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**Oceanographic influences on the distribution and relative abundance of market squid paralarvae (*Doryteuthis opalescens*) for the southern and central California coast**

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**Abstract**

Market squid (*Doryteuthis opalescens*) are ecologically and economically important to the California Current Ecosystem, but populations undergo dramatic fluctuations that greatly affect food web dynamics and fishing communities. These population fluctuations are broadly attributed to 5-7 year trends that can affect the oceanography across 1,000 km areas; however, **This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/maec.12433](https://doi.org/10.1111/maec.12433)**

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22 monthly patterns over kilometer scales remain elusive. To investigate the population dynamics of  
23 market squid, we analyzed the density and distribution of paralarvae in coastal waters from San  
24 Diego to Half Moon Bay, California from 2011-2016. Warming local ocean conditions and a  
25 strong El Niño event drove a dramatic decline in relative paralarval abundance during the study  
26 period. Paralarval abundance was high during cool and productive La Niña conditions from  
27 2011-2013, and extraordinarily low during warm and eutrophic El Niño conditions from 2015-  
28 2016 over the traditional spawning grounds in southern and central California. Market squid  
29 spawned earlier in the season and shifted northward during the transition from cool to warm  
30 ocean conditions. We used a general additive model to assess the variability in paralarval density  
31 and found that sea surface temperature (SST), zooplankton displacement volume (ZPDV), the  
32 log of surface chlorophyll-*a* (SCHL), and spatial and temporal predictor variables explained  
33 greater than 40% of the deviance (adjusted  $r^2$  of 0.29). Greatest paralarval densities were  
34 associated with cool SST, moderate zooplankton concentrations, and low chlorophyll-*a*  
35 concentrations. In this paper we explore yearly and monthly trends in nearshore spawning for an  
36 economically important squid species and identify the major environmental influences that  
37 control their population variability.

## 38 **Introduction**

39  
40 The California market squid (*Doryteuthis opalescens*) is ecologically and economically vital to  
41 the California Current ecosystem (CCE) and fishing communities. Squid are key components in  
42 marine ecosystems across the globe and play an instrumental role in transferring energy from  
43 lower to higher trophic levels (Coll *et al.* 2013). Market squid in the CCE are major predators on  
44 crustacea and fish (Karpov & Cailliet 1979) and important prey for marine mammals, seabirds,  
45 invertebrates, and fish (Morejohn *et al.* 1979). The fishery for market squid is routinely one of  
46 the largest and most valuable in the state of California (Leos 2014). Market squid disperse over  
47 the continental shelf as juveniles and form dense nearshore spawning aggregations when mature,  
48 depositing eggs into clusters over shallow, sandy substrate (Zeidberg & Hamner 2002; Navarro  
49 *et al.* 2016). The commercial fishery generally targets these spawning aggregations during  
50 summer in the Monterey Bay region (MBR), and during autumn in the southern California Bight  
51 (SCB, Fig. 1) (Zeidberg *et al.* 2006), although spawning has been observed year round in some  
52 instances (Fields 1950; Jackson & Domeier 2003; Navarro 2014). Market squid die within days

53 after spawning (Macewicz *et al.* 2004) and the life cycle is complete within one year (Butler *et*  
54 *al.* 1999). Market squid populations fluctuate tremendously (Dorval *et al.* 2013), and warm (El  
55 Niño) and cool (La Niña) phases of the El Niño Southern Oscillation (ENSO) are considered to  
56 be a major influence (Reiss *et al.* 2004; Koslow & Allen 2011).

57 ENSO phases affect local conditions in the CCE. El Niño periods are warmer and cause  
58 reduced ocean productivity and zooplankton standing stock; La Niña periods are cooler and more  
59 productive (Lynn *et al.* 1995; Chavez *et al.* 2002). Squid show extreme life history plasticity and  
60 populations are able to expand rapidly during favorable conditions, but also decline in  
61 unfavorable environments (Pecl & Jackson 2007). Commercial landings reflect this life-history  
62 trait (Zeidberg *et al.* 2006). For example, during the historically strong El Niño of 1997  
63 (McPhaden 1999), yearly landings declined from 80,000 to 3,000 metric tons (MT), before  
64 rebounding to 118,000 mt the next year (CDFW 2005). Fishery independent surveys find similar  
65 trends. Reiss *et al.* (2004) found a contraction of juvenile and adult distribution due to this El  
66 Niño and a rapid expansion as cool ocean conditions returned. Zeidberg & Hamner (2002) found  
67 paralarval abundance increased from 1.5 to 78 individuals  $1,000\text{ m}^{-3}$  around the Channel Islands  
68 in the SCB during the same El Niño, while pelagic surveys in the CCE find decreased paralarval  
69 abundance during prolonged warming events (Leising *et al.* 2014).

70 Such large-scale population variability has a substantial economic toll on fishing  
71 communities. Likewise, these changes in the distribution and abundance of market squid have  
72 cascading effects on predators of squid (Shane 1995). Bottom-up, oceanographic drivers control  
73 the population dynamics of many squid species (Perez *et al.* 2002), but the specific mechanisms  
74 that control this population variability, and the fine-scale distributional and spawning effects, are  
75 poorly understood, particularly for market squid in the CCE. To address this gap in knowledge,  
76 our study expands on previous research (Zeidberg & Hamner 2002; Koslow & Allen 2011) and  
77 provides novel data and insights by targeting nearshore spawning locations in shallow water at  
78 traditional spawning locations, over a wide geographic range across the SCB and the MBR. We  
79 sampled for six years across all seasons and the full range of ENSO conditions, and conducted  
80 repeated surveys within a single spawning season. Our results therefore, are meant to provide a  
81 relative index of paralarval abundance at the traditional spawning grounds and not a measure of  
82 absolute abundance.

83 The objectives of this study were to 1) determine the relative abundance of market squid  
84 paralarvae in order to establish a baseline of population productivity; and 2) determine the  
85 conditions that most greatly influence the variability in paralarval densities. Understanding the  
86 dynamics in spawning output and the environmental patterns that drive variability in paralarval  
87 density is important to the overall understanding of ecosystem functioning in the CCE and  
88 elsewhere, while insight into the fitness of adult spawners under different oceanographic  
89 conditions can provide information in assessing the stock of this commercially important species.  
90 To address these objectives, we derived the relative paralarval densities of California market  
91 squid from oblique bongo net tows at the traditional shallow-water spawning grounds in the SCB  
92 and MBR, and used these data to model density as a function of SST, ZPDV, SCHL, and spatial  
93 and temporal variables.

94

## 95 **Materials and Methods**

### 96 **Survey and data**

97

98 The study area covered a portion of the southern California Current Ecosystem (CCE) from San  
99 Diego to Half Moon Bay, CA (Fig. 1). Twenty-six cruises were conducted during a six-year  
100 period from 2011 to 2016 in five geographic areas. These areas included the north and south  
101 bight regions of the SCB, and the north and south Channel Islands (Fig. 1B). The north Channel  
102 Islands included Santa Rosa, Santa Cruz, Anacapa, and Santa Barbara Islands. The south  
103 Channel Islands included Catalina and San Clemente Islands.

