

1 **Running Head:** Migration and Orientation Behaviours

2

3 **Title:** How do fishes find the shore? Evidence for orientation to bathymetry from the non-
4 homing sea lamprey

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24 Orientation to a shoreline is the critical first step for aquatic organisms that navigate to
25 coastal waters, estuaries, and rivers to feed or reproduce. Most studies of animal migration has
26 focused on homing-based navigation while non-homing navigation is poorly understood. We
27 quantified the navigation behavior of sea lamprey during their non-homing return migration to a
28 coastline in the Laurentian Great Lakes. Acoustically-tagged sea lamprey were displaced 3.3 km
29 from shore into the center of an acoustic listening array that provided high resolution (30s
30 intervals, <5m accuracy) 3-dimensional paths. 81% of individuals arrived at the nearest coast by
31 moving towards shallower water. A biphasic sequence of movement was documented for most
32 individuals, a more tortuous movement closer to the bottom associated with orientation, and a
33 faster more linear movement we associate with directed search. Sea lamprey oriented to shallow
34 water even when that was not the shoreward direction, and did not appear to rely on memory or
35 recognition of the nearest coast. We postulate that individuals specifically performed
36 barokinesis, whereby individuals assessed the gradient in absolute hydrostatic pressure on the
37 bottom and choose a heading towards shallower water. Repeated excursions to the bottom may
38 confirm progress, while time spent at the surface is likely associated with surface-linked
39 olfactory cues that indicate proximity to river water entrained along the coast. This is the first
40 evidence that suggests the shoreward gradient in hydrostatic pressure may be used during
41 shoreward orientation, and may represent a class of sensory information not previously
42 considered in aquatic animal navigation.

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45 **Keywords:** Orientation, Non-homing Migration, Sea Lamprey, *Petromyzon marinus*,

46 Barokinesis, Hydrostatic Pressure

47 Each year a remarkable diversity of species undertake migrations that range from a few to
48 thousands of kilometers. Much progress has been made in understanding the navigational
49 mechanisms that guide natal homing and breeding site philopatry (Able 1991;Alerstam 2006).
50 However, many aquatic animals undertake relatively large movements, including search for
51 feeding and reproductive sites, which do not involve homing (Block et al. 2011). Comparatively
52 little is known about the environmental features and sensory mechanisms that guide the
53 migratory movements of searching organisms over a large distance. Homing based navigation
54 typically involves an animal ascertaining its position relative to a geographically specific goal,
55 and movement towards the goal through the intervening topography (e.g., via map and compass);
56 thus, the true ‘map sense’ includes a learned or inherited geomagnetic- or landmark- based map.
57 The ability to ‘map’ has recently been extended to include any orientation mechanism that allows
58 a migrant to orient to a specific geographic target, including other mechanisms that rely on odor-
59 labeled paths or piloting with landmarks. Non-homing movements may rely on simple
60 navigation, defined here as the ability to determine and maintain a course relative to
61 environmental information (e.g., compass direction in association with a sensory cue or
62 gradient), that leads to a characteristic habitat vs. a geographically specific site (Huijbers et al.
63 2012).

64 The ability to navigate in response to local environmental information in unfamiliar areas
65 is key to the success of non-homing migrations (Huijbers et al. 2012). A searching animal may
66 locate a distant target by orienting to geographically-stable environmental features (referents)
67 that reveal the direction toward a region likely to contain the target, undertake directed
68 movements in accordance with the referent(s) (Able 2001;Åkesson and Hedenström 2007), and
69 use additional cues (e.g., odor) to recognize the target’s attributes upon arrival (Dittman and

70 Quinn 1996;Walker et al. 2002). In particular, aquatic animals that undertake non-homing
71 movements to offshore feeding grounds and return to estuaries or rivers to spawn are faced with
72 the task of orienting to shore. Sometimes referred to as Y-axis orientation, the ability to perform
73 movements perpendicular to a coastline is frequently observed in freshwater and marine
74 organisms, particularly when hydrologic conditions are unstable, and may be informed by
75 several orientation cues (e.g., (Kough et al. 2014;Landler and von Oheimb 2013).

76 Orientation research has focused extensively on the use of celestial and geomagnetic
77 compass cues, with lesser emphasis placed on currents, physical landmarks or odor (Åkesson and
78 Hedenström 2007;Collett and Graham 2004;Lohmann et al. 2008;Nosal et al. 2016;Walker et al.
79 2002). Only recently has orientation to general environmental gradients, like shoreward chemical
80 gradients associated with land-derived waters, been demonstrated in leopard sharks (*Triakis*
81 *semifasciata*) (Nosal et al. 2016) and reef fishes (Paris et al. 2013). The vertical attributes of the
82 underwater environment (e.g., hydrostatic pressure, topography) can also produce persistent
83 gradients, but have received less attention outside of diel vertical migration research (Huebert
84 2008). In terrestrial systems, non-homing organisms utilize stable three-dimensional geophysical
85 gradients to locate distinct geophysical regions associated with high resource availability. For
86 example, the butterfly *Melitaea trivia* navigates upslope to topographical summits to locate
87 mates (Pe'er et al. 2013), a common mate-searching strategy in insects. Interestingly, the
88 butterflies orient and maintain course in response to the average slope using simple behavioral
89 rules to avoid entrainment onto local 'high spots' (Pe'er et al. 2013). In aquatic systems,
90 bathymetry (i.e., water depth and the shape of underwater terrain) is analogous to terrestrial
91 topography and may similarly inform a migrant of its position with respect to the shoreline,
92 whereby a migrant would only need to move up gradient until reaching a general target.

93 Although the purpose and nature of three dimensional fish movements have been studied in
94 several cases (Arnold and Cook 1984;Barbin et al. 1998;Klimley et al. 2002;Willis et al. 2009),
95 navigation research has remained focused on geomagnetic and visual orientation mechanisms
96 that operate principally in association with two-dimensional surface movement.

97 Bathymetry comprises a variety of stimuli that indicate stable shoreward gradients
98 including the substrate slope (via body plane orientation) (Schöne et al. 1976), overall depth,
99 wave direction (Nishimoto and Herrnkind 1978), wave derived topographic features (e.g., sand
100 waves) (Auster et al. 2003), and hydrostatic pressure (Blaxter 1980;Burt de Perera et al.
101 2005;Cain 1995). The ability to orient with respect to hydrostatic pressure (barotaxis and
102 barokinesis) has been implicated in three-dimensional tracking movements by fishes in the lab,
103 and in one case dominates decision-making when horizontal and vertical information come into
104 conflict (Holbrook and Burt de Perera 2009). Determining the full set of sensory information an
105 animal uses to orient in nature can be difficult as redundant cues are common and the animal
106 may switch among referents depending on where the animal is during the migration (e.g., ocean,
107 coast, estuary, or river) and proximity to the target. Orientation behavior has most commonly
108 been studied by limiting the information available, either by altering the animals senses (e.g.,
109 blinding) or by displacing the animal into a scenario that limits the set of potential features that
110 could be used during orientation (Emlen 1975;Fuxjager et al. 2011;Lohmann and Lohmann
111 1996). Recently, there has been a general call to describe orientation tactics from the statistical
112 properties of observed movement paths in nature that reveal the potential sensory and behavioral
113 mechanisms (Nathan et al. 2008).

114 This study investigates the referent(s) used for orientation to the shoreline by the
115 landlocked sea lamprey, *Petromyzon marinus*. After a prolonged parasitic feeding stage, this

116 nocturnal species navigates to and selects spawning rivers based on sensory cues contained in the
117 river water, making their reproductive migration a useful lens into non-visual navigational
118 strategies used by non-homing organisms (Waldman et al. 2008). Shoreward orientation after
119 displacement is a natural feature of the sea lamprey's reproductive migration (Cheung et al.
120 2007). As a parasite, the sea lamprey is a passenger on its prey; thus, the starting location of the
121 migration for each individual is likely determined by the host's movements, though sea lamprey
122 may coarsely control start location through host selection or the timing of release. Evidence from
123 an anadromous population suggests the animal arrives at the coastline nearest the feeding
124 grounds of its host, indicating an efficient orientation mechanism towards shallow water (Lanca
125 et al. 2014). How lampreys orient and move shoreward in lakes and oceans is undescribed. Sea
126 lamprey could simply employ a common habit, such as westward movement for animals
127 migrating in to the western Atlantic basin that would return individuals to shore. The shoreward
128 migration takes place in early spring, a time when coastal waters can reach more than 3
129 kilometers from shore (November to June) and before a hydrographically distinct coastal zone
130 that limits mixing with offshore waters is established (Auer and Gatzke 2004; Rao and Schwab
131 2007). Consequently, shore-derived cues (e.g., odors) could potentially form a rough gradient
132 from offshore to inshore that could aid in navigation towards shore. Once reaching the coast,
133 migrating sea lamprey move parallel to the coastal edge (i.e., coastline), casting from surface to
134 bottom while searching for river plumes (Meckley et al. 2014b; Vrieze et al. 2011). River
135 selection is based on an ecological legacy rather than a natal preference acquired at birth
136 (Waldman et al. 2008); the odor released by larvae growing in the river that were deposited by
137 previous generations of migrants acts as a cue to new migrants at the river mouth, and informs
138 them that the river contains suitable reproductive sites upstream (Teeter 1980).

139 To examine our hypothesis that sea lamprey orient and return to the coast by following
140 the bathymetric gradient, we evaluated six research questions, informed by the examination of 23
141 high resolution three-dimensional movement paths collected in the offshore environment of Lake
142 Huron, USA. We first assessed whether migrants arrive at the nearest coast, indicating the
143 occurrence of orientation to the nearest coast (Q1). We then statistically evaluated the movement
144 tracks for evidence of two distinct movement processes (biphasic pattern) indicative of
145 orientation followed by directed-movement (Q2), and whether the directed movement phase
146 heading was related to where the sea lampreys were captured (i.e., prior experience) (Q3),
147 associated with movement in a consistent direction (i.e., entrainment on a common default cue)
148 (Q4), or counter to the local bathymetric gradient (versus the nearest coastal edge) (Q5).
149 Orientation based on some form of memory (Q3), awareness of the nearest edge through an
150 unknown feature (e.g., olfactory gradient, auditory or visual cues), or entrainment on an innate
151 default heading (Q4), all represent alternative hypotheses. Use of a shoreward olfactory gradient
152 was not independently tested (i.e., no olfactory occlusion was performed and evidence of the
153 direction of shoreward odor gradients was not measured). Finally, we evaluated the timing,
154 locations and extent of vertical movements through the water column, a known sea lamprey
155 behavior near shorelines (Meckley et al. 2014b; Vrieze et al. 2011), to assess the potential use of
156 hydrostatic pressure by the lamprey (Q6). If sea lamprey utilize pressure to move towards the
157 coast and then search for other cues that are stratified at the surface, we would expect sea
158 lamprey initially to spend time on the bottom before moving vertically through water column,
159 spending time at the surface and bottom, after a direction was selected. We chose a study
160 location within an embayment where the direction to the nearest shore was approximately
161 orthogonal to the local bathymetric gradient, rather than the normal circumstance where the

162 nearest distance to shore correlates with the bathymetric gradient towards shallower water.
163 Confirmation of both biphasic movement and movement towards shallower water, but not
164 towards the nearest coastal edge, was deemed necessary to support the hypothesis of bathymetric
165 orientation.

