Exploring the potential of Sentinel-3 delay Doppler altimetry for enhanced detection of coastal currents along the Northwest Atlantic shelf Hui Feng^{a b,*}, Alejandro Egido^{,b,c,+}, Doug Vandemark^a, and John Wilkin^d ^a University of New Hampshire, Durham, NH, USA ^bGlobal Science & Technology, Inc., Greenbelt, MD, USA. ^c Laboratory for Satellite Altimetry, College Park, NOAA, MD, USA ^d Rutgers, The State University of New Jersey, New Brunswick, NJ, USA. ⁺ Now with European Space Agency – European Space Research and Technology Centre, Noordwijk, NL. Corresponding author: Hui Feng, hui.feng@unh.edu

35 Abstract

In this study, we evaluate Sentinel-3A satellite synthetic aperture radar (SAR) altimeter observations along the Northwest Atlantic coast, spanning the Nova Scotian Shelf, Gulf of Maine, and Mid-Atlantic Bight. Comparisons are made of altimeter sea surface height (SSH) measurements from three different altimeter data processing approaches: fully-focused synthetic aperture radar (FFSAR), un-focused SAR (UFSAR), and conventional low-resolution mode (LRM). Results show that fully-focused SAR data always outperform LRM data and are comparable or slightly better than the nominal un-focused SAR product. SSH measurement noise in both SAR-mode datasets is significantly reduced compared to LRM. FFSAR SSH 20-Hz noise levels, derived from 80-Hz FFSAR data, are lower than 20-Hz UFSAR SSH with 25% noise reduction offshore of 5 km, and 55-70% within 5 km of the coast. The offshore noise improvement is most likely due to the higher native along-track data posting rate (80 Hz for FFSAR, and 20 Hz for UFSAR), while the large coastal improvement indicates an apparent FFSAR data processing advantage approaching the coastlines. FFSAR-derived geostrophic ocean current estimates exhibit the lowest bias and noise when compared to in situ buoy-measured currents. Assessment at short spatial scales of 5-20 km reveals that Sentinel-3A SAR data provide sharper and more realistic measurement of small-scale sea surface slopes associated with expected nearshore coastal currents and small-scale gyre features that are much less well resolved in conventional altimetric LRM data. Keywords: Delay-Doppler and synthetic aperture radar altimetry; Sentinel-3; Sea surface height (SSH); Geostrophic current.

80 1. Introduction

81 82 Delay Doppler Altimetry (DDA), also called Synthetic Aperture Radar (SAR) 83 altimetry (Raney et al., 1998, 2012), is a relatively new ocean and ice radar altimeter 84 technique that differs from conventional pulse-limited radar altimetry (PLRA) used by 85 ocean observing satellites such as TOPEX/Poseidon and Jason-1, -2, and -3. In PLRA, 86 pulses are transmitted and received continuously, and surface return echoes are processed 87 incoherently on a pulse-by-pulse basis (Fu and Cazenave, 2001). In a DDA/SAR closed-88 burst mode altimeter, the pulses are transmitted and received in bursts with a much higher 89 pulse repetition frequency so that successive pulses within a burst are highly correlated. 90 The received pulses then contain additional inter-pulse Doppler phase information. 91 Ideally, the Doppler frequency bandwidth can fully exploit the return power and phase to 92 improve data precision using specifically designed data post-processing approaches. The 93 DDA/SAR technique coherently combines the echoes from a target during its entire 94 illumination time, synthesizing an antenna aperture that has an effective scale of several 95 km. As a result, DDA/SAR altimetry can provide the along-track higher resolution, an 96 order of magnitude finer than PLRA, theoretically capable of reaching to half of the 97 physical antenna diameter (0.5 m). 98 One expected beneficiary of DDA/SAR systems is the study of coastal ocean 99 circulation applications, where observations of short spatial scales are of paramount 100 interest. Presently, the CryoSat-2 SIRAL (Synthetic Aperture Interferometric Radar 101 Altimeter), Sentinel-3 SRAL (Synthetic Aperture Radar Altimeter), and Sentinel-102 6/Michael Freilich satellite altimeters all provide SAR-mode and PLRA-equivalent Low-103 Resolution Mode (LRM) datasets to allow evaluation of this new type of altimeter sea 104 level measurement for coastal ocean sea level studies. 105 Several signal processing approaches have been proposed and applied to analyze 106 SAR-mode data. On one hand, the standard or nominal Un-Focused SAR (UFSAR) 107 processing greatly improves on LRM data by lowering range measurement noise and 108 resolving features to within a few hundred meters from the coast, but without a full 109 consideration of the inter-pulse phase signals (Raney et al., 1998; Martin-Puig and 110 Ruffini, 2009; Ray et al., 2015). This next, more intensive level of post-processing, the 111 Fully-Focused SAR (FFSAR) method, has been proposed to further improve data for 112 fine-scale oceanic and coastal applications (Raney et al., 2012; Egido and Smith, 2017; 113 Guccione et al., 2018). In FFSAR, the different bursts within the integration aperture are 114 coherently processed using phase compensation to improve the along-track resolution up 115 to its theoretical spatial limit. 116 Recent studies have demonstrated the advantages of SAR mode in comparison 117 with LRM. For instance, CryoSat-2 UFSAR data exhibit lower noise versus LRM data in 118 the coastal ocean (Fenoglio-Marc et al., 2015; Cipollini et al., 2017; Dinardo et al., 2018). 119 Furthermore, CryoSat-2 FFSAR data outperformed both UFSAR and LRM results in the 120 open ocean (Egido and Smith, 2017) and on the coastal Nova Scotia Shelf (NSS) (Feng et 121 al., 2018a). However, the uneven time-space availability of CryoSat-2 SAR altimeter 122 observations in the NSS region limited the scope and conclusions drawn in the latter 123 study. The present study seeks to use Sentinel-3 (S-3) SAR mode datasets and their 124 improved and regular time and spatial coverage along the Northwest Atlantic (NWA) 125 shelf to provide a more comprehensive evaluation of the potential benefits of SAR 126 altimetry in this region. One question is whether these finer-scale altimeter data products

127 can better resolve coastal current and small-scale sea surface height signatures along the

128 NWA shelf, following on from recent altimeter-based investigations of regional

- 129 circulation dynamics (Feng et al., 2011, 2016, 2018b; Grodsky et al., 2018a, 2018b, 130 2021).

131 An overall project goal is to enhance regional coastal oceanographic studies by 132 providing the best available altimeter measurements of sea surface height (SSH) and 133 SSH-derived geostrophic current (Vg) in the NWA coastal zone. This goal is achieved by 134 investigating how well Sentinel-3 SAR (FFSAR and UFSAR) data performs with respect 135 to conventional LRM measurements. Specific objectives are (i) to quantify SSH data 136 quality near the coast, (ii) to estimate noise in the altimeter-derived SSH and SSH-137 derived Vg estimates, across the shelf and up to the coastline, (iii) to determine if SAR 138 mode data are better able to resolve coastal currents and fine-scale SSH signals like 139 small-scale gyres, and (iv) to explore objective length scales needed to infer across-track 140 geostrophic currents that closely align with the along-shelf current over much of this region.

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142 The paper is laid out as follows. Section 2 briefly introduces the study region and 143 recent altimeter-related studies that motivate this work. Section 3 describes the datasets 144 and analysis method, including how the geophysical parameters SSH and SSH-inferred 145 Vg are estimated and assessed in a coastal context. Results and discussions are presented 146 in Section 4. A summary is provided in Section 5.

147

148 2. Study region and recent altimeter data applications

2.1. General oceanography in the region 149

150 Along the NW Atlantic coastal shelf, a southwestward shelf flow predominates, 151 originating from the Labrador Sea and Grand Banks, moving along the Nova Scotian 152 Shelf (NSS) towards the Mid-Atlantic Bight (MAB) and Cape Hatteras. This flow is 153 sustained by a large-scale alongshore pressure gradient (Csanady, 1978; Lentz 2008). 154 Smaller inner-shelf scale current features appear especially inside the Gulf of Maine 155 (GoM) and along the NSS where complex submarine bathymetric features interact with 156 the tides to generate persistent small-scale circulation patterns. Conventional LRM 157 satellite altimetry is unable to resolve many of these features (Feng et al., 2011, 2018). 158 Circulation on the western Scotian shelf includes a shelf-scale southwest flow and 159 local bathymetry-induced currents. An inner-shelf flow known as the NSS Current is 160 sourced from the Newfoundland Shelf and the Gulf of St. Lawrence and an offshore 161 branch is an extension of the Labrador Current along the shelf edge. Well-studied inner-162 scale circulations exist including clockwise gyres on Browns and Sable Island Banks, and 163 a partial counterclockwise gyre around Emerald Basin, each varying seasonally (Smith, 164 1989; Loder et al., 2003; Hannah et al., 2001; Shan et al., 2016).

