

1 **Establishing a Bayesian Approach to Determining Cosmogenic Nuclide** 2 **Reference Production Rates Using He-3**

3 Brent M Goehring^{1^}, Paul Muzikar², Nathaniel A Lifton^{2,3}

4 ¹ Department of Earth and Environmental Sciences, Tulane University, New Orleans, LA

5 ² Department of Physics, Purdue University, West Lafayette, IN

6 ³ Department of Earth, Atmospheric, and Planetary Sciences, Purdue University, West
7 Lafayette, IN

8 [^]Corresponding Author

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10 **Abstract**

11 Production rates are a cornerstone of in situ cosmogenic nuclide applications, including surface
12 exposure dating, erosion rate/denudation rate estimates, and burial dating. The most common
13 approach for estimating production rates is to measure cosmogenic nuclide samples from sites
14 with independently well-constrained exposure histories. In addition, while researchers attempt
15 to minimize the effects of erosion through careful site and sample selection, it can be present at
16 some unknown level in certain sites. We present a general Bayesian methodology for combining
17 information from the nuclide concentrations, the exposure history, and the possibility of erosion,
18 to determine the production rate at a given site. Then, we use another Bayesian approach to
19 combine the results from the various sites.

20 Cosmogenic ³He is an ideal test-bed for our Bayesian approach. It has the most calibration sites
21 of the commonly measured cosmogenic nuclides, and there is evidence for the effect of erosion
22 on some of the sites. Our approach largely reconciles previous discrepancies between sites of
23 widely varying age, even at latitudes where geomagnetic effects are significant. With the
24 canonical Lal/Stone scaling scheme, we derive a global sea level high latitude ³He production
25 rate of 118 ± 2 atoms $\text{g}^{-1} \text{yr}^{-1}$ when considering olivine and pyroxene together. Using the Lifton-
26 Sato-Dunai scaling scheme yields a similar rate of 121 ± 2 atoms $\text{g}^{-1} \text{yr}^{-1}$. Uncertainties

27 associated with these values are improved over previous studies, due to both reduced scatter
28 among the sites and an approach to combining sites which deemphasizes outliers.

29 **1. Introduction**

30 Cosmogenic nuclide production rates are most commonly derived empirically from locations
31 with well-constrained exposure histories. In this context, 'well-constrained' generally refers to
32 good independent age control, but also includes inferences regarding geomorphic history (e.g.,
33 surface erosion, uplift, and/or ash and sediment cover). The method by which the independent
34 exposure age is estimated varies; in general, most researchers have taken the well-reasoned
35 and simple approach of choosing an exposure age thought to represent the central tendency of
36 the data constraining the sites exposure age and assumed Gaussian uncertainties on the age
37 (Borchers et al., 2016). Information regarding the geomorphic history of a site tends to be more
38 subjective and more difficult to quantify. For that reason, most cosmogenic nuclide calibration
39 sites tend to be from surfaces impacted by geologically instantaneous events. Quantification of
40 the long-term erosion of a calibration site surface is less-well-understood, but generally is
41 derived from the measurement of differential surface relief or preservation of fine surface
42 features or patinas. However, in many studies erosion is assumed to be small enough in
43 magnitude to be ignored and assumed equal to zero.

44 Cosmogenic helium-3 (^3He) was among the first cosmogenic nuclides to be studied in detail
45 (e.g., Cerling, 1990; Kurz, 1986; Kurz et al., 1990) and as such many production rate calibration
46 sites exist. Published ^3He production rate calibration sites span a wide-range of ages (ca. 2 -
47 1350 ka), latitudes (ca. 50°S to 66°N), and elevations (ca. 0 to 4000 m). While most studies
48 yield sea level high latitude ^3He production rates near 120 atoms $\text{g}^{-1} \text{yr}^{-1}$, some yield significantly
49 higher production rates and others anomalously low reference production rates. Following
50 Goehring et al. (2010) a reference ^3He production rate refers to the rate of ^3He production at sea

51 level and high latitude via scaling of the site production rate to sea level and high latitude. Figure
52 1 shows the distribution of reference ^3He production rates for the sites used in our analysis
53 relative to scaling of Lifton et al. (2014) and time-dependent geomagnetic and atmospheric
54 frameworks presented in Lifton (2016). No apparent trends ($r^2 < 0.1$) are observed between the
55 production rate and age, site latitude or site elevation. To first order this suggests that there are
56 no systematic temporal or spatial biases contained within the geomorphic and scaling models
57 used to derive reference ^3He production rates. Yet, several ^3He calibration studies have
58 reference production rates that are lower than canonical values even when all studies are
59 scaled using the same parameters and models (e.g., Dunai and Wijbrans, 2000; Fenton et al.,
60 2013; Foeken et al., 2012). The observation of a handful of anomalously low ^3He reference
61 production rates raises three possibilities. First, it is possible that temporal variations in the
62 Earth's geomagnetic field (and hence cosmogenic nuclide production) are not adequately
63 described by geomagnetic field reconstructions used in the current scaling models for the
64 handful of specific sites; however, one would expect the appearance of trends more robust than
65 presently observed if this were the case. Second, factors such as laboratory biases might have
66 an influence (Blard et al., 2014), as measurements of cosmogenic ^3He are made in several
67 different laboratories using differing procedures and standardizations, and could lead to
68 anomalously low values. Finally, lower reference production rates can result for young flows due
69 to temporary ash or other sediment cover that was later eroded, or from underestimating
70 surface erosion magnitude at a site (Figure 2). The former scenario is more important for young
71 flows, where a significant portion of the integrated exposure history may have occurred with ash
72 or sediment cover. Considering the absence of any significant spatial or temporal trends in
73 Figure 1 when reference production rates are calculated using internally consistent scaling
74 systematics and the coefficient of variation due to laboratory biases is smaller than differences
75 in calibrated production rates, we focus our analysis on erosion below.

76 Here we formalize a Bayesian approach to cosmogenic nuclide production rate calibration,
77 explicitly accounting for uncertainties associated with calibration site erosion characterization.
78 Additionally, our Bayesian approach also allows for non-Gaussian site age probability
79 distributions, much like that of Borchers et al. (2016). The methods presented here can be
80 generalized and applied to the other in situ cosmogenic nuclides. Finally, we present a global
81 reference ^3He production rate with lower overall uncertainties than other published compilations
82 because of reduced scatter in the ^3He production rate calibration dataset when potential erosion
83 is accounted for. Thus, we are making use of Bayesian thinking in two separate parts of this
84 paper; first, in the development following Equation 1, and second, in the development following
85 Equation 9.

