

Reprocessing of Suomi NPP CrIS Sensor Data Records to Improve the Radiometric and Spectral Long-term Accuracy and Stability

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Abstract—Since early 2012, the Cross-track Infrared Sounder (CrIS) on board the Suomi National Polar-Orbiting Partnership (S-NPP) Satellite has continually provided the hyperspectral infrared observations for profiling atmospheric temperature, moisture and greenhouse gases. In this study, the CrIS Sensor Data Record (SDR) data are improved for climate applications with its fine-tuning of calibration coefficients in a NOAA reprocessing project. A specific software system was developed to reprocess the CrIS SDR. This software system was updated with a new calibration algorithm, non-linearity, and geolocation to improve the SDR data quality and long-term consistency. The calibration coefficients are refined with the latest updates which were used to calibrate the latest operational SDR products, and replace those in the Engineering Packet (EP) in the Raw Data Record (RDR) data stream. The resampling wavelength was updated based on the metrology laser wavelength and resulted in zero sampling error in the spectral calibration. All the historical SDRs (from February 2012 to March 2017) were generated with the same calibration coefficients and same version of processing software system, resulting in improved accuracy and stability in terms of spectral and radiometric calibration during the CrIS life-time mission. The quality of the reprocessed CrIS SDR data at nominal

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spectral resolution (NSR) is assessed in terms of its radiometric and spectral calibration. Comparisons against the operational SDR data are carried out to demonstrate the improved long-term stability of the reprocessed CrIS SDR data. Overall radiometric biases are found to be small and highly stable over the instrument mission, the FOV-to-FOV differences are less than ~ 10 mK, and much better than that from the operational SDR data. It is shown that the CrIS metrology laser wavelength varies within 4 ppm as measured by the neon calibration system. The reprocessed SDR data have spectral errors less than 0.5 ppm, which is much better than the operational SDR data with about 4 ppm. This baseline version of the reprocessed SNPP CrIS SDR data is suitable for long-term climate monitoring and model assessments, and can provide an infrared reference observation to assess other narrow- or broad-band infrared instruments' calibration accuracy.

Index Terms— CrIS, Calibration, Radiometric and Spectral Calibration, SDR Reprocessing, Accuracy and Stability

I. INTRODUCTION

The Cross-Track Infrared Sounder (CrIS) is a Fourier transform spectrometer (FTS) on board the Suomi National Polar-Orbiting Partnership (S-NPP) satellite, which was launched on 28 October 2011. Since 19 April 2012, the Joint Polar Satellite System (JPSS) ground processing system called the Interface Data Processing Segment (IDPS) has continuously generated the CrIS Sensor Data Record (SDR) and has delivered it to user communities.

CrIS measures the spectrum in three infrared (IR) bands simultaneously: long-wave infrared (LWIR) band at $650\text{-}1095\text{ cm}^{-1}$, middle-wave infrared (MWIR) band at $1210\text{-}1750\text{ cm}^{-1}$, and short-wave infrared (SWIR) band at $2155\text{-}2550\text{ cm}^{-1}$. For each scan, CrIS collects 30 earth scene, 2 deep space and 2 internal calibration target field of regards (FORs) by an arranged 3×3 detector array (or 9 fields of view (FOVs)). It provides a total of 1305 channels in the nominal spectral

TABLE I
MAJOR SOFTWARE AND CALIBRATION COEFFICIENTS UPDATES

Date	Description
31 January 2012	EP v32: Updates of the Programmable Gain Amplifier (PGA) settings and bit trim table (BTM)
2 April 2012	Mx5.3: First IDPS SDR product with geolocation fix
11 April 2012	EP v33: NL coefficients and ILS parameters updates
18 April 2012	CrIS on-orbit FIR digital filter update
19 April 2012	SDR product achieving Beta maturity status
27 June 2012	EP v34: First temperature drift limit updates
15 October 2012	Mx6.3: Geolocation error correction and imaginary QC algorithm in operation
25 October 2012	EP v35: Second temperature drift value changed from 1 to 4; Boresight Yaw and Pitch angle changed
31 January 2013	SDR product achieving Provisional maturity status
22 March 2013	PCT update: MW imaginary QC threshold increased to ± 0.88 from ± 0.5
10 July 2013	Mx7.1: Implementation of the full resolution truncation module
14 November 2013	Mx8.0: Time stamp overflow fix; archive reference laser wavelength in CMO file
20 February 2014	Mx8.2: errors fixed in the ILS correction calculations and use a reformulation of the nonlinearity correction equation

	EP v36: ILS parameters and a2 coefficients corresponding to the new nonlinearity correction equation SDR product achieving Validated maturity status
4 December 2014	CrIS operational on full spectral interferogram mode, IDPS only generated NSR SDR (866, 530, 202), STAR generated the off-line FSR SDR (866, 1052, 799 for the full interferogram data points)
4 November 2015	CrIS extended interferogram with extra data points (874, 1052, and 808) to improve the spectral ringing effect on band edge and evaluate the calibration algorithms
8 March 2017	IDPS Block 2.0 Mx0: IDPS generated both CrIS NSR SDR (CrIS-SDR) and FSR SDR (CrIS-FS-SDR). Both products were using calibration algorithm Eq. (1). The CMO and EngPkt output are separated and resampling wavelength is using the metrology laser wavelength and there is no 2 ppm requirement to rebuild the CMO
10 April 2017	Block 2.0 Mx1: CrIS SDR FOV Remapping; Reorder CrIS Calibration Equations; NSR: algorithm Eq. (1) FSR: algorithm Eq. (2) with 866, 1052, and 799 interferogram data points
7 June 2017	EP 37: mapping angle parameters uploaded to synchronize with the IDPS Block 2.0 Mx1, which included an update to the CrIS SDR FOV mapping

resolution (NSR) mode for sounding the atmosphere with spectral resolution at 0.625, 1.25, and 2.5 cm^{-1} at three bands, respectively. The CrIS instrument can also be operated in the full spectral resolution (FSR) mode, in which the MWIR and SWIR band interferograms are recorded with the same maximum optical path difference as the LWIR band and with spectral resolution of 0.625 cm^{-1} for all three bands (total 2211 channels) [1].

Measurements from hyperspectral infrared sensors such as CrIS, the Infrared Atmospheric Sounding Interferometer (IASI), and the Atmospheric Infrared Sounder (AIRS) provide critically important temperature and water vapor information for improving numerical weather prediction (NWP) forecast results [2-4], and are becoming a significant part of the long-term climate record [5-6]. These sensors can also be used as space references to calibrate and validate other IR sounders [7-9]. All these applications require the hyperspectral infrared measurements with high and stable calibration accuracy.

Previous studies have demonstrated that CrIS SDR data have high calibration accuracy in radiometric [10-11], spectral [12-13], and geometric calibration [14-15], as well as excellent noise performance [16-17]. All of those make the SDR data an exceptional asset for weather applications. Nevertheless, the operational IDPS CrIS SDR data quality and calibration accuracy were continuously improved due to the algorithm and software improvements, especially during the intensive calibration and validation (ICV) period (before 20 Feb 2014). While the operational SDR data that is produced by IDPS is of adequate quality for weather prediction, it can be further refined so that the subtle climate signal can be captured and analyzed. Therefore, it becomes very necessary to reprocess the CrIS SDRs data with the fine-tuned calibration coefficients and all the major software improvements to provide an improved and consistent new dataset for climate and other important applications.

In this paper, S-NPP CrIS SDR are reprocessed in a NOAA reprocessing project during the period from 21 February 2012 to 8 March 2017. The paper is organized as follows. Section II summarizes all the software improvements as well as the updates of the calibration coefficients in the reprocessing system. The improvements of SDR overall data quality, radiometric and spectral accuracy and stability in the reprocessed SDR are presented in Section III. Section IV concludes the paper.

