., 2008). This is 631 consistent with several recent studies, in which one m/z could have multiple separated IM-632 MS peaks, but a further separation of the seemingly one-apex peaks from TIM-MS generally 633 relied on a Software Assisted Molecular Elucidation (SAME) package (Benigni et al., 2017; 634 Tose et al., 2018; Leyva et al., 2019; Gao et al., 2019).

Isomers can be structurally distinct (represented by multiple peaks with same *m/z* in IM-MS) or similar (represented by a single peak at given *m/z* in IM-MS). In this work, the isomer cluster percentages, which represented the percentages of structurally different isomers, of measured DOM molecules ranged from 4.31% to 13.11% under ESI+ mode, and from 6.65% to 22.08% under ESI- mode from a variety of environments (Tables 3, 4&S7). Following the aforementioned logic, this rather low percentage suggests that the number of structurally distinct isomers in the detected formulas was constrained.

642 It has to be acknowledged that "constrained number of structurally distinct isomer" 643 does not necessarily mean "constrained number of total isomers". Even though achieving an 644 exact number of isomers per cluster was well beyond the current analytical power, a rough 645 estimate can be inferred based on computational chemistry. We used a measured formula from LC-DTIM-MS, C₁₈H₁₉NO₅, to investigate the hydrophobicity and geometric properties 646 647 of its possible isomers. A N-containing CRAM-like structure was tentatively assigned based 648 on current understanding of DOM molecules (Hertkorn et al., 2006; Cao et al., 2017), and it 649 could have numerous isomers in theory based on random arrangements of functional groups (i.e., the positions of functional groups). However, this formula was only separated into two 650 651 different isomer clusters in LC-DTIM-MS, with one having an LC RT of 3.66 min and CCS of 152.7 Å², and the other having a RT of 3.22 min and CCS of 153.3 Å². In this case, we 652 653 would expect each detected cluster to represent a large number of isomers. The log P values 654 and projection areas, though obtained through calculation, could provide insights into

655 whether these molecules could be resolved in LC-DTIM-MS. One would expect almost 656 identical log P values and projection areas for isomers aggregated in one LC-DTIM-MS 657 peak. However, calculations suggested that even subtle changes in structure (e.g., position of 658 double bond) introduced distinguishable differences in molecule properties (Table 5, 659 structures 1-5; log P from 0.20 to 0.50), while larger changes such as the rearrangement of 660 carboxyl group greatly decreased the hydrophobicity and the projection area of the isomer 661 (Table 5, structure 6). Another typical CRAM compound was investigated. With a formula of 662 $C_{18}H_{20}O_9$, this compound was assigned with a core structure of tetradecahydrophenanthrene, 663 and functional groups of carboxyl groups, carbonyl group and a double bond (Hertkorn et al., 664 2006). Likewise, this compound could have thousands of isomers as a result of different 665 positions of functional groups. Yet again, calculations suggested the hydrophobicity and 666 geometric properties of the isomers were not identical, as shown by some examples (Table 667 S9). Structures 1 to 14 were only differentiated by the position of the double bond (Table S9, 668 line 1 - 14), and even such subtle changes resulted in a quite wide range in log P from -0.07 669 (hydrophilic) to 0.44 (hydrophobic). For isomers with same log P, their projection areas were 670 generally quite different (ca. 1 - 3% difference, on the same level of the CCS; e.g., structures 7 and 8). Similarly, changes in the positions of the carboxyl group (e.g., molecules 1, 15, 18, 671 672 19, 20, 21), were also well reflected in both hydrophobicity and geometric properties. Based 673 on these calculations, the differences in hydrophobicity and projection areas of these isomers 674 make it impossible for one peak from LC-DTIM-MS to contain thousands of isomers in the 675 present work, though the possibility that a small number of isomers exist within one peak 676 cannot be ruled out. In other words, the number of isomers in one isomer cluster is also 677 restricted, by the hydrophobicity and geometric properties of the isomers, at least for the 678 DOM detected in this study.

