



47 **Abstract**

48 A fully-coupled atmospheric-ocean model was developed by coupling WRF (Weather Research  
49 and Forecasting Model) with FVCOM (the unstructured-grid, Finite-Volume Community Ocean  
50 Model) through the Earth System Model Framework (ESMF). The coupled WRF-FVCOM is  
51 configured with either hydrostatic or non-hydrostatic oceanic dynamics and can run with wave-  
52 current interactions. We applied this model to simulate the 2012 Hurricane Sandy in the western  
53 Atlantic Ocean. The experiments examined the impact of air-sea interactions on Sandy's  
54 intensity/path and oceanic responses under hydrostatic and non-hydrostatic conditions. The results  
55 showed that the increased storm wind rapidly deepened the mixed layer depth when ocean  
56 processes were included. Intense vertical mixing brought cold water in the deep ocean towards the  
57 surface, producing a cold wake within the maximum wind zone underneath the storm. This process  
58 led to a sizeable latent heat loss from the ocean within the storm, and hence rapid air temperature  
59 and vapor mixing ratio drop above the sea surface. The storm intensified as the central sea-level  
60 pressure dropped. Improving air pressure simulation with ocean processes tended to reduce the  
61 storm size and strengthen its intensity, providing a better simulation of hurricane path and landfall.  
62 Turning on the non-hydrostatic process slightly improved the hurricane central sea-level pressure  
63 simulation and intensified the winds on the right side of the hurricane center. Hydrostatic and non-  
64 hydrostatic coupled WRF-FVCOMs captured Sandy-induced rapidly-varying flow over the shelf  
65 and the wind-induced surge level at the coast. The coupled models predicted a higher water  
66 elevation around the coastal areas where Sandy made landfall than the uncoupled model. The  
67 uncoupled and coupled models both showed more significant oceanic responses on the right side  
68 of the hurricane center, with a maximum during the Sandy crossing period when the clockwise-  
69 rotating frequency of Sandy wind was close to the local inertial frequency. The area with a  
70 maximum response varied with Sandy's translation speed, more prominent in the deep region than  
71 over the slope, and more substantial under the non-hydrostatic condition. The simulated ocean  
72 responses agreed with the theoretical work of *Price* (1981). The nonhydrostatic experiments  
73 suggest that to resolve a fully storm-induced convection process, the oceanic model grid  
74 configuration should meet the  $O(1)$  criterion for the ratio of local water depth to the model  
75 horizontal resolution.

76

## 1. Introduction

The U.S. northeast coast is highly susceptible to extratropical and tropical cyclones (*Bernier and Thompson, 2006; Chen et al., 2021a*). Tropical cyclones can cause storm surges, torrential rainfall, coastal flooding, and severe damage to infrastructure, residential houses, trees, and in some cases, injury or death. Hurricane Sandy, which struck the eastern coast of the United States in October 2012, was one of the superstorms in history and caused severe disasters for the coastal region. It first appeared as a low-pressure cyclone and quickly strengthened into Tropical Storm Sandy over the Caribbean Sea on 22 October. It then upgraded to a hurricane after moving northward and crossing Jamaica and Cuba on 26 October. Sandy turned into an extratropical cyclone as cold air came into the center at around 21:00 (all the times are Coordinated Universal Time) on 29 Oct. and made landfall near Brigantine, New Jersey, at 23:30 (*Blake et al., 2013*). This hurricane produced strong winds (with maximum sustained winds of ~36 m/s observed in Atlantic City). The OceanSat-2 satellite images revealed that this hurricane was characterized by a robust asymmetric wind field, with its maximum on its center's left and rear areas (Fig. 1) (<https://www.jpl.nasa.gov/images/pia16219-oscat-eyes-hurricane-sandy>). The storm winds produced high water and surge levels of ~4.4 and ~3.0 m and significant wave height of ~10 m, causing severe coastal inundation over New Jersey, New York City, and Long Island. 233 people died, and property damages were estimated to be \$71.4 billion (*Diakakis et al., 2015*).

Intensive studies have been conducted to discover the dynamics of hurricane formation (*Charney and Eliassen, 1964; Anthes, 1974; Emanuel, 2003*). The Sea Surface Temperature (SST) is an essential factor in the air-sea interaction of tropical cyclone (TC) dynamics. The TCs are fueled by the ocean mainly via latent and sensible heat fluxes, which are affected by SST (*Schade and Emanuel, 1999*). Since the marine bottom boundary parameterization for an atmospheric model is connected to the ocean through SST, high-accurate SST could improve the prediction of hurricane track and intensity by numerical meteorological models (*Dare and McBride, 2011; Glenn et al., 2016; Mooney et al., 2016; Li et al., 2020*).

Three approaches were taken to improve the marine boundary layer parameterization, including 1) adding either satellite-derived or ocean model-produced SSTs as a boundary condition at the sea surface (*Cione and Uhlhorn, 2003; Zeng and Belijaars, 2005*); 2) implementing an ocean mixed layer (OML) model into the atmospheric model to link the temporospatial SST variability to oceanic mixing (*Pollard et al., 1972; Davis et al., 2008; Wang and Duan, 2012; Price, 2009*;

108 *Lin et al.*, 2013), and 3) coupling with an ocean model to provide a two-way air-sea interaction at  
109 the sea surface (*Warner et al.*, 2010; *Chen et al.*, 2013; *Lee and Chen*, 2014; *Lin et al.*, 2005; *Lin*  
110 *et al.*, 2008; *Lin et al.*, 2009; *Wu et al.*, 2007; *Wu et al.*, 2016). The first approach is the simplest  
111 and most straightforward to implement but does not include SST feedback to the ocean. Since the  
112 satellite-derived SST field is usually a daily product, it cannot resolve a rapid change in the ocean  
113 thermal condition beneath a storm over a daily cycle. The second approach considers the SST  
114 temporospatial variability by a one-dimensional (1-D) OML model. The Weather Research and  
115 Forecasting (WRF) includes two 1-D, temperature-dependent OML models developed by *Pollard*  
116 *et al.* (1972) and *Price et al.* (1986). Vertical mixing in *Pollard et al.*'s model was generated  
117 through turbulent shear and buoyancy productions by surface wind stress and cooling. Vertical  
118 mixing in *Price et al.*'s model (named PWP: *Price-Weller-Pinkel*) is determined by the criteria of  
119 turbulences parameterized by bulk shear instabilities. However, the 1-D OML models neglect the  
120 salinity contribution to the ocean stratification, horizontal advection in the ocean momentum and  
121 temperature fields, and Ekman pumping-induced surface cooling. Running the WRF-OML model  
122 requires the initial conditions of the SST and OML depth (*Li et al.*, 2020). When a tropical storm  
123 moves onto the continental shelf, stratification could be changed significantly due to horizontal  
124 advection. This process, however, could not be resolved by a 1-D OML model (*Dong et al.*, 2021).

125 Coupling WRF with an oceanic model is a straightforward solution with respect to improving  
126 marine boundary parameterizations, especially in resolving the physics of heat energy exchanges  
127 and wind-current-wave interaction processes attributing to surface roughness at the air-sea  
128 interfaces. Three popular oceanic models, the Princeton Ocean Model (POM), the Regional Ocean  
129 Modeling System (ROMS), and the MIT General Circulation Model (MITgcm), have been  
130 coupled with WRF or Hurricane WRF (HWRF) (*Powers and Stoelinga*, 1999; *Wilhelmsson et al.*,  
131 2004; *Seo et al.*, 2007; *Warner et al.*, 2010; *Yablonsky et al.*, 2015; *Sun et al.*, 2019). All three  
132 oceanic models use structured grids. POM was initially coupled with MM5 (*Powers and Stoelinga*,  
133 1999) and then with HWRF (*Yablonsky et al.*, 2015). ROMS was coupled with WRF through a  
134 Model Coupling Toolkit (MCT) and named 'COAWST' (*Warner et al.*, 2010). *Mooney et al.*  
135 (2016) applied COAWST to examine the influence of air-sea interactions on the intensity and  
136 trajectory of Hurricane Irene in the Atlantic Ocean. MITgcm was coupled with WRF through  
137 ESMF (Earth System Modeling Framework) and applied for simulating extreme heat events on  
138 the eastern shore of the Red Sea in 2012 (*Sun et al.*, 2019). *Sun et al.* (2020) coupled the Climate

139 extension of WRF (CWRF) with the unstructured-grid, Finite Volume Community Ocean Model  
140 (FVCOM) for the Great Lakes.

141 When a TC moves over a warm ocean surface, a cold wake could be generated behind that  
142 cyclone. The formation of a cold wake depends on water stratification in the pre-storm condition  
143 (*Dong et al.*, 2021). In the cases of cold wake, the cooling can be up to 9°C and last for a few  
144 hours to more than a week (*Lin et al.*, 2003; *Wu et al.*, 2007; *Dong et al.*, 2021). The cold wake  
145 could be formed by two mechanisms: Ekman pumping and vertical mixing. Pumping-induced  
146 upwelling is a latitude-dependent, vertical motion with an inertial time scale ( $T_f = 2\pi/f$ )  
147 (*Greenspan*, 1968; *Frank*, 1987). Over the northeastern continental shelf,  $T_f$  is in a range of 18.6-  
148 16.9 hours at latitudes from 40° to 45°. Usually, a TC moves fast toward the coast with a few hours  
149 in this region, so Ekman pumping-induced surface cooling is unlikely to impact the storms  
150 substantially. However, inertial pumping due to resonance could occur on the right side of the  
151 storm center where the wind rotating frequency is close to the local inertial frequency (*Price*, 1981,  
152 1983). This process could significantly intensify the cold wake in the maximum wind zone on the  
153 right side and thus cause a vital asymmetric SST feedback to the storm. Vertical mixing can be  
154 triggered by either turbulent shear and buoyancy production-induced diffusion processes or static  
155 or buoyancy instability-induced free convection and storm moving-induced forced convection  
156 (*Schlichting*, 1979). The diffusion and convection are characterized by time scales of  $T_D \sim (h_m)^2 /$   
157  $K_h$  and  $T_v \sim h_m / w$ , respectively ( $h_m$  is the mixed layer depth,  $K_h$  is the vertical thermal diffusion  
158 coefficient, and  $w$  is the vertical velocity). In general, the diffusion process has a much longer time  
159 scale than convection. In most ocean models, mixing is parameterized by diffusion coefficients  
160 through turbulence closure schemes considering the influence of convection on turbulence  
161 productions (*Mellor and Yamada*, 1982; *Chen and Beardsley*, 1995; *Burchard*, 2002).  
162 Implementing a turbulent closure model into a primitive equation ocean model indirectly takes  
163 convection into account for vertical mixing. However, convection/overturning is a kinematic  
164 process unresolvable in a hydrostatic (H) ocean model.

165 The non-hydrostatic (NH) convection is resolvable only in the motion in which the ratio of  
166 vertical to horizontal scales is  $O(1)$  (*Pedlosky*, 1986). *Marshall et al.* (1997) reviewed the oceanic  
167 physical processes based on the motion scale. Based on their classifications, the vertical convection  
168 scale is  $O(1.0 \text{ km})$ . This scale applies to the deep-water formation but not the coastal ocean. Over  
169 the U.S. northeast shelf, the water depth ranges from a few meters to ~200 m. To fully resolve the

170 NH process, it requires a model configured with a horizontal resolution of  $\sim 200$  m or less. On the  
171 other hand, the water is mixed vertically in the near-shore area ( $h_m \sim D$ , where  $D$  is the water  
172 depth), especially during a storm passage. In this area, the turbulent diffusion scale could be close  
173 to or the same as the convection scale, making it difficult to distinguish and evaluate the roles of  
174 the convection process in vertical mixing.

175 Many studies have been conducted to examine the NH processes for the formation and  
176 dissipation of high-frequency internal waves (e.g., *Beji and Nadaoka*, 1994; *Lai et al.*, 2010a,  
177 2010b, and 2019) and the convection induced by ice formation or high-rate evaporation at the sea  
178 surface (e.g., *Jones and Marshall*, 1993). Few studies have simulated tropical storms using a  
179 coupled NH atmosphere-ocean model. Currently available open-source coupled atmospheric and  
180 ocean models are discretized on a structured grid. The structured-grid models have successfully  
181 simulated the air-sea interaction processes in the regional ocean. However, the inflexibility in grid-  
182 refinement and geometric fitting around the vicinity of steep topography limit their applications to  
183 storm-induced coastal inundation.

184 Oceanic responses to tropical cyclones are characterized by the so-called “forced” and  
185 “relaxation” stages (*Price et al.*, 1994). In the forced stage, in addition to wind-induced vertical  
186 mixing, the formation on the right side of a storm could be due to resonant responses of ocean  
187 currents to inertial wind variation (*Price*, 1981). When a storm passes a location, the wind rotates  
188 clockwise on the right and anticlockwise on the left side. When the clockwise rotation is close to  
189 the local inertial period, it could cause strong inertial currents in the upper OML, producing an  
190 intense cold wake on the right side. The turning rate of wind stress is related to the storm translation  
191 speed and size, which is often observed in a tropical storm with a high translation speed of  $> 6$  m/s  
192 (*Price et al.*, 1994). The relaxation stage is a period during which storm-induced energy is  
193 dispersed and dissipated through internal inertial waves after the storm passes (*Price*, 1983; *Chen*  
194 *and Qin*, 1985a, 1985b). As baroclinic responses, inertial-internal waves are generated underneath  
195 a moving storm (*Price*, 1983). In the horizontal, the wavelength of internal inertial waves  
196 approximately equals 95% of the product of the inertial period and storm translation speed along  
197 the storm track. It has the same scale as the storm size in the cross-storm direction. The storm  
198 energy also disperses vertically, with a scale deeper than the OML thickness. The storm energy  
199 decays rapidly after its energy is transferred from the upper OML into the thermocline.

200 In view of the foregoing discussions, we coupled WRF with FVCOM. FVCOM incorporates  
201 hydrostatic and nonhydrostatic dynamics (*Chen et al.*, 2003; *Chen et al.*, 2006; *Chen et al.*, 2013b;  
202 *Lai et al.*, 2010a, b). It is an unstructured-grid model flexible to refine the grid around the hurricane  
203 center tracks with more computational efficiency when a non-hydrostatic option is selected. The  
204 coupled WRF-FVCOM can promote the FVCOM application for the multi-scale air-sea interaction  
205 processes. We have applied this coupled model to verify and quantify the roles of oceanic  
206 processes in the development and movement of Hurricane Sandy over the U.S. northeastern shelf.  
207 Is the two-way air-sea interaction critical in predicting the intensity and path of Sandy? Is the left-  
208 side intensified asymmetric wind field observed in Hurricane Sandy related to the oceanic heat  
209 energy transfer? Could the storm-induced heat exchange at the air-sea interface beneath a storm  
210 substantially differ when the NH process turns on? If it does, does the NH process matter for the  
211 hurricane simulation? Did the inertial resonance occur during the Sandy crossing? What level of  
212 difference did the resonance response attribute to storm-induced vertical mixing and convection?  
213 These questions are examined by comparing the non-hydrostatic and hydrostatic coupled WRF-  
214 FVCOM models in this study.

215 The remaining sections are organized as follows. Section 2 first introduces the coupled WRF-  
216 FVCOM, including its components and coupling framework, and then describes the experimental  
217 designs for Sandy simulation and the observed data for the model validation. Section 3 compares  
218 the model results versus observations. Section 4 discusses the feedback contributions of oceanic  
219 processes to Sandy's winds, air pressure, path, and oceanic responses to Sandy under hydrostatic  
220 and non-hydrostatic dynamic conditions. Section 5 summarizes the major findings. This paper  
221 includes two appendices, which discuss the restriction in the time step in a non-hydrostatic ocean  
222 model and derives the relationship between the storm translation speed and inertial resonance  
223 radius.

224

## 225 **2. The Model, Experimental Designs, and Data**

### 226 2.1 The coupled WRF-FVCOM model

227 FVCOM was coupled with WRF (hereafter referred to as WRF+FVCOM) through the Earth  
228 System Model Framework (ESMF). The objective of developing this coupled model was to 1)  
229 improve both atmosphere and ocean models by implementing the air-sea interaction dynamics at

230 the sea surface through the data exchanges between these two models and 2) provide the  
231 geoscience community with an alternative structured and unstructured-grid coupled atmosphere-  
232 ocean model system including either hydrostatic or non-hydrostatic processes. An effort was made  
233 not only to develop a workable coupled model but also to create a user-friendly coupled code that  
234 could be easily configured and run for process-oriented experiments, hindcast simulations, and  
235 forecast operations. The coupled model can parallelly run and execute in the concurrent mode.  
236 Multiple interpolation methods were implemented to support the data exchange between structured  
237 and unstructured grids.

238 WRF+FVCOM consisted of three modules: the atmosphere component (WRF), the ocean  
239 component (FVCOM), and the coupler (ESMF). WRF and FVCOM can be run separately as  
240 subroutines in the coupled system. ESMF acted as a bridge to transfer the data between WRF and  
241 FVCOM and a controller to execute the coupled model operation. Structures of WRF, FVCOM,  
242 and ESMF are briefly described as follows.

243 WRF is a structured-grid, primitive equations, mesoscale atmosphere model developed by a  
244 collaborative group of the National Center for Atmospheric Research (NCAR), the National  
245 Centers for Environmental Prediction (NCEP), the U.S. Air Force, the Naval Research Laboratory,  
246 the University of Oklahoma, and the Federal Aviation Administration (FAA) (*Skamarock et al.*,  
247 2008). WRF has been widely used for regional forecast and hindcast operations, with the initial  
248 and boundary conditions from the GFS (the Global Forecast System), the NCEP FNL (Final)  
249 Operational Global Analysis Data, or the European Centre for Medium-Range Weather Forecasts  
250 (ECMWF). The governing equations in WRF are discretized using the Arakawa-C grid in the  
251 horizontal and a hybrid sigma-pressure vertical coordinate in the vertical (*Park et al.*, 2013). It is  
252 solved numerically using the time-split integration scheme with the third-order Runge-Kutta  
253 method. WRF also contains three-or four-dimensional variational data assimilation (3DVAR or  
254 4DVAR) algorithms and has options to couple with air chemistry (*Grell et al.*, 2005) and  
255 hydrological models (*Gochis et al.*, 2020). Currently, there are two open-source WRF codes  
256 available: 1) the Advanced Research WRF (ARW) developed and upgraded by NCAR and 2) the  
257 Nonhydrostatic Mesoscale Model (NMM) by NCEP. Version 4.3.3 of ARW is used to couple with  
258 FVCOM ([https://www2.mmm.ucar.edu/wrf/users/download/get\\_sources\\_new.php](https://www2.mmm.ucar.edu/wrf/users/download/get_sources_new.php), last access:  
259 Mar. 2022). ARW is referred to as “WRF” in this manuscript as consistent terminology.



260 FVCOM is a prognostic, three-dimensional (3D), free surface, primitive equation, ocean model  
261 developed by the collaborative partnership of the University of Massachusetts, Dartmouth  
262 (UMASS-Dartmouth), and the Woods Hole Oceanographic Institution (WHOI). It has been  
263 upgraded by the development team with contributions from user communities (*Chen et al.*, 2013a).  
264 The governing equations of FVCOM encompass both hydrostatic and non-hydrostatic dynamics  
265 (*Lai et al.*, 2010a, b) in the Cartesian or spherical coordinate system with options to couple surface  
266 waves (FVCOM-SWAVE, *Qi et al.*, 2009), sea ice (*Gao et al.*, 2011), non-cohesive and cohesive  
267 sediments (*Chen et al.*, 2013b; *Ge et al.*, 2020), low tropical food web dynamics (*Chen et al.*,  
268 2013b; *Tian et al.*, 2015). The equations are discretized using unstructured, non-overlapped  
269 triangular grids in the horizontal and a generalized, spatially-varying terrain-following coordinate  
270 in the vertical. The unstructured grid accurately fits irregular coastal geometries and has flexibility  
271 in refining the grid over steep continental margins, ridges, and islands. The terrain-following  
272 vertical coordinate is designed to fit the bottom topography. The spatial fluxes of momentum are  
273 discretized using a second-order accurate finite-volume method (*Kobayashi et al.*, 1999). Spatial  
274 fluxes of scalars (e.g., temperature, salinity) are computed using a second-order accurate finite-  
275 volume upwind scheme (*Chen et al.*, 2013b) or total variational diminishing (TVD) scheme  
276 (*Darwish and Moukaleed*, 2003) through conjunction with a vertical velocity adjustment to enforce  
277 exact conservation of the scalar quantities. A *Smagorinsky* formulation (*Smagorinsky*, 1963) is  
278 used to parameterize horizontal diffusion, and turbulent vertical mixing is calculated using the  
279 General Ocean Turbulence Model (GOTM) libraries (*Burchard*, 2002), with the 2.5 level *Mellor-  
280 Yamada* (1982) turbulence model used as the default. A wet/dry point treatment method simulates  
281 the flooding/drying processes over intertidal zones and storm-induced coastal inundation (*Chen et  
282 al.*, 2008). FVCOM is solved numerically by either a mode-split (like POM and ROMS) (*Chen et  
283 al.*, 2003) or a semi-implicit integration method (*Lai et al.*, 2010a) under the Message Passing  
284 Interface (MPI) (*Cowles*, 2008). FVCOM contains multiple data assimilation methods, including  
285 4-D nudging, optimal interpolation (OI), and Kalman Filters (*Chen et al.*, 2009). The multiple  
286 nesting modules, including ESMF, were implemented to integrate either multi-domain FVCOM  
287 domains or other unstructured/structured grid models (*Chen et al.*, 2013b; *Qi et al.*, 2018). Version  
288 4.1 of FVCOM is used in this study (<http://fvcom.smast.umassd.edu/fvcom/>, last access: Oct.  
289 2020), which was released to the public in 2019.

290 ESMF defines an architecture for composing complex, coupled modeling systems and includes  
291 data structures and utilities for developing individual models (*Hill et al.*, 2004). The basic idea  
292 behind ESMF is that complicated applications can be divided into smaller pieces or components.  
293 A component is a software composition unit with a coherent function and a standard calling  
294 interface and behavior. Components can be assembled to create multiple applications, and different  
295 implementations of a component may be available. In ESMF, a component may be a physical  
296 domain or a function such as a coupler or an I/O system. ESMF also includes toolkits for building  
297 components and applications, such as re-gridding software, calendar management, logging and  
298 error handling, and parallel communications. Two models or more, no matter whether they are in  
299 a structured grid or unstructured grid, can be coupled in either a one-way or two-way framework.  
300 The version of ESMF presented in this work is 8.0.1 ([https://github.com/esmf-org/esmf/releases](https://github.com/esmf-org/esmf/releases/tag/ESMF_8_0_1)  
301 [/tag/ESMF\\_8\\_0\\_1](https://github.com/esmf-org/esmf/releases/tag/ESMF_8_0_1), last access: Oct. 2020).

302 Multiple dynamic processes are implemented to capture the interaction at the air-sea interface  
303 in the WRF-FVCOM (Fig. 2a). Four variables are passed from the atmosphere to the ocean: wind  
304 stress, heat flux, precipitation minus evaporation, and sea level pressure. Meanwhile, the ocean  
305 provides SST to the atmosphere as a bottom boundary condition. When the surface waves are  
306 considered, the wind stress is calculated with wave parameters. The marine boundary  
307 parameterization in WRF accounts for the influences due to wave-induced ocean surface  
308 roughness. This ocean surface roughness is a function of the significant wave height, wavelength,  
309 and wave period. The two-way communication is illustrated conceptually in Fig. 2a with variables  
310 listed in Fig. 2b. Variables and parameters in data exchanges between the two models are described  
311 and discussed as follows.

