

1 Morphology and toxicity of *Pseudo-nitzschia* species
2 in the northern Benguela Upwelling System.

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12

13 **Abstract**

14 The Benguela upwelling system, considered the world's most productive marine
15 ecosystem, has a long record of potentially toxic diatoms belonging to the genus *Pseudo-*
16 *nitzschia*. Species of *Pseudo-nitzschia* were reported as early as 1936 from the northern
17 Benguela upwelling system (nBUS). For the current study, long-term phytoplankton
18 monitoring data (2004-2011) for the Namibian coast were analysed to examine inshore
19 and offshore temporal distribution of *Pseudo-nitzschia* species, their diversity and
20 ultrastructure. The potentially toxigenic *P. pungens* and *P. australis* were the dominant
21 inshore species, whereas offshore *Pseudo-nitzschia* showed a higher diversity that also
22 included potentially toxic species. During a warming event, a community shift from *P.*
23 *pungens* and *P. australis* dominance to *P. fraudulenta* and *P. multiseriata* was
24 documented in the central nBUS.

25 A case study of a toxic event (August 2004) revealed that *P. australis* and *P. pungens*
26 were present at multiple inshore and offshore stations, coincident with fish (pilchard) and
27 bird mortalities reported from the central part of Namibia. Toxin analyses (LC-MS/MS) of
28 samples collected from June to August 2004 revealed the presence of particulate domoic
29 acid (DA) in seawater at multiple stations (maximum ~180 ng DA/L) in the > 0.45 µm size-
30 fraction, as well as detectable DA (0.12 µg DA/g) in the gut of one of two pilchard samples
31 tested. These findings indicate that DA may have been associated with the fish and bird
32 mortalities reported from this event in the nBUS. However, the co-occurrence of very high
33 biomass phytoplankton blooms suggests that other explanations may be possible.

34 **Key words**

35 *Pseudo-nitzschia* species, northern Benguela upwelling system, amnesic shellfish

36 poisoning, domoic acid, toxicity, fish mortality, bird mortality

37

38 1. Introduction

39 Many potentially toxic phytoplankton species have been reported in the northern
40 Benguela upwelling system (nBUS), both historically (Kollmer, 1963; Pieterse and Van
41 der Post, 1967; Kruger, 1980; Vavilova, 1990) and more recently (Hansen et al., 2014;
42 Louw et al., 2017). In the nBUS, *Pseudo-nitzschia* spp. are among the taxa reported
43 regularly; nevertheless, the species have never been identified nor has the potential risk
44 for toxic blooms been evaluated.

45 The *Pseudo-nitzschia* species is a marine diatom genus with which are distributed
46 throughout the world's oceans (Trainer et al. 2012). The distribution and growth of
47 *Pseudo-nitzschia* species can be influenced by various environmental factors, including
48 salinity (Thessen et al., 2005), nutrient concentrations (Caroppo et al., 2005, Louw et al.,
49 2017), pH (Lundholm et al., 2004), photoperiod (Fehling et al., 2005, 2006) and wind
50 (Louw et al., 2017). In addition, coastal upwelling events, mesoscale eddies and
51 anthropogenic inputs of nutrients from river discharge and land runoff have been
52 implicated as possible causes for *Pseudo-nitzschia* blooms (Parsons et al., 2002; Kudela
53 et al., 2005; Anderson et al., 2008; Trainer et al., 2009).

54 Presently, 49 *Pseudo-nitzschia* species are known, of which 26 have been demonstrated
55 to produce the potent neurotoxin, domoic acid (DA) (Lundholm, 2017). Domoic acid is
56 responsible for outbreaks of amnesic shellfish poisoning (ASP) in humans and DA
57 poisoning (DAP) in sea birds, marine mammals and other wildlife (Trainer et al., 2012).
58 This DA poisoning has resulted in large-scale mortality in marine animal populations
59 including sea lions and seabirds (Bejarano et al. 2008, McCabe et al., 2016, Nash et al.,
60 2017). Harvesting closures in certain regions as a consequence of DA contamination

61 have caused significant economic losses. Laboratory and field studies have
62 demonstrated that depletion of silicate and/or phosphate (Pan et al., 1996a,b; Fehling,
63 2004), nutrient ratios (e.g. low Si:N ratios; Ryan et al., 2017) (Schnetzer et al., 2007,
64 Heisler et al., 2008), the form of available nitrogen (Howard et al., 2007; Cochlan et al.,
65 2008; Thessen et al., 2009), trace metal concentrations (iron deficiency and copper
66 surplus) (Rue and Bruland, 2001; Wells et al., 2005; Silver et al., 2010), bacterial
67 associations (Bates, 1998; Kaczmarska et al., 2005; Sison-Mangus et al., 2016), pH and
68 partial CO₂ concentration (Lundholm et al., 2004, Tatters et al., 2012) and presence of
69 grazers (Harðardóttir et al., 2015, Tammilehto et al., 2015) may affect toxin production.

70 The primary aims of this study were to provide a detailed account of the diversity of
71 *Pseudo-nitzschia* species in the nBUS and evaluate the relationship between their
72 abundance and the occurrence of bloom events, using a long-term phytoplankton time
73 series database. Species morphology, abundance and diversity over a long time series
74 are discussed, with special emphasis on toxicity during a 2004 bloom event that was
75 associated with marine wildlife mortalities. Findings are considered in the context of
76 suggesting improvements to Namibia's existing phytoplankton monitoring programme.

77 **2. Materials and methods**

78 **2.1. Study area**

79 Along the Namibian coast on the southwestern part of Africa, the northwards flowing cold,
80 nutrient rich upwelling system is the driving force for this productive marine ecosystem.
81 The large Benguela current marine ecosystem includes the coasts of South Africa,
82 Namibia and southern Angola. The southern Benguela upwelling system (sBUS) and the

83 northern Benguela upwelling system (nBUS) are divided by the strong Lüderitz upwelling
84 cell, driven by south-easterly winds (Shillington, 1998). The study area comprises a 23°S
85 transect in the nBUS, situated on the downside of the Lüderitz upwelling cell (Fig. 1). This
86 transect covers the entire shelf, from the coastline to the shelf edge with sampling stations
87 2, 5, 10, 20, 30, 40, 50, 60 and 70 nautical miles (nm) off the coast, and although it has
88 been sampled regularly since 2001 (Louw et al., 2016, 2017), only samples from 2004
89 and later, which included transmission electron microscopy (TEM) examination, were
90 considered for the current analysis. Stations with high *Pseudo-nitzschia* spp.
91 concentrations were chosen for this study, beginning with samples collected in 2004 when
92 a fish mortality event was recorded. In addition, stations with high *Pseudo-nitzschia* spp.
93 abundance from other transects (24°S, 22°S, 21°S, 20°S 19°S and 18°S) covering the
94 entire nBUS (Fig. 1) were sampled during this 2004 fish mortality event. Station
95 numbering includes information on latitude and offshore distance in nautical miles (e.g.
96 23010 represents a station at 23°S latitude and 10 nm from shore).

