

1 **Recruitment variability of Antarctic krill in Subarea 48.1 expressed as ‘proportional**  
2 **recruitment’: length threshold effects**

3  
4 Kinzey D.✉, J.T. Hinke, C.S. Reiss and G.M. Watters  
5 NOAA Southwest Fishery Science Center  
6 8901 La Jolla Shores Dr, La Jolla, CA 92037 USA  
7

8 Abstract

9 Proportional recruitment summarises the variability of new individuals entering a population  
10 over time. Two parameters characterising proportional recruitment, the mean and standard  
11 deviation of the interannual proportion of juveniles in the population, are important inputs to the  
12 generalised yield model (Grym) when the proportional recruitment option is being used to set  
13 fishery catches. The Grym is a simulation framework that can define the amount of fisheries  
14 catch that is considered precautionary as defined by decision rules. It is currently under  
15 consideration by CCAMLR for managing catches of Antarctic krill. This study calculated  
16 proportional recruitment of krill from seven data sources in Subarea 48.1 representing research  
17 trawl surveys, fishery observer data and predator diets. Krill length-frequency distributions  
18 provided values of proportional recruitment from each of these data sources using a range of  
19 alternative upper length bounds (‘thresholds’) from 30 to 44 mm for defining juveniles. All  
20 datasets tracked the same interannual peaks and troughs in proportional recruitment. Proportional  
21 recruitment parameters calculated using the alternative thresholds from the same datasets varied  
22 widely. Across all data sources and thresholds, the interannual mean proportional recruitment of  
23 krill varied from 0.02 to 0.76 with standard deviations varying from 0.03 to 0.3. The choice of  
24 length threshold had a larger effect on the proportional recruitment parameters than differences  
25 among datasets. The potential importance of size selectivity in krill samples, especially if smaller  
26 bounds on the juvenile length threshold are assigned, could require adjusting observed  
27 frequencies for the lower selectivity of smaller individuals. These results highlight the  
28 importance of deciding which upper length bound and which data source(s) to use to identify  
29 juveniles in calculating the parameters to be supplied to the Grym.

30  
31 **Introduction**

32 Recruitment, the annual production of individuals joining the pool of potentially reproductive  
33 members in a population, is highly variable in Antarctic krill, (*Euphausia superba*) (Siegel and  
34 Loeb, 1995; Watkins, 1999; Siegel, 2000a; Siegel et al., 2002; Quetin and Ross, 2001, 2003;  
35 Kinzey et al., 2013, 2019). Recruitment parameters are important inputs to the generalised yield  
36 model (GYM), a modeling framework that makes future projections of krill abundance and

37 variability under different levels of catch from a population determined by the model's input  
38 values (de la Mare, 1994a, 1994b; Constable and de la Mare, 1996). An R-version of the GYM  
39 (the Grym) has been developed (Maschette et al., 2020, 2021)<sup>1</sup>. The effects of the different  
40 catches on the simulated population are compared in the Grym to CCAMLR decision rules  
41 (Constable et al., 2000), which define the amount of krill catch considered 'precautionary' based  
42 on the simulation results.

43  
44 The GYM is a simulation model. Unlike statistical stock assessment models such as Casal2 (Bull  
45 et al., 2004), stock synthesis (Methot and Wetzel, 2013) or similar frameworks that formally  
46 quantify the uncertainty of model estimates by using a likelihood function (Hilborn and Mangel,  
47 1997), simulation models do not quantify uncertainty. The likelihood function in statistical  
48 models compares model estimates to the data to assess the model 'fit' for candidate parameter  
49 estimates, whereas in simulation models all inputs are assumed known.

50  
51 Quetin and Ross (2001) noted that the percentage of the krill population reproducing during the  
52 seven-year time series they studied in the Palmer Long-Term Ecological Research (LTER) study  
53 area from 1993 to 1999 varied from 10 to 98% annually, suggesting that immature individuals  
54 composed 2 to 90% of the standing stock in any given year. Quetin and Ross (2003) describe  
55 krill recruitment as 'episodic', suggesting that two strong year classes in succession are typically  
56 followed by three or four moderate or poor year classes. Similar patterns in year-class strength  
57 for krill have been observed in the Elephant Island region between 1976 and 1996 (Loeb et al.,  
58 1997). Krill under natural conditions can live five to eight years (Siegel, 2000b; Nicol, 2000), so  
59 the oldest age classes are largely a product of intermittent strong cohorts.

60  
61 Recruitment can be represented using three separate options in the Grym: lognormal recruitment;  
62 a vector of absolute recruitment; or proportional recruitment. The option currently agreed upon  
63 by the Scientific Committee of CCAMLR for advising on management of the krill fishery is  
64 proportional recruitment.

65  
66 Proportional recruitment represents the proportion of juveniles in the population and its  
67 variability, parameterised by specifying a mean and a standard deviation (SD). It is calculated as  
68 the interannual proportion of all individuals younger than, or equal to, a particular age class to all  
69 individuals in the population. The values of proportional recruitment have a large effect on the  
70 precautionary yield ('gamma', the proportion of unfished biomass that can be harvested annually

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<sup>1</sup> [https://github.com/ccamlr/Grym\\_Base\\_Case/tree/Simulations](https://github.com/ccamlr/Grym_Base_Case/tree/Simulations).

71 while meeting the CCAMLR decision rules) calculated using the outputs from the Grym. The  
72 proportional recruitment input values are largely responsible for the range of gamma values from  
73 0 to 0.11 in the 36 scenarios reported in Table 5 of Maschette et al. (2021). For example, when  
74 the mean of proportional recruitment is 0.3 and the SD is 0.3 in a model otherwise configured as  
75 scenario 1 in Maschette et al. (2021), the precautionary gamma is 0, or no catch allowed by the  
76 decision rules. When the mean is 0.4 and the SD is 0.3 in an otherwise similarly configured input  
77 file, the precautionary gamma is 0.04, 4% of unfished biomass (approximately 2.4 million tonnes  
78 catch given current estimates of krill biomass).

