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- 4 Authors and Affiliations:
- 5 Molly Morse^{a*} (mollymorse@ucsb.edu), Douglas McCauley^{b,c*} (dmccauley@ucsb.edu), Sara
- 6 Orofino^{d,f*} (saraorofino@ucsb.edu), Keenan Stears^{c,e} (keenanstears@ucsb.edu), Samantha
- 7 Mladjov^b (samanthamladjov@ucsb.edu), Jenn Caselle^b (caselle@ucsb.edu), Tyler Clavelle^f
- 8 (tyler@globalfishingwatch.org), Ryan Freedman^g (ryan.m.freedman@noaa.gov)
- ^aBenioff Ocean Science Laboratory, Marine Science Institute, University of California, Santa
- 11 Barbara, California, USA 93106
- 12 ^bMarine Science Institute, University of California, Santa Barbara, California, USA 93106
- 13 ^cEcology, Evolution, and Marine Biology Department, University of California, Santa Barbara,
- 14 California, USA 93106
- 15 ^dEnvironmental Market Solutions Lab, Marine Science Institute, University of California, Santa
- 16 Barbara, California, USA 93106
- ^eDepartment of Biology, University of North Dakota, Grand Forks, North Dakota, USA 58202
- 18 ^fGlobal Fishing Watch, Washington, DC, USA 20036
- 19 ^gChannel Islands National Marine Sanctuary, National Oceanic and Atmospheric Administration,
- 20 University of California, Santa Barbara, Santa Barbara, California, USA 93106
- 21 *authors contributed equally
- 22
- 23
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- 26
- 27
- 28 Corresponding Author:
- 29 Molly Morse
- 30 mollymorse@ucsb.edu
- 31 UC Santa Barbara
- 32 Marine Science Institute
- 33 Benioff Ocean Science Laboratory
- 34 Bldg 520 Room 4001, Fl 4L
- 35 Santa Barbara, CA 93106-6150
- 36

38 Abstract

39

40 Extensive research has illuminated the diverse values of marine protected areas (MPAs). 41 including protecting biodiversity, promoting climate change resilience, and enhancing spillover 42 to fisheries. Comparatively less attention has been given to if and how MPAs can benefit and 43 influence marine ecotourism. Here we use Automatic Identification System (AIS) vessel data to 44 create a long-term, high-resolution portrait of how MPAs shape the behavior of one prominent 45 form of marine ecotourism: scuba diving. Specifically, we explore how the spatial use patterns of 46 scuba diving vessels are affected by MPAs in California's Northern Channel Islands when these 47 vessels are engaged in two use scenarios: 1) non-extractive ecotourism diving (e.g., wildlife 48 viewing, photography) and 2) recreational scuba-based lobster fishing. Using analyses of AIS 49 data and resource selection models, coupled with insights from vessel operator surveys, we find 50 that scuba diving vessels preferentially selected for MPAs when engaged in ecotourism 51 activities, and for MPA buffer zones when engaged in lobster fishing (i.e., "fishing the line"). 52 These conclusions provide strong evidence of the benefit of MPAs for the scuba diving industry 53 in Southern California and highlight the value of engaging the ecotourism industry in MPA 54 management decisions. This observation is especially timely as state, national, and international 55 bodies advance on commitments to protect 30% of coastal waters in the coming years. 56 57 Keywords: Marine protected areas, scuba diving, ecotourism, lobster, automatic identification 58 system, Channel Islands 59 60 61 1. Introduction 62 63 In the last few decades, extensive research has illuminated the diverse values of marine 64 protected areas (MPAs). These include but are not limited to contributions to biodiversity and 65 ecosystem health [1–4], climate change resilience [5], and fisheries spillover [6–8]. 66 Comparatively less attention has been given to how MPAs can benefit and influence marine 67 ecotourism, which not only provides significant income to local economies, but also offers 68 meaningful benefits to human wellbeing and creates incentives for ecosystem-based 69 management and conservation [9,10]. Quantitative research on how MPAs shape fine-scale 70 spatial decision making of ecotourism businesses is even more rare. 71 72 One prominent form of marine ecotourism that can benefit from the positive effects of MPAs, as 73 well as potentially impact the performance of MPAs, is scuba diving [11]. Scuba divers may be 74 attracted to MPAs because of many of the commonly documented benefits that MPAs offer, 75 including greater biodiversity and more and larger organisms [12]. The attraction of divers may 76 be related to the pursuit of non-extractive activities such as underwater photography or 77 extractive activities such as spearfishing or collecting. While strict no-take marine reserves 78 generally forbid all forms of fishing, many MPAs allow some extractive activities within their 79 boundaries [13,14]. In addition, as biomass builds up inside MPAs, individuals are expected to

spillover into fished areas [7,15]. Previous work has documented increased fishing effort on the

81 boundaries of MPAs ("fishing the line") [16–18] as well as greater catches of trophy sized fishes

- near MPAs [19,20], but to the authors' knowledge limited other studies have documented this
 behavior for recreational fishing with scuba [21].
- 84

85 Past considerations about the establishment of new MPAs or changes to existing MPA 86 management have focused primarily on engagement with fishers (large- and small-scale) and 87 evaluations of how this management tool affects fishing. Ocean ecotourism, however, is a fast 88 growing sector of coastal economies with a significant stake in the health of coastal biodiversity 89 and the future of coastal planning. Marine and coastal ecotourism is one of the largest sectors in 90 the ocean economy and alone constitutes 50% of all global tourism, equal to \$4.6 trillion [22]. Between 8.9-13.6 million marine diving tourists support 124,000 jobs worldwide, global annual 91 92 revenue is between \$0.9-3.2 billion per year, and the broader economic impact is between \$8.5-93 20.4 billion per year [23]. In California, marine and coastal tourism contributes approximately 94 \$26 billion in gross domestic product to the state's economy each year [24]. Based on surveys 95 of 17 for-hire scuba diving vessel operators in Southern California, Guerra et al. [25] estimated 96 55,280 for-hire vessel diver days per year. Given the important role of the scuba sector in the 97 blue economic portfolio of small and large coastal communities, it is important to understand 98 how this stakeholder community relates to and is influenced by MPAs. 99 100 To elucidate the decision-making patterns by for-hire scuba diving vessels, this study leveraged 101 insight from Automatic Identification System (AIS), an onboard vessel broadcast system that 102 shares high-resolution vessel location and behavioral information [26]. Relationships between 103 for-hire vessels and MPAs were investigated in California's four Northern Channel Islands -104 Anacapa, Santa Cruz, Santa Rosa, and San Miguel – a popular dive destination that hosts a 105 mosaic of protected and fished marine space. At the federal level, the National Oceanic and 106 Atmospheric Administration (NOAA) manages the Channel Islands National Marine Sanctuary, 107 which encompasses 1470 square miles of ocean waters up to six nautical miles offshore of the 108 Northern Channel Islands, plus Santa Barbara Island further to the south. Landside of these five 109 islands, the National Park Service oversees the Channel Islands National Park. At the state 110 level, the California Department of Fish and Wildlife has management authority over the state 111 marine waters including 12 MPAs (10 no-take state marine reserves and two partial-take state

