```
1
 2
      DR. KRISTIN N MARSHALL (Orcid ID : 0000-0002-9769-2300)
 3
 4
      Received Date : 01-Jun-2016
 5
      Revised Date : 08-Sep-2016
 6
 7
      Accepted Date : 07-Nov-2016
 8
      Article type : Primary Research Articles
 9
10
      Title: Risks of ocean acidification in the California Current food web and fisheries:
11
12
      ecosystem model projections
      Running head: Ocean acidification in the California Current
13
14
      Authors:
      Kristin N. Marshall<sup>*1</sup>, Isaac C. Kaplan<sup>1</sup>, Emma E. Hodgson<sup>2</sup>, Albert Hermann<sup>3,4</sup>, D.
15
      Shallin Busch<sup>1,5</sup>, Paul McElhany<sup>1</sup>, Timothy E. Essington<sup>2</sup>, Chris J. Harvey<sup>1</sup>, Elizabeth A.
16
      Fulton<sup>6</sup>
17
      <sup>1</sup>Conservation Biology Division, Northwest Fisheries Science Center, National Marine
18
19
      Fisheries Service, NOAA, 2725 Montlake Blvd E, Seattle WA 98112 USA
      <sup>2</sup>School of Aquatic and Fishery Sciences, University of Washington, Seattle, WA 98195-
20
21
      5020, ŪSA
22
      <sup>3</sup>NOAA Pacific Marine Environmental Laboratory, 7600 Sand Point Way NE, Seattle
      WA 98115 USA
23
```

This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the <u>Version of Record</u>. Please cite this article as <u>doi: 10.1111/gcb.13594</u>

- ⁴Joint Institute for the Study of the Atmosphere and Ocean, University of Washington,
- 25 3737 Brooklyn Ave NE, Seattle, WA 98105
- ⁵Ocean Acidification Program, Ocean and Atmospheric Research, National Marine
- 27 Fisheries Service, NOAA, 2725 Montlake Blvd E, Seattle WA 98112 USA
- ⁶CSIRO Oceans & Atmosphere, GPO Box 1538, Hobart, Tas. 7001, Australia
- 29 *Corresponding author present address: Cascade Ecology, P.O. Box 25104, Seattle, WA
- 30 98165, USA, email: <u>kmarsh2@gmail.com</u>, phone: 206-240-2370
- 31 Keywords: ocean acidification, climate change, ecosystem model, risk assessment,
- 32 fisheries, California Current
- 33 **Paper type:** Primary research article
- 34 Abstract

35 The benefits and ecosystem services that humans derive from the oceans are threatened 36 by numerous global change stressors, one of which is ocean acidification. Here, we 37 describe the effects of ocean acidification on an upwelling system that already 38 experiences inherently low pH conditions, the California Current. We used an end-to-end 39 ecosystem model (Atlantis), forced by downscaled global climate models and informed 40 by a meta-analysis of the pH sensitivities of local taxa, to investigate the direct and 41 indirect effects of future pH on biomass and fisheries revenues. Our model projects a 0.2 42 unit drop in pH during the summer upwelling season from 2013 to 2063, which results in 43 wide-ranging magnitudes of effects across guilds and functional groups. The most 44 dramatic direct effects of future pH may be expected on epibenthic invertebrates (crabs, 45 shrimps, benthic grazers, benthic detritivores, bivalves), and strong indirect effects 46 expected on some demersal fish, sharks, and epibenthic invertebrates (Dungeness crab) 47 because they consume species known to be sensitive to changing pH. The model's 48 pelagic community, including marine mammals and seabirds, was much less influenced 49 by future pH. Some functional groups were less affected to changing pH in the model 50 than might be expected from experimental studies in the empirical literature due to high

population productivity (e.g. copepods, pteropods). Model results suggest strong effects of reduced pH on nearshore state-managed invertebrate fisheries, but modest effects on the groundfish fishery because individual groundfish species exhibited diverse responses to changing pH. Our results provide a set of projections that generally support and build upon previous findings and set the stage for hypotheses to guide future modeling and experimental analysis on the effects of OA on marine ecosystems and fisheries.

57 Introduction

Humans rely on healthy ocean ecosystems for the benefits and services they provide.
However, global climate changes caused by continued fossil fuel emissions are subjecting
the oceans to multiple stressors, including increasing temperature and changes in water
chemistry (Harley *et al.*, 2006; Crain *et al.*, 2008; Solomon *et al.*, 2009). As oceans cover

62 a majority of the Earth's surface and provide critical resources for human communities,

63 understanding how future climate conditions will affect factors such as food security and

64 coastal economies is a central question in climate change research (Crain *et al.*, 2008).

This question must be addressed at a global scale, but also for downscaled bio-physical

66 interactions within individual ecosystems (Barange *et al.*, 2014), expressed in terms of

67 regional and national ecosystem services such as fisheries, recreation, and coastal

68 protection (Gattuso *et al.*, 2015).

69 Global change manifests in the ocean in multiple ways, one of which is ocean

acidification (OA), the process by which oceans absorb anthropogenic CO_2 from the

71 atmosphere and experience decreased pH and carbonate ion concentrations (Orr *et al.*,

72 2005; Doney *et al.*, 2009). Dissolution of CO_2 in water creates free hydrogen ions,

73 lowering the pH and thus altering a defining trait of the seawater to which marine

organisms are adapted. The oceans absorb about one-third of the anthropogenic CO₂

released into the atmosphere. Since the mid-1700s, this CO_2 uptake has decreased mean

pH in surface ocean waters by 0.1 units; by the end of this century, surface ocean pH may

decline by an additional 0.3 units (Caldeira & Wickett, 2003), with changes likely to vary

respective results (McElhany & Busch, 2013).

79 Upwelling systems may be particularly vulnerable to OA because they already

80 experience seasonal drops in pH compared to other ocean ecosystems (Feely *et al.*, 2008).

81 The upwelling process brings deep, cold, nutrient-rich waters up onto the continental 82 shelf, fueling highly productive coastal ecosystems. However, these upwelled waters also 83 have relatively low pH and low oxygen concentrations, and thus introduce potentially 84 stressful conditions into coastal areas. Alternatively, regular exposure to low pH could 85 lead to higher rates of adaptation to changing ocean chemistry (Reum *et al.*, 2014). Regardless, changes to ocean chemistry have the potential to affect susceptible marine 86 87 organisms, particularly those that lay down a calcium carbonate shell (Kroeker et al., 2013; Wittmann & Pörtner, 2013). Calcifiers include species such as bivalves, 88 89 crustaceans, and echinoderms that are commercially and ecologically important; as such, 90 the direct and indirect effects of OA may be widespread throughout a food web (Kaplan 91 et al., 2010; Busch et al., 2013). We define direct effects as changes caused by exposure 92 to corrosive waters, while *indirect effects* are changes caused by interactions with species 93 that are directly affected (e.g., predators or prey of calcifiers).

94 Here, we focus on the California Current, an Eastern Boundary Current ecosystem where 95 the physical processes that combine upwelling with changing ocean chemistry have been 96 studied extensively (Feely et al., 2008; Gruber et al., 2012). Understanding cumulative 97 impacts of OA at the scale of an ecosystem like the California Current requires models 98 that can project direct and indirect effects. For example, end-to-end models (Plagányi, 99 2007; Travers et al., 2007; Rose et al., 2010) that incorporate processes like physics, 100 multi-species trophic effects, and fisheries can be used to simulate future projections of 101 ecosystem dynamics and to evaluate trade-offs among alternative fishery management 102 strategies (Fulton et al., 2014), while evaluating consequences of global change (Lehodey 103 et al., 2010), and coupling between oceanography and species dynamics (Fiechter et al., 104 2014). Atlantis (Fulton, 2004; Fulton *et al.*, 2011) is a spatially explicit end-to-end model 105 that includes physical oceanography, biogeochemistry, food web dynamics, and human 106 uses and impacts such as fishing and nutrient loading. The Atlantis framework has been 107 applied in simple ways to test impacts of ocean acidification in Australia (Griffith et al., 108 2011, 2012) and Guam (Weijerman *et al.*, 2015). Atlantis models have been developed 109 for the California Current (Brand et al., 2007; Horne et al., 2010) and applied to test 110 impacts of new and existing fisheries (Kaplan et al., 2013; Marshall et al., 2014) and alternative management strategies (Kaplan et al., 2012; Pacific Fishery Management 111

112 Council & NMFS, 2014), as well as coarse scale effects of ocean acidification (Kaplan *et al.*, 2010).

Building on these earlier Atlantis modeling efforts, we projected the cumulative effects of 114 future ocean acidification on the California Current ecosystem. First, we projected pH in 115 116 the California Current 50 years in the future (2063), using global earth systems models to force a regional ocean-climate model (dynamical downscaling). This regional model 117 118 provided the physical conditions that underpinned Atlantis simulations of marine food 119 web interactions and US West Coast fisheries dynamics. We lowered survival of 120 functional groups sensitive to changing pH, based on a meta-analysis of experimental studies. We then ran Atlantis scenarios that simulated: 1) the direct effects of a 0.2 unit 121 122 change in pH by 2063 on biomass of organisms sensitive to acidification; 2) the indirect 123 effects of changing pH on the rest of the food web; and 3) the resulting effects on 124 fisheries landings and revenues.

