

1 Testosterone Trends Within and Across Seasons in Male Humpback Whales (*Megaptera*  
2 *novaeangliae*) from Hawaii and Alaska

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4 Kelly A. Cates<sup>1</sup>, Shannon Atkinson<sup>1\*</sup>, Christine M. Gabriele<sup>2</sup>, Adam A. Pack<sup>3,4</sup>, Janice M. Straley<sup>5</sup>,  
5 Suzanne Yin<sup>6</sup>

6  
7 1 University of Alaska Fairbanks, College of Fisheries and Ocean Sciences, Fisheries  
8 Department, Juneau Center, 17101 Pt. Lena Loop Road, Juneau, Alaska 99801

9  
10 2 Glacier Bay National Park, Gustavus, Alaska 99826

11  
12 3 Departments of Psychology and Biology, University of Hawai'i at Hilo, 200 West Kawili Street,  
13 Hilo, Hawai'i 96720

14  
15 4 The Dolphin Institute, P.O. Box 6279, Hilo, Hawai'i 96720

16  
17 5 University of Alaska Southeast Sitka Campus, 1332 Seward Ave., Sitka, Alaska 99835

18  
19 6 Hawai'i Marine Mammal Consortium, P.O. Box 6107 Kamuela, Hawai'i 96743

20  
21  
22  
23 \*Corresponding Author

24 E-mail address: [skatkinson@alaska.edu](mailto:skatkinson@alaska.edu)

25 <sup>1</sup> Present address: University of Alaska Fairbanks, College of Fisheries and Ocean Sciences,  
26 Fisheries Department, Juneau Center, 17101 Pt. Lena Loop Road, Juneau, Alaska 99801

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31 **Abstract**

32 Understanding reproductive profiles and timing of reproductive events is essential in the  
33 management and conservation of humpback whales (*Megaptera novaeangliae*).  
34 Yet compared to other parameters and life history traits, such as abundance, migratory trends,  
35 reproductive rates, behavior and communication, relatively little is known about variations in  
36 reproductive physiology, especially in males. Here, an enzyme immunoassay (EIA) for  
37 testosterone was validated for use in biopsy samples from male humpback whales. Analyses  
38 were conducted on 277 North Pacific male humpback whale blubber samples, including 268  
39 non-calves and 9 calves that were collected in the Hawaiian breeding grounds and the  
40 Southeast Alaskan feeding grounds from 2004-2006. Testosterone concentrations (ng/g) were  
41 significantly different between non-calves sampled in Hawaii (n=182) and Alaska (n=86, p<0.05)  
42 with peak testosterone concentrations occurring in the winter (January-March) and the lowest  
43 concentrations occurring in the summer (June-September). Fall and spring showed increasing  
44 and decreasing trends in testosterone concentrations, respectively. Blubber testosterone  
45 concentrations in non-calves and calves sampled in Alaska were not significantly different.  
46 Blubber and skin from the same individual biopsies (n=37) were also compared, with blubber  
47 having significantly higher testosterone concentrations (p<0.05) than skin samples. We found  
48 variability in testosterone concentration with age, suggesting that male humpbacks reach peak  
49 lifetime testosterone concentrations in the breeding grounds around age 8-25 years. The  
50 testosterone profile of male humpback whales follows a predictable pattern for capital breeders,  
51 where testosterone begins to increase prior to the breeding season, stimulating the onset of  
52 spermatogenesis. Incorporation of reproductive hormonal profiles into our overall understanding  
53 of humpback whale physiology will shed additional light on the timing of reproduction and overall  
54 health of the recently delisted Hawaii distinct population segment (DPS).

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56 Keywords: testosterone, reproduction, health, *Megaptera novaeangliae*, humpback whale

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65 **1. Introduction**

66 Understanding reproductive trends is an essential component in long-term monitoring of  
67 any species. Knowledge of the temporal and spatial nuances surrounding reproductive events is  
68 critical for assessing population growth rates and allows managers to create effective strategies  
69 for mitigation of anthropogenic disturbances during these reproductively sensitive times. In  
70 addition, significant deviations from the reproductive timeline of a healthy, growing population  
71 could be indicative of wider marine ecosystem changes. Of the mysticete species, the  
72 humpback whale (*Megaptera novaeangliae*) is arguably the most extensively studied (Clapham,  
73 1996; Gabriele et al., 2017; Pack et al., 2017). Yet, compared to other parameters and life  
74 history traits, such as abundance, migratory trends, reproductive rates, behavior and  
75 communication (Baker et al., 1985; Barlow et al., 2011; Chittleborough, 1965; Clapham et al.,  
76 1992; Clapham and Mayo, 1990; Craig et al., 2003, 2002; Gabriele et al., 2007; Helweg and  
77 Herman, 1994; Tyack and Whitehead, 1983), relatively little is known about variations in  
78 reproductive physiology, especially in males (Chittleborough, 1955; Vu et al., 2015).

79 Age at sexual maturity for humpback whales is known to vary by population. On the US  
80 east coast humpback whale males and females attain sexual maturity at approximately 5 years  
81 of age, with the age at first calving occurring between 5-7 years (Chittleborough, 1965; Clapham  
82 et al., 1992). In the North Pacific, female sexual maturity is thought to be attained later, where  
83 the mean age of first calving is ~11.8 years (Gabriele et al., 2010, 2007). It is unknown whether  
84 males in the North Pacific age at sexual maturity is the same as is reported in whaling literature,  
85 yet one known aged male (8 years) has been observed singing in Glacier Bay National Park  
86 (Gabriele personal communication, 2018).

