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Spatial and annual variation in fecundity and oocyte atresia of yellowtail
flounder, *Limanda ferruginea*, in U.S. waters

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29 **Keywords:** fecundity; atresia; stereology; down-regulation; geographic variation; condition

30 **Abstract**

31

32 Potential annual fecundity (PAF) was estimated over three years (2010-2012) for yellowtail

33 flounder with individuals from the three stocks off the northeast U.S. coast. Down-regulation of

34 PAF, the resorption of oocytes during development, was evident as the vitellogenic cohort

35 advanced, so we directly measured atresia of vitellogenic oocytes using stereological techniques.

36 PAF models including relative fish condition, stock area, year, and oocyte diameter of the

37 leading cohort explained more variation than models with just size alone based on Akaike

38 information criteria. In a given year, Gulf of Maine females had lower PAF at size than southern

39 New England females. Interannual differences were evident: PAF of both stocks was higher in

40 2010 and lower in 2012, with 2011 showing less synchronization between these stocks.

41 Differences in size at age and relative condition suggested that energy available for somatic and

42 reproductive growth was lower in some years in the Gulf of Maine and Georges Bank, especially

43 2011. Georges Bank PAF and condition were intermediate to the other stocks or more similar to

44 the Gulf of Maine, varying annually. A latitudinal gradient in PAF is evident based on our results

45 and relative to earlier studies that included Canadian stocks. The magnitude of down-regulation

46 was variable across stocks and typically 3-25% of PAF. This can be accounted for in fecundity

47 estimates, by the seasonal schedule of sampling and use of an oocyte diameter term in the

48 fecundity model. Theoretical models of atresia patterns suggested variable rates over the later

49 portion of clutch development. The timing of down-regulation varied among years, and its

50 intensity was influenced by female relative condition. Fecundity was related to fish size, but was

51 also affected by fish condition and oocyte diameter (a proxy for time until spawning), and spatial

52 and temporal effects. A longer time series of PAF may identify environmental drivers that
53 modulate annual stock reproductive potential.

54 **1.0 Introduction**

55

56 Spawning stock biomass (SSB) is commonly used in fisheries assessments as a proxy for

57 reproductive potential (Saborido-Rey and Trippel, 2013). SSB is generally favored as a proxy

58 because it is relatively easy to calculate from estimates of abundance at age and maturity at age.

59 Given the inherent difficulties in predicting recruitment from SSB, alternative measures of

60 reproductive potential have been proposed (Marshall et al., 2003; Morgan, 2008; Fitzhugh et al.,

61 2012). Direct measures of egg production may be more representative of a stock's reproductive

62 potential because egg production is highly variable; as it is dependent upon dynamic life-history

63 parameters such as maturity, growth, sex ratio, and fecundity, which are all influenced by a

64 fluctuating environment (Rideout and Morgan, 2007; Stares et al., 2007; Lambert, 2013).

65 Alternative measures of reproductive potential can be more responsive to changes in stock

66 demographics and environmental conditions, thereby providing more information to annual stock

67 reproductive potential and subsequent year class strength than biomass metrics alone

68 (Marteinsdottir and Thorarinsson, 1998; Rideout and Morgan, 2010; Lambert, 2013).

69 Incorporating fecundity and other proxies of reproductive potential into stock assessment

70 models can affect reference points and may improve predictions of year class strength (Morgan

71 et al., 2009; Morgan et al., 2011; Brooks, 2013). Attempts to investigate reproductive potential in

72 an assessment context continue to be constrained by the lack of available data, particularly in the

73 western North Atlantic Ocean where limited stock-specific fecundity data is available (Trippel,

74 1999; Tomkiewicz et al., 2003). Yellowtail flounder, *Limanda ferruginea*, exemplifies this

75 paucity of data, with few published annual fecundity estimates that are limited in time,

76 geographic scale, or both (Pitt, 1971; Howell and Kesler, 1977; Rideout and Morgan, 2007).

77 Furthermore, of the three stocks in United States waters, annual fecundity has only been
78 estimated for the southern New England stock (Howell and Kesler, 1977).

79 Oocyte development in yellowtail flounder is group synchronous (Howell and Kesler
80 1977; Howell, 1983) with a distinct cohort of maturing (secondary growth) oocytes leading up to
81 spawning, hence fecundity is determinate. The estimation of the number of oocytes in this
82 maturing cohort – referred to here as the potential annual fecundity (PAF) – can be partially
83 automated by image analysis systems, using the autodiametric method (Thorsen and Kjesbu,
84 2001; Witthames et al., 2009; Ganias et al., 2014). The autodiametric method estimates oocyte
85 density (number of oocytes / g ovary, NG) from the mean diameter of secondary growth oocytes
86 (i.e. the developing cohort), facilitating measurement of fecundity, but has not yet been applied
87 to yellowtail flounder, so we determined this relationship.

88 Many fish ‘fine-tune’ their annual fecundity as the clutch develops. Therefore, estimates
89 of PAF should be measured as close as possible, but prior, to spawning so that PAF estimates are
90 considered the best approximation of realized annual fecundity (RAF; Murua et al., 2003; Ganias
91 et al., 2014), defined as the actual number of eggs released. Differences between PAF and RAF
92 can arise when atresia of developing oocytes reduces the standing crop of secondary-growth
93 oocytes (termed down-regulation) or when the entire clutch, or portions of, is not released,
94 evidenced by residual eggs in the spent ovary (Kurita et al., 2003; Murua et al., 2003). Both
95 atresia and residual eggs have been noted in yellowtail flounder (Howell, 1983; Zamarro, 1991);
96 hence, we sought to quantify annual rates of atresia using a Weibel grid stereological procedure
97 (Weibel et al., 1966; Weibel, 1979; Sterio, 1984), as applied to fecundity analysis (Emerson et
98 al., 1990; Andersen, 2003).

99 In this study we estimated female yellowtail flounder fecundity during three spawning
100 seasons, 2010-2012, among the three stocks in U.S. waters: Gulf of Maine (GOM), Georges
101 Bank (GB), and Southern New England-Middle Atlantic (referred herein simply as SNE, the
102 only sub-region we obtained samples from). The Georges Bank stock is a shared stock with
103 Canada, and only the U.S. portion of the stock was sampled. Determination of both fecundity
104 and atresia allowed us to assess the scale, timing, and spatiotemporal variation in down-
105 regulation during the development of the annual clutch. Data on the reproductive output of
106 yellowtail flounder are especially relevant in light of the current low levels of biomass and
107 recruitment in the SNE and GB stocks (NEFSC, 2012a, 2012b; Legault et al., 2013).

108

109 **2.0 Methods**

110 Yellowtail flounder were sampled monthly from January 2010 through June 2011, and in
111 2012 sampling was narrowed to just the three months prior to peak spawning for each stock. Fish
112 were collected primarily by commercial fishing vessels participating in the Northeast Fisheries
113 Science Center, Northeast Cooperative Research Program's (NEFSC-NCRP) Study Fleet ($n =$
114 310 females) and from other NEFSC-NCRP research studies ($n = 56$). Fishermen were paid to
115 provide a subset of 30-40 random fish distributed over the size range captured, and depending on
116 the catch volume this was typically from one or two hauls. The fishermen tracked which haul the
117 fish were from, along with the location and time of the haul, using electronic fisheries logbook
118 software used for catch reporting. To ensure a high quality of the tissues, fish were requested
119 from the last day (or tows) of a fishing trip, iced during transport, and processed upon arrival at
120 the laboratory. Supplemental samples were acquired from the Massachusetts Division of Marine
121 Fisheries trawl survey ($n = 21$) and NEFSC bottom trawl survey ($n = 23$). Two fish per 1 cm bin

122 were randomly selected on the NEFSC survey, and all developing fish observed on a tow were
123 selected for the MADMF survey. Both surveys have a random stratified survey design. Fish were
124 obtained from core areas of abundance, and the sizes were representative for all three stock areas
125 in United States' waters (Fig. 1; Table 1). Fish total length (TL, mm), body mass (M_b , ± 0.1 g),
126 and ovarian mass (M_o , ± 0.001 g) were measured, and an approximately 1 cm³ piece of tissue
127 from the middle of the right ovarian lobe was fixed in 10% neutral-buffered formalin. A few fish
128 were sampled while at sea (TL ± 0.5 cm, M_b and $M_o \pm 0.001$ kg, $n = 44$).

129 Age was determined for each fish by counting annuli on scale impressions following
130 methods developed at the NEFSC, which are used in the three stock assessments (NEFSC,
131 2012a, 2012b; Legault et al., 2013). Specifically, about 5 or 6 scales from the eyed side along the
132 lateral line were impressed on a laminated plastic slide using a roller press and viewed on a
133 microprojector at a magnification of 52X with transmitted light (Penttila & Dery 1988). Details
134 on ageing methods and quality control/quality assurance procedures and results are available at
135 <http://www.nefsc.noaa.gov/fbp/>.

136

137 2.1 Relative condition

138 Relative condition (K_n) was calculated as the ratio of the observed mass over the
139 predicted body mass (Le Cren, 1951) using an overall length-mass equation determined from all
140 females sampled for fecundity. This was calculated using a log-transformed least squares
141 regression: $\text{LN}(M_{\text{ofb}}) = -11.364 + 2.934 \text{ LN}(\text{TL})$, ($n = 410$, SE $a = 0.271$, SE $b = 0.046$, $r^2 =$
142 0.91). Ovary-free body mass M_{ofb} was used to examine changes in condition independent of
143 ovarian development. Differences in K_n among stocks and years were tested by ANOVA with a

144 Tukey HSD post-hoc test if overall significant differences were found. This and all subsequent
145 statistical analyses were performed using R version 3.0.1 (R Development Core Team, 2013)¹.

146

147 2.2 Histology

148 The fixed ovarian tissue was processed with standard histological methods to assess
149 microscopic characteristics of fish gonads. Samples were embedded in paraffin blocks, thin
150 sectioned, and stained with Schiffs-Mallory trichrome (SMT). The most advanced oocyte stage
151 (MAOS), the presence of postovulatory follicles, and the occurrence and stage of atresia were
152 assessed for each histology section. The MAOS scheme was adapted from previous studies of
153 this species (Howell, 1983; Zamarro, 1991) and Lowerre-Barbieri et al. (2011), which includes
154 the following classifications; primary growth (all oocyte stages prior to cortical alveolar),
155 cortical alveolar, early vitellogenic (partially yolked), late vitellogenic (fully yolked), germinal
156 vesicle migration, germinal vesicle breakdown, hydration, and ovulation. Fecundity analysis
157 included only females with a MAOS in late vitellogenesis or germinal vesicle migration.
158 Females were excluded if there were signs of spawning activity (germinal vesicle breakdown,
159 hydrated oocytes, or postovulatory follicles) or any indications of significant cell damage (e.g.,
160 due to freezing, which occasionally occurred during transit to the laboratory).

161 Atresia was classified as either α or β atresia based on criteria adapted from Hunter and
162 Macewicz (1985) and Witthames and Greer Walker (1995). The α stage was first evident by
163 distortion and fissures in the zona pelucida (Fig. 2). As the α stage progressed the zona pelucida
164 fragmented and collapsed toward the center of the cell, the germinal vesicle disintegrated, and
165 yolk globules disappeared as they were phagocytized and the oocyte became vacuolated in

¹ Mention of this or any other products is for descriptive purposes and does not indicate endorsement by the National Marine Fisheries Service.

166 appearance. The α stage was considered complete when the zona pelucida and distinct yolk
167 globules were no longer evident. The β stage was more compact and irregular in shape with
168 numerous vacuoles that were either empty or contained particles of the phagocytized material.

