

1 **Acoustic recordings, biological observations, and genetic identification of a rare(?) beaked whale in**  
2 **the North Pacific: *Mesoplodon carlhubbsi***

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4 Lisa T. Ballance<sup>1</sup>, Robert L. Pitman<sup>1</sup>, Jay Barlow<sup>2</sup>, Todd Pusser<sup>3</sup>, Annamaria I. DeAngelis<sup>4</sup>, Craig Hayslip<sup>1</sup>,  
5 Ladd Irvine<sup>1</sup>, Debbie Steel<sup>1</sup>, C. Scott Baker<sup>1</sup>, Daniel Gillies<sup>5</sup>, Simone Baumann-Pickering<sup>6</sup>, Jennifer S.  
6 Trickey<sup>6</sup>, Brian Gisborne<sup>7</sup>

7  
8 <sup>1</sup>Marine Mammal Institute, Oregon State University, Newport, Oregon, USA

9 <sup>2</sup>Independent Researcher, San Diego, California, USA

10 <sup>3</sup>Independent Researcher, Virginia Beach, Virginia, USA

11 <sup>4</sup>Northeast Fisheries Science Center, NOAA Fisheries, Woods Hole, Massachusetts, USA

12 <sup>5</sup>National Environmental Satellite, Data, and Information Service, NOAA, Greenbelt, MD, USA

13 <sup>6</sup>Scripps Institution of Oceanography, University of California, San Diego, La Jolla, California, USA

14 <sup>7</sup>Cetacean Research Program, Pacific Biological Station, Nanaimo, British Columbia, Canada

15

16 **Abstract**

17 Although Hubbs' beaked whale (*Mesoplodon carlhubbsi*) was previously known from over 60 strandings  
18 on both sides of the North Pacific, it had been identified alive in the wild only once, off Oregon in 1994.

19 In September 2021, we conducted a search effort for beaked whales off the coast of Oregon using a  
20 towed hydrophone array and a visual search team. Approximately 350 km off the Columbia River mouth,  
21 we detected the vocalizations of an unidentified mesoplodont whale; we stopped our vessel and waited  
22 in the area until two unidentified juvenile *Mesoplodon* surfaced and stayed near our vessel for almost 2  
23 hr. During that time, we took numerous photographs and videos, made behavioral observations, and  
24 recorded their vocalizations. The DNA sequence from a biopsy sample identified them as *M. carlhubbsi*.

25 In this paper, we discuss our biological observations, including color patterning and acquired markings,  
26 behavioral observations, and describe for the first time the acoustic characteristics of this species. We  
27 confirm that *M. carlhubbsi* is the source of a previously unidentified acoustic signal known as BW37V,  
28 and we update what is known about the at-sea distribution of this species based on previous recordings  
29 and observational records.

30

31 **Key words** – color pattern, distribution, frequency-modulated echolocation pulse, Hubbs’ beaked whale,  
32 *Mesoplodon carlhubbsi*, rostrum injury, acoustics, species identification, biological observations

33

## 34 **1 | INTRODUCTION**

35 In 1945, Carl Leavitt Hubbs, a preeminent American ichthyologist, documented a beaked whale  
36 (Family Ziphiidae) that stranded alive on a beach near his office in La Jolla, California (Hubbs, 1946).  
37 Although originally misidentified (as Andrews’ beaked whale *Mesoplodon bowdoini*), the skull and color  
38 pattern were different from any ziphiid previously known to science, and it was eventually recognized as  
39 a new species – Hubbs’ beaked whale, *M. carlhubbsi* (Moore, 1963). It would be almost 50 years,  
40 however, before this species would be identified alive in the wild. On July 26, 1994, during a marine  
41 mammal survey cruise off Oregon, two groups of Hubbs’ beaked whales were identified by the color  
42 pattern considered to be diagnostic of adult males (Yamada et al. 2012; RLP pers. obs.). To our  
43 knowledge, there have been no other reported sightings of this species at sea, and it continues to be  
44 known almost entirely from specimens stranded on beaches off western North America and Japan.

45 More recently, cetacean acousticians have been cataloging distinctive and unique sets of calls  
46 from unidentified beaked whales in the eastern North Pacific. From these, Baumann-Pickering et al.  
47 (2014) suggested that call type “BW40” could be Hubbs’ beaked whale. Later, Griffiths et al. (2019)  
48 suggested that a different call type, BW37V, recorded from various locations off Oregon and California,

49 was more likely to be Hubbs' beaked whale because its distribution more accurately reflected the known  
50 range of this species based on stranding data.

51 During September 2021, we conducted a research cruise that focused on visual and acoustic  
52 detections of beaked whales within the Exclusive Economic Zone (i.e., within 200 nmi/370 km of the  
53 coast) of Oregon. Here we report on an extended encounter with a pair of juvenile Hubbs' beaked  
54 whales. Species identification was confirmed by DNA sequencing of a biopsy sample; we also confirmed  
55 the link with the BW37V acoustic signal, and provide new information on the acoustic features,  
56 appearance, behavior, and distribution of this poorly known species.

