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A Data Analysis System for Monitoring the Seaward Migration of Juvenile Salmonids in the Snake-Columbia River System

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## A DATA ANALYSIS SYSTEM FOR MONITORING

## THE SEAWARD MIGRATION OF JUVENILE SALMONIDS

IN THE SNAKE-COLUMBIA RIVER SYSTEM

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#### Abstract

A large part of the research activities conducted by the Coastal Zone and Estuarine Studies Division (CZES) at the Northwest and Alaska Fisheries Center (NWAFC) require monitoring the movement rates and relative abundance of migrating juvenile salmonids in the Snake-Columbia River system. This paper describes the data network and the computer file/program system which was developed to process the information.

Species composition and mark-recapture data are collected at key index sites (hydroelectric dams) and relayed to the NWAFC computer. This information is then processed in conjunction with the U.S. Army Corps of Engineers Columbia River Operations and Hydronet Management System (CROHMS) files as well as mark-release information provided by various research and management groups. The system provides information regarding the status of river flow conditions, dam operations, and fish migration. This information is necessary for fisheries managers to determine if additional river flow or spill should be provided to expedite fish passage though the river system.


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Since 1966, the Coastal Zone and Estuarine Studies Division (CZES) of the National Marine Fisheries Service (NMFS) has been responsible for monitoring the seaward migration of juvenile salmon, Oncorhynchus spp., and steelhead, Salmo gairdneri, in the Snake-Columbia River system. The program has had several objectives, including: 1) defining the timing of migrations, 2) measuring travel time, and 3) estimating population sizes and survival of juvenile salmonids at key damsites in the system.

At the start of the program, monitoring was established to define the impact of new dams and reservoirs on survival and travel time of smolts. The data obtained showed that dams did, in fact, adversely affect smolt survival (Raymond 1979). Data obtained from monitoring have recently been used to 1 ) assess the timing of the smolt migration so that daily spill and augmented river flows at dams can be utilized by management agencies concomitant with peak fish passage (Northwest Power Planning Council 1982), 2) ensure that spill allocated for fish passage by hydroelectric entities is judiciously used, 3) estimate the percentage of the total smolt migration that is being transported downstream from upstream collector dams (Basham et al. 1983), and 4) assess the success of the annual smolt migration.

In recent years, the volume of data has increased dramatically as more agencies have participated in numerous research and management programs. In 1983 alone, more than 400 unique brands were released and over 1.5 million fish of all species were sampled and examined for brands at three index sites (Lower Granite, McNary, and John Day Dams). This expansion of
data necessitated the development of a computer-based Columbia River data analysis system which is operational on the Northwest and Alaska Fisheries Center's (NWAFC) Burroughs 7800.1 This paper describes the major components of the system and how it operates. Specific analysis procedures (e.g., population estimation, survival estimation, etc.) are not discussed in detail; however, an overview is presented to provide fundamental information pertinent to understanding the analysis system.

## OVERVIEW

One technique used by both researchers and managers to assess their various programs is to freeze brand (Mighell 1969) representative samples of different segments of a population (e.g., a hatchery release) or groups exposed to various treatments (e.g., different diets or vaccines, etc.); release those groups into the river system; and monitor their migration rate to, and abundance at, designated recapture sites--typically hydroelectric dams. A fundamental need for the assessment of many mark-recapture programs is the ability to estimate the number of fish bearing a unique brand which arrive at a specific site on a specific day. Many other research and management programs require the ability to estimate daily population numbers for individual species. In either case, the procedure for generating the estimate is the same.

Nearly all juvenile salmonids approaching a dam pass the facility via the spillway or through the powerhouse; relatively few are routed through the navigation locks and fish ladders. To make a population estimate, it

[^0]is necessary to account for fish passing by way of both primary conduits (spillway and powerhouse). In powerhouses equipped with juvenile bypass facilities (Fig. 1), some fraction of the fish are diverted by submersible traveling screens (STS) from the turbine intake, into the gatewell, through the orifice, and into the collection (bypass) facility. The rest pass through the turbines.

The fraction actually entrained in the collection facility is species specific, dictated by the behavorial responses peculiar to each species and their vertical distribution as they enter the turbine intake. This means that any model which estimates the efficiency at which smolts are entrained is unique to each species, as well as to each damsite. For example, proportionately more steelhead are entrained than yearling chinook salmon, O. tshawytscha, presumably a consequence of chinook salmon sounding deeper than steelhead as they approach the turbine intake and/or greater avoidance of the STS.

