



# Nitrogen dioxide observations from the Geostationary Trace gas and Aerosol Sensor Optimization (GeoTASO) airborne instrument: Retrieval algorithm and measurements during DISCOVER-AQ Texas 2013

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**Abstract.** The Geostationary Trace gas and Aerosol Sensor Optimization (GeoTASO) airborne instrument is a test bed for upcoming air quality satellite instruments that will measure backscattered ultraviolet, visible and near-infrared light from geostationary orbit. GeoTASO flew on the NASA Falcon aircraft in its first intensive field measurement campaign during the Deriving Information on Surface Conditions from Column and Vertically Resolved Observations Relevant to Air Quality (DISCOVER-AQ) Earth Venture Mission over Houston, Texas, in September 2013. Measurements of backscattered solar radiation between 420 and 465 nm collected on 4 days during the campaign are used to determine slant column amounts of NO<sub>2</sub> at 250 m × 250 m spatial resolution with a fitting precision of  $2.2 \times 10^{15}$  molecules cm<sup>-2</sup>. These slant columns are converted to tropospheric NO<sub>2</sub> vertical columns using a radiative transfer model and trace

gas profiles from the Community Multiscale Air Quality (CMAQ) model. Total column NO<sub>2</sub> from GeoTASO is well correlated with ground-based Pandora observations ( $r = 0.90$  on the most polluted and cloud-free day of measurements and  $r = 0.74$  overall), with GeoTASO NO<sub>2</sub> slightly higher for the most polluted observations. Surface NO<sub>2</sub> mixing ratios inferred from GeoTASO using the CMAQ model show good correlation with NO<sub>2</sub> measured in situ at the surface during the campaign ( $r = 0.85$ ). NO<sub>2</sub> slant columns from GeoTASO also agree well with preliminary retrievals from the GEO-CAPE Airborne Simulator (GCAS) which flew on the NASA King Air B200 ( $r = 0.81$ , slope = 0.91). Enhanced NO<sub>2</sub> is resolvable over areas of traffic NO<sub>x</sub> emissions and near individual petrochemical facilities.

## 1 Introduction

The next generation of satellite instruments designed for air quality applications will operate from geostationary orbit, providing measurements of trace gases in the Earth's atmosphere with unprecedented spatial and temporal resolution. These instruments include the upcoming Tropospheric Emissions: Monitoring of Pollution (TEMPO) instrument (Chance et al., 2013), which is a component of the NASA decadal survey mission GEOstationary Coastal and Air Pollution Events (GEO-CAPE) (Fishman et al., 2012), the Geostationary Environmental Monitoring Spectrometer (GEMS) (Kim, 2012) and the Sentinel-4 mission (Ingmann et al., 2012), which will focus respectively on North America, East Asia, and Europe and North Africa.

One of the principal trace gas products of these instruments is nitrogen dioxide (NO<sub>2</sub>). Nitrogen oxides (NO<sub>x</sub> ≡ NO+NO<sub>2</sub>) play a central role in atmospheric air quality. NO<sub>2</sub> is a toxic gas, and NO<sub>x</sub> is involved in aerosol production and ozone photochemistry. Globally, the major sources of NO<sub>x</sub> are combustion (primarily from transportation and thermal power plants), soils and lightning. In urban areas, sources of NO<sub>x</sub> are dominated by transportation and industry, with NO<sub>2</sub> showing a strong correlation with total population (Lamsal et al., 2013).

NO<sub>2</sub> has relatively strong spectral absorption features in the visible region of the spectrum, which have been exploited over the past 2 decades for remote sensing using measurements of backscattered solar radiation from several instruments on sun-synchronous satellites (Martin et al., 2002; Boersma et al., 2004; Richter et al., 2011; Bucseles et al., 2013). These instruments are the predecessors of the upcoming geostationary air quality instruments.

The Geostationary Trace gas and Aerosol Sensor Optimization (GeoTASO) aircraft instrument (Leitch et al., 2014) has been developed by Ball Aerospace under the NASA Earth Science Technology Office Instrument Incubator Program in support of satellite measurements from geostationary orbit. Originally conceived as a test bed instrument for GEO-CAPE, GeoTASO is now also part of the mission risk reduction for TEMPO and GEMS in both instrument design and retrieval algorithm development.

GeoTASO is able to map the atmosphere in two dimensions (2-D) under the aircraft's flight track. GeoTASO operates as a hyperspectral push broom scanner, where the spectral information is provided by the *y* dimension of a 2-D charge-coupled device (CCD) array detector, the cross-track spatial dimension is provided by the *x* dimension of the array and the along-track spatial dimension is provided by the movement of the aircraft in its flight path. Pushbroom scanner measurements are analogous to the type of measurements that will be made by the geostationary satellite instruments, but the satellite instruments will utilize a scan mirror to move the field of view (FOV).

These types of measurements from aircraft are relatively new, with push broom NO<sub>2</sub> airborne 2-D measurements reported recently over the Highveld region of South Africa (Heue et al., 2008), Zurich, Switzerland (Popp et al., 2012), northwest Germany (Schönhardt et al., 2015) and Leicester, United Kingdom (Lawrence et al., 2015). General et al. (2014) have also recently reported airborne 2-D observations of volcanic BrO and SO<sub>2</sub> over Mt. Etna, BrO and NO<sub>2</sub> over Alaska and NO<sub>2</sub> over Indianapolis using an instrument equipped with a whisk broom scanner for nadir observations and a push broom scanner observing in limb geometry for obtaining vertical information. In addition, the GEO-CAPE Airborne Simulator (GCAS) (Kowalewski and Janz, 2014) is a recently developed push broom sensor which had its first campaign deployment at the same time as GeoTASO.

This paper introduces the GeoTASO instrument and describes algorithm development and the first trace gas retrievals of NO<sub>2</sub> from this new instrument. Section 2 describes the GeoTASO optical design and measurement strategy. Section 3 describes the deployment of GeoTASO during the Deriving Information on Surface Conditions from Column and Vertically Resolved Observations Relevant to Air Quality (DISCOVER-AQ) Texas 2013 field campaign and introduces supporting measurements for validation. Section 4 describes the data analysis for GeoTASO observations including calibration and spectral fitting for the retrieval of trace gas amounts. Section 5 presents the first results from GeoTASO and validation using data from other instruments collected during the DISCOVER-AQ campaign. Section 6 summarizes the current status of GeoTASO and presents plans for future data analysis.

## 2 GeoTASO instrument

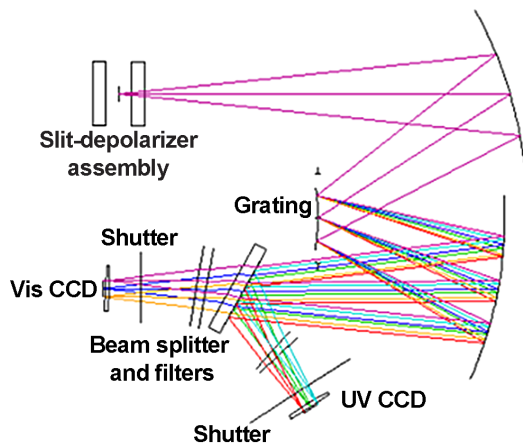
GeoTASO (Leitch et al., 2014) is a hyperspectral instrument measuring nadir backscattered light in the ultraviolet and visible in two channels at wavelengths 290–400 (UV) and 415–695 nm (VIS). Table 1 summarizes the main characteristics of the instrument.

### 2.1 Spectrometer design

GeoTASO uses an Offner imaging spectrometer with the optical design shown in Fig. 1. A wide-field telescope with an FOV of about 45° in the aircraft's cross-track dimension provides light to the spectrometer. After passing through a slit and depolarization assembly, light is reflected and diffracted off mirrors and a grating. A dichroic beam splitter splits the diffracted light into first (VIS channel) and second (UV channel) orders, which are detected by two 2-D CCD detector arrays. Additional UV and VIS blocking filters provide high in-band throughput with a 25 nm wavelength transition region to high level blocking (2 % transmission) for out-of-band light within the sensor's wavelength range. The CCD arrays each consist of 1024 × 1024 active individual detector pixels. The

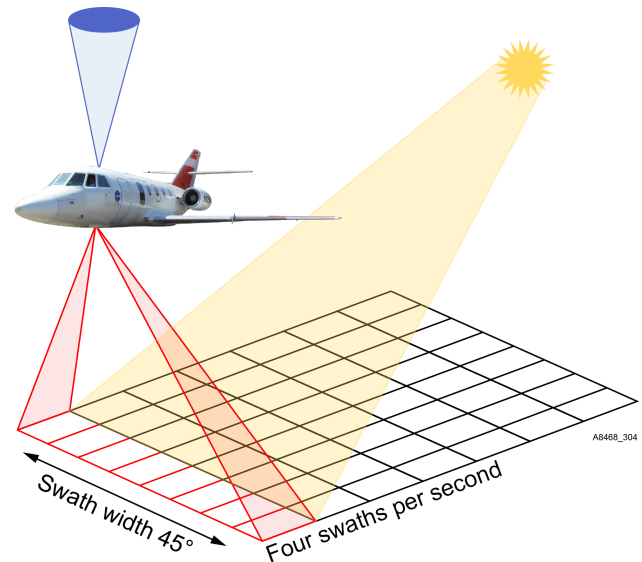
**Table 1.** GeoTASO instrument characteristics. Four different slit sizes can be used in a slit holder assembly to give different spectral resolutions and spectral sampling values.

Characteristic	UV	VIS
Wavelength range	290–400 nm	415–695 nm
Spectral resolution in FWHMs (slit size = 26.0; 32.5; 39.0; 45.5 $\mu\text{m}$ )	0.34; 0.39; 0.43; 0.49 nm	0.70; 0.75; 0.88; 1.00 nm
Spectral sampling per FWHMs	2.5; 2.8; 3.1; 3.5 pixels	2.5; 2.7; 3.1; 3.6 pixels
Single pixel signal-to-noise ratio <sup>a</sup>	110 (340 nm)	65 (440 nm)
Full cross-track field of view	45°	
Single pixel cross-track field of view	0.046°	

<sup>a</sup> 39  $\mu\text{m}$  slit.**Figure 1.** GeoTASO spectrometer design. First and second diffraction orders are separated into Vis and UV channels by the beam splitter and filters.

slit image is slightly smaller than the full array to allow for slight image shifting and to facilitate initial alignment, so that only the central 975 pixels in the cross-track dimension are well illuminated in nadir observations. In the wavelength dimension, the image covers 740 pixels on the UV detector and 1000 pixels on the visible detector. The spectral sampling is 0.14 nm for the UV and 0.28 nm for the visible detector.

The GeoTASO instrument design uses a reconfigurable slit and depolarization assembly for testing the sensitivity of trace gas retrievals to changing passband, spectral sampling and polarization. The 13 mm long slit can be replaced manually, in a precision-registered slit holder that maintains instrument alignment, by slits of various widths (26.0, 32.5, 39.0 and 45.5  $\mu\text{m}$ ). Under microscope testing, slit edges were free of pronounced jaggedness at high spectral frequencies, and were uniform in width to at least  $\pm 2 \mu\text{m}$ , the limit of the microscope setup. A pair of electronically controlled photoelastic modulators (PEMs) positioned before and after the slit serve as a depolarizer (Illing, 2009) that can be turned off and on or adjusted to optimize depolarization at particular wavelengths during the flight. These are flat parallel windows

**Figure 2.** Schematic of GeoTASO measurement approach. The nadir observations are shown in red and zenith sky view in blue. In practice, there are approximately 975 spatial samples across the swath (after Heue et al., 2008).

tilted at a small oblique angle. There is a small lateral image shift due to the tilt, and a focus shift due to the optical path length, but no distortion.

