

1 **How do we efficiently generate high-resolution hydraulic models at large**
2 **numbers of riverine reaches?**

3

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22 **Abstract**

23

24 In support of efforts to quantify relationships between juvenile salmonid habitat and population
25 dynamics in the Pacific Northwest, over 2200 hydraulic models were generated at more than 900
26 individual reaches with unique bathymetry. Hydraulic models generated two dimensional field
27 estimates of depth and velocity for each survey, providing a key linkage used to relate
28 bathymetry and habitat data to juvenile salmonid population dynamics. Generating more than
29 2200 hydraulic models required development of an automated process to generate input files
30 specifying bathymetry, computational grids, and boundary conditions for the Delft3D Flow
31 software (which we run in 2D, and hereafter refer to as “Delft Flow” for clarity), enabling batch-
32 processing of large numbers of hydraulic models, which is the novel advancement we present
33 here. Hydraulic model inputs included digital elevation models (DEM) from topographic
34 surveys, estimates of surface roughness based on pebble size distributions, and discharge.
35 Outputs included velocity vector and depth fields estimated on a rectilinear grid of 10 cm
36 spacing between grid points. Modeled velocities and depths were in reasonable agreement with
37 field-collected velocities and depths. Certain topographic features, such as undercut banks and
38 porous structures not represented in the DEM, resulted in modeled values that failed to reflect
39 accurate velocities but were explained by the presence of these features. By utilizing a
40 rectilinear grid, scaling grid spacing to computational resource limitations, leveraging a cloud
41 computing system, and selecting simplified rules for discharge distribution for boundary
42 conditions and model run times, we were able to successfully automate the hydraulic modeling
43 process. Overall, automation of hydraulic model generation met precision and accuracy needs of
44 habitat condition models, lowered labor costs, and standardized the modeling workflow, and
45 enabled high survey volume processing needs.

46

47 **Keywords**

48 Hydraulic model, salmon, steelhead, automation

49

50 **1.1 Introduction**

51

52 Hydraulic models have been used to effectively estimate velocity and depth fields in stream
53 reaches suitable for juvenile salmonid production (Leclerc et al., 1995; Schwartz et al., 2015;
54 Hayes et al., 2007; Pasternack et al., 2006). A hydraulic model, for our purposes, is defined as
55 field estimates of depth and velocity for the entire stream reach, which is a length of stream of
56 approximately 20 times the bankfull width of the stream that includes the wetted area and
57 adjacent floodplains. Hydraulic models have been developed and studied in terms of their
58 relationship with aquatic organisms (Shen and Diplas, 2008) and are traditionally run on
59 individual reaches, with detailed input, and are manually, highly customized to optimize reach-
60 specific topography, roughness, and hydraulic conditions. Our challenge was to develop an
61 automated process to run multiple hydraulic models for thousands of surveyed riverine reaches
62 in support of the Columbia Habitat Monitoring Program (CHaMP²) (CHaMP, 2015), in the
63 Pacific Northwest of the United States. While significant advancements enabling automated
64 hydraulic modeling strategies have been made in recent years (Olivera and Maidment, 2000;
65 Gupta et al., 1999; Yagecic and Suk, 2014), to our knowledge high precision hydraulic modeling

² Abbreviations:

CHaMP: Columbia habitat monitoring program

NREI: Net rate of energy intake

HSI: Habitat suitability index

DEM: Digital elevation model

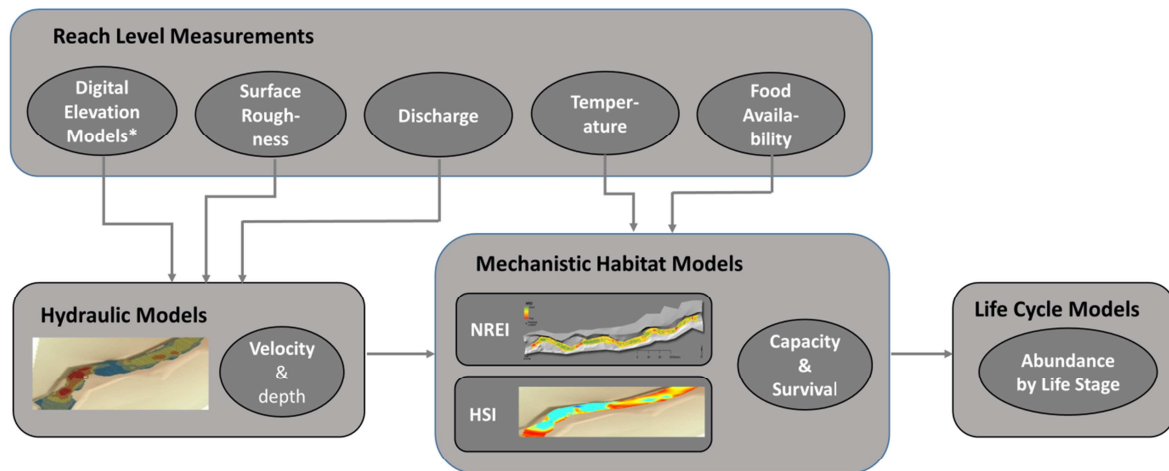
WSEDEM: Water surface elevation digital elevation model

66 at thousands of unique stream reach surveys as part of an integrated sampling plan has not been
67 previously accomplished.

68

69 Two dimensional models of water velocity and depth from hydraulic models have been used to
70 inform models of instream conditions describing fish habitat characteristics (Booker et al., 2004;
71 Kelly et al., 2012) as well as one dimensional models (Tranmer et al., 2018, Benjankar et al.,
72 2018). For example, fish carrying capacity can be estimated from the net rate of energy intake
73 (NREI) (Hayes et al., 2007); and habitat suitability index (HSI) models that consider depth,
74 depth-averaged velocity, and other reach level information to estimate carrying capacity (e.g.
75 Maret et al., 2006; Rubin et al., 1991; Lacey and Millar, 2004) (Figure 1). Such depth and
76 velocity estimates must be at sufficiently fine spatial resolution to adequately link velocity and
77 depth fields to fish biology (Tullos et al., 2016). Hydraulic modeling results are able to provide
78 this level of precision and can be scaled up to stream network level estimates of habitat capacity
79 (Wheaton et al., 2017). Automation of the hydraulic modeling process enables these network
80 level scale ups to be done in a statistically valid manner consistent with a complex, large scale
81 sampling design.

82



* Includes bathymetry and water surface elevation models

83

84

85 **Figure 1. Data flow from reach level measurements to life cycle modeling, indicating how**
 86 **field survey data inform hydraulic models, which in turn inform habitat models such as net**
 87 **rate of energy investment (NREI) and habitat suitability index (HSI) models.**

88

89 Since 2011, over 2200 sampling events at more than 900 unique stream reaches have generated
 90 both topographic and instream habitat data as part of the Columbia Habitat Monitoring Program
 91 (CHaMP), a program designed to evaluate status and trends of instream habitat for Endangered
 92 Species Act - listed salmon and steelhead populations in the interior Columbia River basin
 93 (Bouwes et al., 2011). Note that many unique reaches have been sampled more than once, but in
 94 different years, yielding different bathymetry and discharge and necessitating a unique hydraulic
 95 model. An automated hydraulic modeling process capable of efficiently producing hydraulic
 96 models would allow estimation of habitat capacity throughout the interior Columbia River basin.
 97 Our primary objectives were to 1) estimate depth and velocity fields for each unique topographic

98 survey, 2) provide quality assurance feedback informing the accuracy of each model; and 3)
99 retain accurate and spatially fine results of each model.

100

101 **2.1 Methods**

102

103 **2.1.1 Delft Flow software**

104 We used Delft Flow (<http://oss.deltares.nl/web/delft3dto>) to model fluid dynamics at each
105 surveyed reach. It is an open source, freely available software with flexible modeling capabilities
106 for free surface flows across a wide range of spatial scales (Deltares, 2013a). It is capable of
107 batch process modeling hydraulic models. Delft Flow requires descriptions of modeled
108 geometry, boundary conditions, initial conditions, fluidic properties, and numerical parameters,
109 input as a series of text files (Deltares, 2013a). We used a rectangular grid with uniform grid
110 spacing in X and Y directions with a fine mesh spacing. After early experimentation with
111 curvilinear grids, we determined the optimal balance of effort – whereby we balance
112 computational time against manual manipulation and optimization of curvilinear grids – was
113 achieved by using a simple rectangular grid with fine mesh spacing throughout a reach.

