1 Shaping buildings to promote street ventilation: a large-eddy

2 simulation study

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12 Abstract Proper ventilation of urban streets is important for safeguarding the health and comfort of urban inhabitants. To compare the influence of different street canyon building geometries on 13 urban ventilation, large-eddy simulations (LES) have been performed under neutral stability 14 conditions. Five different street canyon building geometries have been tested: the i) flat roof, ii) 15 pitched roof, iii) round roof, iv) terraced building and v) building with balconies. The geometries 16 17 were configured as seven building arrays, with six street canyons in between them aligned in the span-wise direction. The Air Exchange Rate (ACH) between the street canyons and the free 18 atmosphere has been computed for the different cases. The results show that the ACH is very 19 20 sensitive to the building geometry; therefore, it appears reasonable to suggest that buildings can be shaped to promote urban ventilation. The paper also proposes an alternative ACH estimation 21 22 method based on the folded-normal distribution that is shown to produce very good estimates of the LES-computed ACH. The new method uses vertical mean velocity and turbulence statistics 23 that can be obtained from less intensive Computational Fluid Dynamic (CFD) models. A 24 25 simplified two-reservoir Pollutant Concentration (PC) estimation methodology based on the ACH results is also proposed. 26

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28 Keywords:

29 Air Exchange Rate, Air Quality, Architectural Design, Large Eddy Simulation, Urban30 Ventilation.

31 **1 Introduction**

The World Health Organization reported that in 2012, around 7 million people died prematurely - one in eight of the total global deaths – as a result of air pollution. This finding more than doubles previous estimates and confirms that air pollution is now the world's largest single environmental health risk (WHO, 2014). Since adverse air quality tends to be primarily an urban problem, and given the very rapid pace of urbanization in this century (UNFPA, 2014), maintaining good air quality in built areas is of paramount importance to safeguard the health and comfort of urban inhabitants.

39 Air quality in cities is affected by ambient wind speed and direction, atmospheric stability, solar radiation and anthropogenic pollutant emissions (Britter and Hanna, 2003). Thermal pollution 40 and chemical pollutant concentrations peak in cities, as opposed to the countryside, due to the 41 high and localized anthropogenic emissions, as well as to the topographical and surface material 42 properties of the urban fabric (Landsberg, 1981; Oke, 1987). Luke Howard, a British chemist and 43 44 meteorologist, was one of the first scientists to address this evidence through observational work in the 1830s (Howard, 1838); and since then, research on urban air pollution has been on-going. 45 In the second half of the 20th century, the first comprehensive air quality policy was established 46 47 in the UK - the Clean Air Act of 1956 - which was followed by the US clean air act in 1963. Of specific relevance to urban pollution, an effort to move polluting plants and manufacturing 48 outside of cities has been underway for decades. Vehicle circulation restrictions are also being 49 implemented in various cities. That is the case for Madrid, for example, with the newly approved 50 anticontamination protocol, or London, with the Low Emissions Zone (LEZ) regulation 51 established in 2008 (Transport of London, 2015). Similar policies are concomitantly being 52 implemented in other cities around Europe such as Paris, Milan or Budapest. 53

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Nevertheless, since emissions are not the only factor contributing to pollution risk, regulations that pertain to urban planning and architectural design considerations are also starting to be implemented. The Hong Kong Air Ventilation Assessment (AVA) is one such regulation formulated to assess the impact of architectural designs on the pedestrian wind environment (Ng, 2009; Ng, 2012). Such building design guidelines that promote urban ventilation by accelerating pedestrian-level air flow and pollutant dispersion are becoming increasingly more important. This is especially true for dense urban environments where urban ventilation is most

compromised (Oke, 1988b). However, despite Hong Kong's example, urban policies that 62 incorporate guidelines to promote urban ventilation are still scarce. The reasons for this are 63 numerous; one of the most important being the barrier posed by the technical expertise and effort 64 required for modelling of air flow and pollutant dispersion that many local administrations do not 65 possess to tailor regulations for their cities. This is why architectural and urban planning 66 processes often fail to incorporate design strategies to enhance urban ventilation (Oke, 1988a). 67 Therefore, the definition of broad design guidelines and urban ventilation estimation strategies 68 that are of wide applicability across many cities would be most useful for an easier 69 70 implementation of urban ventilation criteria within planning and architectural design processes.

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72 While urban structures differ among different cities as well as between different neighbourhoods 73 within the same city, arguably one of the most characteristic world-wide urban typology is the 74 urban street canyon. The urban street canyon, is a typological urban configuration in which the 75 dominant sources of pollution, vehicle emissions, concentrate in close proximity to the 76 pedestrians (Britter and Hanna, 2003). Therefore, the urban street canyon has been often studied 77 as an archetypal model in the context of urban air quality, urban ventilation, and urban heat 78 island investigations, with the aim of developing a universal understanding of these problems 79 that is of wide applicability.

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Within an urban street canyon, the presence of dominant circulation patterns and the turbulent 81 momentum and scalar exchanges between the inside and the outside region of the canyon are 82 83 very important aspects to take into account for dispersion calculations. For high building height (H) to street width (W) ratios, a particularly adverse flow regime could occur where the flow 84 above the canyon skims across with minimal exchanges with the air inside the canyon (skimming 85 flow regime, (Oke, 1988b)). That is, for street canyons with a wind angle nearly perpendicular to 86 the main axis of the canyon, when the building spacing is reduced beyond a certain threshold, a 87 decoupling of the flows above and below the canyon occurs. One way to quantify these 88 exchanges is via a street canyon transfer velocity U_e , induced by mean (including dispersive) and 89 turbulent fluxes; this transfer velocity has been extensively studied both experimentally and 90 numerically (Vardoulakis et al., 2003). For air quality applications (or urban heat), the exchange 91 92 velocity is best defined through the average rate of mass (or heat) transfer in or out of the urban

canopy layer at a horizontal plane of interface between the in-canopy and above-canopy flows. 93 Britter and Hanna (2003) introduced the concept of exchange velocity for the first time and 94 studied the spatial temperature distribution and scalar exchanges at the plane of interface, to 95 conclude that U_e was approximately 1% of the characteristic wind velocity U_{ref} above the street 96 canyon. U_e is also frequently used in numerical simulations (e.g. Hamlyn and Britter, 2005; 97 Solazzo and Britter, 2007). Di Sabatino et al. (2007) and Di Sabatino et al. (2008) used the 98 exchange velocity to compare the performance of the k- ε turbulence closure model and the 99 advection-diffusion method. Hamlyn and Britter (2005) estimated U_e as a fraction of U_{ref} and 100 found that it ranges from 0.3% to 1% for regular cube arrays with variable packing densities. 101 Solazzo and Britter (2007), through numerical studies, applied the concept of U_e to a street 102 canyon with weak buoyancy effect, and concluded that the temperature inside the street canyon 103 104 is nearly uniform and that U_e is about 1% of the free-stream wind speed.

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106 Estimations of exchange velocities were also performed through experimental work. Barlow and Belcher (2002) and Barlow et al. (2004) developed wind tunnel experiments using the 107 Naphthalene sublimation technique. In their analysis, they used the concept of a transfer velocity 108 109 to relate the flux out of the canyon to the concentration within it and reported that the transfer velocity to wind speed aloft ratio varies with the building aspect ratio, reaching a maximum in 110 the wake interference regime. This regime occurs in street canyons with 0.3 < H/W < 0.65 (i.e. 111 more widely spaced than the skimming regime) and is characterized by stronger vertical 112 exchanges and interactions of the wakes of distinct buildings. Salizzoni et al. (2009) estimated 113 114 the exchange velocity between the canyon and the external flow by measuring the cavity washout time, that is, the time it takes for the whole air cavity volume of the street canyon to be 115 removed from the street canyon, and addressed the influence of the external turbulence on the 116 transfer process. Salizzoni et al. (2011) developed wind tunnel experiments using the PIV 117 technique and concluded that turbulent transfer is due to the coupling between the instabilities 118 generated in the shear layer above the canyons and the advected turbulent structures in the outer 119 boundary layer (the air above the urban boundary layer), and proposed to estimate the mass 120 exchange between a two-dimensional cavity and the overlying boundary layer by looking at the 121 pollutant wash-out from the cavity. 122

The exchange velocity has also been used for the so called "city breathability" concept that was 124 introduced by Neophytou and Britter (2005) to express the potential of a city to remove pollutant 125 and heat entrapment from urban environments. The same urban breathability ventilation 126 indicator was used, among others, by (Buccolieri et al., 2010; Panagiotou et al., 2013; Tominaga, 127 2012). Panagiotou et al. (2013) quantified city breathability using U_e and conducted Reynolds-128 Averaged Navier Stokes (RANS) simulations for an inhomogeneous urban area to conclude that 129 urban morphologies determine the shape and size of vortical structures that are present in the 130 flow field, and thereby the exchange processes with the flow above. However the studies 131 developed did not systematically study the effect of building morphology. Buccolieri et al. 132 (2015), also through RANS simulation, studied city breathability by combining two ventilation 133 concepts: mean flow rate and age of air. They developed studies of aligned arrays of cubes with 134 variable areal building densities and concluded that the local mean age of air increases 135 136 substantially by increasing the density. A similar strategy was followed by Ramponi et al. (2015) 137 who looked at the ventilation performance of street canyons by means of the local mean age of air. As described by Ramponi et al. (2015) the local mean age of air (τ_p) is a statistical measure 138 of the time it takes for a parcel of air to reach a given point in the flow field after entering this 139 140 flow field. That is, for urban wind flow, it can be defined as the average time it takes for the 141 external "fresh" air parcel that enters into the street canyon to then exit that canyon (Hang et al., 142 2009).

