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Article type : Technical Paper

## Hydroclimatology of the Mississippi River Basin

Gregory J. McCabe and David M. Wolock

Integrated Modeling and Prediction Division (**McCabe**), U.S. Geological Survey, Denver, Colorado USA; and Integrated Modeling and Prediction Division (**Wolock**), U.S. Geological Survey Lawrence, Kansas, USA (Correspondence to McCabe: gmccabe@usgs.gov).

**Research Impact Statement:** This study provides a baseline understanding of the hydroclimatology of the Mississippi River basin (MRB) and can be used to guide the selection of regions within the MRB for targeted analyses.

**ABSTRACT:** Model estimated monthly water balance components (i.e. potential evapotranspiration, actual evapotranspiration, and runoff (R)) for 848 U.S. Geological Survey 8-digit hydrologic units located in the Mississippi River basin (MRB) are used to examine the temporal and spatial variability of the MRB water balance for water years 1901 through 2014. Results indicate the MRB can be divided into 9 sub-regions with similar temporal variability in R. The water balance analyses indicated ~79 percent of total water-year MRB runoff is generated by 4 of the 9 sub-regions and most of the R in the basin is derived from surplus (S) water during

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/1752-1688.12749-18-0088](https://doi.org/10.1111/1752-1688.12749-18-0088)

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28 the months of December through May. Furthermore, the analyses showed temporal variability in  
29 S is largely controlled by the occurrence of negative atmospheric pressure anomalies over the  
30 western U.S. and positive atmospheric pressure anomalies over the eastern U.S. coast. This  
31 combination of atmospheric pressure anomalies results in an anomalous flow of moist air from  
32 the Gulf of Mexico into the MRB. In the context of paleo-climate reconstructions of the Palmer  
33 Drought Severity Index, since about 1900 the MRB has experienced wetter conditions than were  
34 experienced during the previous 500 years.

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36 **(KEYWORDS:** hydroclimatology; Mississippi River; water balance; hydrology.)

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## INTRODUCTION

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The Mississippi River basin (MRB) drains nearly two thirds of the conterminous United States (U.S.) and is an important water supply for agricultural and urban areas; the MRB also serves as an important waterway for U.S. industry. In addition, the MRB is a major source of nutrient over-enrichment to the Gulf of Mexico (primarily from fertilizer and manure) (Goolsby et al., 1999; Rabalais et al., 1999). The excess nutrients delivered to the Gulf of Mexico from the MRB result in increased algal growth and, subsequently, eutrophication (Rabalais et al., 1999), which can deplete oxygen levels resulting in hypoxia. Many aquatic species cannot survive in the hypoxic zone in the Gulf of Mexico, which is co-located with important commercial and recreational fisheries (Rabalais et al., 1999). Although the MRB is one of the largest and most important rivers in the U.S. there have been only a few comprehensive analyses of the water balance of this important river basin.

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One previous study of MRB hydroclimate by Baldwin and Lall (1999) examined variability and trends in the seasonality of upper MRB streamflow and presented evidence of changes in both the timing and amplitude of seasonal and annual MRB flow extremes. Milly and Dunne (2001) also examined temporal variability and trends of MRB hydroclimate by examining surface water and energy balances in the MRB. Milly and Dunne (2001) reported a positive trend in MRB evaporation during 1948 through 1997 that was primarily associated with increases in precipitation (P) and secondarily with human water use. Milly and Dunne (2001) also suggested that the increase in P in the MRB was related to a positive trend in the North Atlantic Oscillation.

59 Massei et al. (2011) used wavelet analysis to examine hydroclimate variability in the  
60 MRB for the period 1934 to 1998 and showed that a major shift in MRB hydroclimate occurred  
61 around 1970. This shift was characterized by an 8 to 16-year mode of variability in the Upper  
62 Mississippi and Missouri Rivers and a 3 to 6-year mode of variability for most MRB tributaries.  
63 In general, the dominant modes of inter-annual to multi-annual streamflow variability were  
64 found to be in the 2 to 4-year, 4 to 8-year and 10 to 16-year periods, which indicates an influence  
65 of the El Niño Southern Oscillation. Massei et al. (2011) found that variability in multi-annual  
66 frequencies explained from 6% to 26% of streamflow variance across the MRB, while higher  
67 frequencies of 2 to 3 weeks explained from 1% to 6% of streamflow variability across the MRB.  
68 In general, however, variability on annual time scales explained the largest amount of variability  
69 in MRB streamflow accounting for 19% to 48% of the total variation. Massei et al. (2011) also  
70 reported that all streamflow time series exhibited statistically significant positive trends.

71 In a related study, Qian et al. (2007) examined trends in surface water and energy budget  
72 components for the MRB for the period 1948 to 2004 using a combination of observations and  
73 simulations from the Community Land Model version 3 (CLM3). The model analyses indicated  
74 that increases in MRB-averaged precipitation were accompanied by increases in both runoff and  
75 evapotranspiration. Additionally, a decrease of MRB net shortwave radiation largely driven by  
76 increases in cloudiness was accompanied by decreases in both net longwave radiation and  
77 sensible heat flux, whereas latent heat flux increased due to wetter soils. These results confirmed  
78 observations that evapotranspiration increased in the MRB from 1948 to 2004. Qian et al. (2007)  
79 also reported that precipitation trends were the primary control of trends in evapotranspiration,  
80 while trends in temperature and solar radiation had only a small effect.

81 Frans et al. (2013) used a macroscale hydrology model to examine the effects of land  
82 use/land cover changes and climate variability on temporal changes in MRB hydroclimate for  
83 1918 through 2007. Frans et al. (2013) concluded that climate change was the primary factor  
84 driving MRB runoff changes.

85 Recently, Wise et al. (2018) completed a comprehensive study of the hydroclimate of the  
86 Missouri River basin (a major tributary of the MRB). Wise et al. (2018) analyzed observed and  
87 modeled hydroclimatic data in the Missouri River basin and reported that 72% of Missouri River  
88 runoff is generated by two regions: (1) the headwaters in the upper Missouri River basin, and (2)  
89 the lowest portion of the basin near the mouth. Wise et al. (2018) also reported that a long-term

90 negative trend (for years 1912 through 2011) in upper Missouri River basin flows and a decrease  
91 in upper Missouri River snow versus rain fractions (for years 1936 through 2011) were  
92 associated with warming spring temperatures. Wise et al. (2018) concluded that these  
93 hydroclimatic changes in the upper Missouri River indicate that the upper Missouri River basin  
94 may not continue to reliably provide flow contributions to the lower Missouri River basin in the  
95 future.

96 Although there have been analyses of the variability and trends in several water balance  
97 components for the MRB (e.g. precipitation, evapotranspiration, streamflow), there has not been  
98 a comprehensive and up-to-date evaluation of the spatial and temporal variability of water  
99 balance components for the MRB. The objectives of this research are to (1) characterize the  
100 spatial and temporal variability of water balance components in the MRB, (2) identify which  
101 sub-regions of the MRB, and during what times of the year, contribute the most to total MRB  
102 runoff, and (3) identify atmospheric circulation patterns associated with MRB runoff variability.  
103 This study will provide a baseline to which future analyses of variability and trends in MRB  
104 hydroclimatic variables can be compared.

## 105 DATA AND METHODS

### 106 *Climate Data and Water Balance Simulations*

107 Monthly precipitation (P) and temperature (T) data were obtained from the parameter  
108 regression on independent slopes model (PRISM) (PRISM Climate Group, Oregon State  
109 University, <http://www.prism.oregonstate.edu>; Daly et al. 2008). These data are provided on a 4-  
110 kilometer (km) resolution for grid cells across the conterminous U.S. (CONUS) for the period  
111 January 1895 until present. These data were spatially aggregated to provide monthly total P and  
112 mean monthly T for the 848 U.S. Geological Survey 8-digit hydrologic units (HUs) in the MRB  
113 (Figure 1). The aggregation of the PRISM data to the HU scale reduced the number of data  
114 points and allows for analyses to be more computationally efficient. The monthly T and P data  
115 aggregated to the HUs subsequently were used as input to a monthly water balance model (WB  
116 model) to compute monthly potential evapotranspiration (PET), actual evapotranspiration (AET),  
117 surplus (S), and runoff (R) for each HU in the MRB (McCabe and Wolock, 2011). S is the  
118 amount of water in a month that exceeds the water needed to meet the PET demand and bring  
119 soil moisture storage to capacity; S is the water that eventually becomes runoff.

