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Article Type: Article

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Nanophyetus salmincola infection and toxic contaminant exposure in outmigrating Steelhead Trout from Puget Sound, Washington: implications for early marine survival

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Running title: *Nanophyetus salmincola* and toxic contaminants in Steelhead Trout

Abstract

Outmigrating Steelhead Trout *Oncorhynchus mykiss* from four Puget Sound rivers, and associated marine basins of Puget Sound in Washington State were examined for the parasite

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1002/aah.10017](https://doi.org/10.1002/aah.10017)

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23 *Nanophyetus salmincola* in 2014 to determine whether recent trends in reduced marine survival
24 are associated with the presence of this pathogen. A subset of Steelhead Trout from three of
25 these river-marine basin combinations was analyzed for the presence of persistent organic
26 pollutants (POPs) to assess whether exposure to these contaminants is a contributing factor to
27 their reduced marine survival. The prevalence and parasite load of *N. salmincola* were
28 significantly higher in fish from central and southern Puget Sound than fish from river systems in
29 northern Puget Sound. The proportion of Steelhead Trout samples with concentrations of POPs
30 higher than adverse effects thresholds (AETs) or concentrations known to cause adverse effects
31 was also greater for fish from the central and southern regions of Puget Sound than the northern
32 region. Polybrominated diphenyl ether concentrations associated with increased disease
33 susceptibility were observed for 10% and 40% of the Steelhead Trout sampled from central and
34 southern Puget Sound regions, respectively, but none of the fish sampled from the northern
35 region. The AET for polychlorinated biphenyls was exceeded in Steelhead Trout collected from
36 marine habitats: 25% of the samples in the marine basins in the central and southern regions of
37 Puget Sound, and 17% of samples from northern Puget Sound region. Both *N. salmincola* and
38 POP levels suggest adverse health effects on outmigrating steelhead from one southern and one
39 central Puget Sound River that have lower early marine survival than a river system in northern
40 Puget Sound.

41 Introduction

42 Steelhead Trout *Oncorhynchus mykiss* populations in Puget Sound, WA are currently less
43 than 4% of historical abundance (Gayeski et al. 2011), and are listed as threatened under the U.S.
44 Endangered Species Act (NOAA 2007). From 1999 to 2004, adult Steelhead Trout populations
45 in Washington State increased 48% overall, but populations within Puget Sound decreased 23%
46 (Scott and Gill 2008). Hatchery Steelhead Trout populations in Puget Sound have smolt to adult
47 returns (SAR) of 0.4% compared to 4.4% for hatchery steelhead returns from the adjacent
48 Olympic Peninsula (Scott and Gill 2008), suggesting that factors influencing low marine survival
49 are specific to this region. The SAR is a result of combined ocean and early marine survival
50 (EMS). Early marine survival is defined as survival over the distance from river mouth to open
51 ocean entry (Moore et al. 2012). Acoustic telemetry studies show that hatchery Steelhead Trout
52 outmigrating from two rivers in the central portion of Puget Sound (Puyallup and Green-

53 Duwamish rivers) have an EMS rate of 5%, compared to the 20% EMS rate for hatchery
54 steelhead from the Skagit River in the northern region of Puget Sound (Moore et al. 2015),
55 despite use of a similar, non-native broodstock at the three locations. This implied that a
56 freshwater rearing factor specific to certain regions or rivers could contribute lower EMS.

57 *Nanophyetus salmincola* is a parasitic trematode that infects salmonid fishes in fresh waters
58 of the Pacific Northwest (Millemann and Knapp 1970). The adult worm lives in the intestine of
59 fish-eating birds and mammals. Eggs shed into the water by the host hatch into miracidia which
60 penetrate the first intermediate host, one of two species of snail *Juga plicifera* or *J. silicula*.
61 Asexual reproduction occurs within the snail, resulting in the development of miracidia into redia
62 and then cercaria. Developed cercaria emerge from the snail, and penetrate the piscine
63 secondary intermediate host. The cercaria then encyst as metacercariae in various organs of the
64 fish, including gills, muscle, and heart, but predominantly the posterior kidney (Millemann and
65 Knapp 1970). Once encysted, metacercariae typically survive the ocean phase of salmonid life
66 cycle (Weiseth et al. 1974). Because *N. salmincola* does not replicate within the fish host, any
67 host tissue damage occurs typically during the early penetration and tissue migration stages when
68 infections can be lethal to young salmonids (Baldwin et al. 1967). Under controlled conditions,
69 salmonids with early *N. salmincola* infections demonstrate reduced swimming performance
70 (Butler and Millemann 1971). Penetration and migration through the fish tissues causes damage
71 to nearly every organ system (Wood and Yasutake 1956). *Nanophyetus salmincola* has been
72 shown to reduce resistance of Chinook Salmon *Oncorhynchus tshawytscha* to *Flavobacterium*
73 *columnare* (Roon et al. 2015), and *Vibrio anguillarum* (Jacobsen et al. 2003), two pathogenic
74 bacteria that would be encountered by Steelhead Trout in fresh water and saltwater.
75 *Nanophyetus salmincola* is a likely cause of mortality to juvenile Coho Salmon *Oncorhynchus*
76 *kisutch* during the early ocean rearing phase (Jacobsen et al. 2008), and it is one of the most
77 prevalent pathogens of outmigrating Chinook Salmon in estuaries throughout the Pacific
78 Northwest (Arkoosh et al. 2004).

79 Juvenile Pacific salmon migrating through urbanized estuaries are exposed to toxic
80 contaminants at concentrations at which adverse effects are known to occur (Arkoosh et al. 1998,
81 Stehr et al., 2000, Johnson et al. 2007a, b., Johnson et al. 2013, Olson et al. 2008, Meador et al.
82 2010, Sloan et al. 2010, O'Neill et al. 2015, Yanagida et al. 2012), however, such information is

83 lacking for Steelhead Trout. Salmonids exposed to environmentally relevant concentrations of
84 toxic contaminants may experience poor growth and metabolic dysfunction (Meador et al. 2002,
85 2006), and reduced immune function, rendering them more vulnerable to naturally occurring
86 pathogens (Arkoosh and Collier, 2002; Arkoosh et al. 1994, 1998, 2001, 2010, 2013; Bravo et al.
87 2006), either alone or in conjunction with other stressors such as parasites (Jacobsen et al. 2003),
88 which may ultimately reduce their marine survival (Johnson et al. 2013, Meador et al. 2014).
89 Within Puget Sound, juvenile Chinook Salmon migrating from urban rivers and estuaries are
90 exposed to higher toxic contaminants than those from non-urban estuaries (Arkoosh et al. 1998,
91 Johnson et al. 2007a, Sloan et al. 2010, O'Neill et al. 2015), including persistent organic
92 pollutant (POPs) and polycyclic aromatic hydrocarbons (PAHs), with approximately one third of
93 the fish sampled having concentrations of POPs high enough to potentially reduce their early
94 marine survival (O'Neill et al. 2015). Although juvenile Steelhead Trout spend less time in
95 estuaries than juvenile Chinook Salmon (Quinn 2005), accumulation of contaminants leading to
96 the loss of disease resistance could be a causal factor in low early marine survival for this
97 species.

98 This study asks: are juvenile Steelhead Trout exposed to *N. salmincola* and POPs during
99 freshwater rearing or during migration from fresh water to salt water in Puget Sound? And if
100 exposure exists, does it coincide with lower survival rates occurring in some Puget Sound rivers?
101 The specific objectives were to determine: 1) the prevalence and intensity of *N. salmincola* in
102 juvenile fish during outmigration from representative river systems, 2) whether POPs accumulate
103 in outmigrating fish, and 3) compare our findings to previously published studies showing
104 harmful effect levels of *N. salmincola* and POPs in salmonid fish.