165 The mean circulation of the GoM is cyclonic, and its variation is controlled by 166 Nova Scotian Shelf inflow, by local freshwater runoff, and wind forcing that includes the 167 contributions from local and remote wind forcing outside of the domain under 168 consideration. Its heterogeneous offshore bathymetry forms a self-contained oceanic 169 system. Generally, Georges and Browns Banks and Nantucket Shoals greatly restrict the 170 water mass exchange between the GoM and NWA shelf, limiting this exchange 171 principally to three deep channels: the Northeast Channel, the North Channel near 172 southwestern Nova Scotian Shelf, and the Great South channel (Fig. 1a). The Maine

MCC passes the mouth of the Bay of Fundy, and turns along the eastern GoM coast. The 175 176 eastern component of the MCC is often directed offshore to the central GoM as a plumelike feature of colder water. A portion of the offshore plume is entrained to form a 177 178 cyclonic gyre over Jordan Basin, and the remaining portion continues toward the western 179 GoM, called the Western MCC. Many previous studies have shown that the water mass 180 exchange in the deep channels and MCC can vary sub-tidally, seasonally, and interannually using both observations (Brown and Irish, 1993; Pettigrew et al., 2005; Geyer et 181 182 al., 2004; Townsend et al., 2015; Smith et al., 2001) and models (Urrego-Blanco and 183 Sheng, 2014; Brickman et al., 2016; Katavouta et al., 2016). The fact that circulation 184 variation on these NWA shelves occurs over a wide spectrum of time and space scales 185 creates challenges for regional monitoring and prediction.

Coastal Current (MCC) inside the Gulf is an extension of the NSS Current and continues

along the Maine coastal shelf to Cape Cod, and on to the MAB shelf. On its way, the

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187 2.2. Previous regional altimeter studies

188 Satellite altimetry now provides routine ocean surface topographic observations
189 that continue to significantly advance global understanding of ocean circulation
190 dynamics.

191 Satellite altimetry data products become more valuable for providing essential

192 information on ocean surface currents for various applications, particularly in the shelf

and coastal regions (Liu et al., 2014; Wilkin et al., 2018). But it is well recognized that

194 conventional altimeter data utility and quality degrades in coastal regions for several

reasons. Dedicated community efforts (Vignudelli et al., 2011) have attempted to

improve the quality of coastal altimeter data by improving needed geophysical range

corrections, advancing mean dynamic topography (MDT) estimates, and objectively
 merging multiple-mission altimeter data for space/time resolution improvements. While

remarkable improvements have been made, several fundamental measurement limitations remain.

200 rema

201 Altimeter data applied to NW Atlantic studies has included investigations into 202 circulation structures and variations on the deeper Scotian shelf-slope ocean (Han et al., 203 2002; 2007). Recently, we demonstrated that the along-shelf geostrophic currents inferred 204 from altimeters (TOPEX, Jason-1 and Jason-2) on the southwest NSS provide new 205 perspectives on the NSS shelf transport variability, a critical flow that modulates sub-206 surface GoM coastal transport (Feng et al., 2016). That finding indicates that the long-207 term altimeter observations offer dynamic information with the potential to support 208 hydrographic monitoring and regional circulation prediction inside the GoM. In parallel, 209 significant progress has been made in data assimilation of altimeter-measured sea surface 210 height into a regional numerical circulation forecast model for the NSS-GoM-MAB shelf 211 region (Wilkin et al., 2018; Levin et al., 2018), and in evaluating the impact these data 212 have on ocean state estimates (Levin et al. 2021)

Objective documentation of altimeter measurement data quality is one important factor influencing potentially wider usage by coastal oceanographers. Our studies have used *in situ* current and sea level measurements to quantify both strengths and limitations of altimeter-derived geostrophic currents across the region (Feng et al., 2011, 2016, 2018b). 218 Gridded daily upper ocean current data products, such as GlobCurrent (Rio et al., 219 2014), which merge data from multiple satellite altimeters, have also recently become available. These products provide an altimeter-inferred absolute geostrophic current 220 221 estimate augmented by a surface Ekman-layer estimate. A recent study (Feng et al., 222 2018b) provided an extensive evaluation of the GlobCurrent dataset along the NWA 223 continental shelves using long-term in situ current measurements over the MAB-GoM 224 shelf region. The study showed that GlobCurrent products agree well with surface truth 225 at both mean and seasonal scales on the broader shelf areas. However, agreement 226 degrades nearing the coastlines and in the interior GoM. Potential issues affecting the 227 quality of the GlobCurrent data inside the GoM were identified as inaccuracy in the MDT 228 as well as ageostrophic factors, high altimeter measurement SSH noise, and overall gaps 229 in satellite space and time sampling. Ultimately, GlobCurrent and conventional along-230 track altimeter data inaccuracies continue to limit their utility for investigation of 10-50 231 km scale topographically-steered and coastally-trapped currents that are central features 232 within the Gulf of Maine and Scotian Shelf systems.

233 Fortunately, recent radar altimeter improvements offer hope for improved data. 234 The new DDA/SAR altimeters offer lower SSH measurement noise and finer spatial resolution. Another development is a newly-improved global mean dynamic topography 235 236 (MDT) product (Mulet et al., 2021) that synthesizes data from multi-mission altimeter 237 products with up-dated geophysical corrections, orbit and geodesic geoid products, and a 238 range of globally available ocean surface *in situ* current measurements. This MDT result 239 gives more realistic mean currents near the coast and along the NW Atlantic shelf and its 240 shelf break areas (Mulet et al., 2021). These improvements motivate this reexamination 241 of satellite altimeter capabilities for resolving sea level variation associated with known 242 10-30 km scale coastal ocean circulation features in this shelf region.

243

244 **3. Data and Methods**

245 *3.1. Altimetric and other datasets*

246 • Sentinel-3A altimeter data

247 The Sentinel-3 (S-3) Synthetic Aperture Radar Altimeter (SRAL) operates at Kuand C-band with the repeat cycle of 27 days with 385 orbits per cycle. Sea surface 248 249 measurements by S-3 SRAL can be performed either in SAR mode or in LRM. SRAL 250 altimeters on S-3A and S-3B, launched on 16 February 2016 and 25 April 2018, 251 respectively, are now both operational. The S-3A orbit is similar to that of Envisat, 252 allowing for continuation of the ERS/Envisat time series (https://sentinels.copernicus.eu 253 /sentinel/missions/sentinel-3/satellite-description/orbit) (Fig. 1). S-3B operates in a new 254 orbit with ground tracks that lie between two S-3A neighboring orbits to enhance spatial coverage. For this performance assessment, we focus on the S-3A data during a two-year 255 256 period from 2018 to 2019, which is S-3A orbit cycles 26 to 52. Regional datasets using 257 FFSAR altimeter data processing were generated at an 80-Hz posting rate (~80 m along-258 track resolution) using the retracking approach of Egido and Smith (2017) as applied to 259 the S-3A SAR mode Level-1A data product that holds all the raw complex radar return 260 echoes. Coincident S-3A SRAL Level 2 products of SAR and LRM (as needed 261 reference) were obtained directly from the EUMETSAT data server. These L2 262 "standard" data files contain a set of geophysical parameters in the UFSAR mode and in the PLRM (pseudo-LRM) mode at both 20-Hz (~300 m) and 1-Hz (~7 km) posting rates. 263

264 (https://sentinels.copernicus.eu/web/sentinel/technical-guides/sentinel-3-altimetry/level-

265 <u>2-algorithms-products</u>). In operation, the S-3 SAR mode observation is the default, and at

the same time the estimated PLRM (equivalently called LRM) dataset are also available.
 This permits a desired comparison at the resolution and precision of the conventional

267 This permits a desired comparison at the resolution and precision of the conventional 268 pulse-limited radar altimetry for decades, served as a reference baseline for SAR mode 269 assessments

assessments.

The key requisite range correction or adjustment parameters that include geophysical corrections, orbit altitude, and mean sea surface and that are used to estimate sea surface height (SSH) and its anomaly (SSHA) in this study are listed in Table 1. The same corrections are applied to FFSAR, UFSAR and PLRM data.

Upper-ocean current datasets used for assessment and validation include both
gridded global data products and *in situ* measurements. These datasets are briefly
described below.

• Quasi-independent gridded ocean current products

We use the absolute geostrophic vector currents from GlobCurrent (Rio et al., 2014) gridded at a resolution of ¹/₄ degree in longitude by latitude (~25 km) at daily time step. These geostrophic velocities are computed from the absolute dynamic topography ADT=SSHA+ MDT, where the SSHA is from multi-altimeter-mission gridded analysis, and MDT is the latest released global product (Mulet et al., 2021), The GlobCurrent data products are described in <u>http://marine.copernicus.eu/documents/QUID/CMEMS-MOB-</u> <u>QUID-015-003.pdf</u>.

