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**Diversity In Motivations and Behavioral Response to Regulations in the Striped Bass
Commercial Fishery**

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27

28 **Abstract**

29 It is evident that fishery stakeholder groups are not homogenous, and that inter- and
30 intra-group variation can exist in the form of unique perspectives, motivations for fishery
31 participation, and receptiveness to management measures. However, management agencies
32 often allocate quota and design regulatory plans around distinct groups, such as recreational
33 versus commercial sectors. Our study used the commercial fishery Striped Bass *Morone*
34 *saxatilis* as a case study to explore the motivations and behaviors of commercial fishers in
35 Massachusetts. Results of an online and mail survey suggest that many commercial fishers
36 maintain several motivations for fishing, including both monetary and non-monetary, like the
37 desire to be outdoors. Intended behavior differences emerged in response to several
38 hypothetical regulatory scenarios, and these disparate behaviors could be partly explained by
39 heterogeneity in fisher motivations and other fishing and non-fishing attributes. Additionally,
40 we uncovered spillover effects, whereby effort controls could impact other commercial and
41 recreational fisheries. We recommend a relaxation of the assumption that commercial fishers
42 are solely motivated by monetary outcomes, and that holistic approaches to management
43 include information on fisher behavior and motivations.

44

45 **INTRODUCTION**

46 Effective fisheries management measures require accurate estimations of fishing
47 mortality and the ability to make adjustments when required by fishery management plans
48 (Mace 2001). The principal lever by which managers can control fishing mortality is via

49 alterations in fishing effort, such as through input or output policy controls. Our capacity to
50 predict how fishers and fishing communities respond to new policies is likely to grow as fishery
51 management agencies begin increasingly utilizing sources of stakeholder perspectives and
52 knowledge as a proactive means of management strategy evaluation (Marshall et al. 2017;
53 North Pacific Fishery Management Council 2019). Developing methods to forecast how fishing
54 communities may respond to new policies requires a foundational understanding of the
55 motivations of fishers and whether new policies alter the utility associated with fishing,
56 potentially resulting in changes in behavior and fishing effort (Johnston et al. 2010; Pinsky and
57 Fogarty 2012).

58 Research into recreational fishing behavior has revealed attitudinal links to behavior
59 that, if synthesized for a given population, can help managers predict fishing effort shifts before
60 implementing a new regulation under hypothetical scenarios (Sutton and Ditton 2001; Hunt et
61 al. 2002; Beardmore et al. 2013). For example, preferences for activity-specific versus activity-
62 general aspects of fishing correlate with numerous aspects of recreational behavior (Fedler and
63 Ditton 1986; Sutton and Ditton 2005). Activity-specific preferences are the utility gained by
64 fishers that can only be acquired via fishing activities such as their preferences towards catching
65 many fish and for the sport of catching fish. On the other hand, activity-general preferences can
66 potentially be fulfilled by non-fishing activities, like hiking or hunting. There is a tendency for
67 fishers to prefer activity-specific motivations early in their fishing career (Ditton et al. 1992). As
68 fishers age, they often shift towards activity-general preferences, like the ability to escape
69 stressors from work or being able to relax and enjoy the outdoors (Ditton et al. 1992).
70 Importantly, these shifts are sometimes linked to the development of positive attitudes
71 towards conservation and increasingly restrictive fishery regulations (Oh and Ditton 2006).
72 Moreover, characterizing the preferences of a fishing community can help managers
73 understand if ranges of proposed alternative regulations will be effective (Murphy Jr. et al.
74 2019).

75 Much of the research into the effects of fisher motivations on behavior has focused on
76 recreational fisheries (exceptions include Salas and Gaertner 2004; Holland et al. 2020). The
77 relative paucity of information on the motivations of commercial fishers likely is a consequence

78 of the assumption that the primary motivation to fish is to earn money. Sociological research
79 into the behavior of for-profit fishers may suffer from this overgeneralization if we assume that
80 fishers are only influenced by monetary outcomes or have homogeneous risk preferences
81 (Mistiaen and Strand 2000; Abernethy et al. 2007; Ali and Abdullah 2010). For example,
82 commercial fishing can be deeply rooted in a community's history and overall wellbeing, so that
83 it may contain not just economic value, but also cultural and heritage values (Miller and Van
84 Maanen 1979; Smith et al. 2003; Pollnac and Poggie Jr 2006; Voyer et al. 2014). While the
85 separation of recreational and commercial fishing sectors is necessary for management and
86 quota allocation purposes, this division may be somewhat fuzzy, with similar attitudes and
87 fishing motivations present within each sector. It is evident that significant variation in fisher
88 perceptions and values can exist even within commercial fisheries, necessitating that efforts to
89 understand fisher behavior accurately represents these diverse viewpoints (Smith and Wilen
90 2005; Murphy et al. 2020).