679 Previous work has assumed that each formula in DOM could represent thousands of 680 different isomers as long as these isomers are chemically possible (e.g., Hertkorn et al., 2007, 681 2008). This would naturally result in large amount of structurally distinct isomers at the same 682 m/z, which would be reflected in DTIM-MS as either a jagged shape, multi-apex wide joint 683 peak (like the IM-MS peaks of petroleum in Benigni et al., 2017), or a large peak with a large 684 width. However, neither of these patterns was observed in the DOM molecules detected in 685 this work. Instead, most detected m/z had a peak width of ca. 1 ms (Figure 3), which was on 686 the same magnitude of a single standard compound (Edelson et al., 1967; Spangler and 687 Collins, 1975; Revercomb and Mason, 1975; Dodds et al., 2017a), and only a small fraction 688 had well-separated IM-MS peaks. Furthermore, the MS/MS product ion spectrum offers 689 insights into the quantity of isomers in a given formula. For a detected m/z with multiple structurally distinct isomers, fragmentation would generate a wide range of and complex 690 691 product ions. On the other hand, if a detected m/z is constrained to limited numbers of 692 structurally similar isomers, it would only have product ion fragments with certain m/z_s , and 693 would be more recognizable and cleaner. As an example of this, a feature with an m/z of 694 423.1651 (C₂₁H₂₈O₉) had two distinct clusters of isomers, with one eluted at ca. 3.50 min and 695 a CCS of 162.1 Å², and the other eluted at ca. 3.87 min and a CCS of 166.5 Å². Due to the 696 prior separation on LC, the product ion spectrum at RT 3.5 min represented only one isomer 697 cluster (within the cluster there could be multiple structurally similar isomers), and most of 698 its product ion peaks were assigned with structures (Figure S17). The fact that this clean 699 pattern has been commonly seen in the features which were detected by both MS/MS and 700 FT-ICR MS (data not shown), further suggested the similarity of structures of the isomers 701 within one isomer cluster, or just one compound.

702 Together, these results suggest that isomers in this specific fraction of DOM within 703 the analytical window of IM Q-TOF LCMS, were under certain constraints in addition to 704 chemical laws (e.g., Hertkorn et al., 2007). Isomers within the same isomer cluster, if any, 705 constrained by LC retention time and geometric configuration, are expected to share very 706 similar core chemical structures, and therefore can be further considered as homologous 707 compounds with similar function (Mentges et al., 2017). Note that because the molecules 708 detected in this work represented a certain fraction of the natural DOM pool, it is possible 709 that those undetected DOM molecules could possess a high number of isomers, although 710 there is no particular reason for such argument.

The selectivity of isomers, in fact, is not uncommon in biochemistry. Biological homochirality, for example, results in L-forms of amino acids and D-forms of glucose, as the dominant enantiomers in living organisms (Ribó et al., 2017; Hochberg et al., 2019), and this selectivity in chirality is strictly maintained through the metabolic pathways (known as Spontaneous Mirror Symmetry Breaking; e.g., Plasson et al., 2007; Ribó et al., 2017; Hochberg et al., 2019). As microbial reworking is an important process in the degradation of

DOM (e.g., Zark and Dittmar, 2018), selectivity in isomers can be expected to some extent.
Note that this constraint or selectivity in isomers does not necessarily contradict previous
work, which modelled a large number of isomers in DOM (Zark et al., 2017; Hawkes et al.,
2018; Zark and Dittmar, 2018), as this constraint in isomer clusters, which is more on a
function level, is focused on structural diversity not the actual number of isomers.

4.3. Trend of DOM isomers with environmental degradation

723 Our results also offer insights into how isomer diversity of DOM changes among 724 different environments and through biodegradation. Isomer cluster percentages of DOM was 725 lowest in samples collected from the river mouth, and decreased from surface to deep water 726 (Table 3), suggesting that isomers in DOM became more structurally similar with 727 degradation. Samples collected from surface ocean (F-sites, and D11 0.5), where DOM is 728 expected to be most labile due to primary production, have more diverse isomers (higher 729 percentages RT-different isomer clusters, and lower percentages of RT-similar isomer 730 clusters; Table 3). Concurrently, samples collected from deep water (e.g., D11 1240) and the 731 river mouth (particularly ARSC1 and ARSC2), where DOM may have been heavily 732 processed, generally have more homogenous isomers (i.e., structurally more similar) 733 compared with coastal and surface ocean sites (e.g., F1, D11 0.5), as indicated by a lower 734 percentage in RT-different isomer cluster (e.g., less than 50% for ARSC1, ARSC2 and D11 735 1240, but over 70% for D11 0.5 and F1 under ESI+, Table1; generally less than 60% for D11 736 1240, ARSC1, ARSC2, but over 65% for F1 and D11 0.5, Table S7), and a higher percentage 737 in RT-similar isomer clusters (e.g., over 20% for ARSC1, ARSC2 and D11 1240, but less 738 than 15% for D11 0.5 and F1 under ESI+, Table1; generally over 15% for D11 1240, 739 ARSC1, ARSC2, but only ca. 12% for F1 and D11 0.5, Table S7). These changes in isomer 740 cluster percentage and isomer properties suggest that as DOM becomes more refractory, it 741 becomes more homogenous in its isomeric diversity.

