Stage-specific drivers of Pacific hake (*Merluccius productus*) recruitment in the California
 Current Ecosystem

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4 Running title: Environmental drivers of Pacific hake recruitment

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8

9 ABSTRACT

10 Understanding environmental drivers of recruitment variability in marine fishes remains an important challenge in fish ecology and fisheries management. We developed a conceptual 11 12 life-history model for Pacific hake (Merluccius productus) along the west coast of the U.S. and 13 Canada to generate stage-specific and spatiotemporally-specific hypotheses regarding the 14 oceanographic and biological variables that likely influence their recruitment. Our model 15 included seven life stages from pre-spawning female conditioning through pelagic juvenile 16 recruitment (age-0 fish) for the coastal Pacific hake stock. Model-estimated log recruitment 17 deviations from the 2020 hake assessment were used as the dependent variable, with predictor 18 variables drawn primarily from a regional ocean reanalysis for the California Current Ecosystem. 19 Indices of prey and predator abundance were also included in our analysis, as were predictors of 20 local- and basin-scale climate. Five variables explained 59% of the recruitment variability not 21 accounted for by the stock-recruitment relationship in the hake assessment. Recruitment 22 deviations were negatively correlated with May – September eddy kinetic energy between 34.5° 23 and 42.5°N, the North Pacific Current Bifurcation Index, and Pacific herring (*Clupea pallasii*) 24 biomass during the spawner preconditioning stage, alongshore transport during the yolk-sac 25 larval stage, and the number of days between storm events during the first-feeding larval stage. 26 Other important predictors included upwelling strength during the preconditioning stage, the 27 number of calm periods during the first-feeding larval stage, and age-1 hake predation on age-0 28 pelagic juveniles. These findings suggest that multiple mechanisms affect Pacific hake survival 29 across different life stages, leading to variability in population-level recruitment.

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32	KEYWORDS
33	California Current, environmental drivers, Merluccius productus, Pacific hake, recruitment,
34	ROMS, transport
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67 This manuscript adheres to the ethics and integrity policies of Fisheries Oceanography.

68

69 1 | INTRODUCTION

70 For over a century, there has been intense scientific interest and research effort to identify 71 the factors that influence recruitment variability in marine fishes. Year-class strength is thought 72 to be set during the early larval stage, with recruitment (defined herein as individuals reaching 73 age-1) being linked to number of factors, including first-feeding success ('Critical Period' 74 hypothesis, Hjort, 1914, 1926), transport of eggs and larvae by ocean currents ('Aberrant Drift' 75 hypothesis, Hjort, 1914), match in the timing between larval production and prey resources 76 ('Match-Mismatch' hypothesis, Cushing, 1974, 1990), aggregation of prey via vertical 77 stratification ('Stable Ocean' hypothesis, Lasker, 1978, 1981), and size-based predation ('Stage-78 Duration' hypothesis, Houde, 1987; Anderson, 1988), among others. To this day, understanding 79 the mechanisms underlying recruitment variability remains a challenge in fish ecology and 80 fisheries management. However, it is clear that the processes and mechanisms that generate 81 recruitment variability work across multiple life stages (Houde, 2008) and that multi-hypothesis, 82 integrative, and interdisciplinary approaches are needed (Hare, 2014).

Pacific hake (*Merluccius productus*), also known as Pacific whiting, is an ecologically
important species that plays a key trophic role as both predator and prey in the California Current
Ecosystem (CCE) (Ressler et al., 2007). Pacific hake occur primarily from the Gulf of California

86 (~25°N) to the Gulf of Alaska (~55°N) (Figure 1), occupying southern waters during the winter 87 spawning season and migrating northward to feed between northern California and northern 88 British Columbia during the spring, summer, and fall when the fishery is conducted (Grandin et 89 al., 2020). Since the stock spans U.S. and Canadian waters, the hake fishery has been 90 cooperatively managed through a bilateral agreement between the two countries since 2011, with 91 quotas based on a harvest control rule and a fixed allocation share of the annual total allowable 92 catch to each country (Jacobsen et al., 2019). Pacific hake population dynamics are strongly 93 influenced by the periodic appearance of strong cohorts that have occurred across a range of 94 population sizes (Figure 2). The stock assessment suggests that recruitment is highly variable 95 (Figure 2b), resulting in large and rapid biomass changes, but the mechanisms underlying this 96 variability are poorly understood. As a result, estimates of stock status and stock trajectory 97 projections for Pacific hake remain highly uncertain due to the variability and uncertainty in the 98 recruitment estimates, as cohort strength is generally not well detected until age 3 or 4 (Berger et 99 al., 2019). Given the weak stock-recruitment relationship estimated by the stock assessment 100 model (Figure 2c), environmental factors that vary at multiple spatial and temporal scales, such 101 as temperature and upwelling strength, are likely important (Bailey, 1981). 102 Understanding the linkage between Pacific hake population dynamics and environmental

conditions has been identified as a high priority research need for improving stock assessments
 and hake management strategies (Berger et al., 2019). Focusing on the survival of early life history stages may be especially informative, as recruitment variability is known to have a
 stronger influence on the performance of alternative management strategies than rates of
 movement or the spatial distribution of the population (Jacobsen et al., 2019).

108 Initial research into the causes of Pacific hake recruitment variability began in the 1980s. 109 Bailey and Francis (1985) linked recruitment of age-3 Pacific hake to post-larval abundance off 110 the California coast in spring, suggesting that year-class strength was set during the first year of 111 life. During the 1970s, recruitment strength was negatively correlated with temperature and 112 upwelling (Bailey, 1981). However, these relationships did not persist in the 1980s (Bailey and 113 Francis, 1985). More recently, Horne and Smith (1997) noted that changes in larval hake 114 biomass were dominated by mortality and drift with prevailing currents, while Lo (2007) found 115 that decreased larval production was associated with increasing ocean temperature since the 116 1980s. Strong year classes of Pacific hake and several other gadoid stocks have been linked to 117 conditions related to the El Niño-Southern Oscillation (ENSO), which suggests that large-scale 118 climate drivers play an important role (Hollowed et al., 2001). Factors that regulate larval 119 delivery to nursery habitats, such as variation in circulation and mortality of eggs and larvae, are 120 known to influence year-class strength (Houde, 2008; Rijnsdorp et al., 1995; Van der Veer et al., 121 2000), and transport has been linked to year-class formation in several Northeast Pacific marine 122 fishes (Bailey et al., 1982; Vestfals et al., 2014; Wilderbuer et al., 2002). Species like Pacific 123 hake may be especially sensitive to variations in climate due to their spatially separated 124 spawning locations, nursery areas, and adult feeding grounds, which require the active migration of adults and ocean currents to transport eggs and larvae to complete their life cycle ("Migration 125 126 Triangle" hypothesis, Harden Jones, 1968).

127 Technological advances in the last several decades have increased our ability to explore 128 relationships between oceanic and atmospheric processes and fish populations. For example, 129 monthly composite satellite sea surface temperature (SST) and surface chlorophyll *a* data have 130 been used to predict potential spawning habitat of northern anchovy (*Engraulis mordax*) and 131 Pacific sardine (Sardinops sagax) in the CCE (Reiss et al., 2008), while maps of sea surface 132 height (SSH) constructed from satellite observations of sea level anomaly have shown that white 133 sharks (*Carcharodon carcharias*) exhibit a clear affinity for mesoscale eddies (Gaube et al., 134 2018). Atmospheric and oceanic reanalysis products and ocean circulation models have been 135 used to examine how oceanographic and atmospheric processes affected recruitment variability 136 and productivity in several Northeast Pacific marine fish populations (Haltuch et al., 2020; 137 Litzow et al., 2018; Malick et al., 2017; Tolimieri et al., 2018; Vestfals et al., 2014). 138 Technological developments such as these provide a unique opportunity to revisit hypotheses 139 about the physical and biological processes that determine Pacific hake year-class strength 140 developed in the 1980s, and can potentially help to identify earlier indicators of recruitment 141 strength (i.e., age-1) than are currently available (i.e., age-3 or -4).

142 In this study, we (i) developed a literature-based, conceptual life-history model for 143 Pacific hake in the CCE that included seven stages from the conditioning of pre-spawning 144 females through the pelagic juvenile phase (age-0 fish) for the U.S. west coast Pacific hake 145 stock; (ii) used our conceptual model to generate stage-, space-, and time-specific hypotheses 146 regarding the physical and biological variables that likely influence Pacific hake recruitment; and 147 (iii) developed and compared several linear models to predict Pacific hake recruitment using 148 oceanographic variables derived from a regional ocean reanalysis for the CCE (Neveu et al., 149 2016). We also investigated how biological indices like predator and prey abundances and local-150 and basin-scale climate drivers (as proxies for nutrient input or changes in ocean currents) might 151 affect Pacific hake recruitment. Our analysis re-examined existing hypotheses of where and 152 when year-class strength is determined and investigated the relationships between ocean 153 conditions and Pacific hake survival across early life-history stages leading to recruitment, with

the goal of improving our understanding of the drivers of hake recruitment variability.

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156 **2 | METHODS**

157 To address our objectives, we applied the methodology used by Tolimieri et al. (2018) for 158 sablefish (Anoplopoma fimbria) and Haltuch et al. (2020) for petrale sole (Eopsetta jordani) to 159 the U.S. west coast Pacific hake stock. We used estimates of log recruitment deviations from the 160 2020 hake stock assessment (Grandin et al., 2020) and model output from a CCE configuration 161 of the Regional Ocean Modeling System (ROMS) with data assimilation (Neveu et al., 2016). 162 The stock assessment model is an age-structured model fit to an acoustic survey index of 163 biomass, annual catch data, and age-composition data from the survey and commercial fisheries 164 (more details can be found in Grandin et al., 2020). Recruitment is estimated using a Beverton-165 Holt stock-recruitment relationship where the unexploited recruitment parameter is freely 166 estimated and steepness is estimated using a weakly informative prior. The year-specific 167 deviations are estimated using a fixed standard deviation of 1.4. Although recruitment estimates 168 from the Pacific hake stock assessment were available from 1966 – 2019, our analysis was 169 constrained to the 1980 – 2010 period for which a self-consistent, high-resolution regional ocean 170 reanalysis was available to provide three-dimensional oceanographic conditions (see Section 171 2.2.1). We focused on the reproductively-active portion of the stock (U.S. west coast) occurring 172 within the region encompassed by the ROMS model, although variables representing conditions 173 outside of this region were incorporated into our analysis from other sources. We considered the 174 time from pre-spawning female conditioning through age-0 pelagic juveniles. By using this 175 conceptual approach, we were able to generate stage-specific and spatiotemporally-specific 176 hypotheses regarding the physical and biological variables likely to influence Pacific hake

177 survival at each life stage leading to recruitment. We tested our hypotheses using linear

178 modeling, model selection, and model validation.

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180 2.1 | Pacific hake life history: Female preconditioning to age-0 recruits

181 Our conceptual life-history model began by first identifying each stage in the life history 182 of Pacific hake where environmental drivers might impact the size of each year class, beginning 183 with female conditioning prior to the spawning season through age-0 pelagic juveniles (Tables 1 184 and A1). Female condition has generally been shown to influence whether or not an individual 185 spawns, the quality and number of eggs that are produced, as well as their hatching success 186 (Laine & Rajasilta, 1999; Rodgveller et al., 2016; Sogard et al., 2008). Adult Pacific hake are 187 found throughout the water column in association with the shelf break, typically over bottom 188 depths ranging between 100 m and 300 m (Bailey et al., 1982; Cooke et al., 2006; Ressler et al., 189 2007). Their northward feeding migration is timed with the spring transition in ocean conditions 190 along the shelf edge (Benson et al., 2002; Thompson, 1981). Adult Pacific hake spend summers 191 feeding off the coasts of Oregon, Washington, and British Columbia before migrating southward 192 in autumn to their spawning grounds off central and southern California, and Baja California, 193 Mexico (Hollowed & Bailey, 1989). Thus, the feeding period from spring to fall (April to 194 October) prior to spawning was considered important for female preconditioning. 195 Pacific hake are believed to spawn during the winter months, mainly between December 196 and March (Smith, 1995), with the peak occurring in January and February (Bailey, 1980; 197 Stauffer, 1985; Woodbury et al., 1995). While the exact location is unknown, spawning is 198 thought to occur up to 400 km offshore of the southern California Bight at depths of 130 - 500 m 199 over the continental slope (Bailey et al., 1982; Nelson & Larkins, 1970; Tillman, 1968), though

several studies have noted that hake spawning grounds are not fixed, but rather variable in
location (Agostini et al., 2006; Horne & Smith, 1997; Sakuma & Ralston 1997).

202 After spawning, eggs rise upwards to the depth of neutral buoyancy, usually to the base 203 of the mixed layer (Bailey et al., 1982). Time to hatch varies with temperature, but is typically 204 around 4 to 5 days (Bailey, 1982). Both eggs and larvae are often found aggregated near the base 205 of the mixed layer, usually at about 40 - 60 m depth (Ahlstrom, 1959; Bailey et al., 1982). Most 206 early-stage larvae are found between January and March, with a peak in February (Hollowed, 207 1992). Yolk-sac larvae are mostly found at depths between 50 - 100 m, with yolk sac absorption 208 occurring at 4.0 mm (Cass-Calay, 1997), when larvae are approximately 10 days old (Bailey & 209 Francis, 1985), though this may occur earlier at higher temperatures (Bailey, 1982). First-feeding 210 larvae (> 4.0 mm) can be found from 50 - 200 m deep over the continental shelf and slope 211 (Bailey 1981, 1982). Flexion occurs at 10 mm in length (Matarese et al., 1989) after which post-212 flexion larvae can be found deeper in the water column, between 200 - 500 m during the day, but 213 close to the surface at night, between 25 - 50 m (Bailey, 1982). Transformation to pelagic 214 juveniles occurs between 30 - 35 mm in length (Matarese et al., 1989), with age-0 fish caught in 215 surveys from mid-May to mid-June in the upper mixed layer over the shelf, inshore of the 200-m 216 isobath (Sakuma & Ralston, 1997).

