

1 **Satellite remote sensing of pelagic *Sargassum* macroalgae: The power of high**  
2 **resolution and deep learning**

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6 **Abstract** In recent years, massive blooms of pelagic *Sargassum* have occurred in the Atlantic  
7 Ocean, Caribbean Sea, and Gulf of Mexico, and satellite imagery have been used operationally to  
8 monitor and track the blooms. However, limited by the coarse resolution and other confounding  
9 factors, there is often a data gap in nearshore waters, and the uncertainties in the estimated  
10 *Sargassum* abundance in offshore waters are also unclear. Higher-resolution satellite data may  
11 overcome these limitations, yet such a potential is hindered by the lack of reliable methods to  
12 accurately detect and quantify *Sargassum* in an automatic fashion. Here, we address this challenge  
13 by combining large quantities of **high-resolution satellite data** with **deep learning**. Specifically,  
14 data from the Multispectral Instrument (MSI, 10-20 m), Operational Land Imager (OLI, 30 m),  
15 WorldView-II (WV-2, 2 m), and PlanetScope/Dove (3 m) are used with a deep convolution neural  
16 network (DCNN) to extract *Sargassum* features and quantify *Sargassum* biomass density or areal  
17 coverage. By utilizing the U-net architecture and the pre-trained weights from the VGG16 model,  
18 the DCNN (i.e., the VGGUnet model) can extract *Sargassum* features while discarding other  
19 confusing features (waves, currents, phytoplankton blooms, clouds, cloud shadows, or striping  
20 noise). For *Sargassum* biomass estimated from OLI and MSI images, results indicate an accuracy  
21 of ~92% and 90%, respectively, when evaluated using images from the same sensor. When  
22 *Sargassum* areal coverage is estimated from WV-2 and Dove images, there is an accuracy of ~98%  
23 and 82%, respectively. When different sensors are cross-compared, OLI reveals ~30% more

24 *Sargassum* biomass than MODIS from 14 OLI images collected in the Caribbean Sea (path/row:  
25 001/050) for their commonly viewed observable areas, and ~180% more *Sargassum* biomass than  
26 MSI (N = 15, path/row: 001/050); such differences appear systematic ( $R^2 = 0.98$  and  $0.73$ ,  
27 respectively). Compared to the quasi-simultaneous MSI, OLI, and MODIS images, Dove shows  
28 higher *Sargassum* coverage. Higher-resolution sensors tend to observe more *Sargassum* because  
29 they can detect smaller-scale features that are missed by the coarser-resolution sensors, although  
30 the difference varies with time and location. The morphological characteristics of *Sargassum*  
31 features from these high-resolution data are also reported to facilitate management actions. The  
32 findings here not only fill the knowledge gaps and coverage gaps from previous studies, but more  
33 importantly pave the road toward operational monitoring and tracking *Sargassum* features in  
34 nearshore waters.

35 **Keywords:** Landsat-8, Sentinel-2, MSI, OLI, Worldview-2, Dove, MODIS, FAI, *Sargassum*,  
36 clouds, feature extraction, deep convolution neural network, deep learning, U-net, VGGUnet

### 37 **Highlights**

38 A deep learning *Sargassum* extraction model developed for high-resolution data

39 Model applicable to MSI, OLI, and 3-band Dove images with high accuracy

40 Uncertainties in coarse-resolution *Sargassum* abundance images quantified

41 Near-shore data gaps filled with high-resolution *Sargassum* abundance images

## 42 **1. Introduction**

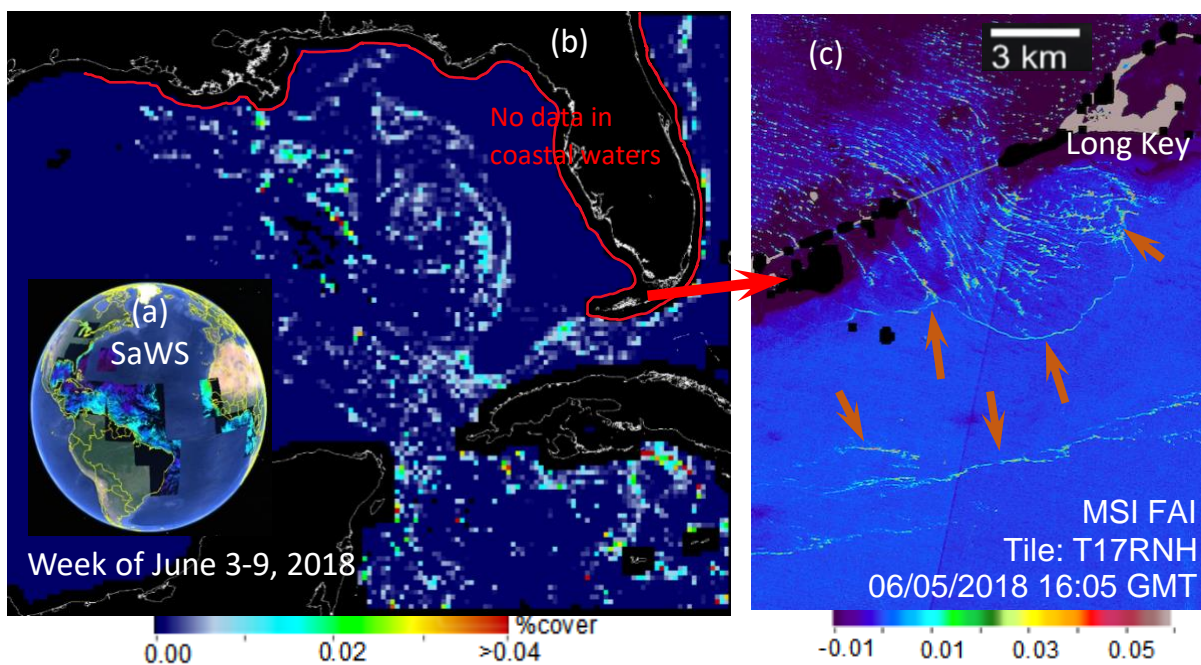
43 During the past decade, the amount of pelagic *Sargassum* has increased significantly across the  
44 Atlantic Ocean (Wang et al., 2019). Consequently, coastal regions around the Caribbean Sea (CS),

45 West Africa (WA), and Florida have experienced severe *Sargassum* beaching events (Smetacek &  
46 Zingone, 2013; Hu et al. 2016a; Gower & King, 2019a; van Tussenbroek et al., 2017; Rodríguez-  
47 Martínez et al., 2019; Chávez et al., 2020). Massive *Sargassum* deposition on the beaches has  
48 induced various environmental, ecological, and human health problems, and negatively impacted  
49 local economies (Siuda, Schell, & Goodwin 2016; Langin, 2018; Rodríguez-Martínez et al., 2019;  
50 van Tussenbroek et al., 2017).

51 Satellite remote sensing provides timely information for monitoring and tracking of *Sargassum*,  
52 and thus is a useful tool to help resource managers make decisions and develop mitigation  
53 strategies, and may also help site suitability assessment for *Sargassum* farming or harvesting  
54 (Webster & Linton, 2013; Hu et al., 2016; Wang & Hu, 2017; Zheng et al., 2018; Xing et al., 2018;  
55 Xing et al., 2019; Bach et al., 2021). Currently, coarse-resolution sensors including the Moderate  
56 Resolution Imaging Spectroradiometer (MODIS), Visible Infrared Imaging Radiometer Suite  
57 (VIIRS), MEdium Resolution Imaging Spectrometer (MERIS), and Ocean Land Colour  
58 Instrument (OLCI) have been successfully utilized to observe the large-scale *Sargassum*  
59 distributions across the Atlantic Ocean (Wang & Hu, 2016, Wang et al., 2018, Wang et al., 2019,  
60 Gower & King, 2019b). Correspondingly, a satellite-based near real-time *Sargassum* Watch  
61 System (SaWS) has been established to use both MODIS and VIIRS imagery to monitor  
62 *Sargassum* distributions and to predict *Sargassum* transport in the CS (Hu et al., 2016a; Wang &  
63 Hu, 2016; Wang et al., 2018) (Fig. 1a).

64 However, measurements derived from these coarse-resolution sensors often suffer from several  
65 limitations. First, **uncertainties in the *Sargassum* estimates are often unclear**. *Sargassum* in the  
66 ocean can take the form of clumps, mats, or rafts, often smaller than a pixel size (Ody et al., 2019).  
67 Each sensor has its own lower detection limit. For example, with a signal-to-noise ratio (SNR) of

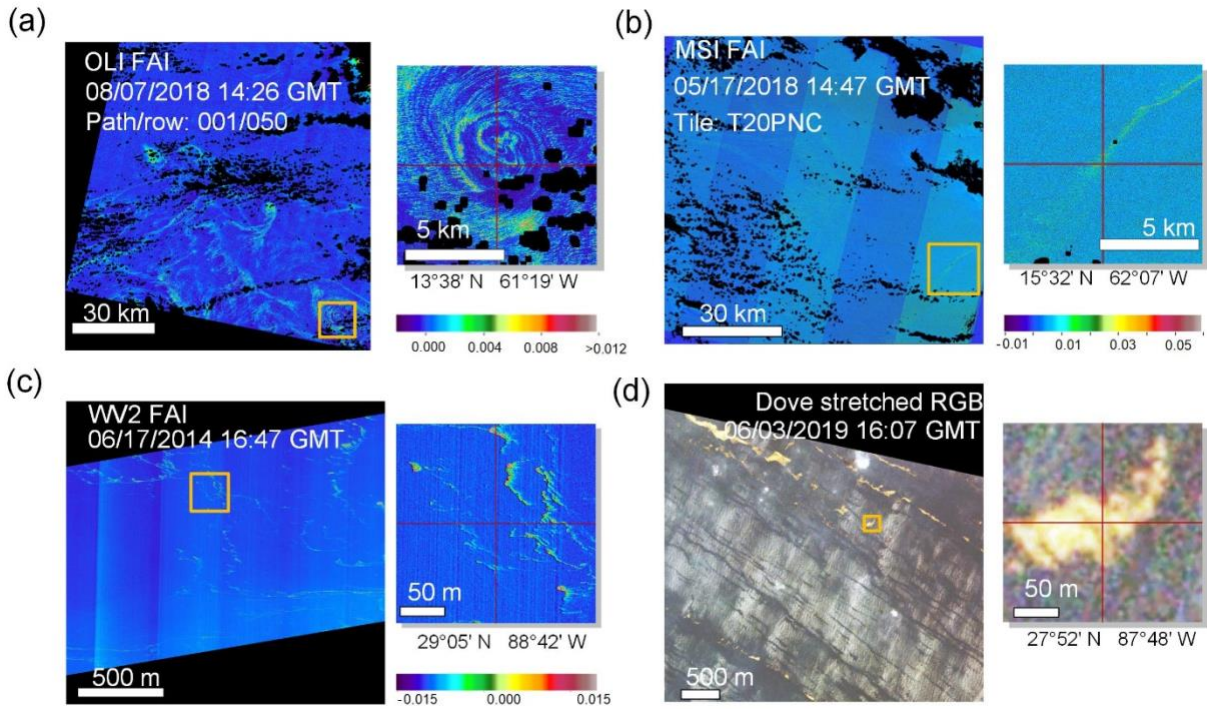
68 200:1, Hu et al. (2015) estimated a subpixel detection limit of about 1% of a pixel size. From  
69 MODIS 1-km observations, the lower detection limit was estimated to be 0.2% of a pixel size  
70 (Wang & Hu, 2016), or 2000 m<sup>2</sup>. It is unclear how much *Sargassum* these coarse-resolution sensors  
71 may “miss” due to such lower detection limits, for example in the weekly *Sargassum* density  
72 images (Fig. 1b). In previous studies, high-resolution data have been used to evaluate macroalgae  
73 abundance estimated from MODIS (Hu et al, 2016b; Cui et al. 2018; Hu et al., 2019; Wang et al.,  
74 2021), but only a very limited number of images were used in these comparisons. Moreover, there  
75 are **no valid MODIS or VIIRS observations in nearshore waters** (Fig. 1b). As explained in  
76 Wang and Hu (2020), the data quality of the coarse-resolution pixels is compromised in coastal  
77 waters due to interference of the shallow-water bottom, high amounts of suspended particles, or  
78 land adjacency effects. Therefore, in the SaWS, pixels within 30 km of shoreline are often masked  
79 to avoid false positives (Hu et al., 2016a, Wang & Hu, 2020). This lack of data in nearshore waters  
80 greatly hinders management actions.