86 **2. Calibration Datasets**

87 There are five recent ^3He production rate compilations, Goehring et al. (2010), Borchers et al.
88 (2016), Lifton (2016), Delunel et al. (2016), and Martin et al. (2017). The five datasets have
89 many similarities in terms of the sites included in their compilations, with the Borchers et al.
90 (2016), Lifton (2016), Delunel et al. (2016), and Martin et al. (2017) compilations incorporating
91 calibration studies published since 2010, while omitting some of the studies included in
92 Goehring et al. (2010). Exclusion of sites in the Borchers et al. (2016) study followed the criteria
93 outlined by the CRONUS-Earth project for primary and secondary calibration sites, while the
94 Delunel et al. (2016) and Martin et al. (2017) studies were more ad hoc in their exclusions.
95 Since the Borchers et al. (2016) compilation data was finalized for calculation, additional
96 calibration studies have been published from Bolivia (Blard et al., 2013), the island of Fogo
97 (Foeken et al., 2012), Arizona (Fenton et al., 2011; Fenton et al., 2013), New Zealand (Eaves et
98 al., 2015), and Argentina (Delunel et al., 2016). The complete list of calibration datasets used
99 here is summarized in Table S1. In this study, we take a more inclusive approach and consider
100 each site to have equal weight and therefore do not separate into primary and secondary sites

101 and include all previously published ^3He production rate data.

102 **3. Methods**

103 Before proceeding further, we define what we mean by a “site”. Some previous compilations
104 have grouped individual calibration sites by geographic proximity and/or age (e.g., Goehring et
105 al., 2010). In this work, a “site” refers to a calibration site as defined first by its age, and second
106 by its geographic location. For example, there may be multiple sites with similar and/or related
107 ages (e.g., Tabernacle Hill and the Lake Bonneville Flood deposits) that are in distinctly different
108 geographic locations; each geographic location is treated as a separate site. Alternatively, there
109 can be multiple calibration sites with different ages within a relatively confined geographic region
110 (e.g., Hawaii); again, we treat each as a separate site. Our definition of a site thus helps us set
111 up our analysis and contrasts with previous approaches, where chi-square minimizations of
112 samples from a region have generally been treated as a single dataset (e.g., Balco et al., 2009),
113 and the best-fitting reference production rate determined by minimizing the misfit between the
114 measured and predicted ages or concentrations given.

115 ***3.1 Mathematical Framework***

116 Rather than using a chi-squared minimization to derive a best-fitting reference production rate,
117 we adopt a Bayesian approach to determine the reference production rate posterior probability
118 distribution for each site. A distinct advantage of the Bayesian approach is the ability to
119 incorporate additional information in the form of prior probability distributions of both the site
120 independent age and surface erosion history that are not incorporated into previous approaches
121 (e.g., Balco et al., 2009; Goehring et al., 2010).

122 Following Bayes theorem, we can state that

123
$$f(P,t,\varepsilon|\mu)f(\mu) = f(\mu|P,t,\varepsilon)f_0(P,t,\varepsilon) \quad (1)$$

124 which relates what we want, the probability density functions for the production rate P, site age
 125 t, and site erosion rate ε , given the ^3He concentration μ we measure, to what can be readily
 126 computed, namely the probability of observing the measured data given P, t, and ε . In the
 127 formulation considered here, μ is the set of ^3He concentrations at a site, $f(\mu)$ is the prior
 128 probability of observing the data (which does not need to be specified if we are willing to
 129 normalize the results at the end of the calculations), and $f_0(P,t,\varepsilon)$ are the prior probability
 130 constraints on P, t, and ε . Therefore, we can write

131
$$f(P,t,\varepsilon|\mu) = cf(\mu|P,t,\varepsilon)f_0(P,t,\varepsilon) \quad (2)$$

132 where c is chosen such that

133
$$\iiint f(P,t,\varepsilon|\mu)dP dt d\varepsilon = 1 \quad (3).$$

134 An expression for $f(\mu|P,t,\varepsilon)$, or the likelihood of observing a ^3He concentration (μ) for sample i at
 135 a site given a range of P, t, and ε , is

136
$$f(\mu|P,t,\varepsilon) = \prod_i e^{-\frac{(\mu_i - \phi_i(P,t,\varepsilon))^2}{2\sigma_\mu^2}} \quad (4)$$

137 The term $\phi(P,t,\varepsilon)$ is the ^3He concentration predicted using the standard equation describing the
 138 buildup of a stable cosmogenic nuclide in a steadily eroding surface (Lal, 1991, Eqn. 6). The
 139 predicted concentration and associated Gaussian analytical measurement uncertainty ($\sigma_{\mu i}$) for

140 each sample describe a Gaussian function. The likelihood at a site is represented by the
 141 product of the Gaussians over all samples at a site. The joint probability distribution for P , t , and
 142 ε at a site is therefore described by

$$f(P, t, \varepsilon | \mu) = c \left(\prod_i e^{-\frac{(\mu_i - \phi_i(P, t, \varepsilon))^2}{2\sigma_\mu^2}} \right) f_0(P, t, \varepsilon) \quad (5).$$

144 The prior contains information regarding knowledge of the ^3He production rate, independent age
 145 of the site, and surface erosion history, which is uniform for all samples in the study here. We
 146 argue this provides for a more robust production rate determination.

147 The probability distribution for P is then

$$f(P) = \iint f(P, t, \varepsilon | \mu) dt d\varepsilon \quad (6),$$

149 and we can calculate the average production rate and its uncertainty from

$$\langle P \rangle = \int f(P) dP$$

$$\sigma^2 = \int f(P) \cdot (P - \langle P \rangle)^2 dP \quad (7 \text{ and } 8).$$

151 The definitions of the prior probabilities for the parameters of interest are of critical importance
 152 when employing a Bayesian approach. In our case, these are the ^3He reference production rate
 153 at sea level and high latitude (SLHL), the independent age of the site of interest, and the
 154 probability distribution for erosion of sample surfaces. We employ a uniform probability
 155 distribution for the SLHL production rate between 50 and 250 atoms $\text{g}^{-1} \text{yr}^{-1}$ for all sites.

156 The prior probability for the independent age of the calibration sites considered here, unlike the
157 prior for the ^3He reference production rate and surface erosion, the uniqueness of each site
158 necessitates different prior probabilities for each site. We take three approaches here. For sites
159 such as volcanic lava flows directly dated by radiometric methods such as K-Ar or $^{40}\text{Ar}/^{39}\text{Ar}$ we
160 use the reported value and one-sigma standard deviation and assume Gaussian probability
161 (e.g., Fenton et al., 2011; Fenton et al., 2013). A second group of sites is either dated via
162 radiocarbon methods, such as radiocarbon dating of charcoal left behind in tree molds (e.g.,
163 Licciardi et al., 1999), or a combination of radiocarbon and U-series dating methods on deposits
164 that provide minimum and maximum limiting ages (e.g., Goehring et al., 2010). In the former
165 case, we have combined the ages following standard practices for combining multiple
166 radiocarbon ages either directly dating an event or bracketing an event to produce a probability
167 distribution for the site age (Bronk Ramsey, 2001). In the latter, we follow methods such as
168 those outlined in Kelly et al. (2015) and Blard et al. (2013) to produce a probability distribution
169 for the calibration site based on the probability distributions for the minimum and maximum
170 limiting deposits. Finally, sites associated with the catastrophic draining of pluvial Lake
171 Bonneville (Amidon and Farley, 2011; Cerling and Craig, 1994; Goehring et al., 2010) are
172 assumed to have a uniform probability distribution between conservative bounds of the age of
173 the Bonneville flood using a combination of radiocarbon and U-series techniques in various
174 settings (e.g., Benson et al., 1990; McGee et al., 2012; Oviatt et al., 1992; Oviatt and Nash,
175 1989).

