



**Fish spawning aggregations: where well-placed  
management actions can yield big benefits for fisheries and  
conservation**

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Key terms:	fish spawning aggregations, fisheries management, marine conservation, marine productivity hotspots, physical-biological coupling, Fisheries co-management
Abstract:	Marine ecosystem management has traditionally been divided between fisheries management and biodiversity conservation approaches, and the merging of these disparate agendas has proven difficult. Here we offer a pathway that can unite fishers, scientists, resource managers, and conservationists towards a single vision for some areas of the ocean where small investments in management can offer disproportionately large benefits to fisheries and biodiversity conservation. Specifically, this provides a series of evidenced-based arguments that support an urgent need to recognize fish spawning aggregations (FSAs) as a focal point for fisheries management and conservation on a global scale, with a particular emphasis placed on the protection of multi-species FSA sites. We illustrate that these sites serve as productivity hotspots – small areas of the ocean that are dictated by the interactions between physical forces and geomorphology, attract multiple species to reproduce in large numbers, and support food web dynamics, ecosystem health, and robust fisheries. FSAs are comparable in vulnerability, importance, and magnificence to breeding aggregations of seabirds, sea turtles, and whales yet they receive insufficient attention and are declining worldwide. Numerous case studies confirm that protected aggregations do recover to benefit fisheries through

	<p>increases in fish biomass, catch rates, and larval recruitment at fished sites. The small size and spatio-temporal predictability of FSAs allow monitoring, assessment, and enforcement to be scaled down while benefits of protection scale up to entire populations. Fishers intuitively understand the linkages between protecting FSAs and healthy fisheries and thus tend to support their protection.</p>

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1 **Fish spawning aggregations: where well-placed management actions can yield big benefits**  
2 **for fisheries and conservation**

3  
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21 **Running title:** Management of fish spawning aggregations  
22  
23

24 **Abstract**

25 Marine ecosystem management has traditionally been divided between fisheries management  
26 and biodiversity conservation approaches, and the merging of these disparate agendas has proven  
27 difficult. Here we offer a pathway that can unite fishers, scientists, resource managers, and  
28 conservationists towards a single vision for some areas of the ocean where small investments in  
29 management can offer disproportionately large benefits to fisheries and biodiversity  
30 conservation. Specifically, this provides a series of evidenced-based arguments that support an  
31 urgent need to recognize fish spawning aggregations (FSAs) as a focal point for fisheries  
32 management and conservation on a global scale, with a particular emphasis placed on the  
33 protection of multi-species FSA sites. We illustrate that these sites serve as productivity hotspots  
34 – small areas of the ocean that are dictated by the interactions between physical forces and  
35 geomorphology, attract multiple species to reproduce in large numbers, and support food web  
36 dynamics, ecosystem health, and robust fisheries. FSAs are comparable in vulnerability,  
37 importance, and magnificence to breeding aggregations of seabirds, sea turtles, and whales yet  
38 they receive insufficient attention and are declining worldwide. Numerous case studies confirm  
39 that protected aggregations do recover to benefit fisheries through increases in fish biomass,  
40 catch rates, and larval recruitment at fished sites. The small size and spatio-temporal  
41 predictability of FSAs allow monitoring, assessment, and enforcement to be scaled down while  
42 benefits of protection scale up to entire populations. Fishers intuitively understand the linkages  
43 between protecting FSAs and healthy fisheries and thus tend to support their protection.

44

45 **Key words:** fish spawning aggregations, fisheries management, marine conservation, marine  
46 productivity hotspots, physical-biological coupling, fisheries co-management

47 ***Introduction: Mammals, birds and reptiles; why not fishes?***

48 Many animals in both the terrestrial and marine environment undergo large migrations to  
49 aggregate en mass at specific locations and during discrete, predictable times (Bauer and Hoyer  
50 2014). Breeding migrations of wildebeests and other land megafauna in Africa, the gray whales  
51 in the Eastern Pacific, the penguins of Antarctica, and all species of sea turtles are globally  
52 iconic, such that protection of these critical life history processes are widely acknowledged as a  
53 high priority in species conservation and as focal points for coordinated multi-agency  
54 management actions (Martin *et al.* 2007; Wilcove and Wikelski 2008). In some cases, these are  
55 areas where multiple species gather to breed either simultaneously or at different times of the  
56 year. Such locations are often labeled as temporary “hotspots” or places of periodic high  
57 biodiversity, productivity, and vulnerability whose protection can yield disproportionately high  
58 benefits for conservation (Myers *et al.* 2000; Roberts *et al.* 2002).

59 This reproductive phenomenon is also critical to the resilience of many populations of  
60 marine fishes and the sustainability of many fisheries. Fish spawning aggregation (FSAs; Figure  
61 1) are temporary gatherings of large numbers of conspecific fish that form for the sole purpose of  
62 reproduction (Domeier 2012). FSAs are critical life-cycle events to those species that engage in  
63 such behavior, often representing the only opportunities when fish within the population  
64 reproduce, and thus comprising the major source of reproductive output (Sadovy de Mitcheson  
65 and Colin 2012). FSAs are predictable in time and space with locations and cycles dictated by  
66 the adaptation of various species to interactions between geomorphology, habitat features, and  
67 ocean dynamics that generate complex, localized, and ephemeral linkages through ocean food  
68 webs and attract top predators and mega-planktivores (Heyman *et al.* 2001; Ezer *et al.* 2011;  
69 Pittman and McAlpine 2003; Petitgas *et al.* 2010). Large, predictable concentrations of fish are

70 also attractive sites for fishing, which explains why FSAs support highly productive commercial  
71 (both industrial and small-scale), recreational, and subsistence fisheries all over the world, but  
72 overexploitation has contributed to rapid stock depletions and localized extirpations (Sadovy and  
73 Domeier 2005; Sadovy *et al.* 2008).

74 Fishes rank only below birds in terms of the amount of published scientific information  
75 available on breeding migrations and aggregations (Bauer *et al.* 2009), and many fish  
76 aggregations are equivalent in scale, spectacle, vulnerability and importance to the most well  
77 known wildlife aggregations. For these reasons, FSAs have been recognized in principle as focal  
78 points for fisheries and marine management in some regions (Green *et al.* 2014). With the  
79 exception of salmonids (Elison *et al.* 2014; ADF&G 2015), however, there has been little  
80 directed management of spawning aggregations (Sadovy de Mitcheson *et al.* 2008). Many sites  
81 have not been documented and of those that have, few are managed or protected (Russell *et al.*  
82 2014). Management focus on FSAs has been hindered in part by the belief that conventional  
83 management (e.g. size or catch limits) obviates the need for specific attention to aggregation sites  
84 (Tobin *et al.* 2013).