81

82 **Fig. 1.** (a) SaWS domain shown in Google Earth ([optics.marine.usf.edu/projects/saws.html](http://optics.marine.usf.edu/projects/saws.html)), which covers  
83 the entire Caribbean Sea and Gulf of Mexico. (b) A weekly *Sargassum* density map provided by SaWS  
84 shows *Sargassum* transport from the Caribbean to the Florida Straits. A value of 0.02 indicates 0.02%. **Note**  
85 **that there is no data coverage in nearshore waters** (30 km within shoreline) as indicated by the red  
86 outline. (c) MSI FAI image shows excellent coverage for coastal and nearshore waters around Long Key in  
87 the Florida Keys. The image slicks (annotated by the arrows) represent *Sargassum* rafts.

88 To overcome these limitations, various **high-resolution sensors** should be utilized. For example,  
89 the 10–30 m resolution Multispectral Instrument (MSI) and Operational Land Imager (OLI)  
90 sensors carried by the Sentinel-2 and Landsat-8 satellites are equipped with spectral bands to detect  
91 the enhanced Near Infrared (NIR) reflectance caused by floating vegetations including *Sargassum*.  
92 Fig. 1c shows a MSI Floating Algae Index (FAI, Hu, 2009) image around Long Key of the Florida  
93 Keys, where *Sargassum* rafts can be clearly visualized as image slicks. Such observations are not  
94 available from the coarse-resolution data in Fig. 1b for the same period. Figs. 2a & b show more  
95 examples of *Sargassum* features in the MSI and OLI FAI images, respectively. In addition,  
96 commercial satellites such as the Worldview series (Fig. 2c), PlanetScope (Fig. 2d), RapidEye,  
97 and SkySat also provide high-resolution data that can be used to detect the small floating  
98 macroalgae features. In particular, the PlanetScope constellation (Dove) provides daily  
99 observations at 3-m resolution for many coastal areas, thus representing an excellent data source  
100 for near real-time applications. As an example, the 3-m resolution Dove image in Fig. 2d shows  
101 many small brownish *Sargassum* features that can be visualized without the NIR wavelengths.



102

103 **Fig. 2. *Sargassum* features in high-resolution satellite images.** (a) – (c): OLI, MSI, and WV-2 FAI  
 104 images, respectively; (d): Dove stretched RGB images. Without contrast enhancement through Gaussian  
 105 stretching, most of these *Sargassum* features are invisible. Due to the space limit, only small portions  
 106 (outlined in squares whose center coordinates are labeled below the sub-images) can be displayed in full  
 107 resolution. Black color represents no observations due to either clouds or no data coverage. Note that there  
 108 are various noises (including stripes and wave-induced glitters) and large-scale background variations in  
 109 all images.

110 While these high-resolution sensors are designed primarily for land-based applications, some  
 111 measurements are also taken over the ocean (Hedley et al., 2018); however, *Sargassum* detection  
 112 often suffers from confusing features induced by clouds, surface waves, or variable image  
 113 background due to sensor artifacts or changes in water’s optical properties (Wang & Hu, 2020).  
 114 Some of these confusing features can be spectrally similar to weak *Sargassum* features (Fig. S1).  
 115 To make things worse, different sensors may have different noise characteristics (Figs. S2-S5).  
 116 Thus, a reliable algorithm to extract *Sargassum* features automatically from images of an  
 117 individual sensor, not to mention a unified algorithm applicable to all high-resolution sensors, is  
 118 lacking. Because of these technical difficulties, in the *Sargassum* Early Advisory System (SEAS),

119 visual interpretation and manual delineation are often required to locate the *Sargassum* slicks in  
120 the Landsat imagery to predict potential beaching events (Webster & Linton, 2013).

121 Although a denoising and feature extraction method has been developed for MSI applications  
122 (Wang & Hu, 2020), it is only designed to work **on FAI images** that require at least one spectral  
123 band in the red and two spectral bands in the NIR or SWIR wavelengths. Such a requirement  
124 cannot be met by the 3-band data from the PlanetScope constellations. In addition, the threshold-  
125 based image segmentation method relies on the **accurate estimation of the image background**  
126 **variations**, and may need tuning to meet individual sensor needs.

127 On the other hand, **deep learning-based techniques** have shown great potential in analyzing  
128 features from ocean remote sensing images, with many successful applications for ship detection  
129 and macroalgae extraction (Li et al, 2020; Ma et al., 2019; Hordiiuk, Oliinyk, Hnatushenko, &  
130 Maksymov, 2019; Arellano-Verdejo, Lazcano-Hernandez, & Cabanillas-Terán, 2019, Wang S. et  
131 al., 2019). For example, the ERISNet, a one-dimensional DCNN framework proposed by Arellano-  
132 Verdejo et al. (2019), shows good performance in *Sargassum* extraction in coastal waters around  
133 Mexico. In Wang S. et al. (2019), AlexNet is applied to classify the macroalgae patches from the  
134 Unmanned Aerial Vehicle (UAV) images. Comparatively, because the classic U-net model  
135 (Ronneberger, Fischer, & Brox, 2015) has a more complex and efficient network structure (which  
136 has shown great image segmentation performance on both biomedical and remote sensing images),  
137 it should also have the capacity to detect and extract *Sargassum* from high-resolution images.

138 Therefore, by using the U-net model, the objective of this paper is to design and develop a unified  
139 approach to extract *Sargassum* features and quantify *Sargassum* abundance from multi-sensor  
140 high-resolution imagery, with the ultimate goal of addressing the following questions: 1) how

141 much *Sargassum* have coarse-resolution (e.g., MODIS) observations missed? 2) how to fill the  
142 data gaps in nearshore waters?

143 To achieve these goals, this paper is structured as follows: the *Sargassum* quantification workflow  
144 and the details of the VGGUnet model (the DCNN used for *Sargassum* extraction) are first  
145 described, followed by a performance evaluation on the MSI, OLI, WV-2, and Dove datasets. The  
146 *Sargassum* biomasses/coverages quantified from these high-resolution images are compared with  
147 the concurrent MODIS measurements to establish empirical relationships between these sensors.  
148 The *Sargassum* morphology measured from the OLI, MSI, and Dove images are analyzed. Lastly,  
149 the limitations of satellite remote sensing of *Sargassum*, the strengths and weaknesses of this  
150 approach, and operational considerations for near real-time *Sargassum* monitoring are discussed.

## 151 **2. Data and Methods**

### 152 **2.1 Data preparations**

#### 153 **2.1.1 Sentinel-2 and Landsat-8 data**

154 Fifty-three Sentinel-2 MSI and twenty-one Landsat-8 OLI Level-1C (top-of-Atmosphere (TOA)  
155 reflectance) images collected near the Lesser Antilles Islands and Gulf of Mexico (GOM) in 2018  
156 and 2019 were downloaded from the USGS earth explorer <https://earthexplorer.usgs.gov/>, and  
157 processed with ACOLITE (Vanhellemont & Ruddick, 2015, version 20190326) to Rayleigh-  
158 corrected reflectance ( $R_{rc}$ , unitless) at 10-m and 30-m resolution, respectively. Using the  
159 multispectral  $R_{rc}$  data, the FAI products were generated to quantify the enhanced reflectance of  
160 *Sargassum* in the near-infrared (NIR) wavelengths by comparing to the nearby RED and  
161 ShortWave-Infrared (SWIR) bands using the following equation:

$$162 \quad \text{FAI} = R_{rc,NIR} - R'_{rc,NIR}$$



163 
$$R'_{rc,NIR} = R_{rc,RED} + (R_{rc,SWIR} - R_{rc,RED}) \times (\lambda_{NIR} - \lambda_{RED}) / (\lambda_{SWIR} - \lambda_{RED}) \quad (1)$$

164 where  $\lambda_{RED} = 665$  nm,  $\lambda_{NIR} = 865$  nm, and  $\lambda_{SWIR} = 1610$  nm were selected for Sentinel-2 MSI data,

165 while  $\lambda_{RED} = 655$  nm,  $\lambda_{NIR} = 865$  nm, and  $\lambda_{SWIR} = 1610$  nm were selected for Landsat-8 OLI data.

166 On MSI FAI images, the pixels with large  $R_{rc1610}$  ( $> 0.10$ ) were pre-masked to exclude the land

167 and bright cloud pixels and treated as invalid observations (Eq. (2)). Similar thresholds were also

168 applied to mask the OLI FAI images before *Sargassum* extraction.

169 
$$R_{rc1610} > 0.1, R_{rc442} > 0.1, \text{ and } R_{rc560} > 0.1 \quad (2)$$

### 170 **2.1.2 Worldview-2 data**

171 Four Worldview-2 (WV-2) images collected in the northern GOM during 2014 to 2015 containing

172 partial *Sargassum* coverage were acquired from DigitalGlobe. The data were processed to generate

173 TOA reflectance, and the FAI products were generated using the TOA reflectance centered on

174 659nm, 833nm, and 949nm. Fig. 2c shows an example of the *Sargassum* features observed on the

175 WV-2 FAI images. Three images were used for model training and one image was selected for

176 validation.

### 177 **2.1.3 Dove data**

178 A total of 1, 4,567, and 7,457 three-band Dove images collected on 1 June 2019, 3 June 2019, and

179 5 June 2018, respectively, over the GOM were acquired from Planet Lab to test the applicability

180 of the *Sargassum* extraction method. The one image on 1 June 2019 corresponded to field

181 measurements on the same day, and therefore was selected in training dataset. On the other two

182 days, all Dove images over the GOM available at Planet Lab were acquired and used in this study.

183 Considering the difficulties in conducting accurate atmospheric correction due to lack of at least

184 two NIR bands and due to variable sun glint and sky glint (Wicaksono and Lazuard, 2018), the  
 185 TOA radiance data were directly used to detect *Sargassum*. Because of similar solar and viewing  
 186 angles across the narrow-swath (< 30 km) Dove images, Rayleigh correction is equivalent to  
 187 removing a constant from all pixels, and therefore was not performed. The four-band Dove data  
 188 which contains the NIR wavelengths were mostly unavailable in the open water area within the  
 189 GOM, therefore only the three-band RGB data were used in this paper. Table 1 summarizes the  
 190 details of the high-resolution images analyzed in this study.

191 **Table 1:** High-resolution satellite images used for detecting and quantifying *Sargassum* in this study. Here  
 192 Dove data only refer to the three-band data because they have daily coverage over the GOM, while four-  
 193 band data (with the 4-th band in the NIR wavelength) cover coastal waters only.