125 Materials and Methods

126 Atlantis is a spatially explicit modeling platform (Fulton, 2004) that couples three sub-127 models: 1) an oceanographic sub-model, 2) an ecology sub-model, and 3) a human sub-128 model (Fig. 1). We focused on the first two components for this application and used a 129 simple representation of fishing for the third component. Below we summarize the three 130 sub-models, how we parameterized pH sensitivity, and the scenarios we developed to 131 investigate the effects of changing pH on the California Current ecosystem. Our current 132 application of the California Current Atlantis model builds on and updates earlier 133 versions (Brand et al., 2007; Horne et al., 2010; Kaplan et al., 2012). Additional details 134 are available in the online Supporting Information (S1).

135 Model domain

136 Our model domain covers 1.475 million km^2 , with 92,000 km^2 on the continental shelf

137 (0-200 m), 127,500 km² on the continental slope (200-1200 m), and 1.12 million km² in

138 pelagic waters offshore of the 1200-m isobath. The model domain covers the extent of

the California Current, from its origin where the North Pacific Current meets the coast of

140 North America at the north end of Vancouver Island (Checkley & Barth, 2009) south to

141 Punta Eugenia, Baja California, Mexico (Fig. 2). The domain is divided into 2-142 dimensional polygons. Polygon boundaries parallel to the coast (running North-South, 143 approximately) follow the bathymetry of the 50-m, 100-m, 200-m, 550-m, and 1200-m 144 isobaths, and the 200-nautical mile (370-km) limit of the Exclusive Economic Zone 145 (EEZ). Polygon boundaries perpendicular to the coast (running East-West, approximately 146 were based on a compromise between biogeography, fishery management and catch 147 reporting areas, and areas utilized by particular fleets and fisheries. Three-dimensional model cells are created by adding depth layers in vertical dimension, which were set 148 149 using the same interval as isobaths listed above. The offshore pelagic polygons, which 150 extend from the 1200-m isobath to the EEZ limit, are assumed to be 2400 m deep (with 151 an open boundary representing deeper waters lying underneath and along the western 152 boundary of this upper ocean slab). We refer readers to the online Supporting Information 153 (S1) for further description and justification of the model domain.

- 154
- 155

156 Oceanographic Sub-model: Regional Ocean Modeling System (ROMS)

157 To capture the local effects of global change between years 2011-2020 and 2061-2070, 158 we coupled a high resolution Regional Ocean Modeling System (ROMS, version 3.7, 159 (Moore *et al.*, 2011)) to global circulation models and Intergovernmental Panel on 160 Climate Change (IPCC) CO₂ scenarios. The results capture effects of global change on 161 pH, temperature, nutrients, and oxygen on an ecologically relevant spatial scale. We 162 discuss this briefly below, and in detail in the Supporting Information (S1, Figs. S1.2-163 S1.5).

To represent global climate, we used output from the GFDL ESM2M earth system model 164 165 (Dunne et al., 2012, 2013) driven under IPCC climate scenario RCP8.5, which assumes 166 continuation of present emissions trajectories (Moss et al., 2010). ESM2M includes an 167 embedded global biogeochemical/NPZ model (TOPAZ, Stock et al., 2014). We used 168 ESM2M results to generate the initial and boundary conditions for ROMS. ROMS is 169 well-suited to resolve small-scale coastal phenomena, and has been successfully applied 170 in a wide range of regional studies worldwide (Haidvogel et al., 2008). The ROMS

171 spatial domain was chosen to encompass the domain of the Atlantis model with ~10-km 172 grid spacing. We included tidal forcing, and applied atmospheric forcing from the 173 ESM2M projections using bulk formulae (Fairall et al., 1996, 2003). We used a regional 174 nutrient-phytoplankton-zooplankton (NPZ) model coupled within the ROMS code, which 175 is based on that of Fennel et al. (Fennel et al., 2006, 2008) and includes carbonate 176 dynamics. Initial and boundary conditions for the NPZ variables were supplied from the 177 global TOPAZ output. The primary output of this coupled ESM2M-ROMS system is a 3dimensional gridded field of time series of temperature, salinity, water flux (currents), 178 179 and pH (derived from the carbonate output) in the California Current. We interpolated 180 these four variables onto the Atlantis spatial domain, and forced their values within the 181 Atlantis simulations described below (see S1 for detailed description). We note that 182 future climate scenarios did not include changes to primary productivity due to increased atmospheric carbon dioxide. 183

184 Ecology Sub-model

185 Our ecology sub-model represents the food web of the California Current, simulating 186 population dynamics, movement, and ecological interactions of 75 different functional 187 groups. The functional groups, data sources, and core biological and ecological 188 parameters and functional forms are detailed in the Supporting Information (S1, Part II). 189 Generally, functional groups are aggregates of species with similar life histories, habitats, 190 and diets, with finer taxonomic resolution for harvested fish or species of conservation 191 concern, and coarser aggregation of many invertebrate groups. This model updates and 192 improves on data sources used in an earlier version of the California Current Atlantis 193 model (Horne et al., 2010), and functional groups were added to allow for better 194 representation of processes related to ocean acidification. In particular, groups added or 195 given finer taxonomic resolution in the present model were three coral taxa (stony corals, 196 soft corals, and black corals), Dungeness crab (*Metacarcinus magister*), pteropods, 197 coccolithophores, and market squid (*Doryteuthis opalescens*). In total the model includes 198 25 benthic and planktonic invertebrate groups, five primary producer groups, 36 fish 199 groups, 10 marine mammal groups, two bird groups, and two detritus groups. 200 Invertebrates and primary producers are represented as simple dynamic biomass pools 201 per 3-dimensional model cell, while vertebrate age and size structure are modeled

202 explicitly and vary through time. Results below are presented both as functional groups

and also in simplified, aggregated guilds such as 'Sharks' or 'Demersal Fish'.

204 Recruitment of vertebrates (the production of juveniles) is based on the total abundance

of adults, and recruits in the model are distributed spatially proportional to adult

abundance. Recruitment of fish follows Beverton-Holt stock-recruitment dynamics

207 (Beverton & Holt, 2012). When stock assessments were available, initial parameter

208 estimates for Beverton-Holt parameters were calculated based on estimates of steepness

209 (slope of the stock-recruit curve at the origin), unfished recruitment, and unfished

210 spawning biomass. Recruitment of marine mammals, sharks, and birds were based on

estimates of a fixed number of offspring per adult per year.

212 We parameterized diets by drawing from multiple sources. We largely drew from the

213 database compiled by Dufault et al. (2009), but updated these estimates to reflect the new

functional group structure of the model and also to incorporate new literature sources,

including many contributed by Szoboszlai et al. (2015). Those additions are noted in the

216 Supporting Information (S1, Part II).

217 Human sub-model: Fishing mortality

As noted above, the representation of human activities (other than anthropogenically-

219 driven climate change) in these simulations is restricted to fishing, and this

220 representation is quite simple. We assembled catch information for all Atlantis functional

groups, summing total catches across US, Canada, and Mexico (Figs. S1.8 and S1.9). We

did not model specific fleets, but instead implemented a fixed fishing mortality rate (yr^{-1}) .

223 This fishing mortality gives a catch for the initial year of the simulation (2013) equal to

summed 2013 US, Canadian, and Mexican catches. Fishing mortality rates were

distributed evenly in space and we did not include marine protected areas or other forms

of spatial management. Because fishing removes a fixed proportion of a species in each

227 year, catches are proportional to biomass throughout these simple simulations.

228 Parameterizing pH sensitivity and response to changing pH

229 Our scenarios for pH impacts on invertebrate survival derive from a study by Busch and

230 McElhany (2016), which synthesized 393 papers reporting temperate species sensitivity

231 to changes in seawater carbon chemistry. In that manuscript, summary understanding of 232 the relative sensitivity of functional groups in the California Current ecosystem was 233 based on how well published studies related to functional groups in and pH conditions of 234 the California Current ecosystem, experimental design and quality, and the type of 235 variables measured to characterize sensitivity. Busch and McElhany (2016) provided 236 "relative survival scalars", which were derived from qualitative scoring of three factors: 237 direction of pH effect recorded in each study, total amount of evidence available for the 238 functional group, and the level of agreement among the studies with respect to the functional group's response. These qualitative scores were scaled relative to the most 239 240 sensitive functional group's score to arrive at a relative survival scalar that describes the 241 relationship between survival and pH (Table 1). In this study, we focused on functional 242 groups that showed the strongest direct negative effects of pH on survival (primarily 243 calcifiers). This is consistent with previous global efforts to synthesize effects of 244 acidification, namely strong negative impacts on calcifiers such as corals and mollusks, 245 but a broader range of detectable impacts on other invertebrates (Kroeker et al., 2013, 246 2010; Wittmann and Pörtner, 2013).

