

the experimental trawl significantly reduces larger scup (> 27 cm FL) and larger black sea bass
(> 37 cm TL), and all summer flounder size-classes. Therefore, this grid design may not be a
suitable bycatch reduction device for the Nantucket Sound squid trawl fishery, and further work
is needed to understand squid behavior within a trawl to develop a successful bycatch reduction
strategy for the New England longfin inshore squid fishery.

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34 Introduction

The longfin inshore squid (Doryteuthis pealeii, hereafter 'squid') is widely distributed 35 in the western Atlantic Ocean from Venezuela to Newfoundland (Cohen, 1976; Dawe et 36 37 al., 1990). In the northwest Atlantic, squid are concentrated between Cape Hatteras and Georges Bank, where they are harvested commercially (Lange and Sissenwine, 1983; 38 39 Hatfield and Cadrin, 2002). During autumn and winter months, they are found offshore in deeper waters (> 30 m) and are pursued by larger otter trawl vessels (> 22 m). During 40 spring and summer, squid migrate inshore to spawn in warm shallow waters, and are 41 fished by smaller vessels with otter trawls and weirs in Nantucket Sound and southern 42 43 New England (Serchuk and Rathjen, 1974; Hatfield and Cadrin, 2002).

Squid co-occur with many finfish species in the eastern USA that are often 44 45 captured as bycatch by the small mesh trawl codends (76 mm or less) used in the 46 fishery, including butterfish (*Peprilus triacanthus*), scup (*Stenotomus chrysops*), 47 summer flounder (Paralichthys dentatus), black sea bass (Centropristis striata), and smooth dogfish (Mustelus canis) (Bayse and He, in press). Butterfish had no directed 48 49 fishery prior to 2014 and had a limited market (Hendrickson, 2011). Consequently, any butterfish catch was simply discarded. Scup has had small (e.g. 363 kg) per-trip landing 50 quotas (NEFSC, 2010). Because scup are commonly found in large schools, the scup 51 trip quota can potentially be exceeded with a single tow, again resulting in wasteful 52 53 discards (Pol and Carr, 2000; Bayse et al., 2014). Bycatch of butterfish, scup, and other 54 fish species has led to a variety of trawl gear modification tests, each having partial 55 success, but all losing too many squid for commercial applications (Glass et al., 1999; 56 Pol and Carr, 2000; Glass et al., 2001; Pol et al., 2002; Hendrickson, 2005).

57 One particular bycatch reduction device (BRD), the Nordmøre grid, has been 58 introduced successfully into many small-mesh shrimp fisheries worldwide (Isaksen et 59 al., 1992; Fonseca et al., 2005; Silva et al., 2011), and has been mandated in the nearby Gulf of Maine Northern shrimp (*Pandalus borealis*) fishery where it has successfully 60 separated finfish from Northern shrimp (Richards and Hendrickson, 2006; He and 61 62 Balzano, 2007, 2011, 2012a, 2012b, 2013). The Nordmøre grid separates species both mechanically (by size) and via behavioral differences (e.g. length and species related 63 swimming capacity) (He, 1993; Fonseca et al., 2005; He and Balzano, 2011). 64

In the New England squid fishery, several grid-like BRDs within the trawl 65 extension were also tested (Pol et al., 2002). The 'vee excluder' (a 63.5 mm mesh panel 66 67 with two lateral fisheye separators) and a ring excluder (grid-like separator made up of 59.9 mm rings with two lateral fish eye separators) successfully reduced larger-sized 68 69 scup, but were inconclusive or suffered unacceptable levels of squid loss. A large $360\Box$ opening (connected by ropes) in a squid trawl between the extension and codend 70 71 significantly reduced large-sized scup but did not significantly reduce squid or 72 butterfish catch (L. Skrobe, pers. comm.).

We theorize that a modified Nordmøre grid within a squid trawl extension can allow commercially acceptable squid catch quantities while reducing bycatch. Based on previous success of the Nordmøre grid reducing fish capture while maintaining the catch of smaller, slower swimming target species, and due to prior experiences in bycatch reduction for the squid fishery, we hypothesize that a squid trawl with a modified Nordmøre grid would reduce the capture of unwanted fishes, such as scup, while retaining enough squid to maintain a viable commercial fishery.