II. IMPROVEMENTS IN CRIS SDR REPROCESSING SYSTEM

Before S-NPP CrIS SDR products reached validated status on 20 February 2014, the calibration coefficients in Engineering Packet (EP), SDR algorithm and software were continuously refined and improved. During the intensive calibration and validation phase, the SDR product was validated and released to the public users at three maturity levels, named Beta, Provisional, and Validated, respectively. The Beta product is an early released product. At this maturity level, the product is minimally validated and may still contain significant errors. The Provisional product is an improvement over the Beta product, but it may not be optimal and incremental improvements are still occurring. At the Validated maturity level, the SDR product is well calibrated and validated, and uncertainties are characterized over a range of representative conditions. Table I lists important developments during ICV and after validated maturity until 7 June 2017 for EP version 37 upload and IDPS Block 2.0 Mx1 operational. Below we will describe their significances in improving SDR product quality in five aspects: calibration algorithm improvements, spectral calibration improvements, update of non-linearity (NL) coefficients, update of geolocation mapping angle parameters, and other calibration parameters and software improvements. The reprocessing software system and calibration coefficients are built upon from these improvements.

A. Calibration Algorithm Improvements

The Earth scene (ES) view measurements are calibrated radiometrically [18] with two known targets: the hot blackbody Internal Calibration Target (ICT), and the Deep Space (DS) view. Following radiometric calibration, spectral correction is then performed [1, 12]. The original operational calibration equation used for CrIS nominal spectral resolution SDR may be written in the following matrix equation form where the sequence of operations proceeds from right to left:

$$S_{ES} = SA^{-1} \# F \# \left(f \cdot \frac{\Delta C_{ES}}{\Delta C_{ICT}} \cdot B_{ICT} \right) \quad (1)$$

where ΔC_{ES} and ΔC_{ICT} are defined as

$$\Delta C_{ES} = (C_{ES} - \langle C_{DS} \rangle) / S_{\psi}, \quad \Delta C_{ICT} = (\langle C_{ICT} \rangle - \langle C_{DS} \rangle) / S_{\psi}.$$

In Eq. (1), C_{ES} , C_{ICT} and C_{DS} are the raw spectra, in digital units, when the instrument views ES, ICT and DS, respectively; S_{ψ} is the spectral response of the Finite Impulse Response (FIR) filter, a complex digital band-pass filter which is used to reject the out-band signals and its image pass band during the Fourier transform process. The quantities inside of the angled brackets $\langle \rangle$ correspond to the averaged ICT or DS raw spectra within a four minute, 30-scan calibration moving window. The main purpose of using the average of the ICT and DS views in the calibration process is to reduce the calibration target noise uncertainty. The function f represents the post radiometric calibration filter, which is used to suppress out-of-band noise amplification that occurs as a result of the $\Delta C_{ES} / \Delta C_{ICT}$ operation. This is necessary so that subsequent mathematical operations associated with spectral correction and spectral resampling do not introduce noise artifacts into the final result.

The CrIS instrument uses a laser metrology for interferogram sampling that is calibrated by an on-board neon gas emission source [12-13]. This provides calibration knowledge used to perform spectral correction and spectral resampling. F and SA^{-1} are the spectral resampling and self-apodization (SA) correction matrices in Eq. (1), respectively. B_{ICT} represents the ICT radiance spectrum calculated on channel grid centers that exist in the CrIS system prior to spectral correction. Due to slight spectral offsets unique to each CrIS FOV that exist prior to spectral correction, then the B_{ICT} radiance is calculated uniquely for each CrIS FOV in order to compensate for this effect.

In the Eq. (1), the radiometric calibration, $(\Delta C_{ES}/\Delta C_{ICT}) \cdot B_{ICT}$, is performed prior to the spectral calibration. This approach has three shortcomings that can be eliminated by reversing the order of calibration operations so that spectral correction/resampling is performed prior to radiometric calibration. The first shortcoming is that B_{ICT} must be empirically corrected for any off-axis FOV specific spectral shift as previously discussed when Eq. (1) is used. Secondly, out-of-band noise amplification must be mitigated prior to performing spectral correction when Eq. (1) is used. Lastly and most importantly, the spectral distortions introduced by the FTS measurement hardware act upon an input spectrum that is first optically and electrically filtered by the instrument responsivity function. Thus, spectral correction would best be performed on this native spectrum prior to removal of the instrument responsivity function that normally occurs when calculating $(\Delta C_{ES}/\Delta C_{ICT}) \cdot B_{ICT}$ as part of radiometric calibration. As a result, processing with Eq. (1) has resulted in spectral ringing artifacts, which are defined as spectral oscillations present in the un-apodized output SDRs [19]. It has been found that the ringing artifacts exceed the magnitude of nominal Sinc ILS ringing and that these ringing artifacts also depend on the optical path difference sweep direction [1, 19]. Ringing artifacts also increase for larger off-axis FOV angles. The classical approach for reducing these ringing artifacts is to apply an apodization function (such as Hamming) to SDRs.

To effectively reduce the ringing artifacts in the CrIS SDRs, a new calibration approach Eq. (2) was proposed by switching the order of radiometric calibration and spectral calibration [1, 20]. The new approach changes the order of spectral calibration and spectral resampling ($F\#f\#SA^{-1}$) and then first applies this to a phase corrected raw ES spectrum given by $\frac{\Delta C_{ES}}{\Delta C_{ICT}} \cdot |\Delta C_{ICT}|$. This is represented in the numerator of Eq. (2) where matrix operations progress from right to left. Similarly, the denominator of Eq.(2) performs spectral correction separately on the phase corrected ICT reference $|\Delta C_{ICT}|$ and does so using the same order of operations as the numerator. The division of numerator by denominator is performed last and this accomplishes the radiometric calibration as the next to last step. The radiometric scale is then provided by multiplying this result by $B_{ICT,req}$ to complete the radiometric calibration of the spectrum:

$$S_{ES} = B_{ICT,req} \cdot \frac{F\#f\#SA^{-1}\# \left(f \cdot \frac{\Delta C_{ES}}{\Delta C_{ICT}} \cdot |\Delta C_{ICT}| \right)}{F\#f\#SA^{-1}\# (f \cdot |\Delta C_{ICT}|)} \quad (2)$$

$B_{ICT,req}$ is the ICT radiance spectrum calculated on the user required spectral resolution grid.

Same as Eq. (1), the common phases from the complex spectra are effectively removed based on the radiometric model $\frac{\Delta C_{ES}}{\Delta C_{ICT}} \cdot |\Delta C_{ICT}|$ [18]. Different from Eq. (1), the phase corrected, spectrally corrected and spectrally resampled instrument responsivity function is implicitly included in Eq. (2) as represented by the denominator term combined with $B_{ICT,req}$. It acts as a filter to preserve the raw spectrum shape determined by the instrument optics system. The SA effect to the raw spectrum is to spread the radiance to lower frequencies [21], while the SA correction process redistributes the radiance back to the original spectral bins before the SA effect [22]. As a result, the instrument responsivity should be included in the SA correction process. In Eq. (2), the responsivity function is not only implicitly included in the SA correction process, but also implicitly removed by the division with the denominator that includes $|\Delta C_{ICT}|$ with SA correction process. It was found that compared to the calibration algorithm represented by Eq. (1), the improvement in new calibration algorithm represented by Eq. (2) reduces the radiometric calibration inconsistencies among the nine FOVs up to 0.5 K, and reduces the differences between observed and simulated spectra by up to 0.4 K [1]. The calibration equation in Eq. (2) was implemented as part of the operational IDPS Block 2.0 Mx1 on 10 April 2017.

B. Spectral Calibration Improvements

The spectral calibration is an essential component in the calibration algorithm [12-13] and includes three operations: post filter matrix, resampling matrix, and SA correction matrix. In CrIS SDR processing, the three matrices operating for post filter, resampling, and SA correction are combined into a single matrix ($F\#f\#SA^{-1}$ in Eq. (2)), referred as Correction Matrix Operator (CMO).