57

## 58 **2 | METHODS**

59

### 60 **2.1 | Acoustic sampling and data analysis –**

61 Research was conducted while onboard the 25.6 m R/V *Pacific Storm* (Marine Mammal Institute, Oregon  
62 State University). A hydrophone array was towed behind the vessel at mean depth of 27.5 m  $\pm$  8.7 m, all  
63 hours of all days at sea. Analog signals from two HTI-96-min (High-Tech, Inc.; Long Beach, MS)  
64 hydrophone elements in an oil-filled tube were digitized at 400 kHz with an NI-USB-6356 data  
65 acquisition system (National Instruments; Austin, TX) and recorded to hard disk with PAMGuard  
66 software (v.2.01.05; Gillespie et al., 2008). The hydrophones have a flat frequency response ( $\pm$  3 dB)  
67 from 1 to 30 kHz and a usable frequency range up to 150 kHz (see "standard hydrophone" calibration  
68 curve in Wildlife Acoustics [2016]). Digitized signals were decimated to 200 kHz and monitored in real-  
69 time using the spectrogram and time-bearing window of the PAMGuard software platform on a laptop  
70 computer. Pulsed sounds were automatically classified based on peak frequencies and presence of a  
71 frequency-modulated (FM) upsweep, and these classifications were displayed as color-coded symbols in  
72 a click-time-bearing window. Bearing angles relative to the main axis of the array were calculated in

73 PAMGuard from the difference in arrival times of pulsed signals at the two hydrophones. Analysts  
74 monitoring these displays could select pulsed signals and display plots of their power spectrum and a  
75 Wigner-Ville time-frequency representation of the pulse. Pulses that appeared to be typical of beaked  
76 whales were selected as “event clicks” and bearing angles were plotted in PAMGuard relative to the  
77 ship’s track.

78 Drifting acoustic spar buoy recorders (DASBRs; Griffiths & Barlow, 2016) were also used to  
79 remotely monitor beaked whales. DASBRs were deployed from the vessel and later recovered to  
80 download recordings. Each DASBR recorded signals from HTI-92-WB and HTI-96-min hydrophones (High-  
81 Tech Inc., Long Beach, MS) at approximately 100 and 110 m depths, respectively, on a SoundTrap  
82 ST4300 recorder (Ocean Instruments; Auckland, NZ) at a sampling rate of 384 kHz. These hydrophones  
83 had flat frequency responses ( $\pm$  dB) of 20 Hz – 50 kHz and 20 Hz – 30 kHz, respectively (illustrated as the  
84 “low noise” and “standard” hydrophones in Wildlife Acoustics [2016]). The HTI-92-WB hydrophone has  
85 less low-frequency self-noise (SPL equivalent of 27 dB re: 1 $\mu$ Pa/ $\sqrt$ Hz at 1kHz) than the HTI-96-min  
86 hydrophone (42 dB re: 1 $\mu$ Pa/ $\sqrt$ Hz at 1kHz). For this reason, we used the higher signal-to-noise ratio (SNR)  
87 signals from the former in quantifying beaked whale sounds.

88 To quantify the acoustic signals from our one Hubbs’ encounter in more detail, acoustic files  
89 from both the towed hydrophone array and the DASBR were post-processed in PAMGuard using the  
90 same settings as the real-time monitoring of the towed hydrophone array. Additionally, six template  
91 signals from North Pacific beaked whales (Cuvier’s; Stejneger’s, *M. stejnegeri*; Baird’s, *Berardius bairdii*;  
92 BW70; BW37V; and BW43) were added to the power spectrum display to assist in relating the new  
93 signals to previously cataloged beaked whale signals (Baumann-Pickering et al., 2013; Griffiths et al.,  
94 2019; Stimpert et al., 2014; Zimmer et al., 2005); only one characteristic template was displayed for  
95 each species. Pulses were labeled as PAMGuard “events,” with each event representing a single  
96 individual (as best as possible). Data from pulses in these identified events were extracted from

97 PAMGuard databases and binary files using the R package *PAMPal*<sup>1</sup>. The extracted data were used to  
98 characterize the time-frequency characteristics of the pulses we recorded using custom R scripts. The  
99 frequency characteristics of the signals were calibrated based on frequency responses of the HTI-96-min  
100 (towed array) and HTI-92-WB (DASBR) hydrophones (Wildlife Acoustics, 2016). The end of a discreet,  
101 continuous echolocation series was considered the end of a foraging event, and three of these we  
102 recorded were designated F1, F2, and F3. Between events F1 and F2, four separate surfacing sequences  
103 were visually observed (see below) and designated V1-V4, and between each of the surfacing events  
104 there were three separate shallow dive events designated D1-D3 (Table 1).

105

106 Table 1. Timeline of acoustic and visual encounter events with a pair of Hubbs' beaked whales on  
107 September 22, 2021. F#= foraging dive event number, V#= visually observed surfacing sequence event  
108 number, D#= shallow dive sequence event number. Visual start and end times refer to the beginning  
109 and end of visual observations of whales at the surface; some surfacings may have been missed due to  
110 poor weather conditions. Acoustic start and end times refer to acoustically received signals from beaked  
111 whales. BW37V is the echolocation pulse purported to be from Hubbs' beaked whale by Griffiths et al.  
112 (2019) and confirmed as such in this study. See Results (Acoustic characterization of vocalizations) for  
113 descriptions of acoustic signals. Acoustic recording platforms included a towed hydrophone array (TA)  
114 and a drifting hydrophone recording system (DASBR).

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<sup>1</sup> Taiki Sakai <https://CRAN.R-project.org/package=PAMPal>

Event	Visual start time (UTC)	Visual end time (UTC)	Visual duration (min)	Acoustic signals present (Y/N)	Number of acoustic signals & type	Acoustic start time (UTC)	Acoustic end time (UTC)	Acoustic duration (min)	Recording platform
F1				Y	6 >60 kHz FM upsweeps, 16 BW37V	19:12:33	19:15:52	3.3	TA
V1	20:35:29	20:39:44	4.2	N					
D1	20:39:44	20:42:19	2.6	N					
V2	20:42:19	20:45:05	2.8	N					
D2	20:45:05	20:49:30	4.4	N					
V3	20:49:30	21:02:52	13.4	Y	131 surface clicks	20:50:02	20:50:13	0.2	TA
D3	21:02:52	21:08:22	5.5	N					
V4	21:08:22	21:13:46	5.4	Y	21 BW37V	21:08:34	21:08:49	0.2	TA
F2				Y	1233 BW37V	22:01:28	22:36:11	34.7	DASBR
--				Y	51 BW37V	22:56:00	22:59:00	3.0	TA
F3				Y	2918 BW37V	01:39:59	02:02:07	22.1	DASBR

116

117

## 118 2.2 | Visual survey

119 Concurrent with the acoustic data collection, an independent visual survey was conducted using two  
120 pairs of 25 x 150 mm binoculars mounted above the vessel's wheelhouse (6.7 m ASL), using standard  
121 line-transect methods (Buckland et al., 2001). A team of four observers rotated through two binocular  
122 stations at 30-min intervals, during daylight hours, weather permitting (generally, Beaufort sea state <6  
123 and visibility >1 km). Data (date, time, visibility, angle and distance to sightings, species identity, and  
124 group size estimate) were recorded using the program SeaScribe (<https://briwildlife.org/seascribe/>).