166

167 **Materials and Methods**

168 *Telemetry Array*

169 We deployed a 43-receiver acoustic telemetry positioning array (VPS, Vemco, Nova
170 Scotia, Canada) in Hammond Bay (Lake Huron, Michigan, USA, 45.527799 N, -84.044466 W)
171 in an area centered 3.3 km offshore with shallow bathymetric contours leading to the south and
172 west (depth range, 21-39 m; Figure 1), which creates a scenario where the nearest direction to the
173 coast does not correlate with the direction to shallower water. Vemco VR2W receivers were
174 spaced 275 m apart in diamond formations with an internal array area of 3 km². Each receiver
175 was anchored 3 m from the lake bottom and its position determined via high-precision GPS
176 (Trimble GeoXH and Tornado antennae) at deployment and retrieval and one additional occasion
177 during low wave conditions. Nine synchronization transmitters (VEMCO model V16-2H, 69
178 kHz, 160 db, 500 to 700 s transmission interval) were co-located with receivers to maintain time
179 synchronization of the receiver clocks. Bathymetry in the detection region of the array was
180 measured along transects spaced 50 m apart leading northwest to southeast, and then repeated
181 crisscrossing the first grid in a southwest to northeast direction. A Lowrance depth sounder
182 (HDS-8) streamed depth and GPS location to a laptop to record bottom depth during calm
183 conditions and was matched to more accurate post-processed GPS positions collected by a
184 Trimble GeoXH and Tornado antennae.

185 In addition to the VPS data that provided 3-D transmitter positions, transmitters were
186 detected by receivers located on the coast that only record transmitter depth and indicated a
187 relative proximity to that receiver, but did not provide positions. Transmitter detections were
188 obtained from six additional receiver locations along the coastline of Lake Huron and operated
189 by the Great Lakes Acoustic Telemetry Observation System (GLATOS; Figure 1). Solitary
190 receivers were positioned near the mouths of the Black Mallard (BM, 45.532888, -84.120801)
191 and Ocqueoc (OCQ, 45.491893, -84.071879) Rivers. Receiver strings extending perpendicular
192 from shore were treated as single detection points and were located near the mouth of the
193 Cheboygan River (CHB, 45.67239, -84.429368, 5 receivers), 40 mile point (FMP, Outer
194 receiver: 45.507563, -83.901379, 3 receivers), Presque Isle (PRS, Outer receiver: 45.333842, -
195 83.458343, 3 receivers), and Detour Pass, which leads into the St. Mary's River (SMR, West
196 side of Drummond Island, centered at: 45.984929, -83.891787, 6 receivers). The detection range
197 of a tag with 150 db of power placed in 5 m of water will vary and is based on line of sight to a
198 receiver, and the environment. A 2-D range test of a single tag in Hammond Bay under very
199 calm conditions revealed a 95% detection efficiency at 155 m and a 23% detection at 1.1 km.
200 Detections exceeding 1.5 km and passing the nearest neighbor filter for V9 tags are unlikely (F.
201 Smith, VEMCO, Personal Communication, 20 June 2014), and none were observed during fixed
202 range testing. The detection range of a receiver placed in < 3 m of water directly in front of the
203 Ocqueoc and Black Mallard River outlets, as was done in this study, is likely lower than
204 observed in the range test, because the receiver was located in the wave zone, an acoustically
205 noisy area with reduced line of sight to receivers. We do not expect this was a substantial
206 problem as both of these river mouths were small (2-10 m wide). A nearest neighbor filter of 30
207 minutes, which removes a position from the dataset if it does not occur within 30 minutes of

208 another position for the same tag, was used to remove spurious detections and rare detections
209 near the maximum extent from the GLATOS detection data.

210

211 *Experimental Subjects*

212 Sixty-seven female sea lamprey were released in total. Only female sea lamprey had a
213 large enough body cavity to accommodate the acoustic tag, due to their large interstitial area
214 designated for gonadal development. Fifty-seven sub-adult (post-feeding, sexually immature)
215 actively migrating female sea lamprey were obtained from five rivers in Michigan via barrier
216 traps (Manistee River: 44.249981, -86.344531 (N=8, trapped 15-May-2012), Cheboygan River:
217 45.656202, -84.464478 (N=21, trapped 02-May-2012), Manistique River: 45.945189, -
218 86.247733 (N=21, trapped 05-May-2012), Betsie River: 44.630058, -86.252273 (N=3, trapped
219 21-May-2012), Ocquoec River: 45.490246, -84.072981 (N=4)). An additional ten parasitic-phase
220 lampreys were captured while attached to fish in the lake (Lake Huron, Hammond Bay, captured
221 January 03 - February 28, 2012). River capture locations were chosen to include a range of east-,
222 west-, and south-discharging rivers to allow for the examination of past migratory experience on
223 the observed movement patterns when the animals were displaced into a common location. All
224 Sea lamprey were held from the time of capture in 150 L flow through tanks that cycled ambient
225 Lake Huron water (5.2-12.3 °C; 100% water exchange every 2 h) and experienced a natural light
226 cycle.

227

228 *Tagging and Release Procedures*

229 We surgically implanted 67 subjects with one of two types of acoustic transmitters that
230 emitted signals every 15-45 s (mean = 30 s). Forty-nine animals received a tag that transmitted

231 2D position and depth via a pressure sensor (Vemco model V9P-2H, 9 mm D x 47 mm L; mass,
232 6.4 g in air, 3.5 g in water; power output 150 dB (re 1 μ Pa at 1 m); pressure sensor (depth) 0.37
233 m accuracy, 0.22 m resolution). The remaining 18 received a tag that transmitted horizontal
234 position only (Vemco model V9-2H; 9 mm D, 29 mm L; mass, 3.6 g in air, 2.2 g in water; power
235 output 151 dB (re 1 μ Pa at 1 m)). Sea lampreys ranged 273-577 mm in length (mean 499 mm)
236 and weighed 139-398 g (mean 274 g). Prior to surgery, each lamprey was anesthetized by
237 immersion in 0.2 mL \cdot L⁻¹ clove oil solution (minimum 84%-88% eugenol, Lot No. HB9387,
238 Hilltech Canada Inc. Vankleak Hill. Ontario, Canada). Sea lampreys were removed from the bath
239 upon reaching stage four of anesthesia, determined by individuals that did not respond to
240 handling but retained gill movement (mean time to stage \pm 1 SE, 559 \pm 14.2 s, maximum 846 s)
241 (Keene et al. 1998). The surgery was performed in a plastic pipe with continuous water flow to
242 maintain gill irrigation by completely submerging the head and gills. The transmitter was
243 inserted into the peritoneal cavity through a 20 mm incision approximately 10 mm off the ventral
244 midline that ended in line with the anterior insertion of the first dorsal fin. The incision was
245 closed with three independent interrupted surgeon knots (3-0 Ethicon sterile monocrystal
246 monofilament) and each knot was sealed with veterinary adhesive (Vetbond, *n*-butyl
247 cyanoacrylate adhesive). The surgical procedure took an average of 283 \pm 4 s to complete (mean
248 \pm 1 SE, range 220-409 s). Each subject was monitored in a postoperative holding tank until the
249 animal regained equilibrium and began natural swimming movements (recovery time, mean \pm 1
250 SE, 360 \pm 28 s, maximum 1240 s). Transmitter-implanted subjects were held for 72 h prior to
251 release to ensure metabolism of stress compounds (Close et al. 2003).

252 On each release day, three tagged sea lampreys were transported to the field site in
253 aerated coolers and released into the center of the acoustic array at \sim 15:00 (09:00 - 17:30 EST)

254 after being lowered in a release cage at a rate of $6 \text{ m} \cdot \text{min}^{-1}$. The decent rate was approximately
255 one third that observed from three free-swimming lampreys released at the surface during
256 preliminary trials. The cage opened at a fixed depth of 30 m (within 5.7-7.2 m of the bottom)
257 when pressure-sensitive release clamps were automatically triggered.

258

259 *Data Processing*

260 The VEMCO VPS system provides a two-dimensional position for each tag transmission,
261 derived from raw detections of a coded signal at multiple receivers by a proprietary hyperbolic
262 positioning algorithm (Meckley et al. 2014a; Smith 2013). Each recorded transmission consists
263 of a transmitter code that is unique to each fish tag, the time of the signal transmission, and the
264 water pressure experienced by the transmitter at the time of transmission, which indicates the
265 depth of the transmitter. Transmitter readings are in whole PSI values (no decimals) equating to a
266 0.22 m resolution. Transmitters were pressure tested in a pressurized PVC pipe to five PSI levels
267 (0, 5, 10, 15, 20, 25), equivalent to depths of 0-18 m. Transmitters were tested to ensure accuracy
268 prior to fish implantation and had an average accuracy of 0.39 m, with a max of 0.88 m error.
269 Error values were usually consistently erroneous across depths, taking the form of a consistent
270 offset. The horizontal position accuracy of this VPS array was tested by comparing the VPS
271 position estimates to post-processed GPS measured positions (Trimble Geo XH) of two
272 transmitters at fixed locations (Fixed test 1: June 17, 2014 – June 26, 2014; Fixed test 2: June 17,
273 2014 – July 01, 2014) and two transmitters pulled through the array (Drag 1: June 13, 2014;
274 Drag 2: June 17, 2014) (V9P-2H transmitter). To avoid the potential for positioning error to
275 artificially increase path sinuosity, a data quality objective was set to attain 95 % confidence that
276 reversals did not erroneously occur in the data. VEMCO positioning systems provide a position

277 precision estimate for each position, horizontal positioning error (HPE). If evaluated, the HPE
278 can be used to remove positions in which position confidence is low (Meckley et al. 2014a; Roy
279 et al. 2014). The average step length (i.e., the distance between two consecutive points), was 22
280 m in calm wave conditions based on sea lamprey movement speeds and transmitter transmission
281 rate, which equated to an objective of 95% confidence in an error less than 11 m. We estimated
282 the twice-the-distance root mean square error (2DRMS) equations for each fixed tag and
283 calculated maximum HPE values that would allow 95 % confidence in 11 m of error (See
284 Meckley et al. 2014a for more information). We selected an HPE filter of 15.17 for the fish data,
285 removing all positions that exceeded the criteria (3223 positions of actively moving sea lamprey
286 were removed representing 22% of all active positions; see S1 for more detail). For those
287 lampreys fitted with pressure-sensing transmitters, total water column depths at fish positions
288 were interpolated from the georeferenced depth data using inverse distance weighting (“itinterp”,
289 package Akima) (Gebhardt et al. 2013).

290 Once individual positions were evaluated, a decision was made on whether to retain each
291 animal’s movement path for analysis based on the animal’s behavior following release. We
292 analyzed in detail the movements of those animals that stopped in the array and exited within
293 three nights (N=22). The individuals that were not observed exiting the array because they left
294 during the day (N=30), moved to the edge of the array (i.e., those animals that moved normally
295 but produced inaccurate tracks too short to determine behavior; N=5), or did not move on the
296 first night (N=10), were dropped from the study. Those that did not exit the array on the first
297 night were removed under suspicion of post-tagging effects, analogous to dropback in riverine
298 tracking studies (Frank et al. 2009). Of 10 fish that did not exit the array on the first night, 7 were
299 from the Manistee and Betsie rivers. The remaining 22 fish used in analyses came from the

300 Cheboygan river (N=8/21), Manistique river (N=6/21), Ocqueoc river (N=4/4), or were trapped
301 as large parasites and held until spring (N=3/10).