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82 Materials and methods

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84 Trawl design

A balloon-style trawl net was modified to incorporate the experimental changes (Fig.1). 85 86 The headline length is 21.5 m, and both the groundgear and fishing line measure 25.6 m. The groundgear is a modified 'drop-chain' design (Nguyen et al., 2015; Bayse et al., 87 2016a), where chains connecting the fishing line and groundgear allow the fishing line 88 to 'rise' off the seabed and away from the groundgear. Drop-chains are 30.5 cm long at 89 90 the center section of the groundgear, and 20.3 cm at the wingends to allow for the appropriate tapering of the trawl mouth. The groundgear consists of 30.5 cm rollers and 91 92 7.6 cm rubber discs, and has a 60 cm distance between adjacent rollers. One drop-chain is installed at the middle of two adjacent rollers along the entire length of the 93 94 groundgear; thus the distance between two nearest drop chains is also 60 cm. At the 95 center of the groundgear, the fishing line is 49.6 cm above the seabed, and 39.4 cm at 96 the wingends. Both control and experimental hauls use the same trawl from the 97 extension forward. The extension rigging was alternated between the experimental 98 design and the standard extension (see below). Both codends are identical in design, and constructed of 63.5 mm polyethylene single twine diamond mesh (inside knot), with a 99 100 127 mm polyethylene double twine diamond mesh codend strengthener.

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102 Trawl extension and grid design

103 The experimental trawl extension and separator grid design were described in Bayse et 104 al. (2014) (Fig. 2). External dimensions of the grid are 1465 mm \times 935 mm with inner spacings of 60×241 mm (Fig. 3). The grid is set within the trawl extension at 50° 105 upward from horizontal with the top of the grid leaning toward the codend, and four 106 27.9 cm floats are used to compensate for the weight of the grid. A grid with horizontal 107 bars was chosen to prevent passage of scup and butterfish, both of which have laterally 108 109 compressed body types. PVC tubes (20 mm external-diameter) cover the grid bars to provide a rolling effect during fishing to facilitate squid capture. The extension includes 110 a guiding funnel (forward end 192 meshes round, 82 meshes from center-forward to 111 center-aft, and 24 meshes semicircle aft end), which terminates six meshes from the 112

base of the grid, and the escape window is triangularly shaped with an aft base of 0.9 m and an apex forward 2.4 m. A small section of chaffing gear is used at the bottom of the grid. For control tows, a conventional extension (no grid, funnel, or escape window) of equal length and mesh size is used.

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118 Sea trials

Sea trials were carried out in Nantucket Sound, Massachusetts, USA aboard the F/V 119 Atlantic Prince, a 21 m, 272.2 kW (365 hp) commercial trawl vessel, between 25 May 120 and 4 June 2011. Mean door and wing spread were measured with the TrawlMaster 121 system (Notus Electronics Ltd., St. John's, NL, Canada). The experimental and control 122 123 extension and codend were detached and attached using a rope laced through plastic rings at the forward section of the extension for easy switchover. Tows were alternated 124 125 between the experimental and control configurations in an ABBA and BAAB format (A 126 = control; B = experimental), alternating which tow was used first daily. All tows were during daylight hours, and tow durations were standardized to one hour. A video was 127 128 taken at the trawl extension, grid, and escape window for fish and squid behavior for 129 tows prior to comparative fishing. Details of camera placement and squid behavior were 130 described in Bayse et al. (2014a).

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132 Data collection and analysis

Catches in the codends were sorted by species and weighed to the nearest 0.1 kg using a Marel 1100 motion compensated scale; catches in front of the grid were noted but not quantified. Squid were measured by mantle length and fish were measured by total length or fork length (cm), where appropriate. When large numbers of a species were caught, a subsample of 50-70 individuals was taken for length analysis. Sea grass, other marine macrophytes, marine litter, rocks, and some other catch components were grouped together as 'benthos/debris'.