87 Reproduction in all but the Arabian Sea population of humpback whales (Mikhalev,  
88 1997) is based around an annual migration from high latitude nutritionally productive feeding  
89 grounds to low latitude warm breeding grounds on which all but calves-of-the-year fast (Baker et  
90 al., 1985; Chittleborough, 1965; Katona and Beard, 1990), although occasional feeding on some  
91 breeding grounds has been observed (Gendron, 1993). Humpback whales of both sexes and all  
92 age classes migrate between feeding and breeding grounds with migratory timing a function of  
93 sex, age class, and reproductive and nutritional condition (Chittleborough, 1965; Craig et al.,  
94 2003; Straley et al., 1994). The exact triggers for the initiation of migration from the feeding  
95 grounds to the breeding grounds are still debated and may involve several interacting factors  
96 such as photoperiod, hormonal state, body condition and food availability (Baker et al., 1985;  
97 Craig et al., 2003). While still on the feeding grounds, humpback whale males begin to exhibit  
98 aggressive behavior toward conspecifics and have been heard singing in late fall to early winter

99 (Gabriele and Frankel, 2002; Straley et al., 1994). On the breeding grounds, male humpback  
100 whales, presumably prospecting for mating opportunities, often singly escort lone females, as  
101 well as those with a calf (Craig et al., 2002; Mobley and Herman, 1985). When two or more  
102 escorts are present, they typically compete with each other through physical displays and  
103 aggression for spatial proximity, and presumably mating access to the female, (Clapham et al.,  
104 1992; Herman et al., 2007; Tyack and Whitehead, 1983) with larger males tending to attain the  
105 role of principal escort (i.e. the male defending the position closest in proximity to the female)  
106 (Pack et al., 2012; Spitz et al., 2002). Also on the breeding grounds, lone male humpbacks and  
107 occasionally those accompanying mother-calf pairs produce a complex, ordered and  
108 hierarchically organized series of vocalizations termed “song” (Payne and McVay, 1971), that  
109 may be repeated for hours (Helweg and Herman, 1994). Individual males within a breeding  
110 area sing asynchronously (Au et al., 2006). Although portions of a song may change within and  
111 between a breeding season, all males on the same breeding area tend to converge on the same  
112 rendition of song (Garland et al., 2011; Payne and Payne, 1985). Cultural transmission of song  
113 may also occur across breeding areas (Noad et al., 2000).

114 While the absolute functions of song are still debated (Herman, 2017), it has been  
115 proposed that singing may be stimulated by male hormonal changes (Clark and Clapham, 2004;  
116 Herman, 2017; Straley et al., 1994), as occurs in birds singing seasonally (Marler et al., 1988;  
117 Nottebohm et al., 1987). Likewise, even though the act of successful male-female copulation  
118 has yet to be witnessed (Herman et al., 2007; Pack et al., 2002), the types of associations  
119 involving male humpbacks and their behavior in the breeding grounds (Clapham, 1996;  
120 Clapham and Mayo, 1990; Craig et al., 2003, 2002, Pack et al., 2012, 2009; Spitz et al., 2002)  
121 are likely to be associated with hormonal changes. Morphological studies of male gonads and  
122 examination of sperm count and fertility in male humpbacks reported that male humpback  
123 whales taken by whalers on breeding grounds had higher sperm counts than males on the  
124 feeding grounds (Chittleborough, 1955). However, a complete understanding on how  
125 reproductive hormone levels vary within and between breeding and feeding grounds is lacking.

126 Testosterone is one of the main androgens in mammals. Released by the Leydig cells in  
127 the testes and to a lesser extent from the adrenal glands, testosterone triggers  
128 spermatogenesis, can alter behavior, affects both primary and secondary sexual development  
129 such as muscle mass and sex drive, and indicates the onset of sexual maturity (Atkinson and  
130 Yoshioka, 2007; Sharpe et al., 1992). As such, testosterone levels have a direct effect on  
131 reproductive success in males (Kita et al., 1999). Higher testosterone levels have been linked to  
132 increased aggression in male mammals (Bouissou, 1983), the ability for males to move upward

133 in social hierarchies (Beehner et al., 2006) and altered behavior in the breeding season, such as  
134 roving (Burgess et al., 2012). Conventional thinking holds that in seasonal breeders, serum  
135 testosterone concentrations exhibit a cyclical trend, reaching a peak before mating begins, and  
136 then falling post-mating season (Schroeder and Keller, 1989). This seasonal trend holds true for  
137 three previously studied cetacean species. In the Indo-Pacific bottlenose dolphin (*Tursiops*  
138 *aduncus*) testicular endocrine function increases in the spring (*i.e.*, the onset of breeding  
139 season), before testosterone concentrations reach a maximum in the summer (Funasaka et al.,  
140 2011). Similarly, in the North Atlantic fin whale (*Balaenoptera physalus*) and North Atlantic  
141 minke whale (*Balaenoptera acutorostrata*), increasing testosterone concentrations were  
142 observed prior to the breeding season (Kjeld et al., 2006, 2004). Thus far, only one published  
143 paper has examined seasonal trends of testosterone in male humpback whales. Focused on the  
144 Mexico distinct population segment (DPS; a DPS is a vertebrate population or group of  
145 populations that is discrete and significant in relation to the entire species), which exhibits  
146 feeding fidelity in California and Washington, a recent study found that testosterone exhibits a  
147 yearly parabolic trend with the highest concentrations occurring in the breeding season (Vu et  
148 al., 2015). To date, no study has examined testosterone concentrations or trends for the Hawaii  
149 DPS of male humpback whales, despite this being the primary breeding grounds of North  
150 Pacific humpback whales (Barlow et al., 2011).