97 **2.2. Sampling, sample preparation and measurements**

98 **2.2.1. Chlorophyll-a**

99 Seawater for chlorophyll-a analyses was obtained at multiple depths using 5 L Niskin
100 bottles mounted on a standard rosette sampler. For the purpose of this paper, only results
101 obtained for surface samples (0-2 m) were considered. A subsample of seawater (200
102 mL) was filtered through a 25 mm diameter Whatman GF/F filter and filters were kept at
103 -20 °C until transferred to the laboratory at the National Marine Information and Research
104 Centre (NatMIRC) in Swakopmund, Namibia. In the laboratory, chlorophyll-a was
105 extracted into 90% acetone over a 24 h period. Samples were analysed with a Turner

106 Designs Model 10 Fluorometer (San Jose, CA, USA) previously blanked with 90%
107 acetone. The fluorometer was calibrated with a chlorophyll-a reference standard (Sigma-
108 Aldrich, USA) following the protocol of Welschmeyer (1994). Final chlorophyll-a
109 concentrations were given as mg m^{-3} (additional details in Louw et al. (2016)).

110 **2.2.2. *Pseudo-nitzschia* species counting**

111 Net samples for qualitative analyses were collected with a 20 μm -mesh phytoplankton
112 net lowered to 30 meters and hauled to the surface. Samples were pre-examined under
113 a compound microscope for *Pseudo-nitzschia* spp. and fixed with 40% formaldehyde
114 (Thronsen, 1978). At the same time, water samples for quantitative analyses were
115 collected with Niskin bottles and a subsample of ~200 mL was preserved for cell counts
116 by adding 5 mL of 40% formaldehyde (Thronsen, 1978). Cells were counted with a Zeiss
117 Axiovert 200 inverted light microscope using the Utermöhl method (Utermöhl, 1958). Prior
118 to counting, the formalin-preserved samples were settled in a 25 mL chamber for 24 h.
119 The concentrations of *Pseudo-nitzschia* spp., as well as other taxa, were calculated using
120 the equation given by Utermöhl (1958).

121 **2.2.3. *Pseudo-nitzschia* species identification**

122 Phytoplankton samples collected from 2004-2011 during different annual period's (i.e.
123 austral summer/autumn and upwelling period (August-November)) with a high abundance
124 of *Pseudo-nitzschia* spp. were selected for further analyses using differential interference
125 contrast (DIC) microscopy and electron microscopy (EM) to identify the taxa present.
126 Samples were cleaned of organic material following the protocol of Lundholm et al.
127 (2002). For TEM, drops of the cleaned material were placed on Formvar-coated copper

128 grids, dried, and examined with a JEOL JEM-1010 electron microscope. Morphometric
129 measurements were performed on TEM images. The valve width and the density of
130 interstriae, fibulae and poroids were measured in the middle of the valve. In addition, the
131 following characteristics were determined for identification to species level: number of
132 rows of poroids in each stria, the pattern of hymen perforations of each poroid, the valve
133 shape, and the shape of valve ends.

134 **2.2.4. Toxins analyses**

135 Water samples (500 mL) were collected onboard research vessels, filtered through a 0.45
136 μm GF/F filter and the filtrate stored at $-20\text{ }^{\circ}\text{C}$ until analysed for domoic acid (DA). Filters
137 containing phytoplankton material were placed in 5 mL of 10% methanol, ground and
138 sonicated to disrupt the filter and cells, filtered ($0.45\text{ }\mu\text{m}$) to remove particulates, and
139 stored frozen ($-20\text{ }^{\circ}\text{C}$) until analysed.

140 Dead washed-up fish (i.e. pilchard (*Sardinops sagax*), mullet (*Liza richardsonii*)) as well
141 as live shellfish (clams) samples were collected in the vicinity of Swakopmund and Walvis
142 Bay, respectively. Material was weighed and mixed with a known volume of 50%
143 methanol (1:4 wt.:vol.), homogenized, centrifuged ($3000\times g$) and filtered through a GF/F
144 filter ($0.45\text{ }\mu\text{m}$) to remove particulates and stored at $-20\text{ }^{\circ}\text{C}$ until analysed.

145 All sample extracts (phytoplankton, fish and shellfish) were analysed for the presence of
146 DA by tandem mass spectrometry preceded by liquid chromatographic separation (LC-
147 MS/MS) employing reversed phase chromatography, using an Agilent 1100 HPLC
148 coupled to an ABI-SCIEX API-4000 triple quadrupole mass spectrometer in ESI+ mode.
149 All reagents used for LC-MS/MS were analytical grade or higher. Chromatography was

150 performed on a Phenomenex Luna C18 column (5 μm , 150x2 mm). Mobile phase
151 consisted of water and acetonitrile in a binary system, with 0.1% formic acid as an
152 additive. The elution gradient was as follows: 2 min 95% water, linear gradient to 60%
153 water at 16 min, 95% water at 17 min, held for 5 min, then returned to initial conditions at
154 23 min and held for 5 min before the next injection. Positive identification was based on
155 presence of the DA parent ion (312 m/z) as well as its associated fragment ion peaks
156 (266 m/z , 161 m/z ; Quilliam, 1996). Retention time and calibration for DA quantification
157 were based on the DACS-1C Certified Reference Material obtained from the Institute for
158 Marine Biosciences, NRC Canada (Halifax, NS, Canada). The limit of quantification
159 (LOQ; signal:noise (S:N) ratio >10) for this method was approximately 0.4 ng DA mL^{-1} in
160 the sample extract. The limit of detection (LOD; S:N ~5) was estimated at ~0.14 ng DA
161 mL^{-1} .

162 **3. Results**

163 Sporadic monitoring of nBus phytoplankton was initiated during the 1970s and more than
164 500 taxa have now been identified at inshore and offshore stations (Kruger, 1980;
165 NatMIRC unpubl. data). Regular sampling began in 2001 and extraction of *Pseudo-*
166 *nitzschia* spp. information from this database revealed regularly occurring *Pseudo-*
167 *nitzschia* bloom events, including some with extremely high cell concentrations and one
168 associated with a fish kill in 2004 (Louw et al., 2017). Moreover, *Pseudo-nitzschia* spp.
169 numerically dominated the phytoplankton community at certain stations, representing a
170 significant component of the food available for secondary producers and thus being an
171 integral and vital part of the regional food web.