125

## 126 2.3 | Genetic identification of species

127 We used a 150-lb. draw weight, recurve crossbow to collect a skin biopsy sample from one of the whales  
128 (Animal 2). In the laboratory, total genomic DNA was extracted from the single sample using standard  
129 methods adopted for small samples (Baker et al., 1994). An approximately 500 bp fragment of the  
130 mitochondrial (mt)DNA control region was amplified and sequenced using standard methods described  
131 by Dalebout et al. (2004). The sequences were edited by eye and aligned in the program Sequencher vs  
132 5.4.6 (GeneCodes Corporation). The edited mtDNA control region sequence was then submitted to  
133 GenBank (OQ567713) and compared to a curated reference database of all known species of beaked  
134 whales, using the web-based program DNA-Surveillance (Ross et al 2003). The sex of the whale was

135 determined based on amplification and agarose gel visualization of sex-specific markers (x chromosome:  
136 Aasen & Medrano, 1990; y chromosome: Gilson et al., 1998).

137

### 138 **3 | RESULTS**

139

#### 140 **3.1 | Narrative of events**

141 At 19:12 UTC (12:12 PDT) on September 22, 2021, frequency-modulated (FM) pulses were detected on  
142 the towed hydrophone array and identified as likely being from a *Mesoplodon* beaked whale because of  
143 a higher peak frequency than echolocation pulses from the other two genera of ziphiids commonly  
144 found in the eastern North Pacific (i.e., *Ziphius* and *Berardius*). The location was 45°56'N 128°34'W,  
145 approximately 350 km off the Columbia River mouth (Figure 1); the water depth was 2,509 m. Bearing  
146 angles to the sound source were plotted in PAMGuard, and a likely location of the whales was estimated  
147 for both the left and right sides of the transect line, as there is an inherent ambiguity with a two-  
148 hydrophone linear array. After 3.3 min, the whales stopped echolocating and were presumed to be at  
149 the end of a foraging dive (F1, Table 1). At this time, the ship was positioned to keep both left and right  
150 localizations within 2 km of the ship, to give observers on the binoculars an opportunity to visually  
151 detect and possibly confirm species identification of the whale(s) if they surfaced.

152

153 [Place Figure 1 here]

154

155 At 20:35 UTC (13:35 PDT), we sighted a pair of mesoplodont whales (V1), 200 m off the port  
156 beam, swimming in the direction of our vessel, which was pointed downwind and moving just fast  
157 enough to maintain a heading (speed over ground 2-3 km/hr). Hereafter, we refer to them as Animal 1  
158 and Animal 2, respectively. The sighting was 1.43 km and 83 min after the initial acoustic detection, but

159 we do not know if this was the first surfacing after the clicking stopped. For the next 38 min, the whales  
160 were observed during three additional surfacing sequences (V2, V3, and V4; Table 1); they remained  
161 within 500 m of our vessel and usually <200 m. During the surface sequences, they usually stayed within  
162 50 m of each other and sometimes as close as 2-3 m. Impulsive signals were detected on the array at  
163 20:50 when the whales were visible behind the ship, and at 21:08, several near-surface FM echolocation  
164 pulses were recorded as they came within 100 m of the towed hydrophone array (Table 1).

165 Our repeated encounters with the two whales at the surface allowed us to visually assess their  
166 physical features at close range and to collect thousands of photos and video. Despite this, because they  
167 were juveniles (see below), we were unable to identify them to species, and genetic analysis of a biopsy  
168 sample was necessary to confirm their identity. During a close approach to the bow, we fired a biopsy  
169 dart that hit Animal 2 below the dorsal fin; the whale slapped the surface with its fluke, and both whales  
170 quickly swam away from the vessel. At that time, we lost track of the whales as we pulled in our towed  
171 hydrophone array in preparation to retrieve the biopsy dart. The whales were not seen again.

172 At 21:34, a DASBR was deployed between the location of last sighting location and the initial  
173 acoustic detection and was allowed to drift for 37 hr. A 37.4-min series of beaked whale echolocation  
174 pulses (F2, Table 1) was recorded by the drifting DASBR 169 min after the first foraging dive (F1) ended.  
175 Another 22.1-min series of beaked whale echolocation pulses (F3) was recorded by the drifting DASBR,  
176 184 min after the end of F2. At the start of the F2 echolocation series the DASBR was 0.59 km away from  
177 the final sighting location and 1.35 km away at the start of F3. After the biopsy dart was picked up, the  
178 towed hydrophone array was re-deployed at 21:44. A 3-min series of beaked whale echolocation pulses  
179 was recorded from the towed array starting at 22:56, at which time the vessel was 3.45 km away from  
180 the last sighting location. This series was 20 min after the end of F2 recorded on the DASBR and may  
181 have been produced by the same group between F2 and F3 or may have been produced by another  
182 group that was not seen. Sperm whale (*Physeter macrocephalus*) clicks were detected periodically



183 throughout the encounter, but none were seen, and no other cetacean vocalizations (e.g., delphinids)  
184 were detected.