A portion of the fish entrained in the powerhouse collection facility are diverted by a mechanical gate sampler into a sample holding tank where they are held until processing. The sample gate intercepts every fish passing through the collection conduit during the time it is open. The sampler can be adjusted to take a systematically timed sample over each 24-h period. The sampled fish are examined, the species and brand type are enumerated, and the fish are returned to the system.

These data are used to generate daily populations estimates: DATA (daily) REPORT

Enumerated mark-recaptures
$\rightarrow$ MODEL $\rightarrow$
Daily population estimates Species composition


Figure 1.--Cross sectional view of a powerhouse equipped with a fingerling
collection (bypass) facility.

DATA ANALYSIS SYSTEM

## Data Files

All data files are consolidated on the NWAFC Burroughs 7800 computer. The three primary data files (VALID/BRANDS, SMOLT, and FLOWDATA) which constitute the data analysis system are depicted in Figure 2. SMOLT file, mark-recapture, and species abundance data are accumulated daily and recorded on cassette tapes at each of several dams (index sites). Data captured on cassette can then be transmitted via phone line or physically transported to the mainframe (Burroughs 7800) at the NWAFC (Fig. 3). The data cassettes also serve as a backup for data stored on the disc pack until a proper magnetic backup tape is made. A separate series of files are maintained for each index site.

Data in VALID/BRANDS files come from release information pertaining to marked fish provided to the NMFS by investigators from the various federal, state, and tribal agencies, and academic institutions conducting research or management programs requiring the release of freeze branded fish into the Snake-Columbia River system during any calendar year (Fig. 3). Each unique brand ${ }^{2}$ present on a particular species is entered into the computer based file via keyboard. A separate VALID/BRANDS file is maintained for each index site, and all branded lots of fish released above a particular index site are included in that file. To ensure that only brands reported as having been released above a particular index site are processed in the ensuing analysis programs, VALID/BRANDS files are programmatically checked

[^1]FILES and PROGRAMS


Figure 2.--Flow chart depicting the association between data files and programs comprising the Columbia River data analysis system of the Northwest and Alaska Fisheries Center.

DATA FLOW


Figure 3.--Generalized data flow for the Columbia River data analysis system of the Northwest and Alaska Fisheries Center.
against mark-recapture data; unreconcilable brands are flagged as invalid in the report generated by the BRAND/RECAPTURE/PROGRAM • The EFFICIENCY/BRANDS file is a subset of VALID/BRANDS which contains only brands used specifically for calibrating the sampling efficiency of index damsites (Sims et al. 1983).

River flow and dam operations data, designated FLOWDATA (Figs. 2 and 3), are acquired from the U.S. Army Corps of Engineers, Columbia River Operations and Hydronet Management Systems (CROHMS). Data obtained by CROHMS are an integral part of our analysis system. A discrete FLOWDATA file is maintained for each damsite for the duration of the research program. One particular parameter, powerhouse discharge as a proportion of the combined spillway and powerhouse discharge, is generated from the FLOWDATA files and stored as a separate file, TURBINE/FLOWDATA. This file is accessed in the execution of both the DAILY/CATCH and BRAND/RECAPTURE programs and, in both cases, is necessary to generate the daily passage estimates.

Backup tapes are made of the various files at frequent intervals to minimize loss should there be a system failure or user error.

## Efficiency Parameters

These files, one for each dam, contain species specific regression parameters ( $\alpha, \beta$ ) from collection efficiency-powerhouse discharge models (Sims et al. 1983). These models have been developed for several species at select damsites by CZES and are updated as additional data are accumulated. The regression parameters are used to generate daily population estimates at a particular dam in the following manner:

$$
\left.N_{1}=S_{1}\left[\begin{array}{lll}
T_{1} & (\alpha+\beta & X_{1} \tag{1}
\end{array}\right)\right]^{-1}
$$

| Where: | $\mathrm{N}_{1}$ | = Daily (i) population estimate, by either species or brand. |
| :---: | :---: | :---: |
|  | $S_{1}$ | $=$ The number of particular species or brand present in the sample on day i. |
|  | $\mathrm{T}_{\mathbf{i}}$ | = Fraction of each hour which the sampler subsampled from the juvenile collection/bypass system. |
|  | $\alpha, \beta$ | = Parameters from the collection efficiency-powerhouse discharge models (Sims et al. 1984). |
|  | $\mathrm{X}_{1}$ | $=$ The \% of the combined spill and powerhouse flow discharged through the powerhouse during a specifed $24-\mathrm{h}$ period or subset thereof. |

## Programs

Following entry into the computer, mark-recapture and species-composition data are processed by an editing program (SMOLT/FILE/EDITOR) which identifies format errors (e.g., incorrect field alignment, inappropriate characters, missing records, etc.), checks the species subtotals against the total sample catch, and searches for file redundancy. Errors are then manually edited, and the corrected data are stored on disk (Fig. 2).

