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Title: Five decades of reef observations illuminate deep-water grouper hotspots

Running Head: Grouper hotspots

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31 **Abstract:**

32 Fish often aggregate to spawn, feed, rest, or avoid predation. Direct observations of very
33 high counts of large-bodied grouper on deep shipwrecks, however, do not fit into typical
34 descriptions of spawning-, resource-, or predation-driven aggregations. To investigate whether
35 these observations are rare or part of an underlying pattern, we synthesized five decades (1969 -
36 2019) of direct observations of groupers on deep-water (50 - 300 m) habitats along the
37 southeastern United States (Cape Hatteras, NC to Cape Canaveral, FL). The direct observations,
38 which included 439 remotely-operated vehicle transects, 235 human-occupied vehicle transects,
39 and 881 hook-and-line drops, revealed six hotspots of deep-water groupers on three shipwrecks,
40 two artificial reefs, and one boulder field. Grouper counts at these hotspots (0.10 - 5.40 grouper
41 per linear m surveyed) exceeded counts of grouper outside of hotspots (<0.01 - 0.02 grouper per
42 linear m surveyed) by multiple orders of magnitude. Commonalities among the sites with
43 grouper hotspots included that all are relatively isolated structures surrounded by unconsolidated
44 sediments and located in shelf-edge to upper-slope depths. Thus, it appears that these isolated
45 habitats, despite their small spatial footprint, represent a disproportionate abundance of deep-
46 water groupers. Future research efforts should determine how groupers derive sufficient
47 resources from, and thus co-occur on, these small habitats and how these aggregations relate to
48 the large-scale dynamics of these populations.

49

50 **Keywords:** Aggregation; deep reefs; deep-water fish; isolated habitat; rocky reef; shipwreck

51 **1. Introduction**

52 Understanding why species form groups in certain habitats or at certain times is a
53 fundamental goal of ecology (Allee, 1927; Wilson, 1975). In marine environments, fish
54 aggregations are often associated with spawning activity, prey exploitation, or resting, as well as
55 school formation for feeding or predation avoidance. Fish spawning aggregations are defined as
56 concentrations (i.e., at least four times the density or abundance of fish outside the gathering) of
57 conspecifics that occur repeatedly and predictably in time and space and result in a mass
58 reproductive event (Michael L. Domeier, 2012). Prominent spawning aggregations with
59 abundances often numbering in the thousands include normally solitary Nassau grouper
60 (*Epinephelus striatus*, Serranidae) (Smith, 1972; Waterhouse et al., 2020; Whaylen et al., 2007),

61 as well as mutton snapper (*Lutjanus analis*, Lutjanidae) (Burton, Brennan, Muñoz, & Parker,
62 2005; Graham, Carcamo, Rhodes, Roberts, & Requena, 2007) and other species across diverse
63 taxa (Claydon, 2004; Michael L. Domeier, 2012), which repeatedly spawn *en masse* at
64 predictable times and places.

65 Fishes also aggregate to take advantage of high prey densities or to seek refuge around
66 habitats that provide access to food resources and shelter from predation. For example, fishes
67 such as albacore and skipjack tuna (*Thunnus alalunga*, Scombridae; *Katsuwonus pelamis*,
68 Scombridae; (Fielder & Bernard, 1987)) and whale sharks (*Rhincodon typus*, Rhincodontidae;
69 (Heyman, Graham, Kjerfve, & Johannes, 2001; Hoffmayer, Franks, Driggers, Oswald, &
70 Quattro, 2007)) aggregate to exploit food resources. While some aggregations occur in open
71 water, aggregations for shelter and food often occur in habitats with an abundance of these
72 resources, such as seamounts where complex topography interacts with water flow creating
73 biologically productive, prey-rich waters (Clark et al., 2010) or coral reefs that not only provide
74 shelter but also concentrated food resources (Demartini & Anderson, 2007; Hamner, Jones,
75 Carleton, Hauri, & Williams, 1988; Sandin & Pacala, 2005). Some fish species commonly move
76 in schools, which are thought to increase swimming efficiency (Hemelrijk, Reid, Hildenbrandt,
77 & Padding, 2015), decrease predation risk (Parrish, 1989; Parrish & Edelman-Keshet, 1999) and
78 increase per capita food consumption (Major, 1978). Others, such as grunts (Haemulidae), often
79 shelter during resting periods in crevices or undercuts of reefs in large groups, or shoals, which
80 likely provide refuge from predation and increased energetic efficiency (Helfman, Collette,
81 Facey, & Bowen, 2009; Helfman & Schultz, 1984; Ogden & Ehrlich, 1977).

82 Aggregations of typically non-schooling fish species outside of spawning events and
83 around habitats that do not appear to offer extensive prey or shelter are rarely reported. Direct
84 observations of very high counts of large-bodied groupers, such as snowy grouper (*Hyporthodus*
85 *niveatus*, Serranidae) and Warsaw grouper (*H. nigritus*, Serranidae) (Figure 1) (Johnson et al.,
86 2020) residing on deep, small, and isolated shipwrecks, seem to contradict the notion that
87 aggregations outside of spawning events and away from habitats with prey and shelter are rare.
88 Snowy and Warsaw grouper are generally not believed to be schooling fishes nor are they known
89 to form spawning aggregations (Coleman, Koenig, Eklund, & Grimes, 1999; Farmer et al., 2017;
90 Farmer & Karnauskas, 2013; Kolmos, Wyanski, White, & Mikell, 2019; Sadovy, 1990;
91 Sedberry, Pashuk, Wyanski, Stephen, & Weinbach, 2006). We investigated whether observations

92 of high grouper counts on deep reefs were rare, predictable, or common (but previously
93 overlooked) by reviewing count data of typically non-schooling deep-water groupers that
94 showed no evidence of spawning.

95 Our objective was to synthesize direct observations of large-bodied groupers on deep
96 artificial and natural southeastern US Atlantic (hereafter SEUS) reefs. Specifically, we asked: 1)
97 Where have aggregations of large-bodied deep-water groupers been observed on reef habitats in
98 the SEUS?, 2) How do grouper counts at “aggregation reefs” compare to counts observed
99 elsewhere in the SEUS?, 3) What characteristics are unique about the reefs where high counts of
100 deep-water groupers occur?, and 4) Is there scientific literature suggesting the existence of
101 aggregations beyond those identified in our direct observations?
102

103 **2. Synthesizing five decades of observational data**

104 We convened a group of subject matter experts who had directly collected or had access
105 to relevant SEUS data that were collected over five decades (1969-2019). We established criteria
106 for which data should be included in the synthesis based on survey method, species, reef depth,
107 and reef type. We included data from visual surveys using human-occupied vehicle (HOV)
108 transects and remotely-operated vehicle (ROV) transects that allowed grouper identification to
109 genus or species. Transect widths were likely similar across ROV and HOV transects because
110 both types of vehicles carried similar video cameras so collected data in comparable fields of
111 view. Transect length often differed by survey or site, so we standardized resulting fish counts
112 per linear m of transect length. We also included hook-and-line data, even though these data are
113 not directly comparable to transect-type data, because hook-and-line data provided additional
114 information on sites with and without high grouper catches. We excluded data, such as those
115 collected by fishery echosounders, for which we were not able to identify fish to fine taxonomic
116 levels. We also excluded fish trap and stationary or bottom-lander video data since these
117 observations cannot be directly compared to density metrics from transect-type data. Given that
118 the initial observations (Johnson et al., 2020) were of high counts of large-bodied groupers in
119 deep habitats, we focused on grouper species within the genera *Epinephelus*, *Hyporthodus*, and
120 *Mycteroperca*, all within the family Serranidae (Fricke, Eschmeyer, & Van der Laan, 2020). We
121 included observations from structured habitats, including natural rocky reefs, artificial reefs, and

122 shipwrecks, on the shelf edge and upper slope between 50 and 300 m deep off the SEUS states
123 (Cape Hatteras, North Carolina (NC) to Cape Canaveral, Florida (FL)).

