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Received Date : 19-Apr-2016

Revised Date : 28-Jan-2017

Accepted Date : 09-Feb-2017

Article type : Original Article

**Predicting the spatio-temporal distributions of pelagic sharks in the western and central North Pacific**

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This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/fog.12217](https://doi.org/10.1111/fog.12217)

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31 Running title: Spatiotemporal distribution of pelagic sharks

32

33 **ABSTRACT**

34 Spatio-temporal modeling estimates a species distribution function that represents variation in population density  
35 over space and time. Recent studies show that the approach may precisely identify spatial hotspots in species  
36 distribution, but have not addressed whether seasonal hotspots are identifiable using commonly available fishery  
37 data. In this study, we analysed the seasonal spatio-temporal distribution of pelagic sharks in the western and  
38 central North Pacific using fishery catch rates and a generalized linear mixed model with spatio-temporal effects.  
39 Different spatial distribution patterns were observed between two shark species. The hotspots of shortfin mako  
40 (SFM) appeared in the vicinity of the coastal and offshore waters of Japan and the Kuroshio-Oyashio transition  
41 zone (TZ), while the hotspots of blue shark (BSH) were widely distributed in the areas from the TZ to the waters of  
42 the Emperor Seamount Chain. SFM distribution changes seasonally with clear north-south movement, which  
43 follows higher sea surface temperatures (SST). However, preferred spring and summer water temperature was still  
44 colder than those in fall and winter, but not as cold as for BSH, which did not show seasonal north-south  
45 movement. BSH exhibits seasonal east-west movement apparently unrelated to temperature. The spatial fishing  
46 effort by season generally follows the seasonal movement of temperature possibly making SFM more vulnerable  
47 to the fishery than BSH. These findings could be used to reduce the capture risk of bycatch sharks and to better  
48 manage the spatial distribution of fishing for targeted sharks.

49

50 **KEYWORDS:** blue shark, hotspots, shortfin mako, spatio-temporal distribution, spatio-temporal model, template  
51 model builder

52

53

## 54 INTRODUCTION

55 Spatio-temporal patterns of areas of high fish density (also called hotspots) have been estimated using  
56 fishery-dependent data and distribution models (Su *et al.*, 2011; Chang *et al.*, 2012; Cambie *et al.*, 2013; Cosandey-  
57 Godin *et al.*, 2014; Yasuda *et al.*, 2014; Ward *et al.*, 2015; Thorson *et al.*, 2016). Distribution models linked to  
58 environmental factors, in particular, sea surface temperature (SST), have demonstrated the importance of the role  
59 that the environment plays in determining spatial patterns (Felipe *et al.*, 2011; Eriksen *et al.*, 2012; Howell and  
60 Auster, 2012; Siders *et al.*, 2013). A growing body of evidence exists that links shifts in distribution to temperature  
61 increases (e.g. climate change) (Perry *et al.*, 2005; Kishi *et al.*, 2009; Cheung *et al.*, 2010; Kishi *et al.*, 2010;  
62 Cheung *et al.*, 2013; Ito *et al.*, 2013; Yoon *et al.*, 2015). Species temperature preferences have been attributed to  
63 higher survival and reproductive success (Lam *et al.*, 2008).

64 Species distribution models estimate a distribution function which can be linked to environmental  
65 information to provide information on habitat. An understanding of the spatial distribution of a species and any  
66 potential environmental drivers can provide the scientific basis for habitat protection and fishery management that  
67 goes beyond simple catch limits (Chang *et al.*, 2012; Ward *et al.*, 2015). Extension of simple spatial models to  
68 include spatio-temporal modelling allows for estimation of the temporal variation in a population range and density.  
69 Spatial-temporal models can be used to estimate population abundance indices using formal statistical tools such as  
70 likelihood functions and sampling designs (Petitgas, 1998; Bez, 2002; Nishida and Chen, 2004; Roa-Ureta and  
71 Niklitschek, 2007; Kristensen *et al.*, 2014; Petitgas *et al.*, 2014; Thorson *et al.*, 2015b, c). Recent studies (Shelton *et al.*,  
72 2014; Thorson *et al.*, 2015b) show that the approach may yield more precise, biologically reasonable, and  
73 interpretable estimates of abundance than commonly used methods such as a generalized linear model (GLM;  
74 McCullagh and Nelder, 1989) and spatially stratified generalized linear mixed model (GLMMs; Stroup, 2012). In  
75 addition, spatial-temporal models may reduce bias associated with sample selection and fill in the spatial gaps  
76 associated with fishery-dependent data (Walter *et al.*, 2014; Thorson *et al.*, 2016).

77 Spatio-temporal considerations are especially important for pelagic sharks because they often exhibit  
78 spatial patterns in size and age (Nakano, 1994; Nakano and Seki, 2003). These patterns arise from differences in the  
79 spatial distribution of different cohorts, perhaps arising from the biological partitioning of available habitat. Such  
80 segregation is thought to reduce intraspecific cannibalism and competition (Nakano, 1994). Shortfin mako (SFM)  
81 (*Isurus oxyrinchus*) and blue shark (BSH) (*Prionace glauca*) are widely caught in the North Pacific (Hiraoka *et al.*,  
82 2016; Ohshimo *et al.*, 2016). Juveniles and subadults of these species (mainly 60-240 cm pre-caudal length

83 (PCL)/0-20 years old for SFM and 60-160 cm PCL/0-6 years old for BSH) are primarily caught by Japanese  
84 commercial shallow-set longliners in the western and central North Pacific. The spatial distributions of these  
85 commercial fisheries change seasonally corresponding to the seasonal movement of the target species, primarily  
86 swordfish (*Xiphias gladius*) (Ishimura and Bailey, 2013; Hiraoka *et al.*, 2016). Although BSH is occasionally also  
87 targeted, SFM is exclusively a non-target bycatch species. In the North Pacific, the standardized catch rates of BSH  
88 are higher than those of SFM (Clarke *et al.*, 2013), indicating that either the population size of BSH is larger than  
89 that of SFM or the SFM is less likely to be caught in commercial fishing gear. The commercial fishery data covers  
90 a wide range of areas (21-45°N and 135°E-180°) and seasons, providing enough information to estimate seasonal  
91 changes in the species distribution function for juveniles and sub-adults of SFM and BSH in the western and central  
92 North Pacific.

93 Previous studies (Hiraoka *et al.*, 2016; Ohshimo *et al.*, 2016) have attempted to standardize CPUE of BSH  
94 and SFM using the commercial fisheries data. Hiraoka *et al.* (2016) and Ohshimo *et al.* (2016) used standard  
95 methods such as GLM or generalized additive model (GAM; Wood, 2006). Recent developments in spatio-  
96 temporal modelling, such as those proposed by Thorson *et al.* (2015b), may provide an improvement over  
97 conventional time-series and spatially stratified models because it estimates the density in unsampled areas by  
98 imputation (Canuthers *et al.*, 2011). Accounting for unsampled stations or providing more information to poorly  
99 sampled areas may help reduce biases caused by the spatial and temporal heterogeneity of both fish and fishery.  
100 Spatio-temporal modelling may also improve the proportionality between CPUE and true population abundance  
101 by allowing for proper areas weighting of the index rather than data weighing or ad hoc area weighing that are  
102 common in typical GLM CPUE analyses.

103 In this study, we sought to answer the following questions: (1) what is the spatial distribution of SFM and  
104 BSH, and does it vary predictably among seasons?; (2) is the spatial distribution associated with seasonal changes  
105 in SST? (does temperature explain seasonal variation in distribution, or is there a substantial component of seasonal  
106 distribution shift that is unexplained by temperature?); and (3) are seasonal patterns stable enough to recommend  
107 spatial management that changes among seasons to protect bycatch shark species? We addressed these questions  
108 by applying a spatio-temporal regression approach using a generalized linear mixed model to generate spatial maps  
109 of the distribution of catch rates and to fill in spatial gaps of the fishery-dependent catch rate. We then identified  
110 potential hotspots of the pelagic sharks in the western and central North Pacific Ocean and compared the spatio-  
111 temporal distributions of targeted and non-targeted sharks with SST.