169

170 2.3 Fecundity determination

171 Direct gravimetric measurements of oocyte density were made from subsamples of the
172 fixed ovarian tissue, without any tunica tissue (gonad wall), that were patted dry, and weighed (\pm
173 0.0001g). A subsample of 300-400 oocytes was targeted to balance sample size with processing
174 time. Subsamples were manipulated with probes to separate the individual oocytes into a single
175 layer, and images were taken with transmitted light using a dissecting scope and digital camera
176 (1.5X). ImageJ software² (v. 1.46r, National Institute of Health) and the ObjectJ (v. 1.02k,
177 University of Amsterdam) plugin were used for image processing. All images were evaluated on
178 a qualitative scale (good = 1, adequate = 2, poor = 3), which were graded based on the clarity of
179 images, amount of oocytes damaged from processing, and quantity of connective tissue clinging
180 to oocytes. Samples with poor quality (3) were excluded from subsequent analyses, and a new
181 subsample was processed ($n = 4$). Analysis of images was made consistent between samples by
182 use of a macro in ObjectJ, modified from one developed for Atlantic mackerel, *Scomber*
183 *scombrus* (Dr. Anders Thorsen, Institute of Marine Research, Bergen, Norway, pers. comm.).
184 The macro automatically filtered the images and measured the oocyte diameters. Subsequent
185 inspection of the processed image allowed correction of erroneously identified and measured
186 particles (e.g., connective tissue, or oocytes adhering to each other but not identified as separate
187 objects). To avoid potential size bias, the diameters of oocytes damaged during sample

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188 processing were not included in the estimation of the mean, but the number of damaged cells was
189 included in the total oocyte count for the subsample (number of oocytes/g of ovary, NG) in
190 gravimetric subsamples. These gravimetric estimates were used to determine the autodiametric
191 relationship between mean oocyte diameter and oocyte density, and oocyte density was
192 estimated for all subsequent fish using their mean oocyte diameter.

193 To ensure individual fish were at an appropriate development stage for fecundity
194 analysis, the size distribution of measured oocytes for each fish was assessed with the following
195 two criteria to remove individuals too early in development. These criteria also reduced the
196 impact of stock differences in spawning seasonality. The first criteria verified that the
197 vitellogenic cohort of oocytes for the imminent spawning season had achieved a clear hiatus
198 from the reservoir of smaller primary growth oocytes, from which subsequent cohorts would
199 emerge in future years. Specifically, if > 5% of the measured vitellogenic oocytes had an oocyte
200 diameter that was smaller than 250 μm , then the individual was excluded (see electronic
201 supplementary materials Fig. S.1). The second threshold confirmed the leading cohort (largest
202 10% of oocytes) had reached a minimum size threshold of development. Specifically, individuals
203 with a leading cohort < 375 μm were considered too early in development and excluded. These
204 thresholds were determined by examination of oocyte length-frequency distributions and ovarian
205 histology, which identified the size break between partially and fully vitellogenic (~250 μm)
206 oocytes and those approaching the end of vitellogenesis (~375 μm) but prior to germinal vessel
207 migration.

208 To confirm that samples from a single ovarian location were representative of the entire
209 ovary, we measured oocyte density and mean oocyte diameter for samples from multiple gonad
210 locations within and between ovarian lobes for 10 females. For these individuals, six samples of

211 tissue were taken, one each from the anterior, middle, and posterior of each ovarian lobe and
212 processed as described above. To account for individual differences in developmental stage
213 among fish, a mixed model with individual fish as the random effect was used to evaluate
214 whether the two response variables differed among the six ovary locations (McElroy et al., 2013;
215 used lme function of the nlme package in R). Although there was significant variation in oocyte
216 diameter among fish (the random effect), no significant difference in oocyte diameter (DF = 45,
217 p 's = 0.24 - 0.82) or oocyte density (DF = 45, p 's = 0.17 - 0.60) was detected between samples
218 from the same individual (see electronic supplementary materials Fig. S.2). Therefore, a sample
219 from one location, generally the middle of the dorsal lobe, was considered representative of the
220 entire ovary.

221 The autodiametric relationship, oocyte density (NG) as a function of the mean oocyte
222 diameter (OD), was modeled with both power and exponential functions using least-squares
223 regression. The best model was selected using second order Akaike information criterion, AICc
224 (Anderson, 2008; AICctab function from bbmle package in R). The power model fit the data
225 better than the exponential model ($n = 178$, $\Delta\text{AICc} = 6.3$). The fitted equation used for
226 determination of the oocyte density based on the gravimetric samples was $\text{NG} = (5.919 \times 10^{10})$
227 $(\text{OD}^{-2.446})$; subsequently, (see electronic supplementary materials Fig. S.3; $n = 178$, SE $a =$
228 3.033×10^{10} , SE $b = 0.086$; OD range = 324 – 514 μm) this model was used to calculate the
229 oocyte density for the additional 232 females (OD range = 324 – 509 μm) used in all the
230 following analyses.

231 When scaling up the oocyte density (NG) of the subsample to estimate the fecundity of a
232 whole fish; we adjusted for the tunica's contribution to the whole ovarian mass (M_o). To do so,
233 the tunica weight was determined by weighing the whole wet ovary initially and then stripped of

234 all oocytes. Tunica mass expressed as a percentage of whole ovarian mass (4.721%, $n = 57$, SE =
235 0.169) was used to adjust M_o for calculation of PAF as follows: $PAF = NG (0.9528 M_o)$, where
236 NG (oocytes/g) was either measured gravimetrically or calculated with the autodiametric curve.

237

238 2.4 Fecundity modeling

239 We modeled PAF as a function of fish size (TL) or age (FA) by: $\ln(PAF) = \beta_l \ln(TL \text{ or}$
240 $FA) + \alpha$, where α and β_l are coefficients determined by least-squares fit regression. Fish TL was
241 used as the measure of fish size as the total mass of fish is dynamic leading up to spawning.

242 Total fish mass increases as the annual clutch develops due to the gonad weight increasing, but
243 gonad-free fish mass concurrently declines as fish deplete somatic energy reserves. Since fish

244 were sampled over a relatively broad period leading up to spawning, TL was chosen to describe

245 fish size as it is more stable. Age models were tested and age-PAF relationships were reported,

246 but model analysis was only presented for length as it related more strongly to PAF. Model

247 selection evaluated the inclusion of stock and year as factors, and relative condition (K_n) and

248 mean oocyte diameter of the leading cohort (OD_{LC}) as continuous variables. Models including all

249 combinations of main effects were evaluated – from simple individual predictor models to a

250 model with all main effects combined – using AICc criteria (using dredge function in the MuMIn

251 package of R). Interaction terms were also evaluated, but most produced little improvement over

252 models with main effects only ($\Delta AICc < 2$). Parsimony lead us to avoid tabulating statistics of

253 all models with interaction terms, except for models with stock:year and stock:age interactions.

254 Since the AIC approach can select the best among even poor models, the coefficient of

255 determination (r^2) was also calculated to evaluate model fit.

256 The numbers and lengths of fish sampled varied among stocks, and to a lesser degree
257 years (Table 1). The differences in size among stocks reflected established patterns in growth,
258 but also the variable nature of the maximum and minimum lengths encountered. Variation in
259 numbers of fish collected was influenced by seasonal fishing patterns and available quota for the
260 participating fishermen. In particular, samples from the GB stock were excluded from most
261 analyses due to low sample size and a narrow length range. Therefore, PAF model testing was
262 limited to a range of lengths that overlapped for females sampled from the SNE and GOM stocks
263 in all years, rounded to the nearest cm (33 – 45 cm TL [$n = 338$]). Two fish collected in the
264 month of December were grouped with the subsequent calendar year in which they would have
265 spawned. The form of the final reported PAF regressions was determined based on the model
266 testing, and slopes of the final regressions were tested against an isometric slope ($\beta_l = 3$ for
267 length, $\beta_a = 1$ for age) using a Wald test.

268

269 2.5 Down-regulation analyses

270 Two complimentary approaches (across and within individuals) were used to quantify the
271 magnitude of down-regulation of fecundity for the stocks studied. First, we inspected trends in
272 PAF over the period of the oocyte development cycle covered by all fish sampled for fecundity
273 (population level, across point estimates from individuals) as an indirect measure of down-
274 regulation. Second, for a subset of individuals we assessed combined (alpha and beta)
275 vitellogenic atresia at the individual level using stereological methods to directly quantify the
276 percentage of atretic oocytes present.

277

278 2.5.1 Down-regulation via PAF estimates

279 The relationship between PAF estimates and mean leading cohort diameter, OD_{LC} (a
280 proxy for time to spawning) was used to evaluate if fecundity estimates declined as spawning
281 approached (i.e. down-regulation). Stock- and year-specific regressions were used to generate
282 length-based residuals for PAF estimates (all years combined for GB regression), which were
283 standardized to predicted PAF (pPAF). K_n was included in the models for determining pPAF, but
284 mean OD_{LC} was not included to maintain independence in the subsequent regression analysis
285 relative to OD_{LC} . Ten fish were omitted from the regressions because they had rare lengths ($TL <$
286 300 mm or $TL > 500$ mm) or ages (2 years). The linear regression of the standardized PAF-
287 residuals against mean OD_{LC} was then tested to determine if the slope differed from zero (no
288 down-regulation).

289

290 2.5.2 Down-regulation via stereological estimates

291 A subset of fish was selected from the fecundity samples for direct estimation of atresia
292 using stereological analysis of ovarian histology. We adapted methods developed by Weibel et
293 al. (1966) and Sterio (1984), and as applied to fish reproduction by Emerson et al. (1990) and
294 Andersen (2003) to quantify the relative intensity of atresia of secondary-growth oocytes. This
295 method provides unbiased estimates of volume fraction of different cell types from two
296 dimensional cross sections. Fish were randomly selected from $50 \mu\text{m}$ intervals (< 350 , $350 - 400$,
297 $400 - 450$, $> 450 \mu\text{m}$ mean oocyte diameter) as sample availability allowed ($n = 27, 51, 44, 42$;
298 respectively), while maintaining a balanced representation across stock and year ($n = 20$ per year
299 for GOM and SNE; but only $n = 9, 17,$ and 18 were available for GB in 2010-2012). Serial, non-
300 overlapping images were captured from one histology section (per fish) at 4X magnification on a
301 compound microscope. A $0.1815 \text{ cm} \times 0.1312 \text{ cm}$ grid, containing 120 sampling points (Fig. 2;

302 Weibel 1979; Sterio 1984; Andersen 2003), was overlaid on each image using the Weibel grid
303 macro in ObjectJ (see section 2.2).

304 The number of sampling points hitting each cell type (vitellogenic oocytes, α and β stages
305 of atresia) and total number of cells transected by the grid were enumerated for each image. If
306 cells were found entirely within the borders of the grid or crossed the top and right ‘allowed’
307 borders (Fig. 2) they were counted; cells outside the grid or crossing the ‘forbidden’ (left or
308 bottom) borders were not counted. White space in the images was recorded as negative sampling
309 points, and excluded from the calculations of volume fraction (below). Similarly, tunica tissue
310 was recorded and excluded from the calculations as it was not included in fecundity subsamples.
311 The number of images used for each fish depended on the size of the histology section, which
312 varied with the stage of ovarian development. Therefore, a target was set at 300 vitellogenic
313 oocytes (mean = 315 oocytes), and fish with less than 200 transections were excluded from the
314 analysis.