	<b>Spatial resolution</b>	<b>Revisit time</b>	<b>NIR bands</b>	<b>Image location</b>	<b>Number of images used</b>	<b>Model input</b>
<b>MSI</b>	10-m/20-m	5 days	Yes	Near the Lesser Antilles Islands; Eastern GOM	53	FAI
<b>OLI</b>	30-m	16 days	Yes	Near the Lesser Antilles Islands (path/row: 001/050; 002/049); Northern GOM (path/row: 021/040; 021/041)	21	FAI
<b>Dove</b>	3-m	Daily	No	GOM	12025	RGB
<b>WV-2</b>	~2-m	Irregular	Yes	Northern GOM	4	FAI

194

#### 195 **2.1.4 MODIS data**

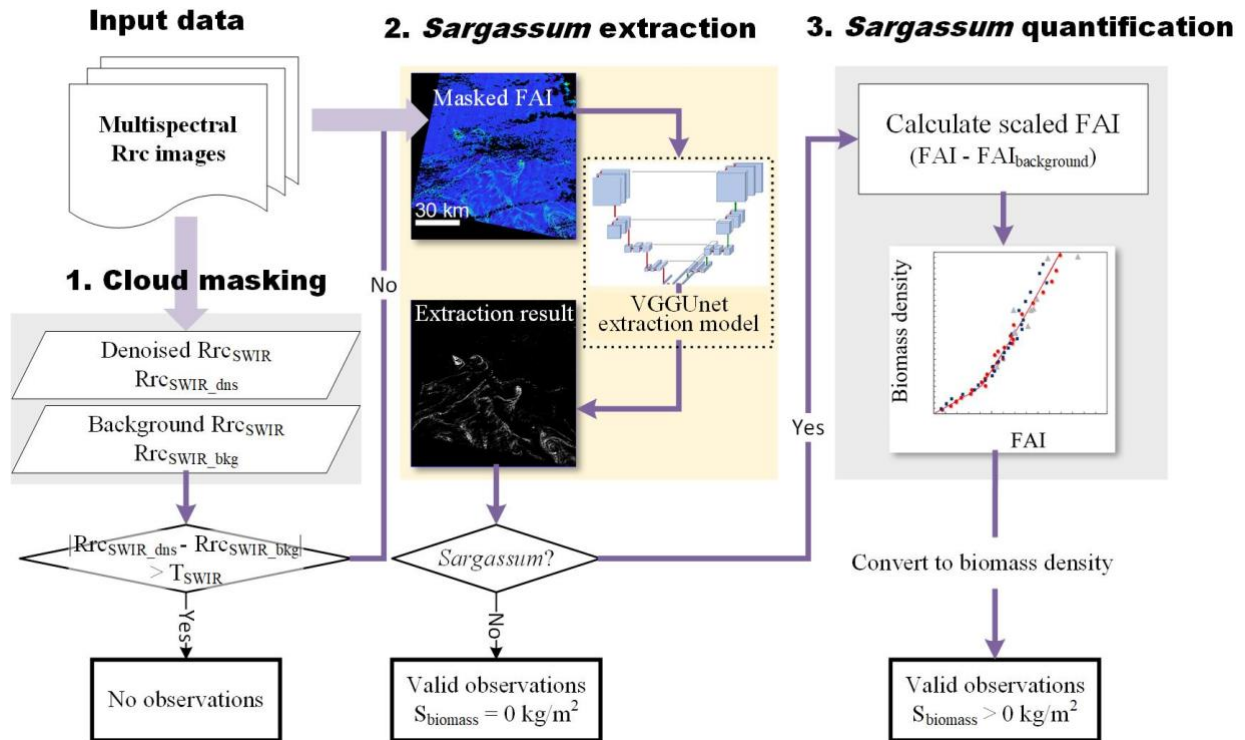
196 To estimate the amount of *Sargassum* missed by coarse-resolution sensors, MODIS data collected  
 197 in the GOM on 3 June 2019 and 5 June 2018 and in the Central West Atlantic in 2018 were  
 198 processed to compare with quasi-simultaneous and co-located MSI, OLI, and Dove observations.  
 199 MODISA and MODIST Level-0 data were obtained from the U.S. National Aeronautics and Space  
 200 Administration (NASA) Goddard Space Flight Center (<http://oceancolor.gsfc.nasa.gov>), and  
 201 processed to generate  $R_{rc}$  data using SeaDAS software (version 7.5). The corresponding MODIS  
 202 Alternative FAI (AFAI) images were generated using  $R_{rc}$  data centered at 667 nm, 748 nm, and

203 869 nm (Eq. (1)). The *Sargassum*-containing pixels were extracted, and the fractional areal  
204 coverages were quantified using a linear unmixing method (Wang and Hu, 2016). These area  
205 coverages were converted to biomass densities using the biomass model proposed in Wang et al.  
206 (2018).

## 207 **2.2 *Sargassum* extraction and quantification workflow**

208 In this study, *Sargassum* extraction and quantification follow a straightforward workflow: **First**,  
209 data under cloudy conditions and other unfavorable observing conditions were treated as no  
210 observations. **Then**, the *Sargassum*-containing pixels were extracted using the VGGUnet model  
211 trained for the specific data types (section 2.2.2). **Finally**, the corresponding biomass  
212 densities/areal coverages were quantified from all *Sargassum*-containing pixels.

213 Fig. 3 illustrates the major workflow applied to the Landsat-8 OLI and Sentinel-2 MSI images.  
214 For WV-2 and Dove images, *Sargassum* extraction was achieved using the same VGGUnet model,  
215 but in the final step *Sargassum*-containing pixels were assumed to have 100% subpixel areal  
216 coverage. Cloud masking was not considered for WV-2 data, while for Dove data blue-band  
217 radiance  $> 17 \text{ W} \cdot \text{sr}^{-1} \cdot \text{m}^{-2}$  was selected to mask thick clouds.



218

219 **Fig. 3.** Workflow for the automatic *Sargassum* detection and quantification using MSI/OLI images. The  
 220 methods for cloud masking and *Sargassum* extraction are explained in section 2.2.1 and section 2.2.2,  
 221 respectively. *Sargassum* extraction was realized through a VGGUnet model trained for the specific dataset.  
 222 Pixels of valid observations but with no *Sargassum* detected were assigned with  $0.0 \text{ kg/m}^2$  biomass density,  
 223 while pixels with *Sargassum* detected were assigned with the biomass density values calculated using the  
 224 pixels' FAI values and a field-based FAI-biomass density model (Wang et al., 2018; Wang & Hu, 2020).

225 **2.2.1 Preprocessing to mask pixels under cloudy conditions or other unfavorable**  
 226 **observing conditions**

227 Compared to background seawater, cloud pixels also show enhanced signals on FAI images and  
 228 thus need to be masked before applying the *Sargassum* extraction process. Because clouds  
 229 normally show higher reflectance in the SWIR wavelengths, a simple threshold can remove most  
 230 thick clouds (Eq. (2)). However, this preliminary mask cannot identify thin clouds, and a unified  
 231 threshold may over-mask valid water observations under strong sun glint. **For MSI and OLI data,**  
 232 a  $H_{\text{SWIR}}$  cloud mask proposed in Wang and Hu (2020) was applied to mask the cloud-  
 233 contaminated pixels. Instead of directly applying the single threshold over the entire image, the

234 H\_SWIR cloud mask conducts the image segmentation after estimating the scaled reflectance by  
235 subtracting background reflectance (Eq. (3)).

$$236 \quad Rrc_{SWIR_{dns}} - Rrc_{SWIR_{bkg}} > T_{SWIR} \quad (3)$$

237 where  $Rrc_{SWIR_{dns}}$  is the denoised  $Rrc_{SWIR}$  with Gaussian filtering, and  $Rrc_{SWIR_{bkg}}$  is the  
238 estimated background value of the  $Rrc_{SWIR}$ . The parameters selected for the MSI data have been  
239 discussed in Wang and Hu (2020). For the OLI data, the SWIR band centered at 1609 nm was  
240 applied to generate a similar H\_SWIR cloud mask, which has demonstrated satisfactory  
241 performance on the tested OLI images through visual inspection.

242 **On Dove images**, cloud features are highly variable, making it challenging to effectively identify  
243 them. Additionally, even under moderately thick clouds, it is still possible to determine the  
244 *Sargassum* presence. Therefore, only those pixels with blue radiance greater than  $17 \text{ W}\cdot\text{sr}^{-1}\cdot\text{m}^{-2}$   
245 were masked as invalid observations. **The WV-2 images** used in this study are mostly cloud free,  
246 and cloud masking was not considered.

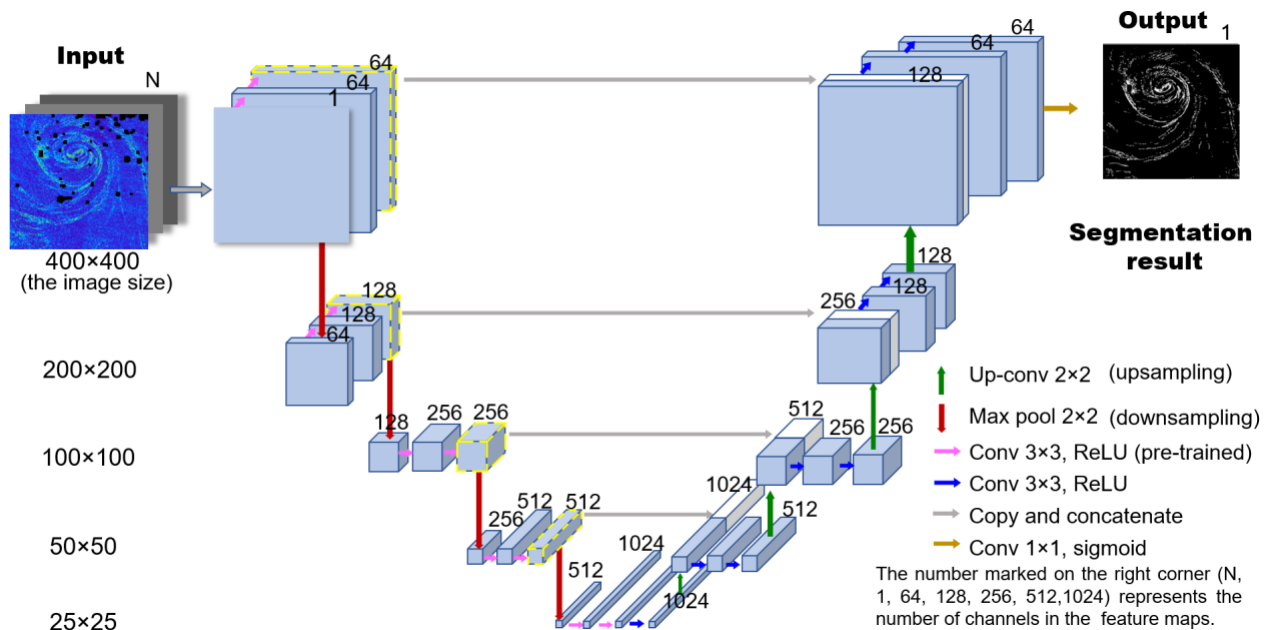
## 247 **2.2.2 VGGUnet model for *Sargassum* extraction**

### 248 **2.2.2.1 Model structure**

249 In this study, a deep learning framework (the VGGUnet model) combined with a U-net structure  
250 and the VGG-16 encoder was designed for *Sargassum* extraction from high-resolution satellite  
251 images. The U-net architecture was first proposed by Ronneberger, Fischer, & Brox (2015) for  
252 image segmentation on biomedical images. This unique architecture is able to capture context, as  
253 well as to precisely locate targeted features, thus has been tested for feature detection tasks on  
254 remote sensing images and achieved superior performance than traditional approaches (Igloukov,

255 Mushinskiy, & Osin, 2017; Li et al., 2020). It was also found that using the pre-trained encoder  
 256 optimized on the Images-Net dataset can further improve the segmentation performance (Deng et  
 257 al. 2009; Iglovikov, & Shvets, 2018). Therefore, the pre-trained weights from the VGG16 model  
 258 (Simonyan, & Zisserman, 2014, see the purple arrows in Fig. 4) were used in the VGGUnet model.  
 259 The detailed structure is introduced in Fig. 4. The total number of parameters was 35,120,069, of  
 260 which 20,397,571 were trainable and 14,722,498 were non-trainable (adopted from the VGG16  
 261 model).

262 The input of the VGGUnet model can be either single-band or multi-spectra images. Because  
 263 *Sargassum* shows enhanced signals and distinctive spatial patterns on the FAI images, FAI images  
 264 were selected as the model input to determine the *Sargassum* locations on MSI, OLI, and WV-2  
 265 images. For Dove, the three-band RGB images were used as the model input due to the lack of  
 266 NIR bands. The model output are the extracted feature pixels, which refer to the *Sargassum*-  
 267 containing pixels in this study.