151 The purpose of the present study was to compare concentrations of testosterone in male  
152 humpback whales in both the breeding grounds of Hawaii and the feeding grounds of Southeast  
153 Alaska (which contain large numbers of whales migrating to and from Hawaii) (Barlow et al.,  
154 2011; Calambokidis et al., 2008), in order to test the assumption that testosterone  
155 concentrations are higher during the breeding season than the feeding season. Blubber is the  
156 current gold standard for understanding hormonal trends in free-ranging large, cetaceans and is  
157 thought to be a good approximation of current circulating hormones in blood serum  
158 (Champagne et al., 2017). Specific objectives of this project were to determine from blubber 1) if  
159 testosterone concentrations are spatially and temporally dependent, 2) if age class correlates  
160 with testosterone concentration, and 3) if testosterone concentrations vary between blubber and  
161 skin samples.

162

## 163 **2. Materials and Methods**

### 164 *2.1. Study areas*

165 Humpback whale males of the Hawaii DPS that exhibit feeding fidelity to Southeast  
166 Alaska (SEAK) were examined in this study (Figure 1). Blubber and skin biopsy samples were

167 collected from two locales: 1) Southeast Alaska, including Sitka Sound (57.0°N 135.5W°),  
168 Chatham Strait (56.95°N 134.62°W), Frederick Sound (57.13°N 134.10°W), Lynn Canal (58.4°N  
169 134.8°W) and waters west of Prince of Wales (55.95°N 132.48°W), and 2) the Hawaiian islands,  
170 specifically the Au'au, Kalohi and Pailolo channels between Maui, Moloka'i, Lana'i and  
171 Kaho'olawe (20.89°N 156.68°W) and off the North Kohala Coast of Hawai'i Island (19.98°N  
172 155.87°W).

173

## 174 *2.2. Sample collection*

### 175 *2.2.1. Biopsy sampling*

176 Samples were collected during Structure of Populations, Levels of Abundance, and  
177 Status of Humpbacks (SPLASH) project (Calambokidis et al., 2008). SPLASH was an  
178 international collaborative study of humpback whales across different North Pacific feeding and  
179 breeding grounds including Hawaii and Southeast Alaska from 2004-2006. Following SPLASH  
180 protocols, tissue samples of humpback whales were obtained using a hollow stainless-steel-  
181 tipped retrievable floating dart fired from either a crossbow or modified pneumatic rifle while  
182 paralleling the whale from a small vessel usually at a distance of 10-20m. Tissue samples were  
183 retrieved and removed from the dart tip with sterile tweezers and placed in 1.5ml cryovials or the  
184 whole tip was placed in a sterile container for later processing. The sample was kept cool while  
185 in the field and, once extracted from the biopsy tip, the samples were frozen at -20° or -80°C in  
186 each researcher's respective lab and eventually archived at the National Marine Fisheries  
187 Service (NMFS) Southwest Fisheries Science Center (SWFSC) Marine Mammal and Turtle  
188 Division.

189

### 190 *2.2.2. Sample selection*

191 Samples used in this study (n=277) were randomly selected from the pool of samples  
192 collected during SPLASH in Hawaii and Alaska when whales were present in these waters to  
193 capture the cyclical variation in physiological parameters of humpback whales throughout their  
194 migration. Samples were classified according to the sample type (skin or blubber), location  
195 where the biopsy was obtained (Alaska or Hawaii), date of collection (day, season). Seasons  
196 were defined as follows: fall (September 16-January 15), winter (January 16-March 15), spring  
197 (March 16-June 15), and summer (June 16-September 15).

198

## 199 *2.3. Data collected about each whale*

### 200 *2.3.1. Photographic identification using natural markings*

201 Identification photographs (photo-id) of the tail flukes of tissue-sampled humpback  
202 whales were collected either prior to or after the biopsy was obtained. Humpback whales can be  
203 identified by the unique black and white pigmentation patterns on the ventral surface of their  
204 flukes along with the distinctive trailing edge (Katona et al., 1979). To verify and link the biopsy  
205 to a specific whale, dorsal fin photos were also collected during the fluke id and biopsy  
206 processes. Whales with a photograph were matched to regional catalogs and to the SPLASH  
207 catalog. Consequently, an individual whale may have multiple identifying numbers but the  
208 unifying number across both areas is the SPLASH ID.

209

### 210 *2.3.2. Determining age-class and reproductive status for an individual whale*

211 Age-class of whales was determined from field notes that accompanied the samples.  
212 Calves were designated based on their small size (ca. < 5 m) (Pack et al., 2017, 2009) and  
213 close spatial association with an adult-sized whale (i.e. its mother) that displayed nurturant  
214 behavior (e.g. shielding the small-sized whale with its pectoral fin) (Gabriele et al., 2017;  
215 Glockner-Ferrari and Ferrari, 1985). All other whales were considered non-calves. Sighting  
216 histories from regional databases of individual humpback whales with a regional identification  
217 number that was matched to an individual SPLASH ID were used to determine whales of known  
218 age or a minimum age for whales whose exact age was unknown. Whales of known age were  
219 first sighted as calves. The minimum age of a whale who was photographed prior to the  
220 SPLASH project as an adult was calculated as the number of years from the earliest sighting to  
221 the most recent sighting plus two years (to account for the individual's year as a calf and year as  
222 a yearling). For example, the known age of a whale photographed during the study in 2006 who  
223 was originally photographed in 1994 as a calf would be 12 years, whereas the minimum age of  
224 a whale photographed in 2006 who was originally photographed as an non-calf in 1994 would  
225 calculate as 14 years. Minimum age thus represents a conservative estimate of age.

226

### 227 *2.4. Sex and genetic identification*

228 Oregon State University Cetacean Conservation and Genomics Laboratory conducted  
229 genetic analyses and sex determination on the samples as part of the post-collection aims of  
230 the SPLASH effort (Baker et al., 2013). Each whale was given a unique genetic ID which was  
231 used to match whales under one SPLASH ID when photographs were of too poor quality to do  
232 so.

