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8	Interannual variability in the feeding and condition of
9	subyearling Chinook salmon off Oregon and Washington in relation to
10	fluctuating ocean conditions
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27	ABSTRACT

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28 Chinook salmon (Oncorhynchus tshawytscha) is one of several economically-important 29 species of salmon found in the Northeast Pacific Ocean. The first months at sea are 30 believed to be the most critical for salmon survival, with the highest rate of mortality 31 occurring during this period. Here, we examine interannual diet composition and body 32 condition trends for late-summer subyearling Chinook salmon caught off Oregon and 33 Washington from 1998 to 2012. Interannual variability was observed in juvenile salmon 34 diet composition by weight of prey consumed. Juvenile subyearling Chinook salmon 35 were mainly piscivorous, with northern anchovy (*Engraulis mordax*) being especially 36 important, making up half the diet by weight in some years. Annual diets clustered into 37 two groups, primarily defined by their proportion of invertebrate prey (14% versus 39% 38 on average). Diet composition was found to influence adult returns, with salmon from 39 high-invertebrate years returning in significantly larger numbers 2-3 years later. 40 However, years that had high adult returns had overall lower stomach fullness and poorer 41 body condition as juveniles, a counterintuitive result potentially driven by the enhanced 42 survival of less fit individuals in better ocean conditions (top-down effect). Ocean 43 conditions in years with a higher percentage of invertebrates in salmon diets were 44 significantly cooler from May-August, and bottom-up processes may have led to a fall 45 plankton community with a larger proportion of invertebrates. Our results suggest that the 46 fall plankton community assemblage during this first fall may be critical in predicting 47 adult returns of Chinook salmon in the Pacific Northwest.

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49 Key words: Juvenile Chinook salmon, feeding, piscivory, body condition, ocean
50 conditions

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### 52 **INTRODUCTION**

Fish stocks around the globe are decreasing as the human footprint and the demand for seafood rise. Pacific salmon (*Oncorhynchus* spp.) are no exception, with 26 Evolutionary Significant Units (ESUs) in Oregon, Washington, California, and Idaho, USA. Of the 17 separate ESUs known to exist for Chinook salmon (*Oncorhynchus tshawytscha*) in the Pacific Northwest, seven are listed as threatened and two as endangered (Good *et al.*, 2005), including the threatened Snake River Chinook salmon population. Yet salmon 59 remain a culturally and commercially important part of the Pacific Northwest. Deepening 60 our understanding of the connections between the ocean environment, behavior, and 61 survival of salmon during critical periods of their life cycle is crucial, especially in the 62 face of warming effects from recent large-scale ocean anomalies and unusually strong El 63 Niño-Southern Oscillation (ENSO) conditions (Wainwright and Weitkamp, 2013, Bond 64 et al., 2015, Leising et al., 2015). The study of all stages of salmonid life history over 65 multiple years is crucial to predicting adult returns, determining catch quotas, and 66 managing the timing and number of hatchery releases.

67 Salmon are anadromous and spend up to one year post-hatching in freshwater 68 before traveling to the ocean where they remain for 1-6 years before returning to their 69 natal rivers to spawn. Salmon that migrate out to the ocean in their first year of life are 70 termed "subyearlings." Residence times in each body of water, as well as migration paths 71 once juveniles reach the coast, are highly variable between species and stock groups, and 72 environmental and biological factors important to the survival of one stock may not apply 73 to others (Tucker et al., 2011, Fisher et al., 2014, Weitkamp et al., 2015). Typical ocean 74 residencies of Chinook salmon are on the order of two to four years.

75 Studies suggest that the first few months at sea are the most critical part of the 76 salmonid life cycle (Holtby et al., 1990, Pearcy, 1992, Beamish et al., 2004), although 77 full explanations for high rates of juvenile mortality remain elusive. A plethora of 78 environmental, biological, and physical factors are thought to play a role, and it is unclear 79 whether bottom-up (prey-based) or top-down (predator-based) controls are more 80 important. High mortality may be due to the "critical size, critical period" hypothesis 81 (Beamish and Mahnken, 2001): during the first few months at sea, salmon are at high risk 82 for size-selective predation, and fast-growing fish are more likely to survive than slow-83 growing fish (Duffy and Beauchamp, 2011). The faster a fish can grow, the less time it is 84 a potential prey item for gape-limited predators (Moss *et al.*, 2005). The first months at 85 sea may also represent a "critical period" when salmon must put away energy in fat 86 storage to be able to survive the colder, less productive winter months (Beamish and 87 Mahnken, 2001). The body condition of the fish is dependent upon individual growth 88 rates, prey quantity, and prey quality, especially of the ichthyoplankton community 89 (Auth, 2011, Daly et al., 2013). The shift from feeding on invertebrates to larger, less numerous, but higher quality fish prey could be key to achieving the growth rate
necessary for escaping predation and reaching the "critical size" for over-winter survival
(Daly *et al.*, 2009).

93 Analysis of stomach contents to assess diet has been a standard practice in fish 94 ecology for decades (Hyslop, 1980). The amount and type of food can potentially be used 95 as a metric to assess a fish's physical condition, extrapolate prey field composition, and 96 enhance understanding of the link between diet, growth, and survival. Chinook salmon 97 represent a relatively opportunistic species and exhibit a broad trophic spectrum during 98 their first few months at sea. However, several groups stand out as important food 99 sources, especially northern anchovy (Engraulis mordax), Pacific sand lance (Ammodytes 100 hexapterus), rockfishes (Sebastes spp.), euphausiids, and crab megalopae (especially 101 Cancer spp.) (Brodeur and Pearcy, 1990, Brodeur, 1991, Daly et al., 2009, Wells et al., 102 2012).