79  
80 Juvenile krill have been identified for the GYM and Grym using several alternative approaches  
81 to define the juvenile life stage. These have been based either on estimated age ('R1' and 'R2'  
82 for ages 1 and 2, respectively), or directly from length data as the upper bound for juveniles (e.g.  
83 'F35' or 'F40' for 35 or 40 mm krill). When krill ages are used as inputs, they are derived from  
84 length data that are assumed to be composed of mixtures of normal distributions of length at each  
85 age (e.g. Macdonald and Pitcher, 1979; de la Mare, 1994a). There is no currently accepted  
86 method of aging krill directly.

87  
88 A challenge to identifying juveniles by using a single length as an upper bound and then  
89 calculating a mean and SD for the frequency proportions at that bound is that krill actually  
90 mature over a range of lengths and ages, depending on local conditions such as ice coverage and  
91 chlorophyll density (Quetin and Ross, 2001; Brown et al., 2010; Kawaguchi, 2016). Female krill  
92 can begin spawning at age 2+ around the Antarctic Peninsula and age 3+ in the Antarctic Indian  
93 Ocean (Siegel and Loeb, 1994; Table 1 in Siegel, 2000b) but west of the Antarctic Peninsula krill  
94 usually do not reproduce until their fourth summer (Quetin and Ross, 2001). Males spawn a year  
95 later than females (Siegel, 2000b).

96  
97 Reported catches of krill by the fishery from observer data during 2015–2020 have been  
98 predominately from CCAMLR Subarea 48.1 along the Antarctic Peninsula (49%) and Subarea  
99 48.2 west of the South Orkney Islands (32%) (Table 3 in CCAMLR Fishery Report 2020). This  
100 study compares multiple indices of proportional recruitment calculated using different length  
101 thresholds separating juvenile and mature krill sampled from research trawl surveys, predator  
102 diets and the fishery in Subarea 48.1.

103  
104 This study empirically tested the choice of length threshold on the input data values of proportional  
105 recruitment for krill in Subarea 48.1. The range of means and SD of proportional recruitment  
106 summarising complete length-frequency distributions that were obtained using multiple datasets of

107 interannual krill length-frequencies are compared and contrasted. The potential effects of two types  
108 of selectivity are considered.

109

## 110 **Methods and Results**

111

112 The mean and SD of proportional recruitment available for each data source were calculated  
113 separately by year and combined over all years. Proportional recruitment for each year  $y$  was the  
114 mean of the proportional recruitment in each sample  $\bar{p}_y$  (each trawl in the surveys, or each  
115 lavage or spill sample around a juvenile feeding event by a penguin parent) collected during year  
116  $y$ :

117 (1)

$$118 \quad \bar{p}_y = \frac{\sum_1^s d_{st} / d_{sT}}{s_y}$$

119 where

120  $d_{st}$  is the sum of the numerical densities (for trawls) or counts (for predator diets) for the  
121 length bins  $\leq$  the threshold length in sample  $s$ ,

122  $d_{sT}$  is the sum of the numerical densities or counts for all length bins in sample  $s$ , and

123  $s_y$  is the number of samples collected in year  $y$ .

124

125 The mean of all years for each data source was:

126 (2)

$$127 \quad \frac{\sum_y \bar{p}_y}{n_y}$$

128 where

129  $n_y$  is the number of years available for the data source.

130

131 Length frequencies for the fishery observer data were calculated as described by the CCAMLR  
132 Secretariat (2001), with additional vessel-specific catch weightings to account for differences  
133 among individual ships and between traditional and continuous trawls. Proportional recruitments  
134 from these fishery length-frequency distributions were then calculated for different length  
135 thresholds using equations. (1) and (2) above.

136

137 Different length thresholds affected the value of  $d_{st}$  and hence  $\sum_1^s d_{st} / d_{sT}$  in equation (1). The  
138 purpose of comparing proportional recruitment values derived from different thresholds is to

139 illustrate the effect of the choice of juvenile maximum length on the Grym input parameters  
140 obtained.

141

#### 142 Information sources for proportional recruitment

143

144 This study examined seven sources of data on krill length frequencies from Subarea 48.1 in  
145 January. These are the fishery observer data, two research trawl surveys and predator diets from  
146 four long-term studies of three penguin species. Most of these data sources were sampled for  $\geq 20$   
147 years (Table 1). All data sources had multiple years with samples in January but not in other  
148 months. Comparing January samples allowed length frequencies to be compared among sources  
149 for the same month. The fishery length-frequency data were only available for eight years from  
150 2011 to 2019 with no January samples in 2017. Proportional recruitments from the LTER trawl  
151 surveys from 2009 to 2019 extend an earlier time series of LTER trawl proportional recruitments  
152 from 1990 to 2011 reported in Figure 3b of Conroy et al., 2020. Although the time series in  
153 Table 1 depict different portions of the complete 31-year interval and different spatial regions of  
154 the Antarctic Peninsula (Figure 1), these seven-time series are all long enough to sample at least  
155 one of the five- to six-year recruitment cycles proposed by Quetin and Ross (2003), even when  
156 they are not overlapping.

157

158 The LTER diet dataset of Adélie penguins (*Pygoscelis adeliae*) had length bins ranging from  
159 16.2 to 61.65 mm in 5.05 mm intervals. These were split into juveniles using the 1 mm threshold  
160 considered in the study by grouping all the LTER bins from the first bin (endpoints 16.2 and  
161 21.25 mm) with all LTER bins that were less than, or equal to, the juvenile threshold.