104 Paralarvae were collected during a collaborative research program between the  
105 California Wetfish Producers Association (CWPA), the National Oceanic and Atmospheric  
106 Administration's (NOAA) Southwest Fisheries Science Center (SWFSC), and the California  
107 Department of Fish and Wildlife (CDFW). Sampling occurred aboard three chartered fishing  
108 vessels (each approximately 50 ft in length). The study was conducted in two areas, the SCB and  
109 the MBR and targeted 45 stations. Within each area, stations were sampled at fixed, nearshore,  
110 non-random locations, and were systematically assigned to cover the latitudinal gradient along  
111 the islands and coastline (Fig. 1). These sites were predominately located over sandy substrate in  
112 shallow water (~20 - 130 m), which is a known spawning substrate of market squid. As a result,  
113 distance between sites was irregular. San Clemente Island was sampled during the first two years

114 of the study, but sampling was suspended because of access restrictions by the United States  
115 Navy. Thirty stations were targeted in the SCB and 15 from the MBR. A greater number of  
116 stations were selected in the SCB compared to the MRB to reflect the disproportional geography  
117 of these areas. Additionally, ~70% of commercial landings traditionally come from the SCB,  
118 while 30% are from the MBR (CDFG 2005).

119 Sampling occurred seasonally during the first two years of study (winter, spring, summer,  
120 and autumn) to mimic sampling patterns conducted in part by the SWFSC's California  
121 Cooperative Oceanic Fisheries Investigations (CalCOFI). Based on the findings from the initial  
122 two years of the study –that the vast majority of paralarvae were encountered during the winter  
123 in the SCB, sampling then shifted to target spawning periods when paralarvae were most  
124 abundant, while maintaining an “off-season” collection effort to assess the conditions when  
125 squid were not prevalent (Fig. 2). Beginning in July, 2012, one survey (utilizing three chartered  
126 vessels) occurred during the summer (July or August) and three surveys occurred during the  
127 winter (December, January, and February). MBR sampling began in July, 2014 and sampling  
128 occurred during July or August, and January.

129 Paralarvae were sampled with a pair of 505- $\mu\text{m}$  nylon mesh bongo nets with mouth  
130 diameters of 0.6 m attached to a frame. The net system was towed obliquely at an approximate  
131 angle of 45° to a depth of 27 or 55 m during night or day deployments, respectively, unless the  
132 station depth was too shallow. Depths were chosen based on previously observed patterns of  
133 paralarval nightly vertical migration upward in the water column (Zeidberg & Hamner 2002). If  
134 stations were shallower than 27 m, the net was deployed to approximately three-fourths of the  
135 station depth to avoid contact with the seafloor. Average ship speed during deployment was 1.75  
136 knots. Samples were preserved in 50% ethanol aboard vessels. Mechanical or digital flowmeters  
137 (Ocean Test Model MF 315 or EF 325, respectively) were attached to each net on the frame and  
138 the amount of seawater filtered was calculated using methods established by Ohman & Smith  
139 (1995).

140 Small zooplankton displacement volume (ZPDV) was measured in the laboratory. This  
141 measurement excluded large gelatinous animals, fish, crabs, and organisms generally greater  
142 than 5 mm in length or greater than 5 mL in volume (Smith & Richardson 1977). Cephalopod  
143 paralarvae were sorted from both port and starboard sides of the net. California market squid  
144 paralarvae were identified and enumerated under a dissecting microscope. Densities were

145 estimated as numbers of individuals 1,000 m<sup>-3</sup> of seawater sieved by the net tow (Smith &  
146 Richardson 1977).

147 Paralarval densities were averaged from both sides of the bongo net by summing the  
148 volumes and then dividing by the total count of paralarvae. Values were square-root transformed  
149 for statistical analyses. Non-parametric, Mann-Whitney U tests were used to compare means of  
150 relative abundance. Monthly landings data were obtained from CDFW  
151 (<https://www.dfg.ca.gov/marine/cpshms/landings.asp>) and used to investigate temporal and  
152 spatial changes in landings and distribution across major spawning areas. Landings data are  
153 reported monthly for northern and southern California, corresponding to the MBR and the SCB  
154 for paralarval trawl data, respectively.

155

## 156 **Model development**

157

158 A generalized additive model (GAM) was chosen to model paralarval density using a set of  
159 environmental, biological, spatial and temporal predictors. A GAM proved useful in our study  
160 because the response variable (paralarval density) did not conform to a normal distribution, and  
161 the relationships between the response variables and the predictors was non-linear. GAM's have  
162 been used to model lolignid abundances (Bellido *et al.* 2001; Denis *et al.* 2002; Stewart *et al.*  
163 2014), and larval fish abundance in the CCE (Weber & McClatchie 2010). We used the 'gam'  
164 function in the 'mgcv' package (Wood 2006) in the program R, version 3.1.1 (R Development  
165 Core Team 2014). We used the following equation to model paralarval density,

166

$$g(Y) = f_1(SST) + f_2(ZPDV) + f_3(SCHL) + f_4(fyear, mon) + f_5(group, sta)$$

167

168 where  $Y$  was the expected value of the response variable, estimated as the density of market squid  
169 paralarvae and  $g(\cdot)$  was the link function defining the non-linear relationship between the density of  
170 paralarvae and the selected predictors. Our data displayed a negative binomial distribution, therefore we  
171 used a log link transformation as the link function,  $g(\cdot)$ . Finally,  $f_k(\cdot)$  was the unique smoothing function  
172 assigned to each predictor. We used six predictors to model the abundance of market squid  
173 paralarvae, including sea-surface temperature (SST, °C), ZPDV (mL 1000 m<sup>-3</sup>), log of surface  
174 chlorophyll-*a* (SCHL) (Table 1), month and fishing year interaction effect, and station and

175 region interaction effect. SST was included as a station-specific measurement of the  
176 physiological suitability of the habitat. ZPDV and SCHL were used as separate predictors of  
177 habitat quality and food availability. Fishing year was included because squid spawning and  
178 paralarval abundance vary by year according to oceanographic conditions, ENSO state, adult  
179 spawning biomass, and other factors. Month was included to reflect the different spawning  
180 seasons between the SCB and MBR. Landings data were not included because the fishery  
181 routinely reached or neared the maximum catch limit before the end of most fishing years during  
182 the study period (sometimes closing voluntarily, as in 2014) which would have resulted in the  
183 negation of substantial paralarval information.