### 185 **3.2 | Genetic identification of species**

186 From the mtDNA sequence, we identified the whale as a Hubbs' beaked whale using reference  
187 sequences in the program DNA Surveillance. A BLAST search of GenBank confirmed the sequence was an  
188 exact match to the published record AY579511, a voucher specimen of Hubbs' beaked whale taken as  
189 bycatch in a California pelagic gillnet fishery. The whale was further identified as a female based on sex-  
190 specific genetic markers.

### 191 **3.3 | Acoustic characterization of vocalizations**

192 Griffiths et al. (2019) designated as "BW37V" the echolocation pulse that they thought might be from  
193 Hubbs' beaked whale because it has a distinctive valley (or notch) in the frequency spectrum at ~37-39  
194 kHz, between two frequency peaks at ~36 and 48 kHz. The FM echolocation signals that we recorded on  
195 the towed hydrophone array, and on the DASBR, before, during, and after our encounter with two  
196 Hubbs' beaked whales closely match BW37V. Most signals exhibited two frequency peaks in their  
197 frequency spectra with a valley between them (Figure 2; Table 2), with the exception of some FM  
198 upsweeps that were detected abeam of the array and contained energy above 60 kHz with low SNR  
199 (Table 1). Using the mean power spectrum, the resulting values of the two dominant peaks and the  
200 valley are very similar to those measured for BW37V (Table 2). We also report the mean frequency  
201 measurements (and standard deviations) from the pulses themselves but find they do not describe the  
202 uniqueness of BW37V as well as the characteristics derived from the mean power spectrum (Table 2).  
203 Mean inter-pulse intervals (IPI) were slightly higher for the towed array recordings but are well within  
204 the distribution reported for BW37V (Table 2). These FM pulses more closely resemble those described  
205 for BW37V than any other previously described beaked whale echolocation pulse types recorded in the

206 North Pacific (Baumann-Pickering et al., 2013) and confirms the previous speculation that BW37V is  
 207 attributable to Hubbs' beaked whale.

208

209 [Place Figure 2 here]

210

211 Table 2. FM pulse characteristics recorded on our towed hydrophone array (during foraging event F1),  
 212 DASBR (F2 and F3), and comparable values recorded by Griffiths et al. (2019) on DASBRs. Descriptive  
 213 measurements of the mean power spectrum highlighting the peaks and notches in the average signal.  
 214 Mean and standard deviation values (in parenthesis) utilizing each FM pulse are also shown. \*There  
 215 were some outlier click durations that resulted in a large standard deviation. For the pulse level  
 216 characteristics, the data have been truncated to durations  $\leq 500 \mu\text{s}$ , resulting in a sample size of 3,515  
 217 pulses.

218

	Towed array (F1, this study)	DASBR (F2 and F3, this study)	DASBR (Griffiths et al. 2019)
<i>Taken from the mean power spectrum</i>			
Lower peak frequency (kHz)	34.8	35.3	34.8
Upper peak frequency (kHz)	47.3	50.3	46.9
Valley frequency (kHz)	37.9	39.8	37.5
<i>Taken from the individual pulse level</i>			
-10 dB Center frequency (kHz)	42.5 (29.1)	41.8 (8.0)	46.5 (9.1)
-10 dB lower endpoint (kHz)	38.4 (27.4)	36.8 (6.0)	36.8 (4.9)
-10 dB bandwidth (kHz)	8.1 (5.4)	10.0 (6.2)	19.3 (10.4)

Duration ( $\mu$ s)	97.0 (39.8)	137.5 (87.0)	213.1 (87.7)
Inter-pulse interval, IPI (s)	0.17 (0.06)	0.16 (0.10)	0.15 (0.06)
Sample size	22	3,824*	238

219

220 Additional pulsed signals were recorded from the towed array on two occasions when the  
 221 whales were seen near the surface in the vicinity of the ship. During surface sequence V3 (Table 1), 131  
 222 low-frequency clicks were detected in four click trains at a bearing angle that was consistent with the  
 223 location of the whales near the ship. These clicks contained no FM upsweep and had a median peak  
 224 frequency of 4.4 kHz, a median duration of 130 ms, and median lower and upper 10 dB bounds of 3.0  
 225 and 6.6 kHz. ICI was variable and typically started around 0.032 s and increased in interval to ~ 0.3 s,  
 226 with a median ICI of 0.041 s (Figure 3). There were fewer clicks in the first click train than the  
 227 subsequent three (n= 5, 52, 43, and 31, respectively). During surface sequence V4, 21 FM pulses were  
 228 detected at the surface from both individuals (i.e., they were received from different bearing angles).  
 229 These had spectral characteristics resembling echolocation click type BW37V (Figure 2), but with a much  
 230 shorter IPI of 0.096 s. They also occurred in trains of 3-7 clicks. The visual team reported that the two  
 231 individuals were oriented toward the array and approximately 100 m away when these clicks occurred.

232

233 [Place Figure 3 here]

234

235 If the two different foraging events recorded by the drifting DASBRs (F2, F3) were made by the  
 236 same pair of whales, the duration of a complete dive cycle, from the end of F1 to the end of F2 and from  
 237 the end of F2 to the end of F3, was 200 min and 205 min, respectively.

238

239 **3.4 | Behavior, morphology, and color pattern**

240 The whales seemed curious about the boat, initially swimming to within 10 m of our vessel and passing  
241 under the bow; at times they lifted their heads above the water and, in the photographs, appeared to be  
242 looking at us. Typically, they surfaced with their beaks projecting out of the water at an approximately  
243 45° angle (Figure 4); at other times, their beaks remained low in the water when they surfaced (Figure  
244 5a). They were small to average-sized mesoplodont whales with an estimated body length of 4.5-5 m  
245 and features typical for the genus: a spindle-shaped body, with a moderately sloping melon, and  
246 medium length beak (Figure 4). The gape was relatively straight but with a slight upward arch toward  
247 the rear; no erupted teeth were visible (Figure 4). The dorsal fin was located about 2/3 of the way along  
248 the back; it was somewhat falcate and wide-based, low, and triangular (Figure 5b, see also Fig. S2).

