

1 **Evaluation of DeNitrification DeComposition Model for Estimating Ammonia**
2 **Fluxes from Chemical Fertilizer Application**

3 Srinidhi Balasubramanian¹, Andrew Nelson^{1,2}, Sotiria Koloutsou-Vakakis^{1,*}, Jie Lin¹, Mark J.
4 Rood¹, LaToya Myles³ and Carl Bernacchi^{4,5}

5 ¹ *Department of Civil and Environmental Engineering, University of Illinois at Urbana-*
6 *Champaign, Urbana, IL, 61801, USA*

7 ² *U.S. Army Corps of Engineers, Engineer Research and Development Center, Construction*
8 *Engineering Research Laboratory, 2902 Newmark Dr., Champaign, IL, 61822, USA*

9 ³ *National Oceanic and Atmospheric Administration, Air Resources Laboratory, Atmospheric*
10 *Turbulence and Diffusion Division, Oak Ridge, TN 37831-2456, USA*

11 ⁴ *Department of Plant Biology and the Energy Biosciences Institute, University of Illinois at*
12 *Urbana–Champaign, 1206 West Gregory Drive, Urbana, Illinois 61801, USA*

13 ⁵ *USDA-ARS Global Change and Photosynthesis Research Unit, Urbana, IL 61801, USA*

14 * Corresponding Author: S. Koloutsou-Vakakis, sotiriak@illinois.edu

15

16 **Abstract**

17 DeNitrification DeComposition (DNDC) model predictions of NH₃ fluxes following
18 chemical fertilizer application were evaluated by comparison to relaxed eddy accumulation
19 (REA) measurements, in Central Illinois, United States, over the 2014 growing season of corn.
20 Practical issues for evaluating closure were addressed by accounting for fluxes outside the
21 measurement site and differences in temporal resolution. DNDC modeled NH₃ fluxes showed no
22 significant differences in magnitude (at p=0.05) compared to measurements and replicated trends
23 satisfactorily ($r_a^2 > 0.74$), during the initial 33 days after fertilizer application, when measured
24 fluxes were to the atmosphere, compared to later time periods when depositional fluxes were
25 measured ($r_a^2 < 0.52$). Among the model input parameters, NH₃ fluxes were most sensitive to air
26 temperature, precipitation, soil organic carbon, field capacity, pH, and fertilizer application rate,
27 timing, and depth. By constraining these inputs for conditions in Central Illinois, uncertainty in
28 daily NH₃ fluxes was estimated to vary from 0% to 70% on a daily basis, during the corn
29 growing season, with the highest uncertainty values estimated for the period of highest positive
30 NH₃ fluxes. These results can guide future improvements in DNDC, which is a valuable tool to
31 assist (1) in the development of NH₃ emission inventories with high spatial (constrained by the
32 spatial resolution of input parameters) and temporal resolution (daily) and (2) in upscaling
33 emissions from the site (farm) to the regional scale.

34

35 **Keywords**

36 DNDC, REA, ammonia emissions, fertilizer, sensitivity analysis, uncertainty analysis

37

38 **1. Introduction**

39 Application of chemical nitrogen fertilizers has supported increases in crop productivity
40 to meet global food demands (Smil, 2002). However, the introduction of excess nitrogen into the
41 environment has simultaneously resulted in adverse multi-scale, multi-environmental media
42 impacts (Galloway et al., 2003). Ammonia (NH_3) is one of the gaseous species emitted to the
43 atmosphere following application of chemical fertilizers. NH_3 is a precursor to secondary
44 ambient particulate matter (PM) (Dentener and Crutzen, 1994) that is regulated for impacts on
45 human health and visibility (US EPA, 2016). Atmospheric deposition of NH_3 and other
46 nitrogenous compounds can also alter the structure and diversity of plant communities (Krupa,
47 2003) and exacerbate surface water eutrophication and soil acidification (Erisman et al., 2013).
48 Volatilization of applied nitrogen as NH_3 is influenced by fertilizer type (Bouwman et al., 2002),
49 rate and mode of application, and local weather and soil conditions (Sommer et al., 2004).
50 Characterizing spatial and temporal heterogeneity associated with NH_3 fluxes from chemical
51 fertilizer application under various environmental conditions is essential for development of
52 representative emissions inventories for air quality modeling (Appel et al., 2011). While flux
53 measurements can support such efforts, the high reactivity of NH_3 and simultaneous presence of
54 atmospheric gaseous NH_3 and ammonium (NH_4^+) in PM (Norman et al., 2009) make such
55 measurements resource intensive and technically challenging. Given that NH_3 is not a criteria air
56 pollutant in the United States (US), measurement studies are spatially sparse and limited to short
57 time periods (SAB, US EPA, 2011).

58 Several modeling techniques have been developed to characterize variability in NH_3
59 fluxes from chemical fertilizer application, which need to be evaluated by field measurements.
60 Current estimates, reported in the National Emissions Inventory for the US, use an emission

61 factor approach (US EPA, 2015). Spatial distribution of NH₃ emissions has been obtained
62 empirically based on crop acreages at coarse (county level) (Goebes et al., 2003) and fine scales
63 (4 km x 4 km) (Balasubramanian et al., 2015) and inversion of wet deposition fluxes of NH₄⁺ at
64 36 km x 36 km (Gilliland et al., 2006) and 0.5° x 0.5° (Paulot et al., 2014). Temporal variability
65 of NH₃ fluxes has been characterized using approximations based on crop planting and
66 harvesting schedules, and seasonal nitrogen management data that identify percentages of
67 nitrogen applied before, during and after planting and post-harvest (Goebes et al., 2003);
68 empirical relationships using hourly temperature and wind speed (Gyldenkærne et al., 2005);
69 inverse modeling techniques (Gilliland et al., 2006; Paulot et al., 2014); and implementation of
70 semi-empirical models such as Environmental Policy Integrated Climate (EPIC) (Cooter et al.,
71 2012) and process models such as DeNitrification DeComposition (DNDC) (Balasubramanian et
72 al., 2015). Process-based models are particularly advantageous as they account for complex
73 physico-chemical and ecological processes in the soil and soil-atmosphere interactions. Such
74 models can be employed under a wide range of environmental conditions and nutrient
75 management practices (Cuddington et al., 2013) and for scaling fluxes from site to regional
76 scales (Olander et al., 2011). An example of process-based model is Volt'Air that simulates NH₃
77 fluxes following slurry application by accounting for the transfer and equilibria of nitrogen in the
78 soil and between soil and atmosphere (Génermont and Cellier, 1997). Other models such as
79 AGRIN (Beuning et al., 2008) and DNDC (Li et al., 1992) additionally simulate biological
80 processes of decomposition, nitrification and denitrification.