302 To classify sea lamprey positions as active or stopped we performed a first passage-time
303 classification method with R (R Development Core Team 2016). The first passage-time tool
304 classified a position as moving if it left a radius of 10 meters in 250 s, had a minimum
305 displacement of 15 m in a 3 position moving average, and at least 3 consecutive moving
306 observations (“fpt”, package adehabitatLT) (Calenge 2006). Initial values were selected based on
307 the sea lamprey’s known movement capacity and the performance of the array. The accuracy of
308 labeling each position as “moving” or “stationary” was verified for each sea lamprey through
309 visual inspection and was robust to imprecision in acoustic positioning largely because we did
310 not observe position error of greater than 10 m for three consecutive positions.

311

312 *Data Analysis*

313 *(Q1)* Do migrants arrive at the nearest shoreline?

314 To determine if sea lamprey approached the nearest southern coastline, we analyzed
315 detection of tags at receivers on the coast within 72 hours of exiting the array. The time frame
316 was selected to ensure that subjects could not have reached another coastline prior to being
317 observed on the nearest coast. Lamprey observed on the southern coast, were classified as
318 successfully navigating, with the null expectation that absent an orientation mechanism and
319 ability to maintain course, the probability of random success would be 50%.

320

321 *(Q2)* Do migrants exhibit two-phase movement consistent with navigation, including orientation
322 and directed movement?

323 To test for biphasic movement (sinuous movements followed by extensive straight-line
 324 swimming), we used sinuosity to separate the phases then evaluated whether trajectory
 325 parameters (i.e., speed and sinuosity) significantly differed between the postulated phases. We
 326 evaluated the paths of 22 sea lamprey from the commencement of movement following a settled
 327 state until exiting the detection range of the VPS array. We analyzed the sinuosity of the path in
 328 terms of the progression of the backward beeline distance to total backward path length at each
 329 position along the track to the vanishing point for each sea lamprey (Figure 2). A broken stick
 330 model was fit estimating four shape parameters (initial slope (β_1), break point (τ), sigma (σ), and
 331 final slope (β_2)) and a first order autocorrelation in the residuals. In the broken stick model
 332 backward path length (x) is the explanatory variable for estimating the response variable
 333 backward beeline distance (y). The y intercept (β) is fixed at 0 due to the nature of the
 334 parameter always returning to (0, 0). The model used:

$$335 \quad y = y + \varepsilon; \quad \varepsilon \sim \text{AR1}$$

$$336 \quad y = \beta_1 x_1 + \beta_2 x_2 + \varepsilon$$

$$x_1 = \begin{cases} \beta(x), & x \leq \tau \\ \tau, & x > \tau \end{cases}$$

$$x_2 = \begin{cases} 0, & x \leq \tau \\ (x - \tau), & x > \tau \end{cases}$$

337 When $x \leq \tau$ the model is linear with slope β_1 and when $x > \tau$ the model is linear with
 338 slope β_2 and intercept constrained by continuity to the first part of the stick. In the special case of
 339 $\beta_1 = \beta_2$ the model is a simple linear relationship between x and y (Webber et al. 2008). Serial
 340 autocorrelation in the residual term is accounted for by fitting the first-order autoregression
 341 process (AR(1)). We used generalized least squares estimation (Pinheiro and Bates 2000) to

342 estimate the parameters of this model with gnls package in the nlme R package (Pinheiro et al.
343 2013).

344 We first tested for evidence of a broken stick model against a linear ($\beta_1 = \beta_2, \tau = 0$) null
345 model with a likelihood ratio test (2 degrees of freedom). Those individuals for which the broken
346 stick fit the track better than a linear model were further analyzed (n=20). We individually
347 characterized differences in trajectory parameters that were not directly associated with track
348 sinuosity (e.g. ground speed, turning bias, average depth, and variation in depth) between phase
349 one (before break point) and phase two (after break point) using two sample t-tests. To make
350 more general inferences about behavioral changes, we fit nonlinear mixed-effects models with
351 the respective covariates as fixed effects and individual lamprey as a random effect. We
352 predicted that if a distinct post-settlement orientation phase occurred (phase one), the initial
353 behavior would be accompanied by slower more sinuous movement followed by straighter faster
354 movement associated with directed search (phase two).

355
356 *(Q3) Did the river of origin associate with the direction of orientation?*

357 To determine if the lamprey's capture river influenced orientation, the distribution of
358 Phase 2 headings between sea lamprey from different river sources were compared using a
359 Watson-Wheeler test ("Watson.wheeler.test", package circular) (Lund et al. 2013) and the
360 differences in the distance traveled during Phase one were considered (two sample t-test). We
361 compare the sea lamprey trapped in the Manistique River and Cheboygan River. If the river they
362 were trapped in has an effect, we would expect sea lamprey migrants from the Cheboygan River
363 to reach the nearest coast after a shorter orientation process, and have a different distribution of
364 directed headings. Although the Watson Wheeler test only tests for a difference between groups,

365 our expectation would be that the Phase two heading would be more southerly towards the local
366 coast, than the Manistique source subjects. If a sea lamprey relies on local information to orient,
367 we predict there will be no effect of river source on orientation.

368

369 *(Q4) Is there evidence for orientation towards a consistent direction?*

370 To determine if sea lamprey are entrained in any significant mean direction, we utilized a
371 Raleigh test based on an unspecified mean (“raleigh.test”, package circular, (Lund et al. 2013))
372 or a significant non-uniform distribution via a Watson’s test (“watson.test”, package circular)
373 (Lund et al. 2013) for the portion of tracks between the transition point and departure point from
374 the array. Together, these test provide evidence, respectively of a significant non-uniform
375 distribution of headings (goodness of fit to a circular uniform distribution - Watson’s test), and
376 significance of a mean heading given a non-uniformity (z-test statistic - Raleigh test). If sea
377 lamprey orient to a consistent feature, such as a shoreward odor gradient or less likely through
378 seeing or hearing the coastline, we would predict sea lamprey to have a significantly clustered
379 mean direction and a non-uniform distribution. If sea lamprey are not orienting, are orienting to
380 multiple cues at different times, or are orienting to a locally varying feature such as bathymetry
381 in our array, we predict sea lamprey will not have a significantly clustered mean heading or a
382 non-uniform distribution.

383

384 *(Q5) Do sea lamprey orient according to a bathymetric gradient, regardless of the direction to*
385 *towards the nearest coast?*

386 To evaluate if sea lamprey orient to shallower water with an aspect of the local
387 bathymetry (i.e., slope, water depth, terrain) vs. the nearest shoreline (odor gradients, visual, or

388 auditory cues), we evaluated the orientation bearing of each individual during phase 2, defined as
389 the portion of tracks between the transition point and departure point from the array. We tested
390 to see if sea lamprey move towards either the nearest coastline (coast test) or towards shallower
391 water (bathymetry test). For the bathymetry test, the bathymetric heading, or in other words the
392 bearing of the local bottom slope around the sea lamprey's track, was first calculated from the
393 bearing of the deepest to shallowest depth point on a 1000-point ring of positions in a 100 m
394 radius around the last measured position to capture the general bottom slope around each sea
395 lamprey track leading to the exit of the array. After all bathymetric headings were verified
396 visually on a map, the heading was subtracted from each subject's phase two headings (directed
397 swimming phase), so that a bathymetry test heading of near 0° (or 360°) indicated that the sea
398 lamprey was moving in the direction towards shallower water and 180° represented moving in
399 the direction of deeper water. If sea lamprey are orienting in the direction of the local
400 bathymetric slope towards shallower water we predict the Rayleigh test with specified mean of 0
401 will be significant and a Raleigh test with a specified mean of 172° for the test of movement
402 towards the nearest coast, will be non-significant.

403

404 *(Q6) Is the timing of vertical casting behavior related to information used during navigation?*

405 When moving alongshore and in river plumes, sea lamprey have been observed
406 swimming vertically from the surface to bottom (Meckley et al. 2014b; Vrieze et al. 2011). We
407 characterized the onset and nature of this vertical component of movement. First, we evaluated
408 how vertical movements contrasted across the different phases of movement. Second, we
409 evaluated if the timing of onset of vertical movements was consistent with orientation to
410 information at the bottom (i.e., initial movement on the bottom). Third, if a directional phase was

411 observed, then we evaluated if the vertical movement is comprised by consistent oscillations
412 through the entire water column suggesting that the movement could be metering some aspect of
413 depth, which would require the rate or angle of ascent and descent to be similar (e.g., Klimley et
414 al. 2002). Alternatively, if sea lamprey appear to spend time principally at the surface and bottom
415 during the directional phase, it would indicate information at the surface and bottom is most
416 important to navigation to the coast.

417 To assess differences between phase one and two, whether movement was initially at the
418 bottom, and whether the vertical movements represented constant and consistent oscillations, we
419 had to classify vertical movements. To classify the vertical movements of sea lamprey through
420 the water column, each step (two consecutive positions) was assigned to one of three classes of
421 vertical movement (ascent, descent, or horizontal movement) based on pitch (descent $< -5^\circ$,
422 horizontal $\geq -5^\circ$ and $\leq 5^\circ$, ascent $> 5^\circ$), which is the acute angle between a horizontal axis and the
423 step length slope (i.e., angle of climb). Because sea lamprey move from surface to bottom during
424 each phase, as defined by the broken stick model, we characterized vertical movement with the
425 time spent moving horizontally within each phase, the depth of sea lamprey when moving
426 horizontally in each phase, and the number of dives, defined as the number of times sea lamprey
427 ascended and descended at least 10 m in succession.

428 To characterize differences between phase one and phase two and to consider if
429 horizontal movement was principally on the bottom during phase one. Individual two-sampled t-
430 tests for each sea lamprey subject were checked for differences in depth between Phase 1 and 2
431 during horizontal movements (horizontal $\geq -5^\circ$ and $\leq 5^\circ$), whereby replicates are comprised by
432 each step length for the individual. Significant differences for most individuals would be
433 indicative of a common pattern of difference between phase one and phase two and we would

434 expect most individuals to spend more time moving horizontally during phase one and for the
435 average depth to be near the bottom if absolute pressure (i.e., information at the bottom) is being
436 used.

437 To test whether sea lamprey could be metering the depth (i.e., based on distance or time)
438 with consistent ascents and descents, we tested if there was a difference in the rate of vertical
439 displacement or pitch during ascent and descent (i.e., evidence against behaviors for metering
440 depth, See Klimley et al 2002), we used three individual logistic regression analyses with a
441 single response variable each, including time moving horizontally, pitch angle ($^{\circ}$), or vertical
442 displacement rate (m/s), respectively, that was fit using a generalized linear mixed effects
443 modeling framework using individual lamprey as a random factor. For testing for differences in
444 time spent moving horizontally in each analysis, we used the movement phase as a fixed effect,
445 and we weighted the model by the number of observations to emphasize the cases where we had
446 more extensive observations. For testing displacement rates and pitch during ascent and descent,
447 absolute value of ascent and descent (pitch and vertical speed) were fixed effects. In addition, a
448 visual evaluation of where sea lamprey were located in the water column was completed to
449 review whether sea lamprey appeared to spend equal time throughout the water column during
450 phase two or if time appeared to be mostly at the surface and bottom.