112

## 113 MATERIALS AND METHODS

### 114 *Data sources*

115 The available data covered wide areas of the western and central North Pacific (Fig. 1). The SST in these areas  
116 ranged between 0°C and 30°C (see [https://podaac.jpl.nasa.gov/dataset/NCDC-L4LRblend-GLOB-AVHRR\\_OI](https://podaac.jpl.nasa.gov/dataset/NCDC-L4LRblend-GLOB-AVHRR_OI),  
117 accessed 28 Jan. 2017). The original SST data has a resolution of  $0.25 \times 0.25$  degree square per day. The data were  
118 averaged by year and three-month quarters with a resolution of  $1 \times 1$  degree square. The region of the western and  
119 central North Pacific was broadly defined as the Oyashio (cold water) Current, the Kuroshio (warm water) Current,  
120 the Kuroshio-Oyashio transition zone (TZ) and Mixed water regions (Fig. 1), which is one of the main oceanic  
121 features of the North Pacific (Roden, 1991; Yasuda *et al.*, 1996, 2000; Yoshinari *et al.*, 2001; Inoue *et al.*, 2003;  
122 Yasuda, 2003). The Kuroshio and Oyashio currents meet in the Pacific east of Japan and a complex oceanic  
123 feature associated with warm and cold fronts and eddies of various scale appears in the TZ and Mixed water region  
124 (e.g., Reid, 1965; Kawai, 1972; Hasunuma, 1978). The western North Pacific therefore provides an important  
125 habitat for many species of epipelagic nektonic fishes and squids that are highly migratory between subtropical and  
126 subarctic areas (Pearcy, 1991). The Emperor Seamount Chain is located in the central North Pacific (30–55°N and  
127 approximately 170°E), representing another oceanic feature that has a high potential for biological resources due to  
128 the interaction of ocean currents and complex topography (Boehlert, 1986, 1988). Four seasons (quarters (Q) 1 to 4)  
129 were defined as follows: Q1 was spring from Jan. to Mar.; Q2 was summer from Apr. to Jun.; Q3 was fall from Jul.  
130 to Sep.; and Q4 was winter from Oct. to Dec..

131 We analyzed catch and effort data of Japanese shallow-set longliners operating in the North Pacific (north  
132 of the equator) from 2010 to 2014 to estimate the seasonal distribution of pelagic sharks in recent years. Data from  
133 these years can provide the estimates of spatio-temporal distribution for the species. The set-by-set data used in this  
134 study included information on species of sharks, catch number, amount of effort (number of hooks), number of  
135 branch lines between floats (hooks between floats: HBF) as a proxy for gear configuration, and location (latitude  
136 and longitude) of set, with a resolution of  $1 \times 1$  degree square. Only the shallow-set data were used in the analysis.  
137 The shallow-set data is used because fishermen change the depth of the gear to change the target species, and is  
138 identified by the number of HBF, which determines the fishing depth (Nakano *et al.*, 1997). We defined the  
139 shallow-set fishery by the use of a small number of HBF (3-5 hooks). The hooks of the regular longline gear are  
140 estimated to hang at the depth around 50 to 120 m (Suzuki *et al.*, 1977).

141

### 142 *Spatio-temporal model*

143 We developed a model that accounts for both seasonal and interannual variability in the distribution of shark  
144 species in the Pacific Ocean, while accounting for differences in sampling intensity between locations, seasons and  
145 years. We also included linear and quadratic terms for SST as spatial covariates which were assumed to impact  
146 density. We used a hierarchical spatio-temporal model for this task, so that we could explicitly decompose variance  
147 into components representing among-year and within-year variation. We then used the model to predict density at  
148 unsampled locations and times, to provide a best-estimate of the distribution of species. Spatio-temporal modelling  
149 of CPUE data assumes that species density at nearby locations should have similar density estimates during each  
150 time interval. The correlation between statistical stations (latitude and longitude) in a given time interval (governed  
151 by fixed effects that are estimated from the data) was then used to estimate catch rates in a period (year and quarter)  
152 for all stations, including stations that do not have data in a given period. We then compared these predictions with  
153 temperature data for each species, to evaluate whether each species has temperature preferences and also what  
154 regions of the Pacific each species prefers during each season. Although previous analyses have used fishery-  
155 dependent catch rate data for species distribution modelling (e.g., Thorson *et al.*, 2016), this study is the first in our  
156 knowledge to model both within- and among-year (i.e., seasonal and interannual) shifts in distribution using spatio-  
157 temporal models for fishery dependent data.

158

### 159 *Model description*

160 The spatio-temporal model estimated the density  $d(s, t, q)$  in each station  $s$  (latitude and longitude with a resolution  
161 of  $1 \times 1$  degree square), year-quarter  $t$  (signifying a three-month quarter, where  $t = 1$  in signifies Q1 2010 and  $t = 20$   
162 signifies Q4 2014), and quarter  $q$  (signifying a three-month quarter, where  $q = 1$  in signifies Q1 and  $q = 4$  in  
163 signifies Q4). We modelled the temporal variation at the scale of 3-month intervals, given that both species showed  
164 strong variable distributions among seasons and years. Each station, year-quarter, and quarter had the density:

$$165 \quad d(s, t, q) = \exp \left( d_0(t, q) + \gamma(s) + \theta(s, t) + \omega(s, q) + \sum_{j=1}^{n_j} \beta_j x_j(s, t) \right), \quad (1)$$

166 where  $d_0(t, q)$  represents temporal variation (the intercept for each year-quarter  $t$  and quarter  $q$ ),  $\gamma(s)$  represents  
167 spatial variation (the average density in station  $s$  relative to the average station),  $\theta(s, t)$  and  $\omega(s, q)$  represents  
168 spatio-temporal variation (additional variation in density for station  $s$  and year-quarter  $t$ , and for station  $s$  and quarter  
169  $q$ , respectively, after accounting for purely spatial and temporal variation), and  $\beta_j$  represents the impact of  
170 covariate  $j$  with value  $x_j(s, t)$  on density for station  $s$  and year-quarter  $t$ . Spatial variation  $\gamma(s)$  is modeled as a

171 Gaussian random field (GRF), which reduces to a multivariate normal distribution (MVN) when evaluated at a  
 172 finite set of stations (Thorson *et al.*, 2015c):

$$173 \quad \boldsymbol{\gamma} \sim MVN(\mathbf{0}, \sigma_{\gamma}^2 \cdot \mathbf{R}_{spatial}), \quad (2)$$

174 where  $\sigma_{\gamma}$  is the marginal standard deviation (SD) of spatial variation  $\boldsymbol{\gamma}$  and  $\mathbf{R}_{spatial}$  is spatial correlation for the  
 175 random field:

$$176 \quad \mathbf{R}_{spatial}(s, s') = \text{Matérn}\left(\frac{|(s-s')|}{\kappa}\right), \quad (3)$$

177 where  $s$  and  $s'$  are the location of 2 spatial stations,  $\kappa$  defines the rate at which correlations drop with increasing  
 178 distance, and  $\text{Matérn}(|(s-s')|)$  is the Matérn correlation function, which calculates the correlation between  $\boldsymbol{\gamma}$  at  
 179 stations  $s$  and  $s'$  given their distance  $|s-s'|$ . We used the Matérn correlation function because previous research  
 180 demonstrated how the probability of GRFs could be calculated efficiently given this assumption (Diggle and  
 181 Ribeiro, 2007; Roa-Ureta and Niklitschek, 2007; Lindgren *et al.*, 2011). GRF is a convenient statistical approach  
 182 for implementing a 2-dimensional smoother for a response variable (in this case, catch) over spatial dimensions  
 183 (Thorson *et al.*, 2015b). The spatial-temporal variation,  $\theta(s, t)$ , was modeled by combining the GRF for spatial  
 184 variation with first-order autoregressive process for temporal variation at each site:

$$185 \quad \text{vec}(\boldsymbol{\theta}) \sim MVN(\mathbf{0}, \sigma_{\theta}^2 \cdot \mathbf{R}_{spatial} \otimes \mathbf{R}_{AR1}), \quad (4)$$