81 Originally developed to simulate N₂O and N₂ fluxes from the soil following rain events
82 (Li et al., 1992), DNDC was later modified to additionally simulate fluxes of trace gases,
83 including NH₃ (Li, 2000). DNDC has been widely employed to simulate magnitude and timing

84 of trace gas fluxes based on site-specific inputs describing climate, crop growth and nutrient
85 management practices (Gilhespy et al., 2014). For example, at the site scale, DNDC has been
86 employed to study impact of crop-rotation and tillage on crop yields (Farahbakhshazad et al.,
87 2008), greenhouse gas fluxes (Gopalakrishnan et al., 2012), NH₃ fluxes from fertilized cropland
88 (Balasubramanian et al., 2015), and nitrate leaching into water bodies (David et al., 2009). While
89 DNDC model performance has been evaluated in many studies for prediction of greenhouse
90 gases (for example Giltrap et al., 2010; Hastings et al., 2010; and review by Gilhespy et al.,
91 2014), evaluation for prediction of NH₃ fluxes is limited. DNDC performance including NH₃
92 fluxes was initially evaluated, in China, during nine days following application of urea and
93 ammonium bicarbonate in a rice field (Li, 2000) and more recently, for a wheat-corn rotation
94 system, for an 11 day period following urea application (Cui et al., 2014). Further, Manure-
95 DNDC was recently evaluated for its performance to predict NH₃ fluxes following field
96 application of livestock waste manure (Deng et al., 2015; Li et al., 2012), and for modeling
97 fluxes following swine-slurry application for Canada (Congreves et al., 2016).

98 Since, models such as DNDC parameterize pathways of evolution for different trace gas
99 fluxes with different degrees of detail, it is important to evaluate the model for each of its trace
100 gas outputs under different environmental conditions, crops and management practices in
101 different localities (Bennett et al., 2013). The objectives of this study are: (1) evaluation of
102 closure between modeled NH₃ fluxes with measurements over a corn canopy in Central Illinois
103 (located in Midwest US), for an entire growing season; (2) evaluation of model sensitivity to
104 identify impact of different environmental and nutrient management inputs on modeled NH₃
105 fluxes; and (3) estimation of uncertainty in modeled NH₃ fluxes at the measurement site. Results
106 from this study respond to the need of evaluating DNDC for NH₃, at a location within the so-

107 called US 'Corn Belt'. Such results are important to guide future efforts to (1) further improve
108 DNDC predictions; (2) facilitate quantitative estimates of NH₃ fluxes and associated
109 uncertainties for use in emission inventories, and (3) test the model as a tool for upscaling NH₃
110 emissions from the site to the regional scale.

111

112 **2. Methods**

113 **2.1 Measurement Site**

114 The measurement site is located at the Energy Farm in Urbana, Illinois, part of the Energy
115 Biosciences Institute at the University of Illinois (40° 3' 46.209" N, 88° 11' 46.0212" W, 220 m
116 above sea level) (Zeri et al., 2011) (Figure 1). The rationale for selection of this site is described
117 by Nelson et al. (2016). Plots were planted with corn (plot 1), miscanthus (*Miscanthus x*
118 *giganteus*, plot 2), switchgrass (*Panicum virgatum* L., plot 3); and a mix of 28 native prairie
119 species (plot 4) during the 2014 growing season. Privately owned corn (plot 5) fields were
120 located to the south and southwest and alfalfa (plot 6) fields were located south southeast of the
121 Energy Farm. Environmental and average climatic parameters for the site are shown in Tables 1
122 and S1 (Supplementary Information) as baseline, for year 2014.

123 The experimental field campaign was conducted at the Energy Farm corn plot (plot 1)
124 that was fertilized with 168 kg-N ha⁻¹ of 28% nitrogen solution, on May 6th 2014. NH₃ fluxes
125 were measured during the 2014 corn-growing season (Day of Year (DOY) 115-272) using the
126 relaxed eddy accumulation (REA) method. Briefly, REA is a micrometeorological method,
127 introduced by Businger and Oncley (1990) that involves conditional measurement of trace gas
128 concentrations, at a constant sample flow rate, by accumulating samples in separate reservoirs
129 during atmospheric updrafts and downdrafts, as determined by measurement of vertical wind

130 speed with a three-dimensional sonic anemometer. Field sample blanks were also obtained
131 during all REA sampling and included in sample analysis. In this experimental setup, annular
132 glass denuders coated with phosphoric acid were used to capture NH_3 in the sampled ambient air
133 stream. Following sampling, denuders were extracted in deionized water and NH_4^+ in the extract
134 solution was quantified by flow injection analysis. Experimental methods, including quality
135 assurance and quality control procedures, and measurement results are described in detail in
136 Nelson et al. (2016). Flux footprints for the measurement campaign were calculated using the
137 EddyPro software package (Version 5.1.1, LI-COR, Lincoln, NE), according to the methods by
138 (Kljun et al., 2004; and Kormann and Meixner, 2001).

139

140 **2.2 Implementation of the DNDC Model to Estimate NH_3 Fluxes**

141 DNDC (version 9.5, downloaded January 2014) models variations in trace gas fluxes at
142 site scale (perceived as the scale of a single farm) as a function of weather, soil, crop growth and
143 management inputs (Li, 2000). These inputs are used to model the evolution of soil climate, crop
144 growth, plant decomposition, and trace gases fluxes. NH_3 fluxes are estimated within the
145 decomposition sub-model and are regulated by soil ammonium concentration that is generated by
146 the turnover of soil organic matter, soil pH and ambient temperature that govern the partitioning
147 of NH_3 between liquid phase in soil and gaseous phase in the soil pores. Volatilization of NH_3 to
148 the atmosphere from the soil pores is controlled by diffusion as a function of porosity and clay
149 content (Li, 2000). NH_3 deposition is modeled based on atmospheric NH_3 concentrations and
150 deposition velocity adjusted for leaf area index, crop nitrogen and leaf surface moisture (Li,
151 2000).

