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5 Article type : Original Article

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8 **Catch rate and at-vessel mortality of circle hooks versus J-hooks in pelagic longline fisheries:**
9 **a global meta-analysis**

10 **Alternative titles:**

- 11 • A meta-analysis of catch rate and at-vessel mortality of circle hooks versus J-hooks
12 in pelagic longline fisheries
- 13 • A meta-analysis to evaluate catch rate and at-vessel mortality of circle hooks in
14 pelagic longline fisheries: management and conservation benefits

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This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/faf.12260](https://doi.org/10.1111/faf.12260)

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38 **Running title:** Meta-analysis of circle hooks vs J-hooks

39

40 **Abstract**

41 We conducted a meta-analysis of literature reporting on the use of circle hooks and J-hooks
42 in pelagic longline fisheries. Our study included more data than previous meta-analyses of
43 the effects of hook type, due to both a larger number of relevant studies available in recent
44 years and a more general modeling approach. Data from 42 empirical studies were
45 analyzed using a random effects model to compare the effects of circle hooks and J-hooks
46 on catch rate (43 species) and at-vessel mortality (31 species) of target and bycatch
47 species. Catch rates with circle hooks were greater for 11 species, including four tuna

48 species, six shark species, and one Istiophorid billfish. Catch rates on circle hooks were
49 lower for seven species, including two Istiophorid billfishes and two species of sea turtle.
50 At-vessel mortality was significantly lower with circle hooks in 12 species, including three
51 tuna species, three Istiophorid billfishes, swordfish (*Xiphias gladius*), and three shark
52 species. No species had significantly greater at-vessel mortality when captured with a circle
53 hook rather than a J-hook. While our general approach increased model variability
54 compared to more detailed studies, results were consistent with trends identified in
55 previous studies that compared the catch rates and at-vessel mortality (between hook
56 types) for a number of species. Our results suggest that circle hooks can be a promising tool
57 to reduce mortality of some bycatch species in pelagic longline fisheries, although the
58 effects depend on the species and the metric (catch rate or at-vessel mortality),
59 emphasizing the need for fishery-specific data in conservation and management decisions.

60 **Keywords:** At-vessel mortality, bycatch, catch rate, circle hooks, meta-analysis, pelagic
61 longline

62 **Introduction**

63 **Methods**

64 Data collection and screening

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66 **Results**

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71 Tunas

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74 Sea turtles

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79 **References**

80 **Introduction**

81 Bycatch mortality in pelagic longline fisheries is a major factor contributing to the decline
82 of several marine species. Such population declines have prompted fishery managers to
83 implement regulations aimed at mitigating bycatch mortality, including both target species

84 that are released (regulatory discards) and non-target species that are captured. Pelagic
85 longline gear is frequently used to target swordfish (*Xiphias gladius*, Xiphiidae), tunas,
86 common dolphinfish (*Coryphaena hippurus*, Coryphaenidae), and wahoo (*Acanthocybium*
87 *solandri*, Scombridae), and some fisheries may also target sharks (National Marine
88 Fisheries Service (NMFS), 2014; Graves, Horodysky, & Kerstetter, 2012); however, many
89 non-target species are also captured and subsequently discarded for regulatory or
90 economic reasons. Species that are considered bycatch vary by fishery; however, several
91 species of conservation concern are among those commonly discarded by longline
92 fisheries, including istiophorid billfishes, sharks, sea turtles, Atlantic bluefin tuna (*Thunnus*
93 *thynnus*, Scombridae), and occasionally, marine mammals and seabirds (NMFS, 2014).

94 The use of circle hooks may affect the mortality rate of target and bycatch species in
95 pelagic longline fisheries due to the influence of hook type on catch rates, at-vessel
96 mortality (mortality during capture), and post-release mortality (mortality occurring after
97 release from gear). Unlike traditional J- hooks, the point of a circle hook is oriented
98 perpendicular to the shank, forming a circular shape (Serafy, Cooke, Diaz, Graves, Hall,
99 Shivji, & Swimmer, 2012a). The rounded shape allows a circle hook to slide over soft tissue
100 in the mouth and esophagus and rotate as the hook exits the mouth of a fish so that the
101 hook sets in the jaw (Kerstetter and Graves, 2006a). Compared to J-hooks, circle hooks
102 have been associated with lower rates of deep-hooking and foul-hooking, leading to
103 improved condition at haulback and increased survival of released animals (Cooke and
104 Suski, 2004; Serafy, Kerstetter, & Rice, 2009; Godin, Carlson, & Burgener, 2012; Graves et
105 al., 2012; Horodysky and Graves, 2005). Circle hooks (vs. J-hooks) have been shown to
106 decrease catch rates in billfish (Serafy et al., 2009) and increase catch rates of target
107 species such as tunas (Pacheco et al., 2011; Graves et al., 2012; Diaz, 2008, Falterman and
108 Graves, 2002; Kerstetter and Graves, 2006a), leading to both economic and conservation
109 benefits in certain fisheries.

110 The benefits of circle hooks have led to the recommended use of circle hooks instead of
111 J-hooks to reduce mortality of bycatch species in pelagic longline fisheries (Horodysky and
112 Graves, 2005; Walter, Orbesen, Liese, & Serafy, 2012; Carruthers, Schneider, & Neilson,
113 2009; Serafy et al., 2012a; Yokota, Takahisa, Minami, & Kiyota, 2012). While the
114 conservation benefits of circle hooks have been recognized by Regional Fisheries

115 Management Organizations (RFMOs), variable results across studies and variation in both
116 target species and fishing practices among international fisheries have prevented
117 enactment of more widespread regulations (Graves et al., 2012). Currently, the Western
118 Central Pacific Fisheries Commission (WCPFC) requires the use of circle hooks on longline
119 vessels using shallow sets to catch swordfish, unless the nation has an alternate mitigation
120 strategy (WCPFC CMM 2008-03). Western Central Pacific Fisheries Commission is the only
121 RFMO requiring the use of circle hooks in any part of the pelagic longline fishery. In the
122 Atlantic, the International Commission for the Conservation of Atlantic Tunas (ICCAT)
123 Standing Committee on Research and Statistics (SCRS) has acknowledged the conservation
124 benefits of circle hooks to sea turtles, blue marlin (*Makaira nigricans*, Istiophoridae), and
125 white marlin (*Kajikia albida*, Istiophoridae) (ICCAT SCRS, 2016). However, ICCAT has not
126 yet required the use of circle hooks by participating nations. Additionally, the Western
127 Central Atlantic Fishery Commission (a United Nations Food and Agriculture Organization
128 Regional Fisheries Advisory Commission) and partners have developed a draft Caribbean
129 Billfish Management and Conservation Plan that recommends the use of circle hooks in
130 longline and hook-and-line commercial fisheries (David R. Blankinship, *pers. comm.*).

131 Individual countries may also enact circle hook regulations independently of a RFMO. In
132 the Atlantic, the U.S. and Canadian pelagic longline fleets now require circle hooks,
133 measures that were initially adopted in the USA primarily to reduce impacts to sea turtles
134 (Wilson and Diaz, 2012) and in Canada as a bycatch reduction initiative (Andrushchenko,
135 Hank, Whelan, Neilson, & Atkinson, 2014). Mexico is also known to use circle hooks in their
136 pelagic longline fisheries. However, even in countries without circle hook requirements,
137 cases have been observed in which fishers switch to circle hooks after seeing improved
138 catch and condition of target species in their own fleet (Graves et al., 2012). Potential
139 benefits of expanding the use of circle hooks to a greater number of large-scale commercial
140 fisheries and artisanal fleets include increased catch of some target species and reduced
141 post-release mortality rates of both discarded bycatch species and regulatory discards of
142 target species.

143 Previous meta-analyses have examined either a single species or pooled data for
144 species groups, for example, in sharks (Godin et al., 2012) and billfishes (Serafy et al.,
145 2009), and consequently, have not assessed effects across taxa (Gilman, Chaloupka,

146 Swimmer, & Piovano, 2016). Meta-analyses are used to synthesize results of multiple
147 studies, providing greater power than any one study (Cohn and Becker, 2003), and to
148 generate inference from a set of experiments that may otherwise have disparate
149 conclusions (Gurevitch and Hedges, 1999). Our study uses a meta-analysis to quantify the
150 relative effects of using circle hooks compared to J-hooks for target and bycatch species in
151 pelagic longline fisheries from both the Atlantic and Pacific Oceans. Previous meta-analyses
152 have found lower at-vessel mortality and hooking injury using circle hooks (vs. J-hooks) in
153 sharks and billfishes (Serafy et al., 2009; Cooke and Suski, 2004). Conclusions about catch
154 rates vary by taxa. Most studies on sharks have shown increases in catch rates (Favaro and
155 Côté, 2013; Gilman et al., 2016; Cohn and Becker, 2003) on circle hooks, while Serafy et al.
156 (2009) found no change in catch rate for billfishes.

157 Our study differs from previous meta-analyses in that we evaluate a greater number of
158 animals using species-specific models. Ultimately, this information could be combined with
159 fishery-specific fishing characteristics and catch and effort data to estimate conservation or
160 management benefits of programs encouraging the use of circle hooks instead of J-hooks.
161 We were able to quantify the magnitude and direction of changes in catch rate and at-
162 vessel mortality in species using relative risk (RR) as the measure of effect size.

163 **Methods**

164 We compiled information from studies and experiments that examined circle and J-hook
165 catch in pelagic longline fisheries, including both Atlantic and Pacific fisheries. Published
166 literature, technical reports, and unpublished data relevant to our search were identified
167 via Google Scholar searches, using the following keywords: circle hook, pelagic longline,
168 and pelagic longline bycatch. Initial references were collected from the *International*
169 *Symposium on Circle Hooks* held in Coral Gables, Florida from May 4-6, 2011 (Serafy et al.,
170 2012a). Collected literature was reviewed for additional references fitting the search
171 criteria. Inclusion in our analysis required that studies used pelagic longlines, reported
172 species-specific data for both circle and J-hooks using the same experimental design, and, at
173 a minimum, presented data on catch numbers or catch rates. For redundant datasets, we
174 used the more recent data source. We use the term 'reference' to refer to a document;
175 'experiment' to refer to a unique dataset considered in our analysis; and 'record' to refer to

176 one comparison between circle and J-hooks for a species within an experiment. References
177 used were collected before October 2014.

178 *Data collection and screening*

179 Data collected from each reference included species name, hook type, number of hooks
180 fished, total catch, catch rate, and at-vessel mortality (e.g., number of fish dead at
181 haulback). All records were classified as 'circle' or 'J' hooks. Following Kim, Moon, Boggs,
182 Koh, & Hae An (2006) and Serafy et al. (2009) circle hooks were categorized as a type of J-
183 hook because the point is not 'blocked' by the hook shaft when the line becomes taught.
184 . Although hook specifications were recorded when available, even standard hook
185 parameters differ between hook type and manufacturers. Species names were
186 standardized to reflect the current taxonomic names based on the Integrated Taxonomic
187 Information System (ITIS, 2015).