233

### 234 *2.5. Hormone extraction*

235 Hormone extraction methods were modified from those described in Mansour et al.  
236 (2002) and Kellar et al. (2006). Sub-samples contained only one type of pure tissue (i.e. either  
237 blubber or skin) from a single biopsy. Blubber and skin samples were weighed and recorded  
238 weights were between 0.12 g and 0.20 g. Samples were homogenized using a Teflon hand tool  
239 in 500 µl of 100% ethanol. They were then processed at 3,000 rcf in a refrigerated centrifuge for  
240 15 minutes and 500 ul of supernatant was poured into sterile 12 x 75 mm borosilicate  
241 disposable glass culture tubes. This step was repeated to obtain 1,000 µl of collected  
242 supernatant. Supernatants were evaporated under compressed air. Two ml of ethanol:acetone  
243 (4:1) were added to the residue, vortexed, and centrifuged (15 min). The supernatant was  
244 transferred to a new glass culture tube and evaporated. To this new residue, 1 ml diethyl ether  
245 was added and the samples were again vortexed, centrifuged, transferred to clean glass tubes,  
246 and evaporated. Acetonitrile (1 ml) was added and samples were vortexed before 1 ml of  
247 hexane was added and vortexed. Samples were centrifuged (15 min) and the solvents formed  
248 two immiscible layers with hexane on top. The acetonitrile layer was collected and re-extracted  
249 with 1 ml hexane, centrifuged (15 min), and the final acetonitrile layer was aspirated and  
250 evaporated.

251

## 252 *2.6. Enzyme immunoassay (EIA)*

253 Testosterone concentrations were measured using Enzo Life Science kit (ADI-900-065)  
254 and procedures were performed according to the manufacturer's protocol. Assay plates were  
255 read by a plate reader (Chromate, Awareness Technologies) at 405 nm. Manufacturer cross-  
256 reactivity with other steroids was as follows: 19-hydroxytestosterone (14.64%), androstenedione  
257 (7.20%), dehydroepiandrosterone (0.72%), estradiol (0.40%) and less than 0.001% for all other  
258 steroids analyzed. Assay parallelism and accuracy tests were performed in order to validate use  
259 of humpback whale blubber for measuring testosterone in EIA. A pooled blubber sample for  
260 male humpback whales was created to validate the testosterone assay. Serial dilutions (neat to  
261 1:16) of the pool exhibited displacement parallel to that of the standard curve and proved  
262 accurate ( $y=3.40 + 0.90x$ ,  $r^2=0.99$ ) in the amount of testosterone measured. Inter-assay  
263 coefficient of variation for three assay controls were 16%, 8%, and 9%, respectively and intra-  
264 assay coefficient of variation fell below 10%. The lower limit of detection (LD) was 3.9 pg/ml  
265 with 62 out of 277 samples (22%) falling below this threshold. Substitution in the form of  $LD/\sqrt{2}$   
266 was performed for these 62 samples, a process that is accepted if less than 25% of samples are  
267 substituted and there is only one LD (Croghan and Egeghy, 2003; LaFleur et al., 2011; US EPA,  
268 2000).



269

## 270 *2.7. Statistical Analyses*

271 Temporal and spatial differences in blubber testosterone concentrations were  
272 analyzed using a Welch's t-test or a one-way ANOVA in the programming language Python  
273 (Python Software Foundation. Python Language Reference, version 3.6.6. Available at  
274 <http://www.python.org>). If a significant result ( $p < 0.05$ ) was found in the ANOVA test, a Tukey's  
275 Honestly Significant Difference (HSD) test was performed to determine which groups differed  
276 significantly from each other. The spatial and temporal range of variation in testosterone  
277 concentration was depicted by boxplots which show the mean and nominal range of the data  
278 inferred from the upper and lower quartiles, as well as outliers in the data. T-tests ( Welch's t-  
279 test and paired t-test), ANOVA, Tukey's HSD test and boxplot analyses were also performed to  
280 examine any difference between calves and non-calves and between blubber and skin sample  
281 types. Additionally, a Pearson Correlation Test was conducted to determine any potential  
282 relationships between blubber and skin testosterone concentrations.

283

## 284 **3. Results**

285 A total of 277 tissue samples (268 male non-calves, 9 male calves) were analyzed for  
286 testosterone. Ten individually identified whales were sampled in consecutive years in both  
287 Alaska and Hawaii.

288

### 289 *3.1. Testosterone concentration by location and season*

290 Testosterone concentration in blubber samples from non-calf humpback whales was  
291 significantly different from whales sampled in Hawaii ( $n=182$ ,  $0.96 \pm 0.70$  ng/g (mean  $\pm$  standard  
292 deviation)) than those sampled in Alaska ( $n=86$ ,  $0.15 \pm 0.40$  ng/g) (Welch's t-test,  $p < 0.05$ ,  
293 Figure 2). When binned by season, the concentrations of testosterone from highest to lowest  
294 were winter ( $n=128$ ,  $1.10 \pm 0.74$  ng/g), spring ( $n=53$ ,  $0.65 \pm 0.52$  ng/g), fall ( $n=31$ ,  $0.44 \pm 0.64$   
295 ng/g), and summer ( $n=57$ ,  $0.07 \pm 0.08$  ng/g) (Figure 3). Testosterone concentrations were not  
296 significantly different between fall and spring, whereas all other pairings of seasons were  
297 significantly different ( $n=268$ ,  $p < 0.05$ , ANOVA and Tukey's HSD test).