120 Soil-moisture storage capacity for each HU was computed using the available water-  
121 capacity values from the State Soil Geographic Data Base (STATSGO) dataset and by assuming  
122 a 1-meter rooting depth (STATSGO soil data are available at  
123 [https://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/survey/geo/?cid=nrcs142p2\\_053629](https://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/survey/geo/?cid=nrcs142p2_053629); U.S.  
124 Department of Agriculture, 1991).

125 The WB model has been evaluated in several previous studies (McCabe and Wolock,  
126 2008; McCabe and Wolock, 2011). McCabe and Wolock (2011) compared WB model estimated  
127 (WB-estimated) R and measured R for 735 basins across the conterminous U.S. Comparison of  
128 measured and estimated monthly runoff indicated that the WB model reliably simulated the  
129 temporal variability of monthly runoff for most of the stream gauges. The distribution of  
130 correlation values between WB-estimated monthly R and measured monthly R for the 735  
131 stream gauges had a median value of 0.78, with a 25th percentile value of 0.61 and a 75th  
132 percentile value of 0.87 (these correlations are statistically significant at a 99% confidence level  
133 ( $p < 0.01$ )). Additionally, the mean bias for the 735 stream gauges was 1 millimeter (mm), with a  
134 25th percentile of -3 mm and a 75th percentile of 5 mm.

135 Figure 2 illustrates a comparison of measured and WB-estimated water-year R for the  
136 MRB; a water year is the period from October 1 through September 30. The correlation  
137 coefficient ( $r$ ) between the time series is 0.95 ( $p < 0.01$ ), with a bias (mean WB-estimated R  
138 minus mean measured R) of 7.2 mm (which is 3.3% of mean water-year measured R). We also  
139 performed comparisons of measured water-year R with WB-estimated water-year R for 10 sites  
140 within the MRB for the period 1955 through 2015. The sites were selected from a dataset  
141 compiled by Falcone et al. (2010) that includes stream gauges across the CONUS that are  
142 minimally affected by anthropogenic influences (e.g. dams, diversions, withdrawals). For most  
143 of the sites (7 of 10) examined, the correlation coefficient between measured and WB-estimated  
144 R is above 0.85 ( $p < 0.01$ ) (Figure 3). Only for the sites with low runoff (i.e. sites 1 and 3) are  
145 the correlation coefficients below 0.85; however, even for these sites the correlation coefficients  
146 between measured and WB-estimated R are statistically significant at  $p < 0.01$ .

147 Previous verifications of the WB model and the comparisons between measured and WB-  
148 estimated R presented here indicate that the WB model reliably simulates runoff for the MRB.  
149 Given that on an annual basis,  $R = P - AET$ , the ability of the WB model to estimate R implies  
150 that the model also reliably estimates annual AET (McCabe and Wolock, 2013). A benefit of

151 using the WB model is that R can be estimated back to the beginning of the 20th century.  
152 Additionally, by using the WB model, we also can examine time series of other water balance  
153 components such as PET and AET.

154 The PRISM T and P data begin in 1895; however, the first few years (i.e. 1895 through  
155 1900) of the WB model simulations are not analyzed so that the effects of prescribed initial  
156 conditions are minimized. Thus, for the analyses presented in this study, WB model simulations  
157 for water years 1901 through 2014 are used. The WB model is initialized with soil moisture  
158 storage at capacity, snow storage equal to zero, and S equal to zero.

159 The monthly water balance estimates of PET, AET, S and R for the PRISM 4-km grid  
160 cells for the CONUS are available at <https://doi.org/10.5066/F71V5CWN> (Wolock and McCabe,  
161 2018).

162 Figure 4a illustrates a map of mean elevation (in meters (m)) for each HU in the MRB.  
163 Elevations for the HUs range from 2 m near the mouth of the MRB to 4282 m along the western  
164 edge of the basin (on the eastern side of the Rocky Mountains). Mean annual T is highest in the  
165 southernmost locations (highest T = 21°C) and lowest in the northwestern part of the MRB  
166 (lowest mean annual T = 0°C) (Figure 4b). This spatial pattern is controlled by the latitude and  
167 elevations of the HUs. Mean annual P is highest (1690 mm) in the southeastern section of the  
168 MRB and lowest along the western margins of the MRB (230 mm) (Figure 4c). The high levels  
169 of P in the southeastern part of the MRB are due to the large influx of moisture from the Gulf of  
170 Mexico into this region. Because the spatial patterns of both T and P have a south to north  
171 gradient the Pearson correlation between these spatial patterns is  $r=0.71$  ( $p < 0.01$ ).

172 The spatial patterns of mean annual AET and R are strongly related to the spatial pattern  
173 of mean annual P (Figures 4d and 4e). The Pearson correlation between the patterns for AET and  
174 P is 0.93 ( $p < 0.01$ ) and the correlation between the patterns of R and P is 0.95 ( $p < 0.01$ ).  
175 However, since the spatial patterns of mean annual P and T both have a south to north gradient,  
176 the correlation between the spatial patterns of AET and R with T also are strongly positive, with  
177 the correlation between the AET and T patterns equal to 0.80 ( $p < 0.01$ ) and the correlation  
178 between the R and T patterns equal to 0.54 ( $p < 0.01$ ). For the HUs in the MRB mean annual  
179 AET ranges from 221 mm to 1060 mm, and mean annual R ranges from 9 mm to 1264 mm.

180 *Data Reduction*

181 The 848 times series (one for each HU in the MRB) were grouped to more readily  
182 analyze and understand the temporal patterns in the components of the water balance. This was  
183 achieved with a hierarchical cluster analysis on the time series of water-year R for each of the  
184 848 HUs for water years 1901 through 2014. For the clustering procedure we used a correlation-  
185 based approach to identify sub-regions within the MRB that share similar characteristics of inter-  
186 annual water-year R variability for 1901 through 2014. First, the water-year R time series at each  
187 HU was correlated with the water-year R time series for all other HUs. The HU that was  
188 correlated with the most other HUs (at  $r \geq 0.71$ ,  $p < 0.01$ , and explained variance is  $\sim 50$  percent)  
189 was removed from the original set of HUs along with the HUs that are significantly correlated  
190 with the selected HU; these HUs comprise the first group. The process is repeated with the  
191 remaining HUs to obtain the second and then subsequent groups. The initial classification  
192 process resulted in all the 848 HUs being assigned to 1 of 9 groups. To ensure that all HUs were  
193 assigned to the optimal group, water-year R values for each HU in each group were averaged to  
194 produce a sub-region mean. Each individual HU water-year R time series was then correlated  
195 with each sub-region mean time series to confirm membership in the appropriate sub-region  
196 group. The median correlation coefficients between each HU and the respective group mean time  
197 series ranged from 0.58 (sub-region 2) to 0.83 (sub-region 7). Sub-regions are shown in Figure 5.

198 In addition to computing mean water-year R for each of the 9 sub-regions, time series of  
199 mean P, PET, AET and S also were computed for each sub-region. Additionally, long-term  
200 (water years 1901 through 2014) mean monthly P, PET, AET, S, and R were computed for each  
201 sub-region to examine mean monthly water balances.

202 *Additional Data*

203 Other data used for analyses included monthly 500 hecto-Pascal (500 hPa) height  
204 anomalies for the region 180 degrees ( $^{\circ}$ ) west longitude to  $0^{\circ}$  longitude and  $10^{\circ}$  north latitude to  
205  $70^{\circ}$  north latitude (these data are provided on a  $2^{\circ}$  by  $2^{\circ}$  grid). The monthly 500 hPa data for  
206 water years 1901-2014 were used to compute Pearson correlations (Helsel and Hirsch, 1992)  
207 between monthly 500 hPa height anomalies and time series of monthly S for the MRB to explore  
208 atmospheric pressure patterns associated with variability of S for the 9 sub-regions. Atmospheric  
209 pressure data for the 500 hPa level were selected for use in this study because the 500 hPa

210 atmospheric pressure surface provides a useful representation of mid-tropospheric atmospheric  
211 circulation that influences seasonal surface weather variations. The monthly 500 hPa data were  
212 obtained from the 20<sup>th</sup> Century Reanalysis Project  
213 ([https://www.esrl.noaa.gov/psd/data/20thC\\_Rean/](https://www.esrl.noaa.gov/psd/data/20thC_Rean/); Compo et al., 2011).