105 <A>Methods

106 Sampling design and fish collections

107 *Puget Sound field assessment* - Steelhead Trout smolts were collected during a field survey
108 implemented from March – June 2014. Sampling locations were chosen to represent the
109 northern, central, and southern regions of Puget Sound. Fish were collected from the in-river and
110 estuary habitats of the Skagit, Snohomish, Green-Duwamish, and Nisqually Rivers and the
111 associated marine habitat of the north (Whidbey), central and south basins of Puget Sound

112 (Moore et al. 2008), hereafter referred to as river systems (Figure 1). Sampling methods in each
113 river system consisted of screw traps at in-river locations, fyke net or beach seines in estuaries,
114 and purse seine in marine habitats. For each river system, multiple efforts were made to collect
115 30 fish each of wild- and hatchery-origin (if present) from the in-river, estuary and the marine
116 habitats, however logistical constraints prevented a full complement of samples from each
117 sampling location. As Steelhead Trout hatchery programs do not exist on the Nisqually River,
118 only wild fish were collected from this river system. Hatchery-origin Steelhead Trout were
119 identified by adipose clips or coded wire tags. All sampled fish were euthanized with an
120 overdose (100-200 mg/l) of tricaine methanesulfonate.

121 *Hatchery sampling*- Brood Year (BY) 2013 Steelhead Trout (n=30 per hatchery) were sampled
122 by dip or cast-net in April 2014 prior to the scheduled release in May from Marblemount
123 Hatchery (Skagit River), Wallace River Hatchery (Snohomish River), and Soos Creek Hatchery
124 [Green River; (Figure 1)]. A legal challenge prevented the release of Steelhead Trout from
125 Marblemount and Soos Creek hatcheries in 2014 however, the data from these two locations is
126 relevant to other portions of the study. Additionally, the seasonal accumulation of *N. salmincola*
127 in Steelhead Trout was assessed by collecting monthly samples (March - December) young-of-
128 the-year (BY 2014) fish (n=15-30) that were reared on surface water at the Soos Creek hatchery

129 *Progress of infection after release*- The known rearing locations and time of release and
130 recapture of hatchery-origin steelhead in the Green-Duwamish watershed were used to
131 investigate the progress of infection during their downstream migration. The Green-Duwamish
132 watershed was described in Goetz et al. (2015), and summarized briefly here. The Duwamish
133 River is the lower 16 River Kilometer (RK) estuarine section, and the Green River is the 126 RK
134 freshwater section. The portion of the river most altered by human development is the lower
135 Green River, RK 16-51. Although no BY 2013 Steelhead Trout were released directly from
136 Soos Creek Hatchery, located at RK 55, some cohorts (adipose clipped), reared on surface water
137 at Soos Creek Hatchery until July 2013, were transferred upstream to Icy Creek Ponds (RK 78),
138 and reared in spring water until release on March 25, 2014. Additional BY 2013 were reared
139 entirely in spring water until release at Flaming Geyser State Park (RK 71).

140 Sample Processing

141 *Nanophyetus salmincola*- Processing of captured fish for *N. salmincola* was standardized
142 throughout this study. Each fish was assigned a unique number, and examined for lesions or
143 deformities. Fork length, weight, and presence/absence of adipose fin marks and coded wired
144 tags were recorded for each fish. *Nanophyetus salmincola* infection prevalence and intensity were
145 determined by counting metacercariae in the posterior kidney, and our methods were designed to
146 permit direct comparison of counts with Jacobsen et al. 2003, Jacobsen et al. 2008, and Roon et
147 al 2015. The posterior half of each kidney was placed in a labeled Whirlpak sample bag (60 ml),
148 and frozen at -20°C until later enumeration of metacercariae. Enumeration consisted of
149 compressing the thawed, bagged samples between two glass plates (100 x 70 x 2.5 mm) that
150 were marked with a counting grid of 100 rectangles. Metacercariae were counted using a
151 dissecting microscope (15 X magnification). When the number of metacercariae exceeded 150
152 per rectangle, the count in that rectangle was estimated as the percent of the area occupied by
153 metacercariae, multiplied by 600 (the estimated number of that would solidly occupy a rectangle,
154 one layer thick). The contents of the entire sample bag were included in the count, which was
155 expressed as metacercariae per kidney (MPK). The MPK was used as a measure of intensity or
156 the number of parasites in an infected host.

157 *Histopathology*- Infected and uninfected Steelhead Trout were examined by histological methods
158 to evaluate the relationship between *N. salmincola* infection and tissue damage. The first left gill
159 arch, heart, a five mm³ piece of liver, and the anterior kidney were fixed in Davidson's solution
160 then transferred to 70% ethanol. The posterior kidney could not be examined in tissue sections
161 due its use in the quantitative *N. salmincola* assay. Muscle, eye, and fin samples were also
162 collected from fish displaying morbidity. Fixed samples were embedded, sectioned, and stained
163 with hematoxylin and eosin by standard histological methods.

164 *Chemical contaminant analyses*- Samples for chemical analyses were collected from a subset of
165 the fish analyzed for the presence of *N. salmincola*. For each of the Skagit, Green-Duwamish,
166 and Nisqually River systems, up to 15 wild Steelhead Trout were collected for each habitat type
167 (in-river, estuary, and marine). Whole bodies (less gut contents) of individual fish were ground,
168 placed in pre-cleaned glass jars, and stored at -20°C for subsequent chemical analyses. Samples
169 from each in-river and estuary habitat were later thawed, and equal weights of tissue from
170 individual fish were combined to make three composite samples containing four to five fish each

171 representing each habitat type within a river system. Fish from marine habitats were analyzed as
172 individuals.

173 Whole body samples were analyzed for POPs including polychlorinated biphenyls (PCBs),
174 polybrominated diphenylethers (PBDEs) flame retardants, and organochlorine pesticides
175 dichloro-diphenyl-trichloroethanes (DDTs), chlordanes, hexachlorocyclohexanes (HCHs),
176 hexachlorobenzene (HCB), aldrin, dieldrin, mirex, and endosulfan, using established methods
177 (Sloan et al. 2014). This method comprises three steps: (a) extraction, (b) cleanup by gravity
178 flow silica/aluminum columns and size-exclusion high-performance liquid chromatography
179 (HPLC), and (c) quantitation of POPs using gas chromatography /mass spectrometry (GC/MS)
180 with selected-ion monitoring (SIM). Samples were extracted with methylene chloride using an
181 accelerated solvent extractor, which provided an extract that was used for POP analysis and
182 gravimetric lipid determinations. A method blank and a National Institute of Standards and
183 Technology (NIST) Fish Muscle Standard Reference Material (SRM 1946) were analyzed with
184 each sample batch (Sloan et al. 2014). Concentrations of individual analytes measured in SRM
185 1946 were in excellent agreement with the certified and reference values published by NIST.
186 The method blank and surrogate recovery quality control samples all met established laboratory
187 criteria.

188 An estimated total PCB concentration was calculated by summing the detected values for
189 17 commonly detected (and co-eluting) congeners (18, 28, 44, 52, 95, 101(90), 105, 118, 128,
190 138(163/164), 153(132), 170, 180, 187(159/182), 195, 206, and 209), and multiplying the result
191 by two (Lauenstein and Cantillo 1993). Analyte data are presented as summed values for
192 PBDEs, DDTs, chlordanes, and HCHs. Summed PBDEs were calculated by adding the
193 congeners 28, 47, 49, 66, 85, 99, 100, 153, 154, 155, and 183. Summed DDTs were calculated
194 by summing the concentrations of o,p'-DDD, o,p'-DDE, o,p'-DDT, p,p'-DDD, p,p'-DDE, and
195 p,p'-DDT. The HCHs were measured as α -HCH, β -HCH, and γ -HCH. Summed chlordanes
196 were calculated by summing concentrations of the following 8 analytes: α -chlordane, *cis*-
197 nonachlor, β -chlordane, heptachlor, heptachlor-epoxide, nonachlor III, oxychlordane, and *trans*-
198 nonachlor. The amount of total, nonvolatile extractable lipid (percent lipid) in whole body
199 samples of steelhead were determined gravimetrically (Sloan et al. 2014). Because POP toxicity
200 is inversely dependent on lipid content (Lassiter and Hallam 1990), summed or total POP results

201 were expressed as nanogram (ng) of contaminant per g of fish lipid (ng/g lipid). To evaluate the
202 potential health effects of contaminant exposure on the marine survival of juvenile Steelhead
203 Trout, POP tissue residues were compared with published adverse effects thresholds (AETs) for
204 salmon exposed to PCBs (Meador et al. 2002) and DDTs (Beckvar et al. 2005) and
205 concentrations known to cause adverse effects for salmon exposed to PBDEs (Arkoosh et al.
206 2010, 2013), as detailed in the Supplemental material.