124

125 **3. Reefs with grouper hotspots**

126 Our synthesis group reviewed 439 ROV transects, 235 HOV transects, and 881 hook-
127 and-line drops (Figure 2; Table 1; Data S1) to determine where aggregations of large-bodied,
128 deep-water groupers had been observed. From these data, we identified six reef sites that we
129 categorized as hosting grouper aggregations (Figure 2). Our use of the term “aggregation” to
130 describe a group of conspecifics gathered in counts higher than those of a mating pair or family
131 (e.g., social unit) (Wilson, 1975) or higher counts than observed elsewhere implies that these
132 reefs host high grouper counts and does not imply the reason for these gatherings (e.g.,
133 spawning, resources). Further, our use of the term ‘aggregation’ is distinct from the use of the
134 term related to whether artificial reefs aggregate or produce fish biomass (Bohnsack, 1989;
135 Layman, Allgeier, & Montaña, 2016). Because grouper counts on the six identified aggregation
136 reefs were very high, especially in comparison to other nearby structured habitats, we also use
137 the term “hotspot” to describe the reefs with aggregations. Our use of the term “hotspot” refers to
138 reefs with high counts typical of aggregations, rather than high biodiversity (Stuart-Smith et al.,
139 2013). Here, we provide narrative details and quantitative summaries of grouper aggregations
140 observed on the six hotspots.

141

142 **3.1. Snowy Wreck**

143 The “Snowy Wreck” is an unidentified metal hulled shipwreck (likely a coastal cargo
144 carrier) about 100 m long (based on 2011 multibeam survey, S.W. Ross, unpublished data) lying
145 off Cape Fear, NC on coarse sand bottom (Figure 2). The base of the wreck, some of which is
146 buried, is about 255 m deep. The upper structure of the wreck rises to at least 241 m, and a cargo
147 derrick rises vertically from the wreck to at least 235 m. Strong currents continually sweep the
148 wreck making ROV surveys difficult; in general only the down current side of the wreck could
149 be viewed during ROV dives. The wreck was heavily fished, mostly for snowy groupers (hence
150 the name), until 2009 after which fishing was prohibited as part of the “Snowy Grouper Wreck
151 Marine Protected Area” (SAFMC, 2020).

152 The first visual survey of the Snowy Wreck using an ROV occurred on 24 August 2004
153 (Quattrini & Ross, 2006). Due to weather and currents, only part of the wreck could be covered
154 by one 30 min ROV video transect, estimated to be 60 m long, which ranged along the south side
155 of its upper deck and hull and along the sand-wreck interface. During this transect, 0.72 snowy
156 grouper, mostly large juveniles or small adults (ca. <90 cm TL), per linear m surveyed, hereafter
157 “grouper per m,” (43 individual snowy grouper / 60 m transect) were counted (Figure 3). These
158 fish were scattered over the whole of the wreck area surveyed. No other grouper species were
159 observed.

160 The Snowy Wreck was observed again with ROV transects on 13 July 2012, 15 June
161 2016, and 31 October 2017 after fishing was prohibited. During these surveys, the ROV
162 traversed lengthwise along the north side of the shipwreck, including the upper decks, middle
163 hull, sand-wreck interface, and vertical derrick. The surveys revealed huge aggregations of large
164 adult (many > 1 m TL) snowy grouper packed into the wreck structure, hovering along the
165 derrick and swimming over the wreck and a short distance out into the adjacent sand (Figure
166 1B). These fish were so dense that individuals had to be counted at separate parts of the wreck to
167 reduce double counting. In 2012, 2.40 snowy grouper per m (240 individual snowy grouper / 100
168 m transect) were observed (Figure 3). During the 2016 survey, 5.40 snowy grouper per m (540
169 individual snowy grouper / 100 m transect) were observed (Figure 3). Two yellowedge grouper
170 (*H. flavolimbatus*, Serranidae) were also encountered during the 2016 survey. During the most
171 recent survey on 31 October 2017 (S.W. Ross and R. Mather, unpublished data), the grouper
172 were both so dense and moving so quickly it was necessary to subdivide the collected data into
173 nine horizontal transects, ranging from 6 m to 30 m long, and two vertical transects of 4 m and
174 8.9 m. Across these eleven transects, a mean of 3.7 snowy grouper per m were enumerated
175 (Figure 3). More specifically, the horizontal transects resulted in 1.0 to 8.2 (mean 3.4 fish per m)
176 snowy grouper per m, and the two vertical transects yielded 3.1 to 7.7 snowy grouper per m. In
177 three places where the volume of water could be estimated and where grouper were hovering in
178 place, grouper densities were 1.5, 1.6, and 1.8 fish per m³ (e.g., Figure 1B). In addition to snowy
179 grouper, one each large yellowedge grouper and Warsaw grouper were observed on the wreck in
180 2017.

181 On three dates (13 June 2017, 5 August, 2018, and 1 August 2019), hook-and-line
182 sampling was conducted at the Snowy Wreck. Fishing was performed using high-low bottom

183 rigs with size-8/0 J-hooks baited with cut Atlantic Menhaden (*Brevoortia tyrannus*, Clupeidae)
184 and shortfin squid *Illex* sp. Out of seven total drops of a two-hook rig, nine snowy grouper were
185 caught (catch per unit effort = 1.3 fish/drop; range 650-1020 mm TL).

186

187 **3.2. Underwater Battlefield (U-576, SS *Bluefields*)**

188 On 24-26 and 28 August 2016, HOVs collected video of fish communities on two deep
189 shipwrecks (Johnson et al., 2020). The two World War II era shipwrecks, the U-576 and the SS
190 *Bluefields*, sank in 1942 and rest 241 m from each other off NC (Figure 2). The German
191 submarine U-576 rests in slightly shallower water (210 m) than the Nicaraguan freighter SS
192 *Bluefields* (245 m). The HOVs traversed lengthwise along each shipwreck collecting video along
193 transects illuminated by continuous lighting that allowed for species identification.

194 Across four transects along the 67-m long U-576, we observed between 0.46 grouper per
195 m (31 individuals / 67 m transect) and 0.75 grouper per m (50 individuals / 67 m transect; Figure
196 4). The grouper species observed on the U-576 shipwreck consisted of snowy grouper and
197 Warsaw grouper (Figure 1A), as well as several grouper that we could not positively identify. On
198 the nearby and larger (76 m long) shipwreck SS *Bluefields*, between 0.17 grouper per m (13
199 individuals / 76 m transect) and 0.68 grouper per m (52 individuals / 76 m transect) were
200 observed per transect (Figure 4). Similarly to the U-576, the species present on the SS *Bluefields*
201 were snowy grouper and Warsaw grouper with the addition of yellowedge grouper.