140 Catches between trawls for major species were analyzed with linear mixed 141 models (Bayse et al., 2016b). Catch weights (kg) were standardized to per ha trawled by 142 the area swept of the footrope (average wing spread x the distance trawled). Catch data 143 were log transformed so that differences between trawls would be modeled as multiplicative. Predicted mean weights per ha were obtained by back-transforming. The 144 145 fixed effect was 'trawl' (grid or no grid), and the random effects were 'days' and 'tow sequence' within a day for each model. Models were fitted using the lme4 package of 146 the R statistical software (R Development Core Team, 2009; Bates et al., 2013). The 147 significance of each trawl type was determined using likelihood ratio tests where the 148 test statistic (χ^2) is the difference in deviance d_o - d_a, where d_a is the deviance of the full 149 model and d_0 is the deviance of the constrained model (Bates et al., 2013). Catches 150 between trawls (kg ha⁻¹) for major species and groups were examined using equal catch 151 plots, where each point represents one control-experimental pair, with the catch in the 152 control net on the x-axis (Fig. 4). 153

154 Fish catch-at-length was analyzed for tows using a grid by comparing the proportion of catch at each length class using the methods of Holst and Revill (2009). 155 156 This approach uses polynomial generalized linear mixed models (GLMMs) to fit curves 157 of the expected proportions of catch length using the MASS package (Venables and 158 Ripley, 2002) in R. This method uses low-order polynomial approximations (cubic, 159 quadratic, linear, or constant) to fit the proportions at length retained in the grid trawl codend to those retained by the control and grid codends producing realistic curves and 160 confidence intervals. A proportion of 0.5 indicates no difference in catch between the 161 162 two trawls at the specific length. A proportion of 0.75 indicates that 75% of fish at a length were caught by the experimental trawl and 25% by the control trawl. The fixed 163 164 effect was 'length', random effects were 'tow' and 'day', and the subsample ratio was used as an offset. Using a binomial link function, the analysis began by fitting the cubic 165 166 polynomial followed by subsequent reductions of terms until all showed statistical 167 significance (p < 0.05) based on Wald *t*-tests, with removal of one term at a time to determine the best model fit (either constant, linear, quadratic, or cubic) (for additional 168 169 details see Holst and Revill, 2009).

171 **Results**

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Thirty-two tows were completed. Towing speeds were between 2.8 and 3.4 knots, and fishing depths between 10.1 and 19.8 m. Mean door spread was 40.1 m (SEM \pm 0.7) and wing spread 11.0 m (SEM \pm 0.3). Mean tow distance was 5,489 m, and mean area swept was 6.0 ha. For one tow, a large amount of scup was caught in the control codend (~ 6000 kg), and was only partially retrieved. A visual estimate was made for scup; estimates of other species were not possible.

Mean predicted catch rates of squid were reduced 47.5% by the experimental 179 trawl, which was significantly different (p < 0.001, Table 1). According to an equal 180 catch plot (Fig. 4), squid catch was greater in the control trawl for nearly all hauls, with 181 182 four pairs being approximately even. Squid mantle lengths ranged between 3 and 34 cm for the experimental tows and 4 to 33 cm for the control tows (Fig. 5). According to the 183 184 GLMM analysis, length was not a factor in catch differences between the two trawls for squid that were retained in the codend (Fig. 5; Table 2). No squid were observed to 185 186 escape under the fishing line; for further details for behavior and rates of escape under the fishing line for finfish see Bayse et al. (2016b). 187

Scup was the most abundant bycatch species, with the predicted mean catch rate 188 trend 40.2% less for the experimental trawl, but was not statistically significant (p > 1189 0.258, Table 1). The predicted mean difference was likely a result of two large catches 190 by the control trawl (Fig. 4); most pairs had similar catches. Based on the GLMM, only 191 192 scup greater than 27 cm were significantly reduced by the experimental design; a 193 quadratic curve provided the best fit (Fig. 6; Table 2). Catches above 27 cm were, however, very few compared to catches of smaller fish. Scup were observed by video to 194 195 typically enter the trawl extensions in large groups, but large amounts of time passed with no scup in the trawl extension. Scup swam with the trawl at the experimental 196 197 extension for times ranging from only a few seconds (reaching the grid and immediately 198 exiting out the escape window), to greater than 30 min at the grid, until haul back.

