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**Evaluation of Anesthesia Protocols for Handling Hogfish *Lachnolaimus maximus* using Tricaine Methanesulfonate and AQUI-S 20E®**

Fred A. Shopnitz <sup>1,2</sup>, Cortney L. Ohs <sup>\*1,2</sup>, Elizabeth H. Silvy <sup>2</sup>, Jason S. Broach <sup>2,6</sup>, Matthew A. DiMaggio <sup>1,3</sup>, Joshua T. Patterson <sup>1,4</sup>, Angela Collins <sup>5</sup>

<sup>1</sup> University of Florida  
Institute of Food and Agricultural Sciences  
School of Forest, Fisheries, and Geomatics Sciences  
Program in Fisheries and Aquatic Sciences  
7922 NW 71st St  
PO Box 110600  
Gainesville, FL 32611

<sup>2</sup> University of Florida  
Indian River Research and Education Center  
2199 South Rock Road  
Fort Pierce, FL 34945

<sup>3</sup> University of Florida  
Tropical Aquaculture Laboratory  
1408 24th St SE  
Ruskin, FL 33570

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30  
31 <sup>4</sup>The Florida Aquarium  
32 Center for Conservation  
33 529 Estuary Shore Lane  
34 Apollo Beach, FL 33572

35  
36 <sup>5</sup>Florida Sea Grant  
37 University of Florida IFAS Extension  
38 Manatee County Government  
39 1303 17th Street West  
40 Palmetto, FL 34221

41  
42 <sup>6</sup>Current Address:  
43 Waddell Mariculture Center  
44 SCDNR – Marine Resources Division  
45 211 Sawmill Creek Road  
46 Bluffton, SC 29910  
47 \*Corresponding author email: cohs@ufl.edu

#### 48 **Abstract**

49 Hogfish *Lachnolaimus maximus* are a high valued food fish with significant recreational  
50 and commercial fishing pressure and are a candidate species for marine aquaculture. There is a  
51 need to define safe and effective methods of anesthesia for handling of this species for  
52 aquaculture. Anesthesia efficacy was assessed with wild-collected adult (>20 cm, 0.2 to 1.2 kg)  
53 and juvenile F1 (<11 cm, 5 to 50 g) Hogfish, using tricaine methanesulfonate (Tricaine-S<sup>®</sup>) at  
54 25, 50, 100, 125, and 150 mg L<sup>-1</sup> and AQUI-S 20E<sup>®</sup> (10% eugenol) at 50, 75, 100, 200, 300, 400,  
55 and 500 mg L<sup>-1</sup> to determine favorable doses for minor handling. Favorable doses resulted in  
56 induction of light anesthesia and recovery time each under 5 min, zero mortality, and limited  
57 excitation behavior. For adult Hogfish, Tricaine-S<sup>®</sup> was effective at inducing light anesthesia at  
58 100-150 mg L<sup>-1</sup> and was preferred over the effective range of AQUI-S 20E<sup>®</sup> doses (100-200 mg  
59 L<sup>-1</sup>) based on fish behavioral observations while undergoing anesthesia. Additionally, induction  
60 of deep anesthesia was explored to inform potential doses for major and potentially lethal

61 procedures. These same ranges were effective at inducing deep anesthesia in adults. Juvenile fish  
62 were effectively anesthetized at the same doses of Tricaine-S<sup>®</sup> (100-150 mg L<sup>-1</sup>) and were  
63 induced to light and deep anesthesia faster than adults at the same dose levels. AQUI-S 20E<sup>®</sup>  
64 was effective at inducing light anesthesia in juveniles at all levels tested, however no favorable  
65 dose for deep anesthesia was found. Overall, Hogfish were anesthetized with Tricaine-S<sup>®</sup> at  
66 similar doses used with other species and responded to AQUI-S 20E<sup>®</sup> similarly in terms of  
67 efficacy but unfavorably in terms of behavior.

68

## 69 **Introduction**

70 Hogfish *Lachnolaimus maximus* are a candidate for foodfish production. They are  
71 protogynous, monandric hermaphrodites with males achieving sizes close to 1 meter and 10 kg  
72 (Randall and Warmke, 1967). This species occurs in coastal waters of the Atlantic Ocean from  
73 North Carolina, south throughout the Gulf of Mexico, and as far south as Brazil (Lieske and  
74 Myers, 1994; Sampaio et al., 2016). They are common near rocky areas and reefs (Collins and  
75 McBride, 2011). Hogfish are a highly desired foodfish with an established market, targeted by  
76 both recreational and commercial anglers (Cooper et al., 2013). The predominant capture method  
77 for Hogfish is spearfishing. This harvest method is not readily scalable, which prevents Hogfish  
78 harvest from reaching the volume of other commercially targeted reef fish like snapper  
79 (Lutjanidae) and grouper (Epinephelidae), which are caught primarily by hook and line (NOAA,  
80 2020). Compounding this is the reported overfishing of this species seen in regions of the  
81 Atlantic Ocean (Choat et al., 2010; Cooper et al., 2013). High demand exists for this species as a  
82 food product and supply levels may not be sustainable as overall reef fish density throughout the  
83 Western Atlantic continues to decline (Paddock et al., 2009; Pauly and Zeller, 2016; Zimmerman  
84 and Werner, 2019). Commercial scale production of other members of the wrasse family  
85 (Labridae) has been achieved (Grant et al. 2016) and initial larviculture of this species has  
86 proven feasible (Colin 1982). If captive Hogfish are to be maintained for commercial production,  
87 safe anesthesia protocols must be elucidated for necessary transport, tagging, and hormonal  
88 injection.