285 We also use ocean current data from a high-resolution Regional Ocean Modeling 286 System (ROMS) analysis for the NSS-GOM-MAB shelves and adjacent Slope Sea region 287 (Fig. 1b). The ROMS model is implemented with a 7-km horizontal resolution grid and 288 40-vertical layers, and uses 4-dimensional variational (4D-Var) data assimilation to 289 incorporate observations from multiple altimeter SSHA datasets, including S-3A since 290 April 2018, as well as numerous data from in situ coastal observations (Levin et al., 2018; 291 Wilkin et al., 2018). Coregistration of S-3A ocean current estimates with these reference 292 current datasets is described in Section 3.3.

293

• Moored current observations in the GoM

295 A set of current measurements at long-term moored buoys (I, E, B, M and N) in 296 the GoM is available for S-3A assessment period (Fig. 1b) that acquire measurements 297 hourly. Specific stations include buoys I, E, and B that are moored at the 60-70 m depth 298 along the isobath that nominally aligns with the Maine Coastal current. Buoys M and N 299 are moored in the deeper Jordan Basin and Northeast Channel locations, respectively. 300 Each buoy measures currents through the water column using Acoustic Doppler Current 301 Profilers (ADCP) as well as a near-surface doppler current sensor at 2 m. In this study, 302 the in situ current measurements at the two buoys (I and M) situated within 15 km of S-303 3A satellite pass 747 (Figs. 1b and 8) are particularly useful for satellite data validation. 304 Processing details of buoy current measurements are given in Section 3.3.

305

306 *3.2. Performance metrics for SSH noise*

307 Coincident S-3A datasets generated using the three different data processing

308 approaches (LRM, UFSAR, FFSAR) are evaluated in the performance comparisons. We

309 quantify their relative range measurement precision (i.e., noise level) by focusing on SSH

310 and SSH-derived cross-track geostrophic current Vg. Metrics for SSH are described

311 briefly here while those for SSH-derived *Vg* are given in Section 3.3.2.

First, the altimeter measurement noise level is estimated as the absolute value difference between consecutive along-track SSH values at a 20-Hz data rate as proposed by Cipollini et al. (2017). This is computed directly using the standard 20-Hz UFSAR and PLRM datasets. For the 80-Hz FFSAR data, we calculate a quasi-equivalent 20-Hz FFSAR SSH dataset by smoothing using a simple 1/20 second bin-averaging on the 80-Hz FFSAR SSH. In this way, the resultant 20-Hz FFSAR SSH shares the same data rate

318 as UFSAR and PLRM, and can be used for further assessment.

Variations of the estimated noise for the three datasets are then evaluated in terms
of distance to the coast in the region, particularly focusing on the shelf sea satellite passes
where the water depth is less than 500m.

322

323 *3.3. Estimated geostrophic current Vg and its assessment*

324 3.3.1. Altimeter geostrophic current calculation

Based on the approach by Strub et al. (1997), the cross-track absolute geostrophic current Vg along each satellite ground track is estimated by the sea surface height gradients with a centered finite difference form of the geostrophic calculation,

328 329

$$330 Vg = \frac{g}{f} \frac{\partial (ADT)}{\partial (s)} = \frac{g}{f} \frac{ADT(j+N) - ADT(j-N)}{2N\Delta(s)} \quad (N > 0) (1)$$

331

332 where ADT is the Absolute Dynamic Topography used to calculate the absolute geostrophic current Vg, f is Coriolis parameter; g is the acceleration of gravity, s is 333 334 along-track position, and *j* is the index of the along-track point. N is the half-span of the 335 centered difference. For the 1-Hz data rate used in this analysis, Δs is roughly 7 km, the 336 distance between two neighboring points along-track. Thus, N =1, 2, 3 represent the Vg337 along-track length scales of approximately 14 km, 28km and 42km, and the difference at 338 each spatial span of 2N along-track intervals with 2N+1 ground measurement points. The 339 resultant velocities of Vg are then smoothed with an along-track running mean of 2N+1 340 values to reduce high frequency variability, forming the end product of cross-track 341 geostrophic current Vg dataset.

342 There are two ways to calculate ADT used in Eq. (1): 1) ADT= SSHA +MDT, 343 and 2) ADT= SSH–Geoid, where SSH is fully geo-physically corrected, that is SSH 344 =Orbit altitude-(Range +GeophysCorrs), and "Range" is only corrected for instrument 345 effects. GeophysCorrs include all required geophysical corrections. SSHA (SSH 346 Anomaly) =SSH-MSS (Mean Sea Surface) (the details of these parameters are given in 347 Table 1). We have evaluated both of those. The simple evaluation tests (not shown) 348 indicated that the errors of Geoid estimates are large near the coast particularly inside the 349 GoM. In this study, we calculate Vg using ADT= SSHA+MDT unless stated. 350 Note that the simple centered finite difference used here is the direct and intuitive 351 method to estimate cross-track geostrophic velocity Vg as shown in Eq.(1). Though this

352 method is sub-optimal and more optimized difference operators exist (Powell and Leben

- 353 2004; Liu et al. 2012), this study attempts to evaluate the relative performance
- improvement on FFSAR and UNSAR over PLRM, as described below in Section 3.3.2.

355 For this purpose, it is sufficient to use the simple central difference of SSH for estimating

356 Vg.

357

358 3.3.2. Altimeter Vg assessment

359 The following performance measures are used to assess Vg estimates.

360 • *Relative comparison between Vg products*

361 The standard deviation of Vg, Vg std, is estimated for each individual processing 362 approach (FFSAR, UFSAR, PLRM) with Vg estimates for N=1 (about 14-km along-track 363 scale) at 1-Hz data rate. For an individual processing approach, Vg std should represent 364 the total variability of the estimated cross-track geostrophic current Vg, contributed mainly by i) the natural ocean current variability, and ii) by the expected error 365 366 uncertainties of the Vg estimates including inherent range measurement noise, 367 geophysical correction errors, and others. However, Vg std difference between the Vg 368 estimates by two different processing approaches is particularly useful for an objective 369 precision comparison of the two different approaches.

Assuming the geophysical variability of current is not dependent on the processing approaches, the difference between Vg_std values can be considered an objective relative estimate precision between two different altimeter data products. Thus, the Vg noise reduction (in %) between two products A1 and A2 is defined by

375
$$Vg \text{ noise reduction }_{A1\nu sA2} = \frac{(Vg_{std_A1} - Vg_{std_A2})}{(Vg_{std_A1})} 100\%$$
 (2)

376

377

• Comparison with quasi-independent reference current products

379 As detailed above, two products 1) GlobCurrent (GC) and 2) the ocean currents 380 from a regional ROMS circulation model are being used for altimeter S-3A Vg 381 assessment. To co-locate the altimeter cross-track geostrophic current Vg with the two 382 gridded reference current products, we extract the absolute geostrophic current 383 components (u,v)=(eastward, northward) from daily GlobCurrent data, and depth-384 integrated upper surface 50-m mean current components (u,v) from the ROMS daily 385 average output. These gridded current components are time/space interpolated onto the 386 S-3A satellite track positions, and then projected onto the orientation normal to the S-3A 387 track to generate relevant cross-track components. These are the daily V_{GC} for 388 GlobCurrent, and two products, V_{ROMS 1davg} and V_{ROMS 3davg} for ROMS model. The latter 389 $V_{ROMS 3 dayg}$ is formed by applying a 3-day running average to the daily average fields 390 $(V_{ROMS \ 1dayg})$ to effectively remove tidal aliasing potentially present in the daily model 391 fields.

For ROMS model output, the choice of the surface 50-m average currents and two time-average products is regarded to be reasonable when these are used to compare altimeter-derived surface geostrophic current products. We consider the choices is the best by now in terms of our previous studies (Feng et al., 2011, 2016) which found that the altimeter-based *Vg* showed the best agreement with the upper 50-m average current from Buoy M current measurements near the Northeast Channel.

- 398 399
- Comparison with moored current observations in the GoM

400 Buoy-altimeter current matchups at buoys M and I (Fig. 1b) are generated and used to assess altimeter-derived Vg accuracy. Matchup criteria are set so that the time 401 402 difference between altimeter and buoy estimates is within one day and the spatial 403 separation is within 15 km. To build the buoy-altimeter geostrophic current Vg matchup 404 time series, the hourly buoy current components (u,v) time series are first processed to 405 remove tidal current components predicted by the WebTide model (Dupont et al., 2005) 406 with up to ten major tidal current components, and then projected onto the orientation 407 normal to S-3A altimeter pass 747 to form the corresponding cross-track buoy current 408 component. Next, one-day bin average processing is applied to hourly buoy current to 409 form a daily time series of this component (V_{Buoy}). Finally, the resultant buoy V_{Buoy} time 410 series is matched to the nearest day of S-3A satellite passage.