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199 Black sea bass (*Centropristis striata*) predicted mean catch rate was reduced by 71.6% in the experimental trawl, which was significantly different (p = 0.001, Table 1). 200 201 When black sea bass were present in the catch a majority was captured by the control 202 trawl for all but one pair (Fig. 4). Black sea bass greater than 37 cm were significantly reduced by the experimental trawl; based on the GLMM, the linear curve fit best (Fig. 203 204 7; Table 2). Black sea bass displayed similar behavior as scup in the trawl extension, swimming for a large range of time in the trawl from a few seconds to more than 20 205 min. 206

Summer flounder (*Paralichthys dentatus*) predicted mean catch rate was reduced (76.4%) significantly in the experimental trawl (p < 0.001, Table 1), and for all but one pair (Fig. 4). All summer flounder length classes were caught less by the experimental trawl; a quadratic curve was the best fit (Fig. 8; Table 2). Summer flounder typically entered the trawl extension individually, and exited the trawl by swimming directly up the grid and out of the escape window.

213 Smooth dogfish (*Mustelus canis*) predicted catch rate was reduced 86.0% by the 214 experimental net, and was significantly different (p < 0.001, Table 1). For each pair, the 215 control trawl captured more smooth dogfish. Smooth dogfish were not observed on 216 video.

Other species were captured infrequently and not analyzed individually, but were included in the total bycatch category. Mean predicted catch rate for total bycatch was significantly reduced (69.2%, p < 0.001, Table 1), and all but one pair had a greater catch rate by the control trawl (Fig. 4). Mean predicted catch rate of benthos/debris trended less for the experimental trawl (33.3%), but was not significant (p = 0.512, Table 1); haul-to-haul benthos/debris catch rate was inconsistent between trawls (Fig. 4).

224

225 **Discussion**

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228 The results of this study demonstrate that a separator grid can reduce catches of many commonly encountered bycatch species, as well as total bycatch and benthos/debris. 229 230 Significant catch rate reductions are found for black sea bass, summer flounder, smooth 231 dogfish, and total bycatch. However, results for scup and benthos/debris were equivocal 232 large mean reductions were observed, but no statistical significance was found; haul-233 to-haul variation is the likely reason why. The patchy distribution and vulnerability of 234 scup has confounded previous attempts to reduce their bycatch (Pol et al., 2002). Both 235 scup and benthos/debris catches were not consistent over the 32 tows. Additionally, 236 mean reduction of scup was primarily due to two tows with large scup retention in the 237 control trawl. These two tows can be considered outliers, but is also consistent with how 238 scup are caught within the fishery, typically by sporadic large catches.

The large loss of squid (47.5%) indicates that the grid may not be suitable for commercial application in this fishery. Video observations (Bayse et al., 2014) showed that squid easily escaped upward through the escape window before reaching the grid. No length differences were observed between control and experimental trawls for squid, implying that escape ability is not length-dependent within the length range of squid caught.

Squid have been observed by escape-jetting, a rapid inflation of the mantle that 245 powerfully forces water out of the funnel one to several times in a row (Anderson and 246 247 DeMont, 2005; Bayse et al., 2014). Escape jetting provides squid with a mechanism to easily escape from trawl openings, such as that used in this study. Small and juvenile 248 249 squids, which could pass through the grid, have the ability to jet escape (Hanlon and Messenger, 1996). Combined with escape through net meshes, this escape jet ability of 250 251 small sized squid may be the reason that no length effect was found between the 252 experimental and control trawls.