89 Chemical agents are used to anesthetize fish in a hatchery setting in preparation for close  
90 examination, hormonal injection, tagging, transportation, and measurement procedures (Ross and  
91 Ross 2008). Effective dose of immersion anesthetics may vary between species based on

92 physiology, respiration rate, and gill area to body weight ratio (Coyle et al., 2004). It is essential  
93 that proper species-specific dose levels are determined for an anesthetic agent to ensure health  
94 and safety of the fish and prevent losses (Trushenski et al., 2013). An ideal anesthetic outlined by  
95 Trushenski et al. (2012) is one that is safe for people and fish, effective at low doses in a  
96 predictable and rapid manner, has a high margin of error (i.e. wide range of doses before  
97 overdose occurs), allows for rapid recovery, and is inexpensive. This implies that optimum doses  
98 would have a timeframe of 5-10 min for induction and recovery to allow for the timely  
99 processing of fish (Silbernagel and Yochem, 2016). Safety of the chemical agent to both handlers  
100 and fish is paramount. The agent must not cause direct harm to handlers and should not lead to  
101 mortality or undue physiological stress on the fish. Certain anesthetic-specific considerations,  
102 which will be introduced in the following paragraphs, must be recognized. Further, a lack of  
103 sufficient anesthetic effect could cause indirect harm to both handlers and fish as many  
104 procedures occur out of water and involve sharp objects (e.g. needles, scalpels). The sudden,  
105 powerful movements of which fish are capable could lead to puncture, laceration, and/or impact  
106 injuries to fish and handler if the animal is not fully sedated. Therefore, proper selection of  
107 anesthetic agent and dosage are vital to safe, efficient hatchery practices.

108         The only FDA-approved chemical for sedation and anesthesia in foodfish is tricaine  
109 methanesulfonate (Tricaine-S<sup>®</sup>, Western Chemical, Inc., Ferndale, WA), which requires a 21-day  
110 withdrawal time before fish can be processed as food for human consumption (FDA 2019). By  
111 the definition put forth in Trushenski et al. (2012), there are several issues when using Tricaine-  
112 S<sup>®</sup> that make it less advantageous as the only legal option for anesthetizing fish. This chemical  
113 must be buffered in solution with sodium bicarbonate to balance its acidity and prevent harm to  
114 the fish's gill epithelia while in the anesthetic bath (Trushenski et al., 2013). Further, even when  
115 fully anesthetized, cortisol levels of fish may continue to rise, meaning fish may still be  
116 subjected to the physiological manifestations of stress even as they appear calm (Small, 2003;  
117 Coyle et al., 2004; Palić et al., 2006). Anesthesia addition alone may cause stress, even without  
118 handling (Smith et al., 1999). Captive broodstock often undergo repeated handling stress  
119 associated with normal culture procedures where anesthetization may be required or help reduce  
120 the impact of stress. Prolonged elevation of cortisol levels caused by such stressors has been  
121 shown to be immunosuppressive in fish (Palić et al., 2006). Long term immunosuppression can

122 adversely affect spawning quality and growth rates (Schreck et al., 2001; Tort et al., 2004),  
123 impacting production potential.

124 One alternative anesthetic agent that has been explored under the Investigational New  
125 Animal Drug (INAD) program is AQUI-S 20E® (10% Eugenol, AQUI-S New Zealand Ltd,  
126 Lower Hutt, New Zealand, INAD #11-741). Eugenol has been investigated as an anesthetic for a  
127 number of marine species including Cobia *Rachycentron canadum*, Yellowtail Jack *Seriola*  
128 *lalandi*, Seabass (*Atractoscion nobilis* and *Paralabrax* spp.), Halibut (*Hippoglossus hippoglossus*  
129 and *Paralichthys californicus*), Atlantic Cod *Gadus morhua*, Salmonids (*Salmo salar* and  
130 *Onchyrhynchus mykiss*) and Elasmobranchs (*Triakis semifasciata* and *Mustelus californicus*)  
131 among others (Keene et al., 1998; Iverson et al., 2003; Zahl et al., 2010; Trushenski et al., 2012;  
132 Silbernagel and Yochem, 2016). Eugenol has been shown to limit stimulation of cortisol levels in  
133 blood plasma during anesthesia in some fishes (Iversen et al., 2003; Small, 2003; Palić et al.,  
134 2006) but not others (Zahl et al., 2010; Berlinsky et al., 2016). In Common Carp (*Cyprinus*  
135 *carpio*), eugenol was found to increase levels of circulating plasma aspartate transaminase (AST)  
136 and lactate dehydrogenase (LDH), which are general indicators of tissue damage (Yousefi et al.,  
137 2018). Induction and recovery times for sedation with eugenol vary with salinity for euryhaline  
138 fish (Barry et al., 2017), which could impact aquaculture operations working at reduced  
139 salinities. These properties suggest eugenol may be an advantageous anesthetic agent for use in  
140 marine finfish aquaculture, however, species-specific concentrations and protocols should be  
141 defined.

