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**Multi-decadal climate and fishing predictors of abundance for U.S. South Atlantic coastal fishes and invertebrates**

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**27 ABSTRACT**

28 Abundance of marine stocks fluctuate in response to both internal processes (e.g., density-  
29 dependence) and exogenous drivers, including the physical environment, fishing, and  
30 trophodynamic interactions. In the United States, research investigating ecosystem drivers has  
31 been focused in data-rich systems, primarily in the North Atlantic and North Pacific. To develop  
32 a more holistic understanding of important ecosystem drivers in the Southeast U.S. continental  
33 shelf Large Marine Ecosystem, we applied generalized linear and dynamic linear modelling to  
34 investigate the effects of climate and fishing covariates on the relative abundance trends of 71  
35 demersal fish and invertebrate species sampled by a coastal trawl survey during 1990-2013. For  
36 the assemblage as a whole, fishing effects predominated over climate effects. In particular,  
37 changes in trawling effort within the penaeid shrimp fishery governed abundance trends of bony  
38 fishes, invertebrates, and elasmobranchs, a likely result of temporal changes in bycatch mortality.  
39 Changes in trawling intensity induced changes in overall community composition and appear to  
40 have altered trophic interactions among particular species. Among climate indices investigated,  
41 the Pacific Decadal Oscillation and the Western Bermuda High Index were most prevalent in  
42 well supported dynamic linear models. Observed annual abundance trends were synchronous  
43 among some taxonomically-related species, highlighting similar responses to exogenous  
44 influences based on life history. This study strengthens the foundation for generating hypotheses  
45 and advancing ecosystem-based fisheries research within the region.

46

**47 INTRODUCTION**

48 Fisheries scientists have long recognized that ecological processes can cause fluctuations in  
49 population abundance (Baird, 1873; Helland-Hansen and Nansen, 1909), but only in recent  
50 decades have ecological considerations been widely investigated by fisheries researchers and  
51 broadly considered within fisheries management. This focal shift has been in large part due to the  
52 establishment and continued refinement of long-term surveys and an ecosystem-based fisheries  
53 management (EBFM) framework (Bianchi and Skjoldal, 2008; Link, 2010; Belgrano and Fowler,  
54 2011; Christensen and Maclean, 2011). Although EBFM is being incorporated into policy at the  
55 highest levels of United States (MSRA, 2007; 13547, 2010) and international governments (Day  
56 *et al.*, 2008; Jennings and Rice, 2011), lack of scientific support still hampers the integration of

57 ecosystem processes in fisheries management of stocks worldwide (Skern-Mauritzen *et al.*,  
58 2016).

59 Taking an ecosystem approach to fisheries (EAF), the bottom-up analog to EBFM (Link,  
60 2010), requires fundamental understanding of the exogenous factors (i.e., non-internal population  
61 processes such as density-dependence) that influence living marine resources. Link *et al.* (2010)  
62 describe a ‘triad’ of factors or processes that regulate population dynamics of marine biota at  
63 scales from species to ecosystems: 1) biophysical, 2) trophodynamic, and 3) exploitative.  
64 Biophysical factors include climate effects, as well as planktonic production, which are largely  
65 governed by environmental conditions (Miller, 2004). Trophodynamic processes affect  
66 population dynamics either through bottom-up or top-down forcing depending on a species’ role  
67 in the food web. Population impacts from exploitation are typically through direct harvests and  
68 bycatch mortality, but fishing activities can also alter stock productivity via habitat alteration  
69 (Jennings and Kaiser, 1998), fishing-induced evolution (Enberg *et al.*, 2012), or trophic re-  
70 organization (Pusceddu *et al.*, 2014). For individual fish stocks, the amalgamated effects of the  
71 triad of processes determine abundance by influencing vital rates including growth, survival,  
72 recruitment, and reproductive output (Ottersen *et al.*, 2004; Lehodey *et al.*, 2006; Shelton and  
73 Mangel, 2011; Hollowed *et al.*, 2013).

74 The first exogenous factor in the triad includes biophysical factors such as primary and  
75 secondary planktonic production, which are relevant to early life stages of many marine fish and  
76 invertebrate populations. The match-mismatch hypothesis (Cushing, 1974, 1975) is one well-  
77 known mechanism by which planktonic production affects early life stages of fish populations. If  
78 ocean environmental conditions are favorable such that planktonic food resources are abundantly  
79 available, high larval growth and survival should result in a strong year-class if density-  
80 dependence is weak. To maximize this synchrony, many marine species have evolved to spawn  
81 during periods of high primary and secondary productivity (Turner *et al.*, 1979; Sherman *et al.*,  
82 1984; Cushing, 1990). At the ecosystem scale, lower trophic level production correlates with  
83 fisheries yields, demonstrating consistent bottom-up effects at broad spatial scales (Friedland *et*  
84 *al.*, 2012).

85 Climate is a biophysical factor that not only influences lower trophic level interactions  
86 within populations, but may also directly impact growth and survival. For instance, the larvae of  
87 broadcast spawners are largely at the mercy of ocean currents, deviations in which could result in

88 weak or failed recruitment if eggs and larvae are advected to unfavorable habitat (Hjort, 1914).  
89 For some species, especially those with protracted spawning strategies, more subtle changes in  
90 growth and mortality of early life stages due to an interaction of biophysical conditions (e.g.,  
91 environmental conditions, food availability) and density-dependence may be more influential to  
92 year-class strength than dramatic episodic events (Houde, 1989). Anomalous environmental  
93 conditions can influence adult survival as well, for instance mortality events associated with  
94 severe temperature conditions (Hurst, 2007). Such variability in local or regional ocean  
95 environmental conditions are often linked to atmospheric climate oscillations at much broader  
96 scales in a distant ocean or climate basin. The phenomenon of broad-scale linkage in planetary  
97 circulation patterns is termed ‘teleconnection’ (Bridgman and Oliver, 2006). Climate indices  
98 amalgamate climate conditions at spatial and temporal scales appropriate for investigating the  
99 dynamics of marine populations at a regional scale (Stenseth *et al.*, 2003).

100 In addition to affecting population vital rates, dynamic ocean conditions also alter species  
101 ranges, as well as spawning and migration phenology as individuals seek habitat closer to  
102 physiological optima (Collie *et al.*, 2008; Drinkwater *et al.*, 2010; Peer and Miller, 2014). Spatial  
103 distribution shifts in response to seasonal cycles, multi-decadal oscillations, or longer-term  
104 change in environmental conditions can influence availability of fish to fishers and surveys,  
105 possibly biasing abundance estimates (Ottersen *et al.*, 2004; Blanchard *et al.*, 2008; Nye *et al.*,  
106 2009; Morley *et al.*, 2018). Understanding the mechanisms for how climate variability affects  
107 vital rates, abundance, and the distribution of fish and shellfish stocks remains a considerable  
108 challenge.

109 The second exogenous factor in the triad is bottom-up or top-down trophodynamic  
110 interactions that may affect stock abundance. An outburst of available prey may allow a predator  
111 species to increase energy reserves, thus resulting in increased reproductive potential (bottom-up  
112 effect; Buchheister *et al.*, 2015; McBride *et al.*, 2015). Alternatively, a species that experiences  
113 predation will undergo an overall population size reduction (top-down effect). Accounting for  
114 predation mortality can be critical for setting appropriate management reference points (Tyrrell  
115 *et al.*, 2011), especially for forage fishes (Tyrrell *et al.*, 2008). Compared to local scale studies,  
116 the effects of multi-trophic interactions are more difficult to quantify at larger spatial scales that  
117 encompass entire populations due to intensive data requirements.

118 The third process in the Link *et al.* (2010) triad of exogenous population-regulating  
119 factors is exploitation, or fishing. Fishery removals (harvest and discards) directly increase  
120 mortality and decrease stock abundance, and can influence certain vital rates such as  
121 reproductive output (Jennings *et al.*, 2001). Although the most direct effects of fishing on stocks  
122 are typically top-down through direct removals, damage to essential habitat or reduction in prey  
123 resources caused by fishing or fishing gear can reduce the productivity of higher trophic level  
124 stocks (Auster and Langton, 1999; Smith *et al.*, 2013). Of the triad of drivers, only the effects  
125 from fishing can be effectively managed; natural processes such as climate and trophodynamics  
126 are observable but not alterable at the spatial scale of a biological population.

127 Within the U.S. Southeast continental shelf Large Marine Ecosystem (hereafter  
128 “Southeast U.S. LME”), multiple studies have leveraged time series data for investigating  
129 exogenous impacts on fish and invertebrate populations, primarily focusing on climate effects  
130 and individual species (Lam *et al.*, 1989; Parker Jr. and Dixon, 1998; Munch and Conover, 2000;  
131 Belcher and Jennings, 2004; Hare and Able, 2007; Garcia *et al.*, 2007; Eggleston *et al.*, 2010;  
132 Hare *et al.*, 2010, 2012; Colton *et al.*, 2014; Munyandorero, 2014; Harford *et al.*, 2014).  
133 However, ecosystem-oriented research that considers multiple species and long-term driving  
134 factors is lacking within the Southeast U.S. LME relative to other ecosystems in the U.S. and  
135 globally (McFadden and Barnes, 2009; Hollowed *et al.*, 2013), especially studies focused on  
136 multiple species and driving factors. The impetus for our study was to expand the body of  
137 knowledge regarding how the triad of exogenous population drivers impact biological  
138 populations within the Southeast U.S. LME. In particular, we sought to determine the utility of  
139 multiple climate indices and metrics of fishing mortality for predicting abundance dynamics of a  
140 large suite of coastal fish and invertebrate stocks as measured by a fishery-independent trawl  
141 survey. While we do postulate mechanistic underpinnings for the dynamics of certain species in  
142 response to exogenous factors, this work should be viewed as a springboard for future  
143 investigations at finer levels of scale.