188 Some values that were required, but not directly reported, were derived where
189 possible. For example, the number of fish caught was often derived from catch rates and
190 effort reported in the reference. Each unique experiment was assigned an identification
191 number (ID). Experiments were considered unique if they differed with respect to
192 attributes such as time (year of study or season), location, gear (e.g., hook size), vessel size,
193 or fleet. Results from more than one experiment could be presented in a single reference.
194 Most references included only one or two experiment IDs, although one reference had
195 seven experiment IDs (Andraka et al., 2013) because results were reported for three
196 countries, two target species sets, and different hook comparisons. Each experiment in our
197 dataset was treated as independent.

198 The National Marine Fisheries Service (NMFS) Southeast Fisheries Science Center
199 Pelagic Observer Program (POP) dataset from 1992-2011 was included as a single
200 experiment in our analysis of at-vessel mortality rates. POP data were parsed into two time
201 periods reflecting the U.S. Atlantic pelagic longline fishery before and after implementation
202 of the 2004 circle hook regulations (i.e., 1992-2003 and 2005-2011) and 2004 data were
203 excluded to remove the effect of changes that occurred during the calendar year. Species
204 data from the POP were included in the analysis if the species was already included in our
205 dataset from other references. The POP dataset variable "boarding status" was used to
206 designate individual fish as dead or alive on haulback (NMFS, 2015). Serafy, Orbesen,

207 Snodgrass, Beerkircher, & Walter (2012b) also used POP data to examine the effectiveness
208 of circle hooks; however, their data were selected based on criteria specific to their analysis
209 and were not appropriate for our use. Similarly, we were unable to use data directly from
210 the Foster, Epperly, Shah, & Watson (2012) and Epperly, Watson, Foster, and Shah (2012)
211 studies and were provided raw data by the authors. Our compiled dataset is available in
212 Supplemental Material A and includes records of counts (catch, at-vessel mortality, and
213 hooks fished) for all studies, including those from sources not readily available, such as the
214 POP dataset, Foster et al. (2012) and Epperly et al. (2012). Data from the POP dataset is
215 also provided in Supplemental Material B and allows for replication of our analysis.

216 *Meta-analysis*

217 Using the data collected, we constructed a suite of meta-analysis models to evaluate
218 differences in catch rate and at-vessel mortality for fish and sea turtles caught on circle and
219 J-hooks and to examine within- and among- experiment variation. Our analysis follows
220 methods used by Godin et al. (2012), but is specific to the pelagic longline fishery, and uses
221 relative risk (RR) rather than an odds ratio. We selected RR as an effect size measure
222 because of its straightforward interpretation. The difference between the calculated RR and
223 a value of 1.0 represents the mean percent change associated with the experimental
224 treatment, such that an RR less than 1.0 indicates lower values for circle hooks compared
225 to J-hooks. The RR is equal to:

$$RR = \frac{a_i/n_i^1}{c_i/n_i^2}$$

226 where for the *i*th experiment, a_i is the number of animals caught on experimental hook
227 (circle hook), n_i^1 is the number of experimental hooks fished, c_i is the number of animals
228 caught on control hooks (J-hooks), and n_i^2 is the number of control hooks fished for the
229 analysis of catch rate. For the at-vessel mortality analysis, a_i is the number of animals dead
230 at haulback on circle hooks, n_i^1 is the number of animals caught on circle hooks, c_i is the
231 number of animals dead at haulback on J-hooks, and n_i^2 is the number of animals caught on
232 J-hooks. The RR value is log-transformed to normalize the distribution of effect sizes
233 around zero and to meet the assumption of normality for the analysis.

234 Catch rates and at-vessel mortality for circle and J-hooks were estimated using the
235 *metafor* package (Viechtbauer, 2010) in R 3.11 (R Core Team, 2014) for each species. We
236 computed a summary effect size for all taxa that had at least two experiment IDs, including
237 scenarios in which all experiments came from a single citation. A two-sided Wald-type Z-
238 test was used to test for differences between effects mean and zero. Effect sizes were
239 estimated using a random effects model, allowing us to account for heterogeneity among
240 experiments. Heterogeneity was expected due to the many explicit and implicit differences
241 in study designs included in our analysis (e.g., hook size, offset, and manufacturer, capture
242 location, fishery studied, time of fishing, and target species). Although we collected data on
243 other variables, such as hook size, offset, bait-type, target species, and geographic location,
244 we did not include these as fixed effects in our model because they were not reported
245 consistently across studies and would have resulted in a reduction in the data available to
246 test our primary hypotheses.

247 Compared to fixed effects models, the random effects approach is generally considered
248 conservative (Borenstein, Hedges, Higgins, & Rothstein, 2009) and applicable to conditions
249 and locations outside of the scope of the studies analyzed. The random effects model
250 computes a global mean effect size based on a weighted mean of the studies' effect sizes,
251 where the global mean estimate represents the average of the true underlying distribution
252 of effect sizes from which the studies were drawn (Hedges and Vevea, 1998). Weights were
253 computed as the inverse of the sample variance and the between-study variance (τ^2),
254 thereby placing more weight on experiments with estimates having greater precision and
255 de-emphasizing those weights with high between-study variance. Sample variance, v_i , for
256 $\ln(\text{RR})$ of the i th experiment was calculated as:

$$v_i = \frac{1}{a_i} - \frac{1}{n_i^1} + \frac{1}{c_i} - \frac{1}{n_i^2}$$

257 We computed the heterogeneity factor I^2 as a measure of total variation across experiments
258 due to variability among experiments (Higgins, Thompson, Deeks, & Altman, 2003). Values
259 of I^2 vary from 0% to 100%, with higher values indicating greater heterogeneity between
260 experiments due to variation among experiments that was unaccounted for in our model
261 (e.g., hook size, hook offset).

262 Results

263 We identified 33 unique references as part of our data compilation and screening process,
264 of which 25 were used in our meta-analyses. In total, we analyzed 43 of 54 experiments
265 identified during our literature search and extracted information for 62 species. Species
266 not included in more than one experiment were excluded from the analysis. Catch rate
267 analyses were performed for 43 species (Table 1 and Supplemental Material C) and at-
268 vessel mortality estimates were obtained for 31 species (Table 2 and Supplemental
269 Material D).

270 Meta-analysis results for 43 species are reported here to evaluate differences in catch
271 rate and at-vessel mortality among target and bycatch species caught with circle and J-
272 hooks in the pelagic longline fishery. Forest plots of catch rate and at-vessel mortality for
273 species included in our meta-analysis are provided in Supplemental Material C and D and
274 present the results and variation among the individual studies used in our meta-analysis.
275 Results for swordfish and yellowfin tuna (*Thunnus albacares*, Scombridae) are presented
276 in Figures 1-4 as examples. The meta-analysis found that swordfish catch rates were not
277 significantly different between circle and J-hooks (Table 1). Forest plots of the individual
278 experiments show 13 experiments with higher swordfish catch rates and the remaining 13
279 with lower, or no difference in, catch rates with circle hooks (Fig. 2). At-vessel mortality in
280 swordfish was lower (or showed no difference) when caught with circle hooks (Table 2)
281 and only one experiment found greater at-vessel mortality in swordfish with circle hooks
282 (vs. J-hooks) (Fig. 3). For yellowfin tuna, the forest plots show lower catch rates on circle
283 hooks in four experiments, higher in 12 experiments (Fig. 4), and the summary effect size
284 (RR=1.32) was significant (Table 1). Forest plots of at-vessel mortality of yellowfin tuna
285 (Fig. 5) indicate lower (four experiments) or no difference (one experiment) in mortality
286 on circle hooks (vs. J-hooks), combined with an overall significant reduction in at-vessel
287 mortality (RR=0.84, $p=0.003$) (Table 2).

288 *Catch rate*

289 The difference in catch rate with circle hooks (vs. J-hooks) was significantly greater
290 ($p\leq 0.05$) for 11 of the 43 species evaluated (Table 1, Fig. 6) and significantly lower for
291 seven species ($p\leq 0.05$). For presentation and discussion purposes, fish were classified as
292 tunas, elasmobranchs, billfishes, or "other fish" (e.g., dolphinfish). Overall, catch rates with

293 circle hooks (vs. J-hooks) were higher for the shark and tuna species, lower for sea turtle
294 species and other fish species, and mixed for the billfish species.

295 The 11 species with higher catch rates included four species of tuna: yellowfin tuna,
296 albacore (*Thunnus alalunga*, Scombridae), bigeye tuna (*Thunnus obesus*, Scombridae), and
297 Atlantic bluefin tuna; Atlantic sailfish (hereafter simply “sailfish” *Istiophorus platypterus*,
298 Istiophoridae); and six species of sharks: silky shark (*Carcharhinus falciformis*,
299 Carcharhinidae), shortfin mako shark (*Isurus oxyrinchus*, Lamnidae), salmon shark (*Lamna*
300 *ditropis*, Lamnidae), porbeagle shark (*Lamna nasus*, Lamnidae), blue shark (*Prionace*
301 *glauca*, Carcharhinidae), and crocodile shark (*Pseudocarcharias kamoharai*,
302 Pseudocarchariidae). The seven species that showed lower catch rates with circle hooks
303 (vs. J-hooks) were two species of sea turtles: loggerhead sea turtle (*Caretta caretta*,
304 Cheloniidae) and olive ridley sea turtle (*Lepidochelys olivacea*, Cheloniidae), two billfishes:
305 striped marlin (*Kajikia audax*, Istiophoridae) and shortbill spearfish (*Tetrapturus*
306 *angustirostris*, Istiophoridae), sickle pomfret (*Taractichthys steindachneri*, Bramidae),
307 snake mackerel (*Gempylus serpens*, Gempylidae), and dolphinfish.

308 Effect sizes for species with significant differences in catch rate between circle hooks
309 and J-hooks (Fig. 6) illustrate general trends among taxonomic groupings, with higher
310 catch rates for tunas and elasmobranchs and lower catch rates for sea turtles and “other
311 fish” (i.e., snake mackerel, sickle pomfret, and dolphinfish). The billfishes were the only
312 taxonomic group with both lower and higher catch rates.

313 Increases in catch rate with circle hooks (vs. J-hooks) ranged from 20% greater in the
314 sailfish (RR=1.20; p=0.05) to 246% greater in the crocodile shark (RR=3.46; p<0.001).
315 Catch rate more was more than doubled for species in the genus *Lamna* (porbeagle shark
316 RR = 2.08; p<0.001 and salmon shark RR = 2.44; p=0.04) caught using circle hooks
317 compared to J-hooks. Among thunnid tunas, catch rates ranged from 32% greater in
318 yellowfin tuna (RR=1.32; p=0.0098) to 87% greater in bluefin tuna (RR=1.87; p<0.001)
319 when circle hooks were used. For the Carcharhiniformes, increases in catch rates were
320 40% (RR=1.40; p<0.001) and 46% (RR=1.46; p<0.001) higher with circles hooks for the
321 silky and blue sharks, respectively.

322 Effect sizes for catch rates that were lower with circle hooks (vs. J-hooks) ranged from
323 16% lower catch rate (RR=0.84; p=0.01) in dolphinfish to 66% lower catch rate (RR=0.34;

324 $p < 0.001$) in snake mackerel. Catch rates for loggerhead and olive ridley sea turtles were
325 42% (RR=0.58; $p=0.02$) and 31% (RR=0.69; $p=0.0049$) lower, respectively, when circle
326 hooks were used rather than J-hooks.

