# Aerosol radiative effects on mesoscale cloud–precipitation variables over Northeast Asia during the MAPS-Seoul 2015 campaign

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(Abstract) The online model, Weather Research and Forecasting Model with Chemistry (WRF-Chem) 1 2 is employed to interpret the effects of aerosol-cloud-precipitation interaction on mesoscale 3 meteorological fields over Northeast Asia during the Megacity Air Pollution Study-Seoul (MAPS-Seoul) 2015 campaign. The MAPS-Seoul campaign is a pre-campaign of the Korea-United States Air 4 Quality (KORUS-AQ) campaign conducted over the Korean Peninsula. We validated the WRF-Chem 5 simulations during the campaign period, and analyzed aerosol-warm cloud interactions by diagnosing 6 7 both aerosol direct, indirect, and total effects. The results demonstrated that aerosol directly decreased downward shortwave radiation up to -44% (-282 W m<sup>-2</sup>) for this period and subsequently increased 8 downward longwave radiation up to +15% ( $\sim52$  W m<sup>-2</sup>) in the presence of low-level clouds along the 9 10 thematic area. Aerosol increased cloud fraction indirectly up to ~24% with the increases of both liquid water path and the droplet number mixing ratio. Precipitation properties were altered both directly and 11 12 indirectly: Direct effects simply changed cloud-precipitation quantities via simple updraft process associated with perturbed radiation and temperature, while indirect effects mainly suppressed 13 precipitation, but sometimes increased precipitation in the higher relative humidity atmosphere or near 14 vapor-saturated condition. The total aerosol effects caused a time lag of the precipitation rate with the 15 delayed onset time of up to 9 hours. This implies the importance of aerosol effects in improving 16 17 mesoscale precipitation rate prediction in the online approach in the presence of non-linear warm cloud.

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19 Keywords: WRF-Chem, Aerosol-cloud-radiation interaction, Aerosol effects, MAPS-Seoul 2015

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#### 25 **1. Introduction**

26 Aerosol particles directly absorb and scatter solar and thermal infrared radiation, altering the 27 radiative balance of the Earth's atmospheric system. This effect is referred to as the direct effect (Haywood and Boucher, 2000). Aerosol indirectly affects both cloud albedo and cloud lifetime, 28 29 referred to as first and second indirect effects (Twomey, 1977; Albrecht, 1989; Pincus and Baker, 1994; Haywood and Boucher, 2000; Rosenfeld et al., 2008). The first and second indirect effects control the 30 cloud droplet number, cloud albedo, and cloud lifetime and heights, either suppressing or enhancing 31 32 precipitation. In addition to these two processes, substantially more complicated aerosol-cloud interactions have been revealed (Rosenfeld et al., 2014). As a result, a better quantification of the ever-33 34 increasing effects of aerosol-cloud-radiation interaction and its application of feedback to the daily 35 weather prediction are also open questions, particularly in urban and polluted areas.

Northeast Asia emits large amounts of organic aerosols (OA), anthropogenic volatile organic 36 compounds (VOCs), and particulate matter including PM<sub>2.5</sub> and PM<sub>10</sub> (Piccot et al., 1992; Saikawa et 37 38 al., 2009; Jiang et al., 2012). Specifically, the eastern regions of China, including Northeast, East, and 39 Southeast China generate pollution composed of various emission components (Ohara et al., 2007; Li et al., 2014; Li et al., 2017). Thus, characteristics associated with the development of cloud water vary 40 41 depending on aerosol components and polluted regions. For example, Northeast China with temperatures below freezing is not favorable for warm cloud formation, and the North China Plain 42 (NCP) has favorable conditions for long-lasting haze formation but not for cloud and precipitation 43 formation, because of its relatively low amount of cloud water (Zhou et al., 2016). While East and 44 Southeast China, with abundant cloud water, are one of the most favorable areas for cloud and 45 precipitation formation, with increased aerosol activation and warm cloud development (Fan et al., 46 47 2012; Zhang et al., 2015). Strong air pollution characteristics over the upstream areas greatly affect downstream areas in terms of sources of emissions through the long-range transport of air masses over 48

Northeast Asia (Carmichael et al., 2002; Park et al., 2005; Kim et al., 2012; Wang et al., 2016; Kim et
al., 2017a). Thus, the downstream area located east of the Chinese industrial area, which includes the
Korean Peninsula, is expected to be significantly influenced by aerosol-cloud-radiation interactions.

To account for these aerosol effects, it is desirable to use the online-coupled meteorology-52 53 chemistry-aerosol model, which has been applied in regional meteorology and air quality simulations (Rieger et al., 2014; Tao et al., 2015; Zhou et al., 2016; Hong et al., 2017). The Weather Research and 54 Forecasting model with Chemistry (WRF-Chem) is one such fully coupled online model (Grell et al., 55 2005; Peckham et al., 2011). The WRF-Chem is capable of simulating feedback between aerosols and 56 meteorology for various atmospheric processes by linking aerosol optical properties to the radiation 57 58 scheme and cloud condensation nuclei (CCN) potential to the microphysics scheme (Gustafson et al., 2007; Chapman et al., 2009). Several studies revealed that meteorological fields and air quality 59 significantly improve model performance when aerosol feedback is considered in the WRF-Chem 60 simulation (Chapman et al., 2009; Wang et al., 2015b; Wu et al., 2017). Previous studies using WRF-61 62 Chem for indirect effects focused on marine stratocumulus clouds with relatively clean air quality conditions, which can be resolved at coarser resolutions (Yang et al., 2011; Saide et al., 2012; 63 Grosvenor et al., 2017). Furthermore, other studies point out that aerosol feedback focuses on either 64 65 global or seasonal scales and ignores shorter time scales (Gao et al., 2017; Song et al., 2017).

Previous analyses that employed satellite data also stressed the importance of aerosol-cloudradiation interaction over Northeast Asia (Wang et al, 2014; Kourtidis et al., 2015; Wang et al, 2015a). For example, some studies highlight the negative relationship between cloud droplet radius and aerosol optical depth (AOD) over the East China Sea, which is in agreement with the first indirect effect known as Twomey's effect (Rosenfeld, 2000; Kim et al., 2003). Other researches (e.g., Wang et al., 2014; Kourtidis et al., 2015) exploring the relationship between AOD and cloud cover overestimate (underestimate) the AOD impact on cloud cover in regions where AOD and water vapor have similar (opposite) seasonal variations. However, these findings also have many limitations in quantitatively determining the mechanism of aerosol effect for two main reasons. The first is the inability to distinguish the contribution of aerosols to the observed cloud variation, and the second is the difficulty in obtaining region-specific observation data such as the vertical profile and absorption of aerosols.

