

1 Evaluating ammonia (NH<sub>3</sub>) predictions in the NOAA National Air Quality Forecast Capability  
2 (NAQFC) using *in situ* aircraft, ground-level, and satellite measurements from the  
3 DISCOVER-AQ Colorado campaign

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7  
8 **Abstract**

9  
10 The U.S. National Oceanic and Atmospheric Administration (NOAA) is responsible for  
11 forecasting elevated levels of air pollution within the National Air Quality Forecast Capability  
12 (NAQFC). The current research uses measurements gathered in the DISCOVER-AQ Colorado  
13 field campaign and the concurrent Front Range Air Pollution and Photochemistry Experiment  
14 (FRAPPE) to test performance of the NAQFC CMAQ modeling framework for predicting NH<sub>3</sub>.  
15 The DISCOVER-AQ and FRAPPE field campaigns were carried out in July and August 2014 in  
16 Northeast Colorado. Model predictions are compared with measurements of NH<sub>3</sub> gas  
17 concentrations and the NH<sub>4</sub><sup>+</sup> component of fine particulate matter concentrations measured  
18 directly by the aircraft in flight. We also compare CMAQ predictions with NH<sub>3</sub> measurements  
19 from ground-based monitors within the DISCOVER-AQ Colorado geographic domain, and from  
20 the Tropospheric Emission Spectrometer (TES) on the Aura satellite.

21 *In situ* aircraft measurements carried out in July and August of 2014 suggest that the  
22 NAQFC CMAQ model underestimated the NH<sub>3</sub> concentration in Northeastern Colorado by a  
23 factor of ~2.7 (NMB = -63%). Ground-level monitors also produced a similar result. Average  
24 satellite-retrieved NH<sub>3</sub> levels also exceeded model predictions by a factor of 1.5 to 4.2  
25 (NMB = -33 to -76%). The underestimation of NH<sub>3</sub> was not accompanied by an underestimation  
26 of particulate NH<sub>4</sub><sup>+</sup>, which is further controlled by factors including acid availability, removal  
27 rate, and gas-particle partition. The average measured concentration of NH<sub>4</sub><sup>+</sup> was close to the  
28 average prediction (NMB = +18%).

29 Seasonal patterns measured at an AMoN site in the region suggest that the  
30 underestimation of NH<sub>3</sub> is not due to the seasonal allocation of emissions, but to the overall  
31 annual emissions estimate. The underestimation of NH<sub>3</sub> varied across the study domain, with the  
32 largest differences occurring in a region of intensive agriculture near Greeley, Colorado, and in  
33 the vicinity of Denver. The NAQFC modeling framework did not include a recently developed  
34 bidirectional flux algorithm for NH<sub>3</sub>, which has shown to considerably improve NH<sub>3</sub> modeling in  
35 agricultural regions. The bidirectional flux algorithm, however, is not expected to obtain the

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36 magnitude of this increase sufficient to overcome the underestimation of NH<sub>3</sub> found in this  
37 study. Our results suggest that further improvement of the emission inventories and modeling  
38 approaches are required to reduce the bias in NAQFC NH<sub>3</sub> modeling predictions.

39

40 **Keywords:** NH<sub>3</sub>, ammonia, model evaluation, CMAQ, aircraft measurement, remote sensing

41

## 42 1. Introduction and background

43

44 Gaseous ammonia (NH<sub>3</sub>) in the atmosphere contributes to the formation of ammonium  
45 (NH<sub>4</sub><sup>+</sup>) compounds – including ammonium bisulfate (NH<sub>4</sub>HSO<sub>4</sub>), ammonium sulfate  
46 [(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>], and ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>) – which comprise a large fraction of airborne  
47 fine particulate matter (PM<sub>2.5</sub>) (Kwok *et al.*, 2013). Elevated levels of PM<sub>2.5</sub> are associated with  
48 various adverse human health impacts, including irregular heartbeat, aggravated asthma, and  
49 premature death (Pope *et al.*, 2009), and can contribute to visibility impairment and regional  
50 haze (Wang *et al.*, 2012). NH<sub>3</sub> gas can play a role in the nucleation of new particles (Holmes,  
51 2007), and can sometimes control nucleation events (Herb *et al.*, 2011).

52 Atmospheric NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup> deposit to terrestrial and aquatic ecosystems through wet and  
53 dry deposition processes. This leads to an increase in the level of biologically available nitrogen,  
54 which can affect species diversity and can lead to eutrophication of aquatic ecosystems (Jones,  
55 2013; Paerl, 1988; and U.S. EPA SAB, 2007). In terrestrial ecosystems, NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup> are  
56 oxidized by soil microbes to nitrate (NO<sub>3</sub><sup>-</sup>) and other oxidized nitrogen species, resulting in  
57 acidification of the soil. A portion of the NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup> processed by soil microbes is also  
58 converted to gaseous nitrous oxide (N<sub>2</sub>O), which reenters the atmosphere. N<sub>2</sub>O is a long-lived  
59 absorber of infrared radiation, with a climate change potential approximately 250 times that of  
60 CO<sub>2</sub> (IPCC, 2013).

61 The U.S. National Oceanic and Atmospheric Administration (NOAA) is responsible for  
62 forecasting elevated levels of air pollution within the National Air Quality Forecast Capability  
63 (NAQFC) (Tang *et al.*, 2015). NOAA uses the Community Multiscale Air Quality (CMAQ)  
64 model (Byun and Schere, 2006) to simulate atmospheric emissions and transport of NH<sub>3</sub>, and  
65 conversion of NH<sub>3</sub> to PM<sub>2.5</sub>, and deposition of NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup> to terrestrial and aquatic  
66 ecosystems. The capability of NAQFC to predict NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup> in PM<sub>2.5</sub> has not been  
67 thoroughly evaluated.

68 An important source of uncertainty for NH<sub>3</sub> modeling is the inventory of emissions used  
69 in CMAQ (Battye *et al.*, 2003). Agricultural sources account for approximately 90% of  
70 atmospheric NH<sub>3</sub> emissions in the U.S. (Aneja *et al.*, 2009). These emissions emanate primarily  
71 from animal waste management and synthetic nitrogen fertilizer application (Battye *et al.*, 2002).  
72 NH<sub>3</sub> emissions estimates are calculated by applying emission factors and emission models to the  
73 agricultural census (USEPA, 2009). These emissions are allocated to different times of the year  
74 and to geographic modeling grids using temporal and spatial allocation factors, which add to the  
75 uncertainty of model emissions estimates. Validation studies of NH<sub>3</sub> emissions estimates in  
76 CMAQ have focused on secondary indicators such as wet deposition of NH<sub>4</sub><sup>+</sup> ions, and the  
77 concentration of NH<sub>4</sub><sup>+</sup> in PM<sub>2.5</sub> (Gilliland *et al.*, 2006, Kelly *et al.*, 2014).

78 This current study evaluates NAQFC CMAQ predictions for NH<sub>3</sub> in Northeastern  
79 Colorado against direct measurements of NH<sub>3</sub> in the atmosphere. Comparisons are made using  
80 three different measurement platforms for NH<sub>3</sub>: *in situ* sampling by aircraft, ground-level passive  
81 samplers, and satellite data retrievals. In addition, model predictions of NH<sub>4</sub><sup>+</sup> (fine-mode)

82 particulate matter are evaluated against *in situ* aircraft measurements. We also use long-term  
83 measurements from ground level monitors, and from the Tropospheric Emission Spectrometer  
84 (TES) on the Aura satellite to evaluate temporal patterns of atmospheric NH<sub>3</sub>.