247

The relative survival scalars in Busch and McElhany (2016) represent our best
understanding of acidification impacts in the California Current. We translated these
relative values into quantitative effects on mortality that we implemented in the Atlantis
model. Specifically, we defined mortality due to pH effects for each functional group *f* in
Atlantis polygon *p* at time-step *t* as:

253 (1)
$$M_{fpt}^{pH} = (8.0 - pH_{pt}) \times -0.1 * S_f$$

where M_{fpt}^{pH} is in units of yr ⁻¹, pH is specified by Atlantis spatial polygon and time-step, and S_t is the relative survival scalar for each functional group (Table 1). A pH of 8.0 was chosen because average pH in the top 200 m of the water column for ROMS year 2013 was ~7.95. If pH_{pt} was greater than 8.0, M_{fpt}^{pH} was set to zero. As an example, this parameterization implies that for the most sensitive functional group (Benthic Herbivorous Grazers, containing species such as non-nearshore sea urchins), a decrease

- in pH from 8.0 to 7.0 increased their mortality rate by 0.1 yr⁻¹. This induced mortality rate
- 261 is twice the maximum predation mortality rate on Benthic Herbivorous grazers during the
- 262 100 year baseline simulation, without ocean acidification impacts (0.047). We scaled S_f
- by 0.1 after testing a range of values from 0.1 to 1 (described below).
- 264
- 265 Ocean Acidification Scenarios

266 We simulated direct effects of acidification on the ten functional groups with the 267 strongest negative ocean acidification responses, based on Busch and McElhany (2016). We grouped these ten functional groups into four scenarios: Benthic Calcifiers, Non-268 269 Calcifying Benthos, Copepods and Pteropods, and Crabs and Shrimps (Table 1). Note 270 that scenario names are capitalized to differentiate them from functional group names. 271 For each scenario, we tested the effects of 2063 pH and oceanographic conditions and pH sensitivity on the functional groups within that scenario. We also tested an additional 272 273 scenario where all groups in Table 1 were simultaneously sensitive to pH (referred to as 274 the "Cumulative" scenario below).

275 All scenarios ran for 100 simulated years, with initial biological conditions set to year 2013, and constant fishing mortality at 2013 rates (units of yr⁻¹), but with the ROMS 276 277 oceanography (including pH) for a single year looped 100 times. We ran two baseline 278 simulations with no OA sensitivity, one using 2013 ROMS oceanography and one using 279 2063 ROMS oceanography. Runs with OA sensitivity used 2063 ROMS oceanography. 280 We used a single year of ROMS oceanography for two reasons: 1) creating a 50 year 281 downscaled hydrodynamic model was too computationally intensive, and 2) using a 282 single year of ROMS oceanography controlled for interannual variability in ocean 283 conditions and allowed us to isolate the effects of ocean acidification from other potential 284 drivers of change. We note that 2063 ROMS oceanography included increases in 285 temperature as well as decreased pH, and we isolated the effects of changing pH using 286 the baseline runs (see *Metrics of pH effects* below).

To test model sensitivity to our quantitative interpretation of the rankings from Busch and McElhany (2016), we repeated all 5 scenarios but with 2.5, 5, and 10x stronger increases in mortality per 1 unit decline in pH. The most extreme case (10x) would increase

mortality rates for Benthic Herbivorous Grazers by 1.0 yr⁻¹ if pH fell from 8.0 to 7.0, and
proportionally smaller mortality increases among the other groups, based on the relative
survival scalars (Table 1).

293

294 *Metric of pH effect*

Recognizing that multiple factors in the physical environment change when projecting
future oceanography, we wanted to isolate the effects of changing pH. We developed a
metric that standardizes the pH sensitivity of functional groups within a scenario (Table
1) while accounting for changes in circulation and other food web effects. We define the
relative effect (*E*) of changing pH on biomass (*B*) for each functional group as:

$$300 \quad (2) \qquad \qquad E = \frac{B_{test} - B_{2063Baseline}}{B_{2013Baseline}}$$

where B_{test} is the biomass of a group in a given scenario, $B_{2063Baseline}$ is the biomass for 301 302 the group in the baseline model with future circulation, and $B_{2013Baseline}$ is the biomass for 303 the group in the baseline model with present circulation. For each simulation, we used the 304 mean biomass of the final 10 years of a 100-year run for B. This metric represents the 305 marginal effect of ocean acidification over 50 years, subtracting out any effect of a 306 change in temperature, and translates that change into the context of the ecosystem that 307 most closely matches current data (2013). We calculated a second metric that 308 standardizes by 2063 rather than 2013 baseline biomass, which represents the percent 309 change in biomass due to changes in pH. Those results were qualitatively similar and we 310 refer readers to the Supporting Information (S4) for comparison.

While it is difficult to determine what constitutes a non-zero effect, we follow the 311 312 conventions of similar ecosystem modeling exercises (Smith et al., 2011; Kaplan et al., 313 2013; Marshall *et al.*, 2014), focusing on |E| > 0.20. The intended use of this model is to inform strategic (e.g., big picture, contextual, goal-setting, risk assessment), rather than 314 315 tactical (e.g., specific actions over short time scales) management questions (FAO 2008). 316 Therefore, we focus on large magnitude effects that are likely to be readily detectable 317 should they occur in real world observational data. Below, we present pH effects in two 318 ways: 1) at the scale of the ecosystem, grouping functional groups into guilds, and 2) by

319 functional group. Guild-level pH effects were calculated as biomass-weighted means of 320 the pH effects of the functional groups within the guild. Guild membership is defined in 321 Table S1.2 in the Supporting Information.

pH effects on fishery revenue 322

323 We translated the effects of pH on biomass (E in Eq. 2) to revenue using 2013 landings 324 and revenue data (PacFIN 2013). Because fishing mortality was fixed at 2013 rates in our 325 simulations, the effect of pH on fisheries catches is equivalent to the pH effect on 326 biomass. Within the US fishery management system, individual species (and therefore 327 Atlantis functional groups) fall within distinct management units, governed by one of 328 several federal Fishery Management Plans (FMPs) or by state plans (deReynier, 329 2014). We grouped functional groups into management units and projected the effects of 330 changing pH on revenue at that scale. We show results for the following units: State (including all crabs and shrimps, nearshore urchins, bivalves), Groundfish (all 331 332 commercially landed groundfish except Pacific Hake), Pacific Hake, and Coastal Pelagic 333 Fish (sardine, anchovy, mackerels). We calculated the pH effect on revenue (U) for each 334 management unit k as a weighted average of its component functional group effects (\underline{E}_i) such that: 335

$$U_k = \frac{\sum E_j * R_{j\,2013}}{\sum R_{j\,2013}}$$

336 Where the weight R_i is the 2013 revenue for functional group *j*, and revenue was 337 calculated as the product of U.S. price per metric ton and expected 2013 catch for each 338 functional group. Additional details on reconstructing 2013 catch are provided in the Supporting Information (S1, Part II). 339

340 *Calibrating the ecology sub-model*

341 Following guidelines developed for an earlier version of the California Current Atlantis

342 model (Horne et al., 2010), we calibrated the model in two phases: initial simulations

343 with no fishing; and secondary calibrations testing constant fishing pressure. Atlantis

- 344 explicitly models numbers-at-age (or number-per-cohort), and dynamic weight-at-age,
- 345 and we evaluated these model outputs following a Pattern Oriented Modeling approach

346 (Grimm et al., 2005). Specifically, we evaluated Atlantis outputs of biomass, spatial 347 distributions, age structure, and weight-at-age that resulted from the simulations without 348 fishing and those with constant fishing pressure. The POM approach and iterative 349 calibration leads to refinement of both model processes and parameterization, as these 350 outputs are compared to observations at multiple spatial and temporal scales. Secondary 351 calibration tested a range of fixed levels of fishing mortality applied simultaneously to all 352 groups. As a rule of thumb we expected that F_{MSY} might fall within 0.5-0.8 of natural mortality rates (Patterson, 1992; Patterson et al., 2001; Walters & Martell, 2002). 353

354

355 Results

356 *ROMS forced with ESM2M*

357 Our ROMS projection of future ocean conditions (year 2063) suggests marked declines in pH relative to present day simulations (year 2013). In the top 100 m of the water column, 358 359 mean August pH in the ROMS model declines from 7.96 in the present day to 7.77 in the 360 future (Fig. 3); this is equal to the ~ 0.2 unit drop in pH predicted by the coarser ESM2M 361 model (S1, Fig. S1.2), and represents a 55% increase in acidity (e.g., 55% change in 362 $[H^+]$). As expected, the late summer period depicted in Fig. 3 has the lowest pH of the 363 year, in response to the preceding spring-summer upwelling period that characterizes the 364 California Current. ROMS effectively represents the present day spatial pattern we expect 365 for the system as well, with summertime reductions in pH along the coast, and a shoaling 366 of pH clines near shore (S1, Fig. S1.4). Compared to ESM2M, the spatially downscaled 367 ROMS yields much finer predictions of spatial patchiness of future acidification; for 368 example, some of the lowest pH values are predicted to occur very close to shore at depth 369 (Fig. 3d), and the northern continental shelf is predicted to experience slightly greater pH 370 than in regions south of 40°N latitude (Fig. 3c, d). This spatial resolution is critical for 371 driving the future pH responses of biological groups within each Atlantis polygon. In 372 addition, ROMS predicts warmer conditions in 2063 relative to 2013; the annual mean 373 temperature increase in the upper 100 m of the water column is 0.9°C.