268  
 269 **Fig. 4. The structure of the VGGUnet model**, adapted from the U-net with VGG16 encoders (Simonyan,  
 270 & Zisserman, 2014). It consists of a contracting path (left side, taken from the VGG16 model) and an

271 expansive path (right side, following the classic U-net design). Each blue cube represents a multichannel  
 272 feature map. The white cubes represent the copied feature maps (indicated by the yellow dashed lines and  
 273 the gray arrows). The image size in each of the 5 rows is marked in the first column (e.g.,  $100 \times 100$ ). The  
 274 number of channels of each feature map is annotated on the upper right corner. For example, the N marked  
 275 on top of the input image means that there are N spectral bands in the input image and the 1 marked on top  
 276 of the output image means that there is only one channel in the output layer. Batch normalization was  
 277 applied to normalize each convolutional block (Ioffe & Szegedy, 2015). The Rectified Linear Unit (ReLU)  
 278 was used as the primary activation function. The sigmoid activation function was selected in the final output  
 279 layer to determine the segmentation results. Note that the model input is flexible, where multispectral data  
 280 can be used.

### 281 2.2.2.2 Model training and prediction

282 To optimize the VGGUnet model for the specific feature extraction tasks, the corresponding  
 283 training datasets (consisting of the input images and the segmentation results) were prepared. Here,  
 284 the ground “truth” of the *Sargassum* extraction results were generated using a semi-automatic IDL  
 285 feature extraction Graphic User Interface (GUI, Wang & Hu, 2015). A total of 3,289 sub-images  
 286 were prepared for MSI FAI images (from 14 MSI image tiles), 1,444 sub-images were selected for  
 287 OLI FAI images (from 4 OLI image scenes), 682 sub-images were selected for WV-2 FAI images  
 288 (from 3 WV-2 images), and 1791 sub-images were prepared on Dove RGB images (from 12 Dove  
 289 images). These extraction results were cut into  $400 \times 400$  sub-images to train the extraction model.  
 290 Because there are already sufficient training images prepared for each sensor under various  
 291 conditions, data augmentation techniques were not used.

292 During model optimization, the Jaccard Index (JI, Eq. (4)) was monitored to determine the  
 293 similarity between the model outputs and the training data.

$$294 \quad JI(y_{pred}, y_{true}) = \frac{1}{n} \sum_{i=1}^n \frac{y_{pred} y_{true} + smooth}{y_{pred} + y_{true} - y_{pred} y_{true} + smooth} \quad (4)$$

295 where  $y_{pred}$  is the continuous prediction probability values ( $y_{pred} \in [0, 1]$ ) and  $y_{true}$  is the binary  
 296 values from the ground-truth results ( $y_{true} \in \{0, 1\}$ ). The smooth term is 1. Then, the degree of  
 297 prediction inconsistency can be defined as the Jaccard Distance shown in Eq. (5).

298 
$$JD(y_{pred}, y_{true}) = -\log JI(y_{pred}, y_{true}) \tag{5}$$

299 Because image segmentation is essentially a one class classification problem, the loss function L  
 300 was defined as the JI after adding the binary cross-entropy term H (Eqs. (6-7)).

301 
$$H(y_{pred}, y_{true}) = \frac{1}{n} \sum_{i=1}^n (y_{pred} \log(y_{true}) + (1 - y_{pred}) \log(1 - y_{true})) \tag{6}$$

302 
$$L(y_{pred}, y_{true}) = H(y_{pred}, y_{true}) + JD(y_{pred}, y_{true}) \tag{7}$$

303 The Adaptive Moment estimation (adam) optimizer (Kingma & Ba, 2014) was applied for model  
 304 optimization. The initial learning rate was 0.001. When the loss function failed to improve after  
 305 two consecutive epochs, the learning rate would then be reduced by 20% for finer tuning. In our  
 306 experiments, all models were trained for 200-300 epochs as stable performance was often achieved  
 307 by that time, with high JI values in the training and validation dataset. Table 2 summarizes the  
 308 estimated training time used on each dataset. In all four cases, the models can be effectively  
 309 optimized within 24 hours.

310 **Table 2.** The **approximate training time** of the VGGUnet model used on the high-resolution training  
 311 images. Here all the sub-images are  $400 \times 400$  pixels. The number in the bracket indicates the number of  
 312 original images that these sub-images were selected from. In this paper, the experiments were conducted  
 313 on the same PC with Intel(R) Core(TM) i9-9900 CPU @ 3.30GHz and a Nvidia GeForce RTX 2080 Ti  
 314 GPU. Here, the batch size of 6 was used in model training due to limited memory availability.

Data	MSI	OLI	WV-2	Dove
Number of sub-images selected for training	3289 (14)	1444 (4)	682 (3)	1791 (12)
Batch size	6	6	6	6
Number of epochs trained	200	200	300	300
Average running time per epoch	257s	104s	48s	102s
Model training time	14.3 hours	5.8 hours	4.0 hours	8.5 hours

315  
 316 To use the VGGUnet model for *Sargassum* detection, input large satellite images (FAI or RGB)  
 317 were cut into  $416 \times 416$  sub-images. As the prediction accuracy could decrease along image edges



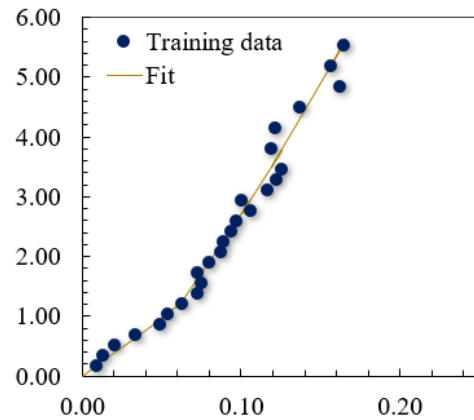
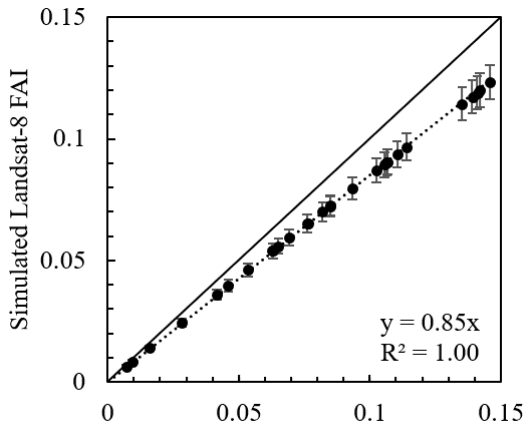
318 (the boundary effect, Iglovikov, Mushinskiy, & Osin, 2017), these sub-images were prepared with  
 319 redundant edges (8 pixels outward on four directions) and only the prediction results from the  
 320 image center (with 400×400 pixels) were merged back to generate the final extraction results.

321 **2.2.3 *Sargassum* biomass density quantification**

322 To quantify *Sargassum* biomass density, the background FAI values were first estimated to  
 323 account for the reflectance variations of the background water. The background FAI values were  
 324 then subtracted to calculate the scaled FAI to estimate the corresponding biomass density. This is  
 325 the same method as discussed in Wang and Hu (2020) for the MSI data. For the OLI data, the  
 326 background estimation parameters and the FAI-biomass models were similarly applied, through  
 327 an iterative median filtering (with a 200 × 200 window) and the following FAI-biomass model  
 328 (Eq. (8) and Fig. 5b).

329 
$$y = 22.89x \quad (0 < x \leq 0.05)$$
  
 330 
$$y = 57.42(1.18x - 0.06)^2 + 36.00(1.18x - 0.06) + 1.17 \quad (x > 0.05) \quad (8)$$

331 where x is the OLI FAI values and y is the modeled *Sargassum* biomass density (kg/m<sup>2</sup>).



332

333 **Fig. 5.** (a) Comparison between *in situ* OLI FAI and simulated OLI FAI, with the latter being simulated by  
334 propagating *in situ* OLI FAI to top of atmosphere with aerosol optical thickness at 869 nm,  $\tau_a(869) = 0.10$ ,  
335 averaged under different aerosol types and viewing geometry. Here, *in situ* OLI FAI stands for the FAI  
336 value calculated from the field-measured *Sargassum* spectra using the spectral response functions of the  
337 corresponding OLI bands. The solid line is the 1:1 line and the dashed line is the fitted line. The standard  
338 deviations of the simulated FAI are indicated by the vertical error bars. (b) *Sargassum* biomass density  
339 ( $\text{kg}/\text{m}^2$ ) versus *in situ* OLI FAI.

#### 340 **2.2.4 *Sargassum* areal coverage quantification**

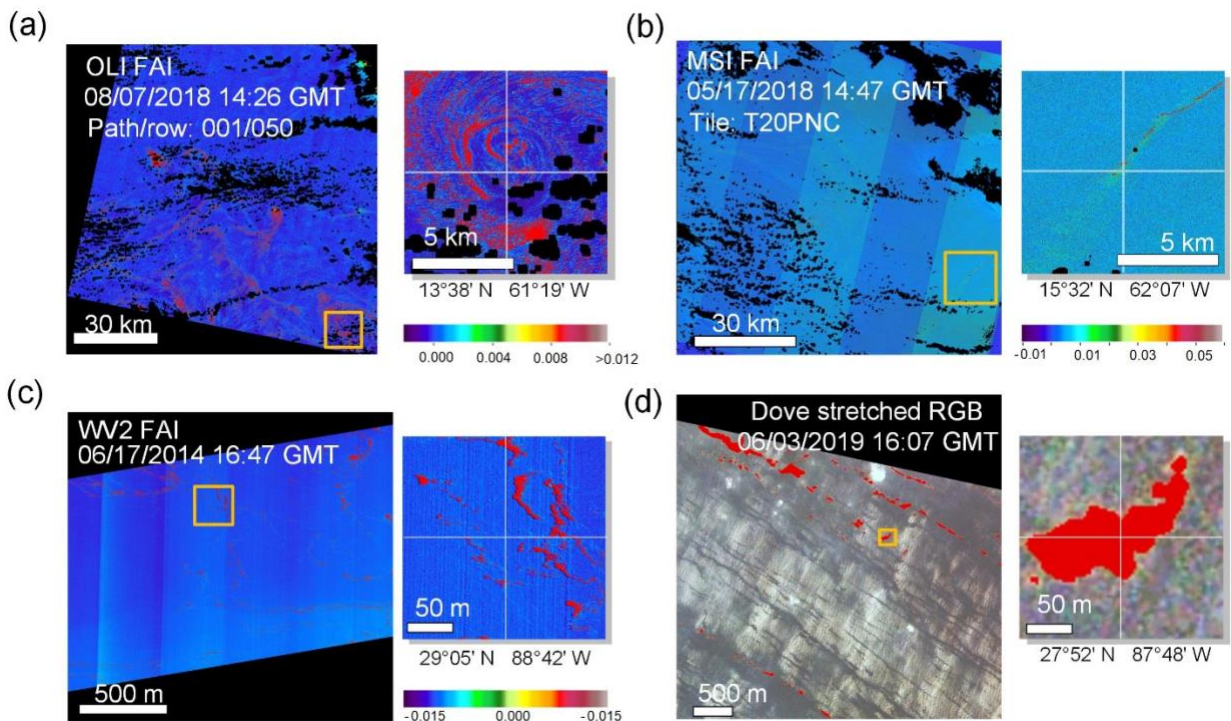
341 Considering the high spatial resolution of Dove and WV-2 and the difficulties of conducting  
342 accurate biomass quantification, all the *Sargassum*-containing pixels extracted from these two  
343 sensors were assigned 100% subpixel *Sargassum* areal coverage. For example, on the 3-m  
344 resolution Dove images, each extracted *Sargassum*-containing pixel was assumed to have 9  $\text{m}^2$  of  
345 *Sargassum*.