315 The count data from the two dimensional histology micrographs was converted to a three
316 dimensional estimate of density (N_v , number per unit volume) by the following equation for the
317 i^{th} cell type (vitellogenic [N_{vv}], α -atretic [$N_{v\alpha}$], or β -atretic [$N_{v\beta}$]):

318 Eq. 1
$$N_{vi} = \frac{K \cdot N \cdot}{\beta \cdot V^0},$$

319 where N_{ai} is the number of oocytes per unit area for cell type i , V_i is the volume fraction occupied
320 by cell type i , β is a shape coefficient, and K is a size distribution coefficient (Weibel et al.,
321 1966). N_{ai} was calculated as the number of oocyte profiles transected per unit area for each cell
322 type. The grid area (0.0238 cm²) was multiplied by the number of images (N_m) for each fish to
323 get the total area sampled. For each fish, V_i was determined for each cell type using:

324 Eq. 2
$$V_i = \frac{\sum_{m=1}^n P_m}{(120 \cdot N_m - P_n)},$$

325 where P_{im} is the number of sampling points hitting cell type i in the m^{th} image, 120 is the total
326 number of sampling points per grid, and P_n is the total number of negative sampling (and tunica)
327 points observed for that fish.

328 The coefficients β and K were determined from diameter measurements taken from the
329 histology for a subset of 20 fish, from which 50 vitellogenic oocytes per fish (total $n = 1000$
330 oocytes) were measured and as many α - ($n = 269$) and β -atretic ($n = 273$) oocytes as were
331 available. Different coefficient values were used for each cell type since vitellogenic oocytes
332 were relatively spherical in shape, but α and β atresia were more irregular in shape and varied
333 dependent on the amount of cellular breakdown that had occurred. The coefficient β was
334 calculated as the ratio of the longest to the shortest axis for each cell type (Weibel and Gomez,
335 1962; Emerson et al., 1990). A value of $\beta_v = 1.3091$ was determined for use with vitellogenic
336 cells, and higher values of $\beta_\alpha = 2.0440$ and $\beta_\beta = 2.5058$ were determined for the α - and β -atretic
337 oocytes, respectively. K was determined using the equation: $K = (M_3/M_1)^{1.5}$, where M_1 and M_3
338 are the first and third moment of the size distribution (Weibel et al., 1966). The cell specific
339 values of K were: 1.0113 for vitellogenic cells, 1.1133 and 1.1468 for α and β atresia,
340 respectively.

341 The relative intensity of atresia was estimated as a percentage of total secondary growth
342 oocytes for α ($\%A_\alpha$) and β atresia ($\%A_\beta$) separately and for combined atresia ($\%A_c$) as follows:
343 $\%A_c = 100 (N_{v\alpha} + N_{v\beta}) / (N_{vv} + N_{v\alpha} + N_{v\beta})$. The $\%A_c$ was compared using simple linear regression
344 to both mean OD_{LC} and K_n . Differences in K_n between stock-year combinations, excluding GB
345 (due to low sample size), were tested using ANOVA on the arcsine square-root transformed
346 proportion A_c .

347

348 2.5.3 Theoretical down-regulation models

349 In an effort to explore the ramifications of the observed point estimates of down-
350 regulation, we developed theoretical models of atretic down-regulation patterns for yellowtail
351 flounder over the oocyte development period, i.e. the measured OD_{LC} range. Several theoretical
352 patterns of down-regulation were considered, a low and steady rate (constant 2% atresia), a
353 single acute event (one brief period of 10% atresia), an episodic pattern (two periods of 2% and
354 one of 5% atresia), and a variable rate (longer periods of 0-3% and episodic 4-7% atresia).
355 Probability density functions (PDF) were applied to each potential model and scaled to the
356 observed distribution of relative fecundity for yellowtail flounder. Relative fecundity (oocytes / g
357 of gonad-free female) was used to control for the effect of female size, and one extreme relative
358 fecundity value (>7.6) was excluded to facilitate comparison among the theoretical models.

359

360 **3.0 Results**

361 3.1 Fecundity modeling

362 AICc values indicated length was the best single predictor model for PAF (Table 2a),
363 explaining 62% of the variation in PAF. Adding other predictors was found to improve the
364 model, and the model with the lowest AICc included all five main effects and the one interaction
365 term (Table 2b). All potential combinations of main effects and the one interaction were tested,
366 but for simplicity only the best models for each number of parameters are shown. The best two
367 parameter model added K_n to TL, increasing the explanatory power to 71%. Additional
368 parameters increased the explanatory power of the model incrementally, with the final model
369 explaining 80% of the variation in PAF.

370 The increase in PAF in relation to length had significant positive allometry for both SNE
371 and GOM females ($\beta_1 > 3$), for stock specific regressions of all years combined (Table 3; Fig. 3).
372 This was not the case for the GB females sampled, which was likely an artifact of the low data
373 density over the length range. Fecundity at age exhibited greater variation than fecundity at
374 length (Table 3). The increase in PAF in relation to age was significantly lower than the null
375 slope (slope = 1) for GOM females, but not so for individuals from SNE indicating slower
376 increases in PAF with age in GOM compared to SNE. The interannual and stock differences in
377 PAF models at age were consistent with the patterns in length at age among years and stocks
378 (Fig. 4).

379 Model estimates of PAF at length or age were higher for females from SNE than those
380 from the GOM within all years (Fig. 4). Graphical comparisons of estimates were standardized
381 by setting relative condition at 1.0 ('average condition') and the leading cohort diameter at 500
382 μm . Comparison across years of the individual data points indicated substantial overlap among
383 the stocks (Fig. 3). This overlap was particularly evident in 2010, the year with the highest PAF
384 estimates for GOM females, which were comparable with the estimates in the lowest year for
385 SNE females, 2012 (Fig. 4). Trends in PAF were synchronized across stocks in two of the years,
386 with both GOM and SNE exhibiting high PAF in 2010 and low PAF in 2012. However, in 2011,
387 PAF estimates were not synchronized as the PAF estimates of SNE fish were high, similar to
388 2010 SNE fish; whereas GOM 2011 estimates were low, similar to 2012 GOM fish. The
389 explained variation (r^2) in PAF was also higher for all the SNE regressions relative to the GOM
390 models, for both length and age (Table 3). Among years the model estimates of GOM fecundity
391 at both length and age also exhibited greater variation than SNE PAF (Fig. 4a, b).

392 The sample size and length range of fish from GB were too low to include in the model
393 analysis (Table 3; Fig. 3), but the patterns in the available data suggested fecundity of this third
394 stock was intermediate to the other two stocks or closer to the lower values observed in GOM,
395 differing annually. The low fecundity estimates for GB fish in 2011 corresponded with the very
396 low values of relative condition observed for GB fish in 2011 (Fig. 5). Condition of GB females
397 had the highest slope relative to fecundity of any stock (Table 3). These results for GB females
398 are tentative, drawn from highly variable estimates of PAF, and a narrow size range of fish
399 sampled.

400

401 3.2 Relative condition

402 Relative condition varied significantly overall among stocks and years for the SNE and
403 GOM stocks (Fig. 5; $F = 9.746$, $n = 356$, $p < 0.01$), and contributed to explaining variation in the
404 length-PAF models (Table 2). In post-hoc testing, the K_n of females for two stock-year
405 combinations were significant (Tukey HSD, $p < 0.05$). The K_n for SNE in 2011 was significantly
406 greater than the K_n for GOM in all years. The K_n for GOM in 2011 was significantly lower than
407 all other stock-year combinations. Relative condition had a significant positive, but weak,
408 relationship to standardized PAF residuals (Fig. 6a; slope = 99.77, $n = 400$, $r^2 = 0.13$, $p < 0.01$).
409 PAF residuals were determined with stock-year specific regressions (except GB which was all
410 years combined) with a mean OD_{LC} term. Individuals with very high or low condition were
411 associated with higher or lower fecundity than expected at a given length. The particularly low
412 K_n for GOM fish sampled in 2011 was associated with low PAF, and high condition of SNE fish
413 in 2011 with higher fecundity (Fig. 4, 5). However, at a stock level, condition was not always
414 directly predictive of variation in fecundity for a given year (i.e. 2012 fecundity and condition).

415

416 3.3 Down-regulation of fecundity

417 Results from the two independent approaches indicated low levels of down-regulation
418 occurred during the sampling period. All OD_{LC} regression terms in the PAF models had negative
419 slopes – except SNE in 2010 FA model (Table 3), an indirect indicator of down-regulation,
420 which were consistent with the decline in standardized PAF residuals as mean OD_{LC} increased
421 (Fig. 6b). The declining slope of the regression for PAF residuals with OD_{LC} was significant
422 (slope = -0.103, $n = 400$, $r^2 = 0.04$, $p < 0.01$), but the strength of the relationship was extremely
423 weak. To examine interannual patterns in down-regulation, stock-year specific PAF regressions
424 were used, and PAF for a given length (400 mm) and condition ($K_n = 1$) was predicted at two
425 OD_{LC} 's within the range examined. Predicted PAF declined from 3-25% as the mean OD_{LC}
426 advanced from 400 to 500 μm for all stocks and years (Table 4). Higher rates of down-regulation
427 were observed for GOM (8-25%) than SNE (3-13%) and GB (8%) females, and rates were
428 highest for GOM and SNE in 2011.

429 In terms of the direct measure of down-regulation, mean stereological estimates of the
430 relative intensity of combined atresia (α and β) were generally low, $A_c < 5\%$, for the majority of
431 individuals; although some fish did have levels exceeding 10% (Fig. 7a). There was no apparent
432 trend in $\%A_c$ across the range of OD_{LC} 's sampled (slope = -0.002, $n = 164$, $r^2 < 0.01$, $p = 0.84$).
433 Looking separately at the two atresia types, β atresia was consistently 1-2% higher than α atresia,
434 but neither measure was significantly related to mean OD_{LC} ($\%A_{\alpha}$: slope = -0.003, $n = 164$, $r^2 <$
435 0.01 , $p = 0.47$; $\%A_{\beta}$: slope = 0.001, $n = 164$, $r^2 < 0.01$, $p = 0.88$). Some fish with low condition
436 did exhibit high $\%A_c$ and most of those with high condition exhibited low $\%A_c$ (Fig. 7b). The
437 quantity of atresia was highly variable across the range of observed conditions resulting in a

438 weak relationship; however, the negative slope of the regression was significant (slope = -
439 15.563, $n = 164$, $r^2 = 0.06$, $p < 0.01$).

440 At a stock level, the direct measures of the individual intensity of atresia varied within
441 and among the stocks. The SNE fish had the lowest %A_c of all the stocks, generally < 3%;
442 whereas GOM females had a broader interquartile range (0-5%A_c; Fig. 8). The more limited
443 samples of fish from GB had the highest individual atresia levels and exceeded 5%A_c more
444 frequently than the other stocks. Interannual differences were limited, but %A_c was generally
445 very low in 2010 for fish from all 3 stocks, especially relative to fish sampled in 2011. However,
446 the proportion A_c was not significantly different among years for yellowtail flounder from GOM
447 and SNE ($F = 1.835$, $n = 120$, $p = 0.11$).

448 The theoretical model for down-regulation with a relatively constant atretic rate produced
449 a PDF that was similar to but not entirely consistent with the observed PDF (Fig. 9). Single acute
450 and episodic patterns of atresia were multimodal with little similarity to the observed PDF. A
451 variable pattern with periods of low steady and brief higher intensity atresia produced a PDF
452 more similar to that of the observed data.