184 SST and SCHL values were obtained from NOAA satellite observations  
185 ([coastwatch.noaa.gov](http://coastwatch.noaa.gov)). SST values were obtained using night and day measurements by the  
186 Advanced Very High Resolution Radiometer (AVHRR) instrument aboard the Polar Operation  
187 Environmental Satellite (POES) at a resolution of 1.4 km and 8-day composites. SST  
188 observations within 5 km of sampling stations the day of sampling were averaged to obtain a  
189 SST value for each station. Depending on satellite coverage and location, anywhere from 15-40  
190 observations were used to yield a daily SST value.

191 The Moderate Resolution Imaging Spectroradiometer (MODIS) instrument aboard the  
192 Aqua satellite was used to obtain surface chlorophyll-*a* values at a resolution of 2.5 km and 14-  
193 day composites. Data points within 5 km of a given station were averaged for the day of  
194 paralarval sampling and the preceding day. Depending on satellite coverage and station location,  
195 anywhere from 5-15 chlorophyll-*a* values were averaged to yield one value for that station.

196 Spawning peaks, inferred from monthly commercial landings data provided by CDFW,  
197 typically occur during autumn in the SCB, and spring in the MBR, with paralarvae hatching  
198 during the winter in the SCB and summer in the MBR. The fishery for market squid opens on  
199 April 1<sup>st</sup> and closes March 31<sup>st</sup> of the subsequent year, or when the maximum catch limit of  
200 107,000 MT is reached. A value between 1 and 12 was given to the sampling month and  
201 included in the model. Five regions were also included: Monterey, north and south Channel  
202 Islands, and north and south southern California Bight (SCB) (Fig. 1). These regions are not  
203 independent, but were included to account for geographic patterns in the data. While market  
204 squid can freely migrate across broad north and south gradients off the California coast, these  
205 regions were selected as they adequately separated stations into north /south areas in the SCB, as

206 well as between islands and the coast along a general north-west to south-east gradient that  
207 semelparous, spawning adults were unlikely to migrate between (Macewicz *et al.* 2004; Perretti  
208 2014). These regions can provide insight into geographic site utilization by adults. SST, ZPDV,  
209 and SCHL values were included as main effects in the model, while temporal and geographic  
210 factors were allowed as interactions. Station was allowed to interact with region, and month was  
211 allowed to interact with fishing year, as the former variables were dependent on the latter.

212 Several constraints were included in the model to prevent overfitting, while allowing the  
213 model to be flexible enough to predict squid response. We limited the number of knots in the  
214 smooth splines to four. Secondly, we set the ‘gamma’ value in the gam formula to 1.4 in order to  
215 increase the penalty per degree of freedom fit and to minimize overfitting (Wood 2006). We  
216 performed model selection by using the shrinkage feature in the “gam” function instead of a  
217 forward/backward stepwise approach using the REML error criterion. This option allowed  
218 coefficients with little or no predictive ability to be shrunk to zero, effectively dropping these  
219 variables from the model.

220

## 221 **Results**

222

### 223 **Relative paralarval abundance**

224

225 We conducted 649 net tows in the SCB and MBR from January, 2011 through January, 2016,  
226 sampling > 62,000 market squid paralarvae. A total of 247 (38%) of these tows were absent  
227 market squid paralarvae, mostly from spring and summer efforts in the SCB (Fig. 2) and during  
228 strong El Niño years (2014-15 and 2015-16). For example, during peak El Niño conditions in  
229 January, 2016, 84% of net tows conducted in the SCB and the MBR were devoid of paralarvae.  
230 During the moderate La Niña of 2012, when paralarval abundance was highest, only 13% of net  
231 tows were absent market squid paralarvae. Densities varied widely both spatially and temporally.  
232 The mean abundance across all effort was 60.8 paralarvae 1,000 m<sup>-3</sup> (± 14.1 SE). Paralarval  
233 densities were greatest during winter months in the SCB, particularly in January (Fig. 3, Fig. 4).  
234 Maximum paralarval density encountered at a single station was 5,691 paralarvae 1,000 m<sup>-3</sup>,  
235 which occurred in January, 2013 in the north SCB. The greatest monthly mean relative  
236 abundance in the SCB was 377 (± 1005 SE) paralarvae 1,000 m<sup>-3</sup> and occurred during January,



237 2012. The lowest mean relative abundance was  $0.08 (\pm 0.04)$  paralarvae  $1,000 \text{ m}^{-3}$  and occurred  
238 during January, 2016 (Fig 3).

239

## 240 **Environmental conditions**

241

242 A transition from cool to warm ocean conditions occurred during the course of our study. The  
243 study period began during a moderate La Niña in 2011. Conditions gradually warmed, and an El  
244 Niño was issued by NOAA during early spring, 2015 and peaked as a strong El Niño in  
245 December, 2015 ([cpc.ncep.noaa.gov](http://cpc.ncep.noaa.gov), Table 1). Pacific Decadal Oscillation (PDO) values steadily  
246 increased throughout the study period, from approximately -2 in September, 2010 to  $> 2$  in  
247 March, 2015, indicating that the eastern north Pacific became anomalously warmer during the  
248 investigation (Fig. 3). Local SST values were low during the initial three years of the study, and  
249 were higher during the final three years. Surface chlorophyll-*a* and ZPDV were considerably  
250 higher during the initial three years compared to the final three (Table 1, Fig. 4). These variables  
251 indicate that local waters were cooler and more productive during the La Niña phase, and  
252 warmer and less productive during the El Niño phase.

253

## 254 **Density trends**

255

256 Spawning shifted spatially and temporally during the transition from cool to warm ENSO  
257 conditions. Relative squid paralarval abundance in the SCB was high during the initial three  
258 years of the study, and steadily declined to very low levels during the final three years (Fig. 3).  
259 Sampling in the MBR began in the summer of 2014. Moderate levels of paralarval abundance  
260 were observed in the summers of 2014 and 2015 in the MBR. Greater paralarval abundance was  
261 observed in the MBR than the SCB during both the 2014-2015 and 2015-2016 fishing year  
262 (commercial fishing year extends from April 1<sup>st</sup> – March 31<sup>st</sup>). Paralarval abundance was greatest  
263 during the summer in the MBR (Fig. 3). The number of net tows absent market squid paralarvae  
264 increased during the study period as ocean conditions warmed. Only 9 out of the 92 (9.78%)  
265 January net tows conducted in the SCB during the first three years of cool ocean conditions were  
266 absent market squid paralarvae. In contrast, 49 out of 86 (57.7%) net tows conducted in the SCB

267 during January from the last three years were absent market squid paralarvae, indicating a  
268 contraction of suitable spawning habitat during warmer periods in the SCB.

269 Relative paralarval abundance during January in the SCB was high ( $291.8$  paralarvae  
270  $1000\text{ m}^{-3} \pm 898.9$  SE) during the cool La Niña period from 2011-2012, moderate during the  
271 winter of 2013-2014 ( $65.0 \pm 125.0$ ), and quite low ( $0.08 \pm 0.04$ ) during the strong El Niño of  
272 January, 2016 (Fig. 4). Relative January paralarval abundances in the SCB during both the cool  
273 (2011-2013) and neutral phase (2014) of the study were significantly greater ( $p < 0.001$ ) than  
274 relative January paralarval abundance during the warm El Niño phase (2015-2016) of the study.