176 The prior probability distribution for erosion is harder to define, as the general approach has
177 been to assume either no erosion of sampled surfaces, or if evidence in the form of differential
178 erosion is present, to assume a fixed erosion for all samples at a site. Portenga et al. (2011)
179 compiled a global dataset of ^{10}Be -derived basin scale ($n=1149$) and maximum limiting outcrop
180 ($n=450$) erosion rates. We fit an exponential distribution to their outcrop dataset to use as the

181 prior in our analysis; for reference, the resulting mean is equal to 7.76 m Myr^{-1} , and use this
182 probability distribution as the prior for surface erosion in our study. One note of caution is that
183 the Portenga et al. (2011) dataset is largely based on the measurement of erosion rates in felsic
184 rocks, whereas the vast majority of sites in the present study are from mafic rocks and thus
185 there are likely differences in the weathering characteristics of the two igneous rock
186 compositions. Given that a calibration site should have a well-constrained exposure history, and
187 is typically chosen to minimize erosion effects, we limit erosion rates in this study to a low range
188 of 0 to 5 m Myr^{-1} with probabilities for this range of erosion rates drawn from the exponential
189 distribution fit to the Portenga et al. (2011) outcrop dataset. Furthermore, we limit the range of
190 erosion rates considered at a given site to those less than that resulting in 1 m of total erosion
191 over the exposure history.

192 Using the methods outlined above, our Bayesian approach results in a probability distribution for
193 the reference ^3He production rate derived from the data and characteristics of each site. We
194 believe this approach provides an advantage over previous ones in that the structure of the
195 resulting posterior probability distribution yields information about a site's reliability as a
196 production rate calibration site (e.g., strongly affected by sample scatter or strongly influenced
197 by erosion).

198 ***3.2 Determination of a Global Production Rate***

199 We now turn to the task of combining the results from all of the sites into an optimal global
200 reference production rate and note that the approach discussed below is also applicable to the
201 development of regional production rates. The estimation of a single reference "global"
202 production rate for a cosmogenic nuclide provides a simple basis for applications using that
203 nuclide, and provides a convenient means for comparing production rate compilations such as
204 that presented here with previous efforts. This traditionally has been done using common

205 statistical methods (e.g., mean and median). Muzikar et al. (2017) review an elegant approach
 206 developed by Press (1997) that provides an objective way to allow for the fact that results from
 207 some sites may be inconsistent with the rest. The attractiveness of this technique stems from
 208 the inclusion of all sites, with an equal likelihood of being part of a consistent whole, yet any
 209 inconsistencies are objectively deemphasized in the resulting distribution.

210 To implement, we take the posterior distribution generated for each site and calculate average
 211 (P_j) and standard deviation (σ_j) following Eqs. 7 and 8 and assume a Gaussian distribution.

212 Following Press, we then assume that for each site, there is a probability β (with β between zero
 213 and one) that this result is consistent with the data, and a probability $1 - \beta$ that it is inconsistent.

214 At this point we do not know the value of β . We use the data to generate a joint probability
 215 distribution for P and β .

216 The key equation we then use is another version of Bayes' theorem:

$$217 \quad f(P, \beta | results) f(results) = f(results | P, \beta) f(P, \beta) \quad (9)$$

218 Here, $f(P, \beta | results)$ is what we want, the joint probability distribution for P and β , given the
 219 results from the various sites. Our results are the set (P_j, σ_j) . The pdf $f(results)$ is the probability
 220 of acquiring the results; similar to Eqs. 1 and 2 it may be omitted if we properly normalize our
 221 answer:

$$222 \quad f(P, \beta | results) = c f(results | P, \beta) f(P, \beta) \quad (10)$$

223 Here, c is a normalization constant chosen to enforce

$$224 \quad \int_0^{\infty} dP \int_0^1 d\beta f(P, \beta | results) = 1 \quad (11)$$

225 The key input comes in modeling the function $f(\text{results} | P, \beta)$, which is the pdf for obtaining our
226 results, given P and β . Again, following Press (1997), we write it as follows:

$$227 \quad f(\text{results} | P, \beta) = \prod_{j=1}^N \left(\beta G_{\sigma_j}(P_j - P) + (1 - \beta) G_s(P_j - P) \right) \quad (12)$$

228 Here, we use the following notation for our Gaussians:

$$229 \quad G_{\sigma}(x) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left(-\frac{x^2}{2\sigma^2}\right) \quad (13)$$

230 Thus, for each site, we ask, what is the probability of finding result P_j . If the site has a consistent
231 result (probability β) this is given by the Gaussian centered on P , with standard deviation σ_j . If
232 the site is inconsistent (probability $1 - \beta$) then it is given by a Gaussian also centered on P , but
233 with a very large standard deviation given by s instead of σ , and thus the two are
234 interchangeable depending on the purpose of the Gaussian. The large value of s produces a
235 very wide Gaussian, which says that an inconsistent site gives us little information as to the true
236 value of P .

237 Finally, the pdf $f(P, \beta)$ is the prior for this problem; it embodies the probabilities we assign to P
238 and β before we acquired the data. Here we adopt a conservative approach and assign uniform
239 probability to all values of P (0-250 atoms $\text{g}^{-1} \text{yr}^{-1}$ and 0-1, respectively). One technical point
240 should be mentioned. The allowed values of P are all positive; so, if one of the Gaussians has
241 statistical weight below zero, we must adjust its normalization factor to account for this using the
242 approach outlined in Muzikar et al. (2017; Eqs. 11 and 12).

243 The way equation (12) works is as follows. As a given site's deviation from a 'consensus' value
244 based on the other sites increases, the "inconsistent Gaussian" for a deviant site in equation

245 (12) will tend to have more statistical weight in the final answer than the “consistent Gaussian.”
246 The rate at which the “inconsistent” Gaussian asserts itself with increasing deviation depends
247 on the value of s . However, this broad “inconsistent Gaussian” will tend to not shift the final
248 prediction for P very much towards the prediction of the inconsistent site.