142 The objectives of this research were to determine an effective dose range of Tricaine-S®  
143 and AQUI-S 20E® to induce light anesthesia for adult and juvenile Hogfish. Additionally,  
144 induction of deep anesthesia was explored to inform potential doses for major and potentially  
145 lethal procedures. Favorable doses were determined by low induction and recovery time (< 300  
146 s), zero lethality, and limited excitation behavior. Ultimately, meeting these objectives would  
147 provide necessary information for practical hatchery management and future research with  
148 Hogfish.

149

## 150 **Methods**

151 *Hogfish Collection and Anesthesia Methodology.*- Adult wild Hogfish were collected by hook  
152 and line, net, and trap capture under the guidelines of a special activity license (SAL-19-2121B-

153 SR) issued by Florida Fish and Wildlife Conservation Commission. Fish were obtained from the  
154 Florida Keys and Tampa Bay area in March-July 2019 and were then transferred via hauling  
155 truck to a University of Florida Indian River Research and Education Center (UF-IRREC)  
156 outdoor greenhouse in Ft. Pierce, Florida. There fish were held in recirculating systems  
157 containing four 1600 L cylindrical black fiberglass tanks, a bead filter, protein skimmer, and  
158 supplemental aeration while undergoing a four-week quarantine. Frozen clams and shrimp were  
159 offered twice daily. After quarantine, fish were kept within these same systems for several  
160 months before experiments began. Bleach-sterilized Atlantic Ocean water was used for all  
161 saltwater applications at UF-IRREC and system water parameters were maintained at appropriate  
162 levels (salinity 30-35 g L<sup>-1</sup>, 26-29°C, pH 7.9-8.3, DO >5 mg L<sup>-1</sup>) and water quality (TAN 0 mg  
163 L<sup>-1</sup>, Nitrite 0 mg L<sup>-1</sup>, Nitrate <160 mg L<sup>-1</sup>). Juvenile F1 Hogfish were produced at UF-IRREC  
164 from captive broodstock and were reared within a similar recirculating system to the adults  
165 containing a 1600 L blue polyurethane rectangular tank with a biofilter for 5 months prior to  
166 anesthesia treatment. Juvenile fish were approximately 6 months old at the time of the  
167 experiment and were being fed a pelleted diet multiple times daily. Fish used in this study were  
168 cared for under Institutional Animal Care and Use Committee (IACUC #201808719, University  
169 of Florida) protocols for proper handling and husbandry.

170 Anesthetic agent and dose efficacy were tested with two experiments on adult and F1  
171 juvenile Hogfish. The anesthetic agents Tricaine-S® (Tricaine-S®, Western Chemical, Inc.,  
172 Ferndale, WA) and AQUI-S 20E® (10% Eugenol, AQUI-S New Zealand Ltd, Lower Hutt, New  
173 Zealand, INAD #11-741) were used to determine effective doses for light anesthesia for minor  
174 handling of Hogfish. Minor handling was defined in this study as weighing, measuring, and  
175 potentially tagging fish. Deep anesthesia was also assessed to determine an effective dose for  
176 future procedures where light or surgical anesthesia would not suffice. Observed condition and  
177 classification of the level to which fish had been anesthetized was based on the common stage I-  
178 IV anesthesia with several planes of anesthesia at stage III (Ross and Ross 2008). A table that  
179 defines specific anesthesia stages can be found in Sneddon (2012). For this study, time points  
180 were recorded when fish experienced light anesthesia (stage III, plane I defined by loss of  
181 equilibrium) and deep anesthesia (stage III, plane III defined by cessation/rare gill movement), as  
182 well as recovery (stage 0, full return of motor function). Fish were determined to be in deep  
183 anesthesia at the first moment of total gill movement cessation.

184 Treatment baths were prepared in small (25 L) black polyethylene tubs and aerated with a  
185 single air diffuser. Water used for trials matched conditions of the systems in which fish were  
186 housed (30-35 g L<sup>-1</sup> salinity; 23-27°C; pH 8.0-8.3) and the same treatment bath was used for all  
187 fish treated that trial day. For Tricaine-S<sup>®</sup>, the powder was weighed out to the desired  
188 concentration in mg L<sup>-1</sup> and added to the bath with double the amount of sodium bicarbonate as a  
189 pH buffer. For AQUI-S 20E<sup>®</sup>, the liquid was measured using a graduated pipette to the desired  
190 concentration and added to the bath. All baths were aerated and mixed for 10 minutes prior to  
191 addition of fish. Fish selected for adult anesthesia treatments were considered at or near adult  
192 size (>20 cm) and ranged from 23 to 43 cm TL and 0.2 to 1.2 kg in weight. Juvenile fish (Collins  
193 and McBride 2011) ranged from 4 to 11 cm TL and 5 to 50 g. Fish were assigned to treatments  
194 to balance the range of weights of available fish among doses for as even a distribution as  
195 possible for each treatment. Fish were transferred from tanks to a temporary fiberglass holding  
196 tank (~100 L) for 5 minutes prior to treatments to standardize handling of fish prior to treatment.  
197 Fish had resumed normal breathing and swimming patterns before being added to the treatment  
198 bath.