298 Spring was the only season during which biopsies were collected from whales in both  
299 Alaska and Hawaii. The median date of collection for whales biopsied in the spring in Alaska  
300 was June 2<sup>nd</sup>, whereas the median date of collection in Hawaii was March 31<sup>st</sup>. Whales in spring  
301 ( $n=52$ ) located in Alaska ( $n=4$ ,  $0.06 \pm 0.02$  ng/g) had significantly different testosterone

302 concentrations than whales that were located in Hawaii ( $n=48$ ,  $0.70 \pm 0.51$  ng/g,  $p<0.05$ ,  
303 Welch's t-test, Figure 4).

304 When examined on a monthly time scale (combining data from Hawaii and Alaska),  
305 testosterone concentrations showed a parabolic relationship, peaking in January and February,  
306 declining to the lowest levels in June and July, and increasing as fall progressed ( $n=268$ , Figure  
307 5). When only Hawaii samples were considered, a peak testosterone concentration occurred in  
308 January followed by a decrease in testosterone concentration over the course of the breeding  
309 season (Figure 6). Furthermore, the testosterone concentrations of four whales who were  
310 biopsied twice during the same breeding season in Hawaii all decreased from the earlier to the  
311 later sample (i.e. as the breeding season progressed) (Figure 7).

312

### 313 *3.2. Testosterone concentration from individual whales biopsied in both Hawaii and Alaska*

314 Tissue samples were obtained for 10 individually identified whales in both Hawaii and  
315 Alaska in consecutive years with three individuals (470736, 474074, 474110) having replicate  
316 samples in one or more sampling locations for a total of 24 blubber samples (Table 1). For all  
317 but one individual, testosterone was higher in Hawaii (12 biopsies,  $0.73 \pm 0.43$  ng/g) than  
318 Alaska (12 biopsies,  $0.09 \pm 0.09$  ng/g). The exception was whale 470452 who showed higher  
319 testosterone when located in Alaska, rather than Hawaii. Examination of the accompanying field  
320 notes did not provide any indication as to why this might be, other than this sample was the  
321 latest collected (on Oct 25<sup>th</sup>) for the 10 whales biopsied in Alaska.

322

### 323 *3.3. Testosterone concentration in blubber and skin*

324 Blubber and skin samples from the same whales ( $n=37$ ) were compared, with blubber  
325 samples having significantly different testosterone concentrations than skin samples (paired t-  
326 test,  $p<0.05$ ). When blubber and skin samples were also binned by geographic location,  
327 testosterone concentration was significantly different only for Hawaii blubber samples ( $n=20$ ,  
328  $0.88 \pm 0.48$  ng/g) versus Hawaii skin ( $n=20$ ,  $0.35 \pm 0.38$  ng/g), with no significant difference  
329 detected between Alaska blubber ( $n=17$ ,  $0.14 \pm 0.26$  ng/g), Alaska skin ( $n=17$ ,  $0.07 \pm 0.02$   
330 ng/g), and Hawaii skin ( $p<0.05$ , Figure 8).

331

### 332 *3.4. Testosterone by age*

333 While there were not enough data to conduct a robust statistical analysis of difference in  
334 calves from Alaska ( $n=7$ ) and Hawaii ( $n=2$ ), it appears from plotting the data that testosterone  
335 concentrations were similar in each location. There was enough data to determine that

336 testosterone concentrations in non-calves and calves from Alaska were not significantly different  
337 ( $p=0.14$ , Figure 9).

338 The exact age was available from long term sighting data for 17 of the sampled whales  
339 (i.e. because they were first sighted as calves) and minimum age was calculated for 56 sampled  
340 whales. Whales who were first sighted as adults during the SPLASH effort were not included in  
341 analyses as there were no data from which to calculate a minimum age. Figure 10a depicts  
342 whales whose exact age was known, and Figure 10b depicts whales whose minimum age was  
343 determined from multiple sightings. For each graph, a 2<sup>nd</sup> order parabolic curve best fit the data  
344 (Minimum Age  $R^2 = 0.29$  and  $0.03$  for Hawaii and Alaska, respectively; Exact Age  $R^2 = 0.09$  and  
345  $0.20$  for Hawaii and Alaska, respectively) and indicates that male humpbacks retain a relatively  
346 low testosterone concentration throughout their lives during the feeding season, but reach the  
347 highest levels of testosterone concentrations from ages 8-25, peaking around age 15. This  
348 preliminary finding was reached without controlling for sighting date within each season due to  
349 small samples sizes.

350

#### 351 **4. Discussion**

352 Male humpback whales exhibited higher testosterone concentrations in the Hawaiian  
353 breeding grounds than in the Alaskan feeding grounds; a trend that was observed at both the  
354 group level and within individuals sampled in both locations (Table 1, Figure 2). This finding  
355 supports previous morphological studies of humpback whale testes in the Southern hemisphere,  
356 which found increased sperm counts in male whales killed in commercial whaling on the  
357 breeding grounds when compared with those killed on feeding grounds (Chittleborough, 1955).  
358 It is also consistent with and expands upon an earlier study of testosterone concentration based  
359 on 35 blubber samples of the Mexican DPS of humpback whales which found that testosterone  
360 levels were at their lowest June-September and were the highest October-April, with peak  
361 testosterone occurring January-February (Vu et al., 2015).