249 **3.3 Cosmic Ray Scaling**

250 For the analysis presented here, we adopt code based on Balco et al. (2008) and Lifton et al.
251 (2014), underlying the CRONUS-Earth Calculator (Marrero et al., 2016; Phillips et al., 2016a;
252 Phillips et al., 2016b). Spatial variation in atmospheric pressure and solar modulation effects
253 follows Lifton et al. (2014). Cosmogenic nuclide scaling in the code uses two approaches: 1) the
254 method outlined in Lal (1991) and modified by Stone (2000), which scales time-invariant total
255 cosmic ray flux for the effects of the dipolar geomagnetic field and atmospheric pressure only,
256 and 2) the nuclide-specific method of Lifton et al. (2014) which scales predicted nuclide
257 production by neutrons, protons, and muons for a given nuclide at a location of interest,
258 accounting for temporal and spatial variations in the geomagnetic field and solar modulation,
259 relative to predicted nuclide SLHL production. Nuclide production in the Lifton et al. (2014)
260 model is predicted by integrating the particle flux at a given site, over a given time period, with
261 the probability of production as a function of energy (the excitation function). Critical to the Lifton
262 et al. (2014) model is the choice of geomagnetic field model (dipolar vs higher-order) and
263 paleomagnetic record. We adopt here the Pavon-Carrasco et al. (2014) time-varying dipolar
264 geomagnetic field as outlined in Lifton (2016).

265 **4. Results**

266 The posterior distribution for each calibration site with and without erosion (Supplementary
267 Figures 1 and 2), along with its average and standard deviation were determined for the $49\ ^3\text{He}$

268 production rate calibration sites listed in Table S1. As an example, Figure 3 shows the resulting
269 posterior distributions for three sites that are influenced by different priors with respect to the
270 absolute independent age as well as differing methodological constraints on the age itself that
271 influence the form of the prior (e.g., uniform probability for min-max age constraints, Gaussian
272 probability for Ar-Ar ages, calibrated radiocarbon age probability). Additionally, the sites span a
273 range of ages and therefore may be affected to differing degrees by erosion. Specifically, the
274 examples shown in Figure 3 display the effects of sites with minimum and maximum limiting age
275 constraints, which result in an age prior represented by a uniform distribution (Tabernacle Hill),
276 radiocarbon ages directly dating the calibration site (Yapoah Crater), whose prior incorporates
277 the calibrated radiocarbon age probability distribution, and finally the effects of assuming a
278 Gaussian probability distribution for a site dated by Ar/Ar chronology (SP Flow). The effects of
279 incorporating a probability distribution for the site erosion rate are discussed further below, but
280 in summary the youngest site (Yapoah Crater, ca. 2 ka) shown in Figure 3 shows little effect of
281 incorporating erosion, while the oldest site (SP Flow, ~72 ka) displays a broader probability
282 distribution extending towards higher production rates, particularly relative to the posterior
283 distribution when erosion is neglected. Posterior distributions for every site, both for the case of
284 zero erosion and assuming an exponential distribution for erosion are shown in the
285 Supplementary Material.

286 Results for the reference global sea level high latitude ^3He production rate, including erosion,
287 are summarized in Table 1 using multiple summary statistic approaches, including the Press
288 approach described above, the mean and standard deviation, inverse-error-weighted mean and
289 error-weighted variance, and finally the median and half interquartile range. The resulting
290 probability distributions from the Press approach are shown in Figure 4. For both Table 1 and
291 Figure 4, results are separated by mineral phase. At face value, our results indicate that
292 pyroxene production rates are higher than for olivine; however, at 2σ the differences are not

293 significant and the number of calibration sites for pyroxene is far fewer than for olivine and so
294 we caution over interpretation of our mineral specific results. Additionally, we observe a small
295 peak in the probability of pyroxene production slightly less than $130 \text{ atoms g}^{-1} \text{ yr}^{-1}$ –
296 demonstrating the utility of PDFs in this approach,

297 As outlined above, use of the Press approach requires assigning a value, s , for the standard
298 error of an “inconsistent” measurement. To avoid unduly biasing results with this approach,
299 selection of s must be balanced so that it is representative of an “inconsistent” Gaussian while
300 not overly influencing the ultimate value for the global reference production rate. Figure 5 shows
301 a sensitivity analysis of our reference production rate with this dataset to different values of s
302 and indicates that for values greater than $20 \text{ atoms g}^{-1} \text{ yr}^{-1}$, the resulting average production rate
303 is constant over a wide range of values for s . For the results summarized in Table 1 and Figure
304 4, s has been chosen as five times the mean of the uncertainties for calibration sites; the value
305 for s is generally greater than 50. This is a very conservative application of the Press (1997)
306 approach that therefore tends to not “de-weight” any calibration site based on its uncertainty and
307 rather “de-weights” a site given its deviation from the consensus value. For all the results
308 presented above, similar values are reported for the zero-erosion case in the Supplementary
309 Material S2.

310 **5. Discussion**

311 Erosion acts to remove cosmogenic nuclides from surficial materials; as such, concentrations
312 will be lower in an eroding surface than for a surface experiencing zero erosion (e.g., Lal, 1991).
313 While all sites will be affected to some degree, only the oldest sites will be significantly
314 influenced by incorporating erosion (Figure 2), whether as a fixed value (e.g., Kelly et al., 2015;
315 Phillips et al., 2016a) or in the form of a prior distribution. As shown in Figure 3, the youngest
316 site, Yapoah Flow, shows essentially no influence from our assumed range of surface erosion

317 rates, while the SP Flow displays a widening of the ^3He production rate probability distribution to
318 higher values because of its ~72 ka age. The Tabernacle Hill flow displays intermediate
319 influence by erosion in our model. The exponential distribution assumed as the prior for erosion
320 yields higher probabilities for low erosion rates that are more likely than high erosion rates, up to
321 a total of 1 m total erosion. Predicted site production rates not only increase because of erosion
322 effects, but the resulting posterior distribution for ^3He production is dependent on the form of the
323 prior for erosion and the independent site age (see below).

324 In addition to the influence of the prior for erosion, incorporating a prior on the independent age
325 control affects the posterior distribution for ^3He production rates. The effect is most notable for
326 sites where independent age control shows some degree of non-Gaussian or non-uniform
327 behavior (e.g., radiocarbon ages with complex calibrated age distributions). We can again turn
328 to the Yapoah Flow shown in Figure 3 as an example. The posterior distribution for this flow
329 shows peaks in probability that reflect peaks in the probability associated with the age prior.
330 Curiously, age constraints for Tabernacle Hill are simple minimum- and maximum-limiting ages
331 and thus the prior distribution is the product of two Heaviside functions. Due to the uniformity of
332 this prior, the strong Gaussian character of the distribution of ^3He concentrations in the
333 measured samples, yields a posterior distribution that is nearly Gaussian as well (Figure 3).