312 The wind stress used in WRF+FVCOM is defined as  $\vec{\tau} = \rho_a C_d |\vec{v}_{10} - \vec{v}_0| (\vec{v}_{10} - \vec{v}_0)$ , where  
313  $\vec{\tau}$  is the wind stress vector,  $\rho_a$  is the air density,  $C_d$  is the drag coefficient,  $\vec{v}_{10}$  is the 10-m wind  
314 speed vector, and  $\vec{v}_0$  is the surface ocean velocity vector.  $\vec{v}_{10} - \vec{v}_0$  is defined as the relative wind  
315 vector. The difference between the relative and absolute wind speeds is relatively small since  
316 surface ocean currents are generally one order of magnitude smaller than the wind velocity  
317 (*Duhaut and Straub*, 2006). However, the surface currents could change the relative wind direction  
318 and modify the ocean surface energy input through friction and ocean mesoscale eddy dissipation  
319 (*Dewar and Flierl*, 1987), leading to an underestimation in  $C_d$  when the surface currents are  $> 0.5$   
320 m/s (*Edson et al.*, 2013). It could account for a 20%-35% overestimation of the wind energy into

321 the ocean (*Duhaut and Straub, 2006*). In addition to the default setup based on *Large and Pond's*  
 322 formulation (*Large and Pond, 1981*), WRF+FVCOM implements three different ocean surface  
 323 roughness ( $Z_0$ ) parameterization equations, 'TY2001' (*Taylor and Yelland, 2001*), 'DGHQ'  
 324 (*Drennan et al., 2003*), and 'OOST' (*Oost et al., 2002*). TY2001 considers the influence of wave  
 325 steepness, DGHQ includes the wave age's effect, and OOST takes both the wave age and steepness  
 326 into account, with the formulations given as

$$327 \quad \text{TY2001: } Z_0 = 1200 \left( \frac{H_s}{L_p} \right)^{4.5} H_s; \quad \text{DGHQ: } Z_0 = 3.35 \left( \frac{u_*}{c_p} \right)^{3.4} H_s; \quad \text{OOST: } Z_0 = \frac{25}{\pi} \left( \frac{u_*}{c_p} \right)^{4.5} L_p$$

328 where  $H_s$  is the significant wave height;  $L_p$  is the peak wavelength;  $c_p$  is the wave phase speed;  
 329 and  $u_*$  is the wind friction velocity.  $H_s$ ,  $L_p$ , and  $c_p$  are collected from FVCOM-SWAVE, and  $u_*$   
 330 is calculated in the COARE (Coupled Ocean-Atmosphere Response Experiment) algorithm  
 331 (*Fairall et al., 1996; Chen et al., 2005*). In the Hurricane Sandy experiments, the wave module  
 332 was not turned on.

333 *Chen et al. (2005)* compared the MM5 (later WRF) outputs of sensible and latent heat fluxes  
 334 with observations on the southern flank of Georges Bank. They found that these fluxes could be  
 335 largely overestimated during a storm period. The errors could be corrected by recalculating heat  
 336 fluxes using COARE 2.6 or over. The COARE 2.6 and 4.0 were implemented in the  
 337 WRF+FVCOM. In WRF, the precipitation rate ( $P$ ) was an accumulated variable composed of  
 338 cumulus precipitation ( $RAIN_C$ ), grid-scale precipitation ( $RAIN_{NC}$ ), and shallow cumulus  
 339 precipitation ( $RAIN_{SH}$ ) (*Skamarock et al., 2008*). The shallow cumulus precipitation was produced  
 340 by warm rain showers from shallow cumuli (*Nuijens et al., 2009*). These three kinds of  
 341 precipitations could be determined using the cumulus and microphysics schemes coded in WRF.  
 342 WRF didn't output evaporation rate ( $E$ ).  $E$  was calculated using the SST from FVCOM and the  
 343 latent heat flux from the COARE algorithm.

344

## 345 2.2 Experimental designs

346 Hurricane Sandy was selected as an example to study 1) the impact of air-sea interactions on  
 347 its intensity and path and 2) oceanic responses to Sandy under hydrostatic and non-hydrostatic  
 348 conditions. Five experiments are designed as listed in Table 1. The WRF+FVCOM simulation  
 349 experiments covered the period from 00:00 28 Oct. 2012 to 00:00 31 Oct. 2012, during which  
 350 the storm moved across the Mid Atlantic Bight (Fig 3). The experiments were done with H and

351 NH processes. To quantify the importance of the two-way air-sea coupling, we also conducted the  
352 experiments using uncoupled WRF, FVCOM-H, and FVCOM-NH.

353 The WRF+FVCOM experiments were done using the Northeast Coastal Ocean Forecast  
354 System (NECOFS) grid configuration (*Chen et al., 2021b*). In NECOFS, WRF consisted of three  
355 two-way nested domains with the horizontal resolution of 27 km, 9 km, and 3 km, respectively  
356 (Fig. 3a). The time steps used in these three domains were 120, 40, and 13.33 s, which were  
357 determined to satisfy the Courant-Friedrichs-Lewy (CFL) condition. Thirty-six vertical sigma  
358 levels were set with the top minimum pressure of 50 hPa. We tested the WRF performance for  
359 Sandy simulation with various cumulus parameterizations, planetary boundary layer (PBL)  
360 schemes, and grid design/resolution (*Li et al., 2020*). The best results were achieved with a 3-km  
361 resolution, the Mellor-Yamada-Janjić (MYJ) scheme (*Janjić, 1994*) for PBL parameterization, and  
362 the Tiedtke scheme (*Tiedtke, 1989*) for the cumulus calculation (*Li et al., 2020*). The Tiedtke  
363 scheme was only applied for domains 1 and 2 since WRF could solve convection as the resolution  
364 is less than 4 km (*Jeworrek et al., 2019*). The initial condition and boundary forcing for WRF were  
365 from the FNL dataset, with a 1-degree resolution and 6-hour time interval. No data assimilation  
366 was executed. In the WRF+FVCOM experiments, the OML module in WRF was turned off, and  
367 the SST was transferred directly from FVCOM at every WRF's domain-1 time step (120 s).

368 The ocean model used in this study was FVCOM-GOM3 in NECOFS (*Chen et al., 2021b*),  
369 with the computational domain covering the region from the south end of Delaware Bay to the  
370 Nova Scotian Shelf (Fig. 4). The horizontal resolution varied from ~40 km in the open ocean to  
371 ~200 m in the coastal region and shelf break. A hybrid terrain-following coordinate was used in  
372 the vertical. In the region where depth is shallower than 225 m, the  $\sigma$ -coordinate with a uniformly  
373 level interval was set. In the area where depth is deeper than or equal to 225 m, the  $s$ -coordinate  
374 was applied, with a uniform thickness of 5 m in the upper 5 layers from the surface and 3 layers  
375 above the bottom. The transition between  $\sigma$ - and  $s$ -coordinates was at the 225-m isobath, at which  
376 all layers had a uniform thickness of 5 m. The hybrid coordinate approach could avoid the  
377 numerical bias in simulating the surface ocean mixed and bottom boundary layers. FVCOM-  
378 GOM3 is a one-way global and regional nested model system with the open boundary condition  
379 consisting of tidal and low-frequency subtidal elevations plus the low-frequency subtidal currents,  
380 temperature ( $T_s$ ), and salinity ( $S$ ) (Fig. 4). The elevation encompassed six tidal constituents ( $M_2$ ,  
381  $N_2$ ,  $S_2$ ,  $O_1$ ,  $K_1$ ,  $P_1$ ), and the low-frequency subtidal variables at the nesting boundary were provided

382 by the Global-FVCOM hindcast simulation results (*Chen et al., 2016; Zhang et al., 2016*).  
383 FVCOM-GOM3 includes 49 rivers at the coastal cells with freshwater discharges specified using  
384 the USGS (U.S. Geological Survey) data. No data assimilation was performed in the Hurricane  
385 Sandy simulation.

386 Hurricane Sandy entered the FVCOM-GOM3 domain at around 03:00 on 29 Oct. 2012 and  
387 made its landfall at 23:30 on 29 Oct. 2012. The FVCOM-GOM3 grid covered the hurricane  
388 maximum wind zone. We refined the FVCOM-GOM3 grid in the max-wind zone around the  
389 storm center track to  $\sim 2$  km (Fig. 4a). The simulation was conducted with the understanding that  
390 the refined grid does not satisfy the  $O(1)$  vertical-horizontal scale ratio criterion for a fully NH  
391 application. Despite that, turning on the NH process in WRF+FVCOM could still be used to  
392 examine the numerical performance of the coupled WRF+FVCOM-NH for storm simulation. The  
393 vertical velocity in an H ocean model is determined by the incompressible continuity equation.  
394 The vertical velocity in an NH ocean model is calculated directly from the vertical momentum  
395 equation. In addition to buoyancy forces, it is also affected by vertical viscosity, horizontal  
396 momentum diffusion, and nonlinear advection. Regarding vertical convection, a  $\sim 1$ -km resolution  
397 ocean model leads to  $O(1)$  and  $O(10^{-1})$  ratios of the vertical to horizontal scales in the deep and  
398 slope areas. Although the WRF+FVCOM-NH didn't fully resolve convection in the shallow area  
399 in our experiments, it could still provide a lower-order approximate NH feature over the slope  
400 through the comparison with the hydrostatic WRF+FVCOM. Both the H and NH FVCOMs were  
401 run using the same refined grid.

402 In the WRF+FVCOM simulation, the model was run with the FVCOM initial condition  
403 specified using the assimilated hindcast fields at 00:00 on 28 Oct. 2012. NEOFS has saved daily  
404 restart files covering the period 1978-2020, which allows us to run WRF+FVCOM with no  
405 requirement for a ramp time. In all experiments, FVCOM-GOM3 was solved using a semi-implicit  
406 with time steps of 2 s for non-hydrostatic cases and 20 s for H cases. More details of the time step  
407 selection for NH cases are presented in Appendix A.

408

### 409 2.3 The Data

410 The WRF-FVCOM simulation results were compared with available observations (Fig. 3),  
411 including 1) the time series of the hurricane center location, minimum pressure, and maximum  
412 wind downloaded from the NCDC International Best Track Archive for Climate Stewardship

413 (IBTrACS) project database (*Knapp et al.*, 2010), 2) the wind and pressure data at four  
414 meteorological buoys (#44065, ACYN4, 41048 and 41002) collected from the National Data Buoy  
415 Center (NDBC) (<https://www.ndbc.noaa.gov/>), 3) the water elevations at 23 tidal gauges with  
416 hourly sea-level records from NOAA Tides and Currents database  
417 (<https://tidesandcurrents.noaa.gov/>), 4) the  $T_s$  and  $S$  data from NDBC, the Northeast Fisheries  
418 Science Center (NEFSC), and the National Oceanographic Data Center (NODC), and 5) the 6-km  
419 resolution, hourly coastal surface currents were observed via the 22-station High Frequency Radar  
420 (HFR) array network (*Roarty et al.*, 2020) from the Mid-Atlantic Regional Coastal Ocean  
421 Observing System (MARACOOS). The data were downloaded from [https://hfrnettds.ucsd.edu](https://hfrnettds.ucsd.edu/thredds/HFRADAR_USEGC.html)  
422 [/thredds/HFRADAR\\_USEGC.html](https://hfrnettds.ucsd.edu/thredds/HFRADAR_USEGC.html)).

423 Four meteorological buoys were within the radius of the maximum wind zone of Hurricane  
424 Sandy. 41048 and 41002 are located in the open ocean, while ACYN4 and 44065 are near the  
425 coast. The wind sensors on Buoy ACYN4 did not function well during the Sandy crossing. When  
426 Hurricane Sandy traversed toward the coast, the minimum distance of Buoys 44065, ACYN4,  
427 41002, and 41048 to the hurricane center were 118.1, 12.0, 108.6, and 241.3 km. The 23 tidal  
428 gauges were all in the Sandy's influenced area from Cape Cod to Delaware Bay. The NDBC  
429 surface  $T_s$  and  $S$  data used in this study were from the measurements at 12 stations in the FVCOM-  
430 GOM3 domain. The mapping data of surface currents covered the coastal area from Cape Cod to  
431 Cape Hatteras. Starting from 17:00 on 29 Oct. 2012, more than 40% of data were unavailable due  
432 to high winds. We only compared the model-simulated surface currents with the data from 00:00  
433 28 Oct. 2012 to 16:00 29 Oct. 2012.

434

435

### 3. Model-data Comparisons

#### 3.1 Meteorological observations

##### a) Hurricane center track and intensity

438 The IBTrACS records showed that Hurricane Sandy entered the WRF D02 after 00:00 on 29  
439 Oct. 2012, with a minimum sea level pressure (SLP) of 950 hPa. Starting from 09:00 on 29 Oct.  
440 2012, it turned left and approached the coast as the center SLP dropped. At 18:00 on 29 Oct., the  
441 central SLP reached a minimum of 940 hPa when the hurricane traversed the continental shelf.  
442 The hurricane finally made its landfall near Atlantic City, New Jersey, at 23:30 on 29 Oct. The

443 central SLP increased to 945 hPa as it landed. When it moved onto the land, the hurricane intensity  
444 rapidly decreased, with the minimum SLP increasing to 960 hPa in about 6 hours.

445 For the case without air-sea coupling, the WRF-simulated hurricane started to depart from the  
446 observed path beginning 00:00 29 Oct. (Fig. 5a), and then moved in a different route on the eastern  
447 side of the observed track. The maximum deviation distance was 255.5 km, with a root-mean-  
448 square error (RMSE) of 193.7 km. The distinct modeled hurricane trajectory led to a substantial  
449 bias in the simulated central SLP (Fig. 5b). The simulated central SLP was 8.2 hPa higher than the  
450 observation at 06:00 on 29 Oct. Although the simulated central SLP also dropped as it approached  
451 the land, it was 3~6 hPa overestimated. As a result of the long traveling journey, the simulated  
452 hurricane landed with a 3-hour delay. The maximum SLP error from 18:00 on Oct. 28 and 21:00  
453 on Oct. 29 before landfall was 25.2 hPa, with an RMSE of 12.2 hPa.

454 Activating the two-way air-sea interaction process, WRF+FVCOM substantially improved the  
455 hurricane simulation in both the center track and the intensity, especially after the hurricane entered  
456 the region where the air-sea coupling was executed with the fully overlapped atmosphere and  
457 ocean domains (Fig. 5). In this overalled domain, the simulated hurricane moved in a closed path  
458 to the observed track (Fig. 5a), with the maximum deviation distance from the observed path being  
459 dropped to 94.7 km in the H case and 78.5 km in the NH case , ~160 km smaller compared with  
460 the WRF case (Table 2). The RMSEs were 47.6 and 57.1 km for the coupled H and NH cases,  
461 respectively, showing an improvement of ~69 % compared with the WRF case. As for the intensity,  
462 WRF+FVCOM also performed better than WRF. Before the simulated hurricane entered the ocean  
463 model domain (prior to 06: 00 on 29 Oct.), its minimum central SLP was 4.1 hPa higher than the  
464 observed central SLP. This error rapidly dropped to ~1.7 hPa or less after entering the region where  
465 the two-way air-sea interaction activated. The RMSE of the SLP over the period before landfall  
466 was 4.2 and 3.9 hPa for the H and NH cases, which was ~8.2 hPa smaller than the uncoupled WRF  
467 case. The WRF performance for the SLP was improved by 66.8% after turning on air-sea coupling.  
468 In the WRF+FVCOM case, the simulated SLP rapidly escalated to 959 hPa after landing, while it  
469 remained slightly changed in the uncoupled WRF case. The coupled model supplied more realistic  
470 marine boundary conditions than the static FNL data, which was critical to capturing a hurricane's  
471 intensity and path. WRF+FVCOM-NH did not substantially improve the Sandy track simulation  
472 compared with WRF+FVCOM-H (Fig. 5a). Although the maximum distance deviation was  
473 reduced in the NH case, its RMSE over the period before landfall increased by 16.6% (Table 2).

474 Activating the NH process slightly reduced the RMSE in the SLP by 7.7% compared with the H  
475 case (Table 2).

476

477 b) Wind and surface pressure at buoys

478 The observed 10-m wind speed, direction, and surface pressure at four buoys from NDBC were  
479 collected to evaluate the WRF model performance. WRF+FVCOM showed a better performance  
480 in the wind and pressure simulation than WRF (Fig. 6), showing RMSE reductions of 18-52% in  
481 wind speed and 40-72% in wind direction (Table 3). As a result of the improvement in the  
482 hurricane path, the coupled model reproduced the magnitude and timing of the wind speed peaks.  
483 WRF+FVCOM-simulated surface pressure also showed a better match to the observations. The  
484 uncoupled WRF resulted in a substantial bias of the surface pressure at coastal buoys, with a mean  
485 RMSE of 11.6 hPa. This bias was reduced to 4.6 hPa in the WRF-FVCOM-H case and 5.4 hPa in  
486 the WRF-FVCOM-NH case (Table 5). In particular, the two-way air-sea interaction processes  
487 substantially improved the pressure simulation before landfall, especially in the timing of the  
488 pressure minimum, even though the pressure was underestimated after landfall. Due to the large  
489 northeastward deflection in the hurricane's track, the uncoupled WRF caused a ~6-h lag to reach  
490 the pressure minimum at the coastal buoys. The two coupled models performed similarly regarding  
491 the comparison results of the 10-m wind and the surface air pressure at coastal buoys. As  
492 aforementioned, the NH process was critical only when the ratio of vertical scale ( $H$ ) to horizontal  
493 scale ( $L$ ) was  $\sim 1$ . In our experiments, the finest grid resolution was about 2 km in the hurricane  
494 track areas over the shelf. The  $H/L$  ratio near the coast was much smaller than 1. The NH  
495 contribution to the water level, currents, and winds was only accounted for at a first-order  
496 approximation level. That was probably one of the reasons why the ocean simulation results did  
497 not differ much between the H and NH coupled cases.

498

### 499 **3.2 Oceanic observations**

500 a) Water elevation

501 The simulated water elevations were compared with observed records at the 23 NOAA tidal  
502 gauges. There were 10 stations located within Sandy's maximum wind zone. Atlantic City was  
503 only 11.9 km away from the landfall position. Two stations, Cape May and Battery, located on the



504 left and right sides of the hurricane track, were selected for the detailed comparison. For the overall  
505 performance, at Battery, the simulated water levels were better in the coupled cases than those in  
506 the uncoupled cases (Fig. 7a and b). At Atlantic City and Cape May, the uncoupled cases' water  
507 levels were close to the observation. WRF+FVCOM predicted higher surges at the coast than the  
508 uncoupled FVCOM during the Sandy crossing, especially at stations within the Sandy maximum  
509 wind zone (Fig. 7). In the first one and half days, when the hurricane was still far from the coast,  
510 the water elevations produced by uncoupled and coupled FVCOMs were similar, matching the  
511 observations well. The simulated water elevations began to diverge as the hurricane was close to  
512 the coasts and passed. The coupled model-simulated surge was overestimated by  $\sim 0.14$  m, with an  
513 RMSE of 0.31 m (H) and 0.38 (NH). In comparison, the uncoupled model-simulated surge  
514 presented an underestimation of  $\sim 0.10$  m, with an RMSE of 0.28 m (H) and 0.26 (NH) (Table 4).  
515 The residual was calculated by removing tidal signals from harmonic analysis (Fig. 7: right panels).  
516 The peak time of the surge was all accurately captured by uncoupled and coupled FVCOMs. The  
517 NH-coupled model predicted a slightly higher surge than the H-coupled model.

518 Hurricane Sandy caused severe flooding within the strong wind zone over the coastal areas of  
519 New York City and New Jersey (<https://www.weather.gov/okx/Hurricane>). The Battery was  
520 located at the outer edge of the strong wind zone on the north, while Atlantic City and Cape May  
521 were close to the center and on the left of Hurricane Sandy, respectively. The H- and NH-coupled  
522 models substantially improved the surge level prediction at the Battery but overestimated the surge  
523 level at Atlantic City and Cape May. *Kang and Xia (2020)* applied the uncoupled FVCOM to  
524 simulate the Sandy-induced storm surge over the Maryland coast, finding the model under-  
525 produced the water level at Atlantic City and the Battery. It should be pointed out that the ocean  
526 model grid used in these experiments did not include the land territory required for coastal  
527 inundation. Taking storm-induced flooding into account, the overestimation at these two stations  
528 could be caused by missing the coastal inundation process within the maximum wind zone. If that  
529 was the case, the surge level was substantially underestimated by the uncoupled FVCOM. We also  
530 found that wind-induced onshore water transport mainly caused the simulated surge. The  
531 overestimation on the left side of Sandy could be possible due to the failure to capture the  
532 asymmetrical spatial wind distribution in WRF.

533  
534 b) Surface currents, temperatures, and salinities

535 The simulated surface currents were compared with the HFR array-derived currents over the  
536 continental shelf. The uncoupled and coupled FVCOMs reproduced the rapid intensification of  
537 surface currents over the shelf during the Sandy crossing. Its temporospatial distribution matched  
538 well with the HFR array-derived flow field. For example, at 16:00 on 29 Oct., all models captured  
539 the storm-induced southward shelf flow (Fig. 8). The coupled models predicted a stronger vortex  
540 than the uncoupled model, which could be seen in the vortex size and currents in the offshore area.  
541 The coupled FVCOMs predicted slightly stronger surface currents than the uncoupled FVCOMs.

542 Meanwhile, no matter whether or not coupling with WRF, the simulated currents were more  
543 intense in the FVCOM-NH cases than in the FVCOM-H cases. Over the period from 00:00 on 28  
544 Oct. to 16:00 on 29 Oct., the mean errors of the coupled model case were 0.2 m/s (H and NH) in  
545 speed and 23.4° (H) and 25.4° (NH) on direction, with current vector RMSEs of 0.3 and 0.4 m/s  
546 for the H and NH cases, respectively (Table 5). The uncoupled FVCOM model showed similar  
547 performance in the current speed simulation but a large bias in the current direction (Table 5). The  
548 simulated surface currents in four cases differed mainly around the hurricane tracks due to the  
549 different wind forcing distributions. The mean direction errors produced by the coupled models  
550 were ~5-7° smaller, showing a 21.4% improvement. Considering the largest HFR measurement  
551 uncertainty of ~10.0 cm/s in the current speed and ~30° in the current direction (*Sun et al.*, 2016),  
552 the coupled and uncoupled FVCOM models were robust to simulate the hurricane-induced surface  
553 flow over the shelf. Based on the comparison results, the coupled model was sufficient to simulate  
554 the storm-induced shelf flow. WRF+FVCOM-NH produced a more intense vortex over the shelf  
555 than WRF+FVCOM-N-H. Unfortunately, the HFR failed to obtain high-quality data when Sandy  
556 arrived over the shelf. We could not evaluate whether WRF+FVCOM-NH and WRF+FVCOM-H  
557 were more robust in resolving the storm-induced cyclonic flow than the uncoupled FVCOM.

558 We compared the simulated and observed temperatures and salinities in the ocean model  
559 domain for both uncoupled and coupled model cases. The observational data were from NODC,  
560 NODC, NERACOOS, and NEFSC, containing 61 stations and 12,961 records. Unfortunately,  
561 most of the  $T_s$  and  $S$  measurements were made outside Sandy's maximum wind zone. Without  
562 data assimilation, both uncoupled and coupled models provided a reasonable simulation of the  
563 water properties with RMSEs of 1.7° C in temperature and 0.6-0.7 PSU in salinity (Table 6).