- 112 marine conservation areas). Several of these MPAs also extend into federal waters (**Figure 1**).
- 113

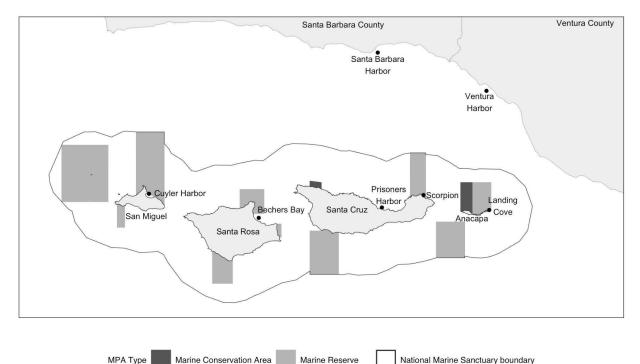




Figure 1. Map of the four focal Northern Channel Islands in this study indicating the boundaries
 of the National Marine Sanctuary and the marine protected areas, which are classified as
 marine conservation areas (MCAs; permit limited harvest including lobster) and marine reserves

- 118 (MRs; fully no-take areas).
- 119

120 Touted as the "Galapagos of North America", the diversity and abundance of marine life make 121 the Channel Islands a globally popular destination for ecotourism activities. The islands' position 122 at the confluence of two major ocean currents supports remarkable biodiversity and productivity, 123 is home to endangered species and sensitive habitats, and hosts important commercial and 124 recreational fisheries. Divers are particularly attracted to the complex habitat structure offered 125 by towering kelp forests and rocky reefs, and charismatic megafauna such as giant sea bass 126 and sea lions (Figure 2). The MPA network across the northern islands, interspersed between 127 non-MPA zones that yet are encompassed by the Marine Sanctuary, and their relative proximity 128 to populous and active harbors (Santa Barbara, Ventura, and Oxnard) makes this context 129 particularly well-suited for exploring the contrast in use between the protected and fished areas

- 130 by the scuba community.
- 131

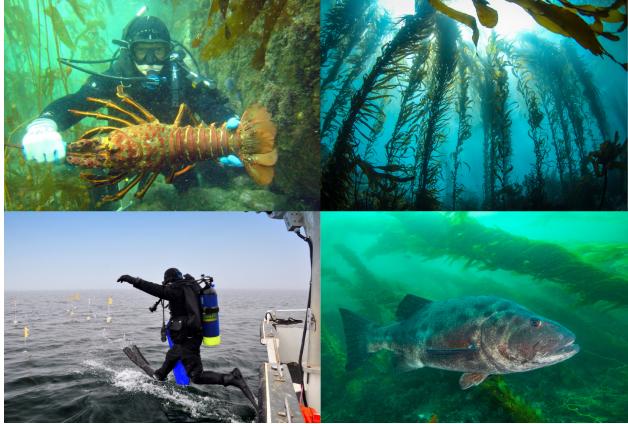


Figure 2. The Channel Islands in California are a biodiversity hotspot and a globally popular destination for ecotourism. Top left: Scuba diver holds a California spiny lobster (*Panulirus interruptus*) near Anacapa Island (Derek Stein¹). Top right: Giant kelp (*Macrocystis pyrifera*) tower through the water column (iStock). Bottom left: Scuba diver enters the water from a dive boat (Wallpaper Flare). Bottom right: Giant sea bass (*Stereolepis gigas*), a fish highly sought after by scuba divers in the Channel Islands (Douglas Klug).

139

140 Recreational scuba-based lobster fishing contributes an estimated \$37 million to the California 141 economy annually and is one the most popular and economically important recreational scuba-142 based fishing activities in the Channel Islands [27,28]. This is true in other regions; in Monroe 143 County, Florida, the recreational lobster fishery contributed \$8 million to the local economy in 144 2001 [29]. In California, approximately 21,521 lobsters on average were recreationally taken 145 each year via scuba from 2016-2022 (Supplemental Figure 1; pers. comm., California 146 Department of Fish and Wildlife). Recreational take of lobster is permitted in two marine 147 conservation areas in the Northern Channel Islands, but not in the marine reserves, and a 148 common practice in the region is to fish along the border of reserves ("fishing the line") to take 149 advantage of spillover [6,8,21].

150

¹ Photo by Derek Stein, California Department of Fish and Wildlife. "Kai Lampson, CDFW biologist, catches and releases giant California spiny lobster at Anacapa Island." Creative Commons 2.0, <u>https://creativecommons.org/licenses/by/2.0/</u>

151 In order to quantitatively evaluate whether the behavior of scuba divers revealed any evidence 152 for deriving value from the enhanced non-extractive wildlife viewing opportunities and spillover 153 of recreationally-caught species associated with the Northern Channel Islands MPAs, AIS data 154 from for-hire scuba diving vessels was used to answer two questions: 1) What preferences do 155 for-hire scuba vessels exhibit for MPAs when largely engaged in non-extractive underwater 156 marine ecotourism? 2) What preferences do they exhibit when these vessels are largely 157 engaged in recreational scuba-based fishing? To investigate scuba-based fishing, the analysis 158 focused specifically on the recreational California spiny lobster (Panulirus interruptus) season. 159 These patterns of activity of for-hire scuba diving vessels around the Northern Channel Islands 160 can offer unique insight into the relationship between the recreational scuba diving industry and 161 MPAs. Such associations are germane for conversations about the future of MPAs in California, 162 as well as in global context where the world has recently formalized a commitment to ensure the 163 conservation and management of at least 30% of coastal and marine areas by 2030 [30]. 164

- 165
- 166 2. Methods
- 167
- 168 2.1 Study Area 169
- 170 This study examines spatial patterns of for-hire scuba diving vessels operating in the waters 171 surrounding the four Northern Channel Islands: Anacapa, Santa Cruz, Santa Rosa, and San 172 Miguel (Figure 1). The Channel Islands is an archipelago of eight islands located in the 173 Southern California Bight in the Pacific Ocean off the coast of California. In 2003, the California 174 Fish and Game Commission designated a network of MPAs in state waters, and in 2006 and 175 2007 NOAA extended these MPAs into the federal National Marine Sanctuary waters [31]. Two 176 main types of marine protected areas are utilized in the Channel Islands region across state and 177 federal waters: marine reserves (MRs) prohibit take of any marine resource except by scientific 178 permit. Marine conservation areas (MCAs) are less restrictive and prohibit take of any marine 179 resources except by authorized scientific, commercial, and recreational purposes that do not 180 compromise protection of the species of interest, natural community, habitat, or geological 181 features. The two Northern Channel Islands MCAs (Painted Cave MCA and Anacapa Island 182 MCA) allow recreational take of spiny lobster and pelagic finfish; the Anacapa Island MCA also 183 allows commercial take of spiny lobster. Today, there are 13 MRs and 10 MCAs spread 184 throughout all eight Channel Islands in state and federal waters, and 10 MRs and 2 MCAs in the 185 four focal islands of this study. The MPAs in the region of study encompass a combined area of 186 258 square miles, leaving the remaining areas open to consumptive recreational and 187 commercial activities as otherwise regulated by federal and state agencies [32]. 188 189 2.2 Recreational Scuba Diving Industry
- 190