346 To compare with the Dove-derived *Sargassum* measurements, the *Sargassum* areal coverages  
347 derived from OLI and MSI were quantified through linear unmixing using a full-coverage  
348 threshold. Those pixels with biomass densities lower than the threshold were linearly unmixed to  
349 calculate the fractional coverage, while pixels with higher biomass densities were treated to have  
350 100% *Sargassum* coverage (i.e. 900  $\text{m}^2$  for a 30-m OLI *Sargassum*-containing pixel and 100  $\text{m}^2$   
351 for a 10-m MSI *Sargassum*-containing pixels). The full coverage thresholds were selected to be  
352 the biomass densities when FAI equals to 0.05 (the turning point of changing from linear to  
353 nonlinear relationships in the FAI-biomass model), and the values for the Sentinel-2A MSI,  
354 Sentinel-2B MSI, and Landsat-8 OLI data are 0.96, 1.24, and 1.17  $\text{kg}/\text{m}^2$ , respectively. For MODIS  
355 data, the areal coverages were estimated using the method described in Wang and Hu (2016).  
356 When comparing Dove with coarser-resolution sensors, because only the dense *Sargassum* mats  
357 can show up in the high-resolution RGB images (Fig. S6), we did not perform linear unmixing but  
358 assumed that every extracted Dove pixel had full *Sargassum* coverage.

359 **3. Results**

360 **3.1 *Sargassum* extraction performance from high-resolution satellite images**

361 To evaluate the extraction accuracy, the proposed approach was tested on a separate group of  
362 representative MSI, OLI, Dove, and WV-2 images. The extraction results were then compared  
363 with the manually extracted “ground truth” features (Wang & Hu, 2015) to generate the  
364 corresponding F1 score (Chinchor & Sundheim, 1993). Fig. 6 illustrates the *Sargassum* extraction  
365 results from the high-resolution satellite images listed in Fig. 2. From visual inspection,  
366 satisfactory performance was achieved: no apparent noise signals were misidentified as *Sargassum*  
367 features (i.e., low false positives), and the *Sargassum*-containing pixels were mostly detected (i.e.,  
368 low false negatives).



369  
370 **Fig. 6. *Sargassum* extraction from high-resolution satellite images using the VGGUnet model.** These  
371 are the same images as shown in Fig. 2. (a) – (c): OLI, MSI, and WV-2 FAI images, respectively. (d) Dove  
372 stretched RGB images. The extracted *Sargassum*-containing pixels (colored in red) are overlaid on the  
373 images.

374 The extraction accuracy from individual sensors is listed in Table 3, including their false positive  
375 rates, false negative rates, precision, recall, and F1 score. Because the “ground truth” was not  
376 obtained from field measurements but generated from the manual work from the validation images,  
377 such the accuracy should be regarded as self-consistency accuracy.

378 On the MSI FAI images, the overall *Sargassum* extraction accuracy, after weighted by the biomass  
379 density, is ~90%. This is higher than the accuracy of the previous TNRD-based *Sargassum*  
380 extraction method (86%, Wang & Hu, 2020). Most of detection errors (either false positives or  
381 false negatives) are from pixels of relatively low biomass densities. The precision and recall rates  
382 are both > 85%, suggesting that most of the *Sargassum*-containing pixels can be accurately  
383 detected, and most detected candidate pixels contain *Sargassum*. Comparatively, small *Sargassum*  
384 patches are relatively harder to identify than larger *Sargassum* patches, but most features can still  
385 be effectively detected (Table S1).

386 On most OLI FAI images with large *Sargassum* coverages, the extraction accuracy is > 95% in  
387 terms of *Sargassum* biomass densities. The precision and recall rates are both higher than those  
388 from the MSI FAI images. The higher accuracy is likely due to the larger pixel size and less noise  
389 interference (such as wave glitters) than found in MSI FAI images.

390 Due to the higher spatial resolution and larger image size, for WV-2 FAI images and Dove RGB  
391 images, only a limited number of images were selected to evaluate the extraction accuracy. The  
392 areal coverage (as opposed to biomass density) was used to evaluate the accuracy. Table 3 shows  
393 that the accuracy for WV-2 is almost perfect (F1 score = 0.98). Even with 3 spectral bands in the  
394 visible wavelengths, Dove images still show promising performance, with F1 score greater than  
395 0.8.

396 Overall, when evaluated using images collected by the same sensor, the approach shows F1-score  
 397 of  $\geq 0.90$  except for the 3-band Dove images. Even for these images without the NIR bands, the  
 398 F1-score is still 0.82, suggesting that the approach may be used to extract *Sargassum* features  
 399 automatically.

400 **Table 3. *Sargassum* extraction accuracy on MSI, OLI, WV-2, and Dove images using the VGGUnet**  
 401 **model.** For MSI and OLI, the biomass density (weighted by sub-pixel coverage) was compared between  
 402 model results and “ground truth” images derived from the same sensor. For WV-2 and Dove, every detected  
 403 *Sargassum* pixel is assumed to have 100% subpixel coverage in such comparisons. The accuracy can only  
 404 be higher if each pixel is weighted by its sub-pixel coverage, as larger *Sargassum* feature are more likely  
 405 to be accurately identified. The number of images in the table means number of original images, not the  
 406  $400 \times 400$  sub-images.

	Number of images	Mean % of valid observations	False positive	False negative	Precision	Recall	F1 score
<b>MSI</b>	10	77%	0.05	0.15	0.95	0.85	<b>0.90</b>
<b>OLI</b>	8	50%	0.06	0.11	0.94	0.90	<b>0.92</b>
<b>Dove</b>	2	57%	0.38	0.04	0.72	0.96	0.82
<b>WV-2</b>	1	100%	0.01	0.04	0.99	0.96	0.98

407

### 408 3.2 Comparison of *Sargassum* amount estimated from Dove, MSI, OLI, and MODIS

409 One fundamental question on *Sargassum* detection using coarse-resolution satellite sensors such  
 410 as MODIS is how much *Sargassum* may be missed. Now with the known accuracy of the proposed  
 411 approach in extracting *Sargassum* features from multiple high-resolution sensors (Dove, MSI, and  
 412 OLI) and with the availability of a large quantity of high-resolution images, this question may be  
 413 addressed.

414 As shown in Fig. 7, the 3-m Dove images have much higher daily coverage over the GOM than  
 415 other high-resolution sensors. Indeed, the PlanetScope constellation provides the only data source  
 416 to cover the entire GOM nearly every day at 3-m resolution. Furthermore, nearly all *Sargassum*  
 417 features extracted from MSI and OLI images are clearly revealed in the corresponding Dove

418 images (Fig. 8), therefore, *Sargassum* extraction results from the 12,024 Dove images collected  
419 over the GOM on 3 June 2019 and 5 June 2018 were used as the truth to evaluate the extraction  
420 uncertainties from MODIS, MSI, and OLI images collected from the same locations and same day  
421 as the Dove images. As a visual demonstration, Fig. 9 shows the spatial distributions of *Sargassum*  
422 abundance in each 1° grid over the two days. To have an apples-to-apples comparison, the results  
423 shown in each grid are from the common areas where both sensors have valid measurements. The  
424 ratio between the two sensors (MODIS/Dove) on their estimated *Sargassum* abundance in each  
425 grid from their common areas is also shown in Fig. 9. From the ratio images, it is clear that, in  
426 most cases, MODIS estimates are lower than Dove estimates (i.e., ratio < 1.0), especially in the  
427 western GOM when the *Sargassum* amount is relatively low. In the eastern GOM where both  
428 sensors show higher *Sargassum* amounts than in the western GOM, MODIS estimates can  
429 occasionally exceed Dove estimates, likely due to mismatch between the two measurements over  
430 the fast moving *Sargassum* features under the influence of the Loop Current. Overall, from their  
431 common valid areas, on 5 June 2018 Dove detected ~54.7 km<sup>2</sup> of *Sargassum*, ~200% greater than  
432 the MODIS detection (~18.4 km<sup>2</sup>). On 3 June 2019, Dove detected 50.0 km<sup>2</sup> of *Sargassum*, ~160%  
433 more than the MODIS detection (19.3 km<sup>2</sup>).

434 The underestimation by MODIS can also be quantified statistically, as shown in Fig. 10a. From  
435 the 37 1° grids, on average, Dove shows 156% higher *Sargassum* estimates than MODIS. Although  
436 the number varies across different grids, these comparisons clearly show that, on average,  
437 *Sargassum* estimates from MODIS images should only represent a lower bound, as the “missing”  
438 *Sargassum* can be > 150% of those estimated from MODIS.

439 Similar comparisons can also be obtained between Dove and MSI, and between Dove and OLI for  
440 the common valid areas (Figs. 10b & c). Dove consistently observed 368% more *Sargassum* than

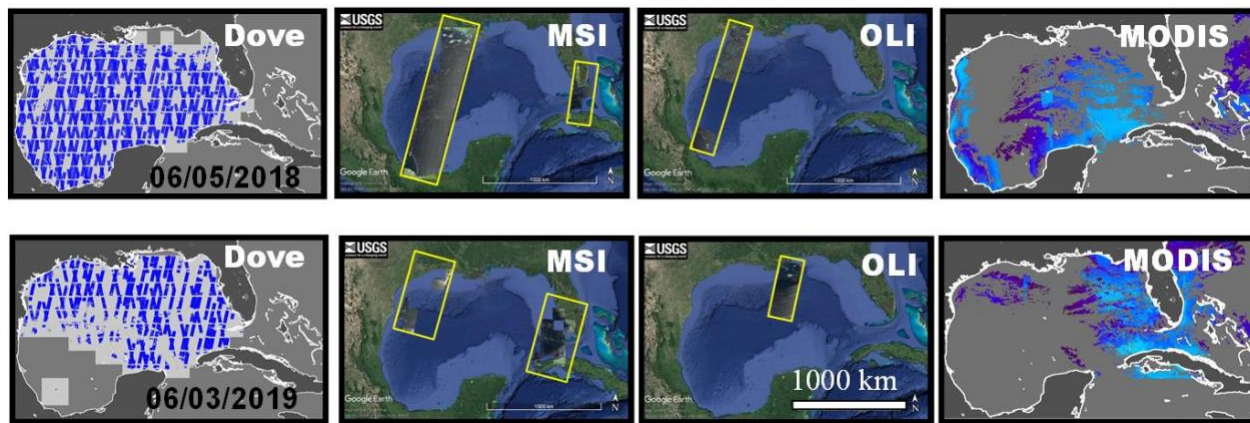
441 MSI and 69% more *Sargassum* than OLI. The difference between Dove and OLI is lower than  
442 between Dove and MSI, suggesting that OLI can detect more *Sargassum* than MSI. Indeed, Fig.  
443 8c and Fig. 10d both show that the matching *Sargassum* features are more “detectable” on the OLI  
444 FAI than on the MSI FAI. This is mostly attributed to the higher SNRs of the OLI NIR bands  
445 (Pahlevan et al., 2017), as sub-pixel detection limit (in %) is primarily a function of the sensor’s  
446 SNRs (Hu et al., 2015; Qi and Hu, 2021). If summed up over all the matching image pairs, the  
447 total *Sargassum* coverage derived from Dove is 10.0 km<sup>2</sup>, ~368% higher than the MSI estimates  
448 (2.1 km<sup>2</sup>). Similarly, the total *Sargassum* coverage derived from the matching Dove images is 29.1  
449 km<sup>2</sup>, ~70% larger than the OLI estimates (17.2 km<sup>2</sup>).

450 The MSI and OLI images were also compared with MODIS observations to evaluate the cross-  
451 sensor uncertainties in *Sargassum* estimates. Forty-five MSI images (tile: T20PNC) and fourteen  
452 OLI images collected in 2018 near the Lesser Antilles Islands were compared with the same-day  
453 MODIS measurements over their common valid areas. The total *Sargassum* biomass in the match-  
454 up areas from MODIS and MSI or OLI were summarized in Figs. 10e-f.