The post filter suppresses the noise signal in the guard bands that results from the radiometric calibration, which has no impact on the CrIS spectral accuracy. The SA correction matrix corrects the spectral distortion due to radiance beam divergence effect from the spectra. Although the SA correction matrix is a function of wavelength, simulations show that it is not very sensitive to the change of the resampling wavelength if the laser wavelength variation is small (less than 100 part-per-million (ppm)). For S-NPP, the laser wavelength variation during the life mission is below 4 ppm due to the excellent laser diode temperature control. As a result, the SA correction matrix can be just calculated once in the processing system at the beginning of the mission without further updates. The resampling matrix performs two functions: changing the spectral sampling to the required user resolution, and interpolating the spectrum from the sensor wavenumber grid onto the user-defined wavenumber grid. For CrIS spectra, the spectral resolution is defined as the spectral distance between two adjacent channels. The resampling matrix maps the spectra from the sensor grid to the user grid and therefore the amount of relative spectral error occurring in the raw spectra due to the metrology laser wavelength drifts is the same as that in the user grid after spectral resampling [13]. While the CrIS neon calibration system provides measurements of the laser wavelength periodically roughly once per orbit (109 minutes), the initial operational spectral calibration algorithm did not update the resampling matrix as often as the neon measurements were carried out. The spectral resampling matrix was only updated when the metrology wavelength drifted more than 2 ppm from the initial metrology laser wavelength. In order to take the laser wavelength variation into account, the spectral resampling matrix needs to be frequently updated to reflect the changes in the sensor spectral grid. In the updated CrIS SDR reprocessing algorithm, the spectral resampling matrix is recalculated whenever the metrology laser wavelength is updated by the CrIS on-board neon calibration system, which effectively and significantly reduces the spectral sampling error and improves the spectral uncertainty of the CrIS SDR data [13].

The SA correction matrix is strongly dependent on the geometry of the focal plane detectors. The geometry representing the exact alignment of the detectors to the interferometer boresight axis, including FOV size and offset angles, is referred to as instrument line shape (ILS). The ILS parameters were initially estimated during the instrument thermal vacuum testing (TVAC) by analyzing the gas cell measurements. Then, during the ICV period, the ILS parameters were refined by performing analysis of in-orbit Earth view spectra using both relative spectral calibration, with spectra from the center FOV 5 as references, and absolute spectral calibration, with simulated spectra from radiative transfer models [12] as references. Validation results have shown that the relative spectral calibration biases among FOVs is within 1 ppm. This value is small enough that NWP assimilation systems can treat different FOVs as a single system in terms of bias correction. As shown in Table 1, the ILS parameters have been updated several times during the ICV period, specifically, in the operational EP v33 (11 April 2012) and EP v36 (20 February 2014). Each update improves the spectral accuracy of the operational CrIS SDR radiance products. In the CrIS SDR reprocessing software system, the ILS parameters defined in the EP v36 are used to generate the reprocessed data.

C. Non-linearity Coefficients Update

The instrument nonlinearity arises from detectors, analog amplifier section, and analog to digital converter. The CrIS detectors are photovoltaic HgCdTe which produce an electric signal proportional to the radiation absorbed by the detectors. The detector responsivity dominates the instrument NL and this property is susceptible to change when the detectors are subjected to extreme temperature variation. This is particularly observed during TVAC activities, where the detectors are warmed up during inactivity and then cooled down

for data acquisition. Extensive analysis of CrIS pre-launch characterization data and of CrIS in-orbit data had been performed to characterize not only the magnitude of the quadratic nonlinearity but also the order (quadratic, cubic, etc) and character of the nonlinearity. For the pre-launch data collected during TVAC testing, two types of data were used. The first was Diagnostic Mode (DM) data which by-passed the normal numerical filtering and decimation processing to retain signal frequencies outside of the normal band-pass so that out-of-band harmonics can be analyzed. The out-of-band harmonics clearly showed that the order of the nonlinearity was quadratic and no other signals were observed at out-of-band frequencies which would indicate a cubic or higher order nonlinearity. This observation was validated with normal mode pre-launch data collected for a wide range of scene temperatures from 200K to 310K. In-orbit, DM data was also collected in the ICV phase to verify the pre-launch findings, and various assessments of the Earth view spectra (e.g. comparisons to other sensors, comparisons to calculated spectra, etc) were also used. Examples of the DM out-of-band harmonics were shown in [23], and details of the nonlinearity assessment were contained in [11]. Lastly, the non-linearity correction coefficients were refined further by performing analysis of in-orbit Earth view data. During this process, new nonlinearity coefficients were adjusted by using the most linear detector as a reference to minimize the radiometric FOV-to-FOV difference [11]. The correction to the detector nonlinearity effect is a scaling operation on the raw spectrum by a factor $(1+a_2V)$, where a_2 is a detector dependent constant (non-linearity coefficient) and V is the voltage produced solely from detector photon and dark currents, determined dynamically for each spectrum. Details of the NL correction of the CrIS instrument as well as its significance to the instrument radiometric uncertainty were reported in [11]. The NL correction algorithm in IDPS software system has been updated after 20 February 2014 (corresponding to EP v36), using the new scaling factor of $(1+a_2V)$. This differs from the old scaling factor $(1-a_2V)$. The correction algorithm update positively impacted the radiometric FOV-to-FOV performance, especially in LWIR channels. After performing radiometric FOV-to-FOV consistent analysis using in-orbit Earth view data, the NL coefficients were refined accordingly. Figure 1 shows the NL coefficients used in CrIS SDR reprocessing for LWIR and MWIR (red bar) as well as those in EP v33 (black bar) and EP v36 (green bar). The NL coefficients for SWIR can be negligible and currently set to zero. Notice that there are larger difference between EP v36 and EP v33 for LWIR mainly due to the change of the NL scaling factor. In the CrIS SDR reprocessing software system, the updated NL correction algorithm is applied to all the data. Only NL coefficient for FOV 7 at MWIR is reduced by 12% from EP v36 to improve the FOV-to-FOV consistency, other NL coefficients are kept the same as EP v36.

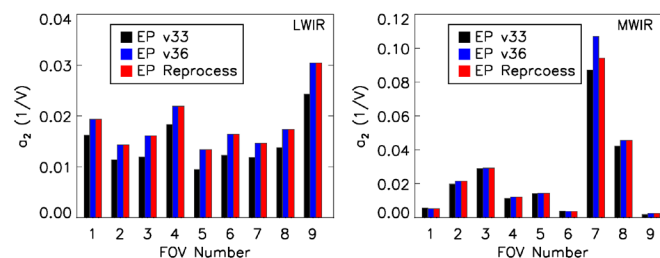


Fig. 1. Non-linearity coefficients in CrIS SDR reprocessing for LWIR and MWIR. Notice that there are larger difference between EP v36 and EP v33 for LWIR after adjustment using on-orbit data in order to make the FOV-to-FOV comparison more consistent. For reprocessing, only FOV 7 in MWIR is reduced 12% from EP v36, other coefficients are the same as EP v36.

(a)

(b)

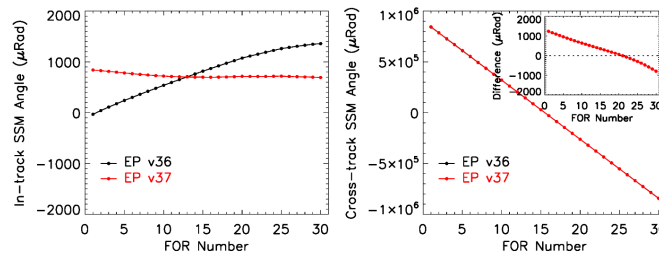


Fig. 2. Comparison of SSM mapping angles in EP v36 and EP v37: (a) in-track and (b) cross-track angles derived from geolocation assessment results as a function of FOR. Notice the angle differences in the cross-track direction are also showed in (b). In the CrIS SDR reprocessing system, the mapping angles in EP v37 are used.