The spectrometer and telescope are contained within thermally stabilized housing with continuous temperature monitoring. Each focal plane is chilled to  $-25^\circ\text{C}$ , with an observed stability of  $\pm 0.2^\circ\text{C}$ . The insulated enclosure around the optical bench is separately controlled to  $24^\circ\text{C}$  with a stability of 1–2 $^\circ\text{C}$ . The bench is graphite with a low coefficient of thermal expansion, and the flexure-attachment scheme is designed to athermalize optical positions over a wide range of temperatures. The instrument housing is mounted kinematically to the aircraft. On the NASA HU-25C Falcon aircraft, the housing is mounted to the seat rails and the telescope looks at the nadir direction through a fused silica window on the bottom of the aircraft.

## 2.2 Measurement strategy

Figure 2 shows the geometry of the GeoTASO observations. At a typical flight altitude of 11 km above the surface, the 45° FOV results in a cross-track FOV of 9.1 km for the full field. This results in a cross-track instantaneous FOV (IFOV) of approximately 9 m for each spatial sample. The 1.2 mrad along-track IFOV (39 μm slit) projects to an along-track IFOV of 13–15 m at the ground. The along-track FOV is furthermore determined by the product of the detector integration time of 0.25 s and the aircraft ground speed, with some variation due to aircraft pitch. At typical aircraft ground speed ( $\sim 200 \text{ m s}^{-1}$ ) and pitch, the along-track FOV is on the order of 50–80 m.

Nadir measurements are typically interspersed with manually commanded zenith sky reference and dark current observations. GeoTASO collects zenith observations through an optical fiber bundle that looks out the top of the aircraft. As a result, the zenith spectra fill only the center of the FOV and are detected with sufficient signal for analysis over about 60 pixels in the cross-track dimension. A typical zenith-sky measurement sequence collects about 580 such observations, resulting in approximately 35 000 individual spectra per zenith sequence. Zenith sky spectra are co-added to improve the signal-to-noise ratio as described in Sect. 4.2. Dark current observations are also collected periodically by commanding the closure of shutters immediately in front of the CCD arrays.

## 3 DISCOVER-AQ campaign

DISCOVER-AQ was a NASA Earth Venture suborbital class mission which involved four field campaigns over 4 years, aimed at improving the ability of satellite observations to be applied for air quality monitoring (<http://discover-aq.larc.nasa.gov/>). As part of the campaigns, instruments on the NASA King Air B200 aircraft (remote sensing observations) and the P-3B aircraft (in situ observations) collected a large suite of trace gas, meteorological and aerosol observations in concert with stationary and mobile ground-based and ship-based remote sensing and in situ monitoring instruments. GeoTASO flew on the NASA HU-25C Falcon aircraft during the DISCOVER-AQ field campaigns in September 2013 (Texas) and July–August 2014 (Colorado).

### 3.1 GeoTASO measurements during DISCOVER-AQ Texas 2013

During the 2013 campaign, the GeoTASO instrument was based in southeast Houston at the William P. Hobby Airport and flew seven flights on 6 days between 12 and 24 September 2013, including inbound and outbound transits from and to the Falcon's base at NASA Langley Research Center in Virginia. Table 2 summarizes these flights, along with the slit size used for each flight. The inbound transit

from Virginia included observations near Atlanta, Georgia, close to large power plants. The 16 September flight made a direct underpass of the Aura satellite as it passed over southern Oklahoma. This flight was made with the intention to compare with retrievals from the Ozone Monitoring Instrument (OMI) on Aura; although OMI NO<sub>2</sub> measurements on that day over Texas and Oklahoma were below the detection limit, GeoTASO was able to detect NO<sub>2</sub> over Forth Worth, Texas. The 17 September observations were made primarily over water, in support of the ocean color segment of the GEO-CAPE mission, which aims to derive chlorophyll fluorescence and water-leaving radiances in the near-UV, visible and near-infrared from geostationary orbit. The 13, 14, 18 and 24 (Leg 1) September flights occurred over the greater Houston area and enabled observations of pollution from industrial and urban transportation sources. Leg 2 of the 24 September flights passed over three large power plants in North Carolina during the aircraft's transit back to Virginia. In this paper, we examine data from the Houston urban flights on 13, 14, 18 and 24 September.

### 3.2 Additional data sources

In this study, we use NO<sub>2</sub> data from ground, aircraft and satellite-based measurements, as well as model data produced for the campaign. The surface observations are primarily from 12 DISCOVER-AQ campaign sites in the Houston area, which are listed in Table 3 along with the instruments deployed at the sites. Figure 3 shows the location of these DISCOVER-AQ sites.

#### 3.2.1 Pandora

Total column NO<sub>2</sub> was measured by Pandora spectrometers (Herman et al., 2009) during DISCOVER-AQ Texas by direct sun observation with a temporal resolution of 90 s, a typical precision of  $2.7 \times 10^{14} \text{ molecules cm}^{-2}$  and an accuracy of  $2.7 \times 10^{15} \text{ molecules cm}^{-2}$ . Pandora NO<sub>2</sub> is determined from the difference between the direct sun observation and a reference spectrum derived using clean observations on a clear day. Total column NO<sub>2</sub> is derived using NO<sub>2</sub> cross sections from Vandaele et al. (1998) at an effective temperature of 250 K derived from NO<sub>2</sub> and temperature profiles as described in Herman et al. (2009). We use column NO<sub>2</sub> data from 15 Pandora instruments deployed at 11 sites coincident with GeoTASO overpasses.

#### 3.2.2 TCEQ SLAMS

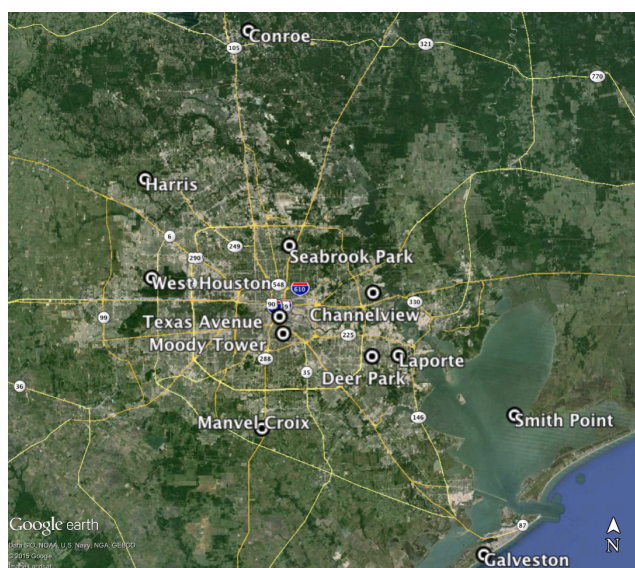
The Environmental Protection Agency (EPA) coordinates a series of sites as part of the national State and Local Air Monitoring Stations (SLAMS) and relevant air quality networks which monitor ambient air quality in the United States at rural, suburban and urban locations. In Texas, these are operated by the Texas Commission on Environmental Quality (TCEQ). We use TCEQ SLAMS NO<sub>2</sub> measurements mea-

**Table 2.** Summary of GeoTASO flights during Discover-AQ Texas 2013. LT = UTC−4 h for 12 September and LT = UTC−5 h for other days.

Date	Flight summary	Flight time (LT)	Slit size (μm)
12 September	Flight to Houston from Virginia	14:37–17:50	39.0
13 September	Houston	08:20–11:34	39.0
14 September	Houston	13:48–17:06	32.5
16 September	OMI satellite underpass, Oklahoma	13:15–15:46	39.0
17 September	Boat and water overpasses	08:35–10:27	45.5
18 September	Houston	09:01–12:06	26.0
24 September	Leg 1: Houston	10:07–12:08	45.5
	Leg 2: Flight to Virginia, power plant overpasses	14:10–16:16	45.5

**Table 3.** DISCOVER-AQ sites used in GeoTASO validation and site instrumentation. The Pandora ID is an identification number given to each individual Pandora instrument.

Site	Latitude (°)	Longitude (°)	Pandora ID	In situ instrument
Channelview	29.803	−95.126	P26	TCEQ FRM
Conroe	30.350	−95.425	P31	TCEQ FRM
Deer Park	29.670	−95.128	P32	TCEQ FRM
Galveston	29.254	−94.861	P34	photolytic, TCEQ FRM
Harris	30.039	−95.674	P30	TCEQ FRM
La Porte	29.672	−95.065	P38, P39	CAPS, EPA FRM
Manvel Croix	29.520	−95.392	P33	CRD, TCEQ FRM
Moody Tower	29.718	−95.341	P28, P35	photolytic
Seabrook Park	29.901	−95.326	P27	photolytic, TCEQ FRM
Smith Point	29.546	−94.787	P8, P29, P36	CAPS
Texas Avenue	29.753	−95.350		photolytic, TCEQ FRM
West Houston	29.833	−95.657	P18	

**Figure 3.** Location of ground sites in Houston area during DISCOVER-AQ 2013. Major roads are shown in yellow.

sured by chemiluminescence and reported hourly at 11 sites in the Houston area coincident with GeoTASO overpasses during DISCOVER-AQ Texas. These include monitors co-located with eight DISCOVER-AQ science sites listed in Table 3. These measurements are made by Federal Reference Method (FRM) chemiluminescence using molybdenum converters and are known to have high measurement biases under certain conditions relative to other observations (Lamsal et al., 2008). The FRM instruments' listed detection limit is 2.7 ppbv.

### 3.2.3 EPA research instrumentation

The EPA deployed five research instruments measuring NO<sub>2</sub> every 60 s or less at four sites. These included two Cavity Attenuated Phase Shift (CAPS) instruments which measure NO<sub>2</sub> directly by absorption at 450 nm with an uncertainty of ±10 %, two chemiluminescence NO<sub>x</sub> analyzers with photolytic converters with an NO<sub>2</sub> uncertainty of ±15 % and a high-sensitivity FRM chemiluminescence molybdenum converter instrument deployed in tandem with a CAPS instrument.

### 3.2.4 NOAA instrumentation

A cavity ring-down (CRD) instrument was deployed by the National Oceanic and Atmospheric Administration (NOAA) and University of Maryland at the Manvel Croix science site in the south of Houston. The CRD instrument measured ambient NO<sub>2</sub> every 10 s with an uncertainty of  $\pm 5\%$ . The instrument was calibrated with a NIST-traceable NO<sub>2</sub> standard as well as the gas-phase titration method (Brent et al., 2013). A NOAA chemiluminescence photolytic converter monitor was also deployed at the Galveston site and reported measurements every 60 s, with an uncertainty of  $\pm 10\%$ .

### 3.2.5 University of Houston instrumentation

The University of Houston made measurements of NO<sub>2</sub> at altitudes of 7 and 70 m at the Moody Tower site in downtown Houston. These were collected with a chemiluminescence monitor fitted with a photolytic converter and have a reported uncertainty of  $\pm 12\%$ . Data are reported every 5 min.

### 3.2.6 GCAS

The GCAS instrument (Kowalewski and Janz, 2014) was deployed on the NASA King Air B200 aircraft as part of the campaign's airborne remote sensing payload, which also included the NASA High Spectral Resolution Lidar (HSRL) instrument (Hair et al., 2008) for aerosol studies. GCAS operates in a similar fashion to GeoTASO, using a 2-D CCD array detector to map slant columns of NO<sub>2</sub> in two dimensions. The instrument uses an Offner imaging spectrometer with two  $1072 \times 1024$  (spectral  $\times$  spatial) pixel detector arrays in the UV/visible (300–490 nm) and visible/near-infrared (480–900 nm). The UV/visible channel used for NO<sub>2</sub> retrievals has a spectral sampling of 0.2 nm and a spectral resolution of 0.57 nm. The full cross-track FOV covers 45°. At wavelength 440 nm and at a spatial resolution of 250 m  $\times$  500 m, the signal-to-noise ratio is on the order of 540. GCAS is a successor to the Airborne Compact Atmospheric Mapper (ACAM) scanning instrument (Liu et al., 2015) and flew in its first campaign deployment during DISCOVER-AQ Texas.