## 214 RESULTS AND DISCUSSION

### 215 *Mean Monthly Water Balances*

216 Mean monthly water balance components (i.e. P, PET, AET, and R) are illustrated for  
217 each of the 9 sub-regions (Figure 6). In general, both P and PET are highest during the warm  
218 season months (i.e. April through September). Because P and PET are temporally in phase, a  
219 substantial fraction of P on an annual basis is evaporated and transpired (i.e. AET). The fraction  
220 of annual P that becomes AET ranges from 0.61 (sub-region 9) to 0.94 (sub-region 4) (Table 1).

221 For all sub-regions, PET generally exceeds P during the warm season months (Figure 6).  
222 Thus, during the warm season most P becomes AET and there is little S that can become R. For  
223 sub-regions 1, 2, and 4, PET is near or greater than P for almost all months of the year, and on an  
224 annual basis PET exceeds P (Table 1). Thus, there is little R generated during any month in sub-  
225 regions 1, 2, and 4. Additionally, for these three sub-regions the ratio of water-year (October  
226 through September total) AET to water-year P ranges from 0.85 (sub-region 1) to 0.94 (sub-  
227 region 4), and the runoff efficiency (water-year R/water-year P) ranges from 0.07 (sub-region 4)  
228 to 0.15 (sub-region 1) (Table 1).

229 For sub-regions 6 through 9, P exceeds PET during most months, particularly during the  
230 cool season (i.e. October through March) and on an annual basis (Figure 6). The excess of annual  
231 P compared with annual PET for these sub-regions results in R being generated primarily during  
232 the cool season (Figure 6). However, because P and PET are generally in phase in the MRB, the  
233 runoff efficiencies (water-year R/water-year P) even for these sub-regions are small ranging from  
234 0.26 (sub-region 6) to 0.39 (sub-region 9) (Table 1). These runoff efficiencies indicate that less  
235 than 40% of annual P results in R even for the wettest sub-regions of the MRB.

236 Mean monthly water balance components for sub-regions 3 and 5 indicate that annual P  
237 exceeds annual PET by only a small amount (Figure 6, Table 1). For example, the ratio of annual  
238 PET to annual P for sub-regions 3 and 5 are 0.96 and 0.95, respectively (Table 1). Because  
239 annual P and annual PET are similar for these sub-regions, little R is generated for these two sub-



240 regions and the runoff efficiencies are small (0.17 for sub-region 3 and 0.18 for sub-region 5)  
241 (Table 1).

242 The magnitude of R that occurs for each sub-region indicates that the largest amounts of  
243 R occur for the eastern-most sub-regions (Figure 7). A comparison of mean monthly R for each  
244 sub-region indicates that the highest R occurs for sub-regions 7, 8, and 9 for most months of the  
245 year, with R from sub-region 9 being the highest during all months. In contrast, the lowest R  
246 occurs in sub-regions 1, 2, and 4. It also is notable that the month of peak R generally occurs  
247 later in the calendar year for the northern sub-regions. This shift to later timing of peak R for the  
248 most northern sub-regions is related to the effects of snow melt on R timing.

#### 249 *Annual Water Balances*

250 Examination of time series of water-year water balance components for each of the 9 sub-  
251 regions provides additional information regarding the relative magnitudes and inter-annual  
252 variability of the water balance components (Figure 8). Because PET exceeds P for sub-regions  
253 1, 2, and 4, almost all P is evaporated or transpired, and AET is approximately equal to P  
254 consistently for water years 1901 through 2014; the net result is minimal R generated within  
255 these sub-regions. In contrast, for sub-regions 7 through 9, P has exceeded PET throughout water  
256 years 1901 through 2014 (Figure 8). Thus, for sub-regions 7 through 9, AET and PET are almost  
257 equal in magnitude, but significantly lower than P (especially for sub-regions 8 and 9), resulting  
258 in R being consistently generated from these sub-regions every year.

259 For sub-regions 3, 5, and 6, there are years when water-year P exceeds PET and other  
260 years when water-year P is less than PET. However, some R was generated in these sub-regions  
261 every year during water years 1901 through 2014 (Figure 8).

262 Figure 9 provides a comparison of 11-year moving average Z-scores of mean water-year  
263 P, PET, AET and R for each of the 9 sub-regions. The Z-scores were computed by

$$264 \quad Z_i = \frac{(X_i - \bar{X})}{\sigma} \quad (1)$$

265 where  $Z_i$  is the Z-score for variable  $X$  and water-year  $i$ ,  $X_i$  is the raw variable value for year  $i$ ,  $\bar{X}$  is  
266 the long-term variable mean, and  $\sigma$  is the long-term standard deviation. Time series of Z-scores  
267 makes it easier to compare time series for different variables and for different sub-regions  
268 because each time series has a mean of zero and a variance of one. The time series were

269 smoothed with an 11-year moving average to remove high frequency variability from the time  
270 series.

271 Examination and comparison of the time series of smoothed Z-scores for the 9 sub-  
272 regions indicates substantial variability in the water balance components for each sub-region and  
273 between sub-regions (Figure 9). The negative Z-scores for P, AET, and R, and the positive Z-  
274 scores for PET between 1930 and 1940 reflect the effects of the 1930s drought that affected a  
275 large part of the CONUS.

276 The most notable feature of the smoothed P, AET, and R Z-scores are positive Z-scores  
277 for nearly all sub-regions beginning about 1970. The change from largely negative to largely  
278 positive P, AET, and R Z-scores near 1970 occurred for a large part of the Mississippi River  
279 basin (Figure 9). This apparent shift to wetter conditions in the MRB near 1970 coincides with  
280 an apparent shift in P and R for many locations across the eastern CONUS (McCabe and  
281 Wolock, 2002). The driving factor for the 1970s shift to wetter conditions is not completely  
282 clear; however, Milly and Dunne (2001) suggest that the shift could be attributed to a change to  
283 more frequent positive values of the North Atlantic Oscillation.

#### 284 *Contributions to Total Mississippi River Basin Runoff*

285 The magnitude of R varies across the 9 sub-regions, and this spatial variability in R  
286 influences the contribution of R from each sub-region to total R for the MRB. The magnitude of  
287 the contribution from each sub-region to total MRB R also is affected by the size of the sub-  
288 region. On average, sub-regions 5, 7, 8, and 9 contribute ~79% of mean water-year MRB R, with  
289 sub-region 8 contributing the most (28%, Figure 9a). Sub-regions 1, 2, 3, and 4 on average  
290 contribute the least to mean water-year MRB R (Figure 10a).

291 On a mean monthly basis, sub-region 8 contributes the most to MRB R for most months  
292 (Figure 10b). During the cool season sub-regions 7, 8, and 9 are the largest contributors to MRB  
293 R; however, during the warm season sub-region 5 becomes one of the highest two contributors to  
294 total MRB R. Contributions to total mean monthly MRB R from sub-regions 1, 2, 3, 4, and 6 are  
295 generally below 10% for all months (Figure 10b). On a water-year basis, sub-region 8 and sub-  
296 region 7 are the largest contributors to total MRB R, with sub-region 8 being the largest  
297 contributor 77% of the time during water years 1901 through 2014. (Table 2). In contrast, on a

298 water-year basis, sub-regions 2, 3, and 4 are the smallest contributors to total MRB R, with sub-  
299 region 2 being the smallest contributor 60% of the time (Table 2).

