

1 **Predicting market squid (*Doryteuthis opalescens*) landings from pre-recruit abundance**

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8 **Abstract**

9 The fishery for market squid (*Doryteuthis opalescens*) in California is typical of many of the  
10 world's cephalopod fisheries, in that a very short life span and the effect of environmental  
11 forcing on recruitment result in enormous interannual variability in catches and population size.  
12 We evaluate the utility of a pre-recruit index of squid abundance that is based on midwater trawl  
13 sampling in the 3-5 months preceding the onset of the fishery as a basis for predicting landings.  
14 Catches in the survey largely represent squid in the 30-50 mm dorsal mantle length size range,  
15 representing individuals 30-90 day old. Catch-per-unit-effort statistics are derived from simple  
16 two-factor  $\Delta$ -Generalized Linear Models, with year and station as main effects and numbers per  
17 tow as the dependent variable. Regional models for northern and southern squid populations are  
18 developed. Pre-recruit indices, as well as indices of squid prey (krill) abundance are compared  
19 with landings data, as well as estimates of squid spawning stock biomass derived from an egg  
20 escapement model. Our results show that the abundance of pre-recruit market squid and krill  
21 sampled in the survey tracks both catches and overall population size, providing the potential to  
22 forecast landings. Our findings are consistent with a sparse but growing literature showing the  
23 potential utility of pre-recruit surveys to inform fisheries participants and managers.

24 **Keywords:** market squid, krill, pre-recruit, landings, forecast

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## 1. Introduction

Globally, commercial fisheries for cephalopods are among the largest invertebrate fisheries in the world, and most of these target populations that are fast growing, highly variable ecological opportunists, with low predictability in population dynamics (O'dor and Webber, 1986; Arkhipkin et al., 2015; Doubleday et al., 2016). The commercial fishery for market squid (*Doryteuthis opalescens*) is no exception, as the stock is characterized by a high population turnover rate, with high plasticity in life-history characteristics, resulting high variability in abundance and catches. This fishery ranks among the State of California's top commercial fisheries in terms of volume and value; between 2000 and 2015 the fishery was the largest single species fishery in the State by volume for all but three years and was the largest in terms of ex-vessel value for over half of those years. The 2010-2014 period was particularly productive, with landings and ex-vessel revenue averaging more than 110,000 (mt) and \$70 million per year, respectively (Porzio, 2015).

As with most well studied cephalopod populations (Boyle and Rodhouse, 2005; Rodhouse et al., 2014), previous research on this species has demonstrated that environmental factors have a strong influence on market squid recruitment, growth, abundance, and distribution (McInnis and Broenkow 1978; Jackson and Domeier, 2003; Reiss et al., 2004; Koslow and Allen, 2011), with corresponding volatility in abundance and catches associated with El Niño events and anomalous ocean conditions (Zeidberg et al., 2006; van Noord and Dorval, 2017). For example, landings dropped from an average of 75,000 mt in 1996-1997 to less than 3,000 mt in 1998 in response to the strong 1997-1998 El Niño. Landings subsequently increased by over 30-fold, with 1999 and 2000 catches averaging more than 100,000 mt. Such volatility seems to be driven by high sensitivity to variable ocean conditions combined with very high turnover in the population, as most individuals are thought to live no more than 6-9 months (Butler et al., 1999; Jackson and Domier, 2003).

Although the commercial fishery for market squid has existed since the late 1800s (Fields, 1965; Vojkovich, 1998), demand for squid increased markedly in the 1990s, leading to growth of the fishery; landings volatility has seemed to increase as the fishery grew. In particular, participation and landings increased rapidly during the El Niño and subsequent La Niña events of the late 1990s that saw dramatic fluctuations in landings and apparent abundance (Pomeroy and Fitzsimmons, 2001; Zeidberg et al., 2006). Management measures for the fishery at the time of this manuscript include an annual catch limit of 107,048 mt (based on the highest catches reported prior to adoption of the management plan), limited entry into the fishery, weekend closures to provide for periods of uninterrupted spawning, lighting restrictions, and development of monitoring programs that include port sampling and logbooks (Leos, 1998; CDFW, 2005). Port sampling data have been used to assess the magnitude of fishing mortality and spawning population abundance based on an egg-escapement method, in which the relative fraction of potential oocytes (eggs) released from fishery-captured females harvested on their spawning grounds is compared to the spawning potential had no squid been captured (Macewicz et al., 2004; Maxwell et al., 2005; Dorval et al., 2013). While these methods lead to insights that can provide a strong overall basis for management, results are not available until well after the fishery is prosecuted. Given the volatile nature of the resource and the fishery, it could be of considerable value to both the fishing industry and to fisheries managers to have some predictive capability of near term population abundance.