453

454 **4.0 Discussion**

455

456 4.1 Size- and age-dependent fecundity

457 Yellowtail flounder size (length) was the best predictor of PAF, and PAF increased with
458 both increasing length and age. We chose length as a metric of size rather than fish mass because
459 even gonad-free fish mass changes in relation to length seasonally due to storage and or
460 depletion of energy reserves (Skjæraasen et al., 2006; Alonso-Fernández et al., 2009; McElroy et

461 al., 2013). Samples were representative of the lengths and ages occurring in the commercial
462 catch presently, but may not precisely reflect their relative abundance (NEFSC, 2012a, 2012b;
463 Legault et al., 2013). Age was a weaker predictor than length, which may be partially attributed
464 to the observed differences in size at age among years and stocks. This was particularly true for
465 the GOM fish, which grow slower than SNE fish (NEFSC 2012a). Howell and Kesler (1977)
466 also reported that length was more predictive of fecundity than age for yellowtail flounder.

467 The positive allometry ($\beta_l > 3$) in the relationship between fecundity and size indicates
468 greater egg production by large females than expected based on their size alone. Earlier
469 yellowtail fecundity studies by Pitt (1971) and Howell and Kesler (1977) included larger fish up
470 to 54 cm TL and reported higher slopes than the current study ($\beta = 4.69$ and 3.86 , respectively).
471 Because U.S. stocks of yellowtail flounder have experienced truncated size and age structure for
472 a decade or more (NEFSC, 2012a, 2012b; Legault et al., 2013), it is likely they are capable of
473 higher fecundity at size than reported here as few fish sampled were larger than 45 cm. The
474 increased relative fecundity for larger females, as shown in other species (Wootton, 1990),
475 indicates that both SSB and stock demographics determine stock reproductive potential.
476 Additional data from larger fish would help quantify the value of these larger fish to overall
477 stock productivity.

478 We only examined patterns in potential fecundity and were not able to assess realized
479 fecundity or variation in egg size or quality. Greater egg size, which generally confers higher
480 survival to larvae, has been related to maternal size or age in some species including: Atlantic
481 cod, *Gadus morhua* (Kjesbu et al., 1996), haddock, *Melanogrammus aeglefinus* (Trippel and
482 Neil, 2004), plaice, *Pleuronectes platessus* (Kennedy et al., 2007) and winter flounder,
483 *Pseudopleuronectes americanus* (Buckley et al., 1991). Experimental studies on maternal effects

484 in yellowtail flounder are equivocal; Manning and Crim (1998) found no relationship between
485 egg size and egg dry weight with maternal size, while Benoît and Pepin (1999) report strong
486 maternal effects on larval hatch size, but the sample sizes were small in both studies. Further
487 research is necessary to understand maternal effects for yellowtail flounder; as both fecundity
488 and the size and quality of propagules contribute to reproductive potential.

489

490 4.2 Between stock variation in fecundity

491 Our study applied a single method across the stocks and demonstrated both between and
492 within stock variation of yellowtail flounder fecundity in U.S. waters. Model estimates of PAF
493 were higher for SNE females, relative to GOM fish, within all years. Although only 200 km
494 separates these collection areas, they are bisected by Cape Cod, which demarcates a major
495 zoogeographic boundary (Briggs, 1974). Our sampling covered much of the current high
496 abundance areas of the yellowtail population in U.S. waters (NEFSC, 2012a, 2012b; Legault et
497 al., 2013), and likely captured much of the variation in fecundity that presently exists in U.S.
498 waters. However, we did not sample yellowtail flounder farther south, along the middle Atlantic
499 bight, a region where their abundance has declined recently.

500 Spatial variation in fish fecundity is common in other groundfishes (Blanchard et al.,
501 2003; Cooper et al., 2007; Witthames et al., 2013). In the sympatric winter flounder,
502 *Pseudopleuronectes americanus*, fecundity was also lower for individuals from the GOM
503 compared to the SNE stock, with comparable spatial scale in sampling (McElroy et al., 2013).
504 Populations in these two regions, for both flounder species, are considered separate stocks. In the
505 case of winter flounder, several phenotypic traits, including growth which influences fecundity,
506 are consistent with genetic differentiation between SNE and GOM (DeCelles and Cadrin, 2011;

507 Wirgin et al., 2014); however, genetic studies have provided inconclusive evidence for
508 yellowtail flounder stock structure (Cadrin, 2010). Genetic structuring may be reduced for
509 yellowtail flounder due to greater dispersal and mixing of pelagic eggs as compared to the
510 benthic winter flounder egg. Spatial variation in yellowtail flounder fecundity has been identified
511 in Canadian waters (Rideout and Morgan, 2007), but interstock variation in yellowtail flounder
512 fecundity within U.S. waters had not been previously described.

513 There may be stock-specific differences between the coastal stocks (SNE, GOM) and the
514 transboundary offshore stock (GB) as well; spawning stock biomass at age is known to vary by
515 stock for yellowtail flounder in the US due to differences in maturity and weight at age (NEFSC,
516 2012a, 2012b; Legault et al., 2013). However, sample size of the GB stock was too low and the
517 variability of the estimates was high, which prevented us from including them in the present
518 comparative analyses. Qualitatively, estimates of PAF for females from GB were more aligned
519 with the lower fecundity evident in GOM than with SNE individuals, and this was consistent
520 with low levels of K_n and higher levels of $\%A_c$ observed for GB females, in the years studied. It
521 is possible that the low somatic condition of this stock in recent years (Legault et al., 2013),
522 particularly in 2011, has contributed to more variable and lower fecundity. Further sampling of
523 this stock is necessary understand its reproductive dynamics, the importance of which is
524 magnified by the current low abundance of the stock and record low recruitment (Legault et al.,
525 2013).

526 Our fecundity at length estimates are higher than previous reports for yellowtail flounder
527 in the northwestern Atlantic Ocean (Table 5), particularly for SNE individuals (Howell and
528 Kesler, 1977). Pitt (1971) in the 1960's, and more recently Rideout and Morgan (2007) reported
529 lower yellowtail PAF for Grand Bank yellowtail than observed in U.S. waters. This later study

530 also found lower fecundity for females on the eastern side (3LNO NAFO region) of the Grand
531 Bank than the western portion (3Ps). At a greater spatial scale than just the present study,
532 fecundity in the GOM is intermediate between that for Grand Bank and SNE, further supporting
533 a latitudinal trend. Evidence for this latitudinal trend in yellowtail flounder fecundity was first
534 identified by Howell and Kesler (1977), who compared their estimates for SNE to reported
535 fecundity from the Grand Bank (Pitt, 1971). Cross study comparisons in fecundity are
536 complicated by temporal separation differences in methodology, and the lack of a common
537 minimum oocyte size-threshold. The earlier studies covered a similar overall oocyte diameter
538 range to the present work, but those studies may have included more fish with low mean oocyte
539 diameters and so lower cumulative down-regulation, resulting in higher estimates of fecundity at
540 length. However, results within the current study and collectively with earlier work and the
541 multiple Grand Bank studies provide support for decreasing fecundity with increasing latitude as
542 has been demonstrated in other species and regions (e.g. Thorsen et al., 2010).

543

544 4.3 Within stock variation in fecundity

545 Interannual differences in fecundity were evident in both coastal stocks and were
546 synchronous in two of the three years sampled, 2010 (both higher) and 2012 (both lower).
547 Similarly, down-regulation was highest in 2011 for both the GOM and SNE stocks. Interannual
548 variations in fecundity has frequently been related to environmental conditions, feeding, or both
549 (Horwood et al., 1989; Skjæraasen et al., 2006; Kjesbu and Witthames, 2007; Morgan et al.,
550 2010), therefore synchrony across stocks in certain years may indicate larger scale (regional)
551 forcing of environmental conditions. However, PAF was not synchronized among regions in all
552 years (i.e. 2011). On the Grand Bank, Rideout and Morgan (2007) also reported interannual

553 variation in fecundity at length between years in the 1990s and even greater differences with
554 estimates from the 1960's (Pitt, 1971). Our estimates of fecundity at length for SNE individuals
555 are higher than earlier studies (Table 5). Continued sampling over a longer timescale is necessary
556 to fully characterize the level of PAF synchronization among the yellowtail flounder stocks,
557 temporal dynamics, and assess linkages to potential driving factors.

558 Within-stock spatial variation in reproductive output of yellowtail flounder may also exist
559 but was not investigated here. Intrapopulation differences have been observed within Grand
560 Bank yellowtail flounder (Rideout and Morgan, 2007; Morgan and Rideout, 2008) as well as
561 other flatfish (Rijnsdorp, 1991; Kennedy et al., 2007), but not in all cases where it has been
562 examined (Nichol and Acuna, 2001; Kennedy et al., 2009). Spatial heterogeneity in condition
563 has been identified in yellowtail flounder on Georges Bank (Pereira et al., 2012); therefore based
564 on the present results it is expected that fecundity will covary among Georges Bank habitats with
565 condition. The Gulf of Maine and the southern New England/mid-Atlantic bight are not
566 completely homogeneous in environmental conditions, which could result in differing egg
567 production within these stock regions, especially given the high individual variability in PAF and
568 K_n observed for the samples here.

569

570 4.4 Magnitude and timing of down-regulation

571 Environmental factors that affect consumption, growth, and condition are likely
572 important for both understanding and prediction of PAF (Lambert et al., 2003; Wright, 2013).
573 Although PAF estimates covary with K_n , whether K_n determines PAF, or if they both are
574 affected by a common cause (e.g. seasonal energy intake) remains uncertain. Nevertheless, K_n at
575 the time of sampling, did account for some of the variation among years and stocks in fecundity

576 and levels of down-regulation. The highest down-regulation (as a percentage of PAF) in GOM
577 fish was observed in 2011, coincident with the lowest observed K_n . However these were not
578 always coincident; for example, K_n in 2011 for SNE fish was the highest observed, but down-
579 regulation was also high. High fecundity was only weakly correlated with condition, as
580 individual variation was substantial and condition also declined with increasing OD_{LC} . The
581 regression estimates of down-regulation among years did not completely mirror the direct
582 measures of atresia ($\%A_c$). Equivocal association between fecundity, K_n , and down-regulation is
583 partially attributable to the fact that the variables measured here (K_n , $\%A_c$, overall stock-wide
584 down-regulation) are point estimates of continuous processes and do not account for periods of
585 low condition or high down-regulation that may have occurred during other portions of the year.
586 Feeding and condition much earlier in the seasonal cycle, e.g. at the start of vitellogenesis, may
587 have greater impact on fecundity than condition just prior to spawning (Skjæraasen et al., 2006).
588 Likely a combination of condition, size at length (growth), and environmental factors throughout
589 the year contribute to PAF variation. Results here for yellowtail flounder indicate some
590 adjustment of fecundity during the months just prior to spawning, with fecundity down-
591 regulation related to very low relative condition during late vitellogenesis.

592 The timing of sampling can have consequences for fecundity estimation, and typically
593 researchers attempt to sample as close to, but prior to, spawning to avoid overestimating
594 fecundity (Murua et al., 2003; Ganas et al., 2014). The two thresholds related to oocyte diameter
595 measures employed here ensured that individuals had a distinct size hiatus in the distribution of
596 oocytes and that clutches were well developed. The yolked clutch exhibited variable size
597 distributions among individuals, and the use of a second threshold further excluded fish with
598 oocytes still in early development. Down-regulation of fecundity that occurred early in clutch

599 development was not accounted for applying these thresholds, and our estimates of down-
600 regulation may underestimate total down-regulation of the clutch from initiation to maturation.
601 Regardless, this approach assures our estimates of PAF are closer to the realized annual
602 fecundity. Furthermore, inclusion of OD_{LC} in the final fecundity model accounted for down-
603 regulation and individual variation in the stage of clutch development.