564  
565

#### 4. Discussions

#### 566 4.1 Atmospheric feedbacks

567 The WRF+FVCOM results showed that the ocean feedback to the atmosphere strengthened  
568 the hurricane's intensity by increasing the maximum wind velocity, reducing the radius of the max-  
569 wind zone, and causing a drop in the SLP minimum (Fig. 9). The highest wind speeds all occurred  
570 in the left-rear area of the hurricane center for the three cases. The maximum wind speed was 32.1  
571 m/s for the uncoupled WRF case, 33.6 m/s for the WRF+FVCOM-NH case, and 34.2 m/s for the  
572 WRF+FVCOM-H case. Although the differences were only ~2.0 m/s, the radius of the max-wind  
573 zone was about 1.5 smaller in the coupled cases with the inclusion of oceanic feedback from the  
574 ocean model (Fig. 9a, d, and g). The OceanSat-2 satellite images showed that the cyclonic wind  
575 vortex in Hurricane Sandy was strongly asymmetric and elliptically shaped, with its maximum on  
576 the left and rear areas of its center (Fig. 1). This feature was well captured by the coupled models  
577 but not by the uncoupled model. The coupled model also predicted the most intensive vorticity at  
578 the center, with a value of  $2.1 \times 10^{-3} \text{ s}^{-1}$ , 23.5% greater than those in the uncoupled WRF case (Fig.  
579 9b, e, and h). It implied that the air-sea interaction process tended to reduce the storm size and lead  
580 to a vortex intensification. The maximum horizontal velocity shear zones, which occurred on the  
581 front and rear areas of the hurricane center, were mainly in the north-south orientation in the  
582 WRF+FVCOM-NH case, while in the northeast-southwest orientation in the WRF+FVCOM-H  
583 case (Fig. 9b and e). It suggests that even under a lower-order approximation, the WRF+FVCOM-  
584 NH-predicted wind vortex could considerably differ from the WRF+FVCOM-H. The central SLPs  
585 were 988.1 hPa in the WRF+FVCOM-NH case (Fig. 9c), 988.3 hPa in the WRF+FVCOM-H case  
586 (Fig. 9f), and 989.8 hPa in the uncoupled WRF case (Fig. 9i). The slight decrease of the central  
587 SLP and intensification in the wind in the WRF+FVCOM-NH case could result in a more  
588 asymmetrically-distributed SLP field relative to the hurricane center (Fig.9c).

589 Three transects across the hurricane were drawn to compare the cross-center distributions of  
590 the 10-m wind speed and SLP at the selected times for three cases (Fig. 10c). The storm center  
591 arrived at the slope at 21:00 on 29 Oct. for the two coupled cases and at 01:00 on 30 Oct. for the  
592 uncoupled case. The simulated wind was strongest on the left side than on the right side, with the  
593 sharpest gradient in the coupled case (Fig. 10a). At the selected times, the maximum wind speed  
594 in the coupled model cases reached ~31.0 m/s, ~4.0 m/s stronger than the maximum wind speeds  
595 predicted on the left and right sides in the uncoupled WRF case. Compared with WRF+FVCOM-  
596 H, the WRF+FVCOM+NH-produced cross-hurricane wind shear was similar on the left side but

597 more substantial on the right side. The coupled model-predicted minimum SLP errors were 0.2 for  
598 NH and -1.7 hPa for H, compared with 3.6 hPa for the uncoupled WRF case (Fig. 10b).

599 The simulated heat flux fields substantially differed between the uncoupled and coupled cases  
600 (Fig. 11). All three cases were selected simultaneously at 21:00 on 29 Oct. In these three cases,  
601 the ocean lost and gained heat in the rear and front areas of the hurricane center, respectively. The  
602 heat loss from the ocean to the atmosphere was dominated by the latent heat flux. In the  
603 WRF+FVCOM-NH case, the maximum net heat loss in the left and rear area of the hurricane  
604 center was  $-1,710.7 \text{ W/m}^2$ , along with the latent heat flux of  $-1,356.2 \text{ W/m}^2$  and the sensible heat  
605 flux of  $-431.6 \text{ W/m}^2$  (Fig. 11a-c). In the front and right area of the hurricane center, the maximum  
606 net, sensible and latent heat gains were  $734.4$ ,  $261.5$ , and  $411.4 \text{ W/m}^2$ , respectively.  
607 WRF+FVCOM-H predicted similar patterns of the net, latent and sensible heat fluxes as  
608 WRF+FVCOM-NH. The difference was in the heat content. Considering the mean heat fluxes  
609 averaged over the max-wind zone within 150 km, the difference in the heat flux was substantial  
610 between the two cases. The net, latent, and sensible heat fluxes through the air-sea interface were  
611  $-449.4$ ,  $-341.6$ , and  $-111.9 \text{ W/m}^2$  in the WRF+FVCOM-NH case (Fig. 11a-c) and  $-471.5$ ,  $-386.2$ ,  
612 and  $-128.3 \text{ W/m}^2$  in the WRF+FVCOM-H (Fig. 11d-f). It indicated that the accumulated heat  
613 content loss within the max-wind zone predicted by the H-coupled model was larger than that  
614 predicted by the NH-coupled model, with differences of 4.9%, 13.0%, and 14.7% in the net, latent,  
615 and sensible heat fluxes, respectively. The major difference was in the latent heat flux loss areas.  
616 WRF+FVCOM-H predicted a larger area for the latent flux loss, while this area shrank towards  
617 the hurricane center in the WRF+FVCOM-NH case.

618 The uncoupled WRF also predicted the same intense latent flux loss in the rear area of the  
619 hurricane. However, the location was 200-300 km away from the hurricane center (Fig. 11g-i).  
620 The latent flux played a critical role in supplying heat energy to the hurricane. The uncoupled  
621 WRF underestimated the oceanic heat energy transfer around the hurricane center. It explained  
622 why the WRF underestimated the hurricane's intensity and caused a considerable bias in its moving  
623 path. The coupled model-predicted distribution of the maximum latent heat flux loss in the left and  
624 rear areas of the hurricane center was consistent with the OceanSat-2 satellite-derived surface wind  
625 distribution of Hurricane Sandy. The hurricane gained significant energy from the enhanced latent  
626 heat flux in the left and rear areas and lost the latent heat flux in the front and right regions, leading  
627 to an asymmetric wind field with a maximum on the left side.

628 Due to the lack of temperature data over the shelf, we cannot simply conclude which model  
629 provided the more realistic heat fluxes. Based on the comparison results with the observed SLP  
630 at the hurricane center, the NH process played a specific role in improving the SLP simulation  
631 before the hurricane made landfall. Since the accumulated heat contents within the max-wind zone  
632 were in order of the difference between the two cases, a further investigation of the storm-induced  
633 heat exchanges between the hurricane and the ocean should be paid attention to in storm  
634 monitoring in the future.

635 The air potential temperatures and water vapor ratios were compared along Section S1 (see  
636 Fig. 5a) in the deep ocean (Fig. 12). Different times were selected for the three cases when their  
637 hurricane centers arrived at S1. The three instances shared similar patterns in the distribution of  
638 temperature. The air potential temperatures were lower at the sea surface and increased with height.  
639 The coupled models predicted an air potential temperature minimum at a left-side location of ~2  
640 km away from the hurricane center. This coldest area also appeared in the uncoupled model case,  
641 but the place was left-shifted ~0.5 km. For all uncoupled and coupled cases, the model-simulated  
642 water vapor ratios were highest at the sea surface, with a maximum at the hurricane center. The  
643 difference was in magnitude. The air-sea coupling produced more water vapors, with a ratio of  
644 about 4.6% higher than the uncoupled case. The difference implied that storm-induced air-sea  
645 interaction could enhance the oceanic energy loss via the latent heat flux. Meanwhile, the storm's  
646 vortex intensification and size reduction agreed well with the water vapor distribution. The  
647 maximum gradient of the water vapor ratio appeared around an isoline of  $16 \times 10^{-3}$ . Taking this  
648 isoline as the boundary of the most considerable latent heat flux, we calculated the radius of the  
649 significant water vapor ratio area. It showed a range of ~300 km in the coupled model cases, which  
650 was about 30 km smaller than the uncoupled case.

651

## 652 **4.2. Oceanic responses**

### 653 a) Horizontal currents, SST, and MLD

654 The hurricane-induced high winds created a rapidly-varying strong current over the continental  
655 shelf. This current was much stronger in the coupled cases than in the uncoupled cases (Fig. 13a,  
656 d, g, and j). If comparing the simulated surface currents at the closest locations of storm centers,  
657 the uncoupled FVCOM model could also produce a similar shelf flow. However, the intensity of

658 this flow was less than 0.6 m/s over the shelf, even though the RMSEs during the period with  
659 available measurements were in the same order of magnitude for the coupled and uncoupled cases.  
660 This difference was related to the time scale of wind forcing. In the uncoupled cases, the ocean  
661 model was driven by hourly wind forcing output from the uncoupled WRF case. The wind intensity  
662 was weaker in these cases (Fig. 9), and it did not resolve the rapid wind variation within an hour.  
663 The stronger currents predicted by the coupled models agreed with the wind-induced vortex  
664 intensification resulting from the air-sea interaction (Fig. 13a, d). The intensified cyclonic currents  
665 directly enhanced the surge prediction along the coast and thus coastal inundation.  
666 WRF+FVCOM-NH predicted stronger near-surface currents than WRF+FVCOM-H. In fact, the  
667 major difference between these two cases was in the rear area of the hurricane center (Fig. 13a and  
668 d), where the maximum current speed difference could be up to  $\sim 0.2$  m/s. It implied that  
669 WRF+FVCOM-NH tended to intensify the hurricane-induced vorticity in the ocean.

670 The ocean model used for either coupling or no-coupling included the Gulf Stream. The Gulf  
671 Stream flowed into the ocean model domain on the southern boundary and flowed out of the ocean  
672 model domain on the open ocean boundary on the east. When the hurricane arrived over the slope,  
673 it created a strong cyclonic-rotating flow near the sea surface. A robust offshore flow, in order of  
674 up to  $\sim 2.0$  m/s, occurred near the sea surface in the Gulf Stream area, which substantially changed  
675 the near-surface current over there. The storm disturbance rapidly dispersed. The Gulf Stream's  
676 surface flow returned to a normal condition a few hours after the hurricane passed.

677 The simulated SST and MLD fields were compared among the four cases (Fig. 13b, e, h, and  
678 k). The SST in the frontal area of the hurricane center was similar, with a value under  $18^{\circ}\text{C}$  on the  
679 continental shelf. In the rear area of the hurricane center, the SSTs were higher in the coupled  
680 cases than those in the uncoupled cases. In the coupled model cases, the SST in the southern area  
681 of the domain was mainly above  $26^{\circ}\text{C}$  (Fig. 13b and e). The  $26^{\circ}\text{C}$  isoline retreated offshore in the  
682 uncoupled FVCOM cases (Fig. 13h and k). As pointed out by *Emanuel* (2003), the hurricane acts  
683 like a Carnot heat engine system. In this system, although the SST differences between the coupled  
684 and uncoupled cases were slight, such a little air-sea temperature difference could substantially  
685 change the kinetic energy in a storm. That was demonstrated in the WRF+FVCOM simulation in  
686 this work.

687 The NH dynamics led to a significant change in SST. WRF+FVCOM-NH predicted an  
688 essentially different SST field compared with WRF+FVCOM-H. In the WRF+FVCOM-H case,

689 the southern region in the ocean domain, in the rear of the hurricane center, was dominated by  
690 warm water with a temperature of 26 °C (Fig. 13e). This feature remained little changed during  
691 the hurricane crossing period. In the WRF+FVCOM-NH case, the SST remarkably dropped in the  
692 rear area of the hurricane center, especially underneath the max-wind zone of the hurricane (Fig.  
693 13a). Except in the left front area of the hurricane center, the SST was lower in the WRF-FVCOM-  
694 NH case than in the WRF-FVCOM-H case. The maximum SST difference between these two  
695 model cases was up to ~2.0°C. The most substantial difference was on the right side of the  
696 hurricane center and over the slope.

697 Correspondingly, the MLDs were shallower in the coupled cases than those in the uncoupled  
698 cases (Fig. 13c, f, i, and l). Before the hurricane arrived over the shelf, the simulated MLD was ~  
699 40 m in the inner-shelf region. As the hurricane came, the coupled and uncoupled FVCOMs  
700 showed that the MLD was deepened on the right side of the hurricane center and became shallower  
701 on the left side of the hurricane center. Although the wind was stronger in the coupled cases, the  
702 simulated MLD was shallower in the coupled cases than in the uncoupled cases, especially on the  
703 left side of the hurricane center.

704 The MLDs predicted by WRF+FVCOM-NH and WRF+FVCOM-H showed a minor  
705 difference over the continental shelf and along the coast, but they were distinct in the deep region  
706 off the slope (Fig. 13c and f). Taking the hurricane trajectory as a reference point, WRF+FVCOM-  
707 NH predicted a deeper MLD on the right side and a shallower MLD on the left side. The maximum  
708 difference could be up to ~20 m. This result agreed with the distribution of vertical velocity  
709 difference between the NH and H cases, which showed that WRF+FVCOM-NH produced a strong  
710 vertical velocity on the right side.

#### 711 712 b) Vertical velocities

713  
714 In general, the vertical velocity predicted with the NH dynamics was much stronger than that  
715 with the H dynamics, no matter whether WRF and FVCOM were coupled. (Fig. 14a-f). Although  
716 they were  $10^{-4}$  m/s, the vertical velocity predicted by WRF+FVCOM-NH was about 2-3 times  
717 larger. The colder SST areas matched well with the more substantial vertical velocity difference  
718 areas. The 2-km resolution grid could not fully resolve the NH convection process over the  
719 continental shelf. This feature was evident in Fig. 14a-c, which showed a minor difference in the  
720 vertical velocity in the shelf region between the NH- and H-coupled models. The larger vertical

721 velocity difference found in the deep ocean off the slope suggests that as the lower-order  
722 approximation, the NH process-induced vertical velocity could enhance surface cooling within the  
723 storm-influenced area.

724 A transect along the hurricane track was selected to compare temperature and vertical velocity  
725 (Fig. 15). For all four cases, the shelf was well mixed from the surface to the bottom, with a  
726 temperature of  $\sim 17^{\circ}\text{C}$ , and strongly stratified in the open ocean off the slope. Near the hurricane  
727 center, the MLD was sharply decreased due to the weak wind. On the rear of the hurricane center,  
728 the MLD was gradually deepened from 28 m to 78 m. For the cases without the air-sea coupling,  
729 the temperature was  $1\sim 2^{\circ}\text{C}$  lower in the upper ocean in the open ocean. The MLD in this case was  
730  $3\sim 12$  m deeper than the MLD in the coupled model.

731 The substantial difference was at the shelf break. WRF+FVCOM-NH predicted a strong  
732 upwelling over the slope, advecting the  $14^{\circ}$  cold water upward (Fig. 15a-b). This upwelling was  
733 also viewable in the WRF+FVCOM-H case, but the magnitude is one to two times weaker (Fig.  
734 15e-f). A relatively strong downwelling was also found off the slope, pushing the warm water  
735 downward. This downwelling did not exist in the WRF+FVCOM-H case. In both coupled cases,  
736 storm-induced vertical mixing mainly occurred in the upper 50-m layer, while the WRF+FVCOM-  
737 NH predicted a deeper MLD in the deep region off the slope. This difference matched the vertical  
738 velocity difference, suggesting that the NH process-induced vertical convection, even under a  
739 lower-order approximation, could enhance vertical mixing in the open ocean.

740  
741 c) Surge levels

742  
743 The storm-induced surge level was related to the wind direction, storm translation direction  
744 and speed, and the radius of maximum winds (*Beardsley et al.*, 2013; *Chen and Qin*, 1985a, b;  
745 *Weisberg and Zheng*, 2006a, b, 2008; *Rego and Li*, 2009; *Chen et al.*, 2013a; *Kang and Xia*, 2020).  
746 For the coupled cases, the water elevations at the coast of New Jersey and Long Island were higher  
747 than 1.5 m, with a maximum of 2.2 m (Fig. 16a, c). For the uncoupled cases, the model-predicted  
748 water elevation around this coast was around 1 m, with a maximum of 1.9 m (Fig. 16b, d). The  
749 distributions of the maximum elevation also primarily differed in these two cases. The maximum  
750 elevation mainly occurred along the New Jersey coast in the coupled model case, but it was  
751 relatively uniformly distributed along the Long Island and New Jersey shore in the uncoupled  
752 cases. The differences were due to the distinct radius of the max-wind zones predicted by



753 WRF+FVCOM and WRF. WRF+FVCOM produced a smaller max-wind zone radius and much  
754 stronger wind in this zone.

755 WRF+FVCOM-NH did not change the temporospatial distribution of the water elevation along  
756 the coast during Hurricane Sandy's crossing (Fig. 16a-b). The WRF+FVCOM-NH predicted a  
757 slightly high surge level, about 0.1 m higher than the WRF+FVCOM-H case. Because of it, the  
758 1.0-m water elevation contour, which was bounded at the eastern tip of Long Island in the  
759 hydrostatic coupled model case, extended northward to Narragansett Bay coast, RI.

760 The water transport entering the area from the selected boundary is shown in Fig. 16e. The  
761 coastal surge was mainly caused by northeastward wind-induced onshore water transport. Both  
762 WRF-FVCOM-NH and WRF+FVCOM-H showed that the total transport rapidly increased as the  
763 hurricane approached the coast and reached its maximum at 02:00 on 30 Oct. After the hurricane  
764 made landfall, it quickly decreased. WRF+FVCOM-NH produced a slightly higher maximum  
765 transport at a time than WRF+FVCOM-H but lower total transport over 3 days. Over three days,  
766 the total transport was 29.9 Sv for the WRF+FVCOM-NH case and 30.0 Sv for the  
767 WRF+FVCOM-H case. The uncoupled FVCOM-NH also predicted the same spatial distribution  
768 of the water elevation as the coupled models. However, the simulated water elevation and the  
769 transport were remarkably lower than in the coupled model cases (Fig 16b). Over the three days,  
770 the total onshore transport was 22.3 Sv, ~25.7% less than the WRF+FVCOM-H case and ~25.4%  
771 less than the WRF+FVCOM-NH case.

772  
773 d) Inertial resonances

774  
775 *Price* (1981) examined the oceanic response to an idealized tropical storm. He found that when  
776 a storm passes a location, the wind rotates clockwise on the right and anticlockwise on the left side.  
777 When the clockwise rotation is close to the inertial period, it could cause strong inertial currents  
778 in the upper OML, producing an intense cold wake on the right side. Following his findings, we  
779 quantitatively derived the equation capable of determining the location where the maximum oceanic  
780 response could occur. It is given as

$$781 \quad R = \gamma U_H \quad (1)$$

782 where  $\gamma = \frac{\theta}{f\alpha}$ ,  $U_H$  is the storm translation speed (unit: m/s),  $R$  is the radius from the storm center  
783 where the inertial resonance occurs (unit: km),  $\theta$  is the rotating angle of the storm center relative

784 to the  $R$ -location,  $f$  is the local inertial frequency, and  $\alpha = \tan\theta$ . The detail is given in Appendix  
785 B.

786 *Price* (1981) analyzed six tropical storm data, including Clara (1955), Wanda (1956), Shirley  
787 (1965), Ella (1968), Tess (1975), and Eloise (1975). He reported that the strong  $U_H$  ( $> 6$  m/s)  
788 provided a favorable condition for inertial resonance responses on the right side of the storm center.  
789 Hurricane Sandy's center path was around  $\sim 37^\circ\text{N}$ , and  $\gamma$  was  $\sim 9.48$ . As Sandy entered the FVCOM  
790 domain, the observed  $U_H$  increased from  $\sim 6.0$  m/s to  $\sim 9.0$  m/s and reached its maximum of 11  
791 m/s (Fig. 17a). It made its landfall at  $U_H$  of  $\sim 8.0$  m/s. The WRF+FVCOM-NH and WRF+FVCOM-  
792 H reasonably captured the observed  $U_H$ , while the uncoupled WRF significantly underestimated  
793  $U_H$ , especially over the period before the storm entered the continental shelf (Fig. 17a). The  
794 WRF+FVCOM-NH captured the  $U_H$  maximum and its timing better than the other two cases. As  
795 a result,  $R$  calculated based on the simulated  $U_H$  was close to the linear  $U_H$ - $R$  line derived by Eq.  
796 (1) for the coupled models, but it was far away for the uncoupled model (Fig. 17b). The radii of  
797 the maximum oceanic responses were  $\sim 100$ , 120, and 65 km for the WRF+FVOM-NH,  
798 WRF+FVCOM-H, and uncoupled WRF cases, respectively (Fig. 17b). There were two reasons for  
799 the smaller radius in the uncoupled case: 1) the lower transition speed; 2) the mismatch between  
800 the radius and the transition speed due to the uncoupled process. Two transects (named S1 and S2  
801 in Fig. 5a) were selected to examine the oceanic response to Sandy. S1 was located in the open  
802 ocean, with depths varying around 3000-4000 m. S2 was located on the shelf break, with a depth  
803 of around 500-1500 m. Both transects are across the hurricane track, with a total breadth of  $\sim 500$   
804 km. Based on the IBTrACS observational data, Sandy arrived at S1 at around 1300 29 Oct. and  
805 then at S2 5 hours later. Using the WRF+FVCOM-H, for example, we examined the changes in  
806 the wind direction and frequency at the radius of 120 km during Sandy's crossing through S1 and  
807 S2 (Fig. 18). On the right side of the storm center, the wind rotated clockwise, and the rotating  
808 frequency was roughly equal to the Coriolis frequency when the storm center was near the transects  
809 (Fig. 18b, d). They happened between 14:00-18:00 on 29 Oct. on S1 and 19:00-23:00 on 29 Oct.  
810 on S2. On these two transects, the near-resonance period lasted for  $\sim 4$  hours. On the left side, the  
811 wind rotated counterclockwise as the hurricane approached, and hence the wind rotating frequency  
812 was much lower than the Coriolis frequency (Fig. 18a, c). Also, the counterclockwise rotating  
813 wind produces positive vorticity, which cancels the clockwise-rotating inertial current energy so  
814 that no inertial resonance could occur (*Kundu*, 1986; *Chen et al.*, 1996). The changes in wind

815 rotating frequency in the WRF+FVCOM-NH and uncoupled WRF cases exhibited the same  
816 features as WRF+FVCOM-H, except that inertial resonance occurred at different locations and  
817 times.

818 The change of SST and  $\Delta SST$  with time are examined on S1 and S2 during 28-31 Oct. for four  
819 model cases, including WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and FVCOM-H.  
820 FVCOM-NH and FVCOM-H were driven by the uncoupled WRF so that they had the same  $R$  for  
821 inertial resonance.  $\Delta SST$  is defined as the SST difference relative to the initial SST at 00:00 on 28  
822 Oct (Fig. 19). On S1, the SST varied substantially along the transect on the right side of the  
823 hurricane (Fig. 19a-d). For the WRF+FVCOM-NH case, the area over 0-100 km featured warm  
824 water, and the region of  $> 100$  km featured cold water, resulting in a strong SST front at the warm-  
825 cold water transition zone. These features remained similar for the WRF+FVCOM-H, FVCOM-  
826 NH, and FVCOM-H cases, except that the warm-cold water boundary shifted to 120 km in the  
827 WRF+FVCOM-H case and 65 km in the FVCOM-NH and FVCOM-H cases. The simulation  
828 results showed different hurricane paths and wind/SST distributions for the coupled and uncoupled  
829 cases.