411 Two different buoy-measured current products are generated to compare with 412 altimeter-derived absolute geostrophic current Vg. The first is the ADCP-measured 413 current averaged over the water depths from 10-50m and 18-50m depth, $V_{Buoy(10-50m)}$ for 414 buoy I and $V_{Buoy(18-50m)}$ for buoy M, respectively. The second is the near-surface V_{Buoy2m} 415 obtained from Doppler current sensor measurements at 2 m for both buoys.

416 **4. Results and Discussion**

417

418 4.1. SSH noise for LRM, UFSAR and FFSAR data

419 Following Cipollini et al. (2017), the absolute difference of along-track 420 consecutive SSH measurements at the effective 20-Hz posting rate (SSH=Orbit-Range, as 421 provided in Table 1) is used as an objective indicator of the original instrument noise for 422 SSH measurements. The assumption is that SSH is nearly invariant over a 300 m length 423 scale, and thus this difference is primarily a measure of SSH (i.e. radar range) noise. Fig. 424 2a and 2b show SSH absolute noise estimates and relative SSH noise reduction 425 improvement (in %) for the three SSH datasets. Estimates are derived in 1km binned 426 segments versus the distance to the coast over all the S-3A altimeter passes (Fig. 1b) 427 during the period of 2018-2019 where the water depth was 500m or less. The bin-median 428 is used to minimize the impact of SSH outliers.

Results in Fig. 2a illustrate that *i*) noise in either SAR SSH dataset lies well
below PLRM level (i.e., the conventional altimetry), *ii*) the FFSAR SSH noise level is
about 1 cm below UFSAR, and *iii*) the FFSAR noise level remains at or below 3cm to
within 1 km of the const and only increases to the level 1 km bin. Comparing this

432 within 1 km of the coast and only increases to ~4cm in the last 1-km bin. Comparing this

to the UFSAR SSH noise estimates, one can see somewhat improved FFSAR

434 performance from the coastline out to 5 km where both SAR altimeter datasets asymptote435 to their offshore (open ocean) noise levels.

436 The result that the observed S-3A UFSAR SSH noise change with distance to the 437 coast is similar to recent CryoSat-2 UFSAR altimeter SSH noise analyses (Cipollini et al. 438 2017). Fig. 2b shows the relative noise reductions (in %) estimated using Eq. (2) for the 439 cases, FFSAR vs. UFSAR, FFSAR vs. PLRM, and UFSAR vs. PLRM. When at least 5 440 km offshore, a 50%-70% SSH noise reduction is obtained using the UFSAR and FFSAR 441 when compared to PLRM, respectively. Furthermore, FFSAR noise is approximately 442 25% below UFSAR. Another encouraging FFSAR result (Fig. 2b) is observed within ~ 5 443 km to the coast where the noise reduction improves greatly from 25% to 70% for FFSAR 444 with respect to UFSAR.

445 The 25% improvement offshore between FFSAR and UFSAR agrees well with 446 results recently reported in an open ocean study (Egido et al., 2021) where a 24% noise 447 improvement was observed. However, the reason for the difference was not ascribed to 448 focused vs. unfocused SAR reprocessing, instead, it was due to the chosen data posting 449 rate difference where 20-Hz FFSAR data were found to be noisier than 80-Hz data (after 450 the 80-Hz FFSAR data are smoothed and down-sampled to 20-Hz as we do in this paper). 451 As discussed in Egido et al., (2021), the increased noise was due to inherent along-track 452 radar return decorrelation differences.

453 Therefore, the findings here suggest that beyond 5 km from shore the FFSAR 454 noise reduction is most likely contributed by the posting rate increase from 20-Hz to 80-455 Hz between the UFSAR and FFSAR data used in this study. But inshore of 5 km the 456 FFSAR processing approach versus UFSAR shows further noise reduction near the 457 coastlines that would not be explained by data posting rate. Within the 5-km coast with 458 shorter-scale sea surface variability such as land and island-based disturbances the 459 FFSAR processing is capable of generating less noise product. Certainly, both S-3A SAR 460 SSH products are providing better performance than the conventional altimetry LRM in 461 this NWA coastal shelf region. In the next section, we assess further potential benefits 462 related to coastal shelf circulation applications.

463

464 *4.2. Altimeter geostrophic current assessments*

465 Performance measures defined in Section 3.3.2 are computed for each data 466 product to compare altimeter-derived cross-track geostrophic currents (Vg) from the 467 regional S-3A passes shown in Fig. 1b, using only data for depths less than 500m in order 468 to focus on coastal shelf data quality. This focus essentially limits the data to 469 measurements made north of the shelf break front that is highlighted in blue (Fig.1b). 470

471 *4.2.1.* Comparison between altimeter derived Vg estimates

472Fig. 3 shows histograms of the cross-track absolute geostrophic current Vg473derived from S-3A ADT, calculated using a 14-km along-track scale for the data474aggregated over differing datasets. Three subregions to be considered are: the entire shelf475region (ALL) ([36.0,48.0N], [75.0, 55.0W]), the Nova Scotian Shelf (NSS)476([42.0,45.0N], [66.0, 60.0W]) and Gulf of Maine (GoM) ([41.5, 44.5N], [70.0, 66.0W]).477The mean (µ) and standard deviation (σ) of the aggregated Vg estimates for three478altimeter datasets (in cm/s) are also shown.

479 For Vg derived from all three altimeter datasets, the mean μ of Vg is in the range 480 from -3.2 to -2.7 cm/s for the case ALL (Fig. 3a). This negative velocity is consistent 481 with the mean southwestward along-shelf current direction on the shelf, but the mean Vg482 magnitudes are somewhat lower than observations (Smith, 1983). This may be related to 483 the fact that the cross-track geostrophic current Vg is nearly along shelf for ascending 484 passes (from SE to NW) but not the descending (from NE to SW) (Fig. 1b). When only 485 ascending track data are used for the analysis, Vg mean magnitudes slightly increase from 486 -3.6 to -3.0 cm/s (not shown). The standard deviation σ of Vg, a measure of the total 487 variability of Vg estimates, shows a consistent decrease when comparing the three 488 datasets where PLRM > UFSAR > FFSAR in Vg σ across three subregions (Fig. 3a-c). 489 Defined in Eq. (2), the relative Vg noise reduction (%) by one product A1 with respect to

490 the another A2 is a relative objective precision measure between A1 and A2, and the

491 results are given in Table 2. For the case ALL, the relative Vg noise reduction in 492 UFSAR and FFSAR is 15.6%, and the relative noise reduction in PLRM with respect to 493 either UFSAR or FFSAR product is much higher from 24% to 35.9%. 494 For a finer examination, statistics estimates are calculated for the cases of Nova 495 Scotian Shelf and Gulf of Maine subregions, and presented in Fig. 3b and Fig. 3c, 496 respectively. Results show that the mean u and standard deviation σ in the NSS are quite 497 similar to Fig. 3a. For the NSS, the SAR estimated means in the range from -3.3 to -3.9 498 cm/s (Fig. 3b) and from -3.8 to -4.5 cm/s if only ascending passes (from SE to NW) are 499 considered (not shown). This range of NSS mean velocities generally agrees with the 500 known observations (Smith, 1989; Loder et al., 2003; Hannah et al., 2001). In Fig. 3c, 501 results differ somewhat for the case GoM in that the mean values are toward the small 502 positives (0.6 to 0.8 cm/s) and the standard deviation values are significantly higher than 503 those in NSS. The change in the mean velocity is not unexpected because inside the 504 GoM there are many small-scale features (localized gyres and jets), and thus the across-505 track geostrophic currents are not always oriented southwestward. As noticed, the higher 506 standard deviation σ of Vg in the GoM than in the NSS may reflect variability by the 507 more diverse and shorter scale currents and not just from measurement noise. Regarding 508 the relative noise reduction measure defined in Eq.2, the most apparent is the significant 509 precision improvement in Vg from SAR (either FFSAR or UFSAR) dataset with respect 510 to PLRM dataset for all three subregion cases (Table 2). For the inter-comparison of 511 FFSAR and UFSAR, FFSAR does perform slightly better than UFSAR for all three sub-512 regions in the range from 5.7% to 15.6%, and either SAR performs much better than 513 LRM (Table 2).

514

515 4.2.2. Assessment of Vg against ocean current reference datasets

516 Here, the S-3A cross-track absolute geostrophic currents Vg from three products 517 (FFSAR,UFSAR and PLRM) are estimated using 1-Hz data and a ~42 km length scale. 518 The altimeter Vg products are compared to these reference currents V_{GC} for GlobCurrent 519 ,and V_{ROMS_1davg} and V_{ROMS_3davg} for ROMS model.

