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Climate Change Effects on North American Fish and Fisheries to Inform Adaptation Strategies

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37 38 **Abstract**

39 Climate change is a global persistent threat to fish and fish habitats throughout North America.
40 Climate-induced modification of environmental regimes, including changes in streamflow, water
41 temperature, salinity, storm surges, and habitat connectivity can change fish physiology, disrupt
42 spawning cues, cause fish extinctions and invasions, and alter fish community structure.
43 Reducing greenhouse emissions remains the primary mechanism to slow the pace of climate
44 change, but local and regional management agencies and stakeholders have developed an arsenal
45 of adaptation strategies to help partially mitigate the effects of climate change on fish. We
46 summarize common stressors posed by climate change in North America, including (1) increased
47 water temperature, (2) changes in precipitation, (3) sea level rise, and (4) ocean acidification, and
48 present potential adaptation strategies that fishery professionals may apply to help vulnerable
49 fish and fisheries cope with a changing climate. Although our adaptation strategies are primarily
50 from North America, they have broader geographic applicability to fish and aquatic biota in
51 other jurisdictions. These strategies provide opportunities for managers to mitigate the effects of
52 climate change on fish and fish habitat while needed global policies to reduce greenhouse gas
53 emissions emerge, which may offer more lasting solutions.

54 55 56 **INTRODUCTION**

57 Observed air temperature and other climatic trends provide widespread evidence that
58 global climate is changing and that the changes are caused by humans. Thorough assessments
59 from Canada (Cohen et al. 2019; Poesch et al. 2016); the United States Fourth National Climate
60 Assessment (Reidmiller et al. 2018; Wuebbles et al. 2017), and Mexico (Cavazos et al. 2020;
61 Colorado-Ruiz et al. 2018) summarize key climate observations to date and projected changes

62 within North America (Figure 1, S1, S2). In Canada, annual precipitation has increased since the
63 1970s, and average annual air temperature rose from 1.7 °C to 2.3 °C (Cohen et al. 2019). In the
64 United States, regional responses to global climate change vary from milder winters in the
65 Northeast to intensifying droughts in the Southwest and increasing storms in the Caribbean and
66 Pacific Islands. In Mexico, annual projections are for increased temperature, with warming
67 particularly during the summer). Overall, precipitation is expected to decrease in Mexico
68 (Cavazos et al. 2020; Colorado-Ruiz et al. 2018), but regional trends are more variable with
69 significant declines expected in northwestern Mexico and increases possible in eastern Mexico
70 (Cavazos et al. 2020).

71 North American fish and fisheries (which also includes commercially important aquatic
72 invertebrates) are ecologically, culturally, and economically valuable resources. However, to
73 manage fisheries sustainably and conserve fish diversity, managers now need a better
74 understanding of how climate change affects freshwater, estuarine, and marine fish, and the
75 habitats on which they depend is needed to sustainably manage fisheries and conserve fish
76 diversity. This information is vital for managers and policymakers to craft adaptation strategies
77 given a changing climate. Although adaptation strategies remain critical at local scales, it is
78 noteworthy that the trajectory of climate change will persist unless broad-scale policy is enacted
79 to reduce greenhouse gas emissions (Reidmiller et al. 2018; Wuebbles et al. 2017).

80 Building upon recent syntheses (e.g., the Fourth National Climate Assessment) as well as
81 a July 2016 special issue of *Fisheries* magazine on climate change, we provide a summary of
82 four of the most important climate effects on fish and fisheries through (1) warming water
83 temperatures, (2) changes in precipitation patterns, (3) sea level rise, and (4) ocean acidification,
84 and provide adaptation strategies for common challenges facing lakes and reservoirs, streams
85 and rivers, wetlands, coastal and offshore marine ecosystems, and coral reefs. Our goal is to
86 provide fishery managers and decision makers with a summary of the state of the science on fish
87 and climate change and the “tools” that may be considered to better manage fish and fisheries as
88 these systems change. Our scope is primarily North America, but the climate effects and
89 adaptation strategies likely have applicability globally. We aim to inform climate policy
90 considerations engaged by the public, decision makers, and other groups interested in fisheries
91 and aquatic conservation and management.

92

93 **WARMING WATER TEMPERATURES**

94 Climate change affects the physiology, life history, phenology, survival, and distribution
95 of fishes and commercially important invertebrates, while also imparting indirect effects through
96 complex interactions with numerous other ecological processes (Table 1). Warming temperatures
97 in combination with other climate stressors can create conditions suitable for biological invasions
98 that may be unsuitable for native biota, and change vital rates such as growth and reproduction,
99 and shift species distributions. For example, the Laurentian Great Lakes are experiencing shorter
100 winters and less ice cover (Assel et al. 2003), leading to warmer surface temperatures (Dobiesz
101 and Lester 2009) and changes in the reproductive phenology (Lyons et al. 2015) and recruitment
102 of Yellow Perch *Perca flavescens* (Farmer et al. 2015). In Southeast Alaska where individual
103 salmonid stocks have adapted life history strategies to the environmental conditions, Pink
104 *Oncorhynchus gorbuscha* and Chum Salmon *O. keta* may migrate to the ocean earlier when food
105 resources are limited (Bryant 2009). In subtropical lakes in south Florida, the distribution of
106 invasive tropical fish has expanded, prompting eradication efforts (Schofield and Loftus 2015)
107 due to their continued spread and exacerbating effects on native fishes, whereas Smallmouth
108 Bass *Micropterus dolomieu* have invaded arctic, alpine, and temperate lakes (e.g., Alofs et al.
109 2014; Box 1), as well as the Columbia River basin (Rubenson and Olden 2020).

110 Climate warming can also magnify the effects of nonnative predators on native species
111 with increasing metabolic rates and prey consumption. A global study of climate change effects
112 on marine biota predicts an average 5% future loss of total animal biomass for every 1°C of
113 warming (Lotze et al. 2019). Freshwater ecosystems have similar projections. For example, in
114 the Columbia River Basin, bioenergetics models indicate that a 1°C increase in annual river
115 temperatures may result in about a 5% increase in per capita consumption of salmonids by
116 nonnative Smallmouth Bass and Walleye *Sander vitreus* (Petersen and Kitchell 2001), whereas
117 in the Midwest streams Smallmouth Bass consumption would increase by 27%, but only gain an
118 additional 6% in weight (Pease and Paukert 2014).

119 Warming water temperature has also increased the prevalence of disease. Warmer
120 temperatures allow disease organisms to complete their life cycle more rapidly and thus attain
121 higher population densities (Marcogliese 2008). *Myxobolus cerebralis*, which causes whirling
122 disease in fish, is an example of a nonnative pathogen introduced from Europe to North America
123 whose impact is likely to increase with climate warming (Rahel and Olden 2008).