85 In a crowded world with declining financial and natural resources, investments in marine  
86 conservation and fisheries management must be efficient and enforceable and provide large  
87 measurable benefits to both resources and stakeholders. Here we argue that focusing protection  
88 on these predictable, productive and critical life-cycle events can provide large, rapid, and  
89 measurable benefits for both biodiversity conservation and sustainable fisheries management in a  
90 manner that is logistically feasible, economically practical, and garners broad consensus support.  
91 The high reproductive potential of FSA sites, particularly those where multiple species  
92 aggregate, means that effective protection from exploitation can help rebuild depleted local

93 populations and the fisheries they support (Nemeth 2005; Pondella and Allen 2008; Luckhurst  
94 and Trott 2009; Aburto-Oropeza *et al.* 2011). Numerous case studies exist that demonstrate the  
95 effectiveness and enormous value to local communities of small investments in FSA protection  
96 (Hamilton *et al.* 2011; Aburto-Oropeza *et al.* 2011; Heyman and Granados-Dieseldorff 2012).  
97 While FSA protection is not a panacea for all the challenges facing the worlds' oceans or the  
98 shortcomings of traditional fisheries management, nor does it promise to solve all the challenges  
99 facing marine protected areas and marine conservation, it provides a clear pathway to integrate  
100 biodiversity conservation and fisheries management with the potential for strong support by  
101 fishers and other stakeholders.

102

### 103 ***Hotspots of marine productivity that support ecosystem health***

104 FSA's are most studied on coral reefs, but they have been identified within nearly every  
105 marine eco-region and habitat type, ranging from shallow tropical coral reefs, subtropical  
106 estuaries, and temperate offshore banks to seamounts in the deep ocean. In the most  
107 comprehensive compilation of spawning aggregation records to date, 906 reports of FSA's have  
108 been documented across all 5 oceans, 53 countries, 44 families, and more than 300 species of  
109 fishes (Russell *et al.* 2014; SCRFA 2014) (Figure 2). Since the database is largely focused on  
110 tropical reef fishes, it likely omits the majority of known aggregations throughout the globe,  
111 particularly those in non-reef and non-tropical habitats. For example, a number of triggerfish  
112 species (Balistidae) form nesting aggregations over sandy bottoms adjacent to reefs (Erisman *et*  
113 *al.* 2010), and pelagic billfishes (e.g. Black Marlin: *Istiompax indica*, Istiophoridae) and  
114 mackerels (e.g. Monterey Spanish Mackerel: *Scomberomorus concolor*, Scombridae) also  
115 aggregate to spawn in a highly predictable manner (Domeier and Speare 2012; Erisman *et al.*

116 2015). Therefore, FSAs are broadly meaningful across taxa and global geography despite being  
117 under-documented.

118 Many FSA sites harbor aggregations of several or even tens of species (Sedberry *et al.*  
119 2006; Heyman and Kjerfve 2008; Sadovy de Mitcheson *et al.* 2008; Kobara *et al.* 2013; Claydon  
120 *et al.* 2014) that gather in the same location at different times of the year according to specific  
121 seasonal, lunar, tidal, and diel cycles. As one notable example, Kobara and Heyman (2010)  
122 showed that all fourteen known Nassau Grouper (*Epinephelus striatus*, Epinephelidae) spawning  
123 sites in Belize harbor multi-species FSAs. A recent review of 108 transient FSA sites (Kobara *et al.*  
124 *et al.* 2013) in the wider Caribbean illustrated that most sites in that region harbor aggregations of  
125 multiple species. Individual sites harbor as many as 24 species from 9 different families of  
126 fishes during different specific lunar phases within certain months. The majority of Caribbean  
127 multispecies FSA sites listed above occur at seaward projections of undersea shelf edges or reef  
128 promontories, while in other tropical regions such as the Indo-Pacific they are often associated  
129 with promontories and reef channels (Nemeth 2009, 2012; Colin 2012; Kobara *et al.*, 2013).  
130 Synchronization of spawning with environmental cues has been documented elsewhere for  
131 aggregations that occur in lagoons and estuaries, temperate and coral reefs, and offshore habitats,  
132 although the temporal and spatial scales vary by location and species (Pankhurst 1988; Domeier  
133 and Speare 2012; Erisman *et al.* 2012; Russell *et al.* 2014; Zemeckis *et al.* 2014).

134 The spatio-temporal predictability and persistence of FSAs is a product of the life history  
135 strategies of fishes evolving in response to the geomorphological characteristics and the physical  
136 processes that occur at these locations only during certain periods (Choat 2012; Colin 2012) in  
137 order to maximize reproductive fitness (Molloy *et al.* 2012). Ocean currents interact with distinct  
138 habitat features (e.g., promontories, seamounts, channels) to generate intermittent upwellings and



139 localized gyres, which retain massive volumes of nutrients and spawned eggs (Shcherbina *et al.*  
140 2008; Karnauskas *et al.* 2011; Ezer *et al.* 2011). This scenario creates concentrated hotspots of  
141 primary and secondary productivity that cascade into diverse coastal and pelagic food webs  
142 (Morato *et al.* 2010; Wingfield *et al.* 2010). FSAs create “egg boons”, immense but temporary  
143 concentrations of highly nutritious fatty acids, molecules that are especially important for the  
144 health of nearly all marine animals and the health of whole marine ecosystems. Egg boons  
145 represent a major trophic pathway that creates linkages and feedbacks between organisms and  
146 environments across all trophic levels and among the few pathways that recycle essential  
147 nutrients from apex predators to the lower trophic levels (Fuiman *et al.* 2014) (Figure 3). These  
148 events are comparable to the synchronized mass spawning of corals shown to create pulses of  
149 nutrients that are rapidly assimilated into local food webs (Guest 2008). The fatty acids and other  
150 nutrients produced en masse by spawning aggregations represent a cross-ecosystem spatial  
151 subsidy that can be advected to various microhabitats (e.g. intertidal and subtidal) and utilized by  
152 a variety of organisms (Hamner *et al.* 2007; Fox *et al.* 2014). Similarly, aggregations of  
153 spawning fish create biogeochemical “hot moments” that supply up to an order of magnitude  
154 more nitrogen and phosphorus than baseline levels on coral reefs, and overfishing of  
155 aggregations may reduce nutrient supplies by aggregating fish by up to 87% (Archer *et al.* 2014).  
156 Fish also forage and are preyed upon throughout their migrations to, from, and at aggregation  
157 sites thereby establishing transport and trophic interactions with resident communities, mediating  
158 the diversity and stability of ecological communities, and fostering ecosystem connectivity  
159 (Nemeth 2009; McCauley *et al.* 2012; Bauer and Hoye 2014).