275

### 276 **Spatial and temporal trends in density**

277

278 Squid shifted northward from the SCB to the MBR, and spawning occurred earlier in the  
279 season during the ENSO transition, as evidenced by both paralarval densities and landings data  
280 from the fishery (Fig. 3). Commercial squid landings were very high in southern California  
281 during the La Niña, and gradually declined through the study period beginning in the fall of  
282 2012. As landings declined in the SCB, they increased in the MBR, until the summer of 2015,  
283 when statewide landings dropped (Fig. 3). Approximately 88% of market squid landings  
284 occurred in southern California (SC) during the 2011-2012 fishing season, while 22% came from  
285 northern California (NC). Conversely, SC landings dropped to 52% (48% from NC) during the  
286 2014-2015 fishing season. Relative paralarval abundances at the traditional spawning grounds  
287 showed a similar south to north shift. Paralarval densities in both the SCB and the MBR were  
288 lower statewide during the 2014-2015 fishing season, relative paralarval abundance was greater  
289 in the MBR than the SCB during July, 2014, August, 2015 ( $p < 0.01$ ) and January, 2016 survey  
290 efforts.

291 The portion of the market squid population analyzed in this study appeared to spawn  
292 earlier in the year during the 2013-2014 commercial fishing-year. This temporal shift occurred  
293 during the ENSO-driven transition from cool to warm ocean conditions. During this cool to  
294 warm ocean transition, peak commercial landings shifted to the summer months in SC. Autumn  
295 months (particularly October and November) are typically the peak fishing times in SC. Relative  
296 paralarval densities exhibited a similar trend. Greater paralarval densities in the SCB occurred  
297 during summer surveys (Fig 3) and relative SCB paralarval abundance ( $37.3 \pm 124.3$  SE) in 2013

328 was greater than all other summer survey estimates, and significantly greater ( $p < 0.05$ ) than  
329 paralarval abundance in the summer of 2011.

330

331

### 332 **Model output**

333

334 Strong relationships between paralarval density and SST, ZPDV, SCHL, and geographic  
335 and temporal variables were evident (Fig. 5). Greater densities were associated with cooler  
336 temperatures ( $< \sim 16.5$  °C). Paralarval density increased with increasing ZPDV until  
337 approximately 200 ml 1,000m<sup>-3</sup>. After this zooplankton concentration, the paralarval density  
338 decreased, but the associated model error increased considerably, indicating that zooplankton  
339 concentration is less important after  $\sim 200$  ml 1,000m<sup>-3</sup>, perhaps because food was no longer a  
340 limiting factor. Greater paralarval densities were associated with low SCHL values, and declined  
341 with increased SCHL values (Fig. 5). The model explained 40.6 % of the deviance associated  
342 with predicting paralarval density, and had an adjusted  $r^2$  value of 0.31. Sea surface temperature,  
343 ZPDV, the interaction pairs of temporal and spatial variables ( $p < 0.001$ ), and SCHL all  
344 contributed significantly to the model ( $p < 0.05$ ) and are biologically important in determining  
345 market squid paralarval abundance at the traditional spawning locations in the SCB and the  
346 MBR.

347

348

### 349 **Discussion**

350

351 High market squid paralarval densities over the traditional spawning grounds in the SCB  
352 and MBR were associated with cool and productive La Niña conditions. Densities declined as  
353 the ocean moved to a warm and unproductive El Niño state. Gradually warming ocean  
354 conditions were related to earlier market squid spawning and northward shift in distribution  
355 toward cooler water. Subsequent years of anomalously warm temperatures then caused dramatic  
356 declines in relative paralarval densities and landings to the fishery.

357

358

329 **Ecological and physiological effects of warm water on squid**

330

331 Ocean temperature, squid metabolism, and prey availability are coupled, and these  
332 variables synoptically drive the variability observed in paralarval densities through ecological  
333 and physiological mechanisms. Ocean temperature influences the survival and growth of squids  
334 in many ecosystems (Bellido *et al.* 2001; Denis *et al.* 2002; Staaf *et al.* 2013). Cool ocean  
335 temperatures often indicate upwelled waters, elevated primary productivity, greater nutrient and  
336 oxygen availability, and consequently, greater zooplankton standing stock, which benefit the  
337 fitness and survival of market squid (Lynn *et al.* 1995; Mackas *et al.* 2006; Checkley & Barth  
338 2009).

339 Warm ocean temperatures pose physiological restraints on market squid at each life-  
340 history stage. During the embryonic phase, lab studies demonstrated a hatching preference  
341 between 9-14 °C and a greatly reduced hatching rate at warmer temperatures (Zeidberg *et al.*  
342 2011). We found high paralarval density associated with cooler surface temperatures (< ~16.5  
343 °C), similar to the ambient temperatures found in the Zeidberg *et al.* (2011) study, and very few  
344 paralarvae at higher SST, indicating poor survivorship at the embryonic stage. Waters warmer  
345 than that eventually yield malformations in squid (Rosa *et al.* 2012). Additional variables beyond  
346 temperature can affect the process of embryogenesis and market squid development, however.  
347 Navarro (2014) found oxygen availability, important for the growth and survival of embryonic  
348 squid, increased over the shelf environment during El Niño.

349 At the paralarval stage, lab experiments indicate warm waters cause earlier hatching at a  
350 smaller size, produce individuals with reduced egg yolk, and cause these individuals to utilize  
351 their egg yolk faster (Vidal *et al.* 2002; Oosthuizen *et al.* 2002). This would indicate paralarvae  
352 would need to feed earlier and with a greater success rate compared to paralarvae hatched during  
353 cooler conditions. Conversely, field work by Perretti & Sedarat (2016) found larger length-at-age  
354 paralarvae during El Niño compared to La Niña, which they attribute to larger paralarval hatch  
355 sizes during El Niño, these contradictory results indicate more research is needed to resolve this  
356 issue. Market squid paralarvae consume anywhere from 35 to 80% of their body weight daily  
357 (Hurley 1976; Yang *et al.* 1986) and can starve after four days without food (Vidal *et al.* 2006),  
358 which underlies the importance of egg yolk quality, size, and reliable feeding opportunities. In  
359 our study, we found a strong relationship between high paralarval density and moderate

360 zooplankton concentration in the water column. Spawning adults may have evolved to prefer  
361 areas of moderate zooplankton density as a way to ensure feeding opportunity for hatchlings and  
362 to increase their survivability. Paralarval density was then found to dramatically decrease at the  
363 highest zooplankton concentrations encountered. Several ideas could explain this trend. Some of  
364 these plankton-dense samples were dominated by a single organism type, which may not reflect  
365 an environment with a rich, stable, and diverse food source. High paralarval abundance would  
366 then not be expected in these examples. A zooplankton-rich environment dominated by a single  
367 species could also indicate a spawning event from a competitor species they may either  
368 outcompete squid paralarvae, or prey directly on paralarvae. Furthermore, zooplankton-rich  
369 waters could reduce oxygen availability to either the embryos or hatchlings and reduce  
370 survivability.