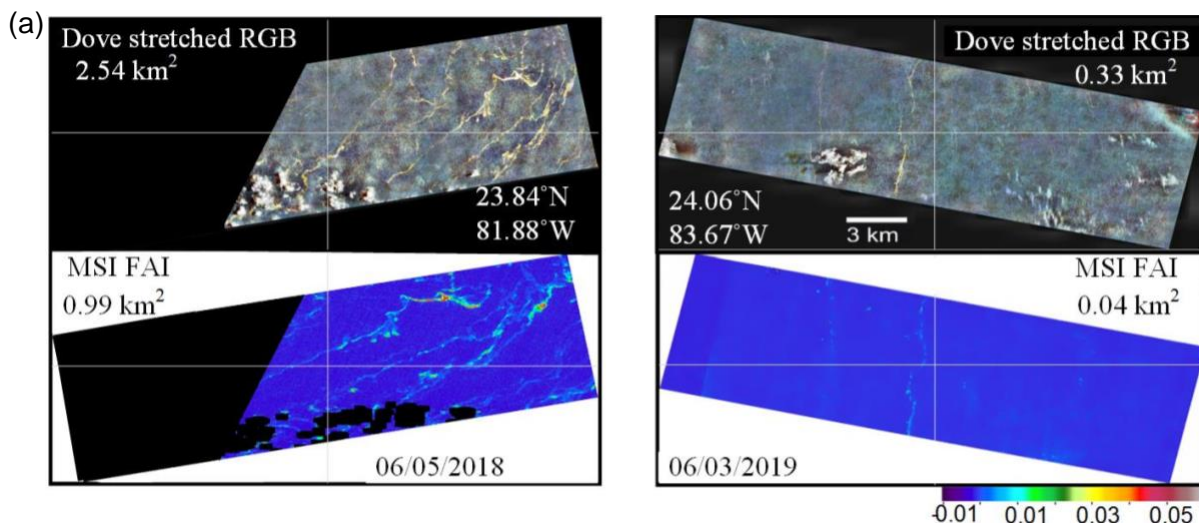
455 Overall, the relationship between MSI and MODIS is less clear ( $R^2 = 0.61$ , Fig. 10e) than between  
456 OLI and MODIS ( $R^2 = 0.98$ , Fig. 10f) or between MSI and OLI ( $R^2 = 0.73$ , Fig. 10d). The data  
457 spread in the MSI-MODIS relationship is similar to the observations in Wang and Hu (2020) where  
458 a different region and a different extraction method were used to extract *Sargassum* features from  
459 MSI images. As shown in Wang and Hu (2020), the potential reasons behind the data spread could  
460 be related to the finer MSI spatial resolution and the false-negative detection of small *Sargassum*  
461 features on MSI images. In contrast, the *Sargassum* estimates from OLI and MODIS are very  
462 consistent ( $R^2 = 0.98$ , Fig. 10f), although the biomass estimated from OLI is mostly higher than



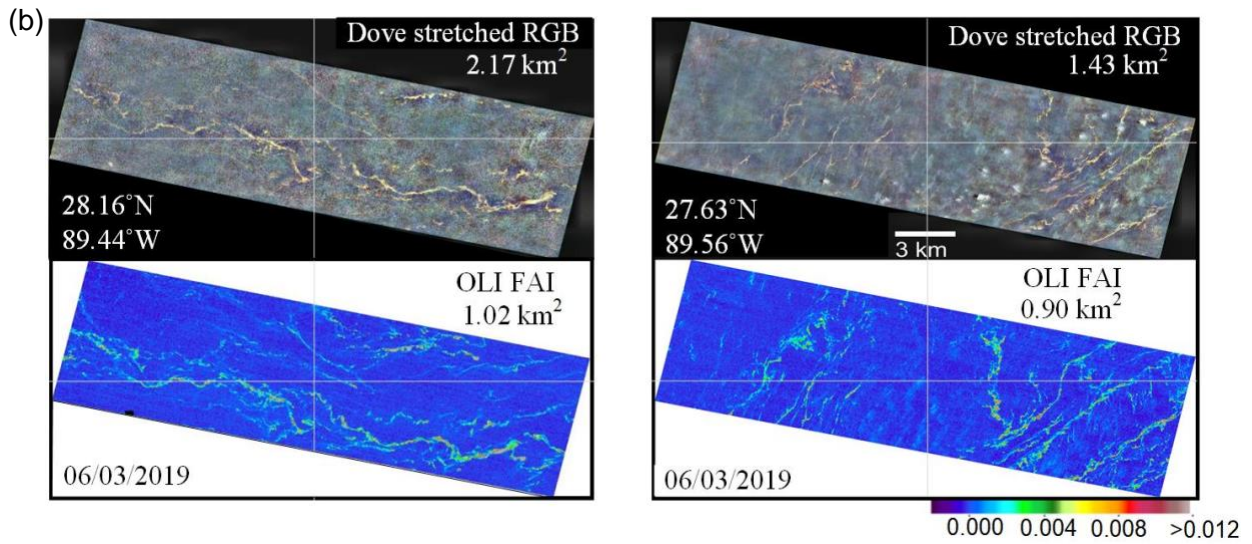
463 from MODIS. If summed up from the listed matching image pairs, OLI detected 62.3 kilotons of  
 464 *Sargassum*, ~29% higher than the MODIS estimates (55.2 kilotons).



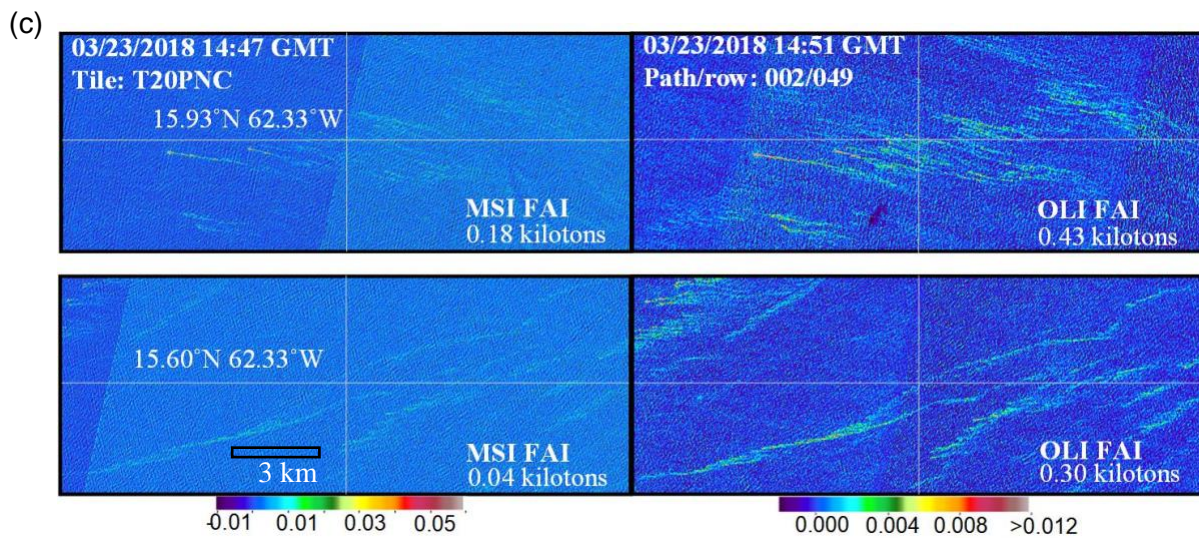
465  
 466 **Fig. 7.** Comparison of daily coverages of the data collected by Dove, MSI, OLI, and MODIS sensors on 5  
 467 June 2018 (top row) and on 3 June 2019 (bottom row). The purple to blue color and the yellow boxes  
 468 highlight the areas where Dove, MSI, OLI, or MODIS has valid measurements.





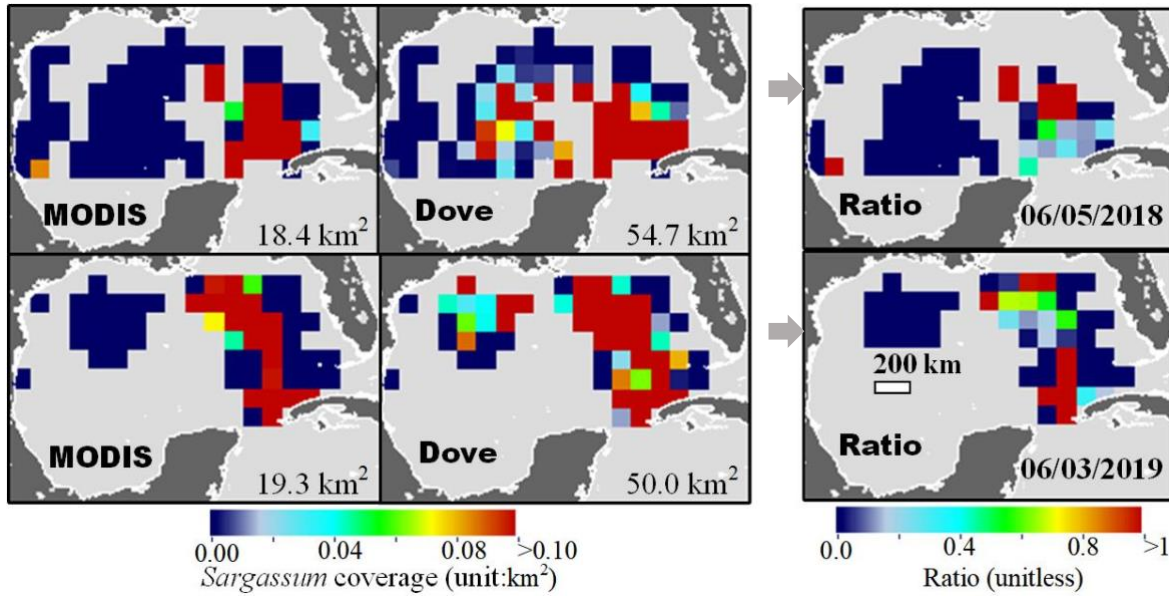


470



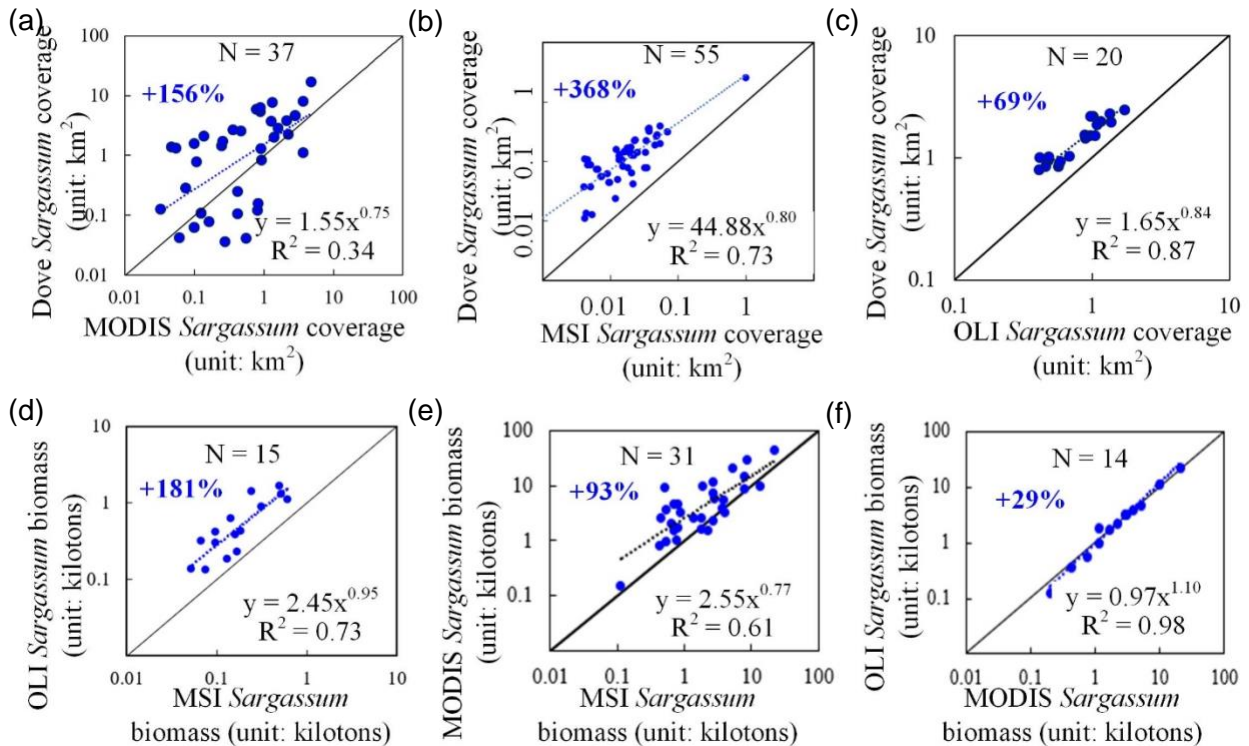
471

472 **Fig. 8.** (a-c) Comparison of image characteristics of quasi-simultaneous MSI, OLI and Dove image pairs,  
 473 where the MSI and OLI images are cropped to match the same-day Dove images. The Dove images are  
 474 color stretched using a contrast limited adaptive histogram equalization to enhance the contrast between  
 475 *Sargassum* features and background water. The center coordinates and the extracted *Sargassum* biomass or  
 476 areal coverages are labeled on the corresponding images. No *Sargassum* extraction method was applied to  
 477 these images.