374

375 *Calibrating the ecology sub-model*

376 Initial simulations with no fishing resulted in 86% of functional groups persisting for 377 more than 50 years (S1, Tables S1.1 and S1.2), with quasi-stable population dynamics for 378 most groups after approximately year 50. Similar to other simulation modeling efforts 379 (Gaichas et al., 2012; Thorpe et al., 2015), we found that ensuring persistence of all 380 functional groups was not trivial, and we were forced to accept a parameterization that 381 allowed 11 functional groups to decline to low levels in base case simulations (without 382 ocean acidification). This is largely a reflection of the fact that Atlantis's feedback 383 structure complicates optimization and finding ecologically feasible parameter 384 combinations is difficult given the need for a human step in the process (to check for 385 nonsensical outcomes). This iterative, manually intensive, searching of a large parameter 386 space is particularly difficult when combined with the relatively long run times for this 387 model. To provide some context, in a similar food web model, Gaichas et al. (2012) found that randomly drawn predator-prey interaction terms led to persistence of all 388 389 species in less than 1 in 400 cases. As a suitable parameter combination could not be 390 found at this time, these functional groups were excluded from the analysis: arrowtooth 391 flounder, pelagic sharks, sea stars and brittle stars, pandalid shrimp, grenadiers, large 392 phytoplankton, market squid, Pacific Ocean perch, large pelagic predators, Chinook 393 salmon, and large demersal predators. The excluded species comprise <2% of vertebrate 394 biomass and ~1% of heterotroph (consumer) biomass. While the excluded species 395 represent 28% of the revenue generated in 2013, they do not include the top two fished 396 species by 2013 landings (Pacific sardine and Pacific Hake). For persisting species, we 397 obtained sensible age structures and weight-at-age within tolerance; e.g., within ±50% of 398 initial values and ideally within $\pm 20\%$ (Horne *et al.*, 2010).

Secondary calibration suggested fishing rates at maximum sustainable yields (F_{MSY}) near 0.05-0.1 for most groundfish stocks, with higher values (>0.2) for most pelagic species (Fig. S2). This was consistent with expectations that demersal stocks have lower productivity, as evidenced by lower estimates of natural mortality (Fig. S2). We also found that most groups targeted by commercial fishing were able to sustain fishing mortality held at 2013 levels. Two functional groups, petrale sole and shallow small rockfish, persisted in unfished simulations and were included in our analyses of outputs, 406 but showed strong biomass declines under relatively moderate fishing (see Fig. S2).

407 Therefore, interpretation of results regarding these groups should be cautious.

408 *Effects of future pH on biomass*

Applying 2063 pH projections within 100-year Atlantis simulations caused a wide range 409 410 of effects across the ecosystem, and most of these effects were negative (Fig. 4). In 411 general, there were few strong guild-level responses to decreasing pH (Fig. 4a). Only one 412 guild-level pH effect was stronger than the |E| > 0.2 threshold (Epibenthos in the 413 Calcifying Benthos scenario). Overall, the functional groups in the Demersal Fish and 414 Epibenthos guilds exhibited the highest variability, with some groups experiencing a 415 strong pH effect and others little to no effect (Fig. 4b). Several guilds (Mammals, 416 Seabirds, Pelagic Fish, Squid, and Primary Producers) were insensitive (|E| < 0.2 for all

417 functional groups) across all the scenarios.

418 In contrast to the few guild-level effects of changing pH, many individual functional

419 groups responded strongly in each scenario (Fig. 5). The scenarios directed at Calcifying

420 Benthos, Non-Calcifying Benthos, and Crabs and Shrimps each had five functional

421 groups with |E| > 0.2. In contrast, only one functional group (microzooplankton)

422 responded strongly to the scenario directed at Copepods and Pteropods. The Cumulative

423 scenario, which applied future conditions and pH sensitivity to all 10 functional groups in

424 Table 1, led to strong responses in 16 functional groups (Fig. 5).

Sensitivity to pH in the Calcifying Benthos scenario led to strong direct effects of future
pH on benthic grazers and bivalves, but no direct effects on shallow benthic filter feeders
(Fig. 5). Declines in benthic grazers and bivalves led to declines for three of their
predators: cowcod, deep large rockfish, and Dungeness crab. All negative effects
observed in Calcifying Benthos scenario were retained when pH sensitivity was added to
other groups in the Cumulative scenario.

431 Applying pH sensitivity and future climate conditions in the Crabs and Shrimps scenario

432 resulted in strong direct effects on the general crab group and crangon shrimp, but not

433 Dungeness crab (Fig. 5). Strongly negative indirect effects occurred on petrale sole,

434 shallow small rockfish, and skates and rays, all of which depend heavily on crangon

shrimp in their model diets. All of the negative effects observed in the Crabs and Shrimp
scenario were also present in the Cumulative scenario, and strong negative effects on
Dungeness crab emerged in the Cumulative scenario.

The Non-Calcifying Benthos scenario resulted in strong direct negative effects in both 438 sensitive functional groups: benthic detritivores and benthic carnivores (Fig. 5). Petrale 439 440 sole, small demersal sharks, and Dover sole experienced strong indirect negative effects 441 in this scenario even though none of these species prevs directly on the pH-sensitive 442 groups. It is likely these indirect effects were modulated through a shared prey group 443 (e.g., crangon shrimp) that was weakly affected by declines in benthic detritivores and 444 benthic carnivores. All negative effects in the Non-Calcifying Benthos scenario were also 445 present in the Cumulative scenario, except benthic carnivores were only weakly affected (E < |0.2|) when all species were sensitive to pH. 446

447 The Copepods and Pteropods scenario resulted in no strong responses by either of the

directly affected groups: copepods and pteropods declined by 2 and 5 %, respectively.

However, copepods are a very abundant group (estimated at nearly 20 million metric tons

450 for our large model domain, approximately 28 percent of the total biomass of

451 zooplankton; S1, Table S1.1), and this is a substantial shift in absolute terms.

452 Microzooplankton, a prey group for both copepods and pteropods, responded strongly in

the positive direction to the negative effects on its predators (Fig. 5). This indirect effect

454 was also seen in the Cumulative scenario.

455 The Cumulative scenario produced more strong responses than the preceding scenarios, 456 primarily negative responses by Demersal Fishes and Epibenthos (Fig. 4). It also resulted 457 in two strong indirect effects not observed in any of the other scenarios (Fig. 5). Deep 458 small rockfish, which prey upon many of the pH-sensitive groups, responded negatively 459 (E = -0.40) when all of the sensitive groups declined with pH. The other new indirect 460 response was by nearshore urchins, which had a strong positive response (E = 0.68). 461 Nearshore urchins are fed upon by many of the fish groups that experienced indirect 462 negative effects. Moreover, nearshore urchins were assumed not to be directly sensitive 463 to ocean acidification in our model; the meta-analysis of Busch and McElhany (2016)

464 suggests that these commercially harvested nearshore urchins are less susceptible to
465 acidification than our benthic herbivorous grazers group, which includes deeper-dwelling
466 urchin species, although fewer experimental studies have targeted the nearshore urchin
467 species (Busch and McElhany 2016).

468 *Effects of future pH on catch and revenue in the Cumulative scenario*

469 Our simplistic representation of fishing and revenue projected that most fishery 470 management units we explored were negatively affected by future pH in the Cumulative scenario (Table 2). Proportional effects of pH on catch and biomass at the functional 471 472 group level are equal because we parameterized fishing using fixed fishing mortality rates 473 for each functional group. The State-managed unit had the largest projected pH effect on 474 revenue (U = -0.29), driven by the strong negative indirect effect of pH on Dungeness 475 crab, which supports a valuable fishery (200 million USD in 2013). Other crabs and 476 bivalves were negatively affected by future pH, but the 2013 revenue from those fisheries 477 is an order of magnitude lower than the Dungeness crab fishery. The urchin fishery 478 targets the nearshore urchin group, which was the only harvested functional group that 479 was projected to increase under future pH conditions.

480

The Groundfish management unit (excluding Pacific hake) did not experience a substantial pH effect on revenue (U=-0.18). This group of species generated \$88 million in revenue in 2013. While this decline was not dramatic (|U| < 0.20) for the total revenue from species managed under the groundfish FMP, our model projects more substantial declines for particular commercially important groundfish such as petrale sole, Dover sole, and deep large rockfish (mostly shortspine thornyhead, *Sebastolobus alascanus*).

487 The remaining management units did not show strong effects of changing pH (|U| < 0.2).

488 The model projected a very small pH effect (U = -0.038) on Hake fishery revenue, in a

489 fishery generating 76 million USD of revenue in 2013. For species managed collectively

490 under the Coastal Pelagic Species FMP, the pH effect was less than 0.01. We do not

show results for Salmon or Highly Migratory Species FMPs due to the poor performance

492 of those species in the model (Chinook and large pelagic predators were removed due to493 low biomass in baseline runs).