144

## 145 **METHODS**

### 146 *Biological sampling*

147 An important data source for coastal stocks in the Southeast U.S. LME is the Southeast Area  
148 Monitoring and Assessment Program – U.S. South Atlantic (SEAMAP-SA) (SEAMAP-SA Data

149 Management Work Group, 2014). This fishery-independent bottom trawl survey has been  
150 conducted by South Carolina Department of Natural Resources (Charleston, SC) personnel thrice  
151 annually (spring, summer, and fall) since 1989 within the Southeast U.S. LME from Cape  
152 Hatteras, NC to Cape Canaveral, FL. SEAMAP-SA employs a stratified fixed station design,  
153 whereby a pre-determined number of fixed stations are chosen for seasonal sampling from a pool  
154 of all possible stations distributed across six sampling regions and within a depth range of 15-30  
155 ft. (Fig. 1). At each sampling station, two tongue trawl nets (13.5-m wingspan) are towed on the  
156 bottom for 20 minutes from a double-rigged 23-m St. Augustine shrimp trawler. The catch is  
157 brought on-board, sub-sampled (if necessary) and sorted, all individuals identified to species and  
158 enumerated, and allometric data collected for certain priority species.

### 159 *Statistical modeling*

160 We evaluated the influences of climate and fishing factors on Southeast U.S. LME coastal fish  
161 populations using generalized and dynamic linear models. We first generated standardized  
162 indices of relative abundance for each species using generalized linear models with technical  
163 tow-level covariates, followed by an investigation of drivers of abundance using dynamic linear  
164 models with climate and fishing covariates. All statistical analyses were conducted in R (Team,  
165 2015) (see Supporting Information for specific packages used).

166 We focused on species that were captured by SEAMAP-SA in all 24 years of the study  
167 period from 1990–2013. Species not captured perennially could either have low abundance (true  
168 rarity) or low survey catchability; in either case, we did not estimate annual abundances for these  
169 rarely caught species. We examined availability and abundance information for each species  
170 during each sampling season (spring, Apr.-May; summer, Jul.-Aug.; fall, Oct.-Nov.) and within  
171 each sampling region. If a species was largely absent from a sampling region or during a  
172 particular season (i.e., low or no availability), those trawl sets were eliminated to reduce the  
173 number of uninformative zeros that occurred due to sampling outside that species' seasonal or  
174 spatial range (Austin and Meyers, 1996; Martin *et al.*, 2005).

### 175 *Standardized abundance indices*

176 To generate standardized annual indices of relative abundance, we modeled numbers of  
177 individuals per tow with covariates using generalized linear models (GLMs) (Nelder and  
178 Wedderburn, 1972) and zero-inflated generalized linear models (ZIGLMs) (Lambert, 1992; Hall,

179 2000). Multispecies surveys that sample across long ecological gradients often incur design,  
180 survey, and observer errors for individual species, thus commonly resulting in zero-inflated data  
181 (Kuhnert *et al.*, 2005). Zero-inflated models account for false zeros unexpected in reference to  
182 the specified underlying probability distribution. Within both GLM and ZIGLM frameworks, we  
183 considered Poisson and negative binomial probability distributions (Fig. S1, Table S1; see  
184 Supporting Material for additional details). For most species, a zero-inflated negative binomial  
185 model was most appropriate; a negative binomial distribution was more appropriate than a  
186 Poisson for all species. At this stage of analysis, the following technical covariates were  
187 considered: year, season, sampling region, depth, total biomass of other species in the trawl  
188 sample, effort (as an offset), and if the catch was subsampled (Table S2). We fitted main effects  
189 models for all possible combinations of technical covariates and retained the model with the  
190 lowest AIC<sub>c</sub> value (Sugiura, 1978).

191 For each species' most supported GLM, we estimated annual relative abundances by  
192 averaging the marginal mean predictions for each year (Searle *et al.*, 1980). Uncertainty for  
193 predictions were estimated via year-stratified bootstrapping ( $n = 15,000$ ) (Efron, 1983) as the  
194 percent coefficient of variation (CV) (Gotelli and Ellison, 2004). We also generated bias-  
195 corrected and accelerated ( $BC_a$ ) 95% confidence intervals (CIs) for comparison to forecast  
196 variances generated for dynamic linear models (see below). If a species time series had 6 or more  
197 ( $\geq \frac{1}{4}$  of the time series) predictions with CVs  $\geq 100\%$ , that species was eliminated from further  
198 analysis. We also removed individual annual predictions with CVs  $\geq 200\%$ .

### 199 *Climate and fishing hypotheses*

200 We hypothesized multiple climate and fishing factors to be potential drivers of abundance. The  
201 majority of climate covariates consisted of indices that describe climate anomalies or oscillations  
202 derived from spatial differences in atmospheric pressure or sea surface temperature (SST). Many  
203 of these indices are recognized teleconnections and all are known to confer variability to ocean  
204 conditions within the Southeast U.S. LME (Table 1; Fig. S2). Cold-season covariates included  
205 the North Atlantic Oscillation (NAO), the Pacific Decadal Oscillation (PDO), the Pacific North  
206 American Pattern in winter (PNA<sub>w</sub>), and the Southern Oscillation Index (SOI). We lagged cold-  
207 season covariates 0 and 1 years, where lag 0 included data for winter months (Dec.–Mar.)  
208 immediately prior to SEAMAP-SA sampling. For example, the value for a lag 1 cold-season

209 covariate was an average of monthly values during December of  $year_t$  through March of  
210  $year_{t+1}$ , with corresponding observed biological effects during spring through fall  $year_{t+2}$ . We  
211 hypothesized winter conditions associated with these climate oscillations impacted larval or  
212 juvenile growth and survival (e.g., Hare and Able, 2007) at lags 0 or 1, and migration phenology  
213 at lag 0.

214 Warm-season covariates included the Atlantic Multi-decadal Oscillation (AMO), the  
215 Pacific North American Pattern in summer ( $PNA_S$ ), the Western Bermuda High Index (WBHI),  
216 and average sea bottom temperature (SBT). We also considered the Atlantic Warm Pool (AWP),  
217 which is a measure of the area of SST warmer than  $28.5^\circ\text{C}$  in the Western Central Atlantic Ocean  
218 (Wang *et al.*, 2006), but ultimately excluded this metric based on its high correlation with the  
219 AMO (Wang *et al.*, 2008) during the study period ( $R = 0.9$ ). We hypothesized summer  
220 conditions associated with these climate oscillations may impact growth and recruitment of early  
221 life stages at lags 0 or 1, and migration phenology at lag 0. Warm-season covariates were lagged  
222 differently based on seasonality of GLM predictions and the extent of knowledge regarding age  
223 composition in SEAMAP-SA catches. If a species' standardized GLM index included only  
224 spring data, we lagged warm-season covariates 1 and 2 years (no lag 0). If an index included  
225 summer or fall data, warm-season covariates were lagged 0 and 1 years. If an index included  
226 summer but not fall data, warm-season lag 0 indices were recalculated as an average of monthly  
227 covariate values from May–July rather than May–September. Additionally, we included lag 2  
228 warm-season covariates for species whose primary abundance signal are known or assumed to  
229 include age 2 animals (Table S3). We lagged SBT 0 years to account for temperature-driven  
230 changes in availability resulting from interannual differences in migration phenology (see  
231 Supporting Material, Fig. S3).

232 Fishing covariates included annual shrimp fishery effort (all species), landings (35 of 71  
233 species), and estimated instantaneous fishing mortality (9 species) (see Supporting Material,  
234 Figs. S4 and S5). We included shrimp fishery effort (input as log-transformed total annual  
235 commercial trips) as a proxy for relative changes in bycatch mortality within the penaeid shrimp  
236 fishery (Walter and Isley 2014) because empirical bycatch mortality estimates for all species  
237 were unavailable for the study period. We obtained annual commercial and recreational fishery  
238 landings data (input as log-transformed total biomass) from the Atlantic Coastal Cooperative  
239 Statistics Program (ACCSP 2015). Fishing mortality estimates were gleaned from stock



240 assessment reports for recently assessed species. We lagged all fishing covariates up to 3 years  
241 depending on known or assumed maximum age; most fishes had lags of 1–3 years and most  
242 invertebrates 1–2 years (see Table S3 for exceptions). For appropriate scale comparisons, all  
243 climate and fishing covariates were  $z$ -scored (subtracted the mean and divided by the standard  
244 deviation) prior to dynamic linear modeling.

### 245 *Modeling climate and fishing effects*

246 We investigated climate and fishing drivers of abundance for each remaining species' time series  
247 using univariate dynamic linear models (DLMs) (Pole *et al.*, 1994; Lamon III *et al.*, 1998;  
248 Scheuerell *et al.*, 2002). Each log-transformed abundance observation  $y$  in year  $t$  was modeled  
249 as

$$250 \quad y_t = \mathbf{F}_t^T \boldsymbol{\theta}_t + \mathbf{v}_t \quad (1)$$

251 where  $\mathbf{F}_t$  and  $\boldsymbol{\theta}_t$  are vectors of regression variables and parameters, respectively,  $\mathbf{v}_t$  are  
252 observation errors with  $\mathbf{v}_t \sim N(0, r)$ , and

$$253 \quad \boldsymbol{\theta}_t = \boldsymbol{\theta}_{t-1} + \mathbf{w}_t \quad (2)$$

254 where  $\mathbf{w}_t$  are process errors with  $\mathbf{w}_t \sim \text{MVN}(\mathbf{0}, \mathbf{Q})$  (Holmes *et al.*, 2014). The DLM framework  
255 assumes a locally non-linear relationship between a given response and predictor variable. This  
256 assumption allowed us flexibility to explore numerous response/predictor combinations,  
257 relationships for many of which may not be linear.