91 To explore the degree to which commercial fishers may harbor unique motivations for
92 fishing and whether their attitudes correlate with their behavior, we used the Striped Bass
93 *Morone saxatilis* fishery in Massachusetts as a case study. Personal communication with several
94 fishers and managers suggested that some Striped Bass commercial fishers in Massachusetts
95 may participate in the fishery for both financial and non-financial reasons and may harbor
96 activity preferences similar to recreational fishers. Additionally, the average fisher only makes
97 roughly 10% of their personal income from the commercial harvest of Striped Bass in
98 Massachusetts (Murphy Jr. et al. 2015). However, the value that fishers place on various
99 motivations for commercial fishing in the Striped Bass fishery is unknown. Therefore, the
100 commercial Striped Bass fishery offers a unique case study to explore the preferences of fishers
101 towards a species that does not derive significant economic returns for many of its fishers.
102 Further, recreational and commercial fisheries along the Atlantic Coast have been subject to
103 considerable policy changes in the past decade, as regional and state management agencies
104 have attempted to reverse the recent decline in spawning stock biomass. Thus, understanding
105 the potential consequences of various strategies is both timely and paramount (Atlantic States
106 Marine Fisheries Commission 2016). As such, our study had three primary questions: (1) What

107 are the attitudes of Striped Bass commercial fishers regarding their motivations for fishing? (2)
108 How might the enactment of new commercial fishing regulations change fisher behavior? (3)
109 Can the attitudes and attributes of commercial fishers predict their behavior? Through an
110 exploration of commercial fisher attitudes and behavior, we aim to provide an analytical
111 framework to practitioners and managers who seek to better predict how diverse stakeholder
112 groups are impacted by potential policy changes. In addition, we set out to understand the
113 potentially heterogenous motivations of commercial fishers influence their responses to
114 different management scenarios.

115 **MATERIALS AND METHODS**

116 A total of 1,750 licensed Massachusetts Striped Bass commercial fishers from 2016 (out
117 of a population of ~3,900 fishers) were contacted via an emailing and mailing list provided by
118 the Massachusetts Division of Marine Fisheries. The survey (approved by Northeastern
119 University's Institutional Review Board, Project #13-11-25) was initially emailed to 1,500
120 randomly selected licensed fishers in the spring of 2017 using Qualtrics Survey Software Suite,
121 an internet-based survey platform. Reminder emails were sent weekly and the survey ran for
122 one month (Dillman 1978), after which, a mailed version was sent to 250 fishers that did not
123 respond to the online survey and an additional 250 that did not provide an email address to the
124 Massachusetts license database (i.e., they were not sent the online survey). The intent was to
125 minimize forms of bias (under-coverage selection bias and non-response bias) that could
126 potentially explain differences in respondent intended behavior. Raffle prizes of several US\$25
127 gift cards were offered to increased response rates.

128 Licensing for commercial Striped Bass fishing in Massachusetts, which is considered an
129 open access fishery (Nelson 2018), broadly falls into two categories: (1) boat and lobster
130 permits and (2) all other commercial fishing activity, hereafter referred to as *boat* and *individual*
131 permits, respectively. Under the former, fishers in 2016 were allowed to harvest 15 fish per day
132 above 34 inches and were only allowed to fish on Mondays and Thursdays during the Striped
133 Bass season. Other commercial fishing activity (i.e., *individual* permits) includes fishers with
134 individual and rod and reel permits, who could only keep 2 fish per day, but were still regulated

135 under the same size and days per week limits (i.e., Monday and Thursday fishing only). For the
136 survey, we randomly selected participants whereby we indiscriminately sampled *boat* and
137 *individual* permit holders, resulting in 14% of surveys being sent to *individual* permit holders.
138 The survey was tailored to each permit type as described below.

139 To explore the attitudes of Striped Bass commercial fishers about unique motivational
140 aspects of fishing, we pulled from previously established indices from the recreational fishing
141 literature and developed a new index specifically designed for Striped Bass commercial fishers
142 (Driver and Knopf 1976; Fedler and Ditton 1994; Oh et al. 2013). In our survey, participants
143 were asked to select the importance of each item on a five-point Likert scale from *not at all*
144 *important* (1) to *extremely important* (5). To test activity-specific preferences, we used four
145 scale items: (1) *for the challenge or sport*, (2) *for the fun of catching fish*, (3) *to develop my skills*,
146 and (4) *to catch trophy fish*. An additional four scale items tested activity-general preferences:
147 (1) *for relaxation*, (2) *to get away from the regular routine*, (3) *to be outdoors*, and (4) *to be*
148 *close to the water*. These items were based on foundational work in recreational fisheries
149 (Driver and Knopf 1976; Fedler and Ditton 1994; Oh et al. 2013) and were also tested via several
150 semi-structured interviews with commercial Striped Bass fishers and managers to ensure item
151 relevancy. Given that these items do not capture financial aspects of commercial fishing, we
152 explored four additional items, potentially to be integrated into an index in our study pending
153 internal reliability testing of item results: (1) *for financial gain*, (2) *to support myself and my*
154 *family*, (3) *as a substantial source of income*, and (4) *for extra spending money*. Note, that other
155 aspects of fishing may be important to commercial fishers, such as the culture and family legacy
156 of commercial fishing (Miller and Van Maanen 1979). However, the purpose of our study was to
157 examine whether participants valued the financial aspect of Striped Bass fishing in comparison
158 to traditionally recreational fishing activity preferences.