199 Fish were individually placed into treatment baths. Any excitation behavior (defined here  
200 as jumping, thrashing, and behavior deviating from normal activity) was noted throughout the  
201 duration of each individual trial. The procedure was timed from when the fish was placed into  
202 the treatment bath, until they exhibited stage III plane I (loss of equilibrium) and stage III plane  
203 III (cessation of gill movement). These times were recorded. Once determined to be fully  
204 anesthetized, fish were handled and then placed into a recovery tank (~100 L, receiving pure  
205 oxygen injection) and timed to full recovery of motor function (stage 0). Dissolved oxygen levels  
206 in the recovery chamber were maintained at 100-150% saturation and monitored using a  
207 handheld YSI Multimeter (YSI inc., Yellow Spring, OH, USA). During temporal replication, the  
208 maximum number of juvenile fish and adult fish used in a single treatment bath was 15 and 8,  
209 respectively.

210 A total of 24 individual adult fish and 60 F1 juveniles were used for temporally replicated  
211 trials. Any fish receiving multiple anesthetic treatments was held more than 5 days between  
212 treatments under normal conditions of feeding and care. Individual fish were subjected to 2 to 4  
213 rounds of treatment due to limited availability of appropriately sized fish. On a given trial day,  
214 one anesthetic agent was tested at three different concentrations with fish divided evenly among

215 treatment groups. Any fish that failed to reach either light or deep anesthesia, or recovery in  
216 under 600 s was given a time of 600 s. Due to the limited number of fish, those with no active  
217 gill movement after 30 s in the recovery bath were manually resuscitated by moving the fish  
218 through the water column to minimize mortalities.

219  
220 *Adult Hogfish Anesthetization.*- Trials were conducted over four temporal replicates December  
221 2019-January 2020. AQUI-S 20E<sup>®</sup> and Tricaine-S<sup>®</sup> were evaluated with adult Hogfish.

222 Treatment baths of AQUI-S 20E<sup>®</sup> were prepared at concentrations of 50, 100 and 200 mg L<sup>-1</sup>  
223 AQUI-S 20E<sup>®</sup>. Treatment baths of Tricaine-S<sup>®</sup> were prepared at concentrations of 25, 50, 100,  
224 125, and 150 mg L<sup>-1</sup>, each buffered with sodium bicarbonate at an approximate 2:1 ratio so pH  
225 was equal to the fish's source water. Fish were kept in the treatment bath until they were  
226 determined to have reached deep anesthesia, or for a maximum of 600 s (10 min) for any fish  
227 that did not fully anesthetize. Induction times were recorded and fish were then removed,  
228 weighed, measured, and allowed to recover.

229  
230 *Juvenile Hogfish Anesthetization.*- Juvenile F1 fish were acquired through volitional spawning of  
231 Hogfish broodstock maintained at UF-IRREC under photothermally manipulated conditions.  
232 Fish greater than 5 g were haphazardly selected for anesthesia treatment from a larger pool of  
233 juvenile fish. Trials were conducted over three temporal replicates October-November 2020.

234 Treatment baths of AQUI-S 20E<sup>®</sup> were prepared at concentrations of 50, 100, 200, 300, 400, and  
235 500 mg L<sup>-1</sup> and baths of Tricaine-S<sup>®</sup> were prepared at concentrations of 50, 75, 100, 125, and 150  
236 mg L<sup>-1</sup>, each buffered with sodium bicarbonate at an approximate ratio of 2:1 so pH was equal to  
237 the fish's source water. Data was collected and fish were handled identical to methods described  
238 previously.

239  
240 *Statistical Analysis.*- All statistical analyses were performed in RStudio (R version 4.0.3).  
241 Normality was assessed visually with a Q-Q plot and quantitatively with a Shapiro-Wilk test.  
242 Homogeneity of variance was assessed visually by plotting residuals and by the global validation  
243 of linear models function (rpackage: gvlma) in RStudio. Any data not meeting assumptions was  
244 power transformed using a Box-Cox transformation. A one-way analysis of variance (ANOVA)  
245 was conducted on dose levels for each treatment chemical for either adult or juvenile fish. A post



246 hoc Tukey HSD test was performed when significant differences ( $\alpha= 0.05$ ) were detected among  
247 treatments. All measures herein are presented as mean  $\pm$  SD to two significant figures.

248

## 249 **Results**

250 *Adult Hogfish Anesthetization.*- Adult fish treated with AQUI-S 20E<sup>®</sup> differed in weight (n=30,  
251  $F_{2,27}=4.566, P=0.0196$ ) with only the 50 mg L<sup>-1</sup> treatment weighing less than the 150 and 200 mg  
252 L<sup>-1</sup> treatments. Weight was significantly different because there was one large 1200 g individual  
253 and several smaller individuals. There were statistically significant differences in mean time to  
254 stage III plane I anesthesia among treatments (n=28,  $F_{2,25}=27.09, P<0.0001$ ) with the 200 mg L<sup>-1</sup>  
255 treatment having the shortest time to stage III plane I (Table 1). Statistically significant  
256 differences of mean time to stage III plane III were detected (n=30,  $F_{2,27}=122.8, P<0.0001$ ), with  
257 all treatments being significantly different from the others. No fish treated at 50 mg L<sup>-1</sup> AQUI-S  
258 20E<sup>®</sup> was deeply anesthetized. Mean time to stage 0 varied significantly among doses (n=28,  
259  $F_{2,25}=27.09, P<0.0001$ ) with the 50 mg L<sup>-1</sup> treatment again being significantly different from the  
260 100 mg L<sup>-1</sup> and 200 mg L<sup>-1</sup> treatments. Excitation in the form of jumping was noted in 11 of the  
261 30 individuals for AQUI-S 20E<sup>®</sup> (n=3 50 mg L<sup>-1</sup>, n=3 100 mg L<sup>-1</sup>, n=5 200 mg L<sup>-1</sup>).