## 86 2. Methodology

87  
88 The current research uses measurements of NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup> collected during the  
89 DISCOVER-AQ Colorado field campaign to assess the performance of the NAQFC CMAQ  
90 modeling framework for predicting NH<sub>3</sub> concentrations. (DISCOVER-AQ was a program for  
91 *Deriving Information on Surface Conditions from COlumn and VERTically resolved observations*  
92 *relevant to Air Quality*.) The DISCOVER-AQ Colorado field campaign, which was carried out  
93 from July 17 through August 10, 2014 in the Front Range of the Rocky Mountains in Northeast  
94 Colorado, included *in-situ* aircraft measurements, ground-based measurements, and satellite  
95 measurements. Figure 1 shows the locations of the aircraft flights, ground level monitors, and the  
96 swath of satellite measurements.

97  
98 Model predictions are compared with measurements of NH<sub>3</sub> gas concentrations and NH<sub>4</sub><sup>+</sup>  
99 fine particulate matter concentrations measured directly by the aircraft during flight. We also  
100 compare CMAQ predictions with NH<sub>3</sub> measurements from ground-based monitors within the  
101 DISCOVER-AQ Colorado geographic domain, and from TES.

### 103 2.1. Air Quality Model

104  
105 Within the NAQFC framework, CMAQ model version 5.0.2 was used to predict air  
106 pollutant concentrations for the continental U.S. during the summer of 2014 (CMAS 2016).  
107 Meteorological predictions to drive the CMAQ model were generated using the Weather  
108 Research and Forecasting Advance Research WRF (WRF-ARW) regional meteorological model.  
109 The horizontal resolution of both models is 12 km, with 42 vertical layers with a domain top at  
110 50 hPa. More vertical layers are used below 1 km. The height of the lowest vertical layer was  
111 8 meters above the ground within the DISCOVER-AQ domain. The configuration of the CMAQ  
112 and WRF-ARW models within the NAQFC is described in more detail in Tang *et al.* (2015).

113 Air pollutant emissions for the NAQFC are derived from the U.S. National Emissions  
114 Inventory (NEI). At the time of the Colorado field study, the 2005 NEI was being used, with  
115 several major updates as described in Tong *et al.* (2015). For NH<sub>3</sub>, the NEI provides county-level  
116 estimates of annual emissions. These annual emissions estimates are allocated the 12-km model  
117 grid and to hourly values using the Sparse Matrix Operator Kernel Emissions (SMOKE) system  
118 (Vukovich and Pierce, 2002). Aerosol chemistry is based on the AERO5 module of CMAQ  
119 version 4.7.1 (Binkowski and Shankar, 1995), and dry deposition computed for NH<sub>3</sub> is based on  
120 the M3Dry module (Mathur *et al.*, 2005).

121 It must be noted that the NAQFC modeling framework at the time of the 2014 field study  
122 did not account for the potential bidirectional flux of NH<sub>3</sub> between the bottom layer of the model  
123 and the surface. A bidirectional surface exchange model for NH<sub>3</sub> has recently been developed  
124 and implemented in CMAQ (Cooter *et al.* 2012; Bash *et al.* 2013; Pleim *et al.* 2013). This model  
125 replaces the unidirectional deposition flux algorithm for NH<sub>3</sub> and adds a term for the potential  
126 evaporation of NH<sub>3</sub> to the air from vegetated landscapes. This upward flux of NH<sub>3</sub> offsets the  
127 deposition flux, resulting in higher atmospheric concentrations of NH<sub>3</sub>. Testing of the

128 bidirectional flux model has predicted  $\text{NH}_3$  concentrations 10% higher, on average, than previous  
129 predictions with the unidirectional deposition flux approach (Cooter *et al.* 2012; Bash *et al.*  
130 2013).

131

## 132 2.2. Aircraft measurements

133

134 We compared CMAQ model predictions of gaseous  $\text{NH}_3$  with measurements made in  
135 flight by a Lockheed P3B Orion aircraft operated by the National Aeronautics and Space  
136 Administration (NASA). The rate of conversion of gaseous  $\text{NH}_3$  particulate  $\text{NH}_4^+$  is a potential  
137 source of discrepancy between the modeled and measured  $\text{NH}_3$  concentrations. Therefore, we  
138 also compared modeled and measured values for the sum of gaseous  $\text{NH}_3$  and particulate  $\text{NH}_4^+$ ,  
139  $\text{NH}_x$ . The aircraft measurements were made at elevations ranging from ground level to 5 km  
140 above ground level, and included upward spirals, downward spirals, and transect flights in the  
141 Front Range of the Rocky Mountains, around Denver, Boulder, Fort Collins, and Greeley,  
142 Colorado.

143 The measured values of  $\text{NH}_3$  and  $\text{NH}_4^+$  were obtained from the DISCOVER-AQ  
144 Colorado field campaign archive. P3B aircraft measurements of  $\text{NH}_3$  and  $\text{NH}_4^+$  are described in  
145 detail in Müller *et al.* (2014) and Sun *et al.* (2015). Ambient air was directed to an array of  
146 instruments located on-board the aircraft.  $\text{NH}_3$  was measured using a proton transfer reaction  
147 time-of-flight mass spectrometer (PTR-MS).  $\text{NH}_3$  concentrations were measured every 10 s; and  
148 1-minute averages were also computed. The 1-minute averages were used for model-to-  
149 measurement comparisons.

150 The PTR-MS measurement system for  $\text{NH}_3$  was evaluated in a previous DISCOVER-AQ  
151 campaign in the San Joaquin Valley of California (Sun *et al.*, 2015). The PTR-MS system was  
152 found to have a measurement accuracy of  $\pm 35\%$  and a  $1\sigma$  measurement precision of 5.5–  
153 6.5 ppbv at 1 s time resolution, or 0.75 ppbv for a 1-minute average. This variability results in a  
154 low signal-to-noise ratio, especially for  $\text{NH}_3$  in the free troposphere, where concentrations are  
155 below 1 ppbv. In order to reduce the impact of this high value for measurement precision, our  
156 comparisons of aircraft data with model predictions focus on measurements made at altitudes  
157 below 1,000 m above ground level, as measured by radar.

158 Concentrations of  $\text{NH}_4^+$  aerosol, and other soluble aerosols were measured by a Particle-  
159 into-Liquid-Sampler followed by ion chromatography (PILS-IC). The  $\text{NH}_4^+$  concentration was  
160 recorded every minute. In side-by-side comparisons, the NASA PILS-IC system showed good  
161 correlation with filter measurements, giving a slope of  $\sim 0.93$ , intercept of  $\sim 0.24 \mu\text{g m}^{-3}$ , and  
162 r-value of 0.94. Precision for calculated at  $\sim 0.4 \mu\text{g m}^{-3}$ . (Orsini *et al.*, 2003).

163 As air pollutant concentrations were recorded, the location, altitude, speed, bearing, and  
164 angle of ascent or descent were recorded using data from the aircraft navigation system and  
165 global positioning system (GPS). The height above ground level was also measured using radar.  
166 CMAQ model predictions of  $\text{NH}_3$  and  $\text{NH}_4^+$  were extracted for comparison with for each 1-  
167 minute average aircraft measurement. The CMAQ prediction at a given measurement location  
168 and time is computed by 4-dimensional interpolation across space and time, using the model grid  
169 cells surrounding the measurement point at the appropriate model layer height.

170

## 171 2.3. Ground-level measurements

172

173 Ground level measurements of NH<sub>3</sub> were obtained from 3 monitoring sites of the  
174 Ammonia Monitoring Network (AMoN) located within the DISCOVER-AQ Colorado domain,  
175 for the period 2007 through 2014. The AMoN network is operated under the National  
176 Atmospheric Deposition Program (NADP) to provide a consistent, long-term record of NH<sub>3</sub> gas  
177 concentrations across the U.S. (NADP 2014). AMoN monitors use passive diffusion collectors  
178 which are changed every two weeks. The detection limit of the AMoN passive sampler is  
179 approximately 1.5 ppbv for samples collected over a 24 hour period, or 100 pptv for samples  
180 collected over a two-week period (Sigma Aldrich). The accuracy is estimated at  $\pm 6\%$ . NH<sub>3</sub>  
181 measurements were also obtained for 12 passive samplers in the study domain operated by  
182 Colorado State University (CSU) during the DISCOVER-AQ campaign timeframe (Benedict,  
183 2015). The CSU measurement network used Radiello passive samplers, changed every week.  
184 Methods used by CSU are described in more detail in Day, *et al* (2012).