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Another frequently used ventilation indicator is the Air Exchange Rate (ACH), that is, the 144 145 volumetric air exchange between the street canyon and free atmosphere per unit time (Liu et al., 2005). Liu et al. (2005), Riain et al. (1998), making use of LES, investigated the concept of air 146 exchange rate (ACH), pollutant exchange rate, average pollutant concentration, and pollutant 147 retention time, to quantify the street canyon ventilation and pollutant removal performance. Xie 148 et al. (2006) developed numerical studies to investigate the effect of solar radiation on the ACH 149 of an idealized street canyon and reported that the air exchange rate ACH_{w'} induced by the 150 151 vertical velocity fluctuation w' is generally larger than ACH_w induced by the mean vertical velocity \overline{w} . 152

153 Since LES requires high computational resources, Li et al. (2005) estimated ACH using the more 154 cost-effective RANS technique, by assuming isotropic turbulence at the top of the canyon. Their

RANS model used a k- ε closure, and hence this isotropy assumption was needed to infer the 155 vertical velocity variance from the computed turbulent kinetic energy (TKE). The RANS ACH 156 was reported to produce a slight over prediction of the LES ACH, with a deviation within 20%. 157 These studies were followed by Cheng et al. (2009) who also used RANS with a k- ε turbulence 158 closure to study street canyon ventilation & pollutant removal under various heating 159 configurations & building geometries. A similar approach was followed by Moonen et al. (2011) 160 who introduced the concept of Ventilation Potential (VP) and developed RANS and LES studies 161 of various street canyon and courtyard building geometries with variable angles of attack (angle 162 between the street canyon axis and the wind direction). The ventilation potential in that study 163 was described as a statistical measure to assess the removal of scalars and was defined by using 164 the magnitude of the flux through the plane of interface, normalized by the free-stream wind 165 166 speed and parameterized as a function of the courtyard's length-to-width ratio and of the angle of 167 attack of the incoming wind flow.

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In these previous studies, various ventilation indicators (one should point out however that all 169 these indicators are correlated) have been utilized to assess the influence of parameters such as 170 building aspect ratios, incoming inflow turbulence characteristics, or angles of attack on the 171 street canyon urban ventilation. In cases when computationally less demanding approaches for 172 the computation of street ventilation have been sought, a RANS approach was selected. Some of 173 these prior studies that have used RANS models for the computation of street ventilation have 174 reported results to be within a reasonable uncertainty range in comparison to experimental or 175 176 LES results. However, given the inherent limitations of RANS models where all turbulent fluxes (the full spectrum) need to be parameterized, the general validity of RANS to estimate the 177 ventilation potential of urban street canyons remains in question. RANS in general is particularly 178 179 challenged by complex geometries, similar to the ones we simulate here as presented later, where intricate flow separation behaviour might occur (Slotnick et al., 2014). Given these limitations 180 and the fact that RANS models do not account for turbulent transport in a direct manner, they are 181 182 not ideal to calculate the air ventilation and pollutant dilution rates. LES on the other hand captures the transport produced from a broader spectrum of scales, namely the large eddies that 183 184 in fact are the most important for canyon exchanges. LES explicitly calculates the resolved-scale turbulent transport and models only small subgrid-scale processes. Therefore, LES is the tool of
choice for determining ventilation rates. Thus, for the street ventilation studies presented in this
paper, the LES technique has been utilized.

Another important aspect to take into account is that prior literature has mainly focused on the 188 study of 'box like' idealized building geometries disregarding the influence of further 189 architectural scale geometrical variations in street ventilation. That is, the morphological 190 parameters of urban street canyons have been generally reduced to the plan area λ_T and frontal 191 area λ_F densities. The total building plan area, A_P , and the total building frontal area, A_F , in a 192 total built lot of area, A_T , can be used to define the "lambda parameters": the areal or planar 193 density being $\lambda_P = A_P / A_T$ and the frontal area density $\lambda_F = A_F / A_T$ (Britter and Hanna, 2003). To 194 195 a lesser extent, the influence of the building streamwise length (W) to height ratio, as well as the influence of the angle of attack of the wind flow relative to the main street axis, have also been 196 researched. However, the majority of this literature investigated cuboid building shapes, i.e. flat 197 roofs. Only a limited number of prior studies have looked at more complex street canyon 198 building geometries, such as pitched roof configurations. Notably, these investigations have 199 200 found architectural geometrical variations to have a strong influence on the street canyon air flow dynamics. Rafailidis (1997) and Rafailidis and Schatzmann (1996) developed wind tunnel 201 202 studies to investigate the influence of pitched roof building arrays on street flow and pollutant dispersion and concluded that altering the roof geometry can have bigger impact on urban air 203 quality than modifying canyon aspect ratios. These investigations were followed by (Dezső-204 Weidinger et al., 2003; Huang et al., 2009; Kastner-Klein et al., 2004; Kastner-Klein and Plate, 205 1999; Kellnerova et al., 2012; Takano and Moonen, 2013; Theodoridis and Moussiopoulos, 206 207 2000; Xie et al., 2005; Yassin, 2011) who through wind tunnel and numerical studies, highlighted the role of roof geometry on street canyon air flow and turbulence statistics. 208

However, the main focus of these papers was not the estimation of urban ventilation. Therefore, the effect of architectural considerations such as variable roof designs on urban ventilation remains poorly understood. Furthermore, the impact of further architectural and street level features, such as those depicted in (Fig. 1), on street ventilation is yet to be researched. These knowledge gaps motivate this study, which aims to advance our understanding of urban ventilation by considering the ACH of various street canyon geometries with variable façade and roof geometries. A suite of LES cases is modelled to compute the air exchange rate for street canyon morphologies with variable roof and façade configurations. The research complements
the experimental and LES results presented by Llaguno-Munitxa et al. (2017), where the focus
was on validating the LES and investigating the mean and turbulence statistics of the different
geometries. Further LES cases have been performed for this paper to compute the ACH of five
variations on building geometry, as detailed in the next section.

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Fig. 1 Illustrative figure to show a typical urban canyon scenario. Pollutants emitted by vehicles and chimneys, and the entrapment of thermal pollution are illustrated to show their dependence on urban furniture and local architecture.

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Specifically, the driving questions of this paper are: 1) How does roof and façade geometry influence air exchange between a canyon and the air aloft? 2) How can this exchange rate be accurately parameterized in the absence of the direct measurements allowed by LES (from the mean velocity and TKE available through RANS for example)? How does the street level concentration of a pollutant depend on the emission rate of that pollutant, its concentration above the street canyon, and urban geometry? The details of the simulations are presented in the
following two sections, and the results are analysed and reported in section 4. A summary and
conclusions are included in section 5.



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Fig. 2 A schematic of the LES computational domain.

238 2 Building Geometries

The computational domain is nominally 3.724 m long, 0.912 m wide and 0.608 m tall, and the 239 top, bottom, and side walls have been specified as hydrodynamically smooth wall boundaries 240 mimicking the cross section of the wind tunnel that was used to validate the LES code (Llaguno-241 242 Munitxa et al., 2017). An underlying assumption is that the Reynolds number (Re) is sufficiently elevated such that the results are not strongly dependent on the length scales used in the problem. 243 This assumption was investigated in Llaguno-Munitxa et al. (2017) and found to be acceptable, 244 though continued dependence on *Re* was noted since the code used (Fluent) does not discard the 245 viscous term as many codes used for atmospheric simulations do. Seven building arrays have 246 been positioned perpendicular to the approach flow and thus the resulting six street canyons are 247 aligned in the span wise direction (see Fig 2). In order to guarantee a fully developed wind 248 249 profile, the measurements and simulation data analysis have been conducted in Canyon#06, the last downstream canyon. Figure (3) shows the vertical profiles of mean velocity for the central 250

axis for one of the tested geometries, the round roof, obtained with two different inflows (that we will detail shortly) to confirm that the results from the last one are indeed representative of a fully developed (i.e. infinite) array and not strongly dependent on the inflow. These results coincide with those obtained by Brown et al. (2000) who developed wind tunnel studies composed of a similar seven-building array configuration, and who reported that only after canyon #3 or #4 can the flow be considered "in equilibrium" or fully developed.

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Fig 3 The top figure displays the normalized mean velocity magnitude for the round roof geometry for the central axis of all 6 canyons (flow is from left to right). The bottom figure displays the total root mean square (rms) velocity σ_t for the central axis of all 6 canyons. Two inlet conditions are displayed (inlet #1 and inlet #2) as will be described in Section #3.