103 Oceanographic conditions during the spring and early summer have important 104 consequences for salmon migrating out of the rivers months later (Malick et al., 2015, Wells et al., 2016). Sea surface temperature, a strong North Pacific high pressure system 105 106 causing shelf upwelling, and certain macroscale climate oscillations have consequences 107 for primary productivity and zooplankton density, with effects potentially lasting into the 108 following year (Henson and Thomas, 2007, Wells et al., 2012). Late or early upwelling 109 or anomalously cool or warm ocean conditions could cause trophic mismatches between 110 predators and the prey field (Chittenden et al., 2010). The early plankton assemblage 111 represents the forage base of the fish prey that Chinook salmon will eventually eat; i.e., 112 early ocean conditions affect the prey of the prey of the juvenile salmon. Thus, 113 oceanographic conditions prior to and during outmigration have the potential to affect 114 juvenile salmon feeding behavior and body condition.

Here, we examine 1) whether Chinook salmon diets differ between years in composition and amount of food eaten; 2) if physical characteristics of the subyearlings (body condition, stomach fullness, and length) vary between years or with diet; 3) if oceanographic conditions at the time of ocean entry influence diet or physical characteristics of the fish; and 4) if adult returns can be predicted by oceanographic conditions, diet, or physical characteristics of the fish at the time of entry. As a result of

genetic population analyses (Claiborne *et al.*, 2014, Teel *et al.*, 2015), we are further able to examine a subset of fish from the threatened Snake River population to determine whether there are feeding or physical disparities between this stock and other fall subyearling Chinook salmon caught concurrently.

125 This is the first long-term study to focus exclusively on the feeding of fall 126 subyearling Chinook salmon and their trophic responses to variable oceanographic 127 conditions. It is also one of only a few to look at a large (>10 year) dataset on juvenile 128 feeding habits. Prior long-term studies have focused on other species (Brodeur et al., 129 2007a, Fergusson *et al.*, 2013) or on yearling Chinook salmon entering the ocean in early 130 summer (Daly and Brodeur, 2015). This study further supplements the general body of 131 knowledge on feeding ecology of salmon in the ocean. Past efforts have concentrated on 132 spatial and geographical variations (Brodeur et al., 2007b, Hertz et al., 2015), differences 133 between species (Brodeur, 1992, Schabetsberger et al., 2003, Baldwin et al., 2008, 134 Weitkamp and Sturdevant, 2008, Daly et al., 2009), and some interannual variation 135 (Brodeur et al., 2007a, Fergusson et al., 2013, Thayer et al., 2014).

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# 144 **METHODS**

145 *Data collection* 

Subyearling Chinook salmon were collected between September 19<sup>th</sup> and October 3<sup>rd</sup> from 1998-2012 from Newport, Oregon (44.6°N) to northern Washington (48°N) (Table 2). Sampling was conducted at nine transects oriented perpendicular to shore during daylight, extending from inshore to the continental shelf break (Fig. 1). A 264 Nordic pelagic rope trawl with a mouth opening 20 m deep by 30 m wide was used for sampling; the net was towed at 6 km/h for 30 minutes. Salmon were identified to species, measured 152 (fork length to 1 mm), and immediately frozen. In the lab, salmon were re-identified, re-153 measured, and weighed (g). Salmon with lengths less than 250 mm were classified as 154 subyearlings (Pearcy and Fisher, 1990). Genetic analyses were conducted on all fish 155 using microsatellite DNA as described by Teel *et al.* (2015). Stomachs were removed and 156 placed in either 10% formalin (1998-2007) or 20% Prefer (a formalin alternative used 157 2008-2012), for two weeks. Samples were then transferred to 70% ethanol prior to 158 analysis. Up to 30 stomachs per haul were examined.

159 Stomach contents were analyzed under a dissecting microscope. Prey items were 160 identified to the lowest taxonomic level possible, and intact fish prey were measured to 161 the nearest millimeter. Individual taxa were blotted on absorbent paper and weighed to 162 the nearest milligram. Prey items were classified into 64 different categories, but for 163 analysis purposes, items were grouped into 12 general trophic groups. Groups were 164 chosen if they made up >5% of the diet composition by weight for any given year. These 165 categories were clupeids, engraulids, hexagrammids, osmerids, Sebastes spp., Sardinops 166 spp., "miscellaneous fish" (unidentified and rare fish), amphipods, *Cancer* spp., 167 euphausiids, Vibilia spp., and "other invertebrates". The "other invertebrates" category 168 consisted of non-Cancer spp. decapods, pteropods, copepods, insects, cumaceans, 169 cephalopods, polychaetes, and less common miscellaneous zooplankton. Several of the 170 categories were created by combining taxa (e.g., all crab species of the genus Cancer), 171 which additively led to a >5% value by weight in at least one of the years. We also 172 grouped all euphausiids together (primarily Thysanoessa spinifera, Euphausia pacifica 173 and euphausiid material that were too digested to identify to species). Many common 174 prey items retain certain distinct characteristics such as jaw shape, teeth, and eye size 175 even after soft material is digested, facilitating identification in the lab. If a fish prey was 176 not identifiable, it was grouped in with the "miscellaneous fish" category. Any salmon 177 with solely non-biological prey items (e.g., wood, flotsam, feathers) or an empty stomach 178 was removed from the diet composition analysis. We then calculated the average diet 179 composition based on percent weight of each trophic group for each station within a year 180 and for each year as a whole.