172 **3.1. Morphology of *Pseudo nitzschia* species in nBUS**

173 Based on the morphological data, the following species were identified in the nBUS: *P.*
174 *americana*, *P. australis*, *P. cf. dolorosa*, *P. fraudulenta*, *P. cf. heimii*, *P. kodamae*, *P. cf.*
175 *kodamae*, *P. lineola*, *P. multiseriis*, *P. pungens* and *P. cf. turgidula* (Table 1). In
176 addition, a potential new species was observed and reported as *P. sp.* NamA (see
177 section 3.2). The species diversity found during the July 2004 toxic event is discussed
178 separately (see section 3.3).

179 **Description of species**

180 ***Pseudo-nitzschia americana* (Hasle) Fryxell (Plate 1A and 1B)**

181 The observed morphology of *P. americana* was completely in agreement with the
182 emended description (Plate 1A and 1B, Table 2) (Lundholm et al., 2002). This species
183 was only reported from a single inshore sample at a low concentration (Fig. 2). The
184 distribution of *P. americana* comprises temperate to tropical waters around the world
185 including South Africa as well as NW Africa (Lundholm et al., 2002; Lelong et al., 2012;
186 Teng et al. 2013; Bresnan et al., 2015).

187 ***Pseudo-nitzschia australis* Frenguelli (Plate 1C and 1D)**

188 Morphologically, the cells were in agreement with previous descriptions (Table 2, Plate
189 1C and 1D) (Hasle, 1965). The species, *P. australis* occurred at several inshore and
190 offshore nBUS stations, often comprising up to 96% of the *Pseudo-nitzschia* species
191 present (Fig. 2). This globally distributed specie is found in temperate and sub-tropical
192 regions (Hasle, 2002). It is a toxic specie known to produce high amounts of DA in the

193 laboratory as well as in the field (Fritz et al., 1992; Trainer et al., 2008; Lelong et al.,
194 2012). It has been identified as the cause of toxic blooms in many parts of the world,
195 including those associated with mammal mortalities off the US west coast (Trainer et
196 al., 2012; Guiry and Guiry, 2017; McCabe et al., 2016; Nash et al., 2017) and it has also
197 been reported from the sBUS (Marangoni et al., 2001).

198 ***Pseudo-nitzschia cf. dolorosa* Lundholm et Moestrup (Plate 1E and 1F)**

199 Specimens looking similar to the original description of *P. dolorosa* (Lundholm et al.,
200 2006) but differing with regard to valve width, and fibula and stria density were referred
201 to *P. cf. dolorosa*. The valve width (2.9-3.5 μm) was greater than for *P. dolorosa*
202 (reported up to 3.0 μm in Lundholm et al., 2006 and Teng et al., 2013). Similar to *P.*
203 *dolorosa*, the observed specimens (Plate 1E and 1F) had lanceolate valves with a
204 central nodule present. The densities of fibulae and striae were 16-20 and 28-30 in 10
205 μm , respectively, in the Namibian specimens and hence lower than in *P. dolorosa* (18-
206 22 and 30-36, respectively in 10 μm). The poroid density was 3-8, which overlaps with
207 the 5-8 poroids in 1 μm for *P. dolorosa* (Lundholm et al., 2006). This species was
208 reported from inshore and offshore stations during 2006 and 2007 (Fig. 2).

209 ***Pseudo-nitzschia fraudulenta* (Cleve) Hasle (Plate 2G & 2H)**

210 The morphological characteristics of *P. fraudulenta* (Plate 2G and 2H) in the nBUS
211 agreed with the description of the species (Hasle, 1965). This taxon was detected
212 regularly at inshore and offshore stations in low concentrations. In 2011, it was the
213 dominant (96%) *Pseudo-nitzschia* species during a warm water intrusion bloom event
214 in May (Fig. 2). The species *P. fraudulenta* is widely distributed in temperate and

215 subtropical waters, and strains have been found to produce DA (Lelong et al., 2012;
216 Tatters et al., 2012).

217 ***Pseudo-nitzschia cf. heimii* Manguin (Plate 2I)**

218 Specimens of *P. cf. heimii* were observed to have lanceolate valves and broadly
219 rounded valve ends (Plate 2I). The valve length was 60-70 μm and the valve width 3-4
220 μm . A central nodule was present and the valve possessed 16-20 fibulae and 28-30
221 striae in 10 μm . The striae contained two rows of poroids, which had a density of 5-10
222 poroids in 1 μm . The observed specimens differed from *P. heimii* in having a narrower
223 valve width and a higher density of striae compared to the description by Hasle and
224 Syvertsen (1996) (5-6 μm width and 26-28 striae in 10 μm , respectively). The species
225 were found in offshore stations in 2005 and 2006 (Fig. 2), and also observed by Guannel
226 et al. (2015) offshore of the Namibian and southern Angolan coasts.

227 ***Pseudo-nitzschia kodamae* S.T.Teng, H.C.Lim, C.P.Leaw and P.T.Lim (Plate 2J
228 and 2K)**

229 The valve morphology of *P. kodamae* (Plate 2J and 2K) was in agreement with the
230 original description (Teng et al., 2014). Other cells observed were morphologically
231 similar, but had a valve width of $\sim 1.7 \mu\text{m}$ (compared to 2.1-3.3 μm in *P. kodamae*) (Teng
232 et al., 2014) and were therefore identified as *P. cf. kodamae*. Both were observed in low
233 quantities at offshore stations (Fig. 2). Strains of *P. kodamae* from Malaysia have been
234 found to produce DA (Teng et al., 2014). The present record is to our knowledge the
235 first after the original description of strains from Malaysia.

236 ***Pseudo-nitzschia lineola* (Cleve) Hasle (Plate 3L and 3M)**

237 All observations of *P. lineola* (Plate 3L and 3M) corresponded well with previous
238 descriptions by Hasle and Syvertsen (1996) and Lundholm et al. (2012). It was observed
239 on two occasions at offshore stations (Fig. 2). This species is globally distributed and
240 has been recorded in both coastal and oceanic waters; it is presently reported to be
241 non-toxic (Lundholm et al., 2012).

242 ***Pseudo-nitzschia multiseriis* (Hasle) Hasle (Plate 3N and 3O)**

243 The morphology of *P. multiseriis* specimens agreed with previous descriptions (Hasle
244 1995) (Plate 3N and 3O; Table 1). The *P. multiseriis* species appeared together with
245 *P. pungens* during the nBUS warm water event in 2011 (Fig. 2). The *P. multiseriis* is a
246 globally distributed species known to produce high amounts of toxin and it has been
247 involved in highly toxic bloom events (e.g. Bates et al., 1989). It has been reported
248 previously from South Africa (Pitcher et al., 2014).