202

203 **3.3. Intentionally-Sunk Barges (Shallow Barge, Deep Barge)**

204 In April 2014, two barges were sunk approximately 7.2 km away from each other inside
205 an area designated as the Charleston Deep Artificial Reef MPA off South Carolina (Figure 2).
206 Prior to deployment of the barges, this area was devoid of hard bottom. For simplicity, we have
207 named these barges the “shallow barge” and the “deep barge” since they were sunk in 85 and
208 100 m of water, respectively. Both barges were surveyed with a ROV on 13 June 2016, 26 June
209 2017, and 16 May 2018. The ROV traversed lengthwise along each barge in a single transect
210 each year to collect video data of fish communities. Both barges are approximately 80 m in
211 length, but the total distance traversed was 116 m on the shallow barge and 138 m on the deep
212 barge. Additional structures, such as convex containers, were attached to the barges when they
213 were deployed to create a more complex fish habitat. Some of these structures became detached

214 from the barges upon landing on the seafloor which accounts for the additional distance traversed
215 by the ROV.

216 On the shallow barge, we observed a maximum of 0.18 grouper per m (21 individuals /
217 116 m transect) and a minimum of 0.10 grouper per m (12 individuals / 116 m transect; Figure 5)
218 across all three surveyed years. The grouper species observed on the shallow barge consisted of
219 scamp (*Mycteroperca phenax*), yellowedge grouper, gag (*M. microlepis*, Serranidae), and snowy
220 grouper. There were also a few grouper that could not be positively identified to species. On the
221 deep barge, a maximum of 0.36 grouper per m (49 individuals / 138 m transect) and minimum of
222 0.14 grouper per m (20 individuals / 138 m transect) were encountered across the three years
223 (Figure 5). The grouper species present on the deep barge were yellowedge grouper, misty
224 grouper (*H. mystacinus*, Serranidae), Warsaw grouper, snowy grouper, scamp, and gag.

226 3.4. Snowy Hole

227 During August and September 1979, HOV surveys were conducted at 13 natural reef
228 sites off NC (Parker & Ross, 1986). These authors reported fish abundance as numbers per
229 hectare, which may overestimate counts; therefore, the raw data from the 10 deeper stations (\geq
230 50 m) that met our inclusion depth criteria were reexamined. The one grouper aggregation
231 observed was at station 9, known colloquially as “Snowy Hole” (Figure 2), where one 69-min
232 transect was accomplished over a depth range of 125-137 m on 31 August 1979. The aggregation
233 at Snowy Hole consisted of 150 large individual snowy grouper, which occurred at the only reef
234 of this dive where the habitat was low-profile scattered boulders, estimated to be ≤ 900 m² in
235 area. Because the transect length is unknown, however, we cannot provide grouper in units of
236 fish per m but instead report 150 snowy grouper across the approximate habitat area. Since this
237 small rock patch was known to fishermen and contained commercially valuable fishes, we
238 assume that it had been fished regularly before the 1979 surveys.

240 4. Placing grouper hotspots in context

241 To place grouper counts inside hotspots within a larger regional context (e.g., the context
242 of counts outside of hotspots, Figure 6), we compared grouper counts from hotspots to non-
243 hotspot counts from several datasets. These datasets include: 1) South-East Fisheries-
244 Independent Survey (SEFIS) ROV surveys of shelf-edge natural reefs (October 2010); 2)

245 Sedberry HOV surveys of shelf-edge and upper-slope natural reefs (July 1985, September 2001,
246 July – August 2002, August 2003, August 2004); 3) NOAA Panama City ROV surveys of shelf-
247 edge and upper-slope natural reefs (April – May 2004, June 2006, August 2007, July 2008,
248 November 2009, May 2010, July 2012, July 2013, June 2014, June 2015, July 2016, June 2017,
249 May 2018); and 4) hook-and line surveys of natural reefs (May – October 2017-2019) (Table 1,
250 Figure 6; Data S1). These surveys adhere to our synthesis search criteria of depths 50-300 m
251 within the SEUS region. The first three datasets reported mean counts per linear m surveyed,
252 which we could directly compare to grouper counts per linear m surveyed from hotspot sites
253 (e.g., number of individuals / transect length). The fourth dataset reported CPUE, which we
254 could directly compare to hook-and-line data from hotspot sites.

255 Mean grouper counts per m surveyed outside of hotspots were markedly lower than
256 counts per m surveyed on hotspot reefs. Outside of hotspots, mean grouper counts on shelf-edge
257 reefs ranged from 0.01 - 0.02 per m, whereas mean grouper counts on deeper reefs located on the
258 upper slope outside of hotspots ranged from <0.01 - 0.01 grouper per m (Figure 7). We used a
259 variety of data sources so could not include them into an overall analysis of grouper counts on
260 hotspots versus outside of hotspots. Instead, we focused our analysis on one data source where
261 we could make comparison using means and associated standard error. The dataset that we
262 selected was the Panama City ROV dataset, containing eight observations from grouper hotspots
263 and 229 observations from outside of hotspots. A basic analytical comparison revealed that mean
264 grouper counts per m on hotspots were 1.12 ± 0.67 , whereas mean counts outside of hotspots
265 were 0.01 ± 0.001 (Figure S1). Hook-and-line surveys of shelf edge reefs outside of hotspots
266 similarly yielded a lower CPUE for snowy grouper than found on the Snowy Wreck hotspot
267 (0.07 vs. 1.3 CPUE). Overall, count metrics were several orders of magnitude higher on hotspots
268 than outside of hotspots.

269 Although several additional surveys met our depth criteria, these surveys did not report
270 grouper in comparable units, but rather grouper per hectare or counts per transects of unrecorded
271 length (e.g., time reported). These surveys, including: 1) S.W. Ross *Johnson Sea Link* HOV
272 surveys of shelf edge natural reefs (August 1983); 2) Quattrini and Ross ROV (April 2004) &
273 HOV surveys of shelf edge natural reefs (September 2001, August 2002, August 2003, June
274 2004); as well as the aforementioned Parker and Ross (1986) HOV surveys of shelf edge natural
275 reefs exclusive of “Snowy Hole” (August – September 1979) (Table 1), revealed low counts of

276 grouper, such as 1-8 grouper per hectare (Parker & Ross, 1986), which provide further support
277 for patterns of low grouper counts on non-hotspot sites (Data S1).

278

279 **5. Unique traits of reefs with hotspots**

280 Given that large-bodied grouper hotspots did not occur on the majority of sites, we
281 examined the traits of the hotspots habitats. The aggregations mostly occurred on isolated,
282 deeper habitats (Table 2), and in fact, all of the deeper, isolated habitats that we examined
283 supported aggregations. For example, the shipwrecks (Snowy Wreck, U-576, SS *Bluefields*) and
284 artificial reefs (deep barge, shallow barge) are small patches of artificial habitat surrounded by
285 large expanses of sandy, unconsolidated and unstructured habitat. Likewise the natural reef
286 habitat at Snowy Hole is a field of small rubble boulders surrounded by a large expanse of sand.
287 While all six instances of hotspots occurred on isolated habitats, this does not mean that hotspots
288 of deep-dwelling, large-bodied groupers cannot occur on continuous, non-isolated habitats.
289 Additionally, five of the six hotspots are artificial habitats, yet because artificial habitats are
290 inherently small and isolated, it remains unknown whether habitat isolation or reef type is the
291 better predictor of hotspots. While fishing pressure likely co-varies with the survey data
292 (decreased in the MPA and potentially increased elsewhere during the decades of this synthesis),
293 there was no known striking commonality in fishing pressure (e.g., open vs. closed) among the
294 hotspots, yet as all hotspots are isolated and in deep waters, fishing pressure could hypothetically
295 be limited in comparison to less isolated and shallower habitats.