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218 **2.2** | Generating hypotheses about potential recruitment drivers

We developed *a priori*, life-stage-specific and spatiotemporally-specific (considering time, depth, latitude, and longitude) hypotheses for environmental covariates that may drive recruitment variability in Pacific hake (Tables 1 and A1). Our analysis included covariates obtained from a ROMS model, predator and prey indices, as well as local- (e.g., storm and calm 223 events, SSH) and basin-scale indices representing the El Niño-Southern Oscillation (ENSO), the 224 Pacific Decadal Oscillation (PDO) (Mantua et al., 1997), the North Pacific Gyre Oscillation 225 (NPGO) (Di Lorenzo et al., 2008), and the North Pacific Current (NPC) Bifurcation Index (BI) 226 (Malick et al., 2017). A number of hypotheses were represented by two or more predictors that 227 represented different spatial regions (e.g., cross-shelf transport and upwelling north and south of 228 Point Conception) and several predictors were hypothesized to act on multiple life stages (e.g., 229 ENSO during the preconditioning, larval, and juvenile stages, euphausiid prev availability during 230 the late larval and pelagic juvenile stages). Thus, a total of 88 predictors were tested. 231 For each hypothesis, we specified the time period, depth, and latitudinal and longitudinal 232 extent of the potential predictor based on the traditional model of hake life history. For example, 233 net cross-shelf transport between January and March, at 40 – 60 m depth, between 31°N and 234 34.5°N, and near the shelf break (defined as being between the 100- and 2,000-m isobaths) may 235 affect the transport and distribution of Pacific hake eggs (Tables 1 and A1). In some cases, the 236 literature suggested multiple potential depth ranges over which environmental variables might 237 influence recruitment. For example, Ahlstrom and Counts (1955) reported that Pacific hake eggs 238 were found between 27 and 140 m while Bailey et al. (1982) reported aggregations of eggs just 239 below the base of the mixed layer, usually at about 40 - 60 m depth. When selecting which 240 environmental predictors to include in model selection, we initially evaluated variables (e.g., net 241 cross-shelf transport) over both the broader and the more restricted depth range. Preliminary 242 analyses showed that these paired predictors were highly correlated (e.g., r > 0.87 for the egg 243 stage). We chose to include the narrower depth range version of each in our analyses to reduce 244 the number of predictors because we believed this range more accurately captured the position of 245 eggs and larvae located at the base of the mixed layer. The resulting testable hypotheses fall into

six general categories, which may overlap life-history stages (Tables 1 and A1): temperature,

transport, mixing, prey, predators, and bottom-up ecosystem processes.

248

249 2.2.1 | Regional Ocean Model

250 Oceanographic information

251 The majority of the predictors in our analysis were physical oceanographic parameters 252 (e.g., temperature, alongshore and cross-shelf currents, and mixed layer depth), which were 253 derived from the data-assimilative CCE ROMS output (Neveu et al., 2016). The CCE-ROMS 254 model domain covers the region from $30^{\circ}N - 48^{\circ}N$ and from the coastline to $134^{\circ}W$ at 0.1° (~10 255 km) horizontal resolution, with 42 terrain-following vertical levels. We used the 1980 - 2010256 CCE reanalysis, which assimilates satellite observations (SST, SSH) and in situ data 257 (temperature and salinity from ships, floats, moorings, gliders) into the model to more accurately 258 represent the true ocean state. This reanalysis has been used extensively in the CCE to study 259 climate-ocean dynamics (Jacox et al., 2014, 2015), bottom-up controls on primary production 260 (Jacox et al., 2016), oceanographic influences on species distributions (Becker et al., 2019; 261 Brodie et al., 2018), and recruitment (Haltuch et al., 2020; Tolimieri et al., 2018). All ROMS 262 output was averaged in 4-day increments and then either averaged or summed over the 263 appropriate period, latitude/longitude, and depth (as defined in Tables 1 and A1) for each of the 264 30 years (n = 30 for each time series in the analysis; see Section 2.4, below). 265 The paucity of subsurface oceanographic data was the motivation for using the CCE 266 ROMS model output in this study. Despite our inability to validate the subsurface model 267 transport, the output provides a physically consistent estimate of subsurface dynamics, though it 268 likely deviates from nature more at the subsurface than at the surface. Data assimilation was used to improve models that already capture the dynamics in the CCE without data assimilation. The
model's skill has been extensively documented for applications with and without data
assimilation (e.g., Jacox et al., 2015; Veneziani et al., 2009). The model exhibits realistic
physical variability even in the absence of data assimilation, as it is forced by realistic winds,
surface heat fluxes, and lateral boundary conditions. Further details on the impact of assimilated
data on different metrics of the CCE can be found in Moore et al. (2017).

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276 **2.2.1.1 | Temperature**

277 Temperature may affect Pacific hake recruitment through a number of mechanisms. For 278 example, higher temperatures during the spawning female preconditioning stage (Tables 1 and 279 A1, hypothesis 1 (H1)) may increase energetic demands, causing less energy to be allocated to 280 reproduction, resulting in reduced egg production or potentially skipped spawning. Temperature 281 can also influence the timing and location of spawning, as well as the growth, development, and 282 survival of eggs, larvae, and juveniles through multiple mechanisms. For example, growth rates 283 may be higher at warmer temperatures, which may reduce the time spent by slow-growing and 284 small larvae in stages vulnerable to predation (e.g., the 'Stage-Duration' or 'bigger-is-better' 285 hypotheses; Houde, 1987, 1997). However, metabolic demands may also increase with 286 increasing temperature, making larvae more susceptible to starvation, especially if warmer 287 waters are associated with poor feeding conditions (e.g., lower quality prey or less abundant 288 prey). Modeled temperatures were obtained from the ROMS output. In most cases, we included 289 temperature as degree days (cumulative temperature above a threshold value, Chezik et al., 290 2014), setting a standard threshold temperature of 5.0°C (Chezik et al., 2014).

291

292 **2.2.1.2** | **Transport**

Marine species with pelagic eggs and larvae must rely on transport and their own behavior to move them toward and keep them within suitable nursery habitat for successful recruitment to the juvenile stage. Transport to nursery habitat was characterized by ROMS estimates of mean alongshore and cross-shelf transport at specific depths and times for each relevant Pacific hake life history stage (Tables 1 and A1).

Pacific hake eggs rise to the base of the mixed layer after spawning (Bailey et al., 1982).
Thus, the mixed-layer depth (MLD) may influence how high eggs rise in the water column,
which in turn, may affect their transport (Hinckley et al., 1996; Sundby, 1991) and access to food
resources after hatching (Cushing, 1982). We included the mean MLD from January to April,
during which time eggs and larvae are believed to aggregate at the base of the mixed layer
(Ahlstrom, 1959; Bailey, 1982).

304 The distribution of Pacific hake may be related to poleward flow in the California 305 Undercurrent (CU), with changes in flow aiding or impeding the poleward migration of adults 306 (Agostini et al., 2006, 2008; Benson et al., 2002; Dorn, 1995; Smith et al., 1990; Woodbury et 307 al., 1995). While Pacific hake spawn primarily over the continental slope, Bailey (1981) 308 suggested that the location of spawning is related to the CU, which usually occurs over the 309 continental slope at depths of 200 - 400 m. Flow in the undercurrent peaks during the spawning 310 period (Agostini, 2005), thus we included a metric of transport in the poleward undercurrent 311 (PU) from January to June to determine whether the transport of eggs and larvae might be 312 affected by changes in this feature (Tables 1 and A1).

313

314 **2.2.1.3** | Upwelling

315	Wind-driven upwelling in the CCE drives its high biological productivity, supplying
316	nutrient-rich water to the surface layer and fueling the growth of phytoplankton that form the
317	base of the marine food web. To examine the impact of upwelling on recruitment, we used two
318	upwelling indices developed for the U.S. west coast: the Coastal Upwelling Transport Index
319	(CUTI) and the Biologically Effective Upwelling Transport Index (BEUTI) (Jacox et al. 2018).
320	CUTI and BEUTI provide estimates of the total volume of water and the total quantity of nitrate
321	upwelled or downwelled in a given time period, respectively. While CUTI is a measure of
322	physical upwelling transport, BEUTI is a measure of both the intensity of upwelling and the
323	quality of upwelled waters in terms of their nutrient content, which can strongly influence
324	productivity, independent of the surface wind strength (Jacox et al., 2016).
325	The input of nutrients and the timing of the spring transition from downwelling-favorable
326	to upwelling-favorable winds each year is critical to phytoplankton productivity, especially in the
327	northern CCE (Bograd et al., 2009). In addition to the two upwelling indices described above, we
328	included the timing of the spring transition as a predictor of hake recruitment due to its link to
329	hake production (Hollowed et al., 2009). The Julian day of the Mean Spring Transition Date
330	(SPTR), calculated using the Columbia Basin Research (CBR) Mean Method (Van Holmes,
331	2007), was used in our analysis. Briefly, the CBR Mean method averages daily upwelling
332	deviations from mean offshore transport at three sites along the Oregon and Washington coasts
333	(see Tables 1 and A1). The smoothed cumulative upwelling deviation indices are then examined
334	for spring minima, with the Julian day of this extreme listed as the CBR Mean Spring Transition
335	Date (Van Holmes, 2007).

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337 2.2.1.4 | Eddy kinetic energy

338 Coastal eddies are mesoscale features that can retain early life stages and may contribute 339 to enhanced recruitment in marine fishes (Hare & Cowen, 1996; Owen, 1980; Sakuma & 340 Ralston, 1997; Sanchez & Gil, 2000; Vastano et al., 1992). Eddy kinetic energy (EKE) provides 341 a proxy for the intensity of mesoscale turbulence, which includes not only mesoscale eddies, but 342 also features such as meanders and fronts that can concentrate prey and lead to improved feeding 343 conditions. We included EKE as a predictor to investigate the effect of mesoscale variability on 344 Pacific hake recruitment during the post-spawning (January – April) and summer feeding (May – September) periods from $31^{\circ} - 34.5^{\circ}N$, $34.5 - 42.5^{\circ}N$, and $42.5^{\circ} - 47^{\circ}N$. 345 346 2.2.1.5 | Sea-surface height 347

The collective expression of basin-scale processes (see Section 2.2.5 below) can result in local changes in SSH. Thus, indices of SSH were included in our analysis to aid in testing the consistency of mechanisms hypothesized to impact Pacific hake recruitment. Indices were derived for the post-spawning (January – April) and summer feeding (May – September) periods from the female preconditioning to the age-0 pelagic juvenile stage between $31^{\circ} - 34.5^{\circ}N$, 34.5° $-42.5^{\circ}N$, and $42.5^{\circ} - 47^{\circ}N$.

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355 **2.2.2** | Prey availability

Prey availability in the months prior to spawning (here April – September) may affect female condition, which, in turn, may affect the quality or number of eggs produced, or the probability of spawning in a given year. We included recruitment of age-2 Pacific herring (*Clupea pallasii*, PREY_{pre.her}) off the west coast of Vancouver Island (WCVI), representing summer feeding conditions in Canadian waters, and log-transformed biomass of age-0 and age-1 juvenile hake (logPRED_{pre.juvhake}), representing cannibalism by adults, from their most recent stock assessments (Cleary et al., 2020; Grandin et al., 2020), as indices of prey abundance for the
female preconditioning period. Although euphausiids are a main prey item for Pacific hake
adults (Livingston & Bailey, 1985), there is no continuous time series available that represents
euphausiid abundance on their summer feeding grounds for the years examined in our analysis.
Thus, the link between hake recruitment and euphausiid abundance for this stage was not
explored.

368 Starvation during the early life stages is thought to be an important regulator of 369 recruitment in marine fishes, particularly at the time of first-feeding ('Critical Period' hypothesis, 370 Hjort, 1914, 1926) and during the period of drift from spawning grounds to juvenile nursery 371 areas, where the timing and abundance of food are important to survival ('Match-mismatch' 372 hypothesis, Cushing, 1972). In years with near-average ocean conditions, the diet of early-373 juvenile Pacific hake transitions from copepods to euphausiids (Livingston & Bailey, 1985). We developed indices of copepod abundance (n m^{-2}) as prey for the early and late larval stages 374 (PREY_{larv.zp}, PREY_{latelarv.zp}), and euphausiid abundance (n m⁻²) as prey for the late larval and 375 376 juvenile stages (PREY_{latelarv.eup}, PREY_{age0.eup}) from surveys conducted by the California 377 Cooperative Oceanic Fisheries Investigations (CalCOFI) off the coast of southern California. 378 Weighted averages of copepod and euphausiid abundances from February to May were calculated for each year. Copepod data were obtained from the Zooplankton Database 379 380 (https://oceaninformatics.ucsd.edu/zoodb/secure/login.php) and included pooled and unpooled 381 samples of Copepoda (all genera and species, all phases and stages) from night tows conducted 382 from February - May at lines 80 to 93 (Southern California). Euphausiid data were obtained 383 from the Brinton and Townsend Euphausiid Database

(https://oceaninformatics.ucsd.edu/euphausiid/secure/login.php) and included all genera and
species, all phases and stages collected from Feb – May from lines 77 to 93 (Southern
California).

387

388 2.2.3 | Predation on recruits

389 Size-specific predation is a major source of mortality for the early life stages of marine 390 fishes (Bailey & Houde, 1989; Houde, 2008). We included several indices of predator abundance 391 to examine the effects of predation on recruitment. Pacific hake have been shown to be important 392 prey of California sea lions (Zalophus californianus), for example. In the late 1970s they were 393 the most abundant prey in the diet of sea lions from San Miguel Island, off southern California, 394 with almost 49% of scats examined containing Pacific hake, mostly 1-2 year old fish (Antonelis 395 et al., 1984; Livingston & Bailey, 1985). Scat samples collected seasonally on San Clemente and 396 San Nicolas islands in the Southern California Bight from 1981 – 2015 also show evidence of 397 sea lion predation on age-0 and age-1 Pacific hake (< 30 cm in length) (A. Curtis, NOAA, 398 personal communication). We used estimated pup abundances from Laake et al. (2018) as an estimate of California sea lion predation on Pacific hake (PRED_{age0.csl}). Pup counts were used, as 399 400 they directly relate to predation on Pacific hake by females foraging around San Clemente and San Nicolas islands (A. Curtis, NOAA, personal communication) by integrating both population 401 402 size and energetic demands of the population.