830 To compare these two cases, we defined the  $x$ -axis as the distance relative to the simulated  
831 hurricane center. The substantial responses were evident in the SST frontal zone on the right sides  
832 for both coupled and uncoupled model cases. The maximum responses occurred during the near-  
833 resonance inertial period when the hurricane arrived. The responses were slightly stronger for the  
834 coupled model cases than for the uncoupled model cases, with a maximum SST change of  $\sim 3.5^\circ$ -  
835  $3.7^\circ\text{C}$ , respectively (Fig. 19e-h). Including the NH process, no matter whether coupling with WRF,  
836 produced an intense SST front within the warm-cold water transition zone. Meanwhile,  
837 WRF+FVCOM-NH predicted a significant SST drop in an area 50 km away from the hurricane  
838 center on the right side after the hurricane crossing. This feature was also evident in an area 100.0  
839 km away from the hurricane center in the WRF+FVCOM-H results, but the SST drop was much  
840 less. Similar features were also observed in uncoupled FVCOM-NH and FVCOM-H. FVCOM-  
841 NH produced a sharp SST drop of  $\sim 4.9^\circ\text{C}$  near the hurricane center, but this feature did not appear  
842 in FVCOM-H. Also, a second  $\Delta SST$  peak occurred at 15:00 on 30 Oct. after the hurricane made  
843 landfall in the coupled model cases, more evident in the NH case. The maximum response areas  
844 shown in Fig. 19e-h were around 50-140 km, with a sharp gradient at  $\sim 100.0$  km for  
845 WRF+FVCOM-NH, about 120-170 km, with a maximum at  $\sim 122$  km for WRF+FVCOM-H,

846 around 0-100 km with a sharp gradient at 65 km for FVCOM-NH, and approximately 40-80 km,  
847 with a maximum at ~65 km for FVCOM-H. Considering the simulated hurricane was not a perfect  
848 circular cyclone, the difference at the maximum response locations predicted by Eq. (1) and  
849 coupled/uncoupled models was reasonable.

850 Transect S1 was located in the deep ocean, where the turbulence dissipation was weak. The  
851 maximum responses shown in Fig. 19 were oscillations forced by inertial winds. The energies of  
852 these oscillations could remain relatively long due to weak dissipation. The oscillation energies  
853 were eventually dispersed by the barotropic and baroclinic gravity-inertial waves in the horizontal  
854 and vertical directions (*Price, 1983; Chen and Qin, 1985c*). These features were observed during  
855 tropical storms over the slope of the South China Sea by *Li et al. (2021)*, showing that the lifetime  
856 of the oscillation could last for a week or even longer.

857 On S2, the warm-cold water boundary shifted toward the hurricane center, at ~40 km in the  
858 WRF+FVCOM-NH case, ~60 km in the WRF+FVCOM-H, and ~0 km in the uncoupled cases  
859 (Fig. 20a-d). Meanwhile, the SST varied considerably along the transect on the right side for all  
860 the simulation cases. The SST responses to inertial resonance were not apparent like that observed  
861 on S1. The substantial change in SST was evident on the left side, which was driven by other  
862 physical mechanisms. Looking at the right side, a 2.0°C sharp  $\Delta SST$  change area appeared at 140-  
863 160 km and 160-180 km in the coupled and uncoupled NH cases, respectively (Fig. 20e, f). On the  
864 left and right sides of this narrow area, the SST was dropped by ~2.0°C after the storm translation  
865 speed reached local inertial frequency. The maximum response occurred at 19:00 on 29 Oct.,  
866 consistent with the estimated near-resonance time. The coupled and uncoupled H models also  
867 predicted a considerable change in SST around the predicted location for inertial resonance on the  
868 right side after the storm translation speed reached the local inertial frequency. However, since the  
869  $\Delta SST$  change in that area were in the same order of magnitude as the surrounding areas, the  
870 resonance responses were not distinctly evident. In addition, the storm-induced SST change over  
871 the slope featured a complex spatially variation pattern. The near-inertial responses to the  
872 clockwise rotating wind on the right side were not apparently visible as that in the open ocean.

873 We also examined the inertial response of the near-surface vertical velocity to the hurricane  
874 translation wind for the coupled and uncoupled H and NH cases. The near-surface vertical  
875 velocities predicted by WRF+FVCOM-NH and FVCOM-NH were generally one to two times  
876 stronger than the vertical velocities predicted by WRF+FVCOM-H and FVCOM-H (Figs. 21 and

877 22). On both S1 and S2, the change of the simulated near-surface vertical velocity before and after  
878 the hurricane crossing was more prominent on the right side, more evident in the WRF+FVCOM-  
879 NH and FVCOM-NH results than in the WRF+FVCOM-H and FVCOM-H results (Figs. 21 and  
880 22).

881 On S1, the WRF+FVCOM-NH results showed a maximum SST increase in an area 100 km  
882 away from the hurricane center on the right (Fig. 19a, e). In this area, the near-surface vertical  
883 velocity experienced a considerable drop after the hurricane passed (Fig. 21a, e). Meanwhile, the  
884 WRF+FVCOM-NH also predicted a dramatic decrease in near-surface vertical velocity in an area  
885 about 50 km away from the hurricane center, where a substantial SST drop was found (Figs. 21a,  
886 e). It implied that the SST drop in that area might be caused by other physical mechanisms. The  
887 WRF+FVCOM-H results showed a maximum SST increase in an area 120 km away from the  
888 hurricane center (Fig. 19c, f). The near-surface vertical velocity predicted in this case varied with  
889 the semidiurnal  $M_2$  tidal period (Fig. 21c,g). Although the variation amplitude was slightly more  
890 substantial on the right side, no significant different amplitude variation was found around that  
891 area. The coupled NH model predicted a more substantial near-surface vertical velocity than the  
892 uncoupled NH model. Their distributions on S1 were also different.

893 On S2, the changes in the near-surface vertical velocity before and after the hurricane crossing  
894 were also more substantial on the right side than on the left side (Fig. 22). The WRF+FVCOM-  
895 NH results showed a higher SST in a narrow area 150 km away from the hurricane center and large  
896 SST drops on both sides of that area after the hurricane passed (Fig. 20a, e). In these areas, the  
897 near-surface vertical velocity showed a relatively strong temporospatial variation, but it was not  
898 robustly correlated to the SST changes in those areas (Fig. 22a, e). In the WRF+FVCOM-H case,  
899 the maximum changes in the near-surface vertical velocity were in the areas 150 and 200 km away  
900 from the hurricane center (Fig. 22c, g). The big SST drop around 200 km on the right side after  
901 the hurricane passed seemed to correlate well with a considerable increase of the near-surface  
902 vertical velocity when the hurricane arrived over there. However, the substantial variation in the  
903 near-surface vertical velocity around 150 km seemed not to correlate with the SST change in that  
904 area. Similar features were also found in the FVCOM-NH and FVCOM-H cases.

905 *Price* (1981) examined the efficiency of the oceanic response with the angle between the wind  
906 stress and the oceanic surface velocity. He found that the response occurs when the wind direction  
907 is in a positive phase with the current direction, with a maximum when they are in the same

908 direction. The change of the wind-current angle with time was examined on S1 and S2 for the  
909 coupled cases (Fig. 23). On S1, the normalized positive angle increased dramatically when the  
910 hurricane arrived. The value was  $> 0.5$  during the near-resonance period on 29 Oct. On S2, the  
911 cosine value during the near-resonance could reach 1.0. It indicated that on both S1 and S2, the  
912 wind-current angles were under a favorable condition to generate substantial oceanic responses.  
913 The non-hydrostatic case showed a higher efficiency of energy transferring from wind to the ocean  
914 at the air-sea interface on the right of the storm center.

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## 5. Summary

917 We have examined the influence of the oceanic process on the intensity, path, and landfall of  
918 Hurricane Sandy and the impact of the air-sea interaction on the hurricane-induced variation of the  
919 oceanic currents, water elevation, temperature, and mixing over the U.S. northeastern shelf under  
920 the H and NH conditions.

921 For the hurricane simulation, the H and NH coupled WRF-FVCOMs have consistently  
922 demonstrated that including oceanic processes in WRF can substantially improve the simulation  
923 of Sandy's intensity and tracks. When the hurricane moved towards the coast, the local OML  
924 rapidly deepened with increased storm winds. Intense vertical mixing brought cold water in the  
925 deep ocean towards the surface, producing a cold wake underneath the storm, with the lowest sea  
926 temperature at the maximum wind zone. This process led to a sizeable latent heat loss from the  
927 ocean within the storm and hence rapid drops of the air temperature and vapor mixing ratio above  
928 the sea surface. As a result, the storm intensified as the central sea-level air pressure dropped.  
929 Improving air pressure simulation with OML tended to reduce the storm size and strengthen the  
930 storm intensity and hence provided a better simulation of hurricane path and landfall. The coupled  
931 model-predicted distributions of the maximum latent heat flux loss on the left and rear area of the  
932 hurricane center were consistent with the OceanSat-2 satellite-derived surface wind distribution of  
933 Hurricane Sandy. The observed asymmetric wind field with a maximum on the left side resulted  
934 from a significant energy gain from the enhanced latent heat flux in the left and rear areas and a  
935 loss of the latent heat flux in the front and right places. Turning on the NH process slightly  
936 improved the hurricane central SLP simulation and intensified the winds.

937 For the ocean, both WRF+FVCOM-H and WRF+FVCOM-NH captured Sandy-induced  
938 rapidly-varying flow over the shelf and the wind-induced surge level at the coast. The coupled

939 models predicted a higher water elevation around the coastal areas where Hurricane Sandy made  
940 landfall than the uncoupled model. The uncoupled and coupled models both showed more  
941 substantial oceanic responses on the right side of the hurricane center, with a maximum during the  
942 Sandy crossing period when the clockwise-rotating frequency of Sandy wind was close to the local  
943 inertial frequency. It was evident in the changes in SST and vertical velocity. The area with a  
944 maximum response varied with Sandy's translation speed, more prominent in the deep region than  
945 over the slope. The near-inertial resonance oceanic responses to tropical storms were first  
946 discovered by *Price* (1981), and our findings agreed well with his theories.

947 WRF+FVCOM-H and WRF+FVCOM-NH predicted a substantially different temporospatial  
948 SST variation. The most considerable difference was on the right side of the hurricane center and  
949 over the slope, with a maximum SST difference was up to  $\sim 2.0^{\circ}\text{C}$ . The vertical velocity was about  
950 2-3 times stronger in the WRF+FVCOM-NH simulation than in the WRF+FVCOM-H simulation,  
951 with substantial differences in the deep region during Sandy's crossing. Taking the hurricane  
952 center as a reference location, the WRF+FVCOM-NH predicted a deeper MLD on the right side  
953 and a shallower MLD on the left side, which matched the distribution of the vertical velocity  
954 difference between NH and H models. The maximum MLD difference could be up to  $\sim 20$  m. The  
955 substantial vertical velocity difference found in the deep ocean off the slope suggests that the NH  
956 process-induced vertical velocity could enhance the SST change within the storm-influenced area,  
957 even though the model grid specified in the study could not fully resolve the NH convection.

958 It should be pointed out that based on the vertical to horizontal ratio, the WRF+FVCOM-NH  
959 experiments with a 2-km resolution grid did not fully resolve the non-hydrographic convection  
960 process in the continental shelf region. No substantial differences in water elevation and vertical  
961 velocity between WRF+FVCOM-NH and WRF+FVCOM-H were probably due to insufficient  
962 horizontal resolution specified in the NH case. A refined grid with numerical and physical  
963 consistency should be considered to re-examine the impacts of the non-hydrostatic process on the  
964 air-sea interaction over the continental shelf.

965 Our experiments did not examine the influence of wind-current-wave interactions on hurricane  
966 intensity, heat transfer, currents, and water elevation. *Zhang and Perrie* (2001) found that the  
967 change in surface ocean roughness due to waves could influence the wind intensity and air-sea  
968 heat fluxes. The wind could be weakened in an area where wind waves are energetic, enhancing  
969 wind asymmetry. *Olabarrieta et al.* (2012) applied COWAST to simulate Hurricane Ida. They

970 observed similar features as those reported in *Zhang and Perrie (2001)*. Meanwhile, their results  
971 showed that waves impact is more significant in the nearshore region, which tended to cause the  
972 cyclone to deviate eastwards before the landfall. We have implemented three wave-related surface  
973 roughness parameterization equations in WRF-FVCOM. This paper compared hydrostatic and  
974 nonhydrostatic processes in the coupled model. A further investigation of the wave's impacts  
975 should be taken into consideration.  
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### **Appendix A: Time steps for the non-hydrographic model**

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The time step of the hydrostatic ocean model was decided based on the CFL criterion by considering the horizontal resolution and topographic slope. However, the time step for the non-hydrostatic model requires considering not only the numerical stability but also numerical convergence under a given model grid. We found that the numerical solution over the steep bottom slope varied with the integration time step for the given horizontal and vertical grids. These variations are due to the numerical errors of topographic coordinates. A set of experiments were done to determine the proper time step to minimize the topographic coordinate-induced numerical error over the steep slope with FVCOM-NH. For a given 2-km refined grid, the FVCOM-NH was tested with the time step of 10.0, 5.0, and 2.0 s. In all these cases, the model was integrated for 10 days, starting from 00:00 on 18 Oct. 2012 to 00:00 on 28 Oct. 2012, before the hurricane entered the ocean model domain.

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The 10-day simulation results showed that the FVCOM-NH could remain numerically stable with large time steps, but the numerical solution over the steep slope varied with the time step, especially in the vertical velocity. The vertical velocity reduced as the time step became small (Fig. A1). The numerical solution remained the same when the time step was 2 s or less. We believe the 2 s time step is proper to control the topographic coordinate errors. Based on these test results, we selected 2 s as the time step for FVCOM-NH experiments.

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### **Appendix B: Determination of inertial resonance radius**

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Eq. (1) in the text was derived from four assumptions. Assumption 1 was that the storm moved towards the coast at a constant speed, with a turning angle radius larger than the distance from the storm center to the location of the maximum response. It could avoid a sharply turning case that is unsolvable analytically. Assumption 2 was that the maximum response could occur anywhere on the right side as long as the wind rotating reached a near-inertial frequency, so we could only consider a situation with a 90 degree to the right. Assumption 3 was that the superposition effect of translation speed on the cyclonic rotary wind was negligible so that the rotating frequency of the wind at a site could be directly determined by the model-simulated wind at that location. Assumption 4 was that it was an idealized storm in which the friction-induced cross-air pressure isobath ageostrophic flow was neglected.

1007 Suppose that a storm moves northward at a translation speed of  $U_H$ , successively across  
 1008 locations of  $P_1$ ,  $P_2$ , and  $P_3$  (Fig. B1a). A fixed point  $O$  is selected on the right side. When the storm  
 1009 center is at  $P_2$ , the distance from  $O$  to the storm center reaches its minimum, with the length of  $R$ .  
 1010 From  $P_1$  to  $P_2$  and  $P_2$  to  $P_3$ , the storm center rotates an angle of  $\theta$  relative to  $O$ . When only the  
 1011 storm tangential wind is considered, the wind direction ( $W_1$ ,  $W_2$ , and  $W_3$ ) at  $O$  rotated a radian of  
 1012  $\theta$ , correspondingly. The distance ( $l$ ) and time ( $\Delta t$ ) from  $P_1$  to  $P_3$  is

$$1013 \quad l = 2R \tan \theta \quad (\text{B.1})$$

$$1014 \quad \Delta t = \frac{2R \tan \theta}{U_H} \quad (\text{B.2})$$

1015 The wind rotating frequency ( $f_w$ ) is

$$1016 \quad f_w = \frac{2\theta}{\Delta t} \quad (\text{B.3})$$

1017 Based on *Price* (1981)'s results, the resonant response occurs when the wind on the right side  
 1018 rotates with the local inertial period, i.e.,  $f_w$  is close to the Coriolis frequency ( $f$ ). When the  
 1019 ocean response reaches the maximum, the relation between  $U_H$  and  $R$  is derived as:

$$1020 \quad \frac{U_H}{R} = \frac{f}{\theta} \tan \theta \quad (\text{B.4})$$

1021 The radius of the position with maximum oceanic response to a storm is proportional to the  
 1022 translation speed at a given latitude.

1023 For a more general situation, when a storm turns right or left, the location of the maximum  
 1024 oceanic response varies (Fig. B1b, c). Suppose a storm moves in a circle centered at Point Q with  
 1025 a curvature of  $R_0$ . If its track does not turn sharply ( $R_0 > R$ ), Eq. (B.2) can be rewritten as

$$1026 \quad \Delta t = \frac{2R_0 \tan \gamma}{U_H} \quad (\text{B.5})$$

1027 where  $\gamma$  is the half radian of the track turns around Q. According to the Law of Sines:

$$1028 \quad \frac{R_0 + R}{\sin(\pi - \gamma - \theta)} = \frac{R_0}{\sin \theta}, \text{ as the storm turned left} \quad (\text{B.6})$$

$$1029 \quad \frac{R_0 - R}{\sin(\theta - \gamma)} = \frac{R_0}{\sin(\pi - \theta)}, \text{ as a storm turned right} \quad (\text{B.7})$$

1030 According to Eqs. B.3-7, the relation between  $U_H$  and  $R$  can be derived as:

$$1031 \quad \frac{U_H}{R} = \frac{f}{\theta} \alpha \quad (\text{B.8})$$

1032 where

1033 
$$\alpha = \begin{cases} \frac{R_0}{R} \left\{ \arcsin \left[ \left( 1 + \frac{R}{R_0} \right) \sin \theta \right] - \theta \right\}, & \text{turning left} \\ \tan \theta, & \text{go straight} \\ \frac{R_0}{R} \left\{ \theta - \arcsin \left[ \left( 1 - \frac{R}{R_0} \right) \sin \theta \right] \right\}, & \text{turning right} \end{cases} \quad (\text{B.9})$$

1034 For a given latitude,  $R$  is proportional to  $U_H$ , i.e.,

1035 
$$R = \gamma U_H \quad (\text{B.10})$$

1036 where  $\gamma = \frac{\theta}{f\alpha}$ . Compared with the situation of moving straight, the adjustment of  $R$  due to the  
 1037 storm translation curvature is minor (Fig. B.1d). When  $R_0$  is twice larger than  $R$ ,  $R$  decreases by  
 1038 4.2% when a storm turns left and increases by 2.6% when moving left.

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## Figure Captions

1329

1330 Fig. 1 Oceansat-2 satellite images of Hurricane Sandy wind vectors at the 10-m height at 17:11 on  
1331 28 Oct. (a) and 04:16 on 29 Oct. (b), 2012. The resolution was ~12 km. The data were  
1332 downloaded at <https://cmr.earthdata.nasa.gov>. Black arrows: wind vectors at the 10-m  
1333 height; color images: the 10-m wind speed; black lines: the observed trajectory of the  
1334 hurricane center; white points: the hurricane center locations.

1335 Fig. 2 Illustration of exchange processes of atmospheric, oceanic, and wave variables and  
1336 parameters at the air-sea interface between WRF and FVCOM.

1337 Fig. 3 (a): the WRF (red lines) and FVCOM (blue lines) domains, the trajectory of Hurricane  
1338 Sandy (black lines), the locations of meteorological buoys (filled green squares), tidal  
1339 gauges (filled red dots), and temperature/salinity measurement sites (filled blue triangles),  
1340 and the covered region of the HFR Array (the shadow area). WRF encompasses three two-  
1341 way nested domains with horizontal resolutions of 27 (D01), 9 (D02), and 3 (D03) km.  
1342 Numbers with the hurricane trajectory are hours: minutes, months/days. (b): An enlarged  
1343 view of the boxed area in (a).

1344 Fig. 4 The FVCOM (a) and Global-FVCOM (b) triangular grids. The blue line in the right and left  
1345 panels is the nesting boundary between the global and regional models. The finest  
1346 resolution is 0.3-2.0 km in FVCOM and 2 km in Global-FVCOM.

1347 Fig. 5 Comparisons between the simulated and observed paths of Hurricane Sandy (a) and  
1348 minimum central pressures (b) for the WRF+FVCOM-NH (blue lines), WRF+FVCOM-H  
1349 (red lines), and uncoupled WRF (green lines) cases. In (a), the shaded area is the FVCOM  
1350 domain; the solid black lines labeled “S1 and S2” are the transects used in Fig. 12 and Figs.  
1351 18-23.

1352 Fig. 6 Comparisons between the simulated and observed 10-m wind speeds, 10-m wind directions,  
1353 and sea level pressures at buoy stations 44065, ACYN4, 41048, and 41002 for the  
1354 WRF+FVCOM-NH (blue lines), WRF+FVCOM-H (red lines) and uncoupled WRF (green  
1355 lines) cases.

1356 Fig. 7 Comparisons between the simulated and observed water elevations and residuals at tide  
1357 gauge stations the Battery, Atlantic City, and Cape May for the WRF+FVCOM-NH (blue  
1358 lines), WRF+FVCOM-H (red lines), FVCOM-NH (brown lines), and FVCOM-H (green  
1359 lines) cases.

1360 Fig. 8 Comparisons between the simulated and observed surface currents at 16:00 on 29 Oct. 2012  
1361 for the WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and FVCOM-H cases. In  
1362 each panel, red arrow: observed surface currents; black arrow: simulated surface currents;  
1363 red line: the historical center track; black line: the simulated tracks.

1364 Fig. 9 Comparisons of the 10-m wind (the left column), 10-m wind vorticity (the middle column),  
1365 and SLP (the right column). Snapshots were taken at 21:00 on 29 Oct. 2012 for the coupled  
1366 cases and 01:00 on 30 Oct. 2012 for the uncoupled WRF case.

1367 Fig. 10 Comparisons of the 10-m wind speed (a) and SLP (b) on the selected sections across the  
1368 hurricane center (a) for the WRF+FVCOM-NH, WRF+FVCOM-H, and uncoupled WRF  
1369 cases. Snapshots were taken at 21:00 on 29 Oct. 2012 for the coupled cases and 01:00 on  
1370 30 Oct. 2012 for the WRF cases. The black point in (b) is observed SLP at the storm center.  
1371 The white line in (c) is the transect used in Fig. 15.

1372 Fig. 11 Comparisons of net heat flux (the left column), sensible heat flux (the middle column), and  
1373 latent heat flux (the right column) at 21:00 on 29 Oct. 2012 for the WRF+FVCOM-NH,  
1374 WRF+FVCOM-H, and uncoupled WRF cases. Negative values mean the ocean loses  
1375 energy.

1376 Fig. 12 Distributions of the air potential temperature and water vapor ratio on S1. The black lines  
1377 are the isolines of the water vapor ratio (unit:  $10^{-3}$ ). The colors show the air potential  
1378 temperature. Snapshots were taken at 15:00 on 29 Oct. 2012 for the coupled cases and 19:  
1379 00 on 29 Oct. 2012 for the uncoupled case.

1380 Fig. 13 Comparisons of surface currents (the left column), SST (the middle column), and MLD  
1381 (the right column) for the WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and  
1382 FVCOM-H cases. Snapshots were taken at 21:00 on 29 Oct. 2012 for the coupled cases  
1383 and 01:00 on 30 Oct. 2012 for the uncoupled cases.

1384 Fig. 14 The surface vertical velocity ( $w$ ) and their differences ( $\Delta w$ ) for the WRF+FVCOM-NH,  
1385 WRF+FVCOM-H, FVCOM-NH, and FVCOM-H cases. Snapshots were taken at 21:00 on  
1386 29 Oct. 2012 for the coupled cases and 01:00 on 30 Oct. 2012 for the uncoupled cases.

1387 Fig. 15 Comparisons of simulated sea temperatures (left column) and vertical velocities (right  
1388 column) on the selected section across the hurricane center (see Fig. 10a). Snapshots were  
1389 taken at 21:00 on 29 Oct. 2012 for the coupled cases and 01:00 on 30 Oct. 2012 for the  
1390 uncoupled cases. The white lines are the MLD.