160           The ephemeral concentration of food resources at FSA sites are also associated with  
161 timed migrations by a wide diversity of large, migratory predators (e.g. sharks, billfishes,

162 dolphins, and tunas) that feed on aggregating fishes (Nemeth *et al.* 2010; Graham and  
163 Castellanos 2012) and mega-planktivores (e.g. Whale Sharks: *Rhincodon typus*, Rhincodontidae;  
164 and Manta Rays: *Manta birostris*, Myliobatidae) that aggregate to feed on the spawned eggs  
165 (Heyman *et al.* 2001; Hoffmayer *et al.* 2007; Nemeth 2009; Hartup *et al.* 2013; Kobara *et al.*  
166 2013). Ecological benefits result from enhanced retention and survivorship of larvae (Ezer *et al.*  
167 2011; Karnauskas *et al.* 2011), the dispersal of nutritious eggs, and the potential spillover of  
168 these rich sources of productivity into adjacent areas (Morato *et al.* 2010; Cherubin *et al.* 2011;  
169 Harrison *et al.* 2012; Almany *et al.* 2013; Kobara *et al.* 2013).

170         Protecting multi-species FSAs can have umbrella effects that support complex food webs  
171 and populations of apex predators necessary for maintaining healthy ecosystem function and  
172 structure (Pauly *et al.* 1998; Heithaus *et al.* 2008). The loss of aggregations, which in many  
173 tropical and temperate reefs is equated with the loss of apex predators such as groupers  
174 (Epinephelidae), snappers (Lutjanidae), and other piscivores (Pondella and Allen 2008; Choat  
175 2012), has contributed to global declines in ecosystem health (Jackson *et al.* 2001; Burke and  
176 Maidens 2004; Estes *et al.* 2011). Similarly, the loss of forage fishes (e.g. herrings and  
177 menhaden) that migrate and aggregate to spawn in temperate regions may impact many kinds of  
178 predators, including fishes, seabirds, marine mammals, and squid (Pikitch *et al.* 2014). Protected  
179 FSA sites, particularly those involving apex predators or forage fishes, can therefore be used as  
180 indicators of healthy marine ecosystems that serve as baselines to assess the status of other areas  
181 (Sadovy and Domeier 2005). Likewise, these sites create lucrative opportunities for eco-tourism  
182 in the tropics and subtropics, in which aggregations of reef fishes, sharks, dolphins, and manta  
183 rays help generate hundreds of millions of dollars annually for the recreational diving industry  
184 from divers who prefer large animals and healthy reefs (Williams and Polunin 2000; Rudd and

185 Tupper 2002; Heyman *et al.* 2010; Vianna *et al.* 2012).

186

187 ***Globally important and threatened***

188 FSA currently support or once supported some of the most important and productive  
189 commercial, recreational, and subsistence fisheries across the globe, and multi-species FSAs  
190 sites often represent the most important regional fishing grounds (Sadovy de Mitcheson and  
191 Erisman 2012). Notable examples from commercial fisheries include Atlantic Cod (*Gadus*  
192 *morhua*, Gadidae), groupers and snappers from the Live Reef Fish Food Trade in Southeast Asia,  
193 Orange Roughy (*Hoplostethus atlanticus*, Trachichthyidae) fisheries at seamounts off New  
194 Zealand and Namibia, and salmon fisheries in the U.S. Pacific Northwest. Other commercially  
195 important species that migrate and aggregate to spawn include the Alaska Pollock (*Theragra*  
196 *chalcogramma*, Gadidae) and the Atlantic Herring (*Clupea harengus*, Clupeidae), which both  
197 contribute several million tons and tens of billions of dollars annually to global fisheries  
198 production (Dragesund *et al.* 1997; FAO 2014; Shida *et al.* 2014). The high abundance of fish  
199 present at aggregations during predictable periods and at known locations, which can range from  
200 tens to even millions of individuals confined to small areas, generates the ideal scenario for  
201 fishers; large catches and sizeable earnings with minimal effort (Sadovy and Domeier 2005;  
202 Erisman *et al.* 2012). Yet these same characteristics that can significantly elevate catchability  
203 render aggregations particularly vulnerable to overfishing, as targeted harvesting of fish from an  
204 aggregation may remove a large proportion of an entire population (Sadovy *et al.* 2008; Sadovy  
205 de Mitcheson and Erisman 2012). Since FSAs may attract the majority of breeding fish from a  
206 radius of 10s to 100s of kilometers, the extirpation of fish from the spawning site effectively  
207 removes the species from a much larger surrounding area (Nemeth 2009; Erisman *et al.* 2012).

208 For most species that form FSAs, it is the only time and place that they reproduce, so harvesting  
209 fish from these sites can rapidly and dramatically reduce the reproductive capacity of a stock by  
210 removing future egg production (Sadovy de Mitcheson and Erisman 2012; Dean *et al.* 2012;  
211 Erisman *et al.* 2014).

212 Exploitation of aggregated fish may directly or indirectly compromise reproductive  
213 function, reproductive output, and fertilization rates by interfering with the mating process  
214 (Petersen *et al.* 2001; Rowe and Hutchings 2003; Alonzo and Mangel 2004; Rowe *et al.* 2008;  
215 Erisman *et al.* 2007; Rose *et al.* 2008). This occurs via disruptions of complex courtship rituals  
216 and mate encounter rates, impairment of visual or auditory communication, alterations of  
217 operational sex ratios and social structure during mating (Rowe and Hutchings 2003; Rowe *et al.*  
218 2004; Muñoz *et al.* 2010; Slabbekoorn *et al.* 2010); damage to critical spawning habitat by  
219 destructive fishing gear (Koslow *et al.* 2001; Coleman *et al.* 2000; Koenig *et al.* 2000; Kaiser *et*  
220 *al.* 2002); and stress-caused changes in hormone levels, fecundity, egg size and development,  
221 and egg survival (Morgan *et al.* 1999).

222 This type of vulnerability to fishing is an important characteristic of FSAs that can lead to  
223 loss of the functional integrity of marine ecosystems as a result of the mass removal of key  
224 carnivores (Choat 2012) and essential nutrients (e.g. fatty acids via eggs) from the food web  
225 (Heithaus *et al.* 2008; Fuiman *et al.* 2014). Collectively, these factors explain why the  
226 overfishing of aggregations has often been associated with rapid declines in fish stocks, fishery  
227 collapses, ecosystem imbalances, the complete extirpation of aggregations from specific areas or  
228 regions, and in the most extreme cases, the near extinction of entire species (Cisneros-Mata *et al.*  
229 1995; Hutchings 1996; Sala *et al.* 2001; Erisman *et al.* 2011).