185 CMAQ NH<sub>3</sub> predictions were compared with these passive sampler measurements. NH<sub>3</sub>  
186 concentration results were extracted for the grid cells surrounding each monitor location, in the  
187 lowermost model layer. The model grid cell results were interpolated to the monitor location  
188 sites and averaged for the passive sampler measurement periods.

#### 189 2.4. Satellite measurements

190  
191  
192 CMAQ predictions were also compared with NH<sub>3</sub> concentrations retrieved from infrared  
193 spectra gathered by the TES instrument on the Aura satellite. TES performed 5 transect  
194 measurements over the DISCOVER-AQ study domain between July 29 and August 14, 2014.  
195 These were all daytime passes, between 1:00 and 1:30 PM local standard time.

196 The NH<sub>3</sub> retrievals rely on the change in intensity of infrared radiation across a number  
197 of specific wavelength bands which are chosen to cover a sharp feature in the NH<sub>3</sub> infrared  
198 absorption spectrum (940–970 cm<sup>-1</sup>). A forward radiative transfer model (RTM) is used to  
199 compute the expected intensity of radiation in the selected bands at the top of the atmosphere.  
200 The RTM requires input information on the atmospheric density, relative humidity and  
201 concentrations of other trace gases, as well as an a priori assumption on the concentration of  
202 NH<sub>3</sub>. The retrieval for NH<sub>3</sub> is carried out after retrievals for temperature and other trace gases.  
203 The assumed concentration profile of NH<sub>3</sub> is varied to minimize the error between the spectrum  
204 predicted by the RTM and the spectrum actually measured by the satellite. This results in an  
205 estimate of the concentration of NH<sub>3</sub> for the region sensed by the satellite. (Shephard *et al*,  
206 2012). In the current study, only those measurements which passed TES quality assurance  
207 checks were used (Species Retrieval Quality = 1).

208 The estimated concentration of NH<sub>3</sub> is affected by and may tend to be biased toward the a  
209 priori assumption made for NH<sub>3</sub>. In addition, the satellite is seeing an absorption by the entire  
210 atmospheric column. Although the retrieval algorithm is used to estimate the vertical distribution  
211 of NH<sub>3</sub>, this vertical distribution is also subject to uncertainties and is affected by the a priori  
212 assumption.

#### 213 2.5. Model to measurement comparisons

214  
215  
216 Prediction accuracy for the NAQFC CMAQ model was quantified by computing the  
217 normalized mean bias (NMB), and the ratio of the average measured concentration to the  
218 average model prediction ( $R_{o/m}$ ):

219

220

$$NMB = \frac{1}{N} \frac{\sum_{i=1}^N [C_{mod}(i) - C_{obs}(i)]}{\sum_{i=1}^N C_{obs}(i)}$$

221

222 and:

223

224

$$R_{o/m} = \frac{\sum_{i=1}^N C_{obs}(i)}{\sum_{i=1}^N C_{mod}(i)}$$

225

226 Where  $C_{mod}(i)$  and  $C_{obs}(i)$  are, respectively, the model prediction and the observed concentration  
227 at a given location and time, and  $N$  is the number of observations.  $R_{o/m}$  and  $NMB$  are related to  
228 one another as follows:

229

230

$$NMB = \frac{1}{R_{o/m}} - 1$$

231

232 The Pearson correlation coefficient ( $r$ ) and the concordance correlation coefficient ( $\rho_c$ )  
233 were used to evaluate correlation of the measured concentrations with predicted concentrations.  
234 The concordance correlation coefficient is also known as the reproducibility index, and gives a  
235 more rigorous test of whether modeled values predict observed values (Lin, 1989, 1992).

236

### 237 3. Results and discussion

238

#### 239 3.1. Comparison of model predictions with *in situ* aircraft measurements

240

241 Table 1 summarizes the results of the comparison of *in situ* aircraft measurements with  
242 model predictions for of  $\text{NH}_3$ ,  $\text{NH}_4^+$  in  $\text{PM}_{2.5}$  and  $\text{NH}_x$ . For each measurement location, the  
243 corresponding CMAQ prediction was interpolated based on the surrounding grid cells at the  
244 appropriate model layer heights. The concentration pairs were then compared directly, without  
245 any adjustment for altitude. The aircraft measurements were carried out during the day, and our  
246 comparisons were restricted to measurements taken below 1000 m in altitude. Therefore, these  
247 measurements are generally within the well-mixed planetary boundary layer (Arya, 1999). The  
248 average measured  $\text{NH}_3$  concentration was 6.1 ppbv ( $3.9 \mu\text{g}/\text{m}^3$ ), with a standard deviation of 6.9  
249 ppbv ( $4.2 \mu\text{g}/\text{m}^3$ ) and a maximum measured value of 90.0 ppbv ( $53.1 \mu\text{g}/\text{m}^3$ ). In comparison, the  
250 average model prediction at the locations and times corresponding to these measurements was  
251 2.2 ppbv ( $1.4 \mu\text{g}/\text{m}^3$ ). The standard deviation of the model prediction was 1.6 ppbv ( $1.4 \mu\text{g}/\text{m}^3$ )  
252 and the maximum model prediction was 15.3 ppbv  $\text{NH}_3$  ( $9.1 \mu\text{g}/\text{m}^3$ ). The average measured  
253 concentration of  $\text{NH}_3$  was a factor of 2.7 higher than the average of model predictions at the  
254 sample locations. This corresponds to a normalized mean bias for  $\text{NH}_3$  of  $-63\%$ .

255

256 The average measured concentration of particulate  $\text{NH}_4^+$  was  $0.29 \mu\text{g}/\text{m}^3$ , which reflects  
257 an average conversion of 7% of  $\text{NH}_3$  to  $\text{NH}_4^+$ . The average model prediction was  $0.34 \mu\text{g}/\text{m}^3$ ,  
258 corresponding to an average conversion of 23%. The ratio of the average measured concentration  
259 on particulate  $\text{NH}_4^+$  to the average model prediction was 0.85, corresponding to a normalized

260 mean bias of +18%. Thus, the underestimation of gaseous NH<sub>3</sub> was not accompanied by an  
261 underestimation of particulate NH<sub>4</sub><sup>+</sup>. However, the relative magnitude of predicted NH<sub>3</sub> gas and  
262 particulate NH<sub>4</sub><sup>+</sup> suggests that the formation of NH<sub>4</sub><sup>+</sup> was not limited by availability of NH<sub>3</sub>.

263 The results of a comparison for NH<sub>x</sub> (the combination of NH<sub>3</sub> vapor and particulate  
264 NH<sub>4</sub><sup>+</sup>) are similar to the results for gaseous NH<sub>3</sub> alone. The average measured concentration of  
265 NH<sub>x</sub> is a factor of 2.5 higher than the average of corresponding model predictions, and the  
266 normalized mean bias is an under-prediction of 60%. These values are slightly lower than the  
267 values for NH<sub>3</sub> vapor alone.

268 Figure 2a plots the measured concentrations of NH<sub>3</sub>, on the y-axis, against model  
269 predictions on the x-axis. Figures 2b and 2c provide similar plots for NH<sub>4</sub><sup>+</sup> and NH<sub>x</sub>,  
270 respectively. Each measurement is plotted as a point. Two lines are also included in each plot.  
271 The dotted lines show a 1:1 slope, where points would have fallen if the measurements and  
272 model predictions were in complete agreement (measured = modeled). The dashed lines show  
273 the 1:1 slope displaced by the NMB.