451 Finally, the shallowest depth reached for sea lamprey that showed regular vertical
452 movements during the day versus at night were tested with a simple two-way t-test, following the
453 observation during a preliminary review of the data that some sea lamprey did move vertically
454 during the day but did not move the entire way to the surface. This was the only aspect in which
455 data for sea lamprey that did not stop immediately after release were used, and was done to
456 consider the condition of these individuals. All analyses were performed in R (R Development

457 Core Team 2016), including the lme4 package (Bates et al. 2014), for fitting the mixed effects
458 model.

459

460 *Ethics Statement*

461 All handling, tagging, and release procedures were consistent with ethical treatment
462 guidelines and approved by the Michigan State University Institutional Animal Use and Care
463 Committee via animal use permit 04/07-033-00.

464

465 **Results**

466 Of the 67 tagged sea lamprey, all of the individuals were analyzed for arrival on the
467 coast, while the path trajectories were only evaluated for the 22 sea lamprey that stopped in the
468 array following release and left on the first night. Sea lamprey that did not stop (n=30), included
469 eight sea lamprey that exited the array on the bottom and 22 that showed vertical movements of
470 at least 10 m off of the bottom. These 22 individuals moving vertically during the day were
471 included in one additional post hoc analysis of proximity to surface while swimming.

472

473 *(1) Migrants arrived at the nearest shoreline*

474 Of the 22 sea lamprey that rested in the array, 17 (81%) arrived at the nearest coastline
475 within 72 hours and most that were detected at the nearest two receivers were detected on the
476 first night (12 of 15) (Table 1). If considering all subjects, 43 of the 67 (61%) sea lamprey were
477 detected on the nearest coast (Table 1). Sea lamprey appear to be orienting to information
478 leading to the nearest coast.

479

480 (2) *Two-phase movement occurred, consistent with orientation and directed movement*

481 The analysis revealed a biphasic pattern consistent with navigation, for 20 of the 22 sea
482 lamprey (Figure 2, S2). The remaining two individuals immediately departed in an apparent
483 straight line without any sinuous movement (T26 and T44, S2) and a broken stick model did not
484 fit better than a linear model in our backward beeline analysis (Table 2). Phase one persisted for
485 an average track length of $805 \text{ m} \pm 126 \text{ m}$ (mean \pm 1SE, interquartile range -IQR- 456-880 m).
486 Phase two lasted $1038 \text{ m} \pm 125 \text{ m}$ (IQR 700-1341 m). Several aspects of the sea lamprey
487 movement varied between the classified phases, including persistence in turning in one direction,
488 amount of turning, mean depth, variation in depth, and ground speed (Table 2, Table 3). During
489 phase one, 8 of the 20 total individuals turned with a left-bias while 3 individuals were right-
490 biased. The magnitude of persistence in turning of biased individuals was greater before than
491 after the transition (Figure 3, Table 2, Theta). Sea lamprey turned less during the phase two
492 though this could be confounded by the fact that the break point was defined in terms of the path
493 sinuosity (Table 2). Mean depth was shallower after the break point for 14 of 16 individuals and
494 the standard deviation in depth was significantly greater for 5 of the 16 individuals vs
495 significantly reduced for 2 of 16 individuals, who appeared to swim near the surface without
496 surface to bottom movements during the directed search phase. Lastly ground speed was
497 significantly faster for 17 of 20 individuals during phase two (mean: $0.50 \text{ m} \cdot \text{sec}^{-1}$) when
498 compared to phase one (mean: $0.35 \text{ m} \cdot \text{sec}^{-1}$) (Table 3). The mixed effects models on movement
499 behaviors across the two phases with individual lamprey as a random effect and accounting for
500 serial autocorrelation in the residuals (S3), confirmed these patterns. In phase one, lamprey
501 moved significantly more slowly ($0.13 \pm 0.1 \text{ m/s}$ slower (coef. mean \pm 1 se); $p < 0.01$), at
502 significantly greater depths ($6.8 \pm 1.2 \text{ m}$ deeper; $p < 0.01$), and with significantly less standard

503 deviation in depth ($-1.1 \text{ m/s} \pm 0.4 \text{ m}$; $p < 0.01$). The array captured two phases of movement
504 consistent with orientation followed by directed search.

505

506 *(3) The river of origin did not relate to the direction of orientation*

507 If past experience related to orientation, sea lamprey trapped in the same river should
508 orient in a similar direction due to common behavior or common experience and fish trapped
509 from a river with a coastline facing a similar direction as our study site should orient more
510 quickly. The Watson-Wheeler test of homogeneity of angles found no significant difference
511 effect of what river the sea lamprey were trapped in, although the strength of this conclusion is
512 not high when sample size is less than 10 for each group ($W = 1.2$, $p = 0.56$). There was no
513 significant difference between the distances traveled prior to the break point for Cheboygan
514 source (mean \pm 1SE, $965 \text{ m} \pm 207 \text{ m}$) or Manistique source fish (mean \pm 1SE, $1140 \text{ m} \pm 333 \text{ m}$)
515 ($p=0.66$). The direction sea lamprey headed during phase two was not related to the origin of the
516 sea lamprey in this study.

517

518 *(4) Directed movement was not in a consistent direction*

519 If sea lamprey were preprogrammed with a navigational map, sea lamprey would head in
520 a consistent direction based on magnetic information. During phase two, sea lamprey assumed an
521 average absolute heading of $251 \pm 96^\circ$ (95 % CI: $213\text{-}289^\circ$). Sea lamprey did not show an
522 absolute orientation in any consistent direction ($r = 0.37$, $p = 0.06$; Rayleigh Test, unspecified
523 mean), although the p value was near significant and their circular distribution was not
524 significantly different from a random (test statistic = 0.17, critical value at a significance of 0.05
525 = 0.19; Watson's Test). Displaced sea lamprey did not move in a common heading during phase

526 two, although the average heading that was nearly significant. The average heading was
527 generally towards shore (not near the nearest coastal point) and appears in the average direction
528 towards shallower water if visually assessing bathymetric contours across the entire array.

529

530 *(5) Sea lamprey moved towards shallower water but not the nearest point on the coast*

531 In the region of the coast sea lamprey were released, if sea lamprey moved toward the
532 nearest point to the coast we would expect they are relying on visual, auditory, or olfactory
533 information associated with the coastline, while if they just moved towards shallower water, it
534 would indicate they are relying on a feature of bathymetry (e.g., pressure). There was no
535 evidence that sea lamprey were oriented towards the nearest coast ($r = 0.10$, $p = 0.27$; Rayleigh
536 Test, specified mean: 173° ; Figure 4). By contrast, phase two headings (mean: 357° , 95% CI:
537 $295\text{-}54^\circ$), were not randomly distributed with respect to bathymetry (Watson's Test: test
538 statistic= 0.58, critical value= 0.19, reject null hypothesis; Figure 4) and were significantly
539 oriented to local bathymetry in the region where they exited the array ($r = 0.41$, $p < 0.01$;
540 Rayleigh Test, specified mean: 0°). Combined this information indicates that sea lamprey moved
541 towards shallower water during phase two, but not towards the nearest coast.

542

543 *(6) Vertical excursions increase after the orientation phase*

544 During phase one, sea lamprey primarily moved on the bottom and made occasional
545 vertical excursions to the surface (Figure 5). During phase two, sea lamprey made more vertical
546 excursions and spent more time at the surface than the bottom (Table 3), however most
547 observations occurred with sea lamprey either at the surface or bottom rather than traversing
548 through the water column (Figure 5). At the transition between phase one and phase two, the

549 animal begins to ascend to the surface and return to the bottom, building from partial to full
550 excursions through the water column (e.g., Figure 6).

551 Mixed effects logistic regression modeling revealed that sea lamprey spent significantly
552 less time moving horizontally in the water column during the phase two than during phase one (-
553 0.40 (40% less time), $p < 0.01$), and more time moving vertically through the water column.
554 Mixed effects logistic regression revealed that there was no significant difference in the pitch of
555 sea lamprey during ascent or descent ($p = 0.093$), but there was a difference in the vertical rate of
556 ascent and descent, as sea lamprey descended more quickly than they ascended ($p < 0.01$). A two
557 way t-test revealed that vertical excursions during the day did not extend as close to the surface
558 as at night, rarely entering the upper 10 meters of the water column during the day ($p: <0.01$;
559 mean minimum depth \pm SD; Day: 10.4 m \pm 5.4m, Night: 2.6 m \pm 3.6 m). Sea lamprey moved
560 vertically throughout the entire water column at night (e.g. Figure 6). On average they made 7
561 ascents or descents of at least 10 meters (6.7 ± 3.2 dives per h during orientation; 7.3 ± 4.5 dives
562 per h during directed search). Sea lamprey were observed maintaining a straight course (no turns
563 $>15^\circ$) for > 500 m during the day, at night, and while moving at the surface, moving on the
564 bottom, and while ascending and descending through the water column (S4). Sea lamprey
565 ascended at a pitch of $15.0 \pm 7.8^\circ$ and vertical speed of $5.1 \pm 2.8 \text{ m} \cdot \text{min}^{-1}$ during the phase one
566 and a pitch of $13.0 \pm 6.8^\circ$ and vertical speed of $5.6 \pm 2.5 \text{ m} \cdot \text{min}^{-1}$ during phase two. Sea lamprey
567 descended at a pitch of $14.9.0 \pm 8.0^\circ$ and vertical speed of $7.1 \pm 7.9 \text{ m} \cdot \text{min}^{-1}$ during phase one
568 and a pitch of $14.9 \pm 7.7^\circ$ and vertical speed of $8.3 \pm 5.2 \text{ m} \cdot \text{min}^{-1}$ during phase two.

569

570 Discussion

571 Establishing how animals perceive and make use of environmental information to orient
572 in nature is important to understanding how sensory capabilities contribute to the evolution of
573 navigation strategies. This study takes the first step toward understanding the contribution of
574 sensory information gathered at depth to the common challenge of shoreward orientation, using
575 the non-homing nocturnal sea lamprey as a model. High-resolution telemetry observations
576 revealed the sea lamprey undertakes a biphasic movement process each evening consistent with
577 orientation towards shallower water, but not necessarily the nearest point on the coast, followed
578 by directed swimming, resulting in arrival at the coastline. At the onset of movement (phase
579 one), sea lamprey undertook a relatively slow, sinuous path on the bottom, moving across the
580 local bathymetric gradient, followed by directed swimming counter to the bathymetric gradient
581 (phase two). At the transition between orientation and directed swimming, the sea lamprey began
582 to cast vertically through the water column, building from partial to full excursions that persisted
583 until the animal passed out of the detection range of the array. During directed swimming, the
584 sea lamprey also increased ground speed and steered a straight course. We postulate that sea
585 lamprey used the spatial gradient in absolute hydrostatic pressure sampled on the bottom during
586 the orientation swim to choose a heading towards shallower water, and partly maintained
587 orientation during directed swimming with repeated excursions to the bottom to confirm ever-
588 shallowing depth (i.e., barokinesis). Further, we suggest the time spent at or near the surface was
589 associated with the search for surface-constrained olfactory cues that indicate entry into the
590 hydrographically distinct coastal zone that contains river-derived odors, and may also contribute
591 to avoidance of habituation of the depth-sensing apparatus. Persistent gradients in hydrostatic
592 pressure associated with the sloped bathymetry of the coastal zone may represent an important
593 class of sensory information not previously considered in fish movement studies.