249

250 [Place Figure 4 here]

251 [Place Figure 5 here]

252

253 Both whales were presumed to be juveniles. Adult male and female *M. carlhubbsi* have  
254 distinctive, ontogenetically developed color patterns: males have a “brilliant white” beak and a white  
255 prominence in front of the blowhole; females also have a white beak, but the top of the head remains  
256 generally dark (Jefferson et al., 2015; Mead et al., 1982; Mead, 1989; see below). The whales had a non-  
257 descript, uniform gray, “juvenile color pattern” (Yamada et al., 2012) typical of young mesoplodont  
258 whales (Figure 5). Furthermore, because we did not see any other whales during the 120 min we spent  
259 with this pair (i.e., from first acoustic detection to the last visual observation), we inferred that they  
260 were independent of their mothers.

261 In good light, the sides of the face and melon were slightly paler than the rest of the head and  
262 body (Figure 4). The pale face of young *M. carlhubbsi* is framed somewhat by a dark longitudinal band  
263 that extends back, from the tip of the upper beak, most of the way to the blowhole; this feature is most

264 pronounced in the fetus (Figure 7a, b in Mead et al., 1982), becoming less so in calves (Figure 6), and  
265 much reduced but still discernable in juveniles (Figures 4, 5). The lips and tip of the beak were white in  
266 both whales (Figure 4). In contrast to the conspicuous all-white beak of adults, the beak of *M. carlhubbsi*  
267 calves is mostly dark (Figure 6) and lightens with age. It appears from Figure 4, that in maturing *M.*  
268 *carlhubbsi* the beak starts to lighten first at the tip and along the lips and spreads from there, a pattern  
269 that has recently been described for another white-beaked *Mesoplodon*: the strap-toothed beaked  
270 whale (*M. layardii*; Pitman et al., 2019).

271

272 [Place Figure 6 here]

273

274 Both whales had a conspicuous dark eyepatch that contrasted with the pale face (Figure 5a); a  
275 prominent feature in younger calves (Figure 6b). The trailing edge of the dark eyepatch merges with a  
276 dark gray band that extends dorsally up and over the back, behind the blowhole, and forms a vertical,  
277 posterior boundary to the pale face (Figures 4, and 5a, c); this band is also evident in younger calves  
278 (Figure 6a, b). Another color pattern feature on the calf is a thin, dark, eye-to-gape line that travels  
279 forward from the bottom of the dark eyepatch and meets the posterior end of the gape and possibly  
280 continuing onto the upper lip (Figures 6a, b). This latter feature occurs on many other young  
281 *Mesoplodon* (for examples, see *M. densirostris*, *M. hectori*, *M. europaeus*, etc., in Jefferson et al., 2015)  
282 and was still evident but obscured in the individuals that we photographed (Figure 5c). There was no  
283 other pigmentation patterning that we could discern on the back, sides, or head of either whale.  
284 Furthermore, except for the white lips and beak tip, these are subtle features, visible only in good light,  
285 and are largely absent in adult *M. carlhubbsi*, which, except for the white beak and melon, generally  
286 darken with age to a blackish color in adult males and females (Mead et al., 1982; Yamada, 2009).

287 Animal 2 was genetically confirmed to be a female. The beak of the adult female *M. carlhubbsi*  
288 has been described (and illustrated) as “distinctly lighter than the rest of the head” but showing less  
289 contrast than in adult males (Jefferson et al., 2015; Mead et al., 1982; Yamada et al., 2012). However, it  
290 now appears, from fresh-stranded individuals, that the beak of adult females can be just as white as that  
291 of adult males, although the top of the melon remains dark in females (Jefferson et al., 2015, photo pg.  
292 144; Figure 7).

293

294 [Place Figure 7 here]

295

### 296 **3.5 | Other markings**

297 Both whales had acquired (i.e., adventitious) markings as well. Small, irregular patches of orangish-  
298 brown diatoms were scattered around the body, especially on Animal 1 (Figure 5a); diatom patches are  
299 common on beaked whales (e.g., Jefferson et al., 2015; Pitman et al., 2019; Ritter and Brederlau, 1999;  
300 Rosso et al., 2021). Both whales had a few superficial, short, linear scars, none of which appeared to be  
301 tooth-rake marks from conspecifics. They both also had a mottled appearance due to small, scattered,  
302 pale patches, which appeared to be due to sloughing skin (Figure 5a-c). Animal 1 also had at least two  
303 cookiecutter shark bites (*Isistius* spp., but see Grace et al., 2018, for other possible shark genera; Figure  
304 5a), including a relatively fresh one with red, exposed flesh (not shown); Animal 2 also had at least two  
305 healed cookiecutter shark wounds (Figure 5b, c). The bite wounds that were largely healed were pale  
306 gray, and it appeared that they were going to heal the same color as the surrounding skin as it does in at  
307 least several species of *Mesoplodon* spp. (Pitman et al., 2019; Rosso et al., 2021). Animal 1 also  
308 appeared to have a damaged beak, perhaps the result of an injury: there was a prominent transverse  
309 crease on the rostrum, just forward of the base of the melon, and forward of the crease the rostrum had  
310 a slight upward bend (Figure 8).

311

312 [Place Figure 8 here]

313

## 314 **4 | DISCUSSION**

### 315 **4.1 | Acoustic Characteristics**

316 Prior to this study, little was known about the acoustic signals produced by *M. carlhubbsi*. Previously,  
317 recordings were made from two young, captive individuals that had recently stranded (Lynn & Reiss,  
318 1992; Marten, 2000; Figure 6). Both papers analyzed sounds recorded independently from the same  
319 individuals and described rapid, 0.3-2 kHz pulsed sounds that may have been burst pulses. Given the  
320 limitations of their equipment and methods, this frequency range may represent the pulse repetition  
321 rate. Lynn and Reiss (1992) also described 2.6-10.7 kHz whistles. None of the sounds described in these  
322 papers resemble the normal frequency-modulated (FM) echolocation pulses that are characteristic of  
323 other beaked whale species (Baumann-Pickering et al., 2013).