186 where  $\text{vec}(\boldsymbol{\theta})$  is the vectorized value of matrix  $\boldsymbol{\theta}$ ,  $\sigma_{\theta}$  is the marginal SD of spatio-temporal variation  $\boldsymbol{\theta}$ ,  $\otimes$  is the  
 187 Kronecker product where if  $\mathbf{A}$  is an  $m \times n$  matrix and  $\mathbf{B}$  is a  $p \times q$  matrix, then the Kronecker product  $\mathbf{A} \otimes \mathbf{B}$  is the  
 188  $mp \times nq$  block matrix:

$$189 \quad \mathbf{A} \otimes \mathbf{B} = \begin{bmatrix} \mathbf{a}_{11}\mathbf{B} & \cdots & \mathbf{a}_{1n}\mathbf{B} \\ \vdots & \ddots & \vdots \\ \mathbf{a}_{m1}\mathbf{B} & \cdots & \mathbf{a}_{mn}\mathbf{B} \end{bmatrix}, \quad (5)$$

190 and  $\mathbf{R}_{AR1}$  is the temporal component of variance in spatio-temporal variation  $\boldsymbol{\theta}$ :

$$191 \quad \mathbf{R}_{AR1}(t, t') = \rho^{|t-t'|}, \quad (6)$$

192 where  $\rho$  is a parameter governing autocorrelation and  $|t-t'|$  is the difference in time among samples in year-quarter  $t$ .  
 193 The other spatial-temporal variation,  $\omega(s, q)$  was modeled by the same methods as  $\theta(s, t)$ . In the following, we  
 194 included a quadratic effect of sea surface temperature, SST (i.e.,  $n_j = 2$  where  $x_1(s, t)$  is average SST and  
 195  $x_2(s, t)$  is SST-squared for that station and year-quarter). We estimated a separate SD for spatial ( $\sigma_{\gamma}$ ) and spatio-  
 196 temporal ( $\sigma_{\theta}$ , and  $\sigma_{\omega}$ ) components, but estimated the same decorrelation distance ( $\kappa$ ) for the processes, using the

197 implicit assumption that dynamics were defined by a “characteristic scale” that defined decorrelation distance for  
 198 both. Following the parameterization from Lindgren *et al.* (2011), we estimated a magnitude parameter  $\eta$  for each  
 199 spatial and spatio-temporal process, and the corresponding marginal SD was then calculated as:

$$200 \quad \sigma_\gamma = 1/\sqrt{4\pi\eta_\gamma^2}, \quad (7)$$

201 where other marginal SDs (i.e.,  $\sigma_\theta$ , and  $\sigma_\omega$ ) were calculated similarly (from  $\eta_\theta$ , and  $\eta_\omega$ ).

202 Expected catch  $c_i^*$  is a function of density and fishing effort  $f_i$  (number of hooks),  $c_i^* = d(s_i, t_i, q_i)f_i$ ,  
 203 and was then compared with the observed catch (in numbers)  $c_i$  for the  $i$ -th observation, in station  $s_i$ , year-quarter  $t_i$ ,  
 204 and quarter  $q_i$ . Count data of the sharks typically include many observations with zero catch and a few observations  
 205 with large values when the sharks were aggregated (Bigelow *et al.*, 1999; Ward and Myers, 2005). We used a  
 206 negative-binomial distribution:

$$207 \quad c_i \sim \text{NegBin}(c_i^*, c_i^*(1 + \sigma_1) + c_i^{*2}\sigma_2), \quad (8)$$

208 where  $\text{NegBin}(x, y)$  is a negative binomial distribution with mean  $x$  and variance  $y$  (Lindén and Mäntyniemi, 2011).

209 We used this mean-variance parameterization (rather than more-common versions) so that we can estimate two  
 210 parameters (rather than just one) to govern the mean-variance relationship. Parameters representing temporal  
 211 variation ( $d_0$ ), spatial covariance ( $\kappa$  and  $\eta_\gamma$ ), spatial-temporal covariance ( $\eta_\theta$ ,  $\eta_\omega$ ,  $\rho_\theta$ , and  $\rho_\omega$ ), density covariate  
 212 ( $\beta_1$  and  $\beta_2$ ) and residual variation ( $\sigma_1$  and  $\sigma_2$ ) were estimated as fixed effects while integrating across random effects  
 213 representing spatial (station) and spatio-temporal (station and year-quarter, and station and quarter) variations (see  
 214 Supporting information). This integral was approximated using the Laplace approximation, and the fixed effects  
 215 were estimated using gradient information as provided by Template Model Builder (TMB; Kristensen, 2015),  
 216 which is an R package (R Core Team, 2013) for fitting statistical latent variable models to data. It was inspired by  
 217 ADMB (Fournier *et al.*, 2012). The details of TMB are described on the website (see [http://www.admb-](http://www.admb-project.org/developers/tmb/)  
 218 [project.org/developers/tmb/](http://www.admb-project.org/developers/tmb/), accessed 28 Jan. 2017). Further details regarding GRF estimation can be found in  
 219 Thorson *et al.* (2015b, c).

220 After estimating the fixed effects (year and quarter, effect of SST, and parameters for the random effects)  
 221 by maximizing the marginal likelihood of the data, the distributions for SFM and BSH were predicted from the fixed  
 222 and random effects. Average quarterly and year-quarter specific spatial distributions of standardized CPUEs for both  
 223 species were compared with those of effort. When visualizing distribution maps in each quarter, we also overlapped  
 224 the isoclines of the mean observed SST to examine the relationship between those distributions and seasonal and  
 225 annual changes of the mean observed SST. In the following, we presented and interpreted maps of density that



226 include the effect of fixed effects (e.g., temperature) and random effects (e.g., residual spatial variation). We defined  
227 “preferred habitat” as the locations where the predicted catch rate was greater than the mean value of each shark.  
228 Here, the average catch rate for each quarter was calculated as:

$$229 \quad \bar{d}(s, q) = \frac{1}{5} \sum_{t=1}^5 \sum_{q'=1}^4 I(q = q') d(s, t, q), \quad (9)$$

230 where  $d(s, t, q)$  is defined in Eq. (1),  $\bar{d}(s, q)$  is the average density at location  $s$  for quarter  $q$  averaged over the  
231 five instances of that quarter within the 20 modeled intervals, and  $I(q = q')$  is an indicator function that equals one  
232 if the quarter  $q$  associated with time-period  $t$  is  $Q$  and zero otherwise, and where we plotted the density relative to its  
233 average for a given quarter:

$$234 \quad d^*(s, q) = \frac{\bar{d}(s, q)}{\left(\frac{1}{n_s} \sum \bar{d}(s, q)\right)}. \quad (10)$$

235 Model convergence was confirmed using the hessian matrix (confirming that the hessian is positive  
236 definite) and by ensuring that the maximum absolute value of the final gradient of parameters was less than 0.0001.  
237 The changes in predicted catch rates were compared among multiple models (Table 1). We used Akaike  
238 Information Criterion (AIC; Akaike, 1973) to identify which model had greater support given available data: this  
239 model-selection is appropriate given that TMB implements maximum marginal likelihood estimation. We also  
240 interpreted the importance of including or excluding temperature by recording how much the inclusion of  
241 temperature decreases the marginal SD of spatial or spatio-temporal variation.