191 This study focused exclusively on analyzing the behavior of vessels that offer for-hire individual 192 and group recreational scuba diving as a proxy for the overall recreational scuba diving activity 193 in the Northern Channel Islands. This analysis does not directly consider the patterns of scuba 194 divers operating from private small boats or shore diving. To understand patterns of use by

195 these for-hire scuba diving vessels, a comprehensive list of 44 vessels in Southern and Central 196 California was developed (Supplemental Table 1). After paring down the list to active for-hire 197 scuba vessels, this list was matched by vessel name with the Global Fishing Watch (GFW) AIS 198 database to identify vessels with available AIS data (vessels < 65 ft in length are not required to 199 carry an AIS device). Possible matches from the GFW vessel database were identified for 13 200 vessels. These matches were cross-referenced in MarineTraffic using the Mobile Maritime 201 Service Identities (MMSIs) and the current port, vessel type, and voyage information were used 202 to verify the vessels were likely the same. Filtering these vessels for those that visit the Northern 203 Channel Islands vielded a list of 10 vessels that were included in the analysis. This study 204 presents data in aggregate to respect the privacy of these small business vessel operators. 205

To partially ground-truth the observations about vessel activity based on the AIS data, an indepth survey for vessel operators and captains was developed and deployed. In total, owners and captains of six of the ten vessels responded to the survey; all of these vessels take regular trips to the Northern Channel Islands. Similarly, the names and responses from these surveys are kept confidential to respect the privacy of these operators. The survey results were used to inform the analysis of the AIS data (see below), but no further analysis was conducted on the responses due to confidentiality and small sample size.

213

214 The vessel operator survey included 20 questions covering subjects such as trip schedules, site 215 preferences, perceptions of MPAs, and recreational harvest of wildlife (Supplementary 216 Materials). Responses from this survey effort helped to inform how diving activity was defined 217 (see below) as well as how other facets of this analysis were structured. The surveys revealed 218 that the vessel operators interviewed were evenly split between those who run solely day trips 219 and those that run overnight trips. There was a wide range in the number of recreational 220 harvesting trips that operators take per year, ranging from one trip per year (on the lobster 221 season opener date) to 50% of all trips. The primary target for recreational scuba harvest on 222 their vessels was for California spiny lobster; some operators also reported that customers 223 harvest rock scallop (Crassadoma gigantea), Kellet's whelk (Kelletia kelletii), sea cucumber 224 (Parastichopus spp.), yellowtail (Seriola dorsalis), white sea bass (Atractoscion nobilis), 225 California halibut (Paralichthys californicus), calico bass (Paralabrax clathratus), and California 226 sheephead (Semicossyphus pulcher).

227

228 2.3 Defining Diving Activity

229

230 AIS data from Spire Global Inc. was cleaned and processed by GFW using the methods 231 described in Kroodsma et al. [33]. 1.7M data points were obtained for 10 dive vessels from 232 2016-2022 to identify the locations of potential dive sites in the Northern Channel Islands. A 233 high resolution grid of the study area (0.001 x 0.001 degree cells) was created and all cells 234 where at least one vessel remained stationary (defined as moving slower than 1 knot) for a 235 minimum of 1.5 hours were identified. All grid cells meeting this criteria were considered "dive 236 sites". The 1.5 hour threshold was based on survey results, in which operators were asked how 237 long they typically spend at a given dive site for a single dive. Any data points that were more 238 than 10 km from the nearest shoreline were also removed.

- 239
- Each potential dive site was then classified as being inside, within a buffer, or outside a MPA.
- 241 MPA shapefiles were obtained from the California Department of Fish and Wildlife [34], which
- classifies protected areas as either MRs or MCAs. For each scenario, a 500 m buffer around the
- 243 MPAs was created to capture diving activities that might be occurring along the edges of an
- 244 MPA, such as "fishing the line" for lobster.
- 245

246 Next the AIS data were used to estimate diving activity. A single "dive event" was any instance 247 where a dive vessel remained stationary at a given dive site for at least 1.5 hours. The total 248 number of dive events at each site for all vessels from 2016-2022 were then used to classify the 249 dive sites into high, medium, and low frequency. Cutoffs for the number of dive events in each 250 frequency category were based on the distribution of dive events per site. High frequency sites 251 had more than three dive events per site (top 18% of sites aggregated across all vessels and 252 years); there were 255 high frequency sites. Sites with two or three dive events were considered 253 medium frequency (351 sites, 24%). The remaining 834 sites (58%) had only a single dive event 254 and were classified as low frequency (Supplemental Figure 2).

255

256 Finally, two scenarios were developed to enable comparison of MPA usage across dive events 257 with different objectives that may influence the way vessels behave with respect to MPAs. The 258 first scenario was defined to largely encompass non-extractive ecotourism dive activity (e.g., 259 underwater wildlife viewing, underwater photography; referred to throughout as the "ecotourism 260 scenario"), and the second focuses on recreational scuba-based fishing activity, for lobster in 261 particular (referred to throughout as the "lobster scenario"). For each scenario, a set of criteria 262 observable in the AIS data were developed to characterize dive vessel behavior typically 263 associated with each objective. Insights from interviews were incorporated to further define 264 these two scenarios.

265

266 Ecotourism scenario dive events were defined as the subset of the complete AIS-derived dive 267 dataset that met all of the following criteria: A) Occurred during the day (the first AIS timestamp at the site was between 6 am and 6 pm; 78% of all AIS-derived dive activity occurs during the 268 269 day); B) Duration was between 1.5 and 5 hours (survey results suggest that vessels typically 270 spend 1.5 hours at a given dive site; 5 hours should allow for some circumstances where 271 vessels dive the same site twice); C) Excluded dive events that occurred during October to 272 reduce the number of potential lobster fishing trips (October is the first month of lobster season which accounted for approximately 50% of the total seasonal lobster catch via scuba diving from 273 274 2016-2022; Supplemental Figure 3). In this scenario, both MRs and MCAs were combined into 275 a broader MPA classification. As defined, the ecotourism scenario does not wholly exclude 276 harvesting activities (e.g., spearfishing, lobster fishing) that can occur in a mixed fashion on 277 these vessels.

278

279 The lobster scenario was defined as the subset of the complete AIS-derived dive dataset that

- 280 met all of the following criteria: A) Occurred during the night (where the first AIS timestamp in
- the site was after 6 pm) or overnight (where the first and last AIS timestamps at the site were on
- different days); B) Duration was 1.5 hours or more (longer than the 5 hour limit for the

ecotourism scenario to account for overnight anchorages); C) Only included dive events that
 occurred during lobster season (beginning at 6 am on the Saturday preceding the first

- 285 Wednesday in October and ending at 12 am on the first Wednesday night after March 15). This
- scenario focused only on night time diving activities to more exclusively capture vessel
- 287 behaviors associated more specifically with lobster fishing activities and not driven by balancing
- harvesting and ecotourism. In this scenario, only MRs were defined as MPAs, because the two
- 289 Northern Channel Islands MCAs allow recreational take of lobsters and the MPA effect would be
- expected to be less pronounced; thus, MCAs were defined as being outside MPAs.
- 291

The uncertainty in the lobster scenario should be noted, given that some mixed use does occur
during these periods, and non-extractive ecotourism dives may be incidentally included.
Additionally, some lobster fishing activities might have been incidentally excluded. For example,
the captain of one vessel that was surveyed claimed that the business does allow lobster
hunting; however, this business only offers day trips, implying that any lobster fishing occurs
during the day. Based on the lobster scenario criteria, no lobster dives were detected for this
vessel.