Arrowtooth flounder (*Atheresthes stomias*) is a highly piscivorous flatfish known to prey
on Pacific hake juveniles and adults (Buckley et al., 1999; Ressler et al., 2007; Sampson et al.,
2017). Pacific hake are the primary prey of arrowtooth flounder off Oregon and Washington
(Buckley et al., 1999). Although arrowtooth flounder are mostly found north of central California

407 (Best 1963), recent stomach content analysis work has found that young-of-the-year (YOY) fish
408 are consumed as well where their distributions overlap (Draper, 2022). We included an index of
409 arrowtooth flounder biomass from the most recent stock assessment (Sampson et al., 2017) as a
410 predator of age-0 Pacific hake (PRED_{age0.atf}).

Studies have shown evidence of cannibalism by age-1 fish on YOY Pacific hake (Smith
1995; Buckley & Livingston, 1997). Thus, we included log-transformed age-1 biomass of Pacific
hake from the 2020 stock assessment (Grandin et al., 2020) as an index of predation on age-0
juveniles (logPREDage0.age1hake).

415

416 **2.2.4** | Storm and calm periods

417 Wind-induced mixing can affect the vertical distribution of plankton in the upper water 418 column, which, in turn, can influence feeding success and growth of larval fishes. Periods of 419 calm are associated with vertical stratification of the water column, aggregating prey in sufficient 420 concentrations to support successful foraging, growth, survival, and recruitment, while storm 421 events can disperse both larvae and food patches, leading to lower foraging success ('Stable 422 Ocean' hypothesis, Lasker, 1978, 1981). We included indices for the mean number of 423 (CALMlarv, STORMlarv), duration of (CALMDlarv, STORMDlarv), and time between (CALMBlarv, STORMBlarv) distinct calm periods and storms events from February to May using 424 425 the methodology outlined in Turley & Rykaczewski (2019). Wind events were identified using 426 modeled wind output available from the NOAA National Centers for Environmental Prediction Climate Forecast System Reanalysis model (Saha et al., 2010) for the region between 28° and 427 428 36°N. Storm periods were identified as intervals when the wind speeds were equal to or greater than 10 m s⁻¹ for a minimum of 18 h (and below this threshold for the preceding 96 h). A calm 429

period was identified when the wind speed was below 10 m s⁻¹ for a minimum of 10 days and
wind speeds were above the same threshold during the preceding 18 h. A minimum of 10 days
was used because Pacific hake yolk-sac larvae must find food within this period, or irreversible
starvation occurs (Bailey, 1982). Further methodological details can be found in Turley &
Rykaczewski (2019).

435

436 **2.2.5** | **Basin-scale processes**

437 Bifurcation Index

The NPC bifurcates into the poleward Alaska Current and the equatorward California Current in a transition zone that ranges from about 42° – 52° N (Freeland, 2006; Cummins and Freeland, 2007). Variability in the north-south location of this bifurcation has been linked to biological productivity in the CCE (Malick et al., 2017; Sydeman et al., 2011). We used an index of the location of the NPC bifurcation (BI, Malick et al., 2017) to examine whether Pacific hake recruitment was influenced by the positioning of the NPC, with the expectation that a northwardshifted NPC would lead to higher productivity in the CCE and higher recruitment.

445

446 El Niño-Southern Oscillation

Variability in Pacific hake year-class strength has been linked to conditions related to ENSO (Hollowed et al., 2001), which can cause warming of the upper ocean, depression of the thermocline, weakening of upwelling intensity, and intensification of the CU (Chelton & Davis, 1982; Hickey, 1998; Hollowed, 1992; Jacox et al., 2015). We used the Oceanic Niño Index (ONI), defined as the 3-month running average of sea surface temperature anomalies in the Niño 3.4 region (5° S – 5° N, 120° – 170°W) (Trenberth, 1997), to index variability associated with El Niño and La Niña events from the female preconditioning period (ONIpre), through the larval
stages (ONIJA), to summer feeding of age-0 pelagic juveniles (ONIAS).

455

456 Pacific Decadal Oscillation

The Pacific Decadal Oscillation (PDO), defined as the leading principle component of monthly SST anomalies in the North Pacific poleward of 20°N (Mantua et al., 1997). The PDO been shown to be correlated with indices of salmon survival in the Northeast Pacific (Burke et al., 2013; Malick et al., 2009; Mantua et al., 1997) and the driver of inverse production regimes between Alaska and west coast salmon stocks (Hare et al., 1999). The PDO was included as a covariate for the female preconditioning period (PDO_{pre}), through the larval stages (PDOJA), to summer feeding of age-0 pelagic juveniles (PDOAs).

464

465 North Pacific Gyre Oscillation

466 The North Pacific Gyre Oscillation (NPGO) measures changes in the circulation of the North Pacific gyre and has been correlated with salinity, nutrient, and chlorophyll-a fluctuations 467 468 measured in long-term observations in the California Current and Gulf of Alaska (Di Lorenzo et 469 al., 2008). The NPGO has been linked to west coast salmon productivity (Malick et al., 2015, 470 2017) and may be important to the recruitment of Pacific hake. We included the NPGO as a 471 covariate in our analysis across all stages in our conceptual life history model (preconditioning 472 period, April – September; spawning to early larvae, January – April; late larvae to age-0 473 juveniles, April – September).

474

475 **2.3 | Recruitment residuals**

Model estimates of Pacific hake recruitment were taken from the most recent stock
assessment (here, Figure 3d, Figure 28 in Grandin et al., 2020). Specifically, we used the modelestimated log deviations as the response variable, where the deviations were the annual
deviations from log median recruitment. Median recruitment was a function of the assumed
Beverton–Holt stock–recruitment relationship, aging error assumptions, and the data included in
the stock assessment model including the sampled age structure.

482 Our analysis covers recruitment residuals from 1981 – 2010, as the 1980 recruitment
483 deviation depends on the preconditioning period in 1979, which was not available in the CCE
484 ROMS output.

485

486 **2.4 | Model development**

487 Initial explorations of candidate variables led to a de facto reduction in the number of 488 models evaluated. Specifically, correlations among predictor variables and individual linear and 489 quadratic regressions for each predictor against recruitment deviations were calculated and 490 evaluated prior to model fitting and selection. Predictors that were strongly correlated (Figure 491 A2, $|\mathbf{r}| > 0.70$) were not permitted in the same model (Dormann et al., 2013). Quadratic terms 492 were included in the main modeling exercise for individual hypothesized covariates where the 493 quadratic model fit better than the linear model (Akaike's Information Criterion, AIC (Akaike, 494 1998), was < 2.0 that of the linear model). Based on preliminary model explorations, the 495 following covariates were included as potential quadratic predictors during model selection: BEUTI (UWpre.beu) from $41.5^{\circ} - 47.5^{\circ}$ N during the adult female preconditioning stage, and 496 497 cross-shore transport during the first-feeding (CSTlarv) and late larval stages (CSTlatelarv).

498 Due to the large number of hypotheses generated about the drivers of Pacific hake 499 recruitment, we used a three-step approach to model selection. First, a series of generalized 500 linear models (GLMs) were fitted for each of the seven stages in our Pacific hake conceptual life 501 history model, including all permutations of the ROMS covariates from our hypotheses and 502 excluding highly correlated terms (Figure A2, $|\mathbf{r}| > 0.70$) from the same model. To prevent 503 overfitting, the number of ROMS predictors in a candidate model was limited to five (one 504 covariate per six data points in the time series). Predictors that were identified as potentially 505 quadratic were included in the models as a linear function and a quadratic function. The best-fit 506 models with $\Delta AICc < 2.0$ were retained for further consideration (Burnham & Anderson, 1998). 507 Second, generalized linear models (GLMs) were fit to all life stages combined and run with 508 ROMS predictor variables from the best-fit model for each stage, along with those variables 509 occurring in at least 3 of the stage-specific models with $\Delta AICc < 2.0$. Third, we retained all 510 ROMS variables appearing in models with $\Delta AICc < 2.0$ from the previous step, added the predator, prey, and climate predictor variables, and re-evaluated the model selection for all life 511 512 stages combined. In total, 117,439 models were considered.

513 All analyses were conducted using R statistical software version 3.6.1 (R Core Team, 514 2020) using the Multi-model inference package (MuMIn, Barton, 2020) for model selection. Due 515 to a limit on the number of predictors allowed in the model fitting process (31), some covariates 516 were not included in the final step of model fitting. Terms that were highly correlated with 517 retained covariates (Figure A2, PREY_{pre} with PRED_{age0.age1hake} (r = 0.88); CALMB_{larv} (r = 0.76) and CALMD_{larv} (r = 0.81) with CALM_{larv}) or were correlated with a similar covariate (NPGO_{JA}) 518 519 with both NPGO_{pre} (r = 0.73) and NPGO_{AS} (r = 0.92); ONI_{JA} with ONI_{pre} (r = 0.76)), were 520 removed, although their hypothesized effects on recruitment were generally captured in the

521 analysis.

522

523 **2.5** | Model validation and testing

524 The performance of the best-fit model for all stages in our conceptual life history model 525 was evaluated using (1) resampling with replacement of recruitment deviations to estimate R^2 526 values using 100 randomized data sets; (2) bootstrapping whole years with replacement to 527 estimate bias and calculate SE of the parameter estimates; (3) annual jackknife resampling to determine the effect of any single year on the R^2 ; (4) resampling annual recruitment deviations 528 529 from a log-normal distribution using the annual mean and SD estimated from the assessment, 530 then recalculating recruitment residuals, and refitting the model 1,000 times; (5) refitting the 531 model using data for 1981 - 2005 and predicting recruitments deviations for 2006 - 2010; (6) 532 jackknife resampling to re-run the entire model-fitting and comparison exercise, to determine 533 whether removal of any individual year would change the selected predictor variables; and (7) 534 re-running the entire model fitting exercise 100 times using the re-sampled Pacific hake 535 recruitment deviations with error (from Step 4 above), comparing AICc-selected models from 536 each run. Finally, we used (8) jackknife resampling but fit only the years 1981 – 2005, compared 537 the resulting models to the best-fit model above, and used the 1981 - 2005 model to predict 538 recruitment deviations for 2006 – 2010.

539

540 **3 | RESULTS**

541 Model fitting identified a clear best-fit model for each stage in our conceptual life history 542 model for Pacific hake, with intercept-only models for the spawning, egg, and age-0 pelagic 543 juvenile stages (Table A2). The best-fit model for the adult female preconditioning stage, based

544	on the lowest AICc, included May – September eddy kinetic energy between 34.5° and 42.5°N
545	(EKEpre.MS.c) and upwelling strength (UWpre.cu), which together explained 31% of the
546	recruitment variability in Pacific hake. Alongshore transport (ASTyolk) and transport in the
547	poleward undercurrent (PU_{yolk}) during the yolk-sac stage explained 18% of the variability in
548	recruitment (Table A2). Cross-shelf transport north of Point Conception (CST _{larv.n}), along with
549	linear and quadratic predictors for cross-shelf transport south of Point Conception ($CST_{larv.s}$)
550	accounted for 16% of the recruitment variability for the first-feeding larval stage. Similarly,
551	linear and quadratic predictors for cross-shelf transport south of Point Conception during the late
552	larval stage (CST _{latelarv.s}) accounted for 22% of the variability in hake recruitment (Table A2).
553	All terms identified in the stage-specific best-fit models, along with those terms
554	appearing in three or more models with $\Delta AICc < 2.0$ (Table A3), were included in the next stage
555	of model fitting, where all life history stages were combined. Model fitting produced seven
556	candidate models with $\Delta AICc < 2.0$, which explained between 31% and 43% of the variability in
557	hake recruitment not accounted for by the stock-recruitment relationship in the assessment.
558	(Table A2). For the adult preconditioning stage, May – September EKE between 34.5° and
559	42.5°N (EKE _{pre.MS.c}) appeared in all seven models, while six models included upwelling strength
560	between 41.5° and 47.5°N (UW _{pre.cu}). Three models included alongshore transport during the
561	yolk-sac larval stage (AST _{yolk}) and two models included May – September EKE between 34.5°
562	and 42.5°N (EKE _{MS.c}). Linear and quadratic predictors for cross-shelf transport south of Point
563	Conception during the first-feeding larval stage ($CST_{larv.s}, CST^2_{larv.s}$) and the late larval stage
564	(CST _{latelarv.s} , CST ² _{latelarv.s}) each appeared in one model (Table A2). All terms appearing in the
565	seven models with $\Delta AICc < 2.0$ were carried over to the next step of model fitting.
566	The final step of model fitting, which combined the ROMS predictors identified in the

567 previous step with predator, prey, and climate predictors for all stages in our conceptual life 568 history model, identified five candidate models with a $\Delta AICc < 2.0$ (Table 2). The model with 569 the lowest AIC included five covariates (Figures 3, A1 and A3, Table 2), which explained 59% 570 of the variation in recruitment residuals from 1981 to 2010. Model predictions closely followed 571 the estimated recruitments from the stock assessment in 23 out of 30 years, with the exceptions 572 of 1984, 1989, 1990, 2000, 2005, 2007, and 2009 (Figure 4a). Recruitment deviations were 573 negatively correlated with the NPC Bifurcation Index (BIpre), May – September EKE between 574 34.5° and 42.5°N (EKE_{pre.MS.c}), and Pacific herring biomass off the WCVI (PREY_{pre.her}) during 575 the female spawner preconditioning stage (Figure 5). Negative correlations were also found with 576 northward alongshore transport between 50 - 100 m during the yolk-sac larval stage (AST_{volk}) 577 and the number of days between storm events during the first-feeding larval stage (STORMB_{larv}) 578 (Figure 5, Table 3). Standardized coefficients suggested that EKEpre.MS.c and BIpre had the 579 strongest effect on recruitment, while the other predictors had relatively similar impacts (Table 580 3). All five $\Delta AICc < 2.0$ models included the EKE_{pre.MS.c} predictor. The remaining four models 581 included combinations of the predictors described above, with additional terms including predation on age-0 pelagic juveniles by age-1 hake (PRED_{age.0age1.hake}), upwelling strength during 582 583 the preconditioning stage (UW_{pre.cu}), which replaced the BI_{pre} predictor, and the number of calm 584 periods during the first-feeding larval stage (CALM_{larv}) (Tables 2 and A2). 585 There was weak correlation among the covariates in the best-fit model (Table 4). 586 Generalized variance inflation factor values (VIF), which measure how much the variance of the

estimated regression coefficients is inflated as compared to when the predictor variables are not
linearly related, were low (i.e., less than 2; Table 4). The diagnostics for the best-fit model show

589 good model fit (Figure 5) with residuals that did not show signs of autocorrelation (Figure A1).