334 As discussed above, the use of the Press method compared to more traditional means of data
335 combination yields useful information beyond just a central tendency and uncertainty. One such
336 measure is the probability of a site being “consistent”. Here, “consistent” simply means
337 compatible with the Press-derived average value for all the sites while simultaneously
338 considering the uncertainty associated with a site. For example, a site with very small
339 uncertainties, but far from the average value, would have a low probability of being “consistent”,
340 compared to a site near the bulk of the data, but with larger uncertainties. In other words, the
341 Press method rewards sites close to the central tendency regardless of uncertainty, and likely

342 explains the similarity of the Press derived average and median values for the global data sets
343 (121 vs 122 atoms $\text{g}^{-1} \text{yr}^{-1}$, respectively; LSDn scaling; Table 1). Keeping all other parameters
344 the same and comparing the probability of any given site being consistent with and without
345 erosion shows improvement (0.47 ± 0.10 vs 0.37 ± 0.10 , respectively), but not significantly so
346 within 1σ uncertainty. One could argue that calculating production rates over a range of erosion
347 rates will naturally increase site by site uncertainties and hence result in better overall
348 agreement amongst sites. Conversely though, including erosion is a realistic, and hence non-
349 arbitrary way to increase site uncertainties and note that not all sites are affected to the same
350 degree by the inclusion of erosion, so it is not strictly an all or none problem (Figure 2). The
351 improvement is also borne out on a site-by-site basis (Figure 6, S3) where fewer sites have
352 virtually zero probability of being consistent when erosion is incorporated into our analysis. The
353 tradeoff between being near the average value regardless of uncertainty is well expressed.
354 Sites with large uncertainties, but not larger than the value for an inconsistent Gaussian, can
355 have rather high probabilities of being correct. The converse can also be true of a site, either
356 because of tightly clustered ^3He measurements and/or precise age control, might yield overall
357 small uncertainties for the site ^3He production rate, yet it is highly discrepant relative to the rest
358 of the data. Thus, whereas an averaging approach such as the error weighted mean might be
359 strongly biased by these precise calibration sites, the Press method is less strongly influenced.

360 All further discussion from this point forward refers to global reference ^3He production rates that
361 account for the influence of erosion with uncertainties reported at the 1σ level. Direct
362 comparison of our results (Table 1) with those of past ^3He production rate compilations and
363 computation of a global reference production rate (Borchers et al., 2016; Delunel et al., 2016;
364 Goehring et al., 2010; Lifton, 2016) is complicated by the fact that past studies have used
365 different scaling schemes and/or not reported all models. When the same scaling models were
366 used, most often Lal/Stone (Lal, 1991; Stone, 2000), different methods of air pressure

367 calculation were employed, while differing geomagnetic models for cutoff rigidity calculations
368 were used for time-dependent scaling models. Regardless, we note that our results, recalling
369 that we account for a distribution of erosion rates for every site, are broadly like those of past
370 studies when the Lal/Stone model is used for the purposes of comparison. What is more striking
371 however, is that contrary to almost all previous studies which applied some method of subjective
372 rejection of certain calibration sites, we apply an objective approach using the Press method to
373 combine all calibration sites into a single reference production rate. Our approach yields a
374 reference Lal/Stone production rate of 118 ± 2.1 atoms $\text{g}^{-1} \text{yr}^{-1}$, similar to the most recent
375 compilations (Delunel et al., 2016; Lifton, 2016; Martin et al., 2017) comprising a smaller global
376 data sets. Most importantly, overall uncertainties on our reference ^3He production rates are
377 lower than, or similar (Martin et al., 2017) to, those reported by previous compilations, even
378 though we have included all datasets. We argue that this is largely a result of the use of the
379 Press method for the averaging of individual site results, but also based on our reduction in
380 scatter of site production rates across a range of ages because of the incorporation of site
381 erosion.

382 It is important to note that we used ^3He concentrations as reported in their original publication.
383 We made no corrections for ^4He in-growth due to U-Th-Sm decay, nor made corrections for
384 production via thermal neutron capture on ^6Li . In some studies, these corrections are applied to
385 the reported ^3He concentrations and are thus used (e.g., Amidon, 2011; Delunel et al., 2016).
386 The former correction has been shown to possibly affect results up to 4% (Blard and Farley,
387 2008; Delunel et al., 2016), while the latter is only of consequence typically in pyroxene-bearing
388 rocks, and is also small in magnitude (commonly $< 0.02 \times 10^6$ atoms g^{-1} ; Delunel et al., 2016).
389 There is a possibility that exclusion of further ^4He and ^6Li corrections may introduce unintended
390 bias in our results; however, we doubt that any bias introduced is greater than the magnitude of

391 scatter observed (Table S1) nor the magnitude of the overall uncertainty on the reference global
392 production rate.

393 Consideration of the non-spallogenic production mechanisms of ^3He and ^4He does however
394 raise an interesting question regarding differences in production rates between olivine and
395 pyroxene. Theoretical estimates of ^3He production in the two minerals phases have long
396 suggested that there are significant differences (Masarik, 2002; Masarik and Beer, 1999;
397 Masarik and Reedy, 1995). Additionally, Goehring et al. (2010) showed that there were
398 differences in the absolute magnitude of production, but the differences were smaller than
399 resulting uncertainties. Since then, additional sites studying both olivine and pyroxene
400 production have emerged (Amidon, 2011; Eaves et al., 2015; Fenton et al., 2013; Foeken et al.,
401 2012) that could yield insight into any mineralogical dependence. The dataset comprising our
402 calibration effort is dominated by sites with olivine ($n=36$), compared to pyroxene ($n=13$) and
403 yields a global reference production rate for olivine of 118 ± 2.6 atoms $\text{g}^{-1} \text{yr}^{-1}$ and 134 ± 6.1 atoms
404 $\text{g}^{-1} \text{yr}^{-1}$ for pyroxene (both St scaling). The observed difference between olivine and pyroxene
405 agrees with theoretical estimates (Masarik, 2002; Masarik and Reedy, 1995) in terms of sense
406 of difference (pyroxene, 119 atoms $\text{g}^{-1} \text{yr}^{-1}$) $>$ olivine, 115 atoms $\text{g}^{-1} \text{yr}^{-1}$), but not in terms of
407 magnitude. Our results when incorporating erosion have a larger difference than theoretical
408 results, as well as those of past compilations. While, in the absence of erosion our Bayesian
409 approach yields a global reference ^3He pyroxene production rate similar to past estimates.

410 A set of questions naturally arises as to the correctness of our pyroxene global reference
411 production rate. The pyroxene dataset is small relative to olivine and so during averaging of the
412 pyroxene dataset, results could be dominated by tight clustering of data that are not necessarily
413 representative of the actual pyroxene production rate particularly when incorporating erosion
414 and its more pronounced effects on older sites. Figure 4 shows that relative to olivine,
415 incorporation of erosion into the determination of the reference production rate has a greater