324 We recorded two different signal types on the towed array while the whales were at the  
325 surface: one containing FM pulses like those emitted while the whales were at depth, and another,  
326 which was lower in frequency and without the FM upsweep. Both types were emitted in discrete click  
327 trains. The FM pulses typically showed a distinctive valley (or notch) in the frequency spectrum at ~37-  
328 39 kHz which we suggest is the most distinctive and characteristic attribute of Hubbs' beaked whale  
329 echolocation signals. We believe that the low-amplitude FM pulses that did not show this characteristic  
330 were likely off-axis signals. Lynn and Weiss (1992) reported that two captive juvenile Hubbs' beaked  
331 whales emitted low frequency pulse sequences, and that these sequences occurred more often when  
332 humans were present. The number of FM pulses per sequence detected during surface event V4 was  
333 like those described by Lynn and Weiss (1992) but spanned a different frequency range (although their  
334 upper frequency limit was 40 kHz). These surface FM pulses had the same frequency content but a

335 shorter ICI than those at depth, most likely due to the whales' proximity to the hydrophone array; we  
336 believe they were directing clicks at the array, thereby requiring a shorter two-way travel time than  
337 their typical foraging pulses.

338         Pulse sequences emitted by sperm whales are known as codas (e.g., Watkins & Schevill, 1977).  
339 The clicks recorded during V3 had more clicks per sequence (31-52 for three of the four sequences) than  
340 those previously described for either the captive Hubbs' beaked whales described above or for sperm  
341 whale codas in the Eastern Tropical Pacific (Weilgart & Whitehead, 1993; 1997). One study on Caribbean  
342 sperm whales reported coda sequences of up to 30 clicks (Moore et al., 1993), and another study off the  
343 east coast of Japan found more than 14 clicks per coda (Amano et al., 2014). Because we detected  
344 sperm whales on our recordings, we cannot rule out that those clicks could be an uncommon sperm  
345 whale coda from the eastern North Pacific, but they could also represent a previously undescribed form  
346 of beaked whale communication. There have been few reports of mesoplodont whale communication  
347 (Aguilar de Soto et al., 2012; Dunn et al., 2013), but our encounter was exceptional in that two,  
348 apparently unperturbed, juveniles stayed close to our vessel for approximately 2 hr, which may have  
349 provided a rare opportunity to record acoustic social communication within this genus.

350         Our estimate of dive-cycle duration (mean = 204 min) for Hubbs' beaked whale is longer than  
351 the mean values for other beaked whale species based on tag data (summarized in Barlow &  
352 McCullough 2023) but is within the range for Cuvier's and Blainville's (*M. densirostris*) beaked whales  
353 (Baird et al. 2006; Barlow et al., 2020; Schorr et al., 2014; Shearer et al., 2019). It is also longer than the  
354 median value (144 min) reported for Hubbs' beaked whale based on BW37V acoustic encounters, but  
355 again is within the range of observed values (Barlow & McCullough 2023). It is also possible that  
356 interactions with our vessel could have affected their normal dive cycles.

#### 357 **4.2 | Acquired markings**



358 The relatively few cookiecutter shark bites present were likely due to the young age of the whales and  
359 because *M. carlhubbsi* is known to inhabit mainly cool temperate waters where cookiecutter sharks are  
360 less common (Ebert et al., 2013). Both whales also had a series of thin, dark, largely transverse lines over  
361 the top of the rostrum (Figures 4, 8), and Animal 2 had similar-looking scars that also appeared to  
362 radiate down and back from the leading edge of the lower jaw arch (Figure 4b). Similar lines are often  
363 present on fresh specimens of *Mesoplodon*, including the stranded adult female *M. carlhubbsi* in Figure  
364 7 (inset), and we suspect that these were acquired during prey capture (e.g., rake marks from squid  
365 beaks or tentacle hooks; see also Baird, 2016).

### 366 **4.3 | Juvenile pairing**

367 The maximum length of *M. carlhubbsi* has been reported to be 5.3 m for both sexes (Yamada et al.  
368 2012); based on our length estimates (4.5-5 m) and the juvenile color pattern described above, the  
369 animals we photographed were juveniles. In our experience, it is highly unusual for any *Mesoplodon* to  
370 exhibit the degree of interest in a vessel at sea that we observed (but see Barlow et al., 2022; Ritter and  
371 Brederlau, 1999; Rosso et al., 2021), and it is possible that the young age of these two whales explains  
372 their apparent curiosity. Our observation of two juveniles, seemingly about the same age based on  
373 similarity of color pattern development, traveling together, without adults present, is not  
374 unprecedented for *M. carlhubbsi* or perhaps for other ziphiids as well. A pair of young male *M.*  
375 *carlhubbsi* stranded alive at Ocean Beach in San Francisco on August 24, 1989 (Figure 6; lengths: 2.99 m  
376 and 2.87 m; California Academy of Sciences 23122 and 23751, respectively; Heyning & Mead, 1996, their  
377 Figures D, E; Lynn & Reiss, 1992), and two juvenile *M. densirostris* photographed swimming together in  
378 the Bahamas were reportedly unaccompanied by adults (Jefferson et al., 2015, pg. 172, bottom right). In  
379 addition, pairs of unaccompanied juvenile *Z. cavirostris* have been observed in the Mediterranean Sea  
380 and Western North Atlantic (T. Pusser, pers. obs.). Further observations will be necessary to confirm the  
381 prevalence and significance, if any, of pairing among juvenile beaked whales.