162

163 Table 1: Data sources for krill January length-frequency distributions in Subarea 48.1 used in  
164 this study. N indicates the number of years measured and bin size indicates the units in which  
165 krill lengths were measured for each data source. US AMLR indicates the US Antarctic Marine  
166 Living Resources Program and Palmer LTER indicates the US Palmer Long-Term Ecological  
167 Research Program. Trawl data were converted to densities based on volume sampled.  
168 Proportional recruitments from the penguin data were calculated from the length-frequency  
169 ratios of krill in the diets each year.

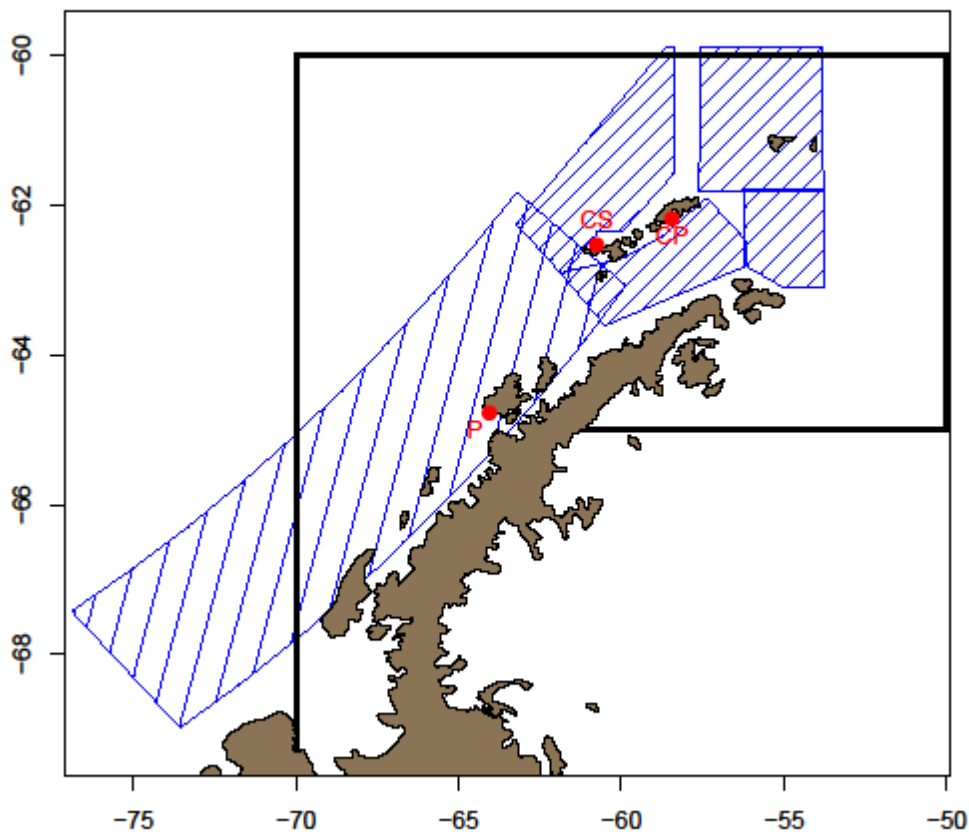
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Source	years	N	bin size (mm)
US AMLR trawl surveys	1991–2011	20	1

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Palmer LTER trawl surveys <sup>2</sup>	2009–2019	11	1
CCAMLR fishery observer data	2011–2016, 2018–2019	8	2
US AMLR chinstrap diets	1993–2020	28	1
US AMLR gentoo diets	1993–2021	29	1
US AMLR Adélie penguin diets	1993–2022	30	1
Palmer LTER Adélie diets	1992–2018	27	5.05

170



171

172 Figure 1: Approximate sampling locations of the seven data sources on interannual variability of  
 173 krill length-frequencies northwest of the Antarctic Peninsula. Subarea 48.1 boundaries indicated  
 174 by black lines. Hatched blue boxes enclose the US AMLR trawl survey locations (four boxes  
 175 around and northeast of ‘CS and ‘CP’) and the LTER trawl survey locations (box around ‘P’).

<sup>2</sup> Palmer Station Antarctica LTER and Steinberg, 2020.

176 The point P is the Palmer LTER station (Adélie penguins), CS is US AMLR Cape Shirreff  
 177 station (chinstrap and gentoo penguins) and CP is US AMLR Copacabana station (chinstrap,  
 178 gentoo and Adélie penguins). The Subarea 48.1 fishery is concentrated mostly to the south and  
 179 north of the US AMLR stations. Not all predator and trawl stations were sampled every year.

180

181 Krill growth, maturity and alternative length thresholds

182

183 In recent parameterisations of the Grym, the period for krill growth is defined as 21 October to  
 184 12 February, with spawning occurring 15 December to 15 February (Appendix 1 in Maschette et  
 185 al., 2021). A variety of krill lengths at maturity (the length range at which 50% of krill transform  
 186 from juvenile to adult) in Area 48 was reported to SC-CAMLR working groups in 2021 (Table  
 187 2). These input maturity ranges provide a width and slope for ramp-shaped maturity inputs  
 188 assigned to the population in the Grym. Different values for length at maturity will produce  
 189 different parameterisations of proportional recruitment from the same length-frequency dataset  
 190 because length at maturity defines the threshold between lengths that are considered juvenile and  
 191 those considered mature.

192

193 Table 2: CCAMLR documents reporting minimum and maximum krill lengths (mm) at 50%  
 194 maturity and their range. Lengths are rounded to the nearest mm. Range is the total range of  
 195 lengths over which some individuals are mature.