114

115 While the bathymetry data from which we develop our hydraulic models on is 10 cm resolution,
116 the actual survey point density from which the DEMs are generated is significantly less than 10
117 cm resolution. Field crews take survey points that rely on surveyor judgment to select
118 appropriate point sampling locations and point densities, allowing the surveyor to increase point
119 density in areas with greater topographic complexity or to detail features of interest, and decrease
120 point density in areas with homogeneous topography and of less geomorphic interest (Bangen,
121 2013). This topographically stratified sampling method approach (Brasington et al., 2000;

122 Fuller et al., 2003) was used in all surveys with breaks in slope and topography complexity
123 guiding point density stratification. The resulting DEM therefore accurately locates locations of
124 sharp change in bathymetric surface geometry, but features on the scale of 10 cm such as rocks,
125 wood, or vegetation are not included in the bathymetric survey. The resulting DEM is therefore
126 a smoothed out representation of reality, and features such as rocks are accounted for in the
127 hydraulic model as surface roughness rather than directly modeled features. Given this survey
128 strategy, we generally expect features of approximately 30-50 cm (x, y or z axis) or greater to be
129 included in the survey and represented by the DEM. This minimum feature size scales with the
130 length of the reach surveyed; smaller reaches will have minimum feature sizes at the small end of
131 this range while larger reaches will have minimum feature sizes at the large end of this range.
132 This feature size is also dependent on the frequency and distribution of the feature within a
133 reach; unique features are likely to be captured as elements adding complexity with high point
134 densities while high frequency features are more likely to be captured as homogenous
135 topography.

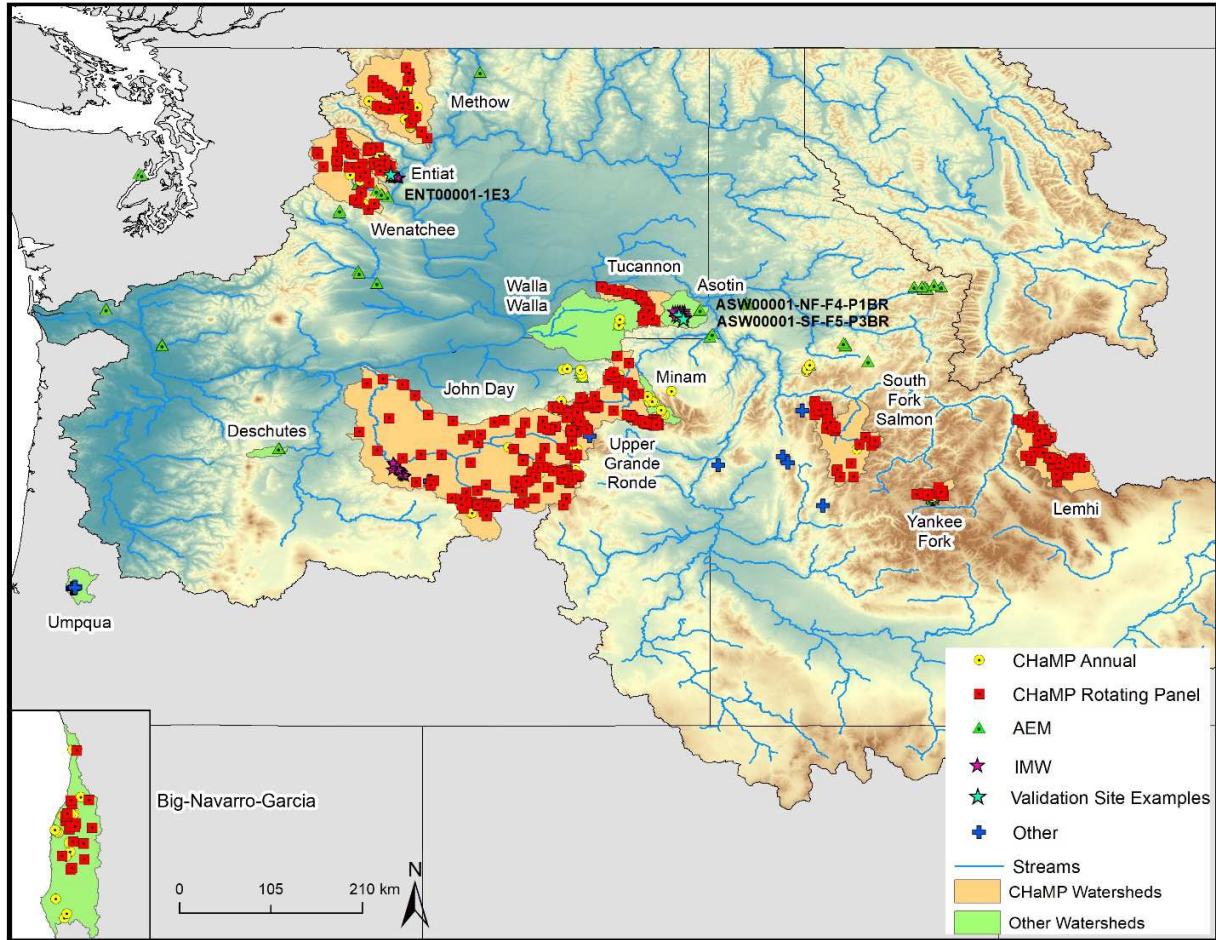
136
137 Modeling a smoothed over bathymetric surface and using a surface roughness model lends itself
138 to accepting a depth averaged two-dimensional hydraulic model rather than modeling in full
139 three dimensional space. In addition, Delft-Flow documentation suggests that “[Non-tidal rivers]
140 are generally well-mixed and you can rely on a 2D (depth averaged) computation, unless the
141 project requires the vertical profile of some quantities.” (Deltares, 2013a). While vertical
142 profiles of velocity may be of interest around small features such as rocks, this bathymetric
143 information was not available and would therefore not achieve significant enhancements to our
144 models by attempting to model in three-dimensions. In addition, two-dimensional depth

145 averaged models have been shown previously to successfully support NREI (Hayes et al., 2007)
146 and HSI models (Maret et al., 2006; Rubin et al., 1991; Lacey and Millar, 2004). Our objective
147 here was not to enable refinements or improvements to NREI or HSI modeling methods by
148 providing full three dimensional solutions, but rather to enable high volume NREI and HSI
149 modeling by providing thousands of two-dimensional models of equivalent quality to those
150 previously found to be useful and informative for quantifying fish habitat.

151

152 **2.2 Hydraulic model input data: Columbia Habitat Monitoring Program**

153 The Columbia Habitat Monitoring Program utilizes a spatially balanced statistical sampling
154 design (Stevens and Olsen, 2004) to monitor wadeable streams and rivers accessible to
155 anadromous steelhead (*Oncorhynchus mykiss*) and/or Chinook salmon (*Oncorhynchus*
156 *tshawytscha*) (CHaMP, 2015) (Figure 2). Most stream reaches have been sampled over multiple
157 years in order to assess temporal changes to topography and features, thereby requiring unique
158 hydraulic model for each survey. Carrying capacity and productivity are used as the basis for
159 models of salmonid population dynamics (Moussalli and Hilborn, 1986) used by the program
160 and a hydraulic model provides continuous depth and velocity fields as inputs to such models.



161

162 **Figure 2. Location of surveyed reaches within the Columbia River basin as part of the**
 163 **CHaMP, AEM (Action Effectiveness Monitoring), and IMW (Intensively Monitored**
 164 **Watersheds) programs.**

165

166 The hydraulic models utilized digital elevation models (DEMs, 10cm resolution) from high
 167 precision ground based topographic surveys (Bouwes et al., 2011). Such ground based surveys
 168 have become common sampling tools in fluvial geomorphology (Wheaton et al., 2010) and have
 169 demonstrated low measurement variability (Bangen et al., 2014). For each reach, DEMs were
 170 produced for both reach-level bathymetry and water surface elevation, along with a thalweg.

171 Field crews also measured pebble size distributions (D84) and discharge (Bouwes et al., 2011)
172 which we leverage in our hydraulic modeling process. Discharge rates (m^3/s), represent low
173 flow conditions as sampling occurs in the summer months (July-September).