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182 Statistical analysis

183 Interannual differences in average diet composition (by percent weight of prey 184 consumed) were visually assessed using cluster analysis and tested for significant cluster 185 differences with similarity profile (SIMPROF). A letter code denoting a specific cluster 186 was then assigned to the average diet of every station within that group (i.e., the letter A 187 was added to all stations in years that fell into cluster 1). To test for significant diet 188 differences in more detail, a multivariate analysis of similarities (ANOSIM) was 189 performed with station-averaged diets nested by cluster factors and based on a Bray-190 Curtis similarity matrix. All tests were considered significant if P < 0.05. To ascertain 191 which prey categories were responsible for the significant differences between clusters, 192 we ran a similarity percentage test (SIMPER). To visually represent interannual diet 193 variability along with relationships to oceanographic variables, we created a principle 194 coordinate ordination (PCO) based on a Bray-Curtis similarity matrix. In this analysis, 195 the diet composition of each year was compressed into a numerical set of coordinates, 196 with the percent of variability maximized along axis one.

- 197 We calculated the percent stomach fullness for each individual fish using the198 formula:
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$$Stomach fullness = \frac{Total stomach content weight}{Salmon weight-Total stomach content weight}$$
[1]

To assess differences in stomach fullness between cluster groups, we used an Analysis of Covariance (ANCOVA) test with length as a covariate. This adjustment for length of the fish was necessary, as previous research has shown that smaller salmon have the capacity to consume more food for their length than larger salmon (Daly *et al.*, 2009). Additionally, we calculated the percentage of empty stomachs for each year.

Body condition, a measure of how fat or thin the fish is for its length, was estimated as the residual from a length-weight regression, where lengths and weights were log-transformed to meet statistical assumptions of normality. This was calculated only for the 2,023 fish with recorded length and weights (117 did not have a weight measurement). Fish with positive condition values weighed more than expected for a given length, and vice versa. To examine for differences in fork length and body condition between yearly diet clusters, we used the Mann-Whitney test due to the non-normality of the data.

We examined whether diet composition (as represented by PCO1 values) affected subyearling stomach fullness, body condition, or length through regression analysis. We also used regression analysis to explore which of eight oceanographic variables influenced diet composition, stomach fullness, body condition, and length. We used oceanographic variables from 1998 to 2012, covering the period of this study (Table 1). The indices and their associated sources are:

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- 1. Pacific Decadal Oscillation (PDO) values from the University of Washington JISAO (research.jisao.washington.edu/pdo/PDO.latest)
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  22. North Pacific Gyre Oscillation (NPGO) values from Emanuele Di Lorenzo
  224 (http://www.o3d.npgo/index.html)
- 3. Sea surface temperature (SST) measured at the NOAA Stonewall Banks buoy,
  located at 44.64°N 124.50°W (http://ndbc.noaa.gov/station\_page.php?
  station=46050)
- 4. Northern Oscillation Index (NOI) values from NOAA's Pacific Fisheries
   Environmental Laboratory (http://www.pfeg.noaa.gov/products/PFEL/
   modeled/indices/NOIx/noix.html)
- 5. Multivariate El Niño-Southern Oscillation Index (MEI) from NOAA's Earth
  System Research Laboratory (htttp://www.esrl.noaa.gov/psd/enso/mei/)
- 233
  6. Upwelling (UPI) for 45°N 125°W, from NOAA's Southwest Fisheries Science
  234
  235
  Center Environmental Research Division Live Access Server
  235
- Columbia River outflow (COL), measured at Bonneville Dam (235 km
  upriver from the mouth of the Columbia River), from the US Army Corps of
  Engineers, Grant County Public Utility District, and the Oregon Department
  of Fish and Wildlife (http://www.cbr.washington.edu/dart/river.html)
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To identify the time period over which oceanographic conditions best predicted subyearling diet composition and physical characteristics, we calculated several-month

averages for the periods of May to August, June to August, and July to September for these eight oceanographic variables. We determined that the May to August interval most fully encompassed the spring period of prey field growth and the start of fall subyearling outmigration. We found this period to have the strongest relationships with diet composition, condition, stomach fullness, and length, and our results reflect solely this time period.

249 Accurately forecasting the number of returning adult fish is essential for 250 establishing a sustainable fishery. Adult Chinook salmon return values are from Ruzicka 251 et al. (2016), who back-calculated annual returns of adult Chinook salmon to Bonneville 252 Dam (www.dbr.washington.edu/dar/adult\_annual.html) to include both 2-year and 3-year 253 returns. The adult return value for 2011 was 3.4 standard deviations above the long-term 254 mean, and was not included in analysis. Using linear regression, we examined if any of 255 the eight oceanographic variables, diet composition, body condition, stomach fullness, or 256 length of the subyearling salmon could be used to predict the log-transformed adult return 257 values. Snake River subyearling Chinook salmon are a threatened stock of fall Chinook 258 salmon. We tested if the biological characteristics of this subset of fish differed from non-259 Snake River fall Chinook salmon subyearlings. Only stations where there were at least 3 260 Snake River fish and 3 non-Snake River fish were used. Diet differences were tested 261 between the two groups at sampling station level using an ANOSIM test. Interannual 262 differences in condition and length were examined using the Mann-Whitney test, and 263 differences in stomach fullness were examined using the ANCOVA test.