327 *At-vessel mortality*

328 Twelve species evaluated had significantly ($p \leq 0.05$) lower at-vessel mortality rate when
329 caught on circle hooks (vs. J-hooks), including three species of shark (oceanic whitetip
330 shark, shortfin mako shark, and scalloped hammerhead- *Sphyrna lewini*), two species of
331 tuna (yellowfin and bluefin), four billfishes (blue marlin, sailfish, white marlin, and
332 swordfish, dolphinfish, and opah (*Lampris guttatus*, Lamprididae) (Table 2, Fig. 7).

333 Reductions in at-vessel mortality ranged from 62% in the oceanic whitetip shark (RR=0.38,
334 $p=0.03$) to eight percent in the swordfish (RR=0.92, $p=0.0036$). However, 10 of the 12
335 species had reductions ranging from 14% to 30%.

336 No significant differences in at-vessel mortality due to capture by circle hook (vs. J-
337 hook) were found for the remaining 12 species, which include species of shark, tuna,
338 billfish, other fish, and sea turtles. Five species had significant differences in both at-vessel
339 mortality and catch rates in comparisons between circle and J-hooks. Only one species, the
340 dolphinfish, had both lower catch rate and lower at-vessel mortality. The remaining four
341 species had higher catch rates and lower at-vessel mortality when caught with circle hooks
342 (vs. J-hooks): shortfin mako shark, yellowfin tuna, bluefin tuna, and sailfish.

343 *IUCN status*

344 The IUCN program *Red List of Threatened Species* lists risk status of species on a global
345 scale in an effort to highlight taxa threatened with extinction and promote their
346 conservation (Rodrigues, Pilgrim, Lamoreux, Hoffman, & Brooks, 2006). The IUCN
347 designations, in order of decreasing risk, are “endangered”, “vulnerable”, “near threatened”,
348 and “least concern” (“data deficient” and “not evaluated” are also included, but are not
349 related to risk). IUCN designations for species with significant differences in catch rate (18
350 species) or at-vessel mortality (12 species) between circle and J-hooks are indicated in
351 Figures 6 and 7, respectively.

352 Of the 11 species that had greater catch rates with circle hooks, one (bluefin tuna) is
353 IUCN-designated as endangered, three as vulnerable (bigeye tuna, porbeagle shark, and
354 shortfin mako shark), five as near threatened (albacore and yellowfin tunas, and crocodile,

355 blue, and silky sharks), and two (salmon shark and sailfish) are listed as species of least
356 concern (Fig. 6). Among these, five of the six shark species that had higher catch rates on
357 circle hooks, are considered near threatened or vulnerable by the IUCN (none had higher
358 at-vessel mortality with circle hooks). The five species with lower catch rates with circle
359 hooks (vs. J-hooks) are listed as vulnerable (both sea turtles), near threatened (striped
360 marlin), and of least concern (snake mackerel and dolphinfish). The shortbill spearfish and
361 sickle pomfret are designated as “data deficient” and “not evaluated”, respectively.

362 The bluefin tuna and scalloped hammerhead are the only species listed as endangered
363 on the IUCN *Red List of Threatened Species* that had lower at-vessel mortality with circle
364 hooks (vs. J-hooks) (Fig. 7). The remaining species with lower at-vessel mortality are IUCN-
365 listed as vulnerable (oceanic whitetip shark, shortfin mako shark, blue marlin, striped
366 marlin), near threatened (yellowfin tuna), and of least concern (swordfish, sailfish, opah,
367 dolphinfish, and escolar - *Lepidocybium flavobrunneum*, Gempylidae).

368 Of the five species demonstrating significant differences in both catch rate and at-vessel
369 mortality when captured with circle hooks (vs. J-hooks), the bluefin tuna (endangered),
370 shortfin mako shark (vulnerable), yellowfin tuna (near threatened), and sailfish (least
371 concern) had higher catch rate and lower at-vessel mortality, while the dolphinfish (least
372 concern) had a lower catch rate and lower at-vessel mortality (Table 3).

373 Discussion

374 Reducing bycatch is an important component in the conservation of threatened species and
375 recovery of declining fisheries and, therefore, a focus of fisheries conservation and
376 management (Alverson, 1994; Crowder and Murawski, 1998; Lewison, Crowder, Read, &
377 Freeman, 2004; Kerstetter and Graves, 2006a; Andraka et al., 2013). The results of our
378 meta-analysis suggest that substituting circle hooks for J-hooks in pelagic longline fisheries
379 may increase the catch rates of some target and bycatch species and decrease catch rates of
380 others; in contrast, we found only decreases or no change in at-vessel mortality.

381 Tunas

382 Our results found increases in catch rate on circle hooks for all four *Thunnus* species
383 analyzed. Except for the bluefin tuna, tunas were well represented in the analysis because
384 they are the target of many pelagic longline fisheries and, therefore, data are available from
385 numerous studies. Although the results of our meta-analysis suggest that transition to

386 circle hooks may increase catch rates of tunas, at-vessel mortality was lower for yellowfin
387 and bluefin tuna. Similarly, Pacheco et al. (2011) found that bigeye and yellowfin tuna had
388 lower at-vessel mortality and were hooked externally more than internally, indicating a
389 greater potential for post-release survival. This may also translate into conservation
390 benefits in fisheries that release undersized tunas, assuming that circle hook effects on fish
391 survival are size-independent.

392 Yellowfin tuna is one of the primary targets of pelagic longline fisheries on a global
393 scale (Allen, 2010) and higher catch rates with circle hooks may help overcome the
394 skepticism of fishers and clear the way for adoption of circle hooks. Furthermore, landing
395 live tuna leads to a higher quality (i.e., more valuable) ex-vessel product; therefore,
396 increasing the number of fish alive at haulback may be an additional incentive for circle
397 hook adoption by tuna fishers (Foster, Parsons, Snodgrass, & Shah, 2015; Serafy et al.,
398 2012b; Clucas, 1997). For example, Venezuelan pelagic longline fishers targeting yellowfin
399 tuna were reluctant to experiment with circle hooks because of perceived catch reductions
400 (Faltermann and Graves, 2002). However, after higher catches and lower immediate
401 mortality rates were demonstrated in their fishery, they adopted the use of circle hooks
402 (Graves et al., 2012). These financial gains may be significant enough to offset the cost of
403 gear conversion to circle hooks, as was demonstrated in the Australian fisheries targeting
404 bigeye and yellowfin tuna and swordfish (Ward et al., 2009).

405 *Elasmobranch*

406 Significant results for shark species showed only increases in catch rates and decreases in
407 mortality with respect to hook type. Among shark species, catch rates increased (six
408 species) or showed no difference (seven species), while at-vessel mortality rates decreased
409 (three species) or showed no difference (seven species).

410 These results are consistent with a previous meta-analysis on the effect of pelagic
411 longline fishing gear factors on sharks (species combined), in which the use of circle hooks
412 increased catch rates and reduced at-vessel mortality (Gilman et al., 2016). Gilman et al.
413 speculated that reduced deep-hooking of sharks caught on circle hooks likely accounted for
414 the reduced mortality, which may also lead to an increase in post-release survival for
415 sharks. Literature reviewed for this analysis included findings of no differences in catch
416 rate between hook type (Yokota, Kiyota, & Minami, 2006; Ward et al., 2009; Pacheco et al.,

417 2011), higher catch rates (Watson, Epperly, Shah, & Foster, 2005; Ward et al., 2009; Afonso
418 et al., 2011; Pacheco et al., 2011), and (infrequently) lower catch rates (Gilman et al., 2007;
419 Curran and Bigelow, 2011; Kerstetter and Graves, 2006a) for pelagic shark species. Godin
420 et al. (2012) evaluated effects of circle vs. J-hooks reported in 30 studies and found higher
421 catch rates with circle hooks, except for blue, shortfin mako, crocodile, and common
422 thresher (*Alopias vulpinus*, Alopiidae) sharks, which showed no significant effects. An
423 analysis of circle vs. J-hooks by Gilman et al. (2016) demonstrated higher catch rates in
424 crocodile, whitetip, and silky sharks, consistent with results of the present study, but lower
425 catch rates in blue sharks. Both Godin et al. (2012) and Gilman et al. (2016) demonstrated
426 lower at-vessel mortality (or greater survival), consistent with our results for pelagic
427 species.

428 One potentially confounding factor was the use of different leader types with different
429 hook types. Experiments conducted by Watson et al. (2005) found that circle hooks had a
430 significantly higher catch rate and lower gut hooking rate of blue shark when compared to
431 J-hooks; however, the authors hypothesized that use of monofilament leaders may have
432 confounded catch rate comparisons because gut-hooked sharks are more likely to bite off
433 these leaders and escape detection. Afonso, Santiago, Hazin, & Hazin (2012) found that
434 wire leaders had higher shark catch rates and that significantly more sharks were captured
435 alive on wire vs. monofilament leaders (but see Yokota (2006) for a counterexample). They
436 cautioned that, in longline fisheries, shark catch and mortality rates may be
437 underestimated when monofilament leaders were used. Unfortunately, the data available
438 did not allow us to control for this factor in our analysis, but, due to the paired nature of
439 most studies included in our analysis, leader type was controlled for on longline sets within
440 experiments by simply alternating hook type with otherwise identical terminal gear.
441 Piovano, Basciano, Swimmer, & Giacoma (2012) provide an exception, where one fishing
442 crew bunched experimental hooks on portions of the line. This control was not possible for
443 the pelagic observer data, and the potential bias previously noted (Beerkircher, Cortes, &
444 Shivji, 2003). The effect of leader type on and mortality metrics is an area for future
445 research, especially with respect to sharks. Respiratory mode is a key factor controlling
446 post-release mortality in elasmobranchs. Dapp, Walker, Huveneers, & Reina (2016) and
447 Ellis, McCully Phillips, & Poisson (2017) found that obligate ram-venting sharks, such as

448 Carcharhinids and Lamnids, have higher discard mortality (combined at vessel mortality
449 and post-release mortality) than stationary-respiring species because their respiration is
450 impaired during capture. Ram-ventilating pelagic fish species, such as tunas, mackerels,
451 and billfishes, may also have impaired respiration during capture (Wegner, Sepulveda,
452 Aalbers, & Graham, 2013), although to our knowledge there are no comparable analyses
453 available for bony fish. Water temperature and soak time are other factors influencing
454 shark discard mortality. Shark survival in pelagic longline fisheries significantly decreases
455 with increasing water temperature (and corresponding lower dissolved oxygen
456 concentration) and soak time, which favors asphyxiation and increases capture stress in
457 sharks (Skomal and Bernal, 2010; Gallagher, Orbesen, Hammerschlag, & Serafy, 2014).

458 Our results suggest that circle hooks would reduce at-vessel mortality in three ram
459 ventilating sharks – oceanic whitetip, scalloped hammerhead, and shortfin mako. This
460 result is particularly promising for their management because these species are commonly
461 caught in pelagic longline fisheries (Coelho, Santos, & Amorim, 2012), and their
462 conservation status is a matter of international concern. A decrease in at-vessel mortality
463 for bycatch of these shark species does not necessarily translate to a decrease in post-
464 release mortality of released individuals, however, some proportion of post-release
465 mortality is related to physiological stress and injuries experienced during capture (Skomal
466 2007). To our knowledge, no studies specifically address post-release mortality of
467 scalloped hammerhead from pelagic longlines (Gallagher et al., 2014). Few studies have
468 estimated such rates in other large pelagic shark species, but see examples for oceanic
469 whitetip and shortfin mako (Musyl et al. 2011), the blue shark (Moyes, Fragoso, Musyl, &
470 Brill, 2006; Campana, Joyce, & Manning, 2009) and common thresher shark (Heberer et al.,
471 2010; Sepulveda et al., 2015).