We develop indices of abundance of pre-recruit market squid, as well as a key market squid prey item (krill), based on data collected in a midwater trawl survey that was designed to

73 estimate the abundance of young-of-the-year pelagic juvenile rockfish (Ralston et al., 2013), but  
74 using methods comparable to those used to implement pre-recruit surveys in other cephalopod  
75 fisheries (e.g., Kidokoro et al., 2014). We evaluate their potential to inform near-term forecasts  
76 of squid abundance by comparing the indices to regional catches and to biomass estimates that  
77 were hind-casted using the egg escapement method of Dorval et al. (2013). Our objective is to  
78 evaluate whether survey indices are sufficiently informative as to provide some value as a pre-  
79 recruit index for either fishery participants or fisheries managers relative to near term  
80 expectations of resource productivity.

## 81 **2. Materials and methods**

82 We obtained commercial landings of market squid from California Department of Fish and  
83 Wildlife (CDFW) fish ticket reports to provide the spatial and temporal context of the fishery.  
84 These were summarized to provide landed weights (mt) of market squid by: year (1990-2014),  
85 major port (Eureka, Fort Bragg, Bodega Bay, San Francisco, Monterey, Morro Bay, Santa  
86 Barbara, Los Angeles, and San Diego; Fig. 1), and quarter (Jan-Mar, Apr-Jun, Jul-Sep, and Oct-  
87 Dec).

88 Field and analytical methods of the National Marine Fisheries Service, Southwest Fisheries  
89 Science Center (SWFSC) rockfish recruitment and ecosystem assessment survey (RREAS) have  
90 been presented in considerable detail in previous publications (cf., Ralston et al., 2015; Sakuma  
91 et al., 2016). In brief, midwater trawl sampling occurs at night in May-June at a series of fixed  
92 station locations with a modified-Cobb trawl that has a 9.5 mm mesh cod-end liner and is  
93 effective at retaining epipelagic micronekton (free swimming organisms generally < 200 mm).  
94 The net is towed for 15 minutes and quantitative sampling is obtained by deploying 85 m of  
95 trawl warp and adjusting the speed of the vessel in real time to maintain a targeted headrope  
96 depth of 30 m.

97 The contents of the trawl are sorted to the lowest taxon possible and enumerated. Starting in  
98 1990, a concerted effort was made to improve and standardize abundance estimates of a variety  
99 of taxa, including market squid, and we limit our consideration to that year forward. Moreover,  
100 starting in 2004 trawl-specific length compositions of market squid catches were collected  
101 (dorsal mantle length (DML) mm), allowing for a description of the life-history stages of  
102 captured squid and an understanding of variation in their sampling. The RREAS was also  
103 expanded spatially in 2004 to cover all of southern and central California, i.e., lat. 32°30'–39°50'  
104 N (Sakuma et al., 2006). Prior to that year the survey was limited to the core portion of the latter  
105 region (lat. 36°30'–38°20' N).

106 For this study, the enumerated catch of market squid from each trawl<sup>1</sup> was treated as catch-  
107 per-unit-effort (CPUE) abundance data ( $n \cdot \text{tow}^{-1}$ ). CPUE data from standard trawl survey  
108 stations were summarized using delta-generalized linear models ( $\Delta$ -GLM) (Stefánsson, 1996;  
109 Dick, 2004; Maunder and Punt, 2004). With this approach, the data were fitted separately to a  
110 binomial presence/absence model and a lognormal model with zero catches removed. Estimated  
111 effects from the two models were then combined multiplicatively. Aside from the dependent  
112 variables, link functions, and error distributions, both models were identically structured,  
113 including only main effects for year and station. This modeling approach was applied to subsets  
114 of the survey data that were regionally stratified north and south of Point Conception, a major  
115 zoogeographic faunal break separating regional squid fisheries (CDFW, 2005).