207 Statistical Analysis

208 Fisher's exact test (Conover 1980) and the chi-square statistic (χ^2) were used to examine the
209 comparisons being conducted and ensure that the level of significance (P) across all tests for
210 association between the intensity of infection with tissue pathology and to compare prevalence of
211 infection and tissue pathology at different locations. For the series of pair-wise Fisher's exact
212 tests conducted to compare prevalence between locations, the significance levels of the
213 individual tests were adjusted using the Bonferroni method to account for the multiple each
214 parasite type or pathology was ≤ 0.05 .

215 A General Linear Model (GLM; SYSTAT 2016) was used to measure the statistical
216 significance of differences in POP concentrations in Steelhead Trout among regions and habitat
217 types. Lipid normalized levels of total PCBs, summed PBDEs and summed DDTs were tested
218 for differences among three river systems, one in each of three Puget Sound regions (north,
219 central and south), among sampling habitat types (in-river and estuary pooled vs. marine
220 habitats), and among habitat types within regions. All contaminant data were ln transformed to
221 meet assumptions of normality and homogeneity of group variances. Fish length was included
222 as a covariate as bio-accumulative contaminant can be affected by fish size, an indirect measure
223 of age and duration of exposure. Multiple comparisons testing (Tukey's Honestly-Significant-
224 Difference Test, SYSTAT 2016) was used, when appropriate, to conduct pairwise comparisons
225 of among group means (region and habitat type). Additionally, because lipid-normalized POP
226 concentrations are affected by fish lipid content, we tested for lipid differences in fish among
227 regions using a Kruskal-Wallis (K-W) ANOVA on ranked data and between riverine and marine
228 habitat types using a Mann-Whitney (M-W) Rank Sum Test. Differences in fish length among
229 regions were also tested using a K-W ANOVA on ranked data.

230 <A>Results

231 *N. salmincola* in Puget Sound river systems

232 There was a significant difference ($P<0.001$) in prevalence of *N. salmincola* infection in fish
233 from the Skagit, Green-Duwamish and Nisqually river-systems. The prevalence (4.7%) of *N.*
234 *salmincola* infection in fish from the Skagit River, was significantly lower ($P<0.001$) than the
235 prevalence found in fish from the Green-Duwamish (74.1%) and Nisqually (98.6%) Rivers
236 (Table 1). *Nanophyetus salmincola* was only found in gill sections (but not the corresponding
237 kidney) from a single wild fish captured at the lower Skagit River (RK=26) smolt trap ($n=21$).
238 No *N. salmincola* infection was found at the upriver Marblemount Hatchery (RK= 126, $n=30$).
239 Similarly, *N. salmincola* was not detected in any Steelhead Trout from the Snohomish River
240 watershed, including the Wallace River hatchery (RK=97, $n=30$), the smolt trap (RK=42.6) on
241 the Skykomish River tributary ($n=4$), and the Snohomish estuary (RK=0, $n=3$). An *N.*
242 *salmincola* prevalence of 7.1%, ($n=42$) was found in Steelhead Trout collected from marine
243 locations around north Puget Sound, combined as the Whidbey Basin (Table 1), significantly
244 ($P<0.05$) lower than the prevalence of 93.3% ($n=15$) steelhead collected from the central and
245 south marine basins combined.

246 In central Puget Sound, *N. salmincola* infections increased in prevalence and intensity as
247 Steelhead Trout outmigrated through the Green-Duwamish watershed (Table 2). Among wild
248 fish, infection prevalence increased from 13.3% ($n=30$) at the in-river smolt trap (RK 55) to
249 86.7% ($n=30$) at the estuary (RK 9.5). Mean (\pm SE) intensity also increased from 93 ± 53.2 MPK
250 at the smolt trap to 809 ± 218 MPK in the estuary. An analogous progression in infection
251 occurred in hatchery-origin Steelhead Trout released at Icy Creek Ponds; where the mean
252 intensity increased with time in the watershed, from 84 ± 8.2 MPK at the in-river trap on March
253 25, and 114 ± 14 MPK in the estuary on April 2 to 734 ± 198 MPK in the estuary on April 29
254 (Table 2). Mean intensity in both wild and hatchery-origin Steelhead Trout was significantly
255 higher in samples from the estuary than in those from the in-river trap ($P < 0.001$). All of the
256 fish collected from central Puget Sound marine habitat ($n=11$, combined hatchery and wild) were
257 positive for *N. salmincola*, with mean intensity of 740 ± 450 MPK.

258 In south Puget Sound, *N. salmincola* at levels exceeding 1000 MPK was found in wild
259 Steelhead Trout collected at both trap and estuary locations in the Nisqually River (Table 3).
260 Through a one-month outmigration period, a prevalence of 97.5% and mean (\pm SE) intensity of
261 1753 \pm 309 MPK occurred at the in-river trap (RK=20). High prevalence (100%) and a mean
262 (\pm SE) intensity of 2545 \pm 420 MPK was also found in fish collected in the estuary (RK=0.6).
263 The four Steelhead Trout caught in the marine habitat adjacent to the Nisqually estuary had a
264 lower mean (\pm SE) intensity of 1086 \pm 734 MPK than those caught at the trap and the estuary but
265 the difference was not significant ($P=0.14$).

266 *Seasonal accumulation of parasites in hatchery Steelhead Trout.* After rearing in surface water
267 for 14 months, BY 2013 fish from the Soos Creek Hatchery demonstrated 100% infection
268 prevalence with mean (\pm SE) intensity of 3830 \pm 332 MPK, The difference in parasite intensity in
269 BY2013 fish from the Icy Creek ponds (i.e., reared on surface water at the Soos Creek Hatchery
270 for three months then transferred to spring water and released at Icy Ponds, Table 2) compared to
271 the BY 2013 fish reared entirely at the Soos Creek hatchery led us to monitor temporal changes
272 in infection at the hatchery for BY2014 fish. Steelhead Trout from BY2014 had a 100%
273 prevalence of infection with *N. salmincola* with mean (\pm SD) intensity of 124 \pm 53 MPK after
274 three months of rearing on surface water (Figure 2), similar to the intensity of newly released BY
275 2013 fish (84.1 \pm 8.2 MPK). Beginning in September 2014, the intensity rose sharply until
276 December, reaching a mean of 2800 \pm 1253 MPK. Signs of recent *N. salmincola* exposures,
277 including exophthalmia and severely eroded fins (Bennington and Pratt 1960), were detected in
278 October and November but were no longer observed in December.

279 Tissue lesions and association between pathological conditions and parasites

280 Microscopic lesions were detected in the gill, heart muscle and kidney of fish infected with
281 *N. salmincola*. Gill inflammation (branchitis) was observed, with or without accompanying
282 fibrosis and involvement of histiocytes (Figure 3). Despite not having posterior kidney (the part
283 of the kidney with the highest metacercaria concentration) to examine, anterior kidneys were
284 found with inflammation (nephritis) around embedded metacercariae (Figure 4). Histiocytic
285 myocarditis (Figure 5) was found, with or without fibrosis. Other pathogens such as blood
286 flukes and myxozoans were detected in the histological sections of fish from certain watersheds;
287 however, neither infection prevalence nor microscopic examination of tissues provided any

288 indication that they adversely affected Steelhead Trout health and survival in this study. On the
289 other hand, *N. salmincola* metacercariae were found in all tissues with branchitis, nephritis or
290 myocarditis.

291 Microscopic lesions were associated with *N. salmincola*. Nephritis was observed only in fish
292 sampled from Soos Creek Hatchery (Green River drainage), and the three habitat types in the
293 Green-Duwamish and Nisqually River systems. Branchitis and gill fibrosis were more frequently
294 observed in Steelhead Trout from the Nisqually and Green-Duwamish River systems than the
295 South or Central marine areas. Significant associations were found between the percent of
296 Nisqually River fish with 1000 or more MPK and lesions of the gill ($\chi^2 = 10.883$, $P = 0.001$), and
297 heart ($\chi^2 = 16.794$, $P < 0.001$). The presence of 1000 or more MPK was significantly associated
298 with branchitis and gill fibrosis ($\chi^2 = 8.826$, $P = 0.006$), as well as myocarditis and heart fibrosis
299 ($\chi^2 = 15.852$, $P < 0.001$) in fish sampled from the Green-Duwamish River.