472 *Billfishes, swordfish, and dolphinfish*

473 Replacing J-hooks with circle hooks may increase catch rates of several targeted tuna
474 species without a corresponding increase in catch rates of other target (swordfish) and
475 secondary target (billfishes and dolphinfish) species. Our results indicate that the use of
476 circle hooks will lead to a decrease in at-vessel mortality for these species. Previous work
477 documented relatively high post-release survival in several billfish species (white marlin,
478 blue marlin, and swordfish) captured in the pelagic longline fishery (Kerstetter, Luckhurst,

479 Prince, & Graves, 2003; Kerstetter and Graves, 2006b; Kerstetter and Graves, 2008);
480 therefore, the differences in at-vessel mortality that we observed are likely to result in a
481 conservation benefit to the species. These species are particularly important to
482 recreational fisheries in tropical and subtropical oceanic waters, and similar reductions in
483 immediate mortality and injury due to hook trauma have been observed in recreational
484 billfish fisheries, although survival is generally higher in the recreational fishery than in
485 pelagic longline fisheries (Horodysky and Graves, 2005, Kerstetter and Graves, 2006b,
486 Prince et al., 2007).

487 Billfishes are among the most common highly migratory species targeted by for-hire
488 charter boats. Recreational catch of white marlin along the Atlantic and Gulf coasts ranges
489 between 4,000 and 12,000 individuals annually (Goodyear and Prince, 2003; NMFS, 2006)
490 and recreational fishing for dolphinfish and other pelagic fish species along the U.S. Mid-
491 Atlantic has increased in recent years due to improved access of anglers to offshore pelagic
492 waters (Dell'Apa et al., 2015). Management and other conservation measures are needed
493 for these fish, particularly in consideration of the rapid expansion of the recreational
494 fishery in developing countries (Pitcher and Hollingworth, 2002; Alió, 2012) and on a
495 global scale (Ihde, Wilberg, Loewensteiner, Secor, & Miller, 2011). The potential reduction
496 in mortality due to pelagic longline interactions provided by conversion to circle hooks is
497 promising for the conservation and management of these species, particularly in the
498 Atlantic. Regulations requiring the use of circle hooks could be part of a broader
499 management strategy to curtail the impacts of recreational and commercial fishing to
500 billfish populations. Further research into post-release survival rates in secondary target
501 species, an issue which has only been marginally explored in longline fisheries (Graves and
502 Horodysky, 2008), would be helpful to management and conservation efforts.

503 *Sea Turtles*

504 Catch rates on circle hooks were reduced in two sea turtle species, the loggerhead and olive
505 ridley. These results are consistent with the large-scale experiment described in Watson et
506 al. (2005), which was the basis of mandatory circle hook use in the U.S. pelagic longline
507 fishers operating in Atlantic and Gulf of Mexico waters since 2004 (69 F.R. 6621). Both
508 species showed a nonsignificant increase in at-vessel mortality, which mirrors the results
509 found in other studies. Additionally, differences in mortality rates were typically attributed

510 to combinations of covarying factors, for example, Cambiè, Muiño, Freire, & Mingozi.
511 (2012) found that mortality of sea turtles increased with soak time and decreased in
512 relation to the size of the animal.

513 *IUCN*

514 The results of our analysis indicated increased catch rates with circle hooks in four pelagic
515 species (shortfin mako and porbeagle sharks, bigeye, and bluefin tuna) identified as
516 vulnerable or endangered by the IUCN. Reduced at-vessel mortality with circle hooks
517 (compared with J-hooks) was found in three shark species, bluefin tuna, and two billfish
518 species listed as endangered or vulnerable by the IUCN (Table 3). These results are
519 consistent with those previously reported for sharks (Gilman et al., 2016, Serafy et al.,
520 2012a), billfishes (Prince, Prince, Ortiz, & Venizelos, 2002; Domeier, Dewar, & Nasby-Lucas,
521 2003; Horodysky and Graves, 2005; Prince et al., 2007; Skomal, 2007), and bluefin tuna
522 (Skomal, Chase, Prince, Lucy, & Studholme, 2002; Prince et al., 2002), which presume that
523 external (vs. internal) hooking results in reduced mortality. In addition, we found reduced
524 catch rates for two sea turtle species when circle hooks were used, consistent with findings
525 of previous studies (e.g., Watson et al., 2005, Foster et al., 2012). We believe the use of
526 circle hooks may be helpful in reducing at-vessel mortality for several at-risk species in the
527 list, and therefore provide a valuable tool for management and conservation of bycatch
528 species.

529 Cortés et al. (2010), in an assessment of the vulnerability of sharks in the Atlantic
530 pelagic longline fishery, found that as a group, pelagic sharks are particularly vulnerable to
531 pelagic longline fisheries, primarily due to their low productivity and high susceptibility to
532 capture and subsequent mortality. The study ranked silky and shortfin mako sharks as the
533 first and second most vulnerable, respectively, followed by the oceanic whitetip shark
534 (ranked 5), blue shark (ranked 7), scalloped hammerhead (ranked 9), and porbeagle
535 (ranked 10). Of these ranked species, the shortfin mako, porbeagle, and oceanic whitetip
536 shark are IUCN-designated as vulnerable, and scalloped hammerhead as endangered. The
537 remaining species are listed as of least concern or not threatened. Although higher catch
538 rates may not translate into higher mortality, concern remains regarding the ability of
539 circle-hooks to contribute to the conservation of some species of sharks. Reduced at-vessel
540 mortality with circle hooks is expected to benefit sharks caught in regulated fisheries by

541 increasing the number of sharks released alive, while higher catch rates remain a concern
542 in unregulated fisheries because both dead and live sharks may be retained (Serafy et al.,
543 2012a). We used the IUCN *Red List of Threatened Species* to evaluate, at a high level, the
544 potential conservation implications of hook type changes in pelagic longline fisheries.
545 While we recognize that formal stock assessments are the best source of information for
546 evaluating stock status, not all species evaluated here have been formally assessed. We
547 consider the IUCN *Red List of Threatened Species* to be a useful proxy, as the IUCN process
548 provides a formal and consistent evaluation of population risk (Rodrigues et al., 2006)
549 across species, and stock assessments are considered during the designation process (e.g.,
550 Collette et al., 2011).

551 *Analysis considerations and implications*

552 Our results are consistent with previous studies of the effects of circle hooks on pelagic
553 fishes, in which reduced at-vessel mortality in sharks (Godin et al., 2012; Favaro and Côté,
554 2013; Gilman et al., 2016), billfishes (Graves et al., 2012; Horodysky and Graves; 2005,
555 Graves and Horodysky, 2008; Serafy et al., 2009; Prince et al., 2002), and tunas (Cooke and
556 Suski, 2004; Pacheco et al.; 2011, Skomal et al.; 2002) were found. However, ours is the first
557 meta-analysis to examine these differences at the species level for a large number of
558 species and provides new information regarding differences in catch rates and at-vessel
559 mortality between species. For example, Serafy et al. (2009) found no species-specific
560 patterns in catch rate or mortality for billfishes between circle hooks and J-hooks but found
561 higher mortality and injury rates on J-hooks across studies analyzed. Since the publication
562 of that review, several other studies have been published that we were able to include in
563 our analysis. Our findings were consistent with Serafy et al. (2009), in that all billfishes had
564 significant decreases or no change in at-vessel mortality with circle hooks. However, we
565 found significant, mixed results for catch rates – sailfish catch rates increased on circle
566 hooks, while striped marlin and shortbill spearfish catch rates were reduced on circle
567 hooks relative to J-hooks.

568 Variability among datasets (e.g., geography, hook size, shape and manufacturers, depth,
569 bait type) has previously limited the ability of meta-analyses and reviews to draw
570 definitive conclusions about the conservation value of circle hooks for target and bycatch
571 species (Cooke and Suski, 2004; Serafy et al., 2009; Serafy et al., 2012b; Graves et al., 2012).

572 We did observe heterogeneity across studies (as measured by I^2) and recognize that it is
573 due to variability among datasets that was accounted for as a random effect rather than
574 fixed-factors. By grouping at the highest level of hook type (circle or J) rather than
575 including additional fixed-factors such as hook manufacturer model, hook size, and hook
576 offset, we risk losing information. However, our estimates of effect are useful as estimates
577 of benefits over a wider range of conditions, particularly because there is a limit to the level
578 of control that regulations or conservation projects may place on the fishing characteristics
579 of participating vessels or fisheries. Including additional factors, such as hook size and
580 offset, would have reduced the available data by restricting the study dataset to those
581 studies that included the additional variables of interest. We considered binning species
582 into higher taxonomic categories (e.g., order-level analysis), which would allow for the
583 inclusion of more data; however, this greatly increased between-study heterogeneity.
584 Additionally, we recognize that for analyses that included few experiments, RR estimates
585 should be used with caution and should only be considered a first-order approximation of
586 the population mean (Hedges and Vevea, 1998), as they are based on datasets that cover
587 fewer variations in gear configuration and less geographic range, which may not overlap
588 with a species' primary range.

589 Compared to Serafy et al. (2012b), which included a smaller data set but accounted for a
590 larger number of variables, our species-specific estimates for at-vessel mortality were
591 generally more conservative in representing the magnitude of change, but in all cases
592 reflected the same trends in the direction of change. The agreement between our results
593 and similar studies suggest that our estimates could be applicable to fisheries for which we
594 lack fishery-specific estimates to generate a reasonable estimate of the benefits of using
595 circle hooks. However, we recognize the need for fishery-specific estimates of the impacts
596 of circle hooks in conjunction with the implementation of potential projects that attempt to
597 increase circle hook use in fisheries.

598 Greater coordination across scientific and management bodies with respect to common
599 study parameters and variables might allow smaller scale studies to be combined more
600 easily and, therefore, increase the power of meta-analyses. If the information provided by
601 the studies were standardized, it would expand the availability of appropriate data and
602 increase the ease with which meta-analyses such as ours could be conducted. In our case,

603 we were unable to use several studies because they did not present the total number of
604 hooks fished or species caught per hook type.

605 Overall, our results suggest that a transition to circle hooks in pelagic longline fisheries
606 could lead to lower fishing mortality for some species, including several species of
607 conservation concern. Additionally, circle hooks have been shown to increase post-release
608 survival in billfishes (Horodysky & Graves, 2005) which contributes to lower mortality.

609 **Conclusions**

610 Results of our analysis indicate that circle hooks can benefit the management and
611 conservation of target species and some common bycatch species caught in commercial
612 pelagic longline fisheries. The conversion to circle hooks in recreational rod-and-reel
613 fisheries also could enhance the conservation of billfishes and sharks. However, for circle
614 hooks to be effective in fostering species conservation, international adoption of this
615 fishing gear (and proper handling/release procedures) is needed, given the migratory
616 behavior of the majority of target and bycatch species of pelagic longline fisheries and the
617 inherent overlap in fishing effort among pelagic longline fleets and between longline and
618 some recreational fisheries.