249 ***Pseudo-nitzschia pungens* (Grunow ex Cleve) Hasle (Plate 3P and 3Q)**

250 Cells of *P. pungens* found in the nBUS were linear in valve view (Plate 3P and 3Q) with
251 a valve width of 2.8-3.5 μm . The densities of fibulae and interstriae were 11-13 and 10-
252 13 μm in 10 μm , respectively, and each stria comprised two and sometimes three rows
253 of poroids, with 3-5 poroids in 1 μm . The morphological characters agreed best with the
254 description of *P. pungens* var. *cingulata* (Villac and Fryxell, 1998). The species was
255 observed inshore as well as offshore during several sampling periods (Fig. 2). It was
256 one of the most frequently observed and abundant inshore species in the nBUS together
257 with *P. australis*. In 2007, it was also the only *Pseudo-nitzschia* species found at the

258 inshore station off Walvis Bay. *The species* is globally distributed and commonly
259 recorded. It has been reported previously to produce DA (e.g. Fernandes et al., 2014).

260 ***Pseudo-nitzschia cf. turgidula* (Hustedt) Hasle (Plate 4R and 4S)**

261 Cells of *P. cf. turgidula* were characterized by lanceolate valves (Plate 4R and 4S) with
262 a width of about 3.8 μm . The numbers of fibulae and interstriae were 18 and 24 in 10
263 μm , respectively. Each stria had 2 rows of poroids and 8 poroids in 1 μm . A central
264 nodule could be distinguished in the middle of the frustule. For *P. turgidula*, Hasle (1965)
265 reported that the valve width was 2.5-3.5 μm , the lanceolate valve was characterised
266 by being expanded in the middle, and densities of fibulae and striae were 13-18 and 23-
267 28 in 10 μm , and poroids 7-9 in 1 μm . The characters observed agreed with the
268 description of Hasle (1965) except for a wider valve and the lack of a central expansion
269 of the valve. The taxon was therefore named as *P. cf. turgidula*. The species was only
270 observed at offshore stations during 2006 (Fig. 2).

271 ***Pseudo-nitzschia sp. NamA* (Plate 4T and 4U)**

272 The *Pseudo-nitzschia sp. NamA* was an abundant and yet unidentified species (Plate
273 4T and 4U) with lanceolate and broad valves, showing a width of approximately 7.5 μm
274 and a length of about 53 μm . A central nodule was present and fibulae and striae were
275 found at a density of 15 and 31 in 10 μm , respectively. The striae comprised one row of
276 poroids, and 4-5 poroids in 1 μm . This species was observed at an offshore station in
277 2006 (Fig. 2).

278 **4. Discussion**

279 **4.1. Abundance and diversity of *Pseudo-nitzschia* species inshore and**
280 **offshore in the nBUS**

281 A number of *Pseudo-nitzschia* spp. have been identified inshore and offshore in the nBUS
282 as described in section 3.1. Annual increases and decreases in the abundance of
283 *Pseudo-nitzschia* spp. on the 23°S transect off Walvis Bay were reported by Louw et al.
284 (2017) to occur during May-July and August-November, respectively, based on data from
285 2004-2011. In the samples examined during the present study, high abundances of
286 *Pseudo-nitzschia* spp. were observed mostly inshore, whereas low levels occurred
287 offshore (Table 2). Inshore stations represented the Namibian pelagic fisheries region,
288 feeding grounds for birds as well as for seal colonies located close to the Namibian coast.
289 This area is also near Walvis Bay harbor, where there is intensive aquaculture activity.
290 *Pseudo-nitzschia* spp. found offshore can also be relevant to consider inshore, given the
291 potential intrusion of warmer oceanic water into coastal areas during periods of upwelling
292 relaxation.

293 An illustration of the diversity of the *Pseudo-nitzschia* spp. in the nBUS is given in Fig. 2.
294 Most of the *Pseudo-nitzschia* spp. found inshore, namely *P. australis*, *P. pungens*, *P.*
295 *fraudulenta* and *P. multiseriata*, are known as toxigenic and these occurred in much higher
296 concentrations inshore than offshore. It is notable that the diversity of species inshore
297 was relatively low. After 2004, *P. australis* and *P. pungens* dominated inshore, making up
298 86-100% of the *Pseudo-nitzschia* spp., although which species was predominant varied
299 annually and seasonally (Fig. 2). Monthly changes in dominance between the two taxa
300 were observed, as shown in May versus June in 2005 and May versus July in 2007 (Fig.
301 2). The duration of the bloom over these months suggests that either *Pseudo-nitzschia*

302 bloom events can be long-lived with changing species composition, or two separate
303 blooms occurred closely (in time and space) to each other. Low percentages of additional
304 species (i.e. *P. americana*, *P. fraudulenta*, *P. multiseriis* and *P. cf. dolorosa*) were
305 observed inshore along with *P. australis* and *P. pungens*.

306 In May 2011, a completely different *Pseudo-nitzschia* community dominance was
307 observed, with only *P. fraudulenta* (dominant, 96%) and *P. multiseriis* present. Louw et
308 al. (2017) showed that cooler summers were generally experienced in the nBUS over the
309 inshore shelf region (i.e. median temperature was below or equal to the long-term annual
310 median value), whereas 2011 was distinguished as a warmer year. Temperatures ranged
311 high above the annual median and were associated with a Benguela Niño in 2011, which
312 has the same characteristics as the El Niño that occurs in the Humboldt upwelling
313 ecosystem. This suggests that warmer conditions accompanied by lower nutrient levels
314 may favor the growth of certain *Pseudo-nitzschia* spp., here *P. fraudulenta* and *P.*
315 *multiseriis*. As the predominant winds in the nBUS decline (i.e. upwelling favorable wind),
316 wind speed and direction become low and/or northerly, which increases the potential for
317 offshore water intrusion closer to the coast. Moreover, the offshore environment may
318 serve as a retentive region for development or regeneration of *Pseudo-nitzschia* spp.
319 capable of seeding inshore waters where coastal eddies can provide more favorable
320 nutrient levels (GEOHAB, 2005; Seegers et al., 2015) In the California current system,
321 Kudela et al. (2004), found that weak upwelling, the transitional period between warm and
322 cool water, as well as low macronutrients were conducive to development of *Pseudo-*
323 *nitzschia* blooms.