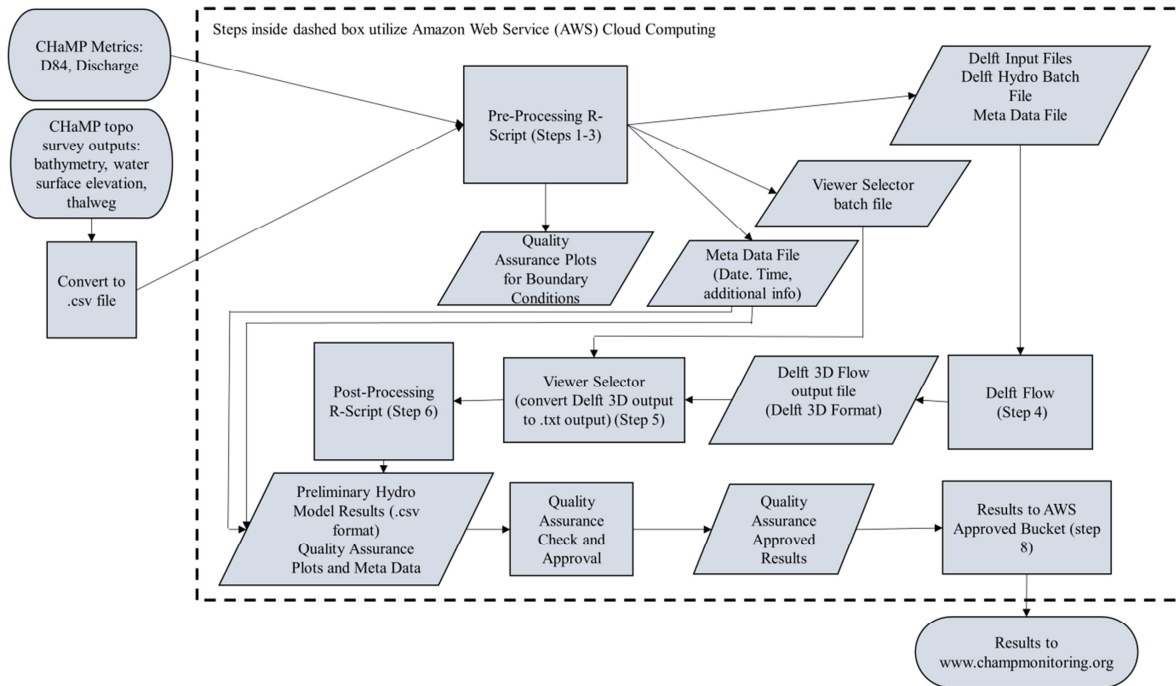
174

175 **2.3 Hydraulic modeling work flow**

176 DEM and related field data are processed with R scripts (R Core Team, 2014) and Delft Flow to
177 generate a hydraulic model for each stream reach (Figure 3). A pre-processing script is used to
178 generate the numeric grid (step 1), build the required Delft Flow input files, (step 2), and set up
179 the required files and file structures for batch processing (step 3). Delf3d Flow is then run in
180 batch mode (step 4), and outputs are converted into text format (step 5) and then post-processed
181 to generate final depth and velocity outputs (step 6). We review outputs for quality (step 7) and
182 final results are stored in an online data warehouse (step 8). The required Delft Flow inputs
183 consist of a series of input files describing, among other things: bathymetry, surface roughness,
184 boundary conditions, initial conditions, and numeric constants, as well as a master definition file
185 (Table 1). R-code, example input files, and documentation are available at:

186 <https://github.com/SouthForkResearch/Hydraulic-Modeling>

187



188

189 **Figure 3. Hydraulic modeling work flow**

190

191

| Delft Flow Input File Created by Pre-Processing R Script | Description |
|---|---|
| test.grd | File defining the numerical grid |
| test.enc | Grid enclosure file |
| test.dry | Dry points file |
| test.dep | Bathymetry |
| test.bct | Downstream boundary condition locations |
| test.bnd | Downstream boundary condition |
| test.src | Discharge locations |
| test.dis | Discharge rates |
| test.mdf | Master definition file; lists filenames for all other input files and contains key physical and numerical constants |

192

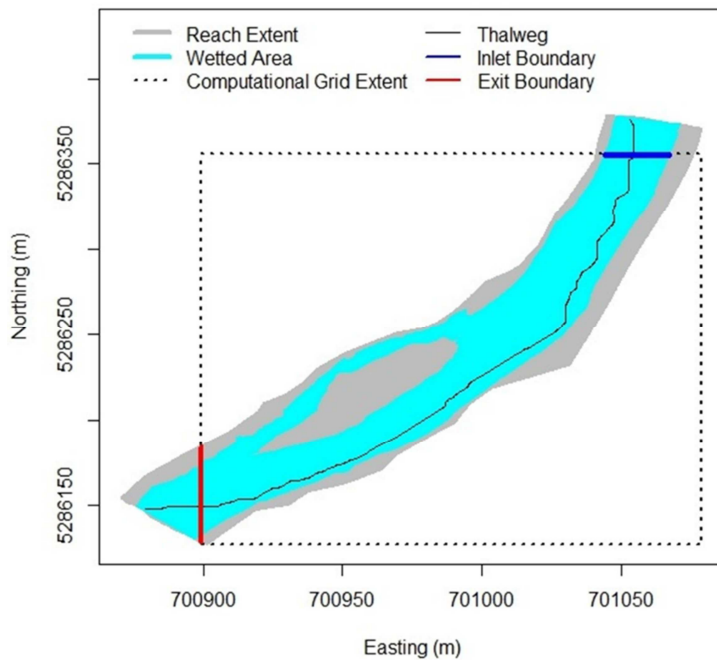
193 **Table 1. Delft Flow input files generated using a pre-processing R script**

194

195 **2.3.1 Step 1: define the numeric grid used by Delft Flow**

196 The first pre-processing step is to develop the numerical grid. A rectangular grid with extents in
197 the cardinal directions equal to the maximum and minimum extents of the surveyed DEM is first
198 generated. Over this rectangle we plot the thalweg and determine the closest edge (North, South,
199 East, or West) to the upstream and downstream ends of the thalweg (Figure 4). The trend in
200 water surface elevation along the thalweg can be used to identify which boundary is upstream
201 and which is downstream. Note that the inlet and outlet can occur on the same edge of the
202 computational grid.

203



204

205 **Figure 4. Extent of reach surveyed, computational grid and inlet/exit boundary location for**
206 **example reach ENT00001-1E3**

207

208 The inlet and outlet boundaries are then trimmed by user-defined extents (2m default) to ensure
209 that the edges of the computational grid cross wetted edges of the reach at both the inlet and
210 outlet boundaries (Figure 4). While this trimming step results in some loss of total area modeled,
211 it allows efficient and automated definition of computational grid boundaries without a priori
212 knowledge or manual user specification of upstream and downstream edges.

213

214 By using a rectangular grid outlining the stream reach, we necessarily include a significant area
215 in our computational grid that is not part of the surveyed stream reach (Figure 4, white area
216 within the computational grid). Grid points outside the reach are defined as dry points, and are
217 not included in Delft3D Flow calculations, reducing required computational power.

218

219 Models are limited by computer memory requirements and can be consistently run on
220 computational grids containing approximately 500,000 grid points. Our algorithm therefore
221 varies the grid spacing by the size of stream reach being modeled and uses as fine of a grid as
222 possible without exceeding that limit. Additionally, grid spacing is set at either a multiple or an
223 integer fraction of the 10 cm DEM grid spacing, simplifying and minimizing systematic error in
224 the interpolation between the DEM and computational grid. We ensured the computational grid
225 spacing was sufficiently fine by comparing simulation results at the grid spacing, as determined
226 by our 500,000 cell limit, to those run at two and four times the 500,000 cell limit algorithm-
227 based grid spacing. Our grid spacing algorithm ensured that our computational grid spacing was
228 finer than the effective minimum feature size surveyed, as described above.

229

230 **2.3.2 Step 2: generation of Delft Flow input files for bathymetry and boundary conditions**

231 The pre-processing script generates Delft Flow input files specifying the bathymetry (“test.dep”),
232 downstream boundary conditions (“test.bnd” and “test.src”), and the distribution of discharge at
233 the upstream boundary (“test.src” and “test.dis”), as well as initial conditions, required
234 simulation times, and a master definition file (test.mdf) describing surface roughness, fluidic
235 properties of water and time step information. We used a horizontal eddy viscosity of $0.01 \text{ m}^2/\text{s}$
236 and a diffusivity of $10 \text{ m}^2/\text{s}$. Our time step is set at 0.0025 seconds for all models and was
237 selected as a sufficiently fine time step that enabled stable numerical solutions in all cases with
238 the minor expense of numerical efficiency that could be achieved if a time step were optimized
239 for each individual model. The Courant-Friedrichs-Lewy number, at this time step and for
240 typical depths of approximately 1 meter, is about 0.75. The Delft Flow manual (Deltares, 2013a)
241 suggests keeping this value below 10 to ensure accuracy and numerical stability. We chose to
242 stay well below this, although efficiencies may be gained by attempting to optimize this value.
243 The Delft input file for bathymetry (“test.dep”) is generated by spatially interpolating bathymetry
244 from the DEM grid onto the computational grid, unless the computational grid point lands
245 exactly on the DEM grid point, in which case the values are transcribed exactly. Since both
246 grids are rectangular and uniformly spaced, the four nearest DEM points form a square, within
247 which the computational grid point is located, making for easy interpolation. The downstream
248 boundary condition (specified in files “test.bnd” and “test.bct”) specifies the water surface
249 elevation at the exit boundary and is specified as the thalweg water surface elevation where the
250 thalweg crosses the exit boundary. Discharge is distributed across the wetted length of the
251 computational upstream boundary and is defined in the “test.src” and “test.dis” files. The total
252 discharge is distributed along each cell of the inlet boundary such that the volume flow rate at
253 each cell was proportional to the measured water depth.