619 The effects of circle hooks on catch rates and at-vessel mortality were mixed across
620 studies and species. Therefore, expanding the use of circle hooks as a management
621 measure for reducing bycatch mortality for a specific fishery should be evaluated prior to
622 implementation either experimentally or more specific analysis, consistent with other
623 findings (Graves et al., 2012; Cooke and Suski, 2004). Particular attention should be given
624 to species that had high I^2 , where the heterogeneity may indicate differences in
625 experimental design or fishery characteristics (e.g., bait type, hook depth, and hook types)
626 can lead to divergent results. Transition to circle hooks may be expedited by direct
627 outreach that provides fishers with opportunities to evaluate the potential for circle hooks
628 to increase catch rate of target species while decreasing catch and mortality of bycatch
629 species. Impacts to a specific fishery with respect to target species, catch rates, bycatch,
630 and management goals should be evaluated to assess the potential conservation benefits of
631 circle hooks.

632 **Acknowledgements**

633 The authors would like to acknowledge the contributions of Lindsey Feldman who
634 participated in data compilation, Joe Holmes who created the illustration for Fig. 1, and the
635 comments and suggestions of three anonymous reviewers, whose contributions have made
636 substantial improvements to the manuscript. Funding was provided by the Deepwater
637 Horizon Natural Resources Damage Assessment. The findings and conclusions in this
638 manuscript are those of the authors and do not necessarily represent the view of NOAA or
639 of any other natural resource Trustee for the BP/Deepwater Horizon NRDA.

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925 1.1 Catch rate and at-vessel mortality of circle hooks versus J-hooks 926 in pelagic longline fisheries: a global meta-analysis: Tables

927

928 Table 1: Results of the meta-analysis on catch rates showing the summary effect size (relative risk, RR) and
 929 95% confidence interval (CI). RR > 1 indicates a higher catch was calculated on circle hooks compared to J-
 930 hooks. I^2 describes the percentage of total variation caused by between-study heterogeneity rather than
 931 within-study variance. P-values that are less than or equal to 0.05 are in bold to indicate significance. Status
 932 refers to IUCN Red List conservation status category where LC - Least Concern, NT -Near Threatened, VU -
 933 Vulnerable, EN - Endangered, and CR - Critically Endangered are categories with increasing extinction risk.
 934 The categories DD - Data Deficient, and NE - Not Evaluated, are not categorized with an extinction risk.

935

	#exp.	RR	CI	I^2	p	References	Status
Aulopiformes							
Longnose lancetfish	8	0.96	0.74–1.25	71%	0.790	(Coelho et al., 2012, Curran and Bigelow, 2011, Kim et al., 2007, Kim et al., 2006, Promjinda et al., 2008, Ward et al., 2009)	LC
Carcharhiniformes							
Silky shark	9	1.4	1.18–1.67	49%	<0.001	(Afonso et al., 2011, Andraka	NT

						et al., 2013, Pacheco et al., 2011, Promjinda et al., 2008, Ward et al., 2009, Yokota et al., 2006)	
Oceanic whitetip shark	6	1.4	0.85–2.32	0%	0.190	(Afonso et al., 2011, Kim et al., 2007, Kim et al., 2006, Pacheco et al., 2011, Ward et al., 2009, Yokota et al., 2006)	VU
Night shark	2	1.87	0.75–4.66	72%	0.180	(Afonso et al., 2011, Domingo et al., 2012)	VU
Tiger shark	4	0.87	0.12–6.4	63%	0.890	(Afonso et al., 2011, Coelho et al., 2012, Promjinda et al., 2008, Ward et al., 2009)	NT
Blue shark	24	1.46	1.18–1.8	99%	<0.001	(Afonso et al., 2011, Andraka et al., 2013, Bolten and Bjorndal, 2005, Cambiè et al., 2012, Curran and Bigelow, 2011, Domingo et al., 2012, Foster et al., 2012, Huang et al., 2016, Kerstetter and Graves, 2006a, Kim et al., 2007, Kim et al., 2006, Largacha et al., 2005, Mejuto et al., 2008, Pacheco et al., 2011, Sales et al., 2010, Ward et al., 2009, Yokota et al., 2006)	NT
Scalloped hammerhead	7	0.85	0.57–1.28	0%	0.440	(Afonso et al., 2011, Domingo et al., 2012, Kim et al., 2007, Kim et al., 2006, Pacheco et al., 2011, Sales et al., 2010)	EN

Smooth hammerhead	2	0.25	0.03–2.31	0%	0.220	(Kim et al., 2007, Kim et al., 2006)	VU
Lamniformes							
Pelagic thresher	6	0.6	0.25–1.42	26%	0.250	(Andraka et al., 2013, Domingo et al., 2012, Promjinda et al., 2008, Yokota et al., 2006)	VU
Bigeye thresher	10	1.5	0.97–2.31	84%	0.070	(Coelho et al., 2012, Curran and Bigelow, 2011, Kim et al., 2007, Kim et al., 2006, Promjinda et al., 2008, Ward et al., 2009, Yokota et al., 2006)	VU
Shortfin mako	12	1.71	1.57–1.86	0%	<0.001	(Afonso et al., 2011, Andraka et al., 2013, Domingo et al., 2012, Foster et al., 2012, Kim et al., 2006, Mejuto et al., 2008, Pacheco et al., 2011, Sales et al., 2010, Ward et al., 2009, Yokota et al., 2006)	VU
Salmon shark	3	2.04	1.05–3.96	16%	0.036	(Kim et al., 2007, Kim et al., 2006, Yokota et al., 2006)	LC
Porbeagle shark	3	2.08	1.84–2.34	0%	<0.001	(Domingo et al., 2012, Foster et al., 2012)	VU
Crocodile shark	7	3.46	1.81–6.63	88%	2e-04	(Coelho et al., 2012, Kim et al., 2007, Kim et al., 2006, Pacheco et al., 2011, Ward et al., 2009)	NT

Lampridiformes

Opah	4	1.18	0.68–2.02	87%	0.560	(Curran and Bigelow, 2011, Kim et al., 2007, Ward et al., 2009)	LC
Myliobatiformes							
Pelagic stingray	15	0.64	0.36–1.13	87%	0.120	(Andraka et al., 2013, Cambiè et al., 2012, Coelho et al., 2012, Curran and Bigelow, 2011, Domingo et al., 2012, Kerstetter and Graves, 2006a, Kim et al., 2007, Kim et al., 2006, Pacheco et al., 2011, Promjinda et al., 2008, Ward et al., 2009)	LC
Percoidei							
Atlantic pomfret	2	3.11	0.86–11.22	90%	0.084	(Kim et al., 2007, Kim et al., 2006)	LC
Sickle pomfret	2	0.83	0.71–0.97	68%	0.022	(Curran and Bigelow, 2011)	NE
Dolphinfish	21	0.84	0.74–0.97	95%	0.013	(Andraka et al., 2013, Cambiè et al., 2012, Curran and Bigelow, 2011, Domingo et al., 2012, Kerstetter and Graves, 2006a, Kim et al., 2007, Kim et al., 2006, Largacha et al., 2005, Pacheco et al., 2011, Promjinda et al., 2008, Sales et al., 2010, Ward et al., 2009)	LC
Great barracuda	3	1.35	0.25–7.18	0%	0.730	(Kim et al., 2007, Promjinda et al., 2008, Ward et al., 2009)	LC

Scombroidei

Snake mackerel	4	0.34	0.31–0.37	0%	<0.001	(Curran and Bigelow, 2011, Promjinda et al., 2008, Ward et al., 2009)	LC
Escolar	11	1.31	0.94–1.82	82%	0.110	(Andraka et al., 2013, Curran and Bigelow, 2011, Domingo et al., 2012, Kerstetter and Graves, 2006a, Kim et al., 2007, Kim et al., 2006, Pacheco et al., 2011, Promjinda et al., 2008, Ward et al., 2009)	LC
Oilfish	6	0.76	0.49–1.18	0%	0.220	(Cambiè et al., 2012, Domingo et al., 2012, Kim et al., 2007, Kim et al., 2006, Largacha et al., 2005)	LC
Wahoo	9	1.08	0.69–1.69	73%	0.730	(Andraka et al., 2013, Curran and Bigelow, 2011, Domingo et al., 2012, Kim et al., 2007, Kim et al., 2006, Pacheco et al., 2011, Ward et al., 2009)	LC
Skipjack tuna	7	1.08	0.69–1.69	57%	0.730	(Andraka et al., 2013, Curran and Bigelow, 2011, Kim et al., 2007, Kim et al., 2006, Ward et al., 2009)	LC
Albacore	11	1.46	1.01–2.1	93%	0.044	(Curran and Bigelow, 2011, Domingo et al., 2012, Foster et al., 2012, Huang et al., 2016, Kim et al., 2007, Kim et al., 2006, Pacheco et al., 2011,	NT

						Sales et al., 2010, Ward et al., 2009)	
Yellowfin tuna	16	1.32	1.07–1.62	87%	0.010	(Andraka et al., 2013, Curran and Bigelow, 2011, Domingo et al., 2012, Huang et al., 2016, Kim et al., 2007, Kim et al., 2006, Largacha et al., 2005, Pacheco et al., 2011, Promjinda et al., 2008, Sales et al., 2010, Ward et al., 2009)	NT
Bigeye tuna	14	1.38	1.13–1.68	92%	0.002	(Andraka et al., 2013, Curran and Bigelow, 2011, Domingo et al., 2012, Foster et al., 2012, Huang et al., 2016, Kim et al., 2007, Kim et al., 2006, Largacha et al., 2005, Pacheco et al., 2011, Sales et al., 2010, Ward et al., 2009)	VU
Bluefin tuna	2	1.87	1.3–2.7	27%	<0.001	(Cambiè et al., 2012, Foster et al., 2012)	EN
Squaliformes							
Velvet dogfish	2	3.48	0.41–29.32	75%	0.250	(Kim et al., 2007, Kim et al., 2006)	NE
Testudines							
Loggerhead sea turtle	16	0.58	0.36–0.92	91%	0.021	(Andraka et al., 2013, Boggs and Swimmer, 2007, Bolten and Bjorndal, 2005, Cambiè et al., 2012, Domingo et al., 2012, Foster et al., 2012, Gilman et al., 2007, Huang et	VU

						al., 2016, Mejuto et al., 2008, Piovano et al., 2012, Sales et al., 2010)	
Green sea turtle	10	0.72	0.49–1.06	37%	0.100	(Andraka et al., 2013, Largacha et al., 2005, Pacheco et al., 2011, Sales et al., 2010)	EN
Hawksbill sea turtle	6	0.8	0.31–2.02	7%	0.630	(Andraka et al., 2013)	CR
Olive ridley sea turtle	14	0.69	0.53–0.89	60%	0.005	(Andraka et al., 2013, Huang et al., 2016, Kim et al., 2007, Kim et al., 2006, Largacha et al., 2005, Mejuto et al., 2008, Pacheco et al., 2011, Santos et al., 2012)	VU
Leatherback sea turtle	10	0.64	0.38–1.08	89%	0.093	(Andraka et al., 2013, Domingo et al., 2012, Foster et al., 2012, Gilman et al., 2007, Huang et al., 2016, Mejuto et al., 2008, Pacheco et al., 2011, Sales et al., 2010, Santos et al., 2012)	VU
Tetraodontiformes							
Ocean sunfish	6	0.99	0.73–1.35	7%	0.970	(Cambiè et al., 2012, Coelho et al., 2012, Domingo et al., 2012, Ward et al., 2009)	VU
Xiphoidei							
Black marlin	2	1.11	0.78–1.58	0%	0.560	(Andraka et al., 2013, Promjinda et al., 2008)	DD
Sailfish	8	1.2	1–1.44	38%	0.048	(Andraka et al., 2013, Kim et	LC