152 ***2.2.1 Modeling Scheme and Input Data***

153 For the measurement-model comparison study, the 90% footprint of the REA tower, at
154 the measurement site was considered. The footprint describes the probability of an area source
155 emitting a passive scalar (such as NH_3) to contribute to the turbulent flux at the receptor location
156 (Kljun et al., 2004). The 90% footprint, calculated as distance, represents the radius of the area
157 that contributes 90% to the flux measured at the REA tower. For the cases when the 90% REA
158 footprint extended beyond the boundary of plot 1, and depending on the dominant wind
159 direction, contributions from the surrounding crops (plots 2-6) were also estimated by running
160 DNDC with the parameters of the respective ‘sites’.

161 For each crop plot, input data were first obtained from field records or where unavailable,
162 from regional databases or literature. Baseline inputs were obtained as follows: Daily ambient
163 temperature, wind speed, precipitation, solar radiation and humidity were obtained from the
164 Illinois Climate Network (ICN) site located at Bondville (15 km west of plot 1), for years 1999-
165 2014 (Illinois State Water Survey, 2015). Local site measurements of temperature and wind
166 speed, substituted the ICN data, when available (April to October 2014). Ambient NH_3
167 concentration (National Atmospheric Deposition Program, 2015a) and NH_4^+ wet deposition data
168 were obtained from observations at Bondville (National Atmospheric Deposition Program,
169 2015b). Soil pH, bulk density and soil organic carbon (SOC) were obtained from Energy Farm
170 records (communication with Energy Farm manager Timothy Mies and field research specialist
171 Michael Masters). These data resulted from analysis of bulk soil, with 5 cores taken at each plot,
172 in April 2014 at depths of 0-10 cm and 10-30 cm. Soil texture (loam), clay content and porosity
173 were obtained from the Web Soil Survey (USDA, 2015a). Saturation field capacity (water filled
174 porosity at saturation field capacity, henceforth referred to as field capacity) and wilting point
175 were obtained from measurements reported by the ICN for the Bondville site (Illinois State

176 Water Survey, 2015).

177 In terms of crop parameters, default values from DNDC's crop library were considered
178 for corn and soybeans, except for growing degree days which were obtained for the Bondville
179 site (Illinois State Water Survey, 2015). Crop parameters for switchgrass and miscanthus were
180 added to the DNDC crop library based on *Heaton et al.* (2008). Harvest for these two crops was
181 assumed to occur the year after planting. Default values from DNDC's crop library were used for
182 prairie grass and alfalfa. A corn-soybean rotation was considered for years 1999-2005 and
183 fertilizer management practices were developed following seasonal nitrogen management data
184 (Balasubramanian et al., 2015). Turnover of cropland to establish the Energy Farm in years
185 2006-2007 was modeled as fallow land. For years 2008-2014, planting and harvest dates,
186 fertilizer type, application amount and timing and tillage dates were obtained from Energy Farm
187 records for all plots (personal communication with Energy Farm manager, Timothy Mies).
188 These baseline inputs (Supplementary information, Section S1), were used to initialize
189 independent DNDC model runs for crop plots 1-6 to model daily NH₃ fluxes for the year 2014.
190 To minimize impact of initial conditions, a spin up period of 15 years was used, that lies within
191 the literature recommended range of 10-20 years (Fumoto et al., 2008; Perlman et al., 2013).

192 ***2.2.2 Methods for Closure Evaluation***

193 In order to make modeled and measured NH₃ fluxes comparable, methods were
194 developed to account for fluxes from surrounding crops for time periods when the REA footprint
195 extended outside the measurement site and for the difference in the time resolution between
196 model predictions (daily) and measurements (4 hr). The 90% REA footprint was first calculated
197 for each measurement period. If the 90% footprint was less than 100 m (minimum distance from
198 REA tower to edge of plot 1), measured NH₃ flux was assumed to include contributions only

199 from plot 1; otherwise, fluxes from plots 2-6 were also accounted. For measurement periods with
200 90% REA footprints exceeding 100 m, the 30%, 50% and 70% footprints were additionally
201 calculated and interpolation was performed to identify the percentage of REA footprint at 100 m.
202 Prevailing wind direction was then determined using wind roses for each measurement period.
203 Wind direction was used to identify which contributing plots to consider. Frequency of wind
204 from identified directions was used to adjust the modeled flux at the measurement site by
205 weighing the contributing fluxes relative to the REA footprints. Sample calculation is provided
206 in supplementary information (Section S2).

207 Differences in temporal scales between modeled and measured NH_3 fluxes were bridged
208 using concurrent continuous NH_3 flux measurements from a flux gradient system operated at the
209 measurement site that used cavity ring-down spectroscopy (CRDS) [Meyers and Baldocchi,
210 2005; NOAA/ATDD, 2016]. The aggregated NH_3 flux profile over the measurement period as a
211 function of time is presented in supplementary information (Section S3). Using the CRDS data,
212 the hourly percent flux was first calculated for each day. Then, the mean and standard deviation
213 of these hourly percent fluxes were calculated for the entire measurement period that the flux
214 gradient system was operated (DOY 129-269, in year 2014). The resulting mean hourly temporal
215 profile was applied to scale the modeled NH_3 fluxes from the daily to the hourly scale and
216 aggregated over the hours corresponding to REA measurements.

217 Four scenarios were considered to obtain NH_3 flux outputs from DNDC: (a) 'baseline',
218 only fluxes from plot 1 were considered, (b) 'baseline_spatial', baseline NH_3 fluxes from plot 1
219 were adjusted for NH_3 fluxes outside plot 1 with REA footprint correction, (c)
220 'baseline_temporal', baseline NH_3 fluxes from plot 1 were scaled from day to the hour scale, and
221 (d) 'baseline_spatial_temporal', baseline NH_3 fluxes from plot 1 adjusted using both REA

222 footprint and temporal scale corrections.

223 **2.2.3 Statistical Evaluation of Closure between Modeled and Measured NH₃ Fluxes**

224 Closure was evaluated using analysis of association and analysis of coincidence. Analysis
 225 of association indicates how well trends in modeled and measured NH₃ fluxes are replicated
 226 while the analysis of coincidence estimates the differences in magnitude of modeled and
 227 measured NH₃ fluxes (Smith and Smith, 2007). Association was analyzed using the sample
 228 correlation coefficient (r_a , Equation 1a) where $r_a = 1$ indicates positive association of trends
 229 between measured and modeled values, while $r_a = -1$ indicates negative association. r_a^2 value of
 230 0.8 or higher is typically identified as significant association (Smith and Smith, 2007).