230 Numerous families of fishes (e.g. Epinephelidae, Lutjanidae, Sciaenidae, Siganidae,

231 Scombridae, Channidae, Polyprionidae, Gadidae) include species that form spawning  
232 aggregations that have undergone severe declines (Sadovy de Mitcheson and Erisman 2012;  
233 Russell *et al.* 2012) in response to overfishing, and many are classified as threatened or  
234 endangered by the International Union for the Conservation of Nature (IUCN), the Convention  
235 on the International Trade in Endangered Species (CITES), or the Food and Agriculture  
236 Organization of the United Nations (FAO). Possibly the most well known example of a  
237 remarkable species and fishery collapse related to FSAs is the Nassau Grouper. Once the most  
238 important Caribbean finfish fishery, it is now considered endangered by IUCN and being  
239 considered for listing as Threatened under the U.S. Endangered Species Act (ESA) after decades  
240 of overfishing resulted in the disappearance of the majority of FSAs throughout its geographic  
241 range (Sadovy and Eklund 1999; Sadovy de Mitcheson *et al.* 2013). Twenty of 163 species  
242 (12%) of groupers risk extinction if current fishing trends continue (Sadovy de Mitcheson *et al.*  
243 2013), and a comparative analysis among grouper species of known reproductive strategy  
244 demonstrated that spawning aggregation formation is associated with higher extinction risk  
245 (Sadovy de Mitcheson and Erisman 2012).

246 Many large-bodied sciaenid (Sciaenidae) fishes have experienced similar declines due to  
247 the overfishing of their spawning aggregations. In the Gulf of California, Mexico, the annual  
248 harvest of thousands of tons of Totoaba (*Totoaba macdonaldi*, Sciaenidae), the world's largest  
249 croaker, at its only spawning site from the 1920s to the 1950s resulted in its near extinction and  
250 the dubious distinction as the first marine fish listed on CITES as critically endangered (Cisneros  
251 Mata *et al.* 1995). The fishery for Totoaba has been replaced in recent years in the same region  
252 by a massive aggregation fishery for the Gulf Corvina (*Cynoscion othonopterus*, Sciaenidae),  
253 which may collapse if measures to reduce fishing pressure are not enacted soon (Erisman *et al.*

254 2012; Erisman *et al.* 2014). Severe declines and regional extirpations of spawning aggregations  
255 in other large sciaenids include the Giant Yellow Croaker (*Bahaba taipingensis*, Sciaenidae) in  
256 China (Cheung and Sadovy 2003), the White Seabass (*Atractoscion nobilis*, Sciaenidae) in  
257 California USA (Pondella and Allen 2008), and the Blackspotted Croaker (*Protonibea*  
258 *diacanthus*, Sciaenidae) in Australia (Phelan 2008).

259

### 260 **Conservation and management status**

261 The most recent and comprehensive report on the global status of marine fish  
262 aggregations revealed that 52% of the documented aggregations have not been assessed, less  
263 than 35% of FSAs are protected by any form of management (e.g. inclusion within marine  
264 protected areas, seasonal protection, harvest controls, total moratoria), and only about 25% have  
265 some form of monitoring in place (Russell *et al.* 2014). Among those FSAs in the database that  
266 have been evaluated, 53% are in decline and 10% have disappeared altogether. In congruence  
267 with much of the scientific literature on FSAs, the report is biased towards species that inhabit  
268 coral reefs (e.g. groupers and snappers). Greater representation by species and aggregations from  
269 higher latitudes and other ecosystems are needed to provide a more balanced understanding of  
270 FSAs and their fisheries (Russell *et al.* 2014).

271 While few FSAs are managed or protected, they are frequently recognized directly or  
272 indirectly within the language of national and multi-national management strategies. It is  
273 common practice that FSAs, or at least important spawning grounds of fishes, are mentioned in  
274 the language of marine spatial planning documents of states, federal fisheries agencies, and  
275 NGOs when setting criteria and designing marine reserves (Sale *et al.* 2004; Green *et al.* 2014).  
276 For example, in 1996, the US Magnuson–Stevens Act mandated the identification of essential

277 fish habitat (EFH) for specific target fishery species and defined EFH as ‘those waters and  
278 substrate necessary to fish for spawning, breeding, feeding, or growth to maturity (DOC 1997).  
279 The purpose of the Act was to create a national program for the conservation and management of  
280 US fishery resources to prevent overfishing, to rebuild fish stocks, insure conservation and  
281 facilitate long-term protection of essential fish habitats that would realize the full potential of the  
282 Nation's fishery resources. Fishery management councils were tasked with identifying Habitat  
283 Areas of Particular Concern and minimizing adverse effects of fishing on EFH. The Caribbean  
284 Fishery Management Council and the South Atlantic Fisheries Management Council are  
285 pursuing networks of reserves that protect multi-species spawning aggregations as an important  
286 strategy for managing data-poor reef species (Parma *et al.* 2014; SAFMC 2015).

287 A recent reform of the European Union’s Common Fisheries Policy in line with the  
288 Marine Strategy Framework Directive considers a healthy population size structure and retention  
289 of full reproductive capacity to be indicative of Good Environmental Status. An ambitious target  
290 of ending overfishing by 2020 achieved through regulations that result in fishing at levels that do  
291 not endanger the reproduction of stocks while providing high long-term yields. A renewed focus  
292 on the protection of the functional role played by FSAs should be a step toward meeting the goal  
293 of sustainable fishing through maintenance of fish population size at maximum productivity. In  
294 the United Kingdom, the Marine Management Organization is evaluating sector-based marine  
295 spatial planning including a ‘core fishing grounds’ approach in which fishing might be given  
296 priority consideration over other activities (MMO 2014).