An aspect ratio of S/H = 1 has been defined for the street canyons (where S is the spacing 264 265 between the buildings and H is their maximum height (the vertex for non-flat roofs). In the simulations, we use S = H = 0.07 m. The vortex circulation that is created in street canyons 266 oriented perpendicular to the approach flow has been described in prior publications (e.g. 267 (Hunter et al., 1990). The angle of attack has been constrained to 90° in the present simulations, 268 representing canyons that are perpendicular to the mean wind. The frontal area density λ_F and 269 the plan area density λ_P (Britter and Hanna, 2003) have been kept constant and the atmospheric 270 stability is neutral in all simulations since we aim to strictly focus on the influence of the 271 architectural scale geometrical features. The building geometries that have been studied are: i) 272

the flat roof ii) the pitched roof, iii) the round roof, iv) the terraced building and v) building withfaçade balconies.

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276 **3** Numerical setup

Large-eddy simulations have been performed for the 5 studied building geometries. As opposed 277 to RANS models, LES directly calculates the large-scale turbulent structures (larger than the grid 278 279 or filter scale) and only requires modelling of the smaller scales. This is one of the main reasons why LES is at present the most appropriate tool for determining ventilation rates (at realistic *Re*) 280 281 and has become widely used for turbulent flow simulation in engineering and environmental applications, including for urban flows (Anderson et al., 2015; Bou-Zeid et al., 2009; Giometto 282 et al., 2016; Inagaki et al., 2012; Li et al., 2016; Nazarian et al., 2017; Xie and Castro, 2009; 283 284 Yaghoobian et al., 2014). Here we use the LES solver of Ansys Fluent 14.5. The filtered LES continuity and momentum equations solved by Fluent LES reduce for incompressible neutral 285 flow to: 286

$$\frac{\partial u_i}{\partial x_i} = 0,\tag{1}$$

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$$\frac{\partial \tilde{u}_i}{\partial t} + \frac{\partial}{\partial x_j} \left(\tilde{u}_i \tilde{u}_j \right) = -\frac{1}{\rho} \frac{\partial \tilde{p}}{\partial x_i} + 2\nu \frac{\partial \tilde{S}_{ij}}{\partial x_j} - \frac{\partial \tau_{ij}}{\partial x_j}.$$
 (2)

The tilde ($\tilde{}$) here denotes filtering; p is the pressure; u_i the velocity vector; x_i the position vector; 289 S_{ij} is the strain rate tensor; τ_{ij} is the subgrid scale (SGS) stress tensor; v is molecular viscosity; 290 291 and ρ is the fluid density. The deviatoric part of τ_{ij} is modelled in Fluent via an eddy viscosity closure ($\tau_{ij}^D = -2\nu_t S_{ij}$), while the isotropic part is added to the pressure as is common in many 292 LES codes (see for example Bou-Zeid (2005)) . The Algebraic Wall-Modelled LES (WMLES) 293 SGS model has been used in the numerical experiments. WMLES is a hybrid RANS/LES 294 295 method (RANS is used in the regions where the turbulence is in equilibrium and LES is used where non-equilibrium occurs). Through this approach, the need to resolve the viscous sublayer 296 is relaxed (wall-resolved LES, see Pope (2000)) and the computational cost of the simulations is 297 substantially reduced. The SGS eddy viscosity v_t in WMLES is calculated through the 298

formulation of Shur et al., (2008). This formulation combines a mixing length model for the RANS region, a modified Smagorinsky model (Smagorinsky, 1963) for the LES region, and the wall damping function of (Piomelli et al., 1988). The resulting expression of v_t hence features a hybrid mixing length scale (ANSYS 2013):

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$$\nu_{t} = \min\left[\left(\kappa d_{w}\right)^{2}, \left(C_{smag}\Delta\right)^{2}\right]\overline{S}\left[1 - \exp\left[-\left(\frac{y^{+}}{25}\right)^{3}\right]\right].$$
(3)

Here, d_w is the distance to the wall; *S* is the strain rate magnitude; $\kappa = 0.41$ is the von Kármán constant; $C_{Smag} = 0.2$ is the Smagorinsky model constant; and y^+ is the distance normal to the wall in viscous units. This LES model uses a modified grid filter scale to account for the grid anisotropies in wall-modelled flows:

$$\Delta = \min\left(\max\left(C_{w}d_{w};C_{w}h_{\max};h_{wn}\right);h_{\max}\right),\tag{4}$$

309 where, h_{max} is the maximum length of the cell's edge, h_{wn} the wall-normal grid spacing, and 310 $C_w = 0.15$ a constant.

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The SIMPLEC scheme is used for the pressure-velocity coupling. The spatial discretization for 312 313 the momentum equation uses the Least Squares Cell based method for the gradient, standard 314 method for the pressure, and bounded central differencing for the momentum. An implicit second-order scheme is used for the time advancement. The dimensional time step size has been 315 set to dt = 0.00025 s. The cases have been run for 60,000 time-steps. The eddy turnover time is ~ 316 0.0422 s due to the small spatial scale of the model (see table 2); therefore, each simulation 317 318 includes about 355 eddy turnovers, which is sufficient for the statistics to converge. The timestep ensures that the Courant–Friedrichs–Levy (CFL) number is always smaller than 1 (≈ 0.06) 319 at all grid points. The initial 40,000 time-steps were not considered in the calculations to remove 320 the influence of the initial conditions, hence the results shown are averaged over the last 20,000 321 time steps, equivalent to about 120 eddy turnovers (about 5 seconds in physical time). 322

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The simulation has been set so that it provides a sufficient degree of similarity with a typical urban-like street canyon environment, though the length scale of the model building was reduced to $L_m = 0.07$ m for the validations against wind tunnel experiments reported in (Llaguno-Munitxa

et al., 2017). An equivalent real world prototype would have a characteristic length scale of $L_{\rm ref}$ = 327 15 m (typical street canyon building height), and a typical urban characteristic velocity of U_{ref} = 328 2 ms⁻¹. Therefore, the studied length scale ratio L_m/L_p between the model and the real building is 329 \approx 1/200. The LES simulations have been conducted at $U_r = 1.8 \text{ m s}^{-1}$, the upstream incoming air 330 velocity at 2H, corresponding to a Reynolds number $Re_M = 9.12 \times 10^3$, based on the 0.07m length 331 scale of the buildings and an air viscosity of 1.5×10^{-5} m² s⁻¹. Given the scale differences between 332 the model and the prototype, the required velocities to be achieved to meet dynamic similarity 333 (match Re) were not reproducible in the wind tunnel as described in (Llaguno-Munitxa et al., 334 2017), and subsequently they were not reproduced in all numerical simulations. Re will have 335 some quantitative impacts on the results, especially so for the round roof geometry case, that 336 were assessed by conducting simulations at an $Re \approx 2 \times 10^6$ that is closer to typical real-world 337 values (see Llaguno-Munitxa et al., (2017)). The broad conclusion from that study is that the 338 339 impact of geometry on urban ventilation will not be drastically influenced by the Re (Llaguno-Munitxa et al., 2017), and thus geometries can be compared for their ventilation potential using 340 the smaller domain simulation we already conducted (although quantitative results will be 341 sensitive to Re). Given these findings, and given the variability of Re in various real-world 342 343 applications, we focus here on the larger number of simulations performed at the same Re as, and 344 validated against, our previous wind tunnel studies.

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A grid with hexahedral cells is employed in the three-dimensional domains. Grid sensitivity studies were performed for the computational mesh and a grid cell resolution of $0.007 \times 0.007 \times 0.007$ m has been adopted. The exact number of grid nodes varied between geometries, but it was $\approx 4.75 \times 10^6$ nodes with $\approx 80 \times 120 \times 490$ spanning the *z*, *y*, and *x* directions, respectively. A distance of 1.308 m was left between the first street canyon model and the inlet of the domain and from the last canyon model to the outlet, mimicking the wind tunnel. The essential simulation parameters are summarized in Table 1.

Table 1. Numerical set-up parameters

Front/top area density	$\lambda_p \lambda_t = 0.076 \text{ m}$
Inlet velocity	$U_r = 1.8 \text{ ms}^{-1}$
Local grid scale	$\Delta = 0.0076 \text{ m}$

Flow time across full domain	$T_{FD} = L U_r^{-1} = 2.06 \text{ s}$
Time computed	$T_c = 5 \text{ s}$
Building-scale eddy turnover time	$T_{T0} \approx H U_r^{-1} = 0.0422 \text{ s}$

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The outlet has been specified as a zero-gradient boundary to generate a fully developed flow. For the inlet, a mean logarithmic velocity profile with a fluctuating velocity generated using the socalled "Vortex Method", which generates a time-dependent inlet condition through the introduction of a random 2D vortex as detailed in Fluent theory guideline (ANSYS 2013), has been used with 190 vortices. The turbulent intensity has been set to 5% and the turbulent to molecular viscosity ratio to 10. The log law mean profile simply follows the classic formulation:

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$$u_{z} = \frac{u_{*}}{k} \left[\ln \left(\frac{z - d}{z_{0}} \right) \right], \tag{5}$$

where the upwind terrain roughness is set to $z_0 = 0.03$ m, the friction velocity to $u^* = 0.34$ ms⁻¹, and the displacement height to d = 0.03 m. This results in an inlet profile that has significant shear at the scale of the building, mimicking inflow from other buildings upwind. The same inlet conditions (called *Inlet #2*) have been applied to all studied building geometries. More details on the numerical setup can be found in Llaguno-Munitxa et al. (2017).