274 The graphs in Figure 2 show substantial scatter for all three pollutants. In all three cases,  
275 high measured values can occur where model predictions are low, and vice versa. For both NH<sub>3</sub>  
276 and NH<sub>x</sub>, the majority of measurements fall above the prediction line (measured = modeled). For  
277 NH<sub>4</sub><sup>+</sup>, the measurements fall evenly on both sides of the line.

278 Figure 3 compares a histogram of the measured NH<sub>3</sub> concentration with a histogram of  
279 the modeled NH<sub>3</sub> concentration. The figure illustrates that the distribution of model predictions  
280 falls off much more swiftly than the distribution of measured concentrations. However, the  
281 structure of the two profiles is similar. Figure 3 shows that the model does not produce the full  
282 range of values found in the measured data set at the high end. The 98<sup>th</sup> percentile of measured  
283 values was 23 ppbv while the 98<sup>th</sup> percentile level of corresponding modeled values was 6 ppbv.  
284 However, Figure 2a shows that the underestimation is not restricted to the high end, but affects  
285 the full range of NH<sub>3</sub> concentrations.

286 In order to identify spatial patterns in the model prediction error, NMB and R<sub>o/m</sub> were  
287 computed using the *in situ* aircraft measurements within each 12 km modeling grid. Figure 4  
288 presents the results of this analysis. In the figure, a background raster (in blue) shows the average  
289 CMAQ prediction during the DISCOVER-AQ campaign. Round icons indicate the ratio of the  
290 average measured concentration to the average model prediction (R<sub>o/m</sub>). The largest differences  
291 between modeled and measured NH<sub>3</sub> were around Greeley, in Weld County.

292 Over 1,300 cattle operations are located in Weld County (USDA, 2014), including two  
293 feedlots which are among the largest in the U.S. (CSU, 2016). The inventory of cattle in Weld  
294 County is over 500,000, the 3<sup>rd</sup> largest cattle population of any U.S. county (USDA, 2014). The  
295 concentration of cattle operations in the Greeley area resulted in model predictions of NH<sub>3</sub> which  
296 were higher than those in the rest of the modeling region. Measured NH<sub>3</sub> in the Greeley area  
297 were a factor of 3 to 4.3 higher than the model predictions. Similar ratios of measured-to-  
298 modeled NH<sub>3</sub> were found near Denver; however the magnitude of both modeled and measured  
299 NH<sub>3</sub> concentrations were lower than in the area around Greeley.

300 Each of the icons for R<sub>o/m</sub> in Figure 4 represents multiple measurements (85 on average),  
301 with the icon at the Northeastern of the loop near Greeley representing 113 measurements.  
302 Nevertheless, these measurements are localized along the path of the aircraft. Thus, it is possible  
303 that the measurements are affected by local hotspots of NH<sub>3</sub>, so that the large values of R<sub>o/m</sub> may  
304 apply to only a fraction of the modeling grid.

305 In summary, the average concentration of NH<sub>3</sub> measured by in situ aircraft sampling was  
306 a factor of 2.7 higher than the average of model predictions at the sample locations. However,  
307 the underestimation of gaseous NH<sub>3</sub> was not accompanied by an underestimation of particulate  
308 NH<sub>4</sub><sup>+</sup>. The under prediction of NH<sub>3</sub> was more pronounced in an area around Greeley with high  
309 NH<sub>3</sub> emissions. In addition, the highest concentrations of NH<sub>3</sub> predicted by the model were  
310 considerably lower than the highest measurements.

### 311 3.2. Model predictions compared with ground level passive measurements

312 Table 2 and Figure 5 summarize the results of the comparison of measured  
313 concentrations with model predictions for 3 passive NH<sub>3</sub> samplers operated under the AMoN  
314 network and 12 passive samplers operated by CSU. One of the AMoN sites is located in Fort  
315 Collins, Colorado, with the Rocky Mountains to the west and an agricultural region to the east.  
316 The remaining three AMoN sites are in remote areas, including two in the Rocky Mountain  
317 National Park. Most of the CSU sampling sites are in areas of intensive agriculture around the  
318 city of Greeley. In each comparison between the model and a ground-level measurement, we  
319 computed the average model prediction for the entire duration of the ground-level measurement  
320 (14 days for AMoN and 7 days for CSU). Thus, the measurement and the model prediction were  
321 compared on the same basis, from the standpoint of averaging time.

322 The CSU monitoring results are high in comparison with the AMoN results. However, as  
323 noted above, these monitors are located in an area of intensive agriculture. The results for the  
324 CSU monitors are comparable to the results of in situ aircraft measurements made near Greeley.  
325 In addition, the NMB for the CSU monitors is comparable to the NMB for the AMoN monitors.

326 The average measured NH<sub>3</sub> concentration for all ground-level passive monitors was  
327 16.0 ppbv (9.5 µg/m<sup>3</sup>), with a standard deviation of 19.8 ppbv (11.7 µg/m<sup>3</sup>) and a maximum  
328 measured value of 116.3 ppbv (68.7 µg/m<sup>3</sup>). In comparison, the average model prediction at the  
329 locations and times corresponding to these measurements was 6.0 ppbv (3.5 µg/m<sup>3</sup>). The  
330 standard deviation of the model prediction was 3.7 ppbv (2.2 µg/m<sup>3</sup>) and the maximum model  
331 prediction was 12.8 ppbv NH<sub>3</sub> (7.6 µg/m<sup>3</sup>). The average measured concentration of NH<sub>3</sub> was a  
332 factor of 2.7 higher than the average of the corresponding model predictions. The normalized  
333 mean bias (NMB) for NH<sub>3</sub> was an under-prediction of 63%. This confirms the result for in situ  
334 aircraft measurements, discussed above.

### 335 3.3. Model predictions compared with satellite retrievals

336 Table 3 and Figure 6 compare CMAQ model predictions with NH<sub>3</sub> concentration  
337 estimates retrieved from TES satellite spectroscopic measurements. Three separate comparisons  
338 were made: one using the estimated total atmospheric column loading, the second using the  
339 estimated concentration in the lowest layer of the atmosphere, and the third using the estimated  
340 concentration at an altitude of 1740 m above ground level (AGL). This is the altitude where the  
341 averaging kernel indicates that the retrieved concentration from the satellite measurement is most  
342 sensitive to the actual atmospheric concentration.

343 The NMB for the model prediction of total column loading (-76%, R<sub>o/m</sub> = 4.2) is  
344 somewhat more negative than the NMB for the comparisons with aircraft data and ground level  
345



349 monitoring data. The model prediction for the lowest layer of the atmosphere has a less negative  
350 NMB ( $-33\%$ ,  $R_{o/m} = 1.5$ ) than the prediction for total column loading, or than the comparisons  
351 with aircraft and ground level monitor data. The average TES retrieval for the lowest layer of the  
352 atmosphere is also lower than concentrations measured in the same region by aircraft and by the  
353 CSU monitors (Tables 1 and 2). The NMB of the model prediction at 1740 m AGL ( $-53\%$ ,  
354  $R_{o/m} = 2.1$ ) is midway between the results for the total column loading and the ground level  
355 concentration. Model predictions for this altitude also give a better correlation with the satellite  
356 retrieval ( $r = 0.52$ ) than the ground level concentration ( $r = 0.09$ ) or the total column loading  
357 ( $r = 0.11$ ).

358 The NMB from the satellite data analysis is subject to considerable uncertainty, as  
359 highlighted by the variability among the different satellite metrics for  $\text{NH}_3$  (Table 3). However,  
360 the satellite results for  $\text{NH}_3$  are in agreement with the aircraft and ground-level results discussed  
361 above.