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# **RESULTS**

Across all years, 4,581 subyearling Chinook salmon were caught, with an average fork length of 167.9 mm (±30.3 mm) (Table 2). 99.9% of subyearling salmon were found within 7 km of shore, although they were caught throughout the entire latitudinal range of the sampling grid.

# 299 Stomach analysis

In total, 2,140 stomachs were analyzed, with 93 of these being entirely empty. Subyearling Chinook salmon were highly piscivorous, with fish prey dominating their diets by percent weight in all years examined (Fig. 2). On average, fish prey made up 85.7% of diets. Juvenile salmon were especially dependent on juvenile northern anchovies (*Engraulis mordax*), which made up an average of 33% of the total stomach

composition by weight for all years. Northern anchovy were found in over a quarter of all
stomachs examined (Appendix Table 1). Only two other prey taxa, Pacific herring
(*Clupea pallasi*) and whitebait smelt (*Allosmerus elongatus*), made up more than 5% of
the identifiable prey biomass consumed (Appendix Table 1).

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### 310 *Cluster analysis*

311 Cluster analysis of annual diet composition revealed two major clusters that were 312 significantly different based on SIMPROF test (Fig. 3). Years 1998, 2001-2008, and 2011 313 formed one cluster ("cluster A"), while 1999, 2000, 2009, 2010, and 2012 made up 314 another ("cluster B"). The fine-scale station diet composition data nested within cluster A 315 and B also revealed significant diet differences between the two clusters (ANOSIM; 316 Global R = 0.207; P = 0.002). SIMPER analysis showed that the diets of cluster B had a 317 significantly higher proportion of invertebrates than cluster A; on average, cluster A diets 318 were made up of 14% invertebrates compared to 39% in cluster B. Although salmon were 319 highly piscivorous in all years, cluster A was shown to contain significantly more 320 juvenile forage fish such as northern anchovies, herring, and smelts (Fig. 2).

Annual changes in diet composition of subyearling Chinook salmon were aligned along axis 1 of the PCO analysis, which accounted for 61.8% of the diet variability (Fig. 4). Diet composition values for cluster A fell on the negative end of axis 1 of the PCO analysis. Diet composition values for cluster B, along with May-August Columbia River flow values, had positive values along axis 1.

326 Similar to diet composition, average stomach fullness also showed interannual 327 variability (Fig. 5). Cluster B years were significantly less full than cluster A years (ANCOVA;  $P = \langle 0.0001 \rangle$ ). Stomach fullness was around 35% lower in cluster B years, 328 329 and there were a higher percentage of empty stomachs (Fig. 5). In terms of length and 330 body condition, salmon in cluster B were significantly shorter and in worse condition 331 than those in cluster A (Kolmogorov-Smirnov;  $P = \langle 0.0001;$  Fig. 6). Diet composition negatively influenced stomach fullness ( $R^2 = 0.344$ ; P = 0.03), body condition ( $R^2 =$ 332 0.311; P = 0.03), and length ( $R^2 = 0.309$ ; P = 0.03). A negative correlation indicates that 333 334 salmon consuming more invertebrates (i.e., those in cluster B) were less full, shorter, and 335 in poorer condition.

336 Three of the eight oceanographic variables were found to influence diet composition: Pacific decadal oscillation ( $R^2 = 0.439$ ; P = 0.01), May-August sea surface 337 temperature ( $R^2 = 0.411$ ; P = 0.01), and North Pacific gyre oscillation ( $R^2 = 0.299$ ; P = 338 0.03). Diet composition had an inverse relationship with both PDO and SST: cooler 339 340 ocean temperatures were associated with higher proportions of invertebrates in 341 subyearling diets. Accordingly, most of the years in cluster A were found to have warmer 342 sea surface temperatures and higher PDO values than those in cluster B, which generally 343 had lower SST and PDO values. 2008 was the outlier in cluster A, with the lowest May-August average SST of the time series. 2009 was the exception among cluster B years. 344 345 None of the eight oceanographic variables used directly influenced stomach fullness, 346 body condition, or length (regression analysis, P > 0.05).

347 Snake River fall Chinook salmon diet characteristics and length were 348 indistinguishable from non-Snake River salmon. There were 172 genetically identified 349 Snake River fall Chinook salmon from 17 qualifying stations. Diet composition and 350 stomach fullness did not differ from the rest of the subyearling salmon (ANCOVA; P > 351 0.05). The same was true for body condition and length (Mann-Whitney; P > 0.05). As 352 with the complete September subyearling group, oceanographic variables did not have a 353 significant effect on the stomach fullness, body condition, or length of Snake River 354 juveniles (regression analysis, P > 0.05).

355 Relationship to Adult Returns

We evaluated whether physical characteristics of the subyearlings or oceanographic conditions at the time of ocean entry impacted adult returns. Adult returns were not influenced by stomach fullness, length, or body condition at the time of outmigration. However, returns of adult Chinook salmon were successfully predicted by diet composition ( $R^2 = 0.476$ ; P = 0.006; Fig. 7). Interestingly, these were positive correlations – larger PCO values (representing the invertebrate-heavy diets of cluster B) correlated with significantly higher returns as adults.

Two oceanographic variables were found to strongly predict adult returns. These were sea surface temperature ( $R^2 = 0.414$ ; P = 0.01) and Pacific decadal oscillation ( $R^2 = 0.423$ ; P = 0.01). The negative relationship found between adult returns and ocean temperature indicates that salmon from cooler years returned in higher numbers 2-3 years later. Four out of the five years in cluster B had the highest adult returns of the timeseries; the exception was 2009. Cluster A had the lowest returns, aside from 2008.