The results from incubation experiment further support this idea. As DOM was
degraded through microbial metabolism, isomer cluster percentage of DOM decreased from
ca. 7.5% to less than 5% under ESI+ (Table 4). This decrease in isomer cluster diversity with
incubation, is consistent with previous findings that DOM becomes less diverse or more
homogenous with degradation (e.g., Mentges et al., 2017; O'Connor et al., 2020). However,

it should be noted that since SPE preferentially extracts hydrophobic compounds, the "labile" fraction in SPE-DOM may not necessarily represent the labile fraction of the whole DOM.

749 As mentioned previously, the decreasing isomer cluster diversity with increasing 750 DOM recalcitrance may be attributed to the highly regulated nature of biochemical 751 processes, which are important in the formation of natural DOM when processed by 752 microbes. Unlike abiotic reactions, which can form a large number of structurally diverse 753 isomers as products as long as the reactions follow the laws of thermodynamics, the diversity 754 of isomers in the products of biochemical reactions is generally constrained. For instance, 755 abiotic reactions such as the formation of petroleum hydrocarbon and the abiotic 756 racemization of amino acids tend to form a large number of isomers (e.g., Vandenbroucke 757 and Largeau, 2007; Ribó et al., 2017). On the other hand, biotic reactions such as the 758 biodegradation of peptide, during which extracellular peptidase can hydrolyze constitutional 759 isomers of peptide (same amino acids composition but in different sequences) into same 760 small fragments or single amino acids (Liu et al., 2013; Liu and Liu, 2015), results in a loss 761 in isomer diversity. Another example would be the glycolysis of different forms of C_6 762 carbohydrate (e.g., glucose, fructose, and mannose), in which isomers of C₆ carbohydrate are 763 all converted into pyruvate. As microbial reworking of DOM has been thought to play a 764 major role in the formation of deep ocean DOM (e.g., Jiao et al., 2010), and it is still unclear 765 to which extent abiotic reactions contribute to the formation of DOM, therefore a decreasing 766 isomer diversity of natural DOM with biodegradation is not unexpected.

767 **5.** Conclusions

768 We applied multi-dimension molecular level analysis of natural DOM via IM Q-TOF 769 LCMS, with the focus on the isomeric aspect of this intricate mixture. By a critical 770 comparison with FT-ICR MS, we demonstrated that the formulas detected with IM Q-TOF 771 LCMS represented an important fraction, though different from the widely accepted FT-ICR 772 MS results, of the DOM pool, representing roughly 12% of the commonly recognized DOM 773 pool. From "the tip of iceberg", our results indicate that only a small fraction of the detected 774 DOM formulas (less than 23%) possesses more than one isomer clusters, or structurally 775 distinct isomers. Multidimensional pieces of evidence, from tandem MS, IM, and 776 computational chemistry, have shown that the majority of DOM only had structurally similar 777 isomer clusters, if any. This constraint in the functional diversity of isomers in DOM could

778 be attributed to the biological nature of DOM. With DOM samples collected from different 779 environments and through bioassay experiments, we further demonstrate that isomer clusters 780 in natural DOM become more homogenous, or less diverse with environmental degradation. 781 However, more data, including but not limited to DOM collected from different sources as 782 well as investigations on possible processes (e.g., both biotic and abiotic, such as 783 photochemical reactions) that could affect isomeric diversities of DOM, are required to 784 further elucidate the isomeric information on DOM. New analytical tools and improvements 785 on existing approaches are also in need to further probe into the isomeric characteristics of 786 DOM. For instance, the combination of pre-reduction and comprehensive gas 787 chromatography \times gas chromatography (GC \times GC) MS offered another unique angle to 788 investigate the C-backbone and isomeric diversity of DOM molecules (Arakawa et al., 2017). 789 To conclude, this work with IM-MS only represents an initial, but pivotal, step of the 790 endeavor to a better understanding of the intricate natural DOM.