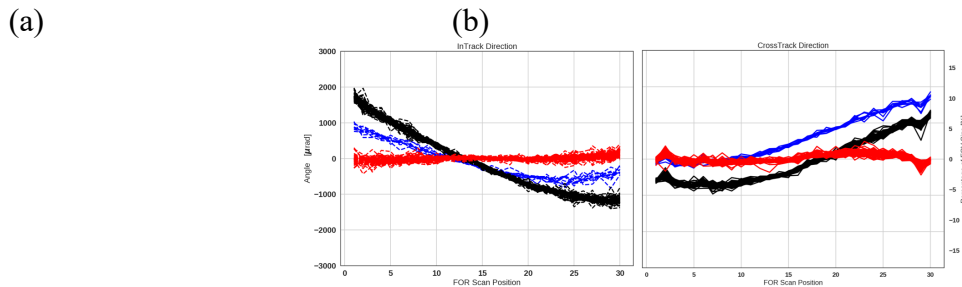


Fig. 3. CrIS Geolocation assessment results using VIIRS image band I5 geolocation as a truth, including (a) the offset angle in the in-track direction and (b) the offset angle in the cross-track direction. Blue curve: mapping angle parameters in EP v36 with IDPS Block 2.0 Mx0. Red Curve: mapping angle parameters in EP v37 with IDPS Block 2.0 Mx1. Black line: mapping angle parameters in EP v36 with IDPS Block 2.0 Mx1. Note that the FOV size is 16808 μrad , 1000 μrad is approximately 850 meter at nadir.

D. Geolocation Mapping Angle Parameters (MAP) Update

The geolocation mapping angles at the instrument-level were measured during the pre-launch test and were set as static values in the Engineering Packet. These parameters could be optimized and updated to account for the uncertainties of the pre-launch measurements and other on orbit factors (e.g., such as satellite launch drift, gravity effects, and thermal distortion) in order to reduce the systematic geolocation errors. To evaluate the post-launch on-orbit CrIS geolocation performance, the geolocation fields from the SNPP Visible Infrared Imaging Radiometer Suite (VIIRS) image band I5 were used as truth by taking advantage of its high spatial resolution (375 m at nadir), accurate geolocation [15], as well as it being on the same satellite platform. By performing perturbation of the CrIS line-of-sight vectors along the in-track and cross-track directions, an optimal position could be found where CrIS and VIIRS band I5 radiances match most closely. The perturbation angles at this best matched position can be treated as the CrIS geolocation error. The error characteristics along the scan positions in the in-track and cross-track directions are then used to adjust the CrIS mapping angle parameters [24]. Figure 2 shows the Scene Selection Mirror (SSM) in-track and cross-track direction mapping angles comparison for EP v36 and EP v37. It shows that the angle differences between EP v37 and EP v36 can reach up to $\sim 1000 \mu\text{rad}$ in both in-track and cross-track directions. It should be pointed out that the angles shown in Fig. 2 are computed based on geolocation assessment results from the operational IDPS Block 2.0 Mx1 geolocation produced with CrIS SDR FOV remapping correction in the software (see Table 1). Figure 3 shows CrIS geolocation assessment results using VIIRS image band I5 geolocation as a truth, including (a) the offset angle in the in-track direction and (b) the offset angle in the cross-track direction. In this figure, the blue curves are for the results using the MAP defined in EP v36 with IDPS Block 2.0 Mx0, and those results represent the operational geolocation error before 10 April 2017. The red curves are for the results using MAP in EP v37 with IDPS Block 2.0 Mx1, which includes an update to

the CrIS SDR FOV mapping correction and synchronizes with the MAP in EP v37 after 7 June 2017, and represent the operational geolocation error after 7 June 2017. The black curves are for the results using the MAP in EP v36 with IDPS Block 2.0 Mx1, and represent the geolocation error from the mismatch period between 10 April 2017 and 7 June 2017. Note that the detector diameter is 16808 μrad , and 1000 μrad offset is approximately 850 meter at nadir on Earth surface. The geolocation accuracy requirement is about 11% of FOV size on Earth surface for all scan position and 1.5 km at nadir. It is found that there is a relatively large error (~ 2 km) in the cross-track direction (blue curves) relative to VIIRS at the end of scan in EP v36. With the updated mapping angles in EP v37, the geolocation accuracy is greatly improved for all scan positions with less than 0.25 km. In the CrIS SDR reprocessing software system, the mapping angles parameters from EP v37 are used.

E. Other significant IDPS software and calibration coefficients updates

Table I lists the important S-NPP SDR algorithm and software improvements and calibration parameter refinement until 7 June 2017. The detailed descriptions of the S-NPP CrIS post-launch developments in SDR software and calibration coefficients updates before Provisional status were given in [10], and will not be repeated here. Below we briefly describe their significances in improving SDR product quality after the SDR product had achieved Provisional status on 31 January 2013.

On 15 October 2012, a new quality control (QC) algorithm was implemented in the SDR software to invalidate a spectrum if the magnitude of its imaginary radiance values exceeds a predefined threshold. The purpose of this QC algorithm implementation is to identify corrupted spectra caused by software, SDR processing errors, or observation anomalies before the errors can be fixed. On March 2013, the MWIR imaginary QC threshold was increased from 0.5 to 0.88 to effectively reduce the false alarm cases for some good spectra over hot scenes such as deserts. The new optimized threshold 0.88 was chosen by analyzing these hot scenes and to separate the false alarm cases with slight larger imaginary radiances exceeding the old threshold 0.5 from the saturated cases with distorted spectra. On 10 July 2013, an important module to truncate the CrIS extended interferograms into nominal interferograms became operational. This module makes it possible for CrIS SDR software run in two modes, one is for NSR SDR product, and another is for FSR SDR. To unpack the RDR data in the interferogram, the bit-trim-mask (BTM) and calibration coefficients information stored in the Engineering Packet must be available. The possibility of the SDR anomaly at the restart of the SDR process without the calibration coefficients and BTM was eliminated by finding and placing the Engineering Packet at the first scan in the 30-scan calibration moving window. On 14 November 2013, the timestamp overflow issue for scan time was fixed by replacing the data type unsigned integer 32 with unsigned integer 64 to hold big integer value, and the reference laser wavelength used for CMO calculation was archived in output/input CMO file. On 20 February 2014, software updates were implemented to fix errors found in the ILS correction calculations and to use a new reformulation of the nonlinearity correction equation. The original software assumed the FOV 5 is centered at the optical axis, which is not exactly right. By ignoring the offset of the FOV center and using the wrong radius to calculate the ILS correction, resulting in 1.4 ppm and 2.0 ppm error in the FOV5 spectral calibration for LWIR and MWIR bands, respectively. This affected other FOVs' relative spectral calibration accuracy, since FOV 5 is used as a reference for all other FOVs. The method to determine the offset of FOV 5 and other FOVs focal plane parameters were detailed in [12]. The initial focal plane parameters and the Neon lamp effective wavelength were determined by using TVAC gas cell data, and refined by using the on-orbit observation. After fixed the software bug, the ILS parameters were refined and updated in EP v36 [see also II.B]. The overall quality flag was updated to handle the short granule (containing less than 4 scans data) and missing packets. To accompany the software updates of the ILS and nonlinearity correction equations, small adjustment of ILS parameters and a_2 coefficients corresponding to the new nonlinearity correction equation were updated with EP v36 in the RDR data stream. Although there are 4 hours gap between the software

update and calibration coefficients update, the SDR product achieved Validated maturity status on the same day.

On 4 December 2014, the CrIS instrument operated on full spectral interferogram mode with data points of 866, 1052, and 799 for the three bands, respectively. While IDPS still generated nominal spectral resolution SDR using the truncation module to truncate the interferogram data points to 866, 530, 202 for the three instrument spectral bands, NOAA/STAR began the successful generation of the off-line SNPP CrIS SDR data at full spectral resolution SDR, that consisted of data with 0.625 cm^{-1} spectral resolution for all three bands. The generated data was made available for users and the science community. On 4 November 2015, the CrIS instrument further extended the interferogram data points for LWIR and SWIR to 874 and 808, respectively. The purpose of this extension is to reduce the spectral ringing effect on band edge by using these extra data points, and to evaluate and to improve the calibration algorithm. On 8 March 2017, the IDPS Block 2.0 Mx0 generated for the first time both CrIS NSR SDR (CrIS-SDR) product and CrIS FSR SDR product (CrIS-FS-SDR). Both products use the same calibration algorithm represented by Eq. (1). However, the output files for CMO (Correct-Matrix-AUX, only containing the reverse SA matrix) and Engineer Packet backup (ENGPKT-BACKUP-AUX) are separated and the resampling matrix uses the metrology laser wavelength and there is no 2 ppm requirement to rebuild the CMO [see section II.B]. On 10 April 2017, a FOV geolocation remapping correction and a new CrIS calibration algorithm for FSR SDR, represented by Eq. (2), were used as part of the IDPS Block 2.0 Mx1 operational processing system. On 7 June 2017, the geolocation mapping angle parameters were updated in EP v37 to synchronize with the FOV geolocation remapping correction in the IDPS Block 2.0 Mx1. With these updates, the CrIS geolocation accuracy improved from 2.0 km to less than 0.3 km for all scan angles in both cross-track and in-track directions (see Fig. 3).