### 3.2.7 GOME-2

The Global Ozone Monitoring Experiment-2 (GOME-2) instruments (Munro et al., 2016) were launched on the EU-METSAT satellites Metop-A in 2006 and Metop-B in 2013. GOME-2 instruments make nadir observations of backscattered solar radiation from 240 to 790 nm. Only Metop-A data are considered here, as there were no cloud-free coincidences with Metop-B during DISCOVER-AQ Texas. As of mid-2013, the GOME-2/Metop-A nominal pixel resolution is  $40 \times 40 \text{ km}^2$ . We use the publicly available BIRA-IASB/KNMI GOME-2 NO<sub>2</sub> product TM4NO2A version 2.3 (<http://www.temis.nl>) (Boersma et al., 2004).

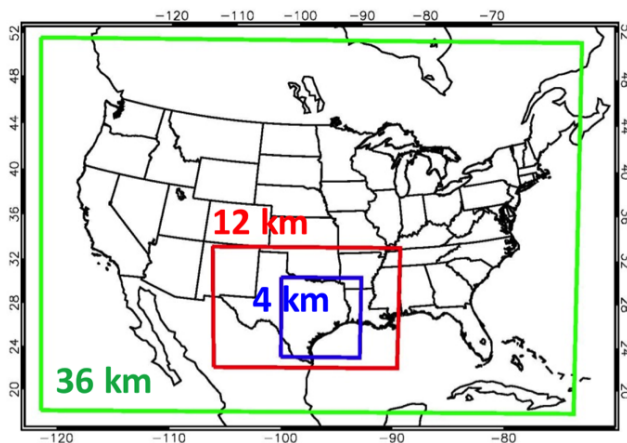


Figure 4. 36, 12 and 4 km CMAQ modeling domains.

### 3.2.8 CMAQ model

The EPA's Community Multiscale Air Quality (CMAQ) version 5.0.2 modeling system (Byun and Schere, 2006) was used to simulate air quality from 18 August 2013 through 1 October 2013. CMAQ used offline meteorology from the Advanced Research Weather Research and Forecasting (WRF-ARW) model (Skamarock et al., 2008) via the Meteorology–Chemistry Interface Processor (MCIP) (Otte and Pleim, 2010). This time period covers the entire DISCOVER-AQ Texas field deployment in September 2013 plus additional days in August to provide adequate model spin-up time. The CMAQ model simulations used in the GeoTASO analysis have a spatial resolution of  $4 \times 4 \text{ km}^2$  and a temporal resolution of 20 min. The local bay and sea breezes that affect air quality in this region are best simulated at the high resolutions of a regional model over those available from most global models. We apply CMAQ and WRF due to previous success capturing local-scale bay and sea breezes with these models (Loughner et al., 2011).

Figure 4 shows the 36, 12 and 4 km modeling domains. The 12 km North American Mesoscale (NAM) model was used for meteorological initial and boundary conditions. Observational and analysis nudging were performed on all domains. Observational nudging was done using the National Centers for Environmental Prediction (NCEP) Automated Data Processing (ADP) Global Surface (<http://rda.ucar.edu/datasets/ds461.0/>) and Upper Air (<http://rda.ucar.edu/datasets/ds351.0/>) Observational Weather Data.

WRF was run using an iterative technique developed at the EPA (Appel et al., 2014). The initial WRF run performed analysis nudging on all domains based on the 12 km NAM. The second WRF run performed analysis nudging on all domains based on the 12 km NAM except for 2 m temperature and humidity for the 4 km domain, for which 2 m temperature and humidity from the 4 km initial WRF simulation were used for nudging. This modeling technique prevented

**Table 4.** WRF and CMAQ model options.

Weather Research and Forecasting (WRF) Version 3.6.1 model options	
Radiation	Longwave: RRTM; Shortwave: Goddard
Surface layer	Pleim–Xiu
Land surface model	Pleim–Xiu
Boundary layer	ACM2
Cumulus	Kain–Fritsch
Microphysics	WSM-6
Nudging	Observational and analysis nudging
Damping	Vertical velocity and gravity waves damped at top of modeling domain
Sea surface temperatures (SSTs)	Multi-scale Ultra-high Resolution (MUR) SST analysis (1 km resolution)
CMAQ version 5.0.2 model options	
Chemical mechanism	CB05
Aerosols	AE5
Dry deposition	M3DRY
Vertical diffusion	ACM2
Emissions	2012 TCEQ anthropogenic emissions Biogenic (BEIS) and lightning emissions calculated within CMAQ
Initial and boundary conditions	MOZART CTM

the relatively coarse NAM 12 km model from degrading the high-resolution 4 km domain. The second iterative WRF runs were used to drive the CMAQ simulation. The CMAQ simulation employs 45 vertical levels, extending from the surface to 50 hPa. Table 4 summarizes WRF and CMAQ options.

#### 4 Analysis method

The calculation of NO<sub>2</sub> vertical columns is performed using a two-step approach. First, we retrieve the slant column amount of the gas in the viewing path from each nadir spectrum by direct fitting (Chance, 1998) with a zenith sky spectrum as a reference. We then convert the slant column to a vertical column of NO<sub>2</sub> using an air mass factor (AMF) that considers viewing geometry and radiative transfer. Pre-processing of the data involves calibration of raw Level 0 spectra to calibrated Level 1B spectra, and calculation of zenith sky reference spectra.

The GeoTASO retrieval algorithm is based on existing algorithms developed at the Smithsonian Astrophysical Observatory for GOME, GOME-2, OMI and OMPS (Ozone Mapping and Profiler Suite) trace gas products (e.g., Chance, 1998; Chance et al., 2000; Nowlan et al., 2011; Chan Miller et al., 2014; González Abad et al., 2015a, b), which are also the heritage algorithms for TEMPO trace gas retrievals.

##### 4.1 Calibration

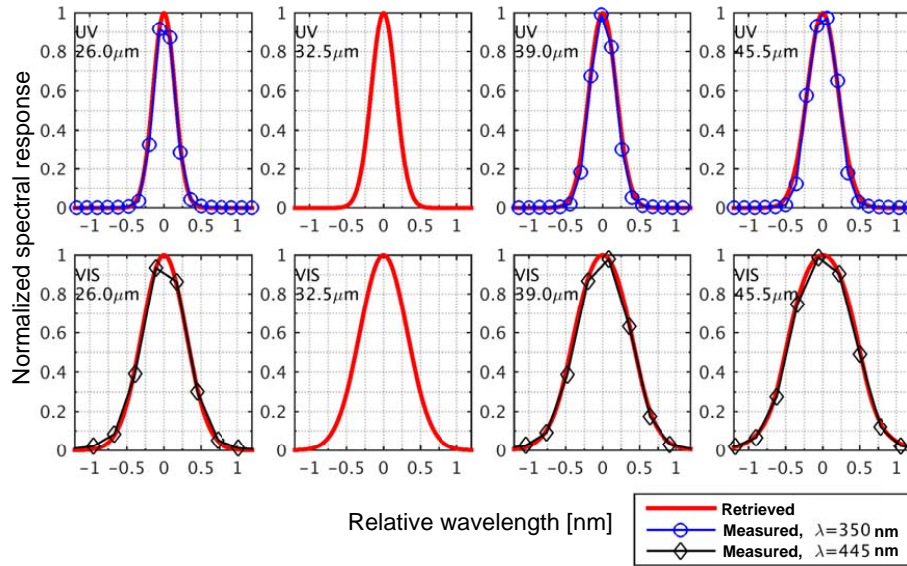
We convert spectra from detector counts to radiometrically calibrated spectra using laboratory measurements of sensor wavelength scale and responsivity over the full FOV. Calibrations use an integrating sphere with line or broad spectrum sources. The integrating sphere is referenced to known cali-

bration sources to give an absolute radiance calibration with approximately 10 % uncertainty in the visible. The calibration uses measurements from pixels outside the imaging area to remove smear signal and apply a stray light correction. The mean out-of-band signal in the visible channel was less than 1 % of the mean in-band illumination, as measured using an incandescent source and a 445 nm longpass filter. Polarization sensitivity was measured but not included in these preliminary radiance calibrations as it is at a low level (< 5 %).

The instrument slit functions measured in the laboratory for the four instrument slits indicate that their shapes and widths are consistent across both the FOV and wavelength dimensions of the detector arrays (Leitch et al., 2014). We confirm the stability of the slit shape with cross-track position and wavelength using flight data by fitting a slit function to nadir spectra as a preliminary step in the trace gas retrievals.

This approach follows a wavelength-dependent slit and wavelength calibration method previously implemented in the retrieval algorithm to determine slit shape and wavelength calibration from GOME (Liu et al., 2005) and GOME-2 (Cai et al., 2012) irradiance spectra using a high-resolution solar reference (Chance and Kurucz, 2010), but simultaneously retrieves preliminary trace gas columns and Ring scaling parameters to remove the effects of atmospheric absorption and the Ring effect present in nadir spectra (Liu et al., 2015). We find the slit function is best modeled for the two largest slit sizes in the visible (Table 1) using a hybrid Gaussian and flat-top Gaussian defined by

$$F = (1 - w) \exp \left[ \frac{-\Delta\lambda^2}{(h(1 \pm a))^2} \right] + w \exp \left[ \frac{-\Delta\lambda^4}{(h'(1 \pm a'))^4} \right], \quad (1)$$



**Figure 5.** Slit function shapes retrieved from nadir radiance data for UV and VIS spectrometers and those recorded post-flight in the laboratory at 350 and 445 nm for the center FOV position. Data were not collected in the laboratory for the 32.5 μm slit due to time constraints. Data for the 39 μm slit are shown at 440 nm, as 445 nm was not recorded.

where the algorithm simultaneously fits a relative weighting  $w$  between the standard and flat-top Gaussian, and terms  $h$  (standard) and  $h'$  (flat-top), which represent the half-width at  $1/e$ , and  $a$  and  $a'$ , which represent asymmetry in the Gaussians. Table 5 lists the retrieved parameters for each slit, and the resulting full-width at half maximums (FWHMs) are additionally included in Table 1. Although only visible trace gas retrievals are performed in this paper, we include the UV calibration for completeness. For the UV and two smallest slits in the visible, the slit shape is closest approximated by a Gaussian with no flat-top component. These slit shapes are only minimally asymmetric.

Figure 5 shows the slit functions determined using flight data with those measured in the laboratory for the center FOV position. Laboratory measurements were performed for the 26, 39 and 45.5 μm slit sizes at three field angles and several wavelengths across the array after the campaign. Our retrieved slit shapes are in good agreement with the measured slit functions, indicating that the slit function parameterization and retrieval using nadir data are both valid for the trace gas retrievals. In the array’s spectral dimension, the FWHM changes by less than 0.015 nm across the entire detector array, with the FWHM slightly higher at the center of the array than at the edges. In the cross-track spatial dimension, the visible FWHM varies by less than 0.01 nm, with the FWHM varying from narrowest at the leftmost cross-track positions to widest at the rightmost positions. The UV FWHM is narrowest at the center cross-track positions, and increases by 0.01 nm by the detector edges. These calibrations do not show any significant change in the slit shape as

**Table 5.** Retrieved slit parameters for slit function described by Eq. (1) and resulting full-width at half-maximums (FWHMs).