791

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	C	ES	SI+			E	SI-	
Samples	Formula Number*	H/C*	O/C*	N/C*	Formula Number*	H/C*	O/C*	N/C*
ARSC2	1659 + 26	1.41 ± 0.03	0.28 ± 0.01	0.07 ± 0.00	652 + 48	1.07 ± 0.04	0.33 ± 0.01	0.08 ± 0.00
ARSC1	3337 ± 4	1.41 ± 0.03 1.46 ± 0.01	0.26 ± 0.01 0.26 ± 0.00	0.07 ± 0.00 0.06 ± 0.00	748 ± 9	1.07 ± 0.04 1.11 ± 0.00	0.33 ± 0.01 0.34 ± 0.00	0.08 ± 0.00 0.08 ± 0.00
ARSC3	1328 ± 48	1.41 ± 0.02	0.28 ± 0.00	0.07 ± 0.00	550 ± 6	1.12 ± 0.00	0.33 ± 0.01	0.08 ± 0.00
F1	1620 ± 43	1.47 ± 0.00	0.26 ± 0.00	0.07 ± 0.00	727 ± 170	1.19 ± 0.01	0.33 ± 0.01	0.08 ± 0.00
F2	1262 ± 36	1.51 ± 0.01	0.24 ± 0.01	0.08 ± 0.00	199 ± 23	1.13 ± 0.02	0.34 ± 0.01	0.08 ± 0.00
F3	1296 ± 0	1.49 ± 0.00	0.24 ± 0.00	0.08 ± 0.00	381 ± 79	1.11 ± 0.03	0.37 ± 0.01	0.08 ± 0.00
D11 0.5m	2875 ± 164	1.53 ± 0.01	0.23 ± 0.00	0.07 ± 0.00	574 ± 29	1.15 ± 0.04	0.32 ± 0.02	0.08 ± 0.00
D11 500m	2600 ± 49	1.47 ± 0.07	0.25 ± 0.03	0.08 ± 0.00	572 ± 121	1.14 ± 0.03	0.34 ± 0.01	0.08 ± 0.00
D11 1240m	1238 ± 34	1.50 ± 0.01	0.25 ± 0.00	0.07 ± 0.00	364 ± 6	1.17 ± 0.03	0.35 ± 0.01	0.08 ± 0.00

Table 1. Assigned formula number and elemental stoichiometry of GOM samples from Q-TOF LC/MS

1140 *: Number of formulas and elemental ratios were calculated by averaging two duplicate MS runs for each sample, with the precision

1141 between the two runs listed.

1142

Table 2. Elemental stoichiometry of bioassay samples from Q-TOF LC/MS

			,					
		ES	SI+			E	SI-	
Samples	Formula	H/C*	0/C*	N/C*	Formula	H/C*	0/C*	N/C*
	Number*	II/C	0/0	1400	Number*	II/C	0/0	11/0
Lavaca River (LR) T _i	540 ± 16	1.58 ± 0.01	0.19 ± 0.00	0.05 ± 0.00	462 ± 21	1.22 ± 0.06	0.28 ± 0.02	0.07 ± 0.00
Lavaca River (LR) T _f	477 ± 2	1.56 ± 0.02	0.19 ± 0.01	0.05 ± 0.00	262 ± 9	1.33 ± 0.00	0.25 ± 0.00	0.06 ± 0.00
San Antonio River (SR) T _i	577 ± 34	1.59 ± 0.01	0.19 ± 0.00	0.06 ± 0.00	325 ± 51	1.33 ± 0.03	0.27 ± 0.01	0.06 ± 0.00
San Antonio River (SR) $T_{\rm f}$	530 ± 10	1.55 ± 0.01	0.18 ± 0.01	0.05 ± 0.00	236 ± 15	1.43 ± 0.00	0.24 ± 0.01	0.05 ± 0.00

1144 *: Number of formulas and elemental ratios were calculated by averaging two duplicate MS runs for each sample, with the precision

1145 between two runs listed.