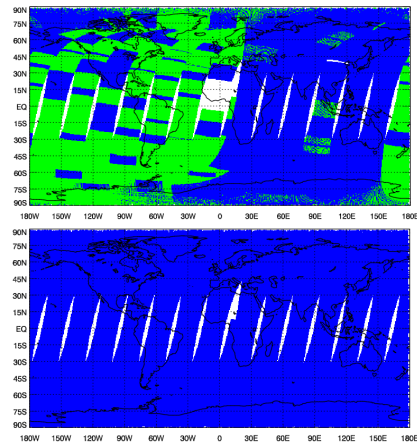


Fig. 4. LWIR band overall quality flag at descending orbits on 27 June 2012 from (top panel) IDPS SDR and (bottom panel) Reprocessed SDR. The blue color indicates good overall quality, and the green color degraded overall quality. Note that there are no degraded values and no data gaps in the reprocessed SDR data because the good temperature drift limits and the latest RDR data were used.

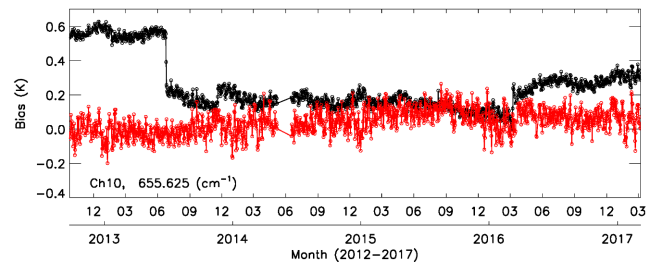


Fig. 5. Time series of daily mean biases between the CrIS observations from reprocessed SDR and CRTM simulations using ECMWF forecast (black curve with open circle) and ERA-interim (red curve with open circle) over ocean clear scenes at CrIS LWIR channel 10 (655.625 cm^{-1}). The jumps in the black curve are related to the ECMWF model upgrades. Notice that the data gap from 8 May 2014 to 16 June 2014 is due to loss of ECMWF forecast data.

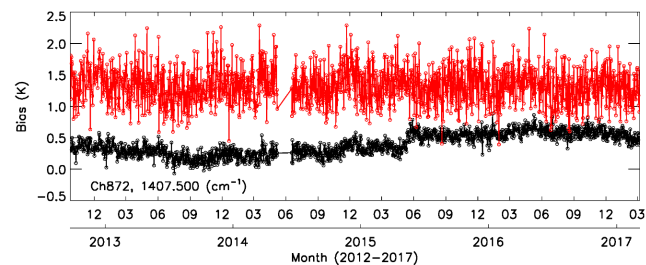


Fig. 6. Same as Fig. 5, but for CrIS MWIR channel 872 (1407.5 cm^{-1}).

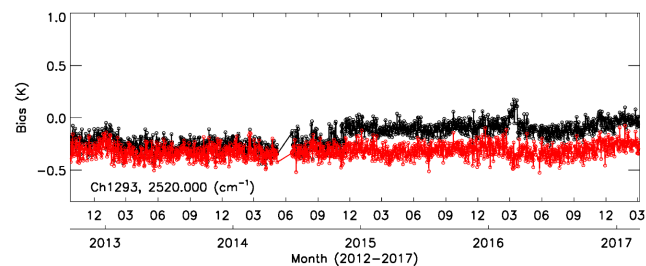


Fig. 7. Same as Fig. 5, but for CrIS SWIR channel 1293 (2520.0 cm^{-1}) and at night time.

III. RESULTS OF CRIS SDR BASELINE REPROCESSING

As shown in section II.E, the operational IDPS CrIS SDR data quality is significantly and continuously improved due to the calibration algorithm and software improvements as well as the refinement of the calibration coefficients. This is particularly clear during the ICV period before the SDR product achieved Validated maturity status. The inconsistency and potential low stability of the operational IDPS CrIS SDRs during the life-time mission are not suitable for long-term climate trend and other climate applications. In this study, we have developed one specific reprocessing software system similar to IDPS Block 2.0 Mx1 for CrIS NSR SDR reprocessing. This software system is updated with all the latest improvements implemented operationally, such as the calibration algorithm, non-linearity reformation, ILS correction, as well as geolocation FOV remapping. All of them resulted in improvements in the quality of the scientific SNPP CrIS SDR data. The calibration coefficients for the ILS parameters, non-linearity coefficients, and the mapping angle parameters are refined with the latest updates as in EP v37 (except for FOV 7 NL coefficient at MWIR) and replace the older ones in the RDR data stream. As a result, all the reprocessed SDRs are generated with the same calibration coefficients and the same version of processing software system during the reprocessing period from 21 February 2012 to 8 March 2017. The improvements from the reprocessed CrIS SDRs are

compared against the operational IDPS SDRs, and discussed with detail in this section in terms of overall data quality, long-term radiometric as well as spectra calibration accuracy and stability.

A. Overall Data Quality

One of the major improvements in the reprocessed CrIS SDRs is the overall data quality. Due to the operational software bugs and the initial calibration coefficients, which were determined using the pre-launch measurements, there were some issues in the operational IDPS SDR data especially during the ICV period (before 20 February 2014). The processing system was continuously updated to fix bugs and the calibration coefficients were tuned based on the assessments of the on-orbit observations. At the same time, each day there were several repaired RDR granules (Each RDR granule contains 4 scans of data that correspond to 32 seconds of observations). This anomaly in the RDR data caused data gaps in the operational IDPS SDRs, mainly due to the delay in the arrival of the repaired RDR data and the time requirement for the operational IDPS SDRs to meet the time window in the weather forecast systems. For the reprocessed SDRs, both the software errors and the delay in the RDR granules are resolved by taking advantage of the refined calibration coefficients, improved software, and the usage of the best available RDR data.

Figure 4 shows the LWIR band overall SDR data quality flag at descending orbits on 27 June 2012 from the IDPS SDR (top panel) and the reprocessed SDR (bottom panel), respectively. The blue color indicates good overall quality, and the green color is for degraded overall quality. It can be seen that there are over 60% of the valid spectra flagged with a degraded status in the operational IDPS SDR due to the excess temperature drifts for ICT and scan baffle. In addition, there are clearly notable data gaps in the operational IDPS SDR due to the delay issue of the repaired RDR granules. However, in the reprocessed SDR, there are no degraded spectra and no data gaps because the good temperature drift limits and the latest RDR data were used. It shows how the reprocessed data maximizes the availability of CrIS observations as compared to the operational IDPS SDR data.

B. Radiometric Accuracy and Stability

There are three potential methods to assess the CrIS SDR radiometric accuracy, including 1) direct comparison with NOAA-20 CrIS SDR radiance products after 5 January 2018 when the NOAA-20 CrIS instrument went into operational mode (although there is a 50.7 minutes orbit separation); 2) comparison with IASI/AIRS using simultaneous nadir overpasses method over Polar Regions; and 3) comparison with forward radiative transfer model simulation. The first method is not applicable to our reprocessing period ending at 8 March 2017, but could be very useful in the future reprocessing radiometric evaluation to quantify the radiometric difference and create a calibration link between SNPP and NOAA-20 CrIS [25], which is crucial for creating CrIS long-term climate data records. Similar to the first method, the second method could create a calibration link between CrIS and IASI/AIRS to create even longer hyperspectral IR climate data records. However, due to the spatial coverage limitation, we do not use the second method either. Methods 1 and 2 will be a topic for future study to detail the reprocessed CrIS SDR radiometric performance. In this study, we only use the third method that considers the simulated observations as the radiometric reference.