297 FSAs match well with the criteria set by several international conservation agendas and  
298 calls to action. For example, FSAs are prime candidates for designation as Ecologically and  
299 Biologically Significant Areas (EBSAs) under the Convention on Biological Diversity, because

300 they fulfill all essential criteria: uniqueness or rarity, importance for life history stages,  
301 importance for declining species or habitats, biological productivity, biological diversity, and  
302 naturalness. Likewise, FSAs are mentioned in Article 6.8 of the General Principles of the FAO  
303 Code of Conduct for Responsible Fisheries that calls for “all critical fisheries habitats...such as  
304 spawning areas, should be protected and rehabilitated as far as possible and where necessary”  
305 (FAO 1995). At the 2004 IUCN World Conservation Congress, (Rec 3.100, p. 115) governments  
306 were urged to “establish sustainable management programmes for sustaining and protecting reef  
307 fish and their spawning aggregations...”, and international and fisheries management  
308 organizations and non-governmental organizations were requested “to take action to promote and  
309 facilitate the conservation and management of fish spawning aggregations...”. The International  
310 Coral Reef Initiative (ICRI) provided similar recommendations in 2006 and has since  
311 encouraged ICRI Operational Networks and Members, as well as inter-governmental,  
312 governmental and non-governmental organizations and the private sector, to contribute, as  
313 appropriate, to the implementation of these recommendations through appropriate projects,  
314 initiatives and campaigns that promote the conservation and sustainable management of reef fish  
315 spawning aggregations. In 2014, ICRI formally endorsed the latest global status report of fish  
316 aggregations produced by Science and Conservation of Fish Aggregations (Russell *et al.* 2014).  
317 Despite the fact that some species of aggregating fishes do migrate large distances that span  
318 international borders (e.g. Nassau and goliath groupers), none are currently recognized by the  
319 Convention on the Conservation of Migratory Species (CMS), which currently only lists a few  
320 species of sharks, rays, sawfishes (Pristidae), sturgeons (Acipenseridae) and related species, and  
321 the European Eel (*Anguilla Anguilla*, Anguillidae). In a recent statement that illustrates the  
322 growing recognition of FSA monitoring and protection, the FAO Western Central Atlantic



323 Fisheries Commission (FAO WCAFC 2014) adopted recommendations for grouper and snapper  
324 spawning aggregation protection throughout region.

325

326 **Protection can be practical, generate measurable benefits, and build consensus support**

327 The tendency of FSAs to form at spatially discrete locations at predictable times means  
328 that monitoring, enforcement, and research can all be scaled down and streamlined accordingly  
329 (Heyman 2014). A large proportion of the reproductive population for many wide-ranging  
330 species become concentrated at FSAs, providing a unique opportunity to rapidly and efficiently  
331 evaluate many aspects of fish stocks that would otherwise be dispersed over a much larger  
332 geographic area (Molloy *et al.* 2010; Heppell *et al.* 2012). Surveys and monitoring of the  
333 demographics, spawning activity and reproductive output of aggregations can be done more  
334 efficiently and quickly combined with other biological and life history parameters to assess stock  
335 size and condition (Jennings *et al.* 1996). Such efforts are facilitated by decades of research and  
336 protocols that are available on how to survey, assess, and manage FSAs and their fisheries (Colin  
337 *et al.* 2003; Heyman *et al.* 2004). Moreover, the rise of advanced, cost-effective technologies  
338 such as bioacoustics, biotelemetry, sonar, and remote and autonomous underwater vehicles now  
339 allow us to effectively monitor aggregations more accurately and remotely than in the past  
340 (Kobara and Heyman 2010; Dean *et al.* 2012; Heppell *et al.* 2012; Rowell *et al.* 2012; Parsons *et*  
341 *al.* 2013).

342 A focus on spawning aggregation sites and periods for conservation and management  
343 purposes epitomizes the original “hotspots” concept, which describes small areas that hold an  
344 abundance of rare or endemic organisms and are threatened by human activities, but also places  
345 importance on productivity for the benefit of fisheries. Assigning these events and sites,

346 particularly those associated with multi-species aggregations, as priorities for investment will  
347 help protect the maximum diversity at minimum cost (Myers *et al.* 2000; Reid *et al.* 1998). The  
348 small area of spawning grounds compared to the area over which fish migrate and establish  
349 home ranges, creates the most “bang for the buck”, in that successful protection of spawning can  
350 scale up to the level of the entire population (Nemeth 2009; Nemeth 2012). Therefore, the  
351 management of small FSAs can help replenish fish populations at much larger scales that benefit  
352 stakeholders and are congruent with successful conservation practice. The high degree of  
353 geomorphological similarity among FSAs within regions also facilitates the designation of  
354 locations for seasonal or permanent marine reserves that have the potential to support a high  
355 diversity and biomass of fishes (Boomhower *et al.* 2010; Kobara and Heyman 2010; Kobara *et*  
356 *al.* 2013). In fact, scientists, fishers, and managers in Quintana Roo, Mexico and the U.S. South  
357 Atlantic are recognizing the geomorphic verisimilitude among multi-species spawning sites and  
358 their value for fisheries productivity and biodiversity conservation. Based on this  
359 recognition, collaborative efforts are underway to use this information to design and designate  
360 new marine managed areas in these regions (Heyman *et al.* 2014; Fulton *et al.* 2014; SAFMC  
361 2015).

362 FSAs can show signs of recovery soon after protection due to the naturally high  
363 productivity of the sites where they form. Species that have been depleted can show marked  
364 increases in recruitment, biomass and size within a few years of protection and some that had  
365 been extirpated return and form aggregations once again (Beets and Freidlander 1999; Burton *et*  
366 *al.* 2005; Nemeth 2005; Luckhurst and Trott 2009; Aburto-Oropeza *et al.* 2011; Heppell *et al.*  
367 2012). These hotspots of primary and secondary productivity serve as sources of regional  
368 ecosystem enhancement and resilience that seed replenishment and recovery (Adger *et al.* 2005).

369 Protected FSAs provide direct ecological benefits to conservation through the buildup of fish  
370 biomass at the protected site (Aburto-Oropeza *et al.* 2011). This translates to direct economic  
371 benefits to fisheries through the measurable spillover of adults (via movement) or the settlement  
372 of larvae into exploited areas (Harrison *et al.* 2012; Almany *et al.* 2013), increases in catch rate  
373 and the size of harvested fish (Nemeth *et al.* 2012). Prominent examples of recovery include  
374 White Seabass and Giant Sea Bass (*Stereolepis gigas*, Polyprionidae) in California (Pondella and  
375 Allen 2008), groupers and snappers in the Caribbean (Beets and Friedlander 1999; Heyman  
376 2011; Kadison *et al.* 2009; Nemeth 2009; Burton *et al.* 2005; Heppell *et al.* 2012), Indo-Pacific  
377 (Hamilton *et al.* 2011), and several species of aggregating reef fishes in the Gulf of California,  
378 Mexico (Aburto-Oropeza *et al.* 2011).