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<sup>1</sup> All catch and length information is publicly available at <https://coastwatch.pfeg.noaa.gov/erddap/index.html>

116 As the survey also encounters considerable amounts of adult krill, which are known to  
117 dominate the diet of market squid (Fields, 1965; Karpov and Cailliet, 1979), we applied the same  
118  $\Delta$ -GLM approach to adult krill catches from the survey to evaluate whether prey abundance  
119 (krill) is related to squid catches or abundance. The two dominant krill species captured in the  
120 survey are *Euphausia pacifica*, which tends to have an offshore distribution, and *Thysanoessa*  
121 *spinifera*, which is more abundant over the shelf (Santora et al., 2011), and is thus more likely to  
122 reflect prey availability for market squid. However, species-specific identifications of krill in the  
123 survey did not start until 2002 (Sakuma et al. 2016). Consequently we developed time series of  
124 “krill” abundance from 1990-2015 for the core region and 2004-2015 for the southern region.

125 The  $\Delta$ -GLM models provided separate time series of both squid and krill CPUE by region,  
126 offering a basis for evaluating factors that might affect squid population abundance and landings.  
127 However, as both our survey indices and associated landings data and biomass estimates  
128 demonstrated significant autocorrelation, it was necessary to apply time series methods to the  
129 input time series to ensure stationarity (constant mean and equal variance) and remove the effects  
130 of autocorrelation that can lead to spurious correlations based on temporal dependencies. We  
131 followed the approach of Shumway and Stoffer (2011) by fitting an autoregressive integrated  
132 moving average (ARIMA) model to the input time series (survey cpue indices), applying the  
133 parameters from the ARIMA model to the output (third quarter landings, biomass estimates) time  
134 series, and running a cross correlation function on the resulting residuals. The resulting pre-  
135 whitened cross correlation coefficients represent the appropriate statistical relationships between  
136 the predictive and observed time series. Due to our interest in evaluating near term fishery  
137 potential in the fall based on spring squid or krill catches, only cross correlations with no time  
138 lags were reported here.

139 Market squid “station” estimates of CPUE from a coastwide  $\Delta$ -GLM model were mapped to  
140 depict the overall spatial distribution of market squid sampled in the trawl survey. An Inverse  
141 Distance Weighted (IDW) interpolation in ArcGIS was used to contour model estimates in log<sub>e</sub>-  
142 space. We contoured using 2-5 neighbors and stations where squid were never captured were  
143 treated as null values. Lastly, we clipped a 30 nautical mile (nm) buffer around each station to  
144 visualize the final IDW estimates. We also assessed concordance between the spatial  
145 distribution of squid encountered by the survey and regions of high squid landings to evaluate  
146 whether the survey effectively samples the squid stock. To do so we paired midwater trawl  
147 station locations with the nine major ports in the State (see above) by minimizing the absolute  
148 differences in their latitudes. We then correlated the logarithm of the station effect with the  
149 logarithm of cumulative landings of squid at each major port over the 1990-2014 period.

150 Dorval et al. (2013) developed a method for estimating the spawning stock biomass (SSB)  
151 (mt) of market squid by: (1) measuring the realized spawning potential per recruit (SPR) on the  
152 fishing grounds, (2) translating the observed SPR into a fishing mortality rate ( $F_{SPR}$ ) using life-  
153 history information, and (3) combining estimated  $F_{SPR}$  values with known landings to infer SSB.  
154 Their approach required specific assumptions about the natural mortality ( $M = 0.01, 0.15,$  and  
155  $0.30 \text{ d}^{-1}$ ) and the egg laying rate ( $\nu = 0.45 \text{ d}^{-1}$ ). This approach also required the measurement of  
156 formalin preserved gonad weight of individual squid to estimate the residual number of oocytes  
157 in harvested females (Macewicz et al., 2004). Using this approach, in instances where sufficient  
158 data were available, they estimated market squid SSB in three regions of California on a year  $\times$   
159 quarterly basis. Results spanning 1999-2006 are updated here to include eight more years of  
160 SSB estimates (2007-2014). To increase the efficiency of data collection and processing the  
161 CDFW stopped preserving squid gonads in formalin in August 2010, providing instead fresh