						al., 2007, Kim et al., 2006, Pacheco et al., 2011, Promjinda et al., 2008)	
White marlin	2	0.98	0.77–1.25	0%	0.880	(Andraka et al., 2013, Pacheco et al., 2011)	VU
Striped marlin	5	0.86	0.76–0.97	56%	0.015	(Curran and Bigelow, 2011, Kim et al., 2007, Kim et al., 2006, Ward et al., 2009)	NT
Blue marlin	7	0.96	0.63–1.46	69%	0.840	(Andraka et al., 2013, Curran and Bigelow, 2011, Kim et al., 2007, Kim et al., 2006, Pacheco et al., 2011, Ward et al., 2009)	VU
Shortbill spearfish	6	0.66	0.51–0.84	56%	0.001	(Curran and Bigelow, 2011, Huang et al., 2016, Kim et al., 2007, Kim et al., 2006, Ward et al., 2009)	DD
Swordfish	26	1	0.81–1.23	97%	0.980	(Andraka et al., 2013, Boggs and Swimmer, 2007, Bolten and Bjorndal, 2005, Cambiè et al., 2012, Curran and Bigelow, 2011, Domingo et al., 2012, Foster et al., 2012, Gilman et al., 2007, Huang et al., 2016, Kerstetter and Graves, 2006a, Kim et al., 2007, Kim et al., 2006, Mejuto et al., 2008, Pacheco et al., 2011, Piovano et al., 2012, Promjinda et al., 2008, Sales et al., 2010, Ward et al., 2009)	LC

936 Table 2: Results of the meta-analysis on at-vessel mortality showing the summary effect size (relative risk,
 937 RR) and 95% confidence interval (CI). RR > 1 indicates a higher at-vessel mortality was calculated on circle
 938 hooks compared to J-hooks. I^2 describes the percentage of total variation caused by between-study
 939 heterogeneity rather than within-study variance. P-values that are less than or equal to 0.05 are in bold to
 940 indicate significance. Status refers to IUCN Red List conservation status category where LC – Least Concern,
 941 NT - Near Threatened, VU - Vulnerable, EN - Endangered, and CR - Critically Endangered are categories with
 942 increasing extinction risk. The categories DD - Data Deficient, and NE - Not Evaluated, are not categorized
 943 with an extinction risk.

	#exp.	RR	CI	I^2	p	References	Status
Aulopiformes							
Longnose lancetfish	2	1.07	0.9–1.28	98%	0.420	(Curran and Bigelow, 2011)	LC
Carcharhiniformes							
Silky shark	2	0.57	0.24–1.32	54%	0.190	(Afonso et al., 2011, NMFS, 2011)	NT
Oceanic whitetip shark	2	0.38	0.16–0.9	0%	0.028	(Afonso et al., 2011, Pacheco et al., 2011)	VU
Dusky Shark	2	0.63	0.35–1.16	42%	0.140	(Afonso et al., 2011, NMFS, 2011)	VU
Tiger shark	2	1.08	0.31–3.71	40%	0.910	(Afonso et al., 2011, NMFS, 2011)	NT
Blue shark	9	0.99	0.88–1.12	88%	0.930	(Afonso et al., 2011, Curran and Bigelow, 2011, Epperly et al., 2012, Huang et al., 2016, Pacheco et al., 2011, Yokota et al., 2006, NMFS, 2011)	NT
Scalloped hammerhead	2	0.79	0.72–0.86	0%	<0.001	(Afonso et al., 2011, NMFS, 2011)	EN

Lamniformes

Bigeye thresher	4	1.08	0.9–1.3	61%	0.400	(Coelho et al., 2012, Curran and Bigelow, 2011, NMFS, 2011)	VU
Shortfin mako	6	0.89	0.82– 0.96	1%	0.005	(Afonso et al., 2011, Epperly et al., 2012, Pacheco et al., 2011, Yokota et al., 2006, NMFS, 2011)	VU
Porbeagle shark	2	0.89	0.79– 1.01	9%	0.074	(Epperly et al., 2012, NMFS, 2011)	VU
Crocodile shark	2	0.97	0.51– 1.85	0%	0.930	(Coelho et al., 2012, Pacheco et al., 2011)	NT

Lampridiformes

Opah	2	0.78	0.66– 0.93	0%	0.006	(Curran and Bigelow, 2011)	LC
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Myliobatiformes

Pelagic stingray	4	1.07	0.48– 2.41	0%	0.860	(Coelho et al., 2012, Curran and Bigelow, 2011, Pacheco et al., 2011)	LC
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Percoidei

Sickle pomfret	2	0.95	0.7– 1.29	0%	0.740	(Curran and Bigelow, 2011)	NE
Dolphinfish	4	0.83	0.76– 0.91	50%	<0.001	(Curran and Bigelow, 2011, Pacheco et al., 2011, NMFS, 2011)	LC

Scombroidei

Snake mackerel	2	0.97	0.85– 1.09	0%	0.590	(Curran and Bigelow, 2011)	LC
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Escolar	3	0.7	0.61– 0.8	0%	<0.001	(Curran and Bigelow, 2011, NMFS, 2011)	LC
Wahoo	3	1.01	0.96– 1.07	0%	0.700	(Curran and Bigelow, 2011, Pacheco et al., 2011)	LC
Skipjack tuna	2	0.97	0.95–1	0%	0.077	(Curran and Bigelow, 2011)	LC
Albacore	6	0.99	0.92– 1.07	60%	0.840	(Curran and Bigelow, 2011, Epperly et al., 2012, Huang et al., 2016, Pacheco et al., 2011, NMFS, 2011)	NT
Yellowfin tuna	5	0.84	0.75– 0.94	74%	0.003	(Curran and Bigelow, 2011, Huang et al., 2016, Pacheco et al., 2011, NMFS, 2011)	NT
Bigeye tuna	6	0.91	0.76– 1.09	95%	0.310	(Curran and Bigelow, 2011, Epperly et al., 2012, Huang et al., 2016, Pacheco et al., 2011, NMFS, 2011)	VU
Bluefin tuna	2	0.86	0.81– 0.91	0%	9e-08	(Epperly et al., 2012, NMFS, 2011)	EN
Testudines							
Loggerhead sea turtle	5	1.41	0.61– 3.26	8%	0.420	(Cambiè et al., 2012, Gilman et al., 2007, Mejuto et al., 2008, Sales et al., 2010, NMFS, 2011)	VU
Leatherback sea turtle	4	1.49	0.49– 4.56	25%	0.480	(Huang et al., 2016, Mejuto et al., 2008, Pacheco et al., 2011, NMFS, 2011)	VU
Xiphoidei							
Sailfish	2	0.71	0.5–1	3%	0.048	(Pacheco et al., 2011, NMFS, 2011)	LC
White marlin	2	0.84	0.77– 0.9	0%	<0.001	(Pacheco et al., 2011, NMFS, 2011)	VU

Striped marlin	2	1.06	0.8– 1.41	62%	0.670	(Curran and Bigelow, 2011)	NT
Blue marlin	4	0.82	0.75– 0.9	0%	<0.001	(Curran and Bigelow, 2011, Pacheco et al., 2011, NMFS, 2011)	VU
Shortbill spearfish	3	1.01	0.94– 1.08	33%	0.870	(Curran and Bigelow, 2011, Huang et al., 2016)	DD
Swordfish	6	0.92	0.87– 0.97	80%	0.004	(Curran and Bigelow, 2011, Epperly et al., 2012, Huang et al., 2016, Pacheco et al., 2011, NMFS, 2011)	LC

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948 Table 3: Species by IUCN status. See text for details on status determination. IUCN status refers to IUCN Red
949 List conservation status category where LC - Least Concern, NT - Near Threatened, VU -Vulnerable, EN -
950 Endangered, and CR - Critically Endangered are categories with increasing extinction risk. The categories DD -
951 Data Deficient, and NE - Not evaluated, are not categorized with an extinction risk. Species in bold were found
952 to have a relative risk of catch rate or at-vessel mortality significantly different from zero. Those species are
953 followed by an indication of the direction of the relative risk (catch rate, at-vessel mortality). A dash (-)
954 indicates not significantly different from zero for that parameter (catch rate, at-vessel mortality).

IUCN Status	Species
CR	Hawksbill sea turtle
EN	Green sea turtle, Scalloped hammerhead(-,↓) , Bluefin tuna(↑,↓)
VU	Bigeye thresher, Oceanic whitetip shark(-,↓) , Dusky shark, Night shark, Loggerhead sea turtle(↓,-) , Leatherback sea turtle, Shortfin mako(↑,↓) , White marlin(-,↓) , Porbeagle(↑,-) , Olive ridley sea turtle(↓,-) , Blue marlin(-,↓) , Ocean sunfish, Smooth hammerhead, Bigeye tuna(↑,-)

NT	Silky shark (↑,-) Tiger shark, Striped marlin (↓,-), Blue shark (↑,-), Crocodile shark (↑,-), Albacore (↑,-), Yellowfin tuna (↑,↓)
LC	Wahoo, Longnose lancetfish, Atlantic pomfret, Dolphinfish (↓,↓), Snake mackerel (↓,-), Sailfish (↑,↓), Skipjack tuna, Salmon shark (↑,-), Opah (-,↓), Escobar (-,↓), Pelagic stingray, Oilfish, Great barracuda, Blackfin tuna, Swordfish (-,↓)
DD	Black Marlin, Shortbill spearfish (↓,-)
NE	Velvet dogfish, Sickle pomfret (↓,-)

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957 Figure 1. Diagram of circle, tuna, and J-hook. Arrows represent the distinctive
 958 characteristics of each style of hook. Tuna hook – the curved shaft, J-hook - the point is
 959 parallel to the shaft, Circle hook – the point is turned inward relative to the shaft.

960 Figure 2. Effect size of hook type on catch rate for swordfish for experiments considered in
 961 this analysis and estimated by the resulting model (RE model). ‘Events’ refer to observed
 962 catch and ‘total’ indicates the number of hooks fished. Effect size (relative risk - RR), 95%
 963 confidence intervals (CI), and weights (%W) are shown indicated for each study and the
 964 meta-analysis model. Numeric superscript refers to the experiment identification number
 965 provided to distinguish between experiments within a reference.

966 Figure 3. Effect size of hook type on at-vessel mortality for swordfish for experiments
 967 considered in this analysis and estimated by the resulting model (RE model). ‘Events’ refer
 968 to observed mortalities and ‘total’ indicates the number fish caught. Effect size (relative
 969 risk - RR), 95% confidence intervals (CI), and weights (%W) are indicated for each study
 970 and the meta-analysis model. Numeric superscript refers to the experiment identification
 971 number provided to distinguish between experiments within a reference.

972 Figure 4. Effect size of hook type on catch rate for yellowfin tuna for experiments
 973 considered in this analysis and estimated by the resulting model (RE model). ‘Events’ refer

974 to observed catch and 'total' indicates the number of hooks. Effect size (relative risk - RR),
975 95% confidence intervals (CI), and weights (%W) are indicated for each study and the
976 meta-analysis model. Numeric superscript refers to the experiment identification number
977 provided to distinguish between experiments within a reference.