242

## 243 **RESULTS**

244 The most complicated model (M-12) included purely spatial variation (variation in log-expected density among  
245 stations that was constant over time), spatio-temporal variation among seasons (variation in log-expected density that  
246 varied by quarter), and spatio-temporal variation among all periods (variation in log-expected density for every  
247 combination of quarter and year). AIC identified this saturated model as the most parsimonious model (Table 1) and  
248 the maximum gradient was less than 0.0001 (the 4.73E-08 for BSH, 1.38E-05 for SFM). Including the seasonal  
249 component for spatio-temporal variation substantially decreased the marginal SD of spatial and spatio-temporal  
250 variation among all periods (e.g., compare the M-5 (or M-11) with M-6 (or M-12) for two species). We therefore  
251 used the saturated model (M-12) to predict the spatio-temporal maps and to elucidate the seasonal changes of their  
252 preference temperature.

253 Seasonal changes of the spatial distribution of SFM showed that there was a strong relationship between  
254 the predicted catch rate and SST that resulted in the seasonal pattern of north-south movement (left panels in Fig. 2,  
255 also see the supplementary material). The locations of hotspots were coastal and offshore waters of Japan, and those

256 catch rates were high (catch rate 2–5 times the average) in the water of 15–25°C throughout all seasons (left panels in  
257 Fig. 2). For Q1, the predicted catch rates were high (catch rate = 2–3 times the average) in wide ranges of southern  
258 waters (approximately 30–35°N and 140°E–180°). For Q2, the predicted catch rates were high (catch rate = 2–4  
259 times the average) in the coastal waters of Japan, and hotspots appeared along with the Kuroshio-Oyashio TZ (33–  
260 37°N and 140–150°E). For Q3, high catch rates (catch rate = 3–5 times the average) were observed in the coastal  
261 waters of Japan (33–40°N and 140–145°E). For Q4, the hotspots (catch rate = 2–3 times the average) appeared in the  
262 offshore areas with an expansion to the southern and eastern waters (30–40°N and 140–170°E). The seasonal pattern  
263 of north-south movement was consistent over the years in our study (Fig. 3).

264 Unlike SFM, BSH did not show a strong relationship between the predicted catch rate and SST (mid  
265 panels in Fig. 2, also see the supplementary material). In contrast, BSH showed seasonal east-west movement with  
266 a more westward distribution in Q1 and Q2. However, the east-west movement was less consistent over the years  
267 in our study (Fig. 4). The predicted catch rates throughout all seasons were high (catch rate = 2–4 times the average)  
268 in the northern waters, where the SST was 10–25°C (mid panels in Fig. 2). However, the locations of hotspots  
269 varied throughout the western and central North Pacific. For Q1, the predicted catch rates were high (catch rate =  
270 2–3 times the average) in the offshore waters along with the Kuroshio-Oyashio TZ and Mixed water region (30–  
271 37°N and 145–163°E) and around the water of Emperor Seamount Chain (35–42°N and 168°E–180°). For Q2,  
272 hotspots (catch rate = 2–4 times the average) were observed in nearly the same areas as those in Q1. For Q3,  
273 hotspots (catch rate = 2–4 times the average) were mainly observed around the water of The Emperor Seamount  
274 Chain (35–40°N and 168°E–180°). For Q4, hotspots (catch rate = 2–4 times the average) were observed in the  
275 offshore waters along with the Kuroshio extension (35–38°N and 148–163°E) and water of The Emperor  
276 Seamount Chain (35–40°N and 168°E–180°). The areas of high fishing effort were not necessarily the same as  
277 areas of high catch rates for both species throughout all seasons (right panels in Fig. 2).

278 The predicted catch rates (relative value to mean value) against SST showed that the SST associated with  
279 high catch rates (more than 1) varied by season and by species (Fig. 5). The high catch rates of SFM were observed  
280 in the water where the SST was between 9.9°C and 27.0°C throughout all seasons, while the high catch rates of  
281 BSH were observed in the water where the SST was between 6.3°C and 26.5°C (Table 2, Fig. 5). The high catch  
282 rates of SFM in Q1, Q2, Q3, and Q4 were observed in the water where the SST was 9.9–21.8°C, 10.0–21.5°C,  
283 14.9–27.0°C, and 10.4–23.8°C, respectively (Table 2). The high catch rates of BSH in Q1, Q2, Q3, and Q4 were  
284 observed in the water where the SST was 6.3–19.1°C, 6.5–20.4°C, 14.9–26.5°C, and 9.4–23.2°C, respectively  
285 (Table 2). These findings indicated that high catch rates of both sharks appeared in similar wide ranges of SST;

286 however, the seasonal density plots in Fig. 5 and Table 2 showed that SFM stayed in the warmer water in  
287 comparison with the BSH (i.e. the ranges of SST for SFM was 17.5–21.5°C from the 25–75% quantile and those  
288 for BSH was 13.9–19.8°C). The seasonal density plots also showed that those were negatively skewed for all plots  
289 of both sharks especially for SFM (Fig. 5). These findings suggested that SFM and BSH preferred to stay in the  
290 relatively warmer water in each season, and SFM preferred warmer water than BSH.

291 SFM were distributed in the southern water around 30–37°N in Q1 and Q2 when the water temperature  
292 was cooler in the northern water around 40°N (left panels in Fig. 2 and Fig. 3). However, the water temperature  
293 experienced by SFM was still cooler in Q1 and Q2 than in the other half of the year (Table 2 and Fig. 5). By  
294 contrast, BSH stayed in the north throughout the year (mid panels in Fig. 2 and Fig. 4) and therefore experienced  
295 much lower temperatures than SFM during Q1 and Q2 (Table 2 and Fig. 5).

296 Comparing the predicted density of both species against SST also showed that SFM preferred warmer  
297 water than BSH (see Supporting information).

298

## 299 **DISCUSSION**

300 A clear relationship between the seasonal distribution of the two shark species and SST exists, but the relationship  
301 differed between the two species. SFM preferred the temperate waters of approximately 15–25°C, making  
302 latitudinal movements matching seasonal changes in SST. A similar preferred range in temperatures was  
303 documented by Kai *et al.* (2015) for juvenile SFM caught by Japanese driftnet and longline fisheries. Casey and  
304 Kohler (1992) documented narrower range of 17–22°C, based on a large tagging study in the western North  
305 Atlantic. Within the preferred temperature, our results showed SFM to be distributed evenly in both coastal and  
306 offshore areas in the western North Pacific. This region is characterized by high productivity, due to the thermal  
307 fronts of the Kuroshio-Oyashio transition zone (Pearcy, 1991; Yasuda *et al.*, 1996; Yasuda *et al.*, 2000; Yasuda,  
308 2003). Fronts where warm water and cold water mix, may cause prey to aggregate at continental shelves,  
309 concentrating predators (Young *et al.*, 2001).

310 BSH were also found in association with SST. In contrast to SFM, BSH were found in association with  
311 colder water and showed seasonal changes in their spatial distribution in a longitudinal direction. Ohshimo *et al.*  
312 (2016) reported that the SST at with elevated catch of BSH was colder than those for SFM, and their results were  
313 similar to ours. Our study relied on data from a large-scale fishery, but more direct tagging observations of depth  
314 and temperatures occupied by pelagic sharks has been studied at smaller scales. Musyl *et al.* (2011) investigated the  
315 movement patterns using pop-up satellite archival tags (PSATs) and showed that BSH and SFM in the Pacific

316 Ocean experienced a wide range of temperatures (95% of temperatures occupied were from 9.7–26.9°C and 9.4–  
317 25.0°C, respectively). Queiroz *et al.* (2010) recorded the movements of BSH in the northeastern Atlantic Ocean  
318 using satellite-linked archival transmitters and showed that vertical movements ranged from the surface to a  
319 maximum depth of 696 m, and water temperatures varied from 10.6°C to 24.6°C. BSH also demonstrated a wide  
320 vertical distribution, inhabiting depths from the surface to a maximum of 1160 m and spanning water temperatures  
321 from 7.2°C to 27.2°C (Queiroz *et al.*, 2012). Stevens (2010) studied the movements and behaviour of ten BSH off  
322 eastern Australia and showed that BSH were mainly in 17.5–20.0°C. These results supported the temperature  
323 ranges of SFM and BSH in our study (Table 2).