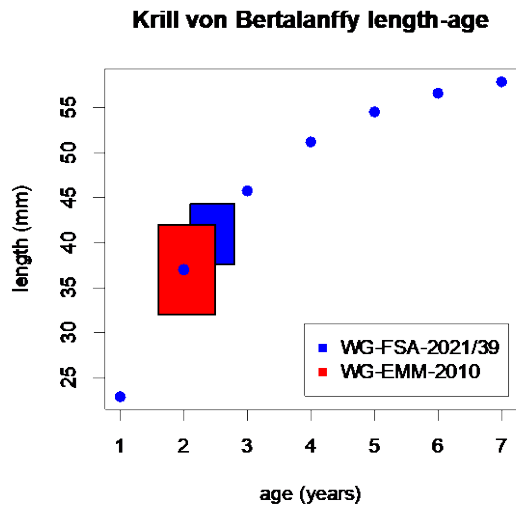
Authors	Reference	min 50%	max 50%	range
Thanassekos et al., 2021	WG-SAM-2021/12 Figure 3	26	30	6
Maschette et al., 2020	SC-CAMLR-39/BG/19 Table 2 WG-FSA-2021/39 Table 2	34	40	12
Maschette et al., 2021	(2010) WG-FSA-2021/39 Table 2	32	37	6
Maschette et al., 2021	(2021)	38	44	9

196

197 A von Bertalanffy growth model connects the length-based maturity thresholds in Table 2 to krill  
 198 ages as modelled in the Grym. In 2021, the von Bertalanffy parameters used to model krill  
 199 growth in the Grym that predict mean length from age were modified from previous values of  
 200  $L_{inf} = 60.8$  and  $k = 0.45$  used during WG-EMM-2010 to new values of  $L_{inf} = 60$  and  $k = 0.48$   
 201 (Maschette et al., 2021).

202

203 The 2010 growth values were accompanied by a length range at 50% maturity from 32 to 42 mm  
 204 whereas the 2021 growth values were accompanied by lengths at 50% maturity from 37.6 to 44.3  
 205 mm. Thus the 50% maturity range from 2021 is shifted to larger and older krill compared to the  
 206 range from 2010 (Figure 2).  
 207



208  
 209 Figure 2: Krill von Bertalanffy length at ages 1 to 7 (blue points), as used in a recent  
 210 parameterisation of the Grym, on 1 November for  $L_{inf} = 60$  mm and  $k = 0.48$ . The length and age  
 211 ranges for 50% maturity for the parameterisation used in 2010 (red box) and in 2021 (blue box)  
 212 are shown for comparison.

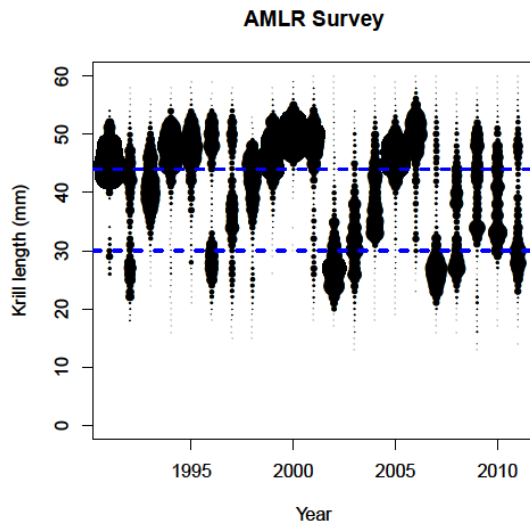
213  
 214 The means and SDs of proportional recruitment derived from seven datasets (Table 1) using five  
 215 length thresholds (30, 35, 38, 40 and 44 mm) to separate juvenile and adult krill were calculated.  
 216 These thresholds span the range of maximum lengths at 50% maturity reported in recent  
 217 CCAMLR documents (Table 2).

218  
 219 Length-frequency distributions in AMLR trawl surveys and the fishery

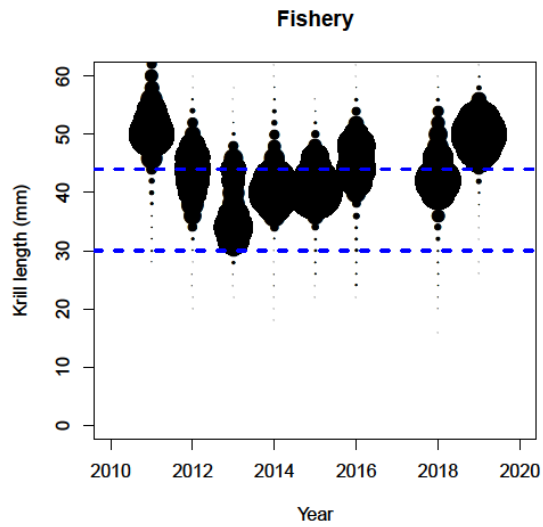
220  
 221 The mean and SD of proportional recruitment summarise length-frequency distributions  
 222 measured through time. Examination of the complete distributions can help understand the  
 223 linkage between the length-frequency data and these summary parameters. The fishery observer  
 224 data from January were shifted towards larger krill relative to the research trawls (Figure 3). The  
 225 US AMLR trawl survey data displayed high densities of krill less than 30 mm in length for one  
 226 or two years starting in 1992, 1996, 2002, 2007 and 2011 (Figure 3a). The fishery data collected  
 227 very few individuals less than 30 mm (Figure 3b).  
 228



229 (a)



(b)



230

231 Figure 3: Length-frequency proportions for krill from: (a) US AMLR research trawls (January,  
232 1991 to 2011), and (b) fishery observer samples (January, 2011 to 2019). Blue dashed horizontal  
233 lines at 30 and 44 mm indicate the outer boundaries of the length thresholds used for computing  
234 the mean and SD of proportional recruitment. The proportions in each year sum to one.