124 Conditions may also become less suitable for native fishes through changes in
125 reproduction, growth, or mortality, in response to climate change. Warmer summer temperatures
126 have been associated with high mortality of adult salmon during upriver migrations and on
127 spawning grounds (Krabbenhoft et al. 2020; Lynch et al. 2016). In lotic systems, end-of-century
128 forecasts for headwater species with cold thermal niches such as Brook Trout *Salvelinus*
129 *fontinalis* in the eastern USA (Flebbe et al. 2006) and Bull Trout *S. confluentus* in the western
130 USA (Rieman et al. 2007; Ruesch et al. 2012) predict significant declines in habitats with
131 suitable thermal conditions. Marine biota may also experience changes in vital rates due to
132 climate warming. The time to hatching, the larval–juvenile transition, and likely even maturation
133 will occur sooner coupled with smaller ova, smaller hatchlings, and smaller individuals at
134 settlement (Pankhurst and Munday 2011; Whitney et al. 2016). However, not all species, life-
135 stages, and behaviors may respond to temperature in the same way (Angilletta, Jr. and Angilletta
136 2009). Small increases in water temperature (e.g., 2° C) may alter metabolic rates, population
137 density, and food availability; and reduce maximum size and longevity of some coral reef fish
138 species (Munday et al. 2008).

139 Climate driven temperature changes can alter population productivity, which may affect
140 the amount of biomass of important fish stocks that can be sustainably harvested. A meta-
141 analysis of marine stock assessment models aggregated for multiple stocks and species
142 worldwide reveals climate change “winners” and “losers” and a net overall loss of sustainable
143 yield of 4.1% from 1930 to 2010 (Free et al. 2019). This net loss may be higher still as Free et al.
144 (2019) included few species from tropical and subtropical waters, where stock assessments are
145 rare but deleterious effects are expected to be more common (Comte and Olden 2017). Even
146 within the United States, only 14% of marine fish and invertebrate stock assessment models
147 explicitly include environmental or oceanographic conditions (Marshall et al. 2019). In the Gulf
148 of Maine, which is warming faster than 99% of the world's oceans (Pershing et al. 2015), climate
149 change may be at least partially responsible for frequent inaccuracies in fishing mortality rates
150 predicted by stock assessments (Wiedenmann and Jensen 2018).

151 Warming water temperatures have also been linked to coral reef bleaching, which in turn
152 affects coral reef fishes. Ocean temperatures near coral reefs in Hawaii have increased over 1° C
153 in the past 58 years and are expected to increase several degrees C over the next century
154 (Guinotte et al. 2003), which could trigger more mass bleaching events (Strong et al. 2011) and

155 result in ecosystem transformation (Thompson et al. 2021). In Hawaii, warming temperature
156 have already resulted in coral bleaching and mortality rates as high as 50% in some areas. Coral
157 reef assemblages in the Florida Keys recently shifted after 8,000 years of relatively stable
158 composition (Toth et al. 2019). Since the 1980s, mass coral bleaching and mortality have
159 resulted from rising sea temperatures in warm water coral reef systems (Hoegh-Guldberg et al.
160 2017). In Florida, reef building corals have declined substantially, largely due to rising ocean
161 temperatures, sedimentation, and overfishing.

162 Climate change has also altered the availability and distribution of thermally suitable
163 habitats and caused shifts in fish species ranges, population productivity, and communities
164 (Comte and Olden 2017; Meisner et al. 1988; Box 2). In the Northeast, northward shifts in the
165 distribution of multiple species has been shown (Kleisner et al. 2017; Nye et al. 2009), with
166 further shifts predicted as ocean warming continues (Morley et al. 2018). In the Bering Sea,
167 warming temperatures and declines in the extent of sea ice have transformed an arctic into a
168 subarctic ecosystem, with evidence that fish populations such as Pacific Cod *Gadus*
169 *macrocephalus* are moving north (Spies et al. 2019). Kelp forests are critical habitats for
170 nearshore marine fish and fisheries, but kelp community composition along the Pacific coast
171 from Mexico to Alaska has changed with warming temperatures (Beas-Luna et al. 2020),
172 although local stressors and regional variation may be equally important (Krumhansl et al. 2016).
173 Marine fish and invertebrate stocks throughout the USA are shifting in synchrony with climate
174 velocities (Pinsky et al. 2013). Species like Black Sea Bass *Centropristis striata* in
175 Massachusetts (Box 3) or Cobia *Rachycentron canadum* in Chesapeake Bay, once rare in these
176 locations, are now supporting recreational fisheries. By contrast, coral reef communities are
177 becoming less resilient as the climate changes; coral is less likely to recover from major storms,
178 which are expected to increase (Reidmiller et al. 2018), or disease (Hoegh-Guldberg et al. 2017).
179 There is documented evidence that fish species reliant on corals for food and shelter have
180 decreased within 3 years of bleaching events (Hoegh-Guldberg et al. 2017). Consequently,
181 bleached corals may not recover quickly and different species may repopulate, leading to a new
182 associated fish community, novel food webs, and changing energy transfer (Berumen and
183 Pratchett 2006).

184

185 **Adaptation Strategies**

186 Non-climate related stressors such as harvest, land use, and pollution can all interact with
187 climate to create complex responses to ecosystems and may need to be considered when
188 developing adaptation strategies (Lynch et al. 2016; Staudt et al. 2013). Regulating harvest
189 (Aronson and Precht 2006; Campbell et al. 2020) and designating protected areas (Chung et al.
190 2019) can benefit freshwater and marine biota (Chu et al. 2017), but they are not a climate
191 change panacea (Graham et al. 2020). Harvesting practices that focus on a diversity of species
192 that demonstrate varying levels of vulnerabilities and sensitivities to climate change may allow
193 systems to be more resilient in the future (Hansen et al. 2015). In some systems such as coral
194 reefs or systems with substantial recreational use understanding how tourism may affect systems
195 (e.g., pollution) provides information on additional stressors beyond climate change. Tourism
196 itself could be an opportunity to educate the public on climate effects to these systems and
197 encourage citizen science as a useful adaptation strategy (Hafezi et al. 2020; McKinley et al.
198 2017). Therefore, implementing adaptation strategies related to harvest, protected lands, and
199 pollution may be tangible strategies to support climate change adaptation.

200 One adaptation strategy considered primarily for marine commercial fisheries is the re-
201 tooling of fishing vessels to exploit “new” stocks caused by distributional shifts. However, many
202 smaller vessels have been driven out of business or forced to diversify their target species
203 (Young et al. 2019). As fish distributions continue to shift, more fishing communities in the
204 Northeast and Mid-Atlantic regions of the United States are expected to face this choice between
205 long travel times in search of historically abundant stocks or re-gearing to target new species
206 (Rogers et al. 2019). Management strategies that are responsive to shifting fish distributions,
207 such as changing harvest allocations to better match shifting ranges of transboundary stocks
208 (Pinsky et al. 2018), enhance the flexibility and adaptive capacity of fishermen (Stoll et al.
209 2017), prioritize ecosystem-based management over single species management (Howell et al.
210 2021), or capitalize on newly established invasive species, such as Lionfish *Pterois* sp. (Malpica-
211 Cruz et al. 2021), are important components of any climate change adaptation strategy for marine
212 fisheries. Therefore, managing marine fisheries may likely improve through continued
213 development of stock assessment models that better capture the dynamic environment, shifting
214 fish stocks, and its effects on life history and population parameters of fisheries resources.