Two programs which process the CROHMS flowdata and generate reports are PRINT/FLOWDATA and PRINT/HOURLY/FLOWDATA. The PRINT/FLOWDATA program will display, for any user specified time period, the average discharge volumes expressed as kilo-cubic feet per second (kcfs) associated with a particular damsite as well as several ratios of those volumes (Appendix Table 1). The report generated by the PRINT/HOURLY/FLOWDATA program displays hourly averages of the same information for every hour of each day requested (Appendix Table 2). The PRINT/FLOWDATA program also creates a secondary
data file (TURBINE/FLOWDATA) containing select ratios. This program is accessed by both of the analysis programs, BRAND/RECAPTURE/PROGRAM and DAILY/CATCH/PROGRAM, which generate the daily population estimates.

The DAILY/CATCH and BRAND/RECAPTURE programs generate daily population estimates by species (Appendix Table 3) and brand (Appendix Table 4), respectively, for individual damsites. Both programs access data in the SMOLT, FLOWDATA, and EFFICIENCY/PARAMETERS files, with the BRAND/RECAPTURE/PROGRAM also accessing the VALID/BRANDS file as well as the EFFICIENCY/BRANDS file, if specified.

The reports from both programs do the following:

1. Specify the files which were or were not successfully accessed and processed.
2. Perform error checks additional to those flagged in the SMOLT/FILE/EDITOR/PROGRAM; e.g., the BRAND/RECAPTURE/PROGRAM identifies invalid brands which are not present in the VALID/BRANDS file. Invalid brands are not processed and must be reconciled by the data processing staff (Fig. 2).
3. Detail daily the number of each species or each brand present in the sample, the estimated number entrained in the entire collection system, and the population estimate of juvenile salmonids passing the dam.
4. Indicate season totals to date.

In addition, the report from the DAILY/CATCH/PROGRAM does the following:

1. Specifies the parameters accessed from the EFFICIENCY/PARAMETERS file.
2. Indicates both the powerhouse discharge (\% of total flow) and sampling efficiency estimates which are used' to generate the daily population estimates (Eq. 1) as well as the dates when 10,50 , and $90 \%$ of the total migration have passed the dam.

The DAILY/BRAND/SUMMARY/PROGRAM is an ancillary program which reports how many fish of each brand were recaptured on a given day and displays error messages. The report generated from this program can be used for diagnostic purposes in identifying and correcting invalid brands.

Both the DAILY/BRAND/SUMMARY/PROGRAM and the BRAND/RECAPTURE/PROGRAM perform sorting routines before the reports are printed.

DISCUSSION

Since the mid-1960s, fisheries agencies have attempted to estimate the sizes of salmonid migrations and marked lots of fish traversing the Snake-Columbia River system. In 1977 the scope of the effort expanded as fisheries agencies under the auspices of the Committee of Fishery Operation initiated a smolt monitoring program. In 1983 this program was meshed with the Bonneville Power Administration (BPA) funded Water Budget Program. Together, these management programs were designed to provide adequate river flows and spill (if necessary) when concentrations of downstream migrants approach key segments of the river.

In its management role, the Water Budget Center requires daily reporting of population estimates as well as river and dam operation conditions at index sites--requirements which this data analysis system can fulfill should BPA desire to implement it. However, daily analyses can only be performed if all necessary data are resident on the computer on any
particular day. CROHMS data are now acquired from the U.S. Army Corps of Engineers on a weekly schedule; to satisfy management program requirements it would be necessary to access it daily. In the current system, the mark-release information provided by the various fisheries agencies is not always timely enough, resulting in high numbers of misread brands at the recapture sites. The misread brands cannot be reconciled until the end of the annual migration when, historically, the accurate mark-release information is available. If brands were reported prior to release, they could be more easily and correctly interpreted at recapture. Timely and accurate mark-release information is an essential component of an efficient data analysis system and should be required by project managers.

As the system has progressed from a state of development to a finalized form, confidence in it has allowed more attention to the collection and correction of data, analysis of the interactions between downstream migrants and river management, and more timely addition to the data base.

Over the last 2 years, the data analysis system has demonstrated its effectiveness as a research tool for CZES (Sims et al. 1983, 1984). With minor procedural modifications (i.e., acquiring the necessary data on a daily schedule rather than on a weekly or monthly basis), the system can provide daily updating on the status of river flow conditions, dam operations, and fish migration. Furthermore, the system provides a sound prototype for the development of any future data analysis system for the Columbia Basin or any research or management program having similar objectives and data components.