159 Cronbach's alpha (α) scores were used to test the internal reliability of each index, which
160 were considered reliable at $\alpha > 0.7$ (Hammitt et al. 2006). Single items were removed from the
161 index if their elimination significantly improved index internal reliability. Pending the adequacy
162 of each index, item scores were averaged (mean) for each index, whereby *not at all important*
163 equals 1 and *extremely important* equals 5.

164 To test whether the enactment of new commercial regulations could alter fisher behavior,
165 we used a modified discrete choice experiment (Murphy Jr. et al. 2019). Survey participants
166 were offered two regulatory scenarios, where we manipulated four policies: the minimum size
167 limit of harvestable fish, maximum size limit, number of days per week fishers were allowed to
168 harvest commercial fish, and the commercial daily bag limit. The first scenario was always the
169 status quo regulations; this is the set of regulations that fishers were required to follow under
170 Massachusetts law for the previous fishing season. For fishers with *boat* permits, the status quo
171 included a minimum size limit of 34 inches, no maximum size limit, a 2-day per week cap, and a
172 daily bag limit of 15 Striped Bass. The size limits and days per week limit under the status quo
173 were the same for fishers with *individual* permits, but they could only keep 2 fish per day. The
174 second scenario included one of five options that were randomly assigned to survey
175 participants (Table 1). Four scenarios manipulated either the days per week or daily bag limit
176 policy and held other policies constant. The fifth scenario was the implementation of a slot
177 limit, whereby the days per week and daily bag limit matched the status quo, but the minimum
178 size limit was lowered to 28 inches and a 40-inch maximum size limit was instituted. Overall,
179 these scenarios were selected because they are representative of realistic policy options
180 available to Striped Bass fishery managers (G. Nelson, Massachusetts Division of Marine
181 Fisheries, personal communication).

182 For each scenario separately, participants were asked to allocate a full week (i.e., 7 days) to
183 (1) commercial fishing for Striped Bass, (2) commercial fishing for other species, (3) recreational
184 fishing, and (4) not fishing at all. The survey specified that if participants would do two or more
185 of the activities on the same day (for example, commercially fishing for Striped Bass and
186 another species on the same day), they should select the activity that would be their priority.
187 The number of days participants assigned to each activity under the alternative scenarios were
188 compared to the status quo. Here, Wilcoxon Signed Rank tests were used to compare paired
189 samples. First, however, we validated that the alternative scenarios did not influence
190 respondent choices under the status quo. This was confirmed via a Kruskal–Wallis test
191 comparing the number of days allocated to Striped Bass fishing under the status quo in all pairs
192 of experimental scenarios (p -value = 0.58). We then calculated the proportion of survey

193 participants for each activity that either increased, decreased, or did not change effort when
194 moving from the status quo to the alternative policy scenario.

195 Lastly, we tested whether fisher attitudes, along with various fishing and demographic
196 attributes, could help predict intended behavior using Classification Tree Analysis (partition
197 method in JMP version 13.0.0). Indices of activity preferences were assessed as predictors,
198 given their importance in the recreational literature and the potential significance of non-
199 monetary fishing factors to commercial fishers as well (e.g., Fedler and Ditton 1986; Salas and
200 Gaertner 2004; Sutton and Ditton 2005). Factors related to demographics and general fisher
201 attributes, including proxies for the importance of Striped Bass and fishing in general for
202 commercial fishers, were included as predictors, given their relevance in explaining possible
203 differences in perceptions between fisher types (Dimech et al. 2009). These factors include:
204 effort allocated from shore versus from a boat (%), number of years of commercial Striped Bass
205 fishing experience, commercial effort allocated to Striped Bass versus other saltwater species
206 last year (percent; we refer to this variable as *specialization* on Striped Bass), number of days
207 commercially fishing for any species last year, number of Striped Bass commercially harvested
208 last year, birth year, ethnicity (white versus non-white), education (ordinal), percent of total
209 household income generated from Striped Bass fishing (percent), and gender. The respondent
210 type was included as well (online respondent, mail respondent that did NOT receive an online
211 survey originally, and mail respondent that did receive an online survey originally) to explore
212 whether various forms of survey bias could have influenced survey outcomes. A minimum split
213 size of 5 was used to eliminate the potential for meaningless groupings.