594 The observed shoreward orientation movements were most consistent with barokinesis,
595 whereby individuals moved across the local depth gradient until they perceived the direction
596 towards shallower water by detecting changing hydrostatic pressure. Animals may achieve
597 shoreward orientation at depth through a variety of environmental referents that exhibit stable
598 shoreward patterning including detection of changing depth via hydrostatic pressure (Blaxter
599 1980;Burt de Perera et al. 2005;Cain 1995), detection of the substrate slope via body plane
600 orientation (Schöne et al. 1976), or orientation to features that are consistently aligned with the
601 shoreline (e.g., sand waves, an innate or learned magnetic direction preference)(Auster et al.
602 2003;Schlegel and Renner 2007). Orientation to the substrate slope via body plane or
603 topographic features was unlikely. Orientation involved active swimming over tens to hundreds
604 of meters, whereas organisms that orient via body plane are often walking on the substrate (Craig
605 1973;Schöne et al. 1976). Further, the study site contained a monotonous landscape that lacked
606 consistent shoreward-aligned topographic features, like sand waves, and the movement took
607 place in darkness, precluding visual orientation. Finally, the study generated no statistical
608 evidence for a consistent tendency toward a dominant compass bearing across individuals, nor
609 for selection of a consistent direction in animals taken from the same source (river). The
610 orientation movements instead appear consistent with a kinesis in response to hydrostatic
611 pressure, as hydrostatic pressure is a non-directional feature and requires movement across a
612 gradient of depths to determine directionality. This stands in contrast to a taxis that includes
613 orientation to a cue that instantaneously provides directional information (e.g., flow direction via
614 rheotaxis). Barokinesis falls within the general category of klinokinesis (Benhamou and Bovet
615 1989;Kennedy 1976), as sea lamprey changed turning frequency in response to movement across
616 the depth gradient.

617 Though appealing, our proposed explanation hinges on the animal's ability to detect
618 changing absolute hydrostatic pressure. Other fishes have been shown to orient to hydrostatic
619 pressure (Cain 1995;Holbrook and Burt de Perera 2009;Holbrook and Burt de Perera 2013), and
620 can discern differences in absolute or differential hydrostatic pressure using the lateral line, inner
621 ear (labyrinth), and swim bladder (Bleckmann and Zelick 2009;Fraser 2002;Fraser et al. 2008).
622 Sea lamprey lack a swim bladder and have a primitive lateral line, and an inner ear that lacks a
623 lagena (otolith endorgan). However, the sea lamprey inner ear does exhibit semicircular canals in
624 an orthogonal configuration that is structurally similar to the vestibular apparatus of other
625 pressure-sensitive fishes (Fraser et al. 2008;Hammond and Whitfield 2006;Khorevin 2008). Such
626 configurations, can detect pressure changes on the order of 0.5-2.0 kPa in small aquaria,
627 corresponding to a change in 5-20 cm of depth (Blaxter 1980). At greater depths, the ability to
628 detect changes in hydrostatic pressure may be subject to Weber's Law via the magnitude effect
629 (Gallistel and Gelman 2000), whereby the detectable difference in pressure is directly related to
630 the magnitude of the absolute pressure. If operating, the animal would be required to move over
631 a greater depth range in deep versus shallow water in order to detect differences in pressure,
632 potentially explaining the large displacements observed during the orientation swim.

633 At the transition from the orientation swim to directed movement, periodic dives from
634 surface to bottom were typical, with more time spent on the surface than near the bottom. This
635 behavior has been previously observed close to shore and in river plumes (Meckley et al.
636 2014b;Vrieze et al. 2011), but appears to cease once the animal enters a river (Holbrook et al.
637 2015). Such vertical movements have generally been associated with locating or using a search
638 cue (Klimley et al. 2002;Westerberg 1982;Willis et al. 2009). Specifically, it has been suggested
639 the sea lamprey casting behavior is associated with a search for river plumes that contain a

640 conspecific cue (larval odor) that labels rivers with suitable spawning and rearing habitats
641 (Meckley et al. 2014b; Vrieze et al. 2011). In the coastal ocean and in estuaries, where this
642 behavior likely evolved, fresh and mesohaline plumes are reliably constrained to the surface due
643 to strong density differentials driven by salinity. In the Great Lakes, density differentials are
644 generally weaker and driven by temperature; plumes are generally well-mixed vertically, but
645 constrained nearshore by a thermal bar that establishes between the open lake and the shallow
646 coastal zone in spring (Auer and Gatzke 2004). Our array was positioned close enough to shore
647 to be exposed to shore-derived waters. Thus, it is likely the subjects of this study were subject to
648 some river-borne odorants, but likely did not encounter odor from the nearest river plume during
649 telemetry observation. We cannot preclude the possibility that sea lamprey rely on orientation
650 toward persistent shoreward chemical gradients associated with land-derived waters, as was
651 recently demonstrated in leopard sharks (*Triakis semifasciata*) (Nosal et al. 2016) and reef fishes
652 (Paris et al. 2013). Sea lamprey have a remarkable ability to discriminate chemical signals at
653 very low concentrations, including river water (Siefkes and Li 2004; Sorensen and Vrieze 2003).
654 However, the lack of observed movement towards the nearest coast and the dynamic nature of
655 the currents in spring (Rao and Schwab 2007) suggest against orientation to an odor gradient.

656 We postulate the dives to the bottom were associated with the animal monitoring its
657 progress by sampling hydrostatic pressure at the bottom to ensure a shoreward course, and the
658 greater time spent on the surface was associated with a search for river plumes. Diving to
659 evaluate whether depth is decreasing should entail energetic costs as the overall path length is
660 increased, and subjects did not spend more time falling than rising, precluding any obvious
661 biomechanical or thermoregulatory advantage (Carey et al. 1990; Katz 2002; Klimley et al. 2002).
662 Whether lampreys exhibit this behavior in deep waters, where the swimming costs may be

663 substantial, is unknown. The use of hydrostatic pressure to maintain track orientation is
664 appealing as it also offers an alternative explanation for the previously observed vertical casting
665 that occurs when the animal moves along the coast. Specifically, the hypothesis that vertical
666 casting is related to a search for river plumes constrained to the surface or the bottom (Vrieze et
667 al. 2011). Sea lamprey maintain tracks that are remarkably parallel to the coastline at night
668 (Meckley et al. 2014b). If the animal utilizes hydrostatic pressure to guide navigation, it may
669 switch from moving towards shallow water to moving along a fixed depth contour after it arrives
670 at the shoreline, allowing for maintenance of an efficient course in concert with the shoreline
671 without the use of visual cues. It also would provide the animal with a means to combat
672 unintentional displacement towards or away from shore due to shifting currents; a problem
673 common to a wide variety of migrating aquatic organisms (Lohmann et al. 2008; Luschi 2013).

674 During the directed swimming phase, the lampreys maintained a straight course towards
675 shallower water despite moving vertically through the water column and away from the bottom.
676 Absent consistent external directional information, animals are often incapable of maintaining a
677 straight course toward a target (Åkesson and Hedenström 2007; Cheung et al. 2007).
678 Geomagnetic fields and water current direction are the two most common nocturnal navigational
679 stimuli for maintaining a straight heading (Able 1991; Alerstam et al. 2003). However, water
680 currents in the Great Lakes are wind-driven and highly variable in direction (Beletsky et al.
681 1999), making for an inconsistent directional guide. Current velocities are also typically weak (<
682 2 cm/s) (Beletsky et al. 1999), which may have contributed to the straight movement paths as the
683 animals were less likely to be deflected during the observation periods. It is unknown whether
684 sea lamprey are capable of magnetic compass orientation, though utilizing a magnetic compass
685 sense to maintain a selected heading would be energetically beneficial even at relatively small

686 spatial scales (Wyeth 2010). A geomagnetic compass sense is frequently implicated in homing
687 navigation exhibited by fishes and sea turtles (Lohmann et al. 2008), and should be tested for in
688 this basal vertebrate. If sea lamprey can maintain course using a geomagnetic compass, a
689 frequently observed capacity in migrating marine organisms at both small and large scales
690 (Lohmann et al. 2008), it would provide a persistent and depth-independent cue for maintaining a
691 straight course.

692 If sea lamprey are relying on pressure, transiting between the bottom and surface to
693 monitor depth along the movement track requires individuals to recall pressures experienced
694 during previous dives over time spans of minutes to tens of minutes. This task is well within the
695 general cognitive abilities of fishes (Odling-Smee et al. 2007). For example, the electric
696 elephantnose fish (*Gnathonemus petersii*) is capable of learning hydrostatic pressures associated
697 with vertical landmarks and using the pressure gradient to orient to the landmark (Cain 1995).
698 Some fishes have been shown to be capable of remembering information in three-dimensional
699 space to locate targets (Holbrook and Burt de Perera 2013), and in open water environments
700 lacking horizontal edges (walls), the vertical component of the water column may be more
701 informative to navigation (Holbrook and Burt de Perera 2009; Holbrook and Burt de Perera
702 2013). The strongest evidence for the ability of fishes to remember short term experiences may
703 be that fishes contain similar forebrains to more advanced vertebrates, a region responsible for
704 spatial memory (Rodríguez et al. 2002). Sea lamprey observed moving on two subsequent nights
705 reoriented each night, suggesting they did not retain a spatial map associated with water pressure.

706 Limited observations of ocean run lamprey during the return migration are consistent
707 with counter-bathymetry movement when returning to the coast. Both Pacific (*Entosphenus*
708 *tridentatus*) and sea lampreys are neither panmictic nor philopatric (Hess et al. 2013; Spice et al.

709 2012;Waldman et al. 2008), suggesting that some aspect of their life cycle constrains them to a
710 region but another disperses them, termed non-specific homing (Bett and Hinch 2016). There is
711 additional evidence of restricted movement, as North American and European sea lamprey
712 populations do not mix (Genner et al. 2012;Rodriguez-Munoz et al. 2004), yet move broadly
713 throughout the ocean when parasitizing other fishes, in some cases moving over 815 km from the
714 nearest coast (Silva et al. 2014). Little is known about the fate of the sea lamprey observed
715 traveling a great distance from shore. It is possible that there are additional mechanisms to
716 prevent navigational mistakes that result in the mixing of populations within the Atlantic basin
717 sea lamprey, such as some control over when a parasite will release from a host to prevent large
718 displacements or that those individuals simply fail to reproduce. The strongest evidence of
719 efficient transiting to shore by ocean run sea lamprey comes from the observation that parasitic
720 sea lamprey feeding within three separate abyssal plains near the Iberian peninsula typically
721 migrated to the nearest river basin along the shoreline (Lanca et al. 2014). This suggests that the
722 parasitic phase spreads individuals, while the return migration involves a direct migratory path to
723 a nearby coast and localization of a river containing larvae.