258 For each species, we fitted DLMs for all possible combinations of climate and fishing  
259 covariates with a minimum of zero covariates (intercept-only model) up to one climate and one  
260 fishing covariate (maximum two covariates per model). To reduce multi-collinearity, two-  
261 covariate models were not fitted if they contained combinations of covariates that were  
262 significantly correlated (Pearson's product-moment correlation test,  $\alpha = 0.1$ ). For each unique  
263 combination of covariates, we considered multiple variance parameterizations for process and  
264 observation errors (Table 2). For models with covariates, process errors in  $\mathbf{w}_t$  were either 1)  
265 assumed independent and identically distributed or 2) assumed independent but potentially  
266 distributed differently. For all models, the  $\mathbf{Q}$  diagonal element corresponding to intercept process  
267 error variance was fixed at zero. Observation error variance ( $r$ ) was either estimated within the  
268 DLM, or fixed at the average annual percent coefficient of variation estimated from GLM  
269 bootstrapping. For each species, we retained for further analysis all converged models

270 (maximum 10,000 iterations, convergence tolerance = 0.9) with  $\Delta AIC_c$  values  $\leq 10$ , where  
271  $\Delta AIC_c = AIC_c - \min(AIC_c)$ . Among species, unique combinations of covariates ranged from 23  
272 to 136 and the total model set size including error variance parameterization options ranged from  
273 70 to 488 (Table S3).

274 For assessing performance of individual models, we obtained expected values of one-year  
275 forecasted abundances with corresponding variances (in log space) calculated using a Kalman  
276 filter algorithm. We checked forecast errors (termed “innovations”) for egregious violations of  
277 normality and independence using Student’s  $t$ -Tests and autocorrelation function ( $\alpha = 0.05$ ),  
278 respectively. We assessed forecast bias by calculating the Root Mean Squared Error (RMSE) for  
279 each forecast series in log space (Hyndman and Koehler, 2006):

$$280 \quad RMSE = \sqrt{\sum_{t=1}^n (Y_t - f_t)^2 / n} \quad (3)$$

281 where  $f$  is the forecast for observation  $Y$  at time  $t$ .

282 For each retained model, we determined the persistence of its combination of covariates  
283 by comparing time-truncated models to vet the staying power of a given parameter within  
284 models as years were ‘peeled’ off the time series (Mohn, 1999; Miller *et al.*, 2016). This  
285 approach is analogous to examining retrospective patterns in an age-structured stock assessment  
286 model. We generated time-truncated datasets by removing one year of data either at the proximal  
287 or terminal end of the time series. We define ‘persistence’ of a given covariate as

$$288 \quad \varphi_i = \sum_{j=1}^J \left( \frac{c_{ij}}{m} \right) w_j \quad (4)$$

289 where  $c_{ij}$  is the number of occurrences of covariate  $i$  in  $m$  truncated models based on full time  
290 series (non-truncated) model  $j$ , and  $w_j$  is the Akaike weight ( $AIC_w$ ; Burnham and Anderson  
291 2002) for non-truncated model  $j$ . The parameter  $\varphi$  is a proportion bounded by 0 and 1. We fixed  
292  $m$  at 10; 5 proximal year peels and 5 terminal year peels. For the purposes of calculating  $\varphi$ , lag  
293 identities were removed from covariates. For instance, if model 1 contained ‘lag 0 SOI’ and  
294 model 2 contained ‘lag 1 SOI’,  $c_i$  would be ‘SOI’ for both.

295 To quantify the overall importance of a covariate to all species or a group of species, we  
296 define ‘prevalence’ as

$$297 \quad \Phi_i = \frac{\sum \varphi_i}{\sum \varphi} \quad (5)$$

298 where  $\sum \varphi_i$  is the sum of persistence values for covariate  $i$  across species, and  $\sum \varphi$  is the grand  
299 sum of persistence values across species and all covariates. To identify covariates with

300 consistently significant unidirectional effects, we quantified an Akaike-weighted index  
301 describing the ‘magnitude of effect’ for time-dynamic regression parameter estimates as

$$302 \quad \Gamma_{i,\alpha} = \sum_{j=1}^J \left( \frac{(pos-neg)_{ij,\alpha}}{T_j} \right) w_j \quad (6)$$

303 where *pos* and *neg* are the number of years in which potentially time-varying coefficient  
304 estimates for *z*-scored covariate *i* in model *j* were significantly different from zero at alpha level  
305  $\alpha$ , *T* is the length of time series (always 24 years), and  $w_j$  is defined as in Eq. 4. Lag identities  
306 were removed from covariates prior to calculating  $\Gamma$ , which is bounded by -1 and 1. Magnitude  
307 of effect also implicitly incorporates covariate persistence; covariates not present in all non-  
308 truncated models for a given species are penalized proportional to the sum of the Akaike weights  
309 for models in which the covariate was absent. Species that had significant parameter estimates  
310 with different signs that counteract one another (i.e., sign-switching) will have diminished  
311 magnitude of effect values (i.e., closer to 0). Time-varying parameter estimates that switch signs  
312 may indicate a change in the mechanistic relationship between the variate and covariate.  
313 However, given the exploratory nature of the study, we were only interested in characterizing  
314 covariate effects with consistent unidirectional effects through time.

### 315 *Multivariate analysis*

316 To compare temporal abundance patterns among species, we conducted multivariate ordination  
317 using *z*-scored marginal mean GLM predictions. To visualize community-level patterns in  
318 abundance changes, we conducted principal components analysis (PCA) with GLM-generated  
319 mean marginal predictions where each year was a separate descriptor. Sand perch (*Diplectrum*  
320 *formosum*) and dusky flounder (*Syacium papillosum*) were excluded from this analysis due to  
321 extremely low log-space predictions for certain years; these years were excluded in DLMs for  
322 these species.

323

## 324 **RESULTS**

### 325 *Linear modeling*

326 Of the 101 coastal fish and invertebrate species modeled using GLMs (Table S4), we  
327 investigated climat and fishing effects for 71 species that had acceptable CVs for  $\frac{3}{4}$  of the 24  
328 year time series (Table 3). Shrimp fishery effort was the most prevalent covariate in dynamic  
329 linear models for all broad taxonomic groups, indicating this was the most common covariate in

330 time series models (Table 4). This proxy for bycatch mortality was much more prevalent than  
331 any climate effect. Shrimp fishery effort prevalence ( $\Phi$ ) was 26%, 35%, and 44% for bony  
332 fishes, invertebrates, and elasmobranchs, respectively. Shrimp fishery effort had moderate  
333 ( $75\% < \phi \leq 50\%$ ) to high ( $\phi \geq 75\%$ ) persistence in time-truncated models for 12 and 15  
334 species, respectively, indicating that this covariate was retained in the most supported model  
335 more than 50% of the time after removal of up to five years of data from the start or end of the  
336 24 year time series. For comparison, all eight climate variables combined had just 9 species with  
337 moderate persistence. Furthermore, of the 27 species with moderate to high persistence for  
338 shrimp fishery effort, 20 had magnitude of effect values greater than 60% ( $|\Gamma| \geq 0.6$ ), indicating  
339 that estimated regression coefficients for this covariate differed from zero during at least 60% of  
340 years in the time series (Fig. 2). For species with direct harvest data, a 'landings' covariate was  
341 also relatively prevalent (22%) in models among bony fishes (Table 4). For three species  
342 (*Centropristis striata*, *Chaetodipterus faber*, and *Menticirrhus littoralis*) landings had a  
343 magnitude of effect of at least 0.7 (Fig. 2). However, the relationship between landings and *C.*  
344 *striata* and *M. littoralis* was positive, indicating possible spurious effects. Strong persistence or  
345 magnitude of effect for a covariate does not verify a mechanistic relationship, but does provide  
346 evidence of a possible linkage between the exogenous factor and stock abundance that should be  
347 vetted further through additional investigation.

348 Compared to fishing covariates, climate covariates were much less predominant in  
349 species models despite the consideration of eight different climate indices. Among warm-season  
350 climate covariates the Western Bermuda High Index (WBHI) was the most common in DLMs,  
351 with 10% overall prevalence (Table 4), persistence greater than 50% for three species, and  
352 moderate magnitude of effect ( $|\Gamma| \geq 0.5$ ) for four species (Fig. 2). The Pacific North American  
353 Pattern in summer ( $PNA_S$ ) was second-most prevalent for warm-season climate covariates, while  
354 the Atlantic Multi-decadal Oscillation (AMO) and sea bottom temperature anomalies (SBT)  
355 were least prevalent.  $PNA_S$  was persistent in more than 50% of weighted models for three  
356 species (Table 4). Prevalence values for cold-season climate covariates were also relatively low  
357 for most taxon groups, although the Pacific Decadal Oscillation (PDO) was more prevalent in  
358 invertebrate models (14%). Three species exhibited negative associations with and had moderate  
359 magnitude of effect (Fig. 2) for the PDO: *Callinectes similis*, *Portunus spinimanus*, and  
360 *Centropristis striata*.

361 Five crustacean species had negative and counterintuitive associations with shrimp  
362 fishery effort (these species are also vulnerable to bycatch), suggesting possible indirect effects  
363 from increased predation from *S. tiburo* (Fig. 2, Fig. 3). Assumptions of forecast error normality  
364 (*t*-tests,  $\alpha = 0.05$ ) and independence (not strongly autocorrelated at lags 1-10) were met for each  
365 species' most supported model ( $\Delta AIC_c = 0$ ), results from which are depicted in Fig. S6. Forecast  
366 bias, measured as RMSE, varied more so between species (range 0.23 to 1.81, where zero  
367 indicates no bias) than within models for a given species (Table S5).