379 Synergy between conservationists and fishers is rare but greatly enhances compliance and  
380 self-enforcement, and thus overcomes a prime barrier to successful fisheries management and  
381 conservation efforts (Hilborn *et al.* 2005). Fishers have known for centuries where and when  
382 aggregations form (Johannes 1978), as they have been critical sources of food security and their  
383 economic livelihoods. In fact, most of the biological and fisheries information that scientists and  
384 managers have acquired on FSAs has been acquired from fishers (Johannes *et al.* 1999; Hamilton  
385 *et al.* 2011). Fishers intuitively recognize spawning aggregations as critical to the perpetuity of  
386 their resource, which often increases their willingness to focus management on them in order to  
387 sustain their fishery (Heyman and Granados-Dieseldorff 2012; Hamilton *et al.* 2012). The small  
388 size of FSAs in relation to the entire population range also means limited restrictions for fishers,  
389 which reduces conflict since they minimize reductions in open fishing grounds or time closures  
390 for fishing (Heppell *et al.* 2012).

391 Some of the most successful population and fishery recoveries have occurred in areas

392 with strong community support and participation in the monitoring and management of  
393 aggregations (Hamilton *et al.* 2011; Aburto Oropeza *et al.* 2011; Granados-Dieseldorff *et al.*  
394 2013). Several of these have involved the inclusion of spawning aggregations within marine  
395 protected areas, providing examples in which some of the largest obstacle to successful marine  
396 reserves (e.g. opposition and noncompliance by fishers) were overcome through community  
397 participation (Berkes 2007; Karras and Agar 2009; Aburto-Oropeza *et al.* 2011; Hamilton *et al.*  
398 2012; Edgar *et al.* 2014). In other regions, fishers have supported temporary fishing or area  
399 closures that protected spawning but still allowed them to harvest other species during those  
400 periods or at those sites. For example, the Coastal Conservation Association (CCA), a national  
401 association representing recreational anglers in the United States, recognized the need to protect  
402 spawning aggregations of Speckled Hind (*Epinephelus drummondhayi*, Epinephelidae) and  
403 Warsaw Grouper (*Hyporthodus nigritus*, Epinephelidae) in the South Atlantic. CCA supported  
404 seasonal fishing closures during the spawning seasons and seasonal area closures for those  
405 species at known aggregation sites that would allow them to harvest other species at those sites  
406 (SAFMC 2015). Similarly, commercial and subsistence fishers in the Upper Gulf of California,  
407 Mexico, are opposed to the total area closure of the estuaries of the Colorado River Delta due to  
408 its historical importance to regional fisheries and food security. However, they support daily  
409 closures during the peak spawning periods for the Gulf Corvina to allow fish to spawn  
410 undisturbed, enhance reproductive output, and maintain economically sustainable yields  
411 (MacCall *et al.* 2011). After the collapse of the Nassau Grouper fishery in the United States  
412 Virgin Islands (Olsen and LaPlace 1978), fishers supported the establishment of a seasonal  
413 spawning closure of Red Hind (*Epinephelus guttatus*, Epinephelidae) to protect this species and  
414 its fishery from a similar fate (Beets and Friedlander 1992).

415

416 ***Conclusions***

417 Breeding aggregations are widespread among animals and are the focal points for  
418 conservation and management of many terrestrial and marine species. While an appreciation of  
419 the importance of fish breeding habitat within the language of fisheries management and marine  
420 conservation agendas has grown in recent years, implementation of measures specifically tasked  
421 with protecting FSAs have not followed at a similar pace. We contend that FSAs should be a  
422 focal point for marine conservation and fisheries management on a global scale, with a particular  
423 emphasis placed on the protection of FSA sites that house aggregations of multiple species.  
424 These sites are geographically and taxonomically widespread, are crucial to the reproductive  
425 success and perpetuity of stocks and species that engage in this behavior, support ecosystem food  
426 web dynamics and other aspects of ecosystem health, and represent important components of  
427 commercial, recreational, and subsistence fisheries wherever they occur. The numerous,  
428 extensive declines in FSAs and aggregating species from many areas of the world suggest that  
429 protection is urgently needed, and there is strong empirical evidence that FSAs can recover to  
430 provide measurable ecological and fisheries benefits. Most importantly, the concept is intuitive  
431 to fishers, managers, conservations, and the general public and the measures necessary for  
432 effective monitoring, assessment, and management are often relatively practical in scope and  
433 scale. Therefore, protection of FSAs offers the rare opportunity to merge agendas and support of  
434 fisheries and conservation sectors.

435 The primary purpose of this article was to present a series of arguments as to why FSAs  
436 must be protected and not to review or assess the specific management options to achieve this  
437 goal as this has been done elsewhere (see Sadovy and Domeier 2005; Russell *et al.* 2012; Grüss

438 *et al.* 2014). However, a brief discussion of this topic is warranted as a means for stimulating  
439 debate on how to move forward in implementing the wider protection of FSAs. The reproductive  
440 biology of an exploited species plays an important role in the main concepts underlying the  
441 assessment and management of any fishery (Lowerre-Barbieri 2009). Similar to other fisheries  
442 and marine conservation issues, effective management of FSAs requires an understanding of the  
443 dynamics of the aggregations themselves (e.g. timing, duration, spatial distribution, mating  
444 behavior and life history of fished species) and how they interact with fishing activities in time  
445 and space (e.g., exploitation level on aggregations, catchability) to set the proper regulations  
446 (Coleman *et al.* 2004; Russell *et al.* 2012; Sadovy de Mitcheson and Erisman 2012; Grüss and  
447 Robinson 2014). When fishing pressure is focused primarily at aggregation sites or during the  
448 peak spawning, spawning reserves may offer meaningful protection that helps protect stocks or  
449 rebuild declining stocks through increased reproductive output and subsequent enhancement in  
450 recruitment, and which ideally offsets any increased mortality outside marine reserves due to  
451 displaced fishing effort (Pelc *et al.* 2010; Harrison *et al.* 2012). Reproductive activity and output  
452 are enhanced via the direct protection of the aggregation from disturbances by fishing and other  
453 human activities that allows for the persistence and stability of the mating process and the social  
454 structure associated with reproduction (Rowe and Hutchings 2003; Slabbekoorn *et al.* 2010;  
455 Dean *et al.* 2012). Notably, the direct and indirect (both lethal and non-lethal) effects of fishing  
456 activities on FSAs and how they may reduce reproductive activity and output continue to be  
457 largely ignored in assessments and theoretical studies related to the management of aggregation  
458 fisheries, such that reproductive output and potential fisheries yield are still estimated using  
459 traditional metrics such as fishing mortality and fecundity (Heppell *et al.* 2006; Grüss and  
460 Robinson 2014; Grüss *et al.* 2014). Field, experimental, and modeling studies that evaluate and

461 incorporate aspects of reproductive success related to interactions between fishing activities and  
462 spawning behavior are likely to produce more realistic assessments of the benefits of spawning  
463 reserves to fisheries.