#### 362 3.4. Satellite retrievals compared with *in situ* aircraft measurements

363  
364 The TES satellite swath was not aligned with aircraft spiral measurements; however, a  
365 number of aircraft flight paths crossed the satellite swath close to the time of satellite passage.  
366 We identified 46 *in situ* observations which occurred within an hour of a TES satellite pass, and  
367 within 15 km of the center of the satellite swath. These *in situ* measurements were compared  
368 with the TES  $\text{NH}_3$  retrievals for the atmospheric layer corresponding to the aircraft elevation.  
369 Table 4 and Figure 7 summarize the results of this comparison. The average of aircraft  
370 measurements overlapping the TES track was 2.9 ppbv, with a standard deviation of 2.4 ppbv,  
371 and a maximum value of 8.1 ppbv. The average of TES retrievals corresponding to these  
372 measurement locations was 2.8 ppbv, with a standard deviation of 2.5 ppbv, and a maximum  
373 value of 6.6 ppbv. Thus, the normalized mean bias of the TES retrieval with respect to the *in situ*  
374 measurement was only  $-1\%$ . The correlation coefficient ( $r$ ) and concordance correlation  
375 coefficient between the TES retrieval and the aircraft measurement are both 0.78. Thus, the TES  
376 results exhibit good correlation with the aircraft measurements.

#### 377 3.5. Analysis of model bias in relation to previous studies and the $\text{NH}_3$ emissions inventory

378  
379 Gilliland *et al* (2006) performed inverse modeling in order to evaluate the emissions  
380 inventory for  $\text{NH}_3$ . Measurements of  $\text{NH}_4^+$  in precipitation were used with a 2001 CMAQ  
381 simulation for the continental U.S. Annual emissions estimates were found to be reasonable on  
382 average, but inverse modeling results indicated that the  $\text{NH}_3$  emissions inventory was too high in  
383 winter and too low in summer. On a domain-wide basis, the posterior  $\text{NH}_3$  emissions inventory  
384 for the July-August timeframe was 17% higher than the prior inventory. Smaller-scale analyses  
385 of the data suggested that the error may have been higher in the western U.S., however these  
386 results were unstable due to low precipitation rates.

387  
388 Butler *et al* (2014) evaluated CMAQ predictions in Susquehanna River Watershed of  
389 New York and Pennsylvania using ambient concentration measurements conducted in 2008 and  
390 2009. The model estimates were lower than measured values by 8% to 60%.

391  
392 Kelly *et al* (2014) evaluated CMAQ predictions in the San Joaquin Valley of California  
393 using measurements from the measurement campaign for “California Research at the Nexus of

394 Air Quality and Climate Change” (CalNex) in May and June of 2010. The study analyzed  
395 multiple pollutants, including  $\text{NH}_4^+$  and  $\text{NH}_3$ . The model performed well for  $\text{NH}_4^+$ .  $\text{NH}_3$  was  
396 over-predicted in some urban areas; however, this was attributed to errors in prediction of the  
397 mixing layer behavior. The model under-predicted  $\text{NH}_3$  in agricultural regions. In addition,  
398 model predictions did not capture the large variations in measured  $\text{NH}_3$ .

399 Zhu *et al* (2013) performed inverse modeling of ambient  $\text{NH}_3$  in the Continental U.S.  
400 using TES satellite data in conjunction with the GEOS-Chem model. TES data were assimilated  
401 for April, July, and October of 2006 through 2009. AMoN data were used to evaluate the inverse  
402 modeling results. The study found that the initial  $\text{NH}_3$  emissions inventory appeared to be an  
403 underestimate, especially in the Western U.S.

404 The current study found that the NAQFC CMAQ model underestimated the  $\text{NH}_3$   
405 concentration in Northeastern Colorado in July and August of 2014 by a factor of  $\sim 2.7$   
406 (NMB =  $-63\%$ ). This difference is larger than the differences found by Gilliland *et al* (2006) and  
407 Butler *et al* (2014). However, these studies differed from the current study in important ways.  
408 The Gilliland study used deposition measurements to evaluate CMAQ predictions; and the Butler  
409 study focused on a region of low  $\text{NH}_3$  concentration. The findings of the current study are  
410 comparable to the findings of Kelly *et al* (2014) for an agricultural region in California. Both the  
411 current study and the Kelly study included regions with intensive agriculture. A European study  
412 using CMAQ as part of the CALIOPE-EU modeling system also found that  $\text{NH}_3$  concentrations  
413 were underestimated in the summer months (Pay *et al*, 2012).

414 Measured and modeled concentrations of  $\text{NH}_4^+$  were much lower than the measured  
415 concentration of  $\text{NH}_3$ . Therefore, any differences in the conversion of  $\text{NH}_3$  to  $\text{NH}_4^+$  would be too  
416 small to account for the underestimation of  $\text{NH}_3$ . Rather, the model error for  $\text{NH}_3$  is believed to  
417 result from either the  $\text{NH}_3$  emissions inventory, or to the rate of  $\text{NH}_3$  deposition. As noted in  
418 Section 2.1, the NAQFC modeling framework used in the current study did not include a  
419 recently-developed bidirectional flux algorithm for  $\text{NH}_3$  between the bottom layer of the model  
420 and the surface. (Cooter *et al*. 2012; Bash *et al*. 2013; Pleim *et al*. 2013). Testing of the  
421 bidirectional flux model has predicted  $\text{NH}_3$  concentrations 10% higher, on average, than previous  
422 predictions with the unidirectional deposition flux approach (Cooter *et al*. 2012; Bash *et al*.  
423 2013). Thus, we would not expect the incorporation of bidirectional flux, by itself, to correct the  
424 underestimation of  $\text{NH}_3$  for the DISCOVER-AQ domain.

425 As illustrated in Figure 4, the model bias varies across the DISCOVER-AQ domain, with  
426 larger differences in the neighborhood of Greeley and Denver. The Greeley area is a region of  
427 intensive agriculture, with high levels of  $\text{NH}_3$  emissions in the 2005 NEI. Thus, CMAQ  
428 predictions of  $\text{NH}_3$  in this area are higher than the surrounding region. However, results of the  
429 model-to-measurement comparison indicate that emissions in the Greeley region may have been  
430 still higher than the levels reflected in the inventory.

431 The current study also uses  $\text{NH}_3$  emissions estimates from the 2005 NEI, which have  
432 recently been updated in the 2011 NEI. However, the change in estimated  $\text{NH}_3$  emissions from  
433 the 2005 NEI to the 2011 NEI was only an increase of 10% within the DISCOVER-AQ  
434 Colorado domain (USEPA, 2009 and 2015). Long term  $\text{NH}_3$  monitoring trends at the Fort  
435 Collins AMoN site also do not show an increase in measured  $\text{NH}_3$  concentrations over this  
436 period. Figure 8 shows that measured concentrations in 2014 at Fort Collins fall within the range  
437 of concentrations measured for the preceding 7 years.

438 On the timescale of the summer measurement campaign, errors in the emissions  
439 inventory can arise not only from the overall emission factors, but also from the seasonal

440 allocation of emissions. However, the increase in the measured NH<sub>3</sub> concentration at Fort Collins  
441 is less than the increase in NH<sub>3</sub> emissions in the modeling domain, based on the seasonal factors  
442 used in the NEI. The measured NH<sub>3</sub> concentration during the monitoring campaign was 1.44  
443 times the annual average concentration at the Fort Collins site in the calendar year 2014. Based  
444 on seasonal allocation factors used in the NEI for NH<sub>3</sub>, emissions used in July and August are  
445 1.8 times the annual average. Thus, the underestimation in NH<sub>3</sub> for the campaign is not believed  
446 to result from errors in seasonal allocation.