296

297 **6. Additional hotspot evidence from literature**

298 Other examples of grouper hotspots in the SEUS have been reported. Commercial fishing
299 catch data from a site off NC called “Adrian’s Mark” suggests that this site once hosted a
300 grouper aggregation (Epperly & Dodrill, 1995). Whether this site is a natural reef or a shipwreck
301 is unknown, but it is reportedly a small, isolated feature (~48 m x 56 m) with a maximum relief
302 of 2-3 m, at a depth of 194 m (based on 2019 multibeam survey, Harter and David, unpublished
303 data). In a series of 32 commercial fish trips, during the period 7 June 1985 – 2 April 1986,
304 25,333 kg of snowy grouper were caught from the site using hook and line; the maximum daily
305 catch was 382 individuals, totaling 3,225 kg (Epperly & Dodrill, 1995). Catch declined on
306 Adrian’s Mark over time, with estimates that over 80% of the snowy grouper biomass had been

307 removed from the site by April 1986 (Epperly & Dodrill, 1995). Whereas it is unreported in the
308 peer-reviewed publication (Epperly & Dodrill, 1995), a fishermen who participated in the
309 Adrian's Mark commercial fishing trips confirmed that nearly all of the snowy grouper caught
310 from this hotspot were in spawning condition with fully developed gonads, suggesting that this
311 aggregation may have been related to spawning (K. Brennan, personal observation).

312 Capture-recapture data reported by a recreational fishing charter captain on a fishing
313 trawler shipwreck off FL, called the "Sebastian Shipwreck," provides evidence of another SEUS
314 grouper hotspot (Shertzer, Bacheler, Kellison, Fieberg, & Wiggers, 2018). Over a 10 year period
315 (1994 - 2004), the captain tagged 196 Warsaw grouper and harvested many more grouper from
316 the shipwreck, which rests in ~49 m (slightly shallower than our inclusion criteria) off the
317 Atlantic coast of FL. Of these tagged grouper, 71 (36%) were recaptured with 30 recaptured
318 once, 24 recaptured twice, 10 recaptured three times, 5 recaptured four times, 1 recaptured five
319 times, and 1 recaptured six times, all on the same shipwreck. The large number of fish caught
320 and the high percentage of recaptures is evidence of a likely Warsaw grouper aggregation that is
321 persistent on or exhibits a high degree of site fidelity to this small, isolated shipwreck.

322

323 **7. Implications and next steps**

324 Our evaluation of 439 ROV transects, 235 HOV transects, and 881 hook-and-line drops
325 collected over five decades revealed six hotspots of deep-water grouper off the SEUS. Grouper
326 counts on these hotspots, which include three shipwrecks, two artificial reefs, and one boulder
327 field, exceeded counts of grouper outside of hotspots by many orders of magnitude. Sites hosting
328 aggregations of grouper were all deep (i.e., shelf-edge, upper-slope) reefs with small spatial
329 footprints surrounded by large expanses of unconsolidated substrates. Evidence of additional
330 hotspots in the SEUS on small shipwrecks and isolated deep-water sites supports this general
331 pattern. It is unknown how these rare grouper aggregations contribute to overall population
332 dynamics or how such immense numbers of groupers can co-occur and sustain themselves on
333 small habitats.

334 We propose five potential explanations for why deep-water groupers may aggregate at
335 hotspot locations in the SEUS. These explanations are not mutually exclusive and may all
336 contribute to the realized benefits of such aggregations. First, groupers may aggregate at hotspots
337 for feeding purposes. Most large-bodied groupers consume a combination of fish and

338 invertebrate prey (Spanik, 2018), and some of these prey species tend to be found in higher
339 densities on and in immediate proximity to shipwrecks, artificial reefs, and natural hardbottom
340 areas compared to surrounding unconsolidated habitats (Lindquist et al., 1994; Lindquist et al.,
341 1994; Posey & Ambrose, 1994). Still, it is difficult to imagine that there can be enough prey
342 resources available at these grouper aggregation sites to support such immensely high densities
343 of large-bodied groupers. Some grouper species, such as snowy grouper, are known to feed on
344 species of crabs that are exclusively found over unconsolidated habitats, as well as squid that are
345 presumably eaten higher in the water column (Bielsa & Labisky, 1987; Dodrill, Manooch, &
346 Manooch, 1983; Bielsa & Labisky, 1987). Coupled with observations of snowy grouper dispersing
347 off of isolated habitats at night (K. Brennan, personal observation), this evidence suggests that
348 perhaps grouper supplement food resources at hotspots by feeding on prey horizontally or
349 vertically separated from these hotspots. This possibility is supported by observations that snowy
350 grouper near the Snowy Wreck hotspot generally have narrow home ranges, with tagging data
351 revealing that horizontal movements of individuals are usually restricted to several km² even
352 over multi-year timescales (B. Runde, personal observation, unpublished data).

353 Second, groupers could use the hotspot sites as refuge from predators. Large-bodied
354 groupers have very few predators in the open ocean, but sharks are likely the biggest threat and
355 are relatively common in deep areas of the SEUS. For instance, eight acoustically tagged white
356 sharks (*Carcharodon carcharias*, Lamnidae) and one acoustically tagged tiger shark
357 (*Galeocerdo cuvier*, Carcharhinidae) were detected near the Snowy Wreck over an 18-mo period
358 (Runde, Michelot, Bacheler, Shertzer, & Buckel, 2020). Moreover, most of the predation upon
359 recently released reef fishes from hook-and-line fishing on artificial and natural reefs in the Gulf
360 of Mexico and Atlantic was due to sharks (Bahaboy, Guttridge, Hammerschlag, Van Zinnicq
361 Bergmann, & Patterson III, 2020; Runde et al., 2020). Such distributional behavior is supported
362 by experimental evidence that gag exhibit density-dependent habitat selection, primarily for
363 shelter, on shallow (13 m) artificial reefs in the northeast Gulf of Mexico (Lindberg et al., 2006).
364 Shipwrecks, artificial reefs, and natural hardbottom areas, such as the hotspots identified here,
365 likely afford groupers cover and protection from potential predators.

366 A third explanation for grouper aggregations at hotspots could be a bioenergetic strategy
367 related to reef structure. Groupers living in habitats lacking vertical relief cannot avoid ocean
368 currents, and therefore must expend energy to remain at the same location. Groupers around a

369 structured habitat, like a shipwreck, on the other hand, can seek shelter on the downstream side
370 of a wreck out of the current, and would therefore spend less energy. This is analogous to trout
371 sheltering in lower-current areas of rivers behind boulders, rocks, or woody debris (Lehane,
372 Giller, O'Halloran, Smith, & Murphy, 2002). This notion is supported by echosounder surveys
373 on shallower shipwrecks (< 100 m) on the NC continental shelf, where large fish (> 29 cm) were
374 detected closer to shipwrecks with stronger magnitude currents and further from structure with
375 weaker currents (Paxton, Taylor, Peterson, Fegley, & Rosman, 2019).