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The simulations were also performed with a laminar inlet and a homogeneous flow profile 368 369 (called Inlet #1). Fig. 3 displays the results obtained with the two different inlet conditions. Inlet #1, that is the laminar inlet, and Inlet #2, the turbulent log profile. This comparison aims to 370 display the self-similarity of the mean and turbulence statistics after canyon 3 or 4. Therefore, 371 372 Fig. 3 confirms that by canyon #6 (the canyon that this paper will be focusing on) the flow characteristics are not as sensitive to the inflow conditions as they are from canyon #1 until 373 374 canyon #3. This consideration is important given the variability of inflow conditions that can be 375 present in urban environments. The results obtained following the present numerical setup are thus considered to provide a sufficient degree of comparability to general urban like inflow 376 377 conditions over large neighbourhoods with similar geometries.

379 4 Validation

The code with the same numerical and domain setup was extensively validated in Llaguno-Munitxa et al. (2017). As such, here we will only provide a summary of these validations so the reader can appreciate the strengths and weaknesses of the LES for the flows investigated in this paper.

The numerical experiments (using Inlet #1) have been validated against the wind tunnel data 384 collected by Llaguno-Munitxa et al. (2017) for canyon #6 and for three of the tested building 385 386 geometries, the flat roof, pitched roof and round roof geometries. In figure (4), the LES mean and variance results are compared for canyon #6, and for the flat, pitched, and round roof 387 geometries, against the wind tunnel results. The comparison of the wind tunnel and LES results 388 for the flat roof geometry show a good agreement, with the largest discrepancies at heights 389 between 1H and 2H for the mean velocities. The σ_t^2 (the sum of the streamwise and vertical 390 391 variances that the hotwire captures) plots also display a good agreement with differences smaller than 15%. For the pitched roof geometry, the comparison of the mean velocity between the wind 392 tunnel and LES results also shows a very good agreement with differences smaller than 5%; a 393 similarly good agreement is observed for the case of the round roof geometry mean velocity. On 394 the other hand, the σ^2 comparisons between the wind tunnel and LES results show larger 395 discrepancies for both the pitched and round roof geometries in comparison to the σ^2 plots of the 396 flat roofs or to the means. The wind tunnel and LES results agree qualitatively on higher 397 turbulence levels for the pitched and round roofs in comparison to the flat roof; however, LES 398 appears to overpredict the turbulence statistics in comparison to the wind tunnel results, 399 especially so for the round roof geometry. Differences in the inlet velocity or an underestimation 400 401 by the hot wire of the variance induced by the low velocity in the canyon might be possible causes for this discrepancy; however, it would be expected that such a mismatch would also 402 influence the results of the flat roof and the means. Thus, the more plausible cause is that the 403 LES has more difficulty in capturing the turbulence inside the canopy over non-flat roofs, and 404 subsequently the turbulence levels inside as well as outside the canopy layer are affected. This is 405 related to the fact that, for LES, capturing the separation correctly, particularly over round roofs, 406 is more challenging. Temmerman et al. (2003) provides an in-depth analysis of the challenges of 407 408 LES to model the flow over a round hill. For the flat roof, the separation occurs at the corner of the building and thus is easy to capture (Aynsley, 1999). However, for the other roofs with more 409

410 complex shapes, the geometries do not impose a clear separation point, and so the LES might
411 have more difficulty matching it exactly especially in simulations like ours where LES relies on
412 wall-modelling. Moreover, the separation will also be sensitive to the effective *Re* of the
413 simulation.

The results obtained for the flat roof geometry (using Inlet #1) have also been validated against 414 data from Brown et al (2000) who, for a similar setup with an array of 7 buildings, studied the air 415 flow and turbulence statistics. These validations have been reported in Llaguno-Munitxa et al. 416 (2017) and will not be reproduced here. Vertical profiles have been compared for canyon #2, 417 canyon #6 (the canyon where the LES results have been displayed), and downstream. The mean 418 velocity profiles were found in very good agreement with differences of about 5%. The turbulent 419 kinetic energy results display larger discrepancies than the mean velocity results; however the 420 differences remain acceptable. Overall, the profile trends are captured accurately and the 421 422 quantitative errors remain moderate.



423 424

Fig 4 Wind Tunnel and LES result (with imposed Inlet #1 inflow conditions) comparison for canyon #6 until 4*H*. All values are normalized by the reference velocity U_r , which has been taken at 2.5*H* upstream. The left panel depicts the wind tunnel and LES wind speed, U_t , for the Flat roof, Pitched roof, and Round roof. U_t is the magnitude of the velocity vector, mainly composed of the streamwise and vertical components. The right panel depicts the corresponding wind tunnel and LES total variances σ_t^2 . For more details refer to Llaguno-Munitxa et al. (2017).

-
- 432
- 433

434 5 Air Exchange Rate (ACH)

Air exchange from within a canyon generally can take place horizontally (along the street axis) 435 and vertically (across the interface plane aligned with the roof vertices). For the archetypical 436 urban street canyon studied in this paper however, the canyon is assumed to be very long such 437 that the vertical exchanges are the principal source of clean fresh air. The studied building 438 geometries therefore follow an idealized 2-dimensional 'infinite' building array configuration, 439 and focus is therefore placed on the analyses of the vertical air exchanges. One must note 440 441 however that real canyons can have complex 3-dimensional mean flow patterns where this assumption is not applicable. 442

443 The instantaneous vertical perturbation velocities w' have been saved from the LES runs for directly computing ACH at HP_1 : the exchange at the plane of interface between the urban 444 canopy layer and the free atmosphere, and HP_2 : the exchange across a horizontal plane at the 445 pedestrian level (see Fig. 5) for all studied building geometries. The instantaneous w' velocities 446 for the vertical plane VP_1 as indicated in (Fig. 5) have also been saved to understand the 447 variability of w' in the section plane. For all planes and sections, the same number and sequence 448 of time-steps have been recorded and analyzed over about 120 eddy turnover times as detailed 449 450 above.



451

Fig 5 Air Exchange HP_1 and HP_2 and section plane VP_1 locations. The figure illustrates a limited section of the canyons; and VP_1 is in the middle of the domain span, halfway between the side walls.

456 5.1 Direct LES ACH estimation

457

The Air Exchange Rate calculations for the HP_1 and HP_2 planes have been performed by 458 studying the flow across both planes for 20,000 time-steps (5s computed time) as described in 459 section #3. The data have been saved at the cell centre for HP_1 , HP_2 , and VP_1 . The number of 460 cells in the studied HP_1 and HP_2 planes ranged from 1900 for the flat roof to 5520 for the pitched 461 roof (bear in mind that the top exchange planes for the pitched and round roofs are twice larger 462 and the terraced building exchange plane is 0.4H larger than the exchange plane for flat roof and 463 geometry with balconies). For the VP_1 plane, the number of cells for the flat roof case was 800 464 465 and for the pitched roof it was 1111.

466

467 The vertical fluxes for HP_1 and HP_2 planes for a given street canyon volume are directly 468 computed by integrating the mass flux out of the canyon following:

469
$$ACH_{LES}(m^{3} / s) = \iint_{A} \underbrace{\left(\frac{1}{T} \int_{0}^{T} w^{+} dt\right)}_{\text{temporal averaging}} dA \tag{6}$$

470 where *T* is the total time averaging period, that is, 5 s. *A* is the area of HP_1 or HP_2 planes over 471 which the ACH has been computed. w^+ reflects the recorded instantaneous positive vertical 472 velocities (while w^- that will be used later are the negative ones). This is essentially the time-473 average of the positive vertical velocity (flow exiting the canyon) at each point, integrated or 474 summed spatially over the whole plane. Normalizing by the street canyon volume V_c (where the 475 subscript *c* refers to the canyon, and h_c is the depth of the canyon) yields ACH^{*} defined as:

$$ACH^*(s^{-1}) = \frac{ACH}{V_c} \tag{7}$$

477 From (Eq. 6) and (Eq. 7), for the simple case of a flat roof where $V_c = h_c A$, we obtain:

478
$$ACH^{*}(s^{-1}) = \frac{1}{h_{c}} \left(\frac{1}{A} \iint_{A} \left(\underbrace{\frac{1}{T} \int_{0}^{T} w^{+} dt}_{\text{temporal averaging}} \right)_{\text{spatial averaging}} = \frac{1}{h_{c}} \langle \overline{w^{+}} \rangle.$$
(8)

The overbar here denotes temporal averaging, while the angled brackets denote spatial averaging. Distinguishing between these two averaging operators is very important in spatiallyvariable flows over complex terrain. For two-dimensional configurations with uniform street profiles in the longitudinal axis and with an invariant total air mass inside the canyon, continuity imposes that:

$$\langle \overline{w} \rangle = \langle \overline{w^+} \rangle + \langle \overline{w^-} \rangle = 0 \quad \Rightarrow \quad \langle \overline{|w|} \rangle = 2 \langle \overline{w^+} \rangle.$$
⁽⁹⁾

Where the vertical bars denote the absolute value of *w*. Therefore, the ACH* can be estimated
(again for the simple flat roof case for illustration) following:

487
$$ACH^*(s^{-1}) = \frac{ACH}{V_c} = \frac{1}{h_c} \left\langle \overline{w^+} \right\rangle = \frac{1}{h_c} \frac{1}{2} \left\langle \overline{|w|} \right\rangle.$$
(10)