447

## 448 **Summary and Conclusions**

449

450 This paper describes an evaluation of the NOAA NAQFC predictions of NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup>  
451 using a number of different data sources. The primary data source is a large set of aircraft-based  
452 *in situ* measurements from the DISCOVER-AQ Colorado campaign. In addition, data were  
453 obtained from the ground-based AMoN network, a ground-based study carried out by CSU in  
454 concert with the DISCOVER-AQ campaign, and satellite-based TES instrument. The NAQFC  
455 model underestimated Northeastern Colorado NH<sub>3</sub> concentrations during the July and August of  
456 2014 by a factor of ~2.7 when compared to aircraft emissions measurements. Similar results  
457 were observed for the AMoN, CSU, and TES datasets, with the model underestimating NH<sub>3</sub> by  
458 1.5 to 4.2 times. However, the underestimation of gaseous NH<sub>3</sub> was not accompanied by an  
459 underestimation of particulate NH<sub>4</sub><sup>+</sup>.

460 The model error for NH<sub>3</sub> is believed to result from either the NH<sub>3</sub> emissions inventory, or  
461 to the rate of NH<sub>3</sub> deposition. The NAQFC modeling framework did not include a recently-  
462 developed bidirectional flux algorithm for NH<sub>3</sub>. Although the bidirectional flux algorithm could  
463 be expected to raise NH<sub>3</sub> concentrations in the summer months; however, the magnitude of this  
464 increase is not believed to be sufficient to overcome the underestimation of NH<sub>3</sub> which was  
465 found in this study.

466 The underestimation of NH<sub>3</sub> varied across the study domain, with the highest errors  
467 occurring in a region of intensive agriculture near Greeley, and in the vicinity of Denver.  
468 Seasonal patterns measured at an AMoN site in the region suggest that the underestimation of  
469 NH<sub>3</sub> is not due to the seasonal allocation of emissions, but to the overall annual emissions  
470 estimate.

471

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473

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479

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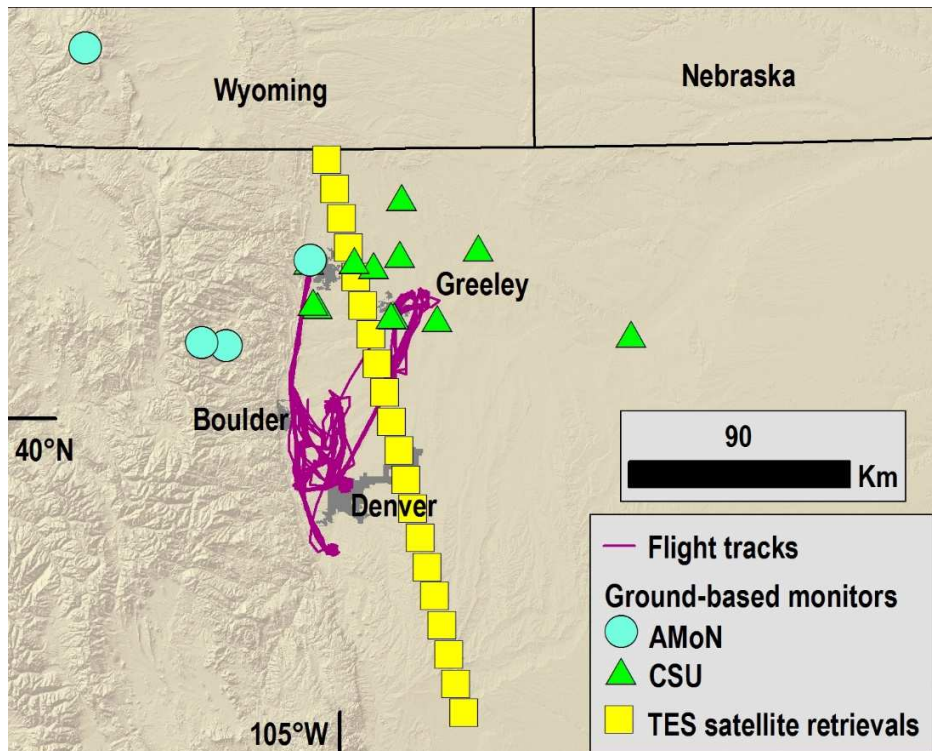
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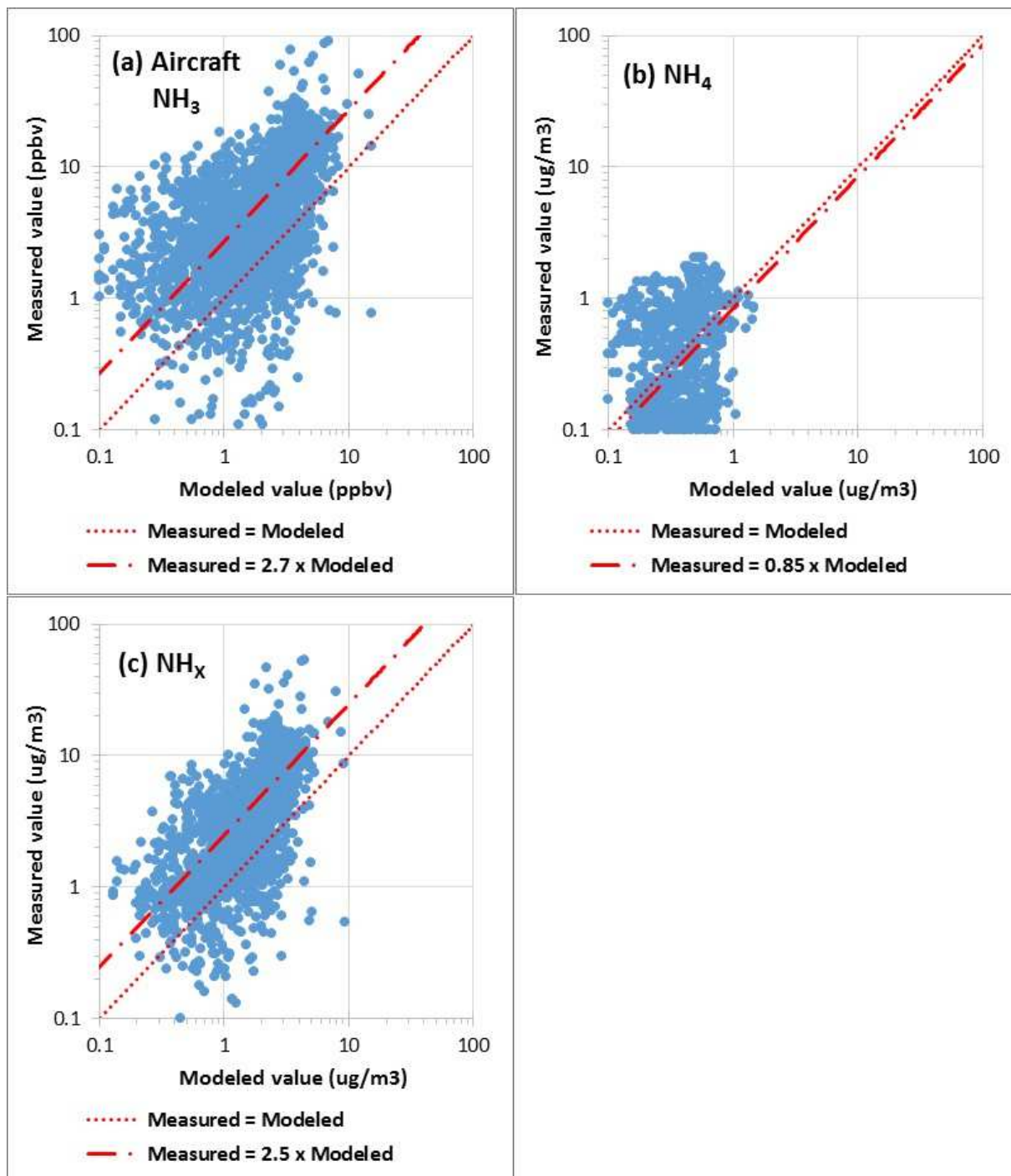
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628  
 629 *Figure 1. Domain of the DISCOVER-AQ Colorado measurement campaign, showing flight paths for low*  
 630 *level in situ aircraft measurements (<1km AGL), locations of ground level monitors, and the path for TES*  
 631 *satellite measurements. [1.5 column image]*