The present modeling study was conducted as part of the Megacity Air Pollution Study-Seoul (MAPS-Seoul) 2015 campaign from 18 May 2015 to 13 June 2015 and investigated the manner in which regional aerosol-cloud-radiation interactions are captured in WRF-Chem. The study period was the MAPS-Seoul 2015 campaign, which is a pre-campaign of the Korea-United States Air Quality (KORUS-AQ) study. KORUS-AQ is an international, multi-organizational mission created to observe air quality across the Korean Peninsula and its surroundings (Lee et al, 2016; Kim et al., 2017b).

The objectives of this study are to evaluate the complex interactions between aerosol and meteorological fields using the coupled online WRF-Chem model over Northeast Asia, where sources of abundant aerosols create favorable conditions for developing warm cloud processes. Furthermore, this study characterizes the influences of aerosol feedback on meteorological fields during the MAPS-Seoul 2015 campaign. And we diagnose aerosol effects to improve meteorological and chemical forecasting for shorter time scales such as diurnal or weekly cycles.

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# 90 2. Data and methodology

# 91 2.1 MAPS-Seoul 2015 campaign and aircraft data

During the MAPS-Seoul 2015 campaign period, seven research flights (RFs), RF1 to RF7, were carried out near the Seoul Metropolitan Area (SMA) in Korea. Our modeling domain and flight tracks are presented in Fig. S1 and the flight numbers and take-off/landing times are reported in Table S1. RF1 was test flight without spiral flight over SMA and RF2 flew to different two heights over the Yellow. RF3 and RF6 included spiral flights over the Yellow Sea to analyze the vertical structures of

aerosols. RF5 travelled to the metropolitan cities of Gwangju and Busan, and returned to base (Fig. 97 S1b). A High Resolution Time-of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS, Aerodyne 98 99 Research Inc., Billerica, MA, USA) and an Ultra-High Sensitivity Aerosol Spectrometer (UHSAS, Droplet Measurement Technologies Inc., Boulder, CO, USA) were installed on the Hanseo KingAir-100 C90GT aircraft (Textron Aviation Inc., Wichita, KS, USA). In this study, RF4 and RF7 were used to 101 evaluate the results of the WRF-Chem model, because both flights show the meteorological signal of 102 the long-range transport process from China. RF4 and RF7 flew from Taean (base) to Seoul and Wonju, 103 took samples below an altitude of 2,500 m, and then returned along a similar track. 104

105 All seven RF excursions were equipped with the HR-ToF-AMS onboard during the campaign. 106 The operation of the HR-ToF-AMS is based on ambient air pulled through a cyclone (URG Corporation, Chapel Hill, NC, USA) at a flow rate of 3 L min<sup>-1</sup> to remove particles with aerodynamic 107 diameters larger than 2.5 µm in front of the HR-ToF-AMS. Ambient air enters the HR-ToF-AMS 108 through a pressure drop critical orifice 110 µm in diameter with an average inlet flow rate of 0.105 L 109 min<sup>-1</sup>. An aerodynamic lens focuses ambient particles of  $\sim$ 35 nm to  $\sim$ 1 µm into a narrow beam to create 110 the particle beam, which then flows into the Particle Time-of-Flight (PToF) region. Non-refractory 111 particles, with the aerodynamic diameter of  $d < 1 \mu m$ , are vaporized on impact with a resistively heated 112 113 surface at ~600 °C and ionized by electron ionization at 70 eV. The operation of the HR-ToF-AMS is described in detail elsewhere (Jayne et al., 2000; Jimenez et al., 2003; Drewnick et al., 2005; DeCarlo 114 et al., 2006; Canagaratna et al., 2007; Lee et al., 2015). 115

In this study, the HR-ToF-AMS was operated under V-mode, and an MS mode of 20 s time resolution was used to determine the compositions of non-refractory particles including the concentrations of OA, nitrate, sulfate, ammonium and chloride. The HR-ToF-AMS was calibrated for ionization efficiency (IE) by using 350 nm ammonium nitrate particles at a number density of ~300 120 cm<sup>-3</sup>. The values of the relative IE for other aerosol types including OA, sulfate, nitrate, ammonium
121 and chloride were derived from default values.

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# 123 **2.2 Other observational data used in this study**

The WRF-Chem model results were compared with meteorological observations. The 124 meteorological observation data of 91 stations located over the southern part of the Korean Peninsula 125 were obtained from the Korea Meteorological Administration (KMA) (Fig. S1b). The Tropical Rainfall 126 Measuring Mission (TRMM) was also employed in this study to validate the simulated precipitation 127 128 results (Huffman et al., 2007). TRMM is an integral part of the National Aeronautics and Space 129 Administration (NASA) Earth Science Enterprise, and provides satellite-derived estimates of 130 tropical/subtropical precipitation across the globe and estimates the associated latent heating. The 3B42 product produces three-hourly merged high-quality infrared and microwave precipitation 131 estimates at a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$ . The spatial coverage extends from 50°S to 50°N 132 133 latitude, covering our study domain over Northeast Asia.

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## 135 **2.3 Model, configuration, and data used**

The employed meteorological model, WRF is a fully compressible and non-hydrostatic mesoscale 136 numerical weather prediction model (Skamarock et al., 2008). WRF-Chem is an online-coupled 137 138 meteorology-chemistry-aerosol model that can simultaneously simulate trace gases and aerosols with WRF meteorological fields. The air quality and meteorological components use the same advection 139 140 scheme, grid, and physics schemes for sub-grid scale transport (Grell et al., 2005; Fast et al., 2006). Version 3.7.1 of WRF-Chem was employed in this study. Table S2 summarizes the model configuration. 141 142 WRF-Chem was designed to simulate aerosol-cloud-radiation interaction with existing model 143 treatments for various feedback processes of direct and indirect aerosol effects. To calculate the direct

144 effect, aerosol optical properties such as extinction, single scattering albedo, and the asymmetry factor for scattering were calculated as functions of wavelength and three-dimensional position. The current 145 146 study employed the Rapid Radiative Transfer Model for the General Circulation Models (RRTMG) scheme to generate these radiative properties. Each aerosol chemical component has its own associated 147 148 complex refractive index calculated according to the volume averaging approximation for each size mode. The Mie theory was applied to estimate extinction and scattering efficiencies. For efficient 149 computation, the methodology described by Ghan et al. (2001) was employed. The net radiative 150 impacts were calculated by passing the bulk optical properties of the aerosol layer to the radiative 151 transfer parameterization. Fast et al. (2006) and Barnard et al. (2010) describe the aerosol optical 152 153 property calculation in detail.