214 **RESULTS**

215 The survey received an overall 24% response rate, which included a total of 476
216 responses, of which 438 were from online responses and 36 from mail responses. Response
217 rates for online and mail surveys (after accounting for 11 mail surveys that were returned to the
218 sender) were 29% and 7%, respectively. Subsequently, 109 respondents were excluded from
219 the dataset because they did not classify themselves as a commercial Striped Bass fisher, they
220 did not primarily fish commercially for Striped Bass in Massachusetts, or they did not select a

221 fishing permit type in the survey. Of the remaining participants, 283 and 84 fishers selected that
222 they fish using a *boat* versus an *individual* permit, respectively. The median birth year of these
223 participants was 1963; 98% were male, 90% were White/Caucasian, the total household income
224 mode was between \$100,000 and \$150,000, and more participants completed a 4-year college
225 degree compared to other education categories.

226 Data were then screened prior to analysis. Of fishers with *boat* permits, 53 respondents
227 were excluded because they did not complete the regulation scenarios properly (i.e., they did
228 not complete both scenarios or selected more days Striped Bass fishing than would be allowed
229 by the specific regulation scenario that they were presented in the survey; we explicitly asked
230 participants to consider the regulations) and 46 were excluded because they did not complete a
231 significant portion of the survey (i.e., did not make it to the scenarios). The same screening
232 process was executed for *individual* permit holders, resulting in 32 usable responses for
233 *individual* permit holders. This small sample size precluded a meaningful analysis given that
234 these responses would need to be further separated by the five regulatory scenarios. As such,
235 the remainder of the results only includes *boat* permit holders (n = 184). Of these respondents,
236 the median total household income generated from Striped Bass was 5%, while approximately
237 68% of these respondents selected that they also participate in the recreational Striped Bass
238 fishery.

239 *(1) What are the attitudes of Striped Bass commercial fishers regarding their motivations for*
240 *fishing?*

241 Internal reliability tests for activity preference indices indicated good support for both
242 the activity-specific and activity general-preference metrics (Chronbach's alpha > 0.7; Table S1).
243 Analysis of the four items under the financial preferences index indicated that the item fishing
244 *for extra spending money* be removed (i.e., Cronbach's alpha improved to 0.88 with item
245 removal; Table S1). As such, the remaining three items were included in the financial
246 preferences index, while the item fishing *for extra spending money* was analyzed as a separate
247 factor (hereon referred to as *spending money*). Considerable variation was evident for all four
248 metrics (Figure 1). Notably, fishers placed the most emphasis on activity-general preferences,

249 revealing a right-skewed distribution with a median score of 3.75 out of a possible 5.
250 Preferences were closer to a normal distribution for the other three metrics, with median
251 scores of 3.25, 3.00, and 3.00 for activity-specific, financial, and spending money metrics,
252 respectively.

253 *(2) How might the enactment of new commercial fishing regulations change fisher behavior?*

254 Fisher effort in response to alternative regulations was generally inelastic, such that the
255 vast majority of fishers only changed behavior when forced to because of shifts in the number
256 of fishing days per week allowed (Figure 2). When provided the opportunity to increase the
257 number of days respondents could fish for Striped Bass in the experimental scenarios, most
258 participants allocated more time to Striped Bass (54% of fishers), which was accompanied by
259 similar decreases in effort across the other activity options; commercial fishing for other
260 species, recreational fishing, and days they would have not fished at all. When the days per
261 week limit was reduced from 2 days to 1 day, 90% of fishers reduced their commercial Striped
262 Bass fishing effort and increased effort into one of the three other activity options.
263 Alternatively, however, changes to the daily bag limit and the implementation of a slot limit did
264 not result in substantial changes. Under these scenarios, only 9–11% of fishers changed their
265 commercial Striped Bass effort after the implementation of a new regulation. Across all
266 regulatory scenarios, when fishers did change behavior into and out of other commercial
267 fisheries, they were most likely to be the Bluefish *Pomatomus saltatrix* and Bluefin Tuna
268 *Thunnus thynnus* fisheries.

269 *(3) Can the attitudes and attributes of commercial fishers predict their behavior?*

270 Classification Tree Analysis revealed that different fisher attributes predicted intended
271 behavior for each regulation, except for the implementation of a slot limit whereby no
272 significant predictors were identified (Figure 3). When the days per week limit was increased
273 from 2 days to 3 days, younger fishers (born later than 1976) were much more likely to increase
274 effort into the commercial Striped Bass fishery, while older fishers had a higher propensity to
275 maintain constant effort. Of these older fishers, a small subset fishes quite a bit during the year
276 (≥ 45 days) and was more likely to increase effort into the commercial Striped Bass fishery.