376 Fourth, groupers could be aggregating at these hotspots for reproduction or spawning
377 purposes. Snowy grouper spawning likely occurs between March and October, with peak
378 spawning between May and August (Farmer et al., 2017) but may begin as early as late January
379 and likely ends in early October (Kolmos et al., 2019). Multiple studies have identified female
380 snowy grouper in spawning condition, but none have confirmed the presence of spawning
381 aggregations, courtship, or spawning activity (Farmer et al., 2017; Kolmos et al., 2019).
382 Observations, however, of mostly spawning adult snowy grouper with fully-developed gonads
383 caught on Adrian's Mark in April – September 1985 (K. Brennan, personal observation) suggest
384 that snowy grouper may form spawning aggregations, yet it is unknown whether these grouper
385 may have been present prior to April as part of a resident population or whether they were an
386 aggregation staging to spawn. Aggregations detected from HOV and ROV surveys on the Snowy
387 Wreck (August 2004, July 2012, July 2016), underwater battlefield shipwrecks (August 2016),
388 intentionally-sunk barges (July 2016, June 2017, May 2018), and Snowy Hole (August –
389 September 1979) temporally coincide with the likely spawning period (Table S1), but none of
390 these visual surveys provided information on whether the grouper were in spawning condition.
391 Observations from the Snowy Wreck of an aggregation on 31 October 2017, however, fall just
392 outside of the likely spawning season (Table S1). Gonads from snowy grouper caught with hook-
393 and-line on the Snowy Wreck in June 2017 visually appeared to be ripening, and later
394 examination indicated the presence of stage III oocytes (vitellogensis; J. Locascio, personal
395 observation). Fish caught at the same site in August 2018 were released with unknown gonad
396 condition, and several others from August 2019 were retained without gonad examination but
397 anecdotally were not females in spawning condition. Sampling snowy grouper hotspots during
398 the winter months, when sampling is limited due to poor offshore weather conditions, may help
399 answer these questions. Of the other grouper species detected on hotspots (Warsaw, yellowedge,

400 misty, gag, scamp), only scamp and gag are known to form spawning aggregations (Coleman et
401 al., 1999; Farmer et al., 2017). Low counts of scamp (0.05-0.14 individuals per m) and gag (0.02
402 individuals per m) observed on the hotspots suggest that they were not part of a spawning
403 aggregation at the time of observation. Possibly, however, some of these species, like Warsaw,
404 snowy, yellowedge, and misty grouper, may spawn *en masse*, but supporting evidence is limited.
405 A shallower-dwelling grouper species, the goliath grouper (*Epinephelus itajara*, Serranidae), has
406 been documented to aggregate around artificial structures in off Florida (Collins, Barbieri,
407 McBride, McCoy, & Motta, 2015; Koenig, Coleman, & Kingon, 2011) and Brazil (Giglio et al.,
408 2014), as well, and it has been, likewise, debated whether these observations are associated with
409 spawning in small groups, *en masse*, or potentially another behavior (M. L. Domeier, Maria, &
410 Nasby-Lucas, 2006).

411 Lastly, fishing pressure or lack thereof may also help explain the presence of grouper
412 aggregations on select reefs. Hotspots identified herein are small areas surrounded by expanses
413 of unconsolidated substrate. These small, isolated grouper aggregation sites may be much more
414 difficult for fishers to find compared to aggregation sites near or connected to large, naturally
415 occurring ledges or other hardbottom areas, such as the shelf break. Since the hotspots are in
416 deep water, they are also located far from shore and are harder for fishers to access.
417 Hypothetically, this could allow aggregations to persist on small, deep features, whereas
418 aggregations formerly on larger features have now been reduced or eliminated by fishing
419 pressure. Clearly, fishing can dramatically reduce or eliminate grouper aggregations, as
420 documented at Adrian's Mark in the 1980s (Epperly & Dodrill, 1995), and by the reduced
421 numbers of snowy grouper at the Snowy Wreck during the pre-MPA 2004 survey (Quattrini &
422 Ross, 2006) compared to later surveys. Thus, it is impossible to know whether groupers are
423 selecting isolated locations for their aggregations or that only the isolated aggregations now
424 remain due to many decades of heavy fishing. On the contrary, the U-576 and SS *Bluefields*
425 shipwrecks, which were commonly and collectively referred to by fishermen as the "Jack Frost
426 Wreck," began to be fished in the 1970s and were heavily fished by the 1980s (K. Brennan,
427 personal observation), and yet they remain as hotspots as recently as 2016, though it is important
428 to note that stricter trip limits for snowy grouper have been in place since 2006 (SAFMC, 2006).
429 The Snowy Wreck was fished until 2009 when it was designated as part of an MPA, and
430 aggregations were encountered both before (2004) and after (2012, 2014, 2017) MPA

431 designation. Future research should investigate how fishing pressure relates to hotspots and the
432 scale of aggregations and could involve the use of hydrophones to record boat traffic as a metric
433 for fishing pressure.

434 It is unclear how (or if) the species-specific abundances of groupers occupying hotspots,
435 or the number of species-specific grouper hotspots, relate to the abundance or distribution of the
436 broader populations of those species. If those relationships were known and consistent over time,
437 aggregation sites could be monitored as an index of abundance for the population.

438 Unfortunately, such determinations would likely be exceedingly difficult, requiring some
439 combination of knowledge of the number, distribution, and location of hotspots (how many sites
440 are there, and does the number of sites vary over time?), temporal variability in abundance (how
441 does site-specific abundance vary within and across years, with relevance for determining
442 monitoring approaches?), and, preferably, ground-truthing of species-specific indices of
443 abundance or biomass generated from hotspot-site monitoring with population-level indices of
444 abundance or biomass derived from one or more other sources (do aggregations behave in
445 concordance with population trends, or might aggregations exhibit hyperstability even in low
446 population status?). In the near-term, case studies involving specific hotspots would be
447 informative to provide insight into temporal variability in abundance and the degree to which
448 specific sites are affected by, or can recover from, targeted fishing efforts. For example, a cross-
449 comparison of abundance over time at the Snowy Wreck, a grouper hotspot that experienced
450 targeted fishing but was subsequently protected as an MPA, and Adrian's Mark, an unprotected
451 aggregation site that continues to experience fishing effort (G.T. Kellison, personal observation),
452 could provide insight into the resilience of site-specific aggregations. For the Snowy Wreck, at
453 least, while data are limited, the pre- and post-MPA surveys suggest a huge increase in grouper
454 numbers after the habitat was protected (Quattrini & Ross, 2006). If new artificial reefs are
455 deployed at comparable depths to the hotspots identified here, monitoring the new artificial reefs
456 could provide additional insight into temporal variability, including colonization, of potential
457 new hotspots. This approach towards documenting colonization of potential hotspots could also
458 help determine inter- and intra- specific species interactions.

459 Evaluating observational data collected over five decades was challenging, largely
460 because it required synthesis of diverse datasets that not only used different methods (ROV,
461 HOV, hook-and-line) but that were also collected over a timeframe when technology rapidly

462 changed. To ensure that all data were comparable, we examined ROV and HOV data in units of
463 counts per linear m surveyed and hook-and-line data separately as CPUE. Using count per m for
464 the ROV and HOV data allowed us to evaluate the presence of grouper aggregations from
465 differing survey designs (time, distance, etc.), survey dates, fields of view, and gear (camera,
466 video, in-person counts) since not all datasets reported densities. It is possible that additional
467 datasets could have provided further evidence of hotspots on the SEUS.