215 Prevention and control of harmful invasive species that continue to spread in response to
216 climate change represents an important adaptation strategy (Rahel and Olden 2008). Early

217 detection and rapid response strategies remain critical to prevent or quickly eradicate newly
218 arriving invasive species (Table 1). This include options such as establishing check stations to
219 screen watercraft for invasive species (Fischer et al. 2021), or creating barriers to upstream
220 movement by invasive species that are responding to more favorable thermal conditions (Rahel
221 and Olden 2008). Removal or suppression of invasive species could also increase the resiliency
222 of aquatic systems. For example, the removal of *Phragmites australis* and hydrologic restrictions
223 may benefit impacted salt marshes, provide critical sediment delivery, and improve fish habitat
224 (Dibble et al. 2013; Woodroffe et al. 2016), whereas the removal of sea urchins may allow for
225 native red abalone *Haliotis rufescens* recovery (Rogers-Bennett et al. 2010; Box 4). Liberalized
226 harvest regulations for invasive species expanding due to increasing temperatures is also an
227 option, but the success of these programs has been mixed (Paukert et al. 2021).

228 One challenge across multiple ecosystems is to moderate increased water temperatures
229 resulting from climate change. Although strategies may vary by system, in streams and rivers
230 thermal regimes downstream of dams may be improved by variable depth (and hence
231 temperature) water releases that mimic seasonal patterns (i.e., natural thermal regimes; Olden
232 and Naiman 2010), and can be cooled by protecting and increasing riparian vegetation that
233 provides shade from direct solar radiation (Lawrence et al. 2014). Water policy decisions that
234 allocate water among reservoirs can alter downstream thermal regimes and may be used as a tool
235 to discourage non-native fish invasion into critically important habitats (Dibble et al. 2021).

236 Improving the physical diversity of river and stream habitats by re-establishing linkages with
237 floodplains and enhancing in-channel complexity with large woody debris may provide greater
238 exchange with cooler hyporheic waters and microrefugia for fish use during periods of extreme
239 heat. In addition, options for moderating extreme thermal regimes and concurrent environmental
240 co-stressors in marine systems include minimizing the addition of excess nutrients such as
241 nitrogen, which increased the severity of coral bleaching (Donovan et al. 2020), or reduction in
242 thermal effluent to estuaries, reducing activities that lead to eutrophication and hypoxia, and
243 lessening releases and spills of toxicants (Baumann 2019; Rogers-Bennett and Catton 2019).

244 Augmenting populations that face risks from climate change may also be feasible for
245 some taxa through stock enhancement and assisted migrations (Molony et al. 2005; Ronald and
246 Mia 2000). Creating diverse habitats or creating biologically robust populations through
247 stocking, particularly when natural recruitment may be limited, could be a viable alternative to

248 create diverse stocks that may be more resilient to climate change (Hansen et al. 2015), although
249 an understanding of the ability of new habitat to support the translocated fish needs to be
250 considered (Berger-Tal et al. 2020). However, long-term husbandry of freshwater fish
251 populations may play an increasingly important conservation role in the future, and managed
252 relocations of wild populations to locations projected to be suitable in the future but are currently
253 unoccupied may need to be considered (Olden et al. 2011).

254

255 **CHANGES IN PRECIPITATION**

256 Changes in precipitation timing and intensity may have substantial effects on fish and
257 aquatic biota in lakes, wetlands, and streams through loss of connectivity, changes in lake size
258 and depth, habitat diversity, and disruption of spawning cues (Table 2). Increased evaporation,
259 coupled with decreasing runoff from lessening snowpack in higher elevations, may reduce the
260 size and depths of high elevation lakes (Adrian et al. 2009), whereas changes in precipitation
261 may lead to increased salinity and decreased water levels in Great Plains lakes. Fish communities
262 in this region are structured along a gradient of salinity, and endemic fish species already close to
263 their thermal and saline limits are at risk (Covich et al. 1997). In addition, endemic fishes are
264 found in many lakes in the western USA and the desert Southwest (Dickerson and Vinyard
265 1999), and future water levels may depend on the balance between evaporation,
266 snowmelt/precipitation runoff, and water withdrawals from the system. In lotic systems and
267 wetlands, instream flows are considered a master variable that dictated many life history triggers
268 including reproduction (Poff et al. 1997).

269 Disruption of flows due to changes in precipitation is one of the primary concerns of how
270 climate change may affect stream and river fish. Hydrologic connectivity is key to determining
271 whether and how species will be able to sufficiently track changing climate. For example, more
272 frequent and severe droughts associated with climate change may alter patterns in zero flow days
273 and hydrologic connectivity in dryland streams of the American Southwest, leading to reduced
274 opportunities for native fish to access spawning habitats and seasonally available refuges (Jaeger
275 et al. 2014). Climate tracking of many warm-water Midwest fish was limited by unsuitably steep
276 channels and changes in habitat conditions across a mountain–plains transition zone
277 (Gibson-Reinemer et al. 2017). Similar limitations to fish dispersal in response to climate change
278 are created by anthropogenic impediments in rivers and streams such as dams and water

279 diversions that often lack adequate fish passage facilities (LeMoine et al. 2020).

280 In addition, linkages among climate change, salinity, and osmoregulation can also
281 influence fish species distributions and local abundances. For example, the abundance of
282 stenohaline fish in the Rio Grande River decreased from the 1970s to the 2010s, a result partially
283 explained by a decreasing trend in heavy precipitation events that previously diluted salinity
284 concentrations (Miyazono et al. 2015). Climate-induced changes to river flows and temperature
285 have also resulted in changes to both upstream and downstream migration dates of warm-water
286 species such as freshwater eels *Anguilla* spp. (Drouineau et al. 2018) and Striped Bass *Morone*
287 *saxatilis* (Peer and Miller 2014).

288 Changes in precipitation patterns from climate change coupled with other stressors can
289 manifest through changes in water quality. Shallow temperate lakes may transition from clear to
290 turbid states as nutrient loading increases from intense precipitation (Scheffer and van Nes
291 2007), resulting in a loss of macrophyte habitats which can decrease sport fish production
292 (Johnson et al. 2014). In wetlands along the Laurentian Great Lakes, terrestrial organic carbon
293 loading rates have increased due to higher precipitation (Solomon et al. 2015). Similar increases
294 have been shown to decrease secondary production in many lakes of the United States (Leech et
295 al. 2018). In temperate lakes of Minnesota, for example, fish abundances have declined where
296 longer durations of stratification have negatively affected oxythermal habitat (Jacobson et al.
297 2012). Conversely, increased colonization beaver *Castor canadensis* and thawing of permafrost
298 from climate change have modified tundra stream dynamics and increased lake and wetland area
299 under recent warm, wet conditions (Tape et al. 2018). Ultimately, these changes in fish
300 communities from climate change may lead to loss of native fishes and reduced the diversity of
301 fish communities.