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### 386 **DISCUSSION**

387 Our analysis represents a synthesis of multiple years of data and contributes to our 388 understanding of the importance of diet variability in salmon survival. We found that 389 juvenile subyearling Chinook salmon from cluster B years ate more invertebrates and less 390 fish than those from cluster A, although salmon from all years were still highly 391 piscivorous. Juvenile salmon that entered the ocean in cluster B years were on average 392 thinner for their length, had more empty stomachs, and had lower stomach fullness than 393 those that entered in cluster A years. However, cluster B salmon returned as adults in 394 significantly higher numbers than cluster A. Cluster A was generally associated with 395 warmer ocean conditions based on PDO and SST; cluster B years had cooler ocean 396 conditions. We established that the length and trophic habits of a threatened stock of 397 subyearling Chinook salmon from the Snake River could be represented by the characteristics and habits of non-ESA listed subyearling salmon. This indicates that more
abundant stocks could be used to evaluate the effects of oceanographic conditions on the
threatened population.

401 While the data collected in this study are from September, the same area is sampled in June, and the June biomass of potential prey for juvenile salmon is 402 403 significantly related to the subsequent 2-year adult returns of fall Chinook salmon 404 (Morgan et al., 2015). This prey field index is primarily composed of invertebrates of 405 younger stages than what subyearling Chinook salmon would typically consume. Peak 406 outmigration time for subyearling Chinook salmon is in July (Weitkamp et al., 2015); 407 subsequently, fish caught in this study had potentially already survived multiple months 408 at sea. By September, these salmon could be consuming fish prey, such as juvenile zero-409 age northern anchovies, that had directly benefited from the June biomass of potential 410 prey. Together, these findings emphasize how bottom-up trophic processes may influence adult returns. 411

We found that salmon from cluster B years were in worse body condition than salmon in cluster A. This may be counterintuitive – one would expect that the increased upwelling in the generally cooler cluster B years would promote productivity on the shelf (Ware and Thomson, 2005), allowing salmon to grow faster. Further, cluster B salmon had significantly higher adult returns, when one would expect the fuller, larger cluster A salmon to return in larger numbers. It appears that the set of dynamics involved is much more complex than previously thought.

419 These results are consistent with those of a similar study (Daly and Brodeur, 420 2015) focusing on May and June, which determined that higher piscivory rates did not 421 necessarily lead to better body condition of yearling Chinook salmon. However, this 422 previous study found that yearling Chinook salmon collected in warmer years were in 423 worse body condition than those from cool years, a result contradictory to the findings 424 presented here. Changes in predator biomass and size-selective mortality between 425 summer/fall and warmer/cooler ocean conditions could account for this disparity. Pacific 426 hake (Merluccius productus) have been shown to feed on juvenile salmonids (Emmett 427 and Krutzikowsky, 2008). Hake typically feed off Oregon and Washington in the summer 428 months, particularly in warmer years. In average and cool ocean conditions, hake move 429 south to California in the fall. In warmer ocean years, some hake may live in Pacific 430 Northwest waters year-round (Benson et al., 2002). Therefore, subyearling salmon that 431 enter the ocean in June and July are subject to hake predation which may continue 432 through September, particularly in years when fall ocean conditions are warmer than 433 average. This could skew the average body condition of the surviving subyearling salmon 434 for these years: the total number of survivors could be lower, potentially leading to 435 decreased adult returns, but survivors would be in better body condition on average. This 436 hypothesis agrees with the results presented here and by Daly & Brodeur (2015).

437 Two of the years sampled did not fit the general pattern of feeding clusters. The 438 outmigration year of 2008 had anomalously high adult returns and cool ocean conditions 439 while the diets fell within cluster A. 2009 had anomalously low returns several years 440 later, with above average sea surface temperature; yet, diets fit into cluster B. Other 441 factors besides food availability may have affected the survival of subyearling Chinook 442 salmon in these years. The year 2008 was the coldest year in the time series, with 443 strongly negative PDO values and low SST. This may have led to low numbers of fish 444 predators in the Northern California Current (NCC) as observed by Litz et al. (2014). The 445 low returns from outmigration year 2009 may be partially explained by the large numbers 446 of large, piscivorous Humboldt squid (Dosidicus gigas) that were found off Oregon and 447 Washington during this year (Litz et al., 2011). Humboldt squid are tolerant of low 448 dissolved oxygen and warm surface waters, and became a common predator off the 449 Pacific Northwest in this year. The limited work completed on Humboldt squid diets has 450 shown that squid do feed on salmonids, including Chinook salmon (Stewart *et al.*, 2014).

Adult returns may also have been caused by sea nettles (*Chrysaora fuscescens*), a large scyphozoan jellyfish that may be extremely abundant during warmer ocean conditions and some September cruises, particularly in 2009. This species has been shown to have negative impacts on subyearling Chinook salmon through indirect competition (Ruzicka *et al.*, 2016) which may have reduced adult returns several years later. Jellyfish predation upon zooplankton may divert food resources away from the prey eaten by juvenile salmonids (Ruzicka *et al.*, 2016).