520 Scatter plots of Vg against these current references are presented in Fig. 4, 521 showing moderate correlations with R = 0.43-0.55 for Vg vs V_{GC} (Figs. 4a-c) and R 522 =0.31-0.39 for Vg vs V_{ROMS 3davg} (Figs. 4d-f). Relatively small biases are in the range 523 from -0.78 to -1.26 cm/s for V_{GC} (Figs. 4a-c) and from -0.63 to -1.17 cm/s for $V_{ROMS3dayg}$ 524 (Figs. 4d-f), respectively. In addition, the performance statistics of Vg vs. V_{ROMS 3davg} 525 (Figs. 4d-f) is slightly better than that for Vg vs. $V_{ROMS \ 1 davg}$ (not shown). One can notice 526 that the correlations between Vg and V_{GC} are greater than for Vg and $V_{ROMS 3davg}$, while 527 the bias magnitudes are slightly larger for the former than for the latter. In short, the results are not surprising because both reference currents are not optimally used as 528 529 ground truth for altimeter-based geostrophic current assessment.

530 In terms of this cross-comparison of the altimeter Vg products from the three 531 differing processing datasets, it is clear that the highest correlation and the lowest bias are 532 found for FFSAR-inferred Vg in each measure (Figs 4a and 4d). This assessment also 533 indicates that the FFSAR does slightly outperform UFSAR in these performance 534 statistics, while both improve significantly upon LRM data.

535

536 *4.2.3. Validation with moored in-situ current measurements*

537 The S-3A ascending pass 747 covers a very dynamic GoM area crossing Georges 538 Bank and then Georges and Jordan basins before reaching the eastern Gulf coastline 539 (Figs. 5 and 8). Two moored buoys (I and M) lie close to this pass, both within less than 540 15 km. Buoy M is moored at the center of Jordan's Basin while Buoy I is within the 541 Maine Coastal current and near the eastern Maine coastline. Each buoy operates one 542 down-looking ADCP to provide hourly measurements with 4-m to 8-m vertical 543 resolution from the surface 10-m and 18-m down to the bottom for Buoy I and Buoy M, 544 respectively, and another sensor provides near-surface (~2 m) current measurements, 545 with nearly continuous measurements from 2018 to 2019.

546 Fig. 5a displays the time-latitude representation of the FFSAR based surface 547 absolute geostrophic current (Vg) calculated along pass747 using a 28 km length scale 548 and 1-Hz along-track data at the original 27-day (the S-3A repeat cycle) time sampling, 549 without further temporal smoothing of the Vg estimates. Time covers a 2-year period 550 (2018-2019) and space runs from the southern edges of the George's Bank to the eastern 551 coast of the GoM (Figs. 5b and 8). Note that negative(in blue)/positive (in red) Vg values 552 indicate the southwestward /northeastward current normal to the track throughout the 553 Georges and the Jordan Basins (Fig. 5b). To our knowledge, this is the first single 554 altimeter pass dataset to reveal such short spatial scale detail in cross-track altimeter-555 inferred absolute geostrophic currents inside the GoM.

556 While three-cycle data are missing over the 2-year period in this pass, the overall 557 space and time information is revealing in several respects (Fig.5b). First, the narrow 558 eastern MCC current is apparent at latitudes from 43.7N to the coast, and centers near 559 44.1N (also see Fig.8). The magnitude and direction are consistent with this 560 southwestward along-shelf current (negatives in blue). Next, a general counterclockwise 561 gyre (of \sim 50 km length scale) spans Jordan Basin with its center near \sim 43.6N (also see 562 Fig.8). Finally, the Vg data also indicate a clockwise gyre on Georges Bank (40.5 to 563 42N) and expected northeastward (positives in red) flow along Georges Basin (41.8N-564 42.6N). While these features persist, seasonal and spatial variations also appear in the altimeter SSH-resolved observations (Figs 5 and 8). 565

Figs. 6 and 7 show the instantaneous altimeter Vg vs. *in situ* V_{Buoy} (a) matchup time series, (b) and (c) the matchup scatter plots of S-3A Vg against V_{Buoy(10-50m)} and V_{Buoy2m} for buoy I, and V_{Buoy(18-50m)} and V_{Buoy2m} for buoy M, respectively. Three statistical measures (correlation R, bias B and RMSE) are used to quantify S-3A Vg performance, particularly identifying how performance differs among the three altimeter products.

572 By using buoy measurements as local ground truth for altimeter coastal ocean 573 current assessment, we have tried to explore an optimal length scale used for S-3A Vg 574 estimates. After experimenting with 14km, 28km, and 42km along-track scales, we 575 found that the best spatial scale is 14km for the coastal buoy I and 28km for Jordan 576 Basin buoy M in terms of the given performance measures. Not surprisingly, the findings imply that length scales used to infer cross-track geostrophic currents likely 577 578 depend on the local length scale of currents. As described above the current length scale 579 is relatively small at buoy I in the narrow eastern MCC while a length scale is a 580 relatively large at buoy M site near the Jordan Basin gyre. Thus, optimal measurements 581 maybe need to be utilized for determining an adaptive along-track length scale to derive 582 Vg along a given pass rather than a constant scale. This topic is left for future studies.

For all three performance measures, the LRM-based Vg estimates are the worst 583 584 amongst the three products without exception, while FFSAR performs slightly better 585 than or similarly to UFSAR near both buoy sites. This result again indicates SAR-586 measured SSH can improve the derived geostrophic current significantly over the 587 conventional LRM altimetry.

588 Noting specific details for buoy I comparison, it is found that the correlation is 589 higher while the Bias and RMSE are greater for Vg vs. V_{Buov2m} than for Vg vs. $V_{Buov(10-1)}$ 590 $_{50m}$, respectively (Fig. 6c vs Fig. 6b). The performance of Vg_{FFSAR} is slightly better than 591 Vg_{UFSAR} . Specifically, in Vg_{FFSAR} vs. $V_{\text{Buoy}(10-50\text{m})}$ and in Vg_{FFSAR} vs. $V_{\text{Buoy}2\text{m}}$, R is 0.53 592 and 0.71, Bias is -0.026 and -0.044 m/s, and RMS is 0.098 and 0.082 m/s (Fig. 6b vs. Fig. 593 6c), respectively. The negatively-biased Vg indicates higher southwestward Vg than the 594 buoy measurements. This is more apparent in year 2019 (Fig. 6a). The temporal varying patterns of altimeter Vg and V_{Buoy(10-50m)} are visually correlated to some extent. Altimeter 595 596 Vg agrees better with the surface buoy-measured V_{Buoy2m} in terms of correlation R and 597 RMS at buoy I (Fig.6b).

598 Similar analysis at buoy M (Fig.7) finds that the altimeter SAR-based V_{gFFSAR} and 599 VguFSAR time series show similar temporal patterns to the *in situ* buoy data, while the 600 LRM Vg time series show larger and more frequent disagreement (Fig. 7a). Similar to 601 the results at buoy I (Fig. 6), the performance of LRM Vg is apparently the worst in all 602 three statistical measures at buoy M. Moreover, the SAR (FFSAR and UFSAR) Vg603 estimates show markedly better agreement with the depth-averaged current $V_{Buoy(18-50m)}$ 604 (Fig. 7b) than for the surface 2m- measured V_{Buoy2m} (Fig. 7c) with no significant correlation. The statistical measures for V_{gFFSAR} vs. $V_{Buoy(18-50m)}$ with R=0.61, Bias =-605 606 0.012 m/s and RMS = 0.063 m/s look recognizably better than the ones for UFSAR Vg 607 with R=0.45, Bias =-0.014 m/s and RMS =0.055 m/s (Fig. 7b) at buoy M. The results 608 suggest that the surface 2-m measured V_{Buoy2m} at buoy M (Fig.7c) likely contain a 609 significant ageostrophic component such as that due to local wind forcing.

610 It is worth mentioning that these two independently measured currents, altimeterderived geostrophic current Vg and buoy-measured current V_{Buoy}, are based upon totally 611 612 different observational approaches. V_{Buoy} is directly measured current at one location, 613 including geostrophic and potential ageostrophic contributions while altimeter Vg is 614 inferred by altimeter-measured sea surface height gradient under the assumption of 615 surface geostrophy. Thus, discrepancies in their direct comparison are not unexpected. 616 Disagreement may stem from several altimeter error/uncertain sources, such as range 617 noise, inaccuracy in geophysical corrections applied to altimeter sea surface height, 618 mean sea surface and dynamic topography, and the along-track scale used for Vg619 estimates, as well as the altimeter-buoy co-location criteria (Feng et al., 2011, 2016).

620 Again, it is encouraging that this validation analysis in terms of *in-situ* current 621 measurements confirms once more that DDA/SAR SSH-derived geostrophic currents 622 provide a significant improvement over Vg derived from LRM SSH data (conventional 623 altimetry). The validation analysis in this study provides the best agreement that has 624 been ever shown to date between altimeter-based Vg and in situ measurements inside the 625 GoM including its coastal zone, where the geostrophic currents are relatively weaker and 626 more complex than for the MAB and Nova Scotian Shelf.