604 The stereological method applied in the current study is model based (requiring
605 assumptions related to particle shape) and can introduce bias when attempting to obtain absolute
606 counts, such as fecundity estimation, due to non-uniform shrinkage or distortion of the reference
607 section (Kjesbu et al., 2010; Ganiyas et al., 2014). Unbiased methods (e.g. the disector method)
608 exist, but require multiple sequential histology sections which were not available. However,
609 some of the assumptions and potential biases in the particle count approaches are avoided when
610 relative intensities are estimated (Kjesbu et al. 2010), as was done here. Quantification and
611 exclusion of negative sample area (empty space) from the calculations also reduced some of the
612 bias related to distortion of sections. Another complication is that the size and shape of the cell
613 types varies. We found the coefficients K and β differed among cell types, as also reported for
614 bluefin tuna, *Thunnus thynnus* (Medina et al., 2002; Aragón et al., 2010), and used cell specific
615 parameters derived herein (see methods) to account for shape differences. Although, some bias
616 likely remains in this approach, the stereological estimates here provide useful relative measures
617 of atresia levels for comparison to our whole mount fecundity and down-regulation analyses.

618 The two down-regulation approaches (the indirect fecundity model based and direct
619 stereological estimates) provided independent measures to aid interpretation of the timing and
620 processes involved in down-regulation. The image filtering employed in the fecundity processing
621 excluded less-opaque and irregularly shaped atretic oocytes; thus many of the down-regulated

622 (atretic) oocytes were not included in fecundity estimates. The direct stereological approach
623 provides individual-level estimates of atresia, $\%A_c$ from 0-34% but typically <5%, that include
624 more atresia than that inferred from fecundity samples that likely excluded all β atresia and some
625 α -atretic oocytes. The low atresia rates reported here are consistent with those reported for
626 yellow tail flounder by Zamarro (1991) on the Grand Bank (<0.001%) and Howell (1983) in
627 SNE (0.4-1.8%). Howell (1983) used straight counts from histology, and the author
628 acknowledged this would underestimate the abundance of the smaller atretic oocytes relative to
629 the larger vitellogenic cells. Although general individual levels identified here were low for most
630 fish; some individual fish had high intensities of atresia.

631 The rate of degeneration of atretic oocytes relative to OD_{LC} is unknown for yellowtail
632 flounder, which precludes the simple expansion of atretic rates to estimate total atresia during the
633 entire development period of the annual cohort. Estimates for persistence of α atresia for other
634 species vary from 5-10 days (summarized by Witthames et al., 2010), although some α particles
635 persisted 150 days (though these were considered cysts). Though the duration β atretic particles
636 is uncertain, given the lower frequency observed (as compared to alpha) they are presumably less
637 persistent. Assuming similarly brief existence of atretic particles in yellowtail, any point
638 estimates represent a fraction of the total atresia during the period investigated. The point
639 estimates of atresia presented here potentially arise from different patterns of atresia. Individuals
640 probably also vary in their down-regulation pattern in response to certain internal or external
641 factors, which would contribute to the observed fecundity distribution. The theoretical patterns
642 for both the low steady and variable down-regulation patterns were parameterized using the
643 stereological estimates of atresia for yellowtail flounder and resulted in the PDF's closest to the
644 observed data. This suggests for the majority of fish there are low levels of atresia throughout the

645 late development of the clutch. However, a few fish were observed to have high rates of atresia.
646 If environmental or feeding conditions sufficient to cause substantial down-regulation do occur
647 at a regional scale, the mechanism for large-scale, stock-level decreases in egg production
648 appears to exist. In 2011, high down-regulation during the sampling period was observed in both
649 inshore stocks.

650 The estimated within stock atresia rates, roughly 3-25% reduction in PAF observed for
651 yellowtail flounder, were similar or below estimates for other species. Decreases in potential
652 fecundity of 45% have been reported for Greenland halibut, *Reinhardtius hippoglossoides*
653 (Kennedy et al., 2009), 27-30% in Atlantic cod, *Gadus morhua* (Witthames et al., 2013), and 20-
654 71% in Atlantic herring, *Clupea harengus* (Kurita et al., 2003; van Damme et al., 2009; Bucholtz
655 et al., 2013). Down-regulation may also occur at earlier stages of oogenesis than examined here;
656 as in some species where it has been found to be lower just prior to spawning and higher during
657 an earlier 'atretic' window (Kurita et al., 2003; Kennedy et al., 2009). Down-regulation of
658 yellowtail flounder was found to occur throughout late vitellogenesis. Additional but earlier
659 down-regulation may explain the weak relationship between predicted PAF residuals and %A_c.
660 Many fish with negative PAF residuals did not exhibit high levels of atresia; therefore the lower
661 fecundity may be the result of some earlier down-regulation or of the initial clutch size. Howell
662 (1983) found vitellogenic atresia from November to May with the greatest intensities in January
663 and February, whereas none was observed June through October (a period of early
664 development). In the present study annual down-regulation rates and interannual variation in
665 PAF were not always correlated and this may indicate that final fecundity is a combination of
666 processes occurring both early and late in development. For this species, results herein and from
667 previous work suggest PAF can be adjusted over a broad oocyte development period, and there

668 may be plasticity in the seasonal timing and magnitude of fecundity regulation. The stock
669 differences also indicate the flexible nature of fecundity regulation, and that down-regulation and
670 final fecundity may be the result of local environmental and feeding conditions.

671

672 **5.0 Conclusions**

673 Yellowtail flounder fecundity was found to vary temporally and spatially, and was
674 inversely related to latitude. Stock-level fluctuations in condition and down-regulation suggest
675 environmental influences on development of the annual batch of eggs prior to spawning. Though
676 variable at both individual and stock levels, down-regulation was evident, underscoring the need
677 to account for the progression of clutch development in fecundity models. Fish size and relative
678 condition influence fecundity in this species, underscoring the importance of tracking temporal
679 and spatial growth variability in the stock assessment models. Future studies should explore
680 environmental drivers of size and condition to model variation in reproductive potential.
681 However, changes in egg production due to population size structure and relative condition alone
682 cannot fully explain the poor recruitment of yellowtail flounder in recent years. It is probable that
683 egg production in concert with other factors affecting egg, larval, and juvenile stages (e.g.
684 climate driven regulation of juvenile production; Sullivan et al., 2005), act synergistically to
685 contribute to recruitment variability.

686

687 **Acknowledgements**

688 We thank the organizers of the International Flatfish Symposium, editors of this symposium
689 special issue, and anonymous reviewers for their constructive suggestions. This study was
690 funded by the National Oceanic and Atmospheric Administration (NOAA), Northeast Fisheries

691 Science Center (NEFSC), Northeast Cooperative Research Program (NCRP). We thank NCRP's
692 Study Fleet staff and participating fishermen, Ecosystems Surveys Branch of the NOAA
693 Fisheries Northeast Fisheries Science Center and the officers and crew of the NOAA research
694 vessel H. B. Bigelow, and the Massachusetts Division of Marine Fisheries (MADMF) for
695 assistance securing biological samples utilized in this study. We thank all the staff members of
696 these various programs and in particular: J. Hoey (NEFSC-NCRP), J. Moser, M. Ball, and D. St.
697 Amand (NEFSC-Study Fleet), J. King (MADMF). Y. Press and J. Dayton assisted early
698 sampling and protocol development, and S. Emery aged all samples. L. O'Brien and C. Legault
699 provided valuable suggestions on an earlier version of the text and analyses. We also thank Mass
700 Histology Service Inc. for histological processing.

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967

968 Table 1. Summary statistics for yellowtail flounder used to estimate potential annual fecundity
 969 by stock area (Gulf of Maine [GOM], Georges Bank [GB], Southern New England [SNE]) and
 970 spawning^a year (means listed with ranges in parentheses).

		GOM	GB	SNE
<i>n</i>	2010	62	14	59
	2011	64	18	48
	2012	88	18	39
	Total	214	50	146
Total Length (mm)	2010	381 (280 - 550)	385 (352 - 423)	395 (284 - 465)
	2011	362 (313 - 459)	390 (340 - 430)	398 (315 - 465)
	2012	362 (295 - 450)	389 (300 - 465)	374 (295 - 464)
Fish Age (yr)	2010	4.2 (3 - 9)	4.0 (3 - 5)	4.4 (2 - 6)
	2011	3.6 (2 - 6)	4.2 (3 - 6)	4.6 (3 - 7)
	2012	4.2 (3 - 7)	4.4 (3 - 7)	4.2 (2 - 8)

971
 972 ^a One fish each from SNE and GOM were captured in December 2010 and combined with 2011
 973 samples – the year they would have spawned.
 974

975 Table 2. Models predicting potential annual fecundity were compared for single predictor (a) or
 976 multiple predictors (b) using natural log-transformed total length (TL). Additional terms included
 977 stock (ST), year (YR), relative condition (K_n), and mean oocyte diameter of the leading cohort
 978 (OD_{LC}), and a stock-year interaction term (ST:YR). All potential model combinations for these
 979 parameters were tested, but only the best model for each number of terms is shown; where the
 980 best models were not distinguishable multiple models are shown for that number of terms.
 981 Models were evaluated based on the number of estimable parameters (K), log-likelihood (LL),
 982 the second order Akaike's Information Criterion (AICc), change in the AICc ($\Delta AICc$), the AICc
 983 weight (wt.), and coefficient of determination (r^2). Model analysis was conducted on overlapping
 984 length ranges for SNE and GOM stocks across years rounded to the nearest cm (33 – 46 cm TL
 985 [$n = 338$]).
 986

a	K	LL	AICc	$\Delta AICc$	AIC wt.	r^2
TL	3	-42.9	91.9	--	0.000	0.62
ST	3	-145.6	297.2	205.3	0.000	0.30
YR	3	-172.4	352.9	261.0	0.000	0.17
K_n	3	-189.4	384.8	292.9	0.000	0.09
OD_{LC}	3	-204.4	414.8	322.9	0.000	< 0.01
b	K	LL	AICc	$\Delta AICc$	AIC wt.	r^2
TL + ST + K_n + OD_{LC} + YR + ST:YR	8	70.9	-121.2	--	0.999	0.80
TL + ST + K_n + OD_{LC} + YR	7	61.9	-107.4	13.7	0.001	0.79
TL + ST + K_n + OD_{LC}	6	48.6	-85.0	36.1	0.000	0.78
TL + ST + K_n + YR	6	49.2	-84.0	37.2	0.000	0.78
TL + ST + K_n	6	31.6	-53.0	68.1	0.000	0.75
TL + K_n	5	3.8	0.5	121.7	0.000	0.71
TL	3	-42.9	91.9	213.1	0.000	0.62

987
 988

989 Table 3. Regression coefficients for natural log-transformed linear regressions of potential
 990 annual fecundity of yellowtail flounder from each stock (by year and all years combined) are
 991 relative to either total length (TL) or fish age (FA) with standard errors (in parentheses for the
 992 intercept, α and the slopes: $\beta_1 - \beta_3$). Terms for the mean oocyte diameter of the leading cohort
 993 (OD_{LC}) and relative condition (K_n) are included. Isometric slopes, $\beta_1 = 3$ for TL and $\beta_1 = 1$ for
 994 FA, were evaluated using Wald t -tests. Regressions were determined over full range of FA or TL
 995 except rare lengths (TL < 300 mm or TL > 500 mm) and ages (FA = 2) were excluded ($n = 10$).
 996