162 gonad weights for the egg escapement model. We therefore used the equation ( $W_p = 1.8980 \times$   
163  $W - 0.5186$ ) from McDaniel et al. (2015) to convert fresh ( $W_f$ ) to preserved ( $W_p$ ) gonad  
164 weights for all biological samples collected after July 2010. Along with the landings statistics,  
165 these SSB estimates were the response variables for the cross correlation analysis with both the  
166 krill and squid survey indices.

### 167 3. Results

168 The fishery for market squid in the State of California varies spatially and seasonally.  
169 Although the fishery originated in Monterey Bay, total landings, summarized over the 25 year  
170 period from 1990-2014, show that Southern California ports (Santa Barbara, Los Angeles, and  
171 San Diego), representing the region south of Point Conception accounted for 84% of the total  
172 catch during that time (Table 1). Landings tend to occur at very different times of the year in the  
173 two regions. In particular, landings in the south peak during the fall and winter months (1<sup>st</sup> and  
174 4<sup>th</sup> quarters), when 82% of that region's catch is taken. In contrast, the fishery in the north peaks  
175 during spring and summer months (2<sup>nd</sup> and 3<sup>rd</sup> quarters), accounting for 86% of total landings.  
176 Moreover, the proportion of annual statewide landings taken in the two regions has fluctuated  
177 widely (Fig. 1). As illustrated in Fig. 1, less than 5% of the total catch was taken in the northern  
178 fishery from 2005-2009. Since then, however, the northern share of total landings has risen  
179 steadily, reaching 54% in 2014. A very high percentage of statewide landings also occurred in  
180 the north in 1992, an El Niño year. These findings support our regional approach to modeling  
181 squid abundance north and south of Point Conception.

182 Annual catch-weighted length distributions of the market squid sampled by the midwater  
183 trawl survey are summarized in Fig. 2. It is apparent from Fig. 2 that the great preponderance of  
184 the catch is larger than the paralarval stage of roughly 7.6 mm, but less than 50 mm DML, which  
185 corresponds to juvenile squid 30 to 90 days old. Very few individuals that are of commercial  
186 size are sampled, quite possibly due to significant net avoidance by large squid (DML > 100  
187 mm), consistent with the findings of Kidokoro et al. (2014). Moreover, annual variation in these  
188 distributions is not marked, although some minor differences are apparent. In particular, there  
189 was a moderate increase in the size of squid taken by the survey in 2012, when the median size  
190 increased to 44 mm DML, which has been maintained at somewhat higher values in the 2012-  
191 2015 period. Also apparent are somewhat large swings in the 95<sup>th</sup> length percentile, which is  
192 indicative of occasional minor catches of large squid (e.g., 2005 and 2008).

193 Contoured station effects from the coastwide  $\Delta$ -GLM model are shown in Fig. 3. Numerical  
194 values of "Squid estimate" in the figure represents expected  $\log_e(n \cdot \text{tow}^{-1})$ , averaged over the  
195 2004-2015 time period. Station locations included in the contouring are shown as circles. It is  
196 apparent from Fig. 3 that two primary centers of abundance occur within the survey region,  
197 which correspond well with those ports of landing that account for over 95% of market squid  
198 landings (Monterey, Santa Barbara, and Los Angeles). Moreover, model estimates of the  
199 abundance of squid at RREAS trawl stations were significantly correlated ( $r = 0.41$ ,  $P < 0.01$ )  
200 with cumulative landings over the 1990-2014 period at adjacent ports (see Table 1). We  
201 therefore conclude that the market squid sampled in the survey are pre-recruits to the California  
202 fishery.