154 For aerosol indirect effects, aerosol-cloud interaction is a complicated process that involves activation and resuspension of aerosol particles, aqueous chemistry, and wet removal. The activation 155 process in WRF-Chem is based on the maximum supersaturation, which is determined from a Gaussian 156 157 spectrum of updraft velocities and the bulk hygroscopicity of each aerosol compound for all log-normal modes of particles (Abdul-Razzak and Ghan, 2000, 2002). Wet removal of aerosols and trace gases is 158 treated both in and below clouds. Within clouds, aerosols and trace gases dissolved in the water are 159 160 collected by precipitation such as rain, snow, and graupel. The wet scavenging module by precipitation below clouds was parameterized following the method of Easter et al. (2004). However, because of 161 162 the irreversible removal process of aerosols by rain, resuspension of aerosol particles from evaporated 163 rain is not possible in the current version of the model.

To simulate the indirect effects, the scheme of activation, resuspension, and wet scavenging for aerosol particles needs to be coupled with a double-moment microphysical scheme that predicts the number and mass concentrations for hydrometeors. The Morrison microphysics scheme used in this study includes the prognostic treatment of the cloud droplet number concentration, which considers the losses caused by collision, coalescence, collection, and evaporation, and the sources caused by nucleation. In addition, the Morrison scheme accounts for the autoconversion of cloud water to rain water depending on the cloud droplet number (Liu et al., 2005). Therefore, aerosol activation affects both the rain rate and liquid water content. The couplings were implemented for warm cloud processes only, and direct links of aerosols with ice nuclei (IN) were not considered in this study.

173 In this study, a 27 km  $\times$  27 km horizontal resolution was employed in the study domain (Fig. S1), which covers Northeast Asia (100°E–150°E longitude, 20°N–50°N latitude) with 174 (W–E) × 128 174 (S–N) grid points and 15 vertical layers. The horizontal resolution was settled considering domain and 175 objective of this study. According to previous studies (e.g., Gao et al., 2015; Gao et al., 2017), the 176 177 aerosol feedback on meteorological fields was simulated well by WRF-Chem with horizontal 178 resolution of 27km. Initial and boundary conditions for the meteorological variables were obtained from 6-hourly  $1^{\circ} \times 1^{\circ}$  National Centers for Environmental Prediction (NCEP) Final Analysis (FNL) 179 data (NCEP, 2000). The chemical boundary conditions for the main trace gases and particulate matter 180 181 concentrations were provided by simulations by the Model for Ozone and Related Chemical Tracers (MOZART) (Emmons et al., 2010). In the chemical initial condition process, this study employed the 182 model containing re-initialized NCEP meteorological fields for each day of a five-day run, recognized 183 184 as sufficient for the initial conditions of chemical variables to reach equilibrium.

The anthropogenic emissions of sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NOx), carbon monoxide (CO), VOCs, black carbon (BC), organic carbon (OC), PM<sub>10</sub>, and PM<sub>2.5</sub> were based on MAPS-Seoul 2015 campaign emission data (Woo et al, 2014). This emission inventory includes the Multi-resolution Emission Inventory of China (MEIC) (http://www.meicmodel.org/), Clean Air Policy Support System (CAPSS) (http://airemiss.nier.go.kr), and Regional Emission Inventory in Asia (REAS) version 2 (http://www.jamstec.go.jp/frsgc/research/d4/emission.htm). Biogenic emissions were calculated online using a model based on the Model of Emissions of Gases and Aerosols from Nature (MEGAN) inventory (Guenther et al., 2006). MEGAN has been fully coupled into WRF-Chem to enable the
online calculation of biogenic precursor emissions subject to vegetation cover and meteorological
conditions such as temperature and solar radiation at the time of the calculation (Grell et al., 2005).
Dust, sea salt, and dimethylsulfide (DMS) emissions were not included in this study.

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#### 197 **2.4 Model experiments and case description**

As a case study of the MAPS-Seoul 2015 campaign, two cases with warm cloud were selected. The simulations of these cases were run for 120 h with the first 24 h discarded as model spin-up for meteorology-chemistry interactions. Case A began at 00 UTC on 5 June 2015, and Case B started at the same time on 10 June 2015 (local time: UTC+9 h).

202 To investigate the impact of aerosol effects on mesoscale meteorological fields, three experiments for the two cases were conducted: a control experiment (CTL) with no aerosol effects, an aerosol-203 radiation interaction experiment (ARI), and an aerosol-cloud-radiation interaction experiment (ACR). 204 205 The CTL did not include any explicit aerosol-meteorology interactions and the ARI considered only 206 radiative effects by including the aerosol direct effect on radiation without cloud effects. The ACR reflected the radiation effects of ARI plus additional aerosol-cloud interactions for grid-scale clouds. 207 208 Therefore, the total (= direct + indirect) aerosol effects on meteorological fields were included in the ACR experiment. The differences in each experiment represent the direct effect, indirect effect, and 209 total effect. For example, the difference between ACR and CTL (i.e., ACR - CTL) was to investigate 210 211 the total aerosol effects, whereas the difference between ARI and CTL (i.e., ARI - CTL) and that 212 between ACR and ARI (i.e., ACR - ARI) represented the aerosol direct and indirect effects, respectively. 213 Table S3 summarizes the experiments.