302

303 **Adaptation Strategies**

304 Protecting least disturbed habitats and restoring habitat connectivity and diversity is an
305 essential climate adaptation strategy for aquatic systems (Table 2; Erwin 2009; Tingley et al.
306 2019). Although reducing water withdrawals may be appropriate when declining lake levels is a
307 concern (Magee et al. 2019), many measures extend beyond the waterbody by also focusing on
308 enhancing and protecting watershed processes (Schindler 2009). Therefore, identifying areas for
309 barrier removal (e.g., obsolete dams) to allow for connectivity, while also identifying where best

310 management practices to control excessive nutrients may be useful (Johnson et al. 2014;
311 Solomon et al. 2015); such management has led to more resilient lakes for cold-water fish that
312 require high quality oxythermal habitat (Jacobson et al. 2013). However, increased connectivity
313 may not be universally positive as this may facilitate invasive species (Jones et al. 2021).
314 Decision support tools that identify these stressors to determine when and where conservation
315 efforts are best invested will continue to be important, because needs will undoubtedly outstrip
316 limited resources (Peterson et al. 2013; Tingley et al. 2019)

317 The translocation of fishes may also be considered an adaption strategy, but careful
318 consideration of the effects of the donor population on the receiving ecosystem may need to be
319 considered before implementation. In systems where translocation may be feasible, employing
320 hatchery practices to provide sufficient genetic diversity that mimic wild populations, or have
321 phenotypes that exhibit different life history strategies, will be critical to create more resilient
322 fish populations (Olden et al. 2011). Therefore, decision support tools that consider the risk and
323 benefits to the donor and receiving ecosystem (Galloway et al. 2016), while also being prepared
324 with emergency action plans to rescue fish during droughts (Beebe et al. 2021) can be useful to
325 help managers decisions if managed relocation may be feasible option.

326 Streamflow is considered a master variable in structuring lotic systems so efforts to
327 restore natural flows provides a useful strategy that can have multiple benefits (Poff et al. 1997).
328 Supporting natural hydrologic regimes through reducing agricultural tiling and water diversions,
329 reducing the flashiness of flows in urban areas, modifying dam releases to mimic natural flows to
330 trigger spawning, and restoring wetlands and riparian zones will help offset the effects of
331 changing precipitation patterns. Nature-based solutions such as restoring beaver dams have also
332 been used to restore streamflow and connectivity (Ronnquist and Westbrook 2021). Wetland
333 restoration may help mitigate negative effects related to climate change, however, such actions
334 are dwarfed in comparison to the already significant loss of natural wetland habitat across North
335 America (Mitsch and Hernandez 2013). Nonetheless, lateral connectivity with floodplains and/or
336 marshes, restoring native vegetation and woody debris (Beechie et al. 2013), and mimicking
337 seasonal thermal and flow patterns can partially offset the deleterious effects of climate change.

338

339 **SEA LEVEL RISE**

340 Climate change is affecting coastal and estuarine fish and fish habitat in a variety of ways

341 that can be somewhat unique to marine systems (Table 3). The combination of lengthy coastlines
342 on the Atlantic (22.5 degrees of latitude, 3,300 km) and Pacific (38.3 degrees of latitude, 6,200
343 km) coasts of North America, and their largely north–south orientation supports a range of
344 biomes from the subtropics to the Arctic.

345 Sea level rise will continue to intensify the effect of hurricanes and storms (Vitousek et
346 al. 2017) and may result in lower water quality from increased turbidity and the disturbance and
347 resuspension of coastal contaminants (Byrnes et al. 2011). Models predict a 46–59% loss of
348 global coastal wetlands by 2100 due to climate-induced rising sea levels of 0.5 m (Spencer et al.
349 2016). Mangroves provide nursery habitat to reef-dwelling fish and are vulnerable to sea-level
350 rise in areas with high coastal development and low sediment sources to support landward
351 migration and vertical accretion (Woodroffe et al. 2016), which is the largest climate threat to
352 mangroves (Gilman et al. 2008), and may eventually lead to large-scale marsh drowning,
353 vegetation dieback, and coastal erosion (Osland et al. 2016; Thorne et al. 2018). Coastal lagoons,
354 a prominent feature along the Pacific and North Atlantic coastlines, provide high-quality habitat
355 for threatened and endangered species such as steelhead *Oncorhynchus mykiss*. These systems
356 are influenced by sea-level rise, frequency and intensity of storms that breach the lagoons
357 (leading to fish kills), and declining freshwater inflows (Anthony et al. 2009; Hayes et al. 2008).
358 These studies show the importance of these ecosystems to fishes, and that adaptation strategies
359 for these systems may be critical as the climate changes.

360

361 **Adaptation Strategies**

362 There are several adaptation strategies to combat climate change effects on nearshore
363 marine habitats and aquatic biota (Table 3). Protecting mudflats and marshes can help protect
364 infrastructure and people during low-category hurricanes and coastal flooding (Gittman et al.
365 2014; Narayan et al. 2017). The dense vegetation combined with nature-based structures like
366 oyster reefs can act as a coastal defense by attenuating wave energy, accreting sediment (which
367 is also a large carbon sink), and stabilizing shorelines (Gittman et al. 2014; Narayan et al. 2017;
368 Roberts et al. 2017). In addition, connecting freshwater sources to downstream deltas may
369 provide sediment sources for marshes and mangroves, and provide buffering capacity to extreme
370 events such as hurricanes (Ellison 2015). Manual application of fine sediment to marshes and
371 mangroves or facilitating marsh migration are other adaptation strategies (see case studies in

372 Lynch et al. 2016). Finally, working with local governments to modify zoning laws to minimize
373 coastal development may be another option (Powell et al. 2019).

374

375 **OCEAN ACIDIFICATION**

376 By the end of the century, ocean pH may decrease by 0.4 to 0.5 units (Raven et al. 2005),
377 which reduces the available carbonate needed to build coral reef structures (Hoegh-Guldberg et
378 al. 2017). These changes in dissolved oxygen and CO₂ form the basis of ocean and coastal
379 acidification, which can affect the survival, growth, reproduction, and calcification of marine
380 organisms (Table 4; Kroeker et al. 2010) and even auditory behavior (Radford et al. 2021). These
381 changes are further amplified by the diverse set of factors from human activities located along
382 the nearshore environment or in rivers and streams that flow into estuaries (e.g., increases in
383 eutrophication, impervious surfaces, and shoreline hardening; pollution, physiological disruptors,
384 and agricultural run-off; and general habitat degradation and fragmentation). Increased
385 acidification coupled with increased nitrogen can promote growth of boring sponges that erode
386 coral reefs (Zhao et al. 2021). Acidification can increase the food availability for some fishes, but
387 at the same time it also increases their reproductive investment (Nagelkerken et al. 2021). These
388 responses show the complex interactions among climate change and other stressors. However,
389 because corals are sensitive to the effects of climate change, they can be early warning indicators
390 for climate related effects (Kayanne 2016).