590

591

592 Randomly resampling the recruitment deviations (bootstrap with replacement) and rerunning the AIC-best model resulted in a median expected $R^2 = 0.16$ (95% C.I. = 0.03–0.41), 593 suggesting that the observed value of $R^2 = 0.59$ was not likely to be observed at random. 594 595 After removing individual years and refitting the best-fit model (jackknifing), there was little impact on the model fit (Figures 4 and 5, median $R^2 = 0.59$ (95% C.I. = 0.55 - 0.67)). 596 597 Predicting the missing year from any iteration produced estimates very similar to those for the 598 full model (Figure 4a). The years that showed the highest impact on the model's ability to explain the data were 1990 (increased to $R^2 = 0.70$) and 2002 (decreased to $R^2 = 0.54$, Figure 6). 599 Resampling annual recruitment deviations with error produced a slight decline in model 600 performance (median $R^2 = 0.56$ (95% C.I. = 0.50 – 0.62)). This suggests that uncertainty in the 601 602 time series of recruitment deviations (given the current stock assessment parameters) results in a 603 somewhat lower ability to explain the variability in recruitment. 604 When the entire model-fitting process was re-run using the jackknife resampling, the 605 results were fairly consistent with the primary analysis (Table 5). May – September EKE 606 between 34.5° and 42.5°N, the NPC Bifurcation Index, and Pacific herring biomass during the 607 adult female preconditioning stage appeared in 95%, 55%, and 11% of the models, respectively. 608 Alongshore transport during the yolk-sac larval stage and the number of days between storm 609 events appeared in 40% and 21% of the models, respectively. Other predictors of note were 610 upwelling strength between 41.5° and 47.5°N during the adult female preconditioning stage and 611 the number of calm periods during the first-feeding larval stage, which appeared in 40% and 612 37% of the models, respectively.

3.1 | Model testing and validation: best-fit model

613 Resampling the recruitment deviations (with error) and re-running the entire model-614 fitting exercise 100 times was mostly consistent with the AIC-best model from the primary

analysis (Table 6). Three of the five predictors from the AIC-best model were the most

616 commonly occurring predictors in the best-fit models from each iteration: EKE_{pre.MS.c} (81% of

617 models), BI_{pre} (46%), AST_{yolk} (41%). Additional predictors included CALM_{larv} (44%) and

618 UW_{pre.cu} (40%). Other terms from the AIC-best model, PREY_{pre.her} and STORMB_{larv} occurred in
619 17% and 15% of the models, respectively.

620 Finally, jackknife resampling and re-running the entire model-fitting process for the 1981 621 -2005 data supported the inclusion of only one term from the AIC-best model from the primary 622 analysis. The predictor for the NPC Bifurcation Index during the preconditioning stage (BI_{pre}) 623 appeared in 55% of the best-fit models given the exclusion of a given year (Table 7). Predictors 624 representing predation on the early juvenile stages were important, with predation on age-0 fish by age-1 hake (logPRED_{age0.age1.hake}) and arrowtooth flounder predation on age-0 pelagic 625 626 juveniles appearing in 98% and 33% of the best-fit models from 1981 – 2005, respectively. The 627 linear and quadratic predictors for cross-shelf transport south of Point Conception during the first-feeding larval stage (CST_{larv.s}, CST²_{larv.s}) appeared in 76% and 71% of models, respectively 628 629 (Table 7). Other important predictors were the Ocean Niño Index during the adult female 630 preconditioning stage (ONI_{pre}) and the number of calm periods during the first-feeding larval 631 stage (CALM_{larv}), which appeared in 62% of and 24% the models, respectively. Surprisingly, the 632 EKE_{pre.MS.c} predictor, which was a strong predictor in the both the jackknife and resampling 633 recruitment analyses, only appeared in 7% of the models, while PREY_{pre.her} dropped to 2%. 634 However, jackknife resampling using the AIC-best model from the primary analysis did a good job of predicting recruitment deviations for 1981 - 2005 ($R^2 = 0.63$, Figure 3b), although the 635

636 high recruitment events in 1990 and 2005 were underpredicted and recruitment in 1989 was 637 overpredicted. Our five-term model predicted recruitment deviations well when used to forecast 638 2006 - 2010, although it overpredicted recruitment in 2006 and underpredicted recruitment in 639 2009 and 2010 (Figure 3b). The AIC-best model from the jackknife resampling (BI_{pre}, ONI_{pre}, CST_{larv.s}, CST²_{larv.s}, and logPRED_{age0.age1.hake}) did a very good job of predicting recruitment 640 deviations for 1981 - 2005 ($R^2 = 0.83$), yet only a marginal job for 1981 - 2010 ($R^2 = 0.44$). 641 642 Thus, the five-term Model 1 from the primary analysis appears to be an effective predictor of 643 Pacific hake recruitment variability.

644

645 4 | DISCUSSION

646 Our analyses revealed several potential drivers of recruitment variability in Pacific hake. 647 The five variables in the AIC-best model explained 59% of the variability in Pacific hake 648 recruitment not accounted for by estimates based exclusively on the spawning stock size. 649 Recruitment deviations were negatively correlated with May – September eddy kinetic energy 650 between 34.5° and 42.5°N, the location of the North Pacific Current bifurcation, and Pacific 651 herring biomass during the female spawner preconditioning stage, northward alongshore 652 transport during the yolk-sac larval stage, and the number of days between storm events during 653 the first-feeding larval stage. Upwelling strength during the preconditioning stage, the number of 654 calm periods during the first-feeding larval stage, and predation on age-0 pelagic juveniles by 655 age-1 hake were also important predictors that were negatively correlated with recruitment 656 (Table 2, A2). These findings suggest that multiple mechanisms likely affect Pacific hake 657 recruitment at different stages in their early life history (Figure 7). Intercept-only models for the 658 spawning, egg, and age-0 pelagic juvenile stages suggest that the hypotheses we evaluated for

659 these stages were not well explained by the ROMS data (Figure A2).

660 Eddy kinetic energy between May and September from Point Conception to Cape Blanco 661 during the female spawner preconditioning stage was the strongest driver of recruitment we identified. Areas with higher mesoscale turbulence have energetic flow characterized by eddies, 662 663 meanders, and frontal structures, which are known to concentrate prey and improve feeding 664 opportunities for marine fishes (Logerwell & Smith, 2001; Bakun, 2006). As such, we expected higher EKE to be associated with higher hake recruitment, yet the opposite relationship was 665 666 found. Decreasing recruitment with increasing EKE may be associated with its offshore 667 movement with the seasonal equatorward jet, which frequently separates from the coast at Cape 668 Blanco (Castelao et al., 2006; Strub & James, 2000). Fronts associated with upwelling filaments 669 may extend several hundred kilometers offshore (Castelao et al., 2006; Strub & James, 2000), 670 and while this could potentially benefit adult hake through expansion of their feeding habitat, 671 their movement offshore to less productive waters may result in poorer feeding conditions overall, and greater energy expended on the return migration to their spawning grounds. 672 673 Similarly, Nieto et al. (2014) found that offshore transport had a negative effect on sardine 674 recruitment, despite the expansion of their spawning habitat farther offshore. 675 Previous studies have linked a northward-shifted NPC bifurcation to higher biomass and

productivity in the CCE, which likely results from the advective transport of nutrients and largebodied, lipid-rich zooplankton from the sub-arctic domain into the CCE, leading to enhanced production in higher trophic level species (Malick et al., 2017; Sydeman et al., 2011). We expected a similar response with hake. In contrast, we found that a southward-shifted NPC bifurcation during the adult female preconditioning stage was linked to higher recruitment the following year. High productivity in the northern CCE has been attributed to several 682 mechanisms, including a persistent nutrient supply through the dynamics of the Strait of Juan de 683 Fuca and the Columbia River, local upwelling enhancement by submarine canyons (e.g., Juan de 684 Fuca and Astoria canyons), and physical features that allow for the development and retention of phytoplankton blooms on the shelf (Hickey & Banas, 2008). During the summer, the Columbia 685 686 River plume typically flows southwestward offshore of the Oregon shelf, while during the 687 winter, it flows northward over the Washington shelf (Hickey, 1989, 1998). The plume can 688 become bi-directional from summer to early fall, depending on the direction of prevailing winds 689 (Hickey et al., 2005). With a southward shifted NPC bifurcation (e.g., south of the Columbia 690 River), the northward flowing Alaska Current could impede the southwesterly flow of the 691 Columbia plume, advecting the highly productive waters off the coasts of Washington and 692 southern British Columbia northward, leading to better feeding conditions for adult hake on their 693 summer feeding grounds. In contrast, a northward-shifted NPC bifurcation (e.g., off the coast of 694 southern Vancouver Island) would likely result in poorer conditions for hake on their summer 695 feeding grounds, as the high productivity off the coasts of Washington and southern British 696 Columbia would instead be advected southward, with enhanced southwestward offshore flow of 697 plume waters.

Hake recruitment was negatively correlated with northward transport during the yolk-sac larval stage (AST_{yolk}, January to April at 50 - 100 m), which was similar to the findings of Schirripa & Colbert (2006), who linked higher sablefish recruitment with stronger southward transport of surface waters (50 - 100 m) in February. Tolimieri et al. (2018) found that northward transport of sablefish yolk-sac larvae at 1,000 – 1,200 m was associated with higher recruitment, likely because it increased their overlap with northern zooplankton once they moved to surface waters and started feeding. Increased southward transport could potentially increase the overlap of first-feeding hake larvae with boreal copepods, which are larger, higher in fatty
acids, and provide a better food source than southern species (McFarlane & Beamish, 1992;
Peterson, 2009; Peterson & Keister, 2003). Reduced northward transport likely maintains yolksac larvae in close proximity to their southern nursery grounds and may also improve survival by
reducing the spatial overlap of larval and early juvenile stages with age-1 hake, thereby reducing
cannibalism and competition for food resources (Buckley & Livingston, 1997; Smith, 1995).

711 We found that Pacific hake recruitment decreased as the number of days between storm 712 events increased during the first-feeding larval stage. This result was somewhat unexpected, as 713 calm periods in upwelling ecosystems are thought to facilitate vertical stratification of the water 714 column, aggregating fish larvae and prey at concentrations that support successful feeding, 715 survival, and recruitment (Lasker, 1978, 1981). For example, Peterman & Bradford (1987) found 716 that the mortality rate of northern anchovy larvae declined as the frequency of calm periods with 717 low wind speeds increased. However, Turley & Rykaczewski (2019) found that the number of 718 hake recruits per spawning stock biomass was negatively correlated to the number of distinct 719 calm periods per spawning season and that larval mortality significantly decreased as the number 720 of storm events increased. While storm-induced mixing can disrupt or dilute patches of plankton, 721 the authors suggested that these negative effects could be offset by increased contact rates 722 between first-feeding larvae and their prey (e.g., MacKenzie & Leggett, 1991; MacKenzie et al., 723 1994). Turley & Rykaczewski (2019) also postulated that larval hake at the base of the mixed 724 layer could benefit from turbulence avoidance behavior by prey in the mixed layer, with prey 725 becoming more susceptible to predation as they swim downward (Franks, 2001). These 726 mechanisms could also explain the negative correlation found between recruitment and the 727 number of days between storm events in our study, as more frequent storm events would

728 maintain a downward flux of surface prey, leading to increased encounter rates, higher growth 729 and survival of first-feeding hake larvae, and higher recruitment. While increased turbulence 730 may initially improve feeding success by increasing encounter rates between predators and their 731 prey, this may only be up to a certain point, akin to the "Optimal Environmental Window" 732 hypothesis for upwelling (Cury & Roy, 1989). Research has shown that decreased ingestion rates 733 may occur at higher levels of turbulence through disruption of feeding patches, with decreased 734 reaction times of predators to increased prev velocities and decreased capture success also 735 impacting the ability of larvae to feed successfully (Landry et al., 1995 and references therein). 736 However, higher velocities of hake larvae and decreased capture success by their predators at 737 higher levels of turbulence may also help hake early life stages elude their predators, leading to 738 increased survival and higher recruitment.

739 Pacific herring biomass during the adult preconditioning stage was negatively correlated 740 with hake recruitment. This result was surprising, as we expected higher herring biomass to lead 741 to improved feeding conditions for adult hake, given that they become more piscivorous with age 742 and are a primary predator of herring off the west coast of Canada (Schweigert et al., 2010; Ware 743 and McFarlane, 1986, 1995). In a recent study, Godefroid et al. (2019) found that spatiotemporal 744 densities of Pacific herring and Pacific hake off the WCVI in summer were negatively 745 correlated, which the authors attributed to predation, although they noted this pattern might also 746 reflect different responses to environmental conditions or prey availability. The negative 747 relationship between hake recruitment and herring biomass during the adult preconditioning 748 stage in our study might result from competitive interactions between the two species. 749 Euphausiids are an important food source for Pacific hake across different life stages throughout 750 their range (Livingston & Bailey, 1985), accounting for 80 - 90 % of prey consumed, although

they decrease in importance for larger fish on their northward migration (Stauffer, 1985). Still,
higher herring biomass on the hake summer feeding grounds may reduce the abundance of
euphausiids, which could lead to poorer feeding conditions for adult hake, reduced condition
prior to spawning, and lower recruitment.