254

255 Because the inlet and outlet boundary conditions are specified at edges along cardinal directions,
256 there is often boundary condition specification error as the flow direction is rarely orthogonal to
257 the boundary. In some cases, the wetted boundary edge extends across multiple edges (e.g. north
258 and west edges). Experimentation with boundary conditions suggested that boundary condition
259 errors typically propagated no more than one or two wetted widths from the inlet or exit
260 boundary, which is a small fraction of the overall stream length modeled for modeled stream
261 reaches (CHaMP, 2015).

262

263 We recognize that boundary condition errors for both upstream and downstream boundaries
264 could potentially be reduced by using a curvilinear computational grid, which would allow the
265 boundaries to be more perpendicular to flow directions. We prioritized simplicity over boundary
266 condition precision to enable high volume modeling. Early work developing curvilinear grids
267 resulted in high rates of manual intervention, which was inconsistent with our automation
268 objectives. We may consider updating the process to include curvilinear grids in the future.

269

270 In small streams, surface roughness is primarily driven by the distribution of pebble sizes in the
271 substrate. Because features at this spatial scale cannot be directly modeled, we accounted for
272 surface roughness using the White-Colebrook model (Colebrook and White, 1937; Colebrook,
273 1939). We use D84 as a proxy for surface roughness. We experimented with different scalars
274 applied to D84 as the surface roughness input to the roughness model, and optimized around this
275 scalar (see section 2.5).

276

277 Initial conditions for the model are set such that the water level at all points is equal to the water
278 level at the downstream boundary condition. We found that the steady state solution was not
279 dependent on initial conditions.

280

281 To ensure our computational solutions reach steady state, we estimate the volume of water
282 present in the reach from the DEM and water surface elevation digital elevation model
283 (WSEDEM) and then run the simulation until the rate of discharge multiplied by the simulation
284 time is equal to twice the total water volume of the reach. We find this always provides ample
285 margin, ensuring simulations reach steady state.

286

287 The master definition file is a key input file used to run Delft flow and lists the names and
288 locations of all input files (Table 1), surface roughness (D84), and constants describing the
289 physical properties of freshwater (Deltares, 2013a). This file is generated by the pre-processing
290 script after all other input files are generated, simulation time has been determined, and surface
291 roughness inputs are determined.

292

293 **2.3.3 Step 3: set up batch processing**

294 Delft Flow is run in batch mode, bypassing any need for manual operation. A .csv file containing
295 a list of reach visits, discharges, and surface roughness (D84), and file locations for DEM,
296 WSEDEM, and thalweg files is user generated and read by the pre-processing R code. A batch
297 file is also generated that is used to convert Delft Flow output into text files (step 5).

298

299 **2.3.4 Step 4: run Delft Flow**

300 Once all pre-processing is complete, Delft Flow is run for a batch of surveys; time required for
301 each reach to be modeled ranges from a few minutes to several hours, and we use typical batch
302 sizes of 20 to 50 reaches. Models are run using elastic cloud computing (EC2 service, Amazon
303 Web Services, <https://aws.amazon.com/>) and all required survey data inputs are stored in
304 Amazon storage buckets (S3) in .csv formats. As many EC2 instances as needed are generated,
305 and there is unlimited potential to scale computing resources. We typically used the instance
306 type “C4.4xlarge” as it provides sufficiently fast computing with sufficient memory to run up to
307 50 models in a single batch, at otherwise minimum costs (\$0.796 per hour per instance, as of
308 November 2017). Modeling thousands of reaches locally would not have been practical, and
309 Amazon Web Services was an effective tool to provide cost effective computational power.
310 Model outputs are saved for from 10 evenly dispersed time steps throughout the simulation and
311 these can be used to ensure the flow reaches a steady state.

312

313 **2.3.5. Step 5: converting Delft flow output to .txt format**

314 Delft Flow output is not readable by R or other common data viewers, so we use the viewer
315 selector tool (vs.exe of the Delf3D flow suite, Deltares 2013b) and the batch file generated in
316 step 3 to produce output files in text format for each model. These output files contain the X-
317 location, Y-Location, X-velocity component, Y-velocity component, bottom elevation, water
318 surface elevation, and dry points of each hydraulic model.

319

320 **2.3.6. Step 6: post processing: translating model outputs onto original DEM grid locations** 321 **and generating quality assurance plots**

322

323 The viewer selector output text files are read into R and the velocity and depth results from these
 324 files are then interpolated back onto the original 10 cm DEM grid. The viewer selector tool
 325 exports files (X-velocity, Y-velocity, and water surface elevation) with data points that are not all
 326 reported at the same grid locations. Depths are reported at cell centers, while X-velocity and Y-
 327 velocity components are reported at half grid increment offsets in the X and Y directions,
 328 respectively (Deltares, 2013a). Interpolation is first done to translate velocity results to the
 329 computational grid centers, then both velocity and depth and are interpolated to the original 10
 330 cm DEM grid. To reduce file size, only points identified as wetted according to either the
 331 hydraulic model solution or to the original crew survey are included in the results file. Location,
 332 velocity and depth metrics are included as outputs for each grid point (Table 2)
 333

| Output | Description | Units |
|------------------------|--|--------------|
| X, Y | Geographic Cartesian coordinates for Northing and Easting, respectively, in meters | m |
| X Velocity, Y Velocity | X and Y vector components of velocity | m/s |
| Velocity Magnitude | Magnitude of resultant velocity vector | m/s |
| Depth | Water depth | m |
| WSE | Elevation of water surface, above sea level | m |
| Bed Level | Elevation of bed, above sea level | m |
| Depth Error | Difference between surveyed depth and modeled depth | m |

334
 335 **Table 2. Hydraulic modeling output written to each row of the .csv output file. Output file**
 336 **contains one row for each point on a uniform 10 cm rectilinear grid overlaying each reach**
 337

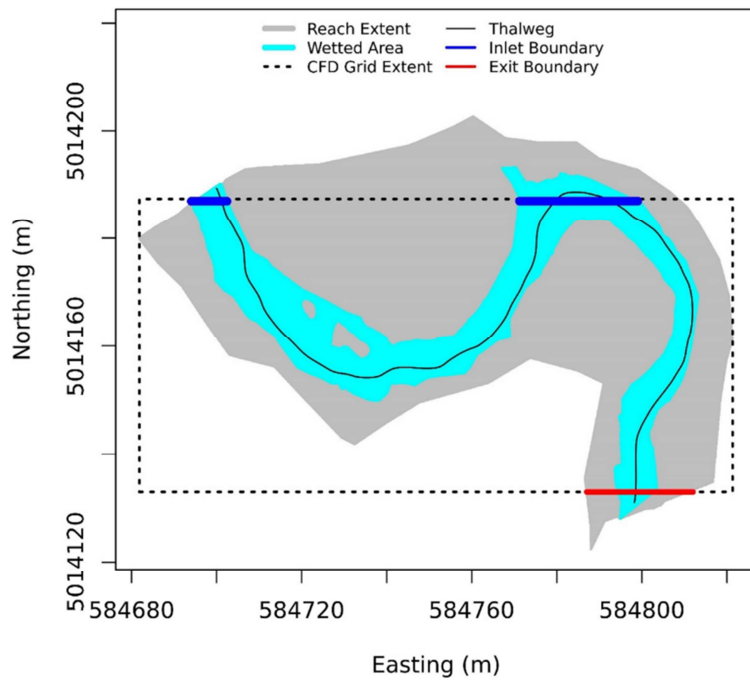
338 The post-processing script generates a series of contour plots displaying velocity, depth, and
339 water surface elevation. Plots of spatially explicit estimates of error in modeled depth are also
340 calculated as the difference between surveyed depth and modeled depth and provide an overview
341 of model accuracy allowing quick visual assessment.

342

343 **2.3.7 Step 7: perform quality assurance checks**

344 Quality assurance checks to assess the accuracy of boundary conditions or flows that fail to wet
345 the entire reach are performed after each batch run. Boundary condition issues are the most
346 common problem observed, but are easily found via visual review of boundary condition plots.
347 Results from reaches with erroneous boundary conditions are not finalized (Figure 5). In almost
348 all cases, boundary condition issues can be fixed via manual specification of the boundary
349 conditions. To date, we have been unable to model only a single reach from the more than 2200
350 field surveys.

351



352

353 **Figure 5. Boundary condition error resulting from failure of automated process. Manual**
 354 **specification of inlet boundary condition is required for this reach. Inlet boundary near**
 355 **middle of the reach is the erroneous boundary.**

356

357 **2.3.8 Step 8: upload results to database**

358 Once model batches have been completed and quality assured, model results are uploaded to a
 359 centralized cloud storage system and sent to a central database repository
 360 (www.champmonitoring.org).