262 Mean weight of adult fish treated with Tricaine-S<sup>®</sup> did not vary significantly  
263 ( $F_{2,27}=0.888, P=0.423$ ). No fish treated at either 25 or 50 mg L<sup>-1</sup> Tricaine-S<sup>®</sup> were fully  
264 anesthetized (n=3 for both treatments) and those treatments were removed from further statistical  
265 analysis. Statistically significant differences in mean time to stage III plane I anesthesia were  
266 seen among treatments (n=30,  $F_{2,27}=7.2055, P=0.0031$ ) with the 100 mg L<sup>-1</sup> treatment having the  
267 longest time to stage III plane I. Significant differences in mean time to stage III plane III (n=30,  
268  $F_{2,27}=31.55, P<0.0001$ ) were also seen among treatments with all treatments differing  
269 significantly from each other. Mean time to stage 0 (n=30) varied significantly among treatments  
270 ( $P=0.0455$ ) with the 100 mg L<sup>-1</sup> treatment recovering faster than the 150 mg L<sup>-1</sup> treatment.  
271 Excitation was noted in 8 of the 30 individuals treated with Tricaine-S<sup>®</sup> (n=3 100 mg L<sup>-1</sup>, n=2  
272 125 mg L<sup>-1</sup>, n=3 150 mg L<sup>-1</sup>). No mortality was seen in adult fish for either anesthetic at any dose  
273 tested.

274

275 *Juvenile Hogfish Anesthetization.*- Mean weight of juvenile fish treated with AQUI-S 20E<sup>®</sup> did  
276 not significantly differ between effective treatments (n=36,  $F_{2,33}=0.2336, P=0.793$ ). No fish at 50

277 mg L<sup>-1</sup> (n=3), 100 mg L<sup>-1</sup> (n=5), or 200 mg L<sup>-1</sup> (n=5) were deeply anesthetized and were removed  
 278 from statistical analysis because of low sample size. Statistically significant differences in mean  
 279 time to stage III plane I anesthesia were seen among treatments (n=36,  $F_{2,33}=6.6671$ ,  $P=0.0037$ )  
 280 with the 300 mg L<sup>-1</sup> treatment having a longer time to light anesthesia than both the 400 mg L<sup>-1</sup>  
 281 and 500 mg L<sup>-1</sup> treatments (Table 2). There were no significant differences seen in either mean  
 282 time to stage III plane III (n=36  $F_{2,33}=2.60$ ,  $P=0.0897$ ) or stage 0 ( $F_{2,33}=2.9085$ ,  $P=0.0687$ )  
 283 among treatments. Some fish (n=4 300 mg L<sup>-1</sup>, n=1 400 mg L<sup>-1</sup>, n=1 500 mg L<sup>-1</sup>) also failed to  
 284 reach deep anesthesia at higher AQUI-S 20E<sup>®</sup> doses. Excitation was noted only in AQUI-S 20E<sup>®</sup>  
 285 (n=1 300 mg L<sup>-1</sup>, n=4 400 mg L<sup>-1</sup>, n=6 500 mg L<sup>-1</sup>) treatments. Nine fish in AQUI-S 20E<sup>®</sup>  
 286 treatments (n=2 300 mg L<sup>-1</sup>, n=4 400 mg L<sup>-1</sup>, n=3 500 mg L<sup>-1</sup>) had to be resuscitated with one  
 287 mortality occurring in the 300 mg L<sup>-1</sup> treatment. Of these, almost all took over 600 s to recover.

288 Mean weight of juvenile fish treated with Tricaine-S<sup>®</sup> did not significantly differ between  
 289 effective treatments (n=45,  $F_{2,42}=0.0172$ ,  $P=0.9829$ ). Doses of 50 and 75 mg L<sup>-1</sup> were ineffective  
 290 at inducing deep anesthesia and were removed from statistical analysis. Statistically significant  
 291 differences in mean time to stage III plane I were seen among treatments (n=45,  $F_{2,42}=3.3163$ ,  
 292  $P=0.046$ ) with the 100 mg L<sup>-1</sup> treatment having a longer time to light anesthesia than the 150 mg  
 293 L<sup>-1</sup> treatment. Mean time to stage III plane III was significantly different among treatments  
 294 (n=45,  $F_{2,42}=20.253$ ,  $P<0.0001$ ) with all treatments differing from each another. There were no  
 295 significant differences in time to stage 0 seen among treatments (n=45,  $F_{2,42}=0.1725$ ,  $P=0.8422$ ).