The CrIS radiances are simulated using the Community Radiative Transfer Model (CRTM) [26-28] and the European Center for Medium-range Weather Forecasts (ECMWF) 3-hour forecast model data as well as ECMWF reanalysis interim (ERA-interim) data. ERA-Interim is a global atmospheric reanalysis from 1979, continuously updated in real time. The data assimilation system used to produce ERA-Interim is based on a 2006 release of the Integrated Forecasting System (IFS) version Cy31r2. The spatial resolution of the dataset is approximately 80 km on 60 vertical levels from the surface up to 0.1 hPa [29]. Different from the ERA-Interim dataset generated from one version of IFS, the ECMWF forecast model dataset is continuously improved from the IFS version upgrades with better vertical and horizontal resolutions as well as physical processes. To better collocate the observation and simulation spatially and temporally, the forecast/reanalysis of atmospheric and surface fields from the model dataset at the neighboring grids are first bilinearly

interpolated to the CrIS observational pixel location, and then linearly interpolated to the CrIS observational time. Due to the large errors in modeling land, ice and snow surface emission and reflection, surface skin temperature, as well as the cloud field, we only consider the clear scenes over ocean within $[60^{\circ}\text{S}, 60^{\circ}\text{N}]$. The clear scenes are obtained using a hyper-spectral infrared cloud detection algorithm, in which the CrIS observed scenes with possible contamination by clouds are effectively removed [13].

Figure 5 shows the time series of daily mean biases between the CrIS observations from the reprocessed SDR and CRTM simulations using ECMWF forecast (black curve with open circle, referred as Bias1) and ERA-interim (red curve with open circle, referred as Bias2) over ocean clear scenes at CrIS LWIR channel 10 (655.625 cm^{-1}). The observation minus simulation results are strongly dependent on the input atmospheric profiles and surface conditions. However, this is a strong CO_2 absorption channel with weighting function peak height around 50 hPa (altitude ~ 20 km), from which the majority energy contributed to the CrIS observed radiance is through the atmospheric emission. One can see that the Bias1 has several notable jumps as a function of time. These jumps from this upper CO_2 channel are related to the ECMWF model upgrades, especially related to the changes of the model vertical resolution. For example, on 25 June 2013 the vertical levels were increased from 91 to 137 in ECMWF IFS version cy38r2, with significant improvement on temperature above 100 hPa, resulting in the bias reducing from ~ 0.6 K to ~ 0.2 K. On 19 November 2013 the vertical levels from the ensemble system were increased from 62 to 91 in ECMWF IFS version cy40r1. On 08 March 2016 ECMWF IFS was upgraded to version cy41r2. Notice that the data gap from 8 May 2014 to 16 June 2014 is due to loss of ECMWF forecast data at NOAA/STAR. Due to these IFS model upgrades, the long-term bias change are ranging from 0.0 to 0.65 K during our assessment period from 23 September 2012 to 8 March 2017. One can see the larger short-term variation from day to day in Bias2 compared to Bias1 due to the coarser vertical resolution and the older IFS version in ERA-interim. However, the Bias2 show a significant improvement on the long-term stability when using ERA-interim model fields as inputs. There is no obvious radiometric trend in the Bias2 during the four-and-half-year reprocessed period. Although ECMWF model output is better to evaluate the short-term radiometric accuracy (smaller variation from day to day), it may not be good for the long-term stability assessment due to the continuous model updates/upgrades. By contrast, the ERA-interim data is a much better choice than ECMWF forecast data for evaluating the long-term stability [29].

Figure 6 shows the time series of daily mean biases at CrIS MWIR channel 872 (1407.5 cm^{-1}). This water vapor channel has a weighting function peak at a height of around 590 hPa (altitude ~ 4.5 km). Bias1 is much smaller (around 0.5 K) than Bias2 (around 1.5 K), indicating that the water vapor information at altitude around 4.5 km from ECMWF forecast data are much better than those from ERA-interim [29]. It should be pointed out that the ERA-interim data has about ~ 80 km horizontal resolution and only has 60 vertical levels (compared to ECMWF forecast data with nominal ~ 30 km horizontal resolution and 137 vertical levels), while CrIS observation has a ground spatial resolution of 14 km at nadir. This channel is mainly sensing the lower free troposphere where water vapor is abundant and highly dynamic. It shows that Bias1 has a smaller short-term variation than Bias2. However, Bias2 shows better long-term stability than Bias1 by using the same version of model output.

Figure 7 shows the time series of daily mean biases at CrIS SWIR channel 1293 (2520.0 cm^{-1}) at nighttime. This is a shortwave window channel, which observes the sea surface temperature over clear sky. Only nighttime results are shown here to reduce the large uncertainty from the simulation due to the potential strong solar reflection contribution and potential sun glint over ocean during daytime. Bias1 and Bias2 show very similar short-term variation from day to day with absolute bias less than -0.4 K, but Bias2 shows better long-term stability than Bias1. Results showed in Figures 5, 6, and 7 demonstrate that when evaluated using ERA-interim data, CrIS reprocessed SDRs have very high long-term stability, which is one of the most important requirements for reanalysis and climate applications.

The uniformity of FOV-to-FOV radiometric performance is significantly improved in the CrIS reprocessed SDRs due to the improvement of the NL coefficients, which can be seen in Fig. 8. Figure 8 shows the time series of the longwave daily mean FOV-to-FOV difference (17 channels averaged from 670 to 680 cm^{-1}) with respect to the center FOV 5 for clear sky over ocean. The top panel shows the results from the operational IDPS SDRs, while the bottom panel shows the results from the reprocessed SDRs. The FOV-to-FOV difference becomes much tighter and smaller in the reprocessed SDRs than the operational IDPS SDRs before 20 February 2014, and shows very high consistency and stability during the reprocessing period with difference ranging from -0.03 K to 0.03 K. The high agreement among the nine FOVs in radiometric performance allows the NWP and reanalysis models to assimilate CrIS data from all of the FOVs without special treatment for different FOVs. Figure 9 shows the time series of the MWIR band daily mean FOV-to-FOV difference (13 channels averaged from 1585 to 1600 cm^{-1}) with respect to the center FOV 5. One can see some improvements in the FOV-to-FOV radiometric performance and more consistence for the reprocessed SDRs due to the calibration algorithm update (using Eq. (2) instead of Eq. (1)), the dynamically updated resampling matrix, and the FOV 7 non-linearity coefficient update (see Fig.1) compared with the operational IDPS SDRs. At SWIR spectral regions, the FOV-to-FOV radiometric performance of the reprocessed data is nearly identical to the operational one (not shown here) since SWIR band detectors are linear.

C. Spectral Accuracy and Stability

The CrIS radiometric accuracy depends on the accuracy of the spectral calibration. As shown in [13], the brightness temperature (BT) impact from the unapodized spectra can be as large as 0.25 K at LWIR CO_2 strong absorption channels, a very critical region to derive temperature profiles in retrieval and data assimilation systems, when the spectral shift is 4 ppm. Improving the spectral accuracy and stability is very important to reduce the CrIS observation radiometric uncertainty and potentially improve the climate trend derived from the climate systems when CrIS data is used.

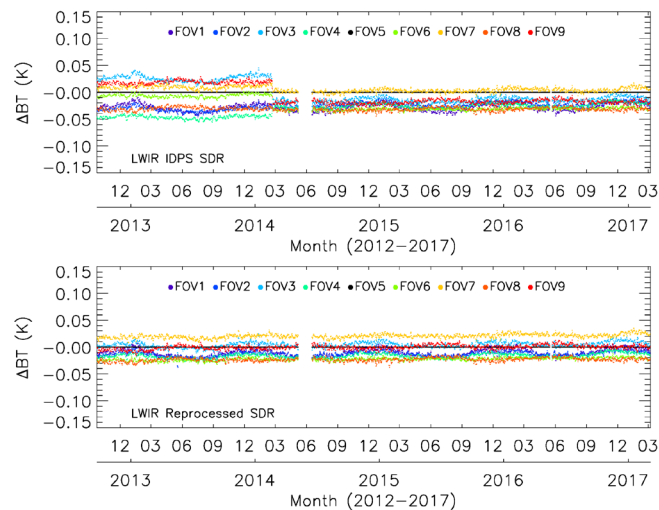


Fig. 8. Time series of the LWIR band daily mean FOV-to-FOV difference (17 channels averaged from 670 to 680 cm^{-1}) with respect to the center FOV 5 for clear sky over ocean.