### 368 *Species comparisons*

369 A biplot of the first two principal components explaining the most variation (cumulative  
370 31%) in multispecies abundance predictions illustrates time series trend commonalities among  
371 species and taxon groups (Fig. 4). Notable groupings include five crustacean species (top;  
372 *Arenaeus cribrarius*, *Callinectes sapidus*, *C. similis*, *Portunus spinimanus*, and *Squilla empusa*),  
373 four skate and ray species (middle-left; *Dasyatis sabina*, *D. say*, *Gymnura micrura*, and *R.*  
374 *eglanteria*), and two common small coastal sharks (bottom; *Rhizoprionodon terranovae*, *Sphyrna*  
375 *tiburo*). Species in quadrant 1 (top right) were more abundant earlier in the time series, species in  
376 quadrant 2 (top left) were abundant during the middle 2000s, while species in quadrant 3 (bottom  
377 left) were more abundant later in the time series. *Doryteuthis* spp. exhibited an abundance  
378 trajectory different from most other species with peaks near 2000 and 2010 (quadrant 4, bottom  
379 right). A higher number of species with negative PC1 values is consistent with an overall  
380 increase in community abundance throughout the time series (Richardson and Boylan, 2014). A  
381 biplot of the descriptor axes (i.e., years) indicate a period of most rapid change in community  
382 abundances during the 2000s, especially during 2001 to 2004 (Fig. 5). Based on biplot species  
383 groupings, DLM forecasts and regression coefficient estimates for *S. tiburo* and the five  
384 aforementioned crustaceans are compared in Fig. 3 (see Discussion).

385

## 386 **DISCUSSION**

387 The overall goal for this work was to expand general understanding of how exogenous factors  
388 influence abundance dynamics for coastal fishes and invertebrates within the Southeast U.S.  
389 LME. Results indicate that each of the factors described by Link *et al.* (2010) – trophodynamic,  
390 exploitative, and biophysical – exert influence on the abundance dynamics of several species we

391 examined. In many cases taxonomically-related species exhibited synchronous abundance trends  
392 and associations with covariates, suggesting that working knowledge of life history  
393 characteristics provides guidance for explaining these connections. In all cases, our results and  
394 interpretations should be viewed as a foundation for future ecosystem-based research within the  
395 region at finer spatial and temporal scales for each species or taxonomic/trophic group.

#### 396 *Indirect fishing effects on trophodynamics*

397 During the study period, shrimp fleet effort decreased due to an economic downturn in the  
398 fishery (SEDAR, 2014). Five crustaceans and one bony fish species exhibited a positive  
399 relationship with shrimp fishery effort that was persistent and strong magnitude of effect (Fig. 2):  
400 *Arenaeus cribrarius*, *Callinectes sapidus*, *C. similis*, *Portunus spinimanus*, *Squilla empusa*, and  
401 *Urophycis floridana*. While there are no empirical shrimp bycatch time series available for the  
402 U.S. South Atlantic penaeid shrimp fishery, Scott-Denton *et al.* (2012) and Brown (2014)  
403 provide a snapshot summary of bycatch trends during the late 2000's. In North Carolina, *C.*  
404 *sapidus*, *S. empusa*, *U. floridana*, and portunid crabs comprised a total of 9% of non-shrimp  
405 biomass caught in commercial shrimp trawl gear from July 2007 to June 2008 (Brown, 2014).  
406 Throughout the U.S. South Atlantic region, *C. sapidus* and non-identified 'crustaceans'  
407 comprised 19% of all non-shrimp biomass during July 2007 through December 2010 (Scott-  
408 Denton *et al.*, 2012). Although bycatch reduction devices (BRDs) have been used within this  
409 fishery within federal waters since 1997/8 (ASMFC, 2011), these species or groups remain  
410 frequently captured within the fishery. Due to the small maximum body size attained by these  
411 species and empirical evidence that they are in fact bycatch, the positive relationship between  
412 abundance and shrimp fishery effort that we found is counterintuitive.

413 An explanation for the positive relationship between trawling effort and abundance of the  
414 five crustaceans is that the rebound of the bonnethead shark (*Sphyrna tiburo*) has resulted in  
415 increased top-down control on these species. The shrimp fleet effort time series may be acting as  
416 proxy for the abundance dynamics of this predator known to feed primarily on crustaceans,  
417 especially portunid crabs (Cortés *et al.* 1996; MAS, unpublished data). The most recent *S. tiburo*  
418 stock assessment attributes an overall population increase after 2000 in large part to bycatch  
419 reduction following BRD implementation (SEDAR, 2013b). Results from the current study  
420 support this conclusion; *S. tiburo* abundance was higher overall in the 2000's compared to the  
421 1990's (Fig. 3A), and shrimp fishery effort was a moderately persistent ( $\varphi \geq 50\%$ ) negative

422 predictor with moderate magnitude of effect ( $|\Gamma| = 0.7$ ) in the well-fit (RMSE = 0.29) most  
423 supported model for this species. Based on opposing trends of *S. tiburo* and its prey species (Fig.  
424 3), multi-species modeling is warranted for these trophically-related species. While the  
425 bonnethead is likely not the only predator for these species, it may be acting as a general proxy  
426 for increases in abundance of higher trophic level predators (e.g., *Raja eglanteria*, *Gymnura*  
427 *micrura*) following a reduction in shrimp fishery effort and overall lowered bycatch risk for the  
428 assemblage.

429 One additional hypothesis for the decline in crustacean abundance within the nearshore  
430 zone is that an overall decrease in bycatch discards by the shrimp fishery has reduced the amount  
431 of carrion available to portunid crabs whose diets include scavenged food. In theory, increased  
432 food availability and decreased energy expenditure on food handling would increase growth rates  
433 and potentially reproductive output. The carrion reduction hypothesis could be tested via  
434 manipulative experiments and inferences based on more robust bycatch data, especially prior to  
435 when BRDs were mandated. Johnson (2006) demonstrated that blue crabs strongly preferred  
436 bycatch carrion to natural prey, lending support for this hypothesis. Changes in bottom-up  
437 (carrion reduction) and top-down (predation increase) trophodynamics could have synergistically  
438 led to an overall decline of one or more of these ecologically-important crustacean species.

#### 439 *Direct fishing effects*

440 Biomass removals from fishing result in a direct decrease in population abundance, yet only one  
441 species out of 35 (*Chaetodipterus faber*) had a moderate and negative magnitude of effect for the  
442 covariate ‘Landings’ (Fig. 2). Stock status of *C. faber* has not been formally assessed, but results  
443 indicate that landings may be great enough to elicit a population-level change in abundance. The  
444 covariate fishing mortality (‘Total  $F$ ’) (Fig. S5) was not persistent in models of any species for  
445 which estimated time series were available from stock assessments. Overall null results for the  
446 fishing covariates ‘Landings’ and ‘Total  $F$ ’ could be due to 1) bottom-up environmental  
447 conditions or top-down trophodynamics being overriding drivers of abundance dynamics, 2) the  
448 magnitude of landings not being high enough to elicit a detectable population response (i.e., low  
449 exploitation rate), or 3) SEAMAP-SA not being a representative index for the stock. For species  
450 with landings but which are not actively managed, the first and second hypotheses are plausible;  
451 the third is not testable without additional data sources. Of the nine species for which estimated  
452 ‘Total  $F$ ’ time series were available, weakfish (*C. regalis*), summer flounder (*P. dentatus*),

453 butterflyfish (*P. triacanthus*), bluefish (*P. saltatrix*), and scup (*Stenotomus*) were based primarily on  
454 data from more northerly areas, thus hypothesis three is likely for these species. While Atlantic  
455 sharpnose (*R. terranova*) and bonnethead (*S. tiburo*) are recognized to exhibit separate Gulf of  
456 Mexico and Atlantic stocks, the most recent update assessment for each species combined these  
457 two regions based on precedent from previous benchmark assessments (SEDAR, 2013a,b). The  
458 spatial mismatch between SEAMAP-SA and assessments for these two coastal sharks may have  
459 resulted in null 'Total *F*' results.

460 Shrimp fleet effort exhibited a persistent negative association with moderate to strong  
461 magnitude of effect for fourteen species – nine bony fish, four elasmobranch, and one  
462 invertebrate species (Fig. 2). All of these species except two (*Persephona mediterranea* and  
463 *Prionotus carolinus*) are documented bycatch species in the region within the penaeid shrimp  
464 trawl fishery (Scott-Denton *et al.*, 2012; Brown, 2014). In all cases, estimated abundances for  
465 these species were higher during the second half of the time series during which shrimp fleet  
466 effort and assumed overall bycatch were lower compared to during the 1990's. Although  
467 decreases in effort occurred concomitantly with BRD mandates intended to reduce bycatch  
468 mortality, decreased effort may be driving abundance increases for these species more so than  
469 BRDs given that they still remain vulnerable to bycatch in shrimp fishery gears despite BRD  
470 requirements. Elasmobranchs in particular have benefited from this decrease in fishery activity,  
471 indicated by prevalence  $\Phi$  of 44% for shrimp fishery effort, overall negative associations with  
472 'Effort' in all cases (Fig. S6), and documented bycatch impacts to small coastal sharks (SEDAR,  
473 2013b,a).