Fig. 1 shows the meteorological and aerosol fields over specific 24-h periods for both case study periods. Figs. 1a and 1b show the results of the ACR experiments from 12 UTC on 7 June (Case A),

and Figs. 1c and 1d show those from 00 UTC on 11 June (Case B). Figs. 1a and 1c show the 216 accumulated precipitation together with wind fields at 850 hPa, and Figs. 1b and 1d show the total 217 218 AOD at 550 nm and the liquid water path (LWP) at 500 hPa for both cases. Case A shows a highpressure system over the eastern (China) and western (Japan) parts of the domain as well as a low-219 pressure system over the northern part (Fig. 1a). These pressure distributions led to a strong streamline 220 through the East China Sea and East Sea of Korea with a southwesterly wind. In the modeled 221 meteorological conditions of Case A, widespread precipitation of less than 15 mm day<sup>-1</sup> occurred near 222 the Korean Peninsula. In Fig. 1b, a high simulated AOD value of more than 0.7 with clouds (LWP > 223 0.1 g m<sup>-2</sup>) was discovered over the southern region of the Korean Peninsula. Even though the region 224 225 with clouds was excluded, the AOD was higher than 0.9 over the Yellow Sea. This indicates that the 226 aerosol loading was transported to the Korean Peninsula via the Yellow Sea during the period of Case A. Case B is characterized by continuous westerly winds from China to the downwind regions under 227 the influence of a cyclone system centered on the Northeast China region in Manchuria (Fig. 1c). 228 Compared with Case A, minimal precipitation of <10 mm day<sup>-1</sup> was simulated over South Korea. As 229 the transport process of air mass from China progressed, high aerosol loadings were clearly detected 230 over the Korean Peninsula (Fig. 1d). 231

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233 **3. Results** 

#### 234 **3.1 Model evaluation**

To verify the model's representation of our aerosol-cloud-radiation feedback experiment, model performance was evaluated by comparing the simulated meteorological variables and aerosol loadings against surface, aircraft measurements, and satellite data. Table 1 provides a statistical summary of the comparisons for meteorological variables including temperature, relative humidity (RH), and wind speed for the ACR experiments for both cases. The model depicted the temperature and its temporal

variation with a Corr. R of 0.93 to 0.94 and an MB of -1.38 to -0.95 °C. RH was also reasonably 240 reproduced with Corr. R values of 0.86 to 0.93 and MB of 8.95 to 10.47. The simulated wind speed 241 242 agreed well with the observation of Corr. R = 0.70 to 0.77, but was systematically overestimated for Case A with an NMB of 112%. Noted that in previous studies, a high positive bias (e.g., NMB of 78.1 243 to 105%) in wind speed was often obtained in WRF-Chem simulations (Matsui et al., 2009; Zhang et 244 al., 2010; Molders et al., 2012; Tuccella et al., 2012; Gao et al., 2015; Zhang et al., 2015). This high 245 bias is partly attributed to unresolved topographical features in surface drag parameterization and the 246 coarse resolution which were all previously studied (Cheng and Steenburgh, 2005; Yahya et al., 2014; 247 Zhang et al., 2015). 248

249 Observed and simulated precipitation rates (PR) were estimated at three sites, namely Gosan, Jinju, and Incheon, where precipitation was observed during the study periods (Fig. 2). The model 250 results overestimated the PR for all experiments. However, the results of the model led to higher threat 251 score values: 0.25~0.29 for ACR experiment with an NMB reduction of about 25% compared to the 252 253 CTL and ARI experiments. Furthermore, the onset detection of PR demonstrated a time lag for the ACR experiment compared to other experiments, with a delay of onset time of nine and two hours at 254 Gosan and Jinju, respectively. In addition, the peak time of PR also demonstrated a time lag with a 255 256 delay of nine and four hours at Gosan and Jinju, respectively (Figs. 2a and 2b). This suggests that the ACR experiment, with full aerosol feedback effects, simulated a higher probability of precipitation 257 than the other experiments. Fig. 3 indicates the spatial distributions of the PR, showing a stronger 258 259 similarity to the distribution of the TRMM product in the ACR. In the ACR experiment, the PR was 260 less intense and covered a smaller area over the Korean Peninsula at 21 UTC on 7 June. And the shape of the precipitation band had similar patterns to the TRMM observation at 21 UTC on 11 June (Fig. 261 262 3). The analysis above indicates that the ability to simulate precipitation, including the presence and rate of precipitation improved in the ACR experiment. 263

To investigate the chemical compositions of the aerosols in detail, the aircraft measurements of 264 RF7 for Case B were compared with those of RF4 for Case A. Here, noted that the flight paths of RF4 265 266 and RF7 were similar, with the aircraft traveling to Seoul (Fig. S1). Fig. S2 shows that PM<sub>2.5</sub>, sulfate, 267 and ammonium for RF4 were simulated well, whereas those for RF7 were slightly underestimated at about 15, 5, and 1  $\mu$ g m<sup>-3</sup> for each of the three chemical components, respectively. This suggests that 268 the lack of formation mechanisms of secondary inorganic aerosols, such as the heterogeneous reaction 269 of SO<sub>2</sub> on the surface (Harris et al., 2013), is a possible reason for the underprediction. The modeled 270 OA was significantly underestimated for both RF4 and RF7 flights, although OA had a larger fraction 271 of total mass for the aircraft measurements. The capability of OA forecasting of the WRF-Chem is 272 273 suggested as a reason for underestimation. Therefore, with the exception of OA, the overall simulated 274 aerosol mass could be reasonably compared quantitatively to observed values.

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#### 276 **3.2 Aerosol effects on radiative variables**

To evaluate how well the WRF-Chem model simulated the impact of aerosol feedback on 277 278 mesoscale meteorological fields, the radiation-cloud variables were analyzed. The three experiments were investigated by two different sky conditions: clear-sky and all-sky as in a previous study (Archer-279 280 Nicholls et al., 2016). The clear-sky variables were calculated for the grid points with no clouds, vielding results without the influence of clouds in the model. The all-sky condition denotes a clear sky 281 plus cloudy sky conditions. To compare the radiation-cloud variables with the corresponding modeled 282 283 aerosol loading, the resultant vertical profiles of the extinction coefficient at 550 nm over South Korea 284 for the two cases in the ACR experiment were examined.