300 The mean monthly contributions of R from each sub-region to total mean monthly MRB  
301 R has implications for identifying the sources and types of pollutants that are washed into the  
302 MRB. Spatial and temporal variability in R have been shown to be a primary factor affecting the  
303 fluxes of nutrients from the MRB to the Gulf of Mexico (Goolsby et al., 1999). Based on the  
304 sub-region contributions to total MRB R identified in this study, R from sub-regions 7, 8, and 9  
305 likely accounts for a substantial fraction of pollutants entering the MRB streamflow during most  
306 months of the year, but particularly during the cool season months. In contrast, sub-region 5  
307 becomes a primary source of potential pollution to the MRB during the warm season. This result  
308 is especially important because sub-region 5 includes agriculturally intensive areas with high  
309 fertilizer and herbicide use (Goolsby et al., 1999).

#### 310 *Surplus and Climate Drivers*

311 Surplus (S) is the water that is in excess of PET (the climatic demand for water) and  
312 water needed to bring soil-moisture-storage to capacity. This is the water that can eventually  
313 become R. The majority of S in the MRB is generated during cool season months (Figure 11),  
314 particularly from December through May when ~81% of S occurs on average. S during each of  
315 the months from December through May accounts for at least 10% of the annual S. Because  
316 December through May are the months on average when most S occurs, total S for December  
317 through May for each water year (1901 through 2014) was correlated with gridded mean  
318 December through May 500 hPa heights (for water years 1901 through 2014) to examine  
319 relations between variability in S and variability in atmospheric pressures.

320 Correlations between mean MRB S and 500 hPa heights for the months of December  
321 through May indicate similar patterns of correlations for each month (Figure 12). The pattern of  
322 negative and positive correlations with 500 hPa heights is indicative of the pattern of negative  
323 and positive atmospheric pressure (i.e. 500 hPa height) anomalies associated with positive  
324 anomalies of MRB S. The correlations indicate that anomalously positive MRB S is driven by  
325 negative atmospheric pressure anomalies over the western U.S. and positive atmospheric  
326 pressure anomalies over the eastern U.S. coast (Figure 12). The juxtaposition of these  
327 atmospheric pressure anomalies results in an anomalous southerly flow of moist air from the

328 Gulf of Mexico into the MRB, which results in increased P, increased S, and eventually  
329 increased R. This relation is consistent for all the months during December through May.

## 330 CONCLUSIONS

331 Using a hierarchical clustering procedure water-year R for 848 HUs in the MRB were  
332 grouped into 9 geographically coherent sub-regions. Water balance analyses for these sub-  
333 regions indicate that (1) ~79% of total water-year MRB R is contributed by 4 of the 9 sub-  
334 regions (i.e. sub-regions 5, 7, 8, and 9), all of which are located in the eastern-most part of the  
335 MRB, (2) most of the contributions to MRB R from these sub-regions occurs during the cool  
336 season months, although contributions of R from sub-region 5 are largest during the warm  
337 season, and (3) sub-region 8 contributes the most to total MRB water-year R 77% of the time,  
338 whereas sub-region 2 contributes the least to MRB water-year runoff 60% of the time. Given the  
339 sensitivity of nutrient fluxes in the MRB to variability in R, the timing and severity of Gulf of  
340 Mexico hypoxia is likely determined in large part by the hydroclimatic variability of the MRB.

341 An examination of time series of water balance variables for the 9 sub-regions indicates a  
342 change from largely negative to largely positive P, AET, and R Z-scores near 1970 for a large  
343 part of the MRB. This apparent change to wetter conditions in the MRB coincides with an  
344 apparent shift in P and R for many locations across the eastern CONUS (McCabe and Wolock,  
345 2002) and may be related to a shift in the North Atlantic Oscillation to more positive values  
346 (Milly and Dunne, 2001).

347 Most R in the MRB is derived from S that occurs during December through May.  
348 Correlations between mean MRB S and 500 hPa heights for each month during December  
349 through May indicate that positive departures of S are associated with negative atmospheric  
350 pressure anomalies over the western U.S. and positive atmospheric pressure anomalies over the  
351 eastern U.S. coast. These atmospheric pressure anomalies result in an anomalous southerly flow  
352 of moist air from the Gulf of Mexico into the MRB, which results in increased P, increased S,  
353 and eventually increased R.

354 The results of this study provide a baseline understanding of the hydroclimatology of the  
355 MRB which can be used as a starting point for additional analyses. For instance, the effects of  
356 changes in climate (e.g. temperature and precipitation) on the hydroclimate of the MRB can be  
357 measured against the reference hydroclimate characterized in this study. Additionally, the

358 baseline MRB hydroclimatology depicted in this study can be used to guide the selection of  
359 regions within the MRB for specific analyses. As an example, studies of climatic effects on the  
360 Gulf of Mexico hypoxic zone likely warrant focus on the variability and trends in the  
361 hydroclimate of MRB sub-regions 5 and 8 because these sub-regions are relatively large  
362 contributors to MRB R and are regions with a large amount of agriculture. Lastly, the  
363 hydroclimatic analysis of the MRB presented in this paper serves as a complement to the  
364 hydroclimatic analysis of the Missouri River basin (a major tributary of the MRB) presented by  
365 Wise et al. (2018).

#### 366 ACKNOWLEDGEMENTS

367 Support for the Twentieth Century Reanalysis Project dataset is provided by the U.S.  
368 Department of Energy, Office of Science Innovative and Novel Computational Impact on Theory  
369 and Experiment (DOE INCITE) program, and Office of Biological and Environmental Research  
370 (BER), and by the National Oceanic and Atmospheric Administration Climate Program Office).

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426 TABLES

427 **TABLE 1.** Mean annual precipitation (P), potential evapotranspiration (PET), actual  
 428 evapotranspiration (AET), and runoff (R) in millimeters, P-PET in millimeters, and ratios of  
 429 PET/P, AET/P, R/P, AET/PET, and percent contributing area (%Area) for the 9 sub-regions  
 430 identified through cluster analysis of water-year R for 8-digit hydrologic units (values are  
 431 rounded to whole millimeters).

433	Sub-region	P	PET	AET	R	P-PET	PET/P	AET/P	R/P	AET/PET	%Area
435	1	415	561	351	64	-146	1.35	0.85	0.15	0.63	15
436	2	433	610	396	37	-177	1.41	0.91	0.09	0.65	11
437	3	643	616	531	112	27	0.96	0.83	0.17	0.86	8
438	4	475	772	445	31	-297	1.63	0.94	0.07	0.58	10
439	5	774	737	631	143	37	0.95	0.82	0.18	0.86	18
440	6	988	888	737	252	100	0.90	0.75	0.26	0.83	7
441	7	1248	905	782	465	343	0.73	0.63	0.37	0.86	10

442	8	1085	731	684	400	354	0.67	0.63	0.37	0.94	15
443	9	1438	943	877	561	495	0.66	0.61	0.39	0.93	6

444

445

446

447 **TABLE 2.** Percent of time each of the 9 sub-regions contributes the most and the least to total  
 448 Mississippi River water-year runoff. The 9 sub-regions were identified through cluster analysis  
 449 of water-year runoff for 8-digit hydrologic units. (Note: sums for the columns may exceed 100  
 450 percent due to rounding.)

451

452	Sub-region	Most	Least
-----	------------	------	-------

453

454	1	0	5
-----	---	---	---

455	2	0	60
-----	---	---	----

456	3	0	11
-----	---	---	----

457	4	0	22
-----	---	---	----

458	5	2	0
-----	---	---	---

459	6	0	3
-----	---	---	---

460	7	19	0
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461	8	77	0
-----	---	----	---

462	9	2	0
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463



LIST OF FIGURES

464

465 **FIGURE 1.** Centers of U.S. Geological Survey 8-digit hydrologic units located in the  
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489  $R$  – runoff).