755 Our analysis identified additional covariates that were less consistently correlated with 756 recruitment, but may be influential in some years. In particular, hake recruitment was linked to 757 the NPGO, PDO, and upwelling during the adult female preconditioning stage, the number of 758 calm periods and duration of storm events during the first-feeding larval stage, euphausiid 759 abundance during the late larval stage, predation by age-1 hake during the age-0 pelagic juvenile 760 stage, and timing of the spring transition (Table 2). When a new model was fit with these 761 additional covariates outside of our main analysis, almost 63% of the variability in Pacific hake 762 recruitment deviations was explained, compared to 59% in the AIC-best model. However, model 763 diagnostics for these models were poor, indicating that they were likely overfitting the data. 764 Increased storm duration and the number of calm periods were likely linked with higher 765 recruitment due to the previously described mechanisms, with enhanced feeding as prey are more 766 frequently mixed downwards or descend to avoid turbulence during storms (Turley & 767 Rykaczewski, 2019). An alternative mechanism could be that increased turbulence associated 768 with increased storm duration would disrupt potential predation on larval hake as contacts rates 769 decreased with high turbulence (Landry et al., 1995 and references therein). Atmospheric forcing 770 associated with both the PDO and NPGO controls decadal modulation of the upwelling cells, 771 resulting in spatially varying responses of coastal upwelling, with a strong PDO signal north of 772 38°N and a strong NPGO signal south of 38°N (Di Lorenzo et al., 2008). Chhak & DiLorenzo 773 (2007) found differences in modeled depth of the upwelling cell between "warm" and "cool"

phases of the PDO, likely impacting nutrient flux and biological productivity, though differences
were not as strong in southern regions of the CCE compared to northern regions. Thus, the PDO
and NPGO may impact recruitment in Pacific hake through upwelling-related changes in
productivity and horizontal advection in the CCE.

778 While upwelling was not a predictor in our AIC-best model, it often appeared in other 779 candidate models (Tables 2 and A2). Upwelling fuels the CCE's high biological productivity and 780 as such, we expected that higher upwelling would be linked to higher hake recruitment. Instead, 781 we found that weaker upwelling north of 42°N from April to October during the adult female 782 preconditioning stage was linked to higher recruitment. Upwelling may impact feeding adults in 783 a number of ways. First, strong poleward flow is thought to aid Pacific hake adults in their 784 northward migration to their summer feeding grounds (Agostini et al., 2006; Benson et al., 2002; 785 Dorn, 1995; Smith et al., 1990). However, the spring transition to upwelling-favorable winds 786 coincides with the onset of predominantly equatorward flow and a reduced California 787 Undercurrent (Siedlecki et al., 2015). Thus, upwelling may impede the northward movement of 788 hake, increasing the energy expended swimming against strong southward currents during their 789 northward migration (Ressler et al., 2007), resulting in lower condition of pre-spawning females. 790 Second, upwelling may impact Pacific hake recruitment through bottom-up processes that affect 791 prey abundance and availability. Upwelling off Oregon and Washington is usually episodic, with 792 events lasting from days to weeks followed by periods of relaxation (Huyer et al., 1979; Huyer, 793 1983; Barth et al., 2000). The shoreward advection of near-surface waters during relaxation or 794 downwelling events has been shown to control larval recruitment (Farrell et al. 1991; Mackas et 795 al., 2001; Roughgarden et al., 1991; Shanks & Morgan, 2018), including that of euphausiids, 796 which are an important prey item for Pacific hake throughout their range (Livingston & Bailey,

797 1985). Indeed, high euphausiid recruitment has been linked to periods of downwelling or below-798 average upwelling, which maintains larvae on the continental shelf instead of being transported 799 offshore into less productive oceanic waters (Mackas et al., 2001). High abundances of hake 800 have often been found in close proximity to high abundance patches of euphausiids near the 801 shelf-break, but the overlap has been less obvious farther offshore (Swartzman et al., 2001; 802 Phillips et al., 2022). Thus, while strong and persistent upwelling can transport phytoplankton 803 blooms and zooplankton prev far from shore via strong Ekman transport (Botsford et al., 2006; 804 Mackas et al., 2001), periods of reduced upwelling may be beneficial to Pacific hake adults on 805 their summer feeding grounds by increasing the abundance of their euphausiid prey via enhanced 806 recruitment, and also by maintaining spatial overlap with them through reducing their offshore 807 transport.

808 The multiple model validation methods applied to these data suggest that the AIC-best 809 model predictions were robust. Recruitment residuals fell well outside of the predicted 95% 810 confidence interval in 1990, 2007, and 2009 (Figure 4a). The latter years coincide with an 811 increase in the abundance and distribution of the Humboldt squid (Dosidicus gigas), which are 812 voracious predators that are known to prey on Pacific hake (Field et al., 2007; Litz et al., 2011). 813 Oddly, hake recruitment was high in 2009, when lower densities of juvenile hake were 814 coincident with the presence of Humboldt squid (Litz et al., 2011). However, higher hake 815 recruitment in 2009 could be linked to weaker than normal upwelling and extended relaxation 816 events in summer 2009 (Bjorkstedt et al., 2010), which may have maintained larvae and early 817 juveniles in close proximity to nearshore nursery habitats, providing better feeding conditions 818 compared to those found offshore. The lack of a clear link between the covariates examined in 819 our study and high recruitment in 1990 suggests that other variables not included here may be

820 important drivers of recruitment. The AIC-best model predictions from a leave-one-year-out 821 jackknife analysis provided predictions that fell within the 95% confidence limits of the fitted 822 AIC-best model in all years (Figure 4a). The AIC-best model predictions that used the available 823 data through 2005 and then predicted 2006 - 2010 resulted in similar predictions to those from 824 1981 – 2010 (Figure 4b). However, two of the recruitment predictors in the AIC-best model 825 (PREY_{pre her} and STORMB_{lary}) were no longer significant. This was likely due to higher values of 826 these predictors at the end of the time series, along with above average recruitment (except in 827 2007), which were removed when using the 1981 through 2005 training data set. 828 Predation on age-0 hake by the preceding cohort was an important predictor of hake 829 recruitment in our jackknife analysis. Previous studies have shown that year-class strength was 830 largely determined within the first few months of hatching (Bailey & Francis, 1985; Hollowed & 831 Bailey, 1989), but predation on juvenile hake was not considered to be a major source of 832 recruitment variability (Bailey & Francis, 1995). However, other studies have suggested that 833 recruitment may be affected by adjacent-cohort cannibalism (Buckley & Livingston, 1997; 834 Smith, 1995). Alternatively, increased competition for food may lead to reduced recruitment in a 835 cohort that follows a successful one (Buckley & Livingston, 1997; Smith, 1995). 836 Arrowtooth flounder biomass was also an important predictor during the model testing 837 and validation process. Pacific hake are the primary diet of arrowtooth flounder off the Oregon 838 and Washington coasts (Buckley et al., 1999). The positive relationship with recruitment could 839 potentially be explained by increased predation on older (age-2+) hake on their summer feeding 840 grounds when arrowtooth flounder biomass is high. Density-dependent mortality due to 841 cannibalism on age-0 and age-1 juvenile hake by older fishes is likely related to the amount of 842 spatial overlap between juvenile and adult fish (Buckley & Livingston, 1997). Thus, the higher
843 levels of recruitment seen when arrowtooth flounder biomass is high may reflect increased 844 predation on adult hake, which would reduce the amount of adult cannibalism on age-1 and YOY 845 fish (Buckley & Livingston, 1997). The arrowtooth flounder spawning biomass experienced a 846 period of fairly rapid decline during the 1970s and subsequent increase through the 1980s, 847 reaching a peak in 1991 (Sampson et al., 2017). Since then, spawning biomass has declined, 848 reaching a low in 2010 (Sampson et al., 2017), which may explain the lack of relationship 849 between recruitment and arrowtooth flounder predation in the 1981 - 2010 time series. 850 In comparison to recruitment drivers identified for other CCE species using the same 851 approach, the lack of a temperature predictor in any of the models for Pacific hake is notable. 852 Degree days during the female preconditioning period was found to be an important predictor of 853 both sablefish (Tolimieri et al., 2018) and petrale sole recruitment (Haltuch et al., 2020). Pacific 854 hake distribution is driven by interactions between age composition and temperature (Malick et 855 al., 2020). However, temperature has a non-linear effect on the distribution of immature hake 856 (i.e., age-2 fish) (Malick et al., 2020), and non-linearity could potentially explain the lack of a 857 relationship between temperature and recruitment in our GLMs. Reproduction and early 858 development in marine fishes are particularly sensitive to changes in temperature (Pepin, 1991; 859 Pörtner et al., 2001; Van Der Kraak & Pankhurst, 1997) and temperature can affect growth and 860 survival indirectly by altering the species composition, nutritional quality, and seasonal 861 distribution of prey (Asch, 2015; Keister et al., 2011; Fietcher et al., 2015; Peterson, 2009; 862 Peterson & Keister, 2003). Another potential explanation for the lack of relationship between 863 temperature and recruitment may be that adult hake seek out a particular temperature or narrow 864 range of temperatures and/or environmental conditions over which to spawn, though Agostini et 865 al. (2006) found that Pacific hake habitat was associated with subsurface poleward flow rather

866 than a specific temperature range. If spawning is initiated when specific water mass properties or 867 a particular temperature range is encountered, as suggested by Bailey et al. (1982), then a strong 868 temperature response in post-spawning stages would not be expected. In addition, because hake 869 spawn at depth where temperatures are cooler, their propagules are released into a relatively 870 stable thermal environment that experiences less year-to-year variability compared to surface 871 waters. Eggs and larvae are found in waters below the mixed layer, which are insulated to some 872 extent from temperature fluctuations in the surface mixed layer above (Bailey, 1982). This 873 relatively stable environment may explain why the early growth of hake larvae shows little 874 variation from season to season (Bailey, 1982; Butler & Nishimoto, 1997). Thus, Pacific hake 875 likely respond differently to climate variability compared to other species that occupy different 876 parts of the water column during their life histories.

877 Based on the results of the current study, it appears that cohort strength is established 878 between the larval and early juvenile stages, but conditions experienced by adult females prior to 879 spawning are also important. Previous research has shown that the survival of larval Pacific hake 880 is strongly influenced by the environmental conditions experienced during the first few months 881 after spawning (Agostini, 2005; Bailey, 1981; Bailey & Francis, 1985; Bailey et al., 1986; 882 Hollowed, 1992;), which suggests that year-class strength is set during the first year of life. 883 Increased recruitment has been linked to weak offshore transport in early winter (Bailey 1980, 884 1981; Bailey & Francis, 1985; Hollowed & Bailey, 1989), warm January sea surface temperature 885 (Bailey & Francis 1985; Hollowed & Bailey, 1989), and increased upwelling in March 886 (Hollowed & Bailey, 1989). However, we did not find significant relationships between 887 recruitment and covariates for temperature and upwelling during the early life stages, although 888 upwelling during the preconditioning stage was important in two of the models with a $\Delta AICc <$

889 2. One potential explanation for discrepancies between our analysis and past research findings 890 could be that earlier studies were limited by shorter time series (e.g., Bailey, 1981) and 891 previously observed relationships have not persisted over time. Indeed, associations between 892 environmental conditions and biological responses are often non-stationary in time (Myers, 893 1998). For example, regression models assuming stationary climate-salmon relationships were 894 found to be inappropriate over multidecadal time scales (1965 - 2012) in a recent study of 895 salmon (Onchorvnchus spp.) productivity in the Gulf of Alaska (Litzow et al., 2018). 896 Relationships between recruitment, the prevalence of density dependence, and environmental 897 drivers have also been shown to differ between PDO regimes for bocaccio rockfish (Sebastes 898 *paucispinis*) (Tolimieri & Levin, 2005; Zabel et al., 2011). For Pacific hake, the changing 899 relationships between recruitment strength and temperature and upwelling during the 1970s 900 (Bailey, 1981) and the 1980s (Bailey & Francis, 1985) suggest that climate-recruitment 901 relationships may also be non-stationary. Another potential explanation for why our recruitment 902 predictors do not align with those found in previous studies is that different timeframes were 903 used to calculate the means for each predictor, obscuring conditions linked to high recruitment 904 events. For example, Hollowed & Bailey (1989) found that successful year-classes of Pacific 905 hake occurred after periods of low upwelling during early winter (either January or February) followed by a period of intense upwelling in March. Our predictors for upwelling during the 906 907 yolk-sac and first-feeding larval stages were calculated over January - April and February -908 May, respectively, thus, they likely would not capture specific upwelling events in March linked 909 to high recruitment.

910 One limitation of our study is that the CCE ROMS domain only covers the U.S. west 911 coast, thus we are unable to address oceanographic conditions in Canadian or Mexican waters 912 that might be important to Pacific hake recruitment. Several predictors did represent conditions 913 outside of the ROMS domain that could potentially affect hake recruitment. These included 914 basin-scale climate indices (e.g., ONI, PDO) and covariates representing the effects of storm 915 events and calm periods on first-feeding larvae off the coast of Baja California. Constraining the spatial domain of the storm and calm covariates to that of the ROMS output $(31^\circ - 36^\circ N vs. 28^\circ)$ 916 917 -36° N) during exploratory analyses resulted in a change in model predictors, with predation on 918 age-0s by age-1 hake replacing the CALM_{lary} predictor. This suggests that predation by the 919 preceding cohort may be an important driver of recruitment off southern California where 920 overlap between age-1 and YOY hake may be greater, while calm periods during the first-921 feeding larval stage may be more important off Baja California. This finding underscores the fact 922 that environmental conditions outside of the ROMS region likely play an important role in hake 923 recruitment. Thus, regional ocean reanalyses with broader spatial coverage would be helpful to 924 include in future studies of recruitment drivers of species with distributions that cross 925 international boundaries.

926 Finally, while our analysis assumes that Pacific hake spawn off the coast of southern 927 California during the winter months, spawning has never been directly observed. Ressler et al. 928 (2007) suggest that the location of spawning is variable, with groups spawning in different 929 places, well north of where the classic model would suggest in some years (see their Figure 8). 930 Several studies have reported finding hake eggs and larvae in the northern region of the CCE in 931 some years (Auth et al., 2018; Brodeur et al., 2019; Hollowed, 1992; Phillips et al., 2007) and 932 future studies should consider alternative spawning grounds, as well as potential shifts in the 933 timing of spawning. Indeed, recent hake maturity work has shown that individuals may be 934 spawning outside of the traditional winter spawning season coast-wide (M. Head, NOAA,

935 personal communication).