A grid with horizontal bars should logically reduce catches of laterally flattened scup. Our results indicate it did not do so consistently. Some of our observations from collected video suggest that scup passage can occur at haul back when scup were observed to turn laterally and pass through grid openings. Additionally, our video
suggests that scup were disinclined to move upward toward the escape opening when
faced with an obstacle. In prior research (Pol et al., 2002), lateral escape openings were
very effective (up to 100%) at eliminating scup larger than 10 cm from a squid trawl,
but not smaller-sized scup; optimal grid design and escape opening placement remains
to be determined for scup.

Large scup and black sea bass (27 cm and 37 cm and greater, respectively) catches were significantly reduced by the experimental trawl. Larger finfishes are likely excluded due to the small grid spacings (which they could not fit through). Additionally, larger fish can swim for longer periods of time (He and Wardle, 1988). Larger scup and black sea bass could likely swim in front of the grid longer than smaller fish, providing more opportunities to use the escape window.

In contrast to scup, the exclusion of summer flounder was unexpected based on 268 269 their dorso-ventrally flattened body type. The horizontal spacings of the grid are designed to inhibit laterally-compressed finfish entrance, but not dorso-ventrally flatter 270 271 fish body shapes. Nevertheless, all summer flounder size classes were significantly 272 reduced in the experimental gear. Summer flounder were observed on video to swim directly up the grid and out of the escape window. This finding contributes to other 273 274 studies that have found flatfish using the water column, and not remaining on the 275 bottom (e.g. Bublitz, 1996; Cadrin and Westwood, 2004). Further research on this topic is needed. 276

Smooth dogfish catch was significantly reduced in the experimental trawl.
Although smooth dogfish are not currently a major bycatch issue, this result could
ultimately be a positive outcome for this fishery, as this species has the potential to
become a nuisance in trawl fisheries (Lawson et al., 2007). This exclusion by a grid
with horizontal bars in the small mesh squid trawl fishery echoed observed reductions
of the morphologically similar spiny dogfish (*Squalus acanthias*), a nuisance bycatch
species in the small mesh silver hake fishery in the same region (Chosid et al., 2012).

284 The large reductions in squid catch (reported in this paper) subsequently 285 prompted modifications to the nettings surrounding the grid (modifications tested after 286 tests reported in this paper, and not reported within this paper). These latter tests 287 included: reducing the escape window size, modifying the funnel into an escape ramp, and increasing the distance between the terminal end of the ramp and the grid. None of 288 289 these modifications effectively separated fish from squid. Video depicted large fish congregations able to exit through the escape window, holding station just forward of 290 291 the grid, where they remained until haul back, ultimately being captured.

292 Separating squid from fish in the extension of a trawl remains challenging for the New England squid fishery. Bayse et al. (2014) documented squid reactions to the 293 294 grid and found that squid could perceive and react quickly to both a grid and an escape 295 window, which explains the large squid escape rates, whereby this study determined 296 that this squid loss was too great for a commercial fishery. The lack of motivation by 297 scup to escape from smaller escape windows suggests a behavioral difference that could 298 be exploited to reduce bycatch. Further investigation of the behavior of scup may yield useful results. Decreasing the size of the escape window and altering the funnel (as 299 300 compared to prior research) improved squid retention, but little separation was observed 301 for bycatch or debris. Future work aiming to separate squid and fishes in the extension 302 needs to concentrate on limiting the squid escape jet mechanism, yet still provide fish 303 with proper motivation to exit the trawl.

304

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- 1 Table 1. Mean predicted weight (kg) per ha trawled from linear mixed models, percent
- 2 predicted catch rate change between experimental trawl with grid and control trawl designs,
- 3 and likelihood ratio statistics (χ^2), and *p*-value for squid and major bycatch species . * denotes

Species		Mean (kg ha⁻¹)	% Change	χ²	<i>p</i> -value
Longfin inshore squid	Control	14.1	-47.5	22.877	< 0.001*
	Experimental	7.4			
Scup	Control	2.8	-40.2	1.282	0.258
0	Experimental	1.7			
Summer flounder	Control	1.4	-76.3	30.866	< 0.001*
	Experimental	0.3			
Black sea bass	Control	1.2	-71.6	11.649	0.001*
	Experimental	0.3			
Smooth dogfish	Control	1.4	-86.0	27.331	< 0.001*
T	Experimental	0.2			
Total bycatch	Control	8.9	-69.2	21.769	< 0.001*
	Experimental	2.7			
Benthos/debris	Control	1.4	-33.3	0.431	0.512
	Experimental	0.9			

4 statistical significance at α of 0.05.