724 Finally, it is important to consider those sea lamprey that swam directly out of the array
725 during daylight release (30 animals), which we distinguish from those animals that immediately
726 swam but stopped too close to the edge of our detection range to generate useful tracks during
727 subsequent nocturnal movement (5 animals). Telemetry studies of migrating fishes frequently
728 report ‘fallback’ in the period immediately following post-tagging release, defined generally as
729 aberrant movement in an anomalous direction (e.g., downstream for an anadromous fish) as a
730 probable consequence of tagging-induced stress, displacement, and/or disorientation (Caudill et
731 al. 2014;Frank et al. 2009). Here, two general deviations (vs. nocturnal biphasic movement) were

732 observed: movement during daylight hours, and vertical casting that did not reach the surface.
733 Specifically, lampreys that exited the release cage and immediately began swimming exhibited
734 an inconsistent array of tendencies (slow swimming on the substrate or an apparent orientation
735 swim without vertical casting or straight-line swimming with vertical casting). Those lampreys
736 that exhibited vertical casting (73% of fallback fish) undertook truncated casts, never moving
737 into the upper 10 m of the water column. Because we did not explicitly examine the effects of
738 tagging and handling on behavior immediately after release, we cannot determine conclusively
739 whether these animals behaved aberrantly, or if migratory activity during daylight hours is more
740 common in deeper waters than has been observed in shallow water studies (Meckley et al.
741 2014b; Vrieze et al. 2011). We suspect fallback, as those lampreys that exhibited daylight exiting
742 of the array and were subsequently observed (i.e., after more than 24 h at large) were only
743 observed at night. Regardless of this observation, our data are insufficient to develop a
744 reasonable hypothesis for the observed daytime movement. Consequently, our results should be
745 taken as a clear depiction of typical nocturnal orientation, but not necessarily precluding the
746 possibility of the existence of typical daylight orientation, which may exhibit different patterns.

747 In summary, this study extends observations of topography-guided search from
748 principally terrestrial cases to large aquatic environments. Bathymetry (underwater topography),
749 likely through the lens of water pressure, was relevant at intermediate to long distances from the
750 coast (2-5 km), as compared to other navigation cues that tend to be relevant at smaller (< 1 km,
751 e.g., vision, acceleration, water chemistry) or larger scales (> 5 km, e.g., geomagnetism,
752 celestial) (Kingsford et al. 2002). Sea lamprey actively navigated to the local coast through a
753 biphasic pattern of movement, most consistent with orientation to a bathymetric gradient
754 followed by directed movement to the coast. The parasitic stage of the life cycle may displace

755 sea lamprey away from the coast, and this flexible strategy based on simple movement rules
756 would allow them to find the local coast, and contributes to our understanding of why sea
757 lamprey are neither panmictic nor philopatric. Of the bathymetric cues that could be used for
758 orientation, hydrostatic pressure was best supported (i.e., barokinesis). It remains unknown
759 whether sea lamprey employ other navigational behaviors farther from the coast or if
760 bathymetric navigation would be effective at greater distances from the coast. Though
761 barokinesis is the most parsimonious hypothesis, this study did not address how sea lamprey
762 sense hydrostatic pressure or maintain a straight course towards shallow water while moving
763 vertically throughout the water column. The potential for sea lamprey to utilize a shoreward odor
764 gradient should receive further consideration. Simple orientation rules based on features of the
765 geophysical environment that can reliably lead to a target rich region appear to be a common
766 attribute of non-homing animal search. This is the first evidence of a navigation tactic consistent
767 with the use of a bathymetric gradient, and should be carefully evaluated in other species,
768 especially the numerous aquatic organisms that undertake similar offshore-inshore migrations
769 without natal homing or breeding site philopatry that exhibit vertical movements through the
770 water column (e.g., (Lohmann et al. 2008; Nakamura et al. 2011)).

771

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1072 **Table 1.** The number of sea lamprey detected by receivers located near shore for those sea
 1073 lamprey that were observed stopping in the array and moving on the first night (n=22) and for all
 1074 individuals released (n=67), is shown. The number of hours it took sea lamprey to be observed
 1075 after release at each receiver is shown under the column “Time to reach receiver in hours”.

Receiver/ detection Site	Distance from array (km)	No. of 1 st Detections in 72 hours (N=22, N=67)	Time to reach receiver hours (mean \pm SE) (N=22)	Time to reach receiver hours (min, max) (N=22)	No. of unique individuals (N=22, N=67)
OCQ	4.3	(9, 17)	(6.9 \pm 5.0)	(0.83 - 46.7)	(12, 26)
BM	6.2	(6, 11)	(17.7 \pm 10.7)	(1.7 - 69.3)	(9, 17)
CHB	33.8	(2, 9)	(51.8 \pm 0.4)	(51.4 - 52.2)	(4, 15)
SMR	51.6	(2, 5)	(141.0 \pm 86.3)	(54.7, 227.3)	(2, 5)
FMP	11.1	(0, 4)	-	-	(1, 5)
PRS	48.9	(0, 0)	-	-	(1, 1)

The number of sea lamprey detected on any receiver was 19/22 and 46/67. 10/23 and 19/67 sea lamprey entered the Ocquoec River. 1/ 22 and 2/65 entered the Black Mallard River. 4/23 and 7/67 were trapped in the Ocquoec River and 1/ 67 was trapped in the Cheboygan River.

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1087 **Table 2.** Summary statistics of individual track analyses (Theta, Rho, and Line Test) for $n = 22$
 1088 sea lamprey are shown. P1 and P2 indicate first and second phases of movements. Average Turn
 1089 angle (i.e., Theta) ranges from $-\pi$ to π , indicating left and right turns respectively. Individual t-
 1090 tests for each individual revealed that more sea lamprey had a bias of consistent left or right turns
 1091 during phase 1 than during phase 2. Turning bias was more commonly left or counterclockwise.
 1092 Rho is a measure of straightness ranging from 0-1, where 0 is complete randomness and 1 is a
 1093 straight line. Most fish swam a straighter course during phase 2 (Rho p). The line test evaluated
 1094 whether a broken stick model fit each track better than a straight line. Only those better fit by
 1095 broken stick regression are included in analyses (T26 and T44 were not included). No break
 1096 point was identified for T26 (Line Test, $p=0.283$), *not in table*. Bold values are significant.

ID	Theta (Average Turn Angle)				Rho (Straightness Index)			Line Test
	P1	P1 p	P2	P2 p	P1	P2	p	p
T02	-0.03	0.488	-0.08	0.039	0.81	0.83	0.615	< 0.01
T04	-0.06	0.494	0.00	0.996	0.70	0.86	< 0.01	0.048
T05	-0.17	0.214	0.01	0.792	0.74	0.86	0.18	< 0.01
T07	-0.81	< 0.01	-0.21	0.287	0.74	0.65	0.469	< 0.01
T08	0.07	0.689	0.04	0.458	0.48	0.84	< 0.01	< 0.01
T12	-0.58	< 0.01	0.06	0.480	0.61	0.77	0.183	< 0.01
T17	0.14	0.625	-0.10	0.484	-	0.75	0.662	< 0.01
T22	-0.43	< 0.01	-0.04	0.508	0.54	0.88	< 0.01	< 0.01
T25	0.50	< 0.01	-0.07	0.274	0.59	0.81	0.012	< 0.01
T27	-0.26	< 0.01	-0.06	0.668	0.64	0.75	0.176	< 0.01
T31	-0.47	< 0.01	0.14	0.244	0.61	0.73	0.356	< 0.01
T32	0.07	0.792	0.04	0.667	0.61	0.74	0.124	< 0.01
T36	-0.58	< 0.01	0.01	0.876	0.65	0.75	0.188	< 0.01
T37	0.02	0.878	0.33	< 0.01	0.67	0.85	0.122	< 0.01
T42	0.16	0.043	-0.19	0.116	0.69	0.78	0.362	< 0.01
T44	-0.28	0.836	0.03	0.61	-0.28	0.79	0.210	0.417
T47	0.68	0.028	-0.08	0.301	0.62	0.84	0.143	< 0.01
T54	-0.12	0.107	0.01	0.764	0.72	0.81	0.102	< 0.01
T56	-0.11	< 0.01	-0.21	< 0.01	0.70	0.70	0.933	< 0.01
T61	-0.01	0.903	0.06	0.557	0.75	0.73	0.816	< 0.01
T63	-0.20	< 0.01	-0.07	0.024	0.65	0.86	< 0.01	< 0.01
Total ($p < 0.05$):		11/ 20		4			5	20
Total Possible:				20			20	22

Table 3. Individual two-sampled t-tests indicate if there was a difference in the mean depth, standard deviation in depth, mean depth when maintaining vertical depth, or ground speed between phase 1(P1) and phase 2 (P2) for each sea lamprey. The tests support our observations that these two phases are different. Bold values are significant.

ID	Mean Depth (m)			Standard Dev. Depth (m)			Hz Mean Depth (m)			Ground Speed (m/s)		
	P1	P2	<i>p</i>	P1	P2	<i>p</i>	P1	P2	<i>p</i>	P1	P2	<i>p</i>
T02	32.85	17.98	<0.01	4.86	9.38	<0.01	35.1	14.6	0.052	0.38	0.49	<0.01
T04	32.69	15.85	<0.01	6.60	9.89	0.083	36.8	7.1	<0.01	0.38	0.48	<0.01
T05	29.80	21.59	<0.01	8.79	11.45	0.382	34.1	20.3	0.158	0.38	0.49	<0.01
T07	33.86	23.76	<0.01	0.15	8.30	<0.01	33.9	24.8	0.207	0.33	0.50	<0.01
T08	35.07	28.09	<0.01	0.92	6.84	<0.01	35.3	27.5	0.231	0.29	0.51	<0.01
T12	21.38	8.04	<0.01	10.54	6.69	0.141	26.5	4.4	<0.01	0.33	0.51	<0.01
T17	23.52	14.67	0.087	8.42	10.96	0.623	32.0	6.2	<0.01	0.59	0.69	0.084
T22	22.72	4.97	<0.01	12.08	3.62	<0.01	24.2	3.8	<0.01	0.30	0.52	<0.01
T25	32.91	5.99	<0.01	3.90	3.85	0.955	34.4	4.8	<0.01	0.17	0.30	<0.01
T27	23.16	7.51	<0.01	11.88	6.93	0.031	25.0	3.9	<0.01	0.35	0.47	<0.01
T31	27.31	13.95	<0.01	6.51	11.37	0.135	25.8	4.7	<0.01	0.34	0.57	<0.01
T32	17.84	8.33	<0.01	3.44	9.39	<0.01	21.5	3.4	0.065	0.34	0.42	<0.01
T36	31.98	31.06	0.129	1.79	5.61	<0.01	32.1	32.5	0.345	0.36	0.43	<0.01
T37	28.93	26.25	0.04	2.90	3.11	0.868	30.2	26.1	0.283	0.40	0.44	0.150
T42	-	-	-	-	-	-	-	-	-	0.41	0.73	<0.01
T47	-	-	-	-	-	-	-	-	-	0.56	0.69	0.076
T54	-	-	-	-	-	-	-	-	-	0.37	0.60	<0.01
T56	-	-	-	-	-	-	-	-	-	0.36	0.50	<0.01
T61	15.02	3.84	<0.01	9.06	2.14	0.064	8.6	2.3	<0.01	0.46	0.51	<0.01
T63	26.67	5.94	<0.01	9.94	7.45	0.280	-	4.5	-	0.27	0.53	<0.01
Total Significant ($p < 0.05$):			14			7			8			17
Total Possible:			16			16			14			20

1097 **Figure Captions**

1098

1099 **Figure 1.** An acoustic array was deployed in Hammond Bay, located in Lake Huron (a). Each
1100 sea lamprey was released near the bottom in the middle of a 43 receiver array (●,b) with 3 km² of
1101 array coverage (c). Individual receivers provided detection data along multiple locations on the
1102 coast, including 40 mile point (▲), Presque Isle (▲), and in front of as well as in the Black
1103 Mallard (■), Ocquoec (■), and, St. Mary's rivers (▲) (b). The nearest coastline was 172° (3
1104 radians; SSE) at the release location. Sea lamprey (n=20) settled in the array during the day,
1105 began moving at night, and were fit well by the backward beeline analysis (d). The array depth
1106 varied (20-39 m) and is represented by 5 m increments (d). Fish tracks are color coded by source
1107 including those from the Ocqueoc River (Orange), Cheboygan River (Black), Manistique River
1108 (red), and parasites (blue) (d).