371 Mean surface chlorophyll-*a* concentrations across the SCB surveys co-varied with  
372 paralarval abundance across the time series, indicating that squid abundance is higher during  
373 productive oceanographic regimes. From a station to station perspective, however, we found an  
374 inverse relationship between paralarval density and surface chlorophyll-*a* concentration, with  
375 fewer paralarvae associated with higher chlorophyll-*a* levels. This would indicate that, while the  
376 overall productivity of the ecosystem is likely important, there is no direct causation between  
377 chlorophyll-*a* levels and paralarval abundance.

378 During the adult stage, gradually warming ocean conditions cause squid to mature faster  
379 (Forsythe 2004) and recruit to the spawning beds months earlier than anticipated (Sims *et al.*  
380 2001). Warm temperatures increase the metabolic rate of squid, which not only results in early  
381 maturation, but also exerts additional energetic demands precisely when food is limited (O'Dor  
382 1982). Accelerated maturation could affect the phenology of squid (the timing of biological  
383 events to environmental conditions), and cause a “match-mismatch” scenario that regulates  
384 recruitment variation (Cushing 1969). Market squid spawn in November, hatch in January, and  
385 reach the juvenile stage in spring during normal conditions in the SCB (Zeidberg *et al.* 2012).  
386 Spring corresponds to the period of greatest wind-driven upwelling in the CCE, which yields the  
387 greatest ocean productivity and highest euphausiid concentrations (Marinovic *et al.* 2002).  
388 Euphausiids are an integral prey resource for market squid (Karpov & Cailliet 1979, Van Noord  
389 unpubl.). If warming oceans cause squid to spawn early, juvenile stages could miss this reliable  
390 feeding event at a critical period of growth, affecting the timing of maturation and recruitment to

391 the fishery. Additionally, El Niño events adversely affect the duration and intensity of upwelling  
392 in the CCE (Kahru & Mitchell 2000).

393

394

### 395 **ENSO effects on the relative abundance, distribution, and behavior of squid**

396

397 Warm oceanic conditions pose ecological and physiological challenges to market squid at  
398 multiple life history stages (Zeidberg & Hamner 2002; Reiss *et al.* 2004). These deleterious  
399 effects are evidenced by declines and distributional shifts in commercial fishery landings and  
400 relative paralarval abundances at the traditional spawning grounds in the SCB and MBR. As a  
401 result of the strong El Niño event of 1997, paralarval abundance and suitable habitat contracted  
402 in the CCE (Zeidberg & Hamner 2002; Reiss *et al.* 2004). Likewise, landings declined from  
403 80,000 to 3,000 MT from one year to the next. Conversely, the population recovery during  
404 favorable ENSO conditions was equally dramatic. Paralarval abundance around the Channel  
405 Islands increased 98% one year after El Niño conditions abated (Zeidberg *et al.* 2006), while  
406 landings rebounded to 118,000 MT (CDFG 2005). Similar trends were observed in this study,  
407 relative paralarval abundance declined more than 99% from the moderate La Niña in 2012, to the  
408 to the strong El Niño of 2016, considering our January SCB survey effort. The fishery  
409 voluntarily closed during the 2014-2015 season, just shy of reaching the maximum catch limit of  
410 107,000 MT. During the 2015-2016 season, the total catch was much lower, at 37,000 MT, with  
411 a majority of that catch coming from northern California as squid populations likely contracted  
412 and moved north, seeking cooler ocean conditions.

413 While well-documented, these dramatic boom and bust cycles are enigmatic (Butler *et al.*  
414 1999; Reiss *et al.* 2004; Perretti 2014). Questions remain as to whether the population truly  
415 contracts in ways that reflect the paucity and glut of landings and paralarval density. Alternative  
416 hypotheses suggest that squid seek non-traditional spawning habitats in deeper, offshore waters  
417 or habitats farther north than what is currently sampled or commercially fished (Navarro 2014).  
418 Regardless of where adult squid may be spawning, there is likely a substantial overall reduction  
419 of paralarvae in the ecosystem. Surveys targeting the pelagic environment of the CCE (Leising *et*  
420 *al.* 2014) found a dearth of market squid paralarvae after prolonged El Niño events. If landings  
421 reflect the population biomass, then the intrinsic growth rate of the population and the

422 survivorship of paralarvae following strong El Niño events would have to be remarkably high for  
423 the fishery to recover as rapidly as it does (CDFW 2005).

424 Alternatively, fishermen report changes in squid behavior that reduces catchability during  
425 El Niño periods of low abundance and density. Squid observed on the seafloor during strong El  
426 Niño conditions are unresponsive to the high-powered lights normally used to attract them to the  
427 surface where they are harvested by purse-seine nets, (N. Guglielmo, pers. comm.); given this  
428 avoidance behavior, a commercial fishery is not possible and commercial landings would  
429 therefore not reflect the true population. Under this scenario, squid could be spawning at  
430 harvestable densities but at deeper depths where the water is colder, and they are not attracted to  
431 the surface by traditional lighting methods. Paralarval would then be spread out over a wider  
432 surface area, and densities would be reduced, explaining the drop in paralarvae abundances  
433 observed in this study and elsewhere (Zeidberg & Hamner 2002; Navarro 2014).

434 Navarro (2014) has found evidence that spawning habitat during some El Niño scenarios  
435 may expand to include deeper shelf-waters. This deeper, shelf expansion would decrease the  
436 observable density through net tows and by commercial operations, but would not necessarily  
437 indicate a reduction in the abundance if the population is spread out across a greater area  
438 (Erisman *et al.* 2011). Reports have indicated that squid egg capsules have been observed in  
439 waters hundreds of meters deep (Butler *et al.* 1999; Zeidberg *et al.* 2012), which further suggests  
440 squid retreat to colder and deeper waters during warm ocean conditions, although pH and oxygen  
441 availability also influence habitat selection by market squid (Navarro *et al.* 2016).

442 The response by market squid to changes in temperature has implications for climate  
443 change. Warmer waters can shift fisheries north or into deeper offshore waters where they are  
444 not harvestable. The inshore environment in the CCE is also expected to suffer from lower pH  
445 and oxygen availability, further stressing market squid populations (Navarro *et al.* 2016).  
446 Ecosystem alternations due to climate change can affect the timing of the fishery and industry  
447 operations and reduce the standing stock, potentially costing millions in lost revenue.  
448 Chronically warm ocean temperatures can have cascading effects on food webs, as squid may  
449 disappear from southern locations in the CCE as a reliable food source for top-predators, while  
450 outcompeting and potentially replacing some fishes in northern ecosystems due to their high  
451 food demands, rapid growth, and high turnover rate (Pecl & Jackson 2007). Understanding the

452 resiliency of squid and the effects from warming oceans can help predict climate change impacts  
453 to the CCE and the fishery.