#### 474 *Biophysical effects*

475 Compared to fishing effects, many fewer species exhibited abundance changes in response to  
476 climate. Among warm-season climate variables, none had outstanding prevalence within species  
477 models. Among cold-season climate variables, the Pacific Decadal Oscillation (PDO) was most  
478 prevalent in invertebrate models, although moderately so, but this covariate had only moderate  
479 persistence in time-truncated models for just three species. The one climate variable that  
480 quantified local environmental conditions, sea bottom temperature (SBT), had particularly low  
481 prevalence values among all taxon groups. Taken together, these unremarkable climate results  
482 are in stark contrast to studies in temperate Northeast U.S. LME waters, where oscillatory  
483 climate patterns and directional ocean warming have been extensively documented to be causing



484 dramatic ecological shifts in multiple populations that are major players in the food web (Collie  
485 *et al.*, 2008; Araújo and Bundy, 2012; Nye *et al.*, 2014). In this era of dramatic climate shifts in  
486 more temperate ocean waters, temperature forcing may be inherently less impactful to long-term  
487 distribution and abundance trends of natural living resources within subtropical waters of the  
488 Southeast U.S. LME (Morley *et al.*, 2017).

489 Although no species had a strong magnitude of effect for the PDO, this teleconnection  
490 was moderately prevalent (14%) within invertebrate models. The PDO and SOI are related  
491 measures of the complex air-sea interactions characteristic of El Niño Southern Oscillation  
492 (ENSO) events in the tropical Pacific, which confer variability in atmospheric and ocean  
493 conditions across the globe including within the southeast U.S. Atlantic (Alexander *et al.*, 2002).  
494 The influence of PDO on precipitation, river discharge, and estuarine salinity regimes have been  
495 demonstrated for a coastal Georgia river (Sheldon and Burd, 2014) and the Chesapeake Bay (Xu  
496 *et al.*, 2012), but we are not aware of any studies linking the PDO to dynamics of living marine  
497 resources in the southeast U.S. Our results indicate that among cold-season climate indices, the  
498 PDO may be the best amalgamation of winter weather conditions. Morley *et al.* (2017) also show  
499 winter conditions to affect coastal invertebrate distribution and biomass in subsequent spring and  
500 summer seasons within the Southeast U.S. LME. The influence of the PDO-associated winter  
501 conditions on marine assemblages in the region deserves further investigation.

502 In the Northeast U.S. LME, taxonomic and abundance shifts in planktonic communities  
503 have been shown to respond to temperature-related changes induced by multi-decadal climate  
504 oscillations and directional ocean warming (Pershing *et al.*, 2005; Greene and Pershing, 2007;  
505 Morse *et al.*, 2017). Shifts in abundance and composition in lower trophic levels can have  
506 cascading effects for higher trophic level organisms, both big (Wishner *et al.*, 1995) and small  
507 (Beaugrand *et al.*, 2003). Bottom-up trophodynamic effects on fish populations in the Southeast  
508 U.S. LME have been studied (Weinstein *et al.*, 1981; Yoder, 1983; Govoni *et al.*, 2013).  
509 However, evaluating hypotheses regarding lower trophic effects on long-term trends in Southeast  
510 U.S. LME fish and invertebrate populations requires more robust planktonic data sets with  
511 temporal sampling regularity. We are not aware of any local *in situ* or regional satellite-based  
512 studies examining long-term changes in primary productivity, phytoplankton composition, or  
513 zooplankton within the ecosystem. Given the difficulties of accurately estimating remotely-  
514 sensed primary productivity in turbid coastal waters (Siegel *et al.*, 2013), *in situ* plankton

515 sampling concomitant with SEAMAP-SA demersal faunal sampling would provide higher  
516 quality data for investigating benthic-pelagic linkages and bottom-up effects.

### 517 *Migration phenology*

518 Sampling effort for the SEAMAP-SA coastal trawl survey is focused on the most biodiverse and  
519 biomass-rich areas of soft-bottom habitat within in the Southeast U.S. LME coastal ocean. The  
520 survey does not sample estuarine or offshore habitats that many nearshore species also utilize  
521 during certain seasons. To limit the potential bias in abundance estimates given this sampling  
522 limitation, we only investigated species with an acceptable level of uncertainty in annual  
523 abundance estimates and only in seasons where there were perennially consistent catches. Future  
524 investigations of this dataset could benefit from alternative model frameworks with the goal of  
525 reducing uncertainty in indices of abundance by accounting for spatial and temporal random  
526 effects (e.g., Shelton *et al.*, 2014; Thorson *et al.*, 2015).

527 Additionally, we recognize that only relative and not absolute indices of abundance can  
528 be derived from SEAMAP-SA and other similar datasets within specialized habitat zones. If our  
529 estimated relative abundance indices were generally biased, we would have expected higher  
530 prevalence of the local environmental covariate sea bottom temperature (SBT), which was  
531 included to detect any annual anomalies in migration phenology induced by seasonal temperature  
532 cues. Out of 71 species, SBT did not have high prevalence for any species group, nor was it  
533 persistent or have strong magnitude of effect for any species. This null result suggests that the  
534 SEAMAP-SA dataset may be largely robust to bias in abundance estimates stemming from  
535 timing differences in seasonal weather patterns. For populations with distributions soundly  
536 within two or more ecosystems (e.g., Southeast and Northeast U.S. LMEs), coordinating the  
537 sampling methods, timing, and coverage of fisheries surveys that are spatial neighbors should be  
538 further emphasized (Blanchard *et al.*, 2008).

### 539 *Conclusions*

540 Our results suggest that changes in trawling intensity for the penaeid shrimp fishery have been  
541 the most influential determining factor for multi-species patterns of change within the nearshore  
542 Southeast U.S. LME since 1990. Trawling effort and assumed bycatch was high early in the time  
543 series, dropped precipitously from 1999 to 2005, and plateaued at a relatively low level thereafter  
544 (Fig. S2). The period of most rapid change in community composition occurred during the same

545 time frame (Fig. 5), resulting in an overall increase in abundance for the majority of nearshore  
546 species. BRD implementation at the onset of this period of rapid change likely accelerated  
547 relative abundance rebounds for many of these species. Prevalence of trawling effort in species-  
548 specific DLM results lend support for fishing-induced shifts in overall community abundance  
549 and composition. Due to some level of fishing-induced restructuring apparent in the nearshore  
550 food web, we reiterate the call by Marancik and Hare (2007) for the establishment of long-term  
551 diet sampling programs in the Southeast U.S. LME; such programs have enabled informative  
552 multi-species modeling efforts in the Northeast U.S. Shelf LME (Link *et al.*, 2012) and North  
553 Pacific (Livingston *et al.*, 2017).

554 During the same time frame that shrimp trawling intensity was rapidly decreasing, the  
555 PDO and SOI underwent rapid but short-lived phase shifts (Mills and Walsh, 2013). The AMO  
556 also entered a positive phase around the year 2000 (Nye *et al.*, 2014), thus further adding to  
557 possible confounded effects of fishing and climate. Longer biological time series are needed to  
558 clarify the impacts of these low-frequency climate signals on fish abundance. In the interim, the  
559 simplest explanation is that direct anthropogenic impacts from fishing have exerted the most  
560 influence on this system.

#### 561 *Future directions*

562 Although we detected fishing, climate, and indirect trophodynamic effects for several species,  
563 the majority of species exhibited inconsistent or undetectable responses to climate and fishing  
564 covariates. The overall lack of explanatory power for any given species is likely a product of  
565 simultaneous and complex forcing from fishing, the physical environment, biological  
566 interactions, and density-dependent effects, often making it difficult to establish unequivocal  
567 linkages between changes in the physical environment and stock abundance (Ottersen *et al.*,  
568 2004; Rijnsdorp *et al.*, 2009; Megrey *et al.*, 2009; Deyle *et al.*, 2013). Investigating ecosystem  
569 linkages is made more challenging by incomplete life history and catch information. In the  
570 Southeast U.S. LME, future species-specific analyses investigating exogenous drivers would  
571 benefit from more complete age composition data, greater extent of diet characterization  
572 (especially for upper trophic level predators), and species-specific bycatch rates.

573 Specific to climate impacts, a more refined understanding is needed of how  
574 teleconnections influence local-scale oceanographic conditions relevant to populations in coastal  
575 waters of the Southeast U.S. LME (e.g., temperature, salinity, wind, and planktonic

576 productivity). Broad-scale climate indices amalgamate these local variables and thus have their  
577 advantages in ecological modeling (Stenseth *et al.*, 2003). However, organisms respond to  
578 conditions in their proximate environment at much finer temporal and spatial scales than annual  
579 climate indices can capture. As such, future climate-fisheries studies within the region should not  
580 only investigate correlations between large-scale climate effects and populations, but the effects  
581 of large-scale climate forcing on specific oceanographic conditions that in turn produce a  
582 detectable population-level effect.

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596

597 **CONFLICT OF INTEREST**

598 The authors have no conflict of interest to declare.

599

600 **AUTHOR CONTRIBUTIONS**

601 M.A.S. obtained data, designed project, conducted analyses, and wrote manuscript. G.M.N. and  
602 R.J.L. assisted with and supervised project design, analysis, and writing/editing of manuscript.

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911 **Table 1 references (for inclusion in reference manager bibliography above)**

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916 (Joyce, 2002)

917 (Bridgman and Oliver, 2006)

918 (NCDC, 2015)

919 (Mantua and Hare, 2002)

920 (SCO, 2015)

921 (CPC, 2015a)

922 (CPC, 2015b)

923 (Henderson and Vega, 1996)

924 (Leathers *et al.*, 1991)

925 (Kalnay *et al.*, 1996)

926 (Diem, 2013)

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1 **TABLES**

2 Table 1. Descriptions of climate covariates considered in dynamic linear models. Correlation  
 3 values ( $R$ , + or -) are for the period of 1988–2013. Time series for all climate covariates are  
 4 depicted in Fig. S2, except for Sea Bottom Temperature which is depicted in Fig. S3.