TL	Stock	Year	α	β_1 (TL)	β_2 (OD_{LC})	β_3 (K_n)	r^2	n	TL range	t ($\beta_1 = 3$)	p ($\beta_1 = 3$)
GOM		2010	-9.0417 (2.3966)	3.7931 (0.4102)	-0.0008 (0.0007)	1.2065 (0.4297)	0.64	59	323 - 450	1.93	0.06
		2011	-6.6493 (2.2697)	3.5552 (0.3944)	-0.0029 (0.0008)	0.9617 (0.4499)	0.59	62	313 - 459	1.41	0.16
		2012	-4.5270 (1.8744)	3.0640 (0.3141)	-0.0012 (0.0005)	0.9658 (0.2265)	0.54	87	325 - 450	0.20	0.84
		All	-9.2454 (1.2558)	3.9049 (0.2128)	-0.0021 (0.0004)	1.2005 (0.2010)	0.64	208	313 - 459	4.25	< 0.01
GB	All	-6.1022 (4.3530)	3.0610 (0.7013)	-0.0008 (0.0010)	2.3516 (0.5045)	0.42	50	300 - 465	0.09	0.93	
SNE		2010	-8.2958 (2.1794)	3.6184 (0.3434)	-0.0003 (0.0006)	1.3492 (0.3957)	0.69	57	337 - 465	1.80	0.08
		2011	-6.4841 (1.8246)	3.5334 (0.2999)	-0.0014 (0.0007)	0.5998 (0.2582)	0.76	48	315 - 465	1.78	0.08
		2012	-8.6858 (1.8052)	3.7297 (0.2776)	-0.0012 (0.0006)	1.4245 (0.3570)	0.86	37	327 - 464	2.64	0.01
		All	-8.2747 (1.1116)	3.7262 (0.1754)	-0.0011 (0.0004)	1.0475 (0.1891)	0.77	142	315 - 465	4.14	< 0.01
FA	Stock	Year	α	β_1 (FA)	β_2 (OD_{LC})	β_3 (K_n)	r^2	n	FA range	t ($\beta_1 = 1$)	p ($\beta_1 = 1$)
GOM		2010	12.5035 (0.8814)	0.3986 (0.2548)	0.0003 (0.0012)	1.1646 (0.6802)	0.11	59	3 - 6	-2.36	0.02
		2011	12.5715 (0.9602)	0.4022 (0.2341)	-0.0003 (0.0011)	1.0262 (0.6906)	0.07	60	3 - 6	-2.55	0.01
		2012	13.0032 (0.5106)	0.2823 (0.1260)	-0.0001 (0.0007)	0.5587 (0.3414)	0.08	81	3 - 7	-5.70	< 0.01
		All	12.8163 (0.4479)	0.4232 (0.1180)	-0.0009 (0.0006)	1.0692 (0.3255)	0.11	200	3 - 7	-4.89	< 0.01
GB	All	11.5782 (0.7591)	0.8187 (0.2386)	-0.0010 (0.0011)	1.8150 (0.5248)	0.35	49	3 - 7	-0.76	0.45	
SNE		2010	12.8173 (0.6341)	0.8780 (0.1054)	0.0002 (0.0007)	0.4230 (0.4885)	0.59	55	3 - 6	-1.16	0.25
		2011	13.7954 (0.6860)	0.8610 (0.1476)	-0.0014 (0.0011)	0.2173 (0.3890)	0.44	48	3 - 7	-0.94	0.35
		2012	12.0734 (0.9117)	0.9640 (0.1303)	-0.0016 (0.0010)	1.6122 (0.5857)	0.65	36	3 - 8	-0.28	0.78
		All	13.0707 (0.4396)	0.9039 (0.0772)	-0.0012 (0.0005)	0.7013 (0.2798)	0.52	139	3 - 8	-1.24	0.22

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 999

1000 Table 4. Estimated cumulative down-regulation of yellowtail flounder fecundity as the mean
 1001 oocyte diameter for the leading cohort increased from 400 to 500 μm . Predicted PAF (pPAF, in
 1002 millions) at each mean oocyte diameter of the leading cohort (pPAF₄₀₀ and pPAF₅₀₀) was
 1003 calculated for a typical total length (400 mm TL) using the regressions for each stock (ST) and
 1004 year (YR) with the term for relative condition set a 1.0 ('average condition').
 1005

ST	YR	pPAF ₄₀₀	pPAF ₅₀₀	% Change
GOM	2010	2.13	1.96	-7.69
	2011	1.92	1.45	-24.86
	2012	1.62	1.43	-11.68
GB	All	1.55	1.42	-8.06
SNE	2010	2.21	2.14	-3.10
	2011	2.53	2.21	-12.70
	2012	2.22	1.97	-11.15

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 1007

1008 Table 5. Summary of potential annual fecundity estimates for yellowtail flounder at two common
 1009 lengths (370 and 420 mm TL) covered by all studies. Fecundity calculated from previous studies
 1010 using reported regressions for each location. . Estimates for the current study were calculated
 1011 using mean oocyte diameter of the leading cohort = 500 μ m and a relative condition = 1.0
 1012 ('average condition') for each stock and year independently, except all years combined for GB.
 1013

Study	Location	Sampling year (s)	<i>n</i>	Fecundity (millions)	
				370 mm	420 mm
Pitt (1971)	Grand Bank	1966 - 1967	51	0.80	1.46
Rideout & Morgan (2007)	Grand Bank (3LNO)	1993 - 1998	444	0.73	1.06
	Grand Bank (3Ps)	1993 - 1998	102	0.92	1.36
Howell & Kesler (1977)	SNE	1976	64	1.11	1.80
Current Study	GOM	2010	59	1.46	2.36
	GOM	2011	62	1.10	1.72
	GOM	2012	87	1.13	1.66
	GB	2010 - 2012	50	1.12	1.65
	SNE	2010	57	1.61	2.55
	SNE	2011	48	1.68	2.62
	SNE	2012	37	1.47	2.36

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1018 Fig. 1. Capture locations of yellowtail flounder sampled for fecundity during 2010-2012 ($n =$
1019 410). Solid lines indicate boundaries for the three stocks: Gulf of Maine (GOM), Georges Bank
1020 (GB), and Southern New England (SNE). Box on inset map indicates the location of the study
1021 region off the U.S. Atlantic coast.

1022
1023 Fig. 2. Photomicrographs of two pre-spawning yellowtail flounder ovaries showing late
1024 vitellogenic (LV) and alpha (α) or beta (β) atretic oocytes. Images are overlaid with a Weibel
1025 dissector grid showing sampling points at both ends of each thin black line where the structure
1026 type was recorded. To ensure the count of each cell type is unbiased, oocytes transecting the top
1027 and right (grey) 'allowed' borders (e.g. oocyte A) are counted, and cells transecting the left and
1028 bottom (black) 'forbidden' borders (e.g. oocyte B) are not counted. Scale bar in bottom left is
1029 250 μm .

1030
1031 Fig. 3. Yellowtail flounder potential annual fecundity (PAF) relative to total length (TL, left
1032 column) and fish age (FA, right column) by year and stock (on a log-log scale). GB regression is
1033 for all years combined. Lines are predicted PAF at length or age with terms for mean oocyte
1034 diameter of the leading cohort = 500 μm and relative condition = 1.0 ('average condition'). Ages
1035 jittered to reduce over-plotting. Rare lengths (TL < 300 mm or TL > 500 mm) and ages (FA = 2)
1036 were excluded from regression calculations (circled, $n = 10$).

1037
1038 Fig. 4. Potential annual fecundity (PAF) model estimates at age (a) and length (b) for yellowtail
1039 flounder sampled in each year from the SNE and GOM stocks. Estimates were calculated from
1040 linear regressions determined over a range of total lengths (rounded to nearest cm, 33 – 45 cm, n
1041 = 338) sampled from both stocks in all years (both age and length are on a log scale). All PAF
1042 models shown were calculated with mean oocyte diameter of the leading cohort = 500 μm and
1043 for relative condition = 1.0 ('average condition'). Predicted length at age (c) was plotted for each
1044 stock-year combination as determined from the same data subset using least-squares fit linear
1045 regression.

1046
1047 Fig. 5. Relative condition (K_n) of female yellowtail flounder by stock and year. Differences were
1048 evaluated across all but GB which were not included because of low sample size but are shown
1049 for comparison. Groups with matching letters were not significantly different from each other (p
1050 > 0.05; Tukey HSD post-hoc test).

1051
1052 Fig. 6. Length-predicted potential annual fecundity (PAF) residuals relative to condition (a, K_n)
1053 and mean oocyte diameter of the leading cohort (b, OD_{LC}). Predicted PAF values were
1054 determined using year-stock specific regressions for GOM and SNE females and for all years
1055 combined for GB females. The regressions used for predicting PAF in panel a included a term
1056 for OD_{LC} (but no K_n term) and those in panel b included a term for K_n (but no OD_{LC} term).
1057 Dashed lines are the least-squares fit linear regressions.

1058
1059 Fig. 7. The relative intensity of combined (α and β) atresia ($\%A_c$) estimated using stereology
1060 relative to mean whole-mount oocyte diameter of the leading cohort (a, OD_{LC} , $n = 164$). The
1061 solid line is the least-squares fit of the linear regression for $\%A_c$, and regressions for α ($\%A_\alpha$) and
1062 β ($\%A_\beta$) atresia were also plotted independently (points not shown). Relationship of $\%A_c$ relative

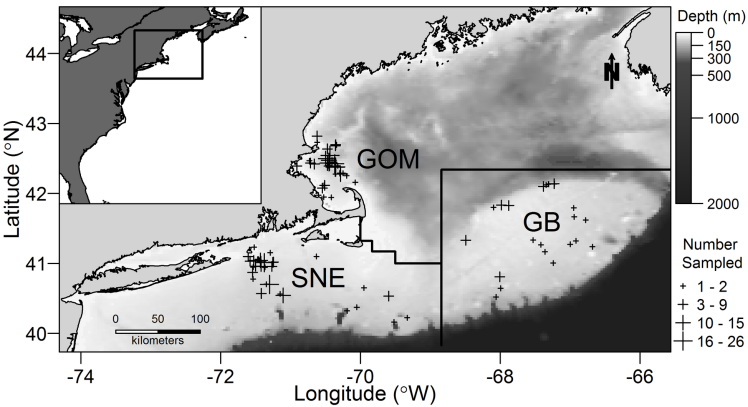
1063 to condition (b, K_n) with a reference line (solid) indicating ‘average’ condition, and the dashed
1064 line the least-squares fit of the linear regression.