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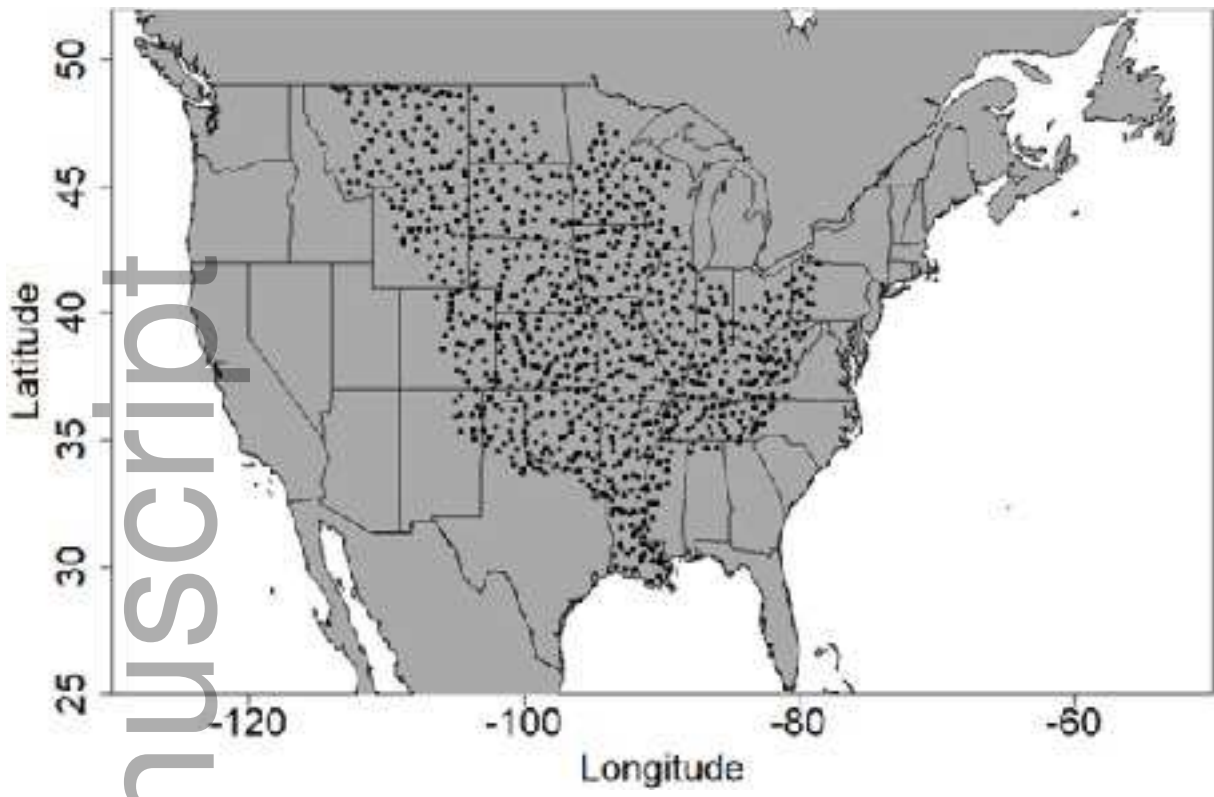
492 using a water balance model for the 9 sub-regions in the Mississippi River basin  
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497 years 1901-2014; the line colors match the colors of the sub-regions in the inset  
498 map and the numbers by the lines indicate the sub-regions.

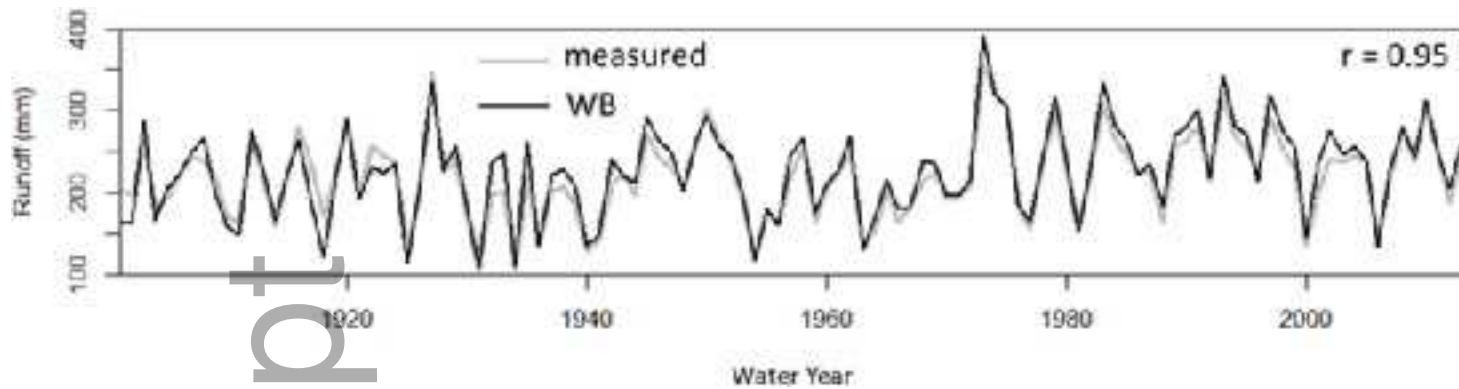
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504 through cluster analysis of water-year runoff for 8-digit hydrologic units and mean  
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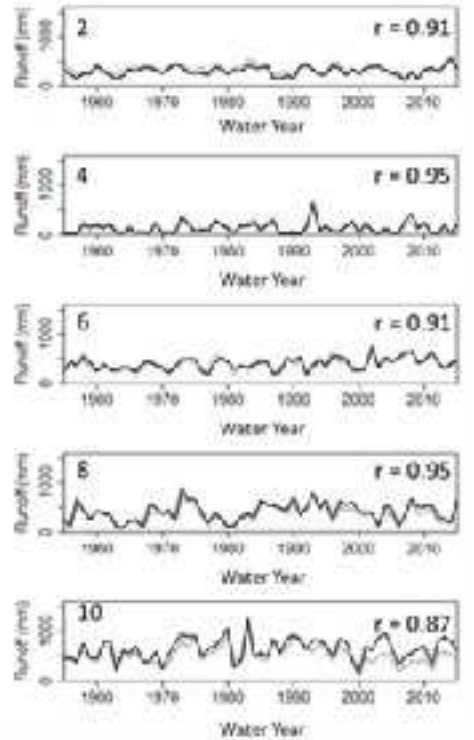
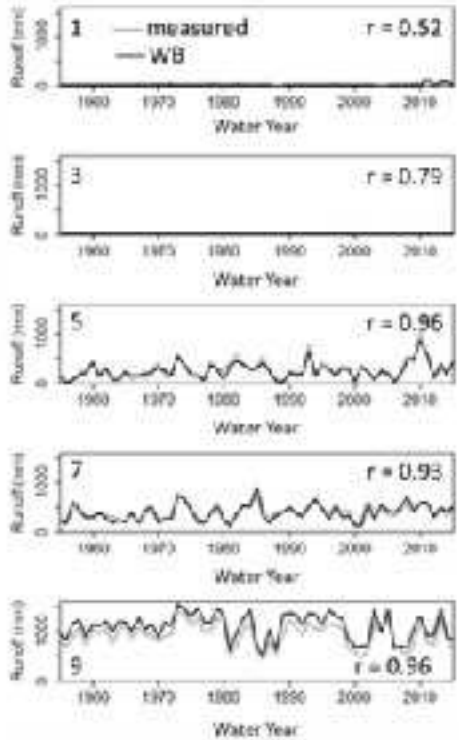
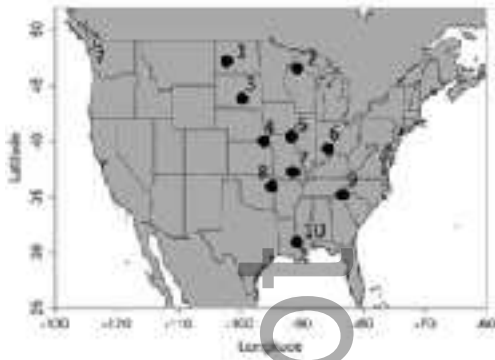