1391 Fig. 16 Comparisons of the surface elevation  $\zeta$  (a-d) and the onshore water transport (e) across the  
1392 selected boundary (shown as the black line in the upper-left panel of (e) for the  
1393 WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and FVCOM-H cases. Snapshots  
1394 of (a-d) were taken at 21:00 on 29 Oct. 2012 for the coupled cases and 01:00 on 30 Oct.  
1395 2012 for the uncoupled cases.

1396 Fig. 17 The comparison of the storm translation speeds (a) and the relationship between the  
1397 locations with the maximum oceanic responses and hurricane translation speeds (b). In (a),  
1398 the shaded area shows the period that the storm moved in the FVCOM domain;  $U_H$ : the  
1399 hurricane translation speed. In (b),  $R$ : the radius of the location with the maximum oceanic  
1400 responses; the black line: the relationship line derived from Eq. (1) at the latitude of 37°N.

1401 Fig. 18 The changes of the wind direction and rotating frequency with time at two points on both  
1402 left and right sides of the storm center on S1 (a-b) and S2 (c-d) for the WRF+FVCOM-H  
1403 case. Blue solid lines: wind direction; solid red lines: wind rotation frequency; red dashed  
1404 lines: Coriolis frequency; black dashed lines: the time hurricane arrived at the transects.

1405 Fig. 19 The *SST* (a-d) and  $\Delta SST$  (e-h) changes with time at S1 for the WRF+FVCOM-NH,  
1406 FVCOM-NH, WRF+FVCOM-H, and FVCOM-H cases.  $\Delta SST$  is the *SST* difference  
1407 relative to the initial *SST* at 00:00 on 28 Oct. 2012. The y-axis is the time, and the x-axis is  
1408 the distance relative to the hurricane center. Positive (negative) x: right (left) of the storm  
1409 center. Black dashed lines: the time at which hurricane arrived at the transects; black solid  
1410 lines: the origin of the x-axis, defined as the location of the hurricane center when the  
1411 maximum inertial response is reached.

1412 Fig. 20 The *SST* (a-d) and  $\Delta SST$  (e-h) with time at S2 for the WRF+FVCOM-NH, FVCOM-NH,  
1413 WRF+FVCOM-H, and FVCOM-H cases.  $\Delta SST$  is the *SST* difference relative to the initial  
1414 *SST* at 00:00 on 28 Oct. 2012. The y-axis is the time, and the x-axis is the distance relative  
1415 to the hurricane center. Positive (negative) x: right (left) of the storm center. Black dashed  
1416 lines: the time at which hurricane arrived at the transects; black solid lines: the origin of  
1417 the x-axis, defined as the location of the hurricane center when the maximum inertial  
1418 response is reached.

1419 Fig. 21 The changes of the near-surface vertical velocity ( $w$ ) (a-d) and  $\Delta w$  (e-h) with time at S1  
1420 for the WRF+FVCOM-NH, FVCOM-NH, WRF+FVCOM-H, and FVCOM-H cases.  $\Delta w$   
1421 is the  $w$  difference relative to the initial  $w$  at 00:00 on 28 Oct. 2012. The y-axis is the time,



1422 and the  $x$ -axis is the distance relative to the hurricane center. Positive (negative)  $x$ : right  
1423 (left) of the storm center. Black dashed lines: the time at which hurricane arrived at the  
1424 transects; black solid lines: the origin of the  $x$ -axis, defined as the location of the hurricane  
1425 center when the maximum inertial response is reached.

1426 Fig. 22 The changes of the near-surface vertical velocity ( $w$ ) (a-d) and  $\Delta w$  (e-h) with time at S2  
1427 for the WRF+FVCOM-NH, FVCOM-NH, WRF+FVCOM-H, and FVCOM-H cases.  $\Delta w$   
1428 is the  $w$  difference relative to the initial  $w$  at 00:00 on 28 Oct. 2012. The  $y$ -axis is the time,  
1429 and the  $x$ -axis is the distance relative to the hurricane center. Positive (negative)  $x$ : right  
1430 (left) of the storm center. Black dashed lines: the time at which hurricane arrived at the  
1431 transects; black solid lines: the origin of the  $x$ -axis, defined as the location of the hurricane  
1432 center when the maximum inertial response is reached.

1433 Fig. 23 The cosine angle between the wind stress and the oceanic surface velocity at S1 (a-b) and  
1434 S2 (c-d) for the WRF+FVCOM-NH and WRF+FVCOM-H cases. Black dashed lines: the  
1435 time of hurricane arriving the transects; black solid lines: the origin of the  $x$ -axis, defined  
1436 as the location of the hurricane center when the maximum inertial response is reached.  
1437 Positive (negative)  $x$ : right (left) of the storm center.

1438 Fig. A1 The cross-shelf distributions of the temperature predicted by FVCOM-NH with time steps  
1439 of 10 (a), 5 (b), and 2 (c) s.

1440 Fig. B1 The sketch of wind rotation at a fixed position on the right side of a storm in the situations  
1441 of going straight (a), turning left (b), and turning right (c), and the change of  $\alpha$  with  $\frac{R_0}{R}$  (d).  
1442 In (a-c), red circle: the radius of  $R$  relative to the storm center; blue triangle: the fixed  
1443 position on the right side of the storm center; black arrow: the storm translation direction.  
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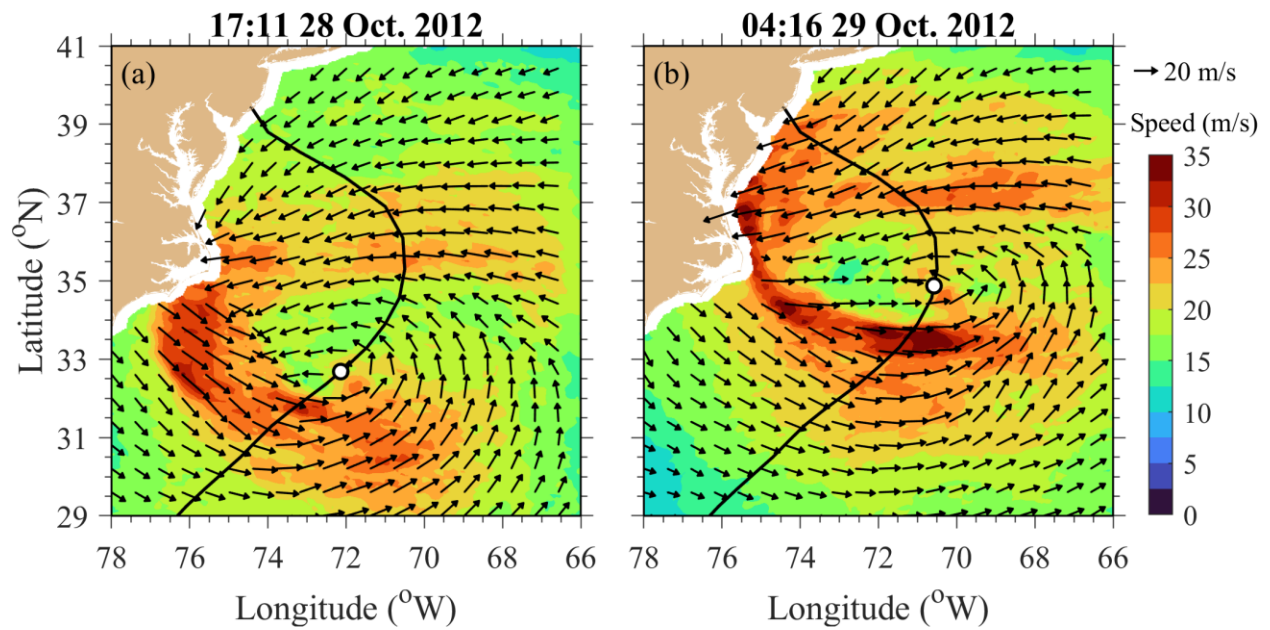


Fig. 1 Oceansat-2 satellite images of Hurricane Sandy wind vectors at the 10-m height at 17:11 on 28 Oct. (a) and 04:16 on 29 Oct. (b), 2012. The resolution was  $\sim 12$  km. The data were downloaded at <https://cmr.earthdata.nasa.gov>. Black arrows: wind vectors at the 10-m height; color images: the 10-m wind speed; black lines: the observed trajectory of the hurricane center; white points: the hurricane center locations.

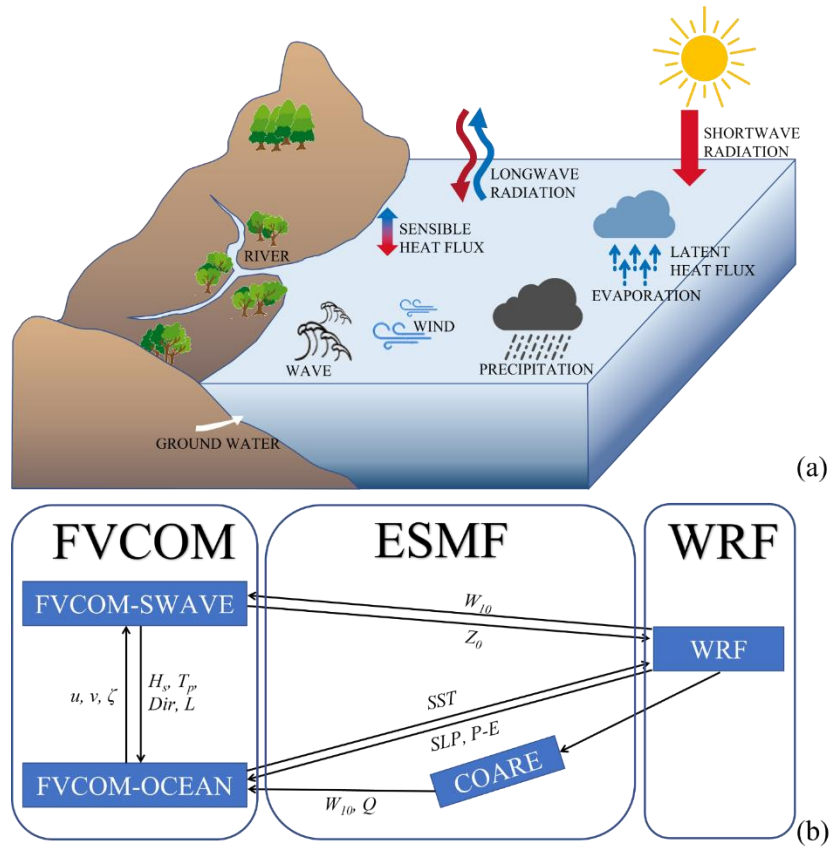


Fig. 2 Illustration of exchange processes of atmospheric, oceanic, and wave variables and parameters at the air-sea interface between WRF and FVCOM.

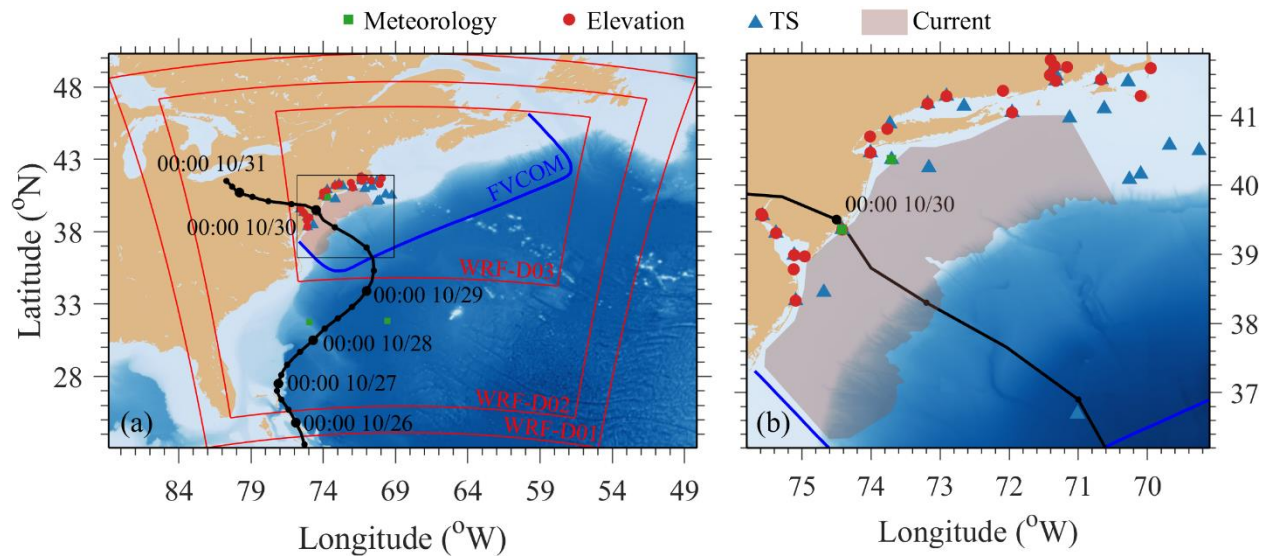


Fig. 3 (a): the WRF (red lines) and FVCOM (blue lines) domains, the trajectory of Hurricane Sandy (black lines), the locations of meteorological buoys (filled green squares), tidal gauges (filled red dots), and temperature/salinity measurement sites (filled blue triangles), and the covered region of the HFR Array (the shadow area). WRF encompasses three two-way nested domains with horizontal resolutions of 27 (D01), 9 (D02), and 3 (D03) km. Numbers with the hurricane trajectory are hours: minutes, months/days. (b): An enlarged view of the boxed area in (a).

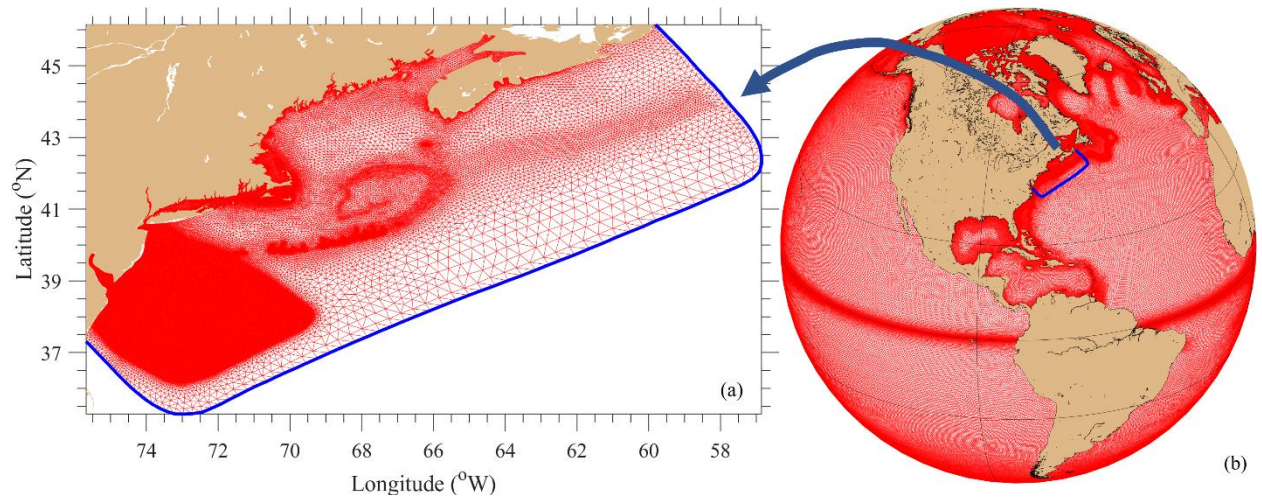


Fig. 4 The FVCOM (a) and Global-FVCOM (b) triangular grids. The blue line in the right and left panels is the nesting boundary between the global and regional models. The finest resolution is 0.3-2.0 km in FVCOM and 2 km in Global-FVCOM.

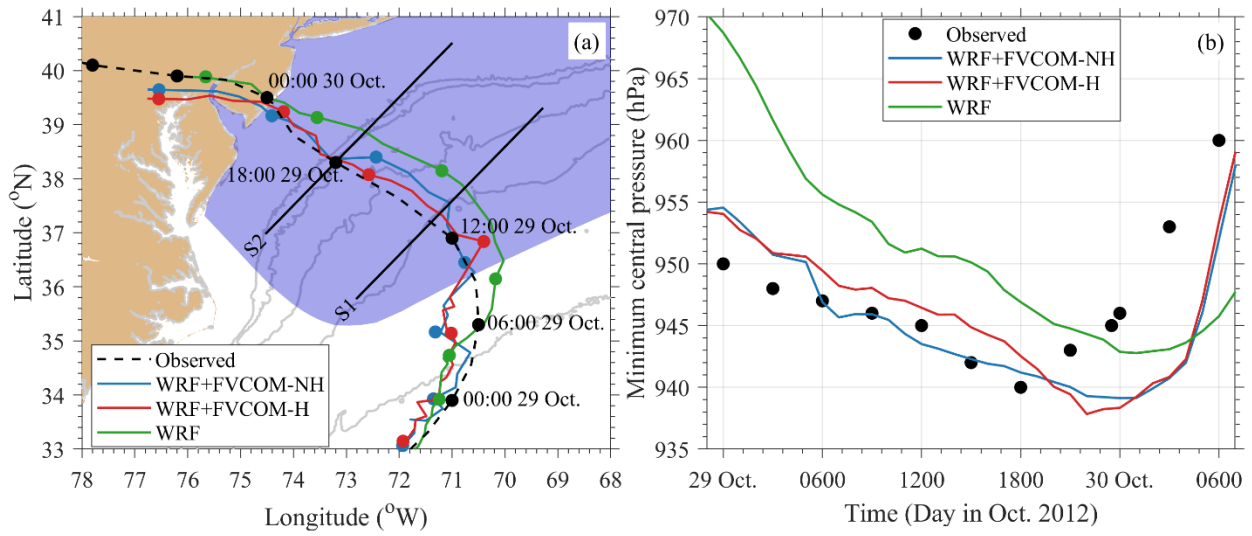


Fig. 5 Comparisons between the simulated and observed paths of Hurricane Sandy (a) and minimum central pressures (b) for the WRF+FVCOM-NH (blue lines), WRF+FVCOM-H (red lines), and uncoupled WRF (green lines) cases. In (a), the shaded area is the FVCOM domain; the solid black lines labeled “S1 and S2” are the transects used in Fig. 12 and Figs. 18-23.

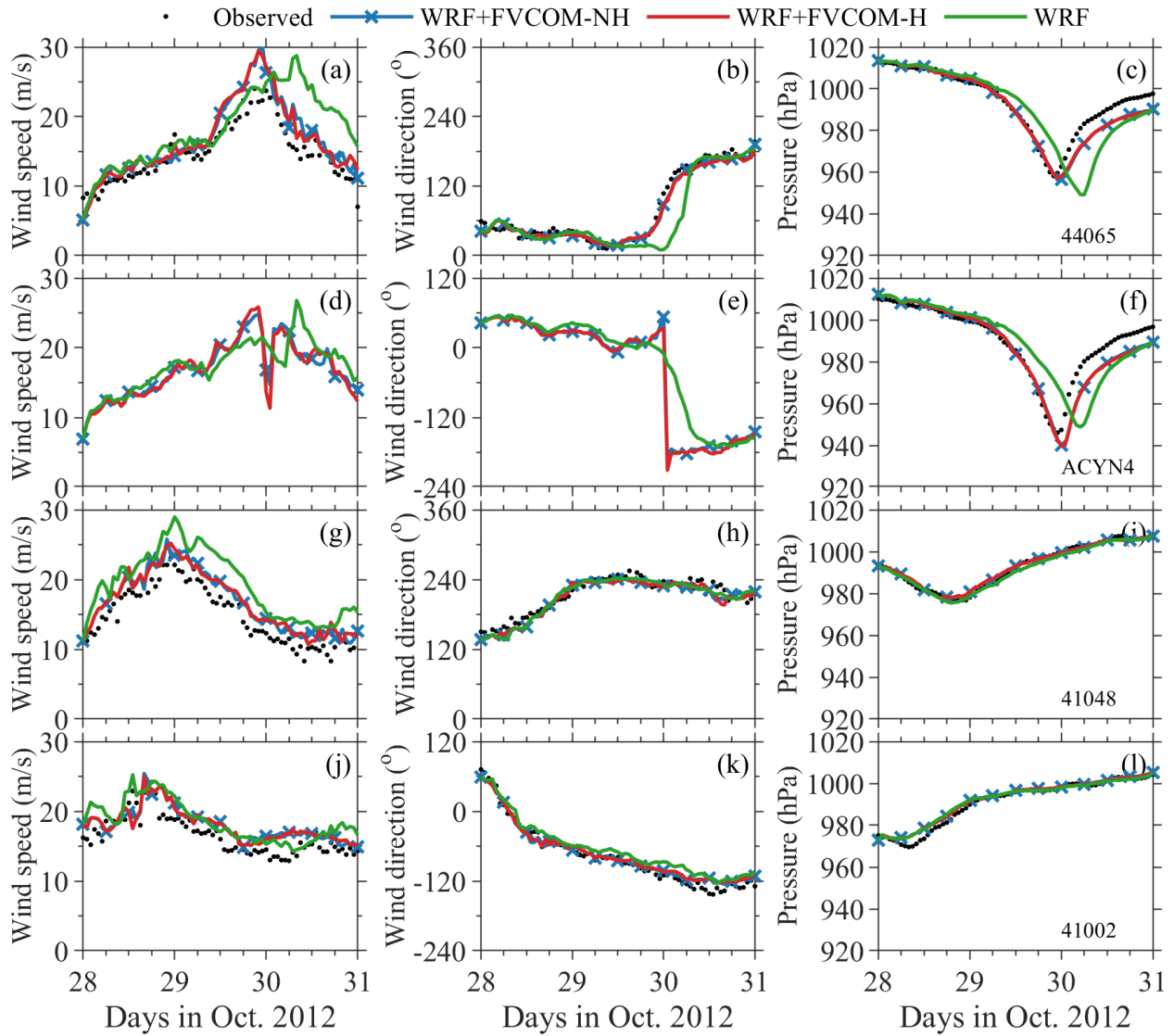


Fig. 6 Comparisons between the simulated and observed 10-m wind speeds, 10-m wind directions, and sea level pressures at buoy stations 44065, ACYN4, 41048, and 41002 for the WRF+FVCOM-NH (blue lines), WRF+FVCOM-H (red lines) and uncoupled WRF (green lines) cases.