478

479 **Fig. 9.** Comparison of *Sargassum* coverage derived from MODIS and Dove over each 1° grid for 5 June  
 480 2018 and for 3 June 2019. The total *Sargassum* coverage measured from the common areas are marked on  
 481 the bottom right corners of MODIS and Dove images. The ratio images show the ratios of MODIS/Dove  
 482 derived *Sargassum* areas. Note that the data coverages of the ratio images are smaller than the common  
 483 areas because on some of the common grids Dove measured no *Sargassum* (the denominator) and their  
 484 ratio values are invalid. On 5 June 2018 and 3 June 2019, the common areas are ~150,000 km<sup>2</sup> and ~80,000  
 485 km<sup>2</sup>, respectively. Gray color indicates non-common area due to no valid observations from either sensor.  
 486 The *Sargassum* areal coverages in many grids are much higher than the top color of 0.1 km<sup>2</sup> (the highest  
 487 value here is ~17 km<sup>2</sup>). This colorbar was applied to emphasize the scattered small *Sargassum* features in  
 488 the western GOM that are not observed in MODIS images. The match-up data are used to derive the  
 489 relationships shown in Fig. 10a.



490

491 **Fig. 10.** Comparison of *Sargassum* biomass or coverages estimated from quasi-simultaneous MODIS, MSI,  
 492 OLI, and Dove image pairs. Each dot represents the result from one pair of images. The black lines are the  
 493 1:1 lines, while the dotted blue lines are the linear fits in log space. The number of image pairs and the fitted  
 494 equations are marked on the corresponding scatter plots. The relative differences (i.e.,  $(y-x)/x$ ) of the total  
 495 *Sargassum* amount or area estimated from the two sensors in all the matching points are labeled in blue.  
 496 Note that *Sargassum*-containing pixels from Dove are assumed to have 100% sub-pixel coverage while  
 497 from other sensors are weighted by the sub-pixel coverage using the linear unmixing model.

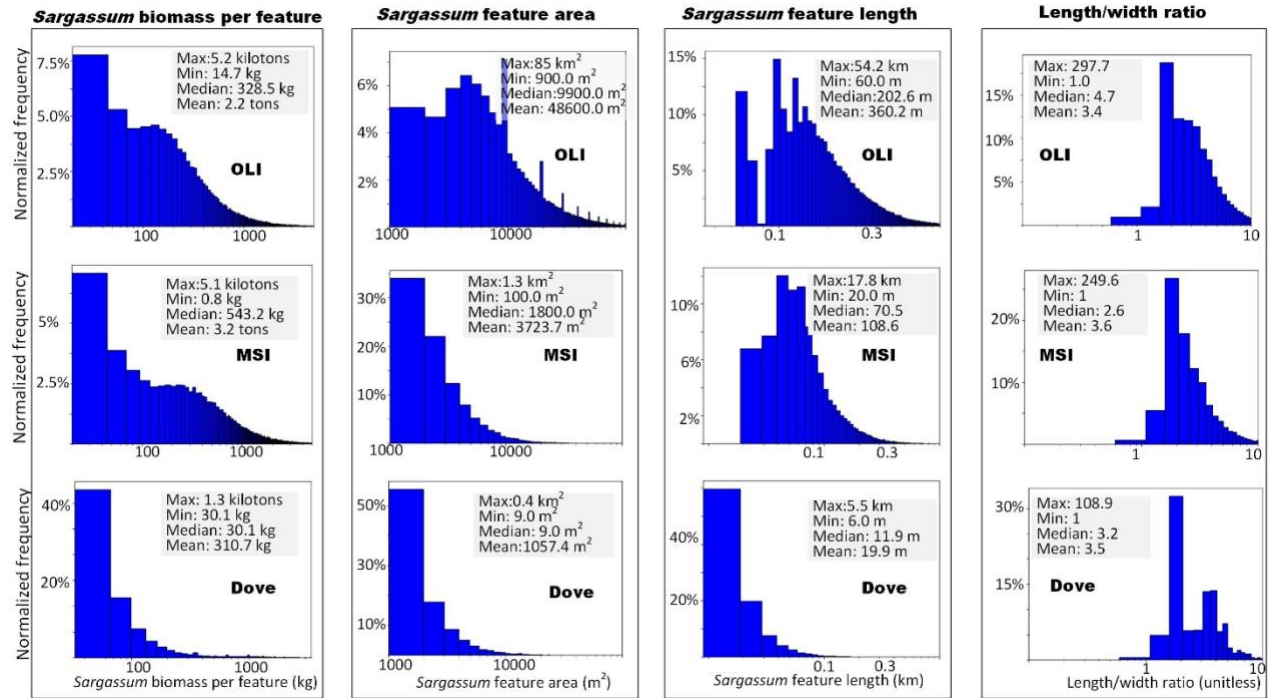
498 **3.3 Size, biomass, and morphology of *Sargassum* features observed from MSI, OLI, and**  
 499 **Dove images**

500 In addition to *Sargassum* abundance and distribution, characteristics of individual *Sargassum*  
 501 features are also important for a number of reasons, for example to help implement plans for  
 502 physical removal. Similar to Wang and Hu (2020), this study uses the following parameters to  
 503 characterize individual features: biomass (kg), size (m<sup>2</sup>), length (m), and length/width ratio. Fig.  
 504 11 shows that these parameters differ among the three sensors. Here, 22 MSI and 16 OLI images  
 505 collected near the Lesser Antilles Islands (tile T20PNC and path/row: 001/050) and 4,375 Dove  
 506 images collected in the GOM on 3 June 2019 were used to characterize *Sargassum* features. The

507 feature morphology (size, length, length/width ratio) was calculated after applying a  
508 morphological close operation using a 3×3 pixel window. Then, for MSI and OLI, biomass of each  
509 feature was estimated using the corresponding FAI-biomass model (Wang et al., 2018; Wang &  
510 Hu, 2020). For Dove, biomass of each feature was estimated from the areal coverage after applying  
511 a conversion factor derived from the field (3.34 kg/m<sup>2</sup>, Wang et al., 2018).

512 As shown in Fig. 11, the number of *Sargassum* features decreases sharply with increasing size and  
513 biomass. Although the size and length of average features from OLI are both much higher than  
514 from MSI, the average biomass per feature is rather similar between the two sensors, suggesting  
515 that that biomass density in the “extra” *Sargassum* area in OLI images (compared to MSI images)  
516 is rather low. This is because of the higher SNRs of OLI than MSI. Overall, with finer resolution,  
517 Dove-detected *Sargassum* features are much smaller, and their corresponding biomass per feature  
518 is also much lower. Because of the finer resolution, these characteristics are closer to the truth than  
519 those estimated from OLI or MSI. In contrast, regardless of the resolution, *Sargassum* are  
520 consistently observed as elongated features with mean length/width ratios of 3 – 5.





521

522 **Fig. 11.** Characteristics of individual *Sargassum* features derived from OLI (N = 16), MSI (N = 22), and  
 523 Dove (N = 4,375) images. For each dataset, the normalized distributions of *Sargassum* biomass per feature,  
 524 feature size, feature length, and length/width ratio are plotted. The maximum, minimum, median, and mean  
 525 values are annotated on the corresponding plots. Most *Sargassum* features have relatively small size and  
 526 low biomass, and smaller features are detected from the finer-resolution Dove images than from other  
 527 sensors.

## 528 4. Discussions

### 529 4.1. Where is the “truth”

530 Because of the large spatial and temporal coverages, satellite remote sensing is perhaps the most  
 531 reliable technique to observe large-scale *Sargassum* distributions and long-term changes.  
 532 However, because many *Sargassum* clumps or rafts are small and moving in the ocean (Butler,  
 533 Morris, Cadwallader, J., Stoner, 1983; Ody et al, 2019), it is nearly impossible to measure  
 534 *Sargassum* size and biomass in the field to match satellite pixels, and therefore it is extremely  
 535 difficult to validate satellite estimates in a quantitative way through field measurements. This is  
 536 similar to mapping other small features such as oil slicks and *Ulva* macroalgae. Then, how can the

537 uncertainties be quantified and how much *Sargassum* may be “missed” in the satellite  
538 observations? In other words, where is the “truth”?

539 Assuming that high-resolution sensors may provide estimates closer to the “truth”, one way to  
540 quantify uncertainties in coarse-resolution estimates is through comparison of the two, as shown  
541 in several previous studies (Hu et al, 2016b; Cui et al. 2018; Hu et al., 2019; Wang et al., 2021).  
542 Yet these studies only used a few images for the comparison due to the difficulties in analyzing  
543 the high-resolution data in an automatic fashion, making it hard to draw conclusions. The  
544 PlanetScope constellation is the only data source at 3-m resolution with daily coverage of the entire  
545 GOM, thus providing an excellent opportunity to evaluate uncertainties in the *Sargassum* estimates  
546 from coarse-resolution sensors. Using 12,024 Dove images as the reference, it was determined that  
547 all MODIS, MSI, and OLI sensors underestimated *Sargassum* coverage and biomass. Overall,  
548 Dove showed ~150%, ~360%, and ~70% more *Sargassum* than MODIS, MSI, and OLI,  
549 respectively, when assuming *Sargassum* pixels detected on Dove RGB images all have 100%  
550 coverage. However, these numbers all depend on whether most *Sargassum* in the ocean comes  
551 from large, dense rafts or smaller clumps, and therefore would vary with time and location.

552 The same argument also applies to Dove images, as some small *Sargassum* features may still be  
553 undetected in the 3-m Dove images. For this reason, the Dove estimates are not the “truth” itself,  
554 but can only be regarded as being closer to the “truth”. In fact, Dove estimates should only  
555 represent a lower bound of the true (actual) *Sargassum* abundance in the natural environment. In  
556 future studies, sensors with higher resolution or higher SNRs than Dove may be explored further  
557 to push the limit of satellite remote sensing of *Sargassum* and other macroalgae.