361

362 **2.4 Model validation**

363 In 2013 velocity and depth data were collected at points along 169 transects spread over 36
 364 reaches. The validation reaches covered a broad variety of reach types and flow rates (mean =
 365 $0.63 \text{ m}^3\text{s}^{-1}$, $\text{sd} = 1.59 \text{ m}^3\text{s}^{-1}$), and included reaches from the Asotin, Entiat, John Day, Lemhi, and

366 Tucannon watersheds (see Figure 2). At each reach, crews identified 3-6 validation transects.
367 Each transect was divided into 15-20 equally spaced intervals where depth and depth-averaged
368 velocity were measured. Measured depths and depth averaged measured velocities at each point
369 along validation transects were compared to modeled depths and velocities. Also, the surveyed
370 depths were compared to modeled depths across the entire wetted surface. Approximate depth
371 averaged velocity measurements (at 60% of depth) were taken rather than full vertical profiles.
372 This data collection decision was made to minimize field costs and in belief that it would support
373 the type of two-dimensional modeling efforts previously used to develop HSI and NREI models.

374

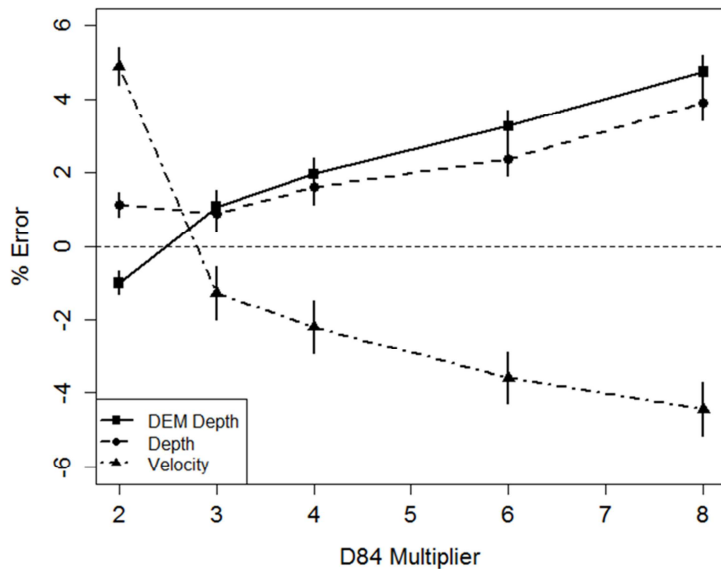
375 **2.5 Model optimization**

376 In modeling of complex natural systems, it is impractical, both in terms of computational power
377 and our ability to create DEMs at high enough precision, to include true features in a DEM that
378 can be described as “surface roughness.” Therefore we used the White-Colebrook model for
379 surface roughness and assumed a scalar value of D84 provides a reasonable proxy for surface
380 roughness. For calibration, we varied the scaling factor over a range of values from 1 to 8, and
381 then compared resulting velocity and depth fields modeled at each scalar value to depths and
382 velocities measured at a series of validation points at a subset of stream reaches. We selected our
383 scalar on D84 to be used to input surface roughness as the value that minimized the overall error
384 in validation.

385

386 Using a scalar multiplier on the metric D84 proved effective at calibrating the model. As the
387 multiplier is increased, modeled depth tended to decrease, while modeled velocity tended to
388 increase (Figure 6). At a D84 multiplier of approximately 3.0, velocity and depth errors were

389 minimized. Because our intention was to model thousands of uniquely surveyed reach / visit
390 combinations, we used this value for all reaches, rather than optimizing the scalar on a reach by
391 reach basis.



392
393 **Figure 6. Estimated mean error at validation locations vs multiplier applied to scale D84 as**
394 **surface roughness input to model. Error is defined as percent difference between modeled**
395 **values for a) depth as measured in DEM survey, b) direct depth measurements at**
396 **validation points, and c) direct velocity measurements at validation points. Vertical bars**
397 **indicate 95% confidence bounds.**

398
399 **3.1 Results**

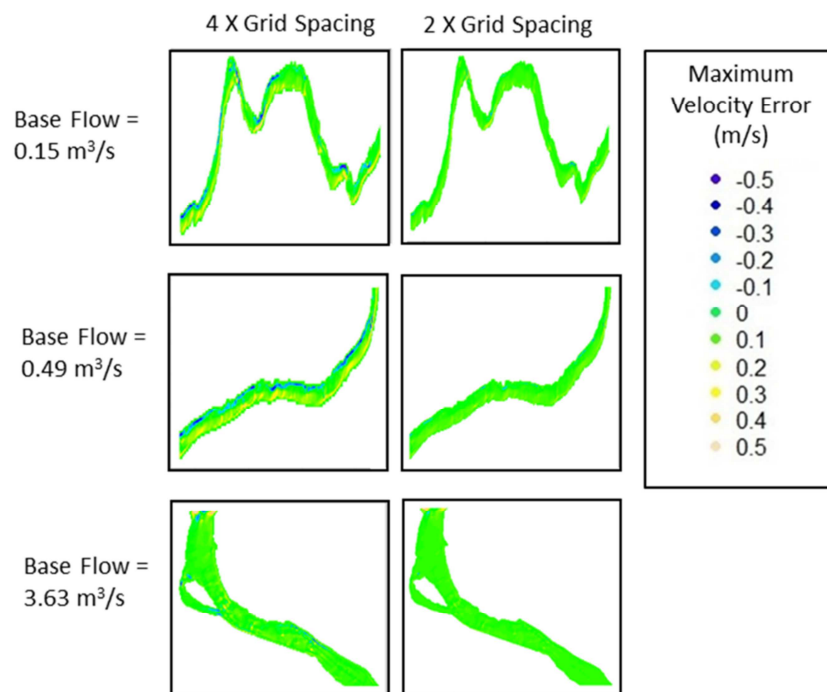
400 To date, more than 2200 hydraulic models have been successfully run, representing multiple
401 years of surveyed reaches. Based on a random subsample for which we tracked required
402 computation times, the computational times averaged 64.0 minutes, with a standard deviation of
403 39.8 minutes. The minimum and maximum computational times from our subsample were 15.6

404 and 224.1 minutes, respectively. In general, it appeared that large reaches and reaches with low
405 discharge rates required longer modeling times to reach a steady state. All computations were
406 done using “C4.4xlarge” Amazon Web Service instances, which are powered by high frequency
407 Intel Xeon E5-2666 v3 (Haswell) processors (Details available at
408 <https://aws.amazon.com/ec2/instance-types/>).

409

410 To ensure our grid spacing was sufficiently fine, we compared simulation results at the grid
411 spacing determined by our 500,000 cell limit to those run at coarser grid spacing across a variety
412 of stream reaches. Varying grid spacing demonstrated that our grid spacing algorithm produces
413 sufficiently fine grids. Doubling the grid spacing resulted in minor deviations in velocity fields
414 (Figure 7) and corresponding depth fields. As grid spacing was further increased to 4X the
415 default grid spacing, significant differences in velocity and depth fields occurred, indicating that
416 that grid spacing would be too coarse.

417



418

419 **Figure 7. Velocity magnitude differences, relative to simulations at default grid spacing, for**
 420 **simulations performed at 4X and 2X default grid spacing, for low, medium, and high flow**
 421 **reaches.**