627 4.3. Demonstration of multiple single along-track analyses for Vg 628 To distinguish potential SAR skill compared to the conventional LRM data, the 629 spatial content of altimeter Vg along a single given satellite pass is further examined. For 630 this purpose, we selected several S-3A ascending passes in the shelf region because 631 ascending passes are oriented nearly normal to the coastline and thus the cross-track 632 current aligns with the along-shelf. Each selected pass crosses over well-documented 633 small-scale current features like coastal currents, and shelf-sea gyres on the basins or banks. In this analysis, V_{GC} from GlobCurrent and V_{ROMS 3davg} the ROMS model 3-day 634 635 running average velocity are also used for reference.

636 Fig. 8 displays the S-3A FFSAR-based current Vg mapping with four selected 637 passes to represent a satellite overview of the across-track (approximately along-shelf) 638 absolute geostrophic current on the shelf sea region in the early summer of 2018 (from 639 May 24 to Jun 27, 2018). The altimeter-inferred Vg is estimated by using a 42-km 640 length scale. This current field from S-3A FFSAR-inferred Vg clearly reveals a space-641 based snapshot, which is remarkably consistent with what has been reported in previous 642 observations and modeling studies, including features such as NSS in-shore coastal 643 current, eastern GoM coastal current, the deep shelf-break current from Nova Scotian 644 Shelf to Georges Bank shelf breaks, as well as a set of small-scale gyres on the basins 645 and banks in this shelf region (Townsend et al., 2006).

646

647 4.3.1. Pass 747 in the Gulf of Maine

 $\begin{array}{ll} 648 & \text{Different from the time-latitude representation shown in Fig. 5, Fig. 8 displays} \\ 649 & \text{an intuitive snapshot of the across-track geostrophic current field from FFSAR } Vg, \\ 650 & \text{including pass 747 on 27 June 2018. The other S-3A } Vg \text{ datasets along this track, as well} \\ 651 & \text{as co-located reference current } V_{GC} \text{ and } V_{ROMS_3davg}, \text{ are shown in Fig. 9.} \end{array}$

652 Several known small-scale current features are observed using the two SAR 653 altimeter datasets along this pass (see Figs. 8 and 9). First, the southwestward along-654 shelf eastern Maine Coastal Current is well captured by both SAR-inferred Vg products 655 near the coast (>43.7N) with a mean of \sim 12 cm/s and a max of \sim 19 cm/s. This estimate 656 of the along-shelf summer period MCC velocity current is consistent with the in-situ 657 current observational range of the sub-tidal surface current in the eastern MCC, from 15 658 to 30 cm/s (Pettigrew et al., 2005). Secondly, the data show a principally cyclonic 659 circulation (i.e., Jordan Basin gyre) near the eastern GoM (43.0-43.7N). Next, in 660 Georges Basin, as expected a weak anti-clockwise gyre is present. Finally, on the south 661 end of the pass, varying features over Georges Bank show that an expected nearly 662 eastward flow on the northern flank of the Bank is captured (42.15N), aligning with the 663 local isobath. The flow becomes southwestward on the Bank, gradually decreasing in 664 magnitude with the near-zero current zone over the shallow mid- and southern Bank. 665 The stronger southwest along-shelf flow is then observed near the shelf break (40.7N). 666 To our knowledge, this is the first time that these Gulf of Maine circulation details have 667 been so clearly revealed with single-pass satellite altimeter data.

668 The panels of Figs. 9b-d show velocities from all three S-3A Vg products. While 669 similar, it is clear that the LRM-based Vg is much noisier along the track than for either 670 SAR product, with distortions away from the SAR Vg in both spatial structure and 671 magnitude. Fig. 9e shows the overlap of the FFSAR and PLRM Vg with GlobCurrent 672 V_{GC} (green) and ROMS V_{ROMS-3davg} (pink). Much stronger variation is observed in the 673 altimeter-derived current than in either reference product, but their variations along the 674 pass share some similarities. V_{GC} agrees slightly better with the SAR data than 675 V_{ROMS_3davg} , and as another note, the along-track structures in V_{ROMS_3davg} agree better 676 with the altimetry than V_{ROMS_1davg} (not shown). This suggests it is necessary to use a 3-677 day average on ROMS model daily data to remove tidal aliasing when comparing 678 altimeter-based de-tided currents, at least for this GoM satellite pass.

679 The significant differences apparently observed between Vg and reference 680 products of GlobCurrent and ROMS (Fig.9e) may be ascribed to several facts, including 681 *i*) mismatch in the space and time resolutions among the Vg and the reference currents 682 (GlobCurrent and ROMS), and *ii*) vertical and temporal averaging on ROMS outputs that 683 do not well represent the surface geostrophic currents, (*iii*) interpolation errors near the 684 coast, (*iv*) still existent issues on geophysical corrections applied to altimeter SSH, and so 685 on. All these facts may impact the observed discrepancies collectively.

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687

7 4.3.2. Pass 205 on the southwest Nova Scotian shelf off Cape Sable

688 S-3A ascending pass 205 crosses the shelf break near the Northeast Channel and 689 traverses Browns Bank and the North Channel, before heading to the coast at Cape 690 Sable. Nearest to the coast, the equatorward Nova Scotian Shelf Current typically takes a 691 gradual turn into the GoM near Cape Sable. Fig. 8 also shows the current snapshot of the 692 FFSAR-inferred Vg, measured on 8 June 2018 for the pass. Several prominent current 693 signatures show up clearly along this section. Southwestward flow is observed on the 694 coastal shelf off Cape Sable Shelf and over the North Channel (42.9N). There is also an 695 obvious northeastward return flow on the northern part of Browns Bank in the zone 696 (42.5N-42.8N). South of Browns Bank (42.5N), southwestward flow is seen that 697 gradually increases toward the shelf break (42.0N).

698 As for pass 747 (Figs. 9b and 9c), the spatial variations in both SAR-inferred 699 products are also remarkably similar for pass 205. For simplicity, the comparison focuses 700 on the Vg FFSAR vs Vg PLRM plus the current references in Fig. 10b. In this case, the 701 expanded along-track view more clearly illustrates the difference between the VgPLRM and 702 Vg_{FFSAR} estimates. While each portrays similar flow reversals and their location in 703 general, the PLRM-based VgPLRM is much noisier and apparently shows different along-704 track Vg structure, particularly nearest to the coast (>43.4N), but also approaching the 705 shelf break (42.2N).

706Regarding the comparison to reference currents (Fig. 10b), the spatial structure of707FFSAR Vg does not agree with V_{GC} , but agrees fairly well with the ROMS V_{ROMS_3davg} .708Significant disagreement of altimeter Vg with V_{GC} may be attributed to the coarse spatial709resolution (~25km) in V_{GC} along the pass so that as low-resolution V_{GC} data cannot710resolve the small-scale current features crossing such a dynamic shelf region.

711 How realistic is the summer period flow along this pass? First, the along-shelf 712 coastal flow nearest Cape Sable is nominally associated with the fresh and cold water 713 advection of the inner Nova Scotian Shelf Current that continues northwestward into 714 interior GoM (Smith, 1983; Feng et al., 2016; Grodsky et al., 2020). Its variation 715 depends on inflow magnitude and seasonality. The S-3A SAR-observed currents along 716 the North Channel are similar with a mean magnitude nearing ~9cm/s. This inflow is 717 only seen north of the North Channel, not unexpected in the summer because the SSC 718 weakens and its transport into the GoM is reduced. The well-documented clockwise gyre 719 on the Browns Bank is indeed revealed by the FFSAR-Vg data on this day. The Browns

Bank spatial structure captured by S-3A SAR mode measurements along this pass are
generally consistent with previous observational and model studies (Smith, 1983; Smith
et al., 1989; Hannah et al., 2001; Katavouta et al., 2016).

Based on satellite sea surface salinity observations, a recent study showed interannual modulation in this Nova Scotian shelf inflow to the GoM (Grodsky et al., 2021) where a significant fraction is attributed to anomalies in wind forcing. Use of these refined SAR altimeter measurements over the southwestern Nova Scotian Shelf may improve monitoring of this variability as it pertains to resulting freshwater impacts inside the Gulf of Maine.

729

730 *4.3.3. Passes 547 and 005 on the Nova Scotian Shelf*

732 Two S-3A passes crossing the NSS are selected for assessment. The mean 733 circulation on this shelf varies at several spatial scales. The overall flow is characterized 734 by a southwestward along-shelf current with the two key branches. The in-shore SSC 735 current discussed earlier originates in the Gulf of St. Lawrence and continues 736 southwestward along the Scotian coastline (as described for pass 205, Figs. 8 and 10). 737 The offshore branch originates as a down-stream extension of the Labrador Current, and 738 continues along the Scotian shelf and its shelf break front region. Knowledge of 739 variations in these flows is critical to understanding the down-stream circulation in the 740 GoM-MAB shelf system. Thus, both modelers and coastal oceanographers have a 741 strong interest in Scotian Shelf observational datasets including highest accuracy 742 satellite altimeter sea surface measurements.

