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Genetic Population Structure of Summer Flounder *Paralichthys dentatus* using
Microsatellite DNA Analysis

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41 **Abstract**

42 Summer flounder *Paralichthys dentatus* supports one of the most valuable commercial and
43 recreational fisheries along the Atlantic Coast of the U.S. However, in recent decades the
44 management of this species has proven to be one of the most contentious for any exploited
45 marine resource in the region. A coastwide catch quota is imposed annually for summer
46 flounder of which 60% is allocated to the commercial fishery and 40% to the recreational
47 fishery. The allocation is further divided among the individual coastal states from North Carolina
48 to Massachusetts based on their landings in the 1980s. This process, based on political
49 jurisdictions, does not consider the species' biological stock structure. Previous genetic studies
50 (allozyme, mtDNA, and SNPs) provided contradictory results regarding the possible population
51 structure of summer. To address this issue, we used DNA microsatellite analysis at 9 loci to
52 define the coastwide population structure of summer flounder. In total, 1,182 specimens were
53 analyzed from 18 collection sites. Most collections were from the continental shelf during the
54 fall-winter spawning season. These were supplemented with additional samples from inshore
55 waters from North Carolina to Florida, and inshore sites which support significant recreational
56 fisheries at Nantucket Shoals, Massachusetts and Fire Island, New York. The overall level of
57 genetic differentiation in pairwise comparison between collections was very low, mean F_{ST} =
58 0.001. There was no evidence of genetic differentiation between collections from north and
59 south of Cape Hatteras. Our microsatellite results are consistent with an earlier SNP study which
60 failed to find significant allelic heterogeneity among coastwide collections of summer flounder.
61 However, a subset of pairwise F_{ST} comparisons between some collections proved statistically
62 significant. Furthermore, in STRUCTURE analysis we found evidence of two genetic clusters
63 within the species' northern landings area, however, this finding was not supported by DPAC
64 analysis. We conclude that summer flounder most likely constitute a single population along
65 their entire Atlantic Coast distribution.

66

67 **Introduction**

68 Management of summer flounder *Paralichthys dentatus* (also known as fluke) has proven to be
69 one of the most contentious for any harvested species along the U.S. Atlantic Coast (Terceiro
70 2002). Management of summer flounder has pitted recreational versus commercial fishers, states
71 vs. states, and fisherman groups against management agencies and non-governmental
72 organizations (Lavelle 2014). Management of summer flounder has been further complicated by
73 a warming ocean which resulted in a recent significant northward and eastward shift in the
74 distribution of its biomass and the fisheries that target them (Dubik et al. 2019).

75
76 Both in weight landed and monetary value, summer flounder supports the most important
77 commercial and recreational flatfish fisheries in the mid-Atlantic and southern New England
78 regions (NOAA 2000). Approximately 70% of the commercial summer flounder harvest comes
79 from the Exclusive Economic Zone (EEZ) (3-200 miles offshore), while more than 90% of
80 recreational landings come from nearshore and estuarine waters. Summer flounder is managed
81 by the Atlantic States Marine Fisheries Commission (ASMFC) in state waters (0-3 miles), and
82 by the Mid-Atlantic Fishery Management Council and NOAA Fisheries in Federal EEZ waters.
83 The most recent Benchmark Stock Assessment (Northeast Fisheries Science Center, 2019)
84 concluded that the summer flounder stock was not overfished, that overfishing was not
85 occurring, and that the stock was rebuilt in 2010.

86
87 A coastwide quota on summer flounder harvest through a target fishing mortality level is
88 determined annually based on assessments of spawning stock biomass and recruitment. Once an
89 annual quota is set coastwide, landings are divided on the basis of 60% to the commercial and
90 40% to the recreational fisheries; however, in some years the recreational harvest has exceeded
91 that of the commercial fishery. Furthermore, the catch allocation among the states is based on
92 the proportion of state landings to coastwide landings reported in the 1980s. Thus, in 2019, New
93 York state's allocation was less than 50% of neighboring New Jersey's and slightly more than
94 25% of North Carolina's, the highest of any state (27.5% of total coastwide allocation).

95 Recently, it has been suggested that allocation of harvest be partitioned on a regional rather than
96 statewide basis with either three (Massachusetts and Rhode Island; Connecticut to New Jersey;
97 Delaware to North Carolina) or four (Massachusetts; Rhode Island to New Jersey; Delaware to

98 Virginia; North Carolina) regions proposed. In none of these management scenarios is the actual
99 biological stock structure of summer flounder considered. Furthermore, recent evidence suggests
100 that the coastwide distribution and center of biomass of summer flounder and its fisheries is
101 shifting northward and eastward because of climate change (Lavelle 2014, Dubik 2019), further
102 casting doubt on the reliance of the allocation process based on catch data that is almost four
103 decades old.

104
105 The distribution of summer flounder extends from Florida to Nova Scotia, in estuaries, nearshore
106 coastal waters, and the inner and outer Continental shelves. However, the vast majority of the
107 fishery is located between Cape Cod, MA, and Cape Hatteras, NC. The recreational fishery
108 occurs within estuaries and nearshore coastal waters while the commercial fishery is prosecuted
109 both in inshore coastal and continental shelf waters. Summer flounder are usually sexually
110 mature by age 2 at total lengths (TL) of 24-27 cm for males and 30-33 cm for females. Females
111 exhibit faster growth rates and a maximum life expectancy of at least 14 years, versus 12 years
112 for males (Terceiro, 2009). Females dominate the length interval greater than 45 cm TL and all
113 individuals larger than 55 cm TL are believed to be female.

114
115 Adult summer flounder undertake offshore spawning migrations beginning in late summer-early
116 fall and extending into early winter. Individuals from southern New England and the mid-
117 Atlantic Bight exhibit strong inshore-offshore movements. Juveniles and adults occur in estuaries
118 and shallow coastal waters during the late spring and summer, followed by movement to the
119 Continental Shelf at depths from 70 to 155 m during the early fall where they remain through
120 winter (Grosslein and Azarovitz 1982). Winter distributions on the Shelf extend from the
121 Norfolk Canyon to Georges Bank. During late winter and early spring, adults migrate
122 shoreward, reaching estuaries and inshore coastal waters by late April-May cued by increasing
123 temperatures during spring (Able and Kaiser 1994). Individuals from the southern portion of the
124 species' range may exhibit less inshore-offshore movement than those from the north; some may
125 be resident year-round in some southern estuaries.