362 For male humpback whales in the present study, testosterone concentrations were at  
363 their lowest during the feeding season and began to increase toward the end of the feeding  
364 season in Alaska prior to beginning their migration to Hawaii (Figures 3 & 5). Chittleborough  
365 (1955) found that fewer sperm were present earlier in the breeding season (season = June-  
366 October, Southern hemisphere) and that sperm presence began to increase toward the end of  
367 the season (July and August). Our results complement Chittleborough's findings and suggest  
368 that male humpback whales begin spermatogenesis prior to leaving the feeding grounds (Figure  
369 5). This makes reproductive sense, so that humpback whale males are equipped with the

370 gametes needed for a successful breeding season when they reach the breeding grounds or  
371 locations where they may breed enroute to these grounds (Craig and Herman, 1997).  
372 Increasing testosterone concentrations before the onset of the breeding season has been  
373 observed across other mammalian species (Blottner et al., 1996; Funasaka et al., 2011; Kjeld et  
374 al., 2006, 2004; Tsubota et al., 1997). Testosterone is required for spermatogenesis (Weinbauer  
375 and Nieschlag, 1990), which is known to take 61 days in bulls (Amann, 1970) and 74 days in  
376 humans (Amann, 2008).

377         The increase in testosterone towards the end of the feeding season (Figure 5) may  
378 stimulate or cue the start of male singing, which in the breeding grounds is clearly an important  
379 component of the humpback whale mating system (Herman, 2017) and has also been recorded  
380 toward the end of the feeding season in Alaskan waters and on feeding grounds or during  
381 migration in other populations (Chariff et al. 2001; Clark and Clapham, 2004; Gabriele and  
382 Frankel, 2002a; Straley et al., 1994). Clark and Clapham (2004) go so far as to suggest the  
383 “breeding area” encompasses the feeding area, migratory route and breeding grounds as based  
384 on the prevalence of song. In addition, Tyack (1981) using Nishiwaki (1962) whaling data,  
385 compared singing bout lengths in males and ovulation of female humpbacks and concluded that  
386 singing is likely related to reproductive behavior as singing bouts were at their lowest when  
387 ovulation was at its highest (IE, males spent less time singing/searching for mates). However,  
388 direct studies on the relationship between hormone levels, in either male or female humpback  
389 whales, have not been examined. Given the variation in testosterone concentrations of males  
390 shown in the present study as well as variability in song production on the feeding grounds and  
391 breeding grounds (Au et al. 2000), future studies should examine how hormones vary with the  
392 timing of singing in male singers.

393         No significant difference was found in testosterone concentrations between non-calves  
394 and calves in Alaska (Figure 9). Calves in both locations had relatively low testosterone  
395 concentrations, with the exception of one of the two calves in Hawaii who had a testosterone  
396 concentration of 1.05 ng/g (the other calf had a concentration of 0.03 ng/g). This outlier,  
397 however, is not surprising as most mammalian young exhibit high levels of reproductive  
398 hormones at birth, which immediately begin to taper off and remain low until sexual maturity is  
399 reached (Challis et al., 2001; Dhakal et al., 2011).

400         Our findings on the variability of testosterone concentrations and age (Figure 10a, 10b)  
401 suggest that male humpbacks reach peak lifetime testosterone concentrations in the breeding  
402 grounds between the ages of 8 and 25 years. However, this does not imply that males are not  
403 fertile beyond this age. For example, while other mammals may undergo senescence (Beehner

404 et al., 2009; Nussey et al., 2013), male humpbacks have been observed in reproductive roles  
405 (singing and escorting) over periods of 20 years (Herman et al., 2013). It is unclear whether  
406 reproductive senescence occurs. However, Chittleborough (1955) found no evidence of any  
407 decline in testis weight or spermatogenetic activity in physically mature males, suggesting that  
408 the oldest/biggest whales still had the gametes necessary for breeding. In the present study,  
409 age data on 73 individual whales was obtained. From males of known and minimum estimated  
410 age, it appears that testosterone concentration during the breeding season reaches a maximum  
411 around 8-25 years of age and then begins to decline, reaching levels similar to those found on  
412 the feeding grounds when the whales are >30 years of age (Figure 10a,10b). This suggests that  
413 humpback whale males reach peak reproductive capacity around 10 to 20 years of age and that  
414 fertility may decline as whale's age. It is important to note that the estimated whale ages are  
415 based on a minimum age, and that the actual ages of individuals may be far older. In order to  
416 more fully understand how hormone concentrations vary between age classes, additional  
417 samples of known aged calves, juveniles (age 2-5 years) and male humpbacks older than 30  
418 years of age are needed.

419 We found that testosterone concentrations were significantly higher in blubber than in  
420 skin ( $p < 0.05$ ), with only a weak positive correlation detected ( $r = 0.64$ , Pearson Correlation Test,  
421 Figure 8). This indicates that testosterone concentrations were not consistent between types of  
422 tissue thus, testosterone concentrations in skin tissue should not be compared to testosterone  
423 concentrations in blubber. It should also be noted that from examination of captive bottlenose  
424 dolphins (*Tursiops truncatus*), hormones in blubber can be used as a proxy for circulating  
425 hormones in the blood serum (Champagne et al., 2017). As such we recommend that future  
426 studies continue to use blubber in hormonal analysis of free ranging cetaceans.

427 Male mammals often exhibit aggression toward competitors in order to access mates  
428 (Campagna et al., 1988; Herman et al., 2007; Tyack and Whitehead, 1983), yet aggressive  
429 behavior and its relationship to testosterone has not been examined in humpback whales.  
430 Increased male aggression in mammalian species is often accompanied by an increase in  
431 testosterone (Bouissou, 1983), with the most successful animals often having the highest  
432 testosterone (Beehner et al., 2006). During the humpback whale breeding season, individual  
433 fecund females are often the focus of competing males within so called "competitive groups"  
434 (Clapham et al., 1992; Tyack and Whitehead, 1983). Mature male humpbacks have relatively  
435 long residency periods on the breeding grounds (Craig et al., 2001) allowing them to compete  
436 over extended periods of time.