416 impact when applying the Press method. We find it unlikely that most pyroxene sites have
417 incorrect independent age determinations and do not consider further. Thus, three possibilities
418 remain. 1) The pyroxene reference production rate is indeed higher than previously thought
419 when sensible constraints on erosion are incorporated. We note that our dataset is larger than
420 those previously used because additional datasets became available and our inclusion of all
421 data in determining a reference global value may yield results different from previously
422 presented. 2) The Press method fails to work properly in this case because of the relatively
423 small number of pyroxene production rate calibrations sites. Examination of other methods for
424 averaging (arithmetic mean and median) show similarly high ($> 130 \text{ atoms g}^{-1} \text{ yr}^{-1}$) values for
425 pyroxene production. Only the inverse-error-weighted mean yields a value more in line with that
426 of olivine, but this is likely the result of bias from highly precise data at lower production rates
427 that are deemphasized by the Press analysis. 3) Constraints on erosion in the form of the prior
428 are not appropriate for the pyroxene calibration sites available. We note that many of them are
429 from relatively arid environments and thus erosion may be overestimated, which would lead to
430 an overestimate of the ^3He production rate. The study of Amidon et al. (2011) does provide
431 some guidance with regards to this possibility. The site age (18.2 ka; robustly tied to the
432 catastrophic drainage of Lake Bonneville) is relatively young, and thus relatively unaffected by
433 erosion compared to the older pyroxene calibration sites and yields a site reference production
434 rate of $125 \pm 4.1 \text{ atoms g}^{-1} \text{ yr}^{-1}$ (LSDn), significantly less than the Press derived global value
435 (Table 1). However, we also note that only four of the thirteen calibration sites yield reference
436 production rates $< 130 \text{ atoms g}^{-1} \text{ yr}^{-1}$ and only two of those less than $120 \text{ atoms g}^{-1} \text{ yr}^{-1}$,
437 therefore the bulk of the data indicates higher pyroxene ^3He production rates from sites
438 spanning a range of ages.

439 One other potential issue arises from differences in corrections for nucleogenic production of
440 ^3He from ^6Li . Pyroxene in general is high in both Li and thermal neutron sources such as U and

441 Th, relative to olivine, and thus correcting the cosmogenic ^3He component for Li-derived ^3He
442 can be important. Most of the recent studies incorporate or assess these corrections and the
443 corrected values have been used here (Amidon, 2011; Delunel et al., 2016; Foeken et al.,
444 2012), yet high ^3He production rates persist. Repeat measurement of ^3He -bearing pyroxene
445 intercomparison material (Blard et al., 2014; Schaefer et al., 2016; Muzikar et al., 2017)
446 indicates that some laboratories yield systematically lower (or conversely, systematically higher)
447 ^3He concentrations than others. No assessment of the potential influence of interlaboratory
448 differences can be made on the older studies (Ackert et al., 2003; Cerling and Craig, 1994), but
449 in general note that Blard et al. (2014) did observe a bimodal distribution amongst six labs for
450 the ^3He bearing intercomparison material, so possible interlaboratory effects need further
451 investigation.

452 At present, we cannot conclusively say whether the pyroxene ^3He production rate is higher than
453 previously determined, or if other factors are influencing our results, but the suggestion of
454 significantly higher ^3He production in pyroxene relative to olivine is present. Additional
455 measurements by multiple laboratories from pyroxene-bearing calibration sites, particularly from
456 relatively young sites where erosion can largely be ruled out, will be necessary before
457 conclusive statements can be made.

458 **6. Conclusions**

459 Although cosmogenic nuclide production rate calibration studies always work to constrain a
460 site's age and erosional history to the maximum extent possible, there will always remain some
461 level of uncertainty. The ^3He production rate calibration dataset presented here evidences this,
462 with some sites yielding anomalously low reference ^3He production rates (Figure 1). One
463 possible explanation for this is that unaccounted-for site erosion may affect the inferred ^3He
464 production rate. Here, we present a Bayesian approach to cosmogenic nuclide production rate

465 calibration that allows for a researcher to account for a range of site erosion rates and ages, and
466 incorporate information on the likelihood of a given erosion rate or age in the form of a prior
467 probability distribution. Using an alternative averaging scheme where no sites are excluded a
468 priori to determine the global reference sea level high latitude production rate yields values of
469 118 ± 2 atoms $\text{g}^{-1} \text{yr}^{-1}$ for olivine and pyroxene combined with the Lal/Stone scaling scheme.
470 Similarly, for the LSDn model, we determined a value of 121 ± 2 atoms $\text{g}^{-1} \text{yr}^{-1}$. We also observe
471 differences in production between olivine and pyroxene, similar to that predicted by theoretical
472 estimates, however with larger than predicted differences. The small number of calibration sites
473 for pyroxene do not allow for robust conclusions to be made regarding this mineralogical
474 difference and we encourage the development of more production rate calibration sites with
475 pyroxene. Finally, we emphasize that our Bayesian approach to production rate calibration is
476 widely applicable to the other cosmogenic nuclides, and could prove particularly useful for ^{14}C
477 and ^{36}Cl with their sensitivity to secular equilibrium and multiple production pathways,
478 respectively.

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Tables

- 600 *Table 1. Summary of global production rate (^3He atoms $\text{g}^{-1} \text{yr}^{-1}$) calibration results with erosion of 0-5 m*
Myr $^{-1}$ using multiple methods to establish central tendency values. Uncertainties are reported at the 1σ -
level. Description of the Press method for averaging is described in methods section (Muzikar et al., in
review; Press, 1997). For the error weighted mean, the uncertainty is taken as the larger of the
uncertainty in weighted mean or the weighted average variance (Bevington and Robinson, 2003).
 605 *Uncertainty on the median is based on the half-width of the interquartile range.*

	Press \pm 1σ	Mean \pm 1σ	EWM $\pm 1\sigma$ (std error)	χ_v^2	Median \pm IQR
<i>Lal/Stone</i>					
Olivine + Pyroxene (n=49)	119 \pm 2.2	127 \pm 28	120 \pm 0.84	13.42	123 \pm 18
Olivine (n=36)	118 \pm 2.6	126 \pm 31	120 \pm 0.99	14.26	121 \pm 19
Pyroxene (n=13)	134 \pm 6.1	130 \pm 22	118 \pm 1.6	11.99	131 \pm 13
<i>LSDn</i>					
Olivine + Pyroxene (n=49)	121 \pm 2.1	139 \pm 27	137 \pm 0.82	33.18	135 \pm 20
Olivine (n=36)	119 \pm 1.6	139 \pm 29	140 \pm 0.91	38.83	128 \pm 21
Pyroxene (n=13)	145 \pm 9.8	139 \pm 23	126 \pm 1.8	15.79	138 \pm 16

Figures

610 *Figure 1. Reference ^3He production rates for all sites used in our analysis shown versus site age, site latitude, and site elevation. Production rates were calculated assuming zero erosion and are shown by mineral phase analyzed following the methods presented here. Reference production rates are shown for the nuclide specific scaling model of Lifton et al. (2014) (aka LSDn) and the time dependent geomagnetic framework of Lifton (2016). For simplicity we refer to them both hence forth as LSDn.*