126
127 Geographic behavioral differences may be indicative of stock structure. Tagging studies show
128 that juveniles that summer in New York (Poole 1962) and New Jersey estuaries (Hamer and Lux

129 1962) return as adults to the same embayments during subsequent summers. Tagging studies
130 also suggest that summer flounder from New York and New Jersey winter in the Hudson
131 Canyon, whereas those from southern New England winter at Georges Bank (Holland 1991).
132 Thus, there may be spatial segregation of spawning summer flounder from different areas during
133 the winter months.

134
135 Early life-stage density differences also suggest the possibility of stock differentiation. Peak egg
136 densities occur during October-November at Georges Bank, southern New England, and New
137 York, with spawning occurring slightly later during these months off New Jersey (Able and
138 Kaiser 1994). A December peak off Cape Hatteras was also noted (Smith 1973). Eggs are
139 buoyant, ascending the water column shortly after fertilization. Distributions and densities of
140 larvae essentially mirror those of eggs. Larvae are planktonic and are carried shoreward and
141 enter estuarine nurseries from January to March in the mid-Atlantic Bight where their vertical-to-
142 horizontal transformation and settlement to the benthos occurs during mid-summer. There is no
143 evidence of larval settlement on the Continental shelf, although studies addressing this issue have
144 not occurred.

145
146 The stock is the primary unit of fisheries management, which may be composed of one or more
147 discrete populations. Reproductive isolation among stocks maintains their integrity and allows
148 for the development of stock-specific characteristics in response to differing environmental
149 variables. The presence of more than one stock within a species' distribution implies that each
150 be afforded protection consistent with its particular abundance and threats. Historically, a
151 variety of phenotype-based and genetics approaches were used to elucidate the stock structure of
152 wide-ranging and exploitable fishes. Today, DNA-based techniques such as microsatellite and
153 single nucleotide polymorphism (SNP) analyses are frequently used to sensitively plumb for
154 stock structure in widely distributed species such as summer flounder.

155
156 Summer flounder is presently managed as a single stock, but evidence for this designation is
157 limited and contradictory. The single stock model was mainly founded on the observation that
158 during fall-early winter summer flounder spawn in a seemingly continuous aggregation on the
159 edge of the Continental shelf from Georges Bank to North Carolina. If stock division does exist,

160 it was hypothesized to occur at the zoogeographic boundary of Cape Hatteras where the Gulf
161 Stream diverges from southwesterly flowing coastal currents (reviewed in Burke et al. 2000).

162
163 Marine fishes such as summer flounder had long been viewed as highly connected
164 demographically because of their vagile pelagic egg and larval life stages, extended duration of
165 these early life-stages, and high adult vagility (Hedgecock 1986; Siegel et al. 2003). But recent
166 genetic evidence suggests that may not always be the case (Knutsen et al. 2010; Benestan et al.
167 2015; Therkildsen et al. 2013; Clukas et al. 2019). With sensitive techniques such as
168 microsatellite analysis and genotyping at SNP loci identified with next generation sequencing,
169 sophisticated bioinformatic analyses, and faster processing of specimens leading to larger sample
170 size, the ability to detect genetic population structure in marine fishes has increased in recent
171 times. Furthermore, the analysis of a subset of next generation SNP loci allows for the screening
172 of not only adaptively neutral loci but also introduces analyses of loci that may be under
173 selective pressure. Employment of these approaches revealed that rather than being
174 demographically open, marine fishes sometimes exhibit stock structure on finer geographic and
175 temporal scales due to processes that limit dispersal and promote self-replenishment of local
176 populations and spawning site fidelity, egg and larval retention at those sites, and local
177 adaptation (Hauser and Carvalho 2008). Not only have molecular techniques revealed greater
178 levels of heterogeneity of stock structure in marine fishes than previously thought, concomitant
179 variation in ecologically important traits sometimes indicate the presence of extensive adaptive
180 differentiation.

181
182 Several earlier studies have investigated the genetic population structure of summer flounder
183 with conflicting results. Using protein electrophoresis at 17 isozyme loci, Van Housen (1984)
184 reported significant allelic differentiation among summer flounder collections from north and
185 south of Cape Lookout, North Carolina. In contrast, using a more sensitive genetic approach,
186 sequence analysis of the mitochondrial DNA (mtDNA) control region, Jones and Quattro (1999)
187 reported the absence of significant haplotype frequency differences between composite
188 collections from north and south of Cape Hatteras, North Carolina. Results of this study are
189 frequently cited as supportive of the single stock model for management of the U.S. summer
190 flounder fishery (Kraus and Musick 2003). However, Jones and Quattro (1999) also reported

191 significant haplotype heterogeneity between their two most extreme northern samples from
192 Rhode Island Sound, Rhode Island, and Buzzard's Bay, Massachusetts, although, sample sizes
193 were small. Finally, using even a more sensitive genetic approach, Hoey and Pinsky (2018),
194 failed to detect significant allelic frequency heterogeneity at 1,137 single nucleotide
195 polymorphism (SNP) loci in summer flounder that were collected from throughout their
196 distribution although samples sizes were small and many were not collected from offshore
197 spawning locales.

198
199 Our objective was to use a sensitive genetic approach, microsatellite DNA analysis, to further
200 assess whether summer flounder constitute a single stock, or multiple stocks over their coastwide
201 distribution and, most importantly, within the Cape Cod to Cape Hatteras management area. If
202 multiple stocks were identified, we sought to determine the boundaries of their units. Our
203 sampling strategy was to focus our collections on the winter spawning months and at offshore
204 spawning locales. Our null hypothesis was that summer flounder constitute a single genetic
205 stock within their almost complete coastwide distribution from Cape Cod to south of Cape
206 Hatteras as evidenced by a homogeneity of microsatellite DNA allelic frequencies.