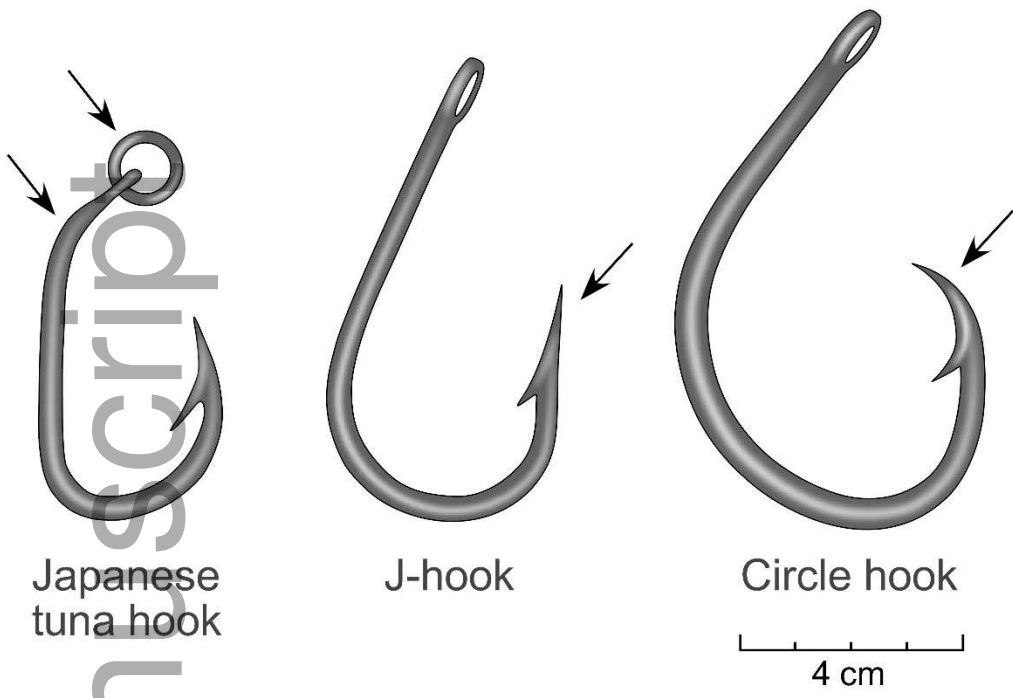
978 Figure 5. Effect size of hook type on at-vessel mortality for yellowfin tuna for experiments
979 considered in this analysis and estimated by the resulting model (RE model). 'Events' refer
980 to observed mortalities and 'total' indicates the number of fish caught. Effect size (relative
981 risk - RR), 95% confidence intervals (CI), and weights (%W) are indicated for each
982 experiment and the meta-analysis model. Numeric superscript refers to the experiment
983 identification number provided for the purpose of distinguishing between experiments
984 within a reference.

985 Figure 6. Effect size (relative risk - RR) of hook type on catch rate for species for which a
986 significant difference was observed. Squares represent mean values and lines show the
987 Wald-type 95% confidence intervals estimated by the model. Values < 1 represent
988 significantly lower at-vessel mortality on circle hooks relative to J-hooks. IUCN status
989 refers to IUCN Red List conservation status category.

990 Figure 7. Effect size (relative risk - RR) of hook type on at-vessel mortality for species for
991 which a significant difference was observed. Square represent the mean values and lines
992 show the Wald-type 95% confidence intervals estimated by the model. Values < 1
993 represent significantly lower at-vessel mortality of fish caught on circle hooks relative to J-
994 hooks. IUCN status refers to IUCN Red List conservation status category.

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Japanese tuna hook

J-hook

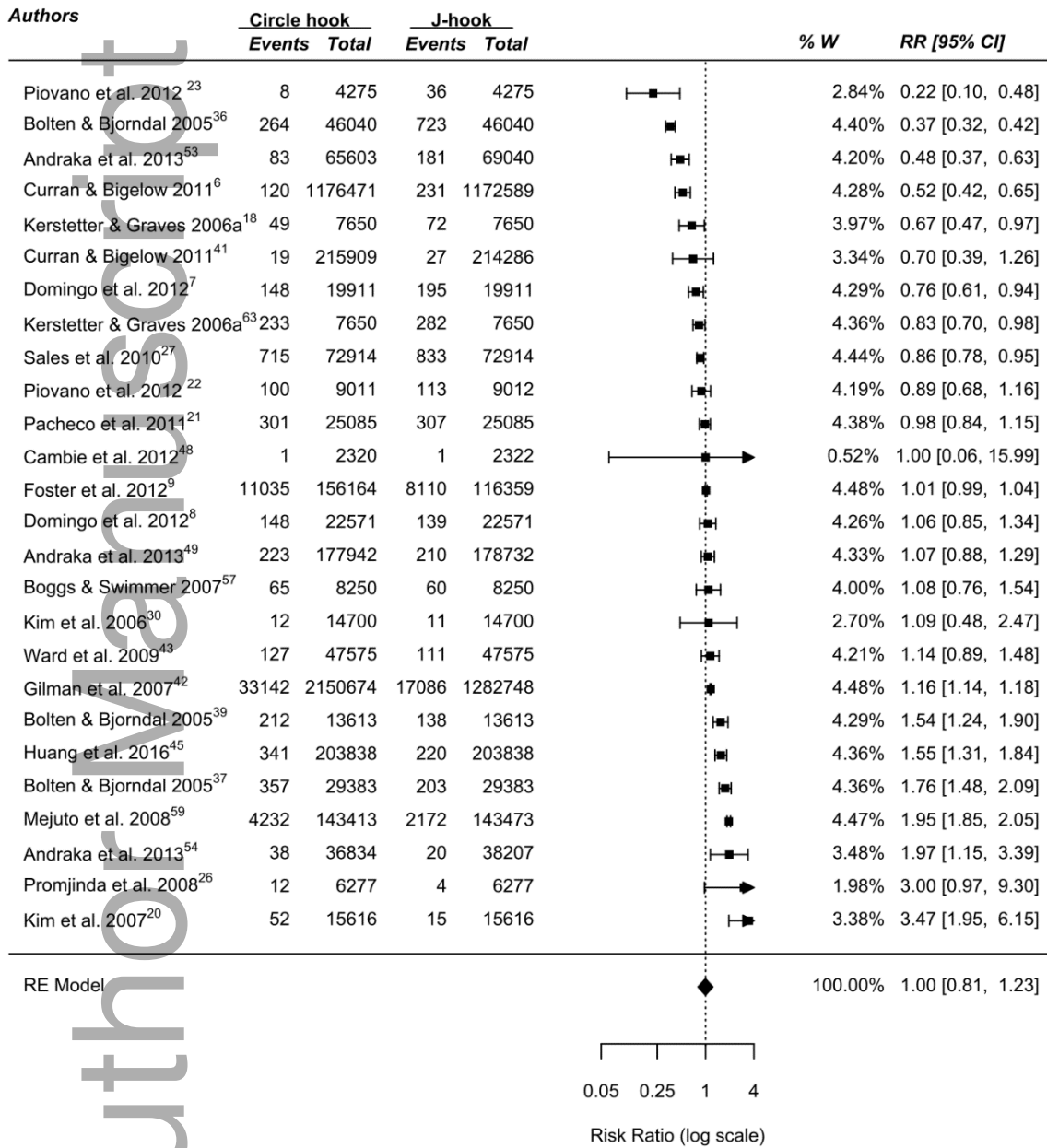
Circle hook

4 cm

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998 Figure 1.

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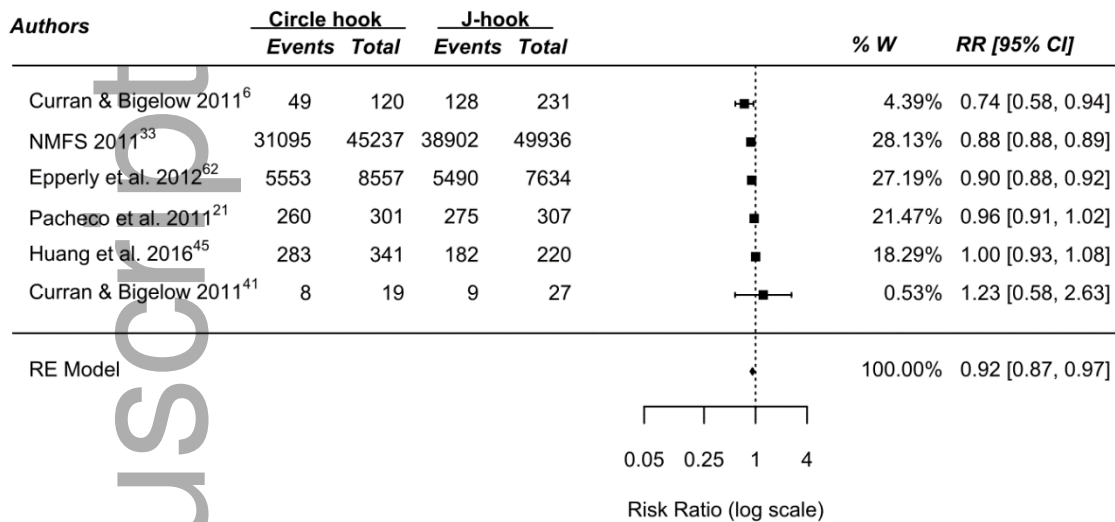


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1000 Figure 2. Swordfish catch rate

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1004 Figure 3. Swordfish at-vessel mortality