391

392 **Adaptation Strategies**

393 Adaptation strategies to combat ocean acidification are challenging, particularly when
394 they are combined with other stressors like eutrophication (Wilson et al. 2020). Recent reviews
395 of climate effects on coral reefs indicate a rapid reduction in greenhouse gas emissions that
396 stabilize global temperatures and CO₂ is the only viable long-term solution for ensuring survival
397 of coral reefs (Hoegh-Guldberg et al. 2017; Munday et al. 2008). However, mitigating the effects
398 of other stressors such as curtailing nitrogen (e.g., from coastal development in Mexico; Rioja-
399 Nieto and Álvarez-Filip 2019) may be beneficial if bleaching has not severely damaged the coral
400 (Zhao et al. 2021). Maintaining seagrass can also help protect coral reef habitats from ocean
401 acidification (Table 4; Liu et al. 2020). For shellfish, aquaculture has been considered a possible
402 adaption strategy because in facilities pH can controlled (Wilson et al. 2020). Similar to

403 strategies for sea level rise, managers can cultivate a diversity of habitats that may establish
404 unique populations and broaden the gene pool which may help the systems adapt to ocean
405 acidification.

406

407 **CONCLUSIONS**

408 Adaptation strategies are recognized as stopgap actions and not the ultimate solution to
409 mediate climate change effects on aquatic ecosystems. The overarching issues of increased
410 greenhouse gas emissions from fossil fuels, deforestation, and land use change are the primary
411 causes of climate change, thus necessitating policies that reduce greenhouse gas emissions both
412 today and in the future (Reidmiller et al. 2018). However, the adaptation strategies summarized
413 here provide more localized and actionable options to help fisheries professionals address effects
414 from climate change on freshwater and marine fish and fisheries as policies to reduce greenhouse
415 gas emissions evolve and continue to be considered (Thompson et al. 2021).

416 Although some climate change stressors may be system-specific, many of the stressors
417 and adaptation strategies are common across marine and freshwater environments. For example,
418 changes in water temperature may provide more suitable conditions for the spread of invasive
419 species in freshwater lakes and rivers (Rahel and Olden 2008), and in marine systems such as
420 estuaries (Woodroffe et al. 2016). In addition, mechanisms to identify and prioritize water bodies
421 that are vulnerable to climate change effects are valuable regardless of the system (Tingley et al.
422 2019). However, we also recognize that all adaptation strategies are not created equal, and some
423 strategies may be difficult to implement (e.g., eliminate water withdrawals, remove large dams,
424 create lateral connectivity to floodplains), or require multiple stakeholders (implement best
425 management practices in watersheds, increase cooperation across multiple jurisdictions) or may
426 affect livelihoods (re-gear commercial fishing vessels), or may face complex economic, political,
427 or cultural issues of a region (Vázquez 2017). Though surely not fully comprehensive, our hope
428 is that these adaptation strategies provide options for policymakers and fisheries managers to
429 consider when addressing climate change within their mandates.

430 Substantial uncertainties persist with climate science, and communicating this uncertainty
431 to the public and policymakers remains challenging (Juanchich et al. 2020). Many climate
432 change and fisheries studies focus on modeled projections of how fish distributions (or other
433 parameters) may change under various emissions scenarios. However, modeled projections may

434 have more uncertainty and may need to be corroborated with observed effects (Lynch et al.
435 2016). Although modeled projections continue to emerge, we now have the ability to use long-
436 term monitoring data to examine and document how climate change has already affected
437 fisheries. These data can be used to validate additional models and provide more data-driven
438 evidence of climate change. In a recent literature review, there were at least 87 peer-reviewed
439 studies (71 since 2010) that documented how climate change affects inland fish alone
440 (Krabbenhoft et al. 2020). These documented changes have less uncertainty than projected
441 changes and are therefore easier to communicate to communities, stakeholders, and
442 policymakers when conveying climate change effects on fish or seeking buy-in for adaptation
443 (Lynch et al. 2016).

444 Resources to help scientists and other stakeholders communicate the implications of
445 climate change for fish and fisheries are needed. Audiences are diverse, and so must be our
446 messaging strategies. Communicating with stakeholders (e.g., angler groups) to temper
447 expectations may be useful as managers cope with societal and economic shifts in angler
448 behaviors due to other factors (e.g., higher transportation costs to fishing destinations, diversity
449 of water demands of lake and rivers; Hunt et al. 2016). Communication tactics may include
450 personal stories (Gustafson et al. 2020) and a variety of media types that include the arts (Bentz
451 2020) and film (Banchero et al. 2020). Working with communication professionals is a useful
452 direction to consider. The American Fisheries Society has developed a website specifically about
453 climate change that provides suggestions on communication (available: <https://bit.ly/35TXe97>).
454 The AFS Climate Ambassadors Program is a training program for aquatic scientists to develop
455 communication skills specifically for climate science (available: <https://bit.ly/3xSA1yn>).
456 Resources like these programs may be a useful tool for scientists to better communicate about
457 climate effects to non-scientists.

458 Evaluation of how climate adaptation strategies achieve desired outcomes is needed to
459 better identify successful future approaches. The effects of climate change on fish and fisheries
460 are often synergistic with other stressors (Lynch et al. 2016; Murdoch et al. 2020; Staudt et al.
461 2013). This issue, although challenging, is not uncommon in ecological studies, and similar
462 strategies to control for variation or “noise” for ecological studies may also be considered for
463 climate change adaptation strategies. However, long-term monitoring to evaluate climate change
464 adaptation strategies (which may need to cross interjurisdictional boundaries; (Beas-Luna et al.

465 2020) and provide sufficient data to tease out other stressors will help provide more certainty in
466 our management actions and can help identify more robust management actions moving forward.

467 Climate change is a global issue and there are global efforts to help inform policymakers
468 on how best to respond. AFS, in conjunction with over 110 aquatic scientific societies
469 representing over 80,000 scientists, announced a Statement on Climate Change that provides
470 information that can help inform policymakers on actions that can help reduce climate change
471 and its effects on aquatic biota (this issue; available: <https://bit.ly/3mtQFAr>). This effort also
472 lends voice to that unifying chorus of aquatic scientific societies who recognize the dramatic
473 ways that climate change is impacting and will continue to impact fish and fisheries across the
474 globe. Partnerships among these and other organizations and agencies may be needed to
475 maximize the effectiveness of adaptation strategies, particularly where fish and fisheries
476 transcend interjurisdictional boundaries. Partnerships may also cultivate more creative and
477 inclusive solutions when identifying potential funding sources for climate adaptation strategies
478 (Paukert et al. 2016; Tingley et al. 2019). In addition, developing governance structures that are
479 able to train staff and adapt management under climate change can support these current and
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481

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






























































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































867 Table 1. Summary of climate change effects of marine and freshwater biota from warming water
 868 temperature with potential adaptation strategies for each effect.