Fig. 4 shows a time series of various aerosol effects on downward shortwave (SW) radiation in clear- and all-sky conditions for both cases at ground level averaged across South Korea. In the case of clear-sky conditions, as indicated in Figs. 4a and 4b, the total effects on the changes in downward

SW radiation at the ground (denoted as  $\Delta SW_{sfc.clr}^{\downarrow}$ ), with maximum values of -112.2 and -144.6 W m<sup>-</sup> 288 <sup>2</sup> for Case A and Case B, originated mostly from direct effects. As expected, only small indirect effects 289 of ~26.2 W m<sup>-2</sup> at 07 UTC on 8 June (Fig. 4a) and ~50.1 W m<sup>-2</sup> at 06 UTC on 11 June (Fig. 4b) were 290 found for Case A and Case B, respectively. However, in the all-sky condition, the radiative variables 291 with cloud fields tended to change more dramatically than in the clear-sky condition. In Figs. 4c and 292 293 4d, the more sharply reduced downward SW radiation shown in the all-sky condition (denoted as  $SW_{sfc}^{\downarrow}$ ) is mostly attributed to the indirect effects, especially for Case B. This difference between direct 294 and indirect effects was more obvious at 03 UTC on 11 June for Case B with -11.4 W m<sup>-2</sup> (-1.8 % 295 against CTL) for direct effects, but -271.0 W m<sup>-2</sup> (-42.6 % against CTL) for indirect effects, where 296 297 cloud fraction (CF) of up to 40% was simulated.

Whereas almost sinusoidal daily variations in direct effects contribute for both clear- and all-sky conditions, indirect effects demonstrated more intermittent patterns with sharply increased contributions depending on CF for all-sky conditions. For example, the maximum decrease of  $SW_{sfc}^{\downarrow}$ in the indirect effects including cloud impact were simulated as -125.5 to -271.0 W m<sup>-2</sup> (-23.1 to -42.6 %) for Case A and Case B, respectively (Figs. 4c and 4d). At the same time, the gaps between  $\Delta SW_{sfc,clr}^{\downarrow}$  and  $\Delta SW_{sfc}^{\downarrow}$  reached 99.3 W m<sup>-2</sup> (20.1 %) and 220.1 W m<sup>-2</sup> (36.7 %) for the two cases, respectively.

Fig. 5 illustrates the vertical profiles of the extinction coefficient for both cases, showing some agreement compared to the variation in  $SW_{sfc,clr}^{\downarrow}$  and  $SW_{sfc}^{\downarrow}$ . The radiative variables are attributed mainly to the scattering and absorption of solar radiation. The reduction of downward SW radiation by aerosol varies as amount of solar radiation begin to deviate after sunrise, and in turn tends to change more dramatically by the presence of high aerosol loadings. The results changed drastically in  $SW_{sfc}^{\downarrow}$ reaching up to -271.0 W m<sup>-2</sup> when the extinction coefficient was more than 0.22 km<sup>-1</sup> near the surface. This indicates that the reduction of downward SW radiation by aerosols is associated with the extinction coefficient profile directly and indirectly, particularly in conditions of high aerosol loading. Regarding upward SW radiation at the ground (denoted as  $SW_{sfc}^{\uparrow}$ ), it is known that the portion of incoming radiation is reflected at the surface and strongly dependent on the surface albedo. This study obtained the same results. However, we also determined that the simulated  $\Delta SW_{sfc,clr}^{\uparrow}$  and  $\Delta SW_{sfc}^{\uparrow}$ are approximately 15% (or less) of  $\Delta SW_{sfc,clr}^{\downarrow}$  and  $\Delta SW_{sfc}^{\downarrow}$  with the same temporal variations.

In this study, we excluded the change in upward longwave (LW) radiation by aerosol, because no significant biases were found. In general, upward LW radiation in the clear-sky condition (denoted as  $LW_{sfc,clr}^{\uparrow}$ ) at the ground depends mostly on the changing skin temperature based on the Stefan-Boltzmann law as a function of the variations in solar radiation (Stefan, 1879; Boltzmann, 1884). The modeled  $LW_{sfc,clr}^{\uparrow}$  was in phase with the surface skin temperature. In our WRF-Chem simulations, the LW radiation change between the all-sky condition (denoted as  $LW_{sfc}^{\uparrow}$ ) and  $LW_{sfc,clr}^{\uparrow}$  was small and negligible (not shown).

Figs. 6a and 6b show the time series of the differences in downward LW radiation at the ground averaged across South Korea for both cases. As shown in Figs. 6a and 6b, the total effects on downward LW radiation at the ground (denoted as  $LW_{sfc}^{\downarrow}$ ) were similar to the indirect effects, whereas the direct effects were small and negligible with a difference of -6.5 to 8.1 W m<sup>-2</sup> (-1.8 to 2.6%) for Cases A and B. The total aerosol effects can lead to large increases in  $\Delta LW_{sfc}^{\downarrow}$  with maximum values of 30.8 to 51.8 W m<sup>-2</sup> (9.7 to 15.0 %) for both cases, whereas the common averaged values across South Korea ranged from -7.2 to 10.5 W m<sup>-2</sup> (-1.9 to 2.7 %).

To analyze the total effects in interpreting the changes in  $LW_{sfc}^{\downarrow}$ , cloud parameters were examined in this study. Figs. 6c and 6d illustrate the cloud water mixing ratio (QCLOUD) for both cases. In Figs. 6c and 6d, the variation of QCLOUD was seen extensively by the high aerosol loading through the process of aerosol-cloud interaction. Upon the condition of all large increases of  $\Delta LW_{sfc}^{\downarrow}$  with a maximum value of 51.8 W m<sup>-2</sup> (15.0 %), significant growth in cloud formation was found particularly in the condition of  $\Delta QCLOUD$  exceeding 110 mg kg<sup>-1</sup>, particularly at altitudes below 950 hPa (Figs. 6c and 6d). This is suggesting the increased QCLOUD reflecting the LW radiation, especially at near the surface. Therefore, a portion of LW radiation emitted from the surface is trapped by low-level clouds, resulting in radiative warming at the ground. However, the magnitude of  $\Delta LW_{sfc}^{\downarrow}$  was determined as relatively small compared to  $\Delta SW_{sfc}^{\downarrow}$ .