494 Sensitivity of pH effects to strength of the pH response

As would be expected *a priori*, the effects of future pH at the guild-level were sensitive to the magnitude of the mortality scalar. The magnitude of positive and negative effects increased as the scalar increased to 2.5x to 10x our base case values (Fig. S3). However, the directions of pH effects at the guild and functional group levels were consistent regardless of the mortality scalar, as was the set of functional groups and guilds identified as likely impacted by pH effects.

501 Discussion

502 Interpretation of Atlantis results

503 Global change is projected to have pronounced impacts on marine ecosystems and

resources in temperate zones. The climate change scenario applied here, IPCC scenario

505 RCP 8.5, will have high impacts on mid-latitude bivalve fisheries and aquaculture, and

506 high to moderate impacts on mid-latitude finfish fisheries, according to a growing body

507 of research (Barange *et al.*, 2014; Gattuso *et al.*, 2015). In fact, many symptoms of global

508 change are already being observed in the California Current ecosystem, including

warming, shifts in community structure and phenology (Thorson *et al.*, 2016), and major

510 hypoxic events (reviewed in Doney *et al.*, 2012). There is already evidence of ocean

511 acidification impacts: episodic year-class failures of Pacific oysters (*Crassostrea gigas*)

along the US West Coast have been attributed to OA (Barton *et al.*, 2012), and Bednaršek

and Ohman (2015) have documented OA-driven shell dissolution in the pteropod

514 *Limacina helicina* in the southern California Current.

515 Our modeling effort, which synthesized downscaled climate models, experimental and

516 empirical studies, and a well-established end-to-end marine ecosystem modeling

517 framework, provides a broad overview of potential ecosystem-scale effects of OA in the

518 California Current. We saw wide-ranging effects across multiple guilds and functional

519 groups, but more losers than winners in terms of biomass and lost fishery revenue. Model

520 predictions suggest the most dramatic effects of future pH decreases will be felt by

demersal fish, sharks, and epibenthic invertebrates, and less so by pelagic species, marine
mammals and seabirds. This was likely driven by the stronger responses of sensitive
benthic organisms to changing pH, compared to relatively weak responses of sensitive
zooplankton groups. Our approach demonstrated that combining multiple scenarios into a
single, cumulative scenario can lead to unanticipated, indirect responses by groups that
were relatively insensitive in simpler scenarios.

. .

527 The value of taking both a population and systems approach is further evident when 528 comparing our preliminary ranking of direct pH responses (Table 1) to the cumulative 529 impacts projected by the Atlantis model (Fig. 4 and 5). Although experimental and 530 empirical literature suggests that copepods, shallow benthic filter feeders, and Dungeness 531 crabs may be sensitive to OA (Busch and McElhany, 2016), none of them responded 532 strongly in scenarios where they were directly affected by pH. All three functional groups 533 exhibited relatively high productivity, which prevented substantial declines in their 534 biomass due to direct effects. Indirect effects were responsible for declines in Dungeness 535 crab, a major fishery target. We only observed a strong pH effect on Dungeness crab 536 when their prey declined due to OA (Calcifying Benthos scenario), but not due to direct 537 pH-driven mortality (Crabs and Shrimp scenario). This insight highlights the utility of 538 end-to-end models for comparing multiple scenarios (Busch et al., 2013), as well as the 539 ability of the Atlantis model to integrate over direct effects, indirect trophic effects, and 540 population-level productivity.

From a fishery management perspective, economic impacts in the California Current may 541 542 stem from indirect ocean acidification effects on high-value target species such as 543 Dungeness crab and groundfish. Aggregating pH effects on revenue to units relevant to 544 management bodies allows us to identify particular management bodies, and teams within 545 those bodies, that may benefit from monitoring of OA effects (e.g., nearshore state-546 managed fisheries, or the groundfish management team with the Pacific Council). This 547 kind of strategic targeting of advice may help prioritize efforts, rather than making a 548 blanket statement that managers should be concerned about OA, for example. Previous 549 research has focused on global economic and nutritional losses due to projected direct 550 ocean acidification effects on shellfish (Cooley et al., 2012), but our work suggests

careful consideration must also be given to the supporting role that shellfish and other
species play in the food web. At a minimum, economic impact assessments should
include fisheries that target species dependent on calcifying prey (e.g., Cooley & Doney,
2009). However, our model results do not take into account potential shifts in effort
across fisheries that may occur as a result of reduced catches of one species/management
unit (e.g., potential tradeoffs between crab and groundfish).

557

111

558 Other investigations of future change in the California Current provide more context for 559 interpreting our results. Using an earlier version of the California Current Atlantis model, 560 Kaplan et al. (2010) forced moderate, acidification-driven declines on benthic 561 invertebrates, which caused declines in flatfish, small demersal sharks, and skates and 562 rays. These projected declines were consistent with ours, even though the meta-analysis 563 of Busch and McElhany (2016) that informed the current analysis suggested that seastars 564 and brittlestars are less sensitive to acidification than was assumed by Kaplan et al. 565 (2010). Kaplan et al. (2010) also found indirect effects leading to increased canary 566 rockfish and the shallow miscellaneous fish group. These increases were not supported 567 by our analysis because we changed the functional group composition to better represent 568 groups susceptible to OA, which resulted in changes to the diet parameterization in these 569 groups. In a food web model with much simpler spatial structure and no oceanographic 570 forcing, Ainsworth et al. (2011) found very limited system-level effects of changing pH 571 on the northern portion of the California Current. In contrast to our results, cumulative 572 impacts of multiple stressors in their study were greater for the pelagic than demersal 573 components of the food web; this is likely because Ainsworth et al. (2011) assumed that 574 euphausiids were also sensitive to OA, which was not robustly supported by Busch and 575 McElhany (2016).

Ocean acidification is not the only global change stressor affecting this ecosystem. King
et al. (2011) used conceptual models to forecast the effects of climate change on the
California Current, and focused primarily on changes in temperature, dissolved oxygen
and precipitation. They predicted that many demersal fish (such as Dover sole, sablefish,
and rockfish) may be buffered against ocean warming because they can move north or

581 into deeper water temperature refuges. They did not consider ocean acidification, 582 however, and our results suggest that OA may create vulnerability for demersal fish, even 583 relatively mobile ones, through erosion of their prey base. King et al. (2011) also 584 forecasted that warmer waters may support larger sardine populations, and since our 585 Atlantis results did not indicate indirect sensitivities to OA in the pelagic fish guild, this 586 may be an opportunity for a global change "winner" when combining the effects of 587 temperature and OA. Overall, further consideration of the joint effects of temperature, 588 ocean acidification and hypoxia are warranted within the California Current. King et al. 589 (2011) noted that some demersal fishes, such as Dover sole, may be able to take 590 advantage of expanding hypoxic zones on the continental shelf. Global modeling by 591 Barange et al. (2014) that includes temperature effects but omits acidification suggests 592 that some mid to high-latitude fisheries may benefit in the future, and that primary 593 production may increase in the northern portion of the California Current. Stressors like 594 OA, warming temperatures and low dissolved oxygen will likely co-occur and interact 595 (Reum et al., 2014), and future Atlantis scenarios that attempt to address these combined 596 stressors will have to rely on experimental studies that examine their cumulative effects 597 on key species.

598 Uncertainty, caveats, and gaps

599 Projections of living marine resources under global change contain uncertainty stemming 600 from three sources: internal variability, model uncertainty (including parameter and 601 structural uncertainty), and scenario uncertainty (Cheung et al., 2016). We controlled for 602 some of the effects of internal variability (e.g. interannual climate variation, interdecadal 603 climate regime shifts) by forcing Atlantis with a single representative year of 604 oceanography and biogeochemistry (2013 or 2063), and accounted for interannual effects 605 of ecological dynamics by averaging over the final 10 years of each model run. 606 Model parameter uncertainty is harder to address: large numbers of input parameters 607 within models such as Atlantis preclude some formal quantification methods such as 608 Bayesian or Monte Carlo approaches (Cheung et al. 2016). The computational expense 609 and long run-times of Atlantis model simulations present an additional challenge. In the 610 future, parameter uncertainty may be addressed by bounded parameterizations (Fulton et 611 al., 2011) that bracket stock productivities, i.e. conducting simulations with a base model

612 but also testing at least two alternate, realistic parameter sets that result in higher or lower 613 productivity for the ecosystem or species of interest. This approach that has been applied 614 for an earlier version of the California Current Atlantis model (Pacific Fishery 615 Management Council & NMFS, 2014). Uncertainties stemming from model structure can 616 be understood via model inter-comparisons and skill assessment (Payne et al., 2015). 617 Scenario uncertainty is likely to be the most important factor for long term projections 618 (Hawkins & Sutton, 2009) beyond the 2060s; we agree with Cheung et al. (2016) that this 619 is best captured using scenarios for not only emissions and climate but also fishery 620 development and management. Though our scenarios here assume simple fixed fishing 621 mortalities, global efforts (Merino et al., 2010; Msangi et al., 2013) and nascent local 622 efforts (Kaplan et al., 2012) to develop meaningful social-economic scenarios offer 623 promise in this respect.