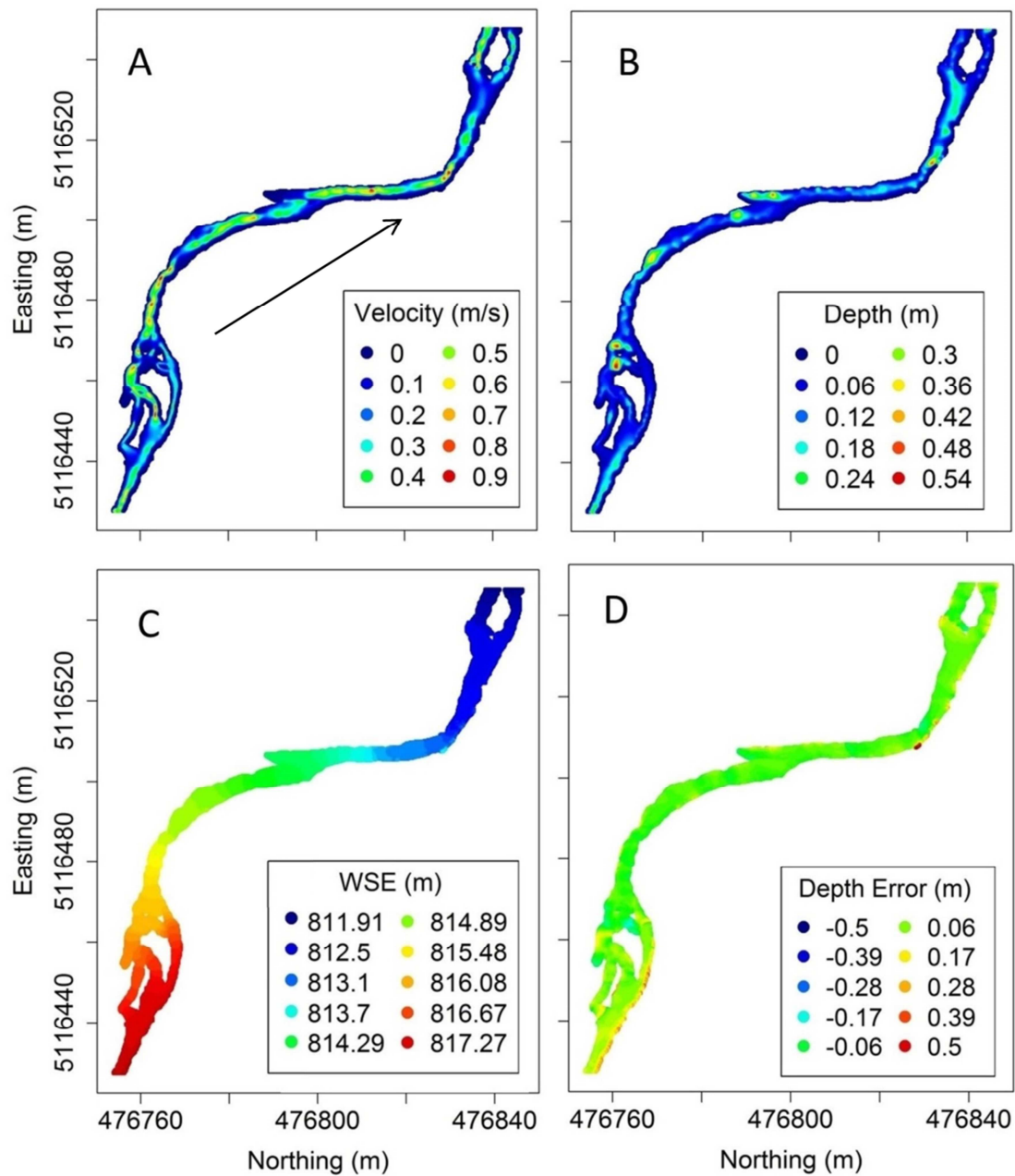
422

423 3.2 Model validation

424 We used linear regression models to compare modeled depths to validation depths for each
 425 validation reach and survey depths (water surface elevation – DEM elevation) at all wetted
 426 channel survey points. Modeled depths were a significant predictor of measured depths for 73%
 427 of the validation transects (123 of 168 transects, $r^2 = 0.53$, $p < 0.05$). Modeled velocities were a
 428 significant predictor of measured velocities for 41% of the validation transects (69 of 168
 429 transects, $r^2 = 0.39$, $p < 0.05$). Graphical comparisons of modeled results and validation data
 430 showed reasonably good agreement. The majority of reaches had only small amounts of error,
 431 relative to the total within reach variability, when comparing surveyed depths to modeled depths

432 at each validation data transect within each reach (Figure 8d). Similarly, the distribution of
433 velocity and depth values at validation points matched reasonably well between modeled and
434 measured values (Figure 9). In almost all cases, modeled velocity and depth profiles were much
435 smoother and showed lower levels of localized variability than the depths and velocities
436 measured at validation points. This was expected since the survey process produces a DEM that
437 lacks spatial variability at spatial scales as small as features such as rocks, cracks, and woody
438 debris. Because the DEM on which the model is calculated is considerably less locally variable
439 than the actual bathymetry, the modeled depths and velocities lack the localized variability

440 captured by manual validation measurements.



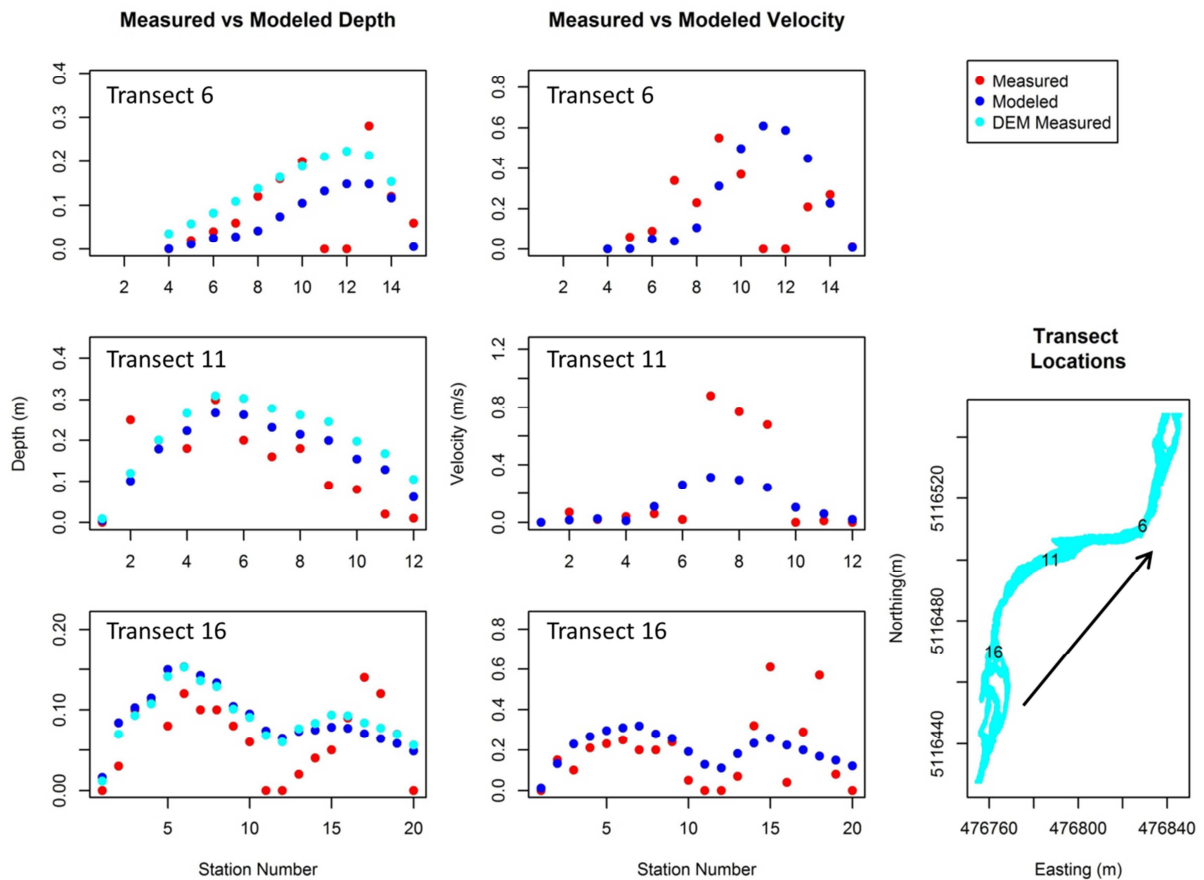
441

442

443 **Figure 8. Velocity (A), depth (B), surface elevation (C), and depth error estimated as**

444 **difference between surveyed depth and modeled depth (D), for reach ASW00001-SF-**

445 **F5_P3BR. Arrow in (A) indicates flow direction.**



446

447

448 **Figure 9. Example modeled depth and velocity compared to measured depth and velocity**
 449 **at validation points. DEM measured depth is depth derived from DEM survey. Measured**
 450 **depth and velocity are direct measurements at transect locations. Arrow indicates flow**
 451 **direction. Note that some number of transect points varies with stream width at transect.**