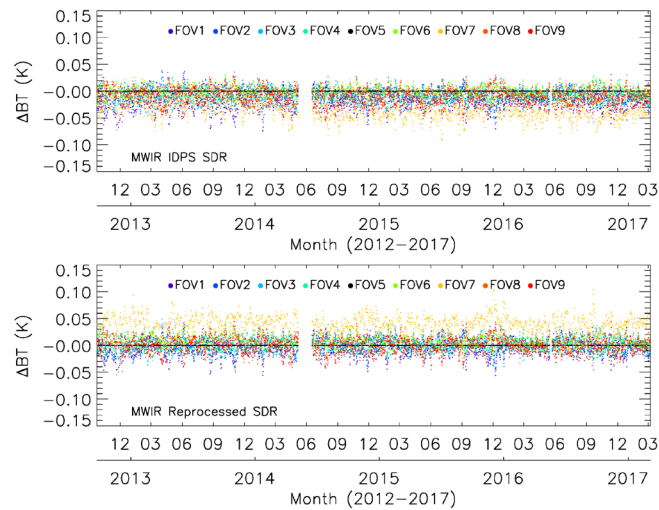


Fig. 9. Time series of the MWIR band daily mean FOV-to-FOV difference (13 channels averaged from 1585 to 1600 cm^{-1}) with respect to the center FOV 5 for clear sky over ocean.

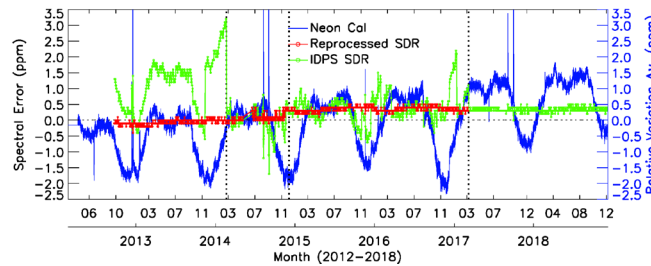


Fig. 10. LWIR band long-term spectral accuracy and stability for reprocessed CrIS SDRs (red line with open circle), compared to operational IDPS SDRs (green line with open circle) and neon calibration system (blue line, indicated by “Neon Cal”). The absolute spectral error using RT model is for the daily average of FOV5 at nadir (FORs 15 or 16), descending orbit over clear tropical ocean scenes. The three vertical dashed lines are for major events (CMO update, IDPS software upgrade, or instrument change): 20 February 2014 (IDPS Mx8.1, validated status), 4 December 2014 (full spectral interferogram mode implemented in RDR data), and 8 March 2017 (IDPS Block 2.0 Mx1, both FSR and NSR products operational).

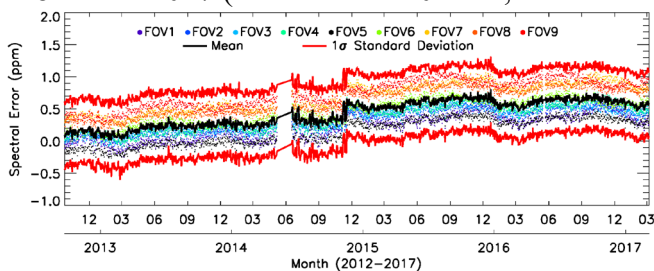


Fig. 11. Time series of the LWIR band daily mean absolute spectral accuracy and stability for reprocessed CrIS SDR data for all 9 FOVs (indicated by difference color dots) over clear tropical ocean scenes. The center FOV 5 result is same as in Fig. 10. The mean spectral accuracy over 9 FOVs is indicated by black line, and the two red lines are the mean value plus/minus standard deviation.

There are two basic spectral assessment methods to evaluate the spectral accuracy [12-13, 30]. The first one is the absolute method, which requires an accurate forward model to simulate the top of atmosphere radiance spectra under clear conditions. The final spectral shift in units of ppm can be determined at the maximum correlation between the observed spectra to the simulated spectra by shifting the spectra at a certain range, from either the observation or the simulation. The second method is the relative method. It does not

require the simulation from a forward model, it only requires two uniform observations to determine the spectral offset relative to each other.

Following [13], the absolute method is used to assess the spectral accuracy and stability for both the reprocessed SDRs and the operational IDPS SDRs. Figure 10 shows the LWIR band long-term spectral accuracy and stability for the reprocessed CrIS SDRs (red line with open circle), compared to the operational IDPS SDRs (green line with open circle). The relative variations of the metrology laser wavelength measured by the neon calibration system (blue line, indicated by “Neon Cal”) are also included. In this case, the absolute spectral error is derived from comparisons between the spectral performance of the CrIS SDR against CRTM model simulations using ECMWF forecast data, for FOV 5. The comparisons were limited at nadir observations (FORs 15 or 16) with daily average applied when observations were at descending orbit and over clear tropical ocean scenes. Choosing the descending orbit (nighttime) to perform the spectral error evaluation was mainly to reduce the uncertainty from the forward model simulation over daytime with more dynamic surface and atmospheric conditions. The three vertical dashed lines in this figure correspond to three major events (CMO update, IDPS software upgrade, or instrument change): 20 February 2014 (IDPS Mx8.1, validated status), 4 December 2014 (full spectral interferogram mode implemented in RDR data), and 8 March 2017 (IDPS Block 2.0 Mx1, both FSR and NSR products operational). It is shown that CrIS relative metrology laser wavelength varies within 4 ppm (ranging from -2.5 to 1.5 ppm) as measured by the neon calibration system from 21 February 2012 to 15 December 2018. Note that the relative metrology laser wavelength variation on 19 December 2012 (zero spectral shift) is using as a reference. This is the date when the CMO was updated with a new metrology laser wavelength which exceeded 2 ppm threshold compared to the saved previous metrology laser wavelength. The CrIS relative variation of the metrology laser wavelength shows a seasonal pattern, primarily associated to changes in the laser diode temperature. The relative metrology wavelength variations shown a slight upward trend of about 0.25 ppm per year. In the CrIS instrument spectral calibration system, the laser diode temperature is highly correlation with the metrology laser wavelength. The relative metrology wavelength variation trend of 0.25 ppm per year would be caused by the gradually degraded laser diode temperature in the system. Due to software updates and bug fixes, as well as the ILS calibration coefficients updates in the EP, the actual spectral error from the operational IDPS SDRs is about 4 ppm from peak to peak before 8 March 2017, with unpredictable characteristics, especially before 20 February 2014. Although the CrIS processing system was designed to update the resampling matrix after the metrology wavelength exceeds cumulative variations of 2 ppm. However, during this period, results reported in Fig. 10 show that the spectral errors are not following the relative changes of the metrology laser wavelength, as it was expected. After 8 March 2017, the spectral errors from the operational IDPS SDRs are at the same level as the spectral errors from the reprocessed SDRs. This is mainly due to the more frequent updates of the resampling matrix using the measured metrology laser wavelength given by the neon calibration system every 109 minutes (see section II.B and Table 1 for further details). As a contrast, the spectral errors derived from the reprocessed SDRs are significantly reduced to less than 0.5 ppm with very high long-term stability. With dynamically updated resampling matrix using the metrology laser wavelength, the trend of 0.25 ppm per year was effectively removed in the CrIS reprocessed SDR data. However, a slight upward trend in the spectral errors (~ 0.1 ppm per year) from the reprocessed SDRs was observed. Different from the trend from the relative metrology laser wavelength controlled by the slowly increased interferometer baseplate temperature and laser diode temperature, this trend may indicate the slow degradation of the effective neon wavelength in the CrIS neon calibration system including the electrical and optical changes around the laser diode, and is difficult to remove in the ground processing system. Assuming the trend continues during a 10-year period, a 1-ppm spectral calibration error would expected for the CrIS reprocessed SDR data product. This trend should have an impact on of less than 0.03 K and 0.01 K for unapodized and Hamming-apodized spectra, respectively. The impact is mainly expected around strong atmospheric absorption channels, such as the temperature sounding channels located at 650–

770 cm^{-1} over the CrIS LWIR band, and over the whole water vapor channels found at the CrIS MWIR band. This means that the CrIS reprocessed data holds small radiometric errors of less than 0.03 K per decade, associated to spectral calibration errors. This performance is adequate and is in line with the radiometric stability requirement needed for climate applications, which is about (0.04 K per decade) [31] and was particularly established to derive climate trend, such as CO_2 concentration, temperature and water vapor from the CrIS reprocessed SDRs.