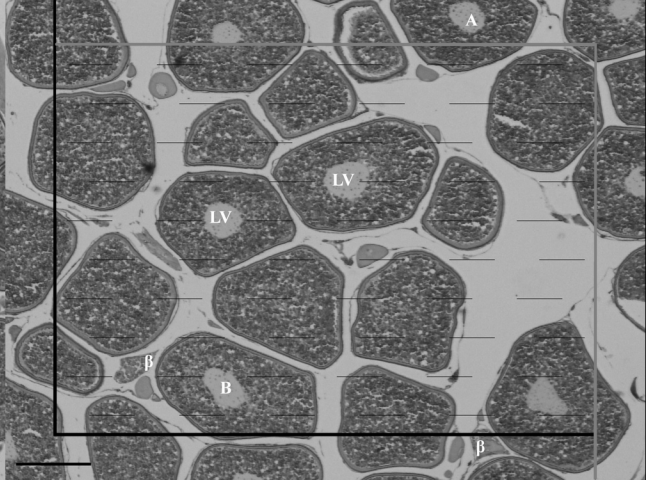
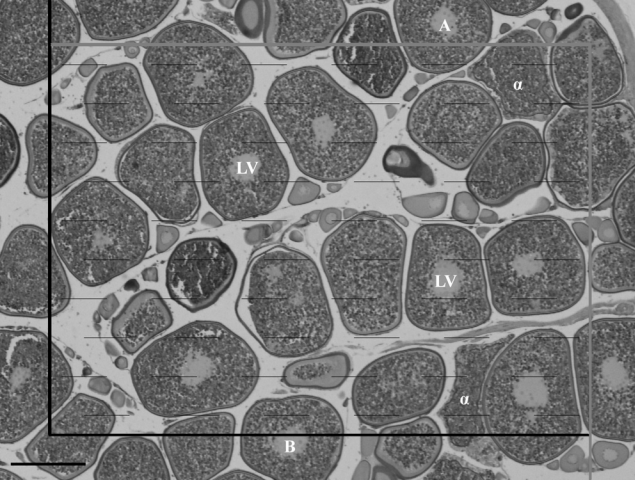
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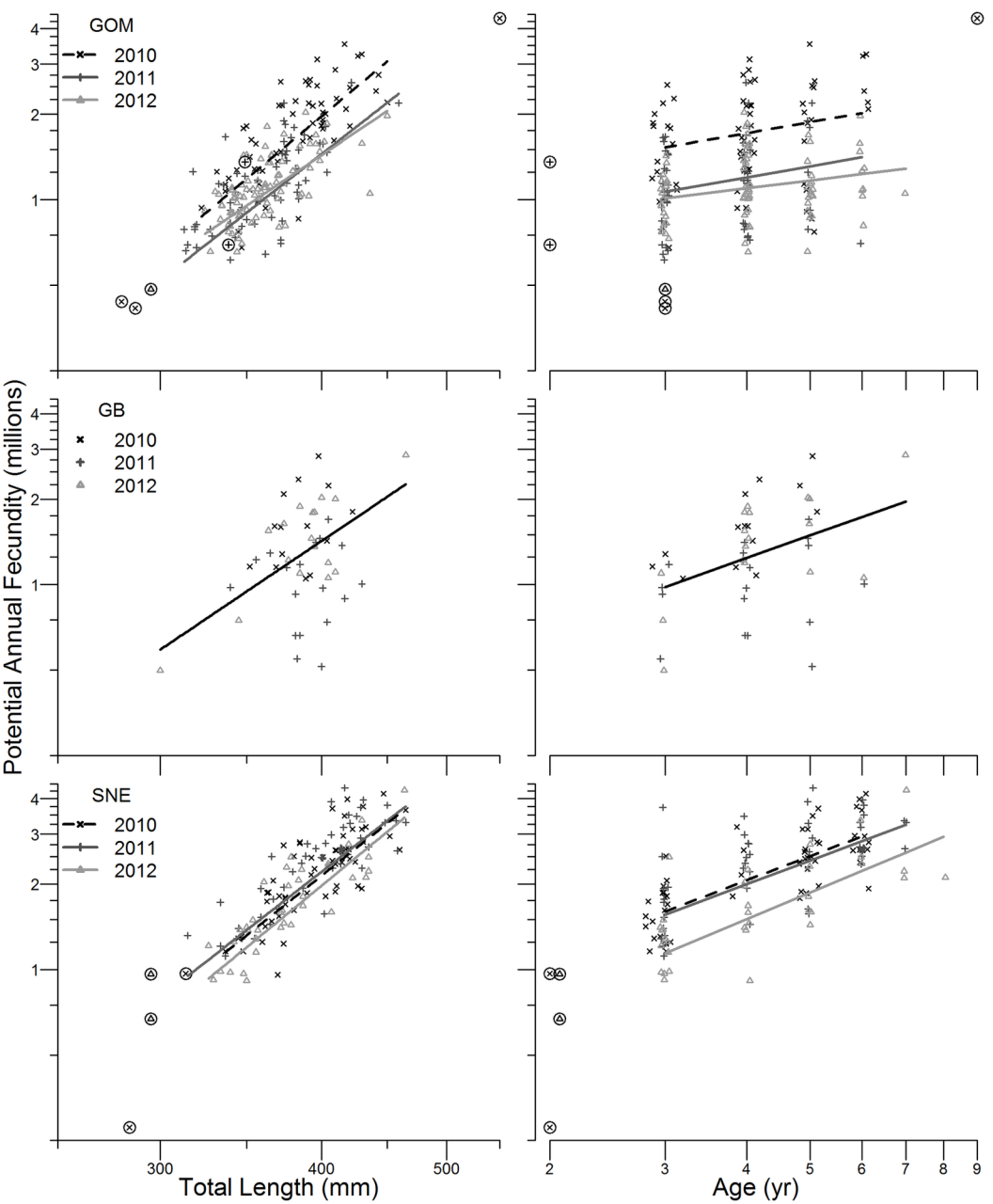
1066 Fig. 8. Stereological estimates of the relative intensity of combined (α and β , $\%A_c$) atresia by
1067 stock and year. Sample size was 20 fish for each year in GOM and SNE stocks, but for GB were
1068 $n = 9, 17,$ and 18 fish in 2010 - 2012, respectively.

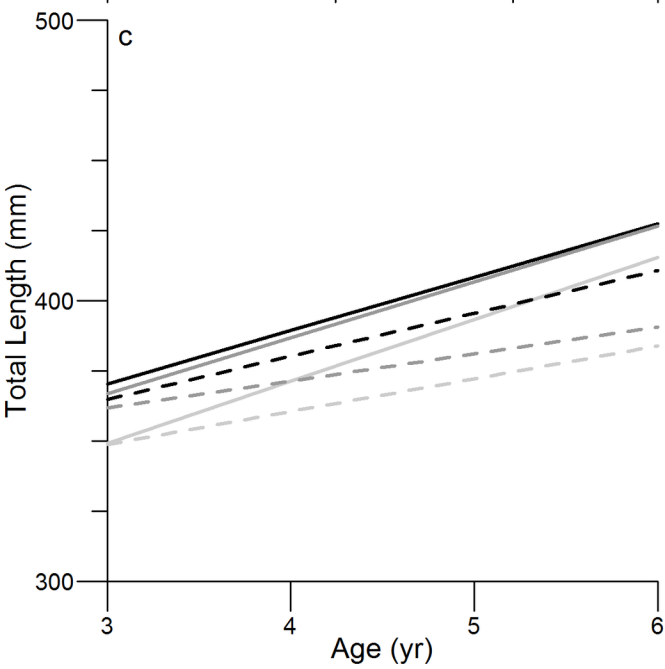
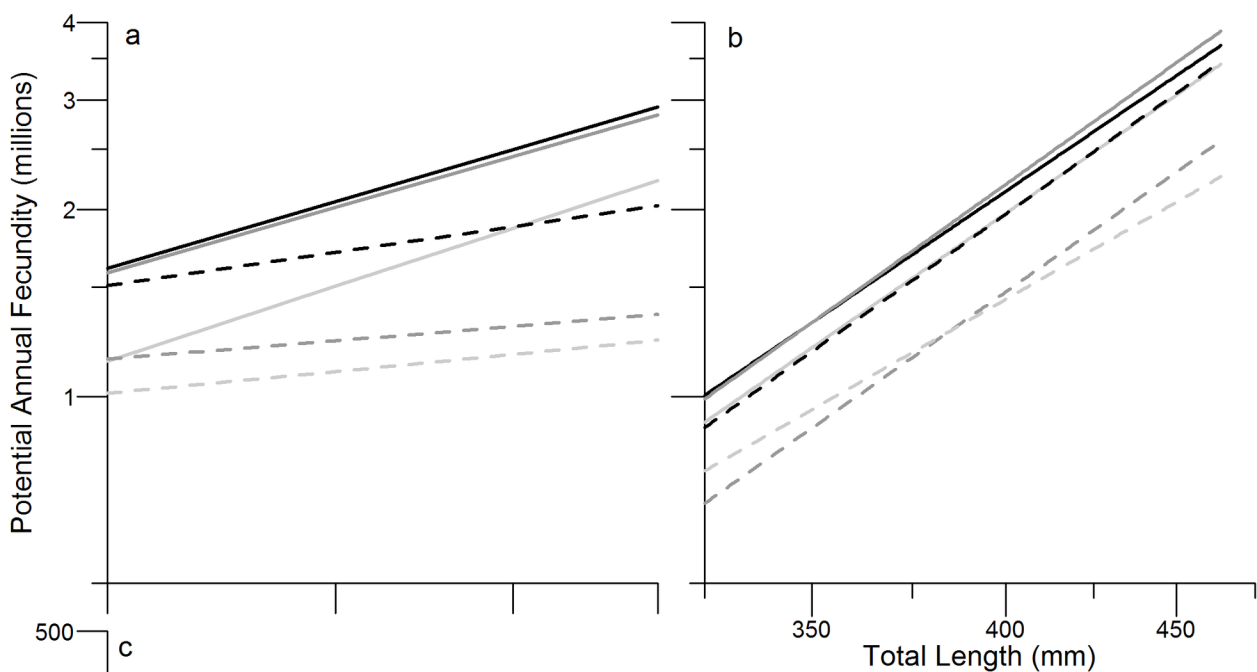
1069

1070 Fig. 9. Left column, declines in relative fecundity as the annual clutch of oocytes develops
1071 (relative to the mean oocyte diameter of the leading cohort, OD_{LC}) for different theoretical
1072 patterns of atretic down-regulation. Right column, kernel density functions for the predicted
1073 relative fecundity under each modeled atresia rate (scaled to the frequency of observed
1074 diameters) and the observed frequency for yellowtail flounder fecundity.