231
$$r_a = \frac{\sum_{i=1}^{i=n} (O_i - \bar{O})(P_i - \bar{P})}{\sqrt{\sum_{i=1}^{i=n} (O_i - \bar{O})^2 \sum_{i=1}^{i=n} (P_i - \bar{P})^2}} \quad \dots \text{Equation 1a}$$

232 Coincidence was analyzed using the root mean square error (RMSE, Equation 1b) and
 233 Student's t-test statistic (t, Equation 1c) to identify if differences in modeled and measured fluxes
 234 were statistically significant at 5% significance level (Smith and Smith, 2007).

235
$$\text{RMSE (\%)} = \frac{100}{\bar{O}} \times \sqrt{\frac{\sum_{i=1}^{i=n} (O_i - P_i)^2}{n}} \quad \dots \text{Equation 1b}$$

236

237
$$\frac{\sum_{i=1}^{i=n} (O_i - P_i)}{n} \times \sqrt{n}$$

238

... Equation 1c

239
$$t = \frac{\frac{\sum_{i=1}^{i=n} (O_i - P_i)}{n} \times \sqrt{n}}{\sqrt{\frac{\sum_{i=1}^{i=n} ((O_i - P_i) - (\sum_{i=1}^{i=n} \frac{O_i - P_i}{n}))^2}{n - 1}}}$$

240

241 where, $O_i = i^{\text{th}}$ observation, $\bar{O} = \text{mean of } i \text{ observations}$, $P_i = i^{\text{th}}$ prediction, $\bar{P} = \text{mean of } i$
242 predictions, $n = \text{number of samples}$.

243

244 **2.3 Sensitivity to Inputs and Uncertainty in Modeled NH₃ Fluxes**

245 As previously mentioned, DNDC inputs were obtained from site measurement records
246 and regional databases for Central Illinois. There are underlying uncertainties in these inputs,
247 either from natural variability (e.g. inputs such as soil moisture or soil organic carbon) or from
248 uncertainties in knowledge of farm management practices in neighboring plots. The latter is
249 important in this study because in order to make modeling results comparable to measurements,
250 we considered the extend of the REA method footprint, which depending on wind speed and
251 direction was occasionally extending outside of a single plot, where farm management
252 parameters were different. To estimate the resulting uncertainty in modeled NH₃ fluxes, from
253 both natural variability and management practices of adjacent plots, a model sensitivity analysis
254 was performed followed by uncertainty analysis. Sensitivity analysis was first performed to
255 identify input parameters that have the most influence on the modeled NH₃ fluxes, using the
256 built-in Monte Carlo function in DNDC (Li et al., 2004), by changing one parameter at a time.
257 Then, the range of the identified most influential inputs was constrained for conditions in Central
258 Illinois, and used as input to DNDC to estimate uncertainty in NH₃ fluxes. Choosing the one
259 parameter at a time approach for sensitivity analysis of DNDC is appropriate to help us identify
260 the most influential parameters because any single change in the primary drivers (e.g., climate,
261 soil, vegetation or anthropogenic activity) alters one or more of the environmental factors (e.g.,
262 radiation, temperature, moisture, Eh, pH and substrate concentration gradient); and these changes
263 can affect the biochemical or geochemical reactions, which finally determine the transport and
264 transformation of C and N in the ecosystem (Li, 2011).

265 In the literature, we have identified one previous DNDC sensitivity analysis study for
 266 NH₃ fluxes that assessed inputs related to alternate nutrient management practices (Cui et al.,
 267 2014). In this study, we have included a larger number of inputs, assessing 26 input variables
 268 related to weather, soil, crop growth and management practices using Monte Carlo simulations.
 269 For this purpose, inputs for plot 1 for the year 2014 were considered (baseline_montecarlo, Table
 270 S1). Inputs were varied, one at a time, over 3000 iterations, keeping other inputs constant at
 271 baseline_montecarlo values. Since wind speed was unavailable within the built-in Monte Carlo
 272 function, it was evaluated separately by changing daily wind speed in increments of 0.5 m/s over
 273 a range of ± 10 m/s (excluding negative values).

274 The relative deviation ratio (RDR, Equation 2a) was used to identify inputs to which
 275 modeled NH₃ fluxes were most sensitive (Hamby, 1994). RDR > 1 indicates high sensitivity to
 276 the input, since the uncertainty propagated through the model is increased due to the formulation
 277 of the model. An RDR = 1 indicates that all input uncertainty is passed through the model and
 278 appears as output uncertainty, while RDR < 1 indicates that the model is less sensitive to the
 279 parameter, thereby the parameter is contributing to output uncertainty to a lesser degree (Hamby,
 280 1994). The sensitivity index (SI, Equation 2b) was used to evaluate qualitative inputs of fertilizer
 281 and tillage timing (Hamby, 1994). Higher SI implies higher sensitivity of model outputs to the
 282 input parameter. For this study, inputs with RDR > 0.2 or SI > 0.2 were identified as “key
 283 inputs”, meaning that they contribute most to the modeled NH₃ flux uncertainty.

$$284 \quad RDR = \frac{\bar{O} \times \sqrt{\sum_{i=1}^{i=n} (P_i - \bar{P})^2}}{\bar{P} \times \sqrt{\sum_{i=1}^{i=n} (O_i - \bar{O})^2}} \quad \dots \text{Equation 2a}$$

$$285 \quad SI = (P_{max} - P_{min}) / P_{max} \quad \dots \text{Equation 2b}$$

286 where, P_{max} = maximum of all P_i , P_{min} = minimum of all P_i

287 Once the influential inputs were identified for the DNDC modeled NH_3 fluxes,
 288 variabilities of these inputs were used to estimate uncertainty in modeled fluxes. Observed
 289 ranges in values of key inputs for Central Illinois were constrained using measurement site
 290 records and regional databases (Table 1). Minimum and maximum input values were considered
 291 in the modeling scheme provided in Section 2.2.1 for the corn in plot 1 and plot 5. While inputs
 292 for biofuel crops (plots 2, 3, 4, 6) were not varied due to the small contributions of their fluxes,
 293 their flux contributions were accounted by making the spatial adjustments described in Section
 294 2.2.2. The total uncertainty band was estimated by considering the maximum and minimum
 295 modeled NH_3 fluxes resulting from this approach.