CLIMATE EFFECTS & ADAPTATION STRATEGIES	FRESHWATER SYSTEMS			MARINE SYSTEMS		
Warming Water Temperatures 	Lakes & reservoirs	Freshwater wetlands	Streams & rivers	Estuaries & coasts	Coral reefs	Offshore
Changes in native fish vital rates such as reproduction, growth, and mortality						
Leverage tourism to promote citizen science						
Use Ecosystem Based Management instead of single stock management						
Maintain or create large and diverse essential habitat that broadens the gene pool						
Enhance buffering capacities of population through stocking species with limited recruitment						
Protect/increase riparian vegetation for shading						
Enhance instream water exchange with cool hyporheic flows to develop local microrefugia						
Deploy variable depth releases at deep storage reservoirs to approximate natural thermal conditions or reduce temperatures during thermally stressful periods						
Distribution shifts of fish species or guilds, often across political boundaries						
Implement protected areas to restore ecosystems and support the growth of important species						
Use fishing regulations to increase harvest of warmwater winners and reduce harvest of coldwater losers						
Identify resilient systems and protect critical undisturbed lands within watersheds						
Develop dynamic harvest allocation mechanisms that re-allocate quota to states, countries, or other jurisdictions on the receiving end of shifting fish stocks						
-Integrate dynamic environmental variables and range shifts in stock assessments						
Re-gear fishing vessel to target new species at the leading edge of their shifting range						
Conditions suitable for nonnative species						
Activate early detection and response or eradication processes to minimize introductions and establishment of nonnative species						
Use fishing regulations to increase harvest of nonnatives or restrict nonnative introductions through permitting						
Establish check stations at waterways and travel corridors to screen watercraft for invasive species						
Create barriers to upstream movement by nonnative species where feasible to decrease connectivity						
Remove nonnative vegetation to increase the resiliency of systems						

869

870

871 Table 2. Summary of climate change effects of marine and freshwater biota from changes in
 872 precipitation with potential adaptation strategies for each effect.







CLIMATE EFFECTS & ADAPTATION STRATEGIES	FRESHWATER SYSTEMS			MARINE SYSTEMS		
	Lakes & reservoirs	Freshwater wetlands	Streams & rivers	Estuaries & coasts	Coral reefs	Offshore
Changes in precipitation 						
Loss of connectivity, habitat diversity, or variable water levels						
Identify locations with the capacity to support local populations (and identify donor populations) via managed relocation and serve as climate refugia						
Identify potential donor populations with surplus individuals to support managed relocation actions						
Implement watershed nutrient Best Management Practices and restore water retention capacity						
Eliminate water withdrawals that critically threaten water supplies						
Increase lateral connectivity between floodplains, rivers, and streams						
Restore watershed hydrology by restoring wetlands and reducing agricultural tiling and draining						
Screen water diversion intakes to prevent fish entry and mortality						
Remove obsolete dams and barriers so fish can access suitable habitats						
Protect shallow or isolated basins, and reduce anthropogenic connectivity among basins						
Protect and restore native vegetation to reduce sediment erosion and dampen hydrologic fluctuations						
Add large woody debris to streams or allow natural recruitment of woody debris to increase channel roughness						
Limit overgrazing by livestock to encourage healthy riparian conditions that provide cover for fish						
Develop emergency plans for use during heat waves to capture and transport fish to suitable habitats or temporary occupancy of hatcheries						
Restore beavers and establish sustainable colonies where feasible						
Remove impediments to tidal inundation of salt marshes, and downstream movement of freshwater and inorganic sediment, to increase the health of and sediment accumulation in salt marshes						
Saltwater intrusions into freshwater wetlands						
Few available beyond local engineering solutions						
Disruption of natural hydrological regime						
Encourage efficient irrigation and water storage facilities to minimize water diversions						
Develop/maintain instream flows so aquatic biota are not adversely affected by acute flow declines						
Minimize "flashiness" from urban runoff by use of best practices and permeable surfaces						
Changes in water quality from increased nutrient loading						
Implement meaningful agricultural and urban Best Management Practices and protect undisturbed lands in watershed						
Disruption of spawning cues						
Understand environmental cues for reproductive phenologies and adaptive behavior						
Time the release of water from reservoirs to mimic seasonal flow patterns downstream						
Reduced biodiversity through loss of native species						
Encourage management actions that promote phenotypes which use a diversity of habitats and exhibit different life histories (e.g., resident populations and migratory populations)						
Employ hatchery practices that maintain genetic diversity observed in wild populations						

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874

875 Table 3. Summary of climate change effects of marine and freshwater biota from sea level rise

876 with potential adaptation strategies for each effect.

CLIMATE EFFECTS & ADAPTATION STRATEGIES	FRESHWATER SYSTEMS			MARINE SYSTEMS		
Sea level rise 	Lakes & reservoirs	Freshwater wetlands	Streams & rivers	Estuaries & coasts	Coral reefs	Offshore
<i>Loss of salt marsh, sea grass and mangrove habitat</i>						
Work with local governments to revise zoning laws (or land purchases or agreements) to decrease coastal development adjacent to habitats of importance to fisheries						
Reduction of sediment sources from shoreline development						
Reconnect freshwater sources to downstream deltas to provide sediment sources for marshes and mangroves to counteract sea level rise						
Manually apply fine sediment to marsh or mangrove surfaces to assist in vertical accretion						

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880 Table 4. Summary of climate change effects of marine and freshwater biota from ocean

881 acidification with potential adaptation strategies for each effect.

CLIMATE EFFECTS & ADAPTATION STRATEGIES	FRESHWATER SYSTEMS			MARINE SYSTEMS		
Ocean acidification 	Lakes & reservoirs	Freshwater wetlands	Streams & rivers	Estuaries & coasts	Coral reefs	Offshore
<i>Reduced calcification of marine organisms</i>						
Maintain intact seagrass to protect coral from disease and ocean acidification						

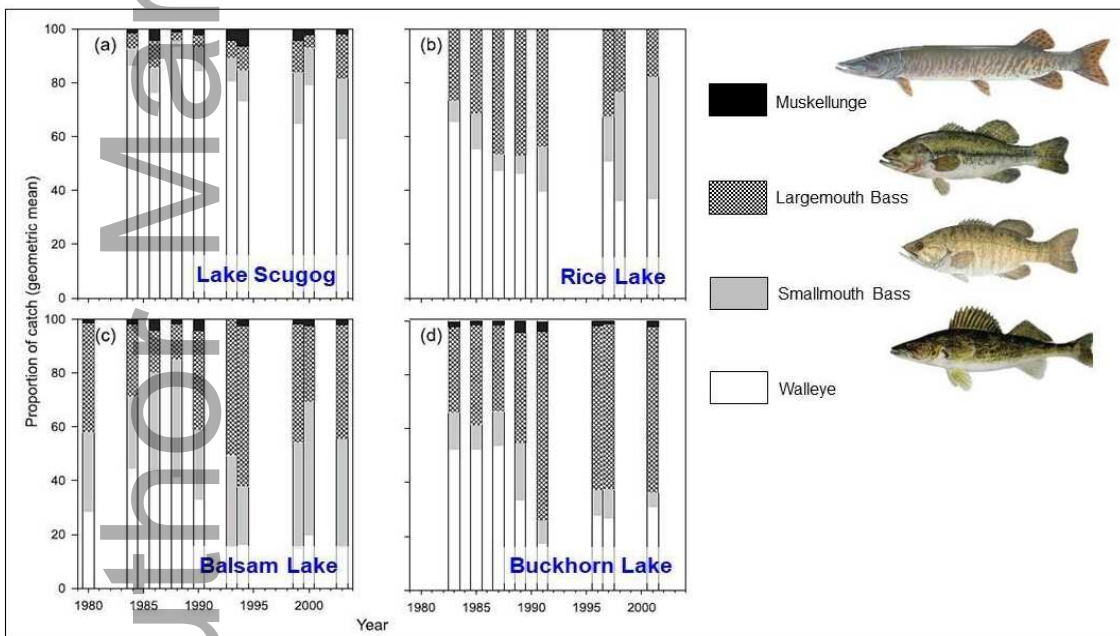
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Box 1. Shifts in predatory fish catches in Ontario lakes.