207

208

209 **Methods**

210 Sample collections: We focused our collecting efforts and analysis on adult specimens of
211 summer flounder from their spawning locales on the Continental Shelf during the fall-early
212 winter spawning season (Table 1 and Figure 1). Many were obtained from the Northeast
213 Fisheries Sciences Center's (NEFSC) fall and winter trawl surveys. These surveys use a
214 stratified-random design from eastern Georges Bank to Cape Hatteras. The winter survey
215 provided us with late spawners (February) from the Cape Hatteras area that were missed in the
216 fall surveys. A second source was the commercial fall and winter offshore fishery that was
217 sampled by the NEFSC's port observers. Similar to the trawl surveys, this shelf fishery extends
218 from Georges Bank to North Carolina in 100-200 fathoms. Dried scales, otoliths and typical
219 biological data were collected for age and growth studies and were archived at the NEFSC. We
220 also obtained a subset of offshore samples (fin clips stored in EtOH) that were collected from
221 commercial fishermen through collaboration with the Marine Program of Cornell Cooperative

222 Extension, Riverhead, NY. These samples complemented earlier collections by providing
223 specimens from some distinct locations and times that were not available through the two
224 NEFSC archives. We also analyzed samples from locales south of Cape Hatteras made available
225 through the South Carolina SEAMAP program which three times a year trawls nearshore coastal
226 waters (15-30 ft depths) from Cape Hatteras to Cape Canaveral, FL. We also obtained a
227 collection from inshore waters of North Carolina through assistance of the North Carolina
228 Division of Marine Fisheries (NCDMF). These two southern samples allowed us to address the
229 lingering question of the genetic distinctiveness of collections south of Cape Hatteras as well as
230 provide an “outgroup” for our analysis of more northern collections. Our final two sources of
231 specimens were late summer recreational fisheries on Nantucket Shoals, Massachusetts and in
232 Fire Island Inlet, New York.

233

234 DNA Isolations

235 Dried uncleaned scales from the NEFSC archives and fin clips in EtOH were the two sources of
236 DNAs for this study. In fact, dried scales provided a reliable source of high quality DNA at
237 sufficiently high concentrations for analyses. Total DNA was isolated from 2-5 scales from each
238 specimen and individual fin clips by their incubation in CTAB buffer (Saghai-Marooof et al.
239 1984), digestion with proteinase K, standard phenol-chloroform extraction, and alcohol
240 precipitations. DNA concentrations and purities were determined with a Nanodrop ND-1000
241 spectrophotometer (NanoDrop Technologies, Wilmington, DE). All DNAs were diluted to a
242 final concentration of 50 ng/μl for standardization of subsequent PCR reactions.

243

244 Microsatellite DNA analysis

245 Microsatellite analysis was conducted at nine loci that were previously isolated from summer
246 flounder by Shao et al. (2009), including Pade01, Pade10, Pade11, Pade12, Pade15, Pade26,
247 Pade30, Pade32, and Pade34. PCR reactions were in 12.5-μl total volumes that contained 50 ng
248 of template DNA, 1 x PCR KlenTaq1 buffer, 0.1 μl of dNTPs (25 mM stocks) (GE Healthcare,
249 Piscataway, NJ), 0.5 μl (0.8 μl when using D2-PA dye) of forward and reverse primers (1 μM
250 stock) (Integrated DNA Technologies, Coralville, IA), one of which was labeled with one of
251 three Beckman Coulter dyes D2-PA, D4-PA or D3-PA (Sigma, St. Louis, MO) and 0.025 μl
252 KlenTaq1 polymerase (25 U/μl) (Ab Peptides, Inc., St. Louis, MO). PCR reactions were done

253 singly and pooled prior to analysis. Cycling parameters were 65 cycles at 95° C for 5 min,
254 denaturation at 95° C for 30 s, annealing at 64° C (except for Pade34 at 66° C) for 30 s,
255 extension at 72° C for 30 sec, and final extension at 72° C for 7 min.

256
257 Characterizations of microsatellite genotypes were done on a Beckman Coulter (Fullerton, CA)
258 CEQ™8000 capillary-based DNA sequencer. Multi-pooled PCR reactions of 0.4 to 2.2 µl were
259 diluted with 33 µl of a mixture (100:1) of A.C.E formamide (Amresco, LLC, Solon, Ohio) and
260 CEQ DNA Size Standard-400 (Beckman Coulter) and run with the FRAG 1 program (Beckman
261 Coulter).

262
263 Statistical Analyses
264 MICRO-CHECKER (Oosterhout et al. 2004) was used to test for the presence of null alleles,
265 errors due to microsatellite stuttering, and large-allele dropout. Multi-locus microsatellite
266 genotypes were compiled for each specimen. Measures of diversity, including mean number
267 of alleles per locus, allelic richness, F_{ST} , and observed and expected heterozygosities (H_o and
268 H_e) were determined for all collections using FSTAT version 2.9.3 (Goudet et al. 1995; Goudet
269 2001) and GenoDive V.20b27 (Meirman and Van Tienderen 2004). Deviations from Hardy-
270 Weinberg proportions and linkage equilibrium were evaluated in GENEPOP v4.0.6 (Rousset
271 2007) using the Markov chain method with the default parameters: 10,000 iterations and
272 10,000 batches (Raymond and Rousset, 1995).

273
274 Tests of population differentiation were performed in GenoDive V.20b27 (Meirman and
275 Van Tienderen 2004) using pairwise F_{ST} comparisons at single loci and across all loci using
276 the F_{ST} estimator θ of Weir and Cockerham (1984). F_{ST} is highly dependent on within-
277 population diversity (Hedrick 1999; Balloux and Lugon-Moulin 2002; Meirmans and
278 Hedrick 2011). When loci with large numbers of alleles are examined and population
279 diversity is high the maximum value of F_{ST} is severely deflated, complicating comparisons
280 between populations or different loci. Thus, F'_{ST} tests that corrected the F_{ST} estimates for
281 heterozygosity within populations were conducted using GenoDive. Allele frequency
282 heterogeneity among pairs of collections was also evaluated using loglikelihood G-statistics with
283 999 permutations implemented in GenoDive (Meirmans and Van Tienderen, 2004). Because

284 temporally separate collections were made at some locales (616, 621, 622, 626), we also
285 evaluated temporal stability of allelic frequencies at these locales using G-statistics. F_{ST} and
286 GST values were considered significant at the $p \leq 0.01$ level.

287
288 Population structure was also analyzed without *a priori* designation of populations as an
289 exploration of population architecture using STRUCTURE v.2.3 (Pritchard 2000; Falush et al
290 2005; Pritchard et al. 2010). This enabled us to infer the number of genetically homogenous
291 clusters within our collections and allowed assignment of individuals to designated genetic
292 clusters. For STRUCTURE, we used the admixture model with sampling locations as a prior and
293 correlated allelic frequencies among collections. In all instances, we used burn-in lengths of
294 100,000 and run lengths of 100,000. Ten replicates were run for each K at $K=1$ to 16. The
295 best value of K was determined from optimum values of $\ln P(D)$ (Pritchard et al. 2000), ΔK
296 (Evanno et al. 2005), MedMeaK', MaxMeaK', MedMedK', and MaxMedK' (Puechmaille
297 2016) that were all determined in StructureSelector (Li and Liu 2018). STRUCTURE figures
298 were generated in StructureSelector (Li and Liu 2018).