558 **4.2. Advantages and disadvantages of the VGGUnet model for *Sargassum* extraction**

559 This work is possible because of the use of deep learning techniques on the 3-band Dove images  
560 and other high-resolution images. Otherwise, due to the lack of spectral bands in the NIR  
561 wavelengths it is nearly impossible to extract accurate *Sargassum* features from the 12,025 images  
562 where confusion features such as clouds and cloud shadows are often found. Compared to the  
563 traditional machine-learning methods, deep learning techniques have the advantages of being a  
564 fast and reliable way to interpret vast amounts of satellite data (Figs. S2-S5 and Tables S2-S5).  
565 This is because many noisy features are spectrally similar to weak *Sargassum* features, and the  
566 traditional machine-learning methods only rely on the spectral information while the VGGUnet  
567 model relies also on the spatial context. Using the unique network structure, the VGGUnet model  
568 shows **robust performance** even with limited spectral bands, large background variations, and  
569 various confusing targets. This is especially important for high-resolution images where “noises”  
570 are highly variable, for example on the Dove images (see Fig S5 where the noises may be induced  
571 from clouds, sun glint, or wave glitter etc.). As the surface reflectance products are now provided  
572 from Planet Labs (Collison and Wilson, 2017), future studies may benefit from the improved data  
573 quality of Dove for better extracting *Sargassum* features using the deep learning methods. It is also  
574 noted that even when there are small errors in the manually prepared training data, the VGGUnet  
575 method can still be optimized to achieve satisfactory performance without bias. This is attributed  
576 to its ability to be trained to use not only the spectral information, but also the spatial context.

577 Another critical advantage of the proposed method is **its flexibility**. As demonstrated in this study,  
578 the VGGUnet model is easy to adapt to different type of satellite data or features through adjusting  
579 the input layer and optimizing the model parameters. The model input can be either single-band  
580 (e.g., FAI) or multispectral (e.g., RGB) images, depending on the specific feature characteristics.  
581 Likewise, when provided representative spatial and spectral patterns, the same model may also be

582 trained to detect other image features such as clouds, whiting, oil slicks, and other macroalgal  
583 blooms including *Ulva prolifera* blooms, and features on different satellite images such as the  
584 coarse-resolution MODIS/VIIRS images (results not shown here). Because of its high flexibility,  
585 the method might also be applied to discriminate seagrass from *Sargassum* in coastal environment,  
586 especially when considering that seagrass features are more spatially diffuse than *Sargassum*  
587 slicks.

588 **Moreover**, this extraction model **requires no threshold** in detecting the *Sargassum* features. The  
589 decision is purely made with the optimized model weights learned from the training processes.  
590 This would reduce the potential biases due to the selection of extraction thresholds during the  
591 traditional threshold-based segmentation (Wang & Hu, 2016; Hu et al, 2019).

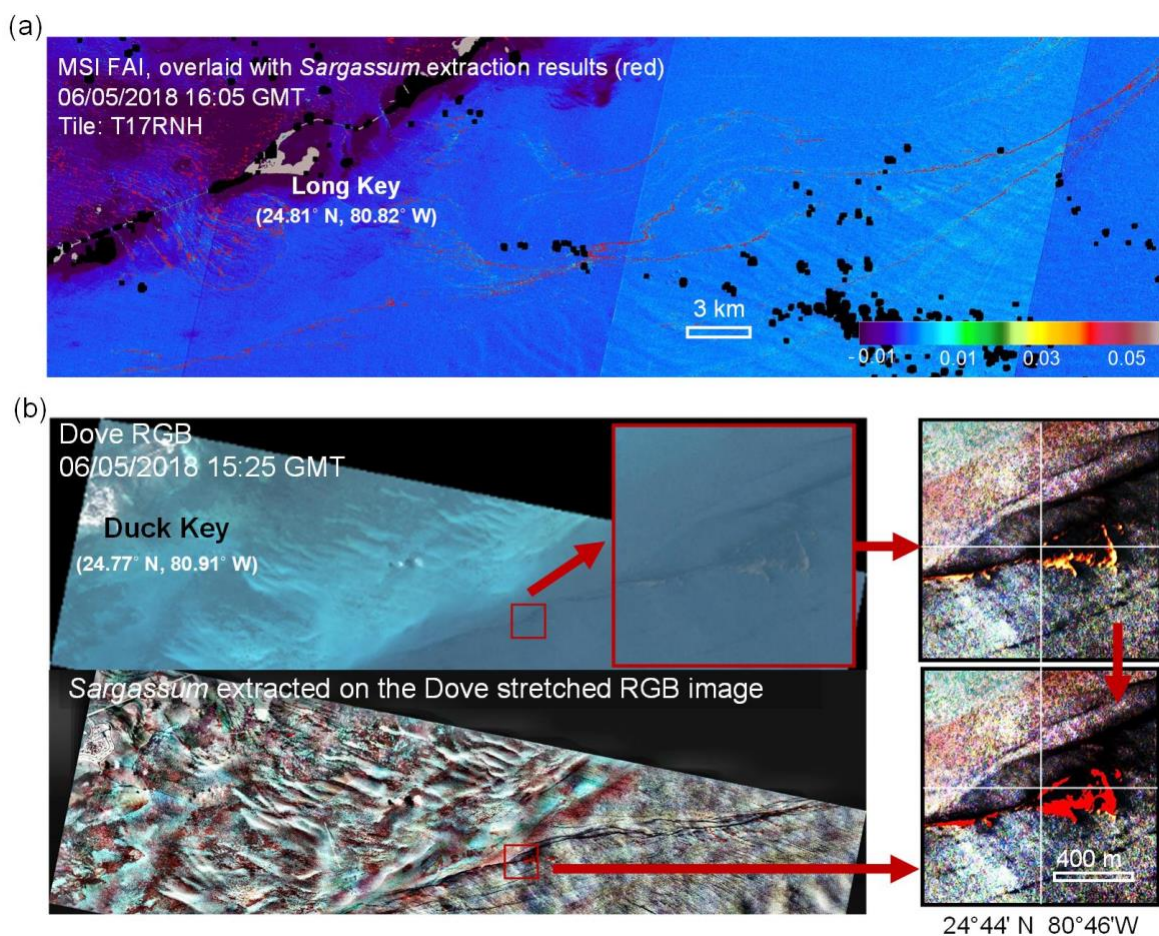
592 Finally, one disadvantage of the deep learning model is that the results can be difficult to interpret  
593 or diagnose, and the performance of the model strongly depends on the selection of representative  
594 training data. In contrast, for the traditional *Sargassum* detection methods, the rules for detecting  
595 the *Sargassum* features are more straightforward to understand, making it relatively easier to  
596 diagnose errors and improve performance (Wang & Hu, 2020). Nevertheless, with proper network  
597 structure and carefully selected training data, the deep learning model can greatly facilitate the use  
598 of vast amounts of high-resolution data in feature detection.

#### 599 **4.3. Near real-time *Sargassum* monitoring and tracking in nearshore waters**

600 The availability of the various types of high-resolution data, combined with the success of the  
601 VGGUnet model in extracting *Sargassum* features automatically, makes it possible to fill the data  
602 gaps in nearshore waters from the coarse-resolution *Sargassum* imagery products (Fig. 1b). For  
603 example, corresponding to the MSI FAI image in the nearshore waters around Florida Keys (Fig.



604 1c), the extraction results in Fig. 12a clearly reveal *Sargassum* slicks with fine details. Besides that,  
 605 Fig. 12b shows an example of the *Sargassum* slicks extracted from the 3-m Dove images collected  
 606 in the same area with even finer details. While the latency between satellite overpass and data  
 607 access is often less than a day, whether or not a near real-time system can be established to fully  
 608 use the high-resolution data depends on the processing speed, as high-resolution data have much  
 609 higher data volume (e.g., for the same area, a 3-m Dove image has >110,000 times more pixels  
 610 than the corresponding 1-km MODIS image).



611  
 612 **Fig. 12. *Sargassum* features extracted from high-resolution MSI and Dove images near the coast of**  
 613 **Florida Keys.** (a) MSI FAI image near Long Key in the Florida Keys, with *Sargassum* extraction results  
 614 overlaid in red. The color legend applies to FAI values. A portion of this image is shown in Fig. 1c. (b)  
 615 Dove RGB and stretched RGB images on the same day of the MSI image near Duck Key in the Florida  
 616 Keys. The sub-images to the right are the Dove stretched RGB images enlarged from the red box, where

617 *Sargassum* extraction results are overlaid in red. The central coordinates of the sub-image are labeled below  
618 the image.

619 Table 4 summarizes the approximate processing speed for *Sargassum* extraction from individual  
620 MSI, OLI, and Dove images. For an MSI FAI image with  $10,000 \times 10,000$  pixels, the *Sargassum*  
621 extraction time using the VGGUnet model is about 2 minutes (123.0 seconds), much lower than  
622 the time needed by the previous method where the TNRD denoising process alone takes about 11  
623 minutes (651 seconds, Wang & Hu, 2020). For OLI and Dove images, because the image sizes in  
624 terms of number of pixels are slightly smaller than MSI images, they require less time to extract  
625 the *Sargassum* features using the VGGUnet model (Table. 4). For a coastal region of  $1^\circ \times 1^\circ$  in the  
626 tropical or subtropical ocean, it takes about 42 Dove images and 71 minutes to process all images,  
627 thus meeting the requirement of near real-time monitoring. For the same  $1^\circ \times 1^\circ$  region, it takes  
628 only 2 minutes and 22 seconds to process one MSI and one OLI image, respectively.

629 A near real-time monitoring system also requires frequent **data coverage**. While MSI and OLI  
630 show better *Sargassum* extraction accuracy than Dove when their own images are used as the  
631 reference, only the latter can provide daily coverage. The 3-m resolution also makes it possible to  
632 “see” cloud-free pixels among small clouds, thus improving the spatial coverage. Therefore, a  
633 combination of all available Dove, MSI, and OLI images should be able to meet the critical  
634 requirement of a near real-time *Sargassum* monitoring and tracking system for targeted nearshore  
635 waters. We expect to implement such a capacity into the existing SaWS with the VGGUnet model  
636 in the near future.

637 **Table 4.** Approximate processing time for *Sargassum* extraction from MSI, OLI, and Dove images on a  
638 PC with IntelI CoreI i9-9900 CPU @ 3.30GHz and a Nvidia GeForce RTX 2080 Ti GPU. The estimated  
639 processing time is averaged over 22 MSI images with  $10,686 \times 10,866$  pixels per image, 10 OLI images  
640 with  $7,138 \times 7,391$  pixels per image, and 29 Dove images with  $\sim 8,000 \times 4,000$  pixels per image.

Sensor	MSI	OLI	Dove
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<b>Mean processing time per image</b>	123.0 seconds	85.5 seconds	101.6 seconds
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641

## 642 **5. Conclusion**

643 Using a deep convolutional neural network, this study designed a VGGUnet-based approach to  
644 automatically detect and quantify *Sargassum* macroalgae from various high-resolution images.  
645 Even with the complex ocean background and variable “noises”, experiments on the MSI, OLI,  
646 WV-2, and Dove images all achieved high self-consistency detection accuracy with fast processing  
647 speeds. Overall, this work provides a generic (i.e., applicable to other features such as oil slicks),  
648 concise, and effective tool for extracting *Sargassum* features from high-resolution satellite images,  
649 and will also satisfy the needs for near real-time *Sargassum* bloom monitoring in coastal regions.  
650 The work also enables a first-ever systematic, statistically meaningful way to evaluate how much  
651 *Sargassum* is “missed” by coarse-resolution sensors such as MODIS. Depending on the locations,  
652 all MSI, OLI, and MODIS sensors may miss considerable amount of *Sargassum* as compared with  
653 concurrent and co-located Dove (3-m resolution) estimates. However, as long as the  
654 underestimates are systematic rather than random, previous long-term MODIS estimates should  
655 be valid for long-term trend studies. Finally, following this work, the high-resolution MSI, OLI,  
656 and Dove images, once incorporated into the existing *Sargassum* Watch System, are expected to  
657 make significant improvements by filling the important data gaps in nearshore waters on a daily  
658 basis.

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