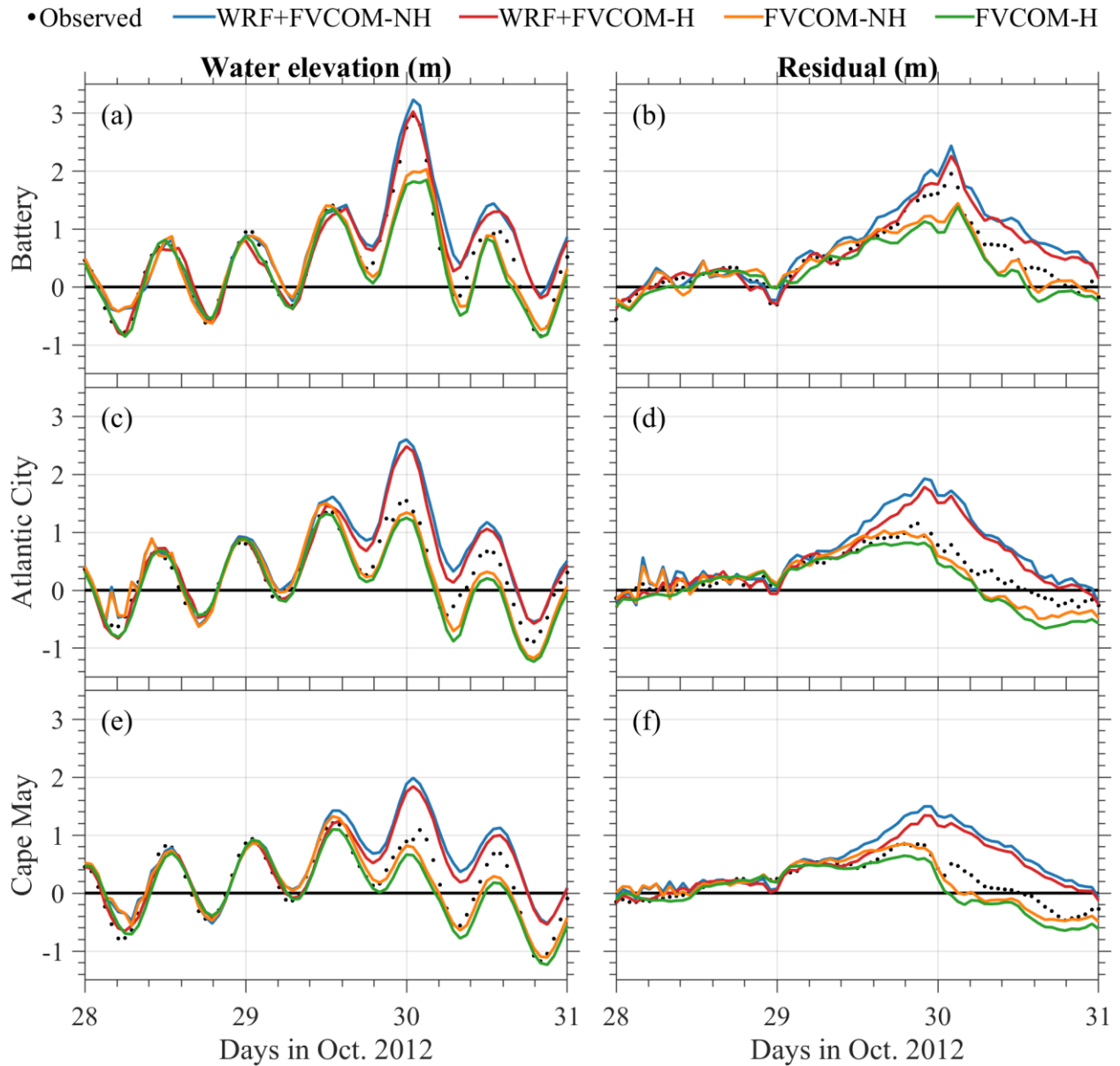


Fig. 7 Comparisons between the simulated and observed water elevations and residuals at tide gauge stations the Battery, Atlantic City, and Cape May for the WRF+FVCOM-NH (blue lines), WRF+FVCOM-H (red lines), FVCOM-NH (brown lines), and FVCOM-H (green lines) cases.



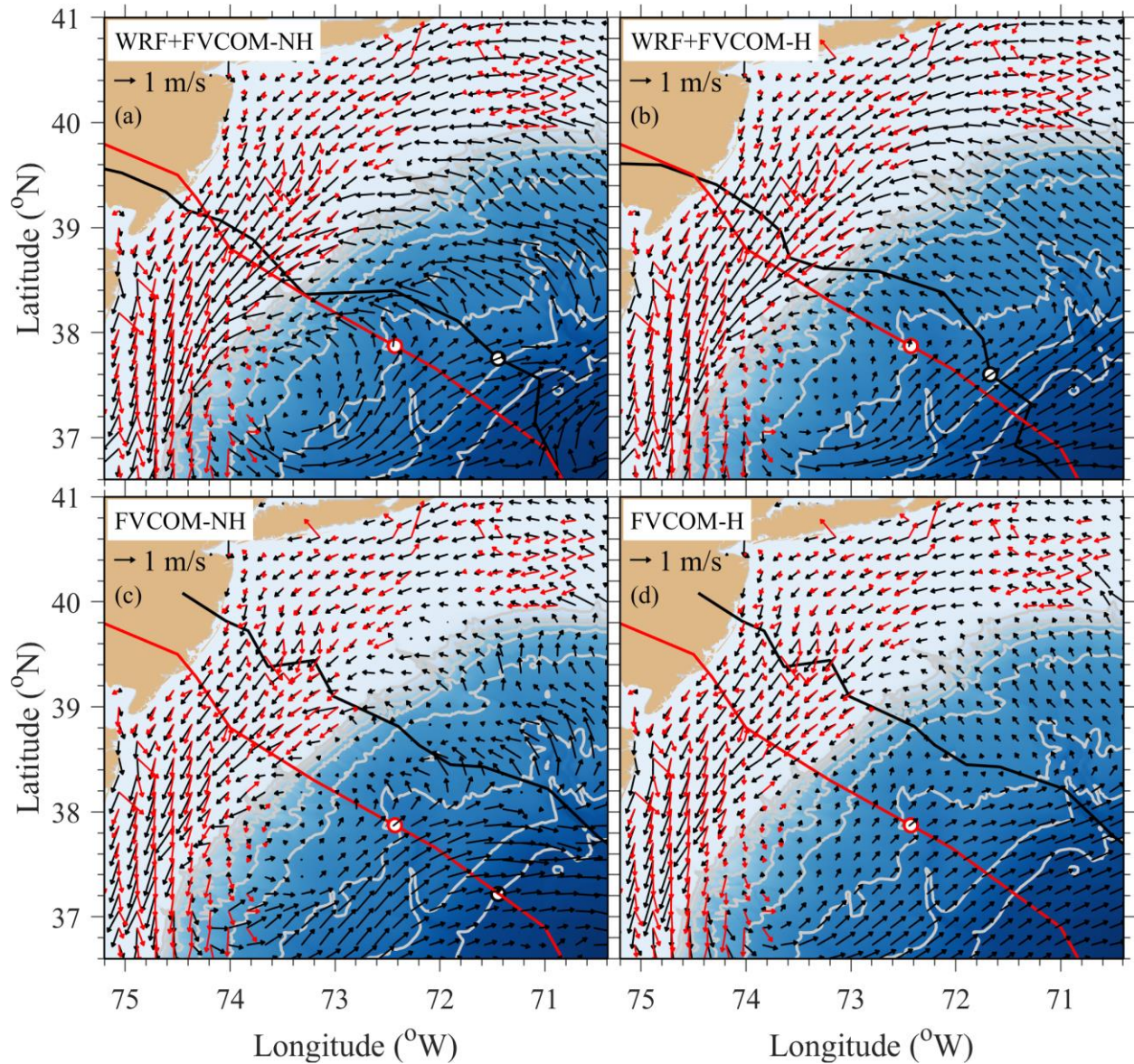


Fig. 8 Comparisons between the simulated and observed surface currents at 16:00 on 29 Oct. 2012 for the WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and FVCOM-H cases. In each panel, red arrow: observed surface currents; black arrow: simulated surface currents; red line: the historical center track; black line: the simulated tracks.

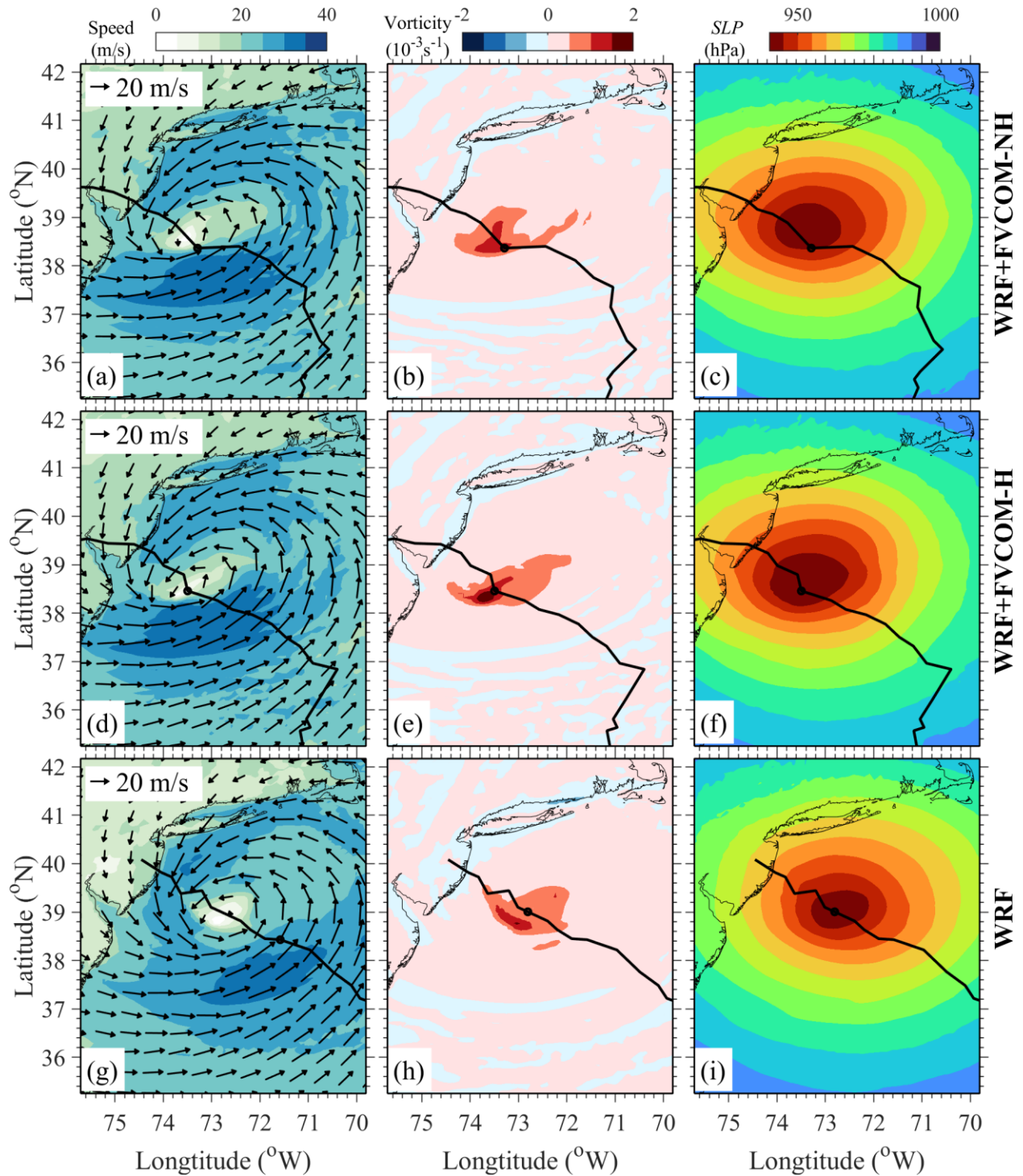


Fig. 9 Comparisons of the 10-m wind (the left column), 10-m wind vorticity (the middle column), and SLP (the right column). Snapshots were taken at 21:00 on 29 Oct. 2012 for the coupled cases and 01:00 on 30 Oct. 2012 for the uncoupled WRF case.

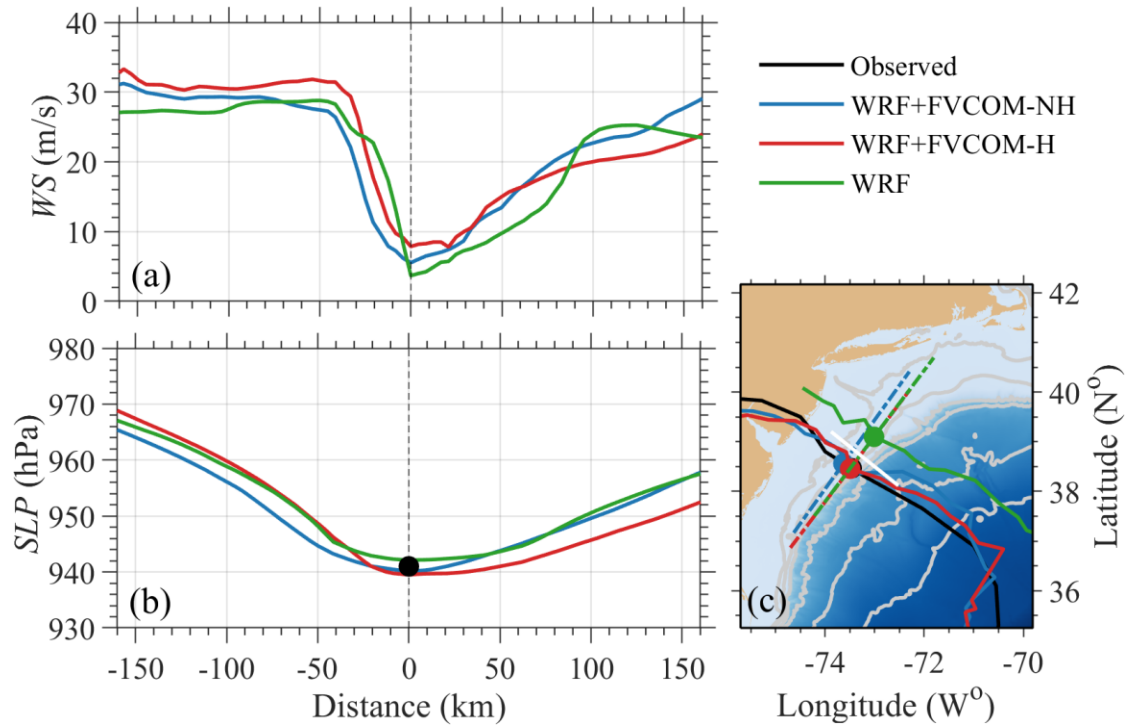


Fig. 10 Comparisons of the 10-m wind speed (**ba**) and SLP (**eb**) on the selected sections across the hurricane center (**ac**) for the WRF+FVCOM-NH, WRF+FVCOM-H, and uncoupled WRF cases. Snapshots were taken at 21:00 on 29 Oct. 2012 for the coupled cases and 01:00 on 30 Oct. 2012 for the WRF cases. The black point in (**eb**) is observed SLP at the storm center. ~~White~~The white line in (**ac**) is the transect used in Fig. 15.

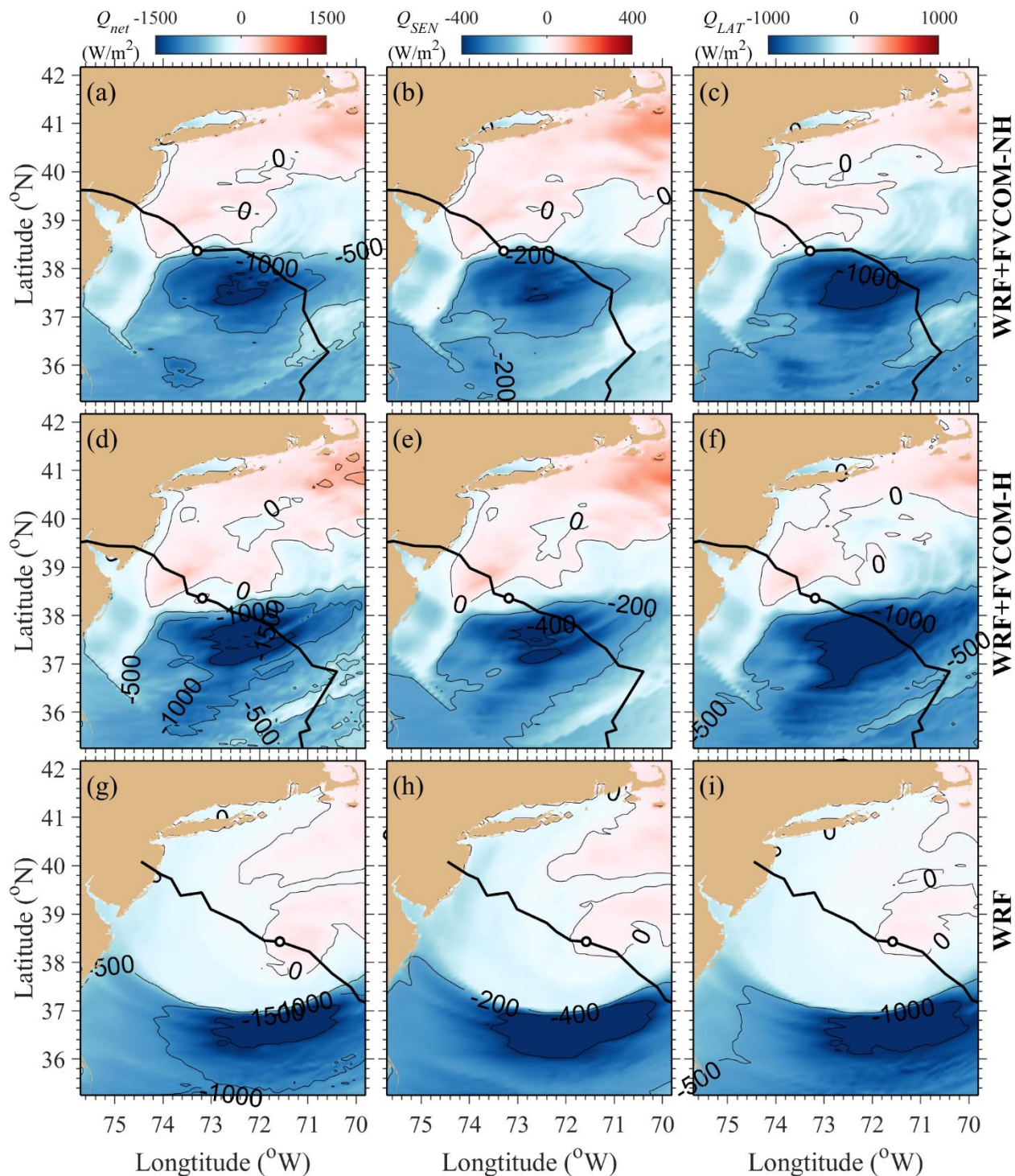


Fig. 11 Comparisons of net heat flux (the left column), sensible heat flux (the middle column), and latent heat flux (the right column) at 21:00 on 29 Oct. 2012 for the WRF+FVCOM-NH, WRF+FVCOM-H, and uncoupled WRF cases. Negative values mean the ocean loses energy.

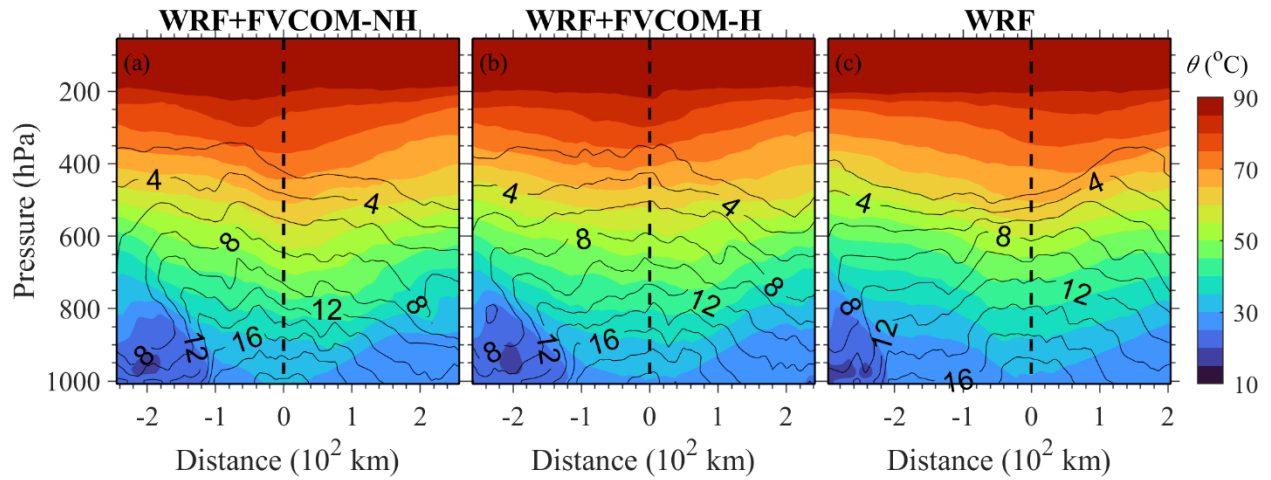


Fig. 12 Distributions of the air potential temperature and water vapor ratio on S1. The black lines are the isolines of the water vapor ratio (unit:  $10^{-3}$ ). The colors show the air potential temperature. Snapshots were taken at 15:00 on 29 Oct. 2012 for the coupled cases and 19: 00 on 29 Oct. 2012 for the uncoupled case.

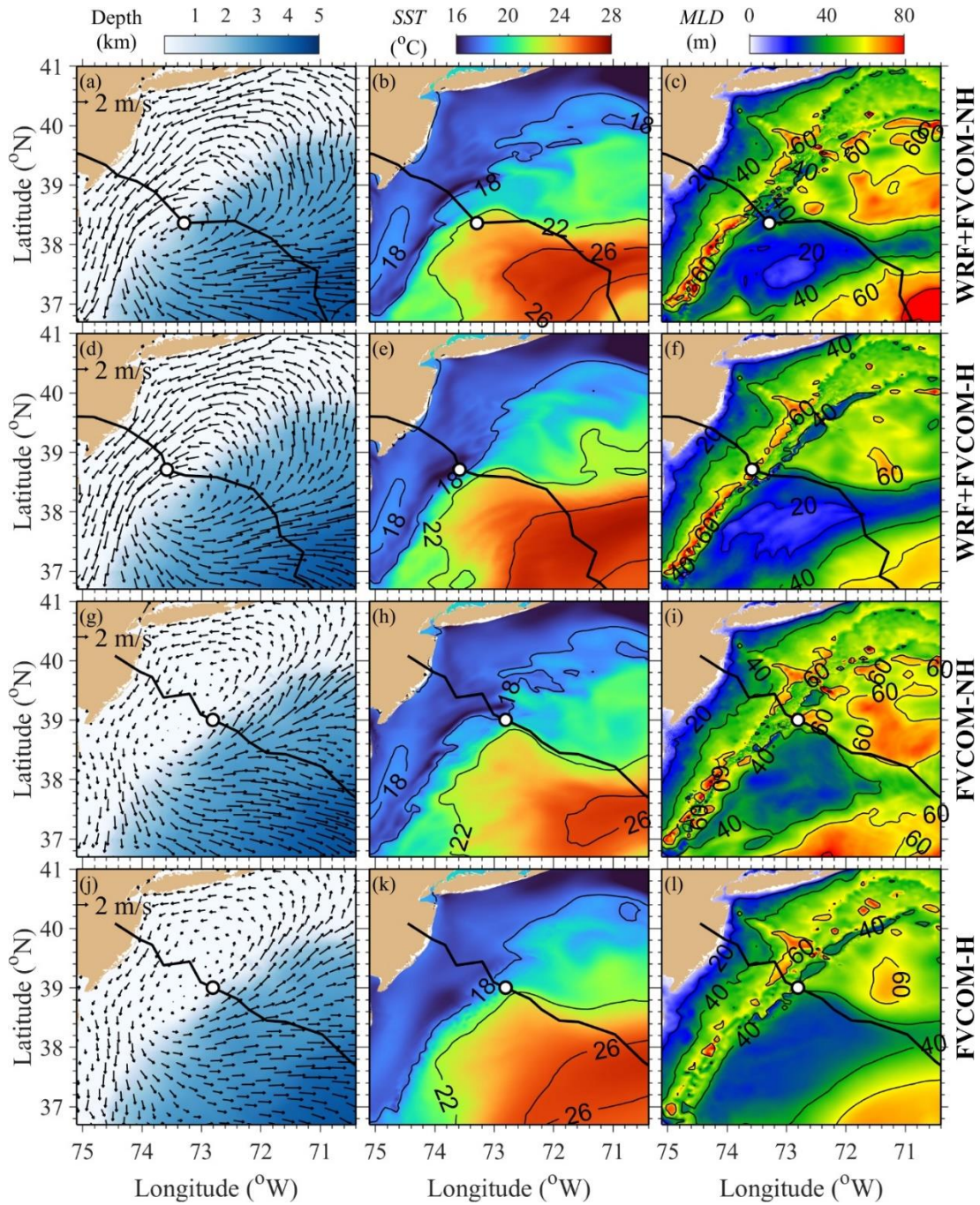


Fig. 13 Comparisons of surface currents (the left column), SST (the middle column), and MLD (the right column) for the WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and FVCOM-H cases. Snapshots were taken at 21:00 on 29 Oct. 2012 for the coupled cases and 01:00 on 30 Oct. 2012 for the uncoupled cases.