299
300 The R package 3.6.2 was used to perform Discriminant Analysis of Principal Components
301 (DAPC) using the adegenet (v2.1.1) package (Jombart 2008). DAPC identifies clustering by
302 transforming genetic data using a principal component analysis (PCA) that has the largest
303 between-group variance and smallest within-group variance (Jombart and Collins 2015).
304 Bayesian Information Criterion (BIC) values were used to determine the most appropriate
305 range of clusters. The a-score function of adegenet was used to determine the optimal
306 range of principal components (PCs) that must be retained to have sufficient power of
307 discrimination while avoiding the retention of too many dimensions that lead to over-
308 fitting of the data set. The optimal number of PCs to retain was calculated by measuring the
309 difference between the proportion of successful reassignments and values obtained using
310 random groups (Jombart and Collins 2015). DAPC analyses were run on the summer
311 flounder data set with the lower number of PCs retained in each analysis. (Wirgin et al.
312 2020)

313

314 **Results**

315 We successfully analyzed DNA from 1,182 specimens in this study. The mean level of missing
316 data across all loci was 1.15%, and at individual loci ranged between 0.25% at Pade26 to 3.1% at
317 Pade15 (Table 1 Supplementary Information). Archived scales provided a reliable source of
318 DNA for our analysis. Across all loci, 1.4% did not provide data from DNA isolated from the
319 archived scale samples compared to 0.78% from DNA isolated from fin clips. No data was
320 missing across all 43 specimens for which DNA was isolated from archived otoliths. Seven of
321 the nine loci did not show significant Hardy-Weinberg disequilibrium after Bonferroni
322 correction. Additionally, none of the 36 binary combinations of the nine diagnostic loci showed
323 consistent patterns of significant linkage disequilibrium across all 18 sample collections after
324 Bonferroni correction. However, for two of the collections, locales 539 and 525, there were 11
325 and 12 loci pairs that exhibited significant disequilibrium at the $p < 0.01$ level. None of the other
326 collections exhibited more than two loci pairs that displayed significant disequilibrium at the
327 $p < 0.01$ level.

328

329 In total, we screened 283 polymorphic alleles with a mean of 30.8 alleles/locus with Pade 12
330 exhibiting the highest number of alleles (40) and Pade32 revealing the lowest number of alleles
331 (17) (Table 1 Supplementary Information). Mean allelic richness across the nine loci was 13.82.
332 Furthermore, mean observed and expected heterozygosity at these nine loci was high at 0.851
333 and 0.874, respectively.

334

335 We found sufficient levels of genetic variation at all 9 loci to potentially aid in identifying
336 differentiation of collections. Using GST analysis, 23 of 153 pairwise comparisons proved
337 significant at the $p \leq 0.01$ level (Table 2 Supplementary Information). The most consistent
338 pattern of significant allelic differentiation at the $p < 0.01$ level was between sampling locale 539
339 and 9 of the other 17 locales. The next most consistent difference of allelic differentiation at the
340 $p \leq 0.01$ level was between sampling locale 616 and 6 of the other 17 locales. Using FST
341 analysis, we found no evidence of temporal instability of allelic frequencies across up to 9 years
342 within the 4 collection locales tested (616, 621, 622, 626).

343

344 Use of F_{ST} analysis was more conservative than GST in distinguishing sampling locales of
345 summer flounder. Mean F_{ST} across all loci and collection sites was low at 0.001. Thirteen of

346 the 153 pairwise F_{ST} comparisons proved significant at the $p \leq 0.01$ level (Table 2). Similar to
347 GST analysis, the most consistent pattern of significant allelic differentiation at the $p \leq 0.01$ level
348 was between sampling locale 539 and 7 of the other 17 locales. The next most consistent
349 difference of allelic differentiation at the $p \leq 0.01$ level was between the Nantucket Shoals
350 sampling and 4 of the other 17 locales.

351
352 Using STRUCTURE analysis ($K=1-18$) we found some evidence of significant genetic structure
353 among our collections. The use of $\ln P(D)$, ΔK , MaxMed K, and MaxMean K analyses suggested
354 the presence of two genetic clusters among these collections while MedMedK and MedMeanK
355 indicated the presence of a single genetic cluster. With STRUCTURE analysis (Fig. 2), adjacent
356 sample locales 613 and 616, south of eastern Long Island, New York, supported a unique genetic
357 cluster of specimens that was absent elsewhere except for a small number of specimens from
358 Pamlico Sound, North Carolina. There was no evidence of this second genetic cluster in
359 specimens from another of the other collections.

360
361 DAPC analysis for the summer flounder data is illustrated in Figure 3. BIC values
362 supported a range of 4 to 8 clusters as reasonable explanations of the data set. The number
363 of PCs retained varied from 40 to 50 and final analyses were run with the lowest number as
364 indicated by the a-score. Figure 3 illustrates the DAPC analysis of the data set using 48 PCs
365 and 4 clusters. The cluster analysis supports a high degree of overlap among the 18
366 summer flounder sample sites with no distinct population segments.

367 368 **Discussion**

369 We found levels of genetic variation at the 9 microsatellite loci that were screened in our study
370 that were comparable to those typically seen in marine fishes. The mean number of alleles/locus
371 that we report in summer flounder, 30.8, is comparable to the mean rarefied number of
372 alleles/locus of 26 reported across 215 species of marine fishes by Martinez et al. (2018).
373 Furthermore, the magnitude of allelic differentiation among collection locales was also low as
374 evidenced by a mean F_{ST} value of 0.001 across all loci. Despite this, STRUCTURE identified
375 two genetic clusters across the entire collection set, with most of the second cluster centered in
376 collection areas 613 and 616, south of eastern Long Island, New York and north of Hudson

377 Canyon. GST and F_{ST} analyses also suggested genetic differentiation among several of our
378 collection locales. The collection locale with the most consistent pattern of allelic differentiation
379 from other locales, 539, did not exhibit a second genetic cluster in STRUCTURE analysis.
380 Furthermore, DAPC analysis did not reveal genetic population structuring among our collection
381 sites. We also tested the genetic heterogeneity of a pool of all of collections from north of Cape
382 Hatteras compared to those from Pamlico Sound and the SEAMAP survey and found no
383 evidence of genetic differentiation. In total, our results were consistent with the hypothesis that
384 across almost their entire coastwide distribution, the summer flounder fishery is supported by
385 one, or at most, two stocks. How then do our results compare with earlier phenotypic and
386 genetic analyses of population structure in summer flounder?