743 The regional map with FFSAR-Vg along passes 547 (24-May-2018) and 005 (1-744 Jun-2018) is also shown in Fig. 8. The other S-3A Vg data and reference currents are 745 displayed in Figs. 11 and 12, respectively. The FFSAR-inferred Vg shows the cross-746 track absolute geostrophic current Vg is aligned well with orientation of the coast and 747 shelf break. Keep in mind that the inter-track distance between the two passes is about 748 150 km and that the time difference between the measurements from the two passes is 749 seven days. The spatial structure of the along-shelf current revealed by FFSAR Vg along 750 both passes appears highly coherent across the shelf (Fig. 8). As with earlier analyses, 751 there are significant LRM-based Vg differences from the SAR-based data both near the 752 coastline and near the shelf break. There looks obvious noise increase in VgPLRM (Figs. 753 11b and 12b), particularly along pass 005 where the sign of the VgPLRM current opposes 754 the SAR Vg_{FFSAR} near the coastline (Fig. 12b).

755 The key circulation features observed with S-3A SAR observations can be 756 detailed by Fig. 8 and referencing Figs. 11 and 12 for passes 547 and 005, respectively. 757 First, the inshore SSC branch shows a consistent SW flow aligned shoreward of the 150 758 m isobath (> 44.1N) between the western edge of Emerald Basin and LaHave Basin 759 along pass 547 (Fig. 11) and inside the 150m isobath (>44.4N) on the north rim of the 760 Middle Bank along pass 005 (Fig. 12). Secondly, much stronger southwestward flow is 761 observed over the off-shore shelf break and slope sea where there is high Vg coherence 762 between the two passes (Figs. 8,11, and 12).

Interestingly, between the inshore and offshore shelf break SW flows, there
exists an obvious northeastward return flow detected with both the SAR and PLRM
based Vg data along both passes. Focusing on pass 547 (Figs. 8 and 11), the return flow
relatively weaker in a mean magnitude (3-4cm/s) and occurs near the southern flank of

767 Emerald Basin (\sim 43.5N). At the latitude zone (43.7N-44.05N) there is nearly no across-768 track current (<1cm/s in mean). This area is a deep channel in the nearly north-to-south 769 direction between Lahave and Emerald Basins. As shown by a modeling result (Hannah 770 et al., 2001), a cross shore branching in the current break from the in-shore NSS Current 771 to move roughly southward offshore over the western edge of Emerald Basin with little 772 across-track (NE-to-SW) current component. But a small-scale gyre exists around the 773 Emerald Basin, the returning flow seen at the southern flank zone on the Emerald Basin 774 can be considered part of the gyre.

775 It is worth noting that S-3A pass 547 is fairly close to a well sampled cross-shelf 776 oceanographic transect called "the Halifax section", where long-term hydrographic 777 observations are collected (Loder et al., 2003; Dever et al., 2016). Their data confirm 778 the plausibility of these late May NSS Current and the return flow Vg estimates derived 779 from the S-3A data. Loder et al. (2003) found similar the seasonal variation in the 780 alongshelf density-driven geostrophic current on the Halifax section. Specifically, a 781 significant and nearly continuous inner-shelf surface-intensified southwestward flow, 782 strongest in winter (peak near 30 cm/s) and the weakest in summer (peak of 10-15783 cm/s). The mean current magnitude (~10cm/s) observed by the S-3A SAR is generally 784 consistent with these observations and numerical modeling results (Hannah et al., 2001; 785 Katavouta et al., 2016).

786 Secondly, a weak predominantly northeastward (return) flow appears over 787 Emerald Bank. This return flow is likely consistent with the shelf-edge flow making an 788 onshore meander that moves counterclockwise around Emerald Basin (Thompson and 789 Griffin, 1998; Hannah et al., 2001; Loder et al., 2003). In comparison with reference 790 currents (Figs. 11b-12b), SAR-based V_{gFSAR} agrees in some degree with V_{GC} in pass 791 547 (Fig. 11b) and fairly well with V_{GC} in pass 005 (Fig. 12b) on the shelf likely because 792 longer coherent length scales on this shelf are well suited for their merged-altimeter SSH 793 interpolation scheme of GlobCurrent products. However, V_{GC} on both passes appear no 794 data close to the coast most likely due to its coarse spatial resolution (~25 km). One can 795 see that the SAR-based Vg agrees poorly with ROMS model $V_{ROMS 3dayg}$ (Fig. 11b). 796 This discrepancy may occur because this pass is located near one boundary of this 797 ROMS model domain (Fig. 1).

Upstream 150km from pass 547, the altimeter data on pass 005 (Figs. 8 and 12) show the return current is stronger than on pass 547 and appears over the Western Bank to Middle Bank area (43.8-44.4N), with the mean magnitude of ~7.5cm/s. This feature reflects a general clockwise circulation comprising the shelf-edge throughflow and a partial gyre on Western Bank that is generally consistent well with modeling results (Hannah et al., 2001).

804

805 **5. Summary**

In this paper, we have reviewed recent satellite altimeter data application and assessment studies for the Nova Scotian Shelf, the Gulf of Maine, and the Mid Atlantic Bight system, and then focused on evaluation of newly available Delay Doppler SAR altimetry data from the Sentinel-3A satellite. This study quantifies that SAR processing of the S-3A data measurably improves altimeter-measured SSH and SSH-derived geostrophic velocity estimates in comparison to the conventional Low Resolution Mode (LRM). It also illustrates where FFSAR-processed data can potentially outperform the 813 present standard UFSAR data. Most importantly, we have concluded that the new S-3 814 DDA/SAR mode data can provide improved accuracy in resolving narrow along-shelf currents and other small scale features (scales less than 40 km) that have been difficult to 815 816 discern using conventional LRM data.

817 Measurable improvements are observed in several respects using SAR mode 818 altimetry across this Northwestern Atlantic shelf ocean region. First, SAR mode SSH 819 measurements provide much lower noise (reduced by 55-70%) compared to the S-3A 820 LRM measurements. Secondly, the FFSAR SSH noise level is about 1cm lower than 821 UFSAR (reduced by $\sim 25\%$) when offshore by more than 5km. This observed 25% 822 improvement is consistent with the results recently reported by Egido et al. (2021) who 823 showed that this level of SSH precision improvement can be attributed to the native data 824 posting rate difference (80 Hz being more optimal than 20 Hz) rather than to FFSAR vs. 825 UFSAR data processing retracking approaches. But an apparent benefit from the fully-826 focused SAR processing is observed within 5 km of the coast where one expects shorter-827 scale ocean surface variability, land and island-based disturbances appear to generate less 828 noise in the FFSAR product. Within 5 km of the coast, the FFSAR versus UFSAR noise 829 reduction is significant, with observed levels between 25%-70%. Third, the absolute 830 geostrophic current estimates derived using FFSAR show the lowest noise level in all 831 evaluations - versus *in situ* and reference current datasets, and in qualitative along-track 832 comparisons. In fact, FFSAR data slightly outperform the UFSAR in all test assessments 833 performed in this study. The largest advantage revealed in the present analyses comes 834 when using either SAR mode dataset in comparison to the conventional LRM data, an 835 expected but nevertheless encouraging result.

836 Finally, multiple single satellite S-3A track examples are selected to illustrate the 837 most apparent advantage that the lower noise SAR-based SSH data can provide on high 838 quality of altimeter-inferred geostrophic current products. Namely, the realistic fine-839 scale spatial structure and amplitudes of SAR altimeter-derived geostrophic currents 840 indicate the capability to more clearly reveal 20-40 km scale coastal currents and 841 topographically-steered gyres on the Nova Scotian Shelf and inside the Gulf of Maine. 842 In particular, the DDA/SAR data reveal signals pertaining to two regionally-important 843 currents, the Maine Coastal and Nova Scotian Shelf currents that are resolved using S-844 3A SSH-based current estimates derived using either the FFSAR or UFSAR product. 845 This opens new regional monitoring possibilities using the combination of presently orbiting SAR altimeters that includes Sentinel-3A, Sentinel-3B and Sentinel-6 Michael 846 Freilich.