5

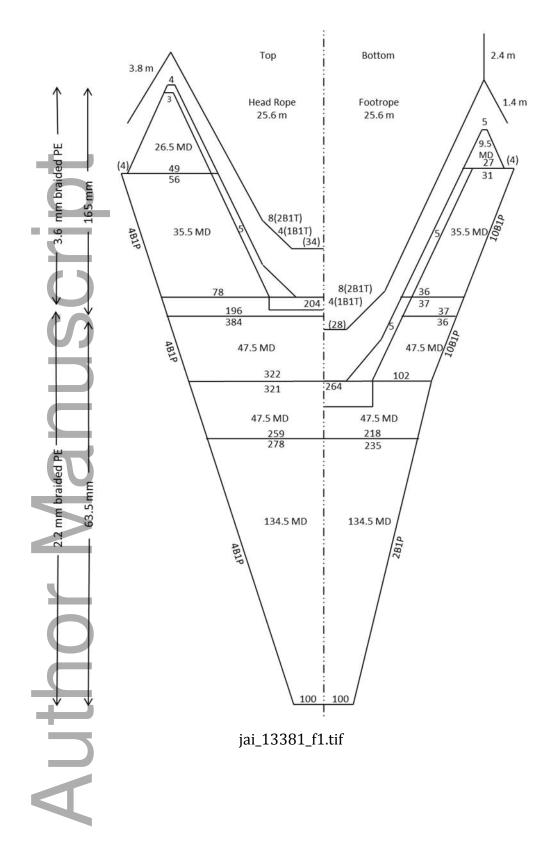
6 Table 2. Generalized linear mixed model parameters for squid, scup, summer flounder, and

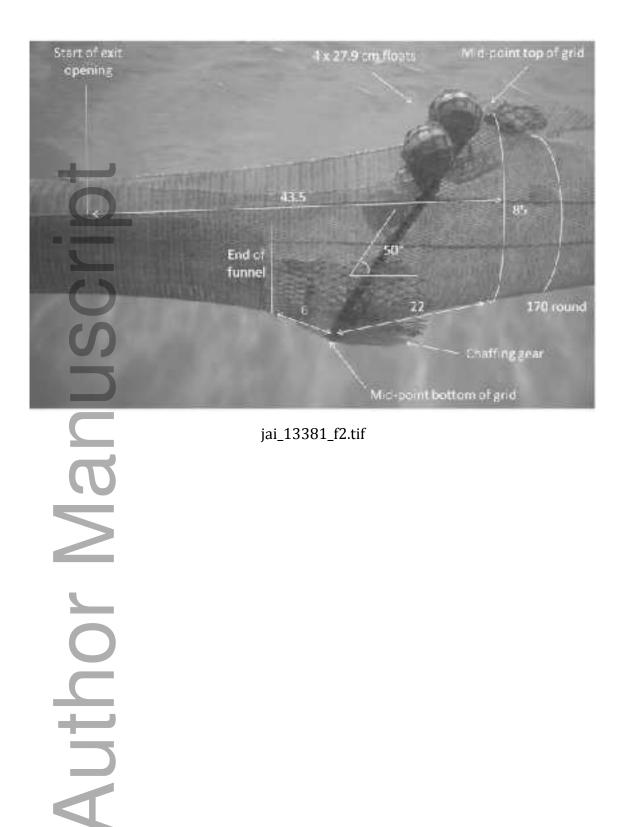
- 7 black sea bass, where model and parameter is the chosen model [either constant (β_0), linear
- 8 (β_1), quadratic (β_2), or cubic (β_3)], estimate is the value of the slope or intercept, SE = standard
- 9 error of the estimate; df = degrees of freedom.