235

236 Proportional recruitment mean and SD for each data source

237

238 This study computed the mean and SD of proportional recruitment over all years available for  
239 each data source for both of the 30- and 44-mm thresholds (Figure 4 and Table 3). The fishery  
240 data for the standard trawl and continuous fishing systems were standardised and calculated by the  
241 CCAMLR Secretariat as described in WG-SAM-2021/07. The LTER and AMLR trawls were  
242 standardised for volume sampled and integrated over depth to produce density length-  
243 frequencies. The measured length frequencies from the predator data were used without being  
244 standardised for volume because the volume sampled by the predators was unknown.

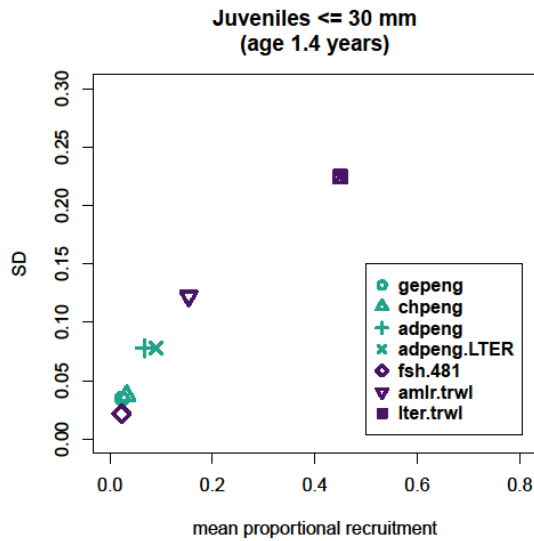
245

246 The range of means and SD for proportional recruitment were lower when juveniles were  
247 defined as krill  $\leq 30$  mm (estimated age 1.4 years using the von Bertalanffy parameters  
248 considered here) than when juveniles were defined as krill  $\leq 44$  mm (estimated age 2.8 years).  
249 For the 30 mm threshold, the mean proportional recruitment ranged from 0.02 to 0.45, and the  
250 SD ranged from about 0.03 to 0.22 (Table 3). For the 44 mm threshold, the range of mean  
251 proportional recruitment was 0.48 to 0.76, and the range of SD increased to 0.2 to 0.3 (Table 3).

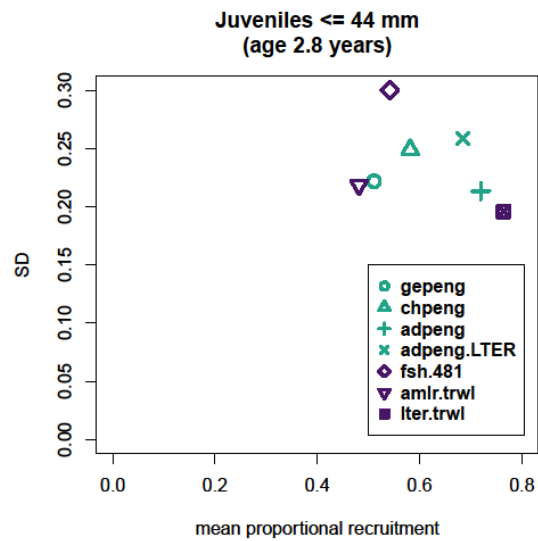
252

253

254 (a)



(b)



255

256 Figure 4: Proportional recruitment annual means (x-axis) and SDs (y-axis) for the seven January  
 257 data sources (Table 1) when: (a) juveniles are defined as  $\leq 30$  mm, and (b) juveniles are defined  
 258 as  $\leq 44$  mm. Legend definitions: gepeng = gentoo penguin diets sampled by the US AMLR  
 259 Program; chpeng = chinstrap penguin diets sampled by the US AMLR Program; adpeng =  
 260 Adélie penguin diets sampled by the US AMLR Program; adpeng.LTER = Adélie penguin diets  
 261 sampled by the Palmer LTER; fsh.481 = fishery observer data; amlr.trwl = research trawl data  
 262 collected by the US AMLR Program; lter.trwl = research trawl data collected by the Palmer  
 263 LTER.

264

265 Table 3: Mean and SD of proportional recruitment for the seven data sets when the juvenile  
 266 length threshold is 30 and 44 mm. Data source names as for Figure 4. Proportional recruitment  
 267 parameters from the combined AMLR and LTER trawl datasets are labelled as amlr&lter.trwl.  
 268 Lengths from the combined US AMLR penguin species diets are amlr.peng.all (krill lengths  
 269 from LTER Adélie penguin diets were measured in units of 5 mm so were not combined with the  
 270 1 mm binned US AMLR samples).