300 The prevalence and severity of tissue lesions was examined during an acute natural
301 exposure to *N. salmincola*. Steelhead Trout (BY 2014) were sampled at Soos Creek Hatchery
302 during the peak fall (October-November) infection period (Figure 2). Branchitis was found in
303 33.3% of the fish ($n=9$), 77.8% had myocarditis ($n=9$), and moderate to severe nephritis and
304 kidney fibrosis was found in 100% ($n=10$). Additional tissue sampling revealed 44.4% of the
305 fish had *N. salmincola* in the eye ($n=9$), 70.0% had inflammation of fin tissues ($n=10$), and
306 100.0% had mild to moderate somatic muscle inflammation ($n=10$).

307 Toxic contaminant exposure and effects

308 Among the POPs evaluated, PCBs, PBDEs and DDTs were detected in every sample, with mean
309 (\pm SD) concentrations of 1000 ± 690 , 480 ± 629 and 270 ± 200 ng/g lipid, respectively (Table 4).
310 Chlordanes, HCB, and dieldrin were detected less frequently (83, 72, and 28% of the samples,
311 respectively), many at concentrations just above the limits of quantitation, and consequently, had
312 much lower mean concentrations of 58 ± 47 , 40 ± 14 , and 29 ± 16 ng/g lipid, respectively. No
313 other POPs were detected. Detailed results are only reported here for PCBs, PBDEs and DDTs.

314 Concentrations of PCBs in Steelhead Trout did not exceed AETs though they were
315 positively affected by fish length, but not region or habitat type where fish were found. Lipid

316 normalized PCB concentrations ranged from 290 to 3500 ng/g lipid and were 25 – 32% higher in
317 the central region than those from the northern and southern Puget Sound regions, and 33%
318 higher in steelhead collected from marine than river habitats, however, these differences were
319 not significant by region [F (2, 25) = 0.883, $P = 0.426$], habitat type [F (1, 26) = 0.582, $P =$
320 0.452], or habitat type within region [F (2, 25) = 0.173, $P = 0.842$]. In each of these models
321 Steelhead Trout length was significant [F (1, 25) = 6.039, $P = 0.021$; F (1, 26) = 6.179, $P =$
322 0.020; and F (1, 25) = 4.812, $P = 0.038$; respectively]. Overall, a model containing only
323 Steelhead Trout length as a factor was the best model to predict lipid normalized PCB
324 concentration [F (1, 27) = 5.849, $P = 0.023$], accounting for 18% of the observed concentration.
325 Although PCB ng/g lipid concentration was positively correlated with fish length, fish length did
326 not vary among regions (ANOVA on ranked values; $H = 0.687$, $df = 2$, $P = 0.707$). The levels of
327 PCBs levels in Steelhead Trout were below AET concentrations associated with multiple adverse
328 effects for juvenile salmonids, ranging from enzyme induction to mortality (≥ 2400 ng/g lipid,
329 Meador et al. 2002), except for one individual fish sample in each marine habitat (17, 25, and
330 25% Whidbey, Central and South Sound Basins, respectively; Table 5).

331 Concentrations of PBDEs in Steelhead Trout in some samples were at concentrations
332 known to adversely affect fish health and were affected by the region of Puget Sound where fish
333 were found, but were not affected by habitat type or fish length. Lipid-normalized PBDE
334 concentrations in Steelhead Trout ranged from 28 to 3200 ng/g lipid and varied significantly by
335 region [F (2, 26) = 10.063, $P = 0.001$]; PBDE concentrations in fish collected from the southern
336 region of Puget Sound were significantly higher, by approximately three to five fold, than those
337 measured in fish from central and northern regions (mean (\pm SD) of 920 ± 880 vs. 290 ± 260 and
338 190 ± 120 ng/g lipid; $P = 0.001$ and 0.005 ; Table 4). Regional differences in lipid-normalized
339 PBDE concentrations were not affected by regional lipid differences, as fish from northern,
340 central and southern regions had statistically similar lipid levels (0.97%, 1.2% and 0.84%,
341 respectively; $H = 8.125$, $df = 4$, $P = 0.087$). Furthermore, unadjusted wet weight levels of
342 PBDEs were also highest in fish from the southern region. The lipid-normalized PBDE
343 concentrations in fish from the central region were statistically similar to those in fish from the
344 northern region ($P = 0.692$). Lipid-normalized PBDE concentrations in Steelhead Trout did not
345 vary by fish length [F (1, 26) = 0.923, $P = 0.346$] or habitat type [F (1, 26) = 0.012, $P = 0.915$].
346 Steelhead Trout from rivers (in-river and estuary pooled) and marine habitats had similar PBDE

347 concentrations (means of 550 and 400 ng/g lipid), in part due to the lower lipids measured in fish
348 from marine habitats (1.1 vs 0.89%; Table 4). Overall, PBDEs in Steelhead Trout were at
349 concentrations known to increase disease susceptibility (PBDE 47 plus 99 $\geq 470 \leq 2500$ ng/g
350 lipid estimated from Arkoosh et al. 2010, 2013; see Supplemental material) in 40% of fish
351 Nisqually River system, none of the fish from the Skagit River system and 10% of fish from the
352 Green-Duwamish River system (Table 5). Steelhead Trout had potentially harmful levels of
353 PBDEs in each of the habitat types of the Nisqually River system (33% of samples from each of
354 the in-river and estuary habitats and 50% of samples from the marine habitat), but were limited
355 to 25% of marine habitat samples associated with the Green-Duwamish River system.

356 Concentration of DDTs in Steelhead Trout did not exceed AETs though it was positively
357 affected by fish length but not region or habitat type where fish were found. Levels of DDTs in
358 Steelhead Trout samples ranged from 75 - 900 ng/g lipids (Table 4), well below AETs for
359 juvenile salmonid fish (≥ 6000 ng/g lipid, Beckvar et al. 2005 and Johnson et al. 2007; Table 5).
360 Similar to PCBs, mean DDT concentrations varied positively with fish length [F (1, 27) = 7.080,
361 $P = 0.013$], accounting for 21% of the observed variation, but did not vary significantly among
362 Puget Sound regions [F (1, 27) = 1.128, $P = 0.340$], among habitat types [F (1, 27) = 0.573, $P =$
363 0.456] or among habitat types within regions [F (1, 27) = 0.010, $P = 0.990$].

364 Discussion

365 This study was not designed to determine cause-and-effect relationships between *N.*
366 *salmincola* infection, toxic contaminants and Steelhead Trout survival, nevertheless several lines
367 of epizootiological evidence support the theory that these factors contribute to the observed
368 downward trends in EMS throughout Puget Sound. Lower EMS for wild Steelhead Trout from
369 the Nisqually River compared with other Puget Sound rivers (Moore et al. 2015) coincides with
370 high *N. salmincola* infection prevalence and intensity, as well as the highest PBDE levels in that
371 population. Moreover, adverse health effects in juvenile salmonids have been linked to *N.*
372 *salmincola* loads comparable to those we observed in fish from the Green-Duwamish and
373 Nisqually river systems (Baldwin et al. 1967; Butler and Milleman 1971, Jacobsen et al. 2003,
374 and Roon et al. 2015) and to PBDE levels comparable to those we observed in fish from the
375 Nisqually River (Arkoosh et al. 2010 and 2013), potentially lowering their EMS. Additionally,

376 we found increased gill, kidney, and heart inflammation and fibrosis in fish from Central and
377 South Puget Sound river systems compared to North Puget Sound river systems.

378 An increasing *N. salmincola* prevalence and intensity occurred along a north to south
379 gradient of Puget Sound rivers and marine basins. The geographic location of *N. salmincola*
380 observed in this study are consistent with previous studies that documented infection in
381 Steelhead Trout from Green-Duwamish, Puyallup, Deschutes, and Nisqually Rivers in central
382 and southern regions of Puget Sound (Wood 1979, and Dalton 1989) and 0 prevalence in wild
383 Steelhead Trout from the Skagit River (Dalton 1989). The north-south gradient of infection
384 found in this study is likely explained by distribution of the snail host. The *Juga* spp. host has
385 been found as far north as the Green-Duwamish watershed (Johannes, 2010), the northern extent
386 of *N. salmincola* prevalence exceeding 10% in this study. The < 10% prevalence of *N.*
387 *salmincola* in Steelhead Trout collected from marine habitat in northern Puget Sound, in spite of
388 its absence from the associated rivers, indicates that either a narrow *N. salmincola*-positive zone
389 exists below the respective in-river sampling locations in the northern Puget Sound rivers, or that
390 some of the fish collected from the adjacent marine basin originated from *N. salmincola*-positive
391 rivers in the southern region of Puget Sound. Support for the later theory is provided by
392 telemetry studies indicating that some Green River-origin Steelhead Trout pass through northern
393 Puget Sound marine habitat during their seaward migration (Goetz et al. 2015).