If the roof is not flat, h_c in Eqs 8 and 10 should simply be replaced by an effective height 488 $h_e = V_c/A$. As such, the exchanges are produced by (i) the turbulent perturbations from the 489 temporal mean that modulate $\overline{w^+}$, as well as by (ii) the coherent patterns of the time-averaged 490 mean flow that modulate $\langle \overline{w^+} \rangle$ and produce the so-called dispersive fluxes. Note that if Eq. (9) 491 does not hold and a mean flux $\langle \overline{w} \rangle \neq 0$ exists, Eq. (10) is still a correct expression that accounts 492 for both turbulent and dispersive fluxes, but the mean flux needs to be added to get the total 493 494 ACH. The dispersive and turbulent components are illustrated in figure 6 that depicts the flow field for the vertical plane VP_1 . The pseudocolor plot shows the vertical turbulent rms velocity σ_w 495 and the vector plot shows the mean (time-averaged) velocity patterns. As reported in the paper 496 that precedes this research (Llaguno-Munitxa et al., 2017), σ_w substantially increases for the 497 cases of the pitched and round roof geometries in comparison to the flat roof geometry. The 498 round roof geometry is the case where the largest turbulence intensities are observed, regardless 499 of what inflow is imposed. For the flat roof geometry, the stagnation point is located at the 500 windward vertex of the roof corner, and for the pitched and round roofs it is located in the 501 middle of the windward roof slopes. The flow separation point on the other hand, occurs at the 502 503 leeward vertex for the flat roof, slightly below the crest for the pitched roof, and almost half way down the leeward roof side for the round geometry. In all cases, the highest turbulence levels are 504 observed in the shear zone near the roof level, but the depth of this zone varies for the different 505 506 cases. For the pitched and round roofs, the roof shape creates a strong downdraft inducing higher turbulent intensities and mean flow. The roof set-back produces a similar, but less intense, effect. Balconies, on the contrary, limit the flow access to the canyon, reducing the air circulation entrance in the windward face and consequently reducing σ_w over and below the shear layer.

510

Within the canyon, the round roof geometry generates the largest σ_{W} , while the flat roof reduces 511 the vertical turbulent exchanges between the urban canopy layer and the free atmosphere. The 512 terraced building, in comparison to the flat roof, increases the mean and turbulence velocities 513 within canyon; however, the effect of terraces appears to be subtle in comparison to the changes 514 produced by the other geometries. In all cases, a flow recirculation is discernible within the street 515 canyon. It is also observable that the presence of balconies promotes the stagnation of air within 516 the canyon, reducing the strength of the standing vortex as well as the turbulence intensity. In a 517 similar way, the balconies seem to be interfering with the smaller eddies substantially reducing 518 519 σ_w within the canyon.

520

Many of the qualitative results observed for the VP_1 plots are also observable in Fig. 7 that 521 depicts ACH sections. Horizontal transects along the central x-axis of the ACH planes have been 522 523 gathered to compare \overline{w} and σ_w profiles for the studied building geometries. Figure (7a) displays a comparison of the \overline{w} plots for HP_1 (note that the width of the exchange plane is variable for 524 525 the different geometries). The pitched and rounds roofs show the largest \overline{w} velocities, especially 526 in the ACH area adjacent to the downstream roof slope. This implies that they generate the 527 largest dispersive fluxes. In both cases (and especially for the case of the pitched roof geometry), the flow separation as observed in Fig. 6, creates a strong downdraft which explains the increase 528 in the negative \overline{w} and associated increase in the positive one further downstream. A similar 529 530 effect is observed for the set back roof, but to a reduced extent. The flat roof and the building with balconies show similar tendencies. The differences observed between the studied 531 geometries are most visible for the σ_w plots in (Fig. 7b). The round roof shows the largest 532 533 turbulence levels in the HP_1 plane followed by the pitched roof. Similarly to the \overline{w} plot, particularly for the case of the round roof geometry, the largest σ_w are observed adjacent to the 534 downstream roof slope. The set back roof shows similar tendencies, but the turbulent intensities 535 are smaller than for the pitched and round roof geometries. The flat roof and building with 536 balconies geometries are the ones with lowest σ_w . 537

While ACH is generally computed in planes analogous to HP_1 where the exchanges are generally 538 largest given the proximity of the plane to the shear layer, it is most likely that ACH planes that 539 are closer to the ground level or pedestrians, such as HP_2 , will be the locations in the Urban 540 Canopy Layer (UCL) where the lowest turbulence intensity and vertical velocities occur. 541 Therefore, given the importance of the lowest layer of the canyon for pedestrian exposure to 542 pollution and heat, this area is probably more critical in terms of urban ventilation that the whole 543 canyon ventilation assessed at HP_1 . The two should be analyzed together to ensure that fresh air 544 not only makes it into the canyon (through HP_1), but also to pedestrian level (through HP_2). Fig. 545 7c shows \overline{w} for HP_2 . The within canyon vortex is most visible for the case of the round roof 546 where a larger velocity gradient is observed between the upstream and downstream faces. The 547 548 pitched and flat roof geometries display very similar \overline{w} magnitudes. The balconies and set back roof geometry, show the lowest \overline{w} velocities, denoting a weaker within canyon vortex. Fig. 7d 549 shows the σ_w plots for HP_2 . The results show similar trends to those observed in Fig. 7b for HP_1 . 550 The HP_2 of the round roof geometry displays the highest σ_w , followed by the pitched roof and the 551 set back and flat roof geometries. The geometry that generates the lowest σ_w at the HP₂ plane is 552 the geometry with the façade with balconies, as was the case at HP_1 . In general, for both planes, 553 the geometries that produce the strongest dispersive exchanges also produce the strongest 554 555 turbulent exchanges.



556

Fig 6 LES results for air flow and vertical turbulence intensity for the 5 different building geometries. The time-averaged velocity is displayed with the vector plot, and σ_w with pseudocolor plots. The thick dashed lines denote the locations of the exchange planes considered.



Fig 7 LES results for spatially-averaged (along the street canyon longitudinal axis, *y*-direction) exchange planes HP_1 and HP_2 : a) the mean vertical velocity \overline{w} for HP_1 ; b) σ_w for HP_1 ; c) the mean vertical velocity \overline{w} for HP_2 ; and d) σ_w for HP_2 . Note that the rakes displayed in the figure, show the data computed for the ACH planes HP_1 and HP_2 , which only cover the central area of the canyon, thus the portions adjacent to the walls have not been included (which explains why the mean velocity averages over *x* would not be exactly 0 for all cases).

The formulation described in Eq. (10) has been followed to calculate the direct LES estimation 571 ACH^*_{LES} (including turbulent and dispersive exchanges) for the HP_1 and HP_2 planes. Table (2) 572 shows the results for plane HP_1 and Table. (3) shows the results for plane HP_2 . These two tables 573 also show the extrapolation of the obtained results to *real* building prototype scales. For scaling 574 to the real world from the LES results, the non-dimensional parameter that can be considered 575 invariant to scale (if the Re sensitivity effects are ignored) is the exchange velocity to reference 576 velocity ratio U_e/U_{ref} . This ratio is hence the same for the LES model and real world prototype. 577 Since $ACH = U_e A_c$, one can then write 578

579
$$ACH^{real} = U_e^{real} A^{real} = \frac{U_e^{real}}{U_e^{LES}} U_e^{LES} \frac{A^{real}}{A^{LES}} A^{LES} = \frac{U_e^{real}}{U_e^{LES}} \frac{A^{real}}{A^{LES}} ACH^{LES}.$$
(11)

In agreement with the patterns observed in Fig. 5 and Fig. 6, the round roofs show the largest 580 ACH^*_{LES} , followed by the pitched roof, the set-back geometry, the flat roof, and the geometry 581 with balconies, with results over four times smaller for the geometry with balconies in 582 comparison to the round roof geometry at HP_1 , and over 5 times smaller at HP_2 . The equivalent 583 real building was assumed to have $U_{ref} = 2 ms^{-1}$ and H = W = 15m for the following calculations 584 585 (following a 1/200 scale ratio between the LES and real prototype). In LES the longitudinal length of the exchange planes is 0.68 m (note that the HP_1 and HP_2 exchange planes do not 586 extend to the lateral walls) and its equivalent real prototype length (following the same 1/200) 587 results in 137m. The ACH_{Real} is then computed from Eq. (11) with $U_e^{real} / U_e^{LES} = 2 / 1.8$ and $A^{real} / U_e^{LES} = 2 / 1.8$ 588 $A^{LES} = 200^2$. 589

Building Geometries	ACH^*_{LES}	A_c	V_c	$ACH_{LES} = V_c ACH^*_{LES}$	ACH _{Real}
	(s^{-1})	(m ²)	(m ³)	$(m^3 s^{-1})$	$(m^3 s^{-1})$
Flat Roof	0.3973	0.0519	0.00395	0.00156	69.332
Pitched Roof	1.0619	0.1039	0.00493	0.00524	232.886
Round Roof	1.6504	0.1039	0.00437	0.00721	320.441
Façade Set-back	0.6096	0.0727	0.00426	0.00259	115.109
Façade Balconies	0.3333	0.0519	0.00395	0.00131	58.221

Table 2 HP_1 LES and real prototype scaled based on Eq. 11.

591

Table 3 HP_2 LES and real prototype scaled based on Eq. 11.