936 Our work substantially updates the understanding of drivers of Pacific hake recruitment 937 in the CCE and has the potential to influence the stock assessment process, ecosystem 938 assessments, and management strategy evaluations (MSEs) (e.g., Hollowed et al., 2009). In the 939 current hake stock assessment, recruitment estimates in the current and previous years, and for 2-940 3 year projections into the future are informed only by the stock-recruitment relationship and the 941 large standard deviation that is assumed for annual variability in recruitment. Empirical or 942 model-based information about the scale or direction of drivers of recruitment could reduce 943 uncertainty in recruitment in those years, which would reduce uncertainty in estimates of stock 944 status and allow the stock assessment scientists to provide more precise catch advice (Kaplan et 945 al., 2016; Siedlecki et al., 2016; Tommasi et al., 2017). Moreover, by annually updating 946 environmental predictors to inform recruitment forecasting based on both observed 947 oceanographic conditions and potentially sub-annual forecasts of environmental conditions 948 (Jacox et al., 2017; Siedlecki et al., 2016), managers and stakeholders could be provided with 949 leading environmental indicators of recruitment (Jacox et al., 2020). Recruitment indicators 950 could be used by stakeholders to reduce uncertainty in business planning, or more formally 951 within the management process by informing a risk assessment that could provide context for the 952 binational annual catch level negotiations (e.g., Dorn and Zador, 2020). 953 Our results can also inform assessments of hake's vulnerability to climate change and an 954 ongoing climate-informed MSE focused on hake. Several of the indicators of recruitment we

identified come from a ROMS product, and a related product has recently been forecasted to

956 2100 (Pozo Buil et al., 2021), meaning we can use forecasts of the drivers we identified to begin

to understand how recruitment variability could change under future ocean conditions.

Additionally, a management strategy evaluation for hake has shown that a northward distribution shift in the population could result in diminished ability of the U.S. fishery to catch fish in U.S. waters (Jacobsen et al., 2021). Our results identifying drivers of recruitment and the future ROMS projections together can be used to develop scenarios of future recruitment, which when combined with projecting movement, allow us to begin to understand the impacts of climate change on multiple aspects of Pacific hake life history and the consequences for the management of the binational fishery.

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978 CONFLICT OF INTEREST

979 The authors do not have any conflicts of interest, commercial or otherwise, that have influenced980 the findings of this research.

982 AUTHOR CONTRIBUTIONS

- 983 K.N.M., N.T., M.E.H., I.G.T, and A.M.B conceived the study. C.D.V. developed the conceptual
- 984 life history model. N.T. developed and C.D.V. undertook statistical analyses. M.G.J. provided
- the oceanographic expertise and ROMS model outputs for the study. B.D.T. provided expertise
- and code for the storm and calm indices used in this study. C.D.V. drafted the manuscript with
- 987 input and revisions from all authors.

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995 DATA AVAILABILITY STATEMENT

- 996 The data associated with this manuscript are available through the NOAA Northwest Fisheries
- 997 Science Center, Fishery Resource Analysis and Monitoring Division. The code used for the
- 998 analysis is available on GitHub (<u>https://github.com/pacific-hake/recruitment-index</u>). Outputs
- 999 from the regional ocean reanalysis are available from the UC Santa Cruz ocean modeling group
- 1000 (oceanmodeling.ucsc.edu).
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- **Tables and Figures**



1730 FIGURE 1: Hypothesized movement and spatial population structure of Pacific hake

- 1731 (*Merluccius productus*), reproduced from Agostini et al. (2006).



- FIGURE 2: Derived quantities of Pacific hake (Merluccius productus) from the 2020 stock
- assessment (Grandin et al., 2020) for 1981 – 2010: (a) spawning stock biomass (SSB) in metric
- tons (mt), (b) age-0 recruits in millions, and (c) the stock-recruitment relationship supported in
- the assessment (line) versus observed data (points). Values for unfished recruitment (R0) = 1600, steepness (h) = 0.854, and unfished female spawning biomass (B0, thousand t) = 1,385 were
- obtained from Table 27 in the 2020 assessment.





- *(Merluccius productus)* recruitment: (a) bifurcation index (BI), (b) May September eddy
 kinetic energy (EKE) between 34.5° and 42.5°N, and (c) Pacific herring (*Clupea pallasii*)
- 1757 biomass off the west coast of Canada during the adult female preconditioning stage, (d)
- 1758 alongshore transport during the yolk-sac larval stage, and (e) number of days between storm
- 1759 events during the first-feeding larval stage compared to (f) median log recruitment deviations
- 1760 from the 2020 Pacific hake stock assessment (Grandin et al., 2020). Dotted lines are ± 1.0
- 1761 standard deviation (SD).



FIGURE 4: (a) Fit of the AIC-best model ($R^2 = 0.59$) to the estimated median log recruitment 1765 1766 deviations from the 2020 Pacific hake (Merluccius productus) stock assessment. Solid line is the 1767 predicted recruitment deviations from the full time series. Dotted lines = 95% confidence limits. 1768 Open circles are the median log recruitment deviations from the 2020 Pacific hake assessment. Stars are predicted values from jackknife analysis removing individual years one at a time. Red 1769 points are predictions from fitting the AIC-best model to 1981-2005 and then predicting 2006-1770 2010. (b) Fit of the AIC-best model from jackknife-refitting the 1981–2005 data ($R^2 = 0.63$). 1771 1772 Open circles are the log recruitment deviations from the 2020 Pacific hake assessment. Solid 1773 black line is the predicted recruitment deviations from the model for 1981–2005; solid red line is 1774 the predicted recruitment deviations for 2006–2010 based on the model for 1981–2005. Dotted 1775 lines = 95% confidence limits.





Eddy kinetic energy (m^2/s^2) : Adult preconditioning



Alongshore transport (m/s): Yolk-sac larvae



Days between storms: First-feeding larvae

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- 1778 FIGURE 5: Partial residual plots of predictor variables in the the AIC-best model of Pacific hake
- 1779 (Merluccius productus) recruitment: (a) the bifurcation index, (b) May September eddy kinetic
- 1780 energy (EKE) between 34.5° and 42.5°N, and (c) Pacific herring (*Clupea pallasii*) biomass off
- 1781 the west coast of Canada during the adult female preconditioning stage, (d) alongshore transport
- during the yolk-sac larval stage, and (e) number of days between storm events during the first-
- 1783 feeding larval stage.



1785 FIGURE 6: Results of jackknife resampling showing the distribution of R^2 values. (a) Frequency 1786 distribution of R^2 values, and (b) R^2 for when the indicated year was removed from the model.

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1793 FIGURE 7: Conceptual model for Pacific hake (*Merluccius productus*) showing the

environmental drivers at specific life-history stages that lead to higher recruitment. Signs in parentheses indicate the partial correlation of each term with residuals from the Pacific hake stock-recruitment relationship. See Figure 4 for plots of these relationships. Boundary lines correspond to the region over which the predictor was calculated.

1805 TABLE 1: Pacific hake (*Merluccius productus*) conceptual life history model showing spatiotemporally-explicit hypotheses by life

1806 stage related to factors (covariates) affecting survival. The adult female preconditioning through yolk-sac larval stages are shown here.

1807 For full conceptual life history model, see TABLE A1.

Ho Number	Life-history stage	Time period	Hynothesis	Stage	Covariates	Denth	I ongitudinal extent	Latitudinal extent	Source
H1	Preconditioning	Apr - Oct (Year 0)	(H1) Higher temperature increases food demand resulting in lower egg production, egg quality, or probability of spawning and lowers recruitment	TEMPpre	Mean temperature	50 - 350 m	Shelf break, between 100 - 2000 m isobaths	42° - 47°N	ROMS
H2			(H2) As (H1), but degree days, not mean temperature	DD pre	Degree days	50 - 350 m	Shelf break, between 100 - 2000 m isobaths	42° - 47°N	ROMS
H3			(H3) Higher coastal upwelling leads to increased productivity, better condition, higher egg production, egg quality, or probability of spawning and increases recruitment	UWpre.c	Coastal upwelling (CUTI)	Base of mixed layer		41.5° - 47.5°N	Jacox et al. (2018), https://oceanview.pfeg.noaa.gov
H4			(H4) As (H3), but biologically effective upwelling	UWpre.b	Biologicially effective upwelling (BEUTI)	Base of mixed layer	0 - 75 km offshore	41.5° - 47.5°N	Jacox et al. (2018), https://oceanview.pfeg.noaa.gov
H5			(H5) Food availability affects energy allocation to reproduction with higher recruitment when more prey are available during the preconditioning period	PREYpre.her PREYpre.juvhake	Index of age-0 and age-1 Pacific hake biomass, and age-2 Pacific herring biomass				Stock assessments
H6			(H6) Timing of availability of food affects energy allocation to reproduction with higher recruitment when more prey are available during the preconditioning period	SPTR _{pre}	Mean date of the spring transition from downwelling-favorable southerly winds to upwelling-favorable northerly winds		125°W	42°N (West of OR/CA border) 45°N (West of Siletz Bay, OR) 48°N (West of La Push, WA)) CBR Mean Method, Van Holmes (2007),) http://www.cbr.washington.edu/status/trans
H7	Spawning	Jan - Mar	(H7) Temperature acts as a spawning cue with fish less likely to spawn at high temperature resulting in lower recruitment	TEMPspawn	Mean temperature	130 - 500 m	Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H8			(H8) As (H7), but degree days, not mean temperature	DD spawn	Degree days	130 - 500 m	Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H9	Eggs	Jan - Mar	(H9) Eggs aggregate at base of mixed layer so Mixed Layer Depth may limit how far they rise in the water column affecting later transport	MLDeggs	Mean mixed layer depth (m)		Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H10			(H10) Transport to settlement habitat affects recruitment (transport varies with latitude)	CST eggs.s CST eggs.n	Net cross-shelf transport	40 - 60 m	Shelf break, between 100 - 2000 m isobaths	31° - 34.5°N 34.5° - 36°N	ROMS
H11			(H11) Increased northward advection away from juvenile nursery areas decreases recruitment	ASTeggs	Net alongshore transport	40 - 60 m	Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H12			(H12) Increased northward advection away from juvenile nursery areas decreases recruitment	PUeggs	Strength of the poleward undercurrent	75 - 275 m	Coast to 275 m isobath	32.5° - 33.5°N	ROMS
H13			(H13) Growth/Predation hypothesis: growth rate is faster in warm water leading to reduced time vulnerable to predators	DDeggs	Degree days	40 - 60 m	Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H14	Yolk-sac larvae	Jan - Apr	(H14) Larvae aggregate at base of mixed layer so Mixed Layer Depth may limit how far they rise in the water column affecting later transport	MLD yolk	Mean mixed layer depth (m)		Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H15			(H15) Transport to settlement habitat affects recruitment (transport varies with latitude)	CSTyolk.s CSTyolk.n	Net cross-shelf transport	50 - 100 m	Shelf break, between 100 - 2000 m isobaths	31° - 34.5°N 34.5° - 36°N	ROMS
H16			(H16) Increased northward advection away from juvenile nursery areas decreases recruitment	ASTyolk	Net alongshore transport				ROMS
H17			(H17) Increased northward advection away from juvenile nursery areas decreases recruitment	PUyolk	Strength of the poleward undercurrent	75 - 275 m	Coast to 275 m isobath	32.5° - 33.5°N	ROMS
H18			(H18) Growth/Predation hypothesis: growth rate is faster in warm water leading to reduced time vulnerable to predators	DDyolk	Degree days	50 - 100 m		31° - 36°N	ROMS

1809 TABLE 2: The set of top candidate models (those with $\Delta AICc < 3.0$) that were used to identify factors influencing Pacific hake 1810 (*Merluccius productus*) early life history survival and recruitment. Models are ordered by $\Delta AICc$, so the overall best model, as 1811 supported by the data, is identified as Model 1.

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Model															R^2	ΔAICc
1	ASTyolk	BIpre	-	EKEpre.MS.c	-	-	-	-	$PREY {\it pre.her}$	-	-	STORMBlarv	-	-	0.59	0.00
2	ASTyolk	BIpre	-	EKEpre.MS.c	-	-	-	-	PREYpre.her	-	-	-	-	-	0.52	1.03
3	-	-	CALMlarv	EKEpre.MS.c	PREDage0.age1.hake	-	-	-	-	-	-	-	-	UWpre.cu	0.51	1.70
4	ASTyolk	BIpre	-	EKEpre.MS.c	-	-	-	-	-	-	-	STORMBlarv	-	-	0.51	1.75
5	-	-	CALMlarv	EKEpre.MS.c	-	-	-	-	-	-	-	-	-	UWpre.cu	0.46	1.79
6	ASTyolk	BIpre	-	EKEpre.MS.c	-	-	PDOpre	-	PREYpre.her	-	-	-	-	-	0.56	2.05
7	ASTyolk	Bipre	-	EKEpre.MS.c	-	-	-	-	-	-	-	-	-	-	0.45	2.17
8	ASTyolk	BIpre	-	EKEpre.MS.c	-	NPGOpre.AS	-	-	PREYpre.her	-	-	-	-	-	0.56	2.19
9	-	-	CALMlarv	EKEpre.MS.c	PREDage0.age1.hake	-	-	-	-	-	-	-	STORMDlarv	UWpre.cu	0.56	2.20
10	ASTyolk	BIpre	-	EKEpre.MS.c	-	-	PDOpre	-	-	-	-	-	-	-	0.50	2.23
11	ASTyolk	BIpre	-	EKEpre.MS.c	-	-	-	-	PREYpre.her	-	SPTRpre	-	-	-	0.55	2.41
12	-	BIpre	-	EKEpre.MS.c	-	-	-	-	PREYpre.her	-	-	STORMBlarv	-	-	0.50	2.59
13	ASTyolk	BIpre	-	EKEpre.MS.c	-	-	-	-	PREYpre.her	-	-	-	STORMDlarv	-	0.55	2.59
14	ASTyolk	BIpre	CALMlarv	EKEpre.MS.c	-	-	-	-	PREYpre.her	-	-	-	-	-	0.55	2.61
15	-	-	-	EKEpre.MS.c	-	-	-	PREY latelarv.eup	-	-	-	STORMBlarv	STORMDlarv	UWpre.cu	0.55	2.88
16	-	-	CALMlarv	EKEpre.MS.c	-	-	-	-	-	SPTR	-	-	-	UWpre.cu	0.49	2.94

1813 1814

Note: Abbreviations: AS, April – September; AST, alongshore transport; BI, bifurcation index; c, central region from 34.5 – 42.5°N;
 CALM, number of calm periods; cu, Coastal Upwelling Transport Index (CUTI); EKE, eddy kinetic energy; larv, first-feeding larval

1817 stage; latelarv, late larval stage; MS, May – September; NPGO, North Pacific Gyre Oscillation; PDO, Pacific Decadal Oscillation; pre,

1818 preconditioning stage; PREDage0.age1hake, log-transformed predation of age-0 Pacific hake (*Merluccius productus*) by age-1 hake;

1819 PREYeup, euphausiids as prey for late larval stages; PREYher, Pacific herring (*Clupea pallasii*) as prey for adult female

1820 preconditioning stage; SPTR, timing of spring transition; STORMB, number of days between storm events; STORMD, duration of

1821 storm events; UW, upwelling; yolk, yolk-sac larval stage.