394 Evidence for a *N. salmincola* infection zone occurring in the lower reaches of endemic
395 watersheds was provided in the Green-Duwamish River watershed, where the prevalence and
396 intensity of infections increased as outmigrating Steelhead Trout entered the lower river.
397 Infrequent *N. salmincola* exposure above the in-river sampling location (RK 55) was indicated
398 by in-river infection prevalence of 13.3% and MPK of 93.1 in wild Steelhead Trout that reared in
399 the upper portions of the watershed for 1-2 yr (Table 2). The 100% prevalence but intensity of
400 only 84 MPK (BY 2013) found in hatchery-origin Steelhead Trout from Icy Creek Ponds (RK
401 78), were most likely acquired during their early rearing phase in the *N. salmincola* infection
402 zone of the lower watershed. Prior to their transfer to Icy Creek Ponds, these fish were reared on
403 surface water at the Soos Creek Hatchery, located in the lower watershed (RK =55), until mid-
404 July, a time when prevalence was expected to reach 100% (Figure 2). An *N. salmincola*
405 infection zone was further indicated by increasing intensity as fish released from Icy Creek

406 Ponds moved through the lower reaches of the watershed. This lower watershed infection zone
407 is also supported by in-river observations from coastal Oregon, where exposure to *N. salmincola*
408 infection increases in the lower reaches of rivers (Ferguson et al. 2010).

409 Extended sampling from the estuary of the Green-Duwamish River indicated that *N.*
410 *salmincola* infections likely increased with transience in the lower portions of the river.
411 Hatchery-origin fish were released from Icy Creek Ponds (RK 78) beginning March 25, and their
412 peak passage through the in-river trap (RK = 55) occurred on March 29 (Topping and Anderson
413 2015). These fish were first detected in the estuary on April 2; however, a prolonged transience
414 in the estuary or lower-river was indicated because Icy Creek Pond fish were still captured in the
415 estuary at 35 d post release. The mean intensity of infection increased six-fold between April 2 –
416 29, indicating exposure to *N. salmincola* continued. Although there is tidal influence at the
417 estuary sampling site (RK 9.5) in this study, at RK 10 or higher the river is primarily fresh water
418 (Goetz et al. 2015) which would permit continued *N. salmincola* infection.

419 The lower-watershed *N. salmincola* zone was less apparent in the Nisqually River trap and
420 estuary habitat, where infection prevalence and intensity were extremely high at both locations.
421 However, any effect of increasing *N. salmincola* infections towards the lower reaches of the
422 watershed was likely masked by the in-river sampling location (RK 20), which was located in the
423 presumptive *N. salmincola* infection zone of the lower watershed. Infection prevalence upstream
424 from this location was not investigated.

425 Intensity of infection by *N. salmincola* in Steelhead Trout from the Green-Duwamish and
426 Nisqually Rivers was sufficient to damage critical fish tissues. We found a strong association
427 between *N. salmincola* infections of over 1000 MPK, and prevalence of fish with gill and heart
428 lesions. We found high prevalence of kidney, muscle and fin lesions, as well as infection of the
429 eye, in sentinel fish with a natural ongoing *N. salmincola* challenge, in agreement with Wood
430 and Yasutake (1956).

431 The intensity of infection by *N. salmincola* found in wild Steelhead Trout from the Green-
432 Duwamish and Nisqually River estuaries (808 and 2545 MPK, respectively), was similar to or
433 greater than biological effects levels reported in laboratory studies. *Nanophyetus salmincola* can
434 cause direct mortality to susceptible salmonid fish, with intensity levels as low as 295 MPK

435 resulting in 50% mortality of Rainbow Trout fry in 24 h (Baldwin et al. 1967). Butler and
436 Milleman (1971) observed mortality, reduced swimming speed and earlier onset of fatigue in
437 Steelhead Trout exposed to 1500 cercaria (survivors of the swim tests had mean intensity of
438 1,013). Infection intensity of 394-430 MPK resulted in reduced immune function and higher
439 mortality of Chinook salmon when challenged by *Listonella (Vibrio) anguillarum* (Jacobsen et
440 al. 2003). *Nanophyetus salmincola* intensity of greater than 200 MPK is sufficient to lower
441 resistance of Chinook Salmon to the common bacterial pathogen *Flavobacterium columnare*
442 (Roon et al. 2015).

443 One field study Jacobsen et al. (2008) found decreasing *N. salmincola* infection prevalence
444 and intensity Coho Salmon during their first summer in the Pacific Ocean, suggesting that
445 differential ocean mortality may occur with intensity of 400 MPK. On the other hand, Romer et
446 al. (2013) reported that outmigrating Steelhead Trout in two Oregon coastal rivers with high
447 prevalence of *N. salmincola* but different intensity levels (Nehalem River: 1345 MPK, Alsea
448 River 279 MPK, metacercaria per gram levels adjusted to be equivalent to our MPK) had
449 roughly equal survival rates to the estuary-ocean boundary ranging from 41-78%. However,
450 these survival rates were higher than those reported for Steelhead Trout migrating through large
451 estuarine environments such as 27% for the Cheakamus River, British Columbia (Melynychuk et
452 al. 2007) and 16% for wild fish in Puget Sound (Moore et al. 2015), and may reflect the different
453 distances to the ocean and conditions encountered.

454 *Nanophyetus salmincola* has been recognized as an important mortality factor in several
455 salmonid fish hatcheries located in south Puget Sound watersheds. Significant mortalities and
456 operating challenges due to *N. salmincola* contributed to prior closure of the McAllister
457 Hatchery, located at the western edge of the Nisqually River delta (Hatchery Reform Committee
458 2003). The severity of *N. salmincola* infection (over 4000 MPK) in the surface water at Soos
459 Creek Hatchery shows the rationale behind moving fish to spring water supplied facilities such
460 as Icy Creek Ponds early in the rearing cycle.

461 Our study is the first to report on biologically significant POP concentrations in wild-origin
462 juvenile Steelhead Trout in their natural habitats. Juvenile Steelhead Trout, (which spend a year
463 or more in fresh water before migrating to salt water), sampled from the heavily industrialized
464 Green-Duwamish River system had PCB concentrations (ng/g lipid) that were 25 – 32% higher

465 than those from the less developed Nisqually and Skagit River systems. However, PCBs levels
466 were below AET concentrations associated with multiple adverse effects for juvenile salmonids,
467 ranging from enzyme induction to mortality (≥ 2400 ng/g lipid, Meador et al. 2002), except for
468 one individual fish sample in each marine basin. Fish with PCB concentrations above the AET,
469 had low to moderate wet weight concentrations (5.8 – 20 ng/g ww) but very low lipid content
470 (ranging from 0.24 – 0.57%), indicating that decreasing lipid level associated with sea migration
471 increases the potential that some fish will experience biologically significant, sub-lethal adverse
472 effects shortly after they enter the marine environment. Ocean-type juvenile Chinook Salmon,
473 which migrate to sea as sub-yearlings, sampled from the estuary, nearshore and marine habitats
474 associated with the Green-Duwamish River were also reported to have elevated PCB levels
475 compared to those from the Skagit and Nisqually Rivers (Johnson et al. 2007a, O'Neill et al,
476 2015), however at considerably higher concentrations than we measured in Steelhead Trout.
477 Moreover, in contrast to Steelhead Trout, 79% of the Chinook Salmon samples from the estuary
478 and associated nearshore habitats of the Green-Duwamish River and 50 – 83% of those from the
479 offshore marine habitat of the Whidbey and Central basin had PCB concentrations that exceeded
480 the AET values (O'Neill et al. 2015). These species differences are likely related to habitat use,
481 diet and metabolism as well as sources of PCBs in these systems. Although Steelhead Trout
482 generally reside in fresh water longer than ocean-type juvenile Chinook Salmon, they are
483 distributed in the upstream portions of the freshwater habitat and spend less time in the estuary
484 and nearshore habitats during their seaward migration than juvenile Chinook Salmon (Quinn
485 2005). Assuming the estuary and nearshore habitats of the Green-Duwamish, which are more
486 developed than upstream freshwater habitat, are important sources of PCBs for out-migrant
487 salmonids, higher contaminant exposures in Chinook Salmon are consistent with their more
488 prolonged period of estuarine/nearshore exposure compared to Steelhead Trout. Coho Salmon,
489 which like Steelhead Trout have a more limited period of estuarine and nearshore residence,
490 generally have lower contaminant concentrations than Chinook Salmon sampled from the same
491 locations (Johnson et al. 2007a).