203 Due to the seasonally asynchronous nature of landings, catch-rate data from the survey were  
204 evaluated separately north and south of Pt. Conception, resulting in two  $\Delta$ -GLM models for each  
205 taxon (squid and krill). Time series of the logarithm of year effects from the models, with their  
206 standard error estimates, are presented in Table 2 and results for squid are plotted in Fig. 4. An

207 examination of residuals showed no patterns that would raise concern that assumptions of the  
208 model were violated. Recall that 2004 was the first year that RREAS sampling occurred south of  
209 Point Conception. Moreover, no southern sampling was conducted in 2011 due to a lack of  
210 shiptime. Our findings show that squid catch rates exhibit substantial interannual variability,  
211 with  $\log_e$ -scale estimates ranging 0.4-6.2 in the north and 4.0-8.2 in the south.

212 We present updated SSB estimates of market squid based on the approach of Dorval et al.  
213 (2013) in Supplementary Appendix A. These estimates are subdivided by quarter and  
214 management region (Fig. 3). Region 1 effectively coincides with Monterey Bay, whereas Region  
215 2 represents the preponderance of southern California. While eight new years of data are  
216 provided here, there are still many missing values in the table.

217 Spatially stratified survey catch rates of squid and krill sampled in May-June were positively  
218 associated with squid landings and regional estimates of spawning stock biomass in the third  
219 quarter (July, August, September) of the same year (Figs 5 and 6). Cross correlation functions  
220 provided a means of evaluating how robust the signals from the survey data are relative to  
221 observed third quarter landings and biomass estimates, stratified by region. There were positive  
222 correlations in all eight comparisons, indicating considerable promise for using survey squid  
223 and/or krill CPUE indices to inform managers regarding the pending availability of market squid  
224 to the fishery (Figs 5-6). Cross correlations ranged from 0.34 to 0.99 across the different  
225 variables and regions (Table 3). Note that only three of the eight comparisons were statistically  
226 significant ( $\alpha = 0.05$ ), largely because in some instances there were single outliers (e.g., the  
227 southern squid-landings comparison) and in addition the CPUE time series were typically quite  
228 short. Interestingly, time series of krill CPUE tended to be as good, if not better, than market  
229 squid CPUE with respect to predicting both third quarter landings and biomass.

#### 230 **4. Discussion**

231 High population turnover rates, combined with noisy relationships between spawning stock  
232 abundance and recruitment (typically presumed to be in response to high sensitivity to  
233 environmental conditions) are widely recognized challenges associated with the assessment and  
234 management of cephalopod populations throughout the world (Boyle and Rodhouse, 2005;  
235 Rodhouse et al., 2014; Arkhipkin et al., 2015). While an improved understanding of the  
236 environmental processes that drive interannual variation in abundance and productivity of such  
237 populations would clearly be beneficial, a forecast model based on empirical estimates of  
238 abundance immediately prior to the prosecution of major fisheries could be of greater near-term  
239 practical utility to both fishermen and resource managers. Our results provide the foundation for  
240 a more robust exploration of the utility of such a forecast model, as they demonstrate that  
241 standardized abundance estimates of pre-recruit market squid from the May-June RREAS  
242 midwater trawl survey are positively associated with both region-specific landings and spawning  
243 biomasses in the following months. Similar results have been found by Kidokoro et al. (2014),  
244 who documented a strong correlation between an index of abundance of survey trawl-caught  
245 juvenile Japanese common squid (*Todarodes pacificus*) and subsequent stock size estimates,  
246 although they also reported considerable observation error.

247 Our results are consistent with previous studies that indicate that juvenile indices are likely to  
248 be more appropriate for informing near term fisheries potential in cephalopod populations than  
249 paralarval surveys, as paralarval abundance indices tend to relate more strongly to spawning  
250 stock biomass (reviewed in Rodhouse et al., 2015, and consistent with Koslow and Allen, 2011;  
251 Perretti and Sederat, 2016; van Noord and Dorval, 2017). Stige et al. (2013) drew similar  
252 conclusions for finfish with respect to larval and juvenile abundance indices. They also found