Warming of lakes due to climate change has resulted in shifting species composition of fish communities. In Central Ontario, Canada, four predatory fish species have demonstrated shifts in abundance (based on mean of the proportion of each species in the catch) from cool-water (Walleye *Sander vitreus* and Muskellunge *Esox masquinongy*) to warmwater (Smallmouth Bass *Micropterus dolomieu* and Largemouth Bass *M. salmoides*) species from 1980 to 2003. These changes were associated with reductions in phosphorus concentration, increases in water clarity, and summer temperature (Robillard and Fox 2006).

Figure B1. Catch-per-unit-effort of predatory fish species in four lakes of central Ontario, Canada, showing the shifts in abundance from coolwater (Walleye and Muskellunge) to warmwater (Smallmouth Bass and Largemouth Bass) species from 1980 to 2003.

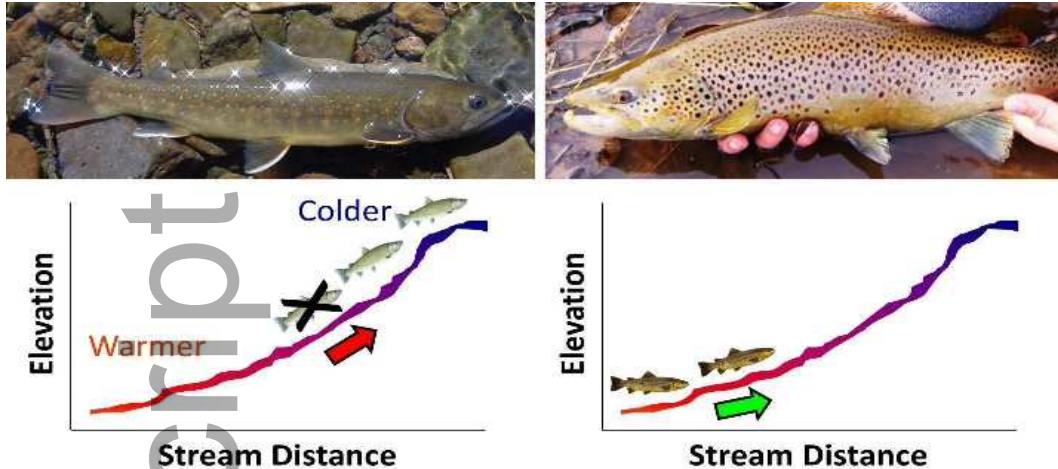


Box 2. Distribution shifts of trout populations in cold-water rivers

Numerous studies predict future shifts in spatial distributions of riverine fish species caused by climate warming, but few case histories exist to validate these predictions (Comte et al. 2013).

Long-term monitoring studies in western Montana confirm that distribution shifts of trout have already begun in association with historical river warming trends (Isaak et al. 2018). One study, which revisited stream survey sites 20 years after original surveys were conducted in the 1990s, documented the loss of Bull Trout *Salvelinus confluentus* from 17 of 74 sites that were originally occupied (Eby et al. 2014). Site extirpations were significantly related to local stream temperatures and were most common at sites with the warmest temperatures near the downstream range boundaries of Bull Trout. As Bull Trout contract into headwaters their population sizes also decrease, and fragmentation among populations increases, thereby increasing the risks of local population extinctions due to environmental stochasticity (e.g., wildfires, floods, droughts). Documented upstream distribution shifts in populations of Brown Trout *Salmo trutta* that were previously limited by unsuitably cold headwater temperatures have also been observed in Montana (Al-Chokhachy et al. 2016). Brown Trout are a popular sport fish, so expanding populations may provide additional angling opportunities, but they are also a nonnative invader and top-level predator that prey on native species and may alter the structure of aquatic communities. As this example illustrates, climate change can result in very different outcomes for closely related species that occupy the same stream networks, and this will stimulate complex human social responses that require nuanced conservation and management policies (Lamborn and Smith 2019).

Figure B2. Bull Trout in western Montana have cold thermal niches and are confined to the coldest streams in the headwaters of mountain river networks. As streams have warmed in recent decades, population boundaries at the downstream extent of Bull Trout distributions have contracted further upstream (left panel). Distributions of Brown Trout, which has a warmer thermal niche, have simultaneously expanded upstream in many rivers (right panel; photo credits: bull trout, Bart Gamett; brown trout, Brett Roper).



Box 3 –Black Sea Bass shifting distributions and increased productivity demonstrate challenges even for climate change "winners."

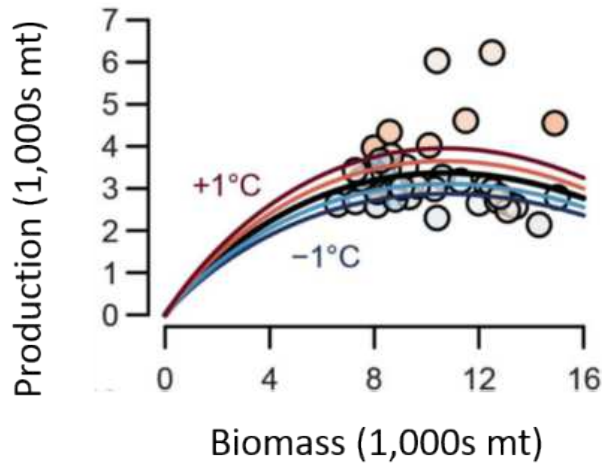


The northern stock of Black Sea Bass *Centropristis striata* has emerged as one of the climate change “winners.” Their productivity appears to have increased with ocean warming (Free et al. 2019) and their geographic distribution has expanded northward (Bell et al. 2015), where more of the bottom is made up of the hard, structured habitat the species prefer. Black Sea Bass abundance in 2015 (the most recent

year in the stock assessment model) is estimated to have been near an all-time high and well above the spawning stock biomass associated with maximum sustainable yield (Northeast Fishery Science Center 2017). However, with the growth and expansion of the population have come conflicts and management challenges. The newfound abundance of Black Sea Bass in the northern portion of their range in Rhode Island and Massachusetts has created new recreational fishing opportunities. However, allocation of the allowable catch among states is based on historical landings. Thus, anglers in New England have limited access to this new resource. So far, no acceptable mechanism has been found to shift allocations as the stock distribution shifts. This disconnect between the geographic distribution of the stock and the allocation of catch has created discontent among anglers and pressure to reform the management system. Additionally,

and perhaps more problematically, the Black Sea Bass stock increasingly overlaps with the distribution of American lobster *Homarus americanus*, which support some of the most valuable U.S. fisheries (Le Bris et al. 2018). As Black Sea Bass are omnivores, reports of juvenile lobster in their stomachs have raised concerns that their range expansion may come at a cost to a more valuable fishery.