624 Ecosystem model outcomes are fundamentally affected by modelers' assumptions and 625 decisions about input data, parameter development, and model structure (Link et al., 626 2012). An assumption that is central to the present study is our parameterization of 627 sensitivity to pH. Despite a large body of experimental work (e.g., Busch and McElhany, 628 2016), the sensitivities to pH for functional groups in our model are known with very 629 little precision. We expect the ranking of pH sensitivity to be generally robust, but the 630 scale of that sensitivity is hard to quantify in part because we are translating effects on 631 individuals under controlled experimental conditions to functional group-level effects in 632 the model. Further, we modeled pH sensitivity as reduced survival because that is the 633 most straightforward to implement and an approach similar to others' (Kaplan et al., 634 2010; Griffith et al., 2011; Weijerman et al., 2015). However, meta-analyses suggest the 635 physiological effects of changing pH may also involve reproduction, growth, or 636 behavioral changes (Kroeker et al., 2010; Wittmann & Pörtner, 2013). Modifying 637 mortality rates as we have done here may be an appropriate proxy in some cases but may 638 over or under-represent the effect of changing pH on some organisms. Using mortality 639 rates to capture pH sensitivity has another limitation in our Atlantis model; we are unable 640 to project direct effects of OA that are positive (e.g., for seagrasses), which limits the 641 scope of our forecasts. However, we can, and did, project some positive indirect effects 642 of future pH. Lastly, our results reflect the way we chose to model the process of ocean

643 acidification—by projecting pH, not aragonite or some other calcium carbonate

saturation state. Alternate ways of modeling OA may lead to different conclusions aboutecosystem and fishery effects.

Our California Current Atlantis model does not capture the dynamics of all functional 646 groups in the ecosystem-for example pandalid shrimp, market squid, and tuna 647 648 functional groups do not persist in our baseline 100-year model simulation. Therefore, 649 we cannot infer potential effects of OA on these components of the food web (or the 650 fisheries that target these groups). Two of the modeled fish groups (Petrale sole and 651 shallow small rockfish) persist in the baseline simulation and demonstrate strong indirect 652 sensitivity to pH, but these simulated groups may be less productive in the model than 653 they are in reality, and we may therefore overestimate their sensitivity to OA. This low 654 productivity is evident from calibration tests for some groups that suggest lower 655 sustainable yields than what has been estimated by stock assessments (Fig. S2).

656 The caveats associated with ecosystem model projections are a good reminder of how 657 these results should be interpreted and used. Our findings about winners and losers in the 658 ecosystem, functional groups that are more or less sensitive to changing pH in the 659 California Current, and potential impacts to fisheries revenues provide a set of forecasts 660 that build upon previous findings and pave the way for hypotheses to guide future modeling and experimental analysis. For example, in this study we summarized the 661 662 effects of changing pH at a coast-wide scale. We found limited effects at the coarse guild-663 level but stronger effects when we looked at individual functional groups. Similarly, aggregating across space likely had a smoothing effect that obscured more dramatic and 664 665 meaningful changes in particular locations. Spatial scale is particularly important when 666 considering the way that fishing communities interact with ocean ecosystems - typically 667 with local knowledge and long histories of particular fishing grounds within reach of 668 home ports (St. Martin & Hall-Arber, 2008). Therefore, a key next step for this model is 669 to investigate how the effects of changing pH vary across space, and what that means for 670 place-based human communities, similar to a recent risk assessments for Alaskan fishing 671 regions (Mathis et al., 2015) and larger US regions (Ekstrom et al., 2015).

672 Implications for management

673 We can begin to understand cumulative impacts on large marine ecosystems by using 674 models that combine experimental studies, physical models, and food web models such 675 as the one presented here for the California Current. Describing and understanding effects 676 of global change on large marine ecosystems, including human communities, requires 677 integration of diverse methodologies and interdisciplinary teams (Hollowed *et al.*, 678 2013a). While this type of end-to-end model is not intended to make precise, tactical forecasts for fisheries management, it can be used to identify risks to marine ecosystem 679 680 structure and function (Hollowed et al., 2013b). Combined with information about the 681 adaptive capacity of human communities (Morzaria-Luna et al., 2014; Mathis et al., 682 2015), end-to-end models can inform risk assessments of human communities to global 683 change. Ocean acidification is occurring in the California Current, and our model 684 suggests the risks of changing pH are greatest for epibenthic invertebrates and demersal 685 fish, and the fisheries that target these groups. Models such as Atlantis can be used to 686 present broad alternatives for how ecosystems and populations may evolve under global 687 change, and highlight which resources are likely to be affected by these changes. Our 688 results provide strategic guidance to state and federal fisheries managers on the West 689 Coast of the U.S. that can be followed up with tactical simulation tests to identify robust 690 management strategies (Punt et al., 2013).

691 Acknowledgments

692 This work was funded by a grant "Vulnerability assessment of California Current

693 Food Webs and Economics to Ocean Acidification", from the NOAA Ocean

694 Acidification Program and the National Centers for Coastal Ocean Science. KNM was

supported by a fellowship from the National Research Council at the Northwest FisheriesScience Center.

697 References

698

Ainsworth CH, Samhouri JF, Busch DS, Cheung WW, Dunne J, Okey TA (2011) Potential
 impacts of climate change on Northeast Pacific marine foodwebs and fisheries.
 ICES Journal of Marine Science: Journal du Conseil, fsr043.

702	Barange M, Merino G, Blanchard JL et al. (2014) Impacts of climate change on marine
703	ecosystem production in societies dependent on fisheries. Nature Climate
704	Change, 4 , 211–216.
705	Barton A, Hales B, Waldbusser GG, Langdon C, Feely RA (2012) The Pacific oyster,
706	Crassostrea gigas, shows negative correlation to naturally elevated carbon
707	dioxide levels: Implications for near-term ocean acidification effects. Limnology
708	and Oceanography, 57 , 698–710.
709	Bednaršek N, Ohman MD (2015) Changes in pteropod distributions and shell dissolution
710	across a frontal system in the California Current System. Marine Ecology Progress
711	Series, 523 , 93.
712	Beverton RJ, Holt SJ (2012) On the dynamics of exploited fish populations, Vol. 11.
713	Springer Science & Business Media.
714	Brand EJ, Kaplan IC, Harvey CJ, Levin PS, Fulton EA, Hermann AJ, Field JC (2007) A
715	Spatially Explicit Ecosystem Model of the California Current's Food Web and
716	Oceanography. NOAA Technical Memorandum, NMFS-NWFSC-84.
717	Busch DS, Harvey CJ, McElhany P (2013) Potential impacts of ocean acidification on the
718	Puget Sound food web. ICES Journal of Marine Science: Journal du Conseil, 70,
719	823–833.
720	Caldeira K, Wickett ME (2003) Oceanography: anthropogenic carbon and ocean pH.
721	Nature, 425 , 365–365.
722	Checkley DM, Barth JA (2009) Patterns and processes in the California Current System.
723	Progress in Oceanography, 83 , 49–64.
724	Cheung WWL, Frölicher TL, Asch RG et al. (2016) Building confidence in projections of
725	the responses of living marine resources to climate change. ICES Journal of
726	Marine Science: Journal du Conseil, fsv250.
727	Cooley SR, Doney SC (2009) Anticipating ocean acidification's economic consequences
728	for commercial fisheries. Environmental Research Letters, 4, 024007.

729	Cooley SR, Lucey N, Kite-Powell H, Doney SC (2012) Nutrition and income from molluscs
730	today imply vulnerability to ocean acidification tomorrow. Fish and Fisheries, 13,
731	182–215.
732	Crain CM, Kroeker K, Halpern BS (2008) Interactive and cumulative effects of multiple
733	human stressors in marine systems. Ecology letters, 11 , 1304–1315.
734	deReynier YL (2014) U.S. Fishery Management Councils as Ecosystem-Based
735	Management Policy Takers and Policymakers. Coastal Management, 42, 512–
736	530.
737	Doney SC, Fabry VJ, Feely RA, Kleypas JA (2009) Ocean acidification: the other CO2
738	problem. <i>Marine Science</i> , 1 .
739	Doney SC, Ruckelshaus M, Duffy JE et al. (2012) Climate Change Impacts on Marine
740	Ecosystems. Annual Review of Marine Science, 4, 11–37.
741	Dufault AM, Marshall K, Kaplan IC (2009) A synthesis of diets and trophic overlap of
742	marine species in the California Current. NOAA Technical Memorandum, NMFS-
743	NWSC-103.
744	Dunne JP, John JG, Adcroft AJ et al. (2012) GFDL's ESM2 Global Coupled Climate-Carbon
745	Earth System Models. Part I: Physical Formulation and Baseline Simulation
746	Characteristics. Journal of Climate, 25, 6646–6665.
747	Dunne JP, John JG, Shevliakova E et al. (2013) GFDL's ESM2 Global Coupled Climate-
748	Carbon Earth System Models. Part II: Carbon System Formulation and Baseline
749	Simulation Characteristics*. <i>Journal of Climate</i> , 26 , 2247–2267.
750	Ekstrom JA, Suatoni L, Cooley SR et al. (2015) Vulnerability and adaptation of US
751	shellfisheries to ocean acidification. <i>Nature Climate Change</i> , 5 , 207–214.
752	Fairall CW, Bradley EF, Rogers DP, Edson JB, Young GS (1996) Bulk parameterization of
753	air-sea fluxes for tropical ocean-global atmosphere coupled-ocean atmosphere
754	response experiment. <i>Journal of Geophysical Research: Oceans</i> , 101 , 3747–3764.
755	Fairall CW, Bradley EF, Hare JE, Grachev AA, Edson JB (2003) Bulk parameterization of
756	air-sea fluxes: Updates and verification for the COARE algorithm. Journal of
757	Climate, 16 , 571–591.