437 In the current study peak testosterone concentrations occurred between January and  
438 February (Figure 5) which would suggest that peak reproductive potential (i.e. greatest  
439 concentration of gametes) in males occurs during March and April based on the timeline of  
440 spermatogenesis in other species (Amann, 2008, 1970). Males who undergo spermatogenesis  
441 earlier in the season, perhaps while still on the feeding grounds are at a mating advantage as  
442 they are able to breed with early arriving females on the breeding grounds. Our data alone  
443 cannot resolve the exact timing of peak breeding, but it suggests a trade-off between physical  
444 fitness and reproductive fitness, as males who leave the feeding grounds earlier may have  
445 better mating success, but may also be in poorer nutritional condition. In order to properly  
446 understand the role that testosterone plays in group dynamics on the breeding grounds,  
447 additional blubber samples are needed from individual males of varying ages who participate in  
448 specific behavioral groups (e.g. competitive versus non-competitive) and different behavioral  
449 roles (e.g. principal vs secondary escorts).

450 Trends in migratory timing have been well documented (Baker et al., 1985; Craig et al.,  
451 2003; Gabriele et al., 1996; Mann et al., 2000), but the impetus to leave the feeding grounds  
452 remains unclear. Some researchers have proposed that nutritional state, body condition and  
453 food availability (Brodie, 1975), photoperiod (Baker, 1978), or hormonal levels are responsible  
454 for timing of migration, whereas others postulate that it is likely a combination of all of these  
455 factors (Craig et al., 2003). The present study indicates that testosterone may play a role in the  
456 motivation to commence migration, as found in other mammalian species (Stern, 2009), or is a  
457 correlate of one or more of the factors noted above. In order to definitively answer these  
458 questions, increased sampling effort in Alaska in the late fall and spring is needed. This would  
459 include sampling in Alaska during the winter to measure hormones in whales who fail to  
460 migrate, in both spring and fall to understand if the migration timing of humpbacks is shifting,  
461 and in years of anomalous environmental occurrences, such as the Northeast Pacific marine  
462 heatwave of 2013-2015 (Peterson et al., 2015).

463 Capturing natural variation within a species is important in its own right, but access to  
464 long-term datasets is essential in management decisions. For example, an established long-  
465 term monitoring program for North Atlantic right whales (*Eubalaena glacialis*) documented a  
466 decline (and subsequent increase) in stress-related fecal hormone metabolites (Rolland et al.,  
467 2012) in the aftermath of the September 9<sup>th</sup>, 2001 terrorist attack due to a mandatory reduction  
468 in shipping traffic. As a result, slower shipping speeds and alternative shipping routes have  
469 since gone into effect to better protect these whales (Laist et al., 2014). These datasets allow  
470 managers to see if changes in a certain metrics are anomalous or are part of natural variation.

471 While several DPS's of humpback whales in the North Pacific, including the Hawaii DPS were  
472 recently delisted from an endangered status (under the Endangered Species Act, NMFS 2016)  
473 events over the last few years have some researchers questioning the health of the population.  
474 Glacier Bay National Park biologists have consistently monitored humpbacks whales in Glacier  
475 Bay and Icy Strait since 1985 and have documented a decline in the local abundance of  
476 humpbacks beginning in 2014 to present day, as well as a decrease in the overall crude birthing  
477 rate (CBR), with the lowest CBR ever recorded over the 33-year monitoring program occurring  
478 in 2016 (Neilson et al., 2017). In addition, over the last few years, an increasing number of  
479 humpback whales have been present on the feeding grounds of Sitka, AK in winter and spring,  
480 perhaps suggesting a delayed or absent southern migration (Straley et al., 2018). There are  
481 also fewer whales present off west Maui and Hawaii Island (HMMC 2018; Kugler et al. 2017)  
482 and an increasing number of 'skinny' whales returning to the feeding grounds (Neilson et al.,  
483 2017; Straley et al., 2018). Reported strandings of humpbacks in Alaska for 2016 were higher  
484 than the previous 16-year average and unusual mortality events (UME) were declared for  
485 Alaska and British Columbia large whales in 2015 and Atlantic humpback whales in 2017  
486 (NMFS, 2017), suggesting that environmental conditions may be changing or global humpback  
487 whale populations may be reaching carrying capacity.

488 The present study represents a key step in creating additional tools for monitoring  
489 physiological changes in humpback whales across time. The results of this study collectively  
490 suggest that males i) begin to undergo spermatogenesis before they reach the Hawaiian  
491 breeding grounds, ii) experience peak testosterone concentrations during January and February  
492 on the breeding season, iii) show decreased testosterone concentrations coinciding with the end  
493 of the breeding season and migration to feeding grounds, and iv) are at their peak fertility at 8 to  
494 25 years of age.

495 This study is another demonstration of how non-lethal techniques in combination with  
496 long-term life history data can aid in our better understanding of the physiology and behavior of  
497 humpback whales. With their high site fidelity, abundant numbers, coastal presence and role as  
498 a top predator, humpback whales can serve as important marine sentinels, providing a lens into  
499 ecosystem conditions and processes as they are unequivocally linked to the marine resources  
500 they depend on. With their high lipid content and preference for lower trophic species, such as  
501 forage fish and euphausiids, any fluctuations shown at the humpback whale population level  
502 could be cause for concern in both important commercial fish stocks and humans (Bossart,  
503 2011). A baseline dataset of hormonal biomarkers creates the opportunity for long term  
504 monitoring of humpback whale physiology. Shifts in the physiology of humpbacks could be

505 indicative of any number of factors including: climate change, density dependent influences,  
506 shifts in prey abundance, quality and availability, or anthropogenic disturbances (Burek et al.,  
507 2008; Learmonth et al., 2006; Rolland et al., 2012; Straley et al., 2018). Regardless of cause,  
508 behavioral and longitudinal data of individually identified humpbacks combined with endocrine  
509 markers, provide a powerful tool in the assessment of physiology and life history states for  
510 responsible management and conservation of humpback whales.