492 In contrast to PCBs, PBDE concentrations in Steelhead Trout did not coincide with the
493 degree of river system development, but rather increased along a north to south gradient in Puget
494 Sound, with PBDEs measured in fish from the Nisqually River system at levels known to
495 adversely affect fish health. Elevated PBDE concentrations (ng/g lipid) measured in wild

496 Steelhead Trout from the Nisqually River system were not solely due to lower lipid levels, which
497 alter the lipid normalized values of POP, because higher unadjusted wet weight levels of PBDEs
498 were also observed in the fish from the southern region of Puget Sound. Based on laboratory
499 exposure studies of Chinook Salmon (Arkoosh et al. 2010, and 2013), 30-50% of the fish
500 sampled from each habitat type in the Nisqually River system had PBDE concentrations high
501 enough to potentially increase their susceptibility to naturally occurring pathogens. At other
502 river systems, only one sample, an individual steelhead collected from the marine habitat
503 offshore of the Green-Duwamish River had potentially harmful levels of PBDEs. We used lipid-
504 normalized PBDE concentrations generated from Arkoosh et al. (2010 and 2013; see details in
505 the Supplemental material) rather than wet weight concentrations because decreases in lipids
506 generally make fish more vulnerable to the effects of POPs (Lassiter and Hallam 1990; van
507 Wezef et al. 1995), especially for migrating salmon that experience rapid lipid reduction during
508 migration (Debruyne et al. 2005 and Meador et al. 2002). However, for PBDEs there is some
509 uncertainty with using lipid weight to normalize and model concentrations of PBDEs in fish
510 (Bethune et al. 2005). In the current study, both lipid normalized and wet weight concentrations
511 of PBDEs indicate that 30% of the fish samples from each of the in-river and estuary habitats of
512 Nisqually River system had PBDE concentrations high enough to potentially increase their
513 susceptibility to naturally occurring pathogens. However, based on wet weight concentrations of
514 PBDEs, all Steelhead Trout sampled from marine habitats had PBDE levels below
515 concentrations known to affect their susceptibility to naturally occurring marine pathogens.

516 The elevated PBDE levels observed in Steelhead Trout from the Nisqually River system were unexpected, given
517 below those known to affect disease susceptibility (O'Neill et al. 2015). Collectively, these data
518 suggest an upstream source of PBDEs, above the in-river sampling location on the Nisqually, to
519 which Steelhead Trout are disproportionately exposed compared to Chinook Salmon. Sources of
520 PBDE to the Puget Sound include input from wastewater treatment plants, followed by
521 stormwater and then atmospheric deposition (Osterberg and Pelletier 2015) but the relative
522 importance of these sources in the upper Nisqually watershed are unknown.

523 Although not tested in this study, contaminants and high *N. salmincola* infection prevalence
524 and intensity may contribute to decreased disease resistance, resulting in lower marine survival,
525 than either factor alone. Because PBDEs and *N. salmincola* were detected in the same fish in our

526 study we can definitely conclude that the potential for synergistic effects on fish health are
527 present in wild Steelhead Trout in the Nisqually River system. The combination of both PCBs
528 and *N. salmincola* were found to cause lower resistance of juvenile Chinook Salmon to the
529 marine bacterial pathogen *Listonella (Vibrio) anguillarum* in a laboratory study than did either
530 factor alone (Jacobsen et al. 2003). Thus, *N. salmincola* infections and toxic contaminants may
531 serve as mortality cofactors, with the proximate causes of death involving bacterial pathogens or
532 selective predation on infected cohorts. The predation risk is likely heightened by the increased
533 regional abundance of certain marine mammal predators such as harbor seals (Jeffries et al.
534 2003) in recent years. Because restoration options are currently being explored for the recovery
535 of endangered Puget Sound Steelhead Trout stocks, it is recommended that further efforts be
536 employed to understand the impacts of *N. salmincola* and contaminants on their early marine
537 survival.

538 Acknowledgements

539 This is **Publication Number x** from the Salish Sea Marine Survival Project: an international,
540 collaborative research effort designed to determine the primary factors affecting the survival of
541 juvenile salmonid fish in the combined marine waters of Puget Sound and Strait of Georgia
542 (marinesurvivalproject.com). Funding was provided by Washington State and the U.S.
543 Geological Survey – Fisheries and Aquatic Resources Program, with in-kind contributions from
544 organizations participating in the research, including the NOAA, Washington Department of
545 Fish and Wildlife (WDFW), University of Washington School of Aquatic and Fisheries Science
546 (UWSAFS), Northwest Indian Fisheries Commission, Nisqually, Muckleshoot and Tulalip
547 tribes, the Skagit River Cooperative and the Pacific Northwest Salmon Center. Karen Peabody
548 (WDFW) and Madilyn Gamble (UWSAFS) provided technical assistance during field sampling
549 and Laurie Niewolny (WDFW) assisted with preparation of samples for chemical analyses and
550 provided database support. Bernadita Anulacion, Keri Baugh, Jennie Bolton, Ron Pearce, and
551 Catherine Sloan from the Northwest Fisheries Science Center provided technical assistance with
552 the chemical contaminant analyses and lipid determinations of the fish. L. L. Johnson and J. R.
553 Winton reviewed the manuscript. The use of trade, firm or corporate names in this publication is
554 for the information and convenience of the reader. Such use does not constitute an official

555 endorsement or approval by the U.S. Government, or the WDFW of any product or service to the
556 exclusion of others that may be suitable.

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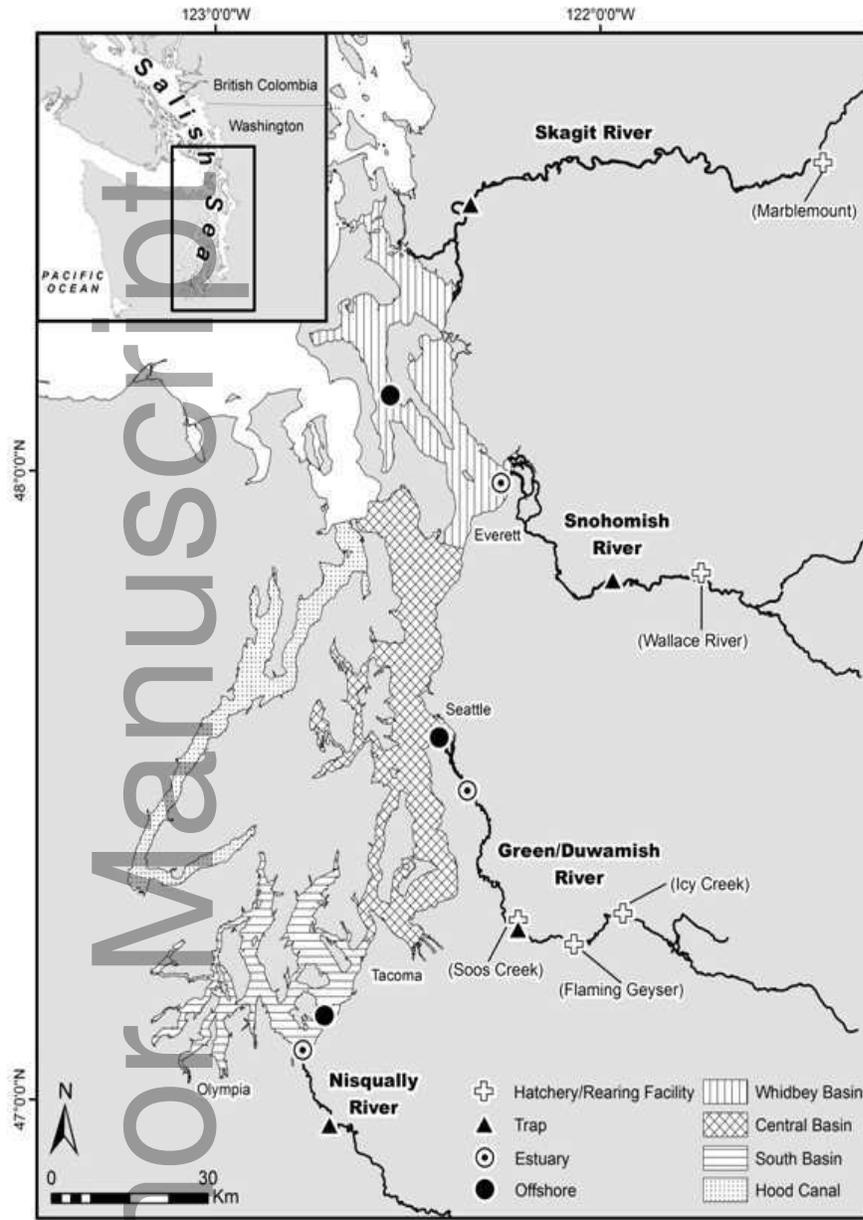