TABLE 3: Coefficients for the AIC-best model of Pacific hake (*Merluccius productus*)
recruitment (Model 1 in Table 2) showing both raw and standardized (beta) coefficients.

	Coefficient	Bias	SE	Standardized Coefficient	Std Bias	Std SE
Intercept	8.26	0.03	2.05	0.04	0.00	0.23
BIpre	-0.63	-0.02	0.21	-0.69	-0.03	0.23
EKEpre.MS.c	-242.70	-0.65	57.34	-1.02	0.00	0.24
PREYpre.her	0.00	0.00	0.00	-0.50	0.02	0.23
ASTyolk	-47.88	-1.68	20.72	-0.57	-0.02	0.25
STORMBlarv	-0.08	0.00	0.04	-0.47	-0.01	0.24

Note: Bias and standard error (*SE*) are from bootstrap resampling. Abbreviations: AST,
alongshore transport; BI, bifurcation index; c, central region from 34.5 - 42.5°N; CALM,
number of calm periods; EKE, eddy kinetic energy; larv, first-feeding larval stage; MS,
May – September; pre, preconditioning stage; PREYher, Pacific herring (*Clupea pallasii*)
as prey during preconditioning stage; STORMB, number of days between storm events.

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1834 TABLE 4: Correlations among variables in the AIC-best model of Pacific hake1835 (*Merluccius productus*) recruitment.

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	BIpre	EKE _{pre.MS.c}	PREY _{pre.her}	AST _{yolk}	STORMB _{larv}	VIF
BIpre	-					1.03
EKE _{pre.MS.c}	-0.14	-				1.09
PREY _{pre.her}	-0.06	-0.17	-			1.04
AST _{yolk}	-0.01	-0.19	0.04	-		1.15
STORMB _{larv}	0.02	-0.06	-0.02	0.31	-	1.11

¹⁸³⁷

Note: Abbreviations: Abbreviations: AST, alongshore transport; BI, bifurcation index; c,
central region from 34.5 - 42.5°N; CALM, number of calm periods; EKE, eddy kinetic
energy; larv, first-feeding larval stage; MS, May – September; pre, preconditioning stage;
PREYher, Pacific herring (*Clupea pallasii*) as prev during preconditioning stage;

1842 STORMB, number of days between storm events; VIF, variance inflation factor.

- 1844 TABLE 5: Variables included in all candidate models of Pacific hake (*Merluccius*
- 1845 *productus*) recruitment from jackknife refits of the entire model-fitting process. Bolded

1846 variables were those found in the AIC-best model.

Predictor	Number of times included	%
ASTyolk	25	40
BI	1	2
BIpre	34	55
CALMlarv	23	37
CSTlarv.s	0	0
CST ² larv.s	0	0
CSTlatelarv.s	1	2
CST ² latelarv.s	1	2
EKEMS.c	1	2
EKEpre.MS.c	59	95
logPREDage0.age1.hake	5	8
ONIAS	0	0
ONIpre	0	0
NPGOAS	1	2
NPGOpre.AS	1	2
PDOja	0	0
PDOAS	0	0
PDOpre	1	2
PREDage0.atf	0	0
PREDage0.csl	0	0
PREYlarv.zp	0	0
PREYlatelarv.eup	6	10
PREYpre.her	7	11
SPTR	1	2
SPTRpre	0	0
STORMlarv	1	2
STORMB larv	13	21
STORMDlarv	4	6
UWpre.cu	25	40
Total number of models	62	

1847 *Note*: Results are the number of years a specific predictor was in the best-fit model

1848 (lowest AICc and fewest parameters). Individual years could have more than one

1849 candidate model.

1850	Abbreviations: age-0, age-0 pelagic juvenile stage; age1, age-1 pelagic juvenile stage;
1851	AS, April – September; AST, alongshore transport; BI, bifurcation index; c, central
1852	region from 34.5 - 42.5°N: CALM, number of calm events: CALMB, number of days
1853	between calm events: CALMD, duration of calm events: CST, cross-shelf transport at
1854	depths of 50 – 300 m; EKE, eddy kinetic energy; eup, euphausiids; JA, January – April:
1855	lary, first-feeding larval stage: latelary, late larval stage: NPGO, North Pacific Gyre
1856	Oscillation: ONI Ocean Niño Index: PDO Pacific Decadal Oscillation: pre
1857	preconditioning stage: PREDage() age1 hake: predation of age-0 hake by age-1 hake:
1858	PREDage0 atf_predation of age-0 hake by arrowtooth flounder: PREDage0 csl_predation
1859	of age-0 hake by California sea lions: PREYlary zp. copepods as prev for first-feeding
1860	(and late) larvae: PREVlatelarv eup euphausids as prev for late larvae: PREVpre her
1861	Pacific herring as prev for adult female preconditioning stage: s southern region from
1862	31.0 °- 34.5 °N' SPTR Julian day of spring transition: STORM number of storm events:
1863	STORMB number of days between storm events: STORMD duration of storm events:
1864	UW coastal unwelling volk volk-sac larval stage: zn conepod zoonlankton
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 TABLE 6: Results from completely refitting the

 model 100 times while jackknife

resampling median recruitment deviations from a log-normal distribution using the recruitment deviations and *SD*s from the 2020 Pacific hake (*Merluccius productus*) stock 1897

1898 1899 assessment.

Predictor	Number of jackknifes	Number of models	%
ASTyolk	48	65	41
BI	0	0	0
BIpre	54	72	46
CALMlarv	52	70	44
CSTlarv.s	2	2	1
CST ² larv.s	1	1	1
CSTlatelarv.s	7	7	4
CST ² latelarv.s	3	3	2
EKEMS.c	1	1	1
EKEpre.MS.c	87	128	81
logPREDage0.age1.hake	19	21	13
ONIAS	0	0	0
ONIpre	0	0	0
NPGOAS	0	0	0
NPGOpre.AS	4	4	3
PDOJA	8	12	8
PDOAS	2	3	2
PDOpre	10	10	6
PREDage0.atf	1	1	1
PREDage0.csl	0	0	0
PREYlarv.zp	3	3	2
PREY latelarv.eup	7	8	5
PREYpre.her	23	27	17
SPTR	9	13	8
SPTRpre	2	2	1
STORMlarv	0	0	0
STORMB larv	21	23	15
STORMDlarv	4	5	3
UWpre.cu	48	63	40
Total	100	158	
1900	<i>Note</i> : Each refit iteration could include multiple candidate models (with AICc < 2.0 and		
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1901	the fewest included parameters). Number of jackknives is the number of times the		
1902	variable was included in one of the candidate models for any jackknife iteration. Number		
1903	of models is the total number of times the variable was included in a model across all		
1904	candidate models. Total is the total number of jackknife iterations and the total number of		
1905	models fit. See Table 5 for an explanation of ROMS parameters. Bold text indicates		
1906	variables from the AIC-best model.		
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1947 TABLE 7: The number of times each predictor variable was included in model fits of

1948 data (1981 – 2005) across the jackknife resampling procedure.

1949

Predictor	Times included	%
ASTyolk	2	5
BI	0	0
BIpre	23	55
CALMlarv	10	24
CSTlarv.s	32	76
CST ² larv.s	30	71
CSTlatelarv.s	0	0
CST ² latelarv.s	0	0
EKEMS.c	0	0
EKEpre.MS.c	3	7
logPREDage0.age1.hake	41	98
ONIAS	4	10
ONIpre	26	62
NPGOAS	2	5
NPGOpre.AS	4	10
PDOja	2	5
PDOAS	0	0
PDOpre	1	2
PREDage0.atf	14	33
PREDage0.cs1	2	5
PREYlarv.zp	0	0
PREY latelarv.eup	3	7
PREYpre.her	1	2
SPTR	0	0
SPTRpre	0	0
STORMlarv	1	2
STORMBlarv	0	0
STORMDlarv	0	0
UWpre.cu	6	14

1950 Note: Times included is the number of times the AIC-best model (AICc < 2.0, fewest

1951 parameters) included the term. There was only one AIC-best model for each year

1952 iteration. See Table 5 for an explanation of ROMS parameters.

1953







1958 FIGURE A1: Autocorrelation Function (ACF) and Partial Autocorrelation Function (PACF) plots of the residuals for the AIC-best model of Pacific hake (Merluccius productus) recruitment. Blue 1959 dashed lines indicate the 95% confidence intervals. 1960

1961



1962 1963 1964 FIGURE A2: Correlations among predictor variables hypothesized to affect Pacific hake 1965 (Merluccius productus) recruitment. The size and color of the circle represents the 1966 1967 strength and sign of the correlation, respectively.



1968
1969 FIGURE A3: AIC-best model diagnostic plots showing (a) residuals vs. fitted values, (b)
1970 normal Q-Q plot, (c) scale-location, and (d) residuals vs. leverage.

1973 TABLE A1: Pacific hake (*Merluccius productus*) conceptual life history model showing
1974 spatiotemporally-explicit hypotheses by life stage related to factors (covariates) affecting
1975 survival.
1976

- 1977 See TableA1_Stage_Hypotheses.pdf

- 1984 TABLE A2: Models with $\triangle AICc < 2.0$ for each stage (pre-pawning female conditioning
- 1985 to age-0 pelagic juveniles) in the Pacific hake (*Merluccius productus*) conceptual life
- history model, all stages combined, and all stages with predator, prey, and climateindices.
- 1988

1989 See TableA2_R_Table_Delta2.hake_all_stages_models pre_to_age0_FO_Revision.xlsx

IABLE A1: Pacific	c nake (Merluccius producti	us) conceptu	al lite nistory model showing spatiotempora	my-explicit hyp	potneses by life stage related to factors (covariates) affecting	g survival.	Latitudinal autor	0
Ho Number	Life-history stage	Time period	Hypothesis	Stage	Covariates	Depth	Longitudinal extent	Latitudinal extent	Source POMS
н	rreconationing	Apr - Oct (Year 0)	(n) nigner temperature increases tood demand resulting in lower egg production, egg quality, or probability of spawning and lowers recruitment	, Ewir prê	wean temperature	30 - 350 M	oneir break, between 100 - 2000 m isobaths	4∠ -4/1N	RUMS
H2			(H2) As (H1), but degree days, not mean temperature	DDpre	Degree days	50 - 350 m	Shelf break, between 100 - 2000 m isobaths	42° - 47°N	ROMS
H3			(H3) Higher coastal upwelling leads to increased productivity, better condition, higher egg production, egg quality, or probability of spawning and increases recruitment	UWpre.c	Coastal upwelling (CUTI)	Base of mixed layer		41.5° - 47.5°N	Jacox et al. (2018), https://oceanview.pfeg.noaa.gov
H4			(H4) As (H3), but biologically effective upwelling	UWpre.b	Biologicially effective upwelling (BEUTI)	Base of mixed layer	0 - 75 km offshore	41.5° - 47.5°N	Jacox et al. (2018), https://oceanview.pfeg.noaa.gov
H5			(H5) Food availability affects energy allocation to reproduction with higher recruitment when more prey are available during the preconditioning period	PREYpre.her PREYpre.juvhake	Index of age-0 and age-1 Pacific hake biomass, and age-2 Pacific herring biomass				Stock assessments
H6			(H6) Timing of availability of food affects energy allocation to reproduction with higher recruitment when more prey are available during the preconditioning period	SPTR _{pre}	Mean date of the spring transition from downwelling-favorable southerly winds to upwelling-favorable northerly winds		125°W	42°N (West of OR/CA border) 45°N (West of Siletz Bay, OR 48°N (West of La Push, WA)) CBR Mean Method, Van Holmes (2007),) http://www.cbr.washington.edu/status/trans
H7	Spawning	Jan - Mar	(H7) Temperature acts as a spawning cue with fish less likely to spawn at high temperature resulting in lower recruitment	TEMPspawn	Mean temperature	130 - 500 m	Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H8			(H8) As (H7), but degree days, not mean temperature	DDspawn	Degree days	130 - 500 m	Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H9	Eggs	Jan - Mar	(H9) Eggs aggregate at base of mixed layer so Mixed Layer Depth may limit how far they rise in the water column affecting later transport	MLDeggs	Mean mixed layer depth (m)		Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H10			(H10) Transport to settlement habitat affects recruitment (transport varies with latitude)	CSTeggs.s CSTeggs.n	Net cross-shelf transport	40 - 60 m	Shelf break, between 100 - 2000 m isobaths	31° - 34.5°N 34.5° - 36°N	ROMS
H11			(H11) Increased northward advection away from juvenile nursery areas decreases recruitment	ASTeggs	Net alongshore transport	40 - 60 m	Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H12			(H12) Increased northward advection away from juvenile nursery areas decreases recruitment	PUeggs	Strength of the poleward undercurrent	75 - 275 m	Coast to 275 m isobath	32.5° - 33.5°N	ROMS
H13			(H13) Growth/Predation hypothesis: growth rate is faster in warm water leading to reduced time vulnerable to predators	DDeggs	Degree days	40 - 60 m	Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H14	Yolk-sac larvae	Jan - Apr	(H14) Larvae aggregate at base of mixed layer so Mixed Layer Depth may limit how far they rise in the water column affecting later transport	MLDyolk	Mean mixed layer depth (m)		Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H15			(H15) Transport to settlement habitat affects recruitment (transport varies with latitude)	CSTyolk.s CSTyolk.n	Net cross-shelf transport	50 - 100 m	Shelf break, between 100 - 2000 m isobaths	31° - 34.5°N 34.5° - 36°N	ROMS
H16			(H16) Increased northward advection away from juvenile nursery areas decreases recruitment	ASTyolk	Net alongshore transport				ROMS
H17			(H17) Increased northward advection away from juvenile nursery areas decreases recruitment	PUyolk	Strength of the poleward undercurrent	75 - 275 m	Coast to 275 m isobath	32.5° - 33.5°N	ROMS
H18			(H18) Growth/Predation hypothesis: growth rate is faster in warm water leading to reduced time vulnerable to predators	DDyolk	Degree days	50 - 100 m		31° - 36°N	ROMS
H19	First-feeding larvae	Feb - May	(H19) Larvae aggregate at base of mixed layer so Mixed Layer Depth may limit how far they rise in the water column affecting later transport) MLDiarv	Mean mixed layer depth (m)		Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H20			(H20) Transport to settlement habitat affects recruitment (transport varies with latitude)	CSTiarv.s CSTiarv.n	Net cross-shelf transport	50 - 200 m	Shelf break, between 100 - 2000 m isobaths	31° - 34.5°N 34.5° - 36°N	ROMS
H21			(H21) North to south transport brings northern zooplankton and leads to higher survival and recruitment, Transport to settlement habitat affects recruitment	ASTIarv	Net alongshore transport	50 - 200 m	Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS