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830 Table 1. Seasonal differences in testosterone concentrations of individuals (n=10) who were  
 831 biopsied in both Hawaii and Alaska. Testosterone was higher when whales were in Hawaii ( $0.73$   
 832  $\pm 0.43$  ng/g) than in Alaska ( $0.09 \pm 0.09$  ng/g), with the exception of whale 470452. Field notes  
 833 could not identify why whale 470452 had higher testosterone other than this sample was the  
 834 latest collected (Oct 25<sup>th</sup>) for the 10 whales biopsied in Alaska.  
 835

Splash ID	Date	Hawaii	Date	Alaska
430109	4/10/05	0.10	7/24/04	0.01
430148	4/21/04	0.34	8/9/05	0.02
430228	1/22/05	1.24	7/7/04	0.14
430349	2/25/05	0.56	7/8/04	0.02
470452	1/7/05	0.13	10/25/04	0.25
470736	2/7/06	0.63	10/20/04	0.16
			7/7/04	0.01
474070	2/3/05	0.90	8/10/04	0.04
	2/23/06	1.54		
474074	2/7/05	0.89	7/23/04	0.04
474110	1/24/05	1.24	6/30/04	0.06
	2/9/05	0.42	10/15/05	0.09
430404	2/12/04	0.73	10/23/04	0.25

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839 **Figure Captions.**

840

841 Figure 1. Blubber and skin biopsy samples were collected from two locales; A) throughout  
842 Southeast Alaska (n=86), including Sitka Sound (57.0°N 135.5W°), Chatham Strait (56.95°N  
843 134.62°W), Frederick Sound (57.13°N 134.10°W), Lynn Canal (58.4°N 134.8°W) and waters  
844 west of Prince of Wales (55.95°N 132.48°W), and B) in the Hawaiian islands (n=182),  
845 specifically the Au'au, Kalohi and Pailolo channels between Maui, Moloka'i, Lana'i and  
846 Kaho'olawe and off the North Kohala Coast of Hawai'i Island (20.89°N 156.68°W and 19.98°N  
847 155.87°W, respectively.

848

849 Figure 2. Testosterone concentrations (ng/g) by geographic location. Testosterone  
850 concentrations were significantly ( $p<0.05$ ) higher when male humpbacks were in Hawaii (HI)  
851 than in Alaska (AK).

852

853 Figure 3. Testosterone concentrations binned by season, regardless of location. All seasons,  
854 except fall were significantly different from each other ( $p<0.05$ ). Fall was not significantly  
855 different than spring but was significantly different than summer and winter ( $p<0.05$ ).

856

857 Figure 4. Spring testosterone concentrations binned by location. Spring was the only season in  
858 which biopsy collection efforts obtained samples from both locations. Whales sampled in Hawaii  
859 (HI) during the spring had significantly higher testosterone than whales sampled in Alaska (AK)  
860 in the spring ( $p<0.05$ ).

861

862 Figure 5. Mean monthly testosterone concentrations (ng/g). No samples were available for May,  
863 all other months have the sample size provided. Peak testosterone concentrations occurred on  
864 the breeding grounds between Jan-Mar, whereas the lowest concentrations were observed on  
865 the feeding grounds from Jun-Sep.

866

867 Figure 6. Testosterone concentrations of all non-calf biopsies (n=182) collected in Hawaii by  
868 month during the breeding season. Concentrations of testosterone decreased as the season  
869 progressed.

870

871 Figure 7. Blubber testosterone concentrations of individual whales that were biopsied twice  
872 during the same Hawaiian breeding season. Blubber testosterone concentrations decreased as

873 the season progressed in all individual whales. Black bars represent the first biopsy collected,  
874 grey bars represent the biopsy collected later in the same breeding season.

875

876 Figure 8. Testosterone concentrations in blubber (B) and skin (S). Mean testosterone  
877 concentrations were significantly higher in blubber than in skin for animals located in Hawaii  
878 ( $p < 0.05$ ). Animals in Alaska had very low testosterone concentrations and no difference  
879 between blubber and skin was detected (Tukey's HSD test). Labels are as follows: HI-B =  
880 Hawaii blubber, HI-S = Hawaii skin, AK-B = Alaska blubber, AK-S = Alaska skin.

881

882 Figure 9. Testosterone concentrations of calves and non-calves in Alaska. No significant  
883 difference was found between the two age classes ( $p = 0.14$ ). Labels are as follows: AK-C =  
884 Alaska calf and AK-A = Alaska non-calf.

885

886 Figure 10 a & b. Testosterone concentration plotted against exact or estimated age of 74  
887 whales. Paired age and testosterone concentration data suggest that testosterone levels remain  
888 consistently low on the feeding grounds and that humpback whale males may experience peak  
889 testosterone concentrations from 8-25 years of age on the breeding grounds. Whales were split  
890 by location into Hawaii (black circles) or Alaska (open circles) grounds based on where each  
891 biopsy was collected. A) Exact age of 17 whales (Hawaii=5, Alaska=12) who were first seen as  
892 calves, were compared to testosterone concentrations. A 2nd order parabolic curve best fit the  
893 data of each group ( $R^2 = 0.09, 0.20$  for HI and AK, respectively); B) Minimum age of 56 whales  
894 (Hawaii = 19, Alaska=37 individuals) were compared to testosterone concentrations. As these  
895 whales were first seen as full adults, two years were added to the year they were first seen to  
896 account for a year as a calf and a year as a yearling. A 2nd order parabolic curve best fit the  
897 data of each group ( $R^2 = 0.29, 0.03$  for HI and AK, respectively).

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