Building Geometries	ACH^*_{LES}	A_c	V_p	$ACH_{LES} = V_p ACH^*_{LES}$	ACH _{Real}
	(s^{-1})	(m ²)	(m ³)	$(m^3 s^{-1})$	$(m^3 s^{-1})$
Flat Roof	1.3657	0.0519	0.00197	0.00268	119.109
Pitched Roof	2.1067	0.0519	0.00197	0.00415	184.442
Round Roof	2.1747	0.0519	0.00197	0.00428	190.220
Façade Set-back	0.7945	0.0519	0.00197	0.00156	69.332
Façade Balconies	0.4357	0.0519	0.00197	0.00085	37.777

593

595 5.2 ACH^{*} estimation from RANS

596

As addressed by Cheng et al. (2009) and Li et al. (2005), the difference between the computation time of RANS and LES models is very large. Urban planning and architectural design processes require fast decision-making and therefore the time and expertise required to perform LES calculations are generally not available. Therefore, it is worth looking into alternative estimates of ACH that require less computationally demanding simulations such as RANS.

602

607

603 With this ambition, Li et al. (2005) described a formulation as an alternative to their previously 604 published LES based ACH computation (Liu et al., 2005). Their formulation based on the results 605 obtained from a RANS k- ε model related the ACH to the perturbation velocity at the exchange 606 plan of interest following:

$$ACH_{\sigma w} = \frac{1}{2} \iint_{A} \overline{w' w'}^{1/2} dA = \frac{1}{2} A \left\langle \sigma_{w} \right\rangle, \tag{12}$$

608 where the primes denote the perturbation velocity relative to its ensemble mean, which could be 609 surrogated by the time average (but not the spatial average). This formulation makes some 610 simplifications that we will revisit later in the paper. Furthermore, isotropic turbulence was 611 assumed in the street canyon in previous studies to relate the standard deviation of vertical 612 velocity to the turbulent kinetic energy (k) that is available from RANS with a closure such as k-613 ε :

614
$$k = \frac{\left(\overline{u'u'} + \overline{v'v'} + \overline{w'w'}\right)}{2} \approx \frac{3\overline{w'w'}}{2} \quad \Rightarrow \quad \sigma_w = \overline{w'w'}^{1/2} \approx \left(\frac{2}{3}k\right)^{1/2}.$$
 (13)

By combining (eq. 12) and (eq. 13), this ACH estimate leads to the following equation:

616
$$ACH_{\sigma w} = \frac{1}{\sqrt{6}} \iint_{A} \sqrt{k} \, dA = \frac{1}{\sqrt{6}} \, A \left\langle \sqrt{k} \right\rangle_{.} \tag{14}$$

617 However, as observed in Figs. 6 and 7, \overline{w} , \overline{u} , σ_w and σ_u are substantially different for the various 618 geometries. Therefore, assuming that the turbulence is isotropic might not be an adequate 619 simplification. For such assumption to be more accurate, a degree of isotropy should be defined 620 for the different building geometries as well as for the different *ACH* planes. Perhaps the more

important point to underline is that the estimation proposed by (Li et al., 2005) does not take into 621 account the mean and dispersive fluxes. In spatially-variable flows such as the one we study 622 here, even if the spatially and temporally averaged (mean) velocity at the plane of interface is 623 zero, the spatial variability of the time-averaged velocity field results in a dispersive flux (Poggi 624 and Katul, 2008; Raupach and Shaw, 1982), as we noted earlier. Moreover, in realistic 3D 625 canopies, even the spatially and temporally averaged mean vertical velocity might not be zero. 626 Another approach for ACH estimation proposed by Cheng et al. (2008) followed the formulation 627 of Li et al. (2005) and proposed an alternative based on the eddy covariance method; it 628 confirmed the point we underline here that the mean dispersive component was important and 629 therefore has to be considered. 630

631

Moonen et al. (2011) proposed an alternative formulation for RANS simulations when the turbulence statistics are not known; this *ACH* estimation is based on the vertical velocity component:

$$ACH_{w} = \iint_{A} \frac{\overline{w} + |\overline{w}|}{2} dA, \qquad (15)$$

This formulation also only requires RANS. It accounts for the mean fluxes (via the spatial integration of \overline{w}) and the dispersive fluxes (via the spatial integration of the absolute value of the time averaged velocity $|\overline{w}|$), but it ignores the turbulent fluxes. The authors indeed conclude that the impact of the turbulent contribution is significant and should be accounted for.

640 In tables 4 and 5 the results obtained following a σ_w -based $ACH^*_{\sigma w}$ estimation as given in Eq. (12) following Cheng et al. (2008), Li et al. (2005) and a time-averaged vertical velocity \overline{w} 641 642 based formulation ACH_{w}^{*} following Eq. (15) by Moonen et al. (2011) are provided. ACH_{w}^{*} significantly underestimates the ACH specially for the top plane compared to the LES direct 643 calculations. This is despite the fact that ACH_{w}^{*} includes the (mostly positive but small) mean 644 exchanges that are non-zero as shown in the tables and that are excluded from the ACHLES based 645 on Eq. (10) (the small mean flux is due to the fact that we exclude the regions adjacent to the 646 sidewalls and thus the flow is not perfectly homogeneous in the cross-stream direction). $ACH^*_{\sigma\nu}$ 647 performs well for the top plane (where the time averaged velocities are small as shown in Fig. 7), 648 but large errors occur for HP_2 where the contribution of the dispersive transport is more 649

650 significant due to larger $|\overline{w}|$. The estimate that assumes isotropy to infer σ_w based on *k* (eq. 14) is 651 not included, but it will necessarily be inferior to the ones based directly on σ_w since it involves 652 further simplifications.

653

Since these two formulations are both not satisfactory, we propose here a new formulation based on the folded normal distribution as an alternative for estimating the *ACH* from RANS. This formulation uses the mean vertical velocities $\langle \overline{w} \rangle$ and vertical turbulence statistics σ_w , which can be obtained through CFD simulations based on RANS with closures that provide this variance (e.g. second-order closures), or alternatively by assuming isotropy if only *k* is available such as in models with a *k*- ε closure (these are the most common codes in practice).

660

Fluent provides us with the time averaged \overline{w} and σ_w ; recall that the time averaged velocity \overline{w} at 661 a given location is not zero. Therefore, at every point in space we can define $w = \overline{w} + w'$. Two 662 challenges arise when trying to compute the ACH. The first is that summation and taking the 663 absolute value are not commutative operations and therefore, referring to Eq. (10), 664 $\overline{|w|} = \overline{|w+w'|} \neq \overline{|w|} + |w'| = \overline{|w|} + \overline{|w'|}$ and by extension $\overline{|w'|} \neq \overline{|w'|}$ (note however that for the mean 665 we can write $|\overline{w}| = |\overline{\overline{w}}| = |\overline{w}|$). The second challenge arises since time averaging and squaring are 666 also not commutative operations and hence the variance σ^2_w , which can be computed directly 667 from LES or from RANS (assuming isotropy if needed), cannot be used to inform us on w'668 since $\sigma_w^2 = \overline{w'^2} = \overline{w'^2} = \overline{w'^2} = \overline{w'^2} \neq \overline{w'}^2$ (note that this inequality is ignored in the model of Li et al. 669 (2005) presented in Eq. (12), resulting in the cancellation of the dispersive flux contributions). 670 These challenges imply that the actual exchange, which is related to |w| cannot, in general, be 671 exactly related to the mean and the standard deviation of w. 672

To overcome this hurdle, we will assume that w has a normal (Gaussian) distribution. Figure 8 shows the probability distributions of w' for all studied building geometries and the two studied exchange planes HP_1 and HP_2 , and compares them to the Gaussian distribution curve. The plots show that the distribution of w' for all different building geometries is very well approximated by the Gaussian. There are discrepancies at the tails but these tails are very infrequent (notice the log-scale of the y axis) Therefore, this implies that |w| can be assumed a folded normal 679 distribution (Leone et al., 1961) and its mean (time average) |w| can be related to \overline{w} and σ_w at 680 each spatial point, and then averaged in space to get the ACH following Eq. (10). The folded 681 normal distribution of a Gaussian variable w is the distribution of its absolute value |w|, which 682 will have the following mean:

683
$$\overline{|\mathbf{w}|} = \sqrt{\frac{2}{\pi}} \sigma_w \exp\left(-\frac{\frac{-2}{w}}{2\sigma_w^2}\right) - \overline{w} \operatorname{erf}\left(-\frac{\overline{w}}{\sqrt{2\sigma_w}}\right), \tag{16}$$

684 where *erf* is the error function. Therefore the ACH equation (following from Eq. (10) becomes:

685
$$ACH_{FND} = \frac{A_c}{2} \left\langle \sqrt{\frac{2}{\pi}} \sigma_w \exp\left(-\frac{-\frac{-2}{w}}{2\sigma_w^2}\right) - \overline{w} \operatorname{erf}\left(-\frac{-\overline{w}}{\sqrt{2\sigma_w}}\right) \right\rangle.$$
(17)