#### 382 4.4 | Rostrum injury

383 The apparent rostrum injury to Animal 1 (Figure 8) appeared to be relatively minor, but at least two *M.*  
384 *carlhubbsi* have stranded in California with damaged beaks that were suspected to be the cause of  
385 death. A 4.4 m female from San Simeon Bay, in April 1962, had a cracked lower jaw, and this “head  
386 injury” was the suggested cause of death (Roest, 1964; but see Mead et al. [1982] for corrected species  
387 identification). A 2.7 m male from Santa Cruz in May 2017 had the cause of death listed as “subacute  
388 maxillary and mandibular fracture, with secondary mixed bacterial infection from unknown source”  
389 (Long Marine Laboratory, Santa Cruz, CA).

390           Among 74 beaked whales that stranded in Western Australia between 1940 and 2010, six  
391 individuals of three species (one Blainville’s beaked whale; four Gray’s beaked whale, *M. grayi*; and one  
392 Shepherd’s beaked whale, *Tasmacetus shepherdi*) had damaged rostrums and at least four of these  
393 were injured premortem (Groom et al., 2014). At least five of the six were immature, and Groom et al.  
394 (2014) suggested that younger individuals may be more susceptible to rostrum injury due to their bones  
395 not being fully ossified. Although the cause of death was not determined for any of these, Groom et al.  
396 (2014) stated that “presumably feeding would have been difficult due to the rostral injury.”

397           Dinis et al. (2017) reviewed rostrum damage in live ziphiids, describing examples from *M.*  
398 *densirostris* and *Z. cavirostris*. Among possible causes, they cited “trauma caused by intraspecific  
399 interactions including play, competition, or adult/juvenile interactions, interspecific interactions such as  
400 predation, or anthropogenic factors, including entanglement and ship strikes.” To that list, we would  
401 also add inadvertently swimming into objects (including the bottom) in the darkness of depths or at  
402 night while not echolocating, perhaps to avoid alerting killer whales. Although Dinis et al. (2017)  
403 concluded that beaked whales with major rostrum deformities could, at least in some cases, feed and  
404 reproduce normally, the prevalence of rostrum injuries among stranded beaked whales suggests that

405 this may be an important and perhaps under-rated source of mortality within this group (see also  
406 Groom et al., 2014).

#### 407 **4.5 | Distribution and habitat**

408 Nearly all prior information on the distribution of *M. carlhubbsi* comes from approximately 60 strandings  
409 in Japan and the west coast of North America (Yamada et al., 2012). The northernmost stranding  
410 reported from the eastern Pacific was Prince Rupert, British Columbia, Canada (Mead et al., 1982), and  
411 the southernmost was from Ensenada, Baja California, Mexico, in June 2011 (Heckel et al., 2020; Figure  
412 1). The first live sightings at sea of which we are aware were of two separate groups, observed 57 min  
413 apart, off Oregon in July 1994 (Yamada et al., 2012; RLP pers. obs.; Figure 1). In addition to the Oregon  
414 sightings, there are two previously unreported records that we have identified as *M. carlhubbsi* from  
415 photographs taken by B. Gisborne during Cetacean Research Program surveys by Fisheries and Oceans  
416 Canada. These included a group of 5-7 whales offshore of Vancouver Island, British Columbia, Canada,  
417 on March 4, 2015, at 48°22'N 126°36'W (Figure S1), and a pair of whales, also off Vancouver Island, on  
418 July 12, 2016, at 49°04'N 127°30'W (Figure S2; Figure 1). The second sighting was also confirmed based  
419 on acoustic recordings made at the time. To our knowledge, these were the first photographs of this  
420 species alive in the wild, although there have been photographs of living strandings (e.g., Nakajima et  
421 al., 2005).

422         With confirmation that *M. carlhubbsi* is the source of the BW37V vocalization, the at-sea  
423 distribution of Hubbs' beaked whale in the eastern North Pacific becomes clearer. Figure 1 shows the  
424 plotted locations of 47 at-sea detections of *M. carlhubbsi*, which includes the visual sightings described  
425 above ( $n = 5$ ), gillnet mortalities reported by high seas fisheries observers (Griffiths et al., 2019;  $n = 5$ ),  
426 previous acoustic detections of BW37V from free-floating DASBRs (Griffiths et al., 2019,  $n = 13$ ; Simonis  
427 et al., 2020,  $n = 9$ ), bottom-mounted HARPs (High-frequency Acoustic Recording Packages; Rice et al.,  
428 2021,  $n = 1$  site; Baumann-Pickering and Trickey, unpubl. data,  $n = 11$  sites), and our acoustic detections

429 ( $n = 4$ , including 3 from the towed hydrophone array and 1 from a DASBR; Table S1). Also shown in  
430 Figure 1 are 50 HARP deployment locations in the North Pacific where there were no detections of  
431 BW37V (Rice et al., 2021; Baumann-Pickering and Trickey, unpubl. data). For purposes of this review,  
432 any DASBR detections recorded within 10 km of each other were considered duplicates and the location  
433 of only the first detection was plotted.

434 MacLeod et al. (2006) speculated that *M. carlhubbsi* might range continuously across the North  
435 Pacific between the latitudes of 30°N and 45°N (i.e., between the latitudes where nearly all the  
436 strandings have occurred), but they also acknowledged that there was no direct evidence to support  
437 their contention. Yamada et al. (2012) reported that a specimen of *M. carlhubbsi* had been collected in  
438 the mid-Pacific by a fishery observer at approximately 43°N 163°W (Figure 1); from this they also  
439 inferred a trans-Pacific distribution, but we have not been able to locate this specimen or confirm the  
440 record.