	Slit size			
	26.0 μm	32.5 μm	39.0 μm	45.5 μm
<b>UV</b>				
$h$	0.217	0.239	0.263	0.292
$a$	-0.048	-0.013	-0.013	-0.0045
$h'$	0	0	0	0
$a'$	0	0	0	0
$w$	0	0	0	0
FWHMs	0.34 nm	0.39 nm	0.43 nm	0.49 nm
<b>VIS</b>				
$h$	0.440	0.475	0.542	0.627
$a$	-0.048	-0.035	-0.034	-0.032
$h'$	0	0	0.470	0.627
$a'$	0	0	0.074	0.073
$w$	0	0	0.133	0.283
FWHMs	0.70 nm	0.75 nm	0.88 nm	1.00 nm

described in Eq. (1) in either the spectral or spatial dimension.

The approach simultaneously determines a wavelength-to-pixel registration represented by a second-order polynomial by fitting the spectrum to a high-resolution solar reference (Chance and Kurucz, 2010).



## 4.2 Zenith sky reference spectra

We determine a mean zenith sky reference spectrum for each nadir observation using the nearest three zenith sky sequences closest in time, which usually occur within 20 min of the nadir observation. The signal-to-noise ratio of the resulting zenith spectrum varies with solar zenith angle and wavelength, but is approximately 1000–2000 in the NO<sub>2</sub> fitting window. In future, averaging could include even more spectra to reduce the influence of zenith noise in the retrievals.

## 4.3 Spectral fitting

We determine NO<sub>2</sub> slant columns from individual spectra at native spatial resolution between wavelengths 420 and 465 nm by simultaneously fitting line-of-sight NO<sub>2</sub> slant columns and other parameters listed in Table 6.

The fit includes molecular absorbers NO<sub>2</sub>, O<sub>3</sub>, H<sub>2</sub>O vapor and O<sub>2</sub>–O<sub>2</sub>, as well as the following pseudo-absorbers: a Ring spectrum that accounts for filling-in of Fraunhofer lines in the solar spectrum due to rotational Raman scattering; undersampling of the spectrum that occurs when spectral sampling is less than  $\sim 3$  pixels across the FWHM (Chance et al., 2005), which is necessary for the 26 and 32.5  $\mu\text{m}$  slit sizes where sampling is 2.5 and 2.7 pixels/FWHM, respectively; and baseline (fourth order) and scaling (fifth order) polynomials to represent low frequency features in the spectrum due to aerosols, molecular scattering, wavelength-dependent albedo and low-order effects that may not be accounted for properly in radiometric calibration. A wavelength shift is also fit to account for the relative difference in wavelength-detector pixel registration between the nadir radiance and reference spectra.

In this study, we co-add fitted NO<sub>2</sub> slant columns at native spatial resolution using 27 across-track and four along-track pixels to reduce noise in the columns, resulting in an effective spatial footprint at the surface of about 250 m  $\times$  250 m. For our analysis of high-NO<sub>2</sub> regions where NO<sub>2</sub> signal dominates over noise, we present data at this spatial resolution as an optimization of spatial resolution and precision. In the visible spectrometer, we find that fitting uncertainties derived from slant columns co-added post-retrieval to this resolution are typically within a few percent of those derived using spectra co-added pre-retrieval with a common mode fit in the retrieval (e.g., Martin et al., 2002; Chan Miller et al., 2014; González Abad et al., 2015a), indicating that influences from systematic issues are low in the 250 m  $\times$  250 m visible results. Figure 7 shows spectral fits of NO<sub>2</sub> optical depth at different spatial resolutions.

While satellite observations cover large ground footprints (on the order of tens of kilometers), which require the derivation of cloud fractions to ensure large amounts of data are not discarded, the high native spatial resolution of GeoTASO pixels allows the total removal of cloudy observations and only cloud-free data are presented here. Cloudy

pixels are removed using a straightforward limit on the mean radiance by filtering for all single frames where the mean radiance in the NO<sub>2</sub> fitting window exceeds  $2 \times 10^{13}$  photons cm<sup>-2</sup> nm<sup>-1</sup> s<sup>-1</sup> sr<sup>-1</sup>.

Mean root-mean-square (rms) fitting uncertainties in normalized spectra at native resolution are on the order of 0.01 for bright, cloud-free scenes, and 0.02 for dimmer scenes. For retrievals using co-added spectra, the mean rms is  $\sim 1.6 \times 10^{-3}$  at 250 m  $\times$  250 m resolution. GeoTASO design requirements were driven by NO<sub>2</sub> fitting at a resolution of 1 km  $\times$  1 km. At this resolution, the mean rms is  $\sim 7 \times 10^{-4}$ , which is comparable to previous fitting results in this spectral region (Martin et al., 2002; Chan Miller et al., 2014), and includes some remaining systematic residuals as well as other residuals which are not necessarily systematic from co-adding inhomogeneous scenes and different wavelength positions and slit functions. The 39 and 45.5  $\mu\text{m}$  slit sizes give the best fitting performance, while rms fitting errors are larger by 15 % for the 32.5  $\mu\text{m}$  slit and by 30 % for the 26.0  $\mu\text{m}$  slit. The exact source of these rms differences still needs investigation, but they are likely due to differences in throughput and in part to an imperfect undersampling correction.

## 4.4 Cross-track striping

GeoTASO NO<sub>2</sub> slant column retrievals show the artificial cross-track striping that is common in many 2-D push broom sensors (Boersma et al., 2011; Popp et al., 2012). The magnitude of this striping is persistent throughout each flight, with little day-to-day variation except between campaigns when instrument modifications have likely affected radiometric calibration.

To calculate a destriping correction, we determine the daily offset from the estimated NO<sub>2</sub> slant columns from the CMAQ model as a function of cross-track position, using a large number of retrievals over the relatively clean Gulf of Mexico. The details of this calculation using model calculations and air mass factors are described in the next section.

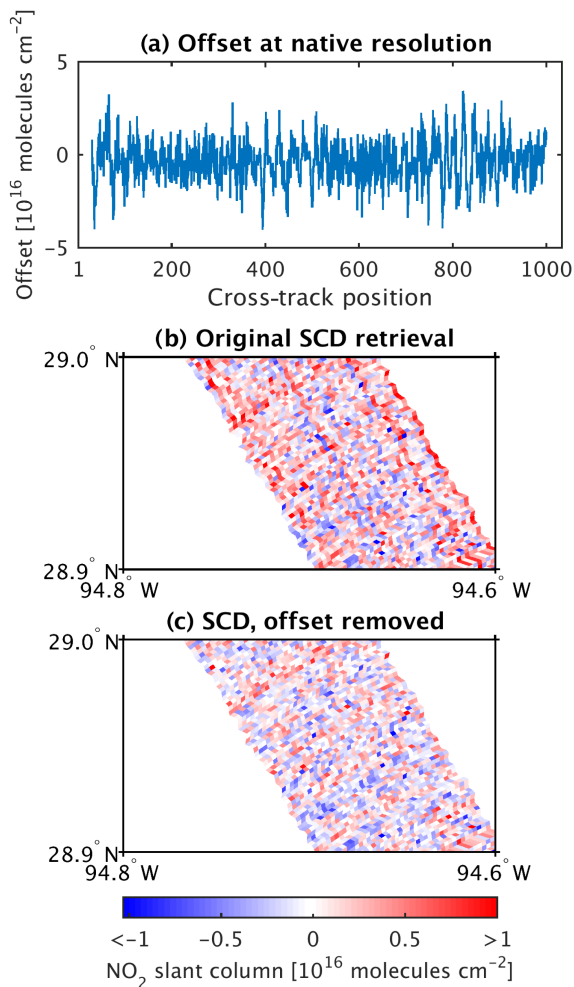
Figure 6a shows the offset determined from 1 million spectra on 13 September 2013. At native resolution, striping for the Texas campaign can reach a magnitude of  $\pm 4 \times 10^{16}$  molecules cm<sup>-2</sup> but is typically on the order of  $\pm 9 \times 10^{15}$  molecules cm<sup>-2</sup>. Figures 6b and c show the effects of the striping and corrected columns at 250 m  $\times$  250 m resolution for a clear region over water. At co-added resolution, the striping is reduced in magnitude, with individual stripes occasionally as large as  $\pm 5 \times 10^{15}$  molecules cm<sup>-2</sup>. The application of the striping correction removes any evidence of cross-track striping along the entire flight.

## 4.5 Air mass factor calculation

The conversion of retrieved slant column  $S$  to vertical column  $V$  requires an air mass factor (AMF)  $A$  that describes

**Table 6.** Reference cross sections and parameters fit in NO<sub>2</sub> retrieval.

Parameter	Note
NO <sub>2</sub>	Vandaele et al. (1998), 294 K
O <sub>3</sub>	Brion et al. (1993), 218 and 295 K
H <sub>2</sub> O vapor	Rothman et al. (2013), 288 K, 1 atm
O <sub>2</sub> –O <sub>2</sub>	Thalman and Volkamer (2013), 293 K
Ring spectrum	Chance and Spurr (1997)
Undersampling	Chance et al. (2005)
Baseline polynomial	fourth order
Scaling polynomial	fifth order
Wavelength shift	



**Figure 6.** (a) Mean NO<sub>2</sub> slant column density (SCD) as a function of cross-track position for retrievals at native spatial resolution derived from 1 million spectra on 13 September 2014 over a relatively clean area in the Gulf of Mexico; (b) original co-added slant column retrieval at 250 m × 250 m without cross-track striping correction; and (c) co-added slant column density at 250 m × 250 m corrected for cross-track striping.

the vertically resolved contribution of the trace gas, where

$$V = \frac{S}{A}. \quad (2)$$

The magnitude of the AMF depends on the vertical profile of the gas of interest (NO<sub>2</sub>), ozone profile, aerosol optical depth, molecular scattering, surface albedo, cloud top pressure and radiative cloud fraction. In the case of the GeoTASO data, the high spatial resolution of native pixel observations allows clouds to be removed from processed data, and all co-added pixels are assumed to be clear-sky. We calculate AMFs for each local co-added scene following the formulation of Palmer et al. (2001), with scattering weights calculated using the radiative transfer model VLIDORT (Spurr, 2006).

The scattering weights  $w(z)$  describe the sensitivity of the measurement to different altitude layers, and can be used with any trace gas profiles in model and instrument comparisons. They are related to the AMF by

$$A = \int_z w(z)s(z)dz, \quad (3)$$

with the shape factor  $s(z)$  describing the normalized density  $x(z)$  of the trace gas of interest at altitude  $z$ :

$$s(z) = \frac{x(z)}{\int_z x(z)dz}. \quad (4)$$

The surface reflectivity representation in the scattering weight calculation uses bidirectional reflectance distribution functions (BRDFs) (Schaaf et al., 2002) determined from the high-resolution (30 arcsecond) MODIS BRDF MCD43GF V005 Band 3 product centered at 470 nm ([http://www.umb.edu/spectralmass/terra\\_aqua\\_modis/modis\\_brdf\\_albedo\\_product\\_mcd43](http://www.umb.edu/spectralmass/terra_aqua_modis/modis_brdf_albedo_product_mcd43)). We determine the scattering weights and AMF at 470 nm, which is the closest wavelength where MODIS BRDFs are available. Where MODIS BRDF values are not available over water, the surface is represented as Lambertian, with an albedo of 0.03.

Tropospheric trace gas profiles are from the CMAQ model at 4 km × 4 km resolution. Stratospheric NO<sub>2</sub> profiles are

from a climatology from the PRATMO chemical box model (Prather, 1992; McLinden et al., 2000). A monthly ozone climatology determined from the OMI ozone profile product (Liu et al., 2010) is used in the stratosphere.