324 The species diversity of the offshore *Pseudo-nitzschia* communities observed in
325 September 2005 and March 2006 was considerably higher than any inshore stations (Fig.
326 2) comprising taxa not found inshore: *P. kodamae*, *P. lineola*, *P. cf. heimii*, *P. cf. turgidula*,
327 *P. sp. NamA*. By comparison, during August 2004 and April 2008, *P. australis* and *P.*
328 *pungens* were the dominant offshore species, although offshore concentrations were
329 much lower than inshore (Table 2). In addition to the potentially toxic species observed
330 inshore in the nBUS, *Pseudo-nitzschia cf. turgidula* and *P. kodamae* represented potential
331 DA producers from the offshore region.

332 **4.2. Case study of domoic acid found in the nBUS**

333 At the end of July 2004, reports of dead fish and seals in the nBUS were communicated
334 to the Namibian Ministry of Fisheries and Marine Resources, along with sightings of
335 irregular behaviour of birds, suggestive of a DA poisoning event. Concurrently, an annual
336 phytoplankton survey being conducted in the nBUS showed extremely high biomass
337 based on measurements of chlorophyll-*a* concentration (Fig. 3) and suggested the
338 presence of high density blooms. The *Pseudo-nitzschia* cell counts were, however,
339 relatively low compared to annual highs, with values below the 200,000 cells/L action
340 level for a *Pseudo-nitzschia* bloom event. These counts conducted for surface samples
341 were below 120,000 cells/L (Fig. 3). Based on findings described in section 3.1 (Fig 2)
342 and reanalyses of data by Marangoni (unpublished) (Table 3), nine *Pseudo-nitzschia* taxa
343 (Table 1) were identified: *P. australis*, *P. cf. caciantha*, *P. cf. cuspidata*, *P. delicatissima*,
344 *P. cf. dolorosa*, *P. fraudulenta*, *P. multiseriis*, *P. cf. pseudodelicatissima* and *P. pungens*.
345 Among these, *P. cf. caciantha*, *P. cf. cuspidata* and *P. cf. pseudodelicatissima* were
346 recorded offshore, whereas *P. australis*, *P. fraudulenta*, *P. multiseriis* and *P. pungens*

347 were present inshore. Some of these species were identified during the nBUS transects
348 as described in section 3.2, whereas others were identified in the nBUS only during the
349 toxic event, as indicated in Table 1. *Pseudo-nitzschia* taxa were also documented along
350 the southern Angolan and Namibian coasts during a transoceanic survey conducted in
351 2007 (Guannel et al., 2015), but cells were generally not identified to species level. In the
352 sBUS, *P. australis* and *P. multiseriis* have previously been identified and documented
353 (Pitcher et al., 2014; Marangoni et al., 2001).

354 The potentially toxic *Pseudo-nitzschia* spp. reported included: *P. australis*, *P. cf.*
355 *caciantha*, *P. delicatissima*, *P. kodamae*, *P. pungens*, *P. cf. pseudodelicatissima*, *P.*
356 *fraudulenta*, *P. multiseriis* and *P. cf. cuspidata*. Some of the highest particulate DA
357 concentrations measured in seawater samples collected during August 2004 were
358 associated with the presence of *P. australis*, an undescribed species reported as "*P. cf.*
359 *australis*" (with a central nodule) and *P. pungens*, with values as high as 118.7 ng/L at an
360 offshore station (Table 3). The maximum particulate DA level observed at an inshore
361 station (18°S) was ~182.2 ng/L and included *P. cf. pseudodelicatissima* (high
362 abundance), *P. cf. caciantha*, *P. pungens* (few cells), *P. australis* (few cells), *P.*
363 *"calliantha"* (but with 2 rows of poroids), and *P. cf. "australis"* (with a central nodule) (Table
364 3). Occasionally, only *P. pungens* was found in association with DA, but toxin levels were
365 uniformly low (< 5 ng/L) when this was the case (Table 3). Toxic blooms of *P. australis*
366 have previously been linked to numerous wildlife mortalities as well as harvesting
367 closures of various wild and farmed shellfisheries as reviewed by Trainer et al. (2012).
368 The spatial and temporal association of *P. australis* with the 2004 wildlife mortality event
369 recorded off the Namibian coast is thus consistent with prior reports of toxic impacts

370 related to blooms of this widespread *Pseudo-nitzschia* species. The inshore areas were
371 dominated by *P. australis* and *P. pungens* (Tables 2, 3; Fig. 3).

372 Clam samples were collected in 2004 in the area surrounding Walvis Bay harbour where
373 most of Namibia's mariculture farms are located. These clams tested negative for DA
374 (Table 3), which indicated that the event did not have an effect inside the harbour. Dead
375 pilchards and mullets, both pelagic planktivorous fish generally inhabiting offshore waters,
376 were found along the beaches closer to Swakopmund, located north of Walvis Bay. Gut
377 contents of one of the dead pilchards tested positive for DA ($0.12 \mu\text{g g}^{-1}$; Table 3), a level
378 that is considered low in comparison to those measured in other planktivorous fish during
379 toxic *Pseudo-nitzschia* blooms in other regions (e.g. Scholin et al., 2000; Lefebvre et al.,
380 2002). The remaining pilchard and mullet samples tested for DA were negative (Table 3).
381 Further investigations showed high phytoplankton biomass in this northerly area (Fig. 3),
382 which suggests the possibility of clogged gills as an alternative or additional factor
383 involved in the mortalities of pilchards and mullets.

384 The BUS is also susceptible to the detrimental effects of low oxygen events and has in
385 the past experienced fish kills and rock lobster walkouts in the sBUS at Elands Bay
386 (Cockcroft et al, 2000) and in central Namibia (NatMIRC unpubl. data). One of the main
387 characteristics of eastern boundary upwelling systems is the high primary production due
388 to elevated concentrations of phosphorus and nitrogen, introduced into the upper water
389 column as also reported by Louw et al. (2016). This nutrient enrichment causes major
390 blooms during summer and early autumn. If not grazed effectively, these blooms collapse
391 and decompose, consuming much of the dissolved oxygen. The large area occupied by

392 this dense bloom might have impacted fish directly and in more severe cases caused fish-
393 kills due to excessively low oxygen conditions associated with bloom termination.

394 **5. Conclusions**

395 In this study, 16 *Pseudo-nitzschia* spp. were identified. In the eight-year time series 12
396 *Pseudo-nitzschia* spp., were identified, from which five were recognized as potential DA
397 producers, and of these, *P. australis* and *P. multiseriis* are known to form highly toxic
398 blooms around the world (Lelong et al., 2012; Trainer et al., 2012). One potential new
399 *Pseudo-nitzschia* species was also recorded and other new species may have also been
400 present (e.g. offshore stations in 2005 and 2006; Marangoni data in Table 3), but a lack
401 of clear morphological data precluded any further identification. Four additional *Pseudo-*
402 *nitzschia* taxa were identified from the toxic event in July 2004, all being potentially
403 toxigenic species. Although the role of DA in the 2004 fish mortality event remains
404 inconclusive, it is evident that some of the *Pseudo-nitzschia* spp. in the nBUS did produce
405 DA, which has the potential to impact large mammals, birds or possibly humans.