296
 297 **Table 1:** Range of input values observed in Central Illinois. For each input parameter, the
 298 minimum and maximum values were used in the modeling scheme provided in Section
 299 2.2.1 to characterize uncertainty in modeled NH_3 fluxes at the measurement site for the
 300 year 2014.

Parameter	Baseline	Minimum-maximum values observed in Central Illinois
Air temperature ($^{\circ}\text{C}$) (annual average)	9.44	Comparing measurements at the Energy Farm and Bondville and Willard weather stations ^a
Precipitation (cm) (annual average of daily precipitation)	0.3	Comparing measurements at the Energy Farm and Bondville and Willard weather stations ^a
Field capacity	0.36	0.33 ^b - 0.44 ^a
pH	5.16	4.42 ^c - 6.7 ^d
Soil organic carbon (kg-C/kg-soil)	0.035	0.015 ^e - 0.045 ^d
Tilling date	5 th May	4 th May - 6 th May
Tilling depth (cm)	10	10 ^f - 15 ^g
Fertilizer application date	5 th May	21 st April ^h - 23 rd May ^h
Fertilizer application depth (cm)	15	10 ^f - 15 ^g

Parameter	Baseline	Minimum-maximum values observed in Central Illinois
Fertilizer application amount (kg-N ha ⁻¹)	168	160 ⁱ – 220 ⁱ

301 ^a *Illinois State Water Survey* [2015]; ^b *Hollinger* [1995]; ^c Energy Farm records; ^d *USDA* [2015b]; ^e
302 *Gopalakrishnan et al.* [2012]; ^f DNDC default value for chisel tillage; ^g *Simmons and Nafziger* [2014]; ^h
303 *USDA* [2010]; ⁱ Observed values (1999-2014) (*USDA*, 2015b).

304 **3.0 Results and Discussion**

305 **3.1 Evaluation of Predictive Capability of DNDC**

306 **3.1.1 Modeled NH₃ Fluxes at the REA Measurement Site**

307 Ambient temperature and modeled NH₃ fluxes for crops in plots 1-6 are shown in
308 Figures 2a and 2b respectively, for the year 2014. Largest modeled NH₃ fluxes occurred after
309 fertilizer application for corn in plot 1 (7.13 kg-N ha⁻¹yr⁻¹) and plot 5 (9.22 kg-N ha⁻¹yr⁻¹). In
310 contrast, NH₃ fluxes from miscanthus (plot 2, 0.79 kg-N ha⁻¹yr⁻¹) and switchgrass (plot 3, 0.57
311 kg-N ha⁻¹yr⁻¹) were considerably lower, while modeled NH₃ fluxes were zero for prairie grass
312 (plot 4) and alfalfa (plot 6). These differences are attributed to the differences in amount, timing
313 and type of fertilizer application.

314 Plot 1 was planted and fertilized on May 6th with 28% urea ammonium nitrate (UAN),
315 while plot 5 was fertilized on March 26th with 28% UAN (33 kg-N ha⁻¹) and 82% anhydrous
316 ammonia (168 kg-N ha⁻¹) and planted on April 23rd (personal communication with EBI Energy
317 Farm manager, Timothy Mies). Use of anhydrous ammonia in plot 5, resulted in a spike in fluxes
318 within a day that is consistent with previous observations (Sommer and Christensen, 1992;
319 Sommer et al., 2004) and NH₃ fluxes continued for a period of 55 days. Similarly, NH₃ peak
320 fluxes in plot 1 were observed shortly after application but they continued over a shorter period
321 of 35 days. These results are consistent with previous measurement studies that indicate largest
322 initial NH₃ fluxes were correlated with higher temperatures on and following the day of
323 application (Fenn and Hossner, 1985; Sharpe and Harper, 1995). The fluxes following UAN
324 application in plot 1 are of the same order of magnitude and display similar temporal trends to
325 those reported by *Jantalia et al.* (2012), with peak fluxes observed 6-10 days following
326 application.

327

328 *3.1.2 Evaluating Closure between Modeled and Measured NH₃ Fluxes*

329 Comparison of modeled NH₃ fluxes under four scenarios with REA measurements is
330 shown in Figure 3. DNDC overall underestimated NH₃ fluxes compared to measurements, except
331 for the baseline case (Table 2). Modeled fluxes after DOY 178 were zero for all cases. However,
332 measurements indicated fluxes of smaller magnitudes (under 0.20 μg m⁻²s⁻¹) in the same time
333 period. No negative fluxes were captured by DNDC during the entire measurement period,
334 indicating a limitation of the model in capturing depositional fluxes for the year 2014. Modeled
335 NH₃ fluxes were evaluated for closure using coincidence and association statistics (Table 2) over
336 two time frames: (1) for the entire time period for which REA measurements were available
337 (DOY 115-272, 36 samples) and (2) a shorter time period characterized by highest positive
338 fluxes recorded by REA measurements in plot 1 (DOY 126-158, 14 samples) (Nelson et al.,
339 2016).

340 RMSE for the baseline case was higher for the entire measurement period (168.8%)
341 compared to DOY 126-159, characterized by higher measured positive fluxes (114.6%). RMSE
342 also reduced from 168.8% to a lowest value of 123.8% for the entire measurement period and
343 from 114.6% to lowest value of 58.1% for DOY 126-159, when considering alternative
344 scenarios. A two-tailed t-test (Table 2), showed no significant differences between modeled and
345 measured NH₃ fluxes for DOY 126-159, while two scenarios (baseline_temporal and
346 baseline_spatial_temporal) resulted in significant differences when considering the entire
347 measurement period. Association statistics ($r_a^2 = 0.38-0.52$) indicated poor correlation between
348 measurements and modeled results for the entire growing season. However, r_a^2 values were
349 considerably higher (0.74-0.83), for DOY 126-159, while r_a^2 values improved when external flux

350 contributions were accounted (baseline_spatial) and when the day to hour conversion was
 351 applied (baseline_temporal and baseline_spatial_temporal).