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# 342 **3.3 Aerosol effects on clouds and precipitation**

To investigate the manner in which aerosol-cloud-radiation interaction affects the cloud 343 microphysics of the atmosphere, the associated CF and PR were analyzed. Fig. 7 displays the time 344 series of CF and PR averaged over South Korea for the three experiments. Of the three experiments, 345 the simulated CF showed the highest values in the ACR experiment for both cases. The direct effects 346 347 contributed to CF values of -6.7% to 3.8%, whereas the indirect effects significantly increased in CF difference ( $\Delta$ CF) up to 22.7% (Figs. 7b and 7f), controlling the cloud lasting time. This indicates the 348 importance of the second indirect effects associated with changing the cloud cover and cloud lifetime. 349 350 Our study also correlated the difference in CF by indirect effects with that of low-level QCLOUD, as shown in Figs. 6c and 6d. Comparing Figs. 4c and 4d to Figs. 7b and 7f strongly associated the 351 phase of indirect effects on CF and other cloud variables such as QCLOUD with the radiative variables. 352 This implies that the perturbation in radiation properties yields cloud formation involving indirect 353 effects and vice versa; therefore, the interaction of clouds and radiation properties is undoubtedly 354 355 significant in the prediction of cloud cover and amount.

In Figs. 7d and 7h, the fluctuation in PR by aerosol effects was shown to occur at a similar period when CF was increased by the total effects, but showed a relatively low correlation with cloud

variations, likely because of nonlinear cloud to precipitation conversion processes. As shown in Figs. 358 7c and 9g, the ACR experiment decreased precipitation more than other experiments. This result is 359 360 consistent with those from observed PR, as illustrated in Fig. 2. Fig. 2 indicates that the ACR experiment better agreed with observations, especially at Gosan., implying that aerosol-cloud-radiation 361 interaction is an important factor for improving daily weather prediction of precipitation. Similar 362 results are reported by numerous studies that performed an inter-comparison of coupled chemistry-363 meteorology models over Europe, such as Forkel et al. (2012), Brunner et al. (2015), and Kong et al. 364 365 (2015). Their studies considered the importance of aerosol, cloud, and radiation interaction, validating that the simulation with aerosol feedback more accurately reproduced microphysical variables and 366 367 incoming downward SW radiation.

368 Fig. S3 illustrates the mean spatial distributions of LWP simulated from the ACR experiment and its attribution fraction of aerosol total effects over specific 24-h periods of the two cases. Here, Figs. 369 S3a and S3c represent LWP (g m<sup>-2</sup>), and Figs. S3b and S3d illustrate the contribution fraction of total 370 effects to LWP, respectively. As shown in Fig. S3, cloud formation occurred mainly over Southeast 371 372 and East China, the Korean Peninsula, and East China Sea (Figs. S3a and S3c). In Figs. S3b and S3d, the LWP showed a spatially increased pattern nearly proportional to the LWP by the total aerosol 373 effects, mostly due to indirect effects. The LWP was greatly augmented to 614.8 and 518.9 g m<sup>-2</sup> for 374 Cases A and B, respectively. This implies that the simulated LWP was influenced by aerosol indirect 375 376 effects, as shown in Figs. 7b and 7f, which alter cloud properties such as liquid water content, CF and 377 cloud lifetime.

These augmentations in LWP can be attributed to the increase in the droplet number mixing ratio (QNDROP), which was derived from the higher number of particle concentrations in the ACR experiment. The CTL and ARI experiments did not consider the activation of aerosol-originated cloud droplet number source; therefore, the QNDROP without aerosol-cloud interaction was significantly

lower than that in ACR experiment. However, previous studies such as by Zhang et al. (2015) and 382 Forkel et al. (2015) showed that aerosol-cloud interaction reduces QNDROP, because of almost 383 384 horizontally homogeneous particle numbers and the QNDROP calculated for unpolluted conditions. The process described above yields different results for cloud-precipitation than those determined in 385 386 the present study. The discrepancy between previous studies and this research is attributed to the different environment of aerosol characteristics. Our domain, which includes highly polluted areas, 387 represents distinctly different physical and chemical aerosol characteristics under abundant cloud 388 water content conditions. For example, Forkel et al. (2015) showed the result of indirect effects in 389 390 unpolluted marine or coastal area, while Zhang et al. (2015) focused on a low cloud cover region and 391 a season dominated by indirect effects. That is, a more adequate quantity of data on aerosol 392 characteristics is a prerequisite to diagnose the relationship between aerosol effects and cloud variables. Furthermore, it can partly be explained by the different version of the aerosol-cloud feedback 393 mechanism of WRF-Chem. In the detailed WRF-Chem model (i.e., version 3.6), better performance 394 395 becomes possible for more realistic aerosol fields and aerosol-cloud interaction under the various and 396 different conditions of aerosol concentrations.

Last, we examined the manner in which the increased LWP affects precipitation in and around the 397 398 Korean Peninsula. Fig. 8 displays the spatial distributions of total, direct, and indirect effects on precipitation for both cases. Here, total precipitation is indicated in Figs. 1a and 1c. In Fig. 8, the 399 changes of aerosol effects on precipitation occur predominantly in regions such as the southeastern 400 401 and central parts of Korean Peninsula for Case A and Case B, respectively, where the LWP is distinctly 402 increased by aerosol effects. The spatial distribution of changes in precipitation demonstrated continuously iterated patterns of decrease and increase, which were also reported by Mashayekhi and 403 404 Sloan (2014).

Considering the overall spatial distribution of aerosol effects, a distinct difference is evident 405 between Case A and Case B. Direct and indirect effects equally contributed to the total effects on the 406 407 variation of precipitation for Case A. Whereas, in Case B, a more dominant contribution of indirect 408 effects than direct effects was evident. For example, for Case A, the direct effects on changes in precipitation over South Korea, ranging from -54.6 to 24.1 mm, were comparable to those of indirect 409 effects, ranging from -23.8 to 24.0 mm, and almost equally contributed to the total effects with values 410 ranging from -63.2 to 27.1 mm (Figs. 8a-c). In contrast, the direct effects for Case B, with a minimum 411 412 value of -7.0 mm, had little influence on the changes in precipitation compared to the indirect effects, with a minimum value of -36.6 mm (Figs. 8d-f). The characteristics of each case correspond to the 413 414 averaged  $\Delta PR$  over South Korea, as shown in Figs. 7d and 7h. For Case A, the time series of  $\Delta PR$  by 415 direct effects was more dominant, followed by total effects. For Case B, the phase of  $\Delta PR$  derived from indirect effects with relatively little direct effects. 416