253 that inclusion of environmental correlates helped to explain additional recruitment variation  
254 relative to models that focused on juvenile indices alone, a result consistent with that of Koslow  
255 and Allen (2011) for market squid. The correlations observed here between the abundance of  
256 krill and that of market squid imply that environmental factors could be mediated through their  
257 influence on prey abundance. Thus, continued evaluations of the variable growth, distribution  
258 and productivity of market squid, using methods such as structural equation modeling or stable  
259 isotope analysis (e.g., Stewart et al., 2014; Xavier et al., 2014; Thorson et al., 2015), could help  
260 disentangle the interacting effects of environmental factors and trophic pathways, and help  
261 researchers better understand the likely oceanographic and trophic drivers of variable production  
262 in this population. Such efforts would complement the development of statistical models that  
263 explored the combination of juvenile abundance indices and environmental factors to accurately  
264 predict regional market squid availability to the fishery.

265 The development and implementation of forecast models to inform the fishery should be  
266 considered with some caution, however, as previous studies have found that considerable  
267 predictive power is needed to mitigate the risk of imprecise predictions misinforming  
268 management. For example, De Oliveira and Butterworth (2005) showed that an environmental  
269 index would have to explain at least 50% of recruitment variability before the added benefit to  
270 management outweighed the risk of erroneous recruitment forecasts. Although not all of our  
271 cross-correlation function results meet that threshold with respect to the relationship to either  
272 landings or biomass, we are confident that as the time series lengthen, more robust methods of  
273 addressing the mechanistic nature of the relationship as well as evaluating and addressing the  
274 effects of autocorrelation on the inferred results can be applied. Moreover, as their study focused  
275 on a short-lived anchovy species, albeit one with a considerably longer lifespan than market  
276 squid, exploration of the requisite level of information content would presumably need to be  
277 evaluated rigorously in the context of the unique characteristics of this fishery.

278 For example, Kidokoro et al. (2014) noted that the high observation error in their juvenile  
279 index biased their relationship between juvenile abundance and stock size towards one with  
280 lower slope and higher intercept, which could inflate the estimated abundance or fishery  
281 potential during low productivity years. If not explicitly accounted for in a forecast model,  
282 through either a non-linear predictor or threshold based control rule, biases such as this could  
283 potentially lead to greater risk of overharvest. Although cephalopod populations have typically  
284 been characterized as resilient, market squid are also among the most frequently encountered  
285 forage species in California Current predator studies (Lowry et al., 1999; Szoboszlai et al.,  
286 2015), which could lead to some concerns about destabilization of the ecosystem if the squid  
287 stock was depleted by overexploitation. Similarly, the prospect of regional management was  
288 discussed in Dorval et al. (2013), although such an approach would require an analysis of the  
289 biological and socioeconomic impacts to both the fishery and the management system, which are  
290 also topics well beyond the scope of this paper.

291 From a more global perspective, the need to develop the means of improving management of  
292 cephalopod fisheries through forecast models based on either environmental or empirical data  
293 has long been recognized (Boyle and Rodhouse, 2005; Koslow and Allen, 2011; Xavier et al.,  
294 2015). Likewise, the potential to use pre-recruit abundance data from fishery-independent  
295 surveys has shown considerable practical potential (Kidokoro et al., 2014; Rodhouse et al.,  
296 2015). Our hope is that our findings can provide a foundation for developing a rigorous forecast  
297 model for market squid through simulation study. Such an approach could determine both the  
298 information content necessary to develop a statistically robust predictive model and should also

299 explore the consequences of implementing a forecast-based approach to augment the current  
300 management regime.

### 301 **Acknowledgements**

302 The Groundfish Analysis Team at the Fisheries Ecology Division in Santa Cruz has fielded  
303 the Rockfish Recruitment and Ecosystem Assessment Survey for in excess of 30 years. Ongoing  
304 funding and support for the survey has been provided by the National Oceanic and Atmospheric  
305 Administration, National Marine Fisheries Service, Southwest Fisheries Science Center.  
306 Countless scientists and sea-going personnel have participated and assisted in the survey and  
307 their contributions are gratefully recognized. Becky Miller prepared the contour plot of market  
308 squid abundance presented in Figure 3 and reviewed an early draft of the paper. Additional pre-  
309 publication reviews were provided by Ben Burford, Caitlin Akselrud, Briana Brady, and John  
310 Ugoretz. Kelli Johnson and an anonymous reviewer provided comprehensive and very helpful  
311 reviews of the original submission. The manuscript was much improved as a result of all of their  
312 efforts and we thank them for their contributions.