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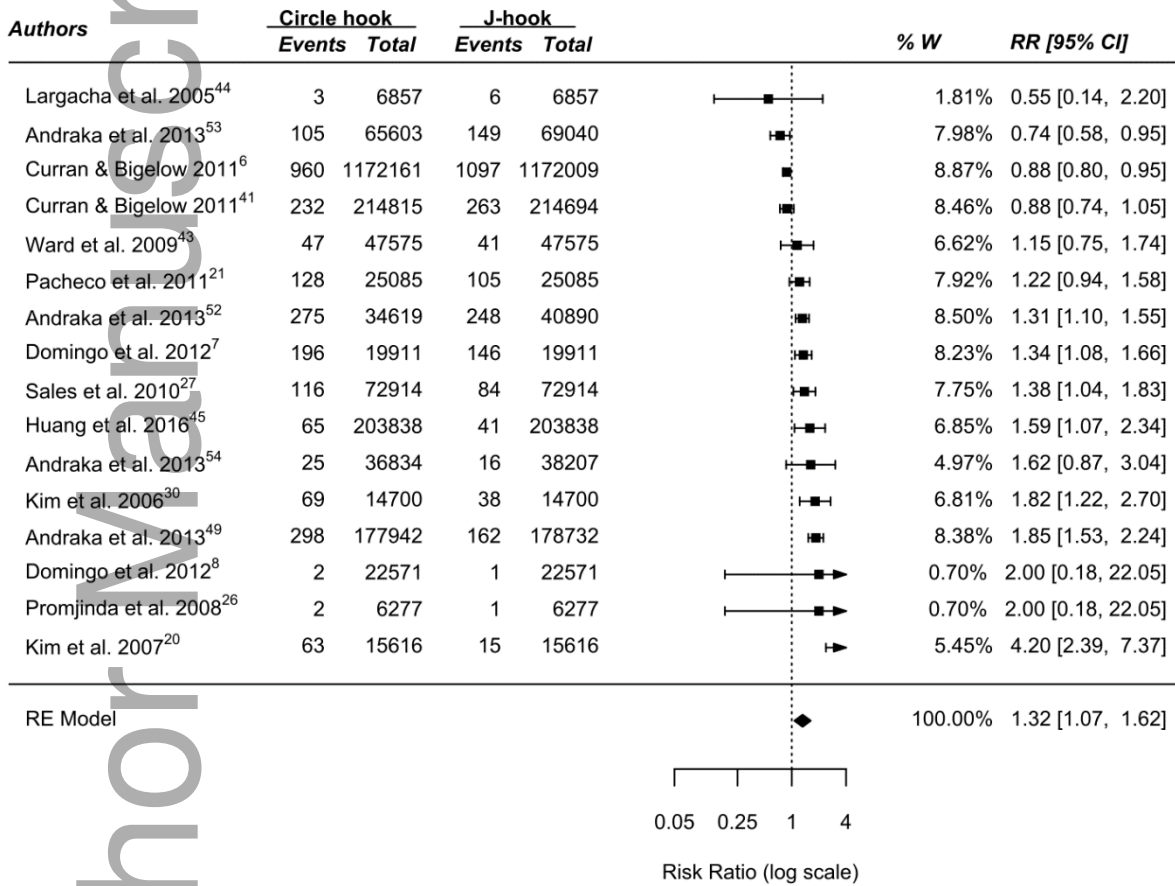
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1021 Figure 4. Yellowfin tuna catch rate

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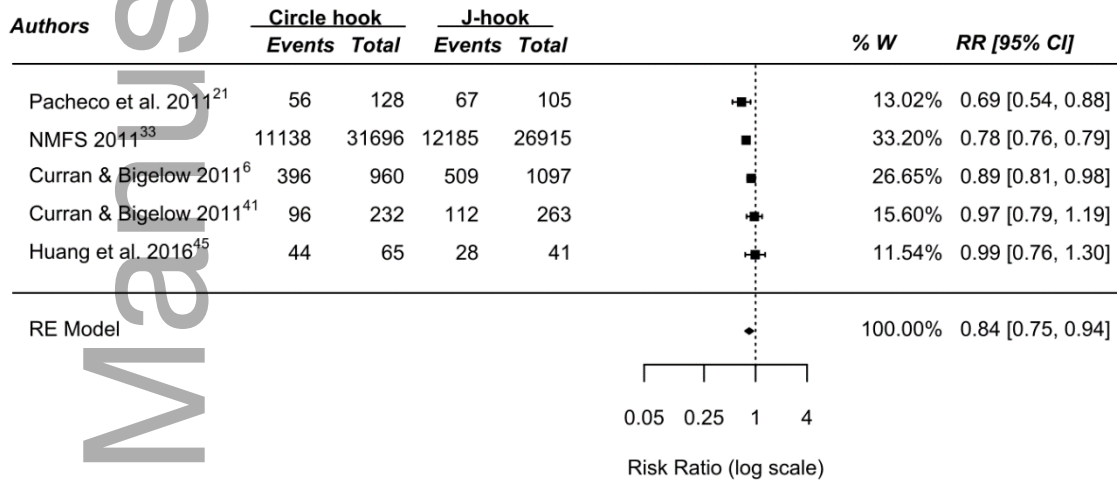
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1032 Figure 5. Yellowfin tuna at-vessel mortality

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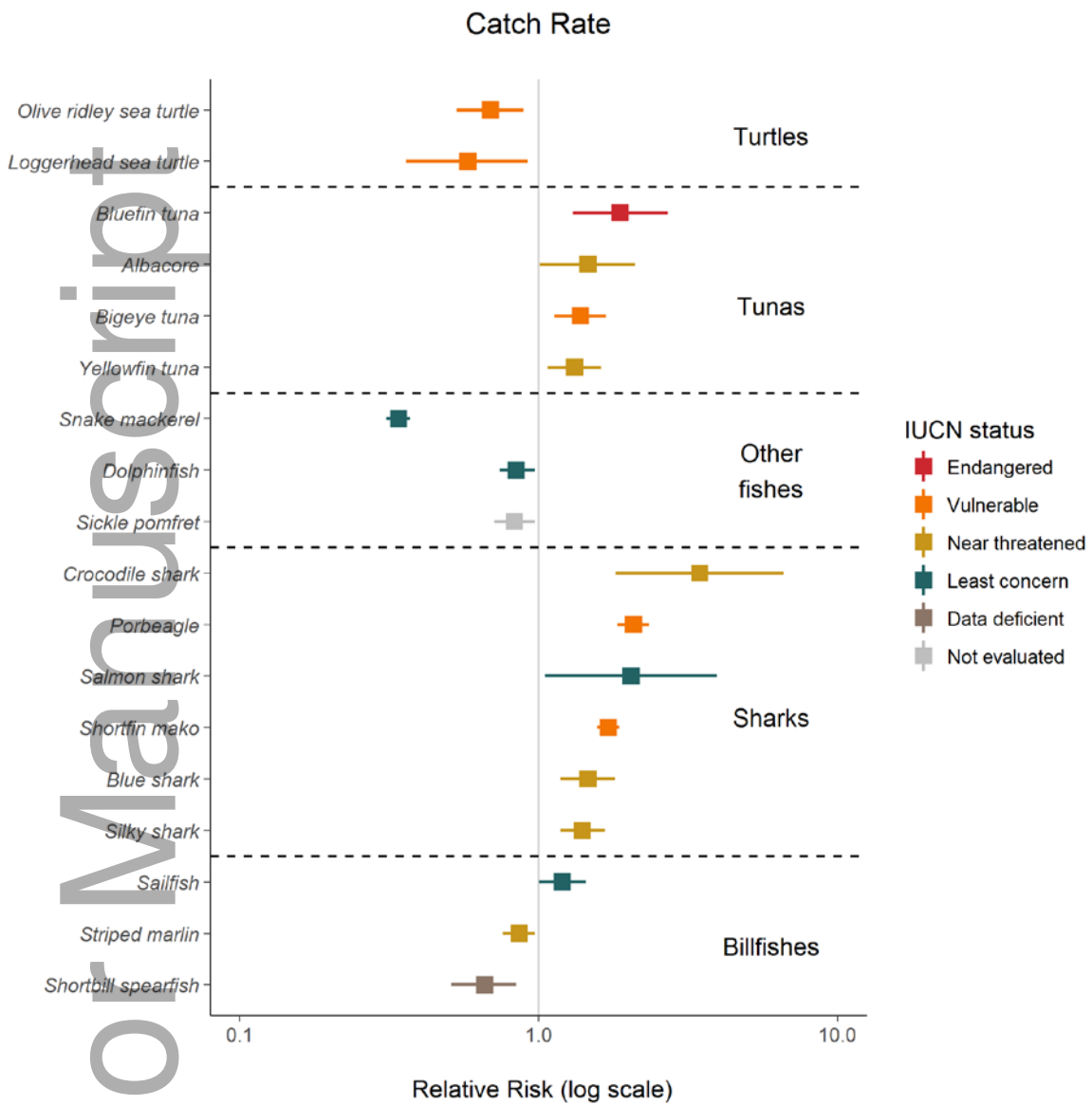
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1050 Figure 6.

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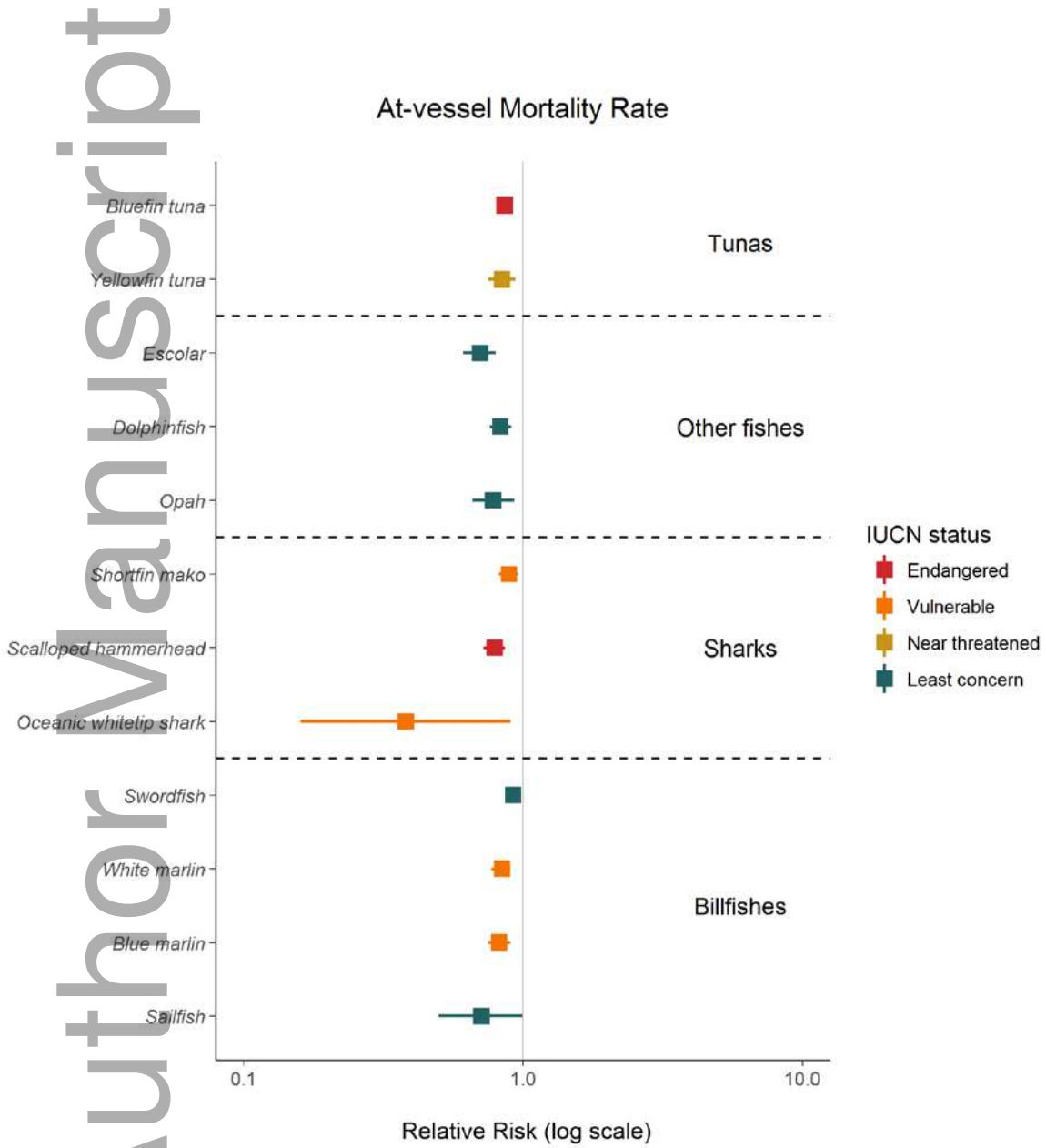
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1059 Figure 7.