387

388 Meristic and morphometric studies supportive of two stocks, north and south of Cape Hatteras

389 Based on meristic characters, Ginsburg (1952) originally proposed the presence of two stocks of
390 summer flounder in U.S. waters; one in Chesapeake Bay and the second off Beaufort, NC. A
391 two-stock model, but with more extensive geographic bounds was proposed by Wilk et al. (1980)
392 who found that of 18 meristic and morphometric variables investigated, 5 morphometric
393 characters were informative in linear discriminant analysis in distinguishing summer flounder
394 from New York to Cape Hatteras compared to those from Cape Hatteras to Florida. They found
395 no significant difference among individual collections made north of Cape Hatteras. An
396 additional, but more limited morphometric study by these same investigators using the same five
397 diagnostic characters, further supported the two-stock model (north and south of Cape Hatteras)
398 (Fogarty et al. 1983).

399

400 Studies supportive of more than two stocks in northern waters

401 Meta-analysis based on different lines of evidence suggested that there are multiple stocks of
402 summer flounder within the North Carolina to Maine management area (Kraus and Musick
403 2003). Evidence included at least three separate concentrations of eggs on the Continental Shelf
404 off of (1) New Jersey, (2) Virginia-North Carolina, and (3) south of Cape Hatteras (Smith 1973).
405 Furthermore, in controlled laboratory experiments, significant differences in growth rate were
406 observed among summer flounder offspring from North Carolina, Long Island Sound, and
407 Delaware Bay parents (Malloy and Targett 1994; Burke et al. 2000). Based on results from

408 mark-recapture, Kraus and Musick (2003) postulated the presence of at least three stocks of
409 summer flounder within the northern management unit: (1) one occupying North Carolina
410 estuaries and spawning south of Cape Hatteras, (2) one in New Jersey that moves directly
411 offshore during fall to spawn, and (3) a third group that initially hugs the Virginia-North
412 Carolina coast before moving offshore to spawn. Furthermore, Defosse et al. (1990)
413 hypothesized the existence of two stocks in Virginia based on migration pattern differences of
414 spawning adults. Results from these studies led Kraus and Musick (2003) to conclude that
415 “collectively these studies suggest that there are multiple stocks within the northern management
416 area.”

417

418 Genetic studies of stock structure in summer flounder

419 Genetic studies have provided inconsistent results regarding the stock structure of summer
420 flounder. Initially, using protein electrophoresis at 17 loci of which 5 were polymorphic, Van
421 Housen (1984) reported genetic differentiation between collections from north and south of Cape
422 Hatteras but homogeneity among samples from north of North Carolina. Using a more sensitive
423 genetic approach, sequence analysis of the mitochondrial DNA (mtDNA) control region, Jones
424 and Quattro (1999) found no significant differences in haplotype frequencies between composite
425 collections from north and south of Cape Hatteras nor heterogeneity among collections from
426 north of Cape Hatteras. This led to the conclusion that the phenotypic differentiation in
427 morphometric characters previously observed between collections at the Cape Hatteras barrier
428 probably resulted from environmental influences rather than underlying genetic differentiation of
429 stocks.

430

431 Recently, Hoey and Pinsky (2018) used double-digest restriction assisted DNA sequencing
432 (ddRAD), a more powerful genetic approach, to identify single nucleotide polymorphisms
433 (SNPs) in summer flounder and then screened them for collection-level differences in their
434 frequencies. They identified 1,137 SNPs that could be reproducibly genotyped in their collection
435 of 232 summer flounder from Georges Bank to northern Florida. They did not detect a
436 significant break between collections from north and south of Cape Hatteras, nor genetic
437 heterogeneity within the northern collections because of moderate levels of gene flow across this
438 hypothesized boundary. Their STRUCTURE analysis did not support the existence of more than

439 one genetic cluster coastwide because individuals did not group into separate populations. This
440 conclusion was confirmed by additional analysis with a second landscape genetics package,
441 Geneland, which also supported the existence of a single coastwide population. However, the
442 authors did find that allelic frequencies at 15 of the loci were significantly correlated with
443 environmental variables (bottom salinity, depth, distance along the coast, bottom temperature),
444 including 11 that were correlated with bottom temperature. They concluded that summer
445 flounder constituted a single stock across their complete coastwide distribution, but that despite
446 sufficiently high levels of gene flow among collection sites there was evidence of selective
447 pressure at some loci which may enable them to adapt to variable environmental parameters.
448

449 Our results were somewhat, but not in total agreement, with these earlier genetic studies despite
450 differences in study design. We focused on exclusively neutral genetic loci by using a
451 microsatellite approach, whereas Hoey and Pinsky (2018) investigated population structure using
452 far more SNP loci, of which some could be potentially under selective pressure. Our collections
453 were larger and centered on areas on the inner Continental Shelf where spawning is known to
454 occur and which support summer flounder fisheries during the fall and early winter months. We
455 found that overall genetic distance among collections was low, $F_{ST}=0.0010$ and almost identical
456 to the F_{ST} value of 0.0014 reported by Hoey and Pinsky (2018). Furthermore, both studies failed
457 to find a genetic discontinuity between collections from north and south of Cape Hatteras, as did
458 Jones and Quattro (1999) using a mtDNA sequencing approach. Unlike the SNP and mtDNA
459 studies we found weak evidence of genetic differentiation among collections along the northern
460 edge of the species' range.
461

462 Our finding of little to no genetic differentiation of a coastal fish species with its major
463 population bounded by Cape Cod and Cape Hatteras is consistent with other fish species with a
464 similar range. Indeed, in a review of stock structure of 25 Atlantic coastal fishes (McBride 2014)
465 found two relevant patterns among them. Though sampling locations, collection times, and
466 genetic approaches varied, no significant genetic variation was found within mid-Atlantic stocks
467 of species that spawn on the continental shelf, including bluefish *Pomatomus saltatrix*, Atlantic
468 menhaden *Brevoortia tyrannus*, and tautog *Tautoga onitis*. However, some species did show a
469 genetic break north and south of Cape Hatteras. These included black sea bass *Centropristus*

470 *striatus*, scup *Stenotomus chrysops*, and red drum *Sciaenops ocellatus*. In his review, McBride
471 favored summer flounder as a species with two stocks divided by Cape Hatteras, although he
472 recognized the data were equivocal.