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427 **List of Tables**

428 Table 1. Seasonal and spatial variation in the market squid fishery. Presented are aggregated  
 429 total landings (mt) from 1990-2014, by major port and quarter. The dashed line represents ports  
 430 north (above) and south (below) of Point Conception.

Major Port	Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec	Total	Percentage
Eureka	0	1	1,455	761	2,217	0.1%
Fort Bragg	0	0	0	0	0	0.0%
Bodega Bay	0	0	41	0	41	0.0%
San Francisco	0	450	30,939	4,423	35,812	2.1%
Monterey	1,698	85,034	100,290	29,141	216,162	12.7%
Morro Bay	0	651	8,706	1,038	10,396	0.6%
Santa Barbara	209,867	55,597	104,624	472,216	842,303	49.5%
Los Angeles	169,417	27,003	78,022	319,777	594,219	34.9%
San Diego	27	0	0	1	28	0.0%

431

432 Table 2. Time series of market squid and krill CPUE (n·tow<sup>-1</sup>) calculated from midwater trawl  
 433 survey data collected north and south of Point Conception. Presented are estimates of  
 434 log<sub>e</sub>(CPUE) derived from regional Δ-GLM models with associated standard errors of the  
 435 estimates in parentheses.

Year	Squid North	Squid South	Krill North	Krill South
1990	3.89 (0.33)	.	11.72 (0.26)	.
1991	3.44 (1.12)	.	12.25 (0.29)	.
1992	5.08 (0.28)	.	10.90 (0.40)	.
1993	3.61 (0.55)	.	12.22 (0.32)	.
1994	3.39 (0.48)	.	12.13 (0.30)	.
1995	3.93 (0.34)	.	11.55 (0.35)	.
1996	2.77 (0.51)	.	10.31 (0.33)	.
1997	4.25 (0.29)	.	11.15 (0.36)	.
1998	0.74 (1.20)	.	8.60 (0.34)	.
1999	1.75 (0.96)	.	10.93 (0.28)	.
2000	3.22 (0.59)	.	12.36 (0.30)	.
2001	4.64 (0.35)	.	12.71 (0.30)	.
2002	4.11 (0.30)	.	9.92 (0.34)	.
2003	2.57 (0.63)	.	11.79 (0.29)	.
2004	3.14 (0.61)	4.57 (0.57)	10.91 (0.26)	8.31 (0.48)
2005	1.72 (0.77)	4.63 (0.31)	10.72 (0.29)	9.02 (0.33)
2006	0.41 (1.23)	4.04 (0.33)	11.28 (0.29)	9.90 (0.43)
2007	1.00 (1.14)	4.63 (0.37)	11.82 (0.27)	10.28 (0.51)
2008	1.90 (0.90)	4.32 (0.35)	13.30 (0.25)	11.25 (0.45)
2009	3.19 (0.34)	5.79 (0.48)	12.61 (0.29)	11.02 (0.45)
2010	2.70 (0.68)	4.54 (0.47)	12.30 (0.24)	10.06 (0.49)
2011	3.53 (0.50)	.	12.47 (0.29)	.
2012	4.73 (0.29)	8.20 (0.41)	12.70 (0.34)	11.24 (0.49)
2013	5.28 (0.24)	5.67 (0.36)	13.27 (0.26)	11.86 (0.45)
2014	6.15 (0.19)	7.21 (0.55)	13.54 (0.26)	11.79 (0.48)
2015	5.50 (0.27)	5.45 (0.31)	10.68 (0.30)	8.87 (0.49)

436

437

438 Table 3: Cross correlation function results for squid and krill indices as related to third quarter (Q3)  
 439 market squid landings and biomass estimates (results significant at 0.05 level are shown in bold).