TABLE II
THE OVERALL QUALITY PERFORMANCE OF SNPP CRIS NSR SDR REPROCESSING DATA

Band		LW	MW	SW
Spectral Range (cm^{-1})		650-1095	1210-1750	2155-2550
Number of Channels		713	433	159
Spectral Resolution (cm^{-1})		0.625	1.25	2.5
NedN* @287K BB $\text{mW}/\text{m}^2/\text{sr}/\text{cm}^{-1}$	Specification	0.14	0.06	0.007
	Operational Validated SDR	0.098	0.036	0.003
	Reprocessed SDR	0.098	0.036	0.003
Radiometric Uncertainty* @287K BB (%)	Specification	0.45	0.58	0.77
	Operational Validated SDR	0.16	0.19	0.40
	Reprocessed SDR	0.16	0.19	0.40
Spectral Uncertainty (ppm)	Specification	10	10	10
	Operational Validated SDR	3	3	3
	Reprocessed SDR	2	2	2
Geolocation Uncertainty** (km)	Specification	1.5	1.5	1.5
	Operational Validated SDR	1.2	1.2	1.2
	Reprocessed SDR	0.25	0.25	0.25

*Based on S-NPP CrIS validated SDR data after 02/20/2014

**Geolocation Uncertainty based on LW band

The time series of all the FOVs spectral accuracy for the reprocessed data is showed in Fig. 11. The overall mean spectral error (black line) and standard deviation (red lines) are also included. It shows that the spectral error trends from other FOVs are very similar to that from FOV 5, all about 0.1 ppm per year. The spectral spread is very stable, less than 0.6 ppm with FOV 9 having largest error (mean 0.74 ppm) and FOV 5 smallest error (mean 0.16 ppm). The overall mean spectral error is 0.43 ppm over all the FOVs with standard deviation 0.55 ppm.

D. Overall Performance of the Reprocessed Data

The overall quality performance of the reprocessed SDR data is summarized in Table II. This table listed the reprocessed SDR data performance in terms of noise, radiometric, spectral as well as geolocation uncertainty. Compared to the operational validated SDR products, the reprocessed SDR data have smaller spectral and geolocation error, improved from 3 ppm to 2 ppm, and 1.2 km to 0.25 km, respectively. Although the radiometric uncertainty does not improve, the long-term stability and consistency are significantly improved for the reprocessed SDR data. Based on the overall performance, the reprocessed SDR data can be

used for long-term climate monitoring and model assessments, and provide an infrared reference observation to assess other narrow- or broad-band infrared instruments calibration accuracy.

IV. CONCLUSION

Since the launch of S-NPP satellite, the CrIS instrument has provided more than seven years of measurements. In the process of achieving different maturity stages, the operational CrIS SDR data generated in the real-time from IDPS software is continuously improved due to the updates of processing software system as well as the calibration coefficients. Consequently, the operational SDR data may have varying characteristics affecting the long-term stability in terms of radiometric, spectral, as well as geolocation performance. In this study, the CrIS SDR data is improved for climate applications with its optimized and improved calibration coefficients. One specific software system for the baseline CrIS SDR reprocessing was developed. This software system was updated with the calibration algorithm, non-linearity, and geolocation to improve the CrIS SDR data quality and long-term consistency. The calibration coefficients are refined with the latest updates, which were used to calibrate the latest operational SDR products. Those refined coefficients were incorporated in the EP of the RDR data stream used to generate the reprocessed CrIS SDR data.

The CrIS radiometric and spectral calibration were assessed in terms of its accuracy and stability, using comparisons between the reprocessed SDR data and the operational IDPS SDR data. The overall radiometric biases are small (channel dependent) and stable over time, FOV-to-FOV differences are less than ~ 10 mK, and much better than that from the operational IDPS SDR. It is shown that CrIS metrology laser wavelength varies within 4 ppm as measured by the neon calibration system. The reprocessed SDR data shows significant improvements with respect to the operational data, with spectral errors of less than 0.5 ppm over more than 4 years. The operational IDPS SDR data holds spectral errors of up to 4 ppm within the same period.

The baseline reprocessed CrIS SDR data, which has shown better radiometric and spectral calibration accuracy, as well as consistent calibration stability, relies on a single and dedicated processing system with improved calibration coefficients. This system can be used to generate high quality reprocessed CrIS SDR data, adequate for long-term climate monitoring and model assessment applications. It is expected that this dataset also supports instrument inter-calibration activities and be considered as an on-orbit infrared observation reference to assess the calibration accuracy of observations from other hyperspectral and multi-spectral infrared instrument.

Appendix A: Acronyms

AIRS	Atmospheric Infrared Sounder
BT	Brightness temperature
BTM	Bit trim mask
CMO	Correction Matrix Operator
CrIS	Cross-track Infrared Sounder
CRTM	Community Radiative Transfer Model
DM	Diagnostic Mode
DS	Deep Space
ECMWF	European Center for Medium-range Weather Forecast
EP	Engineering Packet

ERA-Interim	ECMWF reanalysis interim
ES	Earth Scene
FIR	Finite Impulse Response
FOR	field of regard
FOV	field of view
FSR	full spectral resolution
FTS	Fourier transform spectrometer
IASI	Infrared Atmospheric Sounding Interferometer
ICT	Internal Calibration Target
ICV	Intensive Calibration and Validation
IDPS	Interface Data Processing Segment
IFS	Integrated Forecasting System
ILS	Instrument line shape
IR	infrared
JPSS	Joint Polar Satellite System
LWIR	Long-wave infrared
MAP	Mapping angle parameter
MWIR	Middle-wave infrared
MPD	Maximum path difference
NL	Non-linearity
NOAA	National Oceanic and Atmospheric Administration
NSR	Nominal spectral resolution
NWP	Numerical weather prediction
ppm	part-per-million
QC	quality control
RDR	Raw Data Record
SA	Self-apodization
SDR	Sensor Data Record
S-NPP	Suomi National Polar-Orbiting Partnership
SSM	Scene Selection Mirror
SWIR	Short-wave infrared
TVAC	Thermal vacuum testing
VIIRS	Visible Infrared Imaging Radiometer Suite

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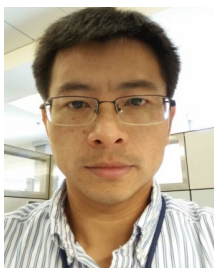
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Disclaimer: The scientific results and conclusions, as well as any views or opinions expressed herein, are those of the author(s) and do not necessarily reflect those of NOAA or the Department of Commerce.

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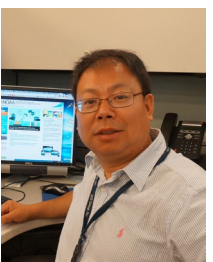


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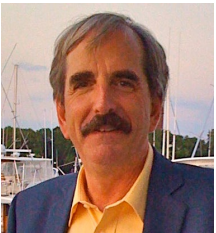


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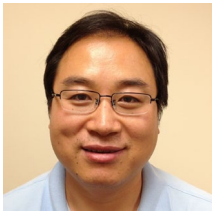
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