## ACKNOWLEDGMENTS

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        Engineers.)
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APPENDIX

SAMPLE REPORTS GENERATED BY THE DATA ANALYSIS SYSTEM

## Appendix Table l.--Report: average hourly flow data. Produced by PRINT/HOURLY/FLOWDATA program.




# Appendix Table 2.--Report: average values of flow data for user time specified interval. Produced by PRINT/FLOWDATA program. 

THIS REJORT WAS PRODUCED TO SHOW, FOR A USER SPECIFIED TIME PERIOD, THE AVERAGE WATER FLONS ASSDCIATED WITH A PARTICULAR DAMSITE, AND SEVERAL RATIOS OF THESE FLOKS. THE SPECIFIED TIME PERIOD USED FDR THIS REPORT IS INDICATED BY ASTERISKS BENEATH ALL INCLUDED HOURS. THE DATE COLUMN HAS THE DATE OF THE LAST HOUR FOR THE SPECIFIED tIME PERIOD. FLOWS are heasured in Kilo cubic feet per second (kcfs).
specified time period




## Appendix Table 3.--Report: daily population estimates. Produced by DAILY/CATCH/PROGRAM.



| DATE |  | $\begin{aligned} & \text { TURB INE } \\ & \text { FLOW (\%) } \end{aligned}$ | gAMPLING EFFICIENCY | -NUMBER | NUMBER COLLECTED | ESTIMATED PASSAGE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 830610 |  | 60.24 | 36. $16 \%$ | 579 | 2,128 | 5. 887 |
| 830611 |  | 61.64 | 37. 60 | 376 | 1,382 | 3. 676 |
| 830612 |  | 64. 83 | 40. 88 | 510 | 2, 287 | 5. 593 |
| 830613 |  | 68.65 | 44. 82 | 457 | 2, 049 | 4. 572 |
| 830614 |  | 60. 76 | 36.69 | 267 | 1,302 | 3. 550 |
| 830615 |  | 69.84 | 46. 05 | 182 | 755 | 1. 639 |
| 830616 |  | 76. 00 | 52.39 | 198 | 966 | 1. 844 |
| 830617 |  | 72. 59 | 48.88 | 200 | 1,212 | 2.480 |
| 830618 |  | 72. 54 | 48. 83 | 27 | 540 | 1.106 |
| 830619 |  | 89. 48 | 66. 27 | 26 | 628 | 947 |
| 830620 |  | 76. 40 | 52. 80 | 23 | 460 | 871 |
| 830621 |  | 77. 86 | 54. 31 | 30 | 600 | 1. 105 |
| 830622 |  | 74. 73 | 51.08 | 189 | 1,165 | 2. 280 |
| 830623 |  | 95.77 | 72. 75 | 30 | 470 | 645 |
| 830624 |  | 100. 00 | 77.11 | 14 | 700 | 908 |
| 830625 |  | 100.00 | 77. 11 | 1 | 50 | 65 |
| 830626 |  | 100.00 | 77. 11 | 6 | 300 | 389 |
| 830627 |  | 100.00 | 77. 11 | 7 | 350 | 454 |
| 830628 |  | 100. 00 | 77. 11 | 5 | 250 | 324 |
| 830629 |  | 100. 00 | 77.11 | 52 | 116 | 150 |
| 830630 |  | 100. 00 | 77. 11 | 110 | 262 | 340 |
| 830701 |  | 100.00 | 77.11 | 14 | 280 | 363 |
| 830702 |  | 100.00 | 77.11 | 12 | 239 | 309 |
| 830703 |  | 100.00 | 77. 11 | 5 | 100 | 130 |
| 830704 |  | 100.00 | 77. 1.1 | 6 | 120 | 156 |
| 830705 |  | 100. 00 | 77. 11 | 5 | 250 | 324 |
| 830706 |  | 99.99 | 77. 10 | 5 | 250 | 324 |
| 830707 |  | 100.00 | 77. 11 | 19 | 211 | 274 |
| 830708 |  | 100.00 | 77. 11 | 32 | 320 | 415 |
| 830709 |  | 100.00 | 77.11 | 3 | 150 | 195 |
| 830710 |  | 100.00 | 77.11 | 115 | 166 | 215 |
| 830711 |  | 100.00 | 77. 11 | 42 | 109 | 142 |
| 830712 |  | 100.00 | 77. 11 | 18 | 273 | 354 |
| 830713 |  | 100.00 | 77. 11 | 1 | 25 | 33 |
| 830714 |  | 100.00 | 77.11 | 1 | 20 | 26 |
| 830715 |  | 100.00 | 77.11 | 7 | 84 | 109 |
| 830716 |  | 100.00 | 77. 11 | 4 | 26 | 34 |
| 830717 |  | 51.59 | 27.25 | 3 | 166 | 610 |
| 830718 |  | 100.00 | 77.11 | - 6 | 70 | 91 |
| 830719 |  | 98. 52 | 75. 59 | 5 | 35 | 47 |
| 830720 |  | 100. 00 | 77.11 | 25 | 76 | 98 |
| 830721 |  | 100. 00 | 77. 11 | 19 | 59 | 77 |
| 830722 |  | 100.00 | 77. 11 | 18 | 59 | 76 |
| 830723 |  | 100. 00 | 77.11 | 13 | 54 | 70 |
| 830724 |  | 100. 00 | 77. 11 | 1 | 50 | 65 |
| 830725 |  | 100. 00 | 77. 11 | 23 | 41 | 53 |
| 830726 |  | 100. 00 | 77.11 | 11 | 42 | 55 |
| 830727 |  | 100.00 |  |  |  |  |
| 830728 | 2 | 100.00 | 77. 11 | 14 | 28 | 36 |
| 830729 |  | 100.00 | 77. 11 | 10 | 14 | 19 |
| 830730 |  | 100.00 | 77. 11 | 2 | 4 | 5 |
| 830731 |  | 100. 00 | 77. 11 | 0 |  |  |
| 830801 |  | 100.00 | 77. 11 | 11 | 13 | 17 |
| 830802 |  | 100.00 | 77. 11 | 5 | 6 | 8 |
| 830803 |  | 100.00 | 77.11 | 3 | 7 | 9 |