FIGURE 1. - Sampling locations within four Puget Sound rivers and three marine basins where outmigrating Steelhead Trout were collected and examined for *N. salmincola* prevalence and intensity of infection in 2014. Contaminant analyses was completed for a subset of Steelhead Trout collected from the in-river, estuary and marine basin sites in the Skagit, Green-Duwamish, and the Nisqually River systems.

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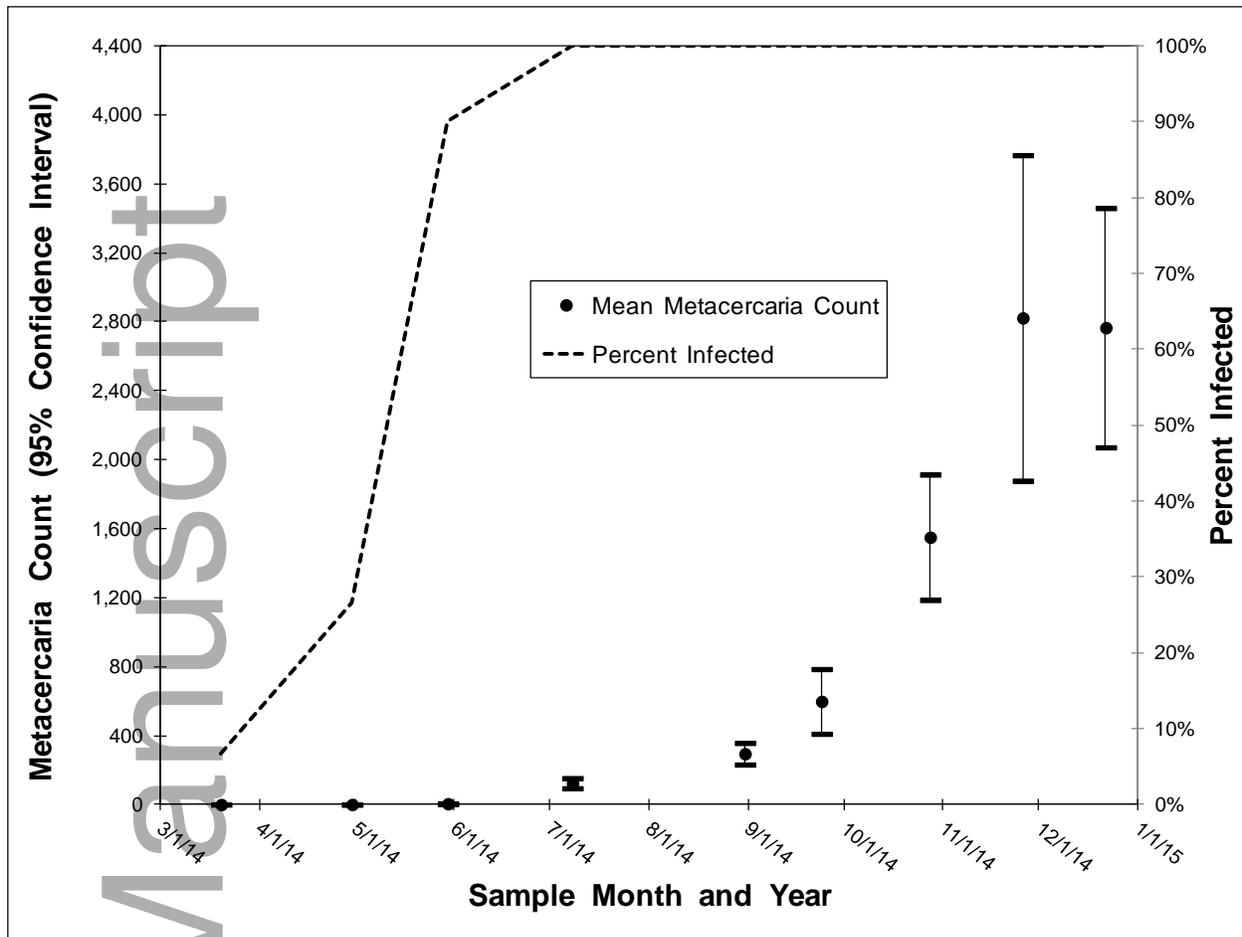
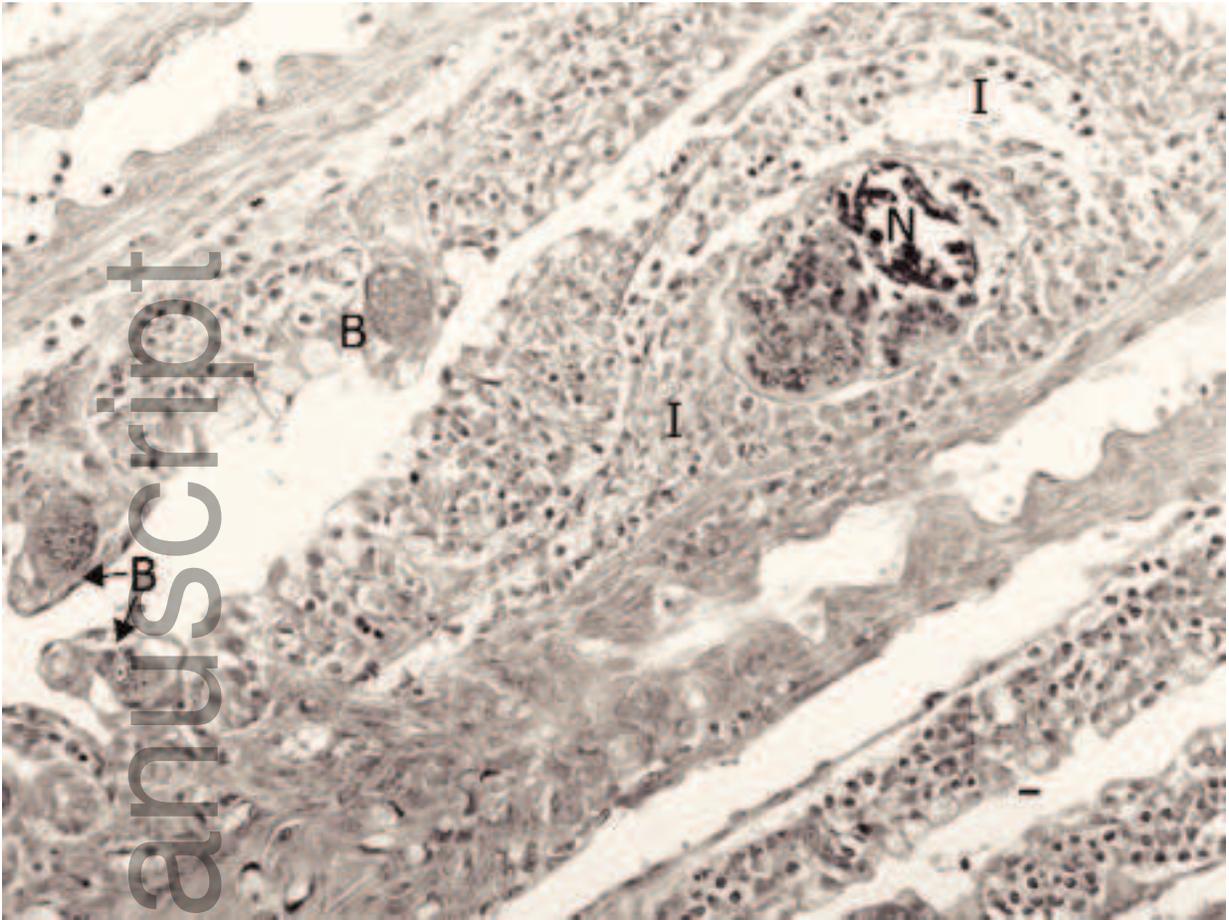
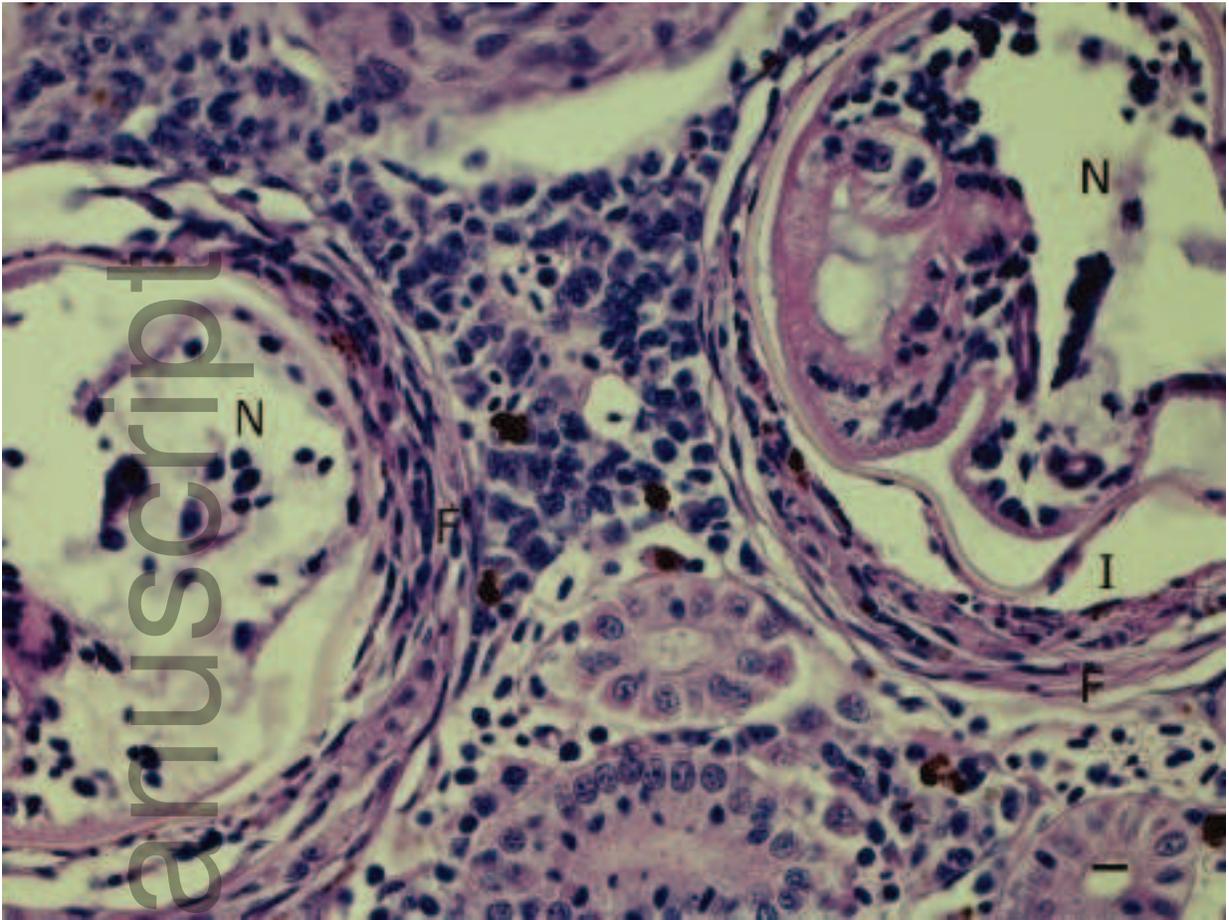