454 This study represents the most comprehensive, on-going effort to directly assess the  
455 relative abundance of market squid paralarvae in nearshore waters and the conditions that  
456 influence the variability in the stock, density, and distribution. Warm temperatures pose  
457 ecological and physiological limitations on squid through feeding constraints and metabolic  
458 stress that alter the timing and location of spawning. We found that the densities and distribution  
459 of market squid paralarvae show a strong relationship to local sea surface temperatures and  
460 ocean productivity, where colder temperatures and moderate zooplankton displacement volumes  
461 promote greater paralarval densities, while warmer temperatures cause the population to spawn  
462 earlier, shift north, and contract. These findings indicate that squid density at the traditional  
463 spawning grounds in the SCB and MBR, distribution, and timing of spawning are largely driven  
464 by environmental forcing, while the effect from the fishing pressure is likely much less.

465  
466  
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475  
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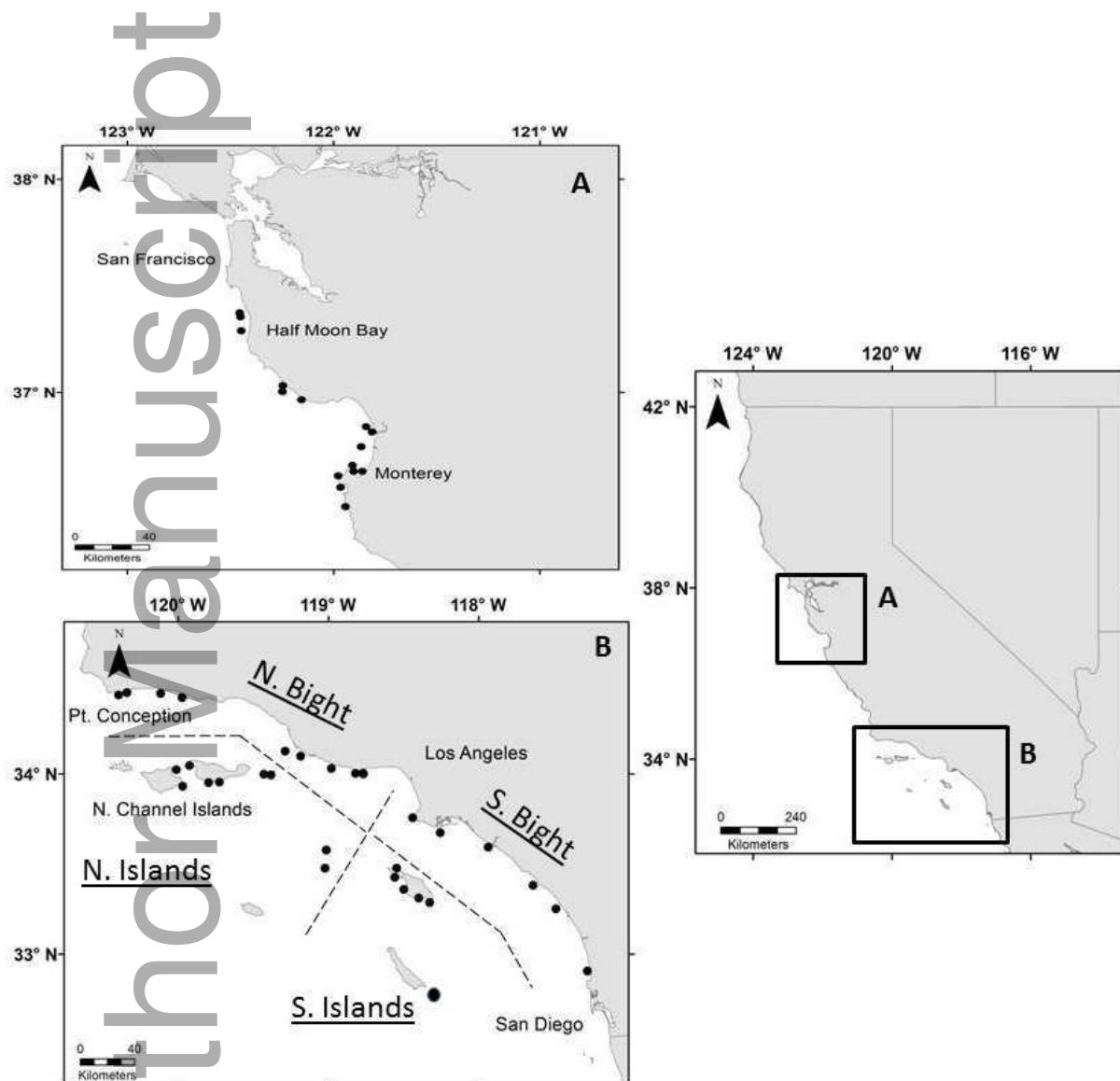
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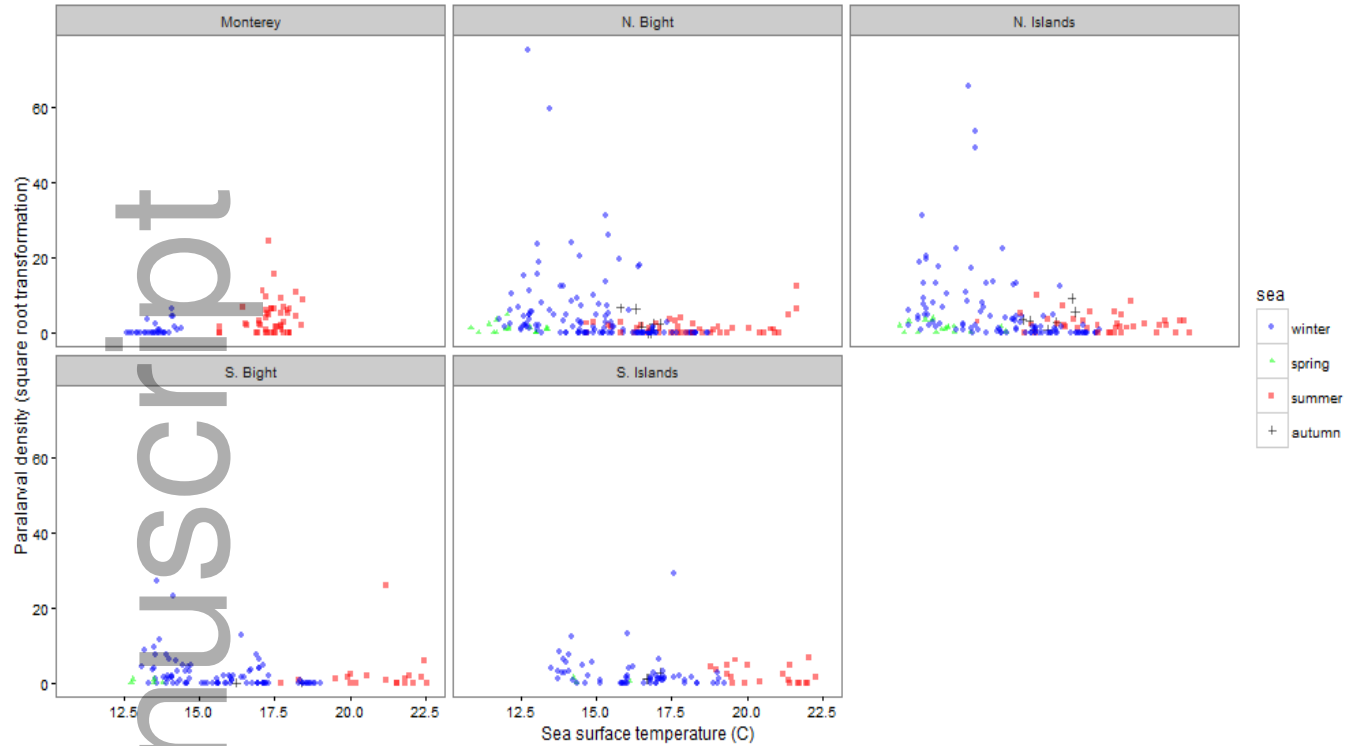
**Table 1** Mean values of environmental and biological variables ( $\pm$  standard deviation) estimated using the January sampling effort within the southern California bight (SCB) from the winter of 2010 – 2016. Fishing season runs from April 1<sup>st</sup> to March 31<sup>st</sup> of the subsequent year. Ocean state is a qualitative description and refers to the general ocean condition during the squid fishing season and considers local sea surface temperature (SST), Multivariate ENSO index (MEI), and Pacific Decadal Oscillation (PDO) values. SCHL refers to surface chlorophyll-*a* values. SST and SCHL were aggregated from NOAA satellite data (see Methods). ZPDV refers to small zooplankton displacement volume.