In Tables 4 & 5, a comparison of the ACH calculation methodologies ACH^{*}_{LES}, ACH^{*}_{FND}, 686 $ACH^*_{\sigma W}$, and ACH^*_{W} are included in columns 1, 2, 3, and 4, respectively, for the different 687 building geometries. Note that the * here denotes that the provided ACH_{LES} , ACH_{FND} , $ACH_{\sigma w}$ and 688 ACH_w results have been normalized based on their respective canyon volumes, V_c and V_p 689 reported in tables 2 and 3, for the top and bottom exchange planes HP_1 and HP_2 , respectively. 690 The mean $\langle \overline{w} \rangle$, dispersive $\langle |\overline{w} \rangle$, and the turbulent σ_w exchange velocity have also been included 691 in columns 5, 6 and 7 respectively. It is important to remember that the formulations for ACH^*_{LES} 692 and ACH^*_{FND} include the combined effect of the dispersive and the turbulent fluxes, while 693 $ACH_{\sigma W}^*$ only accounts for the turbulent fluxes and ACH_{W}^* only takes into account the mean and 694 dispersive fluxes (these formulations can be directly recovered from the corresponding exchange 695 velocities upon dividing by $2 \times h_c$ (recall h_c is the effective canyon depth)). 696

697

For the case of HP_1 , as shown in in Column 3 of Table 4, the ACH^*_{FND} model is the one that produces the closest results to ACH^*_{LES} , with deviations below 2%. The second best performing model for HP_1 is $ACH^*_{\sigma W}$. This is not surprising given that at the interface of the urban canopy layer is where the highest turbulent fluxes are observed. Thus for HP_1 , larger discrepancies are observed between ACH^*_{W} and ACH^*_{LES} .

- 704 In Column 2 of Table 5, the folded estimate ACH^*_{FND} is shown for HP_2 . As for HP_1 , the results obtained following the folded estimate show a very good agreement with deviations smaller than 705 2%. As for HP_1 , the round roof geometry shows the largest direct LES estimate followed by the 706 pitched roof, the set-back geometry, the flat roof, and the geometry with balconies. The 707 exchanges are 5 times larger for the round geometry than for the geometry with balconies. But 708 for the case of HP_2 , the contribution of $\langle \overline{w} \rangle$ and σ_w becomes more geometry dependent, thus the 709 710 $ACH_{\sigma W}^*$ results show larger disagreements with ACH_{LES}^* results than those observed for HP_1 . ACH_{W}^{*} on the other hand shows closer results to ACH_{LES}^{*} , given that at HP_{2} the dispersive fluxes 711 712 are more dominant (compare the corresponding exchange velocities at the two planes).
- Overall, the results obtained following the folded estimate method ACH^*_{FND} show excellent 713 agreement with the direct LES estimates. Thus based on the studies included in this paper, the 714 715 model ACH^{*}_{FND} produces the estimates that best match the results obtained through the direct 716 LES computations, revealing the importance to account for both the dispersive and turbulence fluxes in the ACH estimation methodologies. The skill of the FND method is not surprising 717 since, following Eq. (17), the results obtained by ACH^*_{FND} should exactly match the ACH^*_{LES} if 718 the obtained velocity measurements where exactly Gaussian. Since figure 8 illustrates, the 719 720 deviation from the Gaussian is only observed at the tails of the plot (again note the log-scale of the y axis). At the peaks that are more frequent, the results display a Gaussian distribution, 721 resulting in the good match between the ACH^*_{LES} and ACH^*_{FND} . 722

723

The exchange velocities listed in Tables 4 and 5 further illustrate that both turbulent and dispersive fluxes are important, though turbulent exchanges dominate near the canyon top, while dispersive ones dominate near street level. The mean fluxes in our 2-dimensional configuration are negligible, but again not exactly zero since the configuration is not truly infinite and homogeneous in the cross-stream direction and since we exclude regions near the walls.





Fig 8 Probability distributions for the perturbation velocity w' for cross-stream lines at various 730 731 streamwise locations for all studied building geometries. Upper panel a) shows the results obtained for the top plane HP_1 . The figure to the left shows the mid canyon (in the x-direction) 732 distribution plot followed by the leeward (upstream when looking at a canyon, middle panel) and 733 downstream (downstream when looking at a canyon, right panel) canyon facades. Lower panel 734 b) shows the results obtained for the bottom plane HP₂. The figure to the left shows the mid 735 736 canyon plot, again followed by the leeward and windward distributions. All results can be reasonably approximated by the Gaussian distribution depicted by the dashed black line, despite 737 738 discrepancies at the tails that are significant for exchanges.

Table 4 HP_1 air exchange rates

Building Geometries	ACH [*] LES (S ⁻¹)	ACH [*] _{FND} (s ⁻¹)	АСН [*] _{σw} (s ⁻¹)	ACH [*] _w (s ⁻¹)	$\left< \begin{matrix} \overleftarrow{W} \\ W \end{matrix} \right>$ (m × s ⁻¹) (mean exchange velocity)		σ_w (m × s ⁻¹) (turbulent exchange velocity)
Flat roof	0.3973	0.4006	0.3113	0.4044	0.0134	0.0481	0.0474
Pitched roof	1.0619	1.0609	1.1273	0.5249	-0.0004	0.0502	0.1070
Round roof	1.6504	1.6311	1.5655	1.2175	0.0277	0.0747	0.1317
Set back roof	0.6096	0.6141	0.7196	0.2867	0.0075	0.0261	0.0843
Façade balconies	0.3333	0.3303	0.3635	0.2312	0.0146	0.0206	0.0553

740

741 **Table 5** HP_2 air exchange rates

Building Geometries	ACH [*] _{LES} (s ⁻¹)	ACH [*] _{FND} (s ⁻¹)	ACH [*] _{σw} (s ⁻¹)	ACH [*] _w (s ⁻¹)	$\left< \begin{matrix} \overleftarrow{w} \\ W \end{matrix} \right>$ (m × s ⁻¹) (mean exchange velocity)		σ_w (m × s ⁻¹) (turbulent exchange velocity)
Flat roof	1.3657	1.3668	0.5642	1.3739	0.0055	0.0988	0.0428
Pitched roof	2.1067	2.1070	1.2169	2.0064	0.0090	0.1434	0.0924
Round roof	2.1747	2.1792	1.3311	1.9795	0.0052	0.1451	0.1011
Set back roof	0.7945	0.8087	0.6443	0.6933	0.0044	0.0482	0.0489
Façade balconies	0.4357	0.4390	0.2790	0.3659	-0.0011	0.0289	0.0212

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743

745

744 5.3 Pollutant Concentration (PC) estimation

To infer pollutant concentration from LES or RANS for a given case, the most direct and 746 accurate way is to solve the budget equation of a tracer (that could be reactive). If one then aims 747 to estimate pollutant concentration at pedestrian level, the influence of geometry, as well as 748 location of the pollutant emission and reactivity of that chemical, can be captured. Since 749 pollutant emissions and transport were not directly simulated in our LES, a pollutant 750 concentration estimation formulation is proposed to compare the impacts on the pollutant 751 752 concentration levels of the studied building geometries. This method is not as accurate as the direct simulation of a tracer, but it has the advantages of (i) not focusing on a specific pollutant 753

emission location, and (ii) being applicable to wind tunnel data (where a pollutant concentration is difficult to measure) or other CFD results that cannot capture tracer budgets (either existing data or for codes where passive tracers are not included). As such, it is generally useful to be able to estimate, albeit approximately, pollutant concentrations and how they are influenced by geometry from air exchange considerations alone.



759

760 Fig 9 Street canyon pollutant exchange diagram.

761

The pollutant exchange between the canyon and the free atmosphere, that is through plane HP_1 is determined by the vertical exchanges w^+ and w^- as depicted in (Fig. 9). C_a is the free atmosphere concentration. If the canyon is assumed to consist of one well-mixed reservoir, C_c would be the average canyon concentration below HP_1 in this one-reservoir model. A_C refers to the area of the HP_1 plane. E_+ stands for the pollutant source emission rate (in kg s⁻¹).

767

Therefore, the variability in the concentration C_c will depend on the time *t* it takes to exchange the volume of air contained within the canyon. Using a simple mass balance model that assumes that air leaving the canyon is at C_c and air entering is at C_a (we will revisit this assumption later):

771
$$V_{c}\frac{dC_{c}}{dt} = \left(-\left\langle\overline{w^{+}}\right\rangle C_{c} + \left\langle\overline{-w^{-}}\right\rangle C_{a}\right)A_{c} + E.$$
(18)

All terms have dimensions of mass over time. As described in the prior section, we can replace w^+ and $-w^-$ with the absolute mean velocity statistics $\langle |\overline{w}| \rangle / 2$:

774
$$V_c \frac{dC_c}{dt} = \frac{\left\langle \left| \overrightarrow{w} \right\rangle \right\rangle}{2} \left(-C_c + C_a \right) A_c + E.$$
(19)

775 Under steady state, this yields:

776
$$C_c - C_a = \frac{2}{\langle |\overline{w}| \rangle} \times \frac{E}{A_c}.$$
 (20)

777 Using eq. (10) we can then write

778
$$C_c - C_a = PC = \frac{E}{ACH^* h_c A_c} = \frac{E}{ACH}.$$
 (21)