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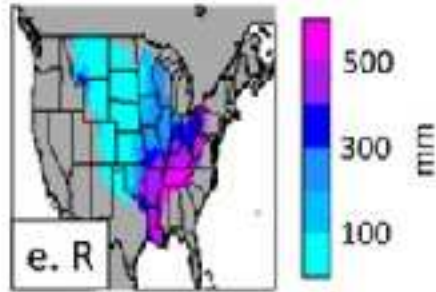
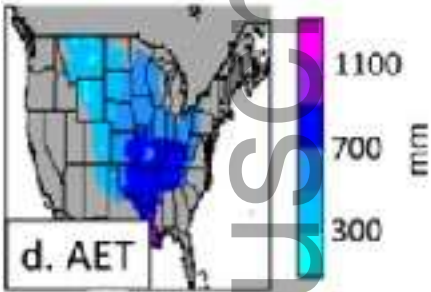
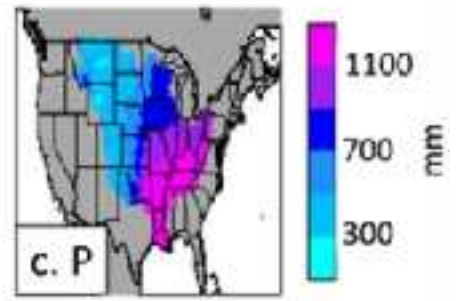
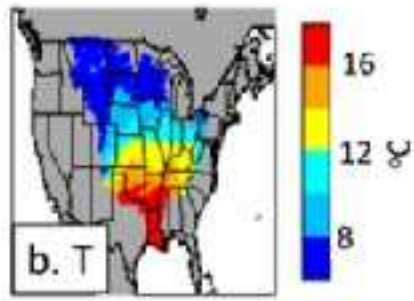
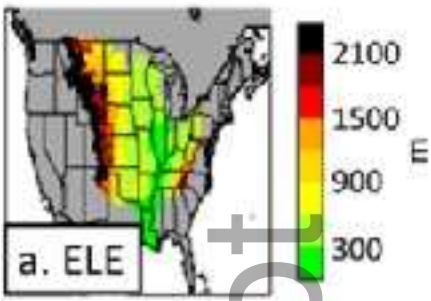
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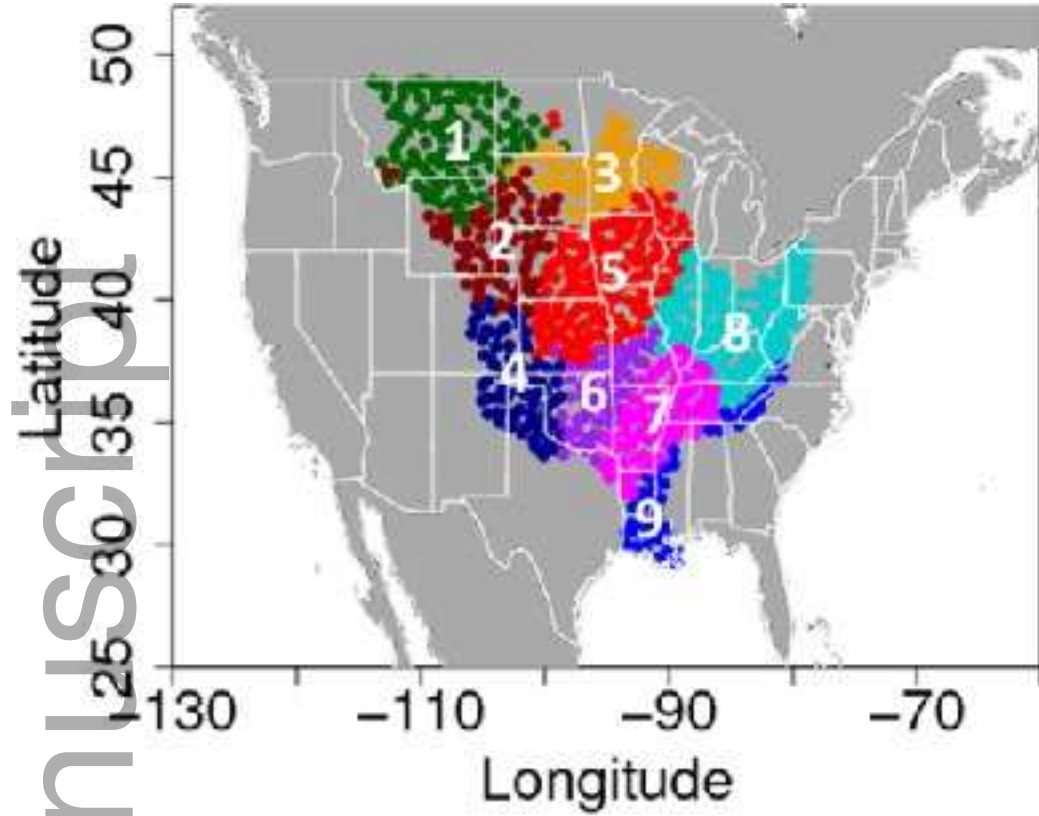


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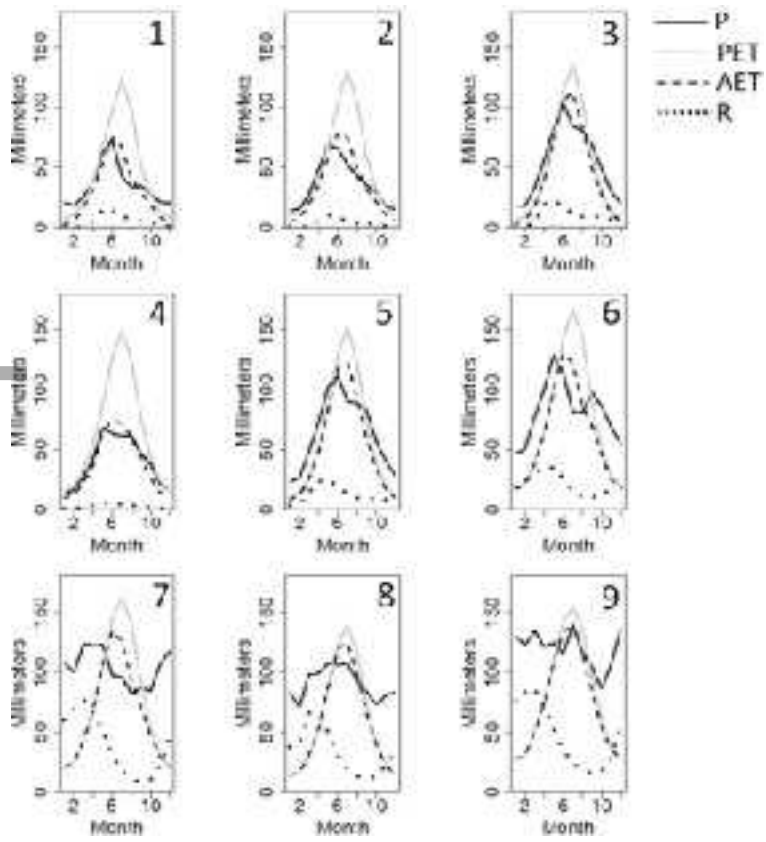
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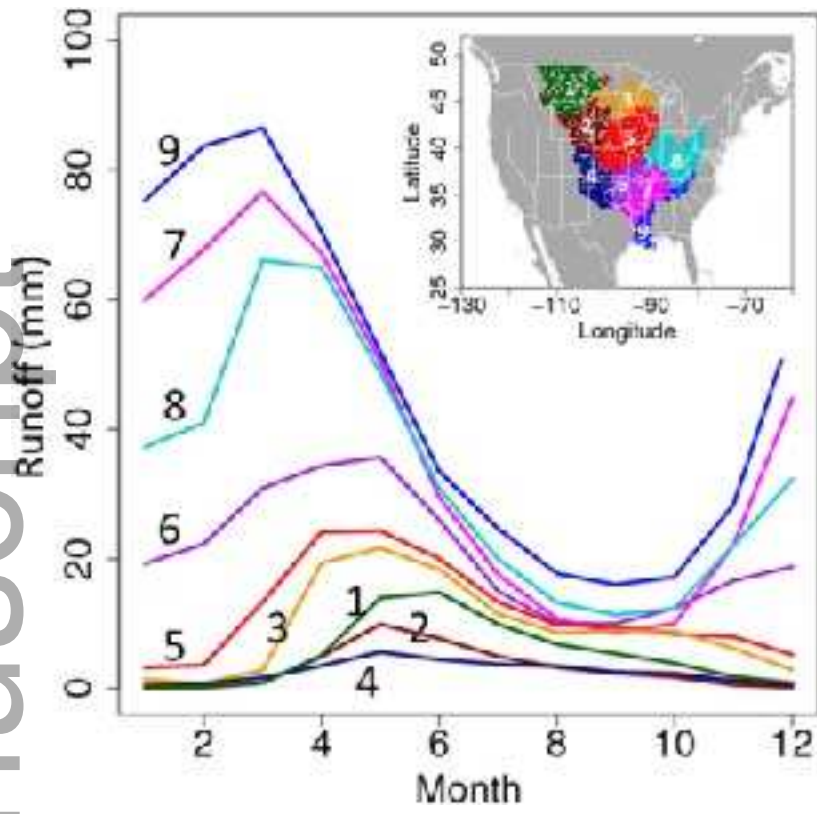