468 Our synthesis revealed that hotspot sites with small spatial footprints and isolated spatial
469 arrangements may represent disproportionate abundances of deep-water groupers. Despite our
470 documentation of grouper hotspots on select SEUS reefs and several examples of additional
471 grouper hotspots reported in the literature, descriptions of similar observations from other
472 regions or other survey methods are rare. We encourage communication and publication of
473 similar observations or data from other regions to better elucidate the extent to which this
474 phenomenon occurs globally and whether it also occurs in other large-bodied grouper or other
475 marine fauna. Future research can help solve the puzzle of why deep-water grouper aggregate on
476 small, isolated habitats and how the aggregated grouper both derive resources from these sites
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524

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534

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536

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705

706 **Tables:**

707

708 **Table 1:** Direct observational datasets over five decades that were analyzed for hotspots of groupers. The survey date(s), methods, and
709 effort are indicated for each site, as is the source of data. Reef type (i.e., artificial or natural), reef sites, and geographic location are
710 provided. Data sources, as well as the location within this paper where the dataset is referenced, are also given.

711

Dataset	Survey date(s)	Survey method	Survey effort	Reef type	Reef site(s)	Depth (m)	State(s)	Data source	Reference section
Parker & Ross	1979	HOV	13 transects	natural	Snowy Hole, shelf-edge reefs	52-152	NC	Parker & Ross, 1986. NEGS.	3.4
Ross JSL	1983	HOV	10 transects	natural	shelf-edge reefs	52-95	NC	SW Ross unpublished data	4
Sedberry	1985, 2001-2004	HOV	189 transects	natural	shelf-edge reefs, upper-slope reefs	50-79, 197-207	SC, GA, FL	Schobernd & Sedberry, 2009. Ocean Exploration Cruise Report	4
Quattrini & Ross	2001-2004	HOV & ROV	17 transects (HOV); 10 transects (ROV)	artificial, natural	Snowy Wreck, shelf-edge reefs, upper-slope reefs	57-253	NC	Quattrini & Ross, 2006.	3.1, 4
NOAA Panama City	2004, 2006-2010, 2012-2018	ROV	265 transects	artificial, natural	Snowy Wreck, Charleston Barges, shelf-edge reefs, upper-slope reefs	50-252	NC, SC, GA, FL	Reports to the SAFMC; some in Bachelier et al., 2016.	3.1, 3.3, 4
SEFIS	2010	ROV	163 transects	natural	shelf-edge reefs	50-66	SC, GA, FL	Bachelier et al. 2016.	4
Underwater Battlefield	2016	HOV	6 transects	artificial	U-576, SS <i>Bluefields</i>	210, 245	NC	Johnson et al. 2020.	3.2
Ross Snowy	2017	ROV	11 transects	artificial	Snowy Wreck	252	NC	SW Ross unpublished data	3.1
Hook & Line	2017-2019	Hook-and-line	881 drops	artificial, natural	Snowy Wreck, Snowy MPA, shelf-edge reefs	250, 60-140	NC	Runde, Rudershausen, Buckel unpublished data	3.1, 4

712

713 **Table 2:** Characteristics of hotspots. For each reef, the reef type (artificial, natural, unknown), depth, fishing pressure (open, closed),
714 and isolation (isolated or not) are provided.

715

Hotspot	Reef type	Depth (m)	Current fishing pressure	Isolation	Reference section
Snowy Wreck	Artificial	250	Protected; unfished (closed to fishing since 2009)	Isolated	3.1
Underwater Battlefield (U-576, SS <i>Bluefields</i>)	Artificial	210, 245	Fished	Isolated	3.2
Intentionally-Sunk Barges (Shallow Barge, Deep Barge)	Artificial	85, 100	Protected; unfished (closed to fishing since 2017)	Isolated	3.3
Snowy Hole	Natural	125-137	Fished	Isolated	3.4

716

717

718 **Figures:**

719

720 **Figure 1:** Observations of hotspots characterized by high snowy grouper counts on: A) the
721 shipwreck U-576 in 210 m depth off North Carolina in August 2016 and B) the Snowy Wreck in
722 250 m depth off North Carolina in October 2017. See Section 3 (“Reefs with grouper hotspots”)
723 for site details.

724

725 **Figure 2:** Locations of artificial (triangles) and natural (circles) reefs surveyed over five decades
726 that were evaluated for presence of grouper hotspots. Sites where hotspots were observed are in
727 red with labels in black text, whereas sites where hotspots were not observed appear in gray.
728 Contour lines represent 100 m depth intervals. The area considered in this study was bounded by
729 Cape Hatteras, NC to the north and Cape Canaveral, FL to the south.

730

731 **Figure 3:** Counts of snowy grouper per linear m surveyed on the Snowy Wreck hotspot located
732 off North Carolina by year. Each bar corresponds to one survey transect, with the exception of
733 2017 which corresponds to the mean snowy grouper count per m observed across eleven
734 transects designated post-data collection. The Snowy Wreck was protected from fishing in 2009.

735

736 **Figure 4:** Counts of large-bodied groupers per linear m surveyed on two hotspots: A) U-576
737 shipwreck and B) SS *Bluefields* shipwreck located off North Carolina by replicate transects (e.g.,
738 U-576 transect 1 = U1, SS *Bluefields* transect 1 = B1). Each bar corresponds to one HOV
739 transect. UnID refers to grouper that we were not able to identify to species level.

740

741 **Figure 5:** Counts of large-bodied groupers per linear m surveyed on two hotspots: A) shallow
742 barge and B) deep barge, both located off the coast of Charleston, South Carolina. Each bar
743 corresponds to one ROV transect. UnID refers to grouper that we were not able to identify to
744 species level.

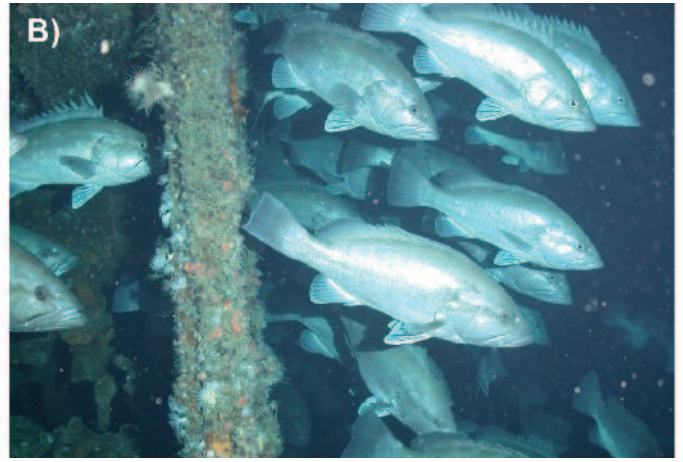
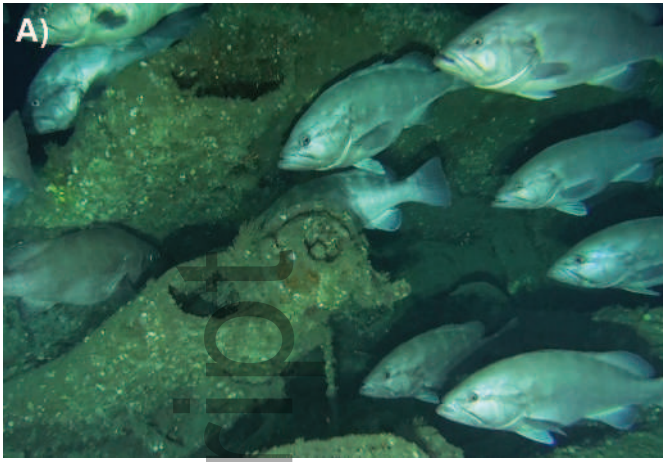
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746 **Figure 6:** Grouper on natural reefs without observed hotspots: A) on the shelf edge off Florida
747 and B) on the upper slope off South Carolina from NOAA Panama City ROV dives.