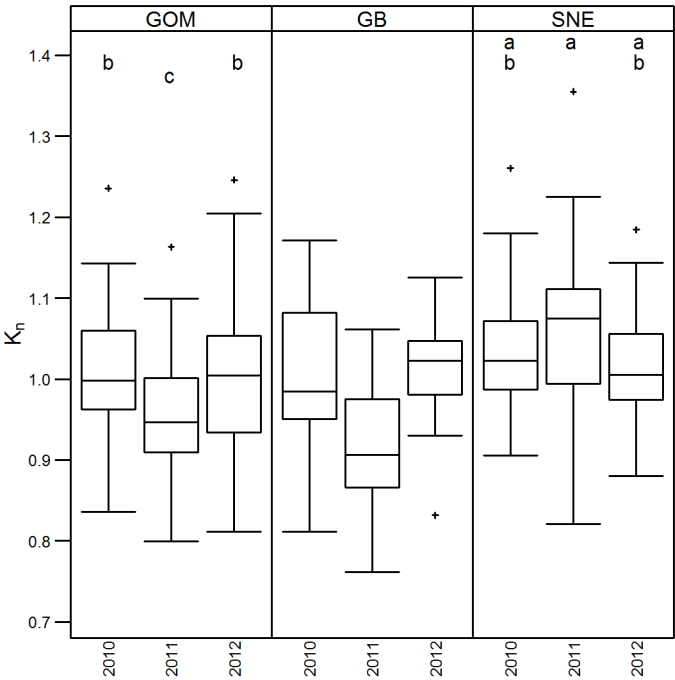


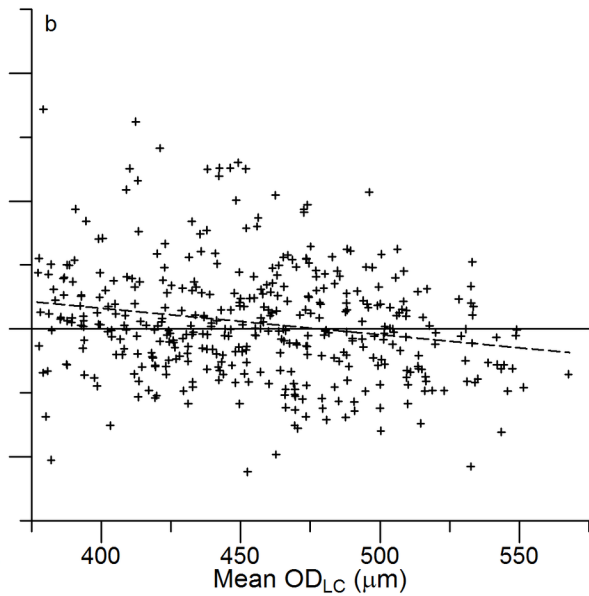
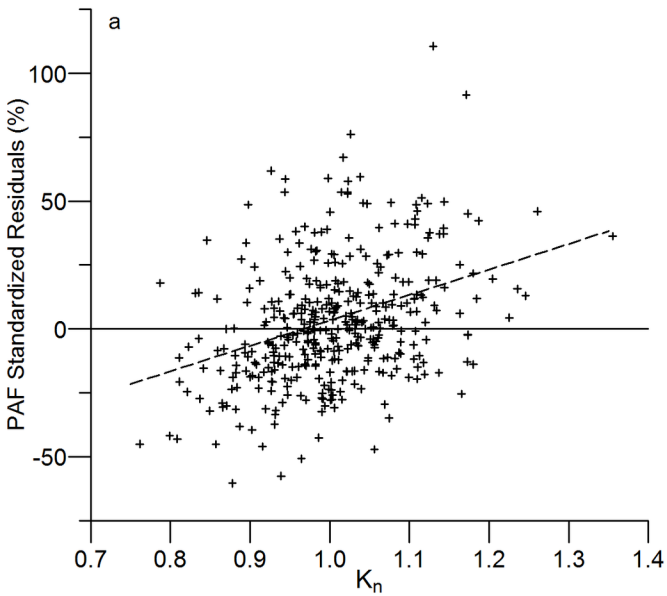


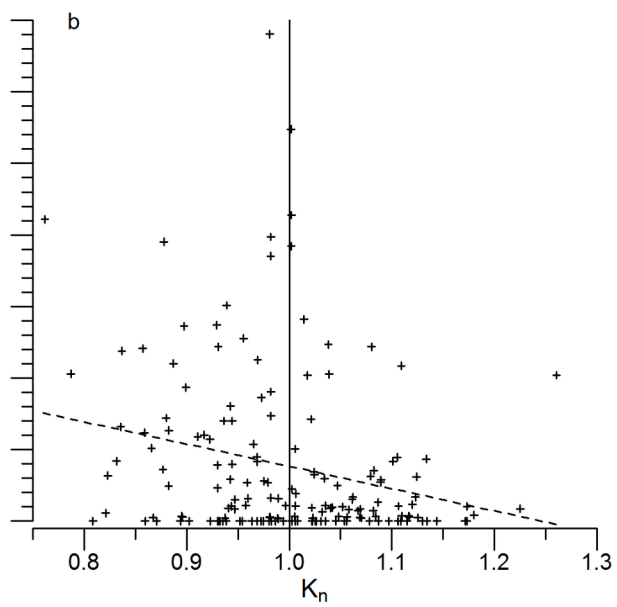
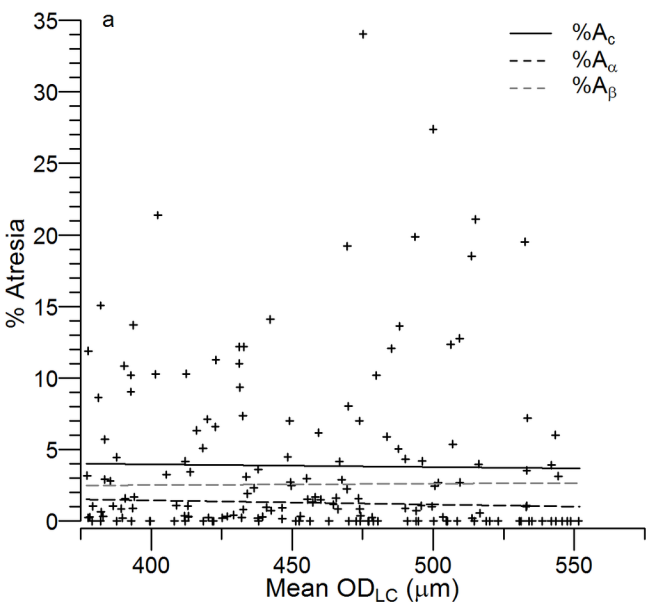


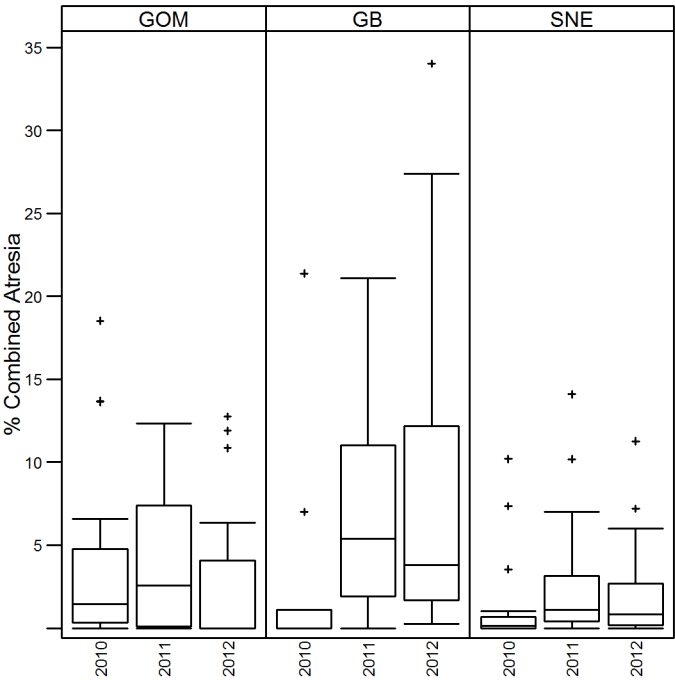


- SNE 2010
- SNE 2011
- SNE 2012
- - GOM 2010
- - GOM 2011
- - GOM 2012

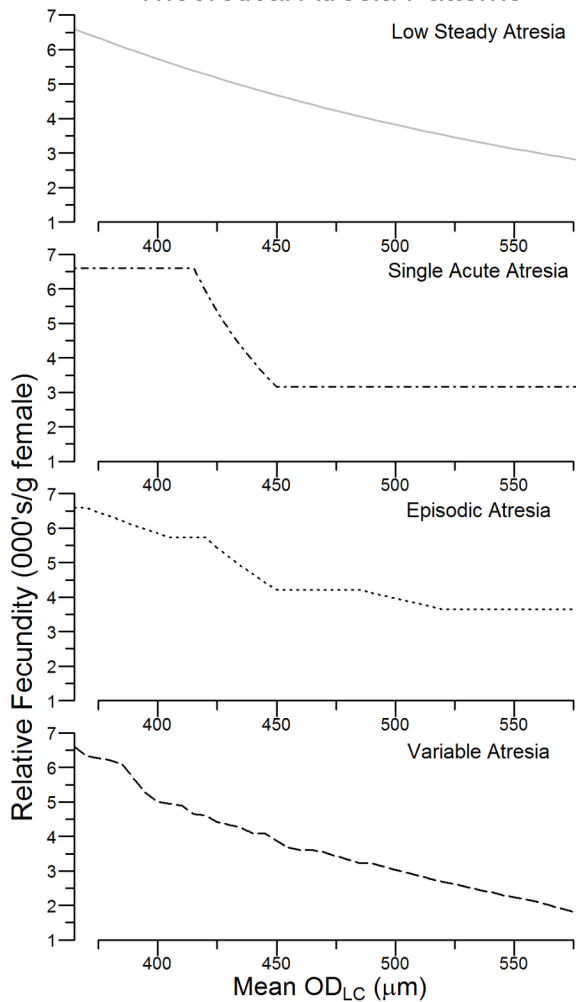




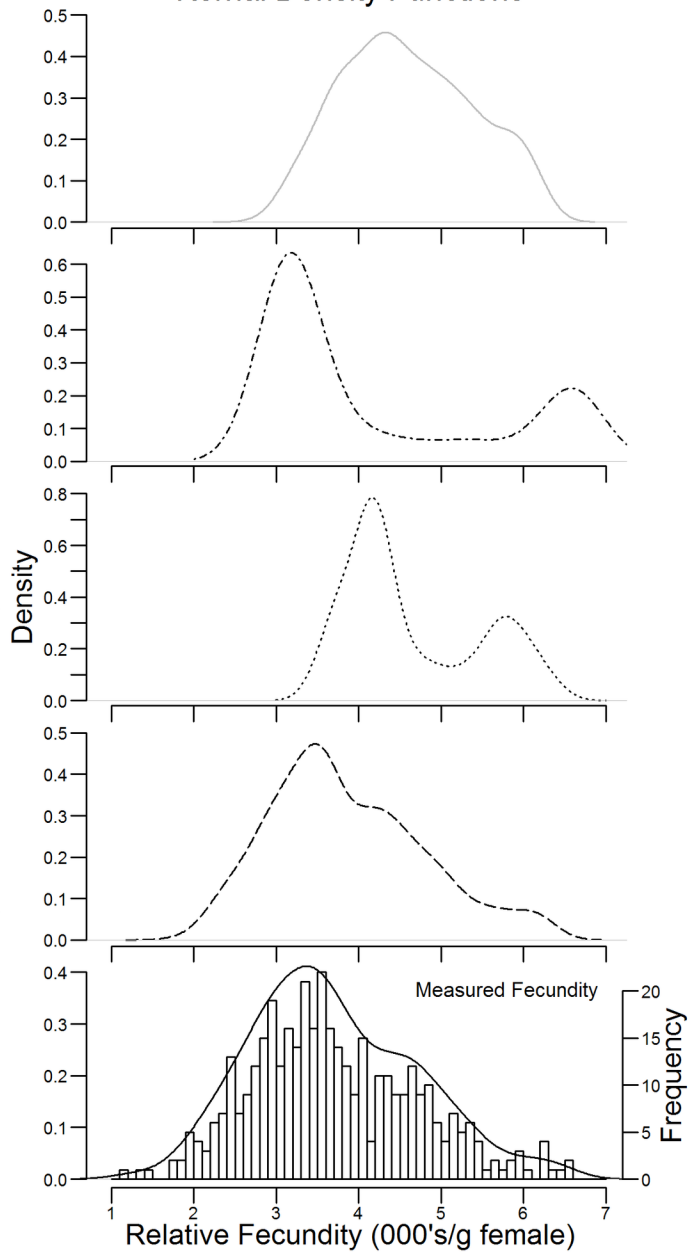




Theoretical Atresia Patterns



Kernel Density Functions



Yellowtail flounder potential annual fecundity (PAF) relative to total length (TL, left column) and fish age (FA, right column) by year and stock (on a log-log scale). GB regression is for all years combined. Lines are predicted PAF at length or age with terms for mean oocyte diameter of the leading cohort = 500 μm and relative condition = 1.0 ('average condition'). Ages jittered to reduce over-plotting. Rare lengths (TL < 300 mm or TL > 500 mm) and ages (FA = 2) were excluded from regression calculations (circled, $n = 10$).