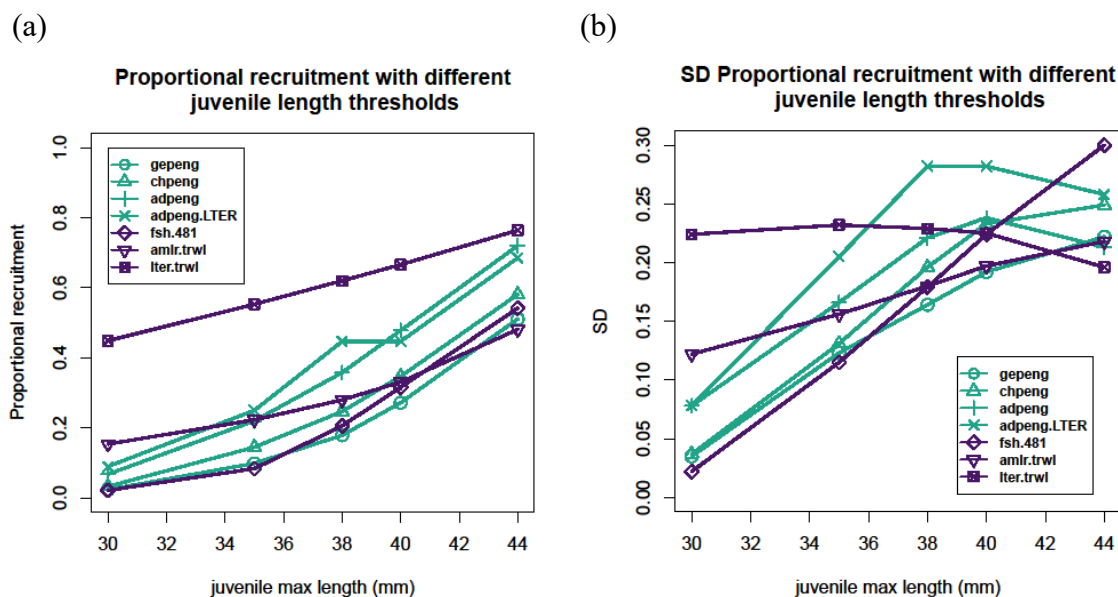
sources	Threshold 30mm		Threshold 44mm	
	mean	SD	mean	SD
gepeng	0.024	0.035	0.511	0.222
chpeng	0.033	0.037	0.581	0.249
adpeng	0.068	0.078	0.72	0.213
adpeng.LTER	0.09	0.078	0.685	0.258
fsh.481	0.022	0.022	0.542	0.3

amlr.trwl	0.154	0.122	0.481	0.218
lter.trwl	0.449	0.224	0.764	0.196
amlr&lter.trwl	0.259	0.217	0.582	0.249
amlr.peng.all	0.038	0.04	0.576	0.228

271  
 272 To further explore the effect of different juvenile threshold values on the mean and SD of  
 273 proportional recruitment from these datasets, proportional recruitment was calculated at three  
 274 additional juvenile length thresholds: 35, 38 and 40 mm, and the results plotted (Figure 5).  
 275 Proportional recruitment increased as the length threshold for juveniles increased for all datasets  
 276 (the plateau in the Palmer LTER Adélie penguin diet mean and SD from 38 to 40 mm is an  
 277 artifact of the 5 mm bin size in that dataset). The SDs increased with the length threshold for  
 278 gentoo penguins, chinstrap penguins, AMLR trawls and the fishery. The SDs peaked as  
 279 thresholds increased and then decreased at the highest thresholds for Adélie penguins at both  
 280 sites and for LTER trawls.

281  
 282 The fishery data started out with the lowest SDs of all the datasets at thresholds of 30 and 35 mm  
 283 but had the highest SD of all the datasets by the 44 mm threshold. The low means and SDs at the  
 284 smallest thresholds in the fishery samples were because these samples contained very few small  
 285 krill (Figure 3b).

286  
 287



288

289 Figure 5: Proportional recruitment interannual: (a) mean, and (b) SD for the seven datasets at  
 290 five different length thresholds separating juvenile and mature krill. Legend definitions are as for  
 291 Figure 4.

292

293 Seven time series of proportional recruitment

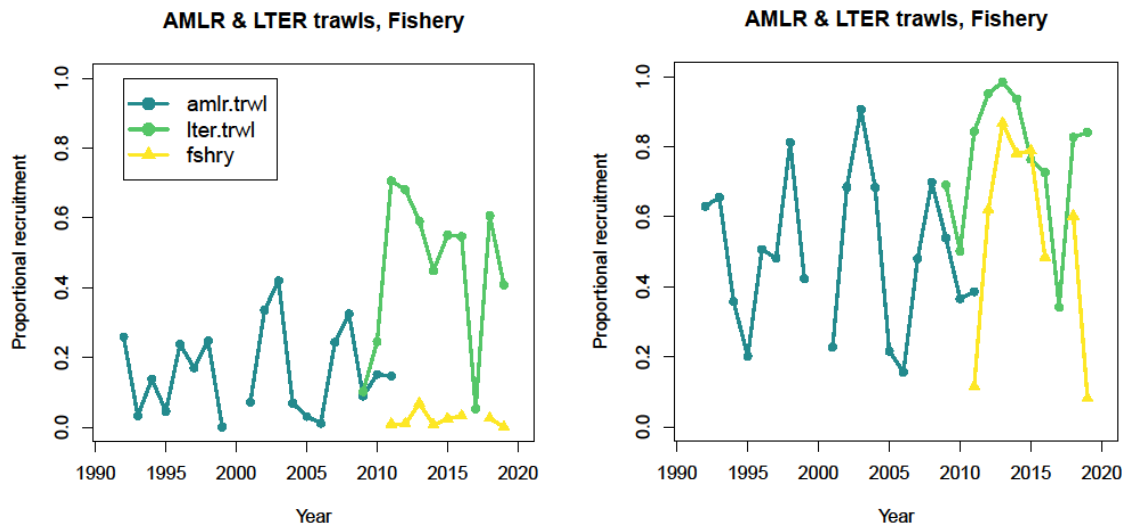
294

295 Evaluating interannual variations in proportional recruitment revealed useful information about  
 296 recruitment variability in krill, especially when temporal patterns in the peaks and troughs of the  
 297 annual values were compared among datasets (Figure 6). Research trawls and fishery samples  
 298 have been separated from penguin diet samples in Figure 6 to better resolve the patterns for the  
 299 individual data sources, but the peaks and troughs in proportional recruitment coincided in all  
 300 seven datasets, indicating they were tracking the same variability in the time series of krill length  
 301 frequencies in the population. However, there were consistent differences in the magnitude of  
 302 annual proportional recruitment among the datasets. For example, annual proportional  
 303 recruitments estimated from the fishery observer data were lower than those from Palmer LTER  
 304 research trawls during the same years, especially for the 30 mm threshold (Figure 6a).  
 305 Proportional recruitment computed from gentoo penguin diets generally had lower peak means  
 306 than the means computed from other data sources for the same juvenile length threshold, while  
 307 proportional recruitment from Adélie penguin diets in both the Palmer LTER and US AMLR  
 308 samples generally had the highest peaks (Figure 6).