277 Under the increased daily bag limit scenario, aspects of fisher attitudes were the strongest
278 predictors of intended behavior. Here, a small contingent of fishers maintained very high
279 activity-general preferences (i.e., selected the maximum importance for all four activity-general
280 scale items) and was the only group to actually decrease effort under this less restrictive
281 scenario. All fishers decreased effort when forced to for the decreased daily bag scenario,
282 except a small subset of fishers with very low specialization on Striped Bass who already fished
283 on fewer days than allowed (i.e., they remained constant in their effort after the regulation
284 change). Lastly, the decreased daily bag limit scenario revealed only one significant predictor of
285 intended behavior: the only fishers that decreased effort included those that harvested 40 or
286 more fish the previous year.

287 **DISCUSSION**

288 Reducing uncertainty and unintended consequences of policy outcomes requires that
289 managers understand the preferences, needs, and potential behaviors of fishers (Suuronen et
290 al. 2010; Emery et al. 2014). Binning stakeholders into recreational and commercial
291 constituency groups is potentially not sufficient enough, however, as the scope of motivations
292 and attitudes can be highly diverse within these groups. Here we found that commercial fishers
293 can maintain a diversity of motivations and preferences for Striped Bass fishing. Moreover,
294 these ideologies can help elucidate how fishers may respond to various policies, thereby
295 enhancing their efficacy by accounting for fisher behavioral responses to them.

296 The financial motivation for commercial fishing is perhaps easiest to understand and
297 appreciate, as fishers are finely tuned and adaptive to economic pressures that affect their
298 financial return (Eales and Wilen 1986). However, our results revealed that non-monetary
299 incentives can be just as or potentially even more important. In our survey, we found that
300 commercial fishers participate in the fishery for many other reasons, such as “to get away from
301 the regular routine” or simply “to be outdoors” (Figure 1). While some survey respondents use
302 the fishery as a primary means of income generation, the vast majority participate in the
303 commercial harvest of Striped Bass as supplemental income; the median total household
304 income generated from Striped Bass was 5% in our survey. These findings likely cannot be

305 generalized to other fisheries whose participants are primarily driven by economic incentives.
306 However, other case studies illustrate that a multitude of non-monetary measures of
307 commercial fisher job satisfaction exist, such as being outdoors (Holland et al. 2020). Young et
308 al. (2016) also identified analogous motivations between recreational and artisanal fishers in
309 Australia and the Solomon Islands. This collectively suggests that fishing motivations likely exist
310 on a spectrum, from traditional economic motivations to those illustrated in the Striped Bass
311 fishery, wherein many commercial fishers harbor motivations similar to recreational fishers
312 (Murphy Jr. et al. 2019).

313 The history of Striped Bass populations fluctuating dramatically along the East Coast,
314 coupled with the resultant regulatory structure today, likely contributed substantially to this
315 phenomenon (Richards and Rago 1999). Today, a modest bag limit and days per week
316 restriction potentially make it difficult for Striped Bass fishers to generate sizeable financial
317 returns. Financial motivations ranked lowest among the experience preferences tested in our
318 survey. This includes both the financial motivation index and *spending money* as a separate
319 item, further supporting the notion that fishers participate in the commercial harvest of Striped
320 Bass for multiple reasons. Importantly, however, some fishers did indicate preferences for
321 financial motivations; approximately one-third of participants had a financial index score
322 between 4 and 5 (5 indicating that financial aspects of Striped Bass fishing are *extremely*
323 *important*). Assuming that these fishers are homogenous in their preferences for other aspects
324 of commercial fishing would be overly reductionist. Many fishers in our study valued activity-
325 specific and activity-general preferences on par with financial motivations. It is clear that
326 motivations for commercial fishing can be varied and even comparable to recreational fishers,
327 especially in cases such as the Striped Bass fishery where the target fish comprises a minor
328 proportion of most participants' livelihoods.

329 Examination of participant behavior in response to a variety of potential regulatory
330 scenarios revealed that fishers generally only changed behavior when mandated. Specifically,
331 overall fishing effort was relatively inelastic and non-responsive to changes in regulations that
332 did not affect the days per week limit. Given the restrictive effort controls that existed in
333 Massachusetts at the time of the survey, most fishers were already fishing at the 2 days per

334 week limit. As a result, they didn't have room to increase effort when favorable regulations
335 were enacted. Interestingly, however, even when the more restrictive, "decreased daily bag
336 limit," was put in place, only 3 of 34 fishers decreased their effort in the commercial Striped
337 Bass fishery. This finding suggests that fishers are either not catching the daily bag limit of 15
338 fish on average or further supports the finding that most fishers do not regard high catch rates
339 as a principal motivator for their participation. However, responses to policy changes in our
340 survey correspond with each fisher's intentions, such that factors not explored in this study,
341 such as changes in weather or other regulatory pressures, may alter the realized behaviors of
342 fishers (Ajzen 1991).