464 The success of spawning reserves hinges on the same factors as other reserves, including  
465 proper design, enforcement and compliance, and clearly defined management objectives (Edgar  
466 *et al.* 2014). Spawning reserves may not be effective in maintaining or rebuilding stocks if  
467 placed in the wrong location or if fishing activity is high outside the spawning season at different  
468 locations and no additional regulations are in place to limit fishing mortality (Eklund *et al.* 2000;  
469 Heppell *et al.* 2006; Ellis and Powers 2012; Chan *et al.* 2012). Unfortunately, the inclusion of  
470 spawning reserves within larger marine protected areas theoretical plans often lack rigor and full  
471 consideration of the dynamics of aggregations. As a result, reserves that have failed to meet their  
472 general objectives have also failed to protect aggregations (Rife *et al.* 2012; Grüss *et al.* 2014).  
473 Under those circumstances, greater fisheries and conservation benefits may result from the  
474 implementation of other measures that protect spawning activity and reproductive output such as  
475 seasonal closures, harvest restrictions during the spawning season, sales bans, or gear restrictions  
476 to aid in the protection of spawning fish (Rhodes and Rhodes 2005; Heppell *et al.* 2006; Russell  
477 *et al.* 2012).

478 Even if FSAs are effectively protected, a combination of measures is often necessary (e.g.  
479 seasonal closures, harvest limits, gear restrictions, moratoria) to ensure the maintenance of  
480 stable, healthy fish populations and sustainable, productive fisheries (Pondella and Allen 2008;  
481 Russell *et al.* 2012; Grüss and Robinson 2014; Grüss *et al.* 2014). However, a large proportion of  
482 the world's fisheries that target FSAs are considered "data poor" and lack the necessary fisheries  
483 or biological information to conduct robust stock assessments or effectively design and

484 implement a suite of management strategies (Erisman *et al.* 2014). In these situations, we  
485 contend that focusing management first on spawning and later on other components will provide  
486 the highest benefit to cost ratio for both fisheries and conservation outcomes. Finally, and  
487 perhaps the biggest challenge facing FSAs, the effective management of FSAs must overcome  
488 the strong social and economic appeal for (over) fishing aggregations and incorporate market-  
489 based solutions that will create incentives for fishing at sustainable levels that also support viable  
490 fisheries for the economic livelihoods and food security of coastal communities (Sadovy de  
491 Mitcheson and Erisman 2012).

492  
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501

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916

917 **Figure Legends**

918

919 Figure 1. Fish spawning aggregations are hotspots of biodiversity and productivity. (A) Whale  
920 sharks (*Rhincodon typus*) time their migrations to feed on the dense patches of nutrient-rich eggs  
921 released from Cubera snapper (*Lutjanus cyanopterus*) spawning aggregations (photo by D.  
922 Seifert). (B) Small-scale fishermen harvest more than 2 million individuals (5,000 tons) of Gulf  
923 corvina (*Cynoscion othonopterus*) in less than 30 days of fishing at a single spawning site in  
924 Mexico (photo by O. Aburto). (C) The spawning aggregation of thousands of Bigeye Trevally  
925 (*Caranx sexfasciatus*, Carangidae) that form each year inside Cabo Pulmo National Park in  
926 Mexico have become an icon of the well-documented recovery of this marine protected area that

927 attracts thousands of divers and generates millions of dollars for the surrounding community  
928 each year (photo by O. Aburto).

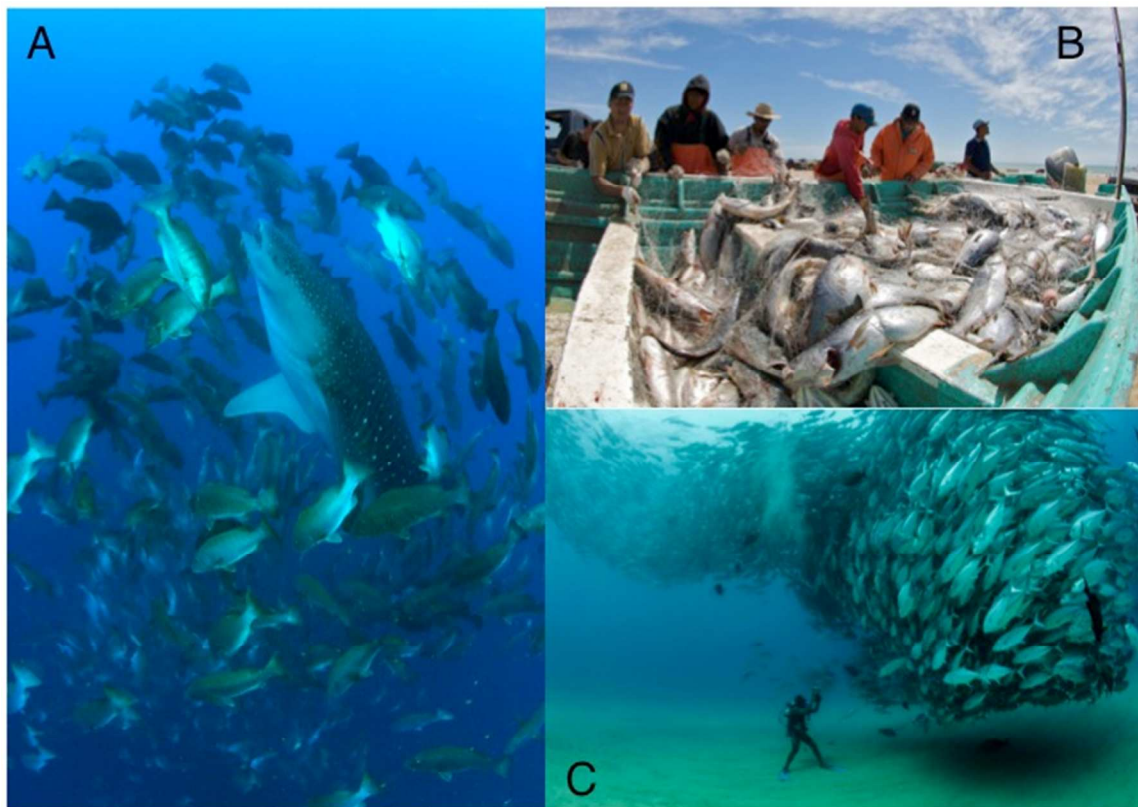
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930 Figure 2. Global map showing areas of documented FSAs organized by region or country. Data  
931 (n=906 verified records) provided by Science and Conservation of Fish Aggregations Global  
932 Spawning Aggregations Database (<http://www.scrfa.org/database/>).