- Feely RA, Sabine CL, Hernandez-Ayon JM, Ianson D, Hales B (2008) Evidence for
 upwelling of corrosive" acidified" water onto the continental shelf. *science*, **320**,
 1490–1492.
- Fennel K, Wilkin J, Levin J, Moisan J, O'Reilly J, Haidvogel D (2006) Nitrogen cycling in the
 Middle Atlantic Bight: Results from a three-dimensional model and implications
 for the North Atlantic nitrogen budget: NITROGEN CYCLING IN THE MIDDLE
- 764 ATLANTIC. *Global Biogeochemical Cycles*, **20**, n/a–n/a.
- Fennel K, Wilkin J, Previdi M, Najjar R (2008) Denitrification effects on air-sea CO2 flux in
 the coastal ocean: Simulations for the northwest North Atlantic. *Geophysical Research Letters*, **35**.
- Fiechter J, Rose KA, Curchitser EN, Hedstrom KS (2014) The role of environmental
 controls in determining sardine and anchovy population cycles in the California
 Current: Analysis of an end-to-end model. *Progress in Oceanography*.
- Fulton E (2004) Biogeochemical marine ecosystem models II: the effect of physiological
 detail on model performance. *Ecological Modelling*, **173**, 371–406.
- Fulton EA, Link JS, Kaplan IC et al. (2011) Lessons in modelling and management of
 marine ecosystems: the Atlantis experience. *Fish and Fisheries*, **12**, 171–188.
- Fulton EA, Smith ADM, Smith DC, Johnson P (2014) An Integrated Approach Is Needed
 for Ecosystem Based Fisheries Management: Insights from Ecosystem-Level
- 777 Management Strategy Evaluation. *PLoS ONE*, **9**, e84242.
- 778 Gaichas SK, Odell G, Aydin KY, Francis RC, Rochet M-J (2012) Beyond the defaults:
- 779 functional response parameter space and ecosystem-level fishing thresholds in
- 780 dynamic food web model simulations. *Canadian Journal of Fisheries and Aquatic*781 *Sciences*, **69**, 2077–2094.
- 782 Gattuso J-P, Magnan A, Billé R et al. (2015) Contrasting futures for ocean and society
- 783 from different anthropogenic CO2 emissions scenarios. *Science*, **349**, aac4722.
- 784 Griffith GP, Fulton EA, Richardson AJ (2011) Effects of fishing and acidification-related
- benthic mortality on the southeast Australian marine ecosystem. *Global Change Biology*, **17**, 3058–307.

787	Griffith GP, Fulton EA, Gorton R, Richardson AJ (2012) Predicting Interactions among
788	Fishing, Ocean Warming, and Ocean Acidification in a Marine System with
789	Whole-Ecosystem Models. Conservation Biology, 26, 1145–1152.
790	Grimm V, Revilla E, Berger U et al. (2005) Pattern-oriented modeling of agent-based
791	complex systems: lessons from ecology. Science, 310 , 987–991.
792	Gruber N, Hauri C, Lachkar Z, Loher D, Frölicher TL, Plattner G-K (2012) Rapid
793	progression of ocean acidification in the California Current System. Science, 337,
794	220–223.
795	Haidvogel D, Arango H, Budgell W et al. (2008) Ocean forecasting in terrain-following
796	coordinates: Formulation and skill assessment of the Regional Ocean Modeling
797	System. Journal of Computational Physics, 227, 3595–3624.
798	Harley CD, Randall Hughes A, Hultgren KM et al. (2006) The impacts of climate change in
799	coastal marine systems. Ecology letters, 9, 228–241.
800	Hawkins E, Sutton R (2009) The Potential to Narrow Uncertainty in Regional Climate
801	Predictions. Bulletin of the American Meteorological Society, 90, 1095–1107.
802	Hollowed AB, Barange M, Beamish RJ et al. (2013a) Projected impacts of climate change
803	on marine fish and fisheries. ICES Journal of Marine Science, 70, 1023–1037.
804	Hollowed AB, Enrique N. Curchitser, Stock CA, Zhang CI (2013b) Trade-offs associated
805	with different modeling approaches for assessment of fish and shellfish
806	responses to climate change. <i>Climatic Change</i> , 119 , 111–129.
807	Horne PJ, Kaplan IC, Marshall KN, Levin PS, Harvey CJ, Hermann AJ, Fulton EA (2010)
808	Design and Parameterization of a Spatially Explicit Ecosystem Model of the
809	Central California Current. NOAA Technical Memorandum, NMFS-NWFSC-104, 1–
810	140.
811	Kaplan IC, Levin PS, Burden M, Fulton EA (2010) Fishing catch shares in the face of global
812	change: a framework for integrating cumulative impacts and single species
813	management. Canadian Journal of Fisheries and Aquatic Sciences, 67, 1968–
814	1982.

815	Kaplan IC, Holland DS, Taylor IG, Levin PS, Fresh KL (2012) Management Testing and
816	Scenarios in the California Current. In: California Current Integrated Ecosystem
817	Assessment: Phase II Report. NOAA NWFSC, SWFSC, Seattle.
818	Kaplan IC, Brown CJ, Fulton EA, Gray IA, Field JC, Smith AD (2013) Impacts of depleting
819	forage species in the California Current. Environmental Conservation, 40, 380–
820	393.
821	King JR, Agostini VN, Harvey CJ et al. (2011) Climate forcing and the California Current
822	ecosystem. ICES Journal of Marine Science: Journal du Conseil, 68 , 1199–1216.
823	Kroeker KJ, Kordas RL, Crim RN, Singh GG (2010) Meta-analysis reveals negative yet
824	variable effects of ocean acidification on marine organisms. Ecology Letters, 13,
825	1419–1434.
826	Kroeker KJ, Kordas RL, Crim R et al. (2013) Impacts of ocean acidification on marine
827	organisms: quantifying sensitivities and interaction with warming. Global change
828	biology.
829	Lehodey P, Senina I, Sibert J, Bopp L, Calmettes B, Hampton J, Murtugudde R (2010)
830	Preliminary forecasts of Pacific bigeye tuna population trends under the A2 IPCC
831	scenario. Progress in Oceanography, 86, 302–315.
832	Link JS, Ihde TF, Harvey CJ et al. (2012) Dealing with uncertainty in ecosystem models:
833	the paradox of use for living marine resource management. Progress in
834	Oceanography, 102 , 102–114.
835	Marshall KN, Kaplan IC, Levin PS (2014) New target fisheries lead to spatially variable
836	food web effects in an ecosystem model of the California Current. Ecological
837	<i>Modelling</i> , 289 , 96–105.
838	Mathis JT, Cooley SR, Lucey N et al. (2015) Ocean acidification risk assessment for
839	Alaska's fishery sector. Progress in Oceanography, 136 , 71–91.
840	McElhany P, Busch DS (2013) Appropriate pCO2 treatments in ocean acidification
841	experiments. Marine biology, 160, 1807–1812.

0.40	
842	Merino G, Barange M, Mullon C (2010) Climate variability and change scenarios for a
843	marine commodity: Modelling small pelagic fish, fisheries and fishmeal in a
844	globalized market. <i>Journal of Marine Systems</i> , 81 , 196–205.
845	Moore AM, Arango HG, Broquet G, Powell BS, Weaver AT, Zavala-Garay J (2011) The
846	Regional Ocean Modeling System (ROMS) 4-dimensional variational data
847	assimilation systems: Part I–System overview and formulation. Progress in
848	O ceanography, 91 , 34–49.
849	Morzaria-Luna HN, Turk-Boyer P, Moreno-Baez M (2014) Social indicators of
850	vulnerability for fishing communities in the Northern Gulf of California, Mexico:
851	implications for climate change. Marine Policy, 45, 182–193.
852	Moss RH, Edmonds JA, Hibbard KA et al. (2010) The next generation of scenarios for
853	climate change research and assessment. Nature, 463, 747–756.
854	Msangi S, Kobayashi M, Batka M, Vannuccini S, Dey MM, Anderson JL (2013) Fish to
855	2030: Prospects for fisheries and aquaculture. World Bank Report.
856	Orr JC, Fabry VJ, Aumont O et al. (2005) Anthropogenic ocean acidification over the
857	twenty-first century and its impact on calcifying organisms. Nature, 437, 681–
858	686.
859	Pacific Fishery Management Council, NMFS (2014) Draft Environmental Impact
860	Statement (DEIS) for proposed Harvest Specifications and Management
861	Measures for the Pacific Coast Groundfish Fishery and Amendment 24 to The
862	Pacific Coast Groundfish Fishery Management Plan. PFMC and NMFS, Portand,
863	OR and Seattle, WA.
864	Patterson K (1992) Fisheries for small pelagic species: an empirical approach to
865	management targets. Reviews in Fish Biology and Fisheries, 2, 321–338.
866	Patterson K, Cook R, Darby C et al. (2001) Estimating uncertainty in fish stock
867	assessment and forecasting. Fish and Fisheries, 2, 125–157.
868	Payne MR, Barange M, Cheung WW et al. (2015) Uncertainties in projecting climate-
869	change impacts in marine ecosystems. ICES Journal of Marine Science: Journal du
870	Conseil, fsv231.