To further explain and obtain better insight into the differences between the two cases, both 417 418 (=direct + indirect) effects are dominant, and only indirect effects are dominant on precipitation for the 419 conversion of cloud water to rain. We analyzed the longitude-height cross section of the difference in the tendency for the conversion of cloud water to rain (Fig. 9). The differences in wind fields averaged 420 421 over specific 24-h periods for both cases are indicated in Fig. 9. For both cases, the precipitation system propagated from the southwest (or west) toward the northeast, passing through the Korean Peninsula. 422 In Figs. 9a and 9e, over the upstream (West) area, total effects on the cloud water to rain conversion 423 424 showed a negative (-) sign for both cases, indicating that aerosol effects suppress precipitation. 425 However, a positive sign (+) for Case A was simulated over the downstream (East) area (Figs. 9a and 9e). 426

The variations in direct effects shown in Fig. 9b can be explained by vertical wind fields, which
vary according to meteorological variables such as upward motion due to radiation, temperature, and

stability/convection of the atmosphere in Case A. That is, radiative direct cooling over the near-surface 429 high aerosol concentration area induces a sinking motion (see wind vector perturbations in Fig. 9b) 430 431 due to the decreased surface temperature (Fig. 4c), enhancing stable stratification of the suppression process of the cloud water into rain conversion. As illustrated in Fig. 4c, the  $\Delta SW_{sfc}^{\downarrow}$  for direct effects 432 significantly influences on the reduction in  $SW_{sfc}^{\downarrow}$ , because of the total effects for Case A. The decrease 433 of radiation reaching the ground is reflected in the perturbation of temperature, which results in 434 variation of atmospheric stability and convection processes. Following Zhao et al. (2012), because 435 436 aerosol-induced warming or cooling accumulates over time, the temperature pattern does not accurately match the anomalies of vertical motion. Hence, it should be note that the change of vertical 437 velocity is not directly comparable to that of temperature, although the wind field varies with the 438 439 temperature. In Case B, however, the radiative flux convergence was little simulated with no significant changes of vertical motions over the study domain. 440

441 The indirect effects on change in precipitation with the alteration of cloud properties by acting as the CCN were also investigated, as shown in Figs. 9c and 9g. The indirect effects suppressed 442 precipitation over the upstream areas for both cases, and the results correspond to the distributions of 443 444 cloud variables (Figs. S3b and S3d). This suggests that indirect effects over Northeast Asia can suppress the PR and resultantly delay the onset of precipitation. Interesting here is the opposite 445 tendency over the downstream area for Case A (Fig. 9c), although no significant sign was found in 446 447 Case B (Fig. 9g). In Case A, the time-mean RH was predominantly high (RH > 85% at altitude below 1.5km), as shown in Fig. 9d, where abundant water vapor was favorable for saturation. Therefore, 448 vapor condensation invokes adiabatic warming, directly inducing an enhanced updraft motion, as 449 indicated in Figs. 9c and 9d. This process ultimately enhances the fluctuations of precipitation in these 450 conditions. In contrast, the RH in Case B is lower than 75%, except for near the surface (Fig. 9h), 451

where there was little saturation-warming thermodynamic processes and therefore a relatively smaller
(or no) increase in cloud to precipitation conversions over the downstream (East) area in Case B.

454 Obviously, clouds are highly nonlinear systems and cloud-radiation environments can yield unpredictable results that create challenges in the interpretation of simulated and observed data. 455 456 Furthermore, the current analysis is not sufficient to completely describe aerosol effects, because the aerosol activation process employed here cannot consider IN for the aerosol scheme (i.e., 457 MADE/SORGAM) used in the current version of the WRF-Chem study. This indicates that the 458 tendency towards possible underestimation in quantifying the role of indirect effects should be 459 explored in the modeling study. To represent aerosol effects more accurately and quantitatively, it is 460 461 therefore necessary to perform further modeling and employ more compressive measurements for ice-462 borne aerosols and IN treatment.

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## 464 **4. Summary and conclusions**

The main objectives of this study were to characterize and diagnose the influences of aerosol effects on mesoscale cloud-radiation variables over Northeast Asia during the MAPS-Seoul 2015 campaign period. We employed the fully coupled online WRF-Chem model and three different experiments with and/or without aerosol effects were carried out for the investigation of aerosol-cloudradiation interaction effects.

The numerical results were first evaluated against available measurements obtained from MAPS-Seoul 2015 campaign, and we clearly found out that a case with full aerosol with feedback effects yielded better prediction capability than other two experiments. It is also noted that the aerosol effects played a dominant role in altering radiative variables and cloud properties over downstream areas. The largest difference among the radiative variables due to aerosol effects was in downward SW radiation. The resultant perturbation in radiation properties was highly likely to be associated with the aerosol loading profile, and in turn involved in the cloud response. This is suggesting that the interaction between cloud and radiation was significant in the prediction of cloud cover/amount. In addition, compared with the respective aerosol effects on cloud properties, the total effects are attributed to indirect effects. Also, the variations of cloud properties and precipitation by total effects occurred at almost the same time over South Korea. However, contribution fraction of respective aerosol effects on precipitation varied according to meteorological conditions. We realized that the nonlinear cloud to precipitation conversion process worked on the downstream area.

483 In addition, we pointed out that, under abundant cloud water and aerosol conditions, the direct and indirect effects locally suppressed the precipitation and resultantly delayed the onset of 484 485 precipitation with the time lag of up to 9 hours over Northeast Asia. This implies the importance of 486 aerosol effects in improving mesoscale precipitation predictabilities in the high polluted area based on the online approach considering aqueous phase chemistry. In particular, the change in onset time and 487 intensity of precipitation hours can have a severe and important impact on the regional daily 488 489 meteorological fields, particularly over the Korean Peninsula. The results through complex aerosol-490 cloud-radiation interaction examined in this study over Northeast Asia can have profound and serious implications to the regional cloud and precipitation, and therefore immediate follow-up studies would 491 492 be needed.

However, we also found out here some results with big discrepancies in comparison with the previous results, particularly regarding the aerosol-cloud relationship with regards to the aerosol indirect effects. Previous studies mostly showed the indirect effects were dominated only in unpolluted marine/coastal areas with low-cloud cover. Therefore, as a future study, multiple sensitivity experiments that apply more comprehensive aerosol treatments should be conducted against welldesigned field studies considering various cloud and precipitation conditions over Northeast Asia.