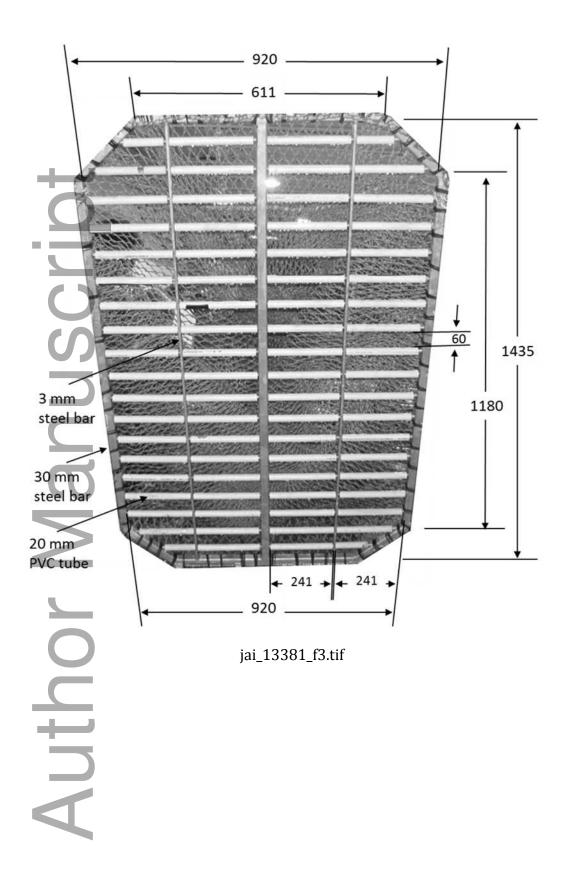
Treatment	Model	Parameter	Estimate	SE	df	<i>t</i> -value	<i>p</i> -value
Longfin inshore squid	Quadratic	β2	0.002	0.001	341	1.985	0.048
		β_1	-0.099	0.037	341	-2.670	0.008
		βo	1.241	0.888	341	1.398	0.163
Scup	Quadratic	β ₂	-4.474	2.271	150	-1.970	0.050
		β1	0.464	0.219	150	2.117	0.036

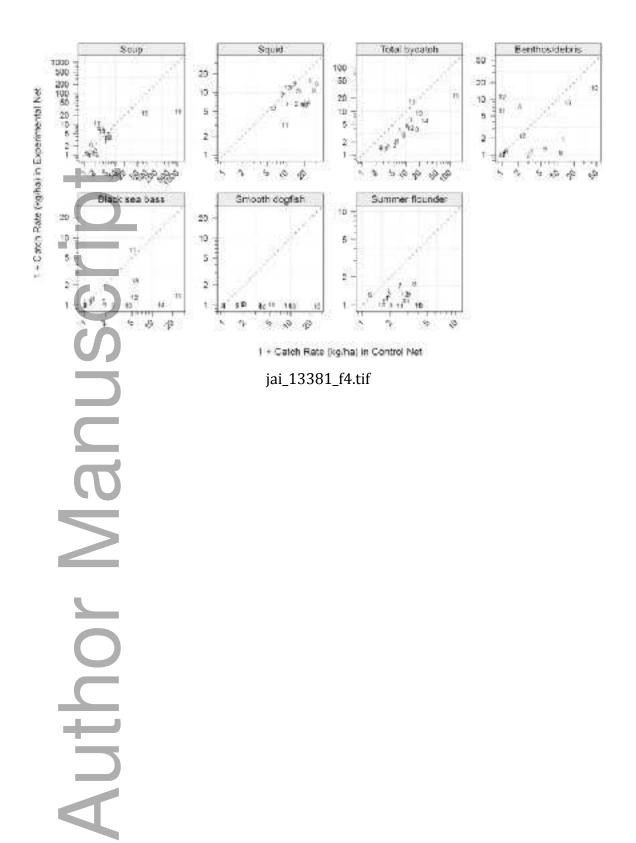
		βo	-0.012	0.005	150	-2.330	0.021
Summer flounder	Quadratic	β2	-14.117	6.131	105	-2.303	0.023
		β1	0.670	0.307	105	2.179	0.032
		βo	-0.009	0.004	105	-2.253	0.026
Black sea bass	Linear	β1	6.104	1.709	94	3.571	< 0.001
\mathbf{O}		β_0	-0.216	0.041	94	-5.260	< 0.001