We determine the vertical column from the retrieved differential slant column  $dS$ , which represents the difference between the nadir slant column  $S$  and the reference slant column  $S_R$  taken from zenith observations during these flights. In addition, we consider an offset expressed as a slant column  $S_O$  to remove striping in the retrieved NO<sub>2</sub> as detailed in Sect. 4.4. The differential slant column is then represented as a function of the slant column below ( $S^\downarrow$ ) and above ( $S^\uparrow$ ) the aircraft by

$$dS = (S^\downarrow + S^\uparrow) - (S_R^\downarrow + S_R^\uparrow) + S_O, \quad (5)$$

with the offset  $S_O$  determined from a retrieved differential slant column  $dS_O$  retrieved over a relatively clean area of the flight and defined as

$$dS_O = (S_O^\downarrow + S_O^\uparrow) - (S_{R,O}^\downarrow + S_{R,O}^\uparrow) + S_O. \quad (6)$$

By substituting the product of the vertical column and air mass factor for the slant column, we can solve for the vertical column below the aircraft:

$$V^\downarrow = \frac{dS - V^\uparrow A^\uparrow + V_R^\downarrow A_R^\downarrow + V_R^\uparrow A_R^\uparrow - S_O}{A^\downarrow}, \quad (7)$$

where

$$S_O = dS_O - V_O^\downarrow A_O^\downarrow - V_O^\uparrow A_O^\uparrow + V_{R,O}^\downarrow A_{R,O}^\downarrow + V_{R,O}^\uparrow A_{R,O}^\uparrow. \quad (8)$$

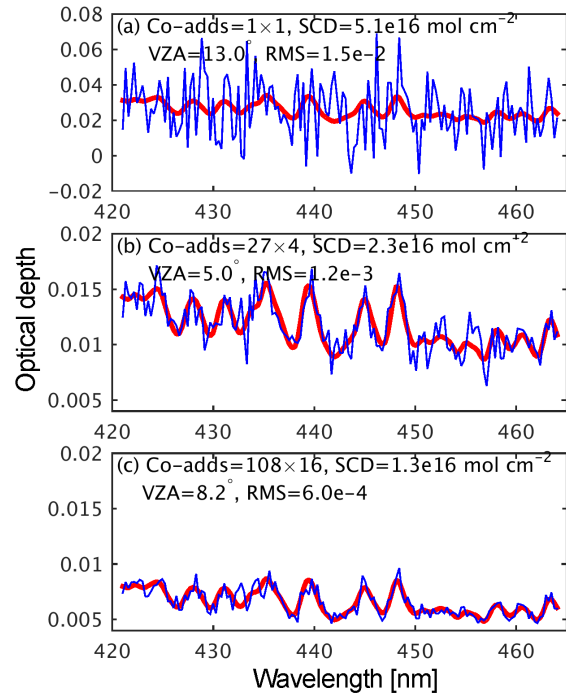
The air mass factor below the aircraft  $A^\downarrow$  is determined using the integration from the surface altitude  $z_0$  to the aircraft altitude  $z_{ac}$ , with

$$A^\downarrow = \int_{z_0}^{z_{ac}} w(z)s(z)dz, \quad (9)$$

while the air mass factor above the aircraft  $A^\uparrow$  is determined using the integration from the aircraft altitude to the top of the atmosphere at  $z_{TOA}$ , with

$$A^\uparrow = \int_{z_{ac}}^{z_{TOA}} w(z)s(z)dz. \quad (10)$$

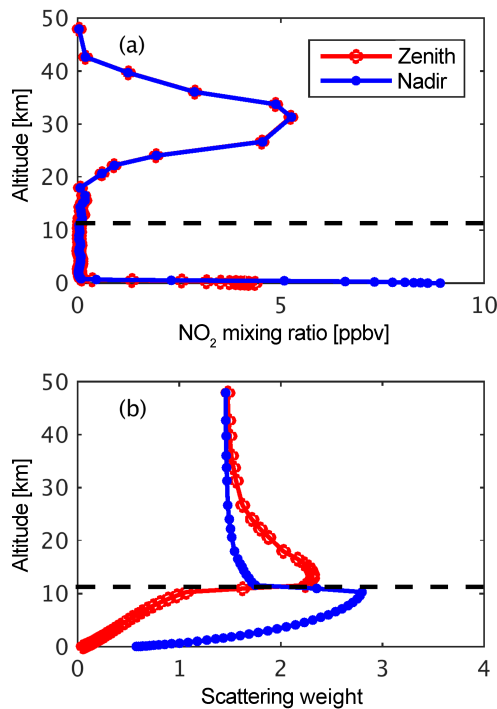
For a typical case where measurements are made from a flight altitude of 11 km,  $V^\downarrow$  and  $V^\uparrow$  are approximately equivalent to the tropospheric and stratospheric components of the NO<sub>2</sub> column, respectively. In these equations,  $dS$  and  $dS_O$  are determined from the measurements, while the vertical columns and air mass factors are determined using the modeled atmosphere. In practice, as long as the zenith reference



**Figure 7.** Sample NO<sub>2</sub> spectral fits showing observed (blue) and simulated (red) optical depths from spectra collected over the south of Houston (29.70° N, 95.24° W) on 13 September 2013 at 10:46 LT, with a solar zenith angle (SZA) of 44.1°, for (a) native resolution (no co-adding); (b) 250 m × 250 m resolution (27 × 4 co-adds); and (c) 1 km × 1 km resolution (108 × 16 co-adds).

is collected close in time and location to the nadir observation, the terms representing the reference and nadir columns are similar, although they do not cancel entirely. The effect of the column below the aircraft on the zenith observation also tends to be small. Although these terms are considered in these GeoTASO AMF calculations, the observed differential slant column and the tropospheric air mass factor contribute the majority of the information to the solution.

Figure 8 shows a sample NO<sub>2</sub> model profile and scattering weights for a nadir observation over a polluted area of Houston, as well as the profile and scattering weights for a nearby zenith reference spectrum. Similar stratospheric profiles for the nadir and zenith sky spectra mean that the stratospheric components in Eq. (5) are similar, although the non-zero difference between nadir and zenith scattering weights above the aircraft do indicate that these terms do not entirely cancel. In this illustrated case,  $S^\uparrow - S_R^\uparrow = -5.3 \times 10^{14}$  molecules cm<sup>-2</sup>. Even though the zenith spectra are often collected over mildly polluted areas during an urban campaign, the small tropospheric zenith reference scattering weights ensure the zenith contribution from the profile is small, with  $S_R^\downarrow = 7.6 \times 10^{14}$  molecules cm<sup>-2</sup> for this particular observation.



**Figure 8.** Sample profiles used in AMF calculation for a polluted region on 13 September 2013 10:31 LT at 29.51° N and 95.34° W, with a solar zenith angle (SZA) of 47.0° and a viewing zenith angle (VZA) of 13.2°, showing (a) modeled NO<sub>2</sub> mixing ratio profiles for a nadir observation and a nearby zenith observation; and (b) their corresponding scattering weights. The aircraft flight altitude is shown by the black dashed line. The modeled tropospheric NO<sub>2</sub> column is  $1.1 \times 10^{16}$  molecules cm<sup>-2</sup> and the retrieved is  $2.1 \times 10^{16}$  molecules cm<sup>-2</sup>.

## 4.6 Uncertainties

### 4.6.1 Slant column uncertainties

The mean fitting precision of individual NO<sub>2</sub> slant column retrievals at native spatial resolution for all flights is  $\sim 2.3 \times 10^{16}$  molecules cm<sup>-2</sup>. The precision of the differential slant column  $dS$  is  $\sim 2.2 \times 10^{15}$  molecules cm<sup>-2</sup> at 250 m  $\times$  250 m resolution. This varies with scene brightness over Houston by about  $\pm 0.4 \times 10^{15}$  molecules cm<sup>-2</sup>. The fitting precision is larger in cases where the effective footprint is partially obstructed by a cloud and fewer observations are co-added. The GeoTASO design requirement at 1 km  $\times$  1 km resolution derived from TEMPO requirements (Chance et al., 2013) is  $1 \times 10^{15}$  molecules cm<sup>-2</sup>; at 1 km  $\times$  1 km spatial resolution, if errors were Gaussian, the actual precision of  $5.5 \times 10^{14}$  molecules cm<sup>-2</sup> could exceed the requirement.

Additional uncertainties in the differential slant column result from uncertainties in the NO<sub>2</sub> measured cross sections of about 2% (Boersma et al., 2004) and the use of a cross section at a single temperature. Satellite retrievals typically use NO<sub>2</sub> cross sections at lower stratospheric temperatures,

which can result in uncertainties as high as 20% in polluted regions if an empirical correction is not applied (Boersma et al., 2004). GeoTASO retrievals use NO<sub>2</sub> cross sections at 294 K. During GeoTASO flight times, surface temperatures were on the order of 296–304 K. The effective temperature of tropospheric NO<sub>2</sub> (Brinksma et al., 2002) for measurements with high column NO<sub>2</sub> ( $> 3 \times 10^{15}$  molecules cm<sup>-2</sup>) is typically 275–293 K, which could result in a small high bias in retrieved NO<sub>2</sub>. The effect of the colder stratospheric NO<sub>2</sub> on the results should be minimized by the use of a zenith sky reference.

The differential slant column correction term  $dS_0$  is affected by the same uncertainties in slant column retrievals; however, its effective precision is improved to  $7 \times 10^{14}$  molecules cm<sup>-2</sup> (rms =  $5 \times 10^{-3}$ ) due to averaging of many spectra in the along-track direction.

### 4.6.2 Air mass factor and model uncertainties

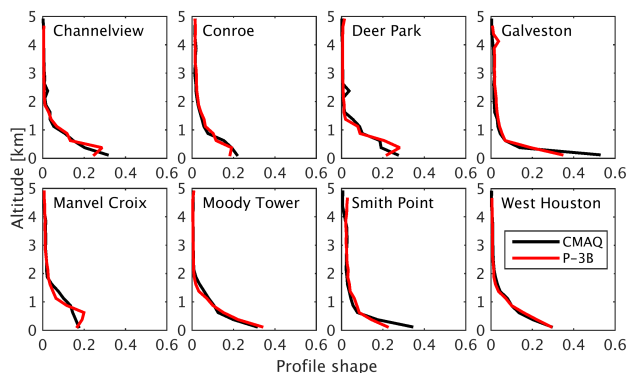
Uncertainties in air mass factors typically contribute the largest component of satellite vertical column measurement error budgets in polluted observations (Martin et al., 2002; Boersma et al., 2004). Uncertainties in surface reflectivity, the vertical distribution of aerosols relative to NO<sub>2</sub> and trace gas vertical profiles generally dominate air mass factor uncertainties for cloud-free NO<sub>2</sub> data. Lawrence et al. (2015) estimated an AMF uncertainty of  $\sim 8\%$  in remotely sensed NO<sub>2</sub> from an airborne mission over Leicester, United Kingdom, primarily resulting from uncertainties in the surface albedo and NO<sub>2</sub> profile shape.

The AMF in the NO<sub>2</sub> region also has some wavelength dependency, and use of an AMF calculated at a single wavelength can lead to slight biases in the vertical columns. For typical NO<sub>2</sub> observations, the effects are small; the AMF varies by about 5% across the NO<sub>2</sub> fitting window between 420 and 465 nm. The AMF at the center of the GeoTASO fitting window at 442 nm is on the order of 3% smaller than that at 470 nm (the MODIS BRDF band).

We estimate an uncertainty of 20% in the MODIS BRDF product for both accuracy and precision, based on comparisons between MODIS BRDF-derived reflectance and aircraft observations at a similar spatial scale to the GeoTASO 250 m  $\times$  250 m observations (Román et al., 2011). The resultant uncertainty in the AMF is about 10% for polluted scenes and 5% for clean scenes.

Uncertainties in aerosol distribution can contribute large uncertainties to the AMF, and the presence of aerosols can increase or decrease the AMF, depending on aerosol type and altitude (Leitão et al., 2010). Section 5.1 discusses aerosol optical depth and uncertainties specific to the DISCOVER-AQ GeoTASO measurements in greater detail.