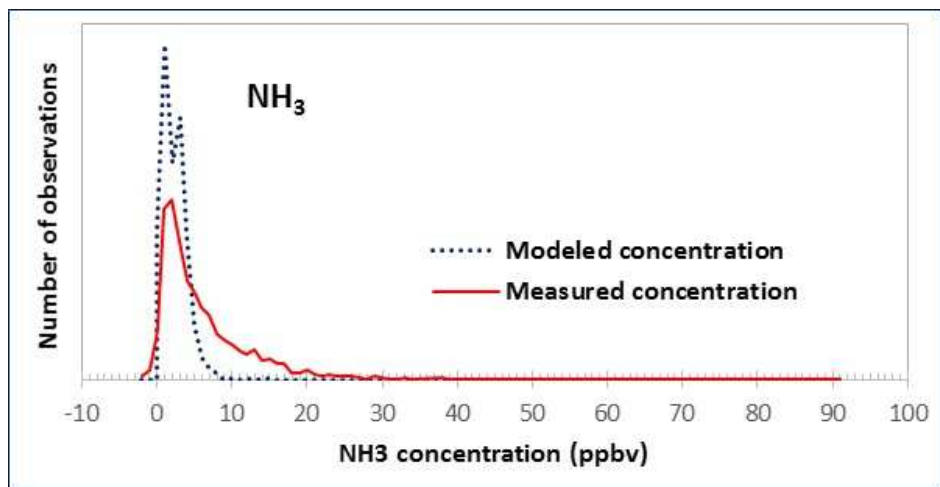




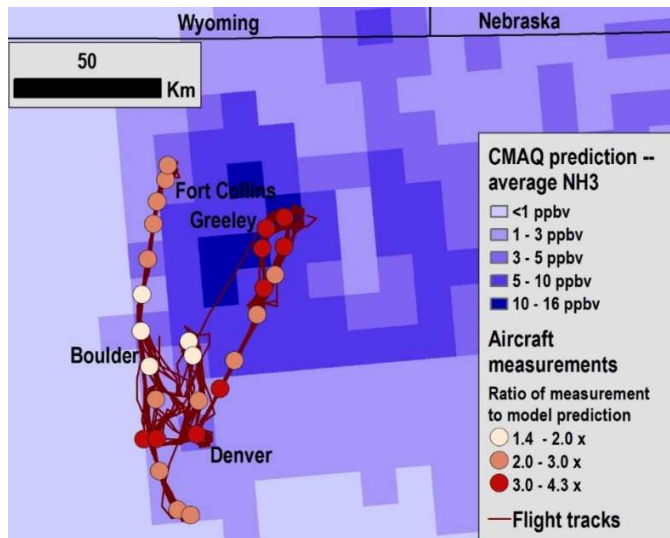
632  
 633 *Figure 2. Aircraft in situ measurements of  $\text{NH}_3$  (a),  $\text{NH}_4^+$  (b), and  $\text{NH}_x$  (c), plotted against model*  
 634 *predictions. Each measurement is plotted as a point. Dotted lines show a 1:1 slope, where points would*  
 635 *have fallen if the measurements and model predictions were in complete agreement (measured =*  
 636 *modeled). Dashed lines show the 1:1 slope displaced by the NMB.*

637 [2-column image]

638

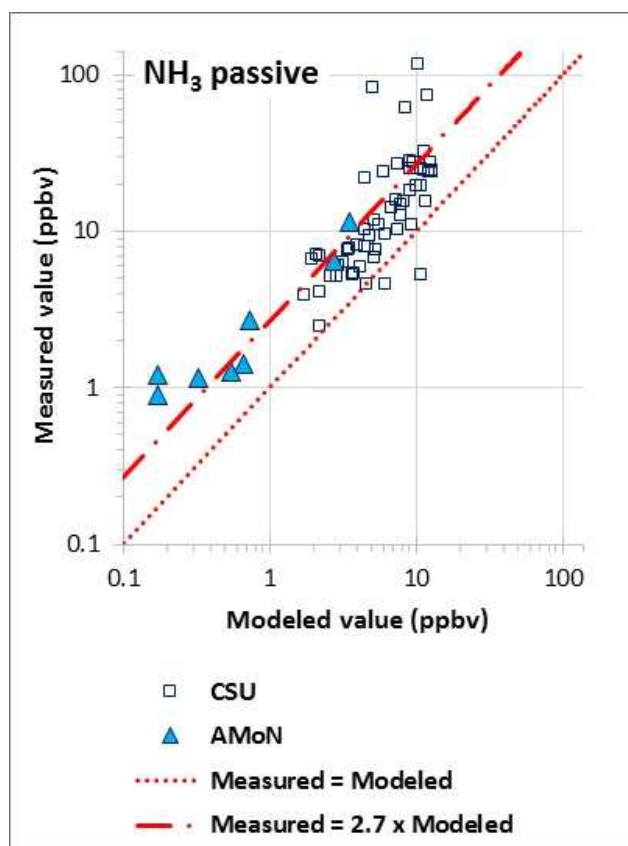


639  
640 *Figure 3. Histogram of aircraft measurements compared with histogram of model*  
641 *predictions at the corresponding times and locations.*  
642 *[1.5 column image]*  
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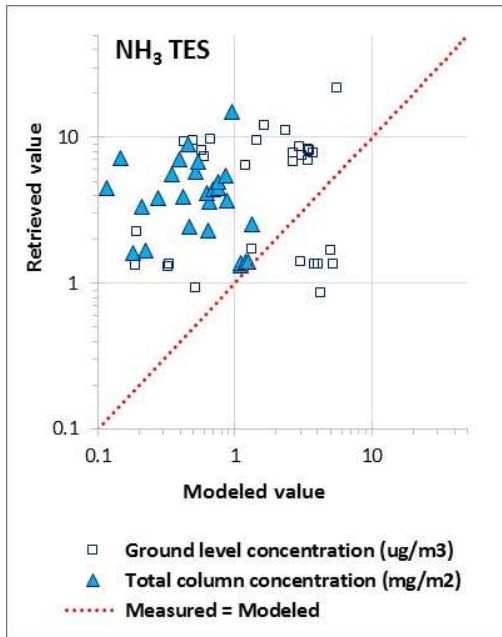


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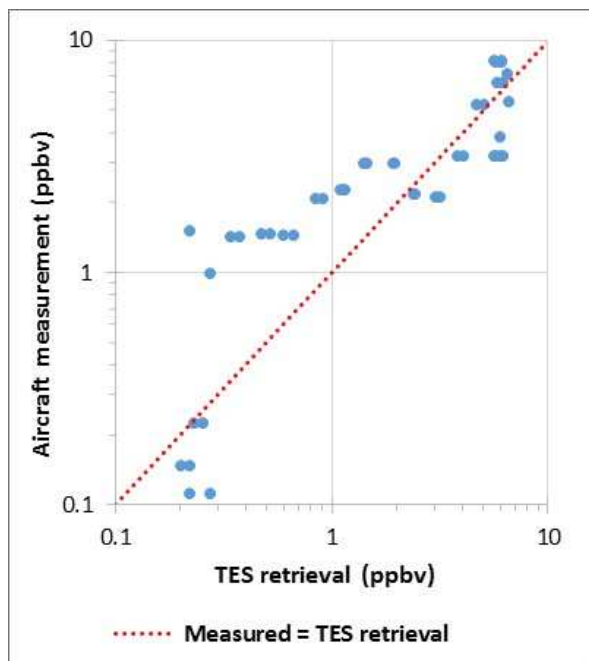
Figure 4. Spatial variation of model prediction error from in situ aircraft measurements. [1-column image]