5

<b>Covariate</b>	<b>Calculation</b>	<b>Impacts to southeast U.S. Atlantic conditions</b>
Atlantic Multi-decadal Oscillation (AMO)	Area-weighted average of North Atlantic SST from 0–70°N latitude, detrended and unsmoothed (NCAR, 2013); average of monthly values during May–Sep	+ (–) phase: ↑ (↓) SST, ↑ (↓) precipitation during Aug – Oct, ↑ (↓) tropical cyclone activity (Enfield et al., 2001; Wang et al., 2008). Correlated with NAO (0.44,–) and PNA <sub>w</sub> (0.43,+).
North Atlantic Oscillation (NAO)	Principal component (PC)-based sea level pressure anomalies over the Atlantic sector (20–80°N, 90°W–40°E) (NCAR, 2015); average of monthly winter (Dec–Mar) values	+ (–) phase: ↑ (↓) temperatures (Joyce, 2002; Bridgman and Oliver, 2006); correlated with AMO (0.44,–)
Pacific Decadal Oscillation (PDO)	Statistical reconstruction of in situ SST in the North Pacific Ocean (NCDC, 2015); average of monthly winter (Dec–Mar) values	+ (–) phase: ↓ (↑) temperatures and possibly ↑ (↓) precipitation in winter (Mantua and Hare, 2002; SCO, 2015); correlated with PNA <sub>w</sub> (0.50,+) and SOI (0.61,–)
Pacific North-American Pattern, summer (PNA <sub>s</sub> ) and winter (PNA <sub>w</sub> )	Anomalies in the 500mb geopotential height field observed over the western and eastern U.S. (CPC, 2015a); average of monthly summer (PNA <sub>s</sub> ; May–Sep)	PNA <sub>s</sub> : ↑ (↓) warm-season precipitation (Henderson and Vega, 1996); correlated with WBHI (0.34,–) PNA <sub>w</sub> : + (–) phase: ↓ (↑) winter temperatures (Leathers et al., 1991; SCO, 2015); correlated with AMO (0.43,+), PDO

	and winter (PNA <sub>w</sub> ; Dec–Mar) values	(0.50,+) and SOI (0.50,–)
Southern Oscillation Index (SOI)	Normalized sea level pressure differences between Tahiti and Darwin, Australia (CPC, 2015b); average of monthly winter (Dec–Mar) values	+ (–) phase: La Niña (El Niño); ↑ (↓) temperatures and ↓ (↑) precipitation in winter (Joyce, 2002); correlated with PDO (0.57,–) and PNA <sub>w</sub> (0.50,–). Driven by sea surface temperature (Bridgman and Oliver, 2006).
Western Bermuda High Index (WBHI)	Pressure differences (850-hPa heights) between the Blake Plateau (30°N, 75°W) and New Orleans (30°N, 92°W) (Kalnay et al., 1996); average of monthly values during May–Sep (see Supporting Information)	+ (–) phase: ↑ (↓) summer precipitation, similar to the better known Bermuda High Index (BHI) (Henderson and Vega, 1996; Diem, 2013); correlated with PNA <sub>s</sub> (0.34,–)
Sea Bottom Temperature (SBT) anomaly	SEAMAP-SA tow-level data; annual average of z-scored anomalies for each combination of season and sub-region combination (see Supporting Information)	Proxy for temporal changes in availability of species due to variation in temperature-induced seasonal or extreme event migration patterns

7 Table 2. Variance parameterizations for each unique combination of covariates. Process error variances for covariates were specified  
 8 on the diagonal of the  $\mathbf{Q}$  matrix (see Eq. 2). Observation error variance  $r$  (see Eq. 1) was either estimated by dynamic linear modeling  
 9 or fixed at the average annual coefficient of variation estimated from GLM bootstrapping.

<b>No. of covariates</b>	<b>Q options</b>	<b><math>r</math> options</b>	<b>Model set size</b>
Zero (intercept-only model)	1) Intercept $\mathbf{Q}$ estimated	1) estimated, 2) fixed	2
One (1 climate or 1 fishing)	1) Covariate $\mathbf{Q}$ estimated	1) estimated, 2) fixed	3
Two (1 climate and 1 fishing)	1) Covariate $\mathbf{Q}$ 's estimated, assumed equal 2) Covariate $\mathbf{Q}$ 's estimated, assumed unequal	1) estimated, 2) fixed	4

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11 Table 3. Species for which climate and fishing effects were investigated using dynamic linear  
 12 models. Species for three genera (*Eucinostomus*, *Stenotomus*, *Doryteuthis*) were grouped for  
 13 analysis due to difficulties of rapid on-board survey taxonomic identification. Species  
 14 identification numbers are referenced in Table 4.

	Latin name	Common name		Latin name	Common name
	Bony fishes			Bony fishes cont'd	
1	<i>Ancylopsetta ommata</i>	Ocellated flounder	38	<i>Sphyræna guachancho</i>	Guaguanche
2	<i>Bairdiella chrysoura</i>	Silver perch	39	<i>Stellifer lanceolatus</i>	Star drum
3	<i>Centropristis philadelphica</i>	Rock sea bass	40	<i>Stenotomus</i> spp.	Scup / Longspine porgy
4	<i>Centropristis striata</i>	Black sea bass	41	<i>Stephanolepis hispidus</i>	Planehead filefish
5	<i>Chaetodipterus faber</i>	Atlantic spadefish	42	<i>Syacium papillosum</i>	Dusky flounder
6	<i>Chilomycterus schoepfii</i>	Striped burrfish	43	<i>Symphurus plagiusa</i>	Blackcheek tonguefish
7	<i>Chloroscombrus chrysurus</i>	Atlantic bumper	44	<i>Synodus foetens</i>	Inshore lizardfish
8	<i>Citharichthys macrops</i>	Spotted whiff	45	<i>Trachinotus carolinus</i>	Florida pompano
9	<i>Citharichthys spilopterus</i>	Bay whiff	46	<i>Trichiurus lepturus</i>	Atlantic cutlassfish
10	<i>Cynoscion nothus</i>	Silver seatrout	47	<i>Trinectes maculatus</i>	Hogchoker
11	<i>Diplectrum formosum</i>	Sand perch	48	<i>Urophycis floridana</i>	Southern hake
12	<i>Echeneis naucrates</i>	Sharksucker		Elasmobranchs	
13	<i>Etropus crossotus</i>	Fringed flounder	49	<i>Dasyatis sabina</i>	Atlantic stingray
14	<i>Etropus cyclosquamis</i>	Shelf flounder	50	<i>Dasyatis say</i>	Bluntnose stingray
15	<i>Eucinostomus</i> spp.	Mojarras	51	<i>Gymnura micrura</i>	Smooth butterfly ray
16	<i>Lagodon rhomboides</i>	Pinfish	52	<i>Mustelus canis</i>	Smooth dogfish
17	<i>Larimus fasciatus</i>	Banded drum	53	<i>Raja eglanteria</i>	Clearnose skate
18	<i>Leiostomus xanthurus</i>	Spot	54	<i>Rhinoptera bonasus</i>	Cownose ray
19	<i>Menticirrhus americanus</i>	Southern kingfish	55	<i>Rhizoprionodon terraenovae</i>	Atlantic sharpnose shark
20	<i>Menticirrhus littoralis</i>	Gulf kingfish			
21	<i>Micropogonias undulatus</i>	Atlantic croaker	56	<i>Sphyrna tiburo</i>	Bonnethead shark
22	<i>Opisthonema oglinum</i>	Atlantic thread herring		Invertebrates	
23	<i>Orthopristis chrysoptera</i>	Pigfish	57	<i>Arenaeus cribrarius</i>	Speckled swimming crab
24	<i>Paralichthys albigutta</i>	Gulf flounder	58	<i>Callinectes ornatus</i>	Ornate blue crab
25	<i>Paralichthys dentatus</i>	Summer flounder	59	<i>Callinectes sapidus</i>	Blue crab
26	<i>Paralichthys lethostigma</i>	Southern flounder	60	<i>Callinectes similis</i>	Lesser blue crab
27	<i>Peprilus paru</i>	Harvestfish	61	<i>Doryteuthis</i> spp.	Inshore squids
28	<i>Peprilus triacanthus</i>	Butterfish	62	<i>Hepatus epheliticus</i>	Calico box crab
29	<i>Pomatomus saltatrix</i>	Bluefish	63	<i>Litopenaeus setiferus</i>	Northern white shrimp
30	<i>Prionotus carolinus</i>	Northern searobin	64	<i>Lolliguncula brevis</i>	Atlantic brief squid
31	<i>Prionotus evolans</i>	Striped searobin	65	<i>Ovalipes ocellatus</i>	Lady crab
32	<i>Prionotus tribulus</i>	Bighead searobin	66	<i>Ovalipes stephensoni</i>	Coarsehand lady crab
33	<i>Sardinella aurita</i>	Spanish sardine	67	<i>Pagurus pollicaris</i>	Flatclaw hermit crab