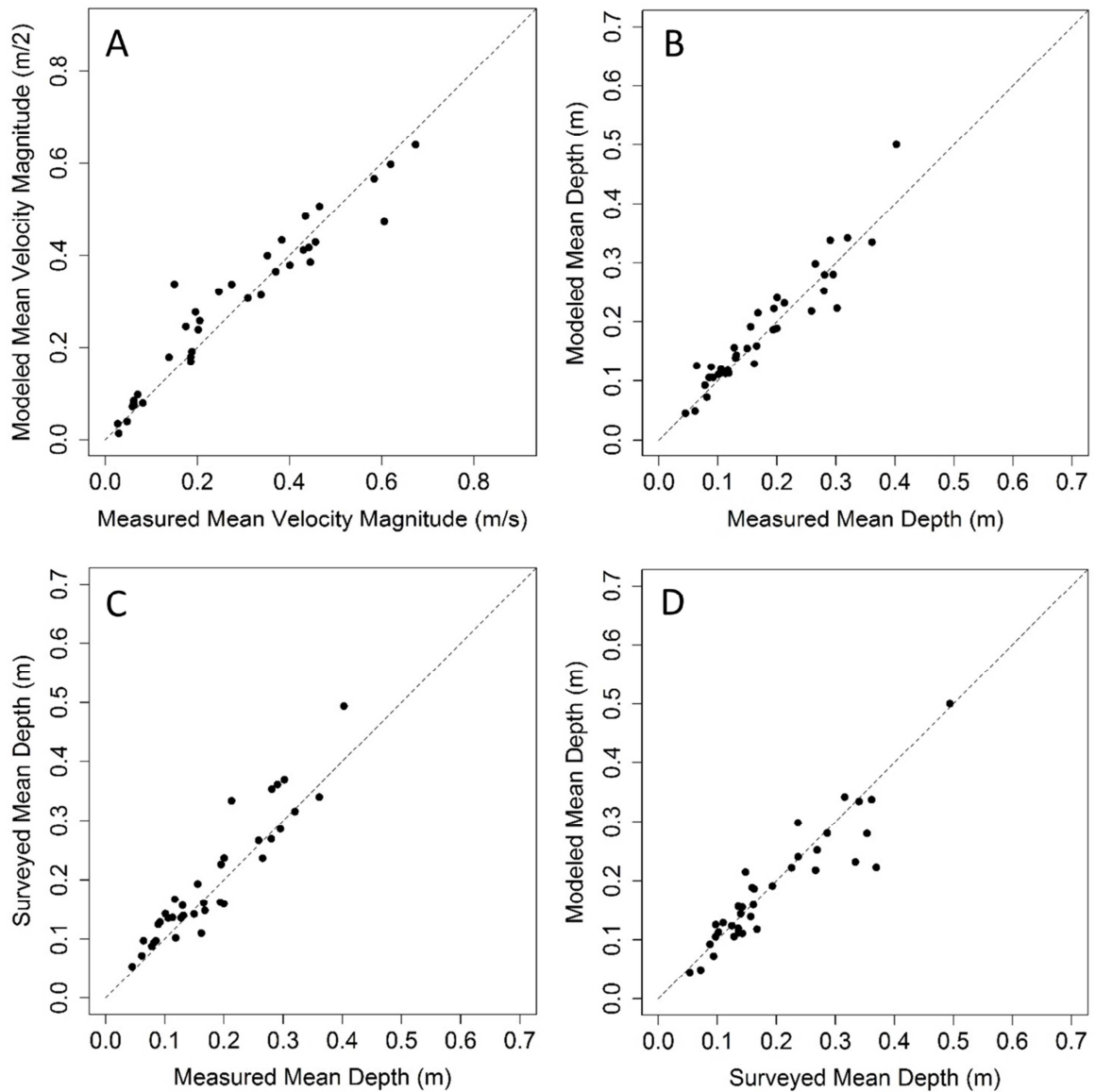
452

453 Comparing modeled depths to crew-surveyed depths resulted in better agreement than to
 454 validation data depths. When we examined these at the same transect points of the validation
 455 data, we found modeled depths were a significant predictor of crew-surveyed depths for 100% of

456 the validation models (168 transects, $r^2 = 0.973$, $p < 0.05$). This result was expected as the model
457 was based on the crew-surveyed data.

458

459 Since habitat capacity models are often aggregated to a reach scale (e.g. average depth, NREI, or
460 HSI for an entire reach), we reviewed model performance among reaches by comparing average
461 depth and velocity values among measured and modeled results. Average reach velocity and
462 depth were both strongly correlated between validation and modeled points ($r^2 = 0.93$ (Figure
463 10a) and 0.90 (Figure 10b), respectively). Correlations of surveyed to modeled depths and
464 validation depths also produced high r^2 values of 0.85 (Figure 10c) and 0.87 (Figure 10d),
465 respectively. This suggested that survey precision was generally acceptable and localized
466 variation observed in validation data does not appear to measurably affect reach-scale averages.



467

468 **Figure 10. Reach level validation: measured versus modeled velocity (a), depth (b);**
 469 **modeled versus surveyed depth (c), and measured versus surveyed depth (d). Each point**
 470 **represents a reach average; all reaches where validation data were taken are included.**

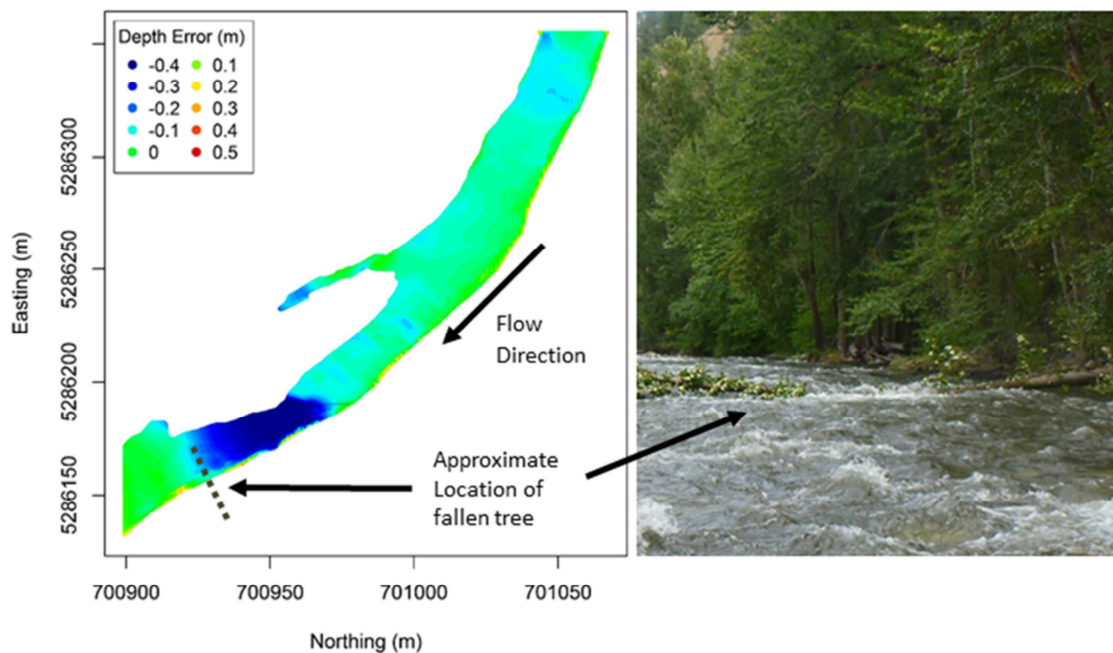
471

472 **3.3 Model Limitations**

473 Although modeled results were generally in agreement with validation data, we have found cases
474 where the presence of non-bathymetric features affecting hydraulics caused localized, inaccurate
475 model results. Such features included undercut banks and large, sometimes porous, woody
476 structures. While undercut banks and porous woody structures are assessed as part of the
477 sampling protocol used to generate our input data, these features were not included in the
478 topographic surveys and therefore not included in the DEM. As a result, their impacts to the
479 depth and velocity fields were not reflected in the hydraulic models.

480

481 For example, at a reach in the Entiat (WA) the survey crew noted a large tree that had fallen
482 across part of the channel. The hydraulic model did not account for this, and we found large,
483 localized depth field errors upstream of the fallen log (Figure 11).



484

485 **Figure 11. Depth error, with respect to surveyed depth, for reach ENT0001-1E3.**

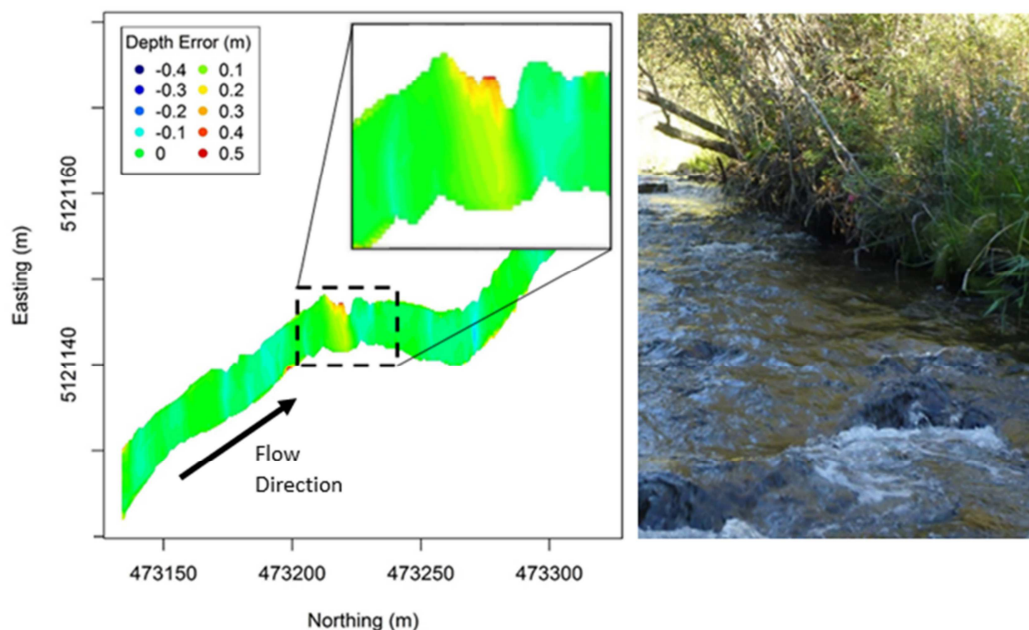
486 **Localized area where modeled depth is underestimated, likely due to a fallen log in river.**

487 **Logs, shrubs, and other woody debris is not reflected in DEM, thus increase in water**
488 **surface elevation upstream from log is not reflected in the hydraulic model.**

489

490 Undercuts were another feature not represented in topographic inputs and therefore not
491 accounted for in hydraulic model results. Modeled DEMs of reaches with undercuts reflect
492 stream banks that run vertically down from the edge of the overhanging bank, rather than an
493 undercut bank. At a reach in the Asotin (WA), the crew observed a considerably undercut bank.
494 The modeled reach has a smaller wetted cross sectional width than in reality. The modeled flow
495 was more constrained than the actual flow, and the resulting modeled depth was greater than the
496 actual depth near the undercut location (Figure 12).