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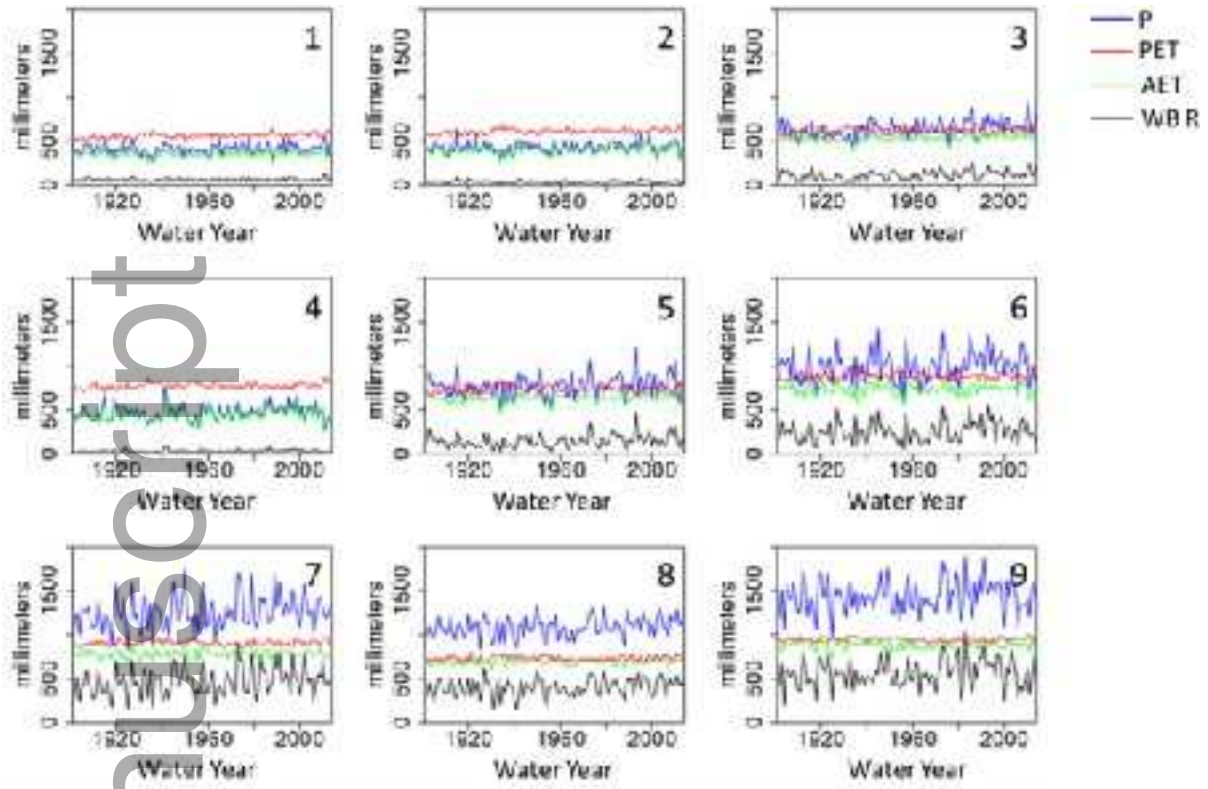


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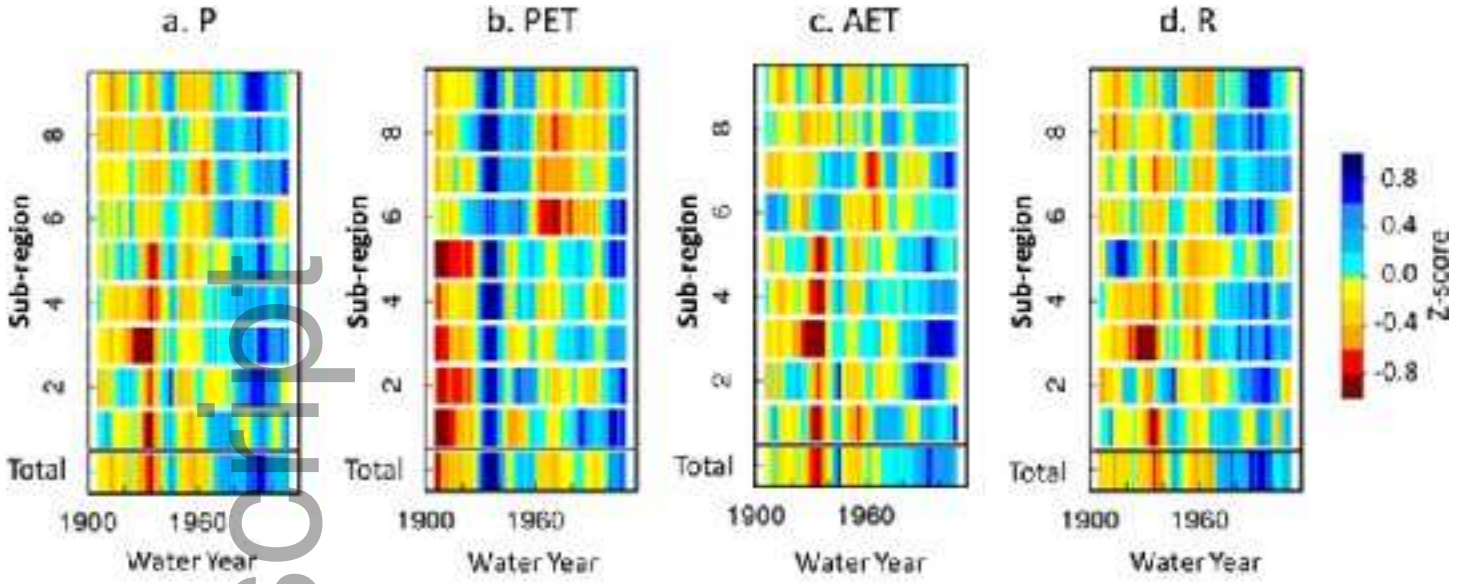