1109

1110 **Figure 2.** The backward-beeline analysis (BBA) characterizes when individual sea lamprey
1111 transitioned from sinuous to straighter movements that led out of the array. Two
1112 key measures are made at each position in a path including the backward beeline distance (BBD)
1113 and the backward path length (BPL). The BBD is the distance measured from each position to
1114 the final measured position in the track. The BPL is the remaining cumulative path of each step
1115 from the current to the final position. The unclassified track of sea lamprey T04 depicts how the
1116 variables BPL and BBD would be calculated for the first position in the track. To complete the
1117 BBA, a broken stick model was fit and optimized using generalized least squares estimation with
1118 “gnls” in R across four parameters (initial slope (β_1), break point (Tau), final slope (β_2), and
1119 sigma). An example of the broken stick model fit is shown (red line depicts standard error of

1120 each slope (black line)). The break point separates phase one (orange) from phase two (blue) in a
1121 classified track. An overall heading can then be estimated for the straight path from the start to
1122 the end of the period, we term the orientation bearing (OB).

1123

1124 **Figure 3.** Dotted lines connect phase 1 (orange) and phase 2 (blue), data points for each sea
1125 lamprey subject. Both the mean value (dot) and standard deviation in each axis (+) is shown.

1126

1127 **Figure 4.** The heading of each sea lamprey during phase two (a), and the turn angle between
1128 heading and the direction towards shallow water (b), is depicted as a black dot within one of 60
1129 bins of the circle. If sea lamprey were moving towards the coast, points would be clustered at
1130 172 degrees (coast test, a). The bathymetry test does not indicate cardinal direction, but indicates
1131 whether each fish heading was opposite the local contour around that individual fish. In the
1132 bathymetry test, a 0° angle would indicate a fish moving perfectly towards shallow water while
1133 180° would be movement opposite, toward deeper water (b). Average heading (gray arrow) and
1134 95% confidence interval (shaded) are shown. These images highlight the pattern that sea
1135 lamprey did not appear to go in a consistent direction (a), however sea lamprey did tend to move
1136 opposite the local bathymetry towards shallower water (b).

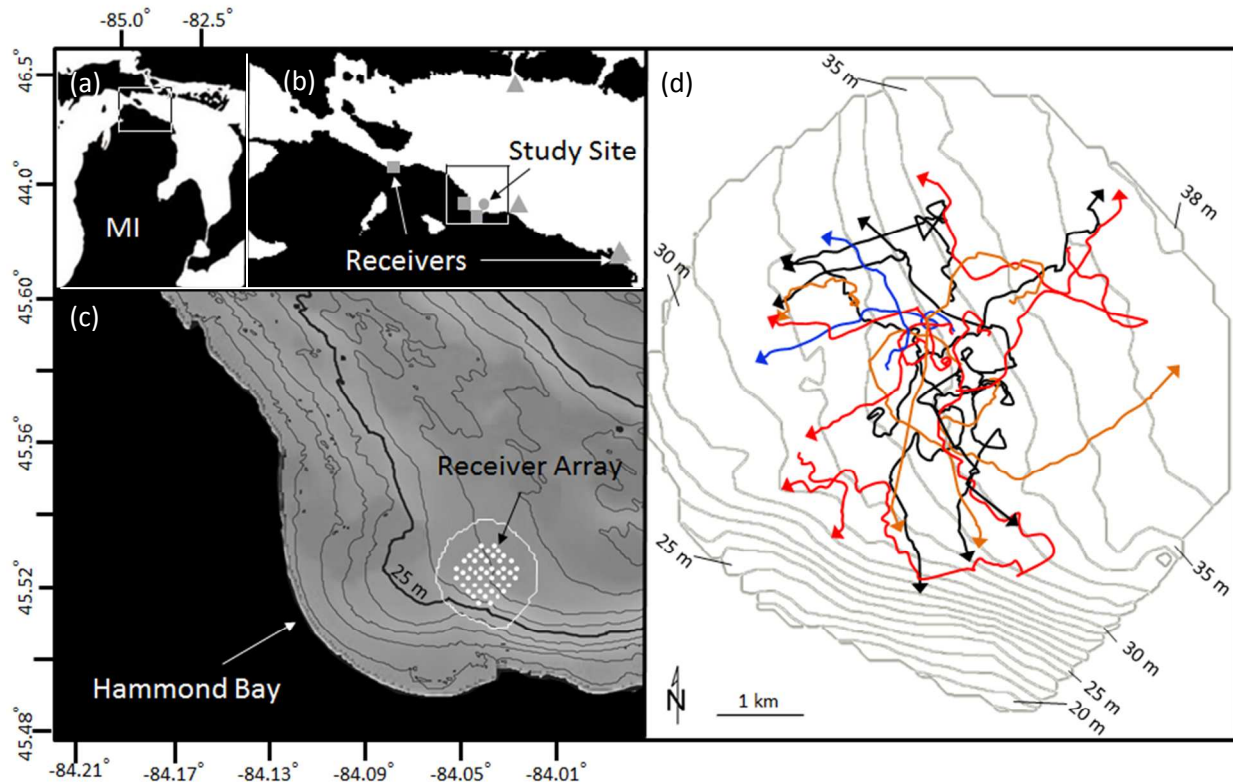
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1138 **Figure 5.** The vertical profile of where sea lamprey ($n=20$) were observed swimming in the
1139 water column, in terms of the total number of observations within each 20% depth bin. These are
1140 shown for all monitoring (left), only during phase one (center), and only during phase 2 (right).
1141 The surface is 0% and the bottom is 100%, but the actual depth varied across the array (20-39
1142 m). All 20 tracks are shown in S4.

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Figure 6. The track of sea lamprey T04 is depicted as it exited the array (a), and below is the water column depth (b) and ground speed during the track (c). The graphs are color coded by 15 minute intervals. In inset “a” small circles represent receiver positions and the small colored dots represent fish positions with a line showing the path. In inset “b” the red line represents the total water column depth and the squares show the depth of the fish with respect to the total water column depth through time. All 20 tracks are shown in S2.

Draft



1161

1162 **Figure 1.** An acoustic array was deployed in Hammond Bay, located in Lake Huron (a). Each

1163 sea lamprey was released near the bottom in the middle of a 43 receiver array (●, b) with 3 km² of

1164 array coverage (c). Individual receivers provided detection data along multiple locations on the

1165 coast, including 40 mile point (▲), Presque Isle (▲), and in front of as well as in the Black

1166 Mallard (■), Ocquoec (■), and, St. Mary's rivers (▲) (b). The nearest coastline was 172° (3

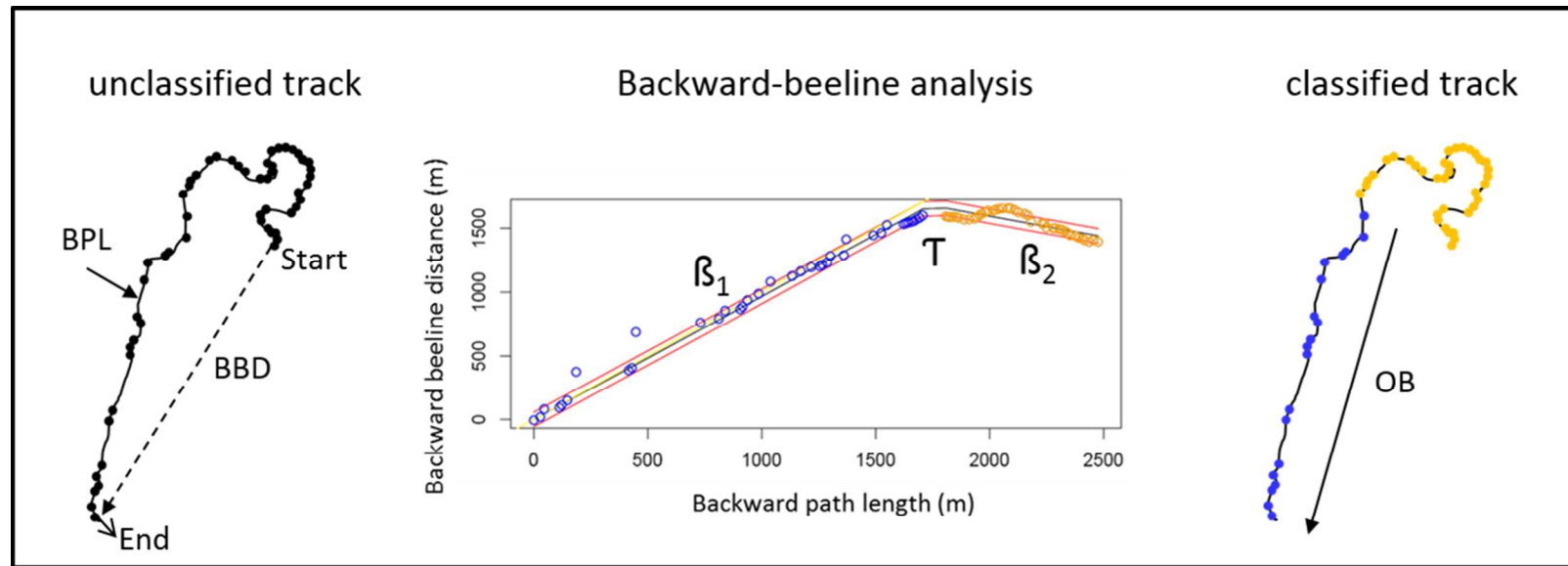
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1168 began moving at night, and were fit well by the backward beeline analysis (d). The array depth

1169 varied (20-39 m) and is represented by 5 m increments (d). Fish tracks are color coded by source

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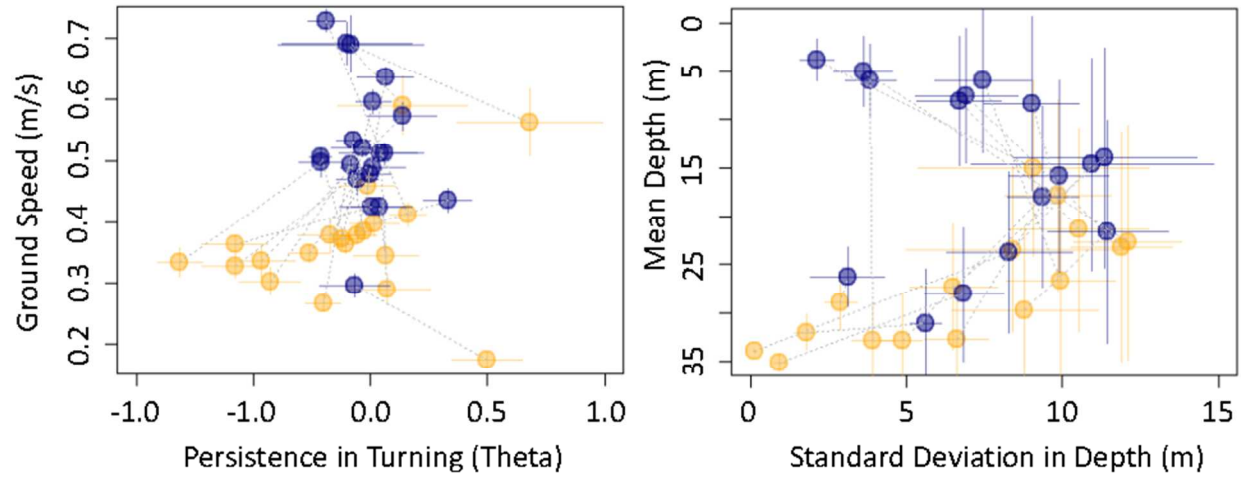
1171 (red), and parasites (blue) (d).