473

474 In the future, a warming ocean in the northeastern U.S. (Wallace et al. 2018) may provide
475 opportunity for differentiation. For example, analysis of standardized trawl data from 1968 to
476 2007 (Ney et al. 2009) showed a significant increase in maximum latitude of summer flounder at
477 a rate of $0.029^{\circ}\text{lat yr}^{-1}$ and an increase in population size and area occupied. Though summer
478 flounder are still not common north of Cape Cod, eventual establishment of a population north of
479 this major zoogeographic barrier with discrete phenologies and movement patterns might begin
480 to drive genetic stock differences.

481

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491

492

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705
706

707 **Figure Legends**

708 Figure 1

709 Map of the Atlantic Coast of North America depicting 18 locales from which summer
710 flounder were collected for microsatellite DNA analysis in this study. Shaded areas are
711 trawl sampling strata designated by the Northeast Fisheries Center of NOAA. Open areas
712 are statistical reporting areas designated by NOAA for monitoring of offshore commercial
713 fisheries in the Northeastern U.S. Samples were also obtained from North Carolina to
714 Florida from the SEAMAP program and from inshore North Carolina waters through the
715 NCDMF. Additionally, collections were made from the recreational fisheries at Nantucket
716 Shoals, Massachusetts and Fire Island Inlet, New York.

717

718 Figure 2

719 STRUCTURE analysis of the coastwide population structure of summer flounder based on
720 microsatellite analysis of nine loci from 18 collection sites encompassing the entire range
721 of the summer flounder fishery along the Atlantic Coast of the U.S. Each vertical bar
722 represents a single individual and different colors represent the contribution of each K
723 genetic cluster to each specimen's genotype. The number of clusters depicted include;
724 Panel 1 $K=2$; Panel 2 $K=3$.

725

726 Figure 3

727 Discriminant analysis of principal components (DAPC) plot of nine microsatellite loci
728 across 18 collections of summer flounder from the Atlantic coast of North America. The
729 eigenvalue inset shows the relative amount of variance for each discriminant function.
730 Specimens from each collection locale are depicted in different colors. Each dot represents
731 an individual specimen and the line connects the dot to the location at which it was
732 sampled.

733 **Table 1**

734 Collection locales, number of specimens successfully genotyped, dates collected, source of specimens, mean total length (range) of
 735 summer flounder analyzed in this study

| 736 | <u>Collection locale</u> | <u>N specimens</u> | <u>Date collected</u> | <u>Source</u> | <u>Tissue</u> | <u>TL</u> |
|-----|--------------------------|--------------------|-----------------------|--------------------|---------------|--------------|
| 739 | 539 | 26 | 11/23/2010 | Commercial fishery | Fin clips | ND |
| 740 | 537 | 40 | 11/22/2010 | Commercial fishery | Fin clips | ND |
| 741 | | 52 | 12/21/2010 | Commercial fishery | Fin clips | ND |
| 742 | 616 | 48 | 12/16/2005 | Commercial fishery | Scales | 55.6 (38-68) |
| 743 | | 24 | 1/24/2005 | Trawl survey | Scales | 40.4 (33-47) |
| 744 | | 30 | 11/18/2010 | Commercial fishery | Fin clips | 55.0 (49-61) |
| 745 | 613 | 77 | 11/28/2010 | Commercial fishery | Fin clips | ND |
| 746 | 525 | 28 | 12/23/02003 | Commercial fishery | Scales | 49.2 (42-55) |
| 747 | | 26 | 3/17/2003 | Commercial fishery | Scales | 63.0 (53-73) |
| 748 | 526 | 25 | 12/19/2005 | Commercial fishery | Scales | 63.5 (53-81) |
| 749 | 621 | 25 | 11/20/2010 | Commercial fishery | Scales | 43.2 (38-51) |
| 750 | | 25 | 1/7/2001 | Trawl survey | Scales | 38.9 (34-43) |
| 751 | 622 | 25 | 1/6/2003 | Trawl survey | Scales | 47.1 (41-54) |
| 752 | | 25 | 11/17/2006 | Commercial fishery | Scales | 46.5 (37-62) |
| 753 | | 24 | 1/6/2008 | Trawl survey | Scales | 41.3 (38-46) |
| 754 | | 25 | 11/9/2009 | Commercial fishery | Scales | 44.6 (39-48) |
| 755 | 626 | 25 | 1/5/2000 | Trawl survey | Scales | 40.0 (35-46) |
| 756 | | 25 | 1/13/2001 | Commercial fishery | Scales | 39.3 (31-49) |
| 757 | | 26 | 1/21/2005 | Commercial fishery | Scales | 40.2 (35-46) |
| 758 | | 24 | 11/6/2009 | Commercial fishery | Scales | 39.3 (35-46) |
| 759 | | 39 | 12/1/2010 | Trawl survey | Scales | 42.5 (37-50) |
| 760 | | 22 | 12/6/2010 | Trawl survey | Otoliths | 44.9 (41-55) |
| 761 | | 21 | 12/13/2010 | Travel survey | Scales | 45.5 (41-55) |
| 762 | | 19 | 12/26/2010 | Trawl survey | Otoliths | 51.3 (47-55) |
| 763 | 639 | 25 | 11/10/2009 | Commercial fishery | Scales | 45.5 (41-54) |
| 764 | 703 | 28 | 12/9/2009 | Commercial fishery | Scales | 42.1 (37-49) |
| 765 | 70 | 25 | 2/13/07 | Trawl survey | Scales | 42.6 (32-55) |
| 766 | | 19 | 2/8/06 | Trawl survey | Scales | 47.0 (32-56) |
| 767 | 6 | 29 | 2/23/06 | Trawl survey | Scales | 41.3 (32-52) |
| 768 | | 25 | 2/26/07 | Trawl survey | Scales | 51.3 (37-71) |

| | | | | | | |
|-----|-------------------|----|---------------|--------------|-----------|--------------|
| 769 | 61 | 32 | 2/11/06 | Trawl survey | Scales | 30.3 (25-48) |
| 770 | | 32 | 2/11/07 | Trawl survey | Scales | 45.3 (35-61) |
| 771 | Pamlico Sound | 58 | 4/08-5/2011 | Angling | Scales | 34.0 (18-49) |
| 772 | SEAMAP | 50 | 7/9-8/10/2011 | Trawl survey | Fin clips | 17.8 (16-26) |
| 773 | Nantucket Shoals | 68 | 8/5-8/12/2019 | Angling | Fin clips | 55.6 (46-69) |
| 774 | Fire Island Inlet | 65 | 8/22/2019 | Angling | Fin clips | 38.1 (23-65) |
| 775 | | | | | | |