748

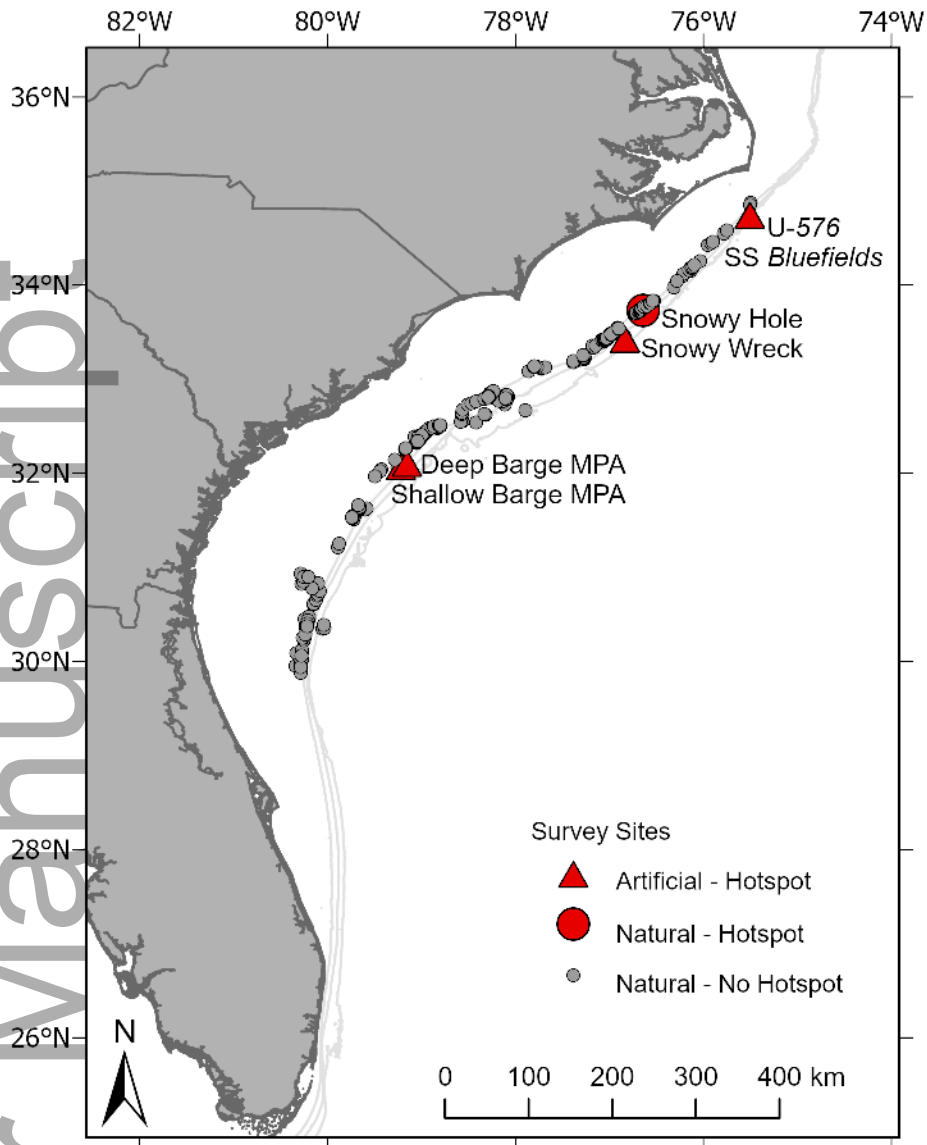
749 **Figure 7:** Mean grouper count per linear m surveyed outside of hotspots from three datasets: 1)
750 SEFIS ROV, 2) Sedberry HOV, and 3) NOAA Panama City ROV. Text above each bar indicates
751 the total number of transects used to calculate the mean count per m for reefs on the shelf edge
752 and upper slope. Error bars represent ± 1 SE. See Data S1 for species-specific counts.

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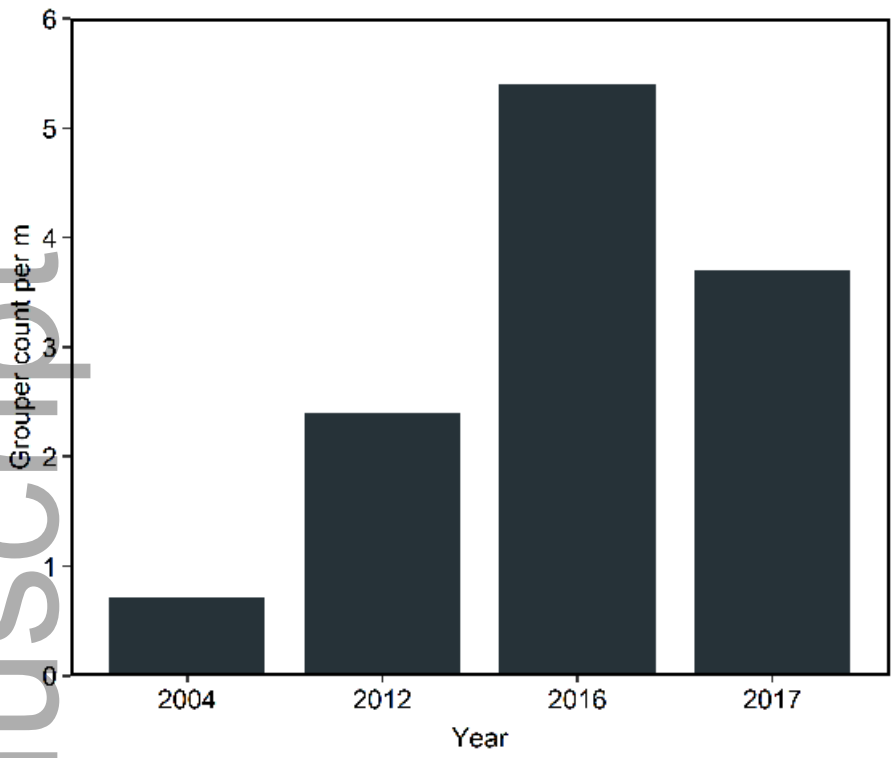