Figure 9 shows median NO<sub>2</sub> profile shapes derived from CMAQ simulations and in situ P-3B aircraft profiles collected during the campaign at locations of aircraft vertical spirals. These profiles reveal that typical uncertainties in pro-



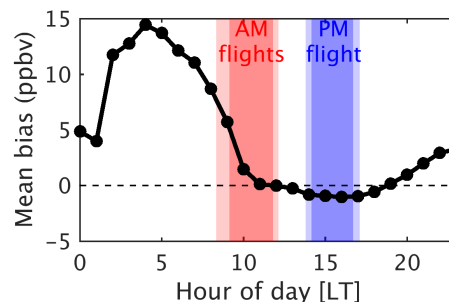
**Figure 9.** Normalized median profile shapes from CMAQ model simulations and P-3B aircraft NO<sub>2</sub> observations at P-3B spiral locations during the DISCOVER-AQ Texas campaign. The profiles use model output and observations binned to a 250 m altitude grid.

file shape factors are generally less than 20 %; uncertainties of this magnitude typically result in a  $\sim 5$  % uncertainty in the AMF below the aircraft. Individual partial column model uncertainties are sometimes much larger ( $>100$  %) when compared with P-3B profiles, which can contribute a small uncertainty through the term  $V_R^\downarrow$  in Eq. (7). In addition, we estimate a 30 % uncertainty in NO<sub>2</sub> stratospheric columns from the PRATMO model, based on typical differences with OSIRIS limb measurements of NO<sub>2</sub> (Bourassa et al., 2011).

Uncertainties in CMAQ surface mixing ratios also directly impact uncertainties in the GeoTASO-inferred surface mixing ratios that will be presented in Sect. 5.2.2. Figure 10 shows the mean bias of CMAQ NO<sub>2</sub> relative to surface observations as a function of local time, derived from all sites and over all days during the campaign. Uncertainties in the model surface estimates vary by time of day and are largest in the morning hours. Comparisons between CMAQ surface mixing ratios and the in situ surface NO<sub>2</sub> observations during DISCOVER-AQ derived from all sites indicate that uncertainties at 09:00 LT are on the order of 6 ppbv, but decrease rapidly by 10:00 LT, with biases  $< 1$  ppbv over most of the flight. Gross error is on the order of 2 ppbv during the most of the GeoTASO flight times.

#### 4.6.3 Total uncertainty

We calculate total uncertainty estimates for each observation using the uncertainties in individual column and AMF terms by propagation of errors through Eq. (7). Assuming errors are uncorrelated, we estimate that total uncertainties in NO<sub>2</sub> tropospheric columns for relatively clean cloud-free pixels ( $< 0.3 \times 10^{16}$  molecules cm<sup>-2</sup>) range from 45 % to  $>100$  %. Uncertainties in moderately polluted pixels ( $0.3 - 1 \times 10^{16}$  molecules cm<sup>-2</sup>) range from 30 to 80 %, while uncertainties in heavily polluted pixels ( $> 1 \times 10^{16}$  molecules cm<sup>-2</sup>) are 27–40 %.



**Figure 10.** Mean bias in NO<sub>2</sub> surface mixing ratio of CMAQ simulations relative to surface observations averaged at all campaign validation sites. The pale pink (a.m.) and blue (p.m.) shadings indicate the time range of GeoTASO flights, while the darker shadings indicate the time range of coincident GeoTASO and surface observations.

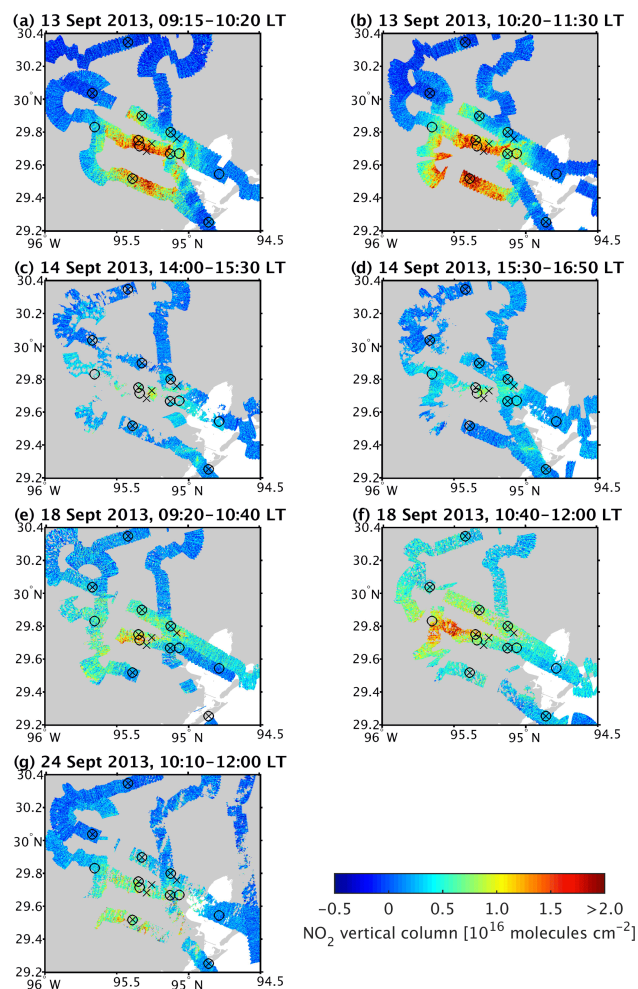
## 5 Results and validation

### 5.1 Urban NO<sub>2</sub> observations

Figure 11 shows NO<sub>2</sub> tropospheric vertical columns derived from GeoTASO during 4 days of DISCOVER-AQ flights over the Houston area. The 13 September was the day with the highest levels of detected NO<sub>2</sub> and also the day most free of clouds. Figure 12 shows an enlarged view of the first overpass of this day over urban Houston. In these 13 September flights, the two most polluted overpasses occur over downtown Houston and several large freeways (I-10, I-45, I-69, I-610) and their interchanges. The more southern polluted overpass occurs over the more rural and suburban Route 6, and the Manvel Croix science site. Although there are few local sources of pollution, the suburban Manvel region of Houston regularly has some of the highest ozone concentrations in the Houston area (Sather and Cavender, 2012).

While the majority of NO<sub>2</sub> appears correlated with roads and highly populated areas, enhanced levels of NO<sub>2</sub> are also detectable over industrial facilities, including those to the east of Houston near the entrance of the Houston ship channel, and the petrochemical manufacturing and petroleum refineries at Texas City near Galveston Bay.

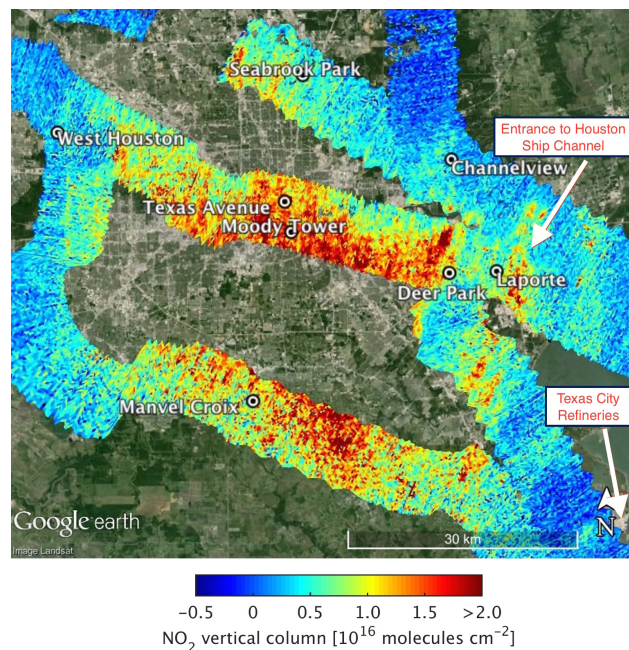
Aerosol optical depths measured by the HSRL lidar on the NASA King Air at 532 nm and by an Aerosol Robotic Network (AERONET) instrument (<http://aeronet.gsfc.nasa.gov/>) at Moody Tower at 441 nm indicate that maximum aerosol optical depths (AOD) were as large as 0.4 on 13 September and 0.7 on 14 September. HSRL data indicate that a smoke plume present at 2–3 km on the 13th contributed to the larger AOD on this day, and also show significant urban pollution aerosols in the boundary layer. The smoke plume remained on the 14th, but HSRL data indicate that urban pollution aerosols at lower altitudes were the main contributor to the AOD. At these AODs, NO<sub>2</sub> AMF biases calculated by Lin et al. (2014) are typically within  $\pm 25$  %. Aerosol AOD



**Figure 11.** GeoTASO NO<sub>2</sub> tropospheric vertical columns at 250 m × 250 m resolution with a precision of  $2.2 \times 10^{15}$  molecules cm<sup>-2</sup>, retrieved for cloud-free observations over Houston during the DISCOVER-AQ campaign. Columns determined from fewer than 20 co-added native pixels (uncertainty  $> 5 \times 10^{15}$  molecules cm<sup>-2</sup>) are excluded. Locations of DISCOVER-AQ science ground sites are shown with black circles, and locations of TCEQ SLAMS in situ monitors are shown with black crosses.

measured during the 18 and 24 September flights was much smaller ( $< 0.15$ ).

A full assessment of the effects of aerosols on the GeoTASO NO<sub>2</sub> air mass factors is beyond the scope of this paper. However, a large amount of aerosol data collected during the DISCOVER-AQ and the concurrent SEAC4RS (Studies of Emissions, Atmospheric Composition, Clouds and Climate Coupling by Regional Surveys) field campaigns over the southern United States is available for a future detailed study on the effects of aerosols on these aircraft retrievals. These results will be of particular interest when assessed in combination with the independent aerosol retrievals currently under development for GeoTASO (Hou et al., 2016).



**Figure 12.** Enlarged view of Fig. 8a showing GeoTASO NO<sub>2</sub> tropospheric vertical columns during first Houston overpass on 13 September 2013 and DISCOVER-AQ ground stations in the center of the measurement region. The wave-like pattern in geolocation along the flight track is due to changes in instrument pointing from aircraft roll.

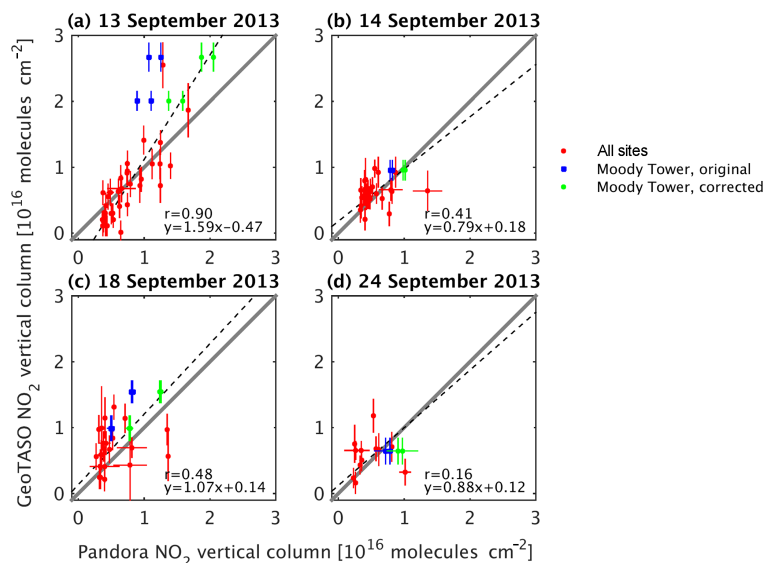
## 5.2 Urban NO<sub>2</sub> comparisons

This section presents comparisons of GeoTASO NO<sub>2</sub> with observations made from other instruments during the campaign. Table 7 presents a summary of the results of the comparisons with ground-based and airborne instruments which will be discussed in the following sections. Ground-based observations are compared with coincident GeoTASO observations when they fall within GeoTASO's 250 m × 250 m footprint.