324 The spatial fishing effort was distributed in the range of SST (15–25°C) where the mean SST across the  
325 water was lower in Q1 and higher in Q3 (right panels in Fig. 2). The exception of the spatial distribution of fishing  
326 effort in the southern water in Q2 was caused by Japanese shallow-set longliner mainly targeting swordfish in this  
327 area (Hiraoka *et al.*, 2016). The spatial distribution of BSH, which is one of the target species, is supposed to follow  
328 the distribution of the fishing effort, however, this was not observed in Q1 and Q2 (mid and right panels in Fig. 2).  
329 By contrast, the spatial distribution of the predicted CPUEs for SFM followed the spatial distribution of the fishing  
330 effort (left and right panels in Fig. 2). SFM was therefore more sensitive to the changes in the SST than BSH that  
331 resulted in the clear seasonal north-south movement. Our results suggested that latitudinal shifts in fishing effort and  
332 SFM nominal CPUE coincided, but there was no clear relationship between high nominal CPUE and high fishing  
333 effort longitudinally (see Supporting information). This was because the spatio-temporal modeling approach can  
334 reduce the biases of the spatio-temporal distribution of catch rate through the standardization of the nominal CPUE.  
335 Understanding of fishery data is complex (Thorson *et al.*, 2016), which emphasizes the need for properly  
336 accounting for potential biases before drawing conclusions.

337 The spatio-temporal modeling approach differs from the more commonly used methods of analyzing  
338 fishery CPUE data (Design-based, GLM, GLMM) by explicitly considering the spatial and temporal correlation of  
339 the data (Petitgas, 2001; Shelton *et al.*, 2014; Thorson *et al.*, 2015b). A primary concern is the spatial correlation  
340 associated with regions of high or low abundance. Perhaps the greatest advantage of the spatio-temporal modeling  
341 approach is the ability to estimate density in unsampled regions by imputation (Carruthers *et al.*, 2011). However,  
342 as Thorson *et al.* (2015b) noted, this method may result in biased estimates when fishing effort is correlated with  
343 population abundance (Diggle *et al.*, 2010). For bycatch species, such as SFM, this may not be a problem, while  
344 BSH may be a problem because BSH is occasionally one of the target species of the Japanese shallow-set  
345 longliners, as previously described. Therefore, the spatio-temporal modeling approach may over-weight data in

346 areas with a large amount of data (i.e., areas with targeted fishing) relative to a model that explicitly accounts for  
347 preferential sampling. However, commercial catch and effort data are currently the only source of information to  
348 map spatio-temporal distribution of pelagic sharks in the western and central North Pacific. In addition, the spatio-  
349 temporal modeling approach is a better way to reduce the bias and variance caused by the fisheries targeting areas  
350 of high abundance than a nonspatial modeling approach. In future work, large tagging studies in the western and  
351 central North Pacific will be necessary to verify the accuracy of the estimation of the spatio-temporal modeling  
352 approach.

353 Generalized linear mixed modeling commonly bases the AIC on the marginal likelihood with the  
354 random effects integrated out, which may lead model selection to choose models including more covariates than is  
355 optimal (Greven and Kneib, 2010). Hoeting *et al.* (2006) demonstrated that the corrected AIC for a spatio-temporal  
356 model was superior to the standard approach of ignoring spatial correlation in the selection of explanatory variables.  
357 However, we used a standard AIC because the corrected AIC is similar to the standard AIC for large sample sizes.

358 The environmental changes such as an SST can have a large influence on catchability (Stoner, 2004;  
359 Maunder *et al.*, 2006). Several past studies took the impact of environmental variables on the CPUE of blue sharks  
360 into account (Bigelow *et al.*, 1999; Walsh and Kleiber, 2001; Carvalho *et al.*, 2011; Mitchell *et al.*, 2014).  
361 However, the choice of explanatory variables in developing fishery oceanographic relationships depends on the  
362 objectives of the analysis and the spatiotemporal scales of available environmental data, e.g., time-series  
363 measurements or long-term (climatological) averages (Bigelow *et al.*, 1999). Our study used environmental data  
364 (i.e. SST) for 1 x 1 spatial and year-quarter temporal scales to clarify the spatial distribution associated with seasonal  
365 changes in SST.

366 The method proposed here can identify hotspots of pelagic sharks, and this information is useful not only  
367 for the management of target species but also to reduce the capture risk of bycatch species (Cosandey-Godin *et al.*,  
368 2014; Ward *et al.*, 2015). Time and area closures are one of the effective methods to mitigate the impacts of  
369 bycatch (Dunn *et al.*, 2011; Cambie *et al.*, 2013), and is particularly effective at protecting vulnerable life history  
370 stages without overly constraining a directed fishery.

371 The marginal SD of spatial random variation of the best model (M-12) went to zero for the SFM and  
372 dropped in half for BSH in comparisons with the model without the station and quarter random effect (M-11) (Table  
373 1). These findings suggested that the station and quarter random effect had a profound implication, particularly for  
374 SFM. The seasonal north-south movement of SFM to maintain a constant range of SST may have a large impact on  
375 the results. When SST terms were included in the models (compare the models M-5 and M-6 with models M-11 and

376 M-12 respectively) for both species, the marginal SDs of all random variations dropped for both species, but more for  
377 BSH (Table 1). These findings suggested that spatial-temporal variations for BSH were more influenced by SST than  
378 those for SFM. The seasonal east-west movement of BSH, which is apparently unrelated to SST, may have a large  
379 impact on the results because the mean SST at the high predicted catch rates was more different among seasons for  
380 BSH than for SFM (Table 2).

381 In this study, we didn't focus on the annual changes in the abundance index. Calculating the annual  
382 abundance index requires choosing whether the abundance index is calculated based on the average over all  
383 quarters or is derived from a specific quarter. If the seasonal changes in the spatial distribution are not  
384 fundamentally environmentally driven, then it might be reasonable to choose a season when all the fish are in the  
385 area to calculate the index. The seasonal changes in the predicted CPUEs for SFM were more stable than those for  
386 BSH, exemplified by a remarkable peak in predicted CPUE observed in Q 2 for BSH (see Supporting  
387 information). It may be that BSH shifted their spatial distribution to northern areas above 40°N in other seasons  
388 resulting in higher predicted CPUE in Q2 than in other seasons. Based on these arguments researchers attempting  
389 to produce a standardized abundance index of SFM should consider using only a single quarter and for BSH an  
390 average over all quarters.

391 Spatial and temporal changes in the sex, size and age structure of the population is an important factor in  
392 abundance indexes because blue sharks show evidence of size (Nakano and Nagasawa, 1996) and sex segregation  
393 (ratio of BSH, male:female, 1.00 : 0.34) (Mucientes *et al.*, 2009). Several previous studies (Kristensen *et al.*, 2014;  
394 Nielsen *et al.*, 2014; Thorson *et al.*, 2015a; Jansen *et al.*, 2016; Kai *et al.*, 2017) developed the spatio-temporal  
395 dynamics modeling incorporating the size-structured populations. In this study, however, we did not explicitly  
396 account for the age or length in the estimated species distribution function. Inclusion of the sex and length data into  
397 the model might permit future analyses to estimate size and sex-specific distributions, and we recommend this line  
398 of future research to potentially account for the impact of changes in sex- and length-structure on the distribution for  
399 each species. Additionally, sex-, age and size-specific relative abundance might provide useful information to  
400 understand the life history and stock condition, such as pupping ground, feeding ground and strength of the  
401 recruitment. Moreover, it is possible to show the yearly changes of sex and age-specific spatio-temporal maps, as  
402 well as annual trends of the standardized catch rate by sex and age classes. These maps might provide the  
403 geographical segregation of species by sex, age and size classes from year to year, and the trends of age-0 class  
404 relative abundance might provide the yearly changes of recruitment fluctuation.