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## Table and Figures

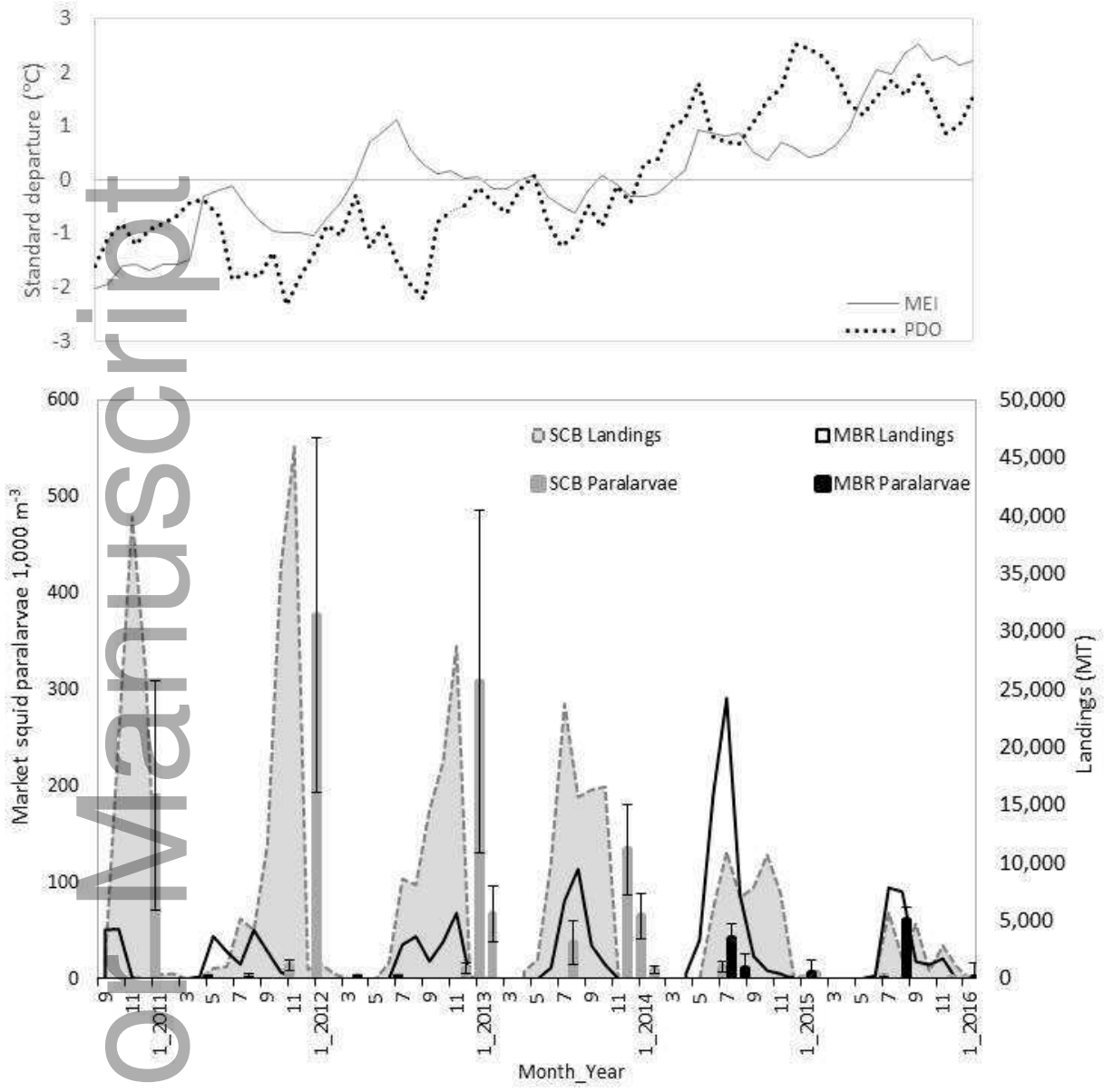


**Figure 1** Sampling areas off California (right figure) are shown as black circles in the Monterey Bay Region (MBR), panel A, and in the Southern California Bight (SCB), panel B. Five regions are identified across the sampling effort and include Monterey, panel A; and, the north Bight and south Bight of the SCB, and north and south Channel Islands, panel B. Sampling occurred from 2011 – 2016 and occurred four times each year.