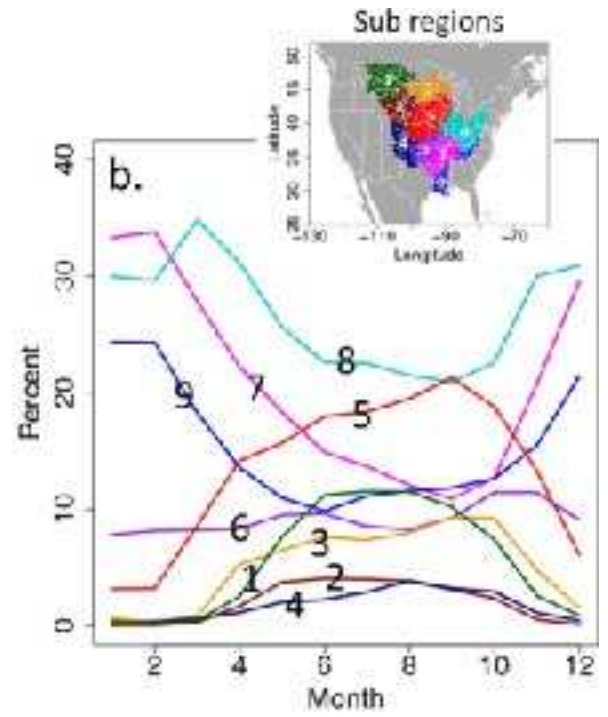
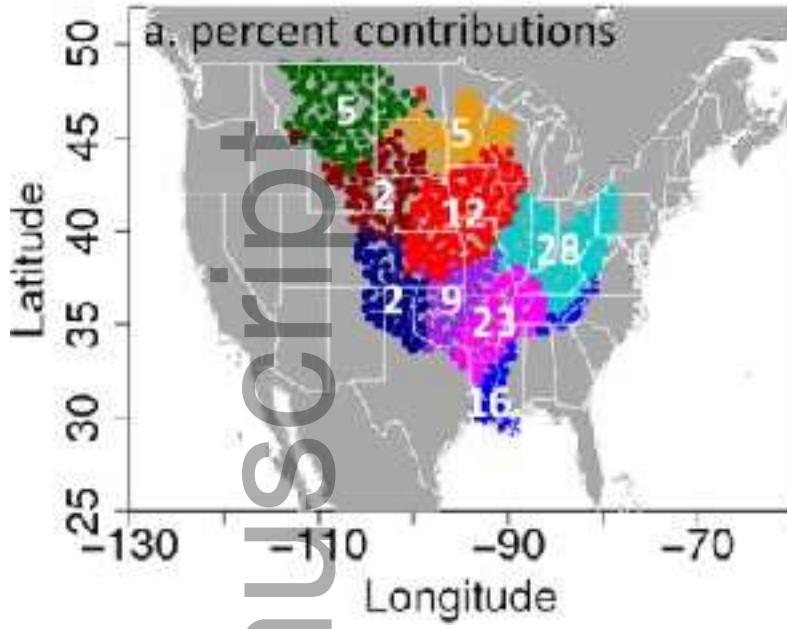
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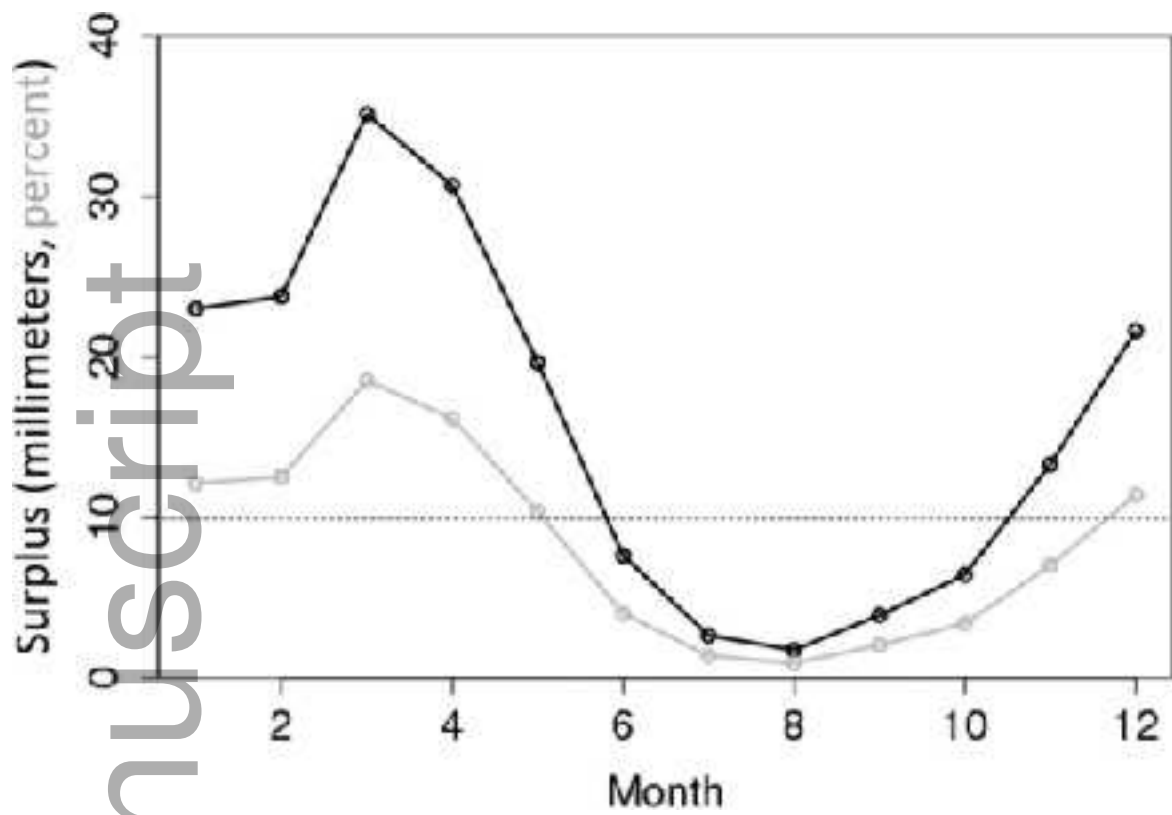


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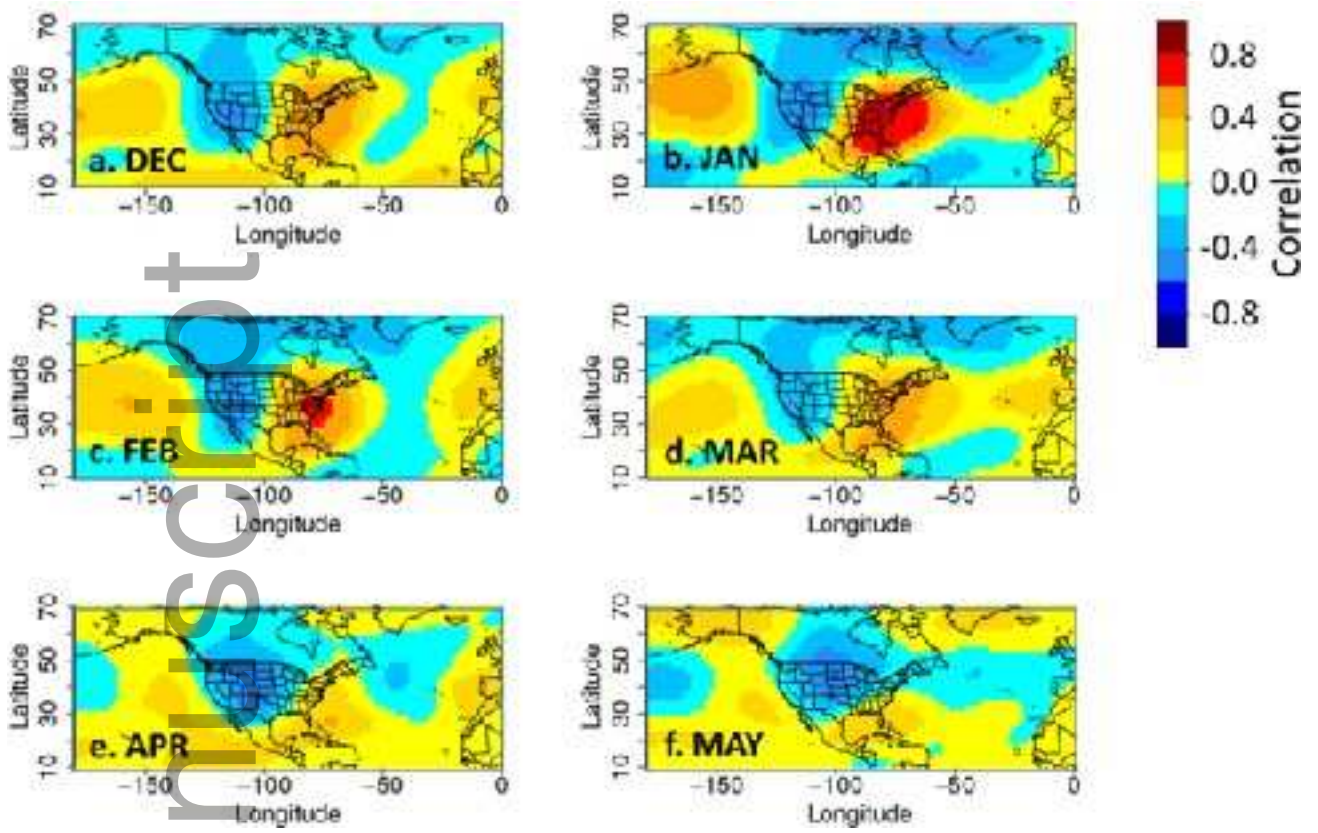


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