- 299
- 300 2.4 Resource Selection Model
- 301

To determine if vessels preferentially selected dive sites in MPAs versus outside MPAs in both the ecotourism and lobster scenarios, resource selection functions (RSFs) were estimated using the use-availability framework [35,36]. RSFs are a class of exponential models of space use that can be used to estimate the probability distribution of vessel locations using different resources (i.e., dive sites in MPAs versus dive sites outside of MPAs) in the seascape, while taking into account the availability of each resource. In doing so, this approach provides a measure of strength of selection of vessels for or against each resource.

309

310 To define the available seascape that vessels can use as dive sites, all dive location data for 311 each vessel was plotted and a one nautical mile (1.8 km) boundary was created around each of 312 the four Northern Channel Islands. A one nautical mile boundary was used because it was the 313 minimum distance away from the shoreline that contained all vessel locations for each scenario. 314 Beyond this boundary, bottom depths typically are greater than is accessible by recreational 315 diving. The available seascape that could be used for diving for each scenario was the same. 316 The available seascape was then differentiated into the three management zones: MPA, MPA buffer (i.e., 500 m area surrounding the perimeter of the MPA), or outside MPA based on the 317 318 shapefiles described above.

319

Prior to fitting RSFs, the dive location data were split into their respective scenarios (ecotourism or lobster) so that each scenario only contained vessel location data specific to dive events identified in each scenario. This enabled the generation of available locations for each scenario respectively. Within the available seascape for each scenario, used locations (i.e., where dive events occurred) were paired with randomly generated available locations. Five times more available locations than used locations were specified [37]. This approach reduces bias and improves the interpretation of coefficients obtained from RSF models [38]. Resource selection followed a Design III protocol where available points were generated randomly for each vessel
[35]. Finally, the associated management zone (MPA, MPA buffer, or outside MPA) was

- 329 assigned for each used and available point based on its location.
- 330

331 Resource selection was estimated for each scenario (ecotourism and lobster) using a separate 332 generalized linear mixed effects model with a binomial error distribution and logit link function 333 using the Ime4 package in R [39]. For both models, the dependent variable was a binary 334 variable representing use versus availability. The environmental variable considered in both 335 models was the management zone class (i.e., MPA, MPA buffer, or outside MPA). These two 336 models enabled the determination of whether a vessel's selection of a specific management zone class differed depending on the diving activity (i.e., ecotourism or lobster fishing). For each 337 338 model, random intercepts and random slope coefficients were included to account for unequal 339 sample sizes and vessel-specific differences in the use of the different management zone 340 classes [40]. When fitting logistic regression models, Fithian and Hastie [37] suggest assigning 341 a large weight to each available location. As such, a weight of 5000 was assigned to all 342 available locations and a value of 1 to each used location [41]. For categorical environmental 343 variables such as the different management zone classes, preference was modeled with 344 respect to a reference category [42]. Areas outside the MPA were selected as the reference 345 category because this management zone class was used less than expected based on its 346 availability in the seascape in both scenarios (Supplemental Figure 4).

- 347 348
- 349 3. Results

350

The AIS data were obtained for 10 unique dive vessels originating from four ports from San Diego in the south to Santa Barbara in the north, with the majority of the diving activity in the region of study coming from the ports in Santa Barbara, Ventura, and Oxnard. In evaluating the data for behavior suggesting diving activity, 1440 grid cells were characterized as possible dive sites around the four Northern Channel Islands, and 4890 total dive events occurred at these sites during this seven year observation period.

- 358 3.1 Ecotourism Scenario
- 359

357

Of the 10 vessels included in the study, 10 demonstrated ecotourism activity. In the ecotourism scenario, 3014 dive events at 807 dive sites were identified. Of these sites, 219 were classified as high frequency (more than three dive events per site), 231 were medium frequency (two or three dive events), and 357 were low frequency (one dive event). Of the 3014 total dive events, 75% occurred at one of the high frequency sites.

- 365
- 366 3.1.1 Dive Sites

367

The largest proportion of overall dive sites was situated outside of MPAs, which were defined as MRs and MCAs (**Figure 3A**). However, a higher proportion of the more popular, high frequency dive sites were located within MPAs (38% or 84 high frequency sites in MPAs versus 24% or 55in medium and 17% or 60 in low frequency sites).

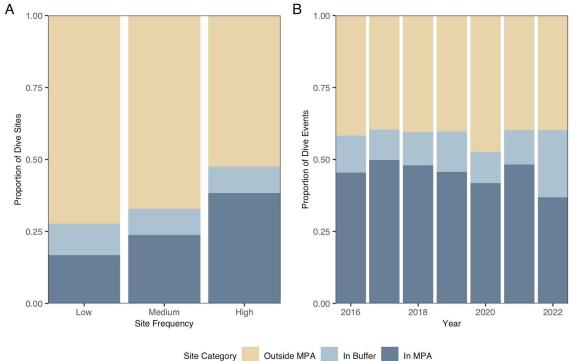
- 372
- 373 3.1.2 Unique Dive Events
- 374

The largest proportion of detected dive events were conducted inside MPAs (**Figure 3B**). On average across the seven years of this study, 45% of all dive events or 1389 were conducted in MPAs versus 41% or 1233 outside MPAs and 14% or 392 in MPA buffers. This proportion varied only minimally between years.

- 379
- 380 3.1.3 Resource Selection Model
- 381

382 The resource selection model that included a consideration of the relative availability of MPA,

- 383 outside MPA, and MPA buffers revealed pronounced selection by the recreational scuba diving
- industry vessels for areas within the MPAs (β =0.997; **Table 1**). Vessels also selected
- 385 preferentially for buffer zones immediately surrounding MPAs (β =0.459; **Table 1**). Qualitatively,
- the signs and absolute magnitude of the coefficients can be used to rank each management
- 387 zone class in terms of the selection strength as outside MPA < MPA buffer < MPA (i.e., MPAs
- are the preferred management zone class for ecotourism dives).



389

- Figure 3. Proportion of A) low, medium, and high frequency dive sites and B) unique dive
 events from 2016 through 2022 that fell in MPAs, outside MPAs, or in MPA buffers for the
 ecotourism scenario.
- 393

Scenario Coefficient β SE

Ecotourism	Intercept	-2.010	0.127
	MPA	0.997	0.302
	MPA Buffer	0.459	0.438
Lobster	Intercept	-1.660	0.087
	MPA	-0.161	0.293
	MPA Buffer	0.994	0.231

Table 1. Standardized model coefficients (β) and standard errors (SE) describing selection of
 dive locations for vessels in both the ecotourism and lobster scenarios. Covariates include three
 management zone classes: MPA, MPA buffer, and outside MPA. For both scenarios, areas
 outside MPAs are set as the reference category.