Figure B3. The estimated effect of temperature on the U.S. northern stock of Black Sea Bass. Blue and red points represent cooler- and warmer-than-average years, respectively. Black lines show production at the populations' average temperature. Blue and red lines show production at temperatures progressively cooler and warmer than average, respectively (-1.0° , -0.5° , $+0.5^{\circ}$, and $+1.0^{\circ}\text{C}$), mt = metric tons. Reprinted from Free et al. 2019.



Sunflower Stars



Purple Sea Urchin



Red Abalone

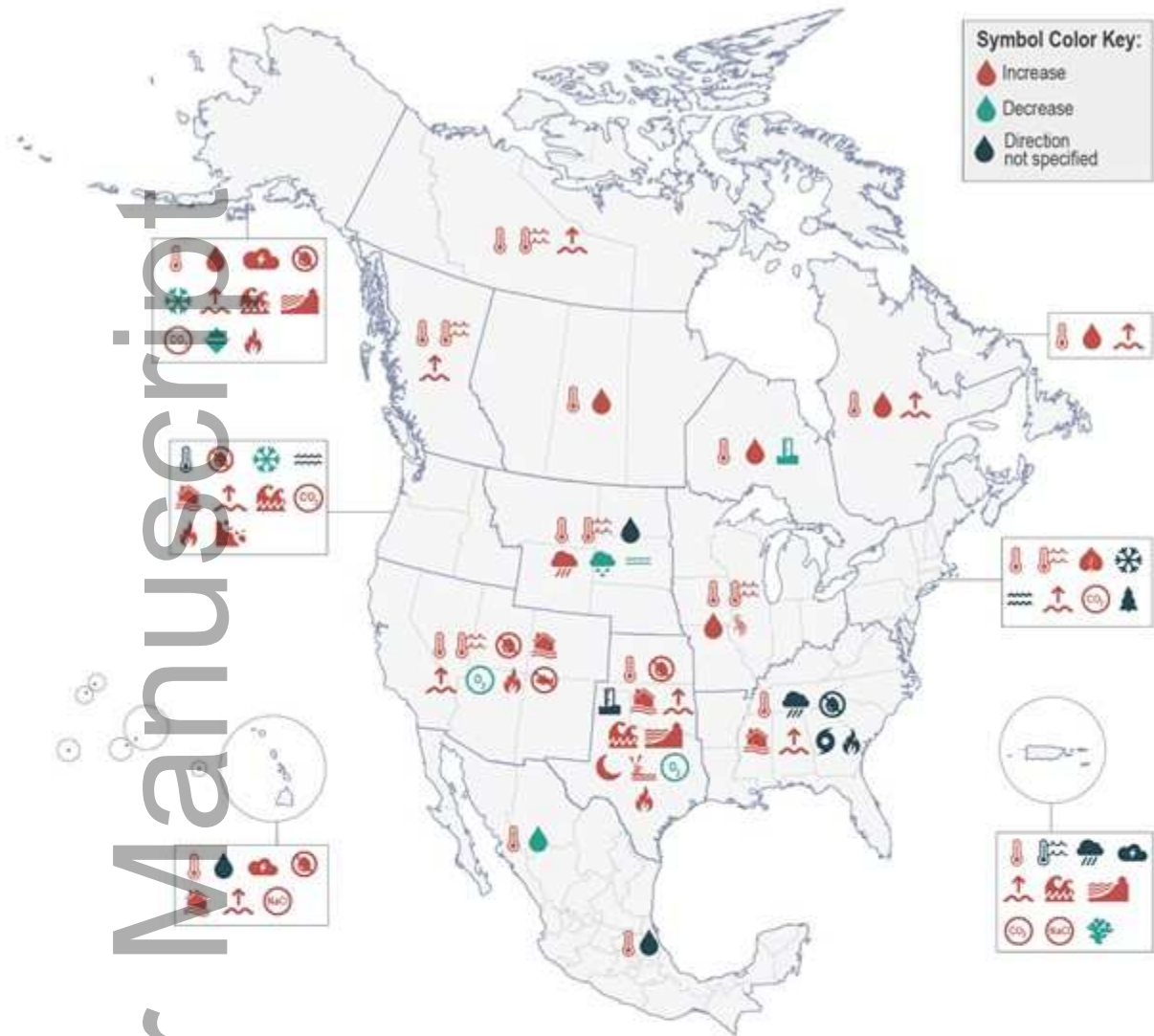
Box 4. Northern California bull kelp forests turn to urchin barrens closing abalone fishery.

Kelp forests provide a wide range of ecosystems services including habitat and food resources for important fisheries (Carr and Syms 2006). Historically, bull kelp *Nereocystis luetkeana* supported strong fisheries including red abalone *Haliotis rufescens* and red sea urchins *Mesocentrotus franciscanus* (Reid et al. 2016). In 2013, a

record-breaking marine heat wave (MHW) hit the northeastern Pacific Ocean, spreading from Alaska south to Baja California, leading to declines of offshore marine populations and ecosystems (Di Lorenzo and Mantua 2016). Harmful algal bloom associated with the warm MHW water further increased the number of mass mortalities in marine mammals and birds, as well as prolonged fishery closures (McCabe et al. 2016). In California, the low nutrient warm water (2.5°C warmer than average) persisted for 226 days, the longest MHW ever recorded (Bond et al. 2015; Di Lorenzo and Mantua 2016).

Bull kelp forests in northern California (350 km range) were particularly vulnerable to the MHW and concurrent ecological stressors. The mass decline of bull kelp in 2014 coincided with the onset of MHW conditions. Kelp canopy in the region exceeded 50 km² in 2008, declined to less than <2 km² in 2014; there has been no appreciable recovery since (Rogers-Bennett and Catton 2019). In addition to the MHW and El Niño oscillation, a mass mortality of 20 sea star species decimated sea star populations along the entire northern California coast. Sunflower stars *Pycnopodia helianthoides*, an important sea urchin predator, were driven to probable extirpation, leading to a 60-fold increase in the historically low-density species of purple sea urchins *Strongylocentrotus purpuratus* (Rogers-Bennett and Catton 2019). When bull kelp communities did not recover in 2015, purple sea urchins exhibited a more aggressive feeding behavior associated with food limited environments (urchin barrens) grazing down stipes of kelps and fleshy algae, further exacerbating the urchin barren problem (Rogers-Bennett and Catton 2019).

As food limited conditions persisted, red abalone experienced prolonged starvation, which initiated a mass mortality event (Rogers-Bennett and Catton 2019) and closure of the fishery in northern California and Oregon in 2018. The starvation conditions are further exacerbated by warm water, leading to poor health and reproduction in red abalone (Rogers-Bennett et al. 2010). Even if kelp recovers from these multiple stressors, it could take decades to recover the complex biologic communities and their ecosystem services. Kelp forests are historically persistent, which makes the speed (1 year), scale (>300 km), and magnitude (>90%) of bull kelp loss incredible (Rogers-Bennett and Catton 2019). The severity demonstrates the need to understand how multiple stressors and climate effects change the vulnerability of marine ecosystems.



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