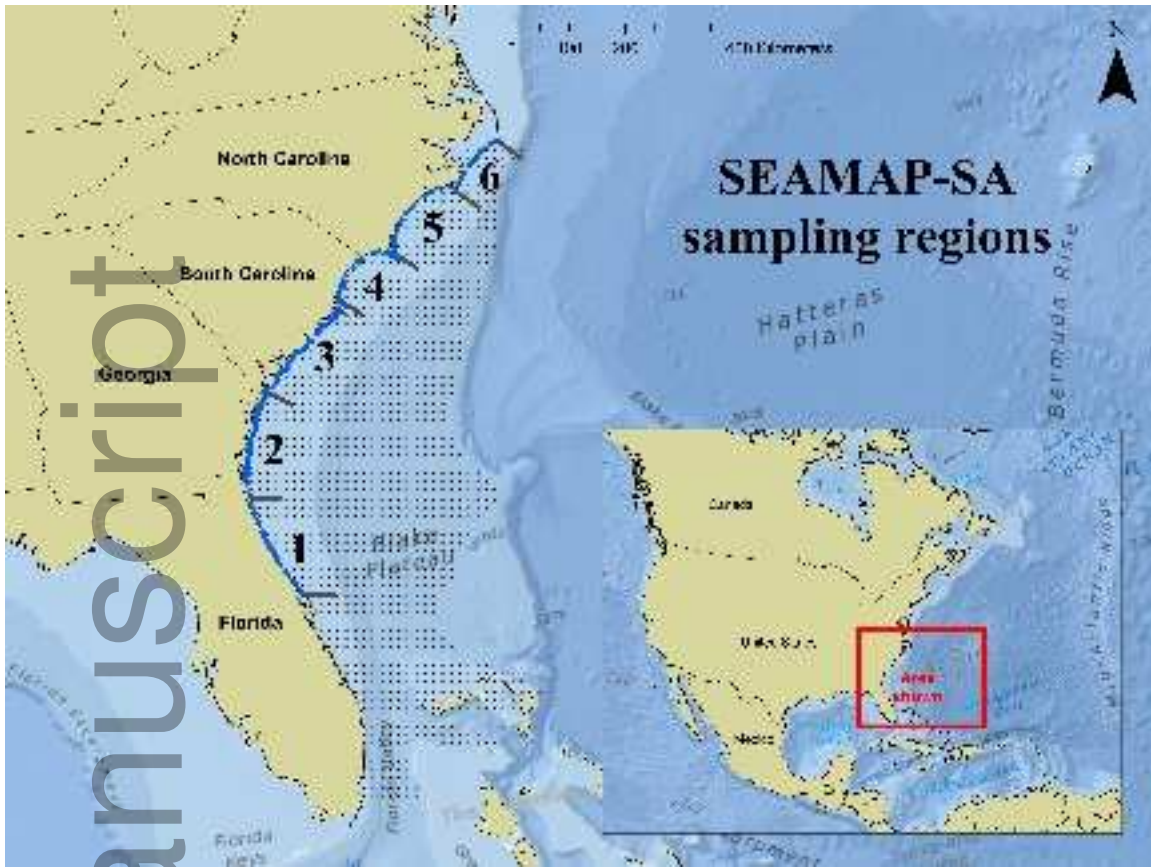
34	<i>Scomberomorus cavalla</i>	King mackerel	68	<i>Persephona mediterranea</i>	Mottled purse crab
35	<i>Scomberomorus maculatus</i>	Spanish mackerel	69	<i>Portunus gibbesii</i>	Iridescent swimming crab
36	<i>Scophthalmus aquosus</i>	Windowpane	70	<i>Portunus spinimanus</i>	Blotched swimming crab
37	<i>Selene setapinnis</i>	Atlantic moonfish	71	<i>Squilla empusa</i>	Mantis shrimp

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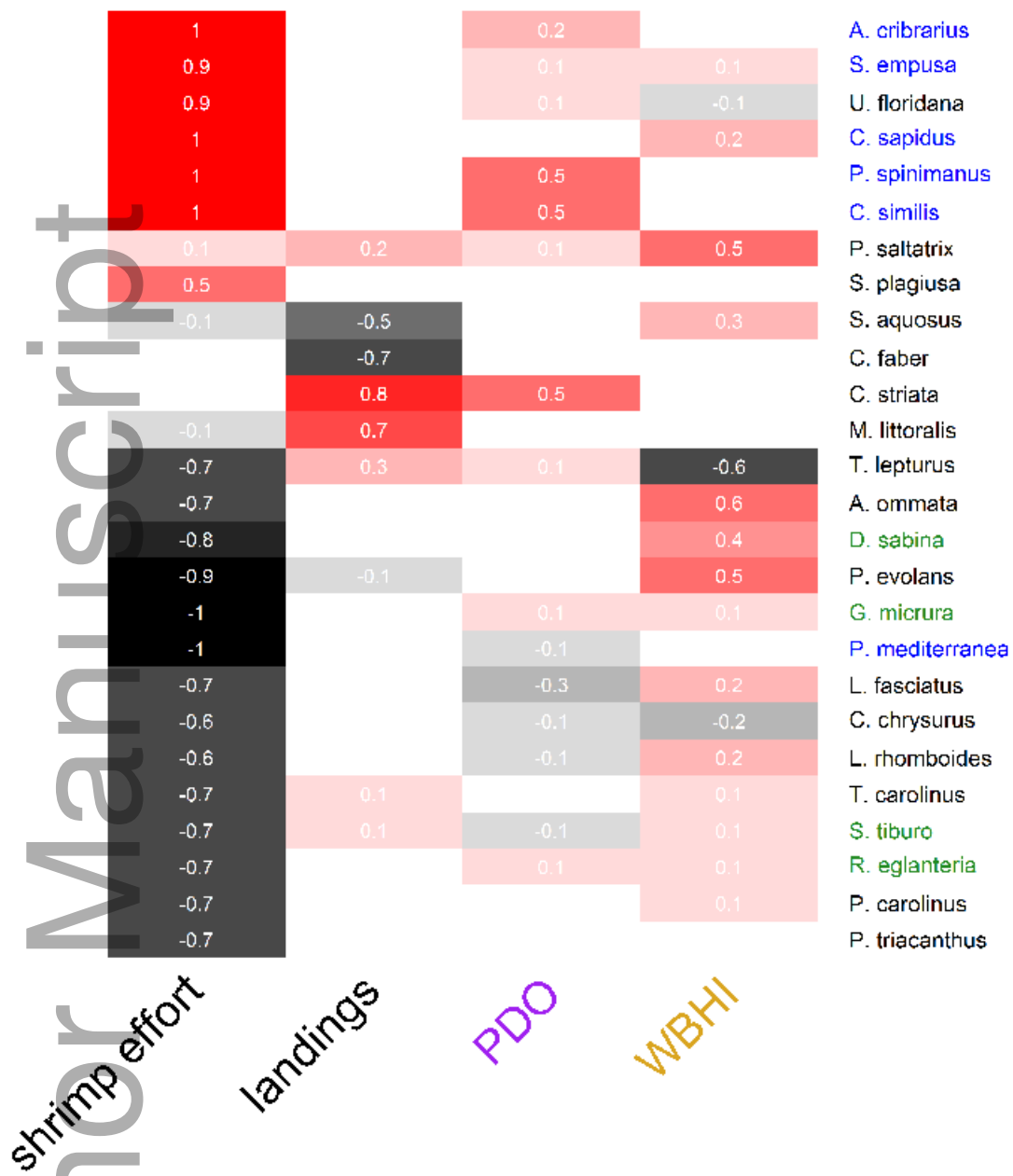
15 Table 4. Prevalence  $\Phi$  (Eq. 5) of climate and fishing covariates in time-truncated dynamic linear models, and species with at least 50%  
 16 persistence  $\varphi$  (Eq. 4) for a given covariate. Species identification numbers referenced for persistence are specified in Table 3.  
 17 Landings and total fishing mortality information were available for 35 and 9 species, respectively. Prevalence percentages are  
 18 calculated separately for all species ('All'), bony fishes (BF), elasmobranchs (E), and invertebrates (I). Species with persistence  
 19  $\varphi \geq 75\%$  are bolded and those with  $\varphi = 100\%$  are also asterisked.

Type	Covariate	No. of species	Prevalence $\Phi$				Species with persistence $\varphi \geq 0.5$ (numbers refer to species in Table 3)
			All	BF	E	I	
Warm	WBHI	71	10%	11%	10%	8%	1, 29, 46
	PNA <sub>s</sub>	71	8%	8%	8%	9%	5, 6, 62
	AMO	71	5%	5%	5%	4%	
	SBT	71	4%	5%	3%	4%	
Cold	PDO	71	9%	8%	8%	14%	4
	SOI	71	7%	6%	5%	9%	30
	PNA <sub>w</sub>	71	7%	7%	6%	7%	28
	NAO	71	4%	5%	1%	3%	
Fishing	Shrimp fishery effort	71	30%	26%	44%	35%	BF: 1, 6, <b>7, 12</b> , 16, 17, 21, <b>28, 30, 31, 37</b> , 43, 45, 46, <b>48</b> E: <b>49, 50, 51, 52</b> , 53, 56 I: <b>57*</b> , <b>59, 60*</b> , <b>68, 70, 71</b>
	Landings	35	19%	22%	5%	11%	<b>4, 5</b> , 20, 36
	Total F	9	10%	10%	12%		
	Intercept	None	71	4%	4%	3%	4%