343 When scenarios required fishers to increase or decrease fishing effort, survey
344 respondents re-allocated time to and from other commercial fisheries, recreational fishing, and
345 days where they would not fish. Of these three alternatives, the re-allocated effort was spread
346 evenly, suggesting that a diversity of fishers who responded to the survey maintain variable
347 portfolios of fishing and non-fishing activities. Our findings also revealed important spillover
348 effects, whereby effort controls in one fishery could impact another. In this study, the bulk of
349 effort re-allocation in other commercial fisheries was linked to the Bluefish or Bluefin Tuna
350 fisheries. Additionally, these species have large recreational user groups, highlighting links not
351 just between commercial fisheries, but also between commercial and recreational sectors.
352 Holistic approaches to management will need to consider these spillover effects to avoid
353 unintended outcomes with negative potential socioeconomic and conservation impacts to
354 other fisheries (Cunningham et al. 2016).

355 Our findings revealed that a better understanding of stakeholder characteristics could
356 help predict how fishers respond to regulatory changes. Specifically, fisher demographics,
357 attributes of participants' fishing activities, and their motivations for commercial fishing were
358 aligned in our survey. Importantly, different regulations altered the fishing effort of unique
359 groups of fishers. For example, younger fishers from the survey (~40 years old and younger)
360 appear most eager to capitalize on more generous effort controls, as they were more likely to
361 increase effort after the implementation of a 3 day per week limit. It is plausible that younger
362 fishers have more capacity to increase their effort and thereby have an elevated ability to adapt

363 to changes in regulatory structures, which aligns with research that revealed higher resilience
364 to change among young commercial fishers in Australia (Marshall and Marshall 2007).

365 The degree to which respondents specialize and engage with the commercial Striped
366 Bass fishery were also potential predictors of behavior. This was the case for both days per
367 week policy changes and the decreased daily bag limit scenario, whereby fishers that fished
368 more, caught more fish, or targeted Striped Bass more than other fishers were increasingly
369 likely to change behavior. It is evident that aspects of activity specialization can influence
370 decisions among recreational fishers, while species portfolio diversification (i.e., having licenses
371 for many species) can aid in the adaptability of commercial fishers as well (e.g., Sutton and
372 Ditton 2001; Stoll et al. 2016). This hypothesis is supported in our findings, in fishers
373 demonstrating a relatively high degree of participation in the Striped Bass commercial fishery
374 were more likely to incur negative impacts as a result of increasingly restrictive policy controls.

375 Lastly, we found that aspects of motivations for commercial fishing correlate with
376 intended behavior. This finding extends a large body of literature on recreational fishing
377 behaviors into the commercial sector (e.g., Fedler and Ditton 1986; Sutton and Ditton 2005; Oh
378 and Ditton 2006). In our study, the only fishers that decreased effort upon the implementation
379 of a less restrictive daily bag limit harbored high activity-general preferences, such as their
380 desire to fish for relaxation or to be close to the water. This is clearly counter to the principal
381 financial incentive to commercially fish but suggests that some fishers who assign high
382 importance to activity-general preferences envision negative consequences to their fishing
383 experience from relaxed policies. While speculative, fishers may foresee a net increase in effort
384 from other fishers resulting in the crowding of fishing spots or increased overall resource
385 competition. It is also important to note that while we did implement a minimum split size of 5
386 for classification tree analyses, small sample sizes for several splits suggest that results should
387 be interpreted cautiously. However, the disparity in behavior between fisher types enhances
388 confidence in these interpretations. For example, for the increased daily bag limit scenario all
389 fishers that decreased effort were in one group, and for the decrease in days per week
390 scenario, only the low specialization group had fishers that maintained constant effort.

391 In summary, this work illustrates the utility in avoiding characterizing the perceptions of
392 commercial fishers as a homogenous group with singular reactions to shifting regulations. We
393 found that commercial fishers can harbor a diverse suite of preferences that include both
394 financial and non-financial motivations. Heterogeneity in the motivations and attributes of
395 Striped Bass commercial fishers helped to explain differences in responses to hypothetical
396 policy changes. Moreover, an understanding of the perceptions of fishers can help managers
397 better align policies with stakeholder motivations, potentially improving their overall
398 satisfaction and decreasing the uncertainty associated with fisher behavior after new policies.

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502

503 **Tables**

504 **Table 1.** Regulation scenarios for fishers with *boat permits*. Status quo regulation was shown to
505 all participants in addition to one of the other five hypothetical regulations. For fishers with
506 *individual permits*, regulation changes mimicked those in Table 1, with the exception of the
507 daily bag limit, which was 2 days under the status quos, slot limit, and days per week changes.
508 The bag limit was manipulated to 3 under the increased daily bag limit and 1 under the
509 decreased daily bag limit.