	Isomer	Percentage (%) of isomer clusters	Percentage (%) of isomer
Samples	Percentage	with similar LC-RT (RT ≤ 0.1 min	clusters with distinct LC-
	(%) *	or no difference)	RT (> 0.5 min)
ARSC1	9.85 ± 0.40	38.83 ± 2.83	48.83 ± 7.17
ARSC2	7.26 ± 0.07	27.98 ± 13.69	44.64 ± 19.64
ARSC3	9.92 ± 0.83	9.09 ± 9.09	67.13 ± 5.59
F1	13.11 ± 1.30	12.92 ± 0.42	80.63 ± 0.63
F2	8.50 ± 1.98	43.16 ± 12.39	34.19 ± 11.97
F3	8.03 ± 0.10	25.00 ± 15.00	55.00 ± 25.00
D11 0.5	12.92 ± 0.50	12.50 ± 2.50	70.00 ± 10.00
D11 500	11.65 ± 0.28	12.69 ± 2.69	56.92 ± 3.08
D11 1240	9.02 ± 0.64	38.97 ± 13.97	47.21 ± 17.79
D11 500 D11 1240	11.65 ± 0.28 9.02 ± 0.64	12.69 ± 2.69 38.97 ± 13.97	56.92 ± 3.08 47.21 ± 17.79

1149 Table 3. Isomer cluster percentage across different sites under ESI+ mode.

1150 *: The standard error here stood for the standard error between duplications.

1152 Table 4. Isomer cluster percentage before and after incubation.

Sites	Time	Isomer Percentage (%) *				
51105		ESI+	ESI-			
I D	Ti	7.88 ± 0.66	6.65 ± 0.96			
LK	T_{f}	4.95 ± 0.38	7.26 ± 2.26			
CD	T_i	7.11 ± 0.19	9.16 ± 3.07			
SK	T_{f}	4.31 ± 0.58	8.78 ± 3.22			

1153 *: The standard error here stood for the standard error between duplications.

1154

¹¹⁵¹

Number	Structure	Log P	Molecular Surface Area (Å ²)	Min projection Area (Å ²)	Max projection Area (Å ²)	Average projection Area (Å ²)
1		0.25	407.66	57.74	88.98	73.36
2		0.20	410.53	53.98	91.41	72.70
3		0.50	404.30	51.89	95.46	73.68
4		0.46	406.83	55.53	88.69	72.11
5		0.30	405.17	57.78	85.77	71.78
6		0.03	403.52	53.84	81.02	67.43
7		0.25	406.70	59.32	84.67	72.00

1150 Tuble 5. 110perties of isomers of moderied molecules with the molecular formula C18119100
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- 1158 Figure 1. Sampling sites in Gulf of Mexico (GOM).
- 1159 Figure 2. Comparison of van Krevelen diagrams of DC6 samples (collected from N 15.24°, E
- 1160 114.85°, South China Sea) across different depths between FT-ICR MS and IM Q-TOF LC/MS:
- 1161 unique formulas assigned with FT-ICR MS (blue), unique formulas assigned with IM Q-TOF
- 1162 LC/MS (green), and common formulas shared by two techniques (red).
- 1163 Figure 3. (A) The drift time vs. *m/z* diagram and (B) the drift time chromatogram showing the
- separation of two peptide isomers, SDGRG and GRGDS, under IM-MS mode.
- 1165 Figure 4. (A) Collision Cross Section (CCS) vs. *m/z* for GOM samples under ESI+ mode; (B)
- 1166 CCS vs. *m/z* for GOM samples under ESI- mode. The locations of the GOM samples are
- 1167 provided in Figure 1. The shaded area indicated 95% interval of prediction.
- 1168 Figure 5. Example of isomer separation in DTIMS under ESI- mode. (A) CCS-*m/z* diagram of
- sample D11 1240m. The isomer pair is shown in blue; (B) Two isomers of *m/z* 311.1650
- 1170 $(C_{20}H_{24}O_3)$, shown in orange and green, respectively. The red outline around the peaks in (B)
- 1171 show the cumulative profile of all isomers.
- 1172 Figure 6. The max number of isomer clusters detected for the given m/z across different GOM
- 1173 samples (from ARSC1 to D11 1240) under: (A) ESI+; and (B) ESI-.
- 1174 Figure 7. (A) CCS vs. *m/z* for incubation samples under ESI+ mode; (B) CCS vs. *m/z* for
- 1175 incubation samples under ESI- mode. The locations of the incubation samples are provided in
- 1176 Figure S1. The shaded area indicated 95% interval of prediction.
- 1177 Figure 8. The max number of isomer clusters detected for the given m/z across different
- 1178 incubation samples (including SR and LR) at: (A) T_i under ESI+; (B) T_f under ESI+; (C) T_i
- 1179 under ESI-; (B) T_f under ESI-.





(A) Drift Time (ms) vs. m/z



Drift Time (ms)



CCS (Å²)