871 Plagányi ÉE (2007) Models for an ecosystem approach to fisheries. UN Food and 872 Agriculture Organization, Rome. 873 Punt AE, A'mar T, Bond NA et al. (2013) Fisheries management under climate and environmental uncertainty: control rules and performance simulation. ICES 874 875 Journal of Marine Science. Reum JCP, Alin SR, Feely RA, Newton J, Warner M, McElhany P (2014) Seasonal 876 Carbonate Chemistry Covariation with Temperature, Oxygen, and Salinity in a 877 Fiord Estuary: Implications for the Design of Ocean Acidification Experiments. 878 879 PLOS ONE, 9, e89619. 880 Rose K, Allen JI, Artioli Y et al. (2010) End-To-End Models for the Analysis of Marine 881 Ecosystems: Challenges, Issues, and Next Steps. Marine and Coastal Fisheries: 882 Dynamics, Management, and Ecosystem Science, 2, 115–130. 883 Smith AD, Brown CJ, Bulman CM et al. (2011) Impacts of fishing low-trophic level 884 species on marine ecosystems. Science, 333, 1147–1150. 885 Solomon S. Plattner G-K, Knutti R, Friedlingstein P (2009) Irreversible climate change due 886 to carbon dioxide emissions. *Proceedings of the national academy of sciences*, 887 pnas-0812721106. St. Martin K, Hall-Arber M (2008) The missing layer: Geo-technologies, communities, 888 889 and implications for marine spatial planning. *Marine Policy*, **32**, 779–786. 890 Stock CA, Dunne JP, John JG (2014) Global-scale carbon and energy flows through the 891 marine planktonic food web: An analysis with a coupled physical-biological 892 model. Progress in Oceanography, **120**, 1–28. 893 Szoboszlai AI, Thayer JA, Wood SA, Sydeman WJ, Koehn LE (2015) Forage species in 894 predator diets: Synthesis of data from the California Current. *Ecological* 895 Informatics, 29, 45–56. 896 Thorpe RB, Le Quesne WJF, Luxford F, Collie JS, Jennings S (2015) Evaluation and 897 management implications of uncertainty in a multispecies size-structured model 898 of population and community responses to fishing. *Methods in Ecology and* 899 *Evolution*, **6**, 49–58.

900	Thorson JT, Pinsk	y ML, Ward EJ (2016) M	odel-based i	nference for estimating shifts in			
901	species distribution, area occupied and centre of gravity. Methods in Ecology and						
902	<i>Evolution,</i> n/a–n/a.						
903	Travers M, Shin YJ, Jennings S, Cury P (2007) Towards end-to-end models for						
904	investigat	investigating the effects of climate and fishing in marine ecosystems. Progress in					
905	Oceanogr	aphy, 75 , 751–770.					
906	Walters C, Marte	ll SJ. (2002) Stock assess	ment needs	for sustainable fisheries			
907	managem	ent. Bulletin of Marine S	Science, 70 , 6	529–638.			
908	Weijerman M, Fu	ilton EA, Kaplan IC, Gorto	on R, Leema	ns R, Mooij WM, Brainard RE			
909	(2015) An	Integrated Coral Reef Ed	cosystem Mo	odel to Support Resource			
910	Managem	ient under a Changing Cl	imate. <i>PLoS</i>	<i>ONE</i> , 10 , e0144165.			
911	Wittmann AC, Pö	rtner H-O (2013) Sensitiv	vities of exta	int animal taxa to ocean			
912	acidificati	on. Nature Climate Chan	ige, 3 , 995–1	.001.			
913							
914	\mathbf{O}						
915	Supporting Info	rmation					
916	S1. Atlantis model documentation.						
917	S2. Model sensitivity to fishing mortality rates.						
918	S3. Sensitivity of the pH effect of Benthic herbivorous grazers to the survival scalar.						
919	S4. Ecosystem responses to changing pH using alternative pH effect metric.						
920							
921							
922	Table 1. Scenario descriptions for projections of the effects of ocean acidification on the						
923	California Current ecosystem. Affected functional groups listed by Scenario with their						
924	relative survival scalar that indicates that group's sensitivity to declines in pH (as per						
925	Busch and McEll	nany (2016)).					
			Relative				
		Affected functional	survival				
	Scenario	groups	scalar	Example species			

Calcifying	benthic herbivorous		sea urchins (Allocentrotus
Benthos	grazers	-1	<i>fragilis</i>), snails
	bivalves	-0.89	bivalves
	shallow benthic filter		
	feeders	-0.55	tunicates, sponges
Copepods &	mesozooplankton	-0.99	copepods
Pteropods	pteropods	-0.81	thecosome pteropods
Crabs & Shrimp	crabs	-0.7	crabs (excluding Dungeness crab)
	crangon shrimp	-0.45	shrimps (excluding pandalids)
()	Dungeness crab	-0.41	Dungeness crab
Non-calcifying	carnivorous infauna	-0.39	polychaetes, nematodes
Benthos	deposit feeders	-0.37	amphipods, isopods

926

927 Table 2. Effects of future pH on commercially important management units, from the
928 scenario with all groups in Table 1 sensitive to pH. Management units are listed in order
929 of greatest to least effects of pH on revenue, and the three-four most strongly affected
930 functional groups within those management units are identified.

931

		pН		
		Effect		Baseline
0		Biomass	pН	Revenue
	Most Affected	and	Effect	(millions
Management Unit	Functional Groups	Catch	Revenue	USD)
State		-	-0.29	260
	Dungeness crab	-0.30		220
	crabs	-0.61		9.5
	bivalves	-0.29		17
	urchins	0.61		6.8
Groundfish			-0.18	88

		petrale sole	-1.1		7.5		
		Dover sole	-0.39		9.0		
		deep large rockfish	-0.35		5.0		
	ي الم						
	Hake	hake	-0.038	-0.038	76		
	Coastal Pelagics			-0.0080	110		
		sardine	-0.030		27		
	\mathbf{O}	jack mackerel	-0.050		1.5		
	()	anchovy	-0.026		1.3		
932							
933							
934	Figure captions:						
935							
936	Figure 1. Conceptua	l diagram of modeling app	roach for the C	alifornia Curre	nt Atlantis		
937	Model. The general	diagram of the Atlantis plat	form and the th	nree coupled su	b-models		
938	are shown on the right, with the key modifications and points of entry for information						
939	related to this application for projecting ocean acidification shown on the left.						
940							
941	Figure 2. Map of California Current Atlantis model domain						
942							
943	Figure 3. ROMS projections of pH in August 2013 (a and b) and August 2063 (c and d),						
944	at the surface (a and c) and bottom (b and d). ROMS runs are initialized Jan 1, 2010 or						
945	Jan 1, 2060, and are forced by GFDL ESM2M under IPCC RCP 8.5. pH within ROMS is						
946	calculated from carbonate variables simulated using the model of Fennel et al. (2006,						
947	2008).						
948	Figure 4. Ecosystem	responses to forecasted pH	I for guilds in t	he California (Current		
949	Atlantis model. We ran five OA scenarios, varying the sensitivity of Non-calcifying						
950	benthos (light blue), Copepods and Pteropods (green), Crabs and Shrimp (yellow),						
951	Calcifying Benthos (dark blue), and a Cumulative scenario with all of the previous						
952	scenarios combined (black). Biomass-weighted mean pH effect (E) for each guild shown						
			-		-		

- as the heights of the bars in (A). In (B), functional group responses for each group within
- the guild are shown as open circles, with the transparently shaded area highlighting the
- 955 range of functional group responses within the guild (colors as in B). For comparison, the
- 956 mean guild-level effect shown in A is shown as a filled circle in B. Horizontal dotted
- 957 lines at $E=\pm 0.2$ indicate the threshold that we considered a strong effect.
- 958
- **Figure 5.** Projected effects of future pH on functional group biomass for those groups
- 960 with a strong effect (|E| > 0.2). Bars are shaded by scenario (with the same colors as in
- 961 Fig. 4 in the online version): Non-calcifying benthos (light blue), Copepods and
- 962 Pteropods (green), Crab and Shrimp (yellow), Calcifying benthos (dark blue), and
- 963 Cumulative (black).

Author Manu