### 5.2.1 Ground-based column observations

Figure 13 shows a comparison between GeoTASO total columns and Pandora total columns measured from the ground. GeoTASO total columns are the sum of the retrieved tropospheric column and the modeled stratospheric column. The stratospheric column is generally on the order of  $3 \times 10^{15}$  molecules cm<sup>-2</sup> for these observations.

The original 13 September data contain five noticeable measurement outliers, where GeoTASO measures 2–3 times more NO<sub>2</sub> than the Pandora spectrometer. Four of these five observations occur at the Moody Tower science site at the University of Houston (the fifth is at the Manvel Croix site), where two Pandora spectrometers made observations of total column above the towers from an altitude of 70 m. At



**Figure 13.** Pandora NO<sub>2</sub> vertical columns vs. GeoTASO NO<sub>2</sub> total vertical columns (retrieved tropospheric column plus modeled stratospheric column) for cloud-free observations during four Houston urban flights during DISCOVER-AQ 2013. Data collected at the Moody Tower site (70 m) have been corrected for the partial NO<sub>2</sub> column below the tower (see text). GeoTASO error bars represent fitting uncertainties. The gray solid line represents the 1 : 1 ratio. The black dashed line represents a reduced major axis linear regression using all sites, including corrected Moody Tower data.

this downtown location with high levels of NO<sub>2</sub>, we find that Pandora observations must be corrected for the large partial NO<sub>2</sub> column below the tower. The Pandora total column is corrected by the addition of the column below 70 m as determined from in situ observations collected every 5 min by the University of Houston from both the top and base of the towers. The in situ observations indicate that NO<sub>2</sub> was generally well mixed below 70 m during these observations, and we determine the partial column from the product of the mean in situ mixing ratio and the CMAQ air column below 70 m. The partial columns below 70 m can be a significant component of the total column ( $8.0 \times 10^{15}$  molecules cm<sup>-2</sup> during the first GeoTASO overpass and  $4.8 \times 10^{15}$  molecules cm<sup>-2</sup> during the second on 13 September), and their application leads to significant improvement in the agreement with GeoTASO total columns, although on 13 September GeoTASO columns do remain somewhat high relative to Pandora.

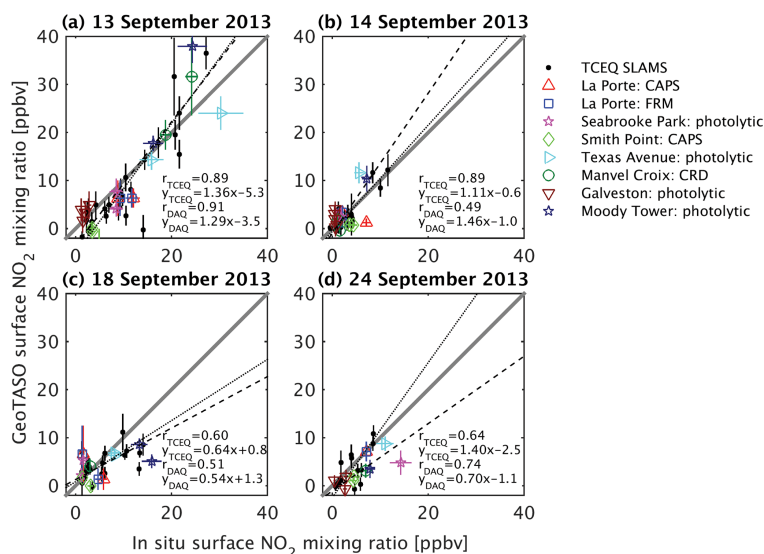
There is a good correlation on 13 September between Pandora and GeoTASO total columns ( $r = 0.90$ ), when NO<sub>2</sub> amounts were largest over the measurement sites. Correlations are fair for the less polluted days of 14 and 18 September ( $r = 0.41$  and  $r = 0.48$ ), while the correlation on 24 September is not statistically significant. The larger range of vertical columns on 13 September relative to other days allowed the observations to be more discernible from measurement noise. Overall, the correlation is  $r = 0.73$ , and several high GeoTASO observations of NO<sub>2</sub> on 13 September relative to Pandora total column cause GeoTASO total columns to be about 30 % larger than those of Pandora. This high bias

relative to Pandora could be due to factors such as different viewing geometry and spatial resolution combined with the spatial inhomogeneity of NO<sub>2</sub>, instrument vertical sensitivity and air mass factor uncertainties or stratospheric NO<sub>2</sub>. Aerosol optical depth is relatively high on 13 September, with a smoke plume aloft and urban aerosol in the boundary layer, leading to larger uncertainties in the AMF calculation.

It is also useful to consider these results in light of geostationary validation comparisons, such as those planned for TEMPO. Generally, higher pollution levels result in larger variability and a larger NO<sub>2</sub> range, and therefore a higher potential correlation between GeoTASO and coincident ground-based observations. TEMPO will have a much coarser spatial resolution ( $2.1 \times 4.5$  km<sup>2</sup> at the center of the field of regard) and a higher precision ( $< 1 \times 10^{15}$  molecules cm<sup>-2</sup>). TEMPO will likely see similar features when compared with surface observations (a correlation that typically increases with an increasing range of NO<sub>2</sub>), but may see a reduced slope, due to coarser spatial resolution, and a reduced correlation coefficient, due to both coarser spatial and temporal resolutions than those of GeoTASO.

## 5.2.2 Surface observations

Of particular interest for satellite missions is the potential to infer surface concentrations from column observations of NO<sub>2</sub>, which is useful for air quality applications and can be validated using relatively dense in situ data networks. Several applied studies have used the method of Lamsal et al.



**Figure 14.** In situ surface NO<sub>2</sub> mixing ratios from the TCEQ SLAMS network and DISCOVER-AQ campaign research instrumentation vs. inferred surface NO<sub>2</sub> mixing ratios from GeoTASO for cloud-free observations during four Houston urban flights during DISCOVER-AQ 2013. Correlation coefficients and regression results are shown for TCEQ monitors (TCEQ) and for the CAPS, photolytic converter and CRD monitors deployed during DISCOVER-AQ (DAQ). GeoTASO error bars represent fitting uncertainties. The gray line represents the 1 : 1 ratio. The black dashed (DISCOVER-AQ sites) and black dotted (TCEQ SLAMS) lines represent reduced major axis linear regressions.

(2008) to examine exposure, emission sources and deposition (Bechle et al., 2013; Lamsal et al., 2013; McLinden et al., 2014; Nowlan et al., 2014). This approach uses OMI NO<sub>2</sub> columns in combination with a chemical transport model to infer OMI surface NO<sub>2</sub>, and has been validated using surface in situ data (Lamsal et al., 2008, 2010, 2015).

We apply this method to infer surface concentrations  $S_{GT}$  using GeoTASO NO<sub>2</sub> tropospheric columns and the CMAQ model profiles. This is done by scaling the lowermost CMAQ surface concentration  $S_{CMAQ}$  ( $\sim 11$  m altitude) by the ratio of the GeoTASO tropospheric column  $V_{GT}$  to the CMAQ tropospheric column  $V_{CMAQ}$  using

$$S_{GT} = S_{CMAQ} \frac{V_{GT}}{V_{CMAQ}}. \quad (11)$$

Figure 14 shows a comparison of inferred GeoTASO surface concentrations with in situ data collected at the surface, for both the TCEQ SLAMS network and science instruments deployed during the DISCOVER-AQ campaign. The polluted 13 September day shows very good correlation between the GeoTASO data and both the TCEQ and campaign science instrumentation ( $r = 0.89$  and  $0.91$  respectively). Biases are generally about 30%. The agreement is quite good with the Texas Avenue photolytic, Moody Tower photolytic and Manvel Croix CRD instruments, which measure the largest NO<sub>2</sub> concentrations on 13 September. Correlations between GeoTASO and the TCEQ sites are quite good on other days ( $r = 0.60$ – $0.89$ ), while correlations with DISCOVER-AQ in situ instrumentation are slightly lower ( $r = 0.49$ – $0.74$ ), mostly due to fewer coincident observations at high NO<sub>2</sub> values.

All surface in situ correlations are significant at the  $p < 0.05$  level, with the exception of DISCOVER-AQ observations on 18 September. Overall, both TCEQ and DISCOVER-AQ observations are well correlated with GeoTASO surface estimates ( $r = 0.85$ ), with GeoTASO 14% higher than in situ observations at locations of elevated NO<sub>2</sub>.

Several factors can lead to observed differences between GeoTASO and in situ observations, in addition to the uncertainties discussed in Sects. 4.6 and 5.2.1. Biases in CMAQ surface concentrations can propagate to GeoTASO-inferred concentrations. On most days, inferred GeoTASO surface concentrations at low ambient NO<sub>2</sub> concentrations are biased low relative to the in situ observations. CMAQ surface concentrations at background levels are similarly biased low relative to the in situ observations on these days, and the bias propagates to the derived GeoTASO surface concentrations. In addition, comparisons may be artificially improved by the fact that the correlation between the model surface concentration and vertical column may be greater than the correlation between the “true” in situ observed concentration at the surface and the profile (observed by the P-3B instruments). Flynn et al. (2014) found that CMAQ profiles during the DISCOVER-AQ Maryland 2011 campaign tended to be more well mixed with altitude than the P-3B profiles. NO<sub>2</sub> temporal variability and inhomogeneity within an aircraft footprint can also play a large role in uncertainties when aircraft observations are compared with in situ observations at urban locations. For instance, the Moody Tower in situ observations on 18 September varied between 5 and 45 ppbv within 10 min of the second GeoTASO overpass.



**Table 7.** Summary of NO<sub>2</sub> comparisons between Pandora total column observations, in situ surface validation mixing ratios and GCAS aircraft slant columns with GeoTASO observations.

	13 September	14 September	18 September	24 September	All dates
Pandora total column					
<i>N</i>	39	35	31	21	136
<i>r</i>	0.90	0.41	0.48	0.16	0.73
<i>p</i> value	< 0.001	0.01	0.01	0.57	< 0.001
Slope	1.59	0.79	1.07	0.88	1.31
Intercept (10 <sup>16</sup> molecules cm <sup>-2</sup> )	-0.47	0.18	0.14	0.12	-0.13
TCEQ surface sites					
<i>N</i>	26	23	21	12	82
<i>r</i>	0.89	0.89	0.60	0.64	0.85
<i>p</i> value	< 0.001	< 0.001	0.004	0.03	< 0.001
Slope	1.36	1.11	0.64	1.40	1.14
Intercept (ppbv)	-5.3	-0.6	0.8	-2.5	-1.9
DISCOVER-AQ surface sites					
<i>N</i>	17	16	11	10	54
<i>r</i>	0.91	0.49	0.51	0.74	0.85
<i>p</i> value	< 0.001	0.05	0.11	0.02	< 0.001
Slope	1.29	1.46	0.54	0.70	1.14
Intercept (ppbv)	-3.5	-1.0	1.3	-1.1	-1.8
GCAS slant column					
<i>N</i>	48 555	929	18 111	9725	77 320
<i>r</i>	0.84	0.25	0.72	0.53	0.81
<i>p</i> value	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001
Slope	0.94	1.41	0.82	1.24	0.91
Intercept (10 <sup>16</sup> molecules cm <sup>-2</sup> )	-0.01	0.03	-0.08	-0.01	-0.02

*N*: number of coincident measurements

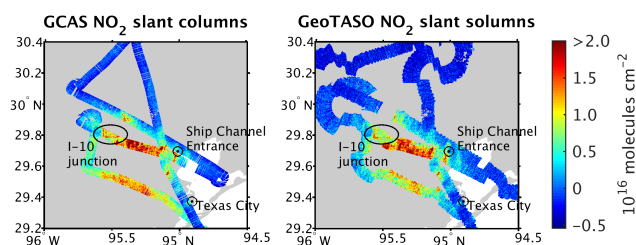
*r*: correlation coefficient

slope and intercept: results of reduced major axis linear regression of observations vs. GeoTASO.