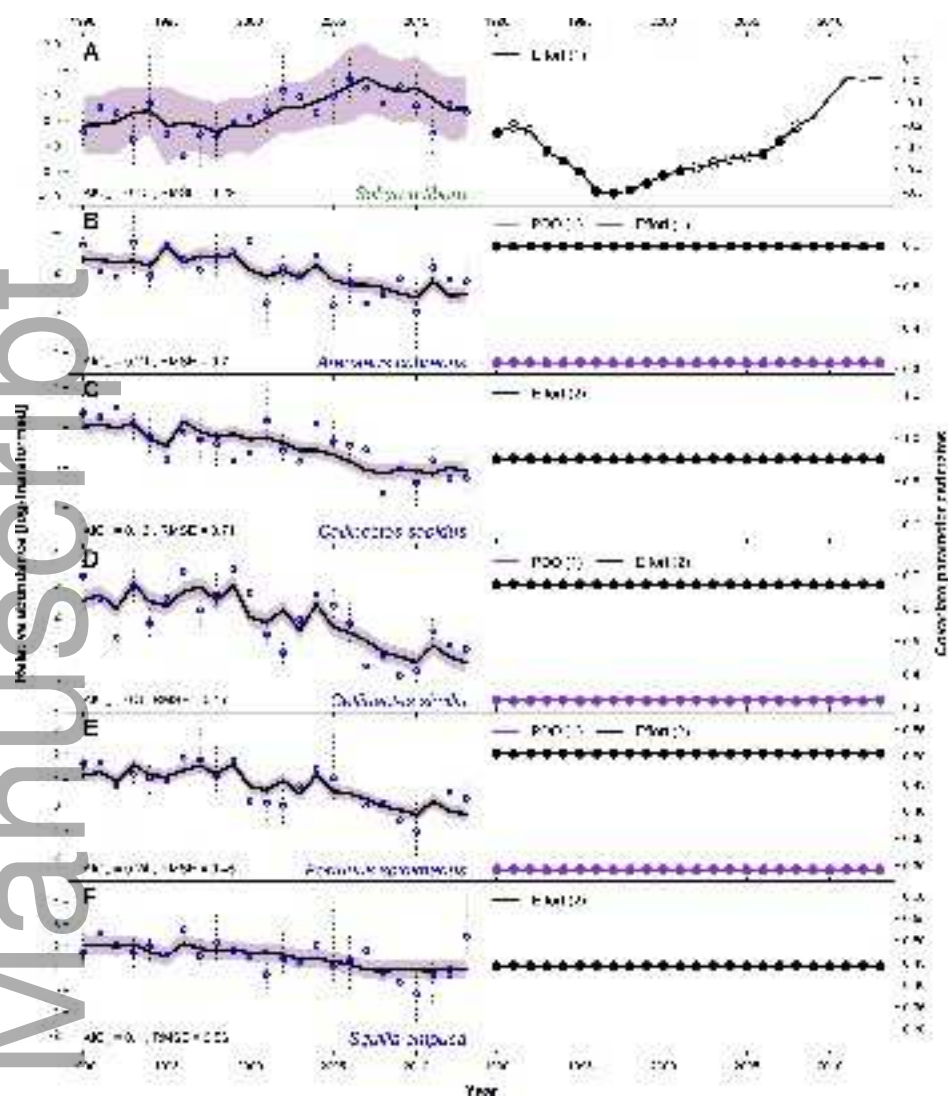


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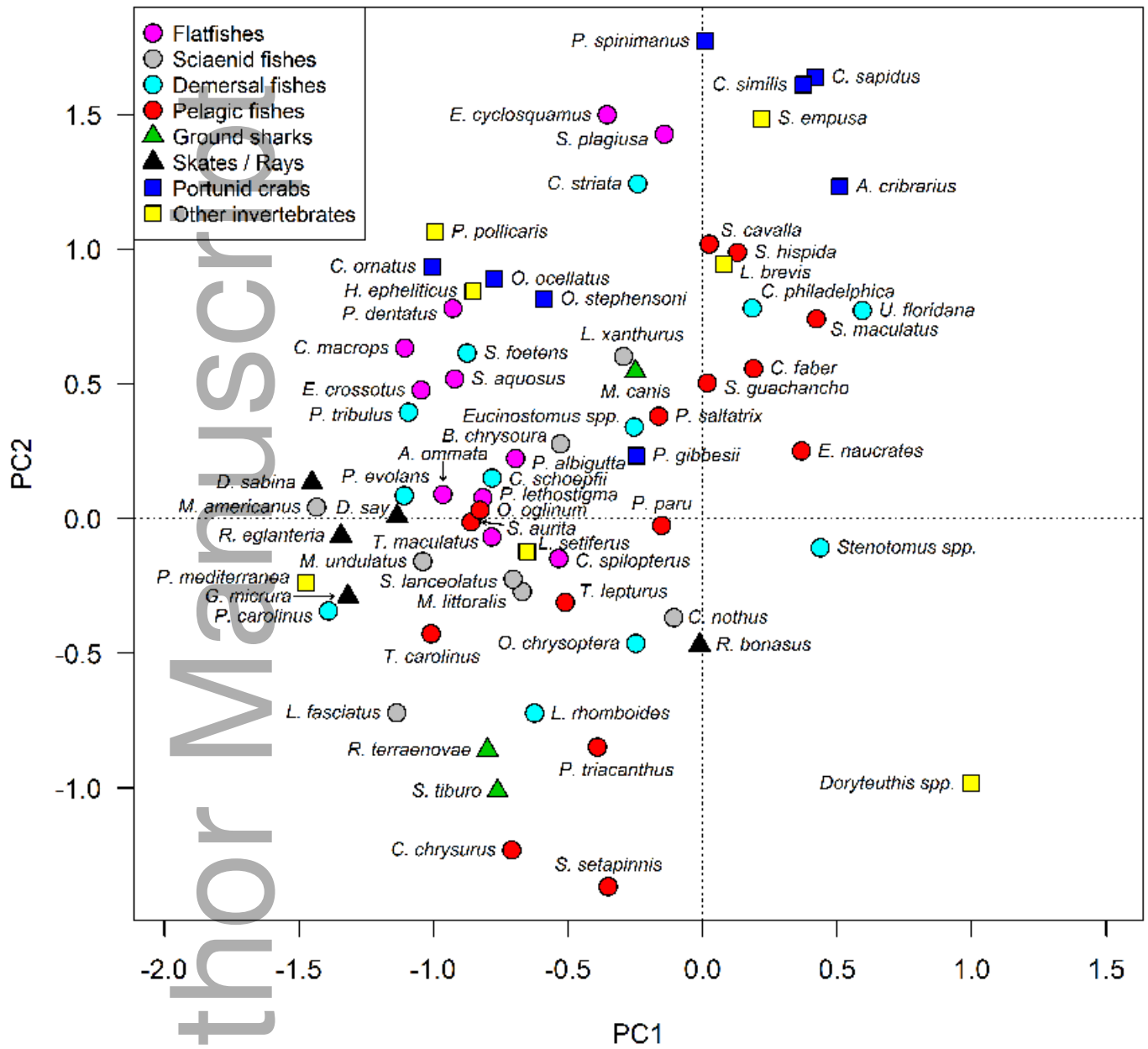




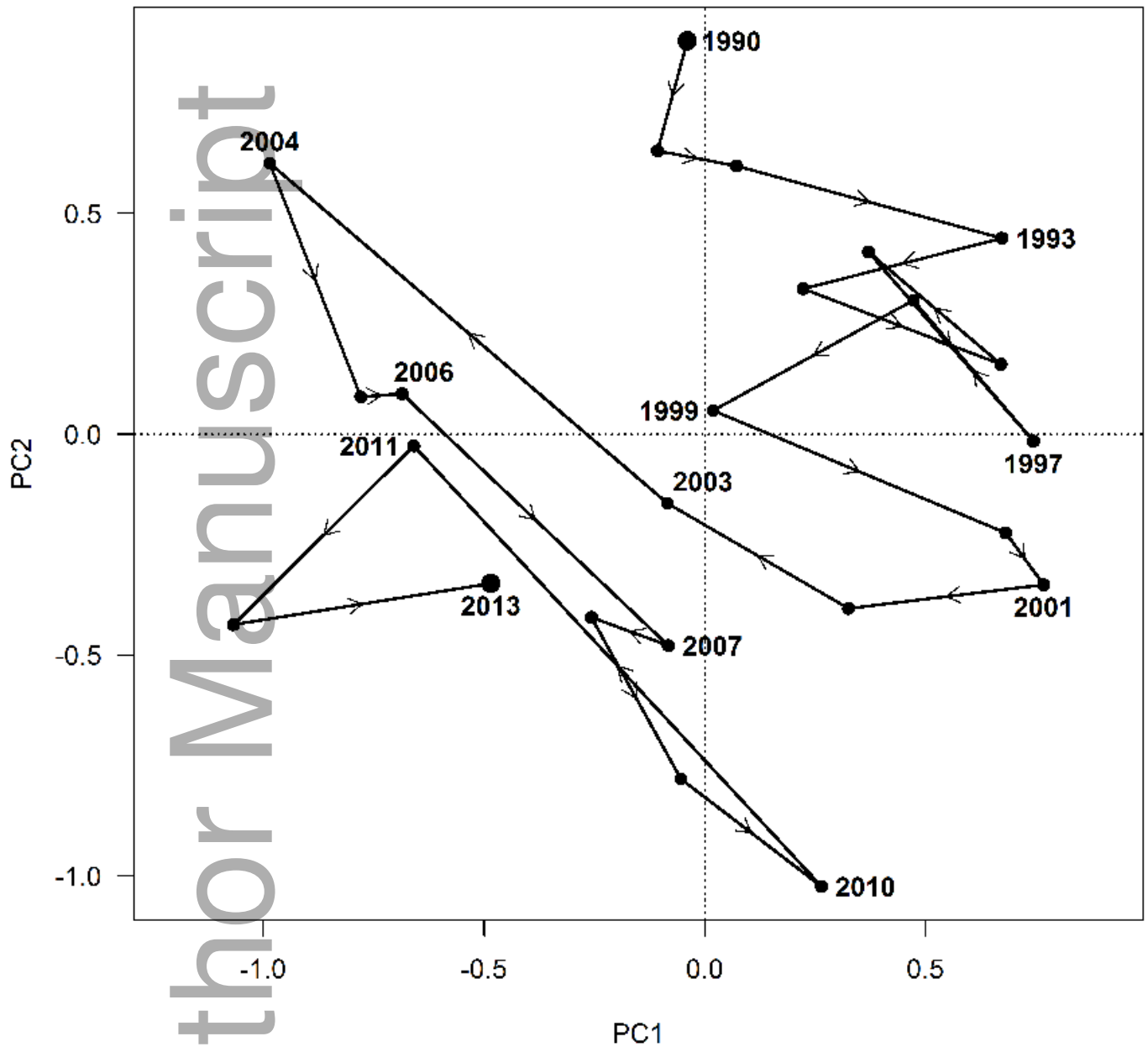
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