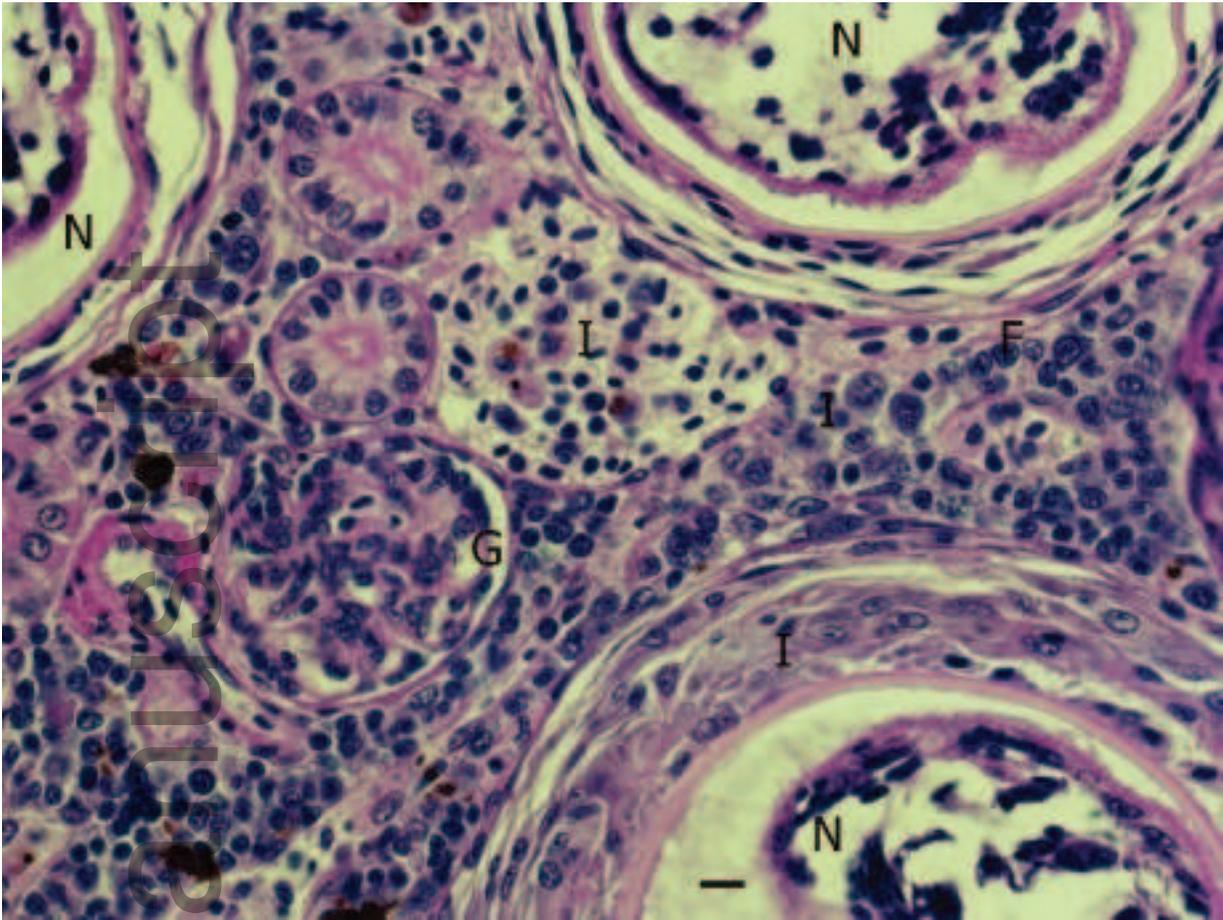
FIGURE 2.- *Nanophyetus salmincola* load and prevalence over time in juvenile Steelhead Trout raised at Soos Creek Hatchery (Brood Year 2014) on unfiltered surface water from March – December 2014; n=30 (March-May_ or 15 (July-December) per month. Prevalence of *N. salmincola* reached 100% in the sample collected July 8. Error bars indicate 95% confidence intervals.



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