458 Changes in prey availability may explain why subyearling Chinook salmon in 459 cluster B years ate significantly more invertebrates than those in cluster A. Brodeur and

460 Morgan (2016) found that subyearling Chinook salmon diet composition both inside and 461 outside of the Columbia River plume core differed from the plankton community makeup 462 inside and outside of the plume, suggesting that juvenile salmon are selective in the types 463 of prey they consume. We were not able to determine if the differences between the 464 clusters were due to a) invertebrate prey being easier to catch, b) invertebrate prey being 465 more numerous, or c) preferred fish prey being less numerous in some years. If the prey 466 community was simply denser (but prey taxa were found in the same proportions in all 467 years), salmon may preferentially consume invertebrates because they are generally 468 slower and easier to catch than fish prey. Alternatively, invertebrates may be more 469 abundant than fish prey during cool years, causing salmon to consume more invertebrates 470 because they are more common. There is evidence that some typical invertebrate prey 471 species, such as crab megalopae (Cancer spp.) and krill (euphausiidacea), are more 472 abundant with low SST and negative PDO values (Feinberg and Peterson, 2003, Shanks, 2013). 473

474 A third possibility is that common fish prey of subyearlings are less abundant in 475 cooler years. Some preferred fish prey species may have lower spawning success and 476 survival in cool ocean years with strong upwelling, thus leaving juvenile salmon with a 477 more limited pool of energy-dense fish prey to prey upon (Brodeur et al., 2008, Daly et 478 al., 2010, Takahashi et al., 2012). Climate events such as La Niña/El Niño have been 479 shown to cause anomalous distributions of ichthyoplankton in the Northern California 480 Current (Auth et al., 2015). A key example of this is the northern anchovy, the most 481 common fish taxa found in this analysis. Northern anchovy spawn in the Columbia River 482 Plume where many of the salmon first enter the ocean. Anchovies have been found to 483 spawn earlier and in a wider geographic range during warm and especially El Niño years, 484 whereas osmerids (smelts) are more abundant in cool years (Brodeur et al., 2008). The 485 prey community in the summer/fall off Oregon is much less diverse than at other times of 486 year, and northern anchovy, one of the few summer spawning species in the Northern 487 California Current, can often be the dominant forage fish species during the summer 488 (Brodeur et al., 2008, Parnel et al., 2008). Interannual variation in the timing and 489 intensity of upwelling can result in delayed spawning of anchovy and slower growth rates 490 (Takahashi *et al.*, 2012). This in turn alters the availability of this key prey taxon to subyearling Chinook salmon (Litz *et al.*, in review). Off Central California, (MacFarlane,
2010) found that northern anchovy were a critical prey of subyearling Chinook salmon
emigrating from the San Francisco estuary and estimated that each salmon would need to
consume three anchovies per day to sustain observed energetic gains and growth rates.

495 Daly and Brodeur (2015) found that prey species driving interannual differences 496 in yearling Chinook salmon diets included several fish species (Pacific sand lance, 497 flatfish, and rockfish) as well as invertebrates. Interestingly, while SST and PDO aligned 498 with diet composition variation, Columbia River outflow was also found to be a 499 significant abiotic factor affecting diet in yearling Chinook salmon. This demonstrates the 500 possible importance of the freshwater environment for survival and growth of prev 501 species in the spring and summer. Information on the summer and fall prey field 502 composition is currently lacking.

503 Changes in climate over the next few decades may cause a shift in the types of 504 fish prev consumed by salmon of all ages. This is indicated in the 50-year time series 505 examined by (Thayer et al., 2014), which found that certain fish (sardine, anchovy) 506 became more prominent in juvenile salmonid diets in warmer ocean conditions as other 507 taxa (rockfish, herring) declined in abundance. We did not see a similar trend among the 508 juvenile Chinook salmon off Oregon and Washington examined here, with our variation 509 exhibiting a more oscillatory trend between years; however, as climate and ocean 510 conditions continue to change, an overall shift in juvenile salmon diets may become 511 apparent. Based on these results, anticipated ocean warming in the future may have a 512 negative impact on the health of Chinook salmon populations through increased numbers 513 of predators and/or competitors, or a less diverse or sparser prey field, all of which may 514 eventually lead to decreased adult returns. Long-term dietary studies across highly 515 variable ocean conditions such as this one continue to be important, especially in the face 516 of a changing and perhaps more variable future climate.

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763	FIGURE LEGENDS
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Figure 1. Stations sampled during most of the years examined (white dots). Subyearling
Chinook salmon were generally found inshore of the red line, generally less than 20 km
from shore (Teel *et al.* 2015).

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**Figure 2.** The average diet composition by weight of subyearling Chinook salmon caught in September of 1998-2012. The twelve major trophic categories are shown at right. Fish categories are colored; invertebrate categories are grayscale. Note that fish prey dominates by percent weight, with overall average piscivory rate denoted by solid horizontal line. Years boxed in orange represent "cluster A" years; years boxed in blue represent "cluster B" years.

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Figure 3. SIMPROF cluster analysis for subyearling Chinook salmon diets by year, with
the two emergent groups highlighted. Years in cluster A are in orange; cluster B are in
blue.

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**Figure 4**. Principal coordinate analysis of annually averaged diet composition for subyearling Chinook salmon. Each year is a numerical representation of its average diet composition by weight with diet variation maximized along axis 1 with 61.8% of variation is explained. Cluster A is denoted by orange colors; cluster B is denoted by blue. Oceanographic variables averaged over May-August that most closely follow axis 1 are responsible for a large part of the variation seen in diets.

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**Figure 5.** Index of stomach fullness (bar plot with standard error bar) and percentage of empty stomachs (solid line) for juvenile subyearling Chinook salmon by year. Overall average stomach fullness is represented by dashed horizontal line. Cluster A years and cluster B years are highlighted.