Those are to better understand the aerosol feedback mechanism in the online-coupled WRF-Chemmodel.

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508

# 509 Appendix A. Supplementary data

510 Supplementary data related to this article can be found at http://dx.doi.org/XXX

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803	List of Tables
804	Table 1. Statistics of the comparisons between simulated and observed temperature (°C), relative
805	humidity (RH, %), and wind speed (m s <sup>-1</sup> ) for Case A (00 UTC 6 June to 23 UTC 9 June) and
806	Case B (00 UTC 11 June to 23 UTC 14 June), respectively.
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	Case A (00UTC 6 June to 23UTC 9 June)			Case B (00UTC 11 June to 23UTC 14 June)		
-	Temp.	RH	WS	Temp.	RH	WS
	(°C)	(%)	(m s <sup>-1</sup> )	(°C)	(%)	(m s <sup>-1</sup> )
MEAN OBS <sup>a</sup>	20.41	69.46	1.77	22.08	73.64	2.20
MEAN MOD <sup>a</sup>	19.46	79.93	3.75	20.70	82.59	4.34
Corr. R <sup>b</sup>	0.93	0.86	0.70	0.94	0.93	0.77
MB <sup>c</sup>	-0.95	10.47	1.98	-1.38	8.95	2.14
NMB <sup>d</sup>	-4.65%	15.07%	112.28%	-6.26%	12.15%	97.26%
NME <sup>d</sup>	6.52%	15.76%	112.28%	6.58%	12.15%	97.26%
FB <sup>e</sup>	-4.65%	14.65%	74.67%	-6.78%	11.55%	67.69%
FE <sup>e</sup>	6.90%	15.28%	74.67%	7.09%	11.55%	67.69%
RMSE <sup>f</sup>	1.55	13.09	2.09	1.73	10.51	2.24
IOA <sup>g</sup>	0.94	0.82	0.45	0.91	0.88	0.45

<sup>a</sup> MEAN OBS and MEAN MOD are hourly mean values of observation and model results, respectively; <sup>b</sup> Corr. R the correlation coefficient

between the observation and model results; <sup>c</sup> MB the mean biases; <sup>d</sup> NMB and NME the normalized mean bias and error; <sup>e</sup> FB and FE the

813 fractional bias and error; <sup>f</sup> RMSE the root-mean-square error; <sup>g</sup> IOA the index of agreement.

827

# **Figure Captions**

Fig. 1 Spatial distributions of (a) total precipitation (color, in mm day<sup>-1</sup>) and temporally averaged wind
vectors (arrows, in m s<sup>-1</sup>) at 850 hPa and (b) temporally averaged column aerosol optical depth
(AOD) at 550 nm (color) and liquid water path (LWP) at 500 hPa (white contours, in g m<sup>-2</sup>)

- 819 above 0.1 g m<sup>-2</sup>) from the aerosol-cloud-radiation interaction (ACR) experiment for Case A (12
- UTC on 7 June 2015 to 11 UTC on 8 June 2015). Panels (c) and (d) are the same as (a) and (b),
- 821 but for Case B (00 UTC on 11 June 2015 to 23 UTC on 11 June 2015).
- Fig. 2 Time series of observed (gray bar) and simulated hourly precipitation rate (mm hr<sup>-1</sup>) at (a) Gosan and (b) Jinju for Case A and (c) Incheon for Case B. The black circles represent the aerosolcloud-radiation interaction (ACR) experiments, red triangles indicate the aerosol-radiation interaction (ARI) experiments, and blue diamonds show the control (CTL) experiments.
- Fig. 3 Spatial distributions of precipitation rate (mm hr<sup>-1</sup>) from (a) Tropical Rainfall Measuring Mission

(TRMM) data, (b) the aerosol-cloud-radiation interaction (ACR) experiment, and (c) the

828 control (CTL) experiment at 21 UTC on 7 June 2015 for Case A. Panels (d)–(f) are the same

as (a)–(c), respectively, but at 21 UTC on 11 June 2015 for Case B.

- Fig. 4 Time series of change in downward shortwave (SW) radiation averaged at the ground level over
  South Korea for (a) Case A and (b) Case B in clear-sky conditions. Panels (c) and (d) are the
  same as (a) and (b), respectively, but for all-sky conditions.
- Fig. 5 Simulated vertical profiles of extinction coefficient at 550 nm from the aerosol-cloud-radiation
  interaction (ACR) experiment averaged over South Korea for (a) Case A and (b) Case B.
- Fig. 6 Time series of change in downward longwave (LW) radiation averaged at the ground level over
  South Korea for (a) Case A and (b) Case B in all-sky conditions. Panels (c) and (d) show
  simulated vertical profiles of total effects on the cloud water mixing ratio (QCLOUD) averaged
- 838 over South Korea for Case A and Case B, respectively.

839	Fig. 7 Time series of cloud fraction (CF) and precipitation rate (PR) averaged over the South Korea.
840	Panel (a) CF for three experiments; panel (b) difference of CF in aerosol effects of total, direct,
841	and indirect effects; panel (c) PR simulated from three experiments, (d) difference of PR from
842	aerosol effects for Case A. Panels (e)–(h) are the same as (a)–(d), respectively, but for Case B.
843	Fig. 8 Spatial distributions of temporally averaged (a) total effects, (b) direct effects, and (c) indirect
844	effects on total precipitation for Case A. Panels (d)–(f) are the same as (a)–(c), respectively, but
845	for Case B.
846	Fig. 9 Longitude-height cross section of (a) total effects, (b) direct effects, and (c) indirect effects on
847	tendency for conversion of cloud water to rain (color, in $\mu g k g^{-1} s^{-1}$ ) and wind fields (arrow, in
848	m s <sup>-1</sup> ), and (d) relative humidity (RH) for the aerosol-cloud-radiation interaction (ACR)
849	experiment for Case A. Panels (e)-(h) are the same as (a)-(d), respectively, but for Case B.
850	Note that the vertical wind speed was multiplied by a factor of 100.









unit : [mm hr<sup>-1</sup>]

0.5

0.3

0.1

0.5 0.3 0.1

unit : [mm hr<sup>-1</sup>]

857 Fig. 3