400 3.2 Lobster Scenario

401

402 Of the 10 vessels included in the study, 8 demonstrated lobster fishing activity. In the lobster 403 scenario, 346 unique dive events were conducted at a total of 249 dive sites. As defined, the 404 lobster scenario attempted to largely isolate recreational diving activity associated with scuba-405 based lobster fishing. Compared with the ecotourism scenario, a larger fraction of these lobster 406 scenario dive events were in low and medium frequency sites (54% compared with 25% of 407 ecotourism dive events).

408

409 3.2.1 Dive Sites

410

The largest proportion of lobster scenario low, medium, and high frequency dive sites occurred

412 outside MPA areas, which were defined as MRs only (75% low frequency; 64% medium

413 frequency; 78% high frequency; Figure 4A). Thirteen percent (10 sites) of high frequency sites
414 were inside MPAs.

- 414 415
- 416 3.2.2 Unique Dive Events

417

In the lobster scenario, by far the highest proportion of unique dive events occurred in areas

419 outside of MPAs (average 78% over the seven year study period; **Figure 4B**). Some

420 proportional use of MPAs was detected in 2016-2019 and 2021-2022, likely reflecting scuba

421 activities not associated with lobster fishing that could not be wholly removed from this lobster

scenario using this filter criteria. MPA buffer zones were used on average 10% of the time over

- 423 the course of this study. Regions outside MPAs were used exclusively in 2020.
- 424

425 3.2.3 Resource Selection Model

426

427 Results from the resource selection model applied to the lobster scenario revealed strong

selection for MPA buffer zone areas (β =0.994; **Table 1**). Unsurprisingly, vessels in the lobster

429 scenario visited MPAs less than expected based on the availability of this management class

430 zone in the seascape (β =-0.161; **Table 1**). Qualitatively, each management zone class can be

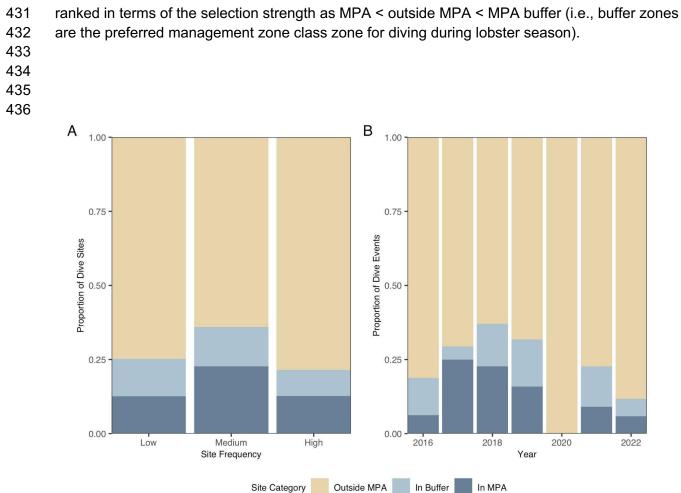


Figure 4. Proportion of A) low, medium, and high frequency dive sites and B) dives from 2016 through 2022 that fell in MPAs, outside MPAs, or in MPA buffers for the lobster scenario.

- 440
- 441

442 4. Discussion

443

444 To the authors' knowledge the present study represents a first attempt to assay behavioral 445 responses from recreational for-hire scuba diving vessels to MPAs using AIS data. This 446 approach confers the advantage of being able to obtain long-term (e.g., > 1000 observation 447 days), fine-scale, spatially-explicit insight into how the industry interacts practically with the 448 different management zones around the Northern Channel Islands without some of the biases 449 (e.g., survey biases) associated with other methods used in isolation. 450 451 Over the course of this study (2016-2022), scuba vessels in the ecotourism scenario that were 452 putatively engaged primarily in non-extractive diving exhibited strong preferential selection for

453 MPAs. A high proportion of the most popular, high frequency, dive sites were located in MPAs

454 (38%), a large proportion of the total number of unique ecotourism dive events were conducted

455 within MPAs (45%), and vessels engaged in ecotourism diving exhibited high selection of MPAs

456 (β =0.997). These same dive vessels also exhibited some positive selection for buffer zones 457 immediately surrounding MPAs (β =0.459), but these trends were far less pronounced.

458

459 These observed preferences by ecotourism divers for MPAs are perhaps most likely driven by 460 the higher fish density, higher fish biomass, higher frequency of certain large fish, and higher 461 frequency of select marine invertebrates previously documented inside versus outside the 462 MPAs of the Northern Channel Islands [2,6]. These local patterns are largely mirrored in global 463 meta-analyses comparing fish and invertebrate communities in similar contexts worldwide [1]. 464 This hypothesis of higher quality marine wildlife viewing opportunities inside these MPAs 465 attracting these ecotourism divers is at least provisionally supported by interviews with the dive 466 vessel operators included in the study. When asked an open-ended question about why they 467 take customers to MPAs, 50% of respondents said because of the biomass, biodiversity, and 468 opportunities for underwater photography, and some noted the larger and healthier fish found in 469 MPAs. Additionally, when asked whether they thought more of California state waters should be 470 fully protected (no-take), 83% said more than is currently fully protected (9%), and 67% said at 471 least 15% should be fully protected.

472

473 Scuba diving vessel behavior in the lobster scenario, in which vessels were putatively engaged 474 largely in recreational lobster fishing, showed quite different patterns. A smaller fraction of 475 popular (high frequency) dive sites were situated within MPAs (13%), the majority of the dive 476 events detected occurred outside of the MPAs (78%), and these dive vessels exhibited 477 preferential selection for the buffer zones around the MPAs (β =0.994). Eighty-three percent of 478 survey respondents said they noticed that hunting (spearfishing, lobster fishing) was better near

an MPA – presumably due to the spillover effect – and half of respondents said they considered
this factor when deciding where to hunt.

481

482 The different behavioral associations to MPAs of these vessels when they are largely engaged 483 in scuba-based lobster fishing provide some preliminary evidence of "fishing the line" behavior, 484 or preference for buffer zones immediately outside MPAs where resource spillover occurs. 485 Previous studies in the Northern Channel Islands have specifically documented higher densities 486 and larger lobsters with MPAs [6]. Fishery dependent data (landings and effort) were used to 487 demonstrate strong preferences for fishing in some of these same MPA buffer zones: Lenihan 488 et al. [8] found significantly higher lobster abundance, fisher effort, and commercial landings in 489 areas near MPAs compared with areas further from MPAs, despite a decrease in the fishable 490 area due to MPA regulations. Such preferential selection for MPA buffer zones by commercial, 491 recreational, and artisanal fishers has been documented in contexts ranging from tuna purse 492 seine fisheries to red drum (Sciaenops ocellatus) sport fishing and has been documented in 493 other invertebrate fisheries [16–19,43]. These results suggest that spillover benefits from MPAs 494 may be similarly germane to the portion of the recreational scuba diving industry that engages in 495 fishing activities.