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Figure 6. Interannual body condition of subyearling Chinook salmon by year. Body condition residuals greater than zero represent fish fatter for their length than would be expected; values less than zero indicate fish thinner than expected. Annual box plots

include the 5<sup>th</sup> and 95<sup>th</sup> percent outliers. Dashed horizontal line represents average of all
subyearling Chinook salmon. Cluster A years and cluster B years are highlighted.

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**Figure 7**. Regression of lagged adult subyearling Chinook salmon return data versus diet variability as represented numerically by PCO1 values. The slope is significantly different from zero (P = 0.006). The value for 2011 was considered a strong outlier (+3.4 standard deviations above the mean) and was omitted from the analysis (see text).

818 Table 1. Four-month (May through August) averages of oceanographic variables and
819 adult returns from Ruzicka *et al.* 2016 used in the regression analyses by year. See
820 methods for explanation of variables and their sources, see text.

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 Year	PDO	MEI	COL	NOI	NPGO	SST	UPI	2 & 3 Year
								Adult Returns
1998	0.21	0.83	249.62	0.31	0.27	14.94	-30.77	145466
1999	-0.90	-0.57	277.78	0.06	1.58	13.26	-34.96	334683

2000	-0.59	-0.15	202.28	-0.06	1.83	13.90	-19.26	446109
2001	-0.71	0.16	118.89	0.33	1.93	13.37	-20.49	136804
2002	-0.17	0.79	239.45	-0.47	1.23	13.63	-23.24	245402
2003	0.85	0.09	209.69	-0.65	0.92	14.47	-25.40	240508
2004	0.55	0.45	202.25	0.06	0.52	15.12	-16.93	118959
2005	0.99	0.51	187.14	-0.60	-1.24	14.25	-11.19	96838
2006	0.31	0.45	247.03	-0.55	-0.28	14.09	-12.69	305148
2007	0.32	-0.25	201.93	0.53	1.05	13.92	-5.92	125562
2008	-1.52	-0.15	256.91	-0.25	1.52	12.94	-0.37	423048
2009	-0.41	0.76	210.42	-0.33	0.43	14.05	-12.53	143186
2010	-0.48	-0.71	209.23	1.66	1.17	13.01	-36.63	541009
2011	-1.17	-0.31	344.88	0.16	1.05	13.12	-13.44	1010318
2012	-1.40	0.83	307.42	0.85	1.73	13.48	-24.60	484391

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**Table 2.** Sampling dates, sample sizes of total subyearling Chinook salmon caught and
 those examined for diet analysis, and mean fork length ( $\pm$  standard deviation) of salmon examined for diet analysis.

Year	Cruise Dates	Total Salmon	Stomachs	Average fork
		Caught	examined	length (mm)
1998	Sept. 20-29	192	104	183.9 (±27.6)
1999	Sept. 21- Oct. 1	491	218	177.8 (±32.2)
2000	Sept. 21-28	80	50	160.2 (±25.8)
2001	Sept. 20-29	457	108	193.4 (±37.4)

2002	Sept. 26- Oct. 3	358	200	169.7 (±30.8)
2003	Sept. 26- Oct. 3	104	78	193.1 (±28.9)
2004	Sept. 22-29	80	30	170.9 (±32.1)
2005	Sept. 21-28	339	267	162.3 (±17.7)
2006	Sept. 20-28	488	282	174.1 (±27.5)
2007	Sept. 22-28	264	187	156.9 (±24.5)
2008	Sept. 23- Oct. 1	639	291	174.5 (±33.6)
2009	Sept. 22-29	33	33	176.3 (±28.5)
2010	Sept. 21-28	257	85	157.2 (±20.4)
2011	Sept. 19-26	590	107	180.4 (±34.9)
2012	Sept. 22-29	209	100	174.5 (±31.6)
	Total	4581	2140	

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842 Appendix Table 1. Detailed diet information on all juvenile Chinook salmon examined

in this study.

Droy Toyo	% of Prey	% of Prey	% Freq.	
riey laxa	Weight	Number	Occ.	
Polychaeta				
Unidentified Polychaeta	0.121	0.116	0.935	
Mollusca				
Gastropoda				
<i>Limacina</i> spp.	0.019	0.368	0.654	
Unidentified Pteropoda	0.006	0.560	0.980	
Cephalopoda				
Enteroctopus dofleini	0.057	0.021	0.187	
Octopus rubescens	0.088	0.026	0.187	
Unidentified Teuthida	0.039	0.026	0.234	
Unidentified Cephalopoda	0.028	0.011	0.093	
Arthropoda				
Copepoda				
Euchirella rostrata	0.016	0.505	0.467	
Calanus marshallae	0.051	2.336	1.589	
Euchaeta spp.	0.163	6.229	0.421	
Pseudocalanus spp.	< 0.001	0.026	0.047	
Acartia spp.	< 0.001	0.021	0.047	
Unidentified Calanoida	0.005	0.289	0.467	
Unidentified Copepoda	0.002	0.174	0.654	
Cirripedia				
Unidentified Cirripedia, molt	0.021	0.174	0.841	
Unidentified Cirripedia, larvae	0.007	0.037	0.234	
Mysida				
Alienacanthomysis macropsis	0.032	0.263	0.374	
Neomysis kadiakensis	0.013	0.016	0.140	
Neomysis rayii	0.011	0.021	0.187	