Following Eq. (21), and knowing the pollutant emission rates and the computed ACH as per (Eq. 779 10), the concentration within the street canyon can be estimated. CO is used here as an example, 780 781 and an estimation of the emission rate is performed following the guidance of (EPA, 2008, 2014) where the average gasoline vehicle emission rate is estimated to be 5.8 g CO km⁻¹ (for an 782 approximate velocity of 30 km h⁻¹). From this reference, we obtain that each vehicle emits 0.048 783 g CO s⁻¹. A density of about 145 vehicles per km of road (Ingram and Liu, 1999; NYSDOT, 784 2011) is assumed; therefore, for a road section with a length of 0.137 km, the emission of 19 cars 785 has been presumed, which would yield a CO emission rate of 912 mg CO s^{-1} . 786

787

According to the EPA National record on CO Air Quality trends, a reasonable estimate for the 788 average CO concentration in the atmosphere C_a is 3 mg CO m⁻³. Based on the ACH estimations 789 obtained for the real scale buildings (as shown in Table. 2 & 3) and following the emission rate 790 of 912 mg CO s⁻¹, and the above described concentration in the atmosphere C_a , the pollutant 791 792 concentration estimations can be computed. However, since the concentration at ground level, i.e. below HP_2 , can be significantly higher than the canyon-average, this approach can be 793 extended to a two-reservoir model to estimate the pollutant concentration to which pedestrians 794 are exposed. 795

Assuming that the emissions are released below HP_2 , they first have to get to the upper part of the canyon through HP_2 , and then leave the canyon through HP_1 . We can then write under steady state

800
$$\left(\eta_p C_p - C_c\right) A C H_2 = E, \qquad (22)$$

where C_p is concentration at pedestrian level, C_c is concentration between planes HP_1 and HP_2 . η_p is the ventilation efficiency at the pedestrian introduced to account for the fact that the air below and above HP_2 are not truly perfectly mixed. Polluted air lofted upwards in the canyon might be re-entrained down below HP_2 . This then yields:

$$C_c = \eta_p C_p - \frac{E}{ACH_2},$$
(23)

The mass balance for the air space between HP_1 and HP_2 , under steady state, then reflects the fact that pollutant flux through HP_1 and HP_2 must be equal, which when combined with Eq 23 yields

$$E = \left(\eta_p C_p - C_c\right) A C H_2 = \left(\eta_c C_c - C_a\right) A C H_1$$

$$= \left(\eta_c \left(\eta_p C_p - \frac{E}{A C H_2}\right) - C_a\right) A C H_1 = \left(\left(\eta_c \eta_p C_p - C_a\right) A C H_1 - \frac{\eta_c A C H_1 E}{A C H_2}\right)$$
(24)

810 Where η_c is the ventilation efficiency at the street canyon top, again introduced to account for re-811 entrainment of polluted air into the canyon. From this formulation, we can therefore obtain the 812 value for C_p as a function of *E*

809

813
$$C_{p} = \frac{C_{a}}{\eta_{c}\eta_{p}} + \left(\frac{1}{\eta_{c}\eta_{p}ACH_{1}} + \frac{1}{\eta_{p}ACH_{2}}\right)E$$
(25)

814 HP_1 and HP_2 , thus act like resistances in series to the ventilation of pollutant from the street 815 level. One should note here that the ventilation efficiencies can only be exactly estimated from simulations where the pollutant is actively represented as a tracer. Their values will depend on 816 many factors such as emitter locations and geometry. As such, the influence of geometry can 817 exceed what is inferred from differences in ACH if it turns out it has a big impact on ventilation 818 efficiency. This however would require another study that delves into such analyses and cannot 819 820 be adequately addressed in this paper. Therefore, here we will restrict the scope to investigating the influence of variations in the values of η_c and η_p on the concentrations. 821

822

This concentration, denoted as C_p (following Eq. 25), accounts for the concentration of air contained below HP_2 , while C_c in this two-reservoir model (following Eq. 23) denotes the pollutant concentration contained between HP_1 and HP_2 (see Fig. 9). The emitter has been assumed to be located fully below HP_2 , representing the CO emissions released from the circulating passenger vehicles.

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829



Fig 10 Street canyon pollutant exchange: the blue colour bars display the C_c results (the *PC* contained between HP_1 and HP_2) while the purple colour displays the C_p results (the *PC* contained below HP_2) a) shows the results obtained for a ventilation efficiency of $\eta_p = \eta_c = 1$, while b) shows the results obtained for a ventilation efficiency of $\eta_p = \eta_c = 0.5$.

Figure (10) displays the concentrations computed following Eqs. (23) and (25). Two cases have 835 been studied (i) with a ventilation efficiency of $\eta_p = \eta_c = 1$ (an overly optimistic scenario where 836 837 the full volume of air within the canyon would be exchanged in one event), and (ii) with a ventilation efficiency of $\eta_p = \eta_c = 0.5$ (where exchanges occur in smaller volumes allowing some 838 839 emitted pollutants to remain in the canyon following the exchange of a full volume; this results from the effect of re-entrainment for example and the shortcomings of the fully-mixed reservoir 840 assumption. Taking into account that the current NAAQS for CO establish an environmental 841 limit of 9 mg m⁻³ of carbon monoxide (EPA, 2010), the computed concentrations included in 842 (Fig. 10a) following $\eta_p = \eta_c = 1$ remain within tolerable limits for the pitched and round roof 843 geometries; however, the remaining geometries exceed the EPA limit. Figure 10.b, where 844 $\eta_p = \eta_c = 0.5$ is used, shows that the pollutant concentrations both for C_p and C_c exceed the 845 tolerable limits for all studied building geometries. This in fact underlines the limitations of air 846

exchange studies if not coupled with analyses of ventilation efficiency. As shown in (Fig. 10.b), C_p ranges from 32.97 mg m⁻³ (for the round roof building geometry) to 122.94 mg m⁻³ (for the building geometry with balconies). Overall it is clear that, as discussed for the *ACH* results, the pollutant concentrations both at the pedestrian level and across HP_2 as well as across HP_1 , are substantially higher for the geometry with balconies than for the round roof or pitched roof geometries.

853

In the methodology used here for PC calculations, it is important to reiterate that only passenger vehicle emissions have been considered. Heavy duty transport has not been taken into account. Also emissions from households have not been considered. Therefore, for a comprehensive study of CO emissions, a more detailed emission source estimation would need to be performed, and ventilation efficiencies need to be quantified more accurately.

859 6 Conclusions

Mean flow and turbulence in an urban street canyon have been studied to understand the effect of 860 variations in building geometries on street ventilation. The Air Exchange Rate (ACH) has been 861 862 computed for two planes within the urban street canyon, one located at the building-top level and the second just above the pedestrian level. Direct LES estimations ACHLES have been performed 863 and the differences between the studied geometries analyzed. The results show that the round 864 geometry is the one that most favourably promotes urban ventilation, while on the contrary the 865 geometry with the façade with balconies is the one that most severely compromises urban 866 867 ventilation. The building geometry with the round roof creates over two times larger exchanges than the one with balconies below HP_1 (the plane passing through the vertices of the buildings) 868 and 5 times larger below HP_2 (the pedestrian level air exchange plane at 0.2 times the building 869 height). Therefore, the study has shown that roof and facade geometries strongly influence the air 870 exchanges between the street canyon and the free stream flows. Based on the ACHLES estimate, 871 the Pollutant Concentration (PC) within the canyon can be computed for the different building 872 geometries. A two-reservoir model was developed and applied to compute these pollutant 873 concentrations at the pedestrian level C_p (Below HP_2) and in the the canyon core C_c (between 874 HP_1 and HP_2) for an illustrative example of carbon monoxide vehicular emissions; it shows 875 876 similar trends with building geometry as those observed for the ACHLES estimates, with rounder and smoother walls promoting more exchanges. The ventilation efficiency of a given geometry
however plays a role in the actual ventilation; this requires further analysis using simulations that
resolve the budget of the pollutant mass.

880

A novel formulation to compute the ACH has been proposed using data of mean vertical velocity 881 and σ_w . This method, based on a folded normal distribution model of the vertical velocity, seeks 882 to reduce the computational requirements so that ACH calculations can be performed with results 883 obtained from RANS models with closures that provide σ_w . The comparisons between the direct 884 885 LES results ACHLES and the folded normal distribution estimates ACHFND show a very good agreement with mean errors of less 2%, and maximum errors of around 4%. This is a significant 886 improvement over two alternatively previous formulations that were tested here. Therefore, the 887 results obtained from the folded normal distribution model provide a good approximation to the 888 889 direct LES results, and can be used as a computationally less-demanding alternative that can use σ_w and the temporal and spatial mean of the vertical velocity $\langle \overline{w} \rangle$ obtained through RANS 890 891 simulations.

892

893 Some of the limitations of the study are related to the assumed idealized deep street canyon building configurations with extruded 2-dimensional section profiles (with uniform building 894 heights and aligned facades). In real urban configurations, building profiles generally vary along 895 the longitudinal axis of the street canyon, and the later has a finite length. Furthermore, the 896 approach flow angle of attack has been constrained to perpendicular to the street canyon axis. 897 898 Isothermal conditions have also been imposed and thus surface heating or cooling was not considered, but it will have appreciable impact on the results. Therefore, while the results 899 obtained can help us develop an understanding of the role that street canyon geometry plays in 900 901 street ventilation, a complete picture of how flow and ventilation behave in realistic heterogeneous urban configurations, where 3-dimensional flow dynamics are significant, 902 903 remains to be developed.

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