496

497 It cannot be determined within the specific confines of this study whether these observed

behavioral preferences emerged after the creation of these MPAs. High quality AIS data does

499 not pre-date the establishment of the MPAs in the Channel Islands. However, results from

another study that relied on analyses of aerial imagery collected before and after MPAs were created within the Channel Islands would suggest that these observed preferences materialized post-MPA establishment [21]; that study found that for-hire scuba diving vessels were found closer to MPA borders after MPA establishment than before and exhibited "fishing the line" behavior. These AIS methods described herein provide a relatively low-cost methodology for observing any changes in vessel behavior that may occur in other contexts where MPAs were more recently established (post-2016).

508 It is important to note additional limitations of AIS data. First, this limited the analysis to dive 509 vessels equipped with AIS, the use of which is variable on for-hire dive vessels because it is 510 only required on vessels 65 ft or more in length and some vessels may be under this size 511 requirement. Second, terrestrial AIS receivers based on the California mainland may have 512 difficulty receiving signals from the far side of the Channel Islands, which leaves these signals 513 dependent on satellite receivers. In areas with high vessel traffic, such as nearshore areas or 514 shipping lanes, AIS messages can interfere with one another limiting the ability of satellites to 515 receive these messages [44]. This interference could result in some AIS messages from dive 516 vessels not being received, reducing the number of dive sites or dive events that can be inferred 517 from the AIS data; however, AIS reception is generally strong for the study area, so this is not 518 likely a significant limitation. Third, AIS data provides information on vessel location and 519 behaviors from which we can infer activities (e.g., transiting or diving), though these activities 520 are not directly observable. Distinguishing between ecotourism and scuba-based fishing 521 activities therefore required imperfect assumptions based on the timing of the lobster season 522 and known scuba-based lobster fishing behaviors. For example, a night dive in November may 523 be for the purpose of viewing nocturnal wildlife, and not necessarily entail lobster harvesting; or 524 it may entail both. In many instances specific dive logs for trips can be viewed as confidential or 525 proprietary information, but such additional data could be cross-referenced to further improve 526 the precision of these types of analyses.

527

528 This study was limited to a subset of the Channel Islands that are highly-sought-after diving 529 destinations, and to for-hire scuba diving vessels. To understand the patterns of scuba diving in 530 others of the 124 California MPAs, these methods could be extended to include for-hire scuba 531 diving operators throughout the state. To understand scuba diver behavior more broadly both 532 around the Channel Islands and throughout the state, future research could include any vessels 533 that do not use AIS – which is primarily smaller-capacity commercial vessels (< 65 ft) and 534 private household vessels – as well as individuals diving from shore. This could be achieved by 535 surveying a representative number of divers and operators via web surveys, in-person surveys 536 at dive shops or harbors, and intercept surveys in the water or at the entry points to shore dives. 537 A broader view of vessel-based recreation in the Channel Islands using web surveys estimated 538 that 51% of vessels participated in both consumptive and non-consumptive activities, and 47% 539 participated in non-consumptive activities only, with the most popular activities being "just 540 relaxing, exploring using a dinghy, hook and line fishing, kayaking, and diving" [45]. However, 541 surveys can be subject to biases that can be avoided with AIS data. Other methods such as 542 aerial surveys have been used to estimate the number of trips per year (1621) by private

543 household vessels for non-consumptive recreation [46]. Future research could build on these 544 findings by isolating recreational scuba divers specifically.

545

546 This study overlapped temporally with a couple of impactful events that may have influenced the 547 data and results. In September 2019, a fire caused the sinking of the *Conception* dive boat, 548 which was based out of Santa Barbara Harbor. Thirty-three divers and one crew member died in 549 this tragedy, the effects of which rippled through the local dive industry. In March 2020, the 550 governor of California declared a state of emergency due to the Covid-19 pandemic, leading to 551 several waves of lockdowns and business closures or restrictions in the ensuing months. These 552 two events led to fewer dive trips – overnight trips especially – which is evident as visible 553 reductions in the number of AIS data points in this study. The number of data points increased 554 from 2016 to 2018, then decreased sharply in 2019 and 2020 (Supplemental Figure 5). Since 555 then, the number of points have recovered slightly, but in 2022 were still only 54% of the 2016 556 levels. The effect of having fewer overnight trips may have led to a higher proportion of trips at 557 dive sites closer to the mainland, e.g., on Anacapa and Santa Cruz Islands. Though not the 558 focus of this study, future research on the impact of the Conception's sinking and the Covid-19 559 pandemic on the Southern California dive industry could examine these patterns and track the 560 recovery of the industry's activity in the years following the pandemic. Beyond these two specific 561 isolated events, AIS data may also be useful for detecting changes in for-hire scuba dive vessel 562 (or other vessel-based industry or stakeholder) operations over time relative to other impacts 563 such as establishment or removal of a MPA or changes to MPA boundaries.

564

565 While the specific value of protected areas to terrestrial naturalists and ecotourists (e.g., bird 566 watchers, hikers) is so well known as to often be taken as self-evident [47,48], illuminating some 567 of these same values and preferences in a marine context remains useful. Researchers working 568 in other geographies and using methods other than direct observation of dive vessels have 569 observed similar patterns of benefit and attraction to MPAs. For example, previous studies 570 examining scuba diving selection for MPAs have surveyed both dive vessel operators [11] and 571 dive ecotourists [49], as well as analyzed articles in dive magazines [50]. Operators in Italy and 572 Mozambique recognized the importance of MPAs for the ecosystem recovery and protection 573 benefits they offer for divers [11]. Divers in Jamaica emphasized their preference for seeing a 574 "variety of fish," "abundance of fish," and "unusual fish," attributes that were characteristic of 575 protected areas [49]. Analysis of 53 years of dive magazine articles revealed that articles about 576 MPAs emphasized "beauty, color and condition" of and "sizable fish/abundance" in marine 577 parks, and revealed observations about positive responses in fish abundance and reef health in 578 MPAs [50]. Previous studies, such as Tonin [51], have also used economic methods such as 579 contingent valuation methods to understand people's willingness to pay to visit an MPA.

580

581 At some scuba diving destinations within MPAs, experiences with single marine species 582 generate millions of dollars in revenue and assume a dominant position in local tourism 583 economies. This is true for whale, white, gray nurse, and reef sharks in several different marine 584 parks off Australia's coast, which attract over \$25 million in diver expenditures each year [52]. 585 Pacific gray whales in Vizcaíno Biosphere Reserve [53] and bull sharks in Cabo Pulmo National 586 Park [54] benefit local economies in Mexico by \$3 million and \$8 million each year, respectively. In Southern California, diving for giant sea bass (*Stereolepis gigas*) – which, anecdotally, are
often seen in the Channel Islands MPAs – has been estimated to have a value of \$2.3 million
per year [25]. The general recreational value of charismatic megafauna in protected areas
underscores the importance of MPAs for ecotourism by supporting the conservation of these
species and creating desirable ecosystems for wildlife viewing.