Unidentified Mysida	0.012	0.074	0.467
Cumacea			
Diastylopsis dawsoni	0.016	0.200	0.093
Diastylis spp.	< 0.001	0.005	0.047
Unidentified Cumacea	0.086	0.716	1.262
Isopoda			
Synidotea berolzheimeri	0.006	0.032	0.187
Gnorimosphaeroma			
oregonensis	0.007	0.011	0.093
Idotea fewkesi	0.004	0.011	0.047
Unidentified Isopoda	0.012	0.150	0.980
Amphipoda			
Atylus tridens	0.162	0.584	1.542
Arthropoda (continued)			
Unidentified Gammaridae	0.044	0.289	1.916
Hyperia medusarum	0.590	1.173	5.748
Hyperoche medusarum	0.415	9.723	6.636
Themisto pacifica	0.060	1.242	2.150
Primno spp.	< 0.001	0.016	0.140
Primno brevidens	0.002	0.021	0.187
Primno macropa	< 0.001	0.011	0.093
Vibilia pyripes	0.051	0.452	0.093
Unidentified Vibiliidae	0.223	2.625	2.056
Unidentified Hyperiidae	0.381	2.615	8.692
Caprella anomala	0.009	0.053	0.187
Caprella equilibra	0.002	0.016	0.140
Caprella natalensis	0.002	0.016	0.093
Caprella verrucosa	0.007	0.079	0.374
Metacaprella anomala	< 0.001	0.005	0.047
Unidentified Caprellidea	0.045	0.484	2.944
Unidentified Amphipoda	0.016	0.079	0.561

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Euphausia pacifica	0.986	1.594	1.916
Thysanoessa spinifera	1.443	1.936	3.598
Unidentified Euphausiidae	0.410	0.942	3.505
Decapoda			
Unidentified Pandalidae	0.049	1.989	1.822
Unidentified Caridea	0.040	0.020	0.100
Unidentified Crangonidae	0.509	4.651	2.103
Unidentified Paguridae	0.006	0.253	1.215
Pachycheles pubescens	0.023	0.542	0.280
Unidentified Porcellanidae	0.130	5.077	2.570
Cancer antennarius/gracilis	0.032	1.073	1.449
Cancer magister	0.371	1.336	4.159
Cancer oregonensis/productus	1.762	17.757	15.280
Cancer spp.	0.117	0.737	2.944
Neotrypaea californiensis	0.014	0.011	0.093
Hemigrapsus oregonensis	< 0.001	0.016	0.093
Pachygrapsus spp.	< 0.001	0.011	0.093
Unidentified Grapsidae	< 0.001	0.005	0.047
<i>Pinnixa</i> spp.	0.009	0.058	0.374
Unidentified Pinnotheridae	0.006	0.074	0.327
Lophopanopeus spp.	< 0.001	0.016	0.140
Lophopanopeus bellus	< 0.001	0.016	0.093
Unidentified Xanthidae	< 0.001	0.005	0.047
Unidentified megalopae	0.090	0.650	2.240
Arthropoda (continued)			
Unidentified Crustacea	0.243	0.605	3.598
Insecta			
Psocoptera	0.001	0.063	0.093
Hemiptera	< 0.001	0.005	0.047

Coleoptera	< 0.001	0.005	0.047
Diptera	0.005	0.063	0.280
Ichneumonidae	< 0.001	0.005	0.047
Hymenoptera	0.002	0.089	0.187
Plecoptera	< 0.001	0.011	0.047
Pterygota	0.019	0.563	0.421
Unidentified Insecta	0.876	7.434	9.019
Arachnida			
Unidentified Araneae	0.003	0.042	0.327
Unidentified Arachnida	< 0.001	0.005	0.047
Chaetognatha			
Sagitta elegans	< 0.001	0.011	0.093
Unidentified Chaetognatha	0.002	0.021	0.140
Chordata			
Osteichthyes			
Clupea pallasii	5.231	0.205	1.495
Unidentified Clupeidae	0.812	0.121	0.654
Engraulis mordax	33.069	5.840	27.850
Sardinops sagax	1.037	0.137	0.841
Allosmerus elongatus	5.164	0.158	0.935
Unidentified Osmeridae	2.000	0.226	1.168
Microgadus proximus	0.002	0.005	0.047
Sebastes spp.	1.063	0.452	2.804
Sebastes diploproa	0.041	0.021	0.140
Sebastes elongatus	0.032	0.011	0.093
Sebastes proriger	0.056	0.016	0.093
Unidentified Scorpaenidae	0.015	0.005	0.047
Ophiodon elongatus	0.514	0.068	0.421
Agonopsis vulsa	0.002	0.011	0.047
Xeneretmus latifrons	< 0.001	0.005	0.047
Unidentified Agonidae	0.001	0.011	0.047

Liparis spp.		0.010	0.005	0.047
Unidentified Cyclopteridae		0.077	0.110	0.140
Artedius fenestralis		0.003	0.016	0.047
Leptocottus armatus		0.005	0.011	0.093
Dasycottus setiger		0.005	0.016	0.047
Unidentified Cottidae		0.130	0.247	1.449
844				
Osteichthyes (continued)				
Anoplopoma fimbria	0.259		0.005	0.047
Unidentified Syngnathidae	< 0.001		0.005	0.047
Ammodytes hexapterus	0.081		0.011	0.093
Ronquilus jordani	0.037		0.011	0.093
Glyptocephalus zachirus	0.017		0.005	0.047
Isopsetta isolepis	0.004		0.005	0.047
Pleuronichthys coenosus	0.003		0.011	0.093
Unidentified Pleuronectidae	0.073		0.058	0.514
Unidentified Osteichthyes	38.328		9.670	60.561
Unidentified material	1.947		1.882	17.009
Number of stomachs examined			2140	
Number of empty stomachs			93	
Mean fork length (mm)			167.9	
Fork length range (mm)			113-240	

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