776 **Table 2**

777

778 F_{ST} analysis of allelic diversity at nine microsatellite loci in summer flounder from 18 collection locales along the Atlantic Coast
 779 of the U.S. F_{ST} values are above the diagonal and associated p values are below. p values that are ≤ 0.001 are indicated in bold
 780 and italics. NC=North Carolina, SM=SEAMAP, NS=Nantucket Shoals, FI=Fire Island Inlet

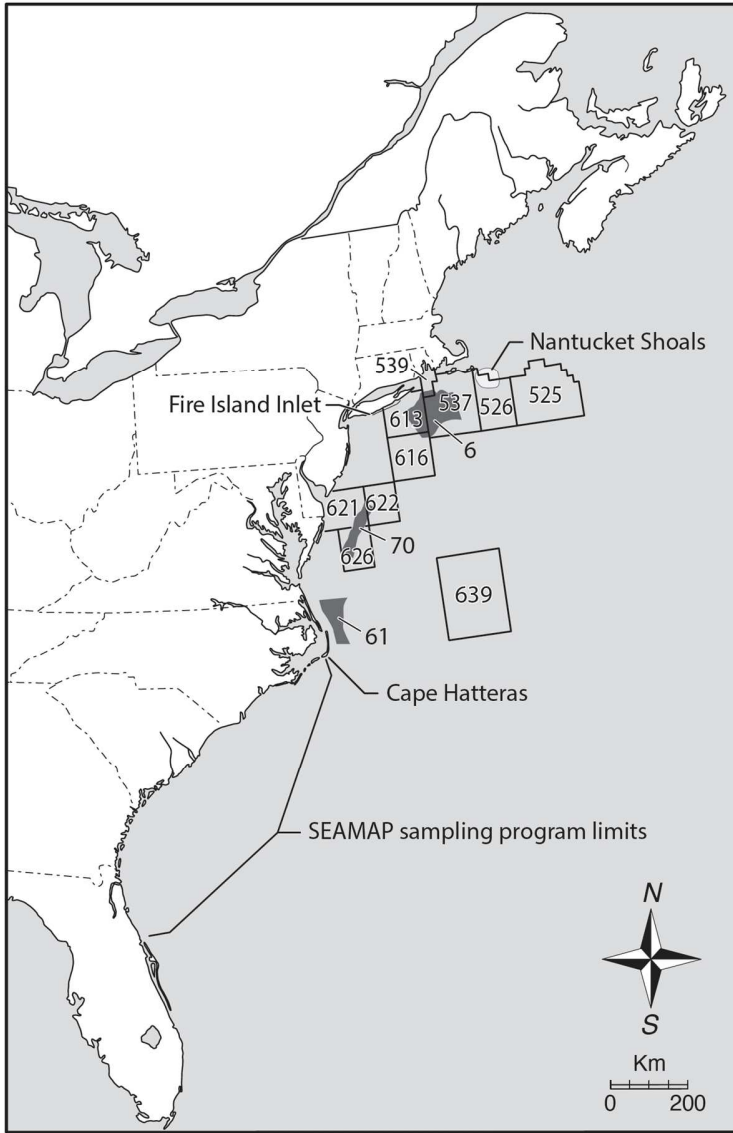
781

782

| | 539 | 537 | 616 | 613 | 525 | 526 | 621 | 622 | 626 | 639 | 703 | 61 | 70 | 6 | NC | SM | NS | FI |
|------------|---------------------|---------------------|---------------------|---------------------|------------|------------|------------|---------------------|------------|------------|------------|-----------|-----------|----------|-----------|-----------|---------------------|-----------|
| 539 | | <i>0.006</i> | 0.006 | 0.006 | 0.001 | 0.008 | 0.006 | 0.006 | 0.006 | -0.001 | 0.006 | 0.008 | 0.002 | 0.011 | 0.006 | 0.004 | 0.007 | 0.007 |
| 537 | 0.014 | | 0.001 | -0.000 | -0.001 | 0.002 | 0.001 | -0.001 | -0.001 | -0.004 | -0.002 | 0.000 | 0.001 | 0.000 | 0.000 | -0.001 | 0.003 | 0.000 |
| 616 | <i>0.001</i> | 0.127 | | 0.000 | -0.001 | 0.003 | 0.001 | 0.002 | 0.001 | -0.001 | -0.001 | 0.003 | 0.003 | 0.004 | 0.004 | 0.001 | 0.003 | 0.003 |
| 613 | 0.016 | 0.458 | 0.318 | | 0.001 | 0.003 | 0.002 | 0.000 | 0.000 | -0.003 | -0.001 | -0.001 | 0.002 | 0.000 | 0.002 | 0.000 | 0.003 | 0.002 |
| 525 | 0.284 | 0.640 | 0.663 | 0.239 | | 0.002 | 0.000 | 0.001 | 0.000 | -0.004 | -0.001 | 0.003 | 0.001 | 0.002 | 0.002 | 0.000 | 0.003 | 0.001 |
| 526 | 0.020 | 0.232 | 0.109 | 0.129 | 0.179 | | 0.002 | 0.004 | 0.001 | 0.001 | 0.003 | 0.001 | 0.003 | 0.003 | 0.003 | 0.001 | 0.004 | -0.001 |
| 621 | 0.030 | 0.270 | 0.231 | 0.120 | 0.386 | 0.250 | | 0.001 | -0.001 | -0.002 | 0.001 | 0.002 | 0.000 | 0.001 | 0.001 | 0.001 | 0.001 | 0.000 |
| 622 | <i>0.006</i> | 0.929 | 0.033 | 0.403 | 0.186 | 0.032 | 0.128 | | 0.000 | -0.002 | 0.000 | 0.001 | 0.002 | 0.000 | 0.003 | 0.000 | 0.004 | 0.001 |
| 626 | <i>0.005</i> | 0.843 | 0.049 | 0.310 | 0.536 | 0.241 | 0.668 | 0.406 | | -0.002 | -0.001 | 0.000 | 0.001 | 0.000 | 0.002 | 0.000 | 0.001 | 0.000 |
| 639 | 0.549 | 0.993 | 0.674 | 0.959 | 0.968 | 0.346 | 0.776 | 0.824 | 0.888 | | -0.002 | -0.001 | -0.004 | -0.002 | -0.003 | -0.004 | 0.000 | -0.002 |
| 703 | 0.052 | 0.834 | 0.710 | 0.566 | 0.577 | 0.118 | 0.235 | 0.534 | 0.734 | 0.661 | | 0.001 | 0.004 | 0.002 | 0.004 | 0.001 | 0.001 | 0.001 |
| 61 | <i>0.002</i> | 0.434 | 0.023 | 0.662 | 0.053 | 0.330 | 0.153 | 0.201 | 0.308 | 0.656 | 0.274 | | 0.001 | 0.000 | 0.002 | 0.000 | 0.003 | 0.001 |
| 70 | 0.177 | 0.145 | 0.033 | 0.056 | 0.350 | 0.107 | 0.478 | 0.051 | 0.196 | 0.950 | 0.057 | 0.183 | | 0.002 | 0.002 | 0.001 | 0.002 | 0.002 |
| 6 | <i>0.002</i> | 0.552 | <i>0.006</i> | 0.449 | 0.067 | 0.071 | 0.200 | 0.545 | 0.522 | 0.844 | 0.193 | 0.529 | 0.097 | | 0.000 | 0.000 | 0.002 | 0.002 |
| NC | <i>0.008</i> | 0.480 | <i>0.007</i> | 0.047 | 0.081 | 0.124 | 0.170 | 0.033 | 0.030 | 0.944 | 0.035 | 0.140 | 0.094 | 0.559 | | -0.001 | 0.004 | 0.002 |
| SM | 0.039 | 0.836 | 0.133 | 0.454 | 0.559 | 0.333 | 0.337 | 0.325 | 0.579 | 0.980 | 0.344 | 0.433 | 0.239 | 0.605 | 0.789 | | 0.002 | 0.000 |
| NS | <i>0.008</i> | <i>0.005</i> | 0.016 | <i>0.009</i> | 0.037 | 0.040 | 0.219 | <i>0.003</i> | 0.058 | 0.378 | 0.310 | 0.028 | 0.097 | 0.131 | 0.013 | 0.046 | | 0.005 |
| FI | 0.013 | 0.549 | 0.011 | 0.052 | 0.233 | 0.565 | 0.455 | 0.183 | 0.309 | 0.840 | 0.247 | 0.268 | 0.141 | 0.126 | 0.094 | 0.620 | <i>0.004</i> | |