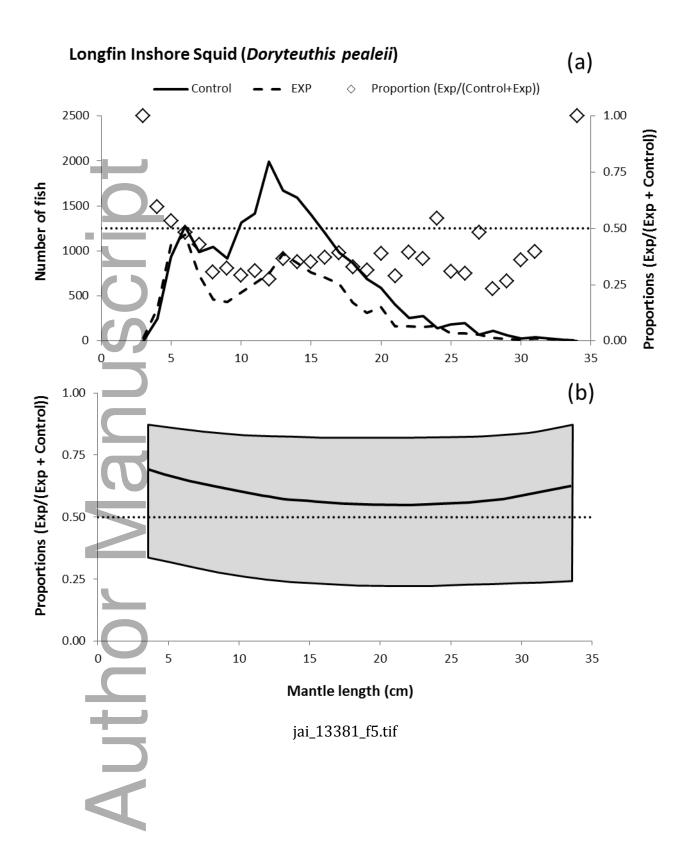
10 lanusc Author N



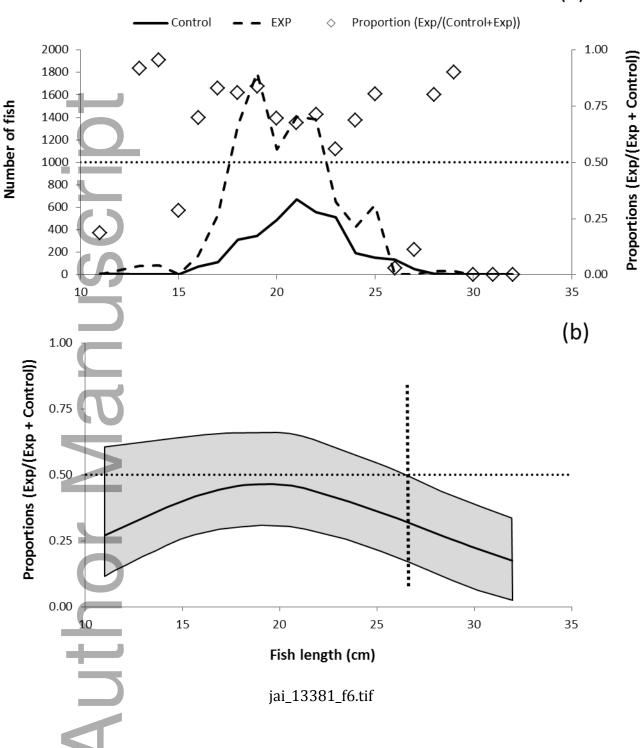








Scup (Stenotomus chrysops)



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(a)