| DATE | TURBINE <br> FLOW (\%) | SAMPLINQ EFFICIENCY | . NUMBER BAMPLED | NUMBER COLLECTED | ESTIMATED PASSAGE |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 830804 | 100. 00 | 77. 11 \% | 0 |  |  |
| 830805 | 100. 00 | 77. 11 | 2 | 3 | 4 |
| 830806 | 100. 00 | 77. 11 | 3 | 24 | 31 |
| 830807 | 100.00 | 77. 11 | 0 | 0 | 0 |
| 830808 | 100.00 | 77. 11 | 0 | 0 | 0 |
| 830809 | 100.00 | 77. 11 | 2 | 41 | 54 |
| 830810 | 100. 00 | 77. 11 | 3 | 4 | 5 |
| 830811 | 100.00 | 77. 11 | 3 | 4 | 5 |
| 830812 | 100.00 | 77. 11 | 0 | 0 | 0 |
| 830813 | 100.00 | 77. 11 | 0 | 0 | 0 |
| 830814 | 100. 00 | 77. 11 | 0 | 0 | 0 |
| 830815 | 100.00 | 77.11 | 1 | 20 | 26 |
| 830816 | 100.00 | 77. 11 | 0 | 0 | 0 |
| 830817 | 100.00 | 77. 11 | 3 | 3 | 4 |
| 830818 | 100.00 | 77.11 | 3 | 3 | 4 |
| 830819 | 100.00 | 77. 11 | 3 | 5 | 7 |
| 830820 | 100. 00 | 77. 11 | 0 |  |  |
| 830821 | 100. 00 | 77. 11 | 0 | 0 | 0 |
| 830822 | 100. 00 | 77. 11 | 2 | 40 | 52 |
| 830823 | 100. 00 | 77. 11 | 0 |  |  |
| 830824 | 100.00 | 77. 11 | 3 | 3 | 4 |
| 830825 | 100.00 | 77. 11 | 0 | 0 | 0 |
| 830826 | 100. 00 | 77. 11 | 2 | 40 | 52 |
| 830827 | 100. 00 | 77. 11 | 0 | 0 | 0 |
| 830828 | 100. 00 | 77. 11 | 0 | 0 | 0 |
| 830829 | 100.00 | 77.11 | 1 | 14 | 18 |
|  | TOTALS |  | 96, 571 | 317.879 | 1, 736,305 |

Appendix Table 4.--Report: daily brand recapture summary. Produced by BRAND/RECAPTURE/PROGRAM. Only page 1 of the report is presented to illustrate its contents.



[^0]:    $1_{\text {Reference to }}$ trade names does not imply endorsement by National Marine Fisheries Service (NMFS).

[^1]:    2Each unique brand is defined by the symbol, the rotation of the symbol in $90^{\circ}$ increments, and its relative location on the body.