406 The *Pseudo-nitzschia* concentrations seem to follow a similar pattern inside and outside
407 of Walvis Bay harbour, with higher levels occurring during the first part of the year
408 (NatMIRC unpubl. data). Low levels of DA are detected occasionally in oysters, as
409 reported in regular internal MFMR reports; however, these measurements are part of a
410 monitoring programme operating only during February and August by the mariculture sub-
411 division. Domoic acid producing *Pseudo-nitzschia* spp., *P. australis*, *P. pungens* and *P.*
412 *fraudulenta*, occur regularly as dominant taxa inshore and can easily be transported into
413 the bay. A concentration of 200,000 cells/L is generally accepted as the action level for

414 *Pseudo-nitzschia* spp. Reports from Danish and Canadian waters of *Pseudo-nitzschia*
415 concentrations of 50,000 and 62,000 cells/L, respectively, resulting in DA levels in
416 shellfish above the regulatory limit of 20 $\mu\text{g g}^{-1}$ (Lundholm et al., 2005) illustrate that a
417 lower threshold (e.g. 50,000 cells/L) should be considered for potential DA contamination
418 of shellfish or wildlife impacts. This 50,000 cells/L value will apply only if large, toxigenic
419 *Pseudo-nitzschia* spp. are present. In the present study, all inshore samples analysed for
420 *Pseudo-nitzschia* diversity had cell densities above 50,000 cells/L (Table 2). Although
421 many factors can influence DA production, it would nonetheless be valuable for Namibia
422 to establish a baseline annual toxin monitoring program that would provide data on
423 *Pseudo-nitzschia* and DA levels to the mariculture industry and inform policy makers to
424 improve food safety.

425 Most areas along the Namibian coastline are inaccessible for monitoring. Therefore, the
426 impacts of toxic or even non-toxic, high-biomass bloom events are often not recorded,
427 except in the central and some southern parts of Namibia. Since some of the species
428 observed during this study could not be identified, as was also the case for Guannel et
429 al. (2015), culturing of Namibian *Pseudo-nitzschia* spp. for identification and toxicity
430 testing is recommended. Moreover, investigations of toxicity trends related to the
431 distribution and abundance of *Pseudo-nitzschia* taxa in the nBUS are needed in order to
432 evaluate the potential for DA to impact the Namibia's coastal environment and economy
433 (e.g. contamination of fishery resources, toxin trophic transfer into pelagic and benthic
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451 **DISCLAIMER**

452 This publication does not constitute an endorsement of any commercial product or intend
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464