352 **Table 2:** Coincidence and association statistics for evaluating closure between modeled and
 353 measured NH₃ fluxes. Two time frames were considered for analysis: entire measurement
 354 period (DOY=115-272) and days characterized by high positive NH₃ fluxes following
 355 fertilizer application in plot 1 (DOY= 126-159).

Scenario	Coincidence statistics			Association statistics		
		DOY 115-272 (n=36)	DOY 126-159 (n=14)		DOY 115-272 (n=36)	DOY 126-159 (n=14)
baseline	RMSE (%)	168.8	114.6	r_a²	0.38	0.74
	t	0.86	1.04			
	p	{0.40}	{0.32}			
baseline_spatial	RMSE (%)	123.8	58.1	r_a²	0.47	0.74
	t	0.36	0.09			
	p	{0.72}	{0.93}			
baseline_temporal	RMSE (%)	145.7	76.6	r_a²	0.42	0.83
	t	2.56	1.33			
	p	{0.01} ⁺	{0.20}			
baseline_spatial_temporal	RMSE (%)	156.1	102.3	r_a²	0.52	0.83
	t	2.91	1.45			
	p	{0.01} ⁺	{0.17}			

356 ⁺ indicates significant difference at 5% significance level

357

358 These results suggest that DNDC has poorer agreement with the REA measurements
 359 when there are depositional fluxes to the corn canopy. Estimated RMSE's for all four modeled
 360 cases and time frames were higher compared to RMSE reported by *Cui et al.* (2014) (77.4%) and
 361 *Li* (2000) (39%). These studies considered shorter time scales (< 11 days) compared to the time
 362 scales of analysis in this study. This could be one reason for the higher RMSE's observed in our
 363 study. Improved RMSE for DOY 126-159, for all four modeled cases, indicate that modeled
 364 NH₃ fluxes were more representative of the physico-chemical processes governing soil-
 365 atmosphere exchange of NH₃ as compared to the entire time period of DOY 115-272. Since,

366 REA measurements indicate higher depositional fluxes after DOY 159, this is indicative of
367 possible limitations of the DNDC depositional algorithm for NH₃. Addition of flux contributions
368 from adjacent fields when the REA footprint was exceeding the 90% footprint limit, and
369 adjustment to match the measurement and simulation time scales resulted in improved model-
370 measurement agreement for the time period when fluxes were to the atmosphere.

371

372 **3.2 Assessing DNDC Model Uncertainty**

373 *3.2.1 Results of Sensitivity Analysis using Monte Carlo simulations for NH₃ Predictions*

374 Results from sensitivity analysis using Monte Carlo simulations are presented in Table 3.
375 DNDC modeled NH₃ fluxes were most sensitive (RDR > 0.2 or SI > 0.2) to changes in daily air
376 temperature, precipitation, soil properties of field capacity, pH and SOC content and nutrient
377 management practices of tillage date and fertilizer amount, timing and depth of placement.
378 Changes in soil porosity resulted in a smaller but measurable (RDR > 0.1) impact on NH₃ fluxes.
379 Crop parameter inputs and wind speed had little (RDR < 0.1) impact; while average annual
380 atmospheric nitrogen concentrations and wet deposition had no detectable impact on DNDC
381 modeled NH₃ fluxes.

382 Wind speed was not identified as an influential input. Sharpe and Harper (1995) reported
383 that NH₃ fluxes increase under higher wind speeds due to rapid transport of NH₃ away from the
384 soil surface. *Gyldenkerne et al.* (2005) also assumed wind speed as an influential input and
385 developed an empirical model using hourly wind speed data to estimate temporal variations in
386 NH₃ fluxes. However, other studies have reported wind speed as an influential factor only in the
387 presence of high NH₃ fluxes over a relatively short period of time (Sommer et al., 2004). Such
388 results suggest that the temporal resolution of the REA method (4 hr) may not be sufficient to

389 resolve the impact of wind speed on NH_3 flux. Similarly, DNDC exhibited no sensitivity to
390 background NH_3 concentrations. Since NH_3 is bi-directionally exchanged between the crop
391 canopy

392 **Table 3:** Baseline and range of input parameters chosen for sensitivity analysis to identify most
 393 influential input parameters for predicted NH₃ fluxes. Influential inputs, highlighted in
 394 grey, are considered when RDR > 0.2 and SI > 0.2.

	Parameter	Baseline	Range	RDR	SI
Climate	Wind speed (m/s) ⁺	2.69	± 10	0.004	
	Air temperature (°C)	9.44	± 4	0.48	
	Precipitation (cm)	0.3	± 75%	0.55	
	Atm. CO ₂ concentration (ppm _v)	380	± 20%	0.02	
	Atm. NH ₃ concentration (ppm _v)	1.28	± 100%	0.00	
	Atm. N deposition (mg-N ha ⁻¹)	1.39	± 100%	0.00	
Soil	Soil clay ⁺⁺	0.22	± 20%	0.06	
	Bulk density (g/cm ³)	1.36	± 40%	0.00	
	Hydro conductivity (m/hr)	0.02502	± 40%	0.03	
	Field capacity ⁺⁺	0.36	± 50%	0.57	
	Wilting point ⁺⁺	0.14	± 40%	0.00	
	Porosity ⁺⁺	0.451	± 40%	0.14	
	pH	5.16	± 75%	0.98	
	Soil organic carbon (kg-C/kg-soil)	0.035	± 75%	0.57	
	Initial [NO ₃ ⁻] in soil (mg-N/kg-soil)	0.5	± 75%	0.00	
	Initial [NH ₄ ⁺] in soil (mg-N/kg-soil)	0.05	± 75%	0.00	
Crop	Maximum yield (kg-C ha ⁻¹)	4123.6	± 30%	0.06	
	Plant C/N ratio	50	± 30%	0.06	
	Water requirement (kg-water/kg-dry matter)	150	± 50%	0.06	
	Growing degree days (°C)	3150	± 50%	0.07	
Nutrient management	Crop residue ⁺⁺	0.3325	± 35%	0.00	
	Tilling date	5 May	± 15 days		0.38
	Tilling depth (cm)	10	± 100%	0.03	
	Fertilizer application date	5 May	± 15 days		0.93
	Fertilizer application depth (cm)	15	± 100%	0.33	
	Fertilizer application amount (kg-N ha ⁻¹)	168	± 100%	1.39	

395 ⁺Wind speed is not an input option within the Monte Carlo function in DNDC. Sensitivity to wind speed
 396 was estimated by varying wind speed within a range of ±10 m/s in increments of ±0.5 m/s (no negative
 397 values) in repeated DNDC runs. ⁺⁺ Reported as a fraction (0-1).