296

## 297 Discussion

298 No dose of AQUI-S 20E<sup>®</sup> tested on juvenile hogfish produced favorable results for safe  
 299 and effective deep anesthetization, a state generally induced for potentially lethal procedures  
 300 (Ross and Ross 2008; Sneddon 2012). With this chemical, juvenile hogfish seemed to be affected  
 301 differently than adults, with many juveniles failing to deeply anesthetize even at a dose of 500  
 302 mg L<sup>-1</sup>. Breathing remained very shallow but did not cease, sometimes for >9 min after reaching  
 303 stage III plane I anesthesia. Mortality was seen in one individual, highlighting the risks of  
 304 anesthetization past light anesthesia at the doses tested. However, light anesthesia was achieved  
 305 in under 300 s for juvenile hogfish at all doses tested, although only treatments >300 mg L<sup>-1</sup> were  
 306 included in statistical analysis due to sample size. Two doses of AQUI-S 20E<sup>®</sup> (100 and 200 mg  
 307 L<sup>-1</sup>) fit the parameters of a favorable dose for adult Hogfish, characterized by light anesthesia,

308 deep anesthesia, and recovery times each under 300 seconds. The concentrations of AQUI-S  
309 20E<sup>®</sup> in terms of eugenol content in experiment 1 were 5, 10, and 20 mg L<sup>-1</sup>. In the literature,  
310 eugenol effectiveness has been explored as the product AQUI-S 20E<sup>®</sup>, as clove oil (70-90%  
311 eugenol), or as pure eugenol. Iverson et al. (2004) found that Atlantic Salmon would need a dose  
312 (~30 mg L<sup>-1</sup> eugenol) that was at least 1.5x higher than what was necessary in experiment 1 for  
313 adult Hogfish to achieve either light or deep anesthesia. This same concentration of ~30 mg L<sup>-1</sup>  
314 eugenol was found to be effective in Fathead Minnows *Pimephales promelas* over a slightly  
315 longer induction time (Palić et al., 2006). However, this was not found to be effective for  
316 juvenile Hogfish in this study. White Seabass and Yellowtail Jack were able to be induced to a  
317 similar state of deep anesthesia as was achieved in this study, but at much lower doses of AQUI-  
318 S 20E<sup>®</sup> (25-35 mg L<sup>-1</sup>) with similar induction and recovery times (Silbernagel and Yochem,  
319 2016). For both White Seabass and Yellowtail, full recovery took upwards of 30 min for some  
320 individuals, which is nearly 10x longer than the slowest recovery observed in adult Hogfish. This  
321 difference could be due to the methods of that study, in which anesthesia was prolonged at a  
322 lower concentration of eugenol once fish were induced prior to recovering (Silbernagel and  
323 Yochem, 2016). In this regard, it should be noted that recovery time after induction of deep  
324 anesthesia in hogfish may have been longer than recovery from light anesthesia alone. At 45 mg  
325 L<sup>-1</sup> AQUI-S 20E<sup>®</sup> (4.5 mg L<sup>-1</sup> eugenol) several species of seabass and California Halibut were  
326 able to be anesthetized in under 5 min (Silbernagel and Yochem, 2016), a dose which was shown  
327 to be ineffective for Hogfish even after 10 minutes. Alewives *Alosa pseudoharengus* were  
328 induced to surgical anesthesia at ~20 mg L<sup>-1</sup> eugenol but authors recommended a ~40 mg L<sup>-1</sup>  
329 dose as more effective (Berlinsky et al., 2016). Induction times for adult Hogfish at the 200 mg  
330 L<sup>-1</sup> dose (20 mg L<sup>-1</sup> eugenol) was similar to Alewife adults, and a 400 mg L<sup>-1</sup> dose may be better  
331 suited for adult Hogfish and should be investigated as this produced acceptable outcomes for  
332 light anesthesia in juveniles. Ultimately, AQUI-S 20E<sup>®</sup> does not represent an ideal anesthetic for  
333 adult and juvenile Hogfish because of behavioral responses, safety concerns and current INAD  
334 restrictions, although its positive aspects include a fair range of effective doses and zero  
335 withdrawal time upon FDA approval.

336 No concentrations below 100 mg L<sup>-1</sup> Tricaine-S<sup>®</sup> were effective at deeply anesthetizing  
337 juvenile or adult Hogfish. At every dose of Tricaine-S<sup>®</sup> juvenile fish were induced to both stages  
338 of anesthesia in a shorter time than adults and recovered in the same amount of time as the

339 lowest effective dose for adults (100 mg L<sup>-1</sup>). Coyle et al. (2004) stated an effective  
340 concentration for rapid anesthesia of salmonids at 40 mg L<sup>-1</sup>, which was not effective for  
341 Hogfish. This contrasts with the previously mentioned study by Iverson et al. (2004) in which  
342 salmonids would need close to 1.5x the maximum concentration of eugenol from experiment one  
343 for adult Hogfish to achieve deep anesthesia. It appears that Hogfish may be more sensitive to  
344 eugenol and less sensitive to Tricaine-S<sup>®</sup> than salmonids. Three doses of Tricaine-S<sup>®</sup> (100, 125,  
345 150 mg L<sup>-1</sup>) fit the parameters of a favorable dose for both juvenile and adult Hogfish as  
346 achieving light anesthesia, deep anesthesia, and recovery times each under 300 seconds. These  
347 effective doses coincide with similar effective concentrations for tilapia (*Oreochromis* spp.)  
348 (100-200 mg L<sup>-1</sup>), Fathead Minnows (75 mg L<sup>-1</sup>), and Channel Catfish (*Ictalurus punctatus*)  
349 (100-250 mg L<sup>-1</sup>) (Smith et al., 1999; Coyle et al., 2004; Palić et al., 2006). The margin of safety  
350 at the doses examined was better compared to Fathead Minnows where 75 mg L<sup>-1</sup> induced 100%  
351 of fish with 0% mortality but 100 mg L<sup>-1</sup> led to 50% mortality (Palić et al., 2006). Overall  
352 Tricaine-S<sup>®</sup> represents a more ideal anesthetic for Hogfish on the basis of a range of effective  
353 doses, current legality, and limited excitation response, with a major drawback being the 21 day  
354 withdrawal time for human consumption.