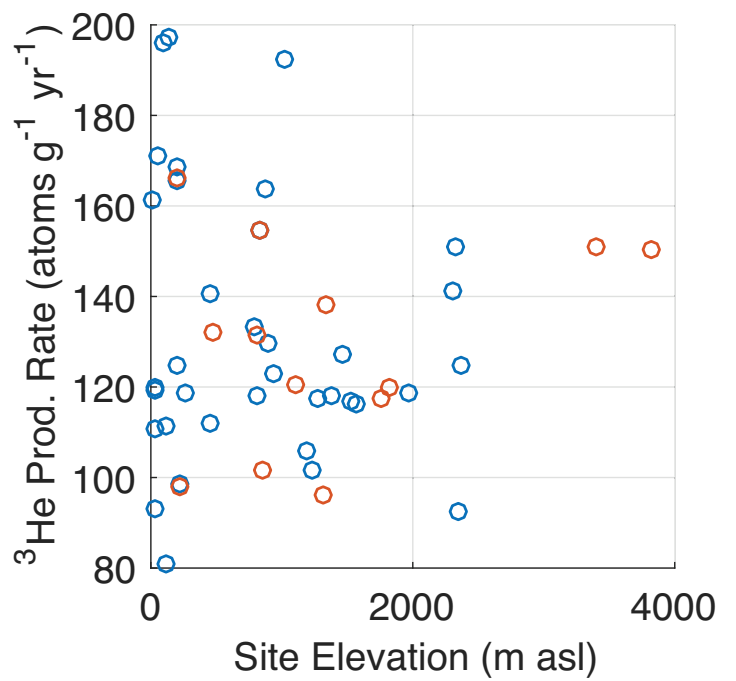
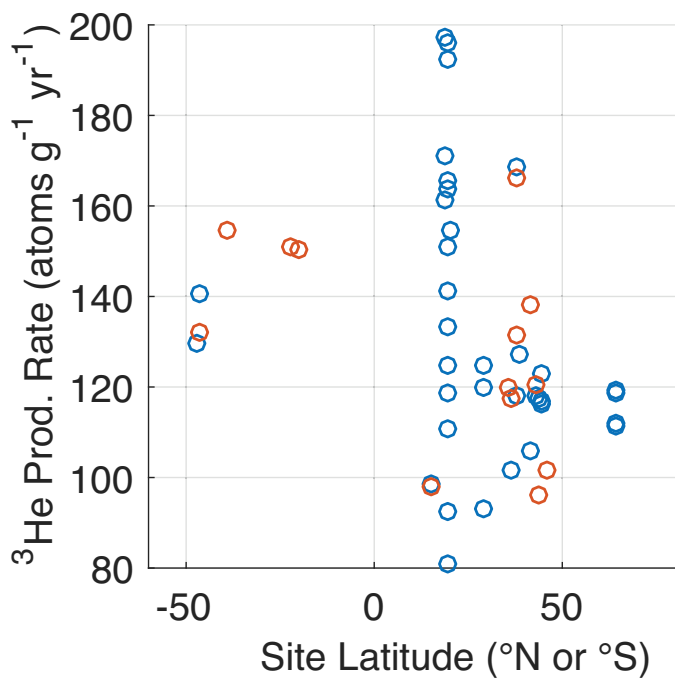
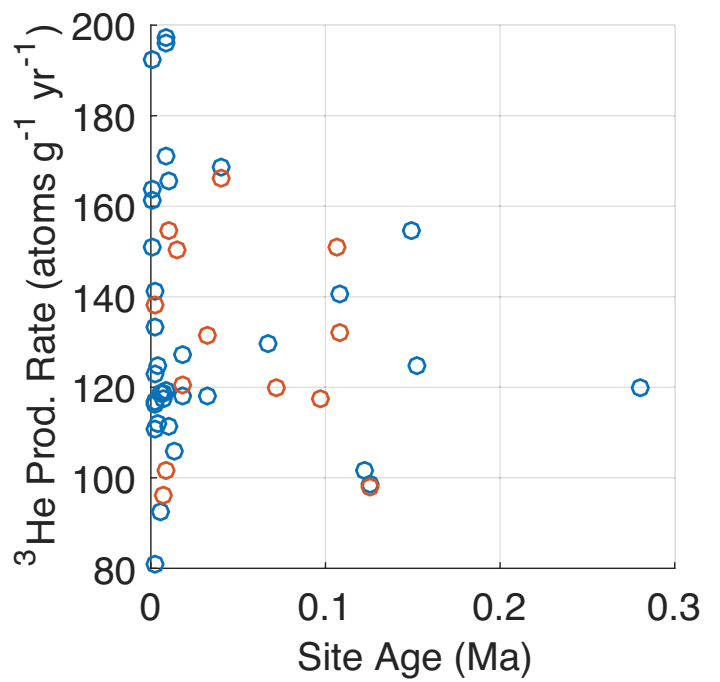
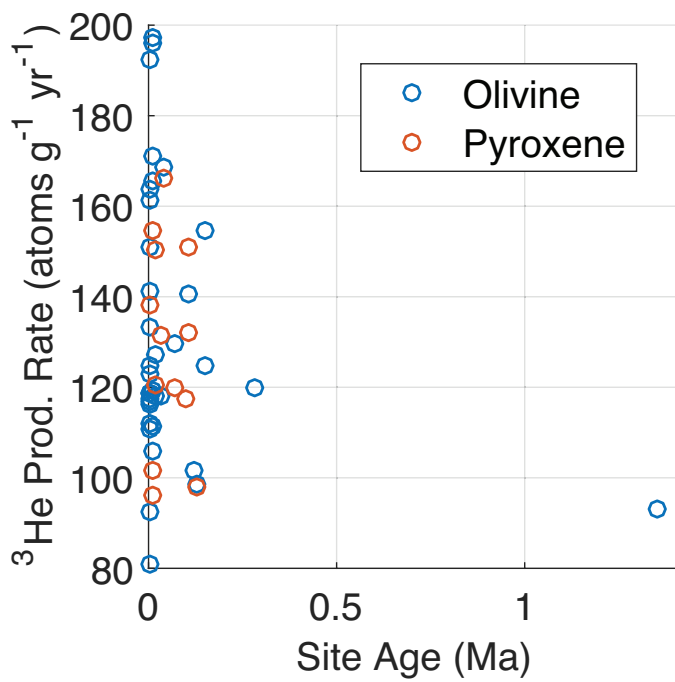
615 *Figure 2. Plot of the sensitivity of apparent ^3He production rate for a range of erosion rates and ages. Contours show the percent underestimation the calculated production rate is relative to the true production rate. Here we assumed a ^3He production rate of $120 \text{ at g}^{-1} \text{ yr}^{-1}$ as the true production rate.*