	Status-quo	Increase days per week	Increase daily bag limit	Decrease days per week	Decrease daily bag limit	Slot limit
Minimum size limit	34 inches	34 inches	34 inches	34 inches	34 inches	34 inches
Maximum size limit	None	None	None	None	None	40 inches
Days per week	2	3	2	1	2	2
Daily bag limit	15	15	20	15	10	15

510

511

512 **Figure Legends**

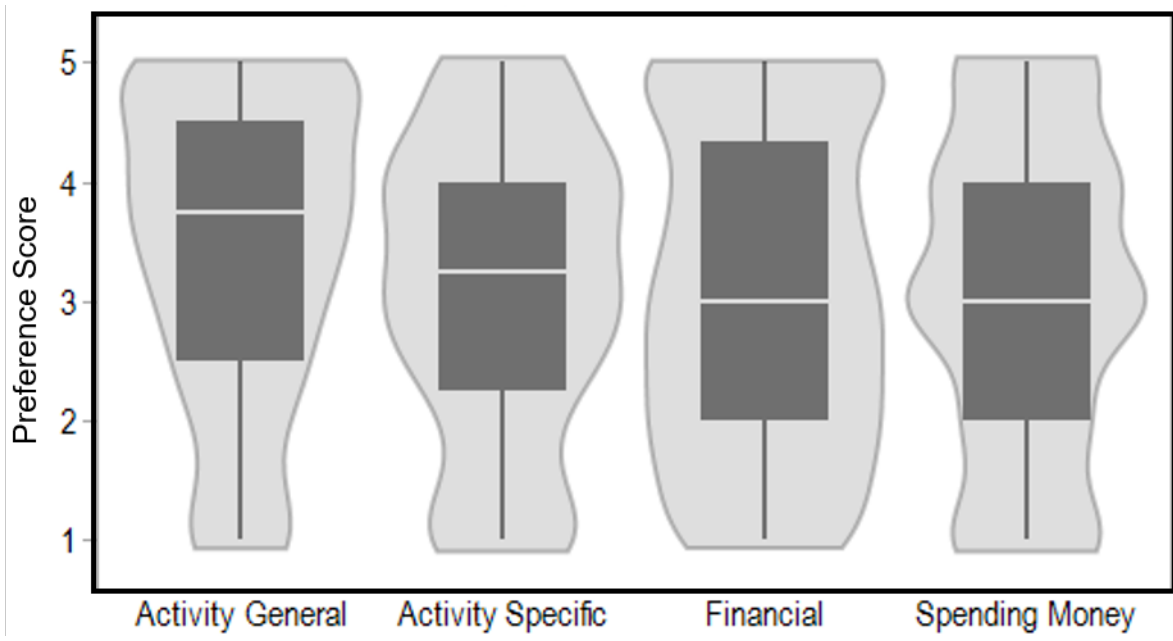
513 **Figure 1.** Summary plot depicting activity preferences of commercial Striped Bass fishers in
514 Massachusetts. Responses to the attitudes of fishers with Boat Striped Bass permits are
515 displayed as violin plots overlaid with box-and-whisker plots, whereby *not at all important*
516 equals 1 and *extremely important* equals 5.

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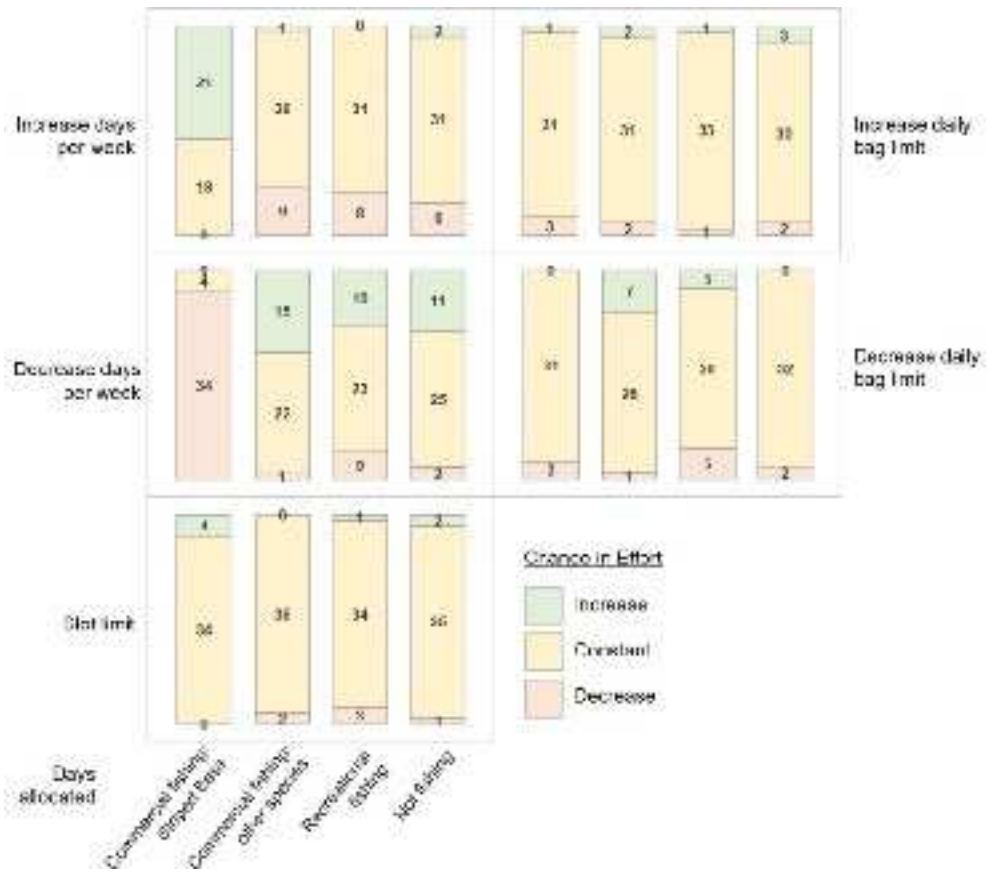
518 **Figure 2.** Shift in effort upon the implementation of a new regulation for Striped Bass fishers in
519 Massachusetts with a Boat Permit. Bars represent the number of fishers that select they would
520 either decrease (red), remain constant (yellow), or increase (green) their effort in each
521 respective category after the implementation of a new regulation. Number in each bar indicate
522 the number of participants in each category.