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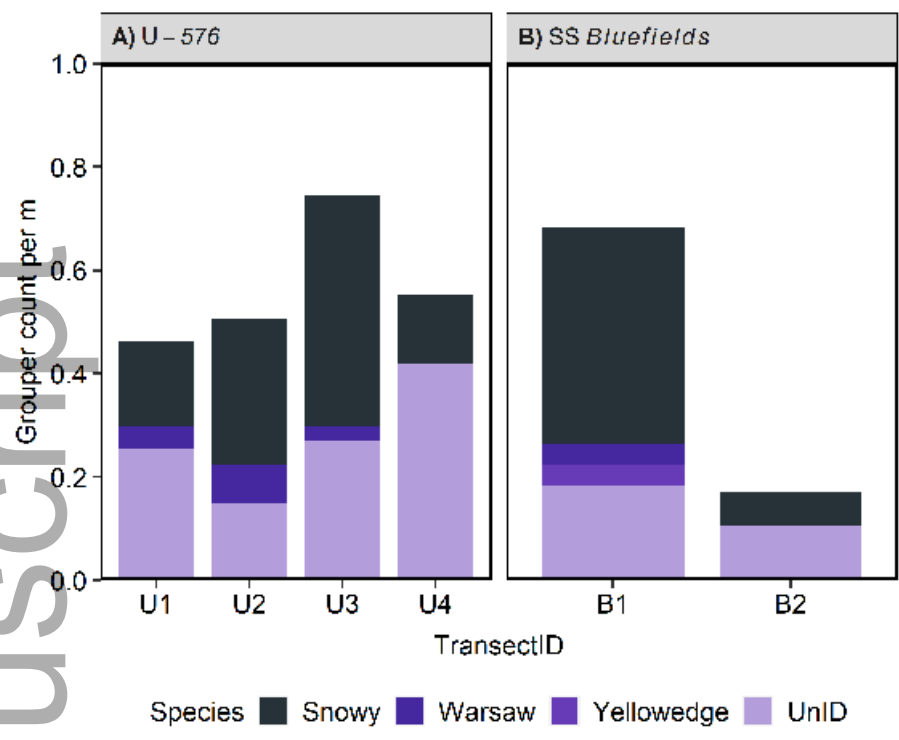


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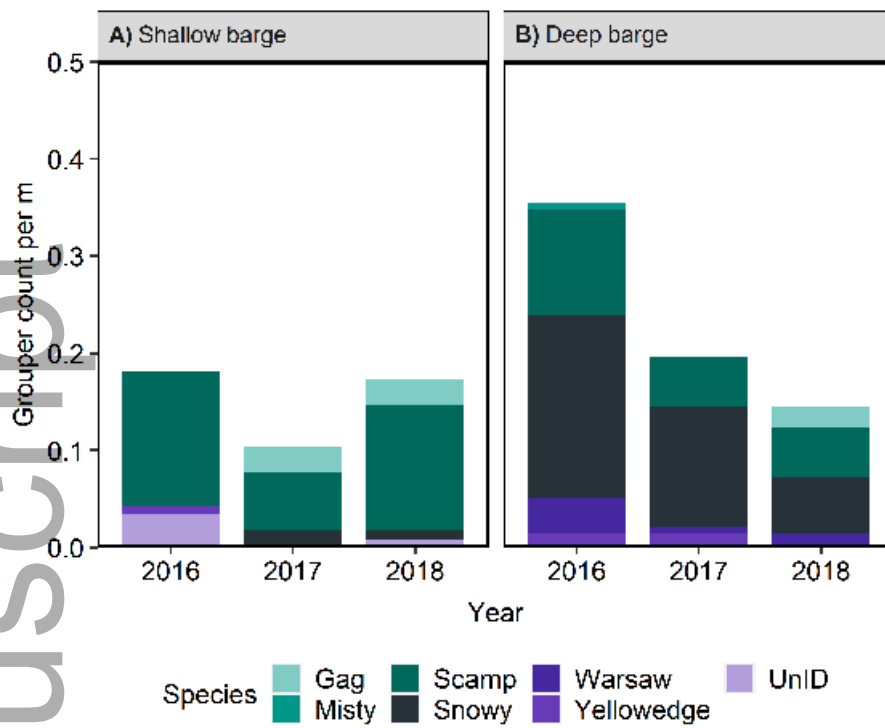


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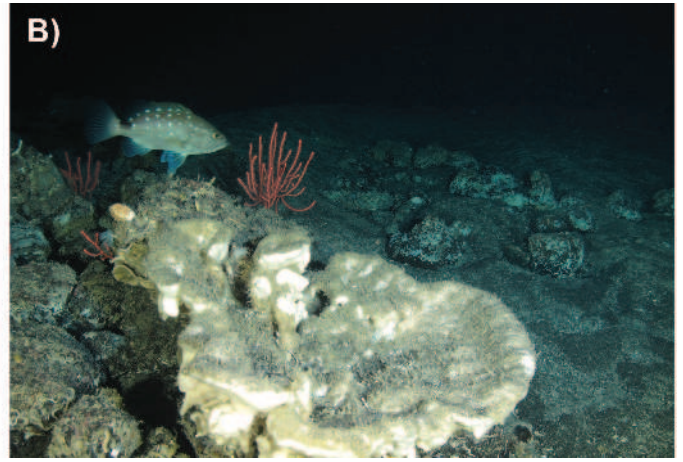
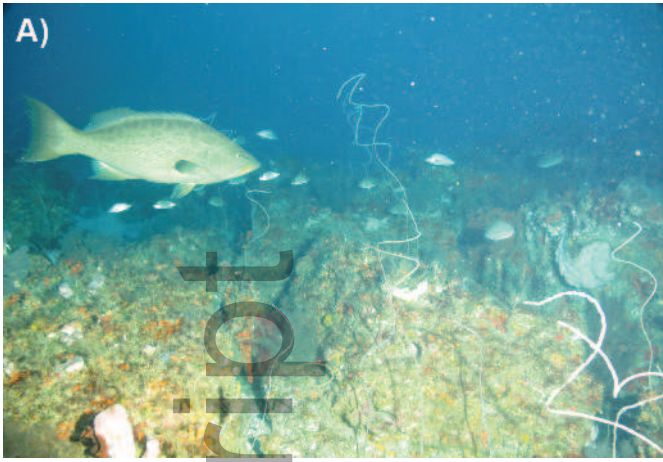
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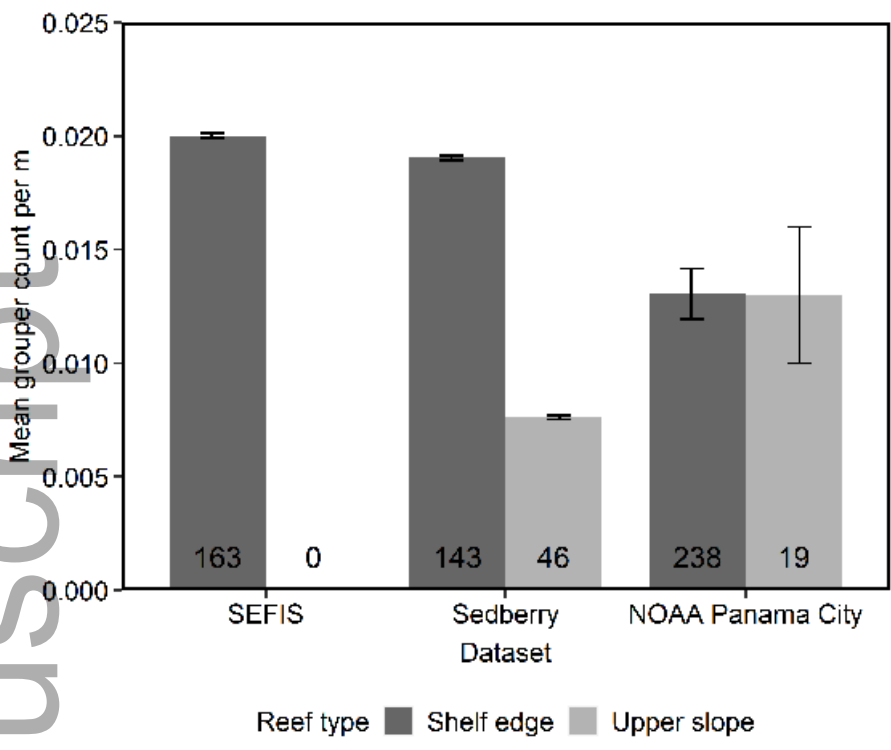


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