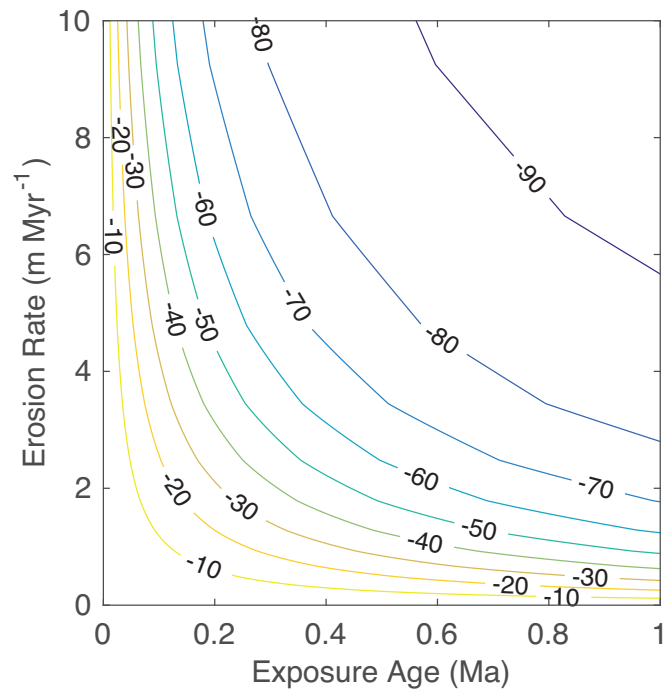
620 *Figure 3. Resulting reference ^3He production rate posterior distributions for three representative sites included in the current study. Sites selection is based on their age and method of age control displaying the effects of various forms of the prior for erosion and or site age. For example, Tabernacle Hill (top panel) is relatively young (ca. 18 ka) and therefore little affected by erosion (Lifton et al., 2015 estimated less than a few millimeters of total erosion), has a uniform prior probability for its age and therefore results in a flat-topped posterior. Yapoah Crater is even younger ($\sim 2 \text{ ka}$) and therefore is virtually unaffected by erosion, but because of its age control resulting from radiocarbon dating, displays a non-Gaussian posterior reflecting the shape of the calibrated radiocarbon age PDF. Finally, SP Flow is relatively old ($\sim 72 \text{ ka}$) and therefore is more strongly affected by the range of erosion over which we integrate and by the form of the prior for erosion, as reflected by lower probability at higher production rates (i.e., where less-likely but higher erosion rates would lead to higher apparent production rates).*

630 *Figure 4. Probability distributions for the global reference LSDn ^3He production rate as derived from the Press approach. Results are shown for olivine and pyroxene separately, as well as combined. The combined mineral phases global production rate is strongly influenced by the much larger olivine dataset compared to the pyroxene dataset. Top, case of integrating over $0\text{-}5 \text{ m Myr}^{-1}$; bottom, case of zero erosion.*

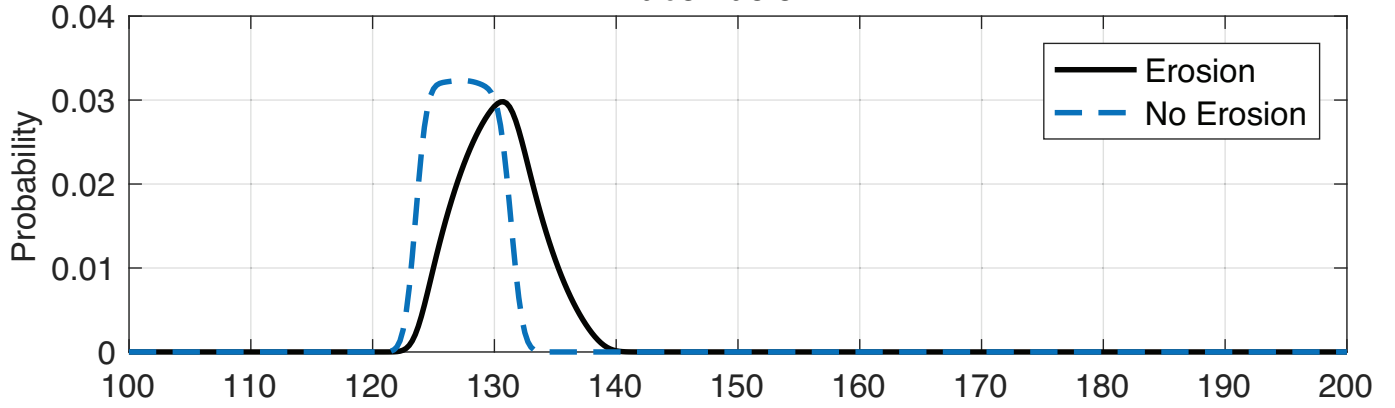
635 *Figure 5. Sensitivity analysis of resulting summary reference ^3He production rate to our choice of S , or the size of bad Gaussian, in the Press approach. In our analysis, S is chosen to minimize its effect on the resulting production rate. Values greater than $20 \text{ atoms g}^{-1} \text{ yr}^{-1}$ for s result in little change to the resulting average value. We have thus taken an approach that aims to include more data and purposefully chosen the value for the width of bad Gaussian to wide at five times the arithmetic mean of the site production rate uncertainties. Shaded region shows range of resulting production rates at 1σ .*

640 *Figure 6. Probability that each site reference ^3He production rate is consistent for both the no-erosion (top) and range of erosion rate cases (bottom). Probabilities of consistency are plotted against the site production rate. Error bars are shown at the 1σ level. While the distribution of site production rates is skewed slightly higher for the erosion case, overall probabilities of consistency are increased.*

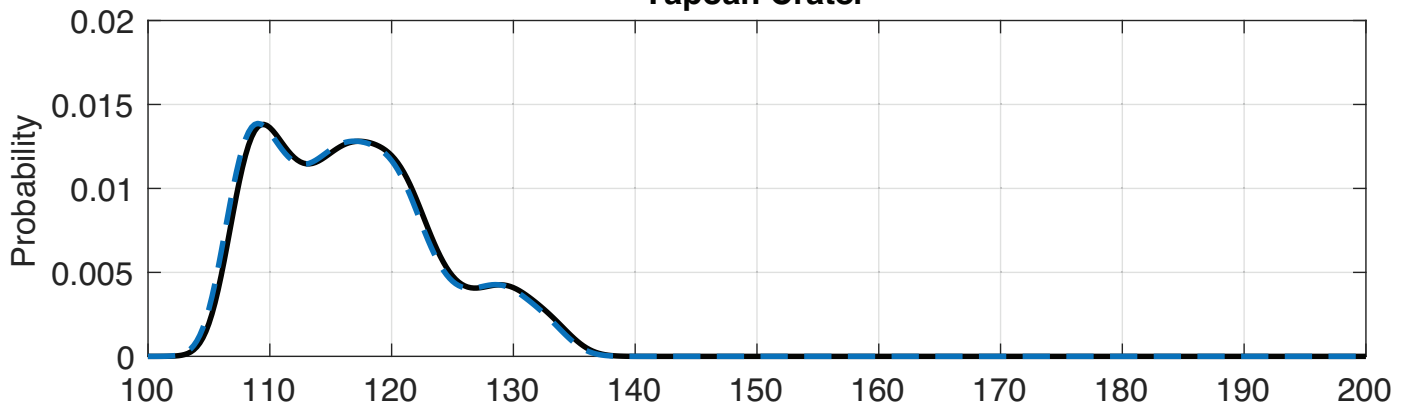




Tabernacle Hill



Yapoah Crater



SP Flow