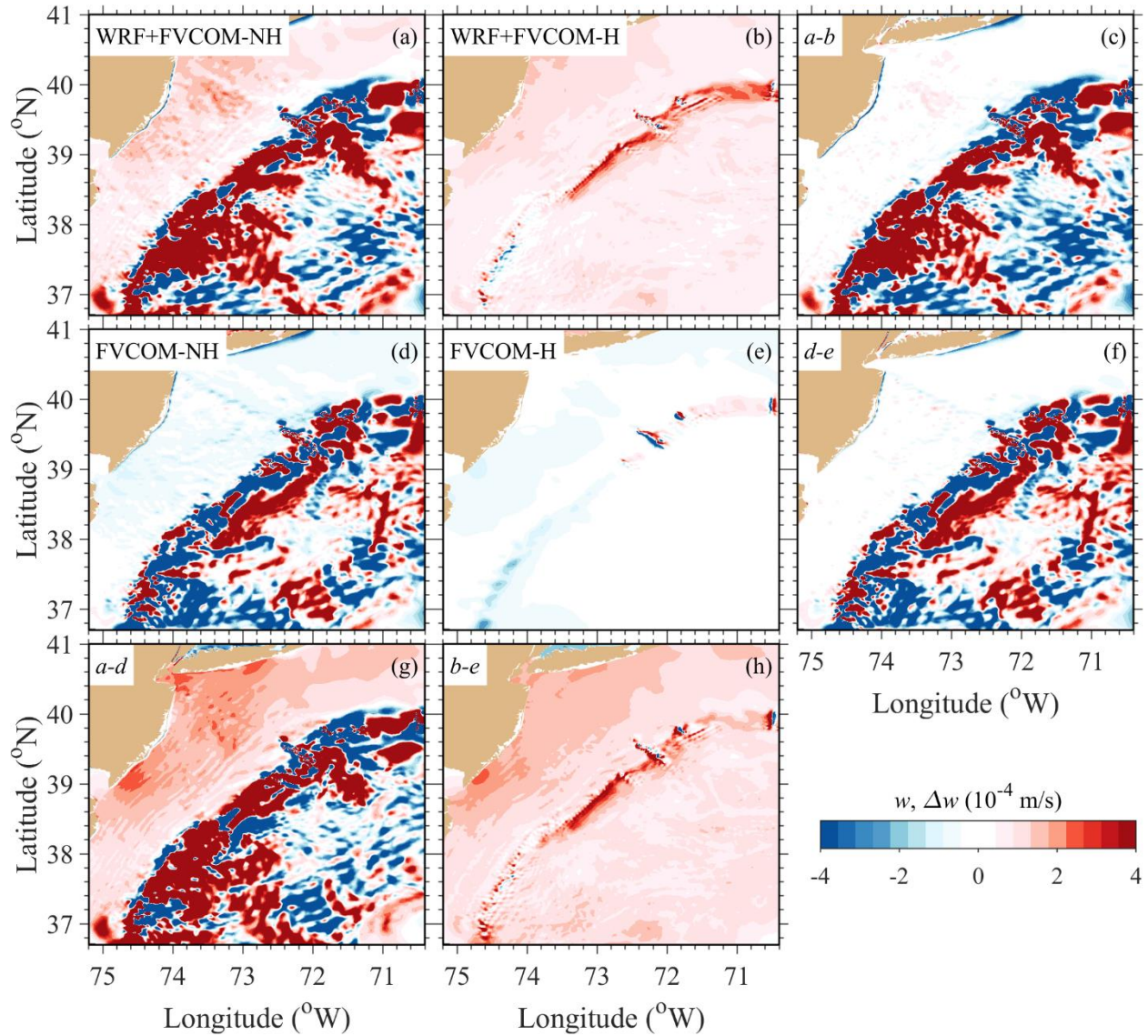


Fig. 14 The surface vertical velocity ( $w$ ) and their differences ( $\Delta w$ ) for the WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and FVCOM-H cases. Snapshots were taken at 21:00 on 29 Oct. 2012 for the coupled cases and 01:00 on 30 Oct. 2012 for the uncoupled cases.

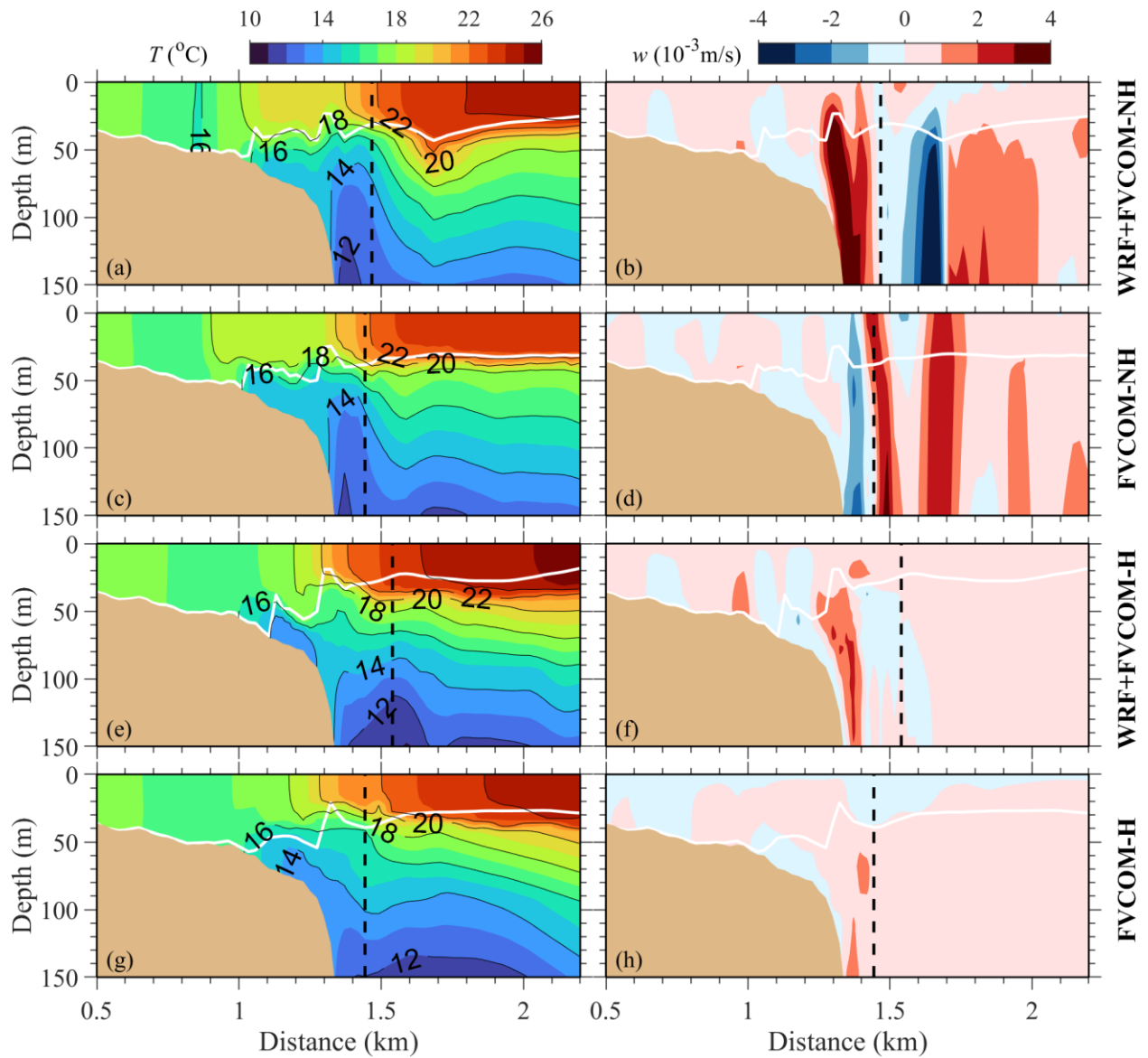


Fig. 15 Comparisons of simulated sea temperatures (left column) and vertical velocities (right column) on the selected section across the hurricane center (see Fig. 10a). Snapshots were taken at 21:00 on 29 Oct. 2012 for the coupled cases and 01:00 on 30 Oct. 2012 for the uncoupled cases. The white lines are the MLD.



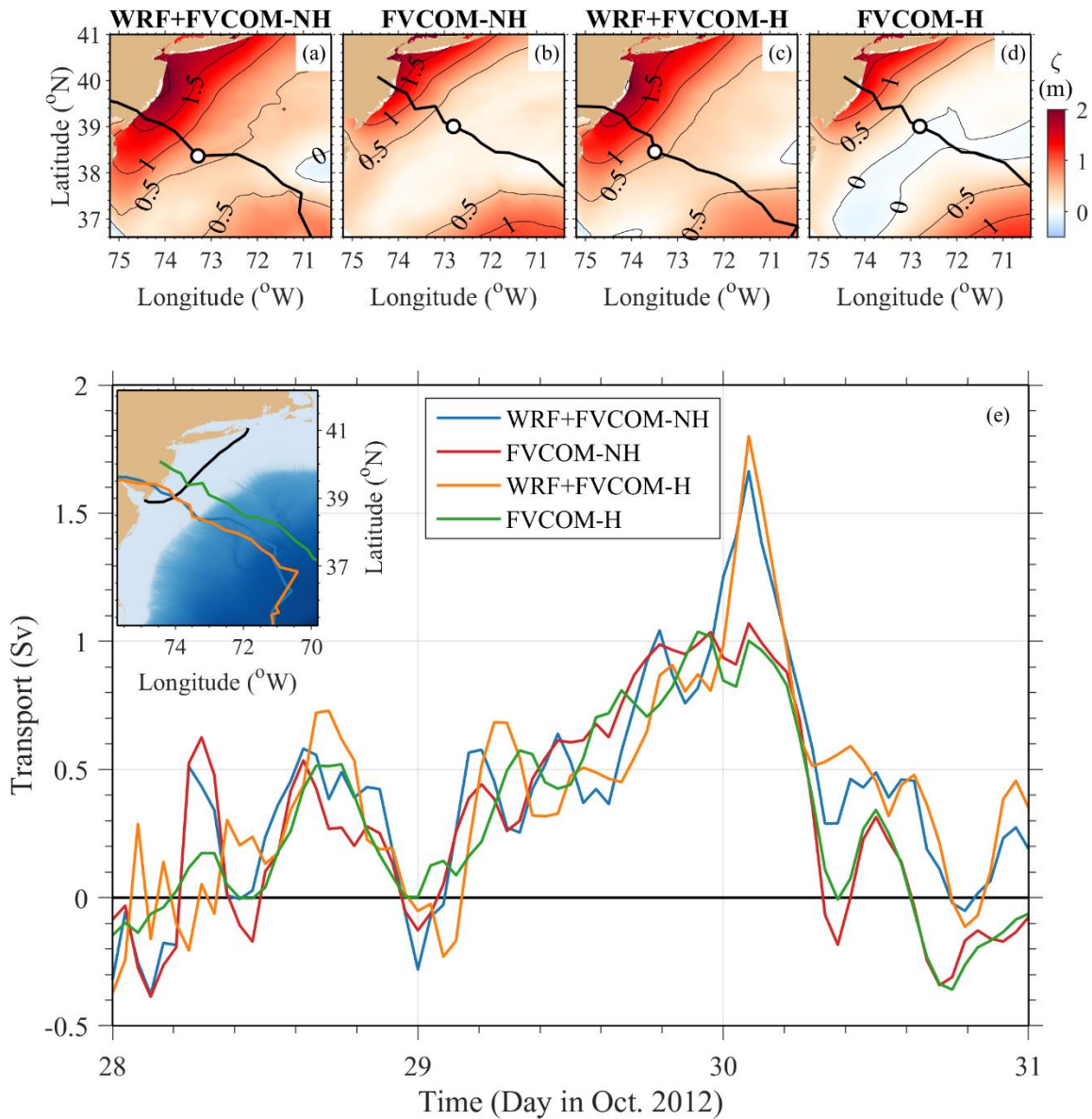


Fig. 16 Comparisons of the surface elevation  $\zeta$  (a-d) and the onshore water transport (e) across the selected boundary (shown as the black line in the upper-left panel of (e)) for the WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and FVCOM-H cases. Snapshots of (a-d) were taken at 21:00 on 29 Oct. 2012 for the coupled cases and 01:00 on 30 Oct. 2012 for the uncoupled cases.

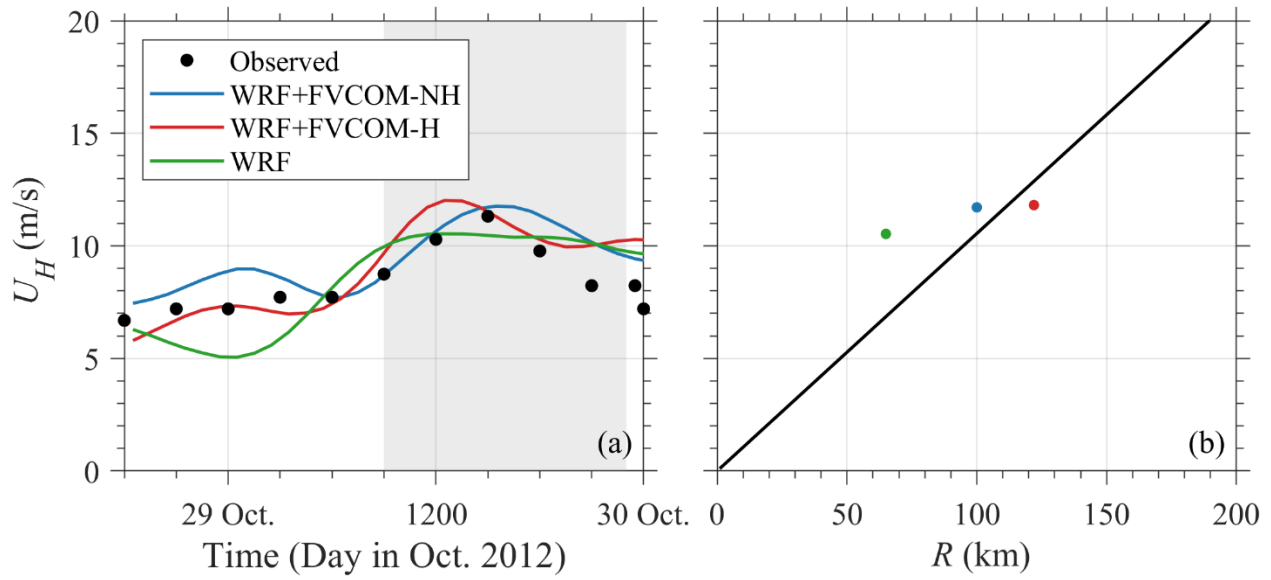


Fig. 17 The comparison of the storm translation speeds (a) and the relationship between the locations with the maximum oceanic responses and hurricane translation speeds (b). In (a), the shaded area shows the period that the storm moved in the FVCOM domain;  $U_H$ : the hurricane translation speed. In (b),  $R$ : the radius of the location with the maximum oceanic responses;  $U_H$ : the hurricane translation speed; the black line: the relationship line derived from Eq. (1) at the latitude of 37°N.

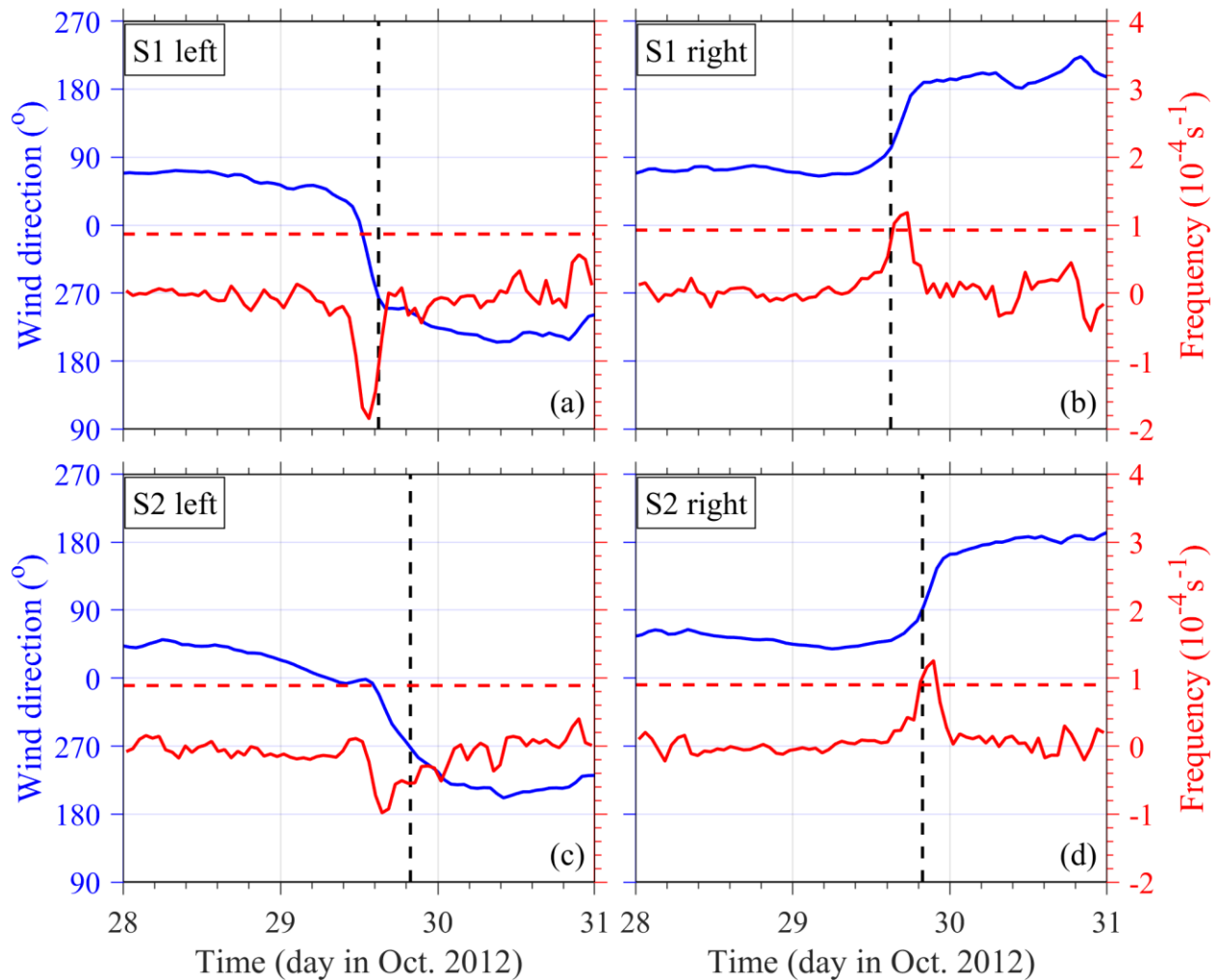


Fig. 18 The changes of the wind direction and rotating frequency with time at two points on both left and right sides of the storm center on S1 (a-b) and S2 (c-d) for the WRF+FVCOM-H case. Blue solid lines: wind direction; solid red lines: wind rotation frequency; red dashed lines: Coriolis frequency; black dashed lines: the time hurricane arrived at the transects.

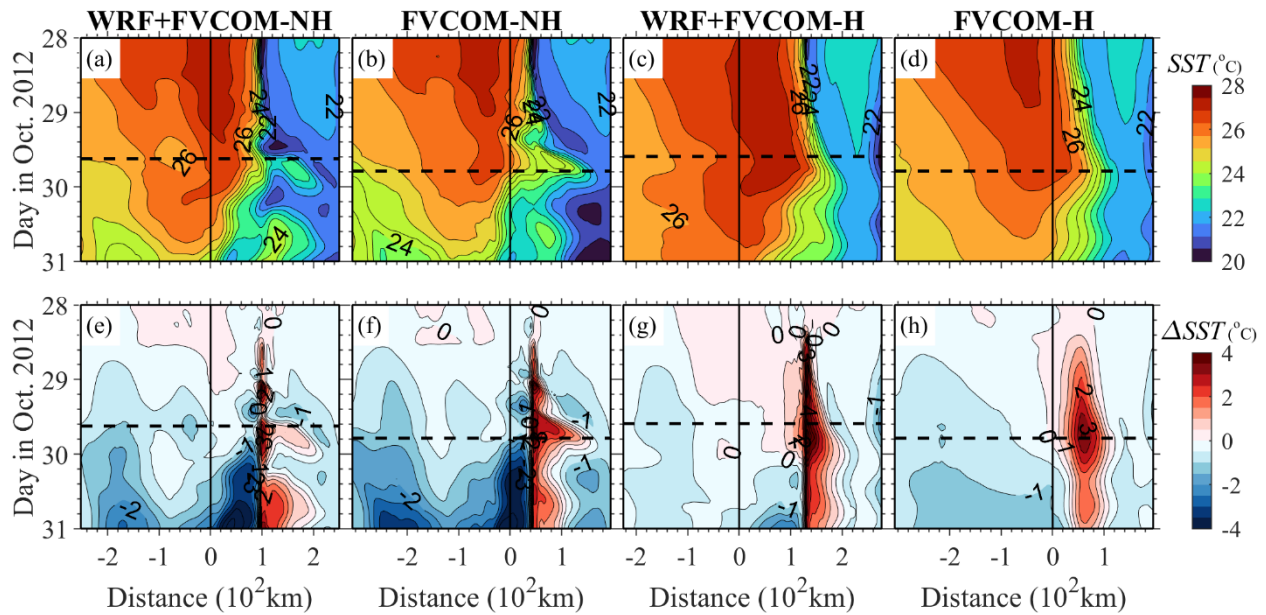


Fig. 19 The changes of SST (a-d) and  $\Delta SST$  (e-h) changes with time at S1 for the WRF+FVCOM-NH, FVCOM-NH, WRF+FVCOM-H, and FVCOM-H cases.  $\Delta SST$  is the SST difference relative to the initial SST at 00:00 on 28 Oct. 2012. (a-d) are the SST changes; (e-h) are the  $\Delta SST$  changes. The y-axis is the time, and the x-axis is the distance relative to the hurricane center. Positive (negative) x: right (left) of the storm center and negative: left. Black dashed lines: the time at which hurricane arrived at the transects; black solid lines: the origin of the x-axis, defined as the location of the hurricane center when the maximum inertial response is reached.