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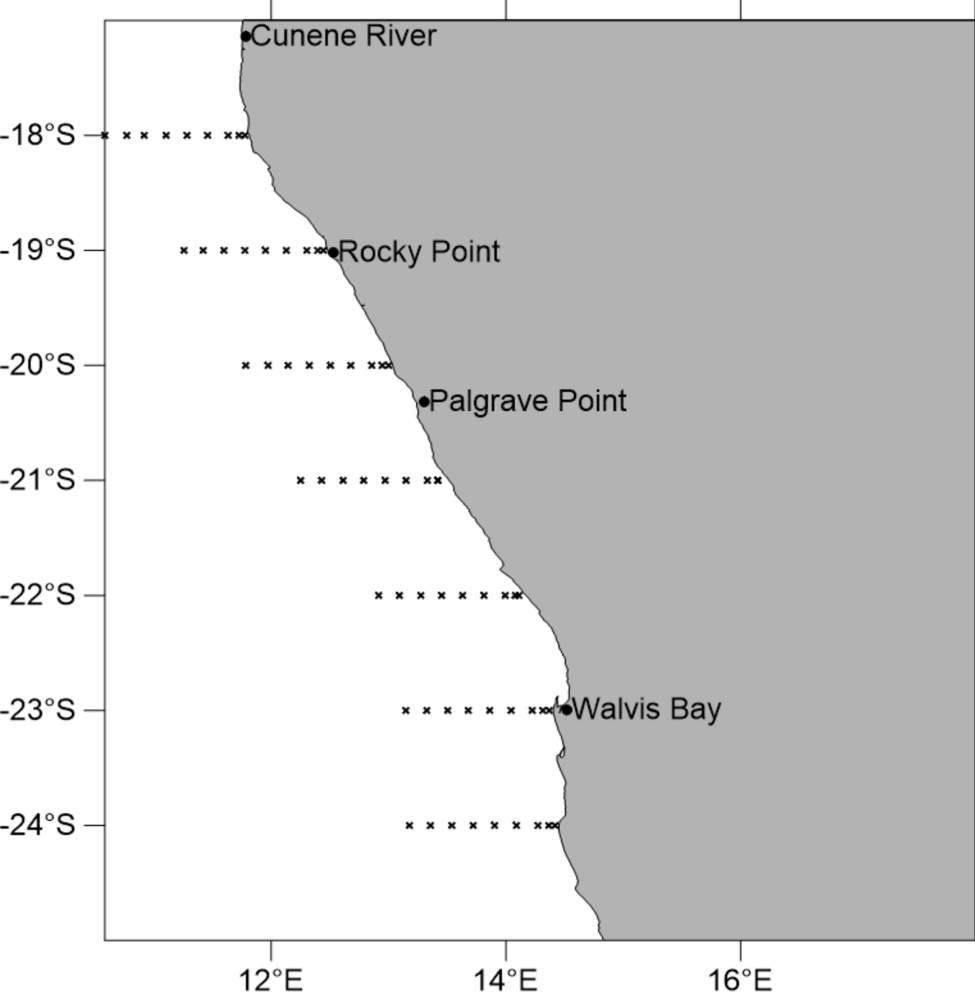
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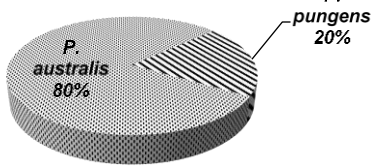
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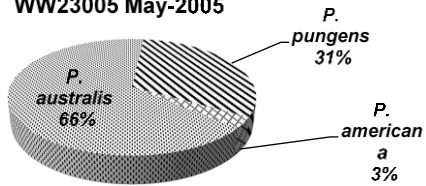
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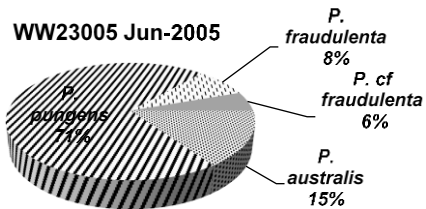
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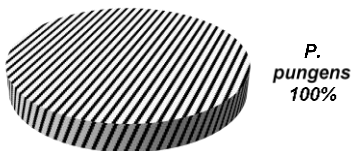
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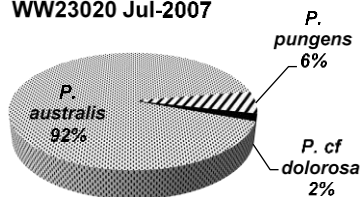
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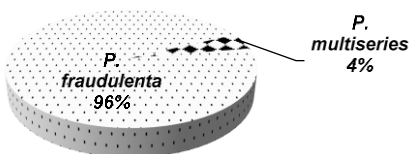
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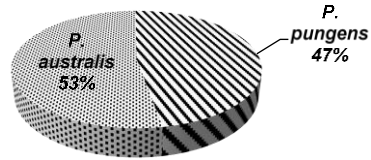
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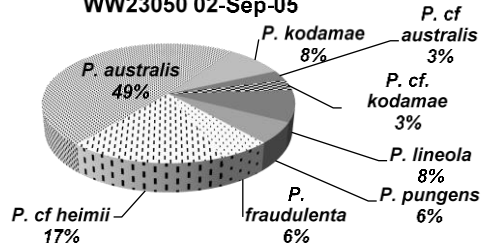
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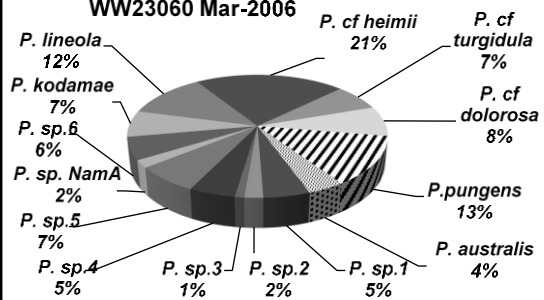
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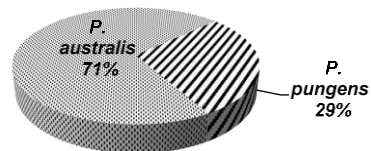
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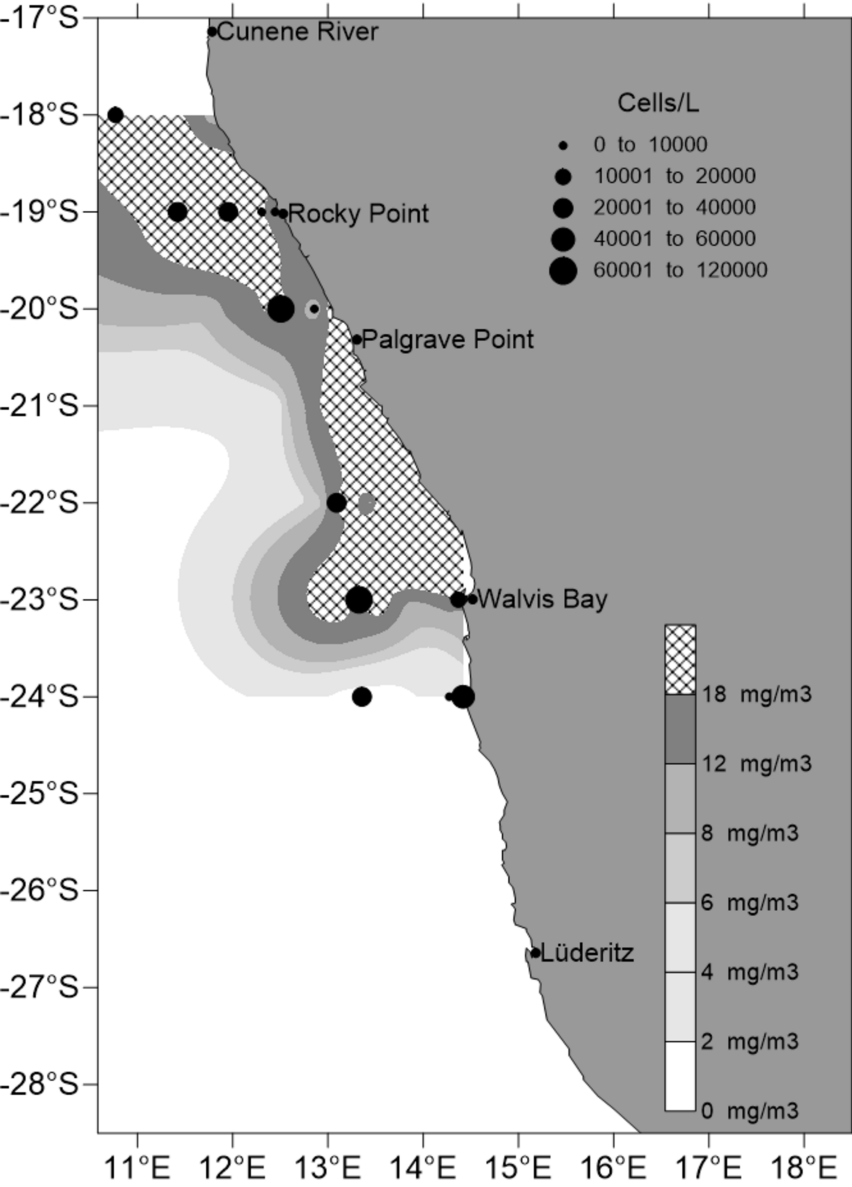