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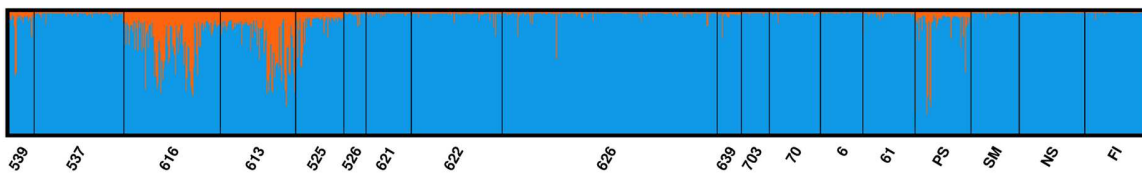
784 Fig 1
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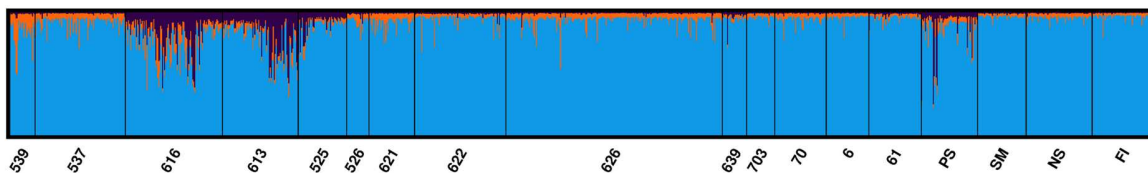
787 **Fig 2**
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K=2



790

K=3

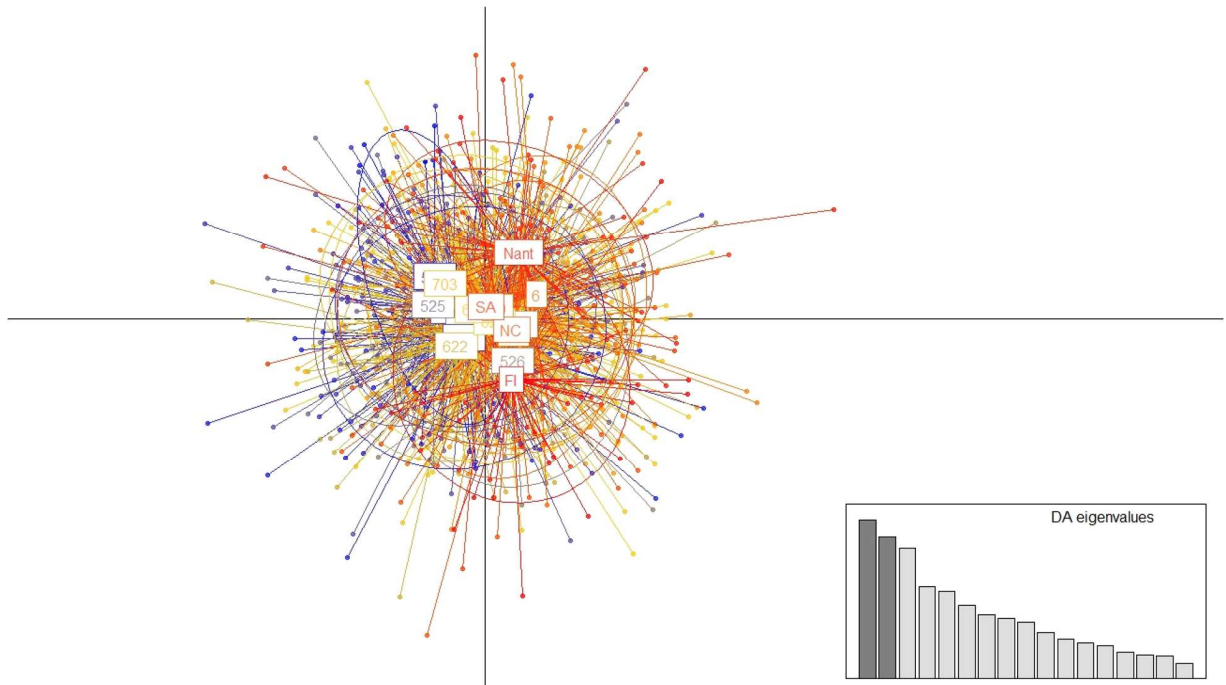


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793 **Fig. 3**

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