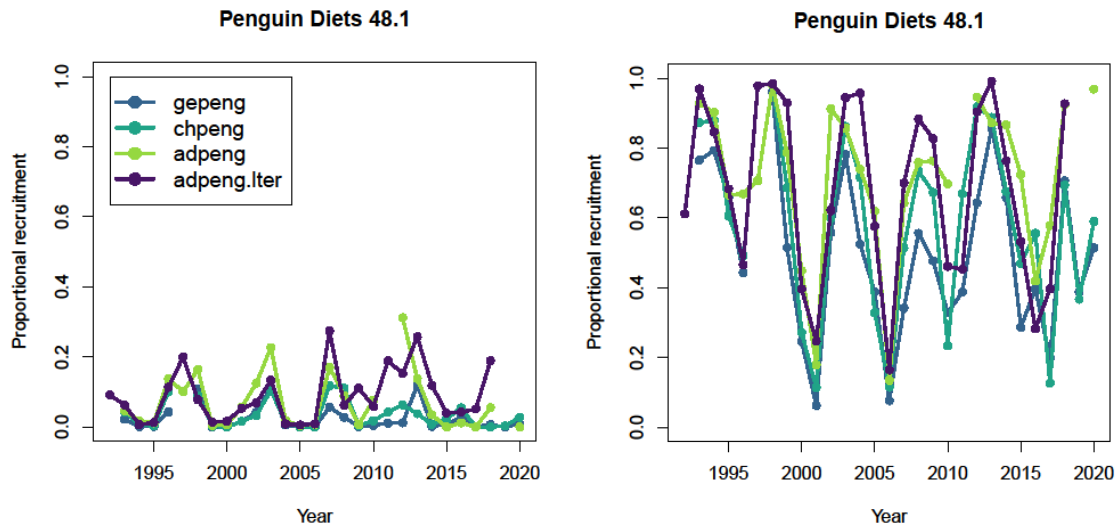
309

310 (a)

(b)



311



312  
313

314 Figure 6: Time series of proportional recruitment from research trawls conducted by the US  
315 AMLR (amlr.trawl) and Palmer LTER (lter.trawl) Programs and the fishery with juvenile krill (top  
316 panels) and from four penguin diet datasets with juvenile krill defined as (a)  $\leq 30$  mm, and (b)  
317  $\leq 44$  mm.

318

319 **Discussion**

320

321 Consistent with the findings of Quetin and Ross (2001) and Loeb et al. (1997), data collected by  
322 the US AMLR Program trawl surveys and penguin diets and LTER trawl surveys and penguin  
323 diets show strong recruitment events lasting over a two- or three-year period separated by  
324 periods of recruitment failure subsequently lasting approximately three years (e.g. Figure 3a and  
325 Figure 6). Several of these cycles occur in the data, with peak proportions of recruits starting in  
326 1992, 1996, 2002, 2007 and 2011. Cohorts resulting from such strong recruitment events can be  
327 followed for several years in the complete length-frequency distributions after most of these  
328 events.

329

330 The variability in recruitment expected over a 21-year projection period will likely be  
331 underestimated by datasets that only span a few years. The oscillating peaks and troughs of  
332 annual proportional recruitment in the seven datasets considered here required five or six years to  
333 track a single complete cycle (Figure 6).

334

335 Identifying which values for the mean and SD of proportional recruitment of krill to use in the  
336 Grym for calculating a precautionary yield has not been resolved by this study. Summarising

337 time series of length-frequency distributions such as those evident in Figure 3 with a single mean  
338 and SD for each dataset discards potentially usable information in the krill length-frequency  
339 samples. As the length threshold separating juveniles and mature krill was reduced in this study,  
340 the mean and SD of proportional recruitment also decreased (Figure 4). This was particularly  
341 noticeable for datasets such as the fishery length frequencies, which had the lowest SD for  
342 proportional recruitment of the seven datasets at a 30 mm threshold (0.022) but the highest SD at  
343 a 44 mm threshold (0.3).

344

345 The differences in the smallest krill obtained in the research trawl and fishery samples indicate  
346 different length selectivity patterns for research trawls and the fishery (Figure 3). Differences in  
347 selectivity were also apparent in the penguin data, where gentoo penguins usually had lower  
348 peaks in proportional recruitment than Adélie penguins, and chinstrap penguins were  
349 intermediate (Figures 4 and 5).

350

351 Sample selectivity can be separated into two processes, ‘target’ (sometimes called ‘gear’)  
352 selectivity (the samples have differing probabilities of capturing different sizes of krill that are  
353 present in the regions sampled) and ‘availability’ (krill of specific sizes in the population do not  
354 occur in the region being sampled) (Crone et al., 2014; Punt et al., 2013; Kinzey et al., 2015).  
355 Both types of selectivity can act jointly to affect length-frequency distributions observed at a  
356 particular place and time. Since all large krill were once smaller krill, if small krill do not occur  
357 in a sample dataset in sufficient proportions to supply the observed cohort abundances of older  
358 individuals, at least one of these two types of selectivity must be occurring.