441 Ziphiids are generally thought to preferentially associate with seamounts and continental slope  
442 areas (Groom et al., 2014, and references cited therein). However, as Griffiths and Barlow (2016) and  
443 Griffiths et al. (2019) point out, previous acoustic detections now confirmed to be *M. carlhubbsi*  
444 (BW37V) were regularly recorded in deep, oceanic waters over abyssal plains with no obvious  
445 topographic relief (Figure 1). This suggests a preference for habitat that could be defined as much or  
446 more by oceanography as bathymetry. This deep-water habitat extends across the North Pacific  
447 between the latitudes where all live detections and most strandings of *M. carlhubbsi* have occurred  
448 (Figure 1), which supports the idea of a continuous North Pacific range (MacLeod et al., 2006; Yamada et  
449 al., 2012), as is often depicted in range maps (Yamada, 2009; Jefferson et al., 2015). Furthermore, if *M.*  
450 *carlhubbsi* does occur across the entire North Pacific, it opens the possibility of a sizeable offshore  
451 population for what has historically been regarded as a rare whale with an uncertain population status,  
452 at least in the region of the California Current (Moore & Barlow, 2013, 2017). Identifying the acoustic

453 signature of Hubbs' beaked whale can now allow for passive acoustic assessments of its distribution and  
454 relative abundance in the North Pacific and help determine its status there.

455

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## Figures

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Figure 1. At-sea records of *Mesoplodon carlhubbsi* (n = 47) based on 5 at-sea sightings, 5 gillnet mortalities reported by fishery observers, and acoustic detections of BW37V recorded at 38 locations, including 23 from free-floating DASBRs, 12 from bottom-mounted HARPs, and 3 from a towed hydrophone array (see text). Also shown is the location of a purported gillnet mortality from the central North Pacific reported by Yamada et al. (2012), 50 HARP locations where BW37V was not detected, and the northern- and southernmost strandings from both sides of the Pacific.

Figure 2. (A) Waveform of an exemplar FM pulse recorded from the DASBR. (B) Wigner-Ville transform of an exemplar FM pulse recorded from the DASBR. (C) Relative power spectral density (normalized to a maximum of 0 dB) for the FM pulses detected on the towed array (F1, solid gray line), the FM pulses detected on the DASBR (F2 & F3, thin black line), and the rapid FM pulses detected on the towed array (S4, gray dashed line). The values from Griffiths et al. (2019) of BW37V (thick black line) are given for comparison. (D-F) Histograms of all FM pulse IPIs from F1, F2, & 3, and S4.

Figure 3. Inter-pulse intervals (IPIs) for four click trains of low-frequency clicks received when the *M. carlhubbsi* were near the surface and within 100 m of the towed hydrophone array.

Figure 4. Two juvenile *Mesoplodon carlhubbsi* sighted in offshore waters of Oregon in September 2021; both have melons that are slightly paler than the rest of the visible body, and white lips and rostrum tip. Animal 1 (a) and Animal 2 (b). Photos: T. Pusser.

Figure 5. (a) A juvenile *M. carlhubbsi* (Animal 1) showing dark eyepatch; a slightly darkened, transverse band traveling over the top of the head from the eyepatch, and a melon that is paler than the rest of the



729 visible body. Also evident are patches of orangish diatoms and a healed cookiecutter shark bite directly  
730 behind the eye. (b) *M. carlhubbsi* juvenile (Animal 2) showing overall gray coloration; mottled  
731 appearance is suspected to be due to sloughing skin; two prominent whitish patches are cookiecutter  
732 shark bite scars. (c) Animal 2; the overall body color is medium gray but with some subtle features,  
733 including a darker transverse band extending up from the rear of the dark eyepatch and a narrow,  
734 slightly arching line connecting the dark eyepatch with the trailing edge of the gape. This is the same  
735 animal as in Figure 4b, but the change of light in this image almost completely obscures its pale melon.  
736 Photos: T. Pusser.

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738 Figure 6. (a) One of a pair of live-stranded, juvenile *M. carlhubbsi* held in captivity at Marine World  
739 Africa USA in Vallejo, California in 1989; the two animals lived for 16 and 25 days, respectively. Notice  
740 the dark rostrum tip, darkened area between the rostrum tip and blowhole, and pale face. It also has a  
741 dark eyepatch, with a dark, transverse band from the trailing edge of the eyepatch to an area behind the  
742 blowhole. (b) The same animal as in (a) showing the dark eyepatch; a dark, transverse eye band, and an  
743 overall pale face. There is also a narrow, dark line connects the bottom of the eyepatch to the back of  
744 the gape. Photo courtesy of the Marine Mammal Center.

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746 Figure 7. An adult female *M. carlhubbsi* (TL 538 cm) that stranded in Samani-cho, Hokkaido, Japan,  
747 August 29, 2018, showing a prominent white beak and all-dark melon; inset enlargement shows scratch  
748 marks on beak apparently from prey capture (see text). Photo: courtesy Stranding Network Hokkaido.

749  
750 Figure 8. A juvenile *M. carlhubbsi* (Animal 1) with a crease near the base of the rostrum (arrow) that may  
751 have been the result of physical trauma (see text). Photo: T. Pusser.

752

753 **Supplementary Figures**

754

755 Figure S1. A group of 5-7 *M. carlhubbsi* photographed off Vancouver Island, Canada, on March 4, 2015.

756 (a) Adults of both sexes have a pure white upper and lower rostrum, a feature not found in any other  
757 North Pacific *Mesoplodon*; (b) Several cookiecutter shark bite scars are visible on the near animal.

758 Photos: B. Gisborne.

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760 Figure S2. A pair of *M. carlhubbsi* photographed off southwest Vancouver Island on July 12, 2016. (a) The

761 white rostrum and top of the head of one animal swimming away from the photographer. (b) The back

762 and falcate dorsal fin of one of the animals; pale scars from two cookiecutter sharks bites are also

763 visible. Photos: B. Gisborne.

764

765 **Supplementary Table**

766

767 Table S1. Dates (when known), locations, and source material for all the Hubbs' beaked whale

768 detections included in Figure 1, along with HARP sites where no detections were recorded.