497



498

499 **Figure 12. Depth error, with respect to surveyed depth, for reach ASW00001-NF-**
500 **F4_P1BR. Undercut bank, on river left, is not reflected in DEM, thus hydraulic model**

501 **over predicts depth because modeled cross sectional area is less than actual cross sectional**
502 **area, by amount of area in undercut.**

503 In addition to the limitations discussed above, we recognize that there are small features (at
504 scales of less than 30-50 cm) that are important stream habitat and very localized flow conditions
505 that our modeling approach cannot predict, both because we utilize a 2D depth averaged model
506 and because the feature size captured in the input DEMs is generally larger than 10 cm.
507 Nevertheless, previous research has indicated that hydraulic modeling at the scale of the features
508 captured at this scale in depth averaged mode, can provide useful information regarding habitat
509 capacity at the reach scale (Hayes et al., 2007). By enabling habitat capacity estimates at this
510 precision level, for high numbers of reaches, reach level habitat models (HSI, NREI) can be
511 upscaled to inform salmonid population life cycle models (Wheaton et al, 2017).

512

513 **4.1 Discussion**

514 We have successfully generated accurate and precise hydraulic models for more than 2200 field
515 surveys, covering more than 900 unique reaches, producing estimates of depth and velocity
516 fields. These products have been instrumental in the development of high resolution models
517 estimating energetic capacity and habitat suitability for salmonids (Wall et al. 2016; Wheaton et
518 al. 2017; McHugh et al. 2017)

519

520 We have met our objectives of modeling large numbers of reaches with varied physical features
521 and geometries with a practical, efficient method. Models may also be manually adjusted to
522 meet explicit needs of individual reach conditions, such as flow adjustments for side channels,
523 reduced boundary conditions, or to model non-measured flow conditions. Our simplistic

524 approach in processing, parameter, and batch-mode utilization limited the amount of required
525 manual intervention, generally at the expense of computational efficiency. For example, we used
526 simple rectilinear computational grids rather than curvilinear or adaptive mesh grids and our grid
527 spacing was often finer than needed for much of the modeled flow. However, given the
528 abundance of computational power available, it was more effective to use simple rectilinear grids
529 at the expense of computational efficiency, rather than add the complexity of automating and
530 validating curvilinear grids for every reach. We also automated the grid spacing algorithm to
531 enable automation over individual model level optimization and found our automated algorithm
532 to be sufficient. Trimming a small amount of the surveyed reach out of the computational
533 domain represents another tradeoff that enables modeling of high numbers of reaches. Slight
534 reductions in the spatial extent modeled helps to enable automated generation of boundary
535 conditions, as is required for process automation. The series of modeling choices we made
536 during process development (Table 3) are common to most hydraulic modeling efforts.
537 However, our recommendations are specific to the needs of our end users (primarily developers
538 of HSI and NREI habitat models) and the need to model 1000s of individual reaches. Modelers
539 facing different challenges may reach different processing decisions.

540

541

| Decision | Primary Considerations | Recommendations* |
|---------------------------------|---|-------------------------|
| Modeling Software | Cost, usability, 2D vs 3D capabilities | Delft Flow |
| 2D versus 3D | Spatial resolution of input data, uses for hydro model results, computational power available | Depth Averaged 2D |
| Curvilinear or Rectangular Grid | Automation requirements, sensitivity to boundary condition errors | Rectangular |

| | | |
|---|--|--|
| Computational Grid Spacing | Feature size captured by survey, size of reach | Create grid as fine as possible within memory requirements |
| Batch processing (automated) vs manual processing | Number of models required | Batch processing |
| Cloud computing or local processing | Number of models required | Cloud computing |

542 * Recommendations based on our end user requirements: HSI and NREI models for 1000's of
543 reaches

544 **Table 3. Modeling choices made and recommendations**

545

546 Within individual reaches, we generally found modeled depths reflected surveyed depths better
547 than validation depths. Since the hydraulic model is based on survey information, this suggests
548 that topographic survey precision may be the limiting factor of hydraulic model accuracy.
549 Nevertheless, we find that hydraulic model results generally account for much of the variation
550 observed in validation data. At the reach scale, we found excellent agreement between reach
551 scale average velocities and depths when comparing average modeled results at validation points
552 to our validation data.

553

554 Using cloud computing was crucial in enabling us to meet our automation objectives. It simply
555 would not be feasible or cost effective to run thousands of hydraulic models on an individual
556 computer or a fixed collection of computers.

557

558 Our hydraulic modeling process provides both a streamlined modeling process and a core
559 bathymetric dataset that can be used to quantify the effect of habitat restoration on salmonid
560 population dynamics. Restoration scenarios can be mimicked by altering measured DEMs,

561 modifying discharge rates to simulate restored flows, or changing surface roughness to model
562 changes in surface features (Wall et al. 2016). This enables not only a quantitative comparison
563 of multiple restoration options, but a methodology for optimizing restoration given a restoration
564 strategy. This simplified hydraulic modeling process is an accessible and valuable tool for the
565 exploration of future restoration scenarios.

566

567 Lessons learned during this process development include those applicable to field crews to
568 improve topographic surveys. Future field data collection efforts should carefully define what
569 feature sizes to include or exclude from the field survey and that information should be
570 considered in modeling applications. Field crews could ensure upstream and downstream
571 endpoints are at locations that will enable clean boundary conditions and avoid known
572 problematic boundary locations (Figure 5). Inclusion of features obstructing hydraulic flows and
573 improved undercut representation could also benefit hydraulic model products.

574

575

576 **4.2 Future Work**

577 Most of our hydraulic modeling efforts have focused on measured discharge during summer low-
578 flow conditions. However, the hydraulic conditions at several times throughout a year can be
579 important factors for salmonid habitat capacity. This modeling approach is well suited to
580 modeling hydraulic conditions for discharges at these key times of year. This would allow
581 estimation of energetic capacity and habitat suitability to inform salmonid habitat availability
582 during multiple life stages. Porous structures, including large woody debris, beaver dams,
583 undercuts, and similar features are important to salmonids and other fish species (Majerova et al.,

584 2015) and are often part of habitat restoration strategies (Bouwes et al., 2016; Bennett et al.,
585 2016), but are not well represented by the DEMs used in our model development. Developing
586 strategies for simulating such structures will provide additional flexibility in restoration scenario
587 development and could pinpoint additional features to include in field surveys.

588

589 Using rectangular computational grids instead of curvilinear computational grids was one of our
590 major tradeoffs, and we knowingly accept an increase in boundary condition error at the
591 upstream and downstream ends of our modeled reaches. Future work may reconsider this
592 tradeoff through development of automated curvilinear grid generation methods that rarely
593 require manual intervention.

594

595 Using cloud computing resources was critical to model implementation, and further cost
596 reductions and speed improvements can likely be found by moving to Linux based computing
597 and utilizing Amazon “spot” instances, where users bid on available instances, possibly
598 decreasing computational costs by an order of magnitude.

599

600 Ultimately, the hydraulic modeling process (from field data collection to finished hydraulic
601 models) is a compromise between localized accuracy and precision and the need to estimate
602 habitat throughout entire watersheds. All projects considering large-scale production of
603 hydraulic models should consider tradeoffs between local spatial precision, watershed coverage,
604 and resource constraints. Questions around modeling cost, development, and precision are likely
605 to continue with technological advances in LiDAR and remote sensing that can inform and offer
606 hydraulic modeling options: “Should more resources go toward obtaining finer scale field data

607 and developing higher precision, three dimensional hydraulic models and habitat models, likely
608 at the expense of overall sample size?” Similarly, “should (possibly) lower resolution field data
609 covering a more complete subsample of watersheds of interest be used to develop broader, but
610 perhaps less locally precise hydraulic models?” Ultimately the answers to these questions are
611 likely project-specific, balancing project objectives and needs with resources and resolution.

612

613

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620

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767

768

Highlights: How do we efficiently generate high-resolution hydraulic models at large numbers of riverine reaches?

- We present an automated process to generate hydraulic models for small stream reaches
- Automation of the hydraulic modeling is the novel advancement presented here.
- Tradeoffs made to enable high volume model generation are discussed
- Validation of model results shows that results are generally accurate