933 Figure 3. Benefits of FSAs to food webs. Counter-gradient redistribution of trophic resources to  
934 lower trophic levels through “egg boons” created by the spawning aggregation of a meso-  
935 carnivorous grouper. Broken black arrows show traditional trophic pathways and solid white  
936 arrows show flow through egg boons. Organisms are arranged vertically by trophic level. Length  
937 axis is logarithmic. Figure from Fuiman et al. 2015. Used with permission.

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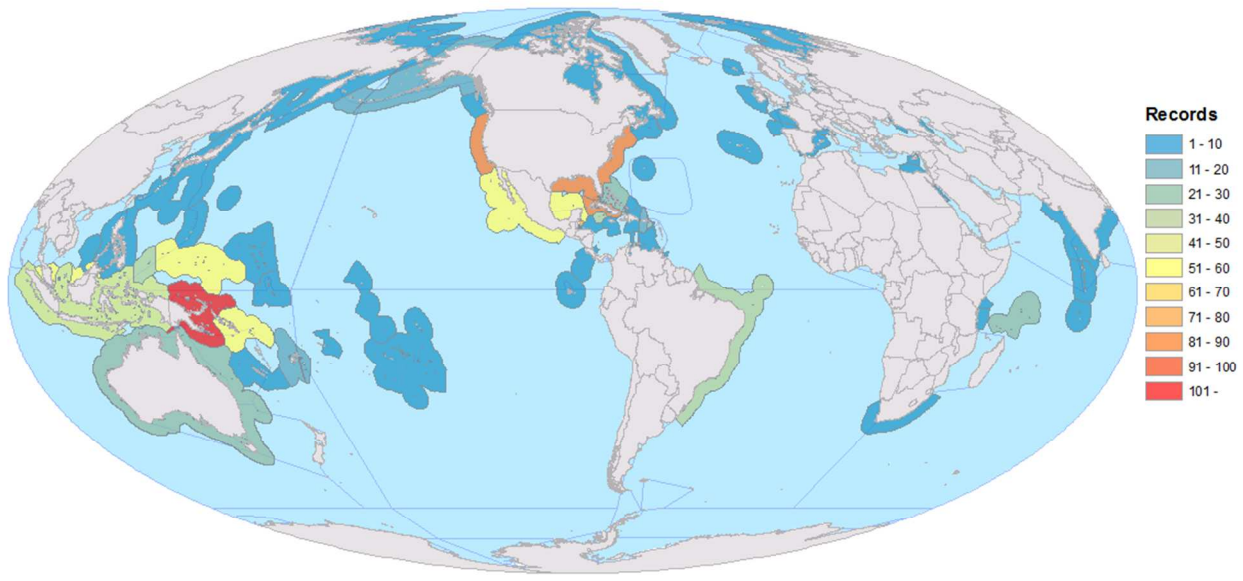
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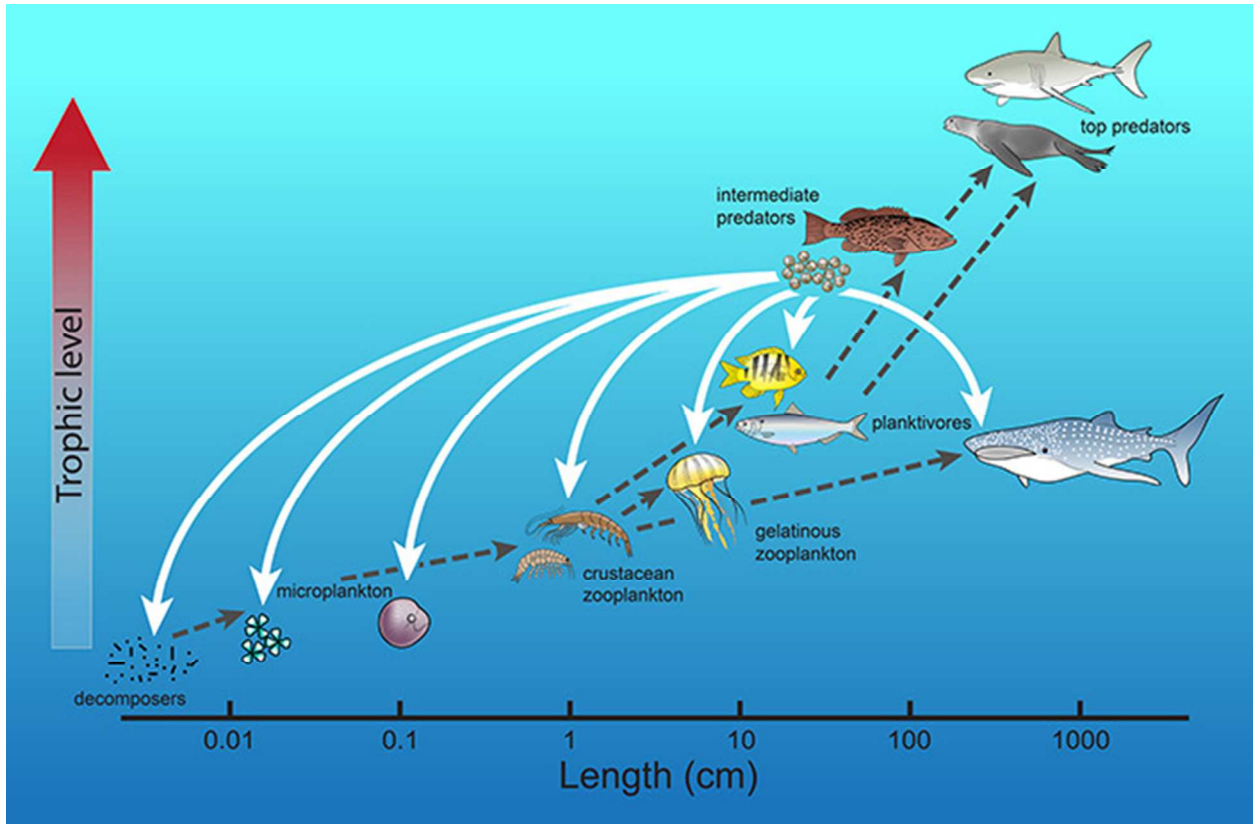
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