H _o Number	Life-history stage	Time period	I Hypothesis	Stage	Covariates	Depth (Longitudinal extent	Latitudinal extent	Source
H22	First-feeding larvae	Feb - May	(H22) Increased northward advection away from juvenile nursery areas decreases recruitment	PUlarv	Strength of the poleward undercurrent	75 - 275 m	Coast to 275 m isobath	32.5° - 33.5°N	
H23			(H23) Higher coastal upwelling leads to increase productivity, better condition, higher survival and increased recruitment	d UWiarv.cs UWiarv.cn	Coastal upwelling (CUTI)	base of mixed layer	0 - 75 km offshore	30.5° - 34.5°N 34.5° - 36.5°N	Jacox et al. (2018), https://oceanview.pfeg.noaa.gov/
H24			(H24) As (H23), but biologically effective upwelling	UWlarv.bs UWlarv.bn	Biologicially effective upwelling (BEUTI)	base of mixed layer	0 - 75 km offshore	30.5° - 34.5°N 34.5° - 36.5°N	Jacox et al. (2018), https://oceanview.pfeg.noaa.gov/
H25			(H25) Growth/Predation hypothesis: growth rate	DDtarv	Degree days	50 - 200 m	Shelf break, between 100 - 2000 m isobaths	31° - 36°N	ROMS
H26			(H26) Higher zooplankton abundance leads to higher survival and recruitment	PREYlarv.zp	Index of copepod abundance	0 - 210 m	117.4 - 121.9°W	31.5° - 34.5°N	Zooplankton Database, Scripps Institute of Oceanography https://oceaninformatics.ucsd.edu/zoodb/secure/login.php
H27			(H27) Critical period hypothesis: more frequent storm events lead to poorer feeding conditions,	STORMiarv	Mean number of storm events		Coast to 126°W	28° - 36°N	Turley and Rykaczewski (2019) NOAA National Centers for Environmental Prediction Climate Forecast System Reanalysis model (CFSR, Saha et al., 2010)
H28			(H28) Critical period hypothesis: extended storm events lead to poorer feeding conditions, lower	STORMDiarv	Mean duration of storm events		Coast to 126°W	28° - 36°N	Turley and Rykaczewski (2019), CFSR, Saha et al. (2010)
H29			(H29) Critical period hypothesis: fewer days between storm events lead to poorer feeding	STORMBiarv	Mean number of days between storm events		Coast to 126°W	28° - 36°N	Turley and Rykaczewski (2019), CFSR, Saha et al. (2010)
H30			(H30) Critical period hypothesis: more frequent calm events lead to better feeding conditions,	CALMiarv	Mean number of calm events		Coast to 126°W	28° - 36°N	Turley and Rykaczewski (2019), CFSR, Saha et al. (2010)
H31			(H31) Critical period hypothesis: extended periods of calm lead to poorer feeding conditions	CALMDiarv	Mean duration of calm events		Coast to 126°W	28° - 36°N	Turley and Rykaczewski (2019), CFSR, Saha et al. (2010)
H32			(H32) Critical period hypothesis: shorter intervals between calm events lead to better feeding	CALMBiarv	Mean number of days between calm events		Coast to 126°W	28° - 36°N	Turley and Rykaczewski (2019), CFSR, Saha et al. (2010)
H33	Late larvae	Mar - Jun	(H33) Larvae aggregate at base of mixed layer s Mixed Layer Depth may limit how far they rise in the water column affecting later transport	D MLDiatelarv	Mean location of mixed layer depth (m)		Shelf break, between 100 - 2000 m isobaths	31° - 37°N	ROMS
H34			(H34) Transport to settlement habitat affects recruitment (transport varies with latitude)	CSTlatelarv.s CSTlatelarv.n	Net cross-shelf transport	50 - 300 m	Shelf break, between 100 - 2000 m isobaths	31° - 34.5°N 34.5° - 37°N	ROMS
H35			(H35) North to south transport brings northern zooplankton and leads to higher survival and recruitment, Transport to settlement habitat affects recruitment	ASTlatelarv	Net alongshore transport	50 - 300 m	Shelf break, between 100 - 2000 m isobaths	31° - 37°N	ROMS
H36			(H36) Increased northward advection away from juvenile nursery areas decreases recruitment	PUlatelarv	Strength of the poleward undercurrent	75 - 275 m	Coast to 275 m isobath	33.5° - 34.5°N	ROMS

TABLE A1 (cont'd): Pacific hake (Merluccius productus) conceptual life history model showing spatiotemporally-explicit hypotheses by life stage related to factors (covariates) affecting survival.

н	37	(H37) Higher coastal upwelling leads to increased productivity, better condition, higher survival and increased recruitment	UWiatelarv.cs UWiatelarv.cn	Coastal upwelling (CUTI)	base of mixed layer	0 - 75 km offshore	30.5° - 34.5°N 34.5° - 37.5°N	Jacox et al. (2018), https://oceanview.pfeg.noaa.gov
н	38	(H38) As (H37), but biologically effective upwelling	UWiatelarv.bs UWiatelarv.bn	Biologicially effective upwelling (BEUTI)	base of mixed layer	0 - 75 km offshore	30.5° - 34.5°N 34.5° - 37.5°N	Jacox et al. (2018), https://oceanview.pfeg.noaa.gov
н	39	(H39) Growth/Predation hypothesis: growth rate is faster in warm water leading to reduced time vulnerable to predators	DDiateiarv	Degree days	50 - 300 m	Shelf break, between 100 - 2000 m isobaths	31° - 37°N	ROMS
н	40	(H40) Timing of availability of food affects condition, leading to higher survival and increased recruitment	SPTRiatelarv	Mean date of the spring transition from downwelling-favorable southerly winds to upwelling-favorable northerly winds		125°W	42°N (West of OR/CA border) 45°N (West of Siletz Bay, OR) 48°N (West of La Push, WA)	CBR Mean Method, Van Holmes (2007) http://www.cbr.washington.edu/status/trans
Н	41	(H41) Higher prey abundance leads to higher survival and recruitment	PREYlatelarv.zp	Index of copepod abundance	0 - 210 m	117.4 - 121.9°W	31.5° - 34.5°N	Zooplankton Database, Scripps Institute of Oceanography (SIO) https://oceaninformatics.ucsd.edu/zoodb/secure/login.php
			PREYlatelarv.eup	Index of euphausiid abundance	0 - 210 m	117.3 - 125.0°W	29.9° - 35.1°N	Brinton and Townsend Euphausiid Database, SIO https://oceaninformatics.ucsd.edu/euphausiid/secure/login.php

TABLE A1 (cont/d): Pacific bake (Merluccius productus) concentual life history model showing spatiotemporally-explicit by	vnotheses by life stage related to factors (covariates) affecting survival

TABLE AT (CON	rd): Pacific hake (Menuccius	productus) C	conceptual life history model showing spatic	otemporally-exp	blicit hypotheses by the stage related to	factors (covariates)	anecting survival.		0
H ₀ Number	Life-history stage	Time period	a Hypothesis	Stage	Covariates	Depth	Longitudinal extent	Latitudinal extent	Source
H42	Pelagic juveniles (age-0)	Apr - Sep	(H42) Transport to settlement habitat affects recruitment (transport varies with latitude)	CSTage0.s CSTage0.n	Net cross-shelf transport	0 - 50 m	inshore of 200 m isobath	31° - 34.5°N 34.5° - 38°N	ROMS
H43			(H43) North to south transport brings northern zooplankton and leads to higher survival and recruitment, Transport to settlement habitat affects recruitment	ASTage0	Net alongshore transport	0 - 50 m	inshore of 200 m isobath	31° - 38°N	ROMS
H44			(H44) Higher coastal upwelling leads to increase productivity, better condition, higher survival and increased recruitment (upwelling varies with latitude)	d UWage0.cs UWage0.cn	Coastal upwelling (CUTI)	base of mixed layer	0 - 75 km offshore	30.5° - 34.5°N 34.5° - 38.5°N	Jacox et al. (2018), https://oceanview.pfeg.noaa.gov
H45			(H45) As (H44), but biologically effective upwelling	UWage0.bs UWage0.bn	Biologicially effective upwelling (BEUTI)	base of mixed layer	0 - 75 km offshore	30.5° - 34.5°N 34.5° - 38.5°N	Jacox et al. (2018), https://oceanview.pfeg.noaa.gov
H46			(H46) Growth/Predation hypothesis: growth rate is faster in warm water leading to reduced time vulnerable to predators	DDage0	Degree days	0 - 50 m	inshore of 200 m isobath	31° - 38°N	ROMS
H47			(H47) Timing of availability of food affects condition, leading to higher survival and increased recruitment	SPTR _{age0}	Mean date of the spring transition from downwelling-favorable southerly winds to upwelling-favorable northerly winds		125°W	42°N (West of OR/CA border) 45°N (West of Siletz Bay, OR 48°N (West of La Push, WA)) CBR Mean Method, Van Holmes (2007)) http://www.cbr.washington.edu/status/trans
H48			(H48) Increased food availability leads to better feeding conditions, leading to higher survival and recruitment	PREYage0.eup	Index of euphausiid abundance	0 - 210 m	117.3 - 125.0°W	29.9° - 35.1°N	Brinton and Townsend Euphausiid Database, SIO https://oceaninformatics.ucsd.edu/euphausiid/secure/login.php
H49			(H49) Higher predation leads to lower survival and recruitment	PREDage0.age1hake PREDage0.atf PREDage0.csl	Index of age-1 Pacific hake biomass, arrowtooth flounder biomass, California sea lion pup counts				Stock assessments, Laake et al. (2017)
H50	Preconditioning	Jan - Apr May - Sep	(H50) Sea surface height as an indicator of basin-scale processes	SSHpre.JA.s SSHpre.JA.c SSHpre.MS.c SSHpre.MS.n	Sea surface height	Surface	0 - 30 km offshore	31° - 34.5°N 34.5° - 42.5°N 34.5° - 42.5°N 42.5° - 47°N	ROMS
	Egg to late larvae First feeding larvae to age-	Jan - Apr -0 May - Sep		SSHJA.s SSHJA.c SSHMS.s SSHMS.c				31° - 34.5°N 34.5° - 42.5°N 31° - 34.5°N 34.5° - 42.5°N	
H51	Preconditioning	Jan - Apr May - Sep	(H51) Eddy kinetic energy as a proxy for the intensity of mesoscale turbulence - higher EKE, with more meanders, fronts, and eddies, leads to better feeding conditions, higher survival and	EKEpre.JA.s EKEpre.JA.c EKEpre.MS.c EKEpre.MS.n	Eddy kinetic energy	Surface	0 - 30 km offshore	34.5° - 42.5°N 42.5° - 47°N 34.5° - 42.5°N 42.5° - 47°N	ROMS
	First feeding larvae to age-	0 Jan - Apr May - Sep	recruitment	EKEJA.s EKEJA.c EKEMS.s EKEMS.c				31° - 34.5°N 34.5° - 42.5°N 31° - 34.5°N 34.5° - 42.5°N	
H52	Preconditioning	Apr - Sep Jan - Apr Apr - Sep	(H52) Pacific Decadal Oscillation as an indicator of basin-scale processes; negative phase linked to higher productivity, better feeding conditions, better condition, higher survival and recruitment	PDOpre PDOJA PDOAS	Pacific Decadal Oscillation				Mantua (1999), http://research.jisao.washington.edu/pdo/
H53	Preconditioning	Apr - Sep Jan - Apr Apr - Sep	(H53) North Pacific Gyre Oscillation as an indicator of basin-scale processes; postive phase linked to higher nutrient concentrations, higher productivity, better feeding conditions, better condition, higher survival and recruitment	NPGOpre NPGOJA NPGOAS	North Pacific Gyre Oscillation				Di Lorenzo et al. (2008), http://www.o3d.org/npgo/
H54	Preconditioning First-feeding larvae to age-	0	(H54) More northward shifted bifurcation of the North Pacific Current leads to increased transpor of enriched subarctic waters to the south, higher productivity, better feeding conditions, better condition, higher survival and recruitment	Blpre t Bl	Bifurcation Index				Malick et al. (2017)