359

360 As has already been noted, the fishery catches few krill <30 mm in length (Figure 3b), so  
361 juvenile/mature length boundaries near 30 mm should not be expected to track recruitment in the  
362 fishery samples unless low selectivity for smaller individuals is accounted for. Gear selectivity  
363 by commercial trawls has been estimated to be about 0.25 for 30 mm krill, about 0.75 for 35 mm  
364 krill and increasing steeply for krill <30 mm (Figure 8 in Krag et al., 2014). Dividing the original  
365 counts in the observer samples by selectivity-at-length to correct for gear selectivity’s effect on  
366 the observed length frequencies would increase 30 mm krill fourfold and 35 mm krill by a 1.33  
367 multiplier in the local krill length frequencies being sampled by the trawls. Dividing the numbers  
368 of all krill at length in the samples by their selectivities would correct for gear selectivity.  
369 However, this would not address the availability component of selectivity if the fishery samples  
370 are obtained from locations biased toward krill of particular sizes.

371

372 An appropriate length threshold to use for representing juveniles could possibly be selected using  
373 maturity data such as are routinely collected during trawl surveys (Reiss, 2016). Such thresholds  
374 would likely be at the smaller krill lengths that are underrepresented due to selectivity, making  
375 correcting the samples for selectivity increasingly important as the length at maturity in the  
376 Grym is reduced. The research surveys sampled a stationary grid over many years regardless of  
377 krill density at each station while the fishery targets areas of high density and sizes/stages that  
378 are best for processing. Adding a fixed series of randomly selected stations in the future to  
379 measure length distributions by the fishery before fishing commences could reduce the  
380 selectivity of using data from targeted catches to represent the population.

381

## 382 **Conclusions**

383

384 Capturing the complexities of krill recruitment dynamics using the mean and SD of the  
385 proportion of individuals sampled smaller than a single length threshold is a challenge. Various  
386 thresholds for the length boundary between juvenile and mature krill have been proposed. This  
387 study demonstrated that a wide range of proportional recruitment parameters are obtainable from  
388 different assumptions about the length threshold separating juveniles and mature krill in length-  
389 frequency sampling data. Which of these thresholds is actually used to calculate the inputs to the  
390 Grym will have a large impact on the precautionary yield that is obtained (e.g. Table 5 in  
391 Maschette et al., 2021). As the length threshold separating juveniles and adults decreased, the  
392 mean and SD of proportional recruitment calculated from a particular data source also decreased.  
393 However, smaller individuals have lower selectivities than larger krill for most or all of the  
394 sampling approaches (i.e. research trawls, commercial trawls and penguin diets) considered here,  
395 so as the threshold separating juveniles and adults decreases, the importance of selectivity under-  
396 representing small krill increases.

397

398 Estimates regarding krill population dynamics using proportional recruitment might be improved  
399 by analytical methods not used in this study. The effect of selectivity on estimating proportional  
400 recruitment in any given year can be addressed by dividing the observed numbers of small  
401 individuals in a length-frequency distribution by the length-specific selectivity of the given  
402 sampling approach before calculating proportional recruitment.

403

404 The current Grym simulation of the krill stock requires the proportional recruitment to be a  
405 single distribution of proportional recruitments with the same mean and SD. Although separate  
406 simulations of trials with proportional recruitment randomly selected from different length-

407 frequency distributions may be modelled, there is currently no way to model proportional  
408 recruitments stemming from a range of maturity thresholds in a single set of trials in the Grym.  
409 Modeling ranges in the mean and SD of proportional recruitment associated with different  
410 lengths at maturity instead of using a single length threshold could potentially be addressed by  
411 supplying a different proportional recruitment mean ( $\bar{R}_t$ ) and standard deviation ( $\sigma_t$ ) for each  
412 trial  $t$ . These trial-specific values could be obtained using a single random draw from a uniform  
413 distribution between the minimum and maximum values of plausible single length thresholds  
414 (equation 3), but this would need to be implemented in the code and the ranges of mean and SD  
415 values to use would need to be identified.

(3)

$$\begin{aligned} \bar{R}_t &\sim U(\min(\bar{R}), \max(\bar{R})), \\ \sigma_t &\sim U(\min(\sigma), \max(\sigma)) \end{aligned}$$

419 where

420 the minimum and maximum  $\bar{R}$  and  $\sigma$  bounds are obtained from empirical studies.

421

422 A final point is that proportional recruitment is not the only way to model recruitment. The Grym  
423 itself has two other options for recruitment, lognormal and a vector of abundances option.

424 Whether any of these three Grym options are capable of representing the actual patterns of  
425 recruitment that are evident in the length-frequency data, exhibiting correlations among strong  
426 recruitment years and intermittent years of recruitment failure, is arguable. Other options exist  
427 for modelling the complete length-frequency distributions of recruitment through time, such as  
428 fitting length-frequency data to a multinomial or a Dirichlet distribution (e.g. Candy, 2008).  
429 Using a statistical modeling framework (e.g. Bull et al., 2004; Methot and Wetzel, 2013; Doonan  
430 et al., 2015; Kinzey et al., 2018) in which a likelihood function connects the model and data,  
431 instead of simulation modelling where model inputs are treated as known quantities, is also  
432 possible, but such alternatives are beyond the scope of this paper.

433

#### 434 **Data and code availability**

435 The datasets and R-scripts used to produce the results reported in this paper are available at  
436 <https://github.com/us-amlr/krill-proportional-recruitment>.

437

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442

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