592

593 Relative to the more limited body of existing literature on how MPAs influence the behavior of 594 scuba divers, numerous studies focus on the physical impacts of scuba diving on MPA habitats 595 and wildlife. Long-term, measurable impacts are mixed, and may depend on the level of diver 596 experience and training as well as the vessel operators' practices (e.g., anchor locations) and 597 inherent fragility of the ecosystem (e.g., coral reef versus rocky reef) [55-57]. The apparent 598 attraction observed here of recreational ecotourism divers to these MPAs does remind of the 599 importance of educating operators and divers about responsible diving practices and MPA 600 regulations so as to preserve the ecological characteristics that make these sites attractive. 601

602 The importance of MPAs to the scuba diving industry underscores the importance of assessing 603 the value of MPAs more holistically. Conversely, MPAs can gain financial, educational, and 604 governance benefits from supporting scuba diving tourism [11]. De Groot and Bush [58] 605 reported on a MPA in Curaçao that was managed de facto by the dive industry for conservation 606 in the absence of effective government management. Such "entrepreneurial MPAs" are 607 managed from the perspective of local communities and private operators and can offer 608 additional enforcement capacity and build greater awareness of marine protection. Additionally, 609 in some contexts MPAs can offer alternative employment and income-generating opportunities 610 for fishers as business owners, employees, or guides for scuba diving [59]. However, in some 611 communities, training and education may be necessary to ensure that those with all levels of 612 education are able to access these opportunities [60,61]. Despite these benefits, the scuba 613 diving industry has traditionally had a limited voice in MPA governance decisions, especially 614 relative to fishing and environmental conservation interests. The vast majority of past research 615 on the value of MPAs and stakeholder conversations have focused on the non-diving fishing 616 community, which includes extensive research on MPA spillover and climate resiliency benefits 617 to these stakeholders [8.62–64]. However, in the last several decades the diversity of ocean 618 users and their relative importance in the blue economy has increased. This includes not only 619 ecotourism, but also ocean energy, aquaculture, shipping, and seabed mining [65,66]. It is 620 becoming increasingly clear that modern conversations about the value of existing MPAs and 621 decisions concerning expanding or establishing new MPAs should not be dominated by a few 622 narrow and/or vocal ocean interests, but should be inclusive of this broader set of blue economy 623 stakeholders.

624

California is concluding its decadal review of the statewide MPA network which has yielded a
useful opportunity to retrospectively examine the ecological implications of use of these
management tools for the state [67]. These same patterns considered here are also useful
when looking forward. California has recently embarked on a journey towards conserving 30%
of lands and coastal waters by 2030 [68], and a parallel effort is underway at the national level
in the United States government under the auspices of the America the Beautiful Initiative [69].

631 At the global level, a similar drive is underway. The Kunming-Montreal Global Biodiversity 632 Framework recently agreed upon in December 2022 establishes the goal of putting 30% of the 633 planet into protected areas by 2030 [30]. Insight from this research adds more breadth to the 634 general understanding about which community members may benefit from such efforts to better 635 protect marine ecosystems and serves as a reminder that stakeholders from the marine 636 ecotourism industry should be properly included in all consultations and planning concerning the 637 future of MPAs. As this study shows, protecting more marine spaces will not only have direct 638 biodiversity benefits, but also have economic and human well-being benefits deriving from 639 marine ecotourism and recreation. 640 641 This study provides additional quantitative insight into the diverse ways that the recreational 642 dive industry may relate to MPAs and reasons for these behaviors. Future work will improve the 643 understanding of the ubiquity of these patterns, how they may change over time, and how they 644 may differ in other contexts. Such insight can help us plan towards using MPAs as a tool to 645 create optimal spatial design solutions that maximize benefits for all coastal stakeholders in an 646 increasingly busy ocean. 647 648 649 Acknowledgements 650 651 We are grateful to the team at Global Fishing Watch for providing the AIS data and guidance on the research concept and methodology. We would also like to thank the scuba diving vessel 652 653 operators who participated in the surveys that informed this study. We thank the scientists at the 654 California Department of Fish and Wildlife, especially Jenny Hofmeister, for sharing the 655 recreational lobster fishing data. We thank Merrill McCauley at the Channel Islands National 656 Park for offering insight and feedback throughout the course of this study. 657 658 659 Funding 660 661 This work was supported by gifts from the Benioff Family and Helen Hansma to the Benioff 662 Ocean Science Laboratory at the University of California, Santa Barbara (to MM, DM, SO, SM); 663 these funders had no involvement in the research or preparation of this article. This work was 664 also supported by Global Fishing Watch (subgrant 5301011; to SO, TC). 665 666 667 References 668 S.E. Lester, B.S. Halpern, K. Grorud-Colvert, J. Lubchenco, B.I. Ruttenberg, S.D. Gaines, 669 [1] 670 S. Airamé, R.R. Warner, Biological effects within no-take marine reserves: a global 671 synthesis, Mar. Ecol. Prog. Ser. 384 (2009) 33-46. J.E. Caselle, A. Rassweiler, S.L. Hamilton, R.R. Warner, Recovery trajectories of kelp 672 [2] 673 forest animals are rapid yet spatially variable across a network of temperate marine 674 protected areas, Sci. Rep. 5 (2015) 1-14. 675 [3] S. Giakoumi, C. Scianna, J. Plass-Johnson, F. Micheli, K. Grorud-Colvert, P. Thiriet, J.

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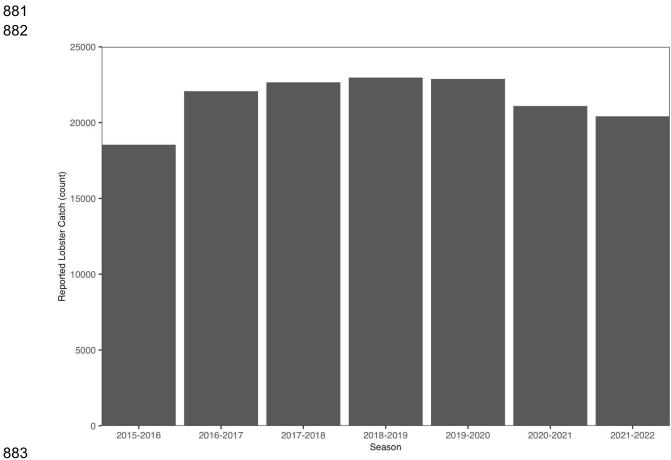
872 873 874 Supplementary Materials