405 An alternative explanation for the seasonal pattern in spatial distribution is the segregation of size classes.  
406 A schematic BSH migration model suggested by Nakano (1994) demonstrated that the nursery area was located in  
407 the northern areas, and adults mainly occurred in equatorial water to the south of the nursery area. Additionally, it is  
408 reasonable that the parturition and nursery grounds are located in the subarctic boundary, where there is a large prey  
409 biomass for young shark. In particular, the surroundings of The Emperor Seamount Chain and other complex  
410 topography may be the sites of aggregations of many highly migratory species, such as tunas, sharks and marine  
411 mammals that feed on prey aggregations due to high productivity (Boehlert, 1986, 1988). If the migration of the  
412 BSH and SFM in the north Pacific is not determined by physical environmental information such as an SST, but  
413 by yearly migration route programmed a priori and navigated astronomically, the results could be only a pseudo-  
414 correlation. We could answer this kind of questions by comparing the year-quarter specific change of the migration  
415 root by using the PSATs in future work. Shifts in fishermen behaviors targeting bycatch species in some seasons  
416 are possibilities. Aires-da-Silva *et al.* (2008) documented shifting fishing effort toward pelagic sharks occurring  
417 during times of low swordfish abundance in Azorean waters. A similar behaviour has been hypothesized for some  
418 Japanese longliners when the catch rate of swordfish is low.

419 In conclusion, SFM and BSH changed their spatial distribution by season, possibly in accordance with  
420 changes in the SST, but two species showed different spatial distribution patterns. The hotspots of shortfin mako  
421 (SFM) appeared in the vicinity of the coastal and offshore waters of Japan along with Kuroshio-Oyashio transition  
422 zone (TZ), while the hotspots of blue shark (BSH) were widely distributed in the areas from the TZ to the water of  
423 The Emperor Seamount Chain. SFM fundamentally changed their seasonal distribution latitudinal direction  
424 between north and south and maintained higher SST than BSH, while BSH fundamentally changed their seasonal  
425 distribution longitudinally between east and west in the northern water which apparently unrelated to SST and  
426 maintained lower SST than SFM. SFM plainly prefer to stay in slightly higher SST around 18–22°C, while BSH  
427 prefer to stay in slightly lower SST around 14–20°C. The spatial fishing effort by season generally follows the  
428 seasonal movement of temperature possibly making SFM more vulnerable to the fishery than BSH. These findings  
429 could be used to reduce the capture risk of bycatch sharks and to better manage the spatial distribution of fishing for  
430 targeted sharks.

431

## 432 **ACKNOWLEDGEMENTS**

433 The authors sincerely wish to thank editor and four anonymous reviewers and all members of the ISC shark  
434 Working Group that made invaluable comments and suggestions. We also thank Kasper Kristensen and the many

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435 contributors to the Template Model Builder software. Finally, we are grateful to Bill Bayliff for carefully  
436 proofreading manuscript. This work was supported in part by a grant-in-aid from the Japan Fisheries Agency.

437

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648 **Tables**

649 **Table 1.** Summary of the model selection information for two species from twelve analyses, including the catch rate  
 650 predictor as random effect and sea surface temperature (SST), the number of parameters, the deviance, the  
 651 reduction in AIC ( $\Delta$ AIC) from the best-fitting model, maximum gradient, marginal standard deviation (SD) of  
 652 spatial variation and spatio-temporal variations. M-7 for shortfin mako, M-7 and M-10 for blue shark were not  
 653 converged and not shown in the values (grey rows).

Species	Model	Catch rate predictors of random effect (RE)	Number of parameters	Deviance	$\Delta$ AIC	Maximum gradient	Marginal SD of spatial variation	Marginal SD of spatio-temporal (year-quarter) variation	Marginal SD of spatio-temporal (quarter) variation
Shortfin mako									
	M-1	Null	22	16706	831	< 0.0001			
	M-2	Station	24	16374	503	< 0.0001	0.706		
	M-3	Year-quarter and station	25	15937	69	< 0.0001		0.925	
	M-4	Station + Quarter and station	26	15987	120	< 0.0001	0.001		0.945
	M-5	Station + Year-quarter and station	26	15921	54	< 0.0001	0.320	0.844	
	M-6	Station + Quarter and station + Year-quarter and station	28	15875	13	< 0.0001	0.0003	0.655	0.547
	M-7	SST	24			0.578			
	M-8	Station + SST	26	16273	407	< 0.0001	1.251		
	M-9	Year-quarter and station+ SST	27	15913	49	< 0.0001		0.888	
	M-10	Station + Quarter and station + SST	28	15974	112	< 0.0001	0.001		0.956
	M-11	Station + Year-quarter and station + SST	28	15898	35	< 0.0001	0.314	0.811	
	M-12	Station + Quarter and station + Year-quarter and station + SST	30	15858	0	< 0.0001	0.00004	0.646	0.512
Blue shark									
	M-1	Null	22	31195	2383	< 0.0001			
	M-2	Station	24	29437	629	< 0.0001	1.024		
	M-3	Year-quarter and station	25	28910	104	< 0.0001		1.100	
	M-4	Station + Quarter and station	26	29105	302	< 0.0001	0.433		0.972
	M-5	Station + Year-quarter and station	26	28844	41	< 0.0001	0.567	0.764	
	M-6	Station + Quarter and station + Year-quarter and station	28	28812	13	< 0.0001	0.237	0.627	0.616
	M-7	SST	24			0.009			
	M-8	Station + SST	26	29425	621	< 0.0001	0.821		
	M-9	Year-quarter and station+ SST	27	28889	87	< 0.0001		0.966	
	M-10	Station + Quarter and station + SST	28			0.012			
	M-11	Station + Year-quarter and station + SST	28	28824	24	< 0.0001	0.471	0.722	
	M-12	Station + Quarter and station + Year-quarter and station + SST	30	28796	0	< 0.0001	0.200	0.608	0.527

654

655

656

657 **Table 2.** Quantiles of sea surface temperature ( $^{\circ}$ C, where 50% is the median temperature, 0% is the lowest  
 658 temperature, and 100% is the highest temperature) of the preferred habitat of shortfin mako and blue sharks  
 659 (defined as locations where the predicted catch rate relative value to mean value of each shark was more than 1.0).

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Sea surface temperature ( $^{\circ}$ C)



	0%	25%	50%	75%	100%
<b>Shortfin Mako</b>					
Quarter 1	9.9	16.3	17.8	19.0	21.8
Quarter 2	10.0	16.8	18.6	19.7	21.5
Quarter 3	14.9	20.3	22.7	24.9	27.0
Quarter 4	10.4	18.1	20.5	22.5	23.8
All quarters	9.9	17.5	19.2	21.5	27.0
<b>Blue shark</b>					
Quarter 1	6.3	11.5	13.8	15.8	19.1
Quarter 2	6.5	12.8	14.7	16.9	20.4
Quarter 3	14.9	19.8	21.8	23.6	26.5
Quarter 4	9.4	16.1	18.1	20.1	23.2
All quarters	6.3	13.9	16.7	19.8	26.5

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661

## 662 **Figure Legends**

663

664 Fig. 1. Map of schematic Kuroshio (warm water) and Oyashio (cold water) currents, Kuroshio-Oyashio Transition  
 665 Zone (TZ), Mixed water region between subarctic current and Kuroshio extension, and Emperor Seamount Chain  
 666 in the western and central North Pacific.