Northern region		
	krill cpue	squid cpue
Q3 landings	0.337 (25)	0.357 (25)
Biomass	<b>0.637 (11)</b>	0.503 (11)

Southern region		
	krill cpue	squid cpue
Q3 landings	<b>0.880 (10)</b>	0.479 (10)
Biomass	0.692 (6)	<b>0.997 (6)</b>

440

441

442 **List of Figure Captions**

443 Figure 1. Spatial fluctuation of total landings in the market squid fishery over the last 25 years.

444 Figure 2. Annual variation in length-frequency distributions of market squid taken in the  
445 midwater trawl survey. The 5<sup>th</sup> (p05), 25<sup>th</sup> (p25), 50<sup>th</sup> (median), 75<sup>th</sup> (p75), and 95<sup>th</sup> (p95)  
446 percentiles of survey catches are depicted by year.

447 Figure 3. Contour plot of  $\log_e(\text{CPUE})$  station effects from a coastwide market squid  $\Delta$ -GLM  
448 model. Trawl stations with at least one positive catch are shown as 'o' symbols, whereas stations  
449 where no squid have been taken are shown as a '+'. The three CDFW reporting regions  
450 described in Dorval et al. (2013) and listed in Supplementary Appendix A are depicted.

451 Figure 4. Annual variation in the abundance of market squid in the midwater trawl survey.  
452 Plotted are the year effects from the two area-specific models for north and south of Point  
453 Conception (error bars  $\pm 1.0$  standard error).

454 Figure 5. Relationships among midwater trawl survey CPUE estimates for krill (left) and market  
455 squid (right) from stations sampled north of Point Conception in comparison to third quarter  
456 landings (above) and spawning stock biomass (below) in the northern region. Numbers enclosed  
457 within symbols are the last two digits of the survey year.

458 Figure 6. Relationships among midwater trawl survey CPUE estimates for krill (left) and market  
459 squid (right) from stations sampled south of Point Conception in comparison to third quarter  
460 landings (above) and spawning stock biomass (below) in the southern region. Numbers enclosed  
461 within symbols are the last two digits of the survey year.

462

463 **Supplementary Appendices**

464 Supplementary Appendix A. Year  $\times$  quarter estimates of market squid spawning stock biomass [mt] in CDFW management Regions  
 465 1, 2, and 3 (see Figure 3). Estimates based on the method of Dorval et al. (2013), assuming a natural mortality rate of  $M = 0.15$  and an  
 466 egg laying rate of  $v = 0.45$ .

Year	Region 1				Region 2				Region 3			
	Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec	Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec	Jan-Mar	Apr-Jun	Jul-Sep	Oct-Dec
1999	-	-	-	-	10,260	19,069	3,095	51,124	2,803	5,704	276	9,566
2000	-	7,631	2,539	440	29,523	11,019	3,187	127,275	11,695	2,593	1,161	21,114
2001	-	1,447	6,473	982	19,081	10,252	3,986	42,345	31,831	701	1,048	51,640
2002	1,876	19,523	6,438	2,864	12,302	1,030	2,458	14,738	70,594	964	135	523
2003	-	6,821	9,656	5,715	4,137	862	1,556	32,561	1,067	727	1,904	2,281
2004	-	6,319	2,766	-	18,905	13,775	-	36,702	31,635	401	-	377
2005	-	3,349	1,126	-	108,656	-	-	6,460	6,952	1,120	1,992	29,451
2006	-	1,446	163	-	5,686	-	656	9,557	70,261	4,501	3,797	-
2007	-	-	-	-	79,634	345	404	-	7,398	-	356	2,918
2008	-	-	-	-	13,149	-	4,420	-	11,927	1,382	2,879	-
2009	-	483	-	-	63,426	-	-	78,918	17,838	2,388	6,908	6,960
2010	-	36,598	9,695	4,543	-	-	5,093	59,113	31,104	-	1,816	78,156
2011	-	6,169	7,257	-	5,669	-	30,367	68,008	4,045	-	9,781	45,882
2012	-	-	9,402	21,354	4,612	1,732	35,799	101,764	8,418	-	0	109,407
2013	-	775	19,944	1,597	-	9,588	42,729	57,741	2,076	5,204	27,047	30,655
2014	-	20,274	31,806	-	-	3,596	-	-	644	3,335	-	-

467

468



Percentage of Total Catch  
North Pt. Conception