WW23060 Mar-2006



WW23060 Apr-2008





1 Tables

	Taxa	Valve Shape	Fibula/ 10 μ m	Striae/ 10 μ m	Central nodule	Rows of Poroids	Poroides / μ m	Sectors in Poroids	Apical- axis (μ m)	Trans-apical axis (μ m)
Min-Max Mean \pm SD	<i>P. americana</i>	Rectangular	20	30	No	2	9-10		21.8	3.5
Min-Max Mean \pm SD	<i>P. australis</i> *	Lanceolate/Asym.	12-17 13.8 \pm 1.4	12-18 14.5 \pm 1.7	No	2	4-6 4.6 \pm 0.6	X	87-110 96.4 \pm 8.4	6-8 6.3 \pm 0.9
Min-Max Mean \pm SD	<i>P. cf dolorosa</i>	Lanceolate	16-20 17.3 \pm 2.3	28-30 28.7 \pm 1.2	Yes	2	3-8 6.5 \pm 1.0		50-100 77.3 \pm 24.2	2.9-3.4 3.1 \pm 0.3
Min-Max Mean \pm SD	<i>P. delicatissima</i> **	Lanceolate	16-21	27-42	Yes	2	6-13	1	50-70	1.5-3.6
Min-Max Mean \pm SD	<i>P. cf cacintha</i> **		16-19	29-32	Yes	1	3-4	(3-4)	52-65	1.9-3.5
Min-Max Mean \pm SD	<i>P. cf cuspidata</i> **		19-24	36-38	Yes	1	4-5	(2)	45-58	2.1-2.9
Min-Max Mean \pm SD	<i>P. fraudulenta</i> *	Lanceolate/Asym.	16-22 20.1 \pm 1.6	20-22 21.6 \pm 0.7	Yes	2-3 2.4 \pm 0.5	5-7 5.6 \pm 0.6		87.3 \pm 49	5.5-6.5 5.5 \pm 0.5
Min-Max Mean \pm SD	<i>P. cf heimii</i>	Lanceolate	16-20 18.0 \pm 2.8	28-30 29.0 \pm 1.4	Yes	2 2.0 \pm 0.0	5-10 7.5 \pm 2.1		60-70 77.8	3-4 3.5
Min-Max Mean \pm SD	<i>P. kodamae</i>	Linear	15.0 \pm 1.7	26.0 \pm 2.8	Yes	1.0 \pm 0.0	4.5 \pm 0.6		83.6	2.4 \pm 0.3
Min-Max Mean \pm SD	<i>P. cf kodamae</i>	Linear	14-16 15.0 \pm 1.2	26		1	4-5			1.73
Min-Max Mean \pm SD	<i>P. lineola</i>	Lanceolate	16 16.0 \pm 0.0	22-26 24.7 \pm 2.3	Yes	1 1.0 \pm 0.0	4-5 4.4 \pm 0.5	2-3	80-96 88.0 \pm 11.0	1.3-1.7 1.8 \pm 0.5
Min-Max Mean \pm SD	<i>P. multiseriis</i> *	Lanceolate	11-13 11.8 \pm 0.8	11-13 11.8 \pm 0.8	No	3-5 3.6 \pm 0.7	5-6 5.4 \pm 0.5		100-107 104.5 \pm 5.4	3.6-4.6 4.1 \pm 0.4
Min-Max Mean \pm SD	<i>P. cf pseudodelicatissima</i> **		17-24	35-38	Yes	1	4-5	(2)	55-75	1.3-1.9
Min-Max Mean \pm SD	<i>P. pungens</i> *	Linear	11-13 12.2 \pm 0.6	10-13 11.6 \pm 0.8	No	2(3) 2.0 \pm 0.0	3-5 3.7 \pm 0.7			2.8-3.5 3.3 \pm 0.2
Min-Max Mean \pm SD	<i>P. cf turgidula</i>	Lanceolate	18	24	Yes	2	8		90.1	3.8
Min-Max Mean \pm SD	<i>P. sp. NamA</i>		15	31	Yes	1	4-5	2-4	53.4	7.5

2 * *Pseudo-nitzschia* species present during the 2004 event3 ** *Pseudo-nitzschia* species present during the 2004 event identified based on reanalyses of (Marangoni, unpublished)

4 ***Asym. = Asymmetry

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Date collected	Station	Distance offshore	Year	Position	Cells L ⁻¹
05-May-04	WW23010	10 nm	2004	Inshore	93,484
05-Aug-04	WW23060	60 nm	2004	Offshore	118,230
12-May-05	WW23005	5 nm	2005	Inshore	3,346,173
30-Jun-05	WW23005	5 nm	2005	Inshore	861,976
02-Sep-05	WW23050	50 nm	2005	Offshore	17,528
03-Mar-06	WW23060	60 nm	2006	Offshore	9,280
07-May-07	WW23020	20 nm	2007	Inshore	106,200
10-Jul-07	WW23020	20 nm	2007	Inshore	1,499,179
13-Apr-08	WW23060	60 nm	2008	Offshore	4,124
17-May-11	WW23020	20 nm	2011	Inshore	13,416,312

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Date	Stations	ng DA mL ⁻¹ (in extract)	DA concentration in tissue (µg g ⁻¹) or in seawater (ng L ⁻¹ ; particulate DA)	Sample type	Species
04 08 2004	WW24002	5.57	25.5	Phytoplankton	<i>P. australis</i> , <i>P. pungens</i>
04 08 2004	WW24005	2.38	13.0	Phytoplankton	<i>P. australis</i> (high abundance)
04 08 2004	WW24020	0.73	4.1	Phytoplankton	<i>P. pungens</i> (high abundance)
04 08 2004	WW24040	< LOQ	-	Phytoplankton	<i>P. australis</i> , <i>P. cf. pseudodelicatissima</i> , <i>P. cf. caciaantha</i>
04 08 2004	WW24050	0.45	2.5	Phytoplankton	<i>P. australis</i> , <i>P. cf. pseudodelicatissima</i> , <i>P. cf. caciaantha</i>
05 08 2004	WW23050		-	Phytoplankton	<i>P. australis</i> , <i>P. pungens</i>
05 08 2004	WW23060		-	Phytoplankton	<i>P. cf. cuspidata</i>
05 08 2004	WW23070	20.80	118.7	Phytoplankton	<i>P. cf. pseudodelicatissima</i> , <i>P. cf. caciaantha</i> , <i>P. pungens</i> (few cells), <i>P. australis</i> (few cells), <i>P. "calliantha"</i> (but with 2 rows of poroids)
06 08 2004	WW22050	3.41	19.1	Phytoplankton	<i>P. cf. pseudodelicatissima</i> , <i>P. cf. caciaantha</i> , <i>P. "australis"</i> (but with a central nodule)
06 08 2004	WW22060	< LOD	-	Phytoplankton	<i>P. cf. pseudodelicatissima</i>
07 08 2004	WW21005	< LOD	-	Phytoplankton	(No Species Data)
08 08 2004	WW20030	0.47	2.6	Phytoplankton	<i>P. pungens</i>
10 08 2004	WW18020	10.42	57.8	Phytoplankton	<i>P. australis</i> , <i>P. pungens</i> , <i>P. cf. pseudodelicatissima</i>
10 08 2004	WW18010	32.94	182.2	Phytoplankton	<i>P. australis</i> , <i>P. pungens</i>
10 08 2004	WW18002	0.54	3.2	Phytoplankton	(No Species Data)
	NAB2	28.97	0.12	Pilchard (guts)	
	NAB6	<LOD	-	Mullet (guts)	
	NAB8	<LOQ	-	Pilchard (guts)	
	NAB9	< LOD	-	Clam (whole)	

14 LOD – 0.14 ng mL⁻¹ in extract (S:N ratio ~5); LOQ – 0.4 ng mL⁻¹ in extract (S:N ratio >10)

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