667

668 Fig. 2. Seasonal changes of the spatial distributions of predicted catch rate relative its average for shortfin mako and  
 669 blue shark (left and mid figures). Contours denote the isothermal lines of sea surface temperature (°C). We also  
 670 plot the number of hooks (logscale), representing the distribution of available data (right figures).

671

672 Fig. 3. Time (year-season) specific changes of the spatial distributions of predicted catch rate relative its average  
 673 (logscale) for the data of shortfin mako. Contours denote the isothermal lines of sea surface temperature (°C) and  
 674 blue, green, orange, brown, and red lines indicate 5°C, 10°C, 15°C, 20°C, and 25°C, respectively. The figures were  
 675 plotted using the values derived from Eq. (1).

676

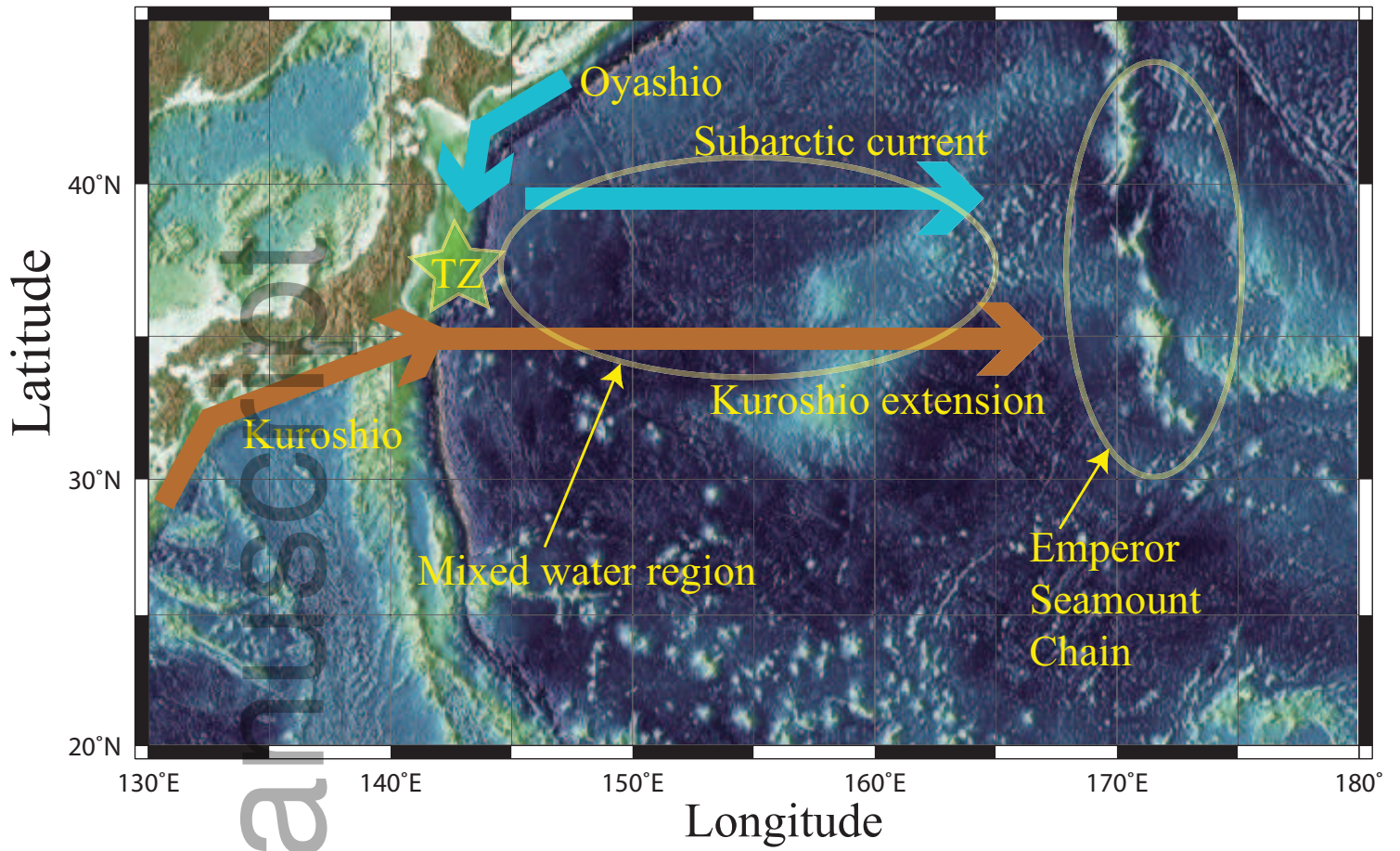
677 Fig. 4. Time (year-season) specific changes of the spatial distributions of predicted catch rate relative its average for  
678 blue shark. Contours denote the isothermal lines of sea surface temperature ( $^{\circ}\text{C}$ ) and blue, green, orange, brown, and  
679 red lines indicate  $5^{\circ}\text{C}$ ,  $10^{\circ}\text{C}$ ,  $15^{\circ}\text{C}$ ,  $20^{\circ}\text{C}$ , and  $25^{\circ}\text{C}$ , respectively. The figures were plotted using the values derived  
680 from Eq.(1).

681

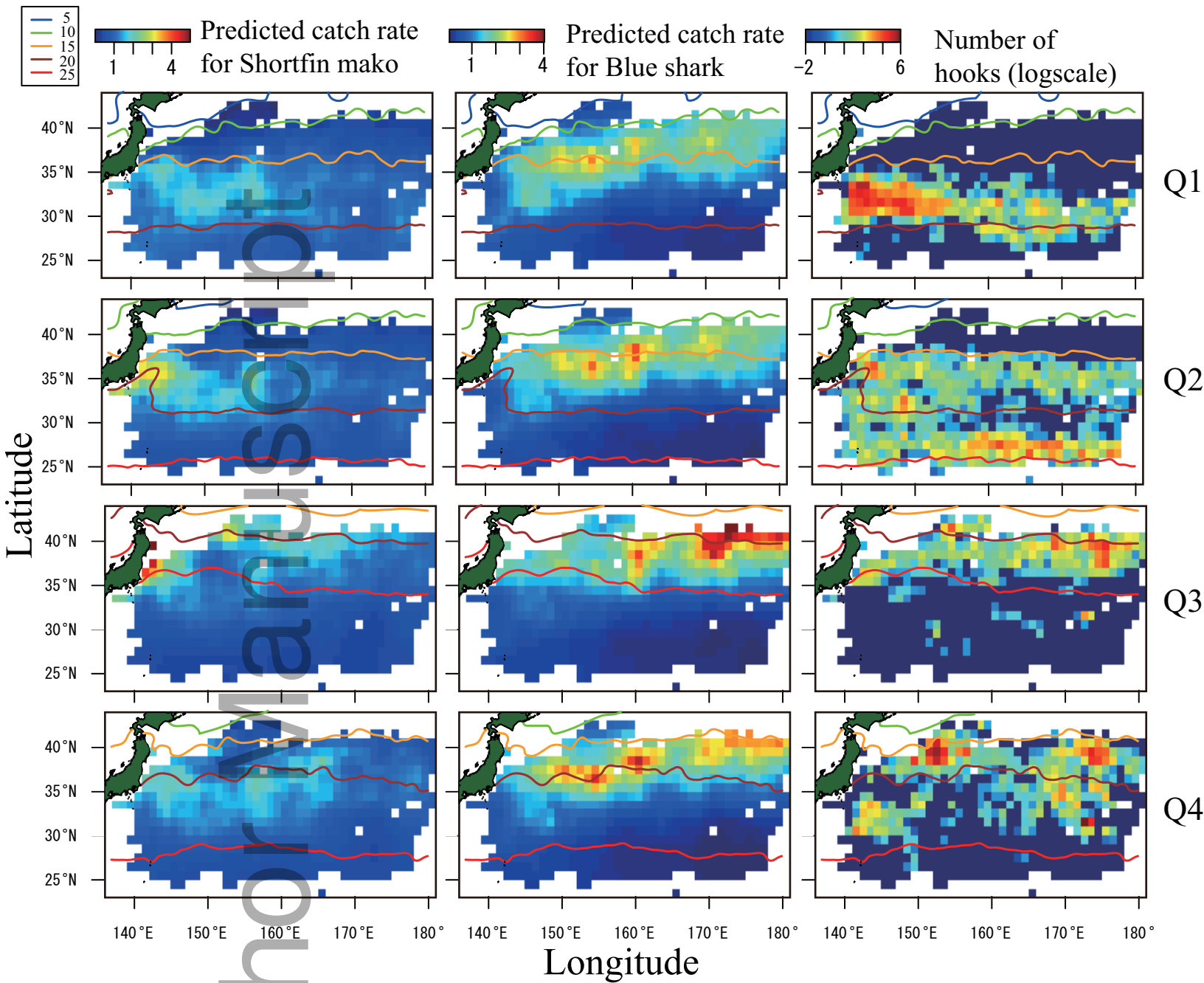
682 Fig. 5. Seasonal changes of predicted catch rate (relative value to mean value, such that the dashed line at 1.0  
683 represents the mean catch rate) against mean SST (sea surface temperature) ( $^{\circ}\text{C}$ ), for shortfin mako and blue shark  
684 with marginal density plots showing the distribution of temperature in preferred habitats (defined as locations  
685 where the catch rate was greater than the mean). A point in the bottom row indicates each station in each quarter  
686 (where quarters are color coded).