523 **Figure 3.** Classification tree analysis for each regulatory scenario. The following variables were
524 included as possible predictors: respondent type, the effort allocated from shore versus from a
525 boat (percent), number of years of commercial Striped Bass fishing experience, commercial
526 effort allocated to Striped Bass versus other saltwater species last year (percent), number of
527 days commercially fishing for any species last year, number of Striped Bass commercially

528 harvested caught last year, birth year, how often Striped Bass is consumed during the fishing
529 season (ordinal), ethnicity (white versus non-white), education (ordinal), percent of total
530 household income generated from Striped Bass fishing (percent), gender, and activity
531 preference scores. The respondent type was included as well (online respondent, mail
532 respondent that did NOT receive an online survey originally, and mail respondent that did
533 receive an online survey originally) to explore whether various forms of survey bias could have
534 influenced survey outcomes. A minimum split size of 5 was used to eliminate the potential for
535 meaningless groupings.



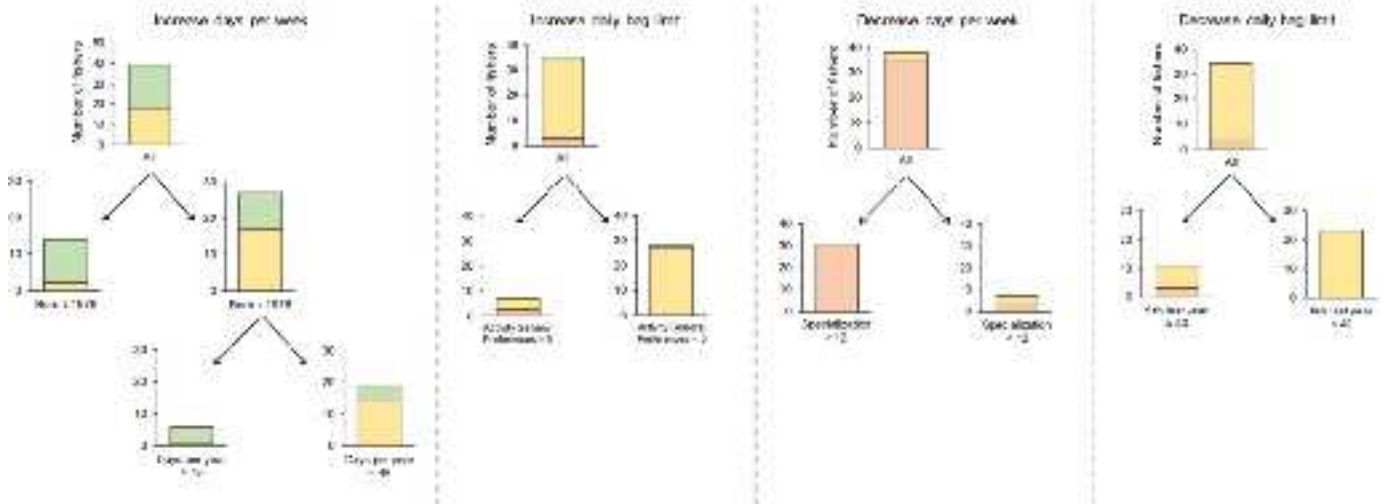
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Change in Effort

Increase
 Constant
 Decrease



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