355 Both anesthetic agents had doses resulting in favorable light anesthesia and recovery  
356 times (< 300 sec) for adult fish and juveniles. The lowest effective concentration of each  
357 anesthetic would be appropriate for inducing light anesthesia in Hogfish. Deep anesthesia was  
358 explored in this study because, as a novel and difficult to acquire species for captive aquaculture,  
359 broodstock Hogfish may need to undergo research procedures where this state is warranted.  
360 Exploring doses to induce deep anesthesia with a proper anesthetic agent establishes the bounds  
361 for safe treatment of Hogfish, where researchers can be aware of doses and timing where  
362 lethality can increase. For situations requiring deep anesthesia, researchers should opt for the  
363 lowest effective dose to increase the likelihood of fish survival when appropriate. The ineffective  
364 AQUI-S 20E<sup>®</sup> dose of 50 mg L<sup>-1</sup> for adult experiments had a significantly lower mean weight of  
365 fish than the other two doses tested. A lower body weight would generally lead to sedative being  
366 more effective at a specific concentration (Bowker et al., 2015), meaning this dose would have  
367 likely remained ineffective even for larger adult Hogfish.

368 Both quantitatively and qualitatively, a clear difference between the two agents was the  
369 excitation observed in fish in the AQUI-S 20E<sup>®</sup> treatment. Adult fish experienced excitation

370 following exposure to both anesthetics, but fish in AQUI-S 20E<sup>®</sup> treatments were observed to  
371 retain this excited state for a longer time, although this was not explicitly quantified. For  
372 juveniles, this behavior only occurred in AQUI-S 20E<sup>®</sup> treatments. Many hogfish treated with  
373 AQUI-S 20E<sup>®</sup> were noted to be jumping out of the water during induction (n=11 for both  
374 experiments). Further, although adults were kept in the bath until cessation of gill movement,  
375 fitting the parameters of stage III plane III, many did not appear fully anesthetized during  
376 handling and would move with great force once or twice. For juveniles, AQUI-S 20E<sup>®</sup> seemed to  
377 depress breathing, but many times it did not fully cease even after 600 seconds.

378 The margin of safety for AQUI-S 20E<sup>®</sup> concentrations that induced deep anesthesia was  
379 not ideal for juveniles with nine fish needing to be manually resuscitated, one of which never  
380 recovered. No similar observations occurred for fish treated with Tricaine-S<sup>®</sup>. Interestingly,  
381 when placed into the recovery bath, fish that had not been deeply anesthetized in AQUI-S 20E<sup>®</sup>  
382 behaved as though they had been fully anesthetized with no control of equilibrium and longer  
383 recovery times than Tricaine-S<sup>®</sup> for both adults and juveniles. This was similarly noted by Coyle  
384 et al. (2004) as a difference between these two chemical agents with fish. These observations  
385 suggest that AQUI-S 20E<sup>®</sup> at these doses may be dangerous to both fish and handler, as fish  
386 struggled during and even after apparent anesthetization in adults and took longer to anesthetize  
387 and recover than Tricaine-S<sup>®</sup>. It is possible that the 200 mg L<sup>-1</sup> AQUI-S 20E<sup>®</sup> dose was not high  
388 enough in adults and some of the more negative side effects of excitation would be lessened with  
389 a higher dose, although for juvenile fish excitation occurred more frequently in higher doses.

390 The pre-handling step all fish underwent may have also confounded results, as shown in  
391 Fathead Minnows where handling/crowding alone caused a similar blood cortisol elevation to  
392 that of MS-222 administration after 30 minutes (Palic et al., 2006). Blood cortisol levels were not  
393 examined in this study due to the scarcity of appropriately sized fish and dangers associated with  
394 repeated blood draws on broodstock and small juvenile fish in relation to immunosuppression  
395 and reproductive dysfunction (Thomas and Robertson, 1991; Pottinger et al., 1998; Palic et al.,  
396 2006). Due to temporal replication, some hogfish were exposed to the same anesthetic agent two  
397 times. Tilapia have been shown to have a sensitivity to weekly exposure to MS-222 (Tricaine-  
398 S<sup>®</sup>) after the third week (Smith et al., 1999). In this study hogfish were exposed to anesthetic  
399 agents as early as five days apart, but no more than four times over a 44 day period; the effect  
400 this had on results is unknown yet unavoidable due to a low number of available animals. Further

401 research may also find differences in recovery time if fish are induced to stage III plane I or II  
402 anesthesia alone.