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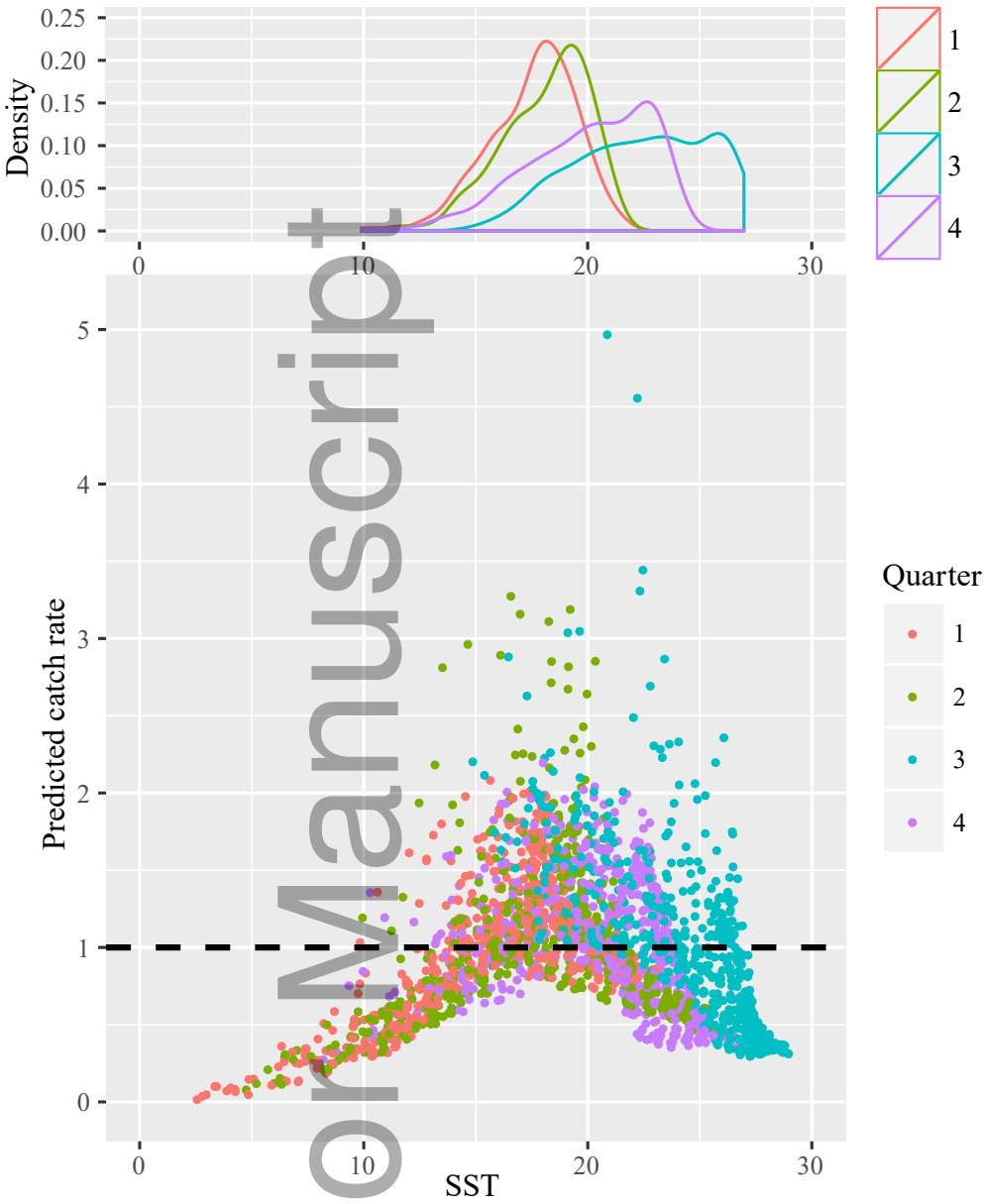
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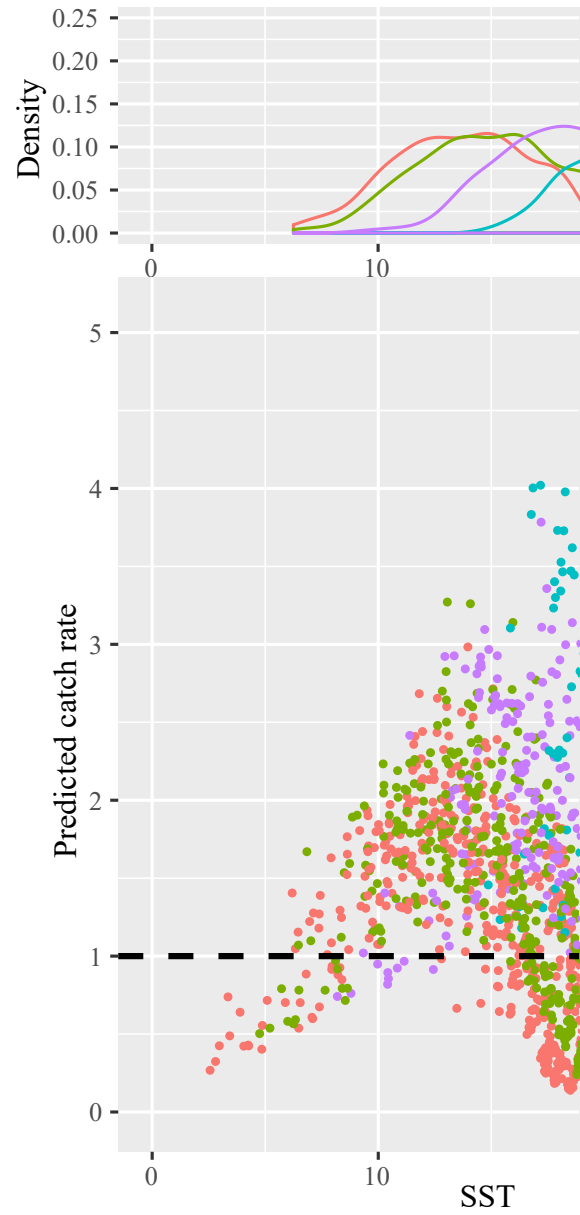
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Shortfin mako



Blue shark



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