398

399 and the atmosphere, higher background NH_3 concentrations could potentially enhance nitrogen
400 deposition to the crop (Sutton et al., 1995). The lack of sensitivity to background NH_3
401 concentrations could be due to either much higher fluxes to the atmosphere resulting from
402 fertilizer application or the current representation of NH_3 deposition to the crop within DNDC.

403 Response in modeled NH_3 fluxes (% change with respect to baseline_montecarlo) with
404 changes in influential input parameters (% change of input with respect to baseline_montecarlo)
405 is presented in Figure 4. To estimate trace gas fluxes and nitrogen pools in the soil, DNDC uses
406 empirical “climate reduction factors” to model impact of air temperature and water content on
407 nitrification and denitrification rates (Li et al., 1992). Results (Figure 4a) were consistent with
408 observations of enhanced NH_3 volatilization due to higher ambient temperatures (Sharpe and
409 Harper, 1995) but reduced microbial activity in presence of higher soil temperatures (Fenn and
410 Hossner, 1985). Inhibition of NH_3 fluxes in during higher precipitation (Figure 4b) was
411 consistent with observations, since a rain event would typically enhance urea dissolution and
412 infiltration into the soil (Black et al., 1987; Sommer et al., 2004).

413 Field capacity refers to the amount of water held by the soil before draining and is
414 typically estimated using soil moisture data (Richards and Weaver, 1944). Increasing field
415 capacity values lowered NH_3 fluxes (Figure 4c); since higher moisture content lowered
416 ammoniacal nitrogen concentration and reduced NH_3 volatilization (Haynes and Sherlock, 1982).
417 Soil pH plays an important role in NH_3 volatilization. Modeled trends (Figure 4d) were
418 consistent with enhanced NH_3 fluxes from alkaline soils with $\text{pH} > 7$ (Sommer et al., 2004). Soil
419 organic carbon (Figure 4e) is linked to the potential for denitrification (Sommer et al., 2004) and
420 influences the amount of NH_4^+ available in soil water after decomposition processes are
421 accounted for (Li, 2000).

422 Modeled NH_3 fluxes are highly sensitive to tillage and fertilizer practices as indicated
423 in Table 3. The impact of changing tillage dates (Figure 4f) should be interpreted in
424 conjunction with the fertilizer application date. As indicated in Figure 4f, tilling before
425 fertilizer application (indicated by negative date on the x-axis) has virtually no impact on NH_3
426 fluxes, since very little nitrogen is available in the soil water to volatilize. *Cui et al.* (2014)
427 modeled trace gas fluxes by considering tillage one day after fertilizer application, to ensure
428 DNDC can model this process. When the tillage occurs 1-10 days after fertilizer application,
429 NH_3 volatilization is reduced, possibly due to the incorporation of applied nitrogen in the soil
430 (Fernández et al., 2014). While NH_3 fluxes were not sensitive to tillage depth within DNDC,
431 depth of fertilizer application is an influential input. From Figure 4g, it is evident that
432 increasing depth of fertilizer incorporation (negative depths) reduces NH_3 volatilization.
433 However, it is unclear why DNDC Monte Carlo simulation resulted in highest NH_3 fluxes at
434 baseline of 15 cm depth compared to surface application (100% increase with respect to
435 baseline_montecarlo). This point is important to examine during future investigations of
436 DNDC. Studies indicate that surface application of fertilizers enhances NH_3 fluxes in
437 comparison with injection (Nyord et al., 2008; Sommer et al., 2004) while injection of
438 fertilizers especially urea deeper than 7.5 cm in the soil reduces NH_3 fluxes (Liu et al., 2015;
439 Rochette et al., 2013). Response of modeled NH_3 fluxes to nitrogen loading (Figure 4h) was
440 consistent with several studies (Haynes and Sherlock, 1982; Sommer et al., 2004). The
441 influence of change in fertilizer application date (Figure 4i) could be linked to two factors;
442 change in temperature with time and the lag between fertilizer application and planting date.
443 Applying fertilizers ahead of planting increases NH_3 fluxes since the time for nitrification and
444 loss to the atmosphere before crop uptake is increased (Fernández et al., 2014).

445

446 **3.2.2 Estimating Uncertainty in DNDC Modeled NH₃ Fluxes**

447 Uncertainty in modeled NH₃ fluxes for plot 1 is presented in Figure 5, for the varying
448 range of input conditions characteristic of Central Illinois (Table 1). Only input parameters that
449 were most influential on the sensitivity of modeled NH₃ fluxes are included in Figure 5. The
450 typical nitrogen loading rates ranged from 160 kg-N ha⁻¹ (USDA, 2015b) to 220 kg-N ha⁻¹
451 (Fernández et al., 2014), the resulting uncertainty in modeled NH₃ fluxes was estimated as –
452 14% to 42%. Uncertainty from UAN injection depth (baseline value of 15 cm and 10 cm as
453 reported by Simmons and Nafziger (2014)) was -11%. Similarly, if fertilizer application date
454 was varied between the earliest and latest reported planting dates of corn (USDA, 2010),
455 uncertainty in modeled NH₃ fluxes were as high as 28%. Tillage was varied to occur either on
456 or a day after fertilizer application as recommended by Cui *et al.* (2014), resulting in a smaller
457 variability of 8% in modeled NH₃ fluxes.

458 Variations in reported values of soil field capacity and pH resulted in variabilities in
459 NH₃ fluxes between -16% to 7% and 6% to -1%, respectively, while varying soil organic
460 carbon resulted in a larger variability (-50% to 7%) in NH₃ fluxes. By varying daily minimum
461 and maximum air temperature, uncertainty in NH₃ fluxes was estimated in the range of -9 to -
462 13%. Similarly, varying daily precipitation introduced uncertainty in the range of -7% to 23%.
463 These results support that among the influential parameters fertilizer application rate and
464 timing, field capacity, SOC and precipitation are the ones that most contribute to the
465 uncertainty of DNDC predicted NH₃ fluxes.