Vessel ID	County	Length (feet)	AIS Onboard Receiver	Included in Analysis	Surveyed
1	Los Angeles	63	Yes	Yes	Yes
2	Santa Barbara	65	Yes	Yes	Yes
3	Santa Barbara	80	Yes	Yes	Yes
4	Ventura	62	Yes	Yes	Yes
5	Ventura	46	Yes	Yes	Yes
6	Ventura	85	Yes	Yes	Yes
7	Los Angeles	65	Yes	Yes	No
8	Los Angeles	65	Yes	Yes	No
9	San Diego	80	Yes	Yes	No
10*	Santa Barbara	75	Yes	Yes	No
11*	Los Angeles	unknown	Yes	No	No
12	San Diego	88	Yes	No	No
13*	Ventura	53	Yes	No	No
14*	Los Angeles	unknown	No	No	No
15*	Los Angeles	unknown	No	No	No
16	Los Angeles	65	No	No	No
17*	Los Angeles	unknown	No	No	No
18	Los Angeles	36	No	No	No
19*	Los Angeles	unknown	No	No	No
20	Los Angeles	unknown	No	No	No
21	Los Angeles	65	No	No	No
22	Los Angeles	54	No	No	No
23	Los Angeles	46	No	No	No
24*	Los Angeles	unknown	No	No	No
25*	Los Angeles	48	No	No	No
26	Los Angeles	54	No	No	No
27	Los Angeles	48	No	No	No
28	Los Angeles	33	No	No	No

29	Los Angeles	21	No	No	No
30*	Orange	unknown	No	No	No
31	Orange	40	No	No	No
32*	San Diego	unknown	No	No	No
33*	San Diego	unknown	No	No	No
34	San Diego	46	No	No	No
35*	San Diego	35	No	No	No
36*	San Diego	unknown	No	No	No
37	San Diego	40	No	No	No
38*	San Diego	unknown	No	No	No
39	San Luis Obispo	26	No	No	No
40*	Santa Barbara	42	No	No	No
41*	Ventura	65	No	No	No
42*	Ventura	unknown	No	No	No
43	Los Angeles	unknown	No	No	No
44*	Ventura	45	No	No	No

Supplemental Table 1. Detailed information for each for-hire scuba diving vessel considered for the 878 analysis. Vessel IDs denoted with an (*) were deemed no longer operational at some point during the

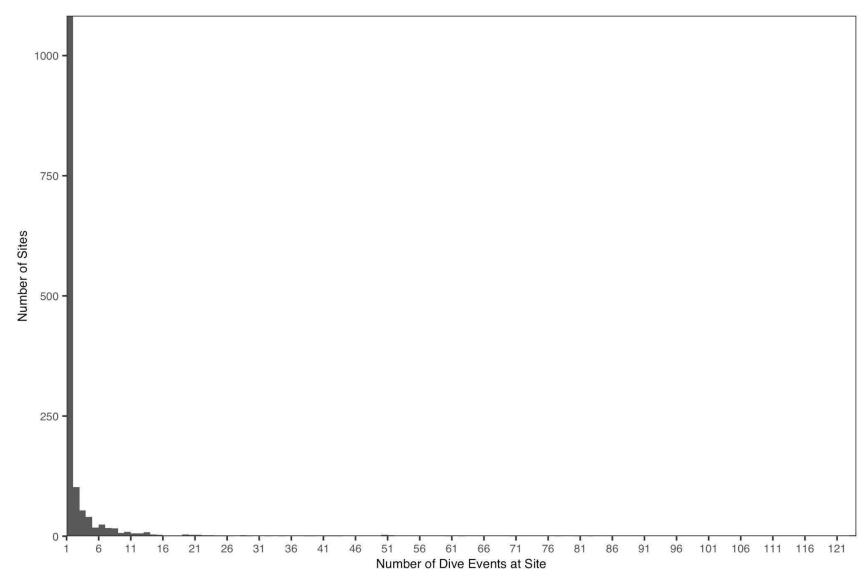
879 study period (2016-2022).



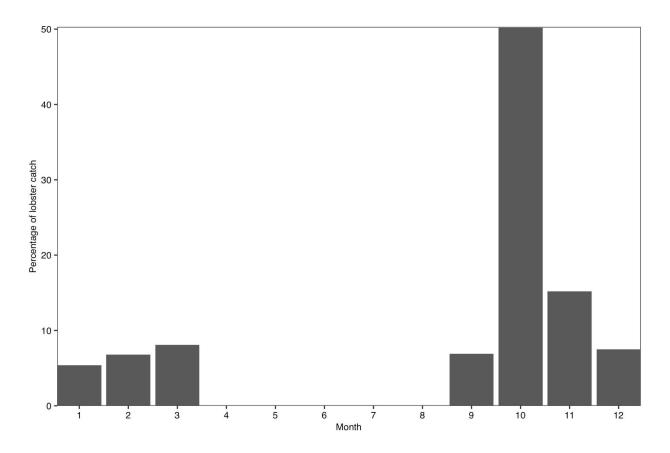
883 884

Supplemental Figure 1. Total recreational catch of California spiny lobster by scuba divers in California 885

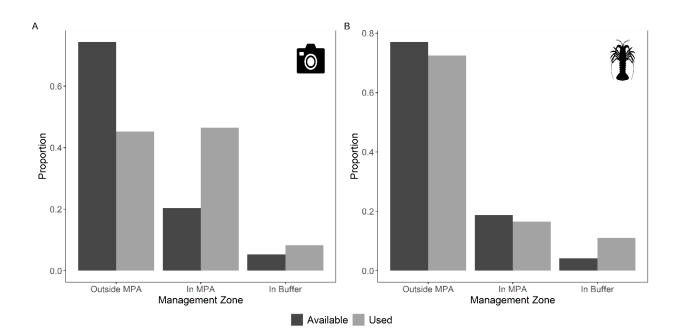
886 from the 2015-2016 season through the 2021-2022 season (pers. comm., California Department of Fish 887 and Wildlife).



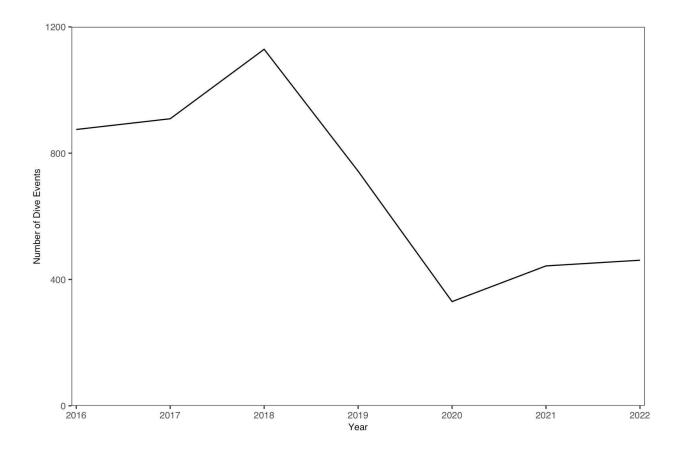
Supplemental Figure 2. Histogram showing the number of dive sites that had a given number of dive events occur.



Supplemental Figure 3. Average monthly percentage of total annual recreational California spiny lobster catch over the period 2015-2022, excluding out-of-season reported catch (*pers. comm.*, California Department of Fish and Wildlife).



Supplemental Figure 4. Proportion of each management zone class in the set of available and used locations for vessels during A) ecotourism dives and B) scuba-based lobster fishing dives in the Northern Channel Islands. The management zone class outside of MPAs was consistently used less than expected based on its availability by vessels in both scenarios and was therefore used as the reference category in the resource selection function model (see main text).



Supplemental Figure 5. The total number of dive events per year aggregated across all dive vessels from 2016-2022.