403 This study defined effective doses for two anesthetic agents for both juvenile and adult  
404 sizes of Hogfish. For Hogfish, both AQUI-S 20E<sup>®</sup> and Tricaine-S<sup>®</sup> are efficacious at inducing  
405 light anesthesia, although Tricaine-S<sup>®</sup> appears to cause less excitation and should be preferred in  
406 most applications. Concentrations of Tricaine-S<sup>®</sup> in the range of 100 to 150 mg L<sup>-1</sup> are effective  
407 and 100 mg L<sup>-1</sup> should be used for most minor handling procedures of Hogfish. However, if  
408 AQUI-S 20E<sup>®</sup> were eventually FDA approved with its suggested zero withdrawal time, AQUI-S  
409 20E<sup>®</sup> may become the preferred anesthetic for commercial producers selling food product at its  
410 effective dosage of 100 mg L<sup>-1</sup>. Although not statistically analyzed due to lack of complete data,  
411 a dosage of 100 mg L<sup>-1</sup> can tentatively be recommended for juvenile fish if AQUI-S 20E<sup>®</sup> is  
412 being used. Future research should evaluate other chemicals like Aquacalm<sup>®</sup> (Metomidate  
413 hydrochloride, Syndel Inc., CA, USA) and examine markers such as blood cortisol levels to  
414 better understand the physiological effects of stress that these anesthetics may cause on Hogfish.  
415 Further evaluations of AQUI-S 20E<sup>®</sup> at doses higher than 200 mg L<sup>-1</sup> with adult Hogfish may  
416 also be warranted because of its proposed zero withdrawal period for foodfish. This would be  
417 advantageous if Hogfish were commercially cultured as a foodfish.

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Table 1: Time (s) to stages of induction and recovery at various doses (mg/L) of two anesthetic agents for adult Hogfish *Lachnolaimus maximus*; *n* indicates sample size and an asterisk indicates treatments removed from statistical analysis from low sample size. Stages of anesthesia are based on Sneddon (2012). Different lowercase letters indicate post hoc significance among treatments for each anesthetic. The experiment was terminated at 600 s and instances of >600 indicate fish that never achieved that stage. Italicized recovery denotes recovery time from the deepest state of anesthesia achieved during the experiment.

Anesthetic	Dose (mg/L)	n	Stage III-I	Stage III-III	Stage 0	Weight	Excitation	Mortality
AQUI-S 20E	50	10	289.6 ± 103.1 b	>600 c	<i>103.8 ± 70.0</i> a	352.7 ± 216.8 a	3	0
	100	10	102.5 ± 23.5 a	285.7 ± 94.2 b	181.2 ± 235.9 b	491.1 ± 185.8 b	3	0
	200	10	105.4 ± 35.8 a	208.3 ± 40.7 a	235.9 ± 50.8 b	683.4 ± 315.8 b	5	0
Tricaine-S	25*	3	600.0 ± 0.0	---	---	226.0 ± 19.2	0	0
	50*	3	150.0 ± 42.4	>600	<i>79.3 ± 36.7</i>	331.7 ± 71.2	0	0
	100	10	87.0 ± 47.8 b	261.1 ± 57.5 c	119.6 ± 34.0 a	507.7 ± 238.2	3	0
	125	10	58.1 ± 15.2 ab	168 ± 26.6 b	156.6 ± 66.0 ab	642.1 ± 271.7	2	0
	150	10	46.4 ± 9.0 a	128.6 ± 19.5 a	182.9 ± 71.4 b	642.0 ± 269.9	3	0

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Table 2: Time (s) to stages of induction and recovery at various doses (mg/L) of two anesthetic agents for juvenile Hogfish *Lachnolaimus maximus*; *n* indicates sample size and an asterisk indicates treatments removed from statistical analysis from low sample size. Stages of anesthesia are based on Sneddon (2012). Different lowercase letters indicate post hoc significance among treatments for each anesthetic. The experiment was terminated at 600 s and instances of >600 indicate fish that never achieved that stage. Italicized recovery denotes recovery time from the deepest state of anesthesia achieved during the experiment.

Anesthetic	Dose (mg/L)	n	Stage III-I	Stage III-III	Stage 0	Weight	Excitation	Mortality		
AQUI-S 20E	50*	3	103.7 ± 27.5	>600	<i>91.3 ± 81.6</i>	20.2 ± 8.5	0	0		
	100*	5	55.2 ± 25.4	>600	<i>202.0 ± 52.9</i>	19.6 ± 10.6	0	0		
	200*	5	38.0 ± 12.1	>600	<i>310.4 ± 145.1</i>	17.5 ± 13.1	0	0		
	300	12	35.9 ± 8.7	b	450.8 ± 185.1	439.4 ± 182.0	27.8 ± 9.4	1	1	
	400	12	28.7 ± 4.8	a	324.9 ± 127.3	431.9 ± 174.7	30.5 ± 18.1	4	0	
	500	12	26.9 ± 4.9	a	298.4 ± 159.2	390.4 ± 180.2	32.1 ± 17.5	6	0	
Tricaine-S	50*	3	115.7 ± 16.8	>600	<i>97.0 ± 19.1</i>	14.0 ± 12.4	0	0		
	75*	3	112.1 ± 8.8	>600	<i>108.0 ± 6.7</i>	14.2 ± 11.8	0	0		
	100	15	41.0 ± 7.5	b	195.3 ± 43.0	c	117.7 ± 29.8	22.8 ± 14.1	0	0
	125	15	38.9 ± 11.6	ab	148.4 ± 66.1	b	115.9 ± 32.2	23.6 ± 13.0	0	0
	150	15	33.4 ± 9.0	a	106.2 ± 22.2	a	119.2 ± 18.6	22.8 ± 12.9	0	0

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