466 The overall uncertainty in modeled NH₃ fluxes based on variability of aforementioned
467 influential inputs are presented on a daily scale, as a grey band, in Figure 6 that also includes

468 the results from the REA measurements. At the daily scale, the uncertainty varied between 0%
469 and 70% with highest uncertainty between DOY 88-116. REA measurements on DOY 115-116
470 and after DOY 159 lay outside the uncertainty band in modeled NH_3 fluxes. Since, plot 1 was
471 fertilized only on DOY 126, fluxes on DOY 115-116 were spatially accounted from corn plot
472 south of plot 1 (plot 5).

473

474 **4.0 Summary and Conclusions**

475 DNDC is widely used to predict fluxes of greenhouse and trace gases such as NH_3 to
476 the atmosphere. While the model's performance for predicting greenhouse gas fluxes has been
477 evaluated in many studies, assessment of NH_3 fluxes following chemical fertilizer application
478 is reported only in two studies in China, for periods of a few days (Li, 2000; Cui et al., 2014).
479 In this study, DNDC's ability to model NH_3 fluxes following fertilizer application at a typical
480 US Corn Belt site over an entire corn growing season was evaluated. Modeled NH_3 fluxes were
481 compared with measurements obtained using the relaxed eddy accumulation (REA) method, at
482 a measurement site in Central Illinois, Midwest US, in year 2014. Practical issues in evaluating
483 closure were also addressed.

484 In DNDC, a field site is conceptualized with uniform nutrient management and
485 environmental parameters. Similarly, REA towers are ideally capturing fluxes from uniform
486 environments. However, such ideal conditions are rarely realizable first because of high natural
487 variability of environmental parameters and second because of farmer decisions. To make
488 model-measurement inter comparison possible, we considered all of REA measurements
489 regardless of the REA footprint and devised a method to account for spatial heterogeneity by
490 apportioning fluxes to plots surrounding the measurement plot. We also devised an approach to

491 scale down the daily DNDC predictions to the 4-hr duration of the REA measurements. Thus,
492 to evaluate closure between modeled and measured fluxes, we developed a four scenario
493 approach, where different scenarios accounted for spatial heterogeneity and temporal
494 resolution differences. Overall, for this study, DNDC fluxes were less than measured ones for
495 all scenarios and DNDC fluxes were in better agreement with REA measured fluxes during
496 periods of high positive fluxes rather than periods of observed negative fluxes, indicating
497 possible need for improvement of the NH_3 deposition algorithm of the model. Comparison of
498 uni-directional to bi-directional parameterization of dry deposition have been found to account
499 for up to 50% differences, at the site scale (Dennis et al., 2013).

500 Measurements as well as model outputs include uncertainties. Measurement uncertainty
501 is not easy to quantify for a micrometeorological method because there is no standard method
502 to compare to. Reliability of REA measurements is established based on theoretical validity,
503 comparison with other measurement methods, and by following strict quality control and
504 quality assurance protocols. With regard to DNDC, uncertainty is introduced either due to the
505 representation of physico-chemical and biological processes that influence NH_3 fluxes or from
506 variability of the input parameters. Uncertainty due to model formulation was identified by the
507 consistent underprediction of fluxes, especially in the time period with higher depositional
508 (negative) fluxes. Uncertainties were also introduced due to the inherent variability of inputs
509 such as soil properties or because of inputs that are typically reported within a range, such as
510 fertilizer application loading, depth and timing. In this study, we quantified the resulting
511 uncertainty from variability in inputs that DNDC modeled NH_3 fluxes were most sensitive to.
512 Sensitivity analysis indicated that for the case we examined, DNDC modeled NH_3 fluxes were
513 most sensitive to the environmental inputs of ambient air temperature, precipitation, soil

514 organic carbon, pH and field capacity and nutrient management practices of tillage date,
515 fertilizer application depth and fertilizer loading. By constraining the range of these inputs
516 excluding fertilizer application rate and timing (that were well defined for our measurement
517 site), an uncertainty band was estimated around modeled fluxes that enabled us to qualitatively
518 evaluate if the measurement values fall within or outside the uncertainty band. Consideration
519 of the uncertainty band further supported model limitations in capturing depositional flux
520 magnitudes and an overall underprediction of fluxes.

521 Accurate spatial and temporal variations in NH_3 emissions are needed as inputs to air
522 quality models, for accurate estimates of nitrogen loss in the environment and quantification of
523 nitrogen deposition fluxes to sensitive and intensively managed ecosystems. This study is the
524 first to evaluate the predictive capability of DNDC to model NH_3 fluxes over an entire growing
525 season and estimate associated uncertainty. Our results have important implications for three
526 reasons. First, they demonstrate that DNDC is able to capture timing of NH_3 emission peaks
527 following chemical fertilizer application. Second, they identify key influential parameters that
528 resulted in highest model output uncertainty. Third, they highlight practical issues when
529 examining closure between model predictions and measurements due to underlying
530 assumptions of homogeneous spatial unit and differences in temporal resolution between
531 model and measurements. In a broader perspective, while measurements provide valuable site-
532 level data, models are advantageously used to estimate trace gas fluxes at regional and global
533 scales. Therefore, it is important that the most commonly used models are evaluated for the
534 application used and their limitations are well understood. With this in mind, in the case of
535 using DNDC for obtaining NH_3 fluxes following fertilizer application, our results point to the
536 following areas for future improvements: a) improvement of the NH_3 deposition algorithm to

537 include detailed parameterization describing the bi-directionality of the NH₃ fluxes (Nemitz et
538 al., 2001); b) flux output at time scales relevant to air quality models (hourly); c) further
539 evaluation/closure studies at the site mode; and d) investigations of DNDC use for upscaling
540 fluxes from the site to the regional scale. A regional mode of DNDC is available and
541 implemented widely (Neufeldt et al., 2006; Pathak et al., 2005). However, while multiple crops
542 can be represented using the regional scale version of DNDC, it does not allow accounting for
543 detailed site specific inputs and modeled fluxes are obtained at the annual level, for the sake of
544 computational efficiency (Perlman et al., 2013). Therefore, large discrepancies between the use
545 of site and regional modes have been highlighted by *Perlman et al.* (2013). In the absence of a
546 clear distinction between the descriptions of site and regional models (Perlman et al., 2013),
547 comparisons of modeled results to predictions, as presented in this study can help constrain
548 model uncertainties and assist in future model improvements.

549

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