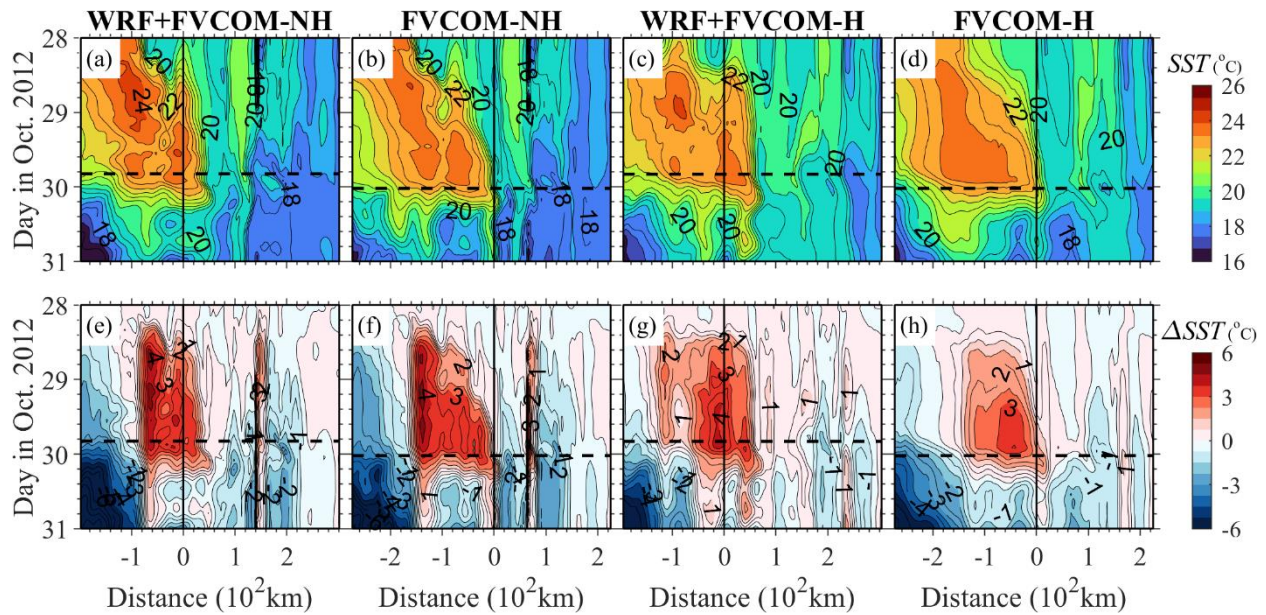


Fig. 20: The SST (a-d) and  $\Delta SST$  (e-h) changes with time at S2 for the WRF+FVCOM-NH, FVCOM-NH, WRF+FVCOM-H, and FVCOM-H cases.  $\Delta SST$  is the SST difference relative to the initial SST at 00:00 on 28 Oct. 2012. The y-axis is the time, and the x-axis is the distance relative to the hurricane center. Positive (negative) x: right (left) of the storm center and negative: left. Black dashed lines: the time at which hurricane arrived at the transects; black solid lines: the origin of the x-axis, defined as the location of the hurricane center when the maximum inertial response is reached.

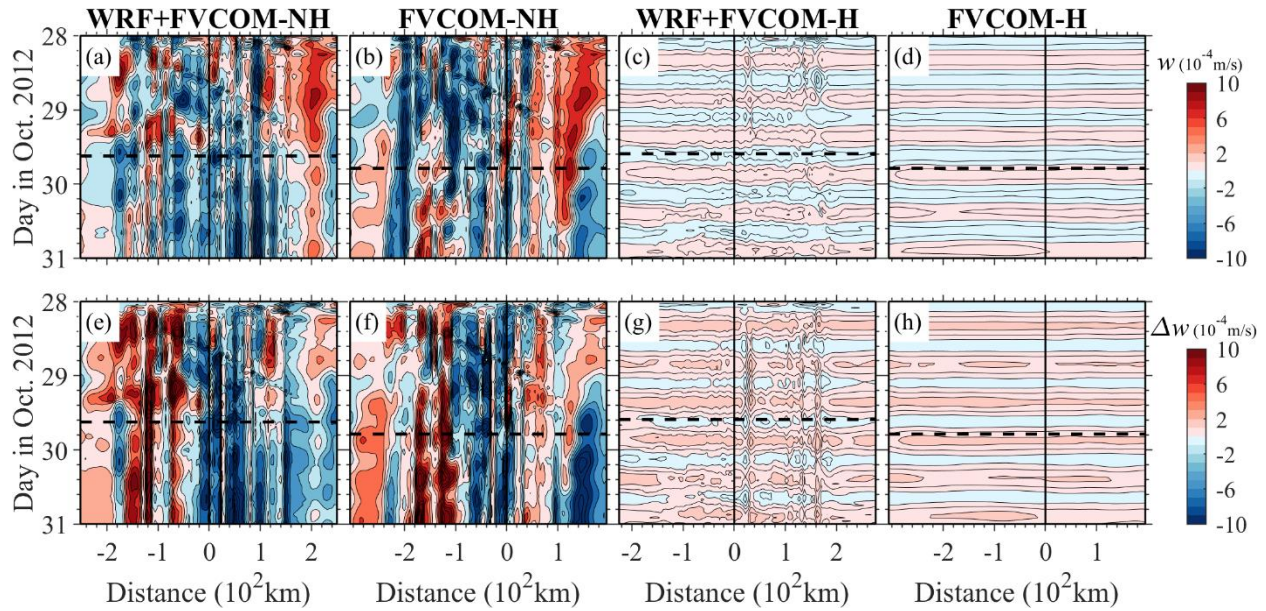


Fig. 21 The near-surface vertical velocity ( $w$ ) (a-d) and  $\Delta w$  (e-h) changes with time at S1 for the WRF+FVCOM-NH, FVCOM-NH, WRF+FVCOM-H, and FVCOM-H cases.  $\Delta w$  is the  $w$  difference relative to the initial  $w$  at 00:00 on 28 Oct. 2012. The y-axis is the time, and the x-axis is the distance relative to the hurricane center. Positive (negative) x: right (left) of the storm center and negative: left. Black dashed lines: the time at which hurricane arrived at the transects; black solid lines: the origin of the x-axis, defined as the location of the hurricane center when the maximum inertial response is reached.

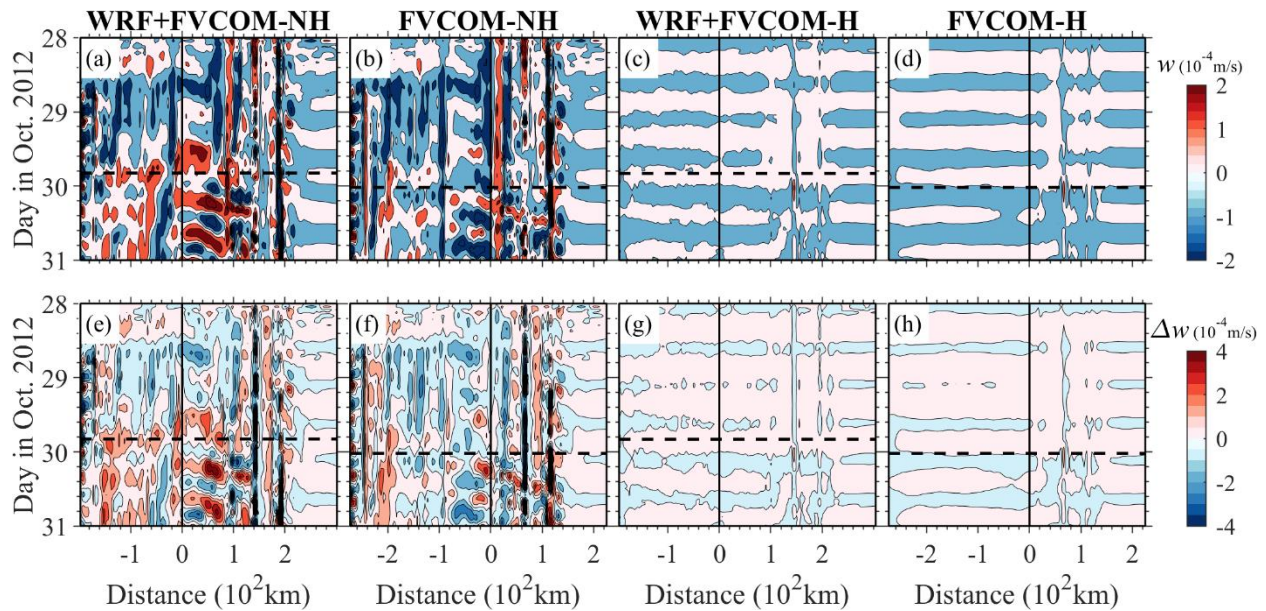


Fig. 22 The changes of the near-surface vertical velocity ( $w$ ) (a-d) and  $\Delta w$  (e-h) with time at S2 for the WRF+FVCOM-NH, FVCOM-NH, WRF+FVCOM-H, and FVCOM-H cases.  $\Delta w$  is the  $w$  difference relative to the initial  $w$  at 00:00 on 28 Oct. 2012. (a-d) are the  $w$  changes; (e-h) are the  $\Delta w$  changes. The y-axis is the time, and the x-axis is the distance relative to the hurricane center. Positive (negative)  $x$ : right (left) of the storm center and negative: left. Black dashed lines: the time at which hurricane arrived at the transects; black solid lines: the origin of the x-axis, defined as the location of the hurricane center when the maximum inertial response is reached.

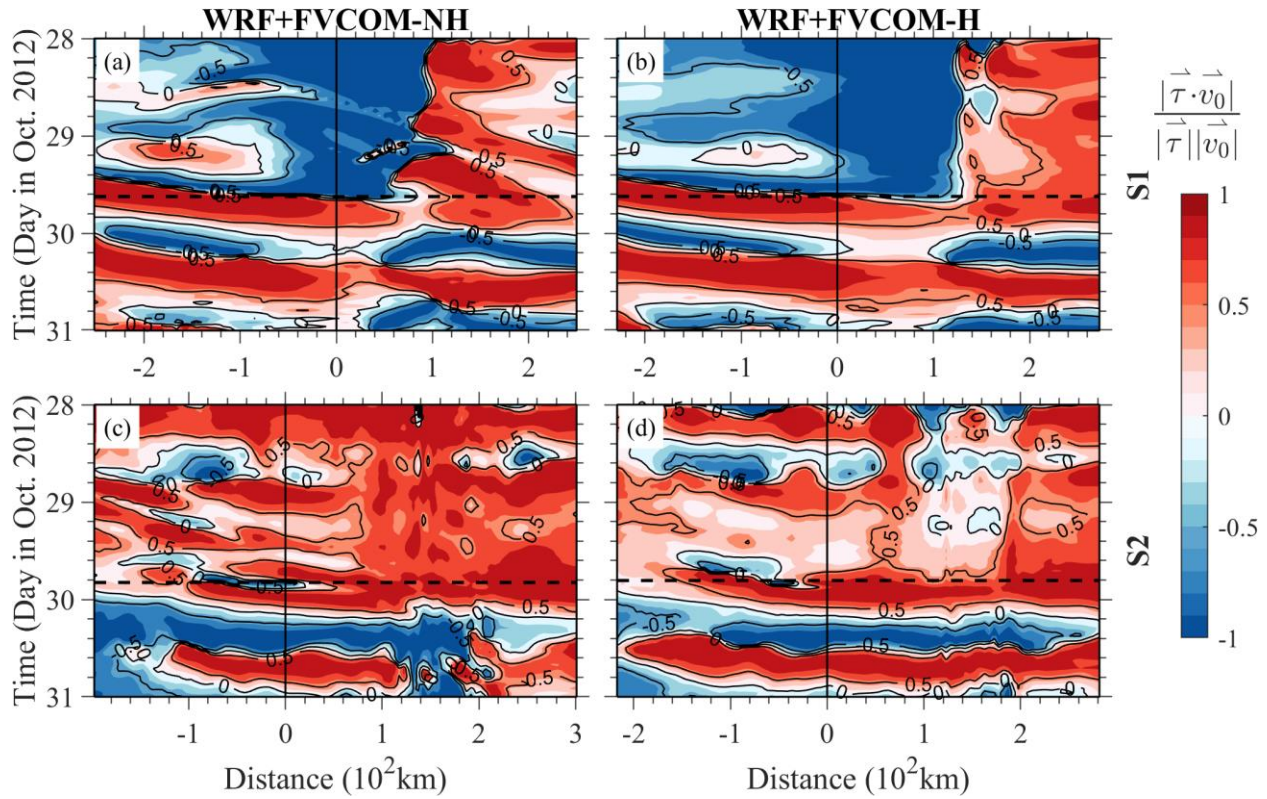


Fig. 23 The cosine angle between the wind stress and the oceanic surface velocity at S1 (a-b) and S2 (c-d) for the WRF+FVCOM-NH and WRF+FVCOM-H cases. Black dashed lines: the time of hurricane arriving the transects; solid black solid lines: the origin of the x-axis, defined as the location of the hurricane center when the maximum inertial response is reached the hurricane center. Positive (negative) x: right (left) of the storm center.



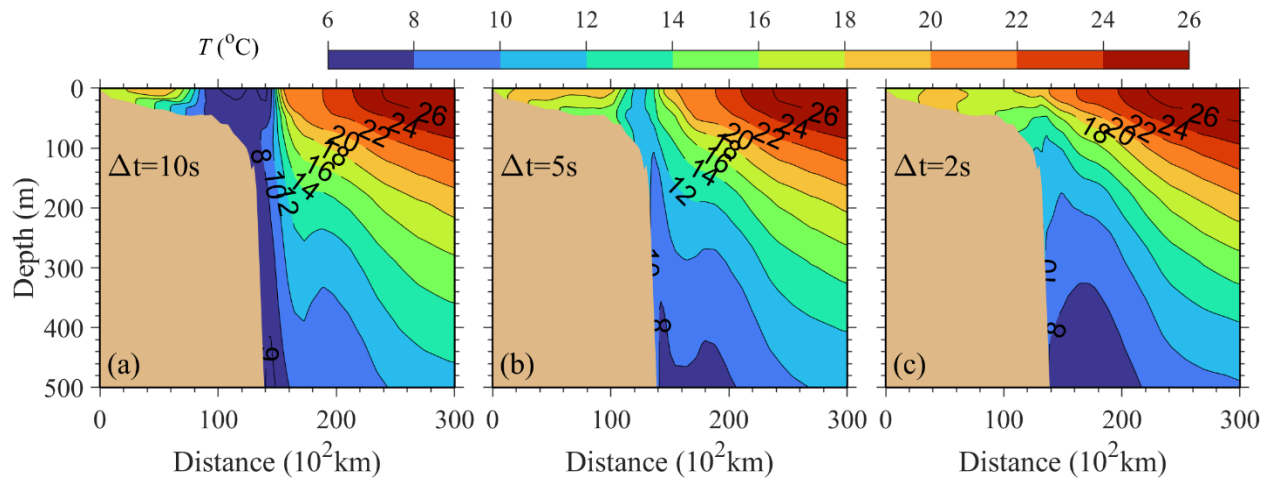


Fig. A1 The cross-shelf distributions of the temperature predicted by FVCOM-NH with time steps of 10 (a), 5 (b), and 2 (c) s.

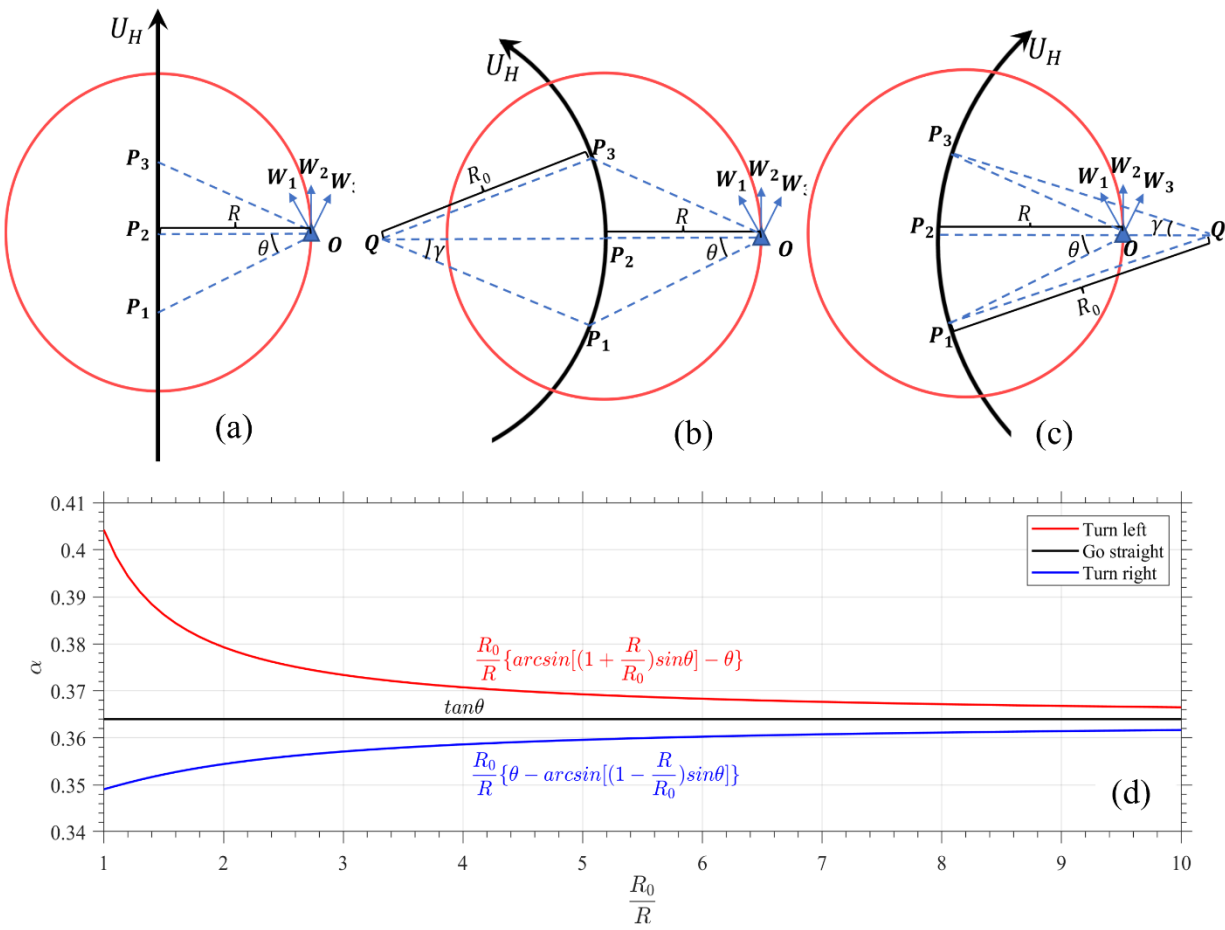


Fig. B1 The sketch of wind rotation at a fixed position on the right side of a storm in the situations of going straight (a), turning left (b), and turning right (c), and the change of  $\alpha$  with  $\frac{R_0}{R}$  (d). In (a-c), red circle: the radius of  $R$  relative to the storm center; blue triangle: the fixed position on the right side of the storm center; black arrow: the storm translation direction.

Table 1 Experiment descriptions. For ocean dynamics column, ‘H’ for hydrostatic and ‘NH’ for non-hydrostatic.

Case	Model	Ocean dynamics	Meteorological forcings	Oceanic forcings
WRF+FVCOM-NH	Coupled model	NH	Via coupler	Via coupler
WRF+FVCOM-H	Coupled model	H	Via coupler	Via coupler
WRF	WRF	\	\	Static FNL data
FVCOM-NH	FVCOM	NH	From WRF	\
FVCOM-H	FVCOM	H	From WRF	\

Table 2 Comparisons between the simulated and observed paths of Hurricane Sandy and minimum central pressures for the WRF+FVCOM-NH, WRF+FVCOM-H, and uncoupled WRF cases. The time was from 18:00 on 28 Oct. to 21:00 on 29 Oct.  $\Delta D_{max}$ : the maximum center distance;  $RMSE_D$ : the root-mean-square error of center location;  $\Delta SLP_{max}$ : the maximum error of central SLP;  $RMSE_{SLP}$ : the root-mean-square error of central SLP.

Variables	WRF+FVCOM-NH	WRF+FVCOM-H	WRF
$\Delta D_{max}$ (km)	78.5	94.7	255.5
$RMSE_D$ (km)	57.1	47.6	193.7
$\Delta SLP_{max}$ (hPa)	6.9	6.7	25.2
$RMSE_{SLP}$ (hPa)	3.9	4.2	12.2

Table 3 Comparisons between the simulated and observed 10-m wind speeds, 10-m wind directions, and sea level pressures at buoy stations 44065, ACYN4, 41048, and 41002 for the WRF+FVCOM-NH, WRF+FVCOM-H and uncoupled WRF cases.  $\Delta WS_{max}$ : the maximum error of 10-m wind speed;  $RMSE_{WS}$ : the root-mean-square error of 10-m wind speed;  $\Delta WD_{max}$ : the maximum error of 10-m wind direction;  $RMSE_{WD}$ : the root-mean-square error of 10-m wind direction;  $\Delta SP_{max}$ : the maximum error of surface pressure;  $RMSE_{SP}$ : the root-mean-square error of surface pressure. Light blue box: the WRF+FVCOM-NH case; brown box: the WRF+FVCOM-H case; clear box: the uncoupled WRF case.

Stations	44065			ACYN4			41048			41002		
$\Delta WS_{max}$ (m/s)	7.8	7.8	13.6	-	-	-	4.8	4.2	7.4	4.5	3.9	6.1
$RMSE_{WS}$ (m/s)	2.8	2.6	5.0	-	-	-	2.3	2.2	4.7	2.1	2.0	2.5
$\Delta WD_{max}$ (°)	28.1	24.7	102.1	-	-	-	26.7	30.6	23.4	27.1	27.9	30.2
$RMSE_{WD}$ (°)	9.0	8.5	31.6	-	-	-	10.3	10.3	9.9	9.4	9.3	15.6
$\Delta SP_{max}$ (hPa)	9.7	7.5	34.1	17.4	12.1	30.0	2.8	2.9	3.0	5.6	5.7	5.3
$RMSE_{SP}$ (hPa)	4.7	4.1	11.3	6.1	5.0	11.9	1.2	1.2	1.4	2.0	2.0	2.0

Table 4 Water elevation RMSEs of the WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and FVCOM-H cases, at three selected stations and total 23 stations.

Station	WRF+FVCOM-NH (m)	WRF+FVCOM-H (m)	FVCOM-NH (m)	FVCOM-H (m)
The Battery	0.35	0.28	0.30	0.33
Atlantic City	0.47	0.38	0.23	0.26
Cape May	0.48	0.40	0.20	0.25
Total 23 stations	0.38	0.31	0.26	0.28

Table 5 Comparison of the surface current speed and direction for the WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and FVCOM-H cases.  $\Delta CS_{mean}$ : mean current speed difference;  $\Delta CS_{STD}$ : standard deviation of the current speed difference;  $\Delta CD_{mean}$ : mean current direction difference;  $\Delta CD_{STD}$ : standard deviation of the current direction difference;  $RMSE_C$ : RMSEs of the oceanic surface current vectors.

Variables	WRF+FVCOM-NH	WRF+FVCOM-H	FVCOM-NH	FVCOM-H
$\Delta CS_{mean}$ (m/s)	0.2	0.2	0.2	0.1
$\Delta CS_{STD}$ (m/s)	0.3	0.2	0.3	0.2
$\Delta CD_{mean}$ (°)	25.4	23.4	32.4	28.9
$\Delta CD_{STD}$ (°)	36.3	33.1	39.8	35.0
$RMSE_C$ (m/s)	0.4	0.3	0.4	0.3

Table 6 RMSE between the observation and the simulated results of the WRF+FVCOM-NH, WRF+FVCOM-H, FVCOM-NH, and FVCOM-H cases on ocean temperature and salinity.  $RMSE_T$ : RMSE of ocean temperature;  $RMSE_S$ : RMSE of salinity.

Variables	WRF+FVCOM-NH	WRF+FVCOM-H	FVCOM-NH	FVCOM-H
$RMSE_T$ (°C)	1.7	1.7	1.7	1.7
$RMSE_S$ (PSU)	0.7	0.7	0.6	0.6