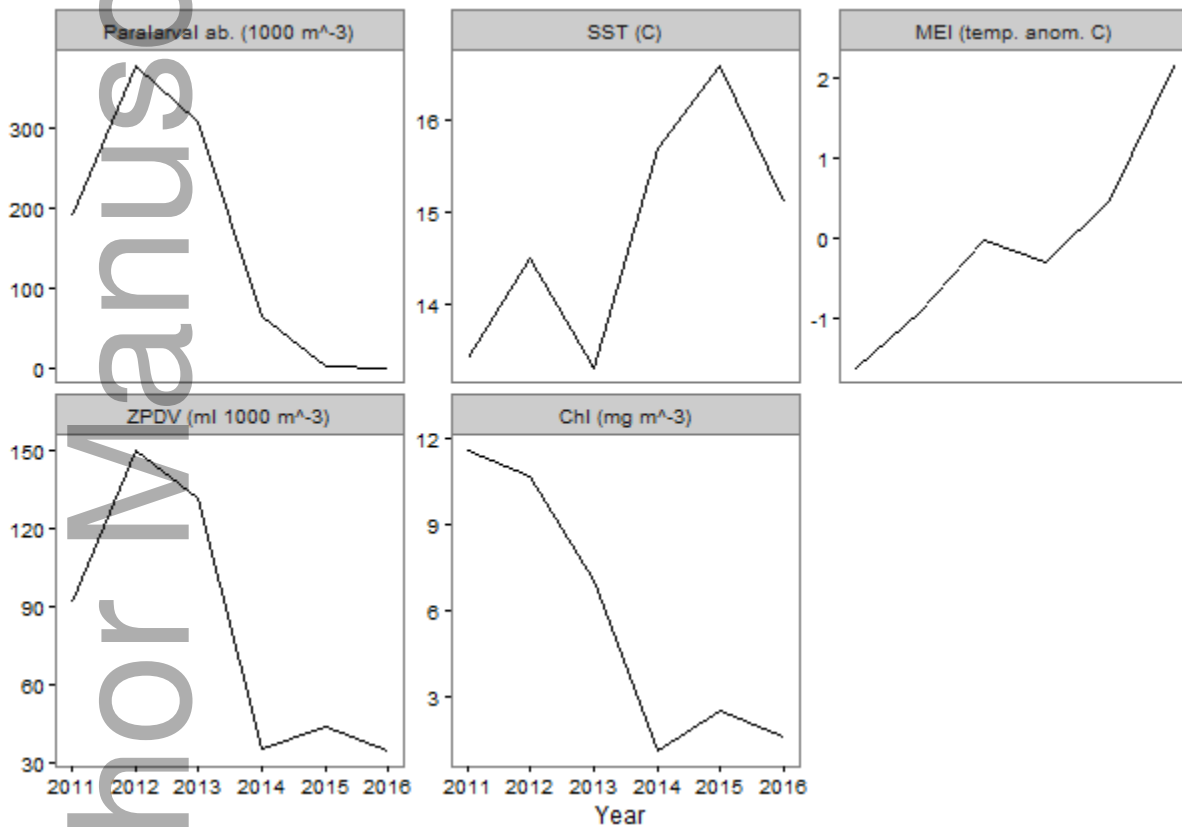


**Figure 2** Square-root transformed paralarval abundance and sea surface temperature are shown by region (identified in Fig. 1) and season. Regions are indicated by panels and include Monterey, north and south Bight of the southern California Bight (SCB), and north and south Channel Islands. Seasons (sea) are shown by symbols and color. Transparency of color is related to the intensity of points at that location, with darker shades of color indicating greater points in that area.



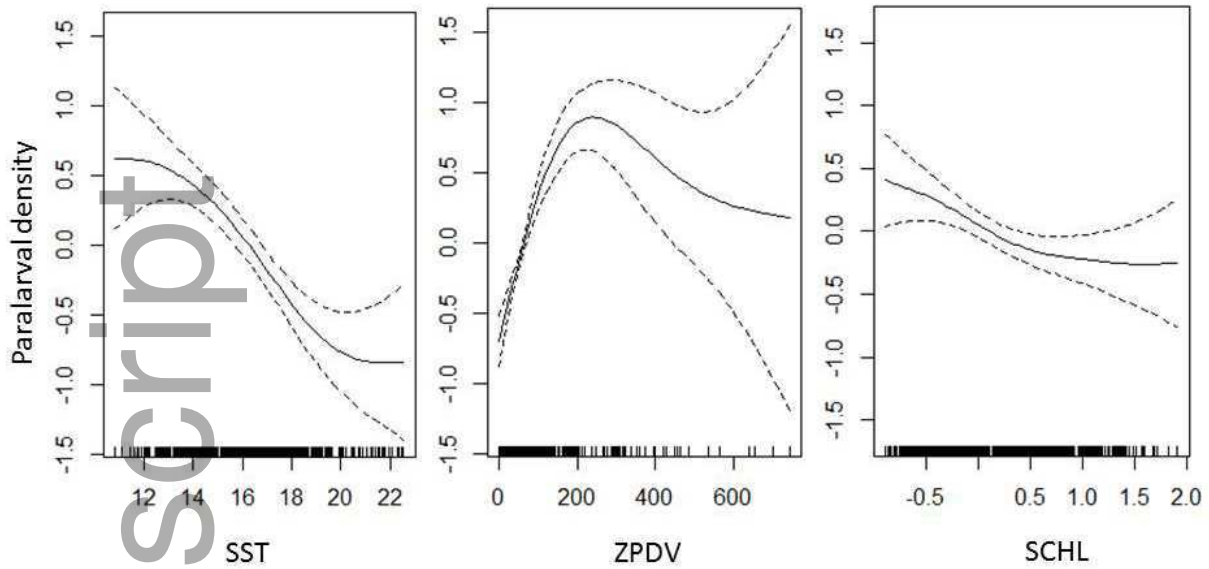


**Figure 3** Top panel displays the trends in the Multivariate ENSO index (MEI), dotted grey line, and the Pacific Decadal Oscillation (PDO), solid black line. Negative values indicate a temperature that is cooler than the long term average from 1981-2010, while positive values indicate the opposite. Bottom panel displays market squid paralarval abundance on the left, and landings on the right y-axis. Southern California Bight (SCB) information is displayed in grey, bars for paralarvae, and shaded areas for landings. Monterey Bay Region (MBR) data are show in black, bars for paralarvae, and lines for landings. Error bars indicate two standard error. All data span September, 2011 through March, 2015. MEI and PDO data are from ersl.noaa.gov and jisao.washington.edu, respectively.



**Figure 4.**

Values for paralarval density and oceanographic variables sampled during the January survey effort in the southern California Bight for the six years, 2011-2016. SST indicates sea surface temperature, MEI is the multivariate ENSO (El Niño southern oscillation) Index, ZPDV is small zooplankton displacement volume, and Chl is surface chlorophyll- $\alpha$ .



**Figure 5** GAM model outputs showing the predicted paralarval density as a function of sea surface temperature (SST °C, left) and zooplankton displacement volume (ZPDV mL 1,000 m<sup>-3</sup>, center) and the log of surface chlorophyll-*a* (SCHL mg m<sup>-3</sup>, right). Dotted lines represent 95% confidence intervals. Vertical lines along the x-axis of each figure represent data points collected during the study.