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Table 1

Summary of Sentinel-3A SRAL altimeter datasets used in this study (cycles 26-52 spanning years 2018-2019)

2018-2019)		
FFSAR (this study)	SRAL L2 (EUMESAT)	
(80 Hz; ~70 m)	(1 Hz, ~7 km; 20 Hz, ~300 m)	
Fully-Focused SAR (FFSAR)	Un-Focused SAR (UFSAR)	
	Pseudo-LRM (PLRM)	
Range, SSH ^a , SSHA ^b ,Geoid, Orb	pit_altitude, GeophyCorrs ^c , MSS ^d	
Goodness of fit	Quality flags of parameters	
	rms in range, SSH,	
• Range: instrument correction applied.		
• Orbit altitude; in GDR-F standard		
• SSH ^a (Sea surface Height) =Orbit altitude	-Range	
• SSHA ^b (Sea surface Height Anomaly)=SS	H-(Range +GeosCorrs)-MSS	
• GeophyCorrs ^c = (dry tropo ecmwf+wet t	phyCorrs ^c = (dry tropo ecmwf+wet tropo rad+iono alt smooth+inv bar mog2d+	
tide solid+tide ocean fes14 +tide load fe	es14 + ssb cls)	
• MSS ^d (Mean Sea Surface)=MSS DTU18	(Mulet et al., 2021)	
• rms ^e (of parameters is in 1Hz, estimated w	ith valid data at 20Hz data posting rate	
• This (of parameters is in THZ, estimated w	ini vanu uata at 20112 data posting rate	

Table 2

Overall relative noise reduction in Vg estimates (in %), defined in Eq. (2), by one altimeter

dataset A1 with respect to the another A2 from three altimeter products (FFRAR UFSAR,

PLRM) for the three subregions: ALL (the entire shelf region), NSS (Nova Scotian Shelf) and GoM (Gulf of Maine) (see details in Section 4.2.1.)

A1 vs A2	Regional datasets		
	ALL	NSS	GoM
UFSAR vs. FFSAR	15.6	5.7	12.1
PLRM vs. FFSAR	35.9	34.8	37.9
PLRM vs. UFSAR	24.0	30.1	29.3

- 1033 Fig1a. Map of the study region on the northwest Atlantic shelf with the contours of 100, 200,
- 1034 1000 and 4000 m isobaths. Thick blue arrows show a schematic representation of the circulation
- 1035 in this shelf region. Abbreviations are used to denote Jordan Basin (JB), Wilkinson Basin (WB),
- 1036 Georges Basin (GB), Northeast Channel (NEC), Northern Channel (NC), Great South Channel
- 1037 (GSC), and Mid Atlantic Bight (MAB).





Fig.1b. Map of the study region with bathymetric contours. Solid lines represent Sentinel-3A (S3A) SRAL altimeter ground tracks one of which T747 is labeled. Also shown are the positions of
six NERACOOS buoys (red solids) labeled with letters (N, L, M, I, E, and B). Gray stippled area
is the domain of ROMS regional circulation model. Abbreviations used here are the same as in
Fig1a.



- 1047 Fig. 2. (a) Along-track rms SSH noise (cm) and (b) relative rms noise reduction (%) within 1-km
- 1048 bins versus distance to the coast. Noise is estimated as the absolute difference between
- 1049 consecutive 20-Hz measurements as defined in Section 3.2. Results are shown for three datasets
- 1050 using the FFSAR, UFSAR and LRM processing approaches. The SSH data are screened using a
- 1051 retracking goodness of fit threshold <=0.05 for FFSAR and QC SSH quality=0 for UFSAR and 1052 PLRM.
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1061 Fig. 3. Histograms of the cross-track absolute geostrophic current Vg derived from the absolute 1062 dynamic topography ADT=SSHA+MDT by using 1-Hz data from altimeter products (FFSAR, 1063 UFSAR, and PLRM). Vg is calculated using a 14 km length scale in Eq. (1) (see details in 1064 Section 3.3.1.). Panels (a) (b) (c) represent the results from the reginal datasets of All (all 1065 region), NSS(Nova Scotian Shelf), and GoM (Gulf of Maine), respectively. Note that Vg mean 1066 (μ) and standard deviation (σ) values (in cm/s) are given in each legend.





- 1072 Fig. 4. Contoured scatter plots of S-3A cross-track absolute geostrophic current Vg estimated by
- 1073 using a 42 km length scale in terms of 1-Hz data from altimeter products, (a) and (d) for FFSAR,
- 1074 (b) and (e) for NFSAR, and (c) and (f) for PLRM against the reference current products
- 1075 GlobCurrent absolute geostrophic cross-track V_{GC} (the 1st. row) and the 50m depth-averaged
- 1076 and 3-day averaging $V_{ROMS_{3davg}}$ from ROMS regional circulation model (the 2nd row). The
- 1077 correlation coefficient (R) and bias (B) in cm/s are also provided in the legends. Contours
- 1078 represent normalized 2D data population distributions. Note that all correlation coefficients
- 1079 reported here are statistically significant with p values close to zero.



1084

1085 Fig. 5. (a) Time-latitude representation of cross-track absolute geostrophic current (Vg)1086 estimated using FFSAR ADT=SSHA+MDT data as computed along S-3A repeat track 747, (b) the bathymetry along the track from offshore, over the shelf break and Georges 1087 1088 Bank, across Georges Basin (GB), and then Jordan Basin (JB) to the eastern GoM 1089 coastline near 44.3N (see Figs. 1 and 8). FFSAR-based Vg is estimated using a 28km 1090 length scale. Note that negative Vg values indicate the southwestward current normal to 1091 the track, approximately along the local isobath on the coastal shelf. This pass crosses 1092 near two buoys I and M as labeled and shown with dashed black lines.





- 1095 Fig. 6. (a) Match-up time series of S-3A cross-track absolute geostrophic current Vg
- 1096 derived from altimeter data products (FFSAR, UFSAR, and PLRM), and buoy
- 1097 measurements. V_{Buoy(10-50m)} is the depth-integrated average current for 10-50m depths
- and V_{Buoy2m} is the surface current (see details in Section 3.3.2). (b) and (c) are scatter
- 1099 plots of altimeter Vg near S-3A track747 against $V_{Buoy(10-50m)}$ and V_{Buoy2m} from Buoy I in
- 1100 the GoM (Figs 5 and 8), respectively, with matchup sample number = 20, 18, and 17 for
- 1101 these Vg products FFSAR, UFSAR, and PLRM, respectively. Vg is estimated using a
- 1102 14km length scale. All the correlation coefficients reported here are significant with
- 1103 p < 0.05, excepting $p \sim = 0.06$ for Vg_{PLRM} vs. $V_{Buoy(10-50m)}$.



- 1109
- 1110

1111 Fig. 7. The caption is the same as for Fig. 6, but now for Buoy M with a matchup sample

1112 number of 23 for the three Vg products. Buoy-measured $V_{Buoy(18-50m)}$ is the depth-

1113 integrated average in 18-50m. Altimeter Vg is estimated using a 28km length scale. The 1114 only correlation coefficients are statistically significant at p < 0.01 and < 0.03,

- 1115 respectively, for Vg FFSAR and Vg UFSAR vs. V_{Buoy(18-50m)}.
- 1116



1121 Fig. 8. Regional map including FFSAR cross-track absolute geostrophic current Vg using a 42km 1122 length scale, as well as red circles for buoys I (near 44N) and M (near 43.5N), along the four S-1123 3A identified passes, T747 (27-Jun-2018) in the Gulf of Maine from the shelf break across the 1124 Georges Bank, Georges Basin, Jordan basin to the eastern GoM coast, T205 (8-Jun-2018) from 1125 the shelf break across Browns Bank, the North Channel and to the Cape Sable Shelf (CSS), T547 1126 (24-May-2018) and T005 (1-Jun-2018) across the Scotian Shelf. This FFSAR-derived Vg 1127 mapping depicts the general along-shelf current in the early summer of 2018 (from May 24 to Jun 1128 27, 2018).

558 45 Middle Bank 44 North **F20**5 hanne D Sable Is Jor Latitude Bank 8 5 Basi 9. Wester Ń Bank Emerald Bank Scotian Gulf 200 42 Browns Bank Georges Bank 41 200 25(cm/s) 40 -72 -71 -70 -64 -69 -68 -67 -66 -65 -63 -62 -61 -60 -59 Longitude 1129 1130 1131 1132

1133 Fig. 9. Latitude vs. S3A-derived cross-track absolute geostrophic current Vg estimates using a

1134 42km length scale, as well as reference currents along S-3A pass 747 (27-Jun-2018) in the Gulf

1135 of Maine (see Fig. 8). Panels (a) bathymetry, (b) Vg_{FFSAR}, (c) Vg_{UFSAR}, (d) Vg_{PLRM}, and (e) a set

1136 of current products, Vg_{FFSAR} and Vg_{PLRM}, as well as V_{GC} from GlobCurrent and V_{ROMS 3davg} from

1137 the 50m depth-averaged and 3-day running average from ROMS regional circulation model.



1139 Fig. 10. Latitude vs. (a) bathymetry and (b) S-3A-derived cross-track absolute geostrophic

1140 current Vg estimates (using a 42km length scale) VgFFSAR and VgPLRM plus reference currents

1141 V_{GC} and $V_{ROMS_{3davg}}$ along S-3A pass 205 (8-Jun-2018) from the shelf break across Browns

1142 Bank, the North channel (NC) and to the Cape Sable shelf (CSS) (see Fig. 8).





Fig. 11. The caption is the same as for Fig. 10, but now along S-3A pass 547 (24-May-2018)across the Nova Scotian Shelf (see Fig. 8).