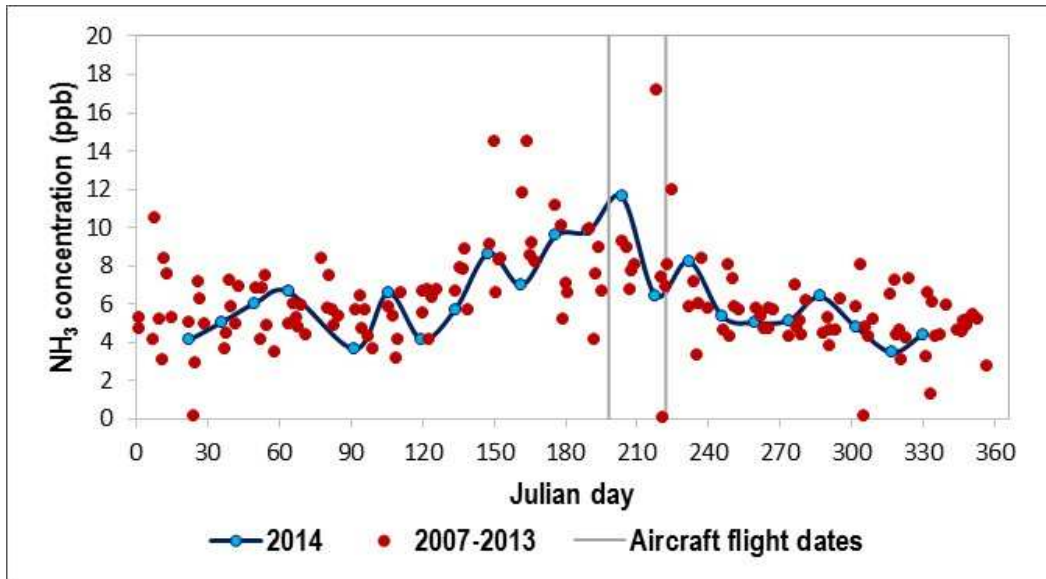
649  
 650 *Figure 5. Ground-level measurements of NH<sub>3</sub> plotted*  
 651 *against model predictions. Dotted line shows where the*  
 652 *measured points should have fallen if the model*  
 653 *predictions were exactly correct (measured =*  
 654 *modeled). Dashed line shows the actual measured*  
 655 *trend lines based on the ratio of the average measured*  
 656 *concentration to the average model prediction.*  
 657 *[1-column image]*  
 658



659  
 660 *Figure 6. TES NH<sub>3</sub> retrievals plotted against model*  
 661 *predictions. Each measurement is plotted as a point.*  
 662 *Dotted line shows where the measured points should*  
 663 *have fallen if the model predictions were exactly correct*  
 664 *(measured = modeled).*  
 665 *[1-column image]*  
 666



667  
 668 *Figure 7. Aircraft in situ measurements of  $\text{NH}_3$  plotted*  
 669 *against TES satellite retrievals. Each measurement is*  
 670 *plotted as a point. Dotted line shows where the*  
 671 *measured points should have fallen if the satellite*  
 672 *retrievals were exactly correct (measured = TES*  
 673 *retrieval).*  
 674 *[1-column image]*  
 675



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 679  
 680

Figure 8. Seasonal pattern of  $\text{NH}_3$  vapor at the Fort Collins AMoN site in 2014 compared with  $\text{NH}_3$  vapor in previous years.  
 [2-column image]

Table 1. Comparison of *in situ* aircraft measurements with model predictions for NH<sub>3</sub>, NH<sub>4</sub><sup>+</sup>, and NH<sub>x</sub>.

	NH <sub>3</sub> (ppbv)	NH <sub>3</sub> (µg/m <sup>3</sup> )	NH <sub>4</sub> (µg/m <sup>3</sup> )	NH <sub>x</sub> (µg/m <sup>3</sup> )
Measured concentrations				
Average	6.1	3.9	0.29	4.2
Standard deviation	6.9	4.2	0.38	4.6
Maximum	90.0	53.1	2.05	53.3
Model predictions				
Average	2.2	1.4	0.34	1.7
Standard deviation	1.6	1.0	0.20	1.1
Maximum	15.3	9.1	1.46	9.2
Comparison statistics				
Normalized mean bias		-63%	18%	-60%
Ratio of average measured value to average modeled value		2.7	0.85	2.5
Correlation coefficient (r)		0.52	0.37	0.54
Concordance correl. coeff. (ρ <sub>c</sub> )		0.16	0.29	0.17
Number of observations		2,372	1,700	1,637

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Table 2. Comparison of ground-based measurements with model predictions for NH<sub>3</sub>.

	AMoN monitors		CSU monitors		All passive monitors	
	ppbv	µg/m <sup>3</sup>	ppbv	µg/m <sup>3</sup>	ppbv	µg/m <sup>3</sup>
Measured concentrations						
Average	3.3	2.0	17.8	10.5	16.0	9.5
Standard deviation	3.8	2.3	20.5	12.1	19.8	11.7
Maximum	11.6	6.8	116.4	68.7	116.3	68.7
Model predictions						
Average	1.1	0.7	6.7	3.9	6.0	3.5
Standard deviation	1.3	0.8	3.4	2.0	3.7	2.2
Maximum	3.5	2.1	12.8	7.7	12.8	7.6
Comparison statistics						
Normalized mean bias	-67%		-63%		-63%	
Ratio of average measured value to average modeled value	3.0		2.7		2.7	
Correlation coefficient (r)	0.97		0.47		0.52	
Concordance correl. coeff. (ρ <sub>c</sub> )	0.45		0.10		0.14	
Number of observations	8		58		66	

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Table 3. Comparison of TES retrievals with model predictions for NH<sub>3</sub>.

	Total atmospheric column loading (mg/m <sup>2</sup> )	Concentration in the lowest atmospheric layer		Concentration at the regional averaging kernel peak (ppbv)
		ppbv	µg/m <sup>3</sup>	
Measured concentrations				
Average	2.0	3.0	1.8	0.83
Standard deviation	2.9	4.5	2.7	1.1
Maximum	14.9	21.8	12.9	4.5
Model predictions				
Average	0.5	2.0	1.2	0.39
Standard deviation	0.4	2.1	1.3	0.54
Maximum	1.5	9.2	5.4	2.6
Comparison statistics				
Normalized mean bias	-76%		-33%	-53%
Ratio of average measured value to average modeled value	4.2		1.5	2.1
Correlation coefficient (r)	0.11		0.09	0.52
Concordance correl. coeff. (ρ <sub>c</sub> )	0.02		0.07	0.39
Number of observations	65		65	65

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Table 4. Comparison of *in situ* aircraft measurements with TES retrievals for NH<sub>3</sub>

	NH <sub>3</sub> (ppbv)
<i>In situ</i> aircraft measurements	
Average	2.9
Standard deviation	2.4
Maximum	8.1
TES retrievals	
Average	2.8
Standard deviation	2.5
Maximum	6.6
Comparison statistics	
Normalized mean bias of TES retrieval	-1%
Ratio of average measured value to average TES retrieval	1.01
Correlation coefficient (r)	0.78
Concordance correl. coeff. ( $\rho_c$ )	0.78
Number of observations	46

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