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1173 **Figure 2.** The backward-beeline analysis (BBA) characterizes when individual sea lamprey transitioned from sinuous to straighter
 1174 movements that led out of the array. Two key measures are made at each position in a path including the backward beeline distance
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 1176 the track. The BPL is the remaining cumulative path of each step from the current to the final position. The unclassified track of sea
 1177 lamprey T04 depicts how the variables BPL and BBD would be calculated for the first position in the track. To complete the BBA, a
 1178 broken stick model was fit and optimized using generalized least squares estimation with “gnls” in R across four parameters (initial
 1179 slope (β_1), break point (Tau), final slope (β_2), and sigma). An example of the broken stick model fit is shown (red line depicts standard
 1180 error of each slope (black line)). The break point separates phase one (orange) from phase two (blue) in a classified track. An overall
 1181 heading can then be estimated for the straight path from the start to the end of the period, we term the orientation bearing (OB).

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1184 **Figure 3.** Dotted lines connect phase 1 (orange) and phase 2 (blue), data points for each sea
1185 lamprey subject. Both the mean value (dot) and standard deviation in each axis (+) is shown.

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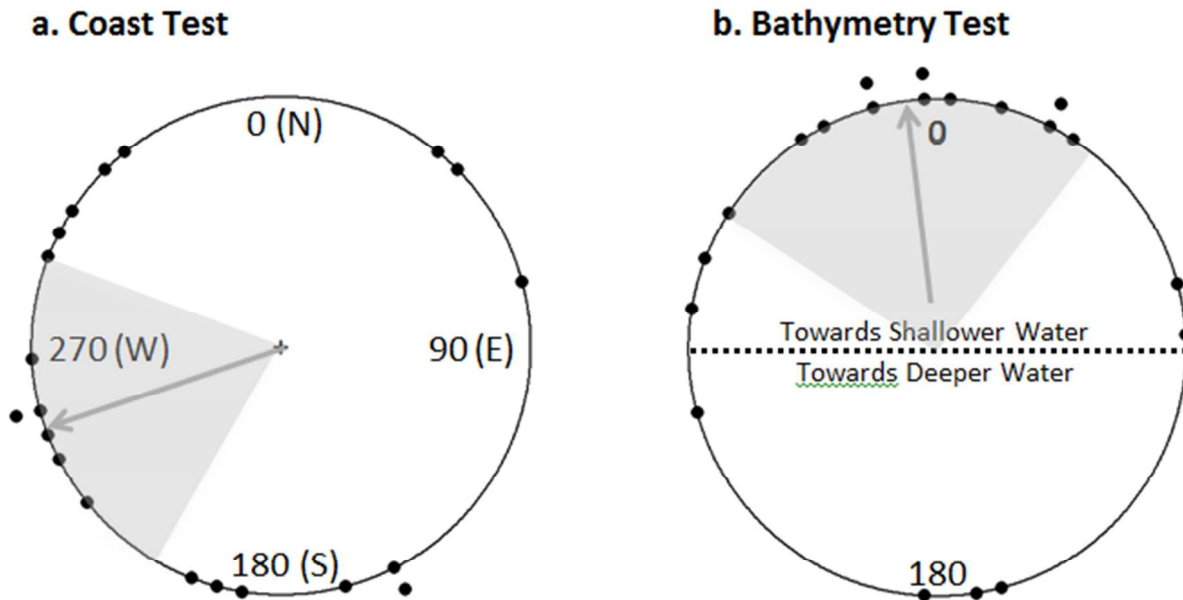
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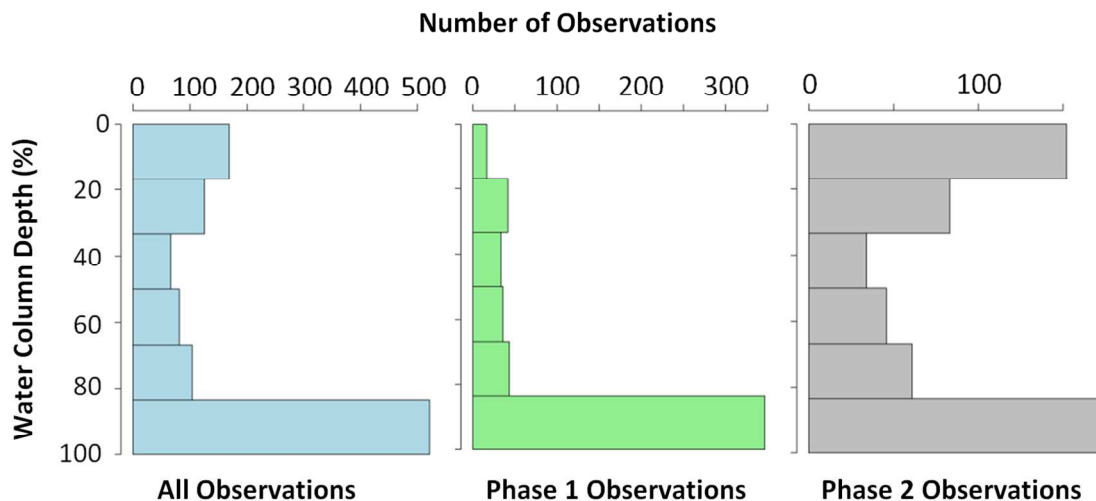
1195

1196 **Figure 4.** The heading of each sea lamprey during phase two (a), and the turn angle between
 1197 heading and the direction towards shallower water (b), is depicted as a black dot within one of 60
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 1199 172 degrees (coast test, a). The bathymetry test does not indicate cardinal direction, but indicates
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 1214 m). All 20 tracks are shown in S4.

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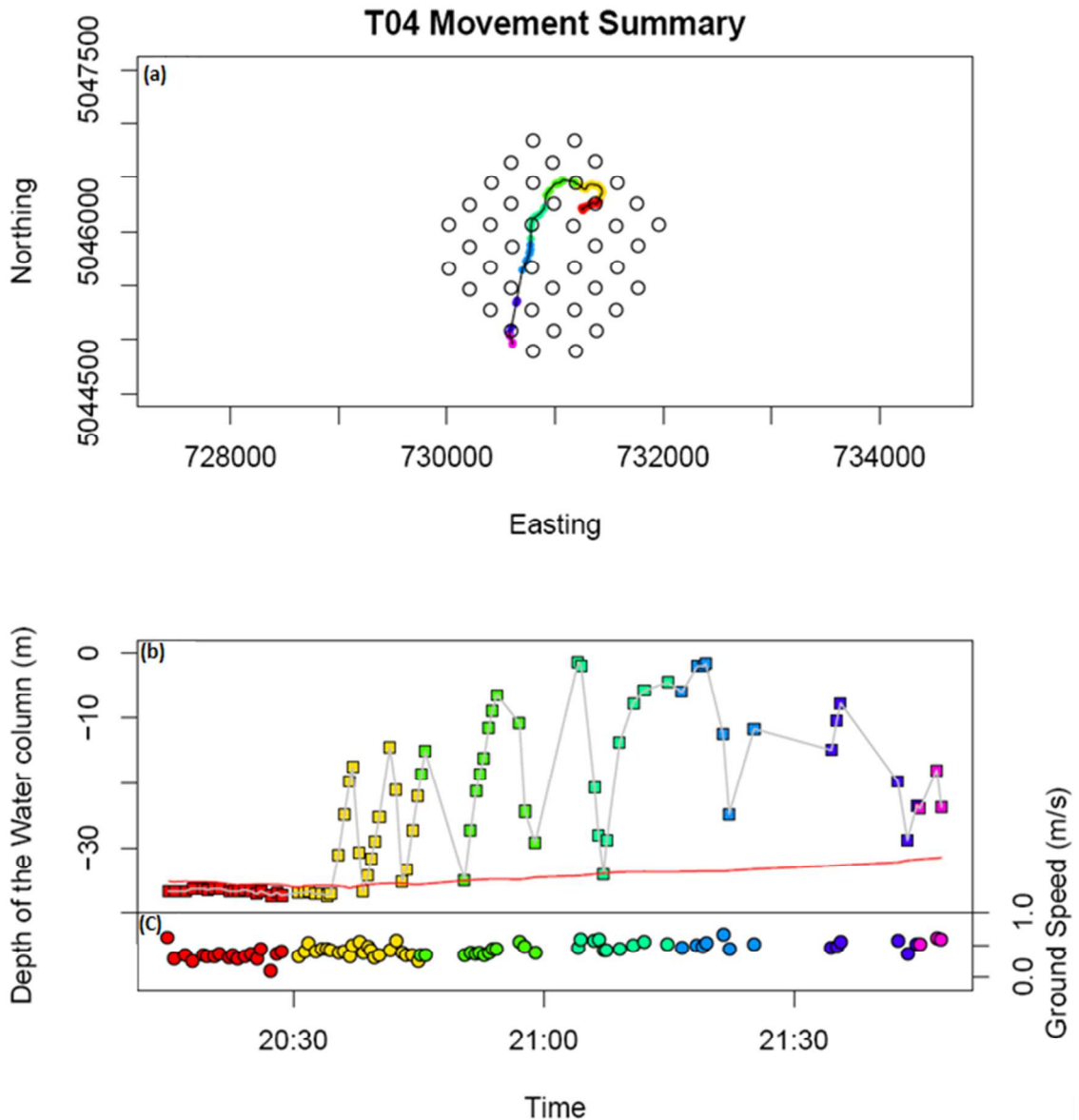
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1223 **Figure 6.** The track of sea lamprey T04 is depicted as it exited the array (a), and below is the
 1224 water column depth (b) and ground speed during the track (c). The graphs are color coded by 15
 1225 minute intervals. In inset “a” small circles represent receiver positions and the small colored dots
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 1227 water column depth and the squares show the depth of the fish with respect to the total water
 1228 column depth through time. All 20 tracks are shown in S2.