### 5.2.3 Aircraft observations

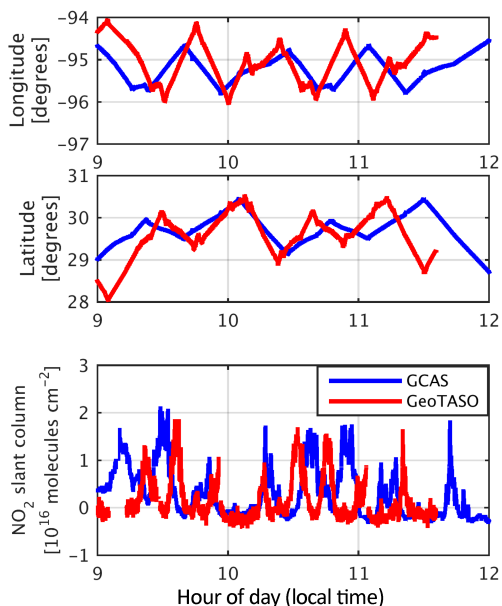
The NASA Falcon made several flights coincident with the NASA King Air B200 carrying the GCAS instrument during the campaign. In this section we present comparisons with preliminary NO<sub>2</sub> retrievals from the GCAS instrument, which was also participating in its first field campaign since its modification from the ACAM instrument. As summarized in Table 7, the 13 September flights had the largest number of coincident observations. This was due to cloud-free conditions and tight flight coincidences in space and time. Figure 15 shows GCAS (King Air B200 flying at 9 km)- and GeoTASO (NASA Falcon flying at 11.3 km)-retrieved NO<sub>2</sub> slant columns for the first overpass of Houston on 13 September 2013 at the GCAS resolution of 250 m × 500 m. These GeoTASO slant columns correspond to those data shown in Fig. 11a, before the AMF is applied.

The GeoTASO fitting algorithm is used to retrieve GCAS slant columns, with identical fitting parameters and wavelength region. The GCAS retrievals use a single mean nadir

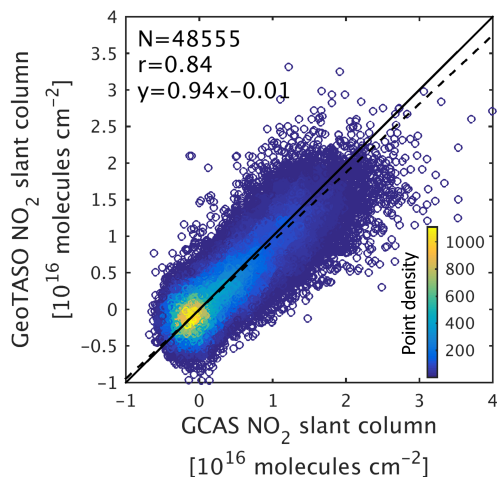


**Figure 15.** GCAS and GeoTASO retrieved NO<sub>2</sub> slant columns at 250 m × 500 m resolution during their first Houston overpasses on 13 September 2013 between 09:00 and 10:20 LT (LT = UTC - 5 h).

reference spectrum collected during a pass over the relatively clean Gulf of Mexico. Like GeoTASO, the retrieved GCAS slant columns also show a persistent background offset. However, in the case of GCAS, we did not find striping as with GeoTASO, but rather that the offset varied smoothly across the field of view from 0 to  $1.5 \times 10^{16}$  molecules cm<sup>-2</sup>. The derived offset is removed from the GCAS slant columns



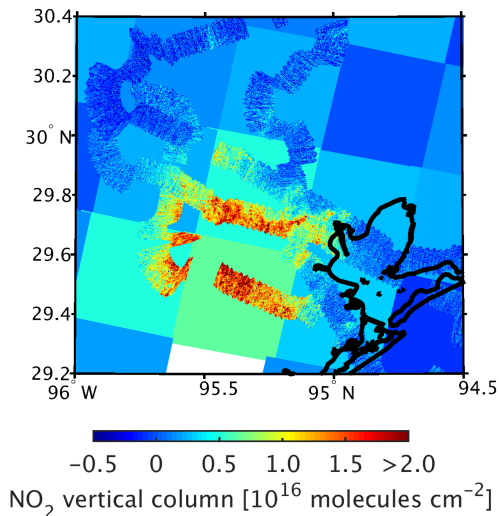
**Figure 16.** GCAS and GeoTASO (a) longitude; (b) latitude; and (c) retrieved NO<sub>2</sub> slant column as a function of time during the two morning 13 September 2013 Houston overpasses.



**Figure 17.** GCAS vs. GeoTASO retrieved NO<sub>2</sub> slant columns during the two morning 13 September 2013 Houston overpasses for nearest coincident observations within 10 min and 500 m. The black solid line represents the 1 : 1 ratio. The black dashed line represents a reduced major axis linear regression.

in the data presented in this section, with a correction applied for background slant column density derived from the CMAQ model.

Figure 16 shows the GCAS and GeoTASO geolocation data and NO<sub>2</sub> slant columns averaged across track as a function of local time during the two morning overpasses. The offset in geolocation vs. time between the two aircraft is clearly visible in the NO<sub>2</sub> peaks. At the beginning of the



**Figure 18.** GeoTASO NO<sub>2</sub> tropospheric vertical columns at 250 m × 250 m resolution from 10:20 to 11:30 LT, retrieved for cloud-free observations on 13 September plotted over GOME-2/Metop-A tropospheric vertical columns where radiative cloud fraction is less than 0.5.

overpass, GeoTASO was measuring approximately 10 min after GCAS, but just after 10:00 LT the Falcon aircraft overtook the King Air, and the later NO<sub>2</sub> observations are shifted by as much as 20 min. Figure 17 shows the GCAS slant column plotted vs. its closest GeoTASO coincidence within 10 min and 500 m. On 13 September, the slant columns are well correlated ( $r = 0.84$ ) and agree well in magnitude, with GeoTASO slant columns on average about 6% less than GCAS slant columns. Overall, data from all days result in a correlation of  $r = 0.81$ , with GeoTASO 9% lower than GCAS. The comparisons remain similar for tighter coincidence criteria.

On the whole, slant columns between the two instruments agree very well in magnitude, although there are some differences. For instance, in Fig. 15, the southernmost flight legs, which occurred early in the flight (over Manvel Croix, south of downtown Houston), show some differences in NO<sub>2</sub> distribution between the two instruments which is likely due to highly variable NO<sub>2</sub> and slightly different overpass times. Several local sources are easily detectable in both instruments, including petrochemical manufacturing and refining facilities in Texas City and near the Houston Ship Channel. Both instruments show a clear enhancement in NO<sub>2</sub> over the junction of two large highways to the west of Houston's center: the I-10 and the Sam Houston Parkway ring road. Interestingly, although NO<sub>2</sub> is still detectable over those roads in the vertical column in Fig. 11, its abundance relative to other areas decreases significantly after the MODIS BRDF has been applied at high spatial resolution, which attempts to account for the effect of surface reflectivity on the retrievals, as these particular highways are flanked by bright buildings

bordering darker forests and fields which cause their slant columns to be particularly pronounced relative to their surroundings.

#### 5.2.4 Satellite observations

The only GeoTASO Houston flight that had coincident cloud-free satellite observations was the second Houston overpass on 13 September 2013, which occurred during a GOME-2/Metop-A observation of the Houston area at 11:00 LT. (The 14 September flight had a coincident overpass of OMI, but the OMI data are cloudy over the flight area.) Figure 18 shows the GeoTASO NO<sub>2</sub> tropospheric column plotted over the 40 km × 40 km GOME-2 tropospheric columns for this overpass, and illustrates the potential inhomogeneity within a GOME-2 ground pixel. The precision of these GOME-2 NO<sub>2</sub> tropospheric columns is about  $1 \times 10^{15}$  molecules cm<sup>-2</sup>. A quantitative comparison of the two retrievals is made difficult by the geographic distribution of the GeoTASO data and very different spatial resolutions; however, enhancements in GOME-2 NO<sub>2</sub> are consistent with large GeoTASO columns, and mean values are reasonable considering the obvious spatial variability in NO<sub>2</sub> within a GOME-2 pixel.

## 6 Summary and conclusions

We have presented the first trace gas retrieval results from the GeoTASO airborne instrument using data collected during the DISCOVER-AQ field campaign based in Houston, Texas, during September 2013. We first retrieve differential NO<sub>2</sub> slant columns from the ratio of GeoTASO cloud-free nadir spectra to a mean zenith sky reference spectrum and co-added to 250 m × 250 m resolution after a cross-track striping correction, with a resultant precision of  $\pm 2.2 \times 10^{15}$  molecules cm<sup>-2</sup>. We then determine vertical columns below the aircraft from slant columns using air mass factors derived from a radiative transfer calculation with tropospheric profiles from the CMAQ model and high-resolution MODIS surface BRDFs. Total uncertainties in moderately polluted NO<sub>2</sub> vertical columns ( $0.3 - 1 \times 10^{16}$  molecules cm<sup>-2</sup>) are in the range of 30–80 %, while uncertainties in heavily polluted columns ( $> 1 \times 10^{16}$  molecules cm<sup>-2</sup>) vary from 27 to 40 %.

Data from the overflights of Houston show good agreement with ground-based observations. Comparisons with total column NO<sub>2</sub> measured by ground-based Pandora spectrometers show good agreement overall, with  $r = 0.73$  for all four measurement days during the campaign. The correlation is very good ( $r = 0.90$ ) for the most polluted day with the largest range of elevated NO<sub>2</sub> columns. Little bias is observed except for a slight overestimation of NO<sub>2</sub> by GeoTASO relative to the Pandora instrument at the very highest NO<sub>2</sub> columns ( $> 2 \times 10^{16}$  molecules cm<sup>-2</sup>). We also infer surface mixing ratios by scaling the ratio of CMAQ-modeled

NO<sub>2</sub> surface mixing ratios by the ratio of GeoTASO column to the modeled column. These GeoTASO-inferred surface mixing ratios correlate well with “true” NO<sub>2</sub> mixing ratios measured in situ at the surface during the campaign, with  $r = 0.85$  for comparisons with both the TCEQ SLAMS network and DISCOVER-AQ campaign instrumentation. Overall, GeoTASO-inferred surface NO<sub>2</sub> is 14 % larger than mixing ratios measured in situ.

Preliminary slant column retrievals from the GCAS instrument on the King Air B200 aircraft agree well in magnitude and distribution with GeoTASO observations taken close in time ( $r = 0.81$  and slope = 0.91). We also present an overpass of GOME-2 NO<sub>2</sub>. Even though direct comparison is difficult with the satellite data due to the geographic distribution of GeoTASO observations, the spatial distribution and magnitude of the NO<sub>2</sub> columns are reasonable.

Future work with GeoTASO trace gas retrievals will involve further analysis of GeoTASO data from the Colorado DISCOVER-AQ campaign, where the instrument flew on a number of flights, as well as validation of other molecules absorbing in the ultraviolet. GeoTASO also participated as a component of NASA’s contribution to the KORUS-AQ air quality field campaign over the Republic of Korea in 2016.

## 7 Data availability

GeoTASO trace gas products are available from the DISCOVER-AQ data archive at <http://www-air.larc